



The Abdus Salam  
**International Centre  
for Theoretical Physics**

**School on Synchrotron and Free-Electron-Laser  
Based Methods: Multidisciplinary Applications and  
Perspectives | (smr 2812) | 4-15 April 2016**

# **High resolution RIXS: introduction and applications to strongly correlated systems**



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**CNR - SPIN**

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**8 April, 2016**

# Summary



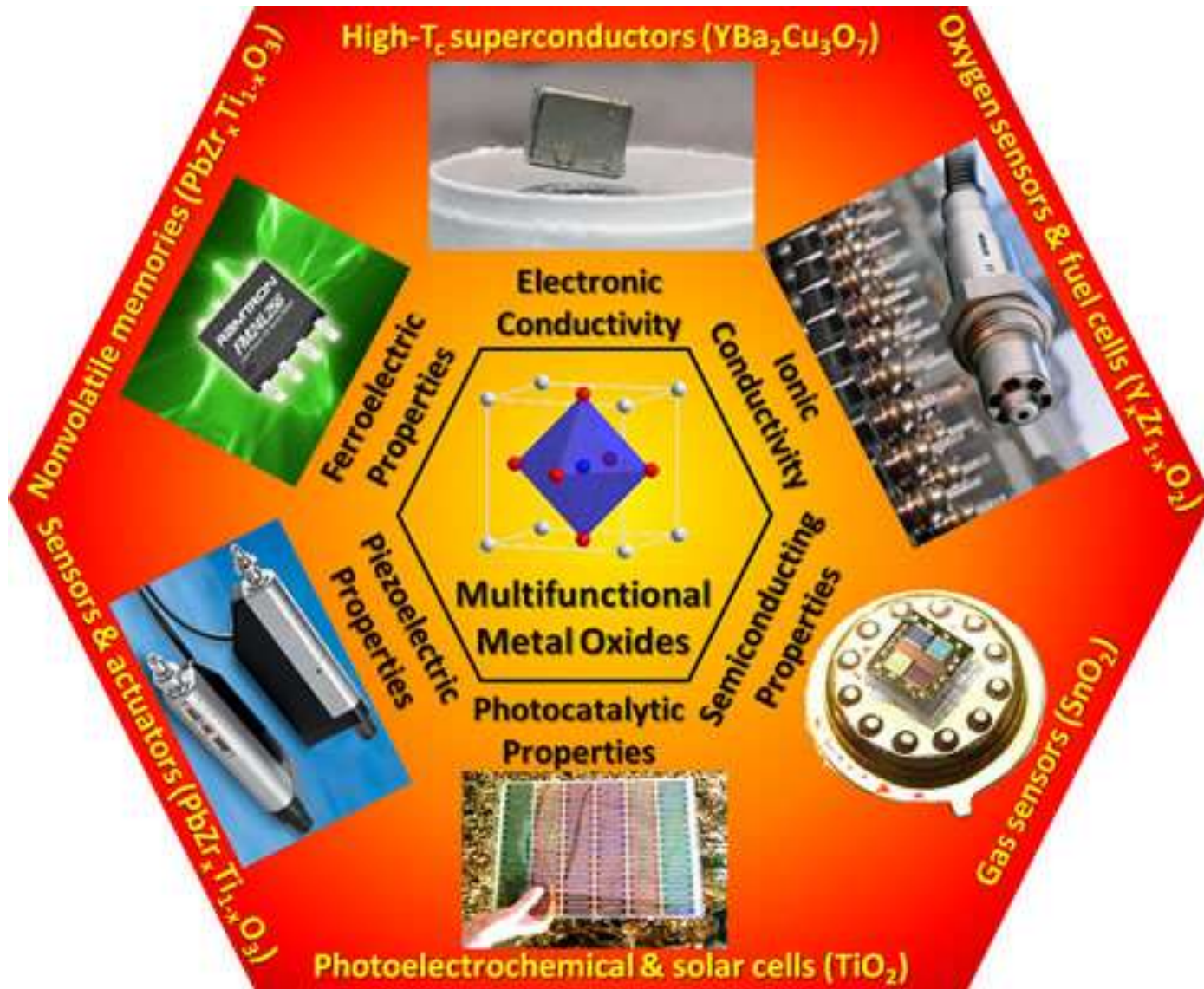
**POLITECNICO**  
MILANO 1863



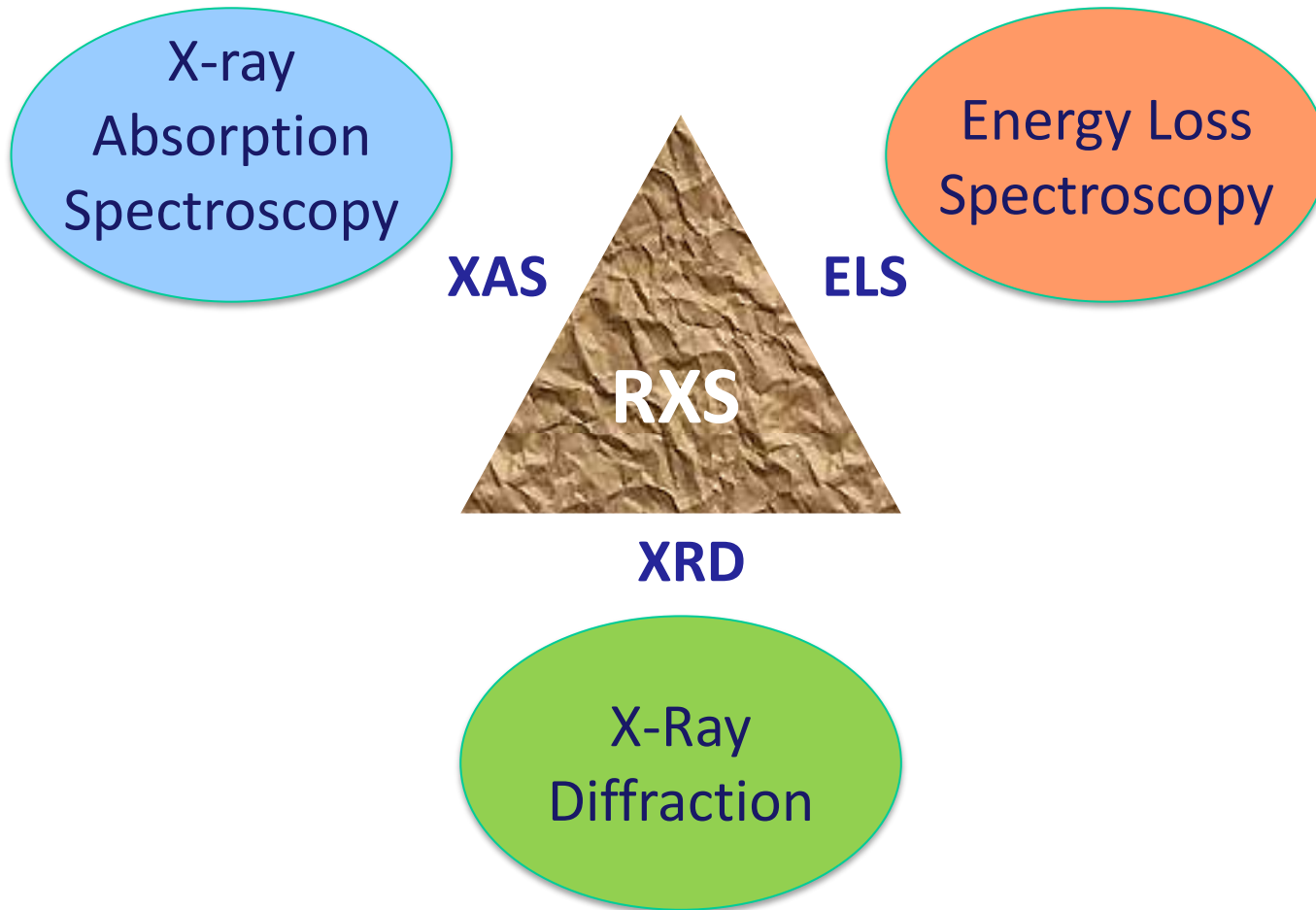
- ~ Introducing resonant x-ray inelastic scattering
- ~ *dd* excitations
- ~ Cu L<sub>3</sub> RIXS and spin excitations in cuprates



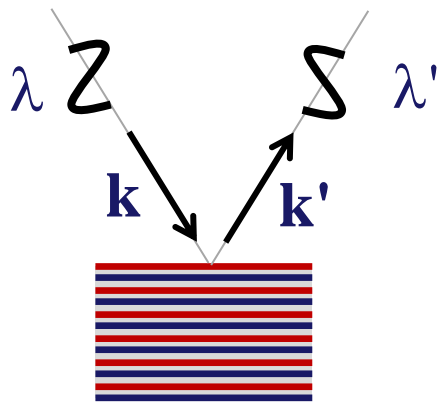
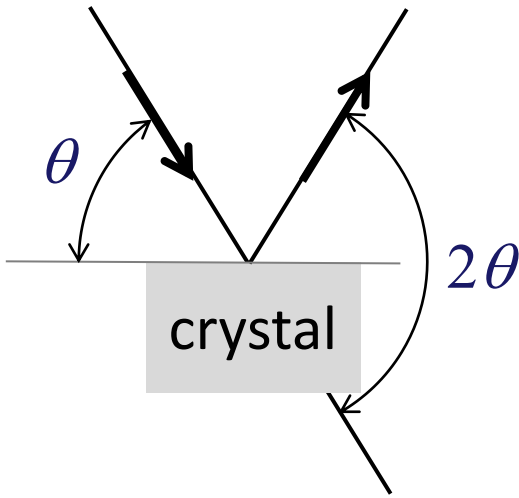
# Transition metal oxides



# Introduction to Resonant X-ray Scattering



# From XRD to X-ray Scattering

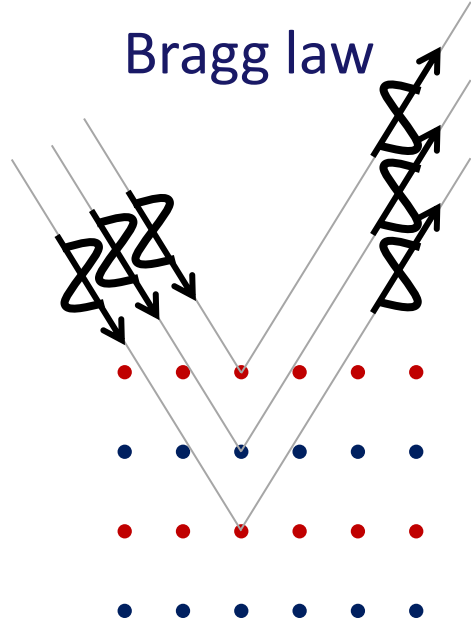


X-Ray Diffraction

$$|\mathbf{k}'| = |\mathbf{k}|$$

$$\lambda = \lambda'$$

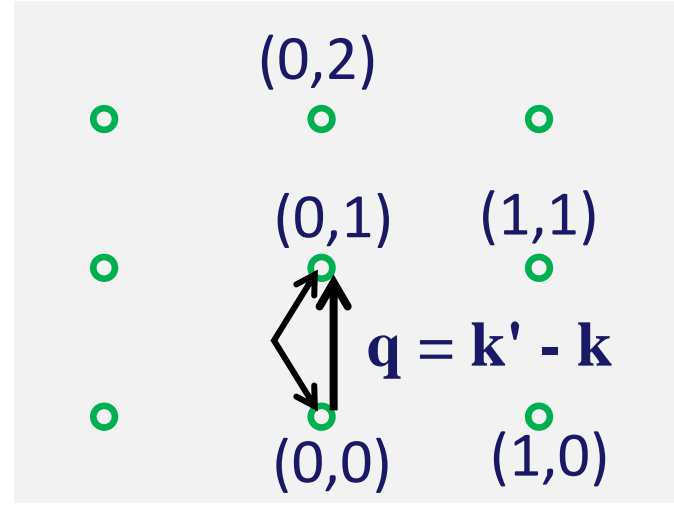
Real space  
Bragg law



X-Ray Scattering

~~$$|\mathbf{k}'| = |\mathbf{k}|$$~~
~~$$\lambda = \lambda'$$~~
~~$$\mathbf{q} = \mathbf{G}$$~~

Reciprocal lattice  
Laue condition:  $\mathbf{q} = \mathbf{G}$

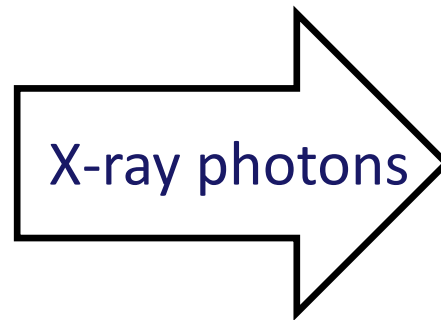
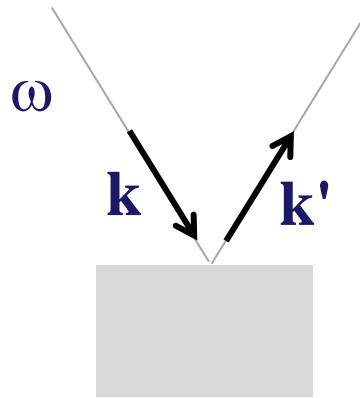
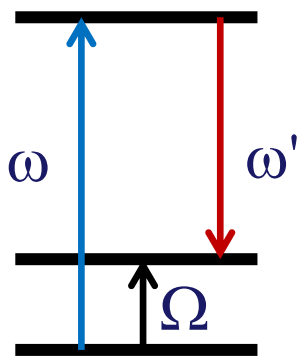


# ELS: from Raman to Inelastic X-ray Scattering

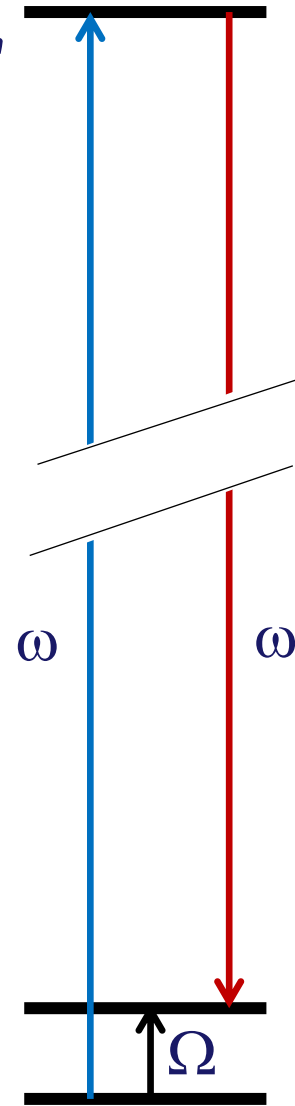
Energy Loss Spectroscopy

Raman  
light scattering

$$k \approx 0, q \approx 0, \\ \Omega = \omega - \omega'$$



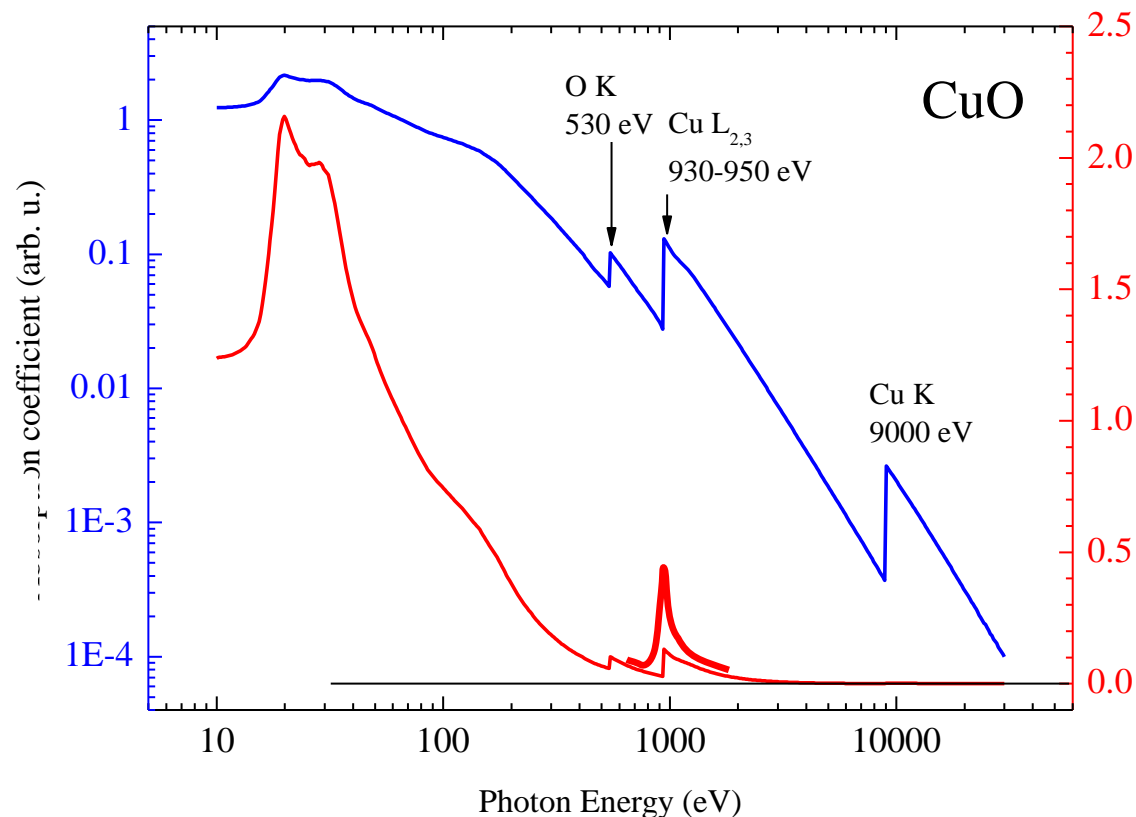
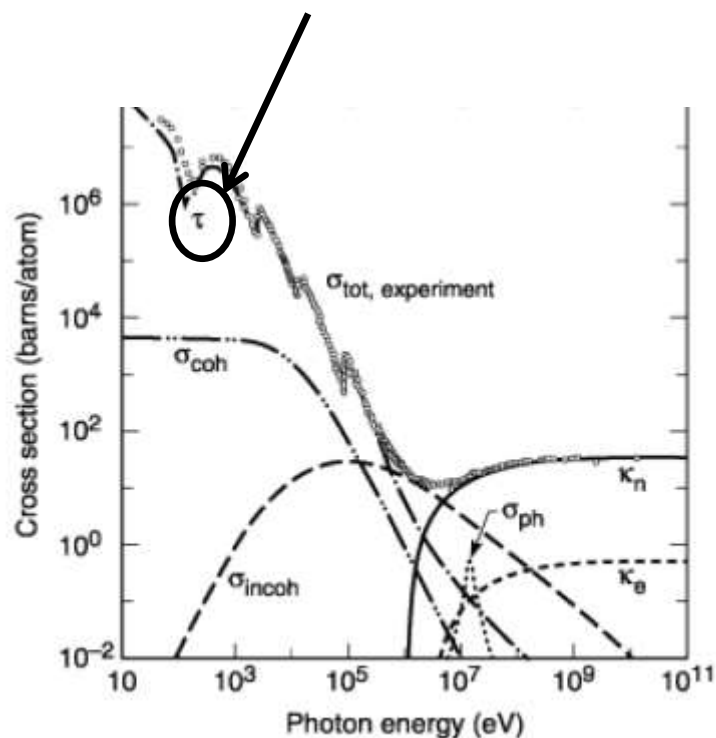
Inelastic  
X-ray  
Scattering



$$\Omega = \omega - \omega' \\ \mathbf{q} = \mathbf{k}' - \mathbf{k}$$

# Resonant X-ray Absorption

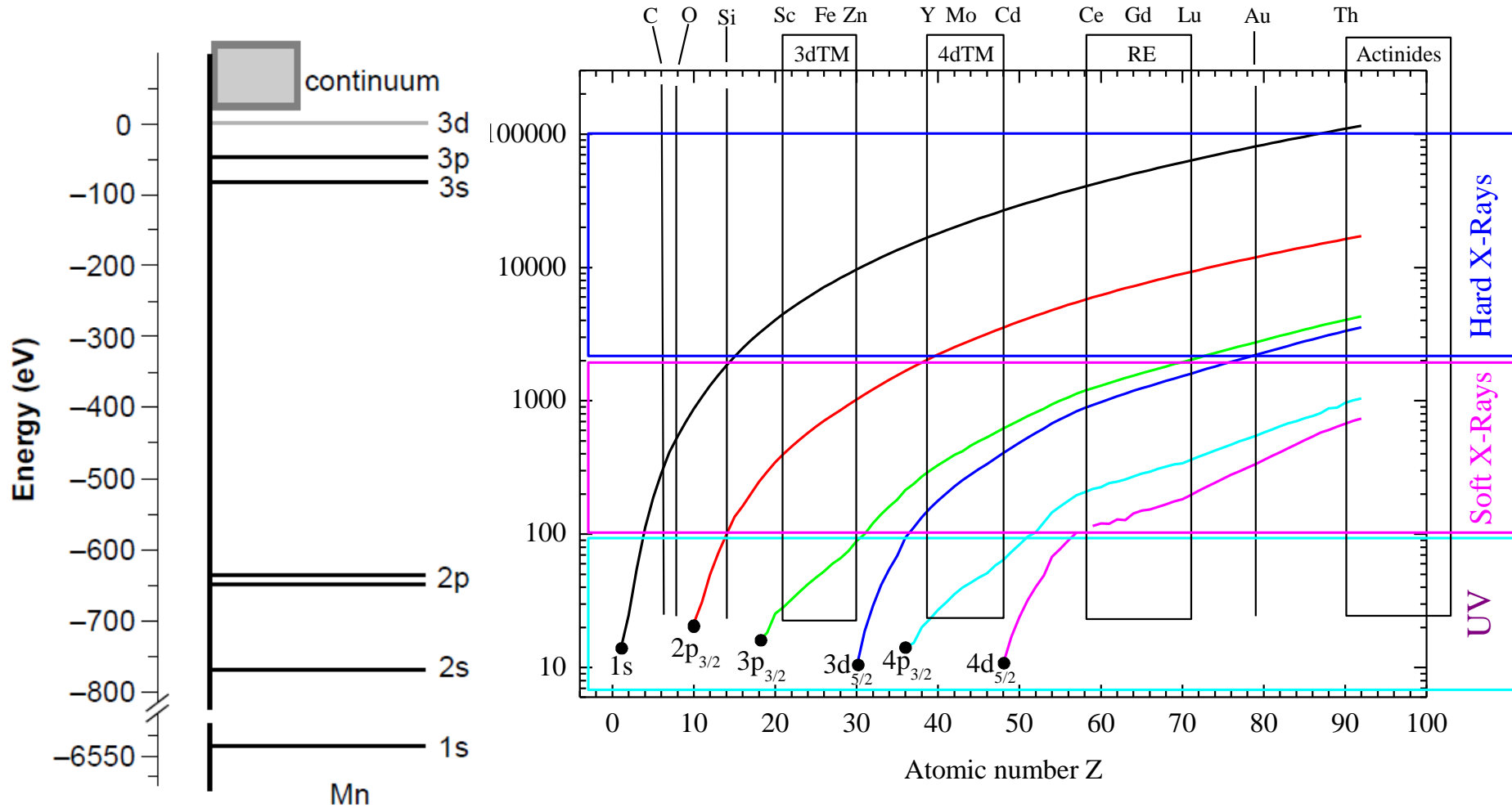
Photoelectric effect dominates x-ray absorption below 100,000 eV



Edges are univocally element specific

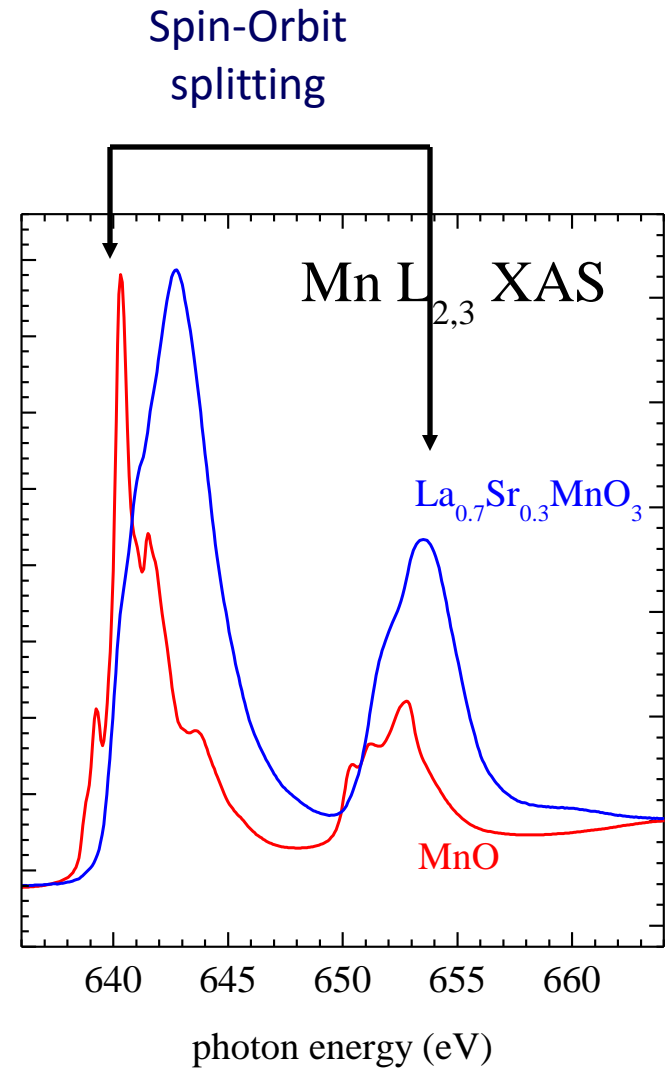
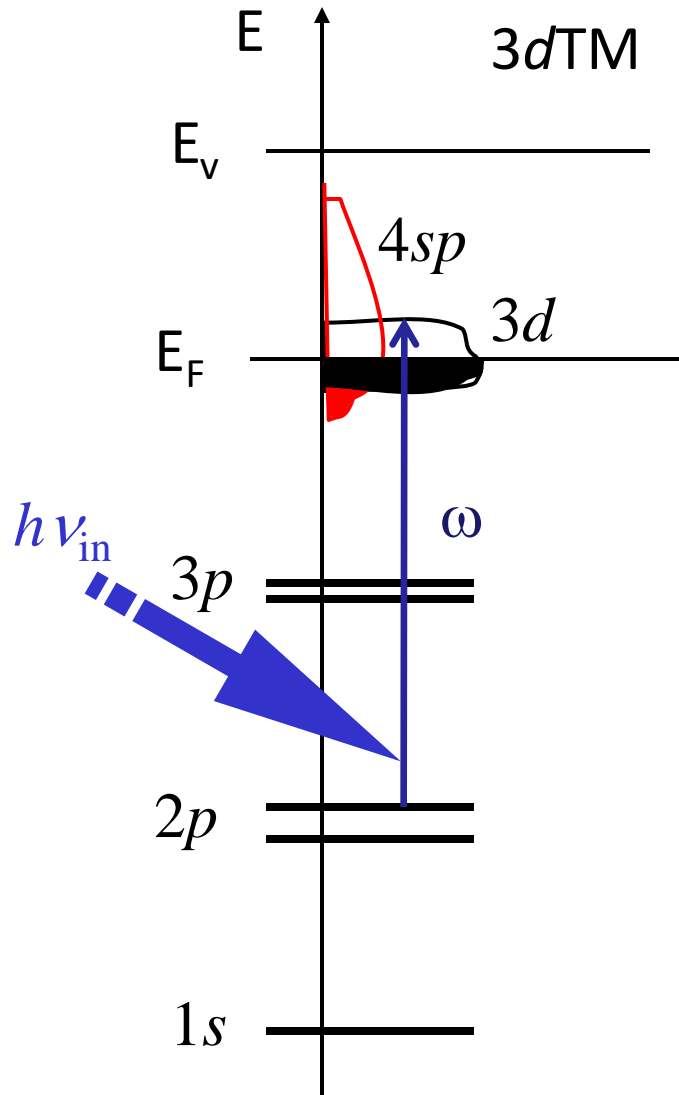
And often are dressed with a strong resonance

# Core level binding energies and edges

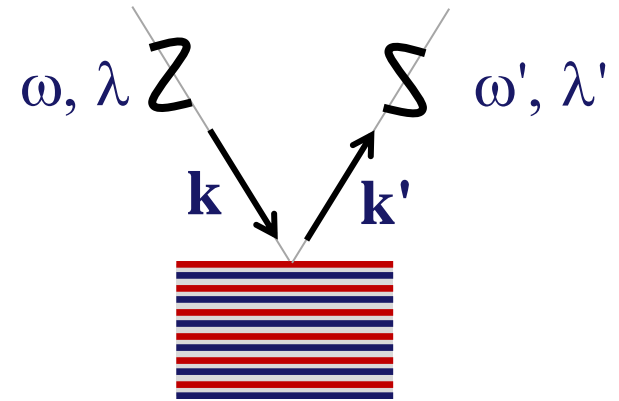
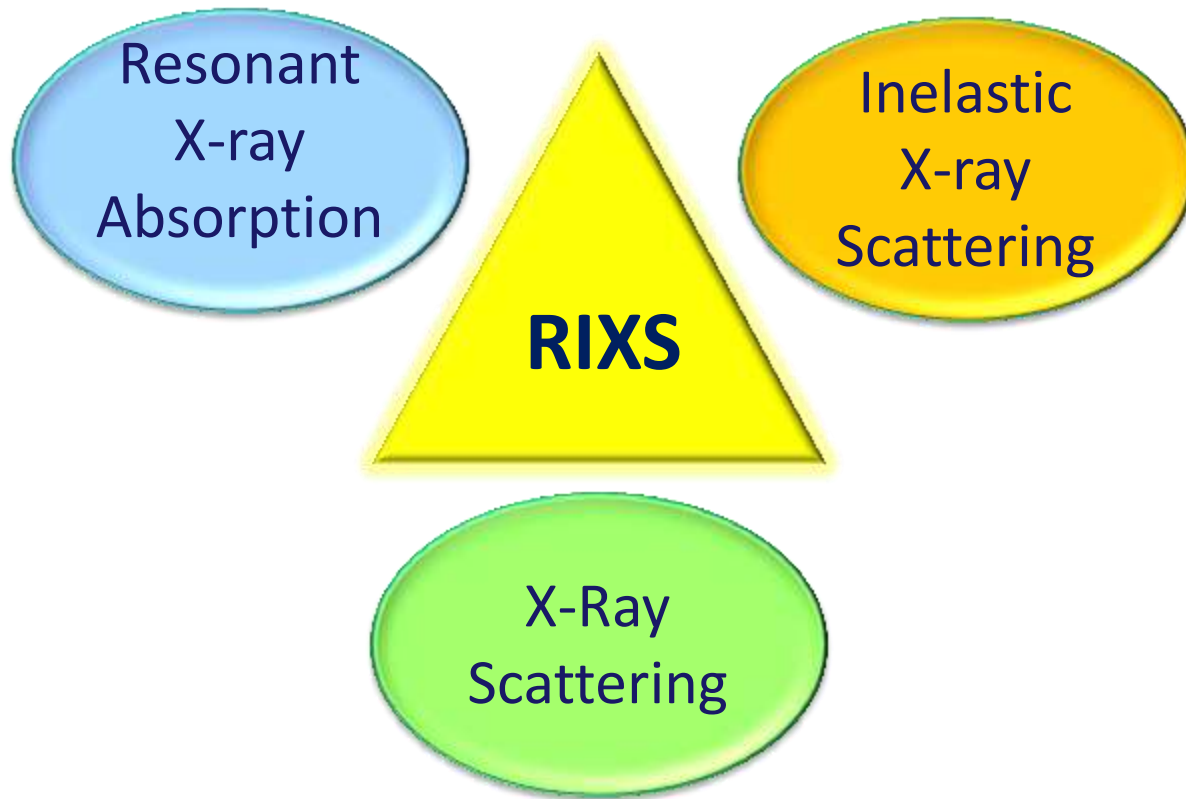




# XAS of 3d transition metals



# Resonant Inelastic X-ray Scattering

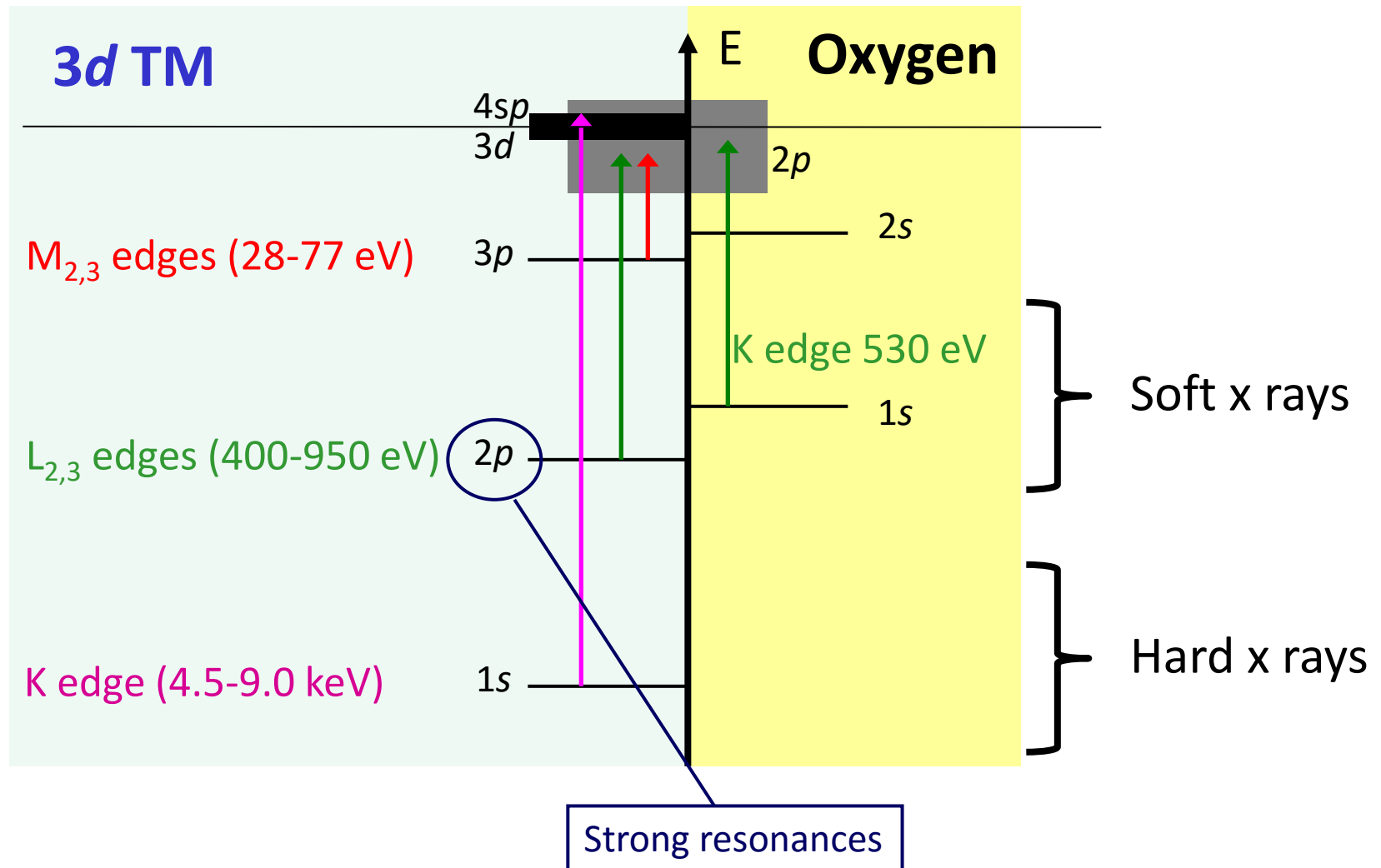


$$\Omega = \omega - \omega'$$

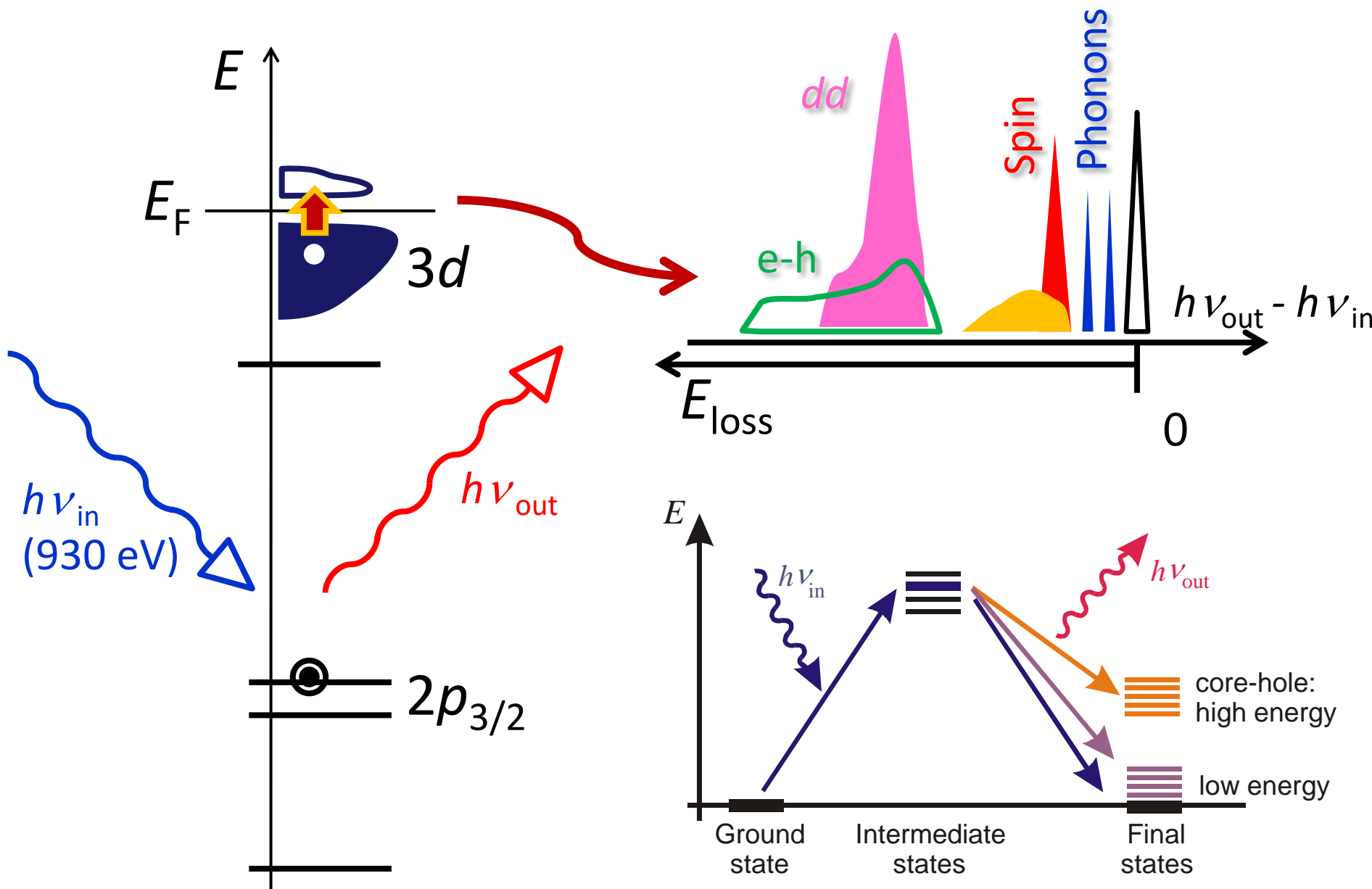
$$\mathbf{q} = \mathbf{k}' - \mathbf{k}$$

# The choice of the resonance: $2p \rightarrow 3d$ , $L_3$ edge

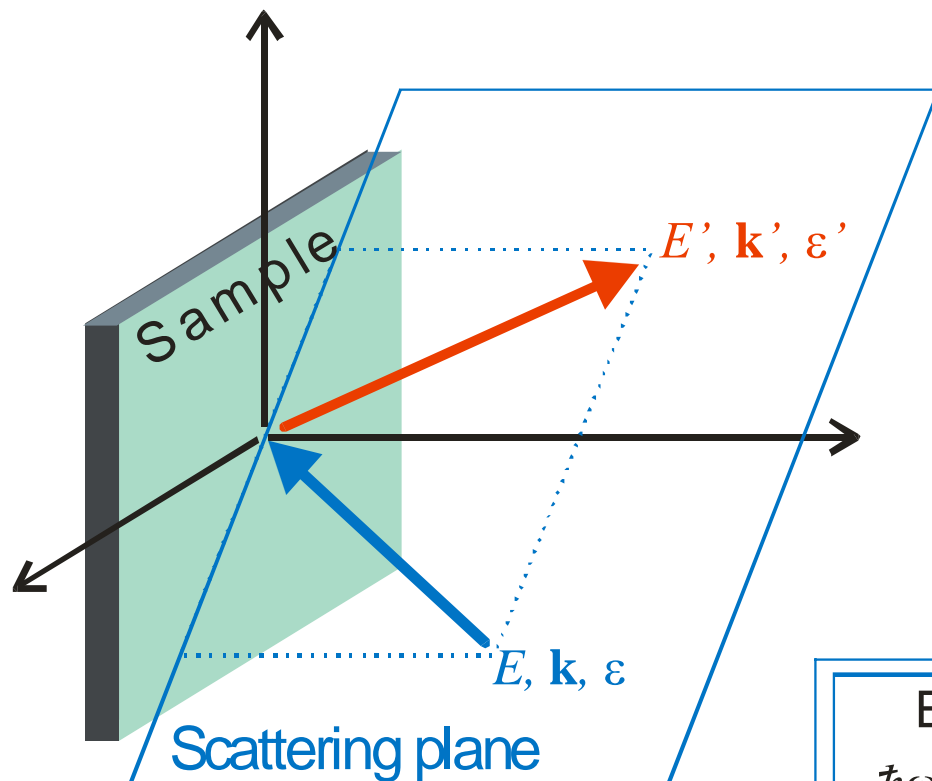
3d Transition Metal oxides: a lucky coincidence for soft x-rays



# L<sub>3</sub> RIXS



# L edge RIXS : energy and momentum transfer

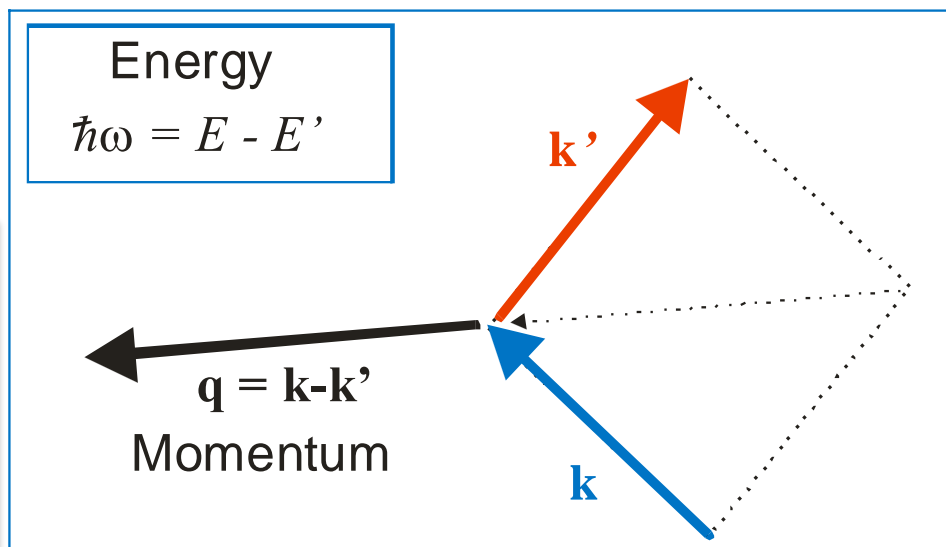


## Resonant Inelastic X-ray Scattering:

- an energy loss experiment
- made with photons of high energy
- at a core absorption resonance

## Conservation laws:

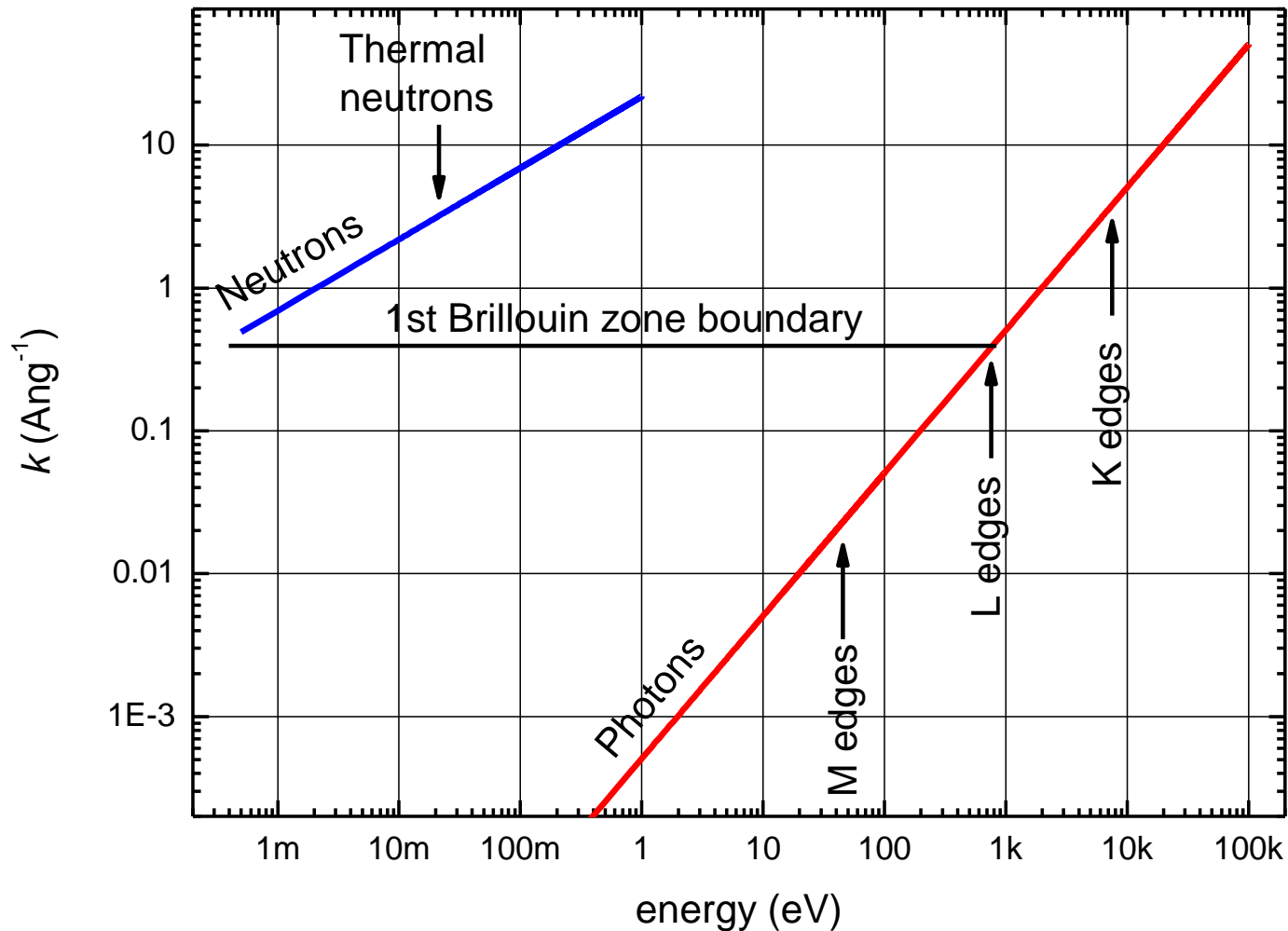
- Energy
- Momentum
- "Angular momentum"



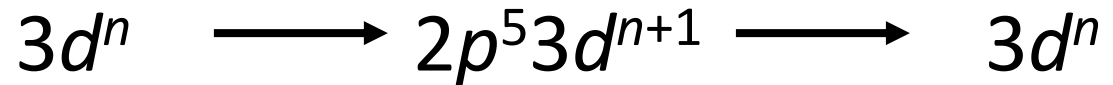
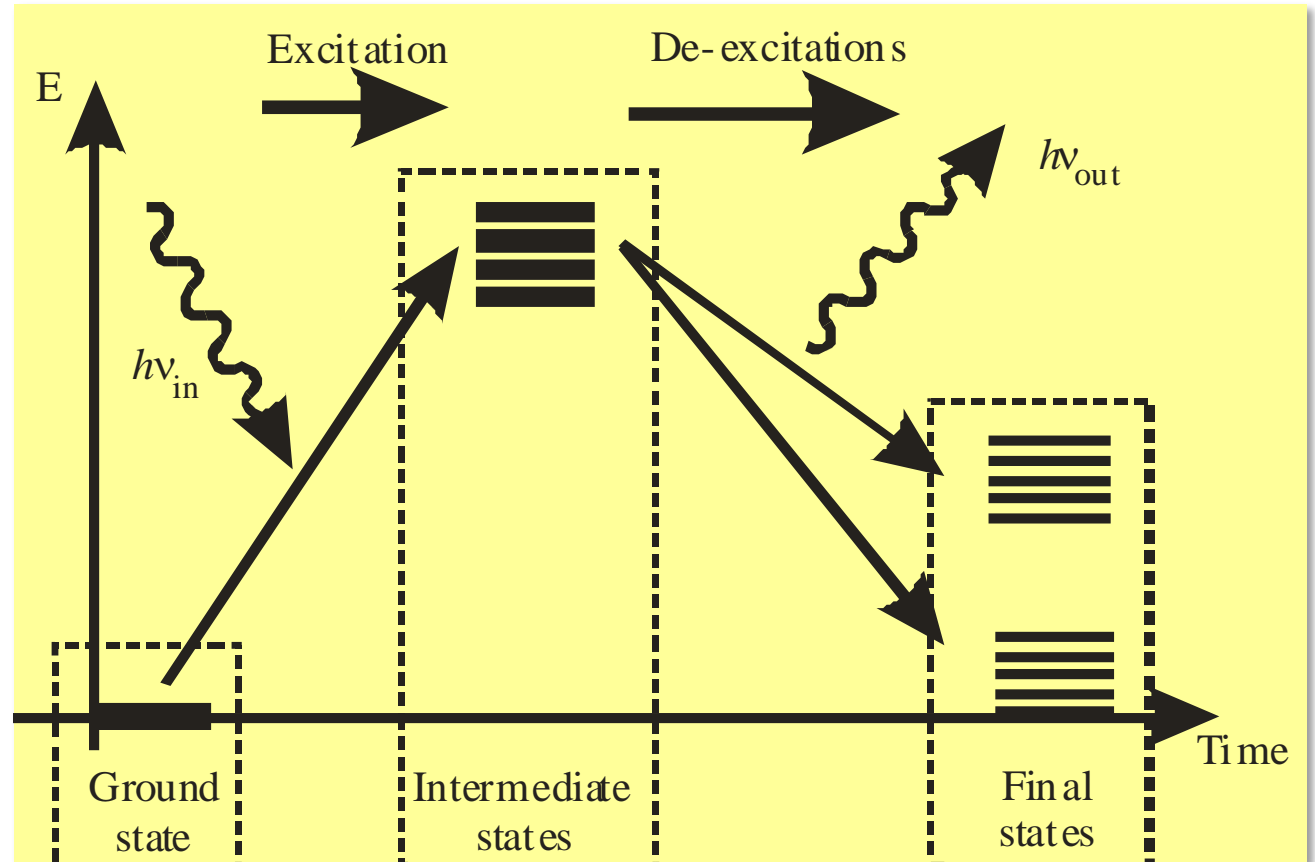
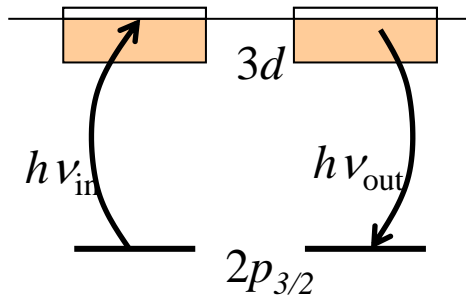
# Photon momentum and kinematics

## Photons vs Neutrons: energy and momentum

Wavevector of particles used in inelastic scattering



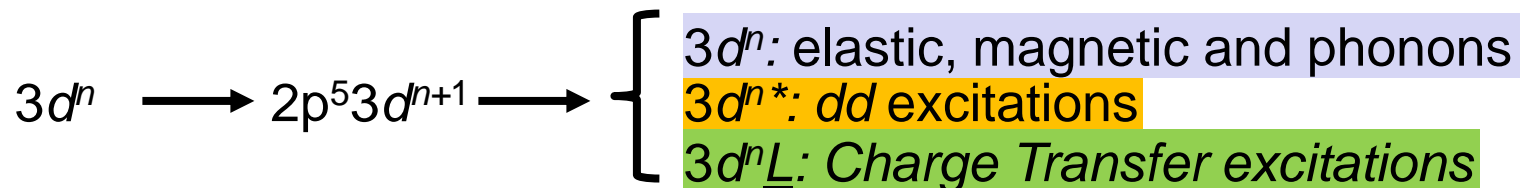
# $L_{2,3}$ edge RIXS: intermediate and final states



# The potential of soft RIXS (for 3dTM systems)

Site selective,  
 $q$  resolved probe of  
elementary excitations

- charge excitations across the gap
- $dd$  excitations
- magnetic excitations
- phonons

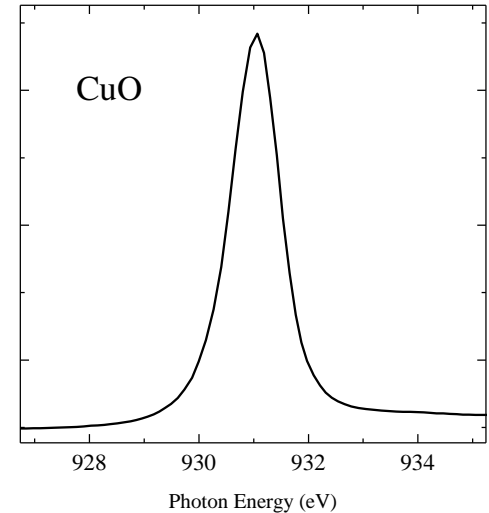




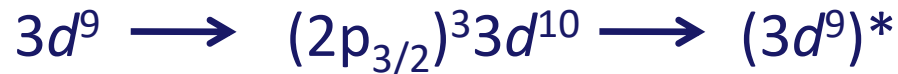
# Cuprates: the “easy” case

In cuprates Cu is divalent:  $\text{Cu}^{2+} \leftrightarrow 3d^9$

This makes XAS almost trivial: 1 peak only

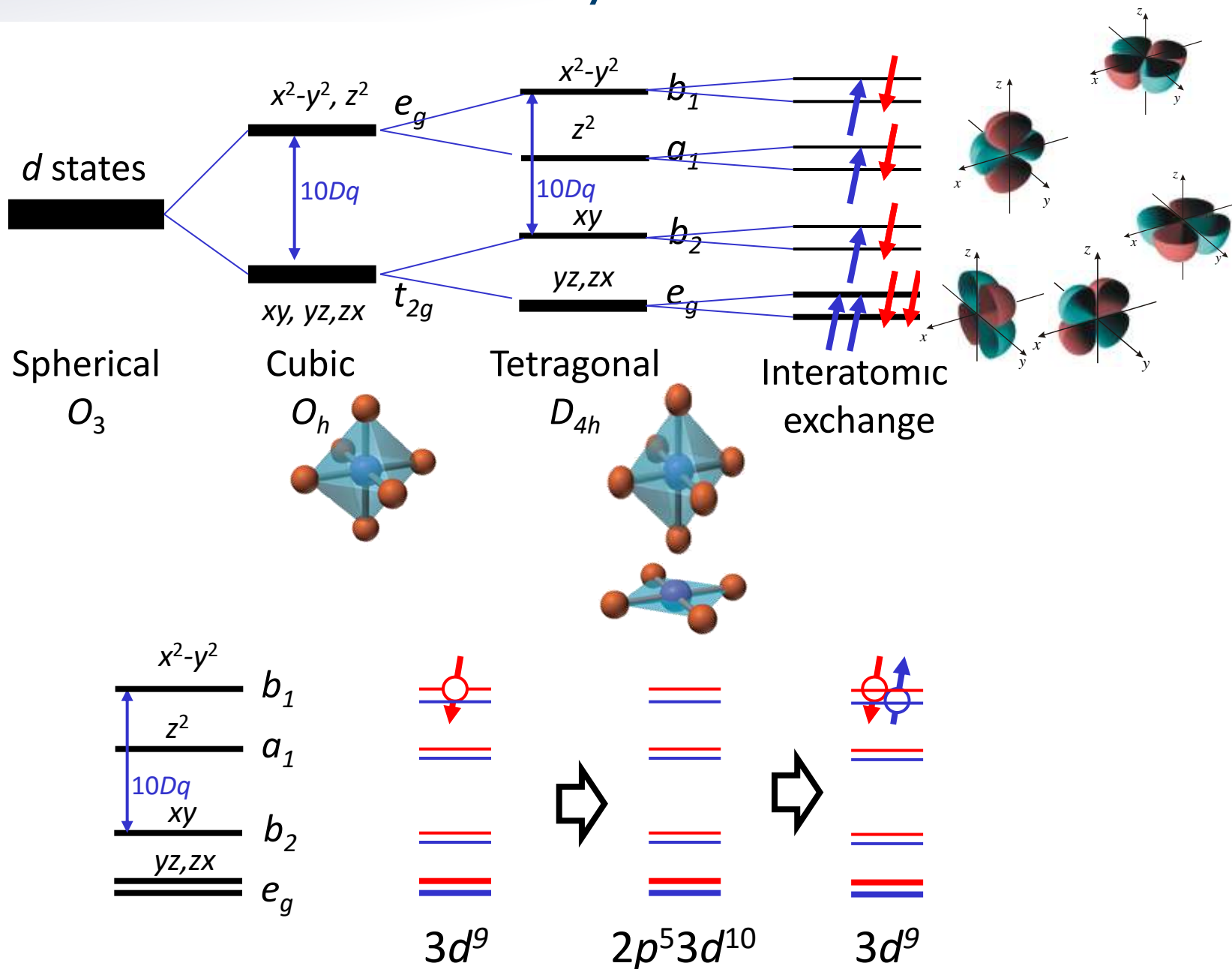


RIXS can be calculated even by hand:

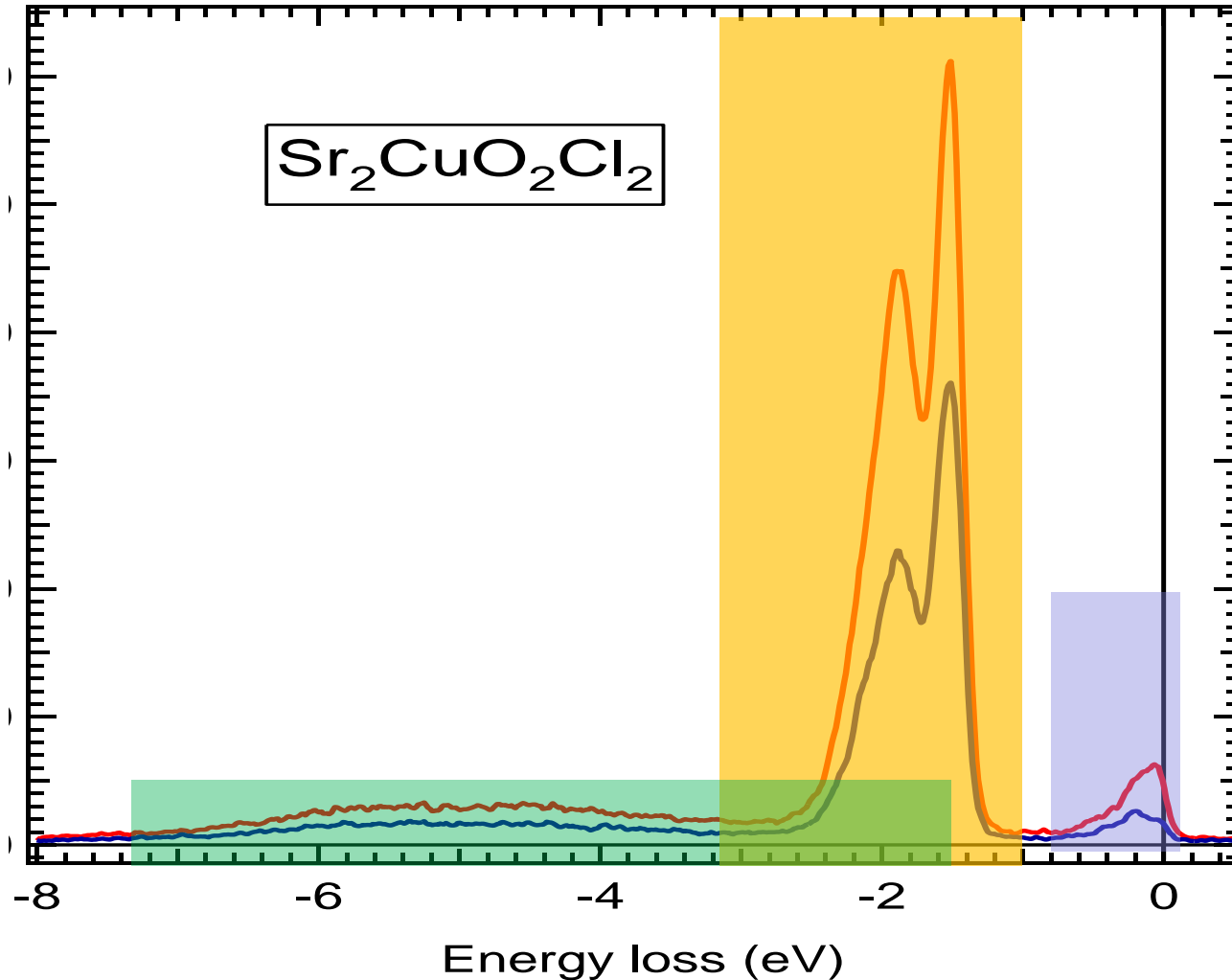
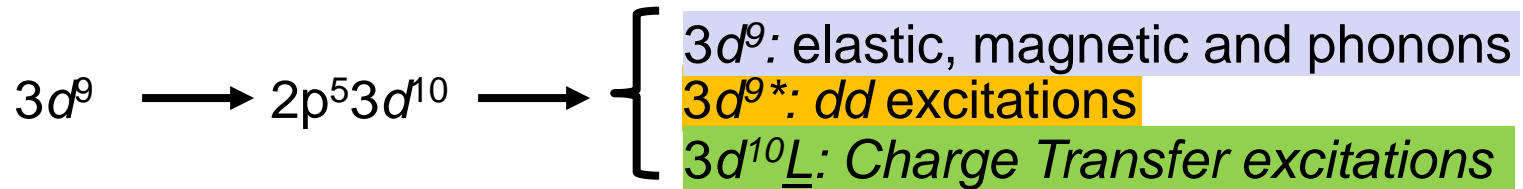


Even for magnetic excitations (spin waves),  
because fast collision approximation is a very  
good approximation

# *dd* excitations in $\text{Cu}^{2+}$ systems



# Cu L<sub>3</sub> RIXS of cuprates: mainly *dd* excitations

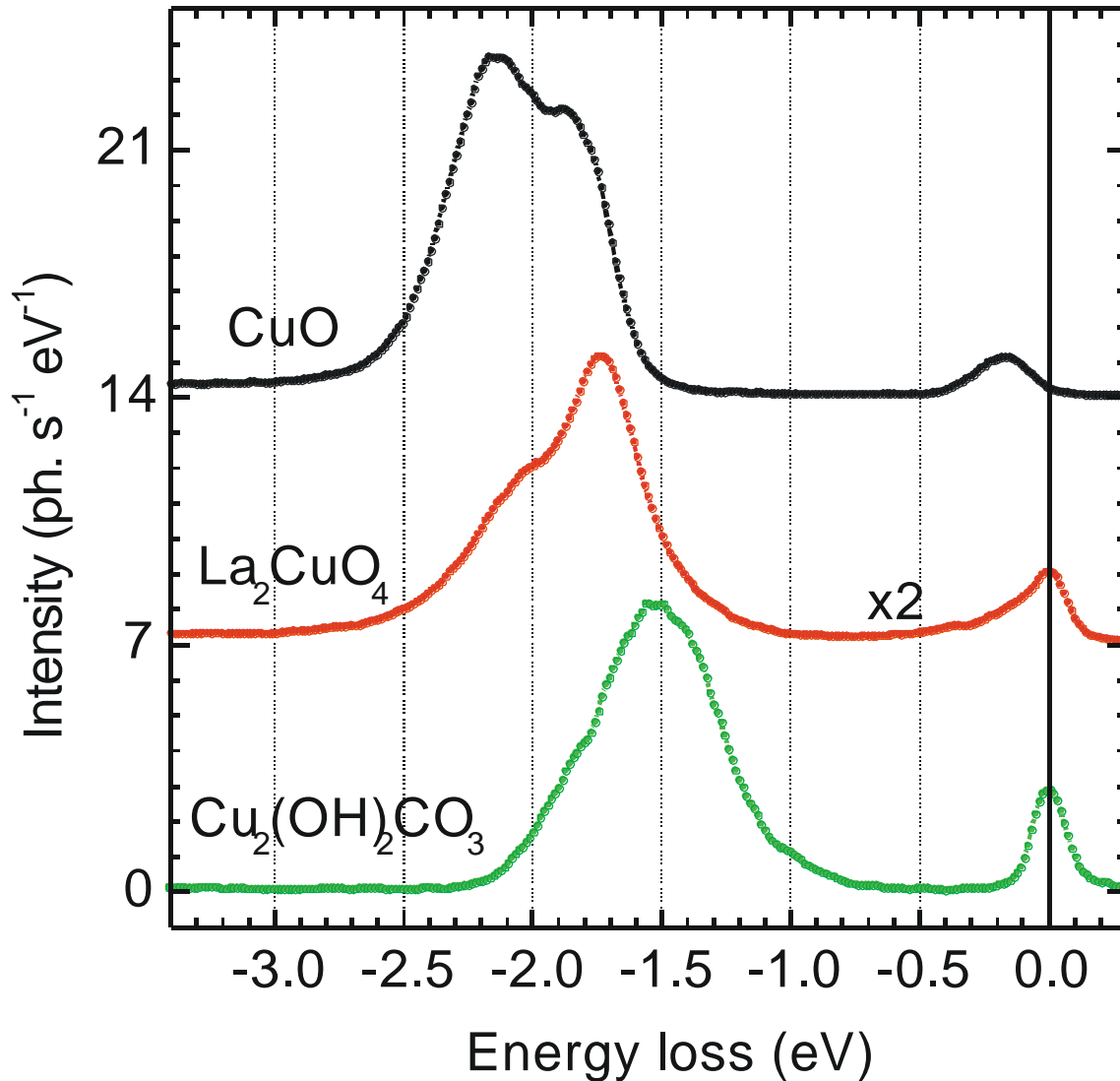


All final states are reached via 2 electric dipole allowed transitions!

Photons get coupled to electrons spin thanks to  $2p$  spin-orbit interaction

At L<sub>3</sub> edge elastic peak is very small (not the case at K)

# Cu L<sub>3</sub> edge: CuO, La<sub>2</sub>CuO<sub>4</sub>, Malachite



Cu<sup>2+</sup> in square  
approximately  
planar coordination

Cu-O distances:  
CuO 1.7 – 2.2 Ang  
LCO 1.9 – 2.4 Ang  
Malachite 1.9 – 2.6 Ang

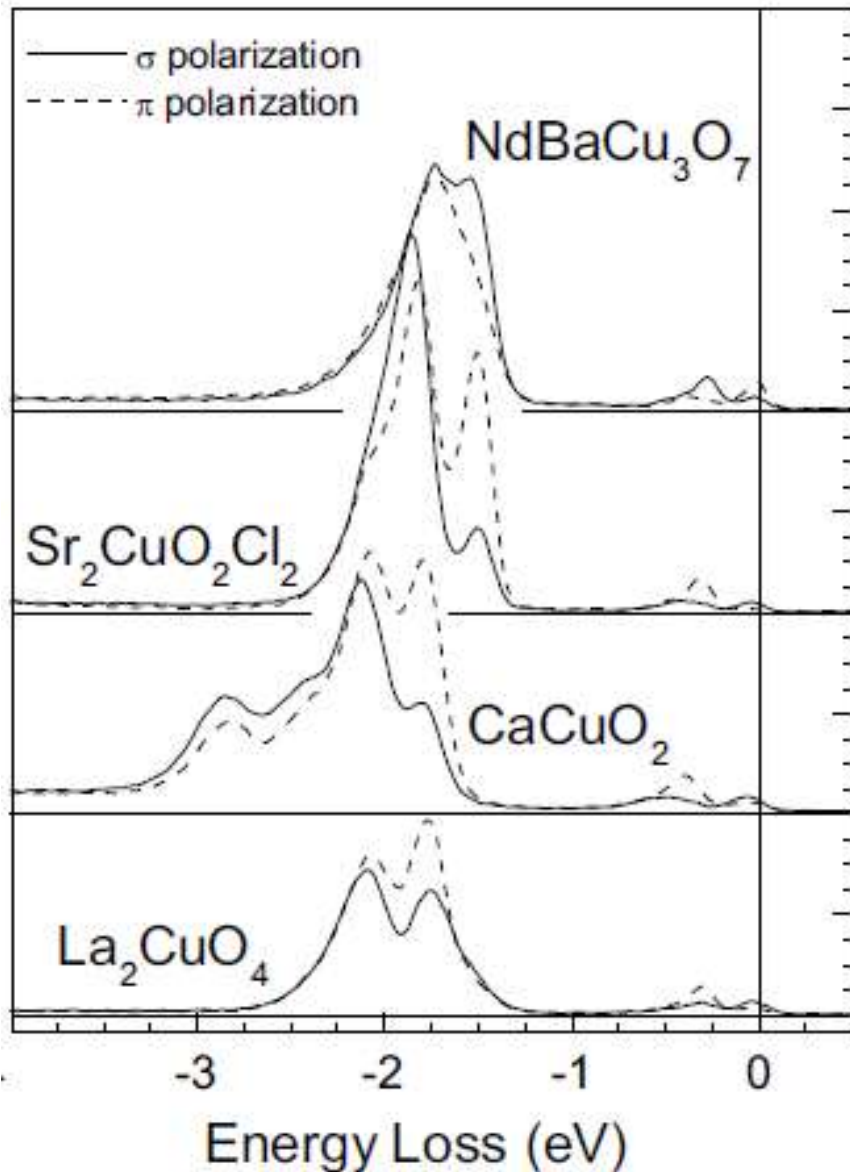
Different Cu<sup>2+</sup>  
coordination,  
symmetry,  
hybridization



Different *dd* excitations

G. Ghiringhelli, A. Piazzalunga, X. Wang, A. Bendounan, H. Berger, F. Bottegoni, N. Christensen, C. Dallera, M. Grioni, J.-C. Grivel, M. Moretti Sala, L. Patthey, J. Schlappa, T. Schmitt, V. Strocov, and L. Braicovich, Eur.Phys. J. Special topics **169**, 199 (2009)

This is a very direct way of measuring the *dd*-excitation energies

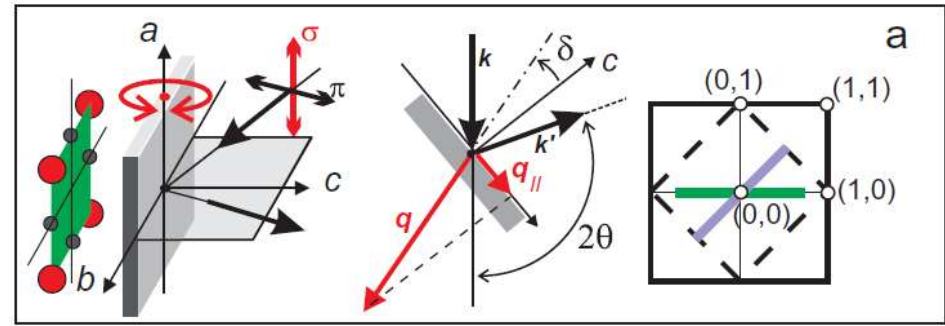


## New Journal of Physics

The open-access journal for physics

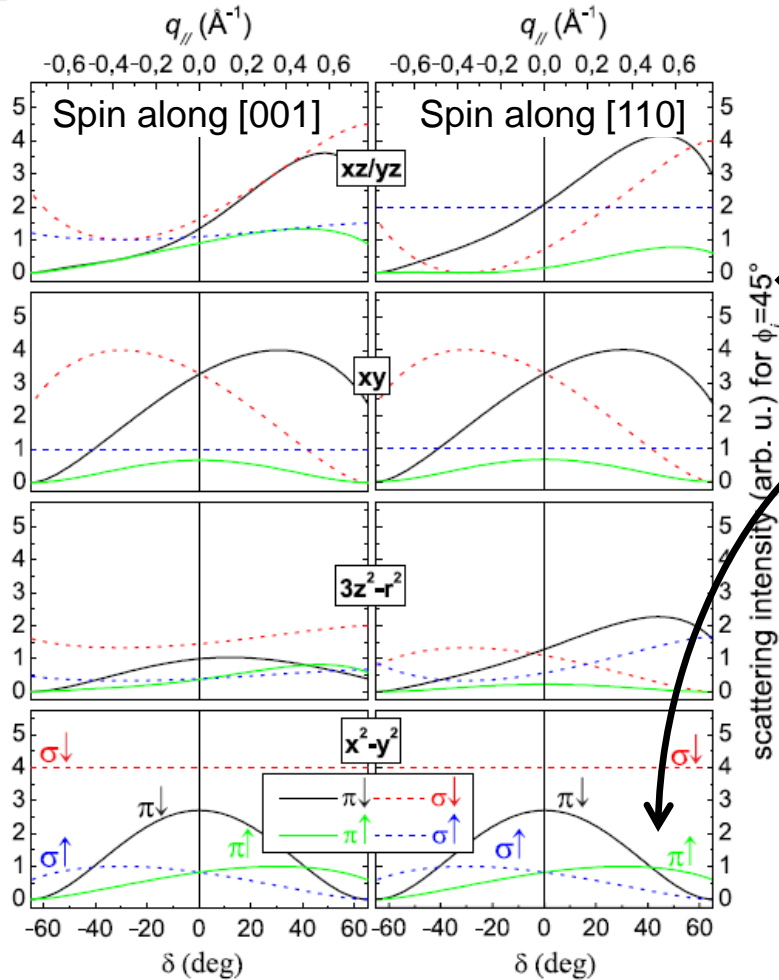
Energy and symmetry of *dd* excitations in undoped layered cuprates measured by Cu *L*<sub>3</sub> resonant inelastic x-ray scattering

M Moretti Sala<sup>1,8,9</sup>, V Bisogni<sup>2,10</sup>, C Aruta<sup>3</sup>, G Balestrino<sup>4</sup>,  
 H Berger<sup>5</sup>, N B Brookes<sup>2</sup>, G M de Luca<sup>3</sup>, D Di Castro<sup>4</sup>, M Griioni<sup>5</sup>,  
 M Guarise<sup>5</sup>, P G Medaglia<sup>4</sup>, F Miletto Granozio<sup>3</sup>, M Minola<sup>1</sup>,  
 P Perna<sup>3</sup>, M Radovic<sup>3,11</sup>, M Salluzzo<sup>3</sup>, T Schmitt<sup>6</sup>, K J Zhou<sup>6</sup>,  
 L Braicovich<sup>7</sup> and G Ghiringhelli<sup>7</sup>



M. Moretti Sala, et al New J. Phys. **13**, 043026 (2011)

# dd-excitation energies from fitting using atomic cross sections



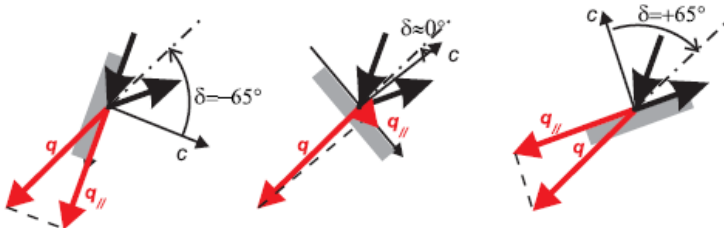
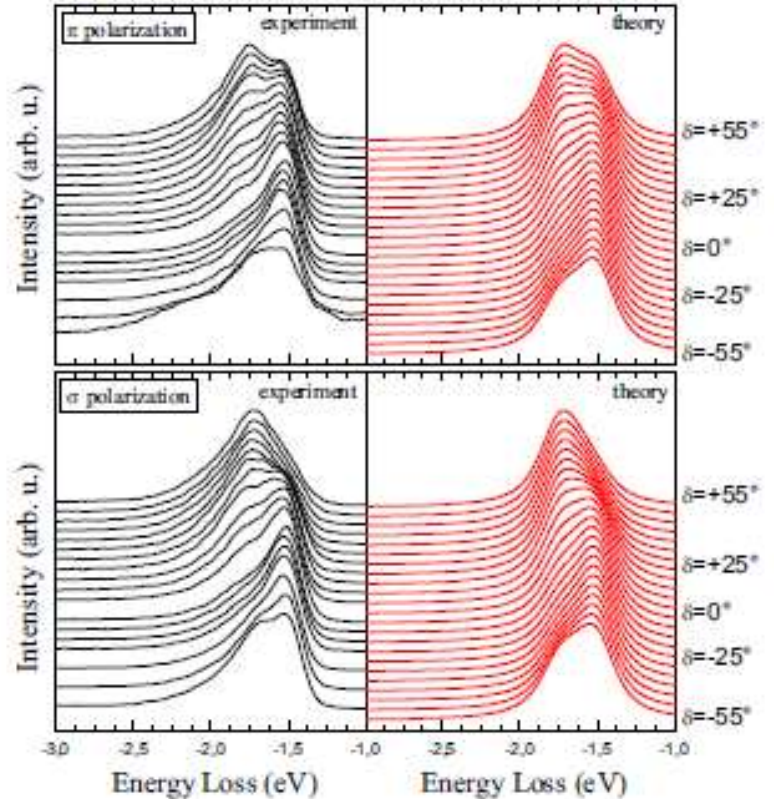
Ground state:  $\underline{3d^\downarrow}_{x^2-y^2}$

Spin flip:  $\underline{3d^\uparrow}_{x^2-y^2}$

scattering intensity (arb. u.) for  $\phi_i=45^\circ$

$$F(\theta_{in}, \phi_{in}, \theta_{out}, \phi_{out}, \theta_{spin}, \phi_{spin}, \varepsilon_{in}, \varepsilon_{out})$$

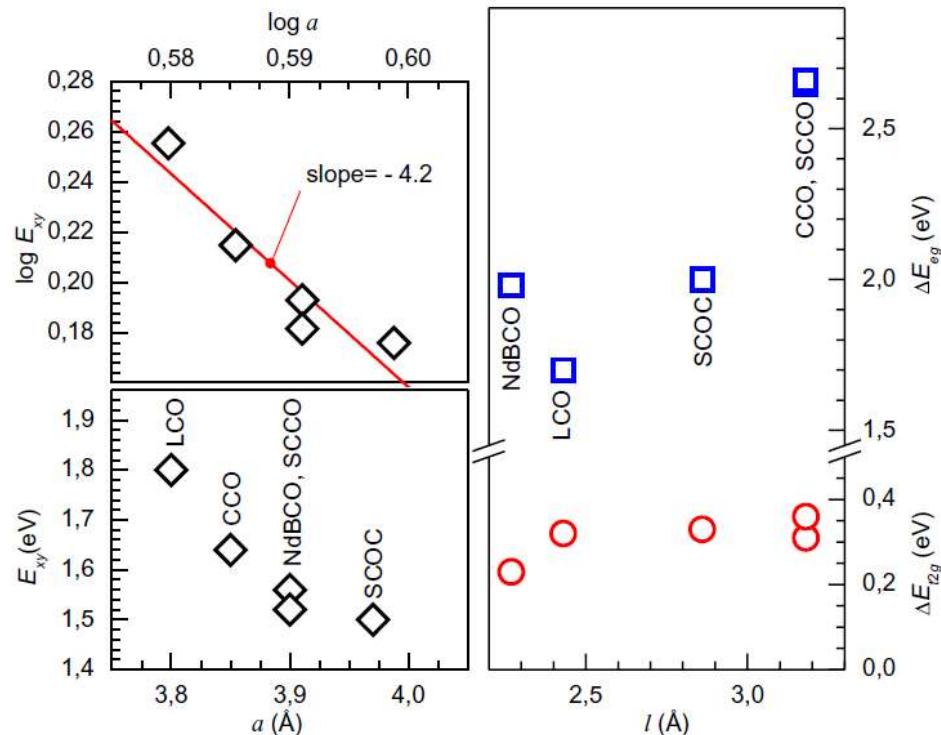
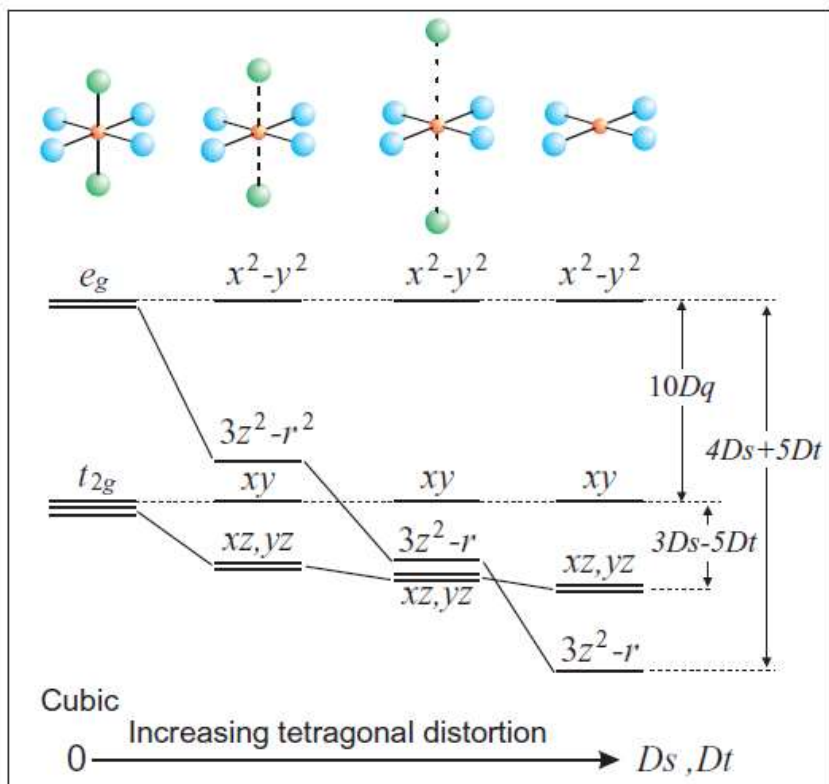
NdBCO



$2\theta=130^\circ$

M. Moretti Sala, et al New J. Phys. **13**, 043026 (2011)

# Crystal field trends in cuprates



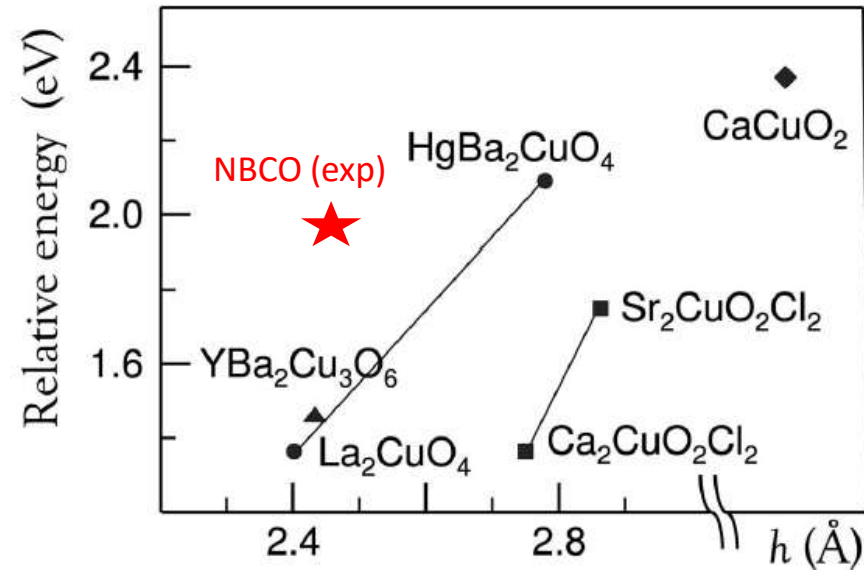
	$\text{La}_2\text{CuO}_4$	$\text{Sr}_2\text{CuO}_2\text{Cl}_2$	$\text{CaCuO}_2$
$J$ [meV]	$130^{34,35}$	$130^{35}$	$130^{35}$
$E_{3z^2-r^2}$ ( $\Gamma_{3z^2-r^2}$ ) [eV]	1.70 (.14)	1.97 (.10)	2.72 (.12)
$E_{xy}$ ( $\Gamma_{xy}$ ) [eV]	1.80 (.10)	1.50 (.08)	1.75 (.09)
$E_{xz/yz}$ ( $\Gamma_{xz/yz}$ ) [eV]	2.12 (.14)	1.84 (.10)	2.10 (.18)

# Crystal field trends in cuprates: theory vs experiment

## CASSCF: complete-active-space self-consistent-field method

Table 1 | CASSCF+SDCI versus RIXS results for the Cu  $d$  level splittings in  $\text{La}_2\text{CuO}_4$ ,  $\text{Sr}_2\text{CuO}_2\text{Cl}_2$ , and  $\text{CaCuO}_2$  (eV). The ground-state Cu  $t_{2g}^6 d_{z^2}^2 d_{x^2-y^2}^1$  configuration is taken as reference. A  $2J$  term was here subtracted from each of the RIXS values reported in Ref. [14], see text.

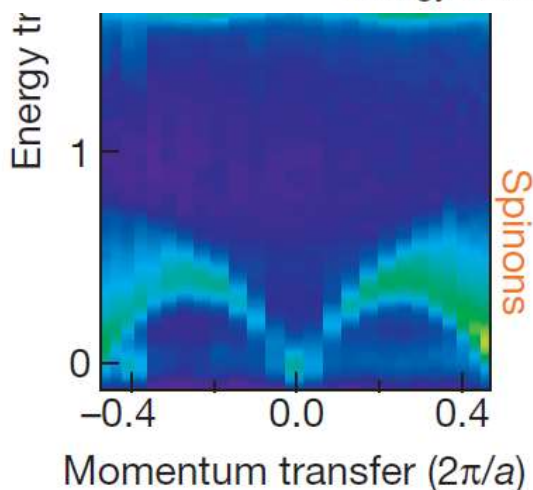
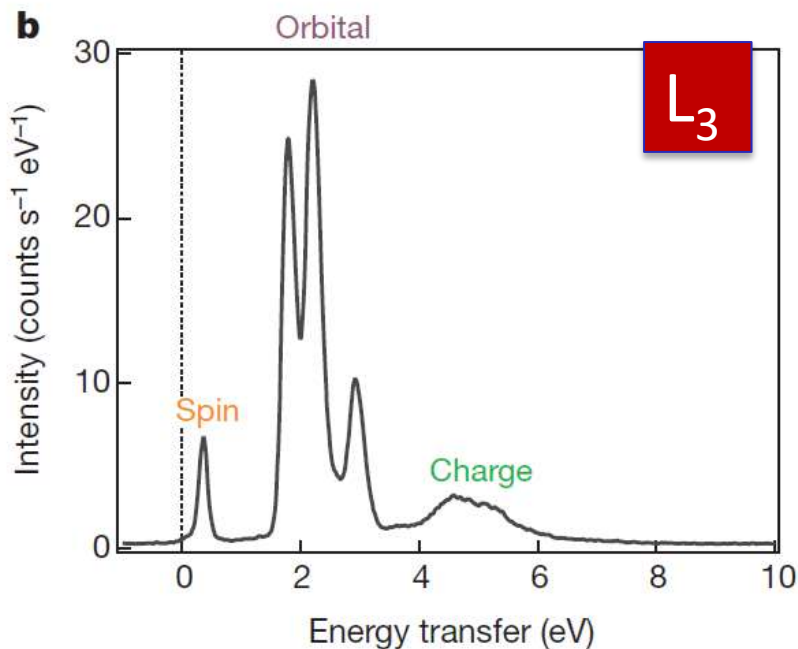
Hole orbital	$\text{La}_2\text{CuO}_4$ SDCI/RIXS	$\text{Sr}_2\text{CuO}_2\text{Cl}_2$ SDCI/RIXS	$\text{CaCuO}_2$ SDCI/RIXS
$x^2-y^2$	0	0	0
$z^2$	1.37/1.44	1.75/1.71	2.38/2.39
$xy$	1.43/1.54	1.16/1.24	1.36/1.38
$xz, yz$	1.78/1.86	1.69/1.58	2.02/1.69



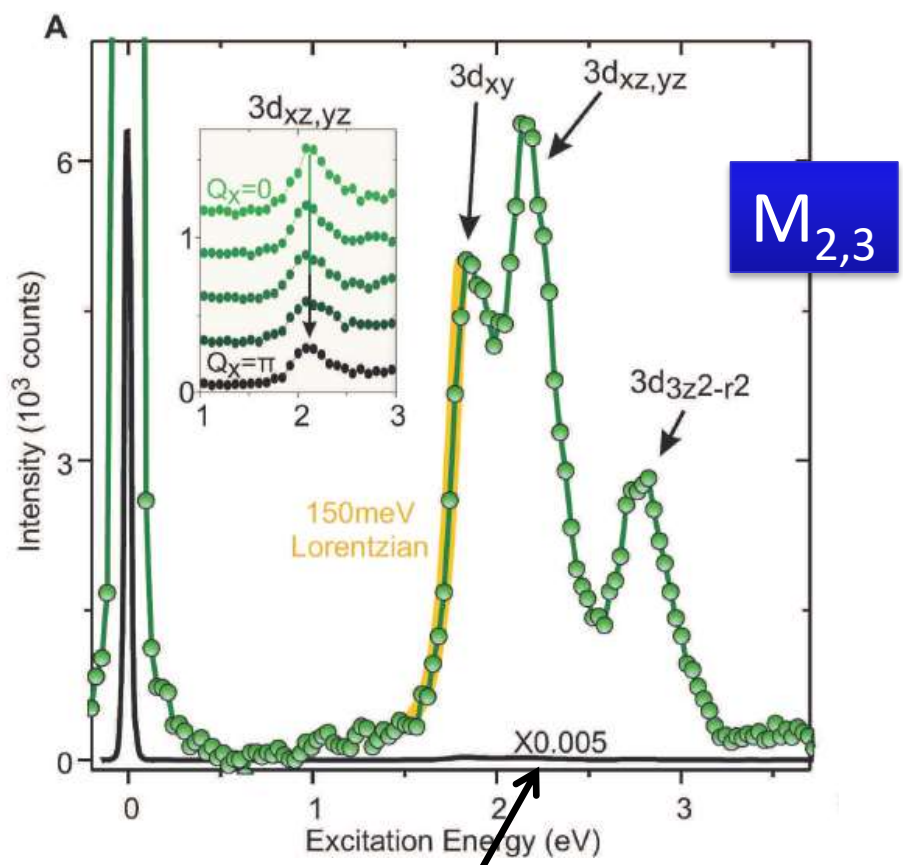
Liviu Hozoi, Liudmila Siurakshina, Peter Fulde & Jeroen van den Brink,  
SCIENTIFIC REPORTS 1 : 65 (2011)



# dd excitations: Cu L<sub>3</sub> vs M<sub>2,3</sub> edges

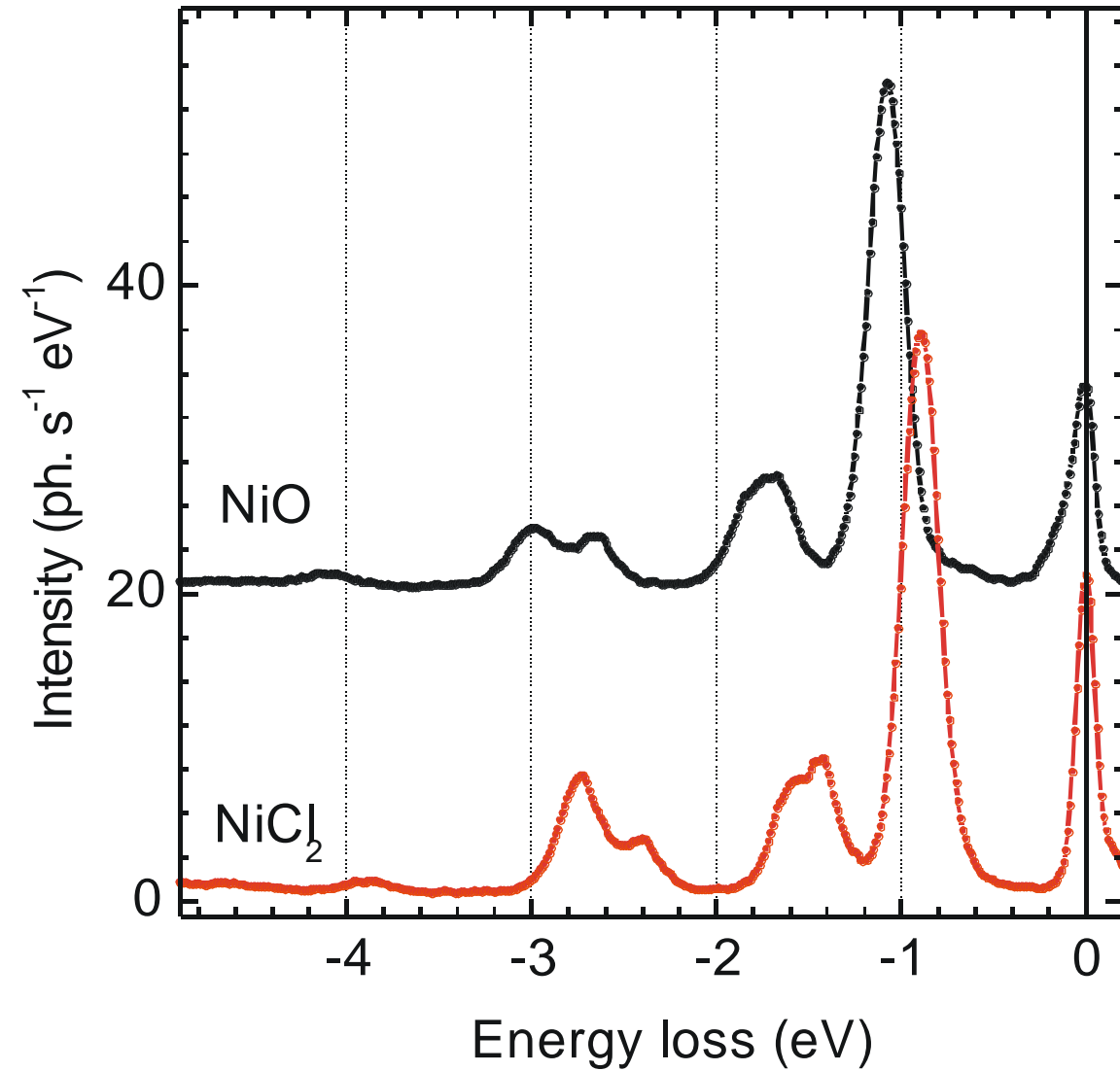


No spin excitations at Gamma point

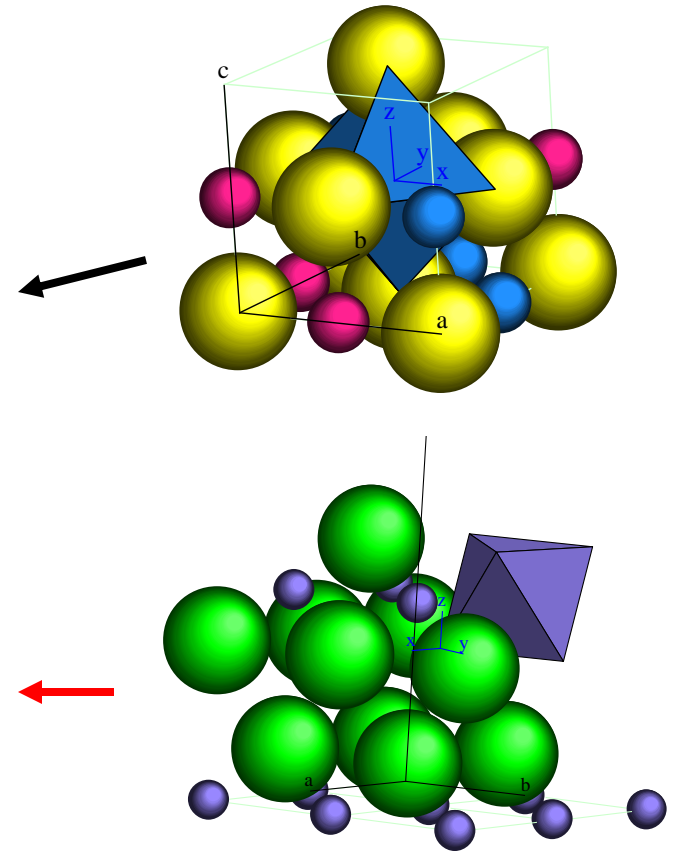


Very weak signal with respect to the elastic peak

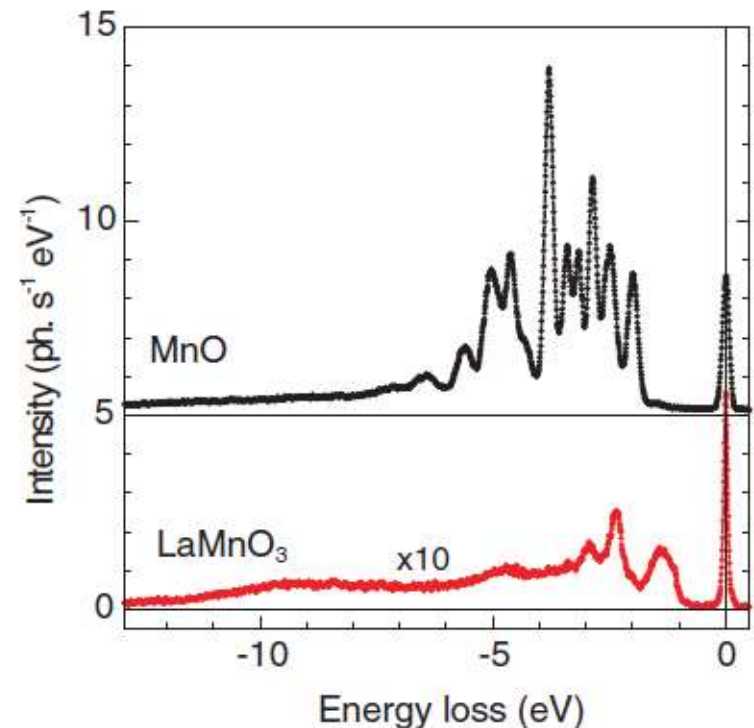
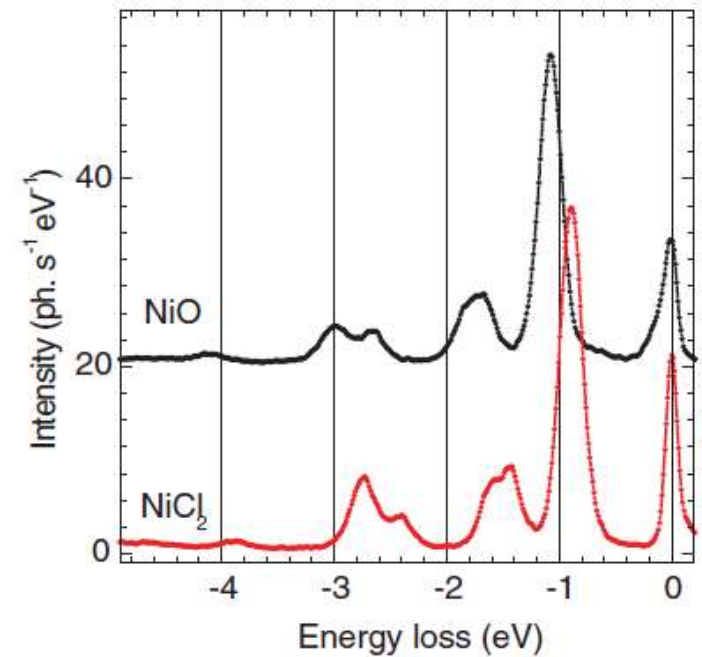
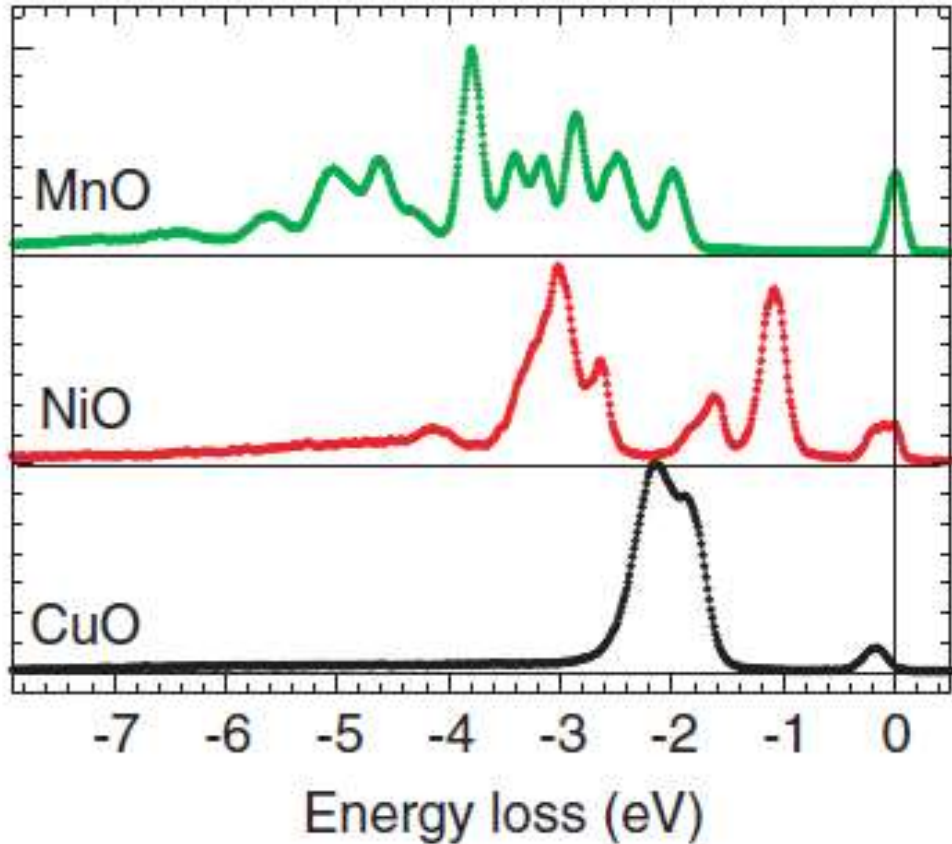
# Ni L<sub>3</sub> edge: NiO, NiCl<sub>2</sub>



Ni<sup>2+</sup> (3d<sup>8</sup>) in octahedral coordination

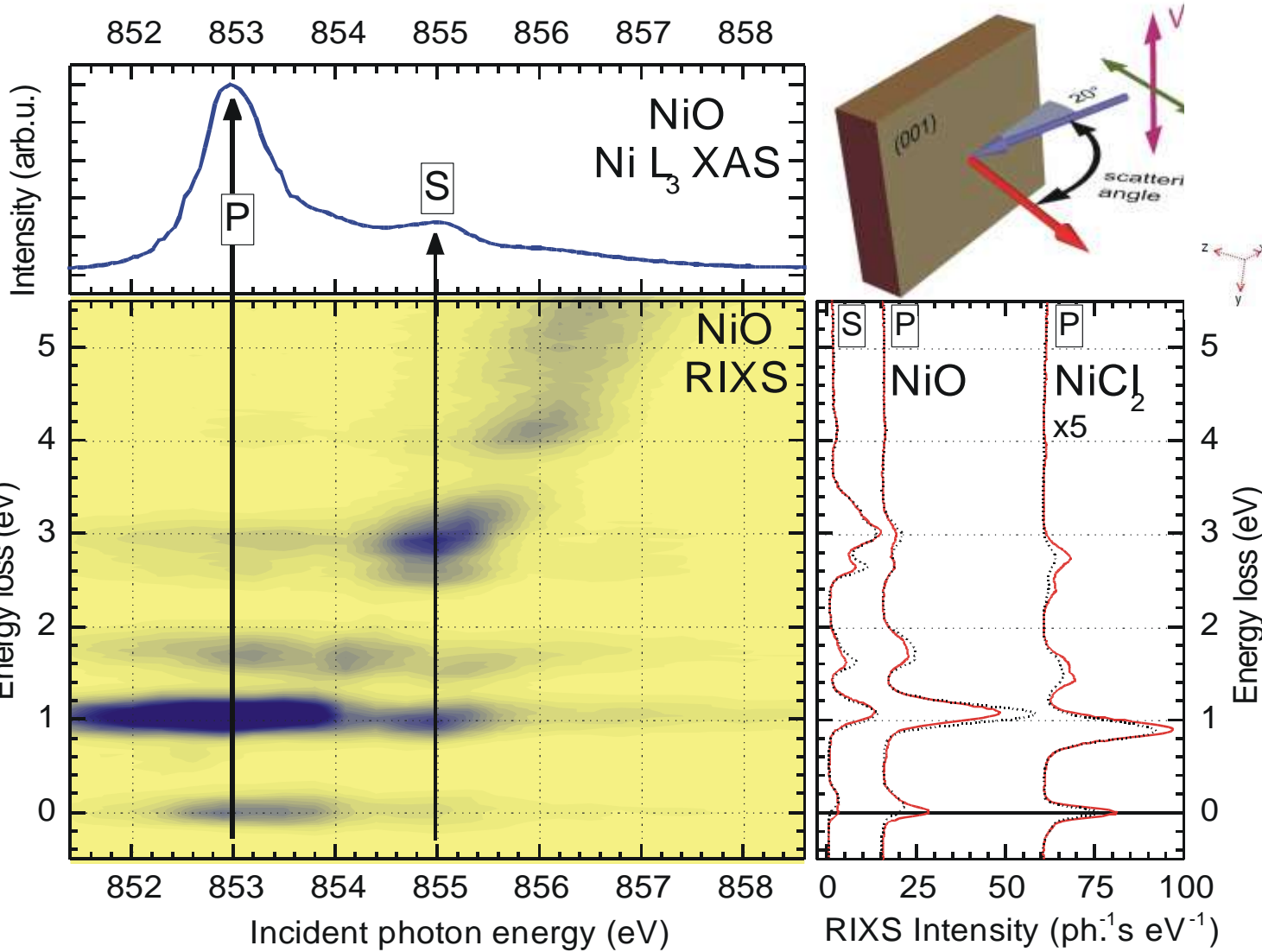


# *dd* and CT excitations in simple oxides



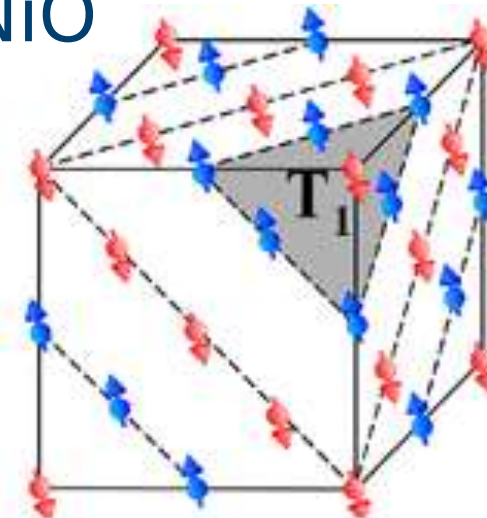
G. Ghiringhelli, A. Piazzalunga, X. Wang, A. Bendounan, H. Berger, F. Bottegoni, N. Christensen, C. Dallera, M. Grioni, J.-C. Grivel, M. Moretti Sala, L. Patthey, J. Schlappa, T. Schmitt, V. Strocov, and L. Braicovich, Eur.Phys. J. Special topics **169**, 199 (2009)

# RIXS of NiO: incident photon energy dependence ...

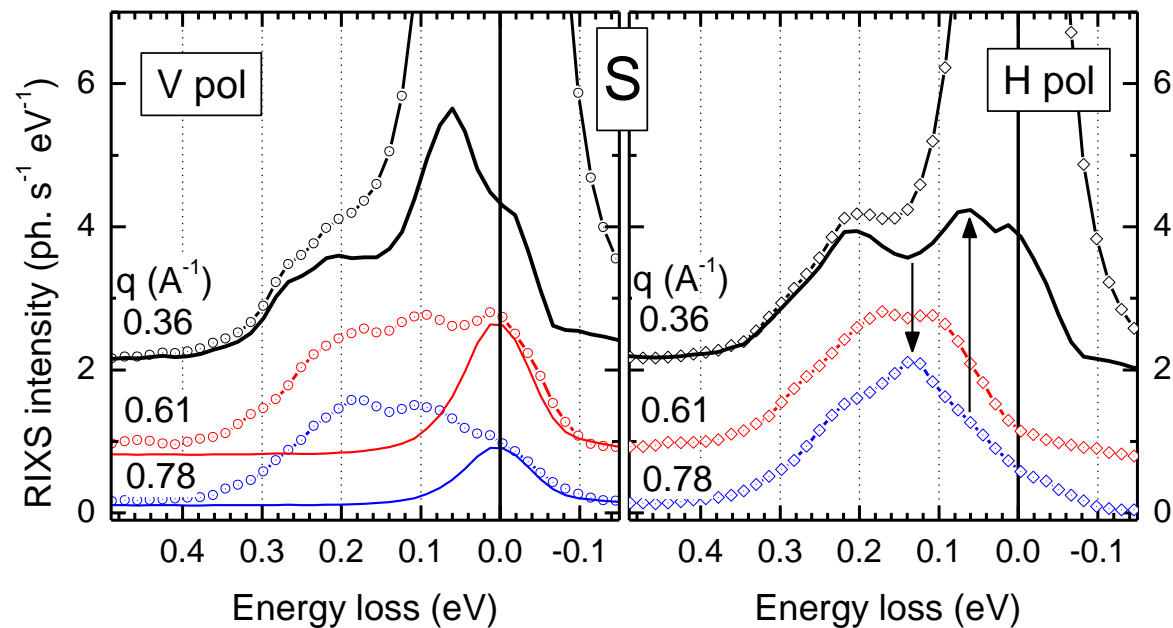
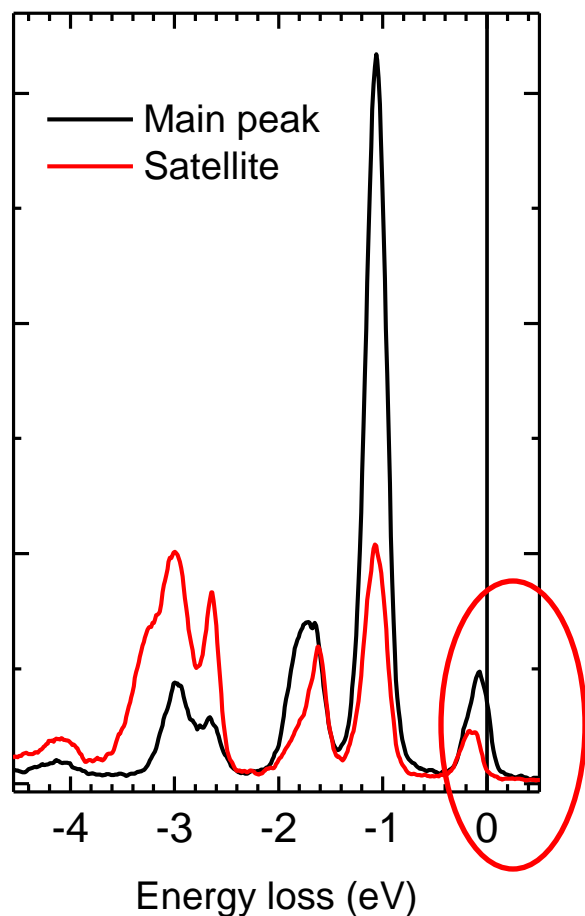


G. Ghiringhelli, A. Piazzalunga, C. Dallera, L. Braicovich, T. Schmitt, V.N. Strocov, J. Schlappa, L. Patthey, X. Wang, H. Berger, and M. Grioni, PRL **102**, 027401 (2009)

# ... and magnetic excitations in NiO



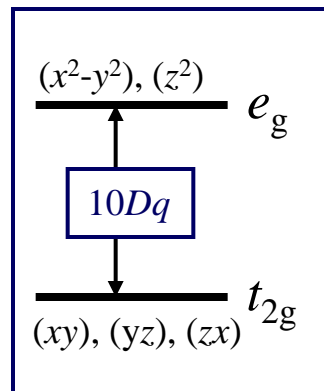
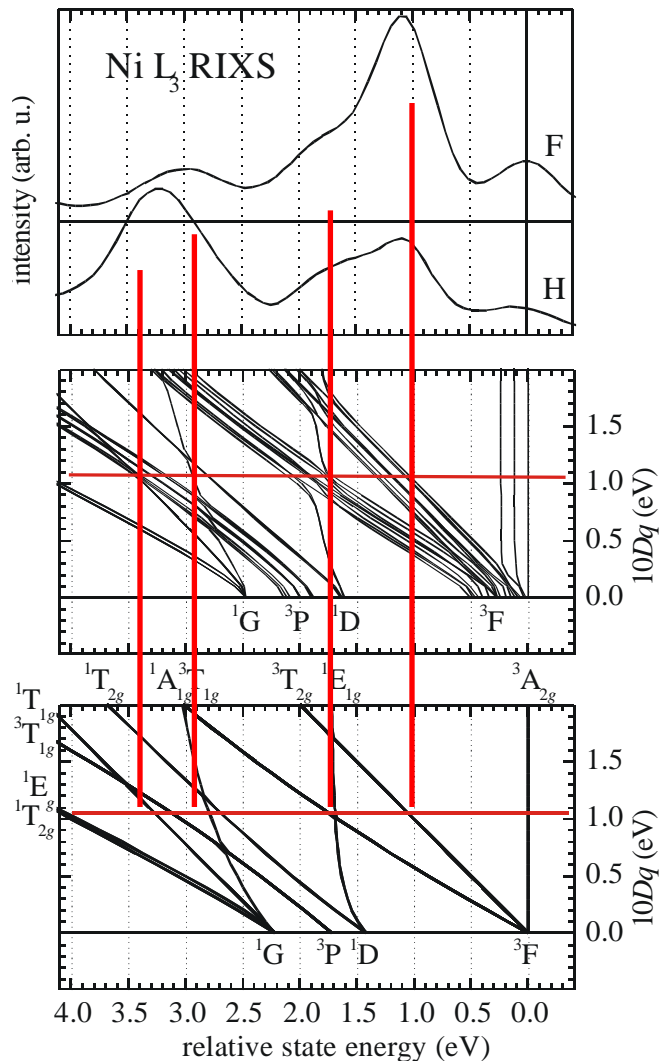
Interatomic exchange splitting :  $\sim 115$  meV



No evident dispersion of these magnetic excitations

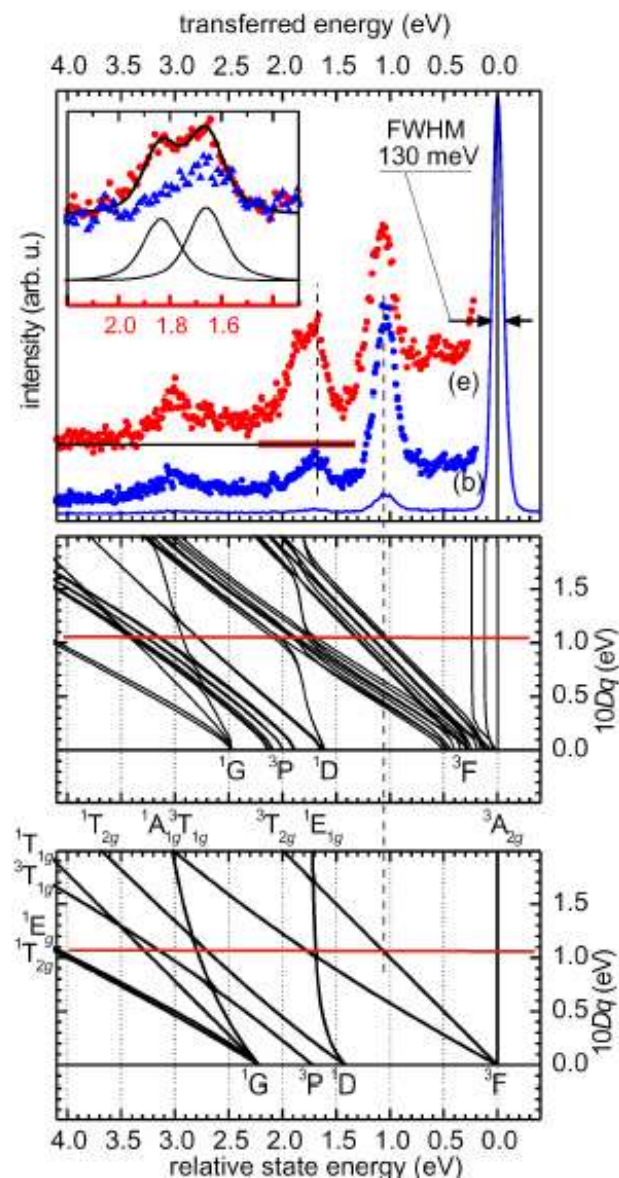
# Many excited states

## Crystal field model: Sugano-Tanabe diagrams

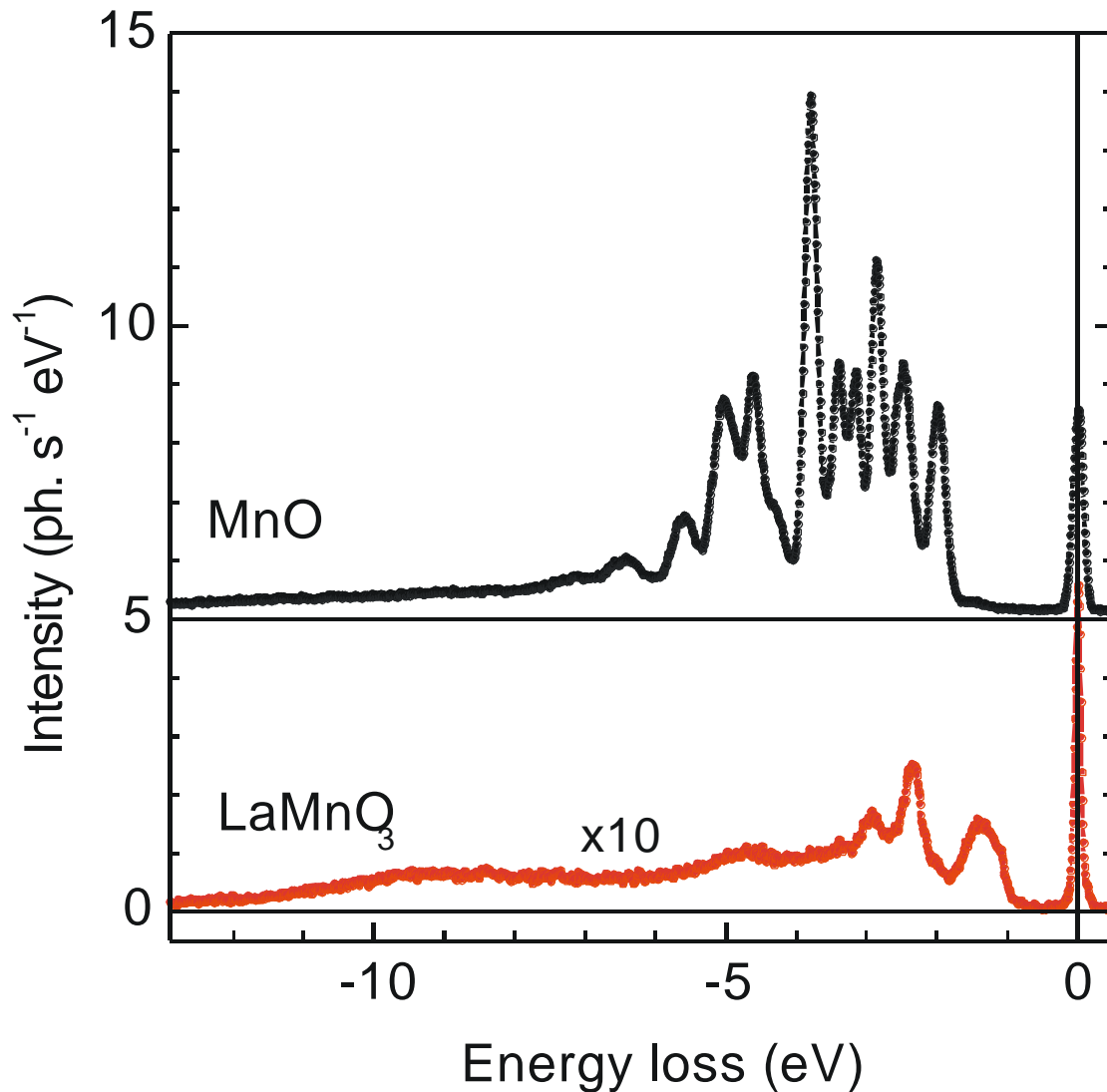


Single ion  
Octahedral C.F.  
3d spin-orbit  
Exchange

Single ion  
Octahedral C.F.



# Mn L<sub>3</sub> edge: MnO, LaMnO<sub>3</sub>



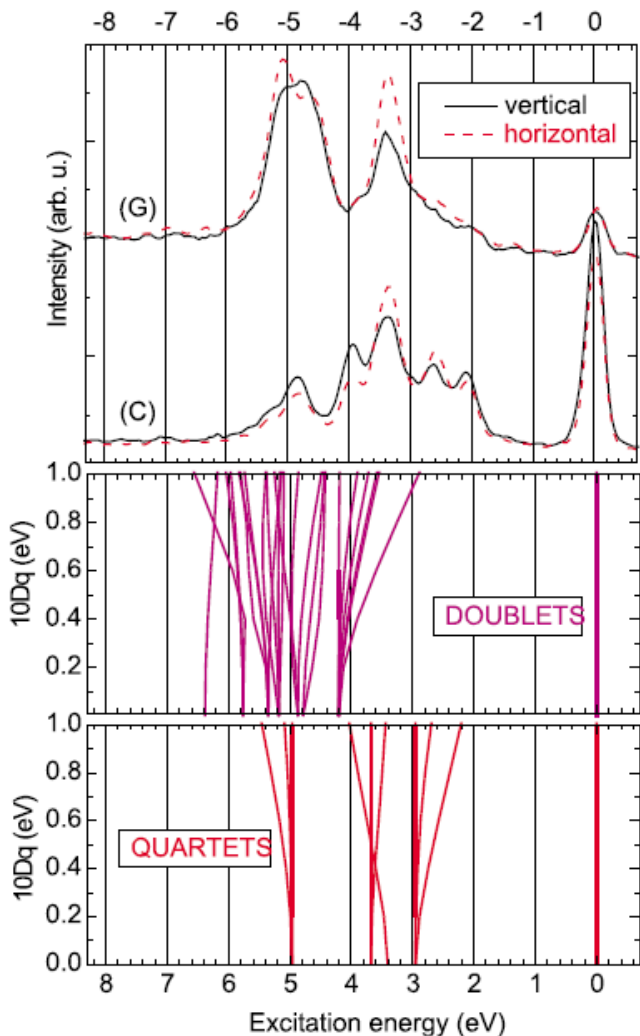
Mn<sup>2+</sup> and Mn<sup>3+</sup>  
in octahedral  
coordination

Mn<sup>2+</sup>: 3d<sup>5</sup>

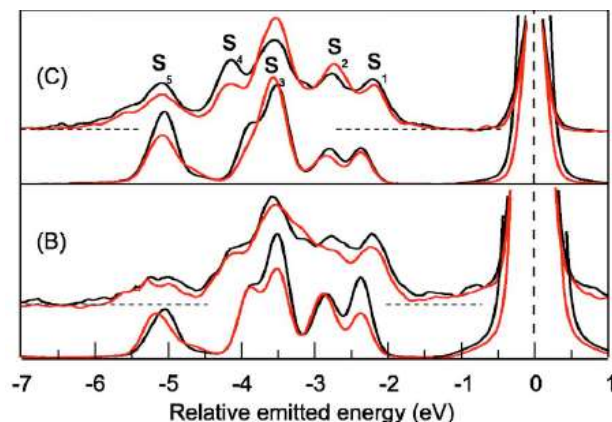
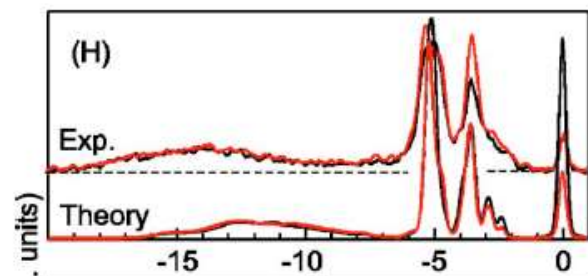
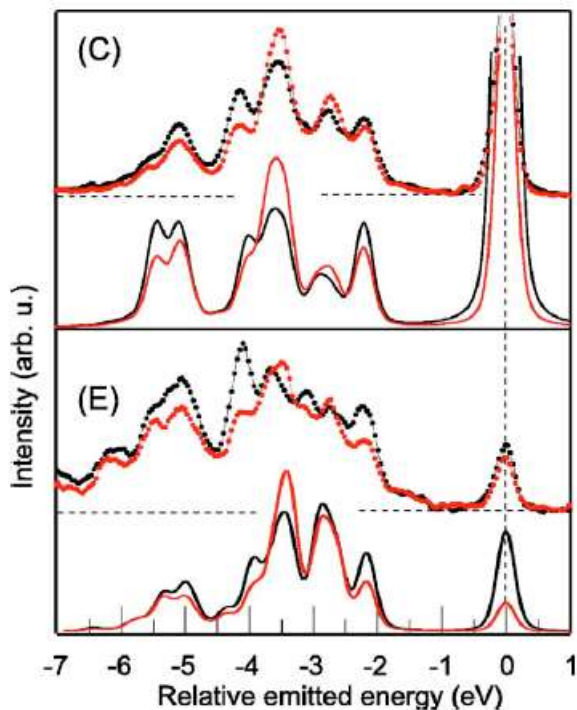
Mn<sup>3+</sup>: 3d<sup>4</sup>

# dd of $Mn^{2+}$ : Sugano-Tanabe, Single ion, Single Ion Impurity Model

Ground state:  $3d^5$ , high spin ( ${}^6S$  for  $10Dq=0$ )



G. Ghiringhelli et al, PRB **78**, 117102 (2008)



G. Ghiringhelli et al, PRB **73**, 035111 (2006)

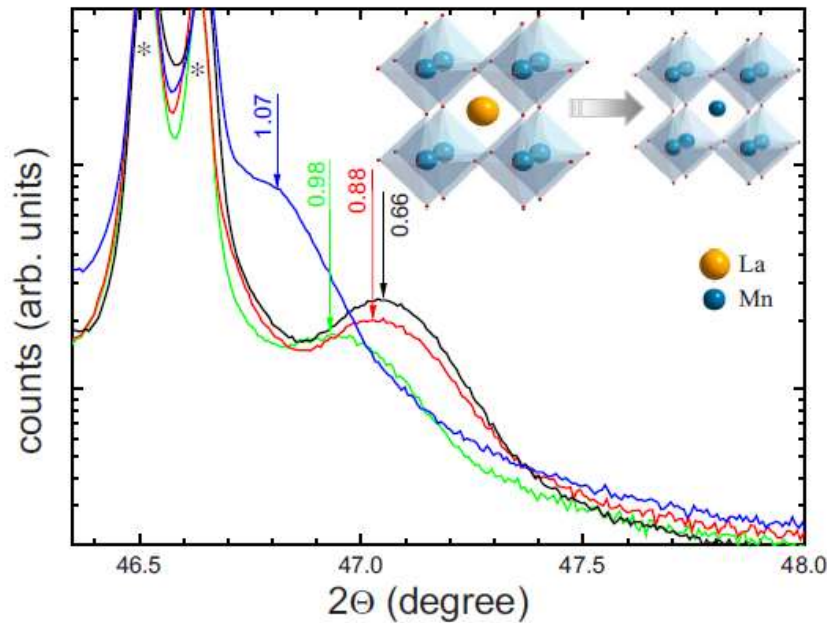


# An application to thin film: $\text{Mn}^{2+}$ in $\text{La}_x\text{MnO}_3$

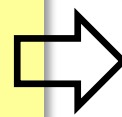
$\text{La}_x\text{MnO}_{3-\delta}/\text{STO}$  films

$x = \text{La}/\text{Mn}$  ratio

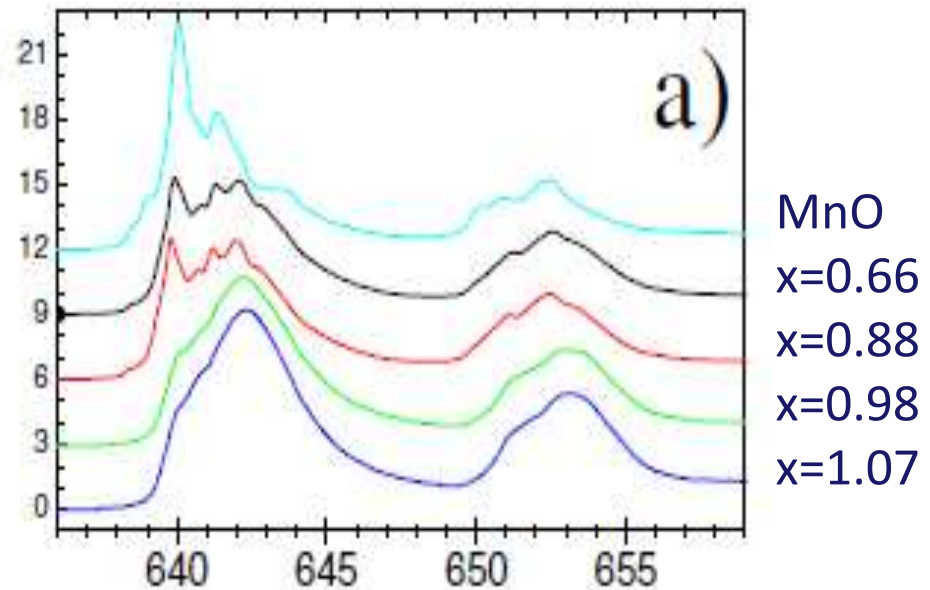
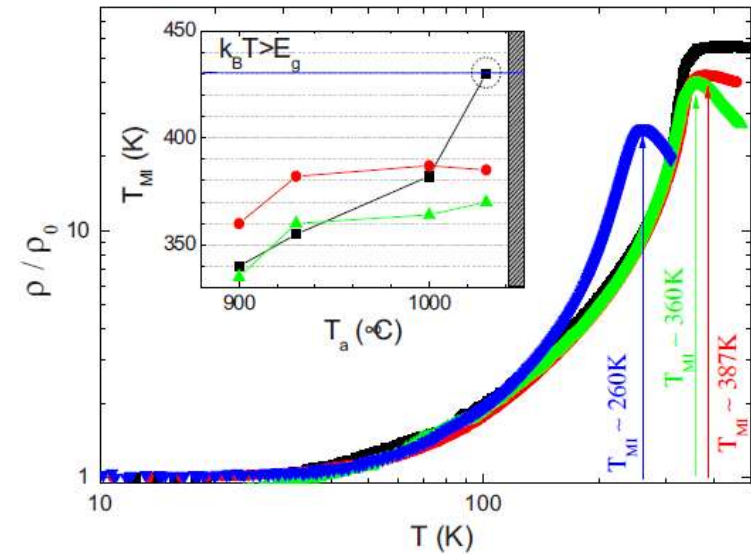
for  $x < 1$  becomes FM (self doping)



XAS reveals the presence of  $\text{Mn}^{2+}$  for  $x < 1$



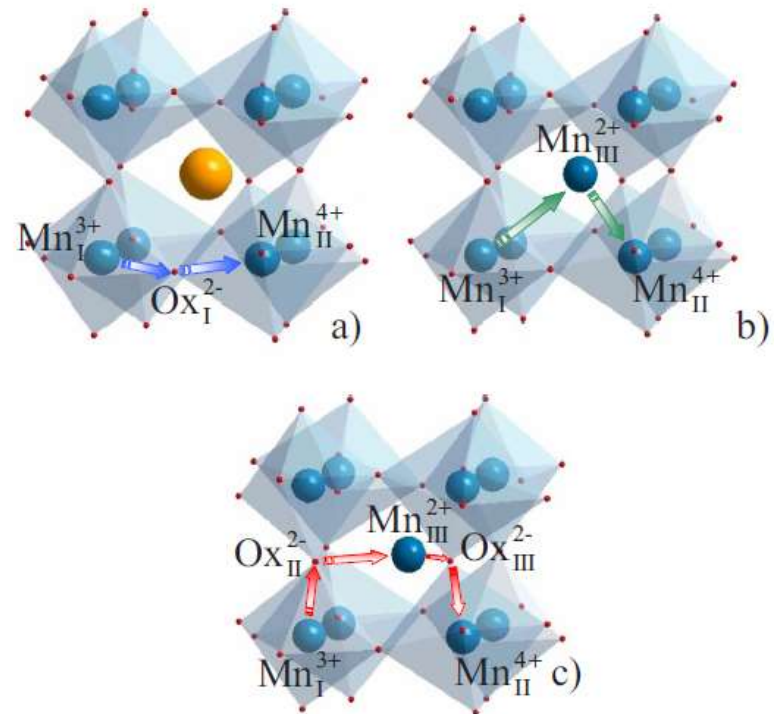
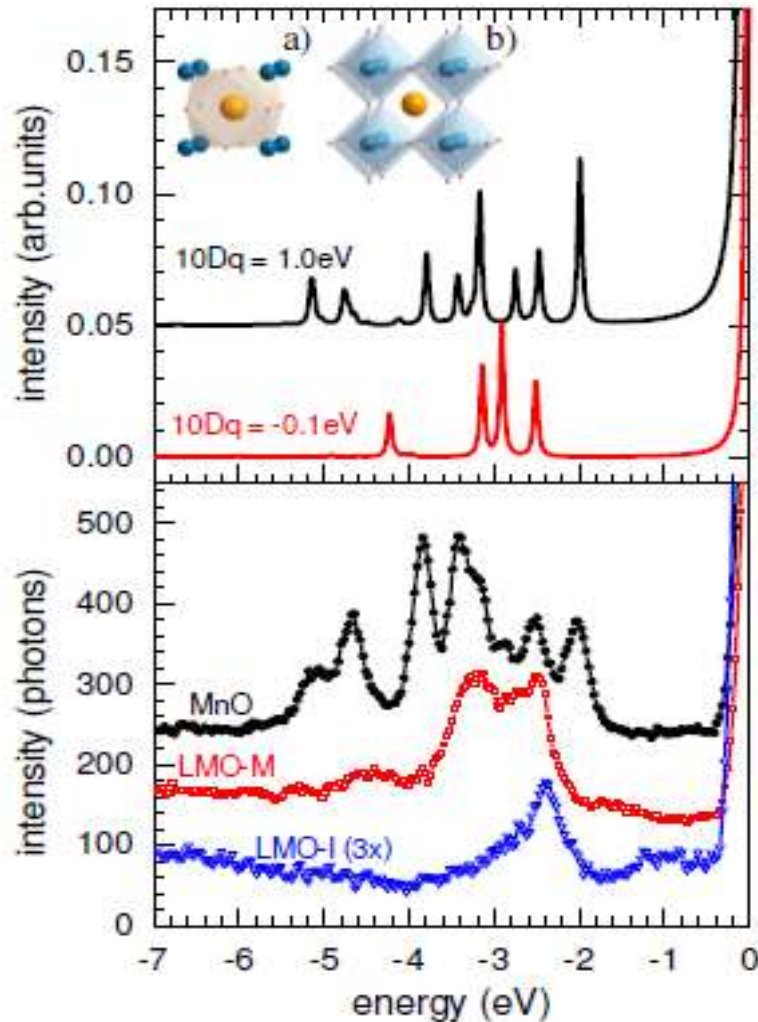
XAS (arb.u.)



# An application to thin film: $\text{Mn}^{2+}$ in $\text{La}_x\text{MnO}_3$

RIXS shows that  $\text{Mn}^{2+}$  is at site A, ie, it replaces  $\text{La}^{3+}$

The  $\text{Mn}^{2+}$  in site A allows new Double Exchange paths, increasing  $T_{\text{MI}}$

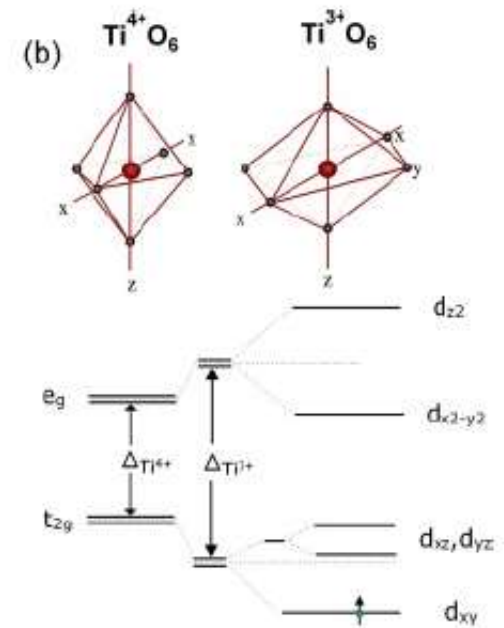
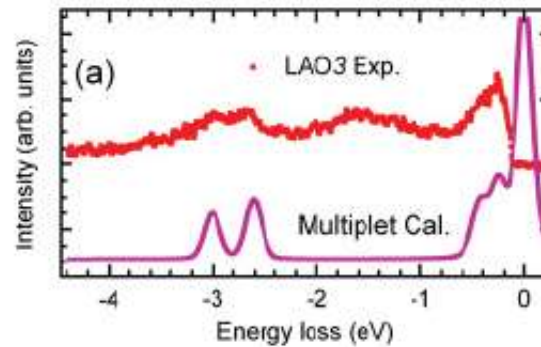
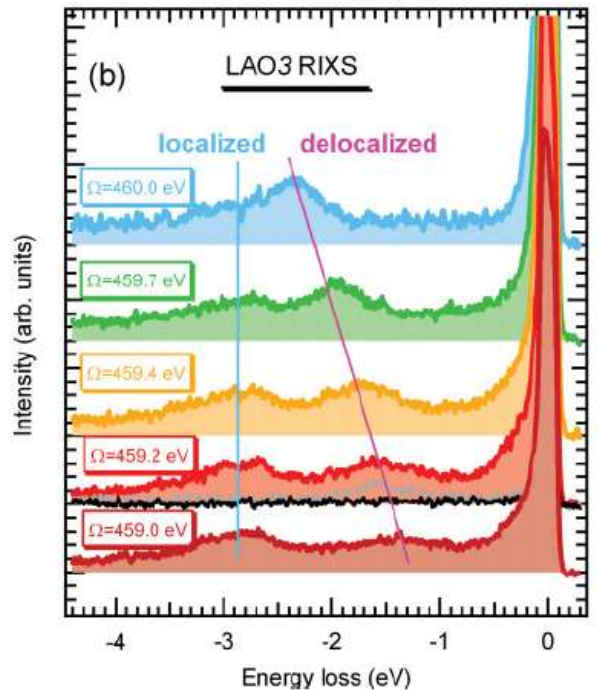
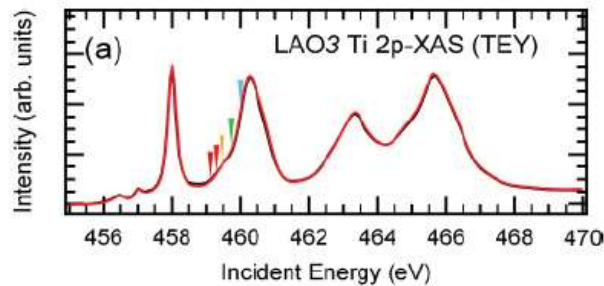


# STO/LAO superlattice: RIXS at Ti L<sub>3</sub>

PHYSICAL REVIEW B 83, 201402(R) (2011)

## Localized and delocalized Ti 3d carriers in LaAlO<sub>3</sub>/SrTiO<sub>3</sub> superlattices revealed by resonant inelastic x-ray scattering

Ke-Jin Zhou,<sup>1</sup> Milan Radovic,<sup>2,1</sup> Justine Schlappa,<sup>1,\*</sup> Vladimir Strocov,<sup>1</sup> Ruggero Frison,<sup>3</sup> Joel Mesot,<sup>1,2</sup> Luc Patthey,<sup>1</sup> and Thorsten Schmitt<sup>1,†</sup>

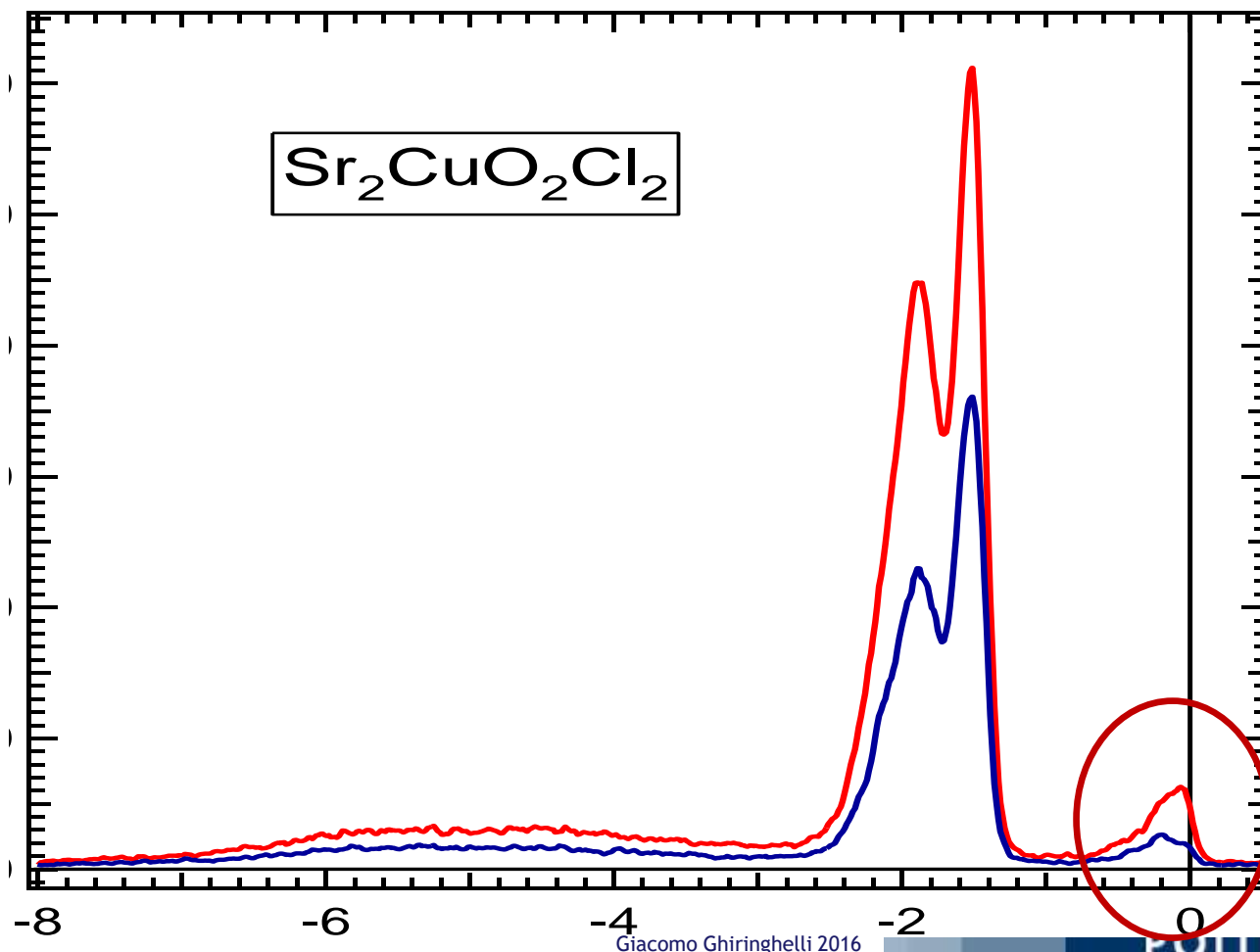


# What about the “quasi-elastic” spectral features?

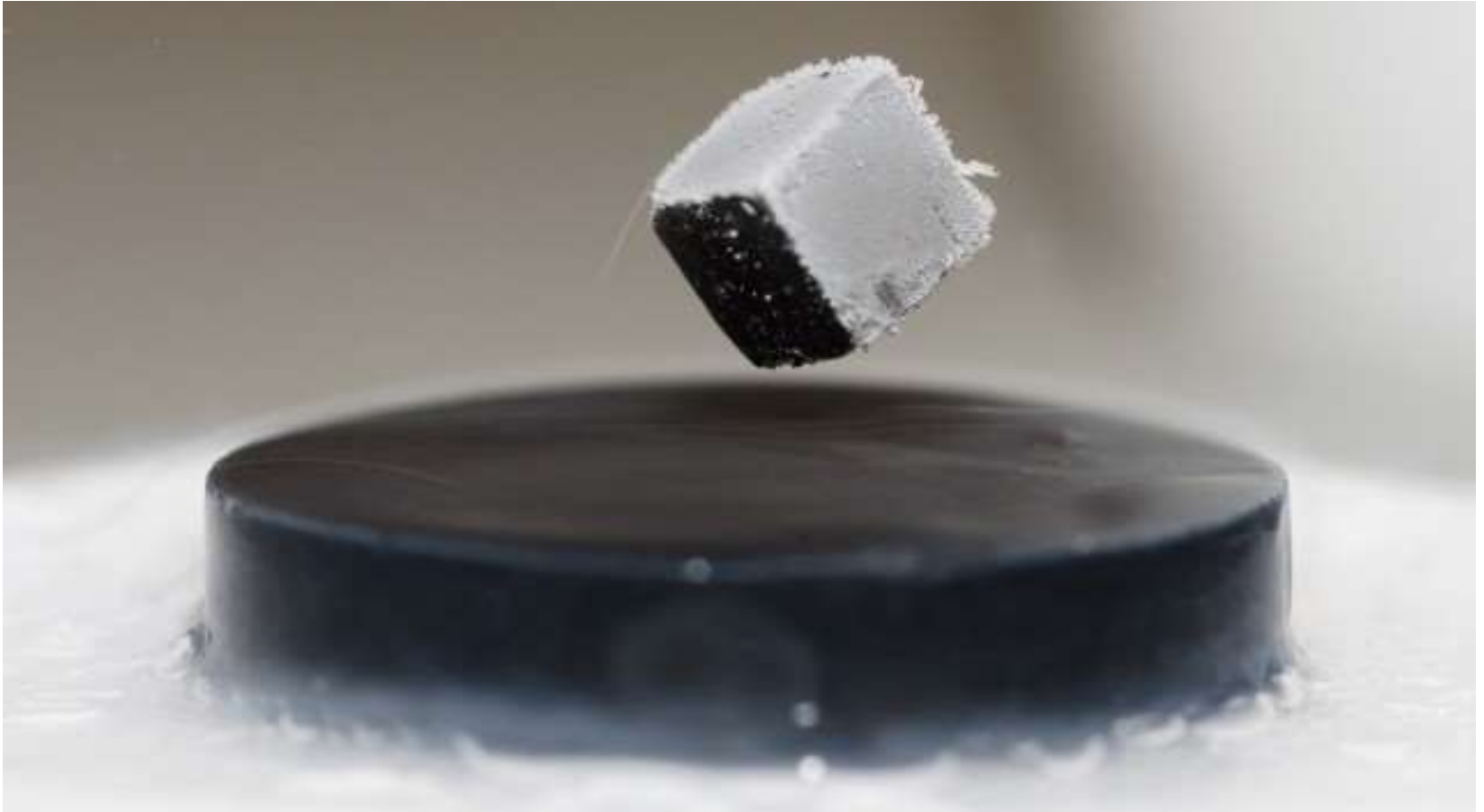
Phonons: up to 90meV

Magnons ( $2J$  at BZB): up to 300 meV ( $J_{\text{eff}} \approx 140$  meV)

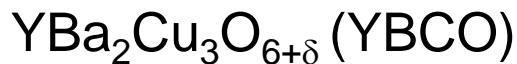
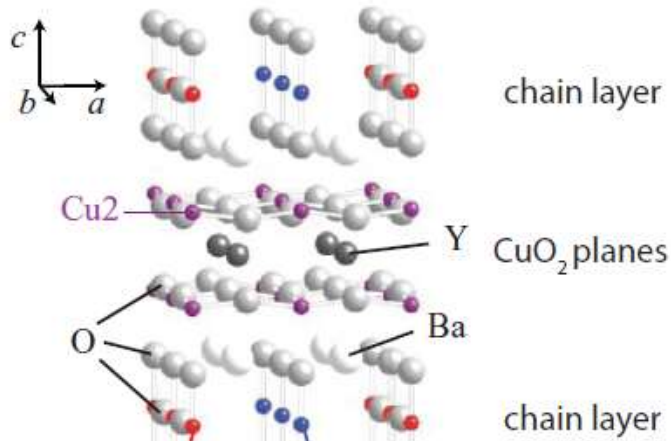
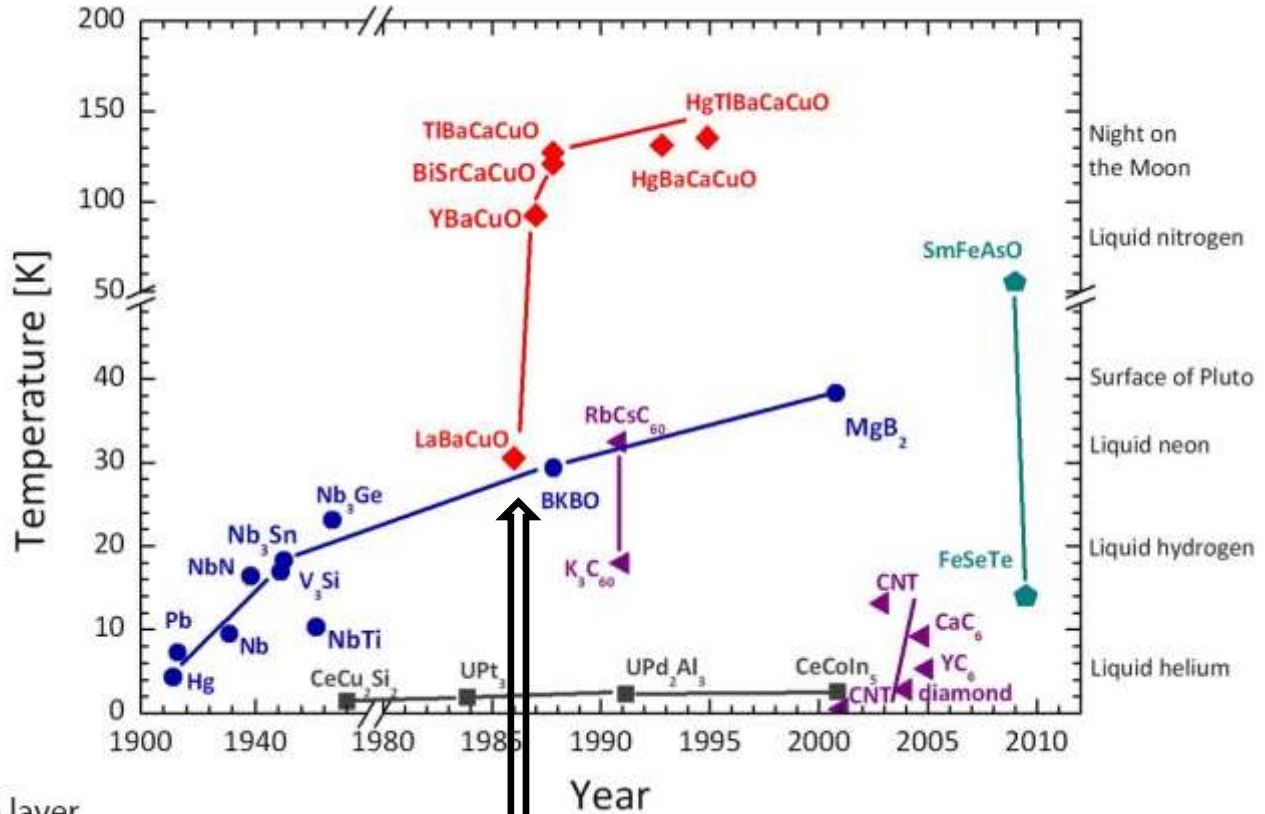
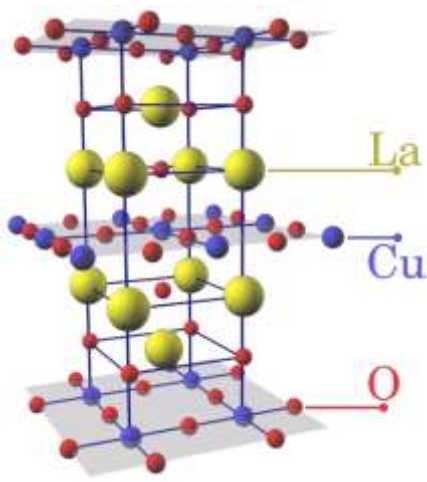
Multi magnons...



# High Tc superconductors



# High $T_c$ superconducting cuprates

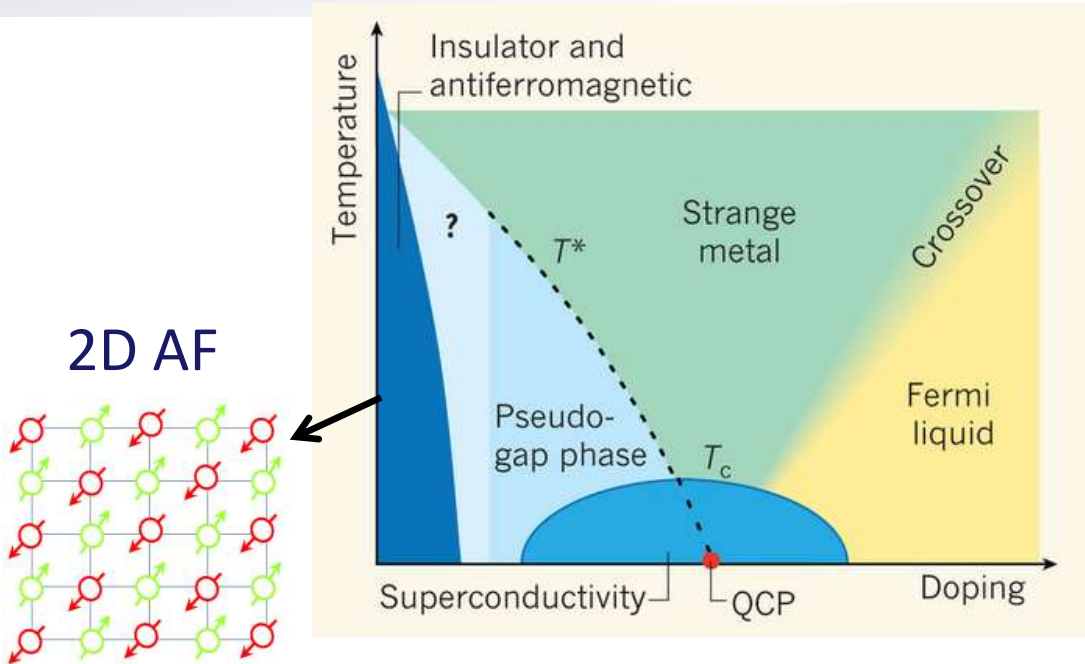


## Possible High $T_c$ Superconductivity in the Ba-La-Cu-O System

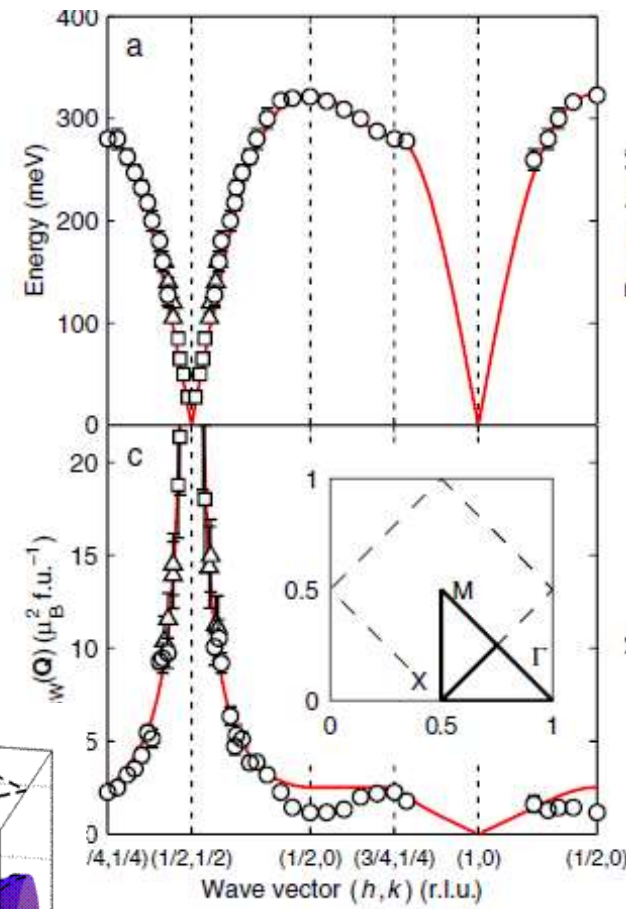
J.G. Bednorz and K.A. Müller  
 IBM Zürich Research Laboratory, Rüschlikon, Switzerland

Received April 17, 1986

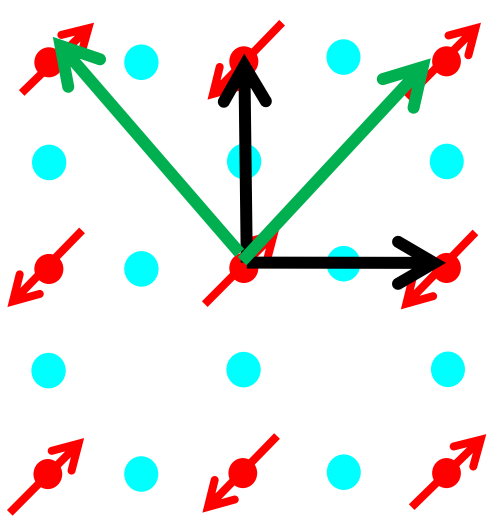
# Spin excitations in HTcS: undoped AF



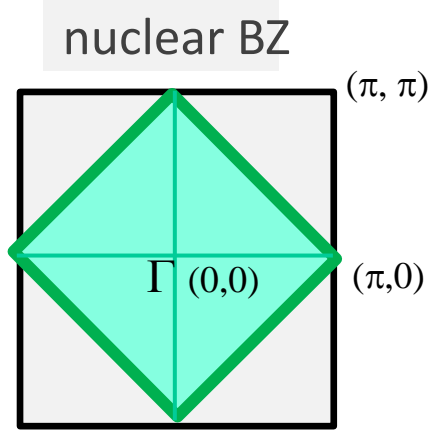
INS:  $\text{La}_2\text{CuO}_4$



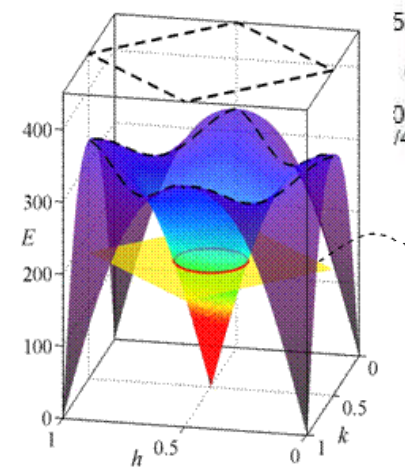
DIRECT SPACE



RECIPROCAL SPACE

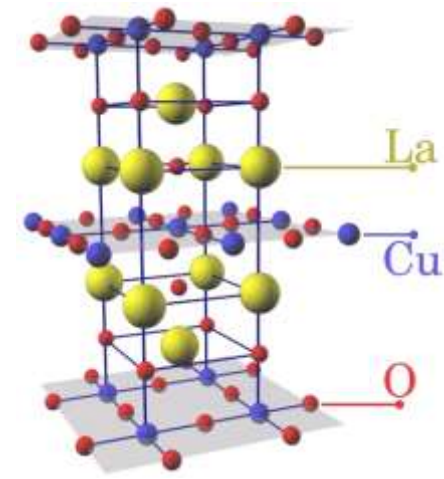


magnetic BZ

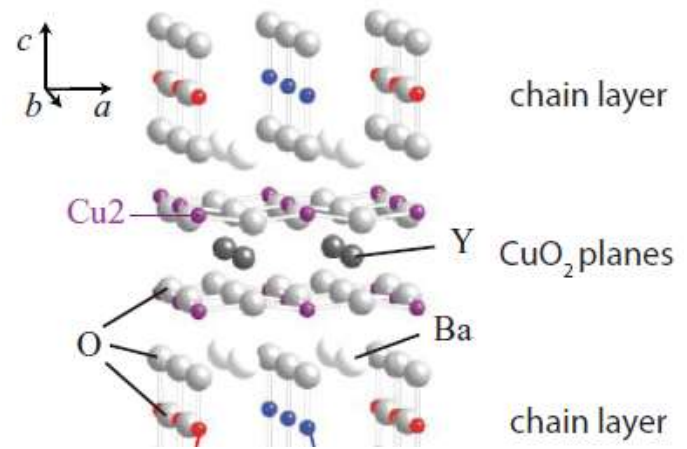
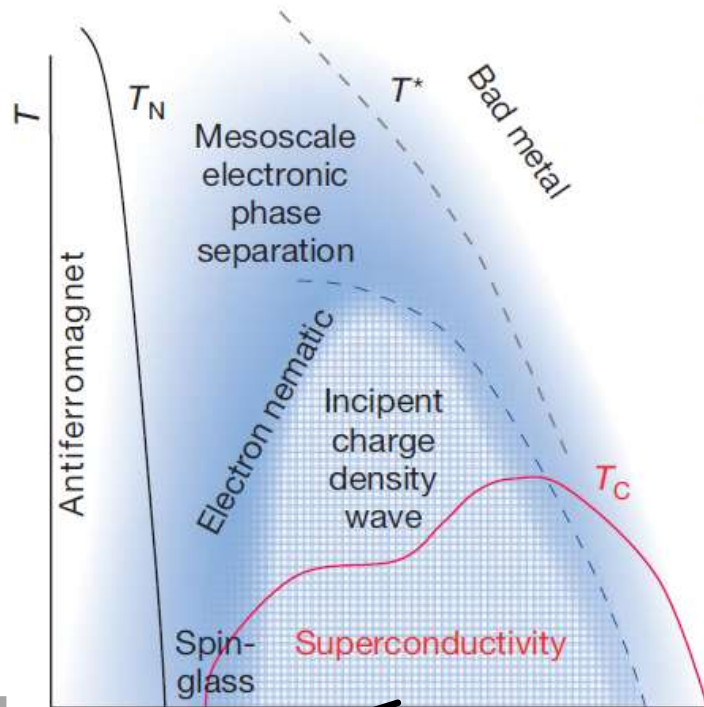


N. S. Headings, S. M. Hayden, R. Coldea, and T. G. Perring, Phys Rev Lett. **105** 247001 (2011)

# The mysteries of $HT_cS$

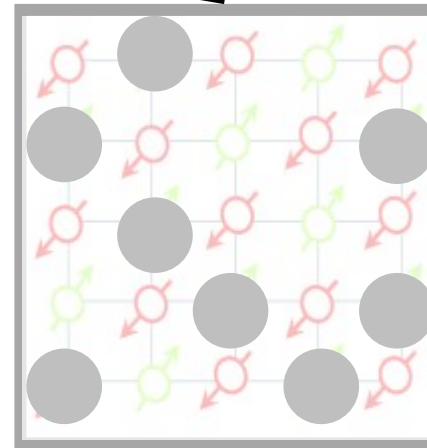
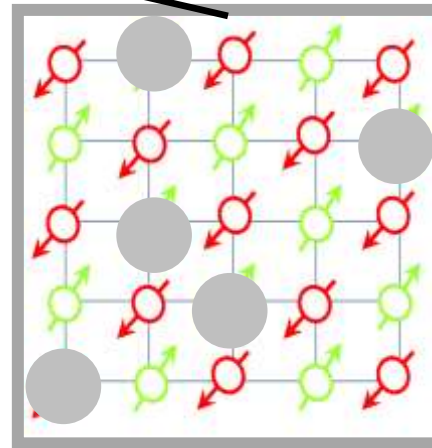
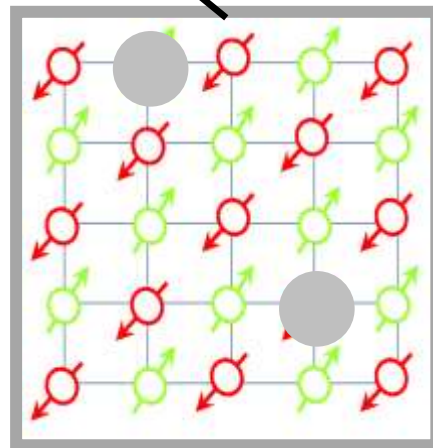
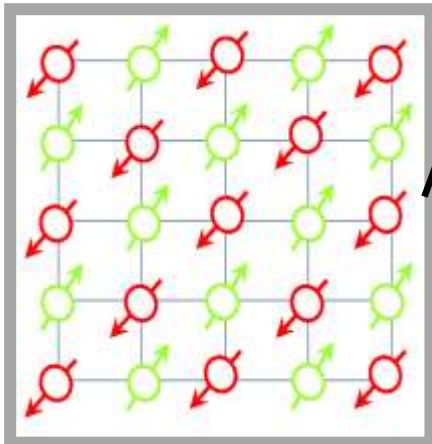


$La_{2-x}Sr_xCuO_4$  (LSCO)



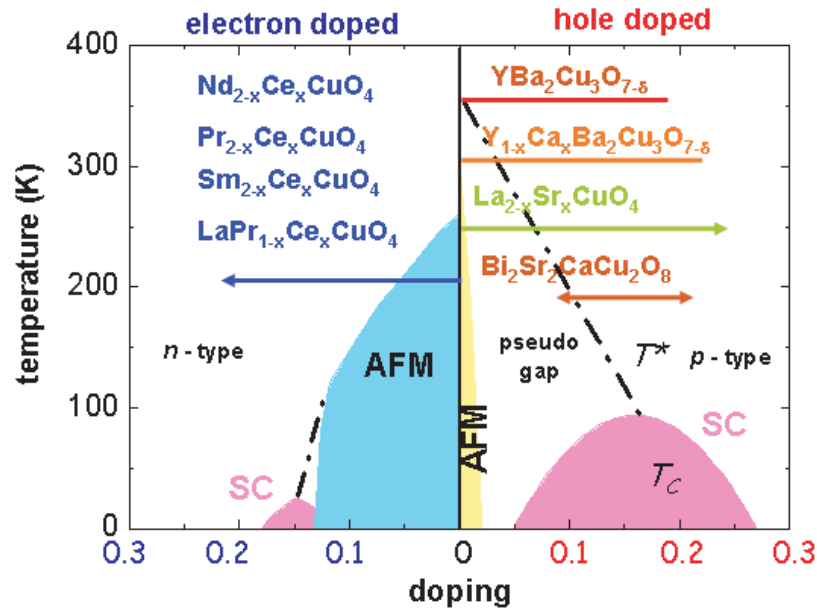
$YBa_2Cu_3O_{6+\delta}$  (YBCO)

Eduardo Fradkin and Steven A. Kivelson, *Nature Physics*, 8, 864 (2012)

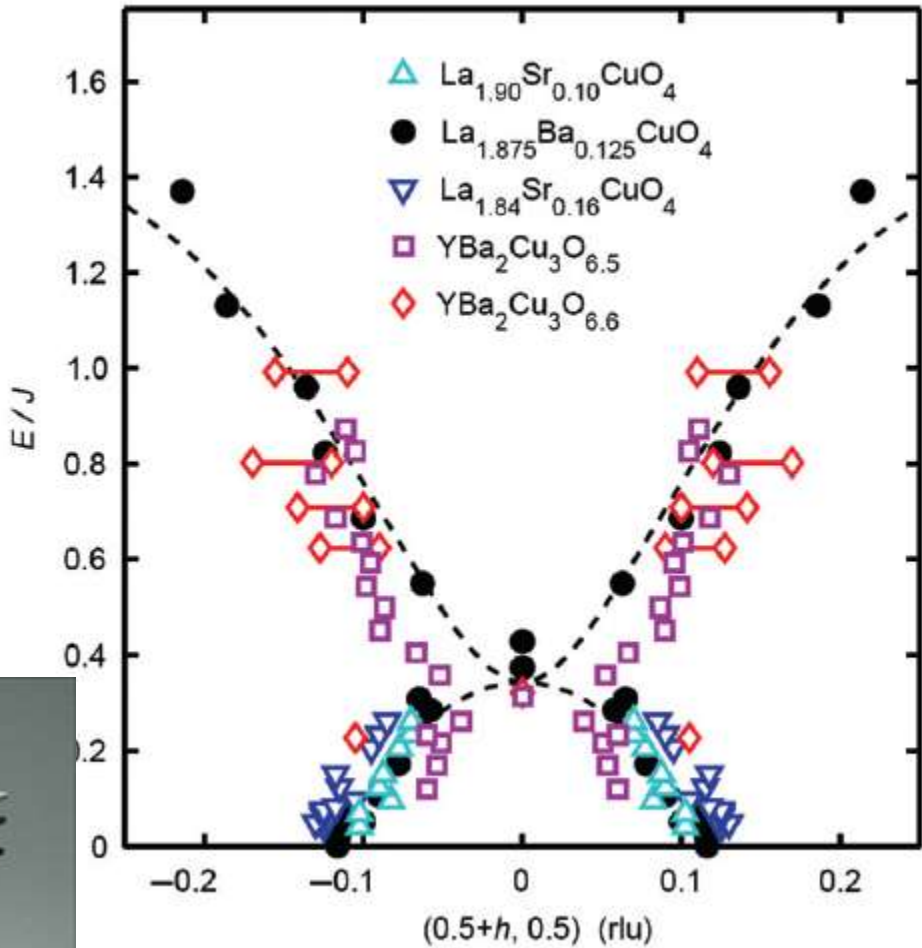




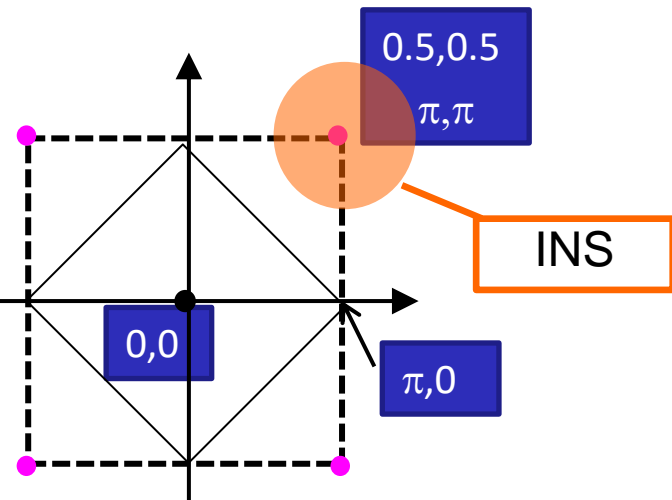
# Spin excitations in HTcS: doped SC



[http://for538.wmi.badw.de/projects/P4\\_crystal\\_growth/index.htm](http://for538.wmi.badw.de/projects/P4_crystal_growth/index.htm)

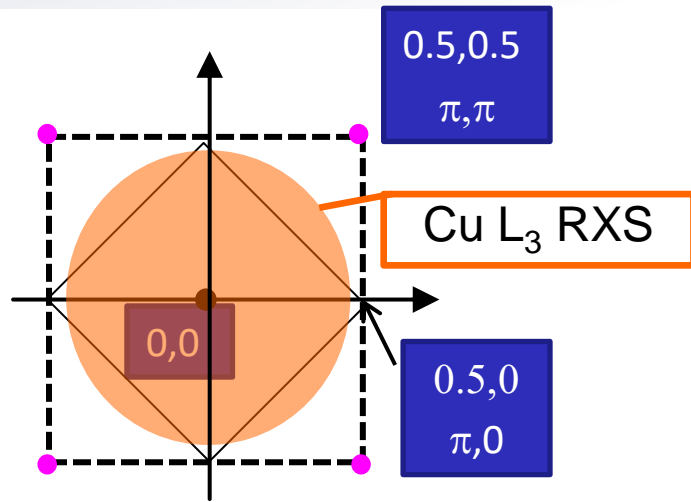


J.M. Tranquada, in *Handbook of High-Temperature Superconductivity: Theory and Experiment*, J.R. Schrieffer and J.S. Brooks, eds., Springer, 2007,

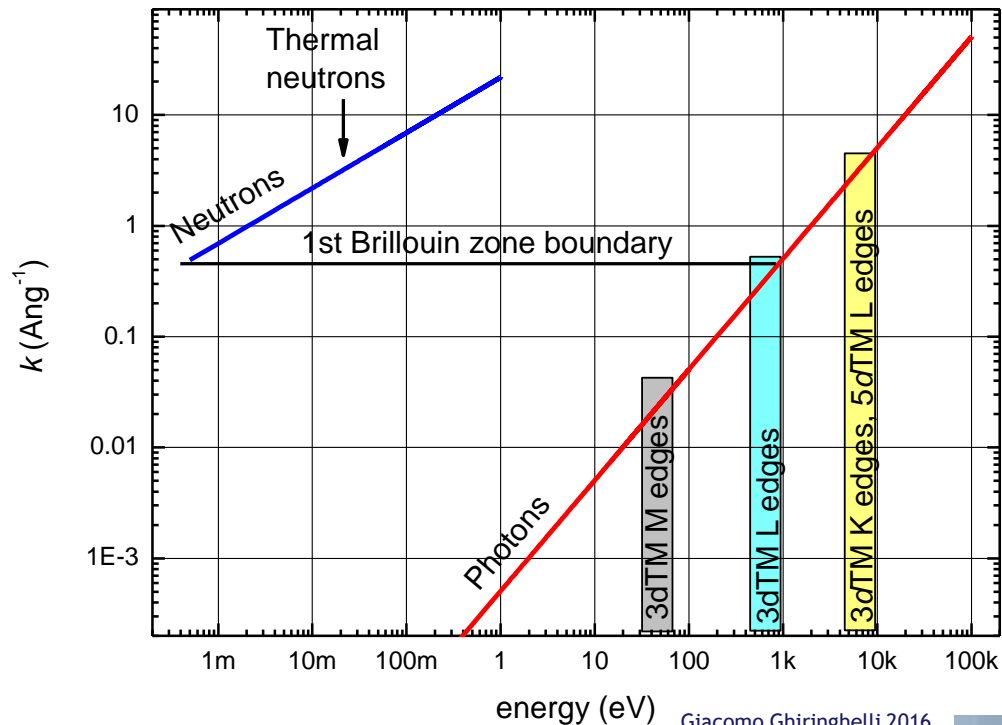


V. Hinkov et al, *Eur. Phys. J. Special Topics* 188, 113–129 (2010)

# RIXS: Experimental conditions

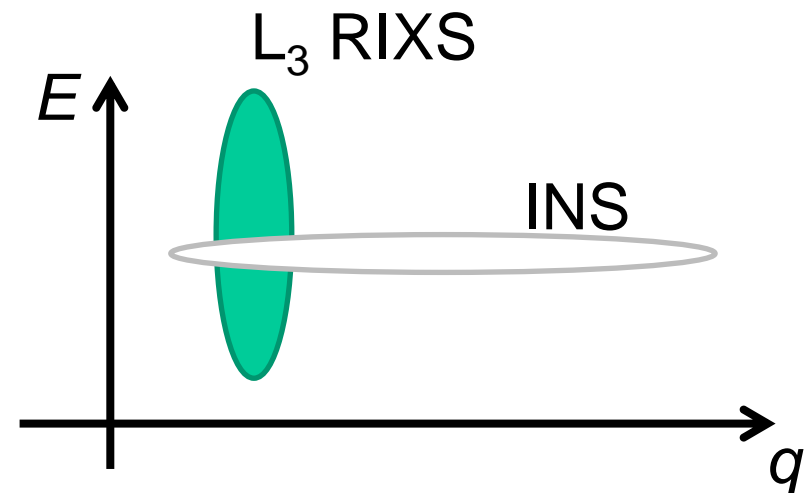


Wavevector of particles used in inelastic scattering



## Cu L<sub>3</sub> resonance:

- $E_0 = 930 \text{ eV}$
- $q_{\text{max}} = 0.86 \text{ Ang}^{-1}$
- confined inside a region around  $\Gamma$
- 2p core hole: spin-orbit interaction
- E resolution: 120-240 meV
- $q$  resolution: 0.005 rlu
- $\frac{1}{2}$  - 1 hour per spectrum

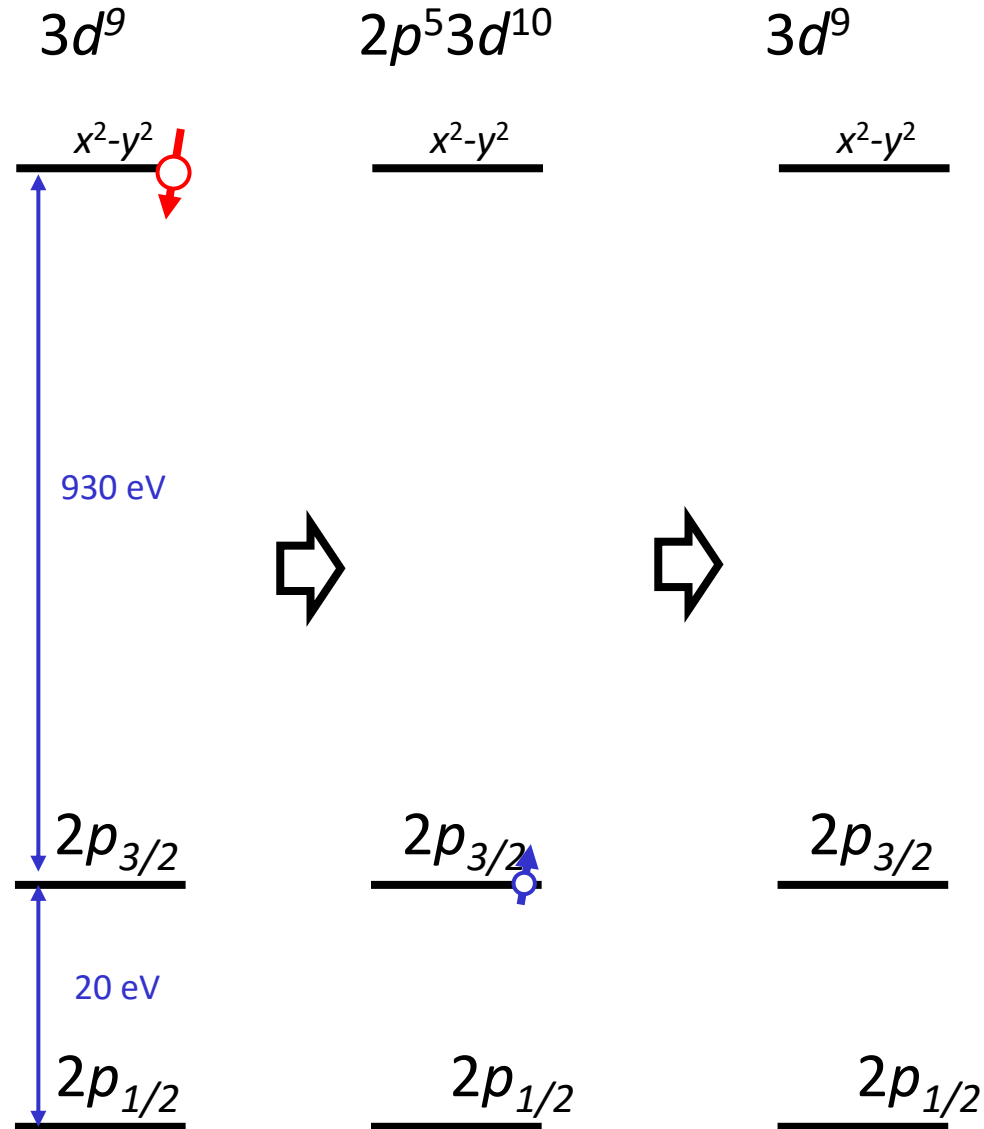


# spin-flip excitations and the 2p S-O coupling

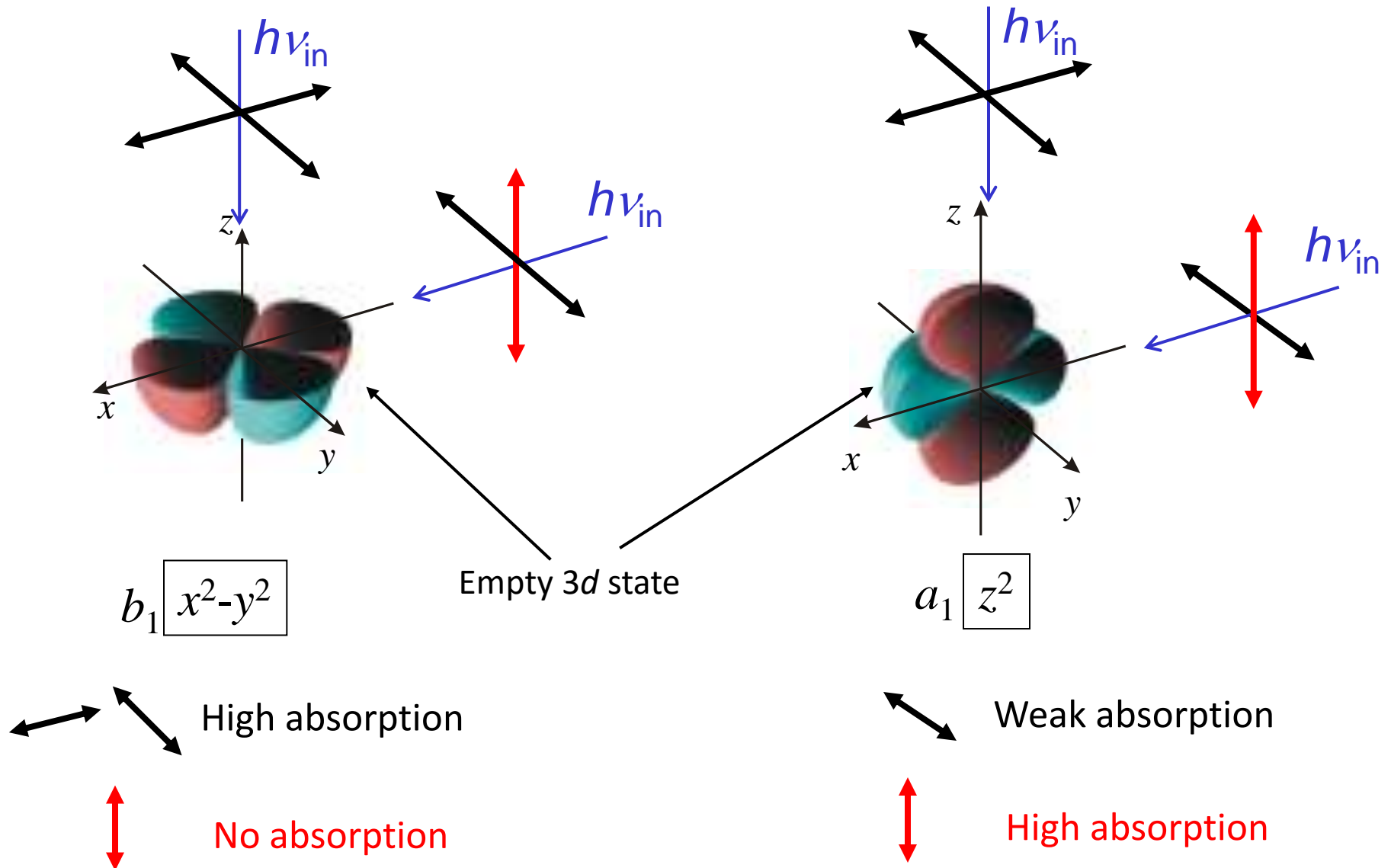
3d	E <sub>g</sub>	$d_{3z^2-r^2}$ $Y_{2,0}$
		$d_{x^2-y^2}$ $\frac{Y_{2,2}-Y_{2,-2}}{\sqrt{2}}$
T <sub>2g</sub>		$d_{xy}$ $-i\frac{Y_{2,2}-Y_{2,-2}}{\sqrt{2}}$
		$d_{yz}$ $i\frac{Y_{2,1}+Y_{2,-1}}{\sqrt{2}}$
		$d_{zx}$ $-\frac{Y_{2,1}-Y_{2,-1}}{\sqrt{2}}$

The 3d spin must not be pure UP or DOWN

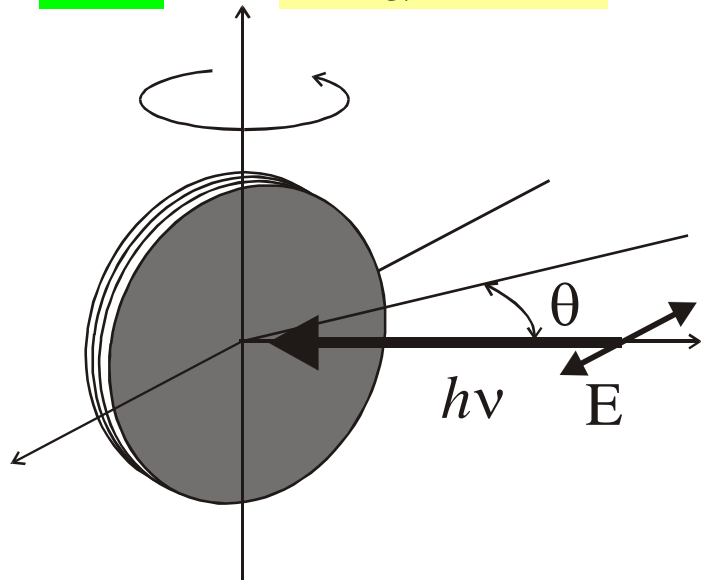
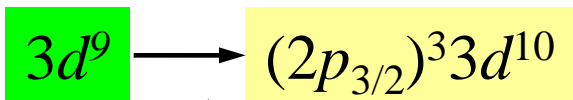
$2p_{3/2}$			
$ \frac{3}{2}, \frac{3}{2}\rangle$	$ \frac{3}{2}, \frac{1}{2}\rangle$	$ \frac{3}{2}, -\frac{1}{2}\rangle$	$ \frac{3}{2}, -\frac{3}{2}\rangle$
$Y_{1,1}^\uparrow$	$\frac{\sqrt{2}}{\sqrt{3}}Y_{1,0}^\uparrow + \frac{1}{\sqrt{3}}Y_{1,1}^\downarrow$	$\frac{1}{\sqrt{3}}Y_{1,-1}^\uparrow + \frac{\sqrt{2}}{\sqrt{3}}Y_{1,0}^\downarrow$	$Y_{1,-1}^\downarrow$
$2p_{1/2}$			
$ \frac{1}{2}, \frac{1}{2}\rangle$	$ \frac{1}{2}, -\frac{1}{2}\rangle$		
$-\frac{1}{\sqrt{3}}Y_{1,0}^\uparrow + \frac{\sqrt{2}}{\sqrt{3}}Y_{1,1}^\downarrow$	$-\frac{\sqrt{2}}{\sqrt{3}}Y_{1,-1}^\uparrow + \frac{1}{\sqrt{3}}Y_{1,0}^\downarrow$		



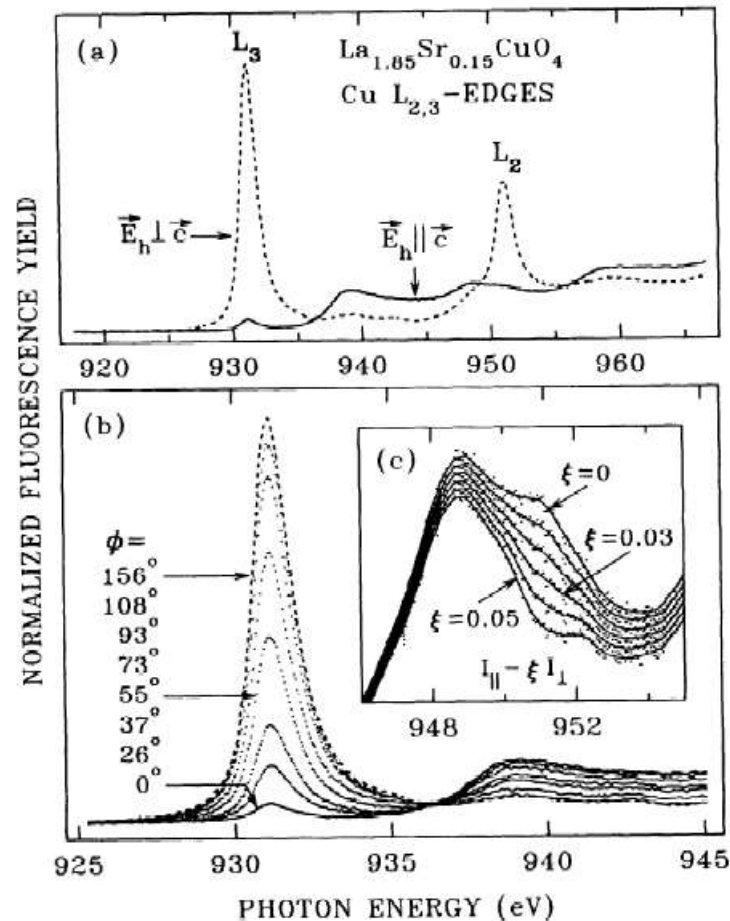
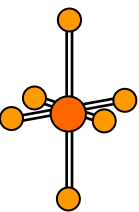
# Linear polarization of x-rays and orbital orientation



# 3d hole symmetry in cuprates



Result: the hole in  $\text{Cu}^{2+}$  has 100%  $x^2-y^2$  symmetry



VOLUME 68, NUMBER 16

PHYSICAL REVIEW LETTERS

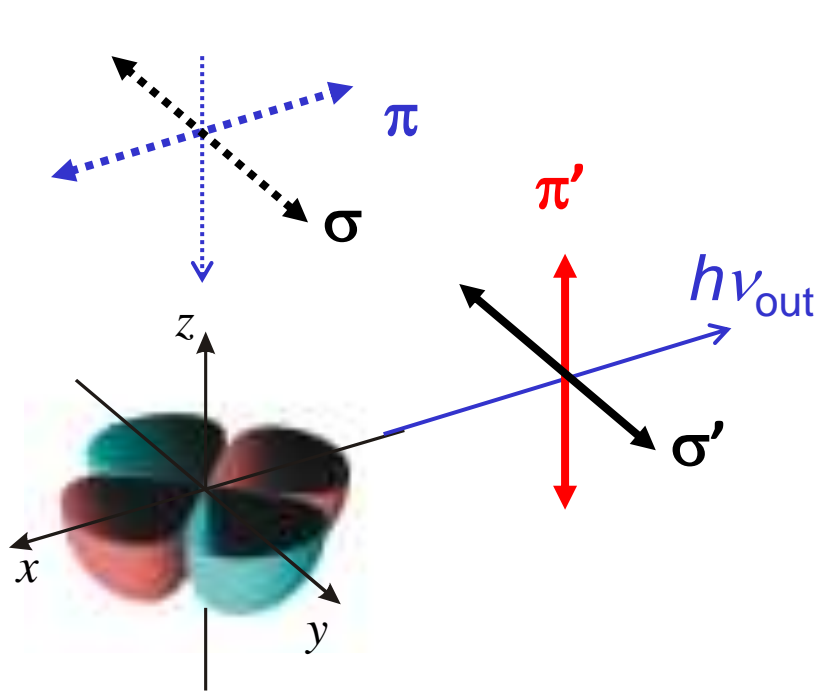
20 APRIL 1992

## Out-of-Plane Orbital Characters of Intrinsic and Doped Holes in $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$

C. T. Chen, L. H. Tjeng, J. Kwo, H. L. Kao, P. Rudolf, F. Sette, and R. M. Fleming

# Linear polarization of x-rays and orbital orientation (2)

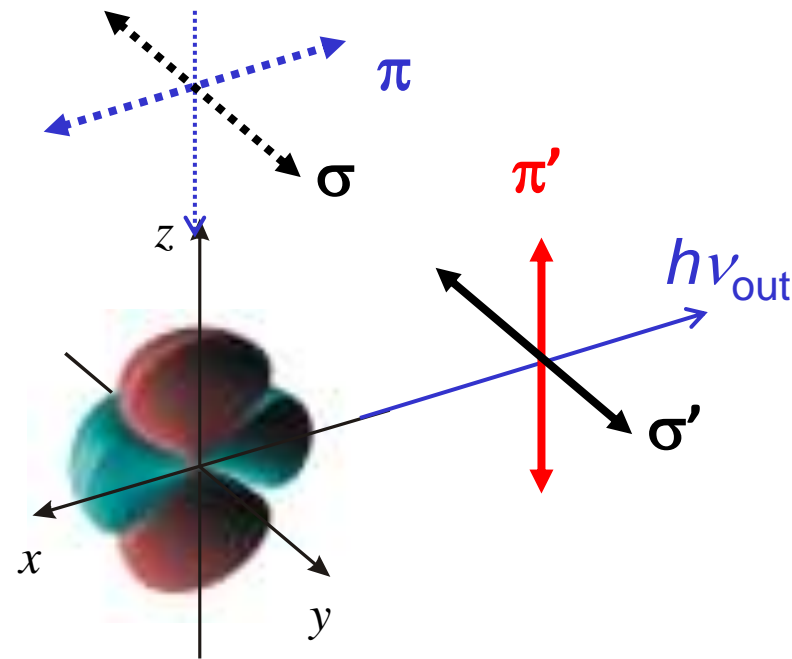
The same rules hold for emission (radiative de-excitation)



$$b_1 \boxed{x^2 - y^2}$$

High emission

No emission

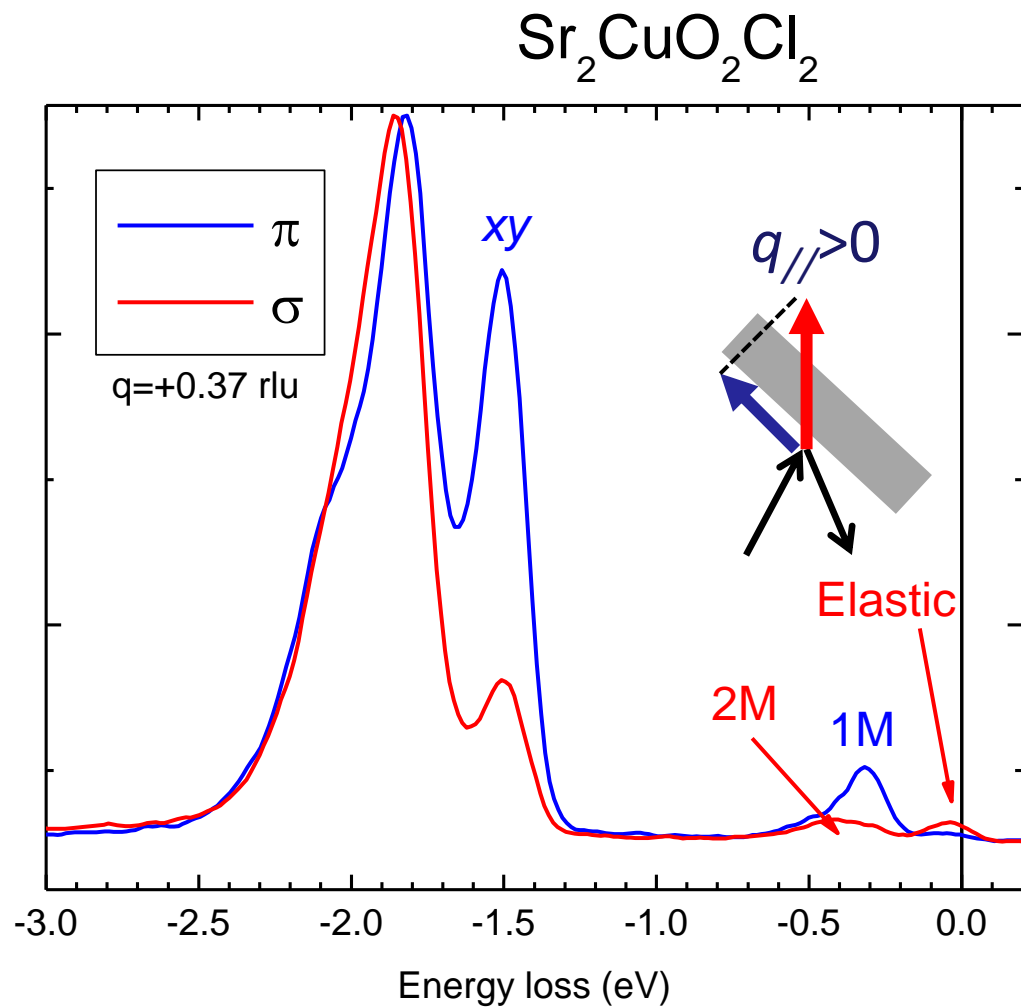
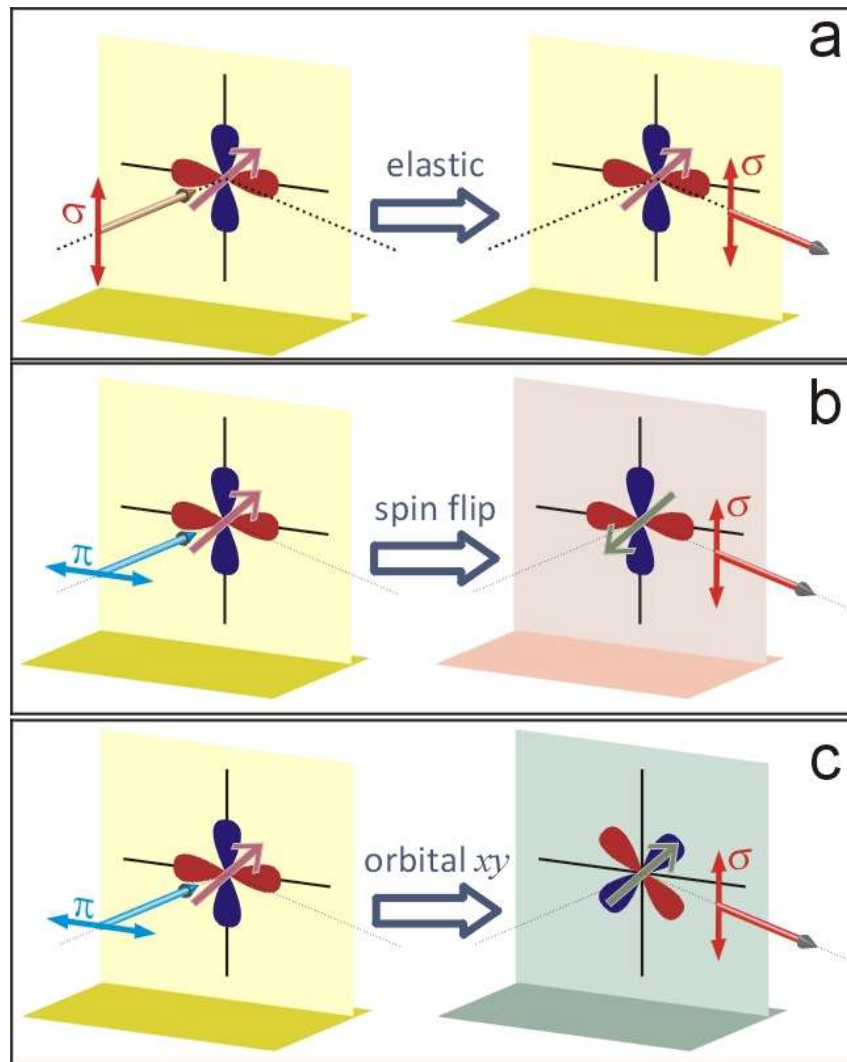


$$a_1 \boxed{z^2}$$

Weak emission

High emission

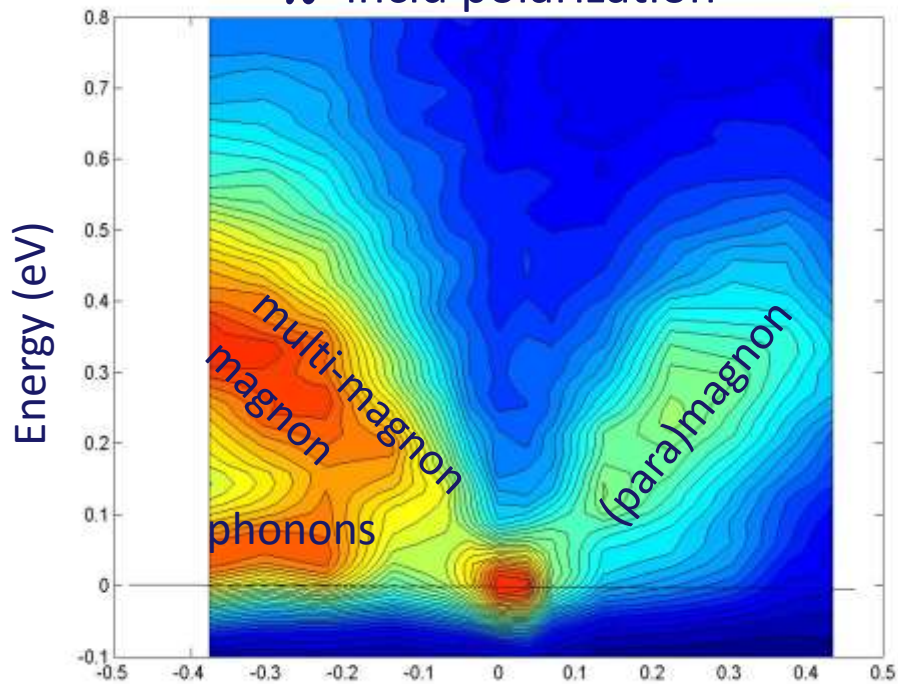
# Polarization dep. of Cu L<sub>3</sub> RIXS intensity



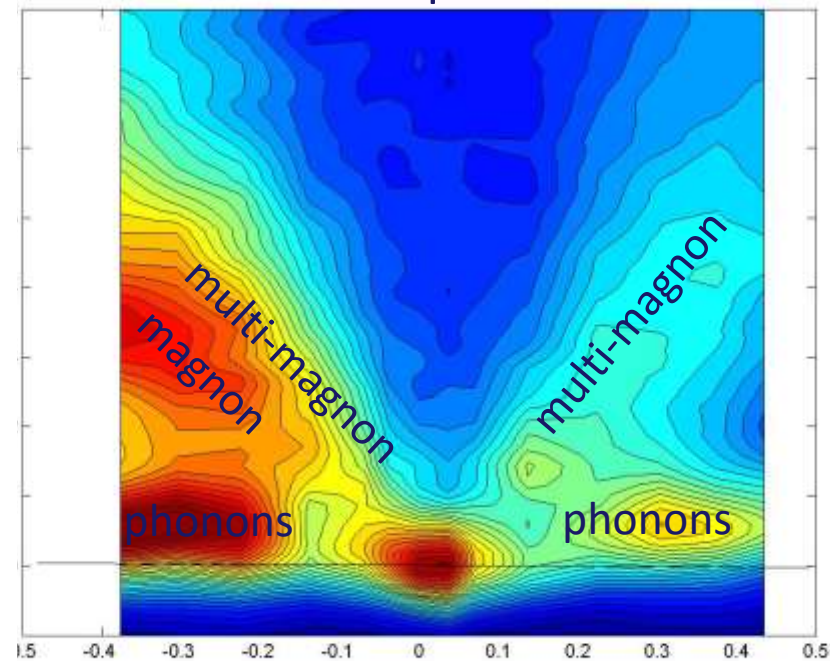
# Polarization dependent cross-sections

LSCO, opt. doping

$\pi$  incid polarization

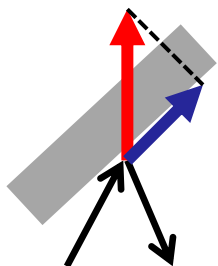


$\sigma$  incid polarization

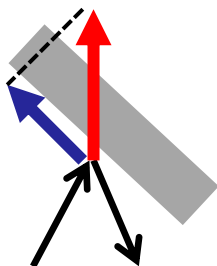


$h$  (rlu)

$q_{//} < 0$

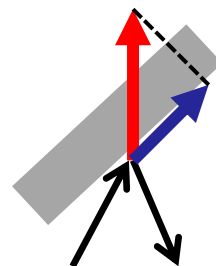


$q_{//} > 0$

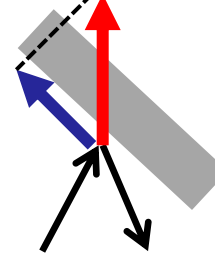


$h$  (rlu)

$q_{//} < 0$

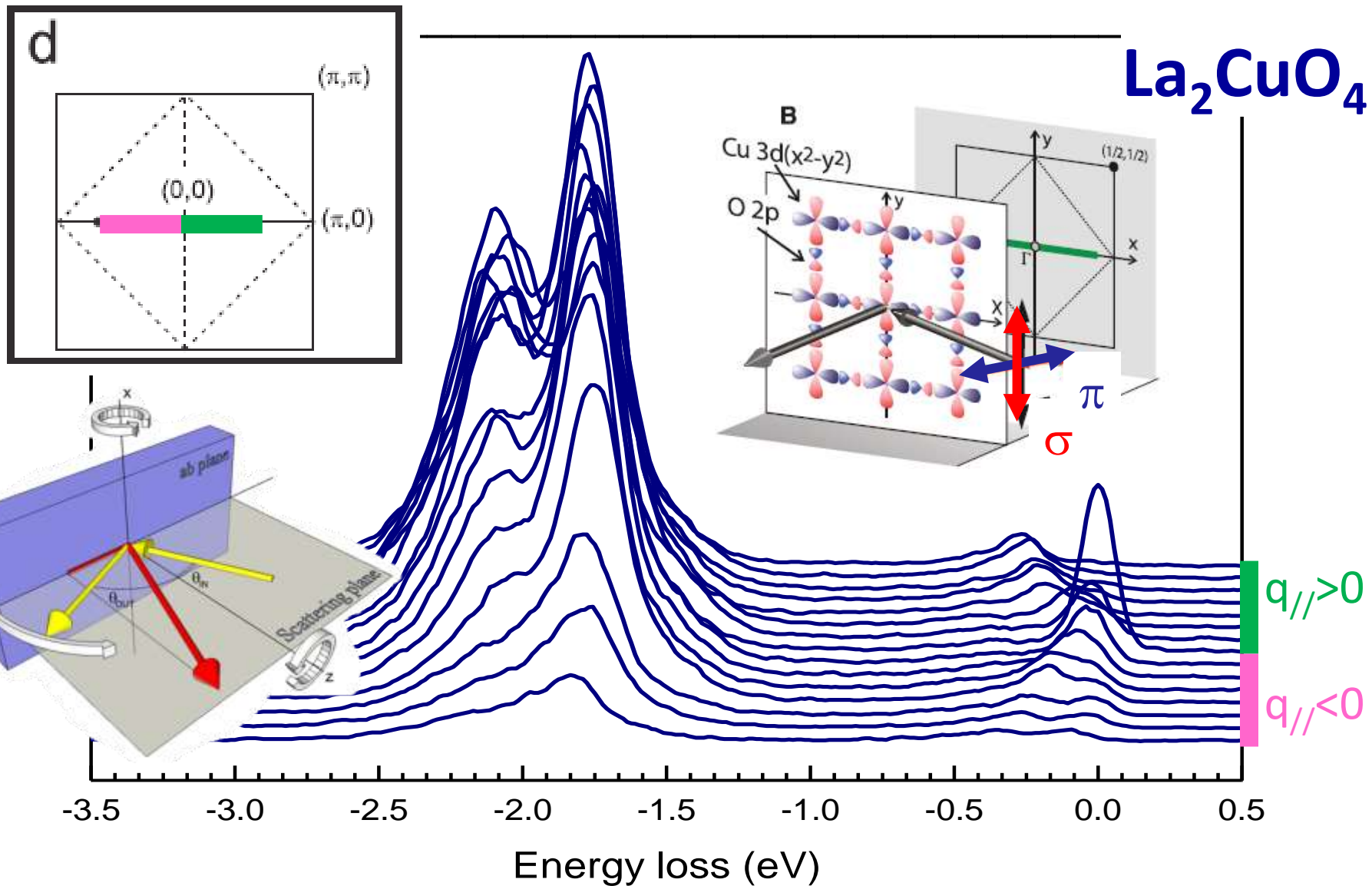


$q_{//} > 0$



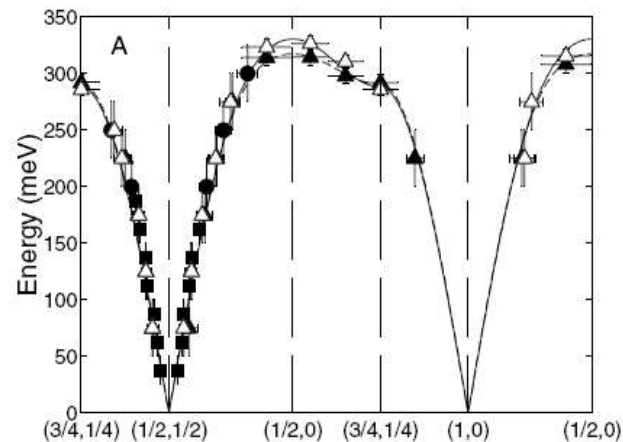
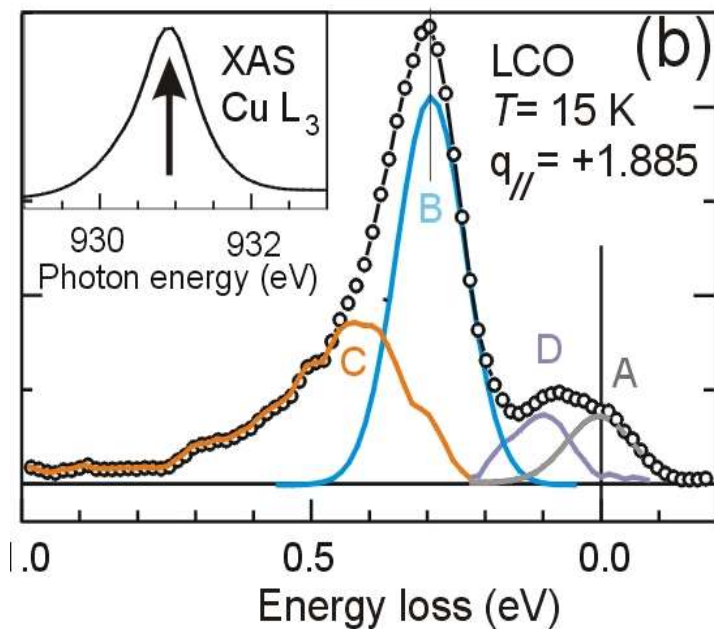
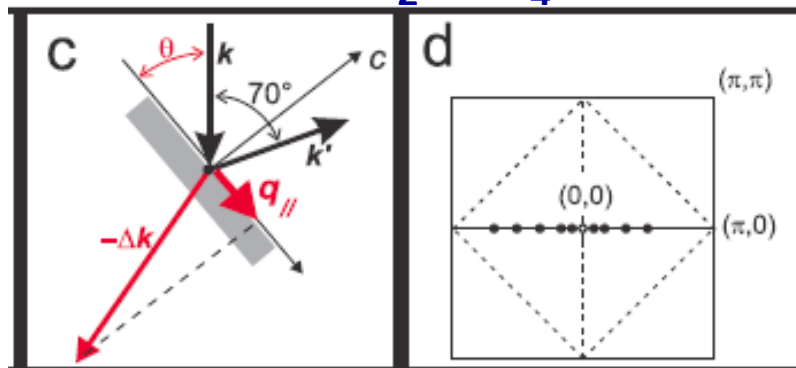


# First demonstration: $\text{La}_2\text{CuO}_4$

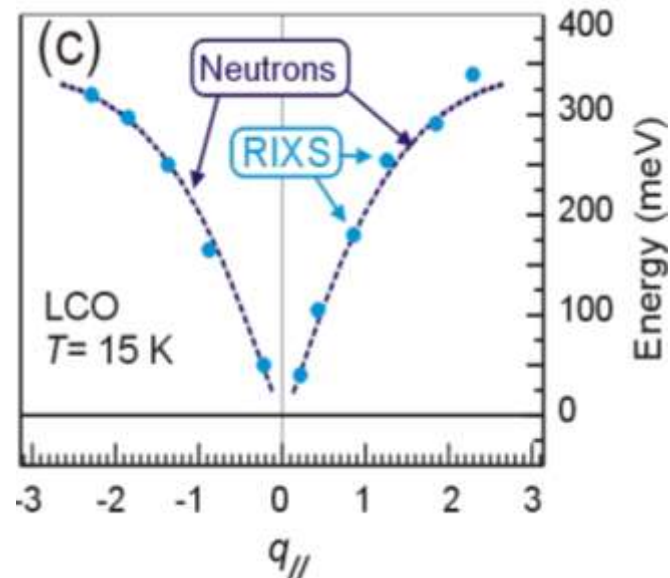


# La<sub>2</sub>CuO<sub>4</sub>, RIXS vs INS

## La<sub>2</sub>CuO<sub>4</sub>



R. Coldea et al, Phys. Rev. Lett. **86**, 5377 (2001).



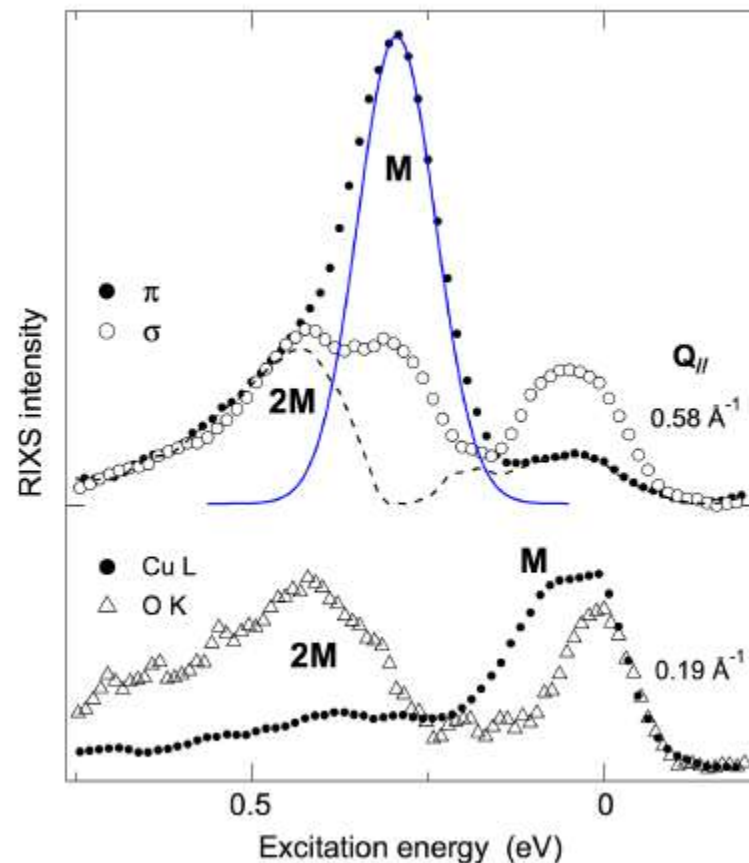
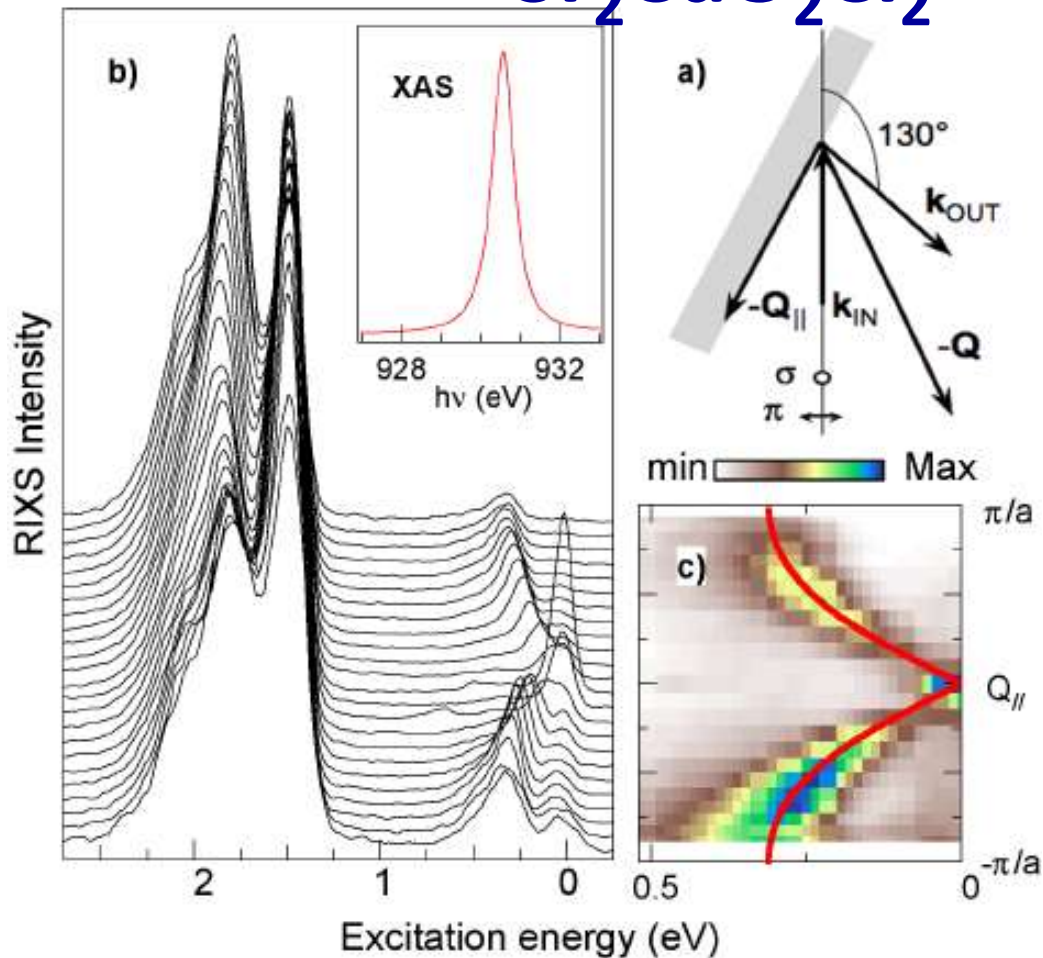
L. Braicovich, J. van den Brink, V. Bisogni, M. Moretti Sala, L. Ament, N.B. Brookes, G.M. de Luca, M. Salluzzo, T. Schmitt, and G. Ghiringhelli PRL **104** 077002 (2010)

# Magnetic excitations in AF cuprates

2008



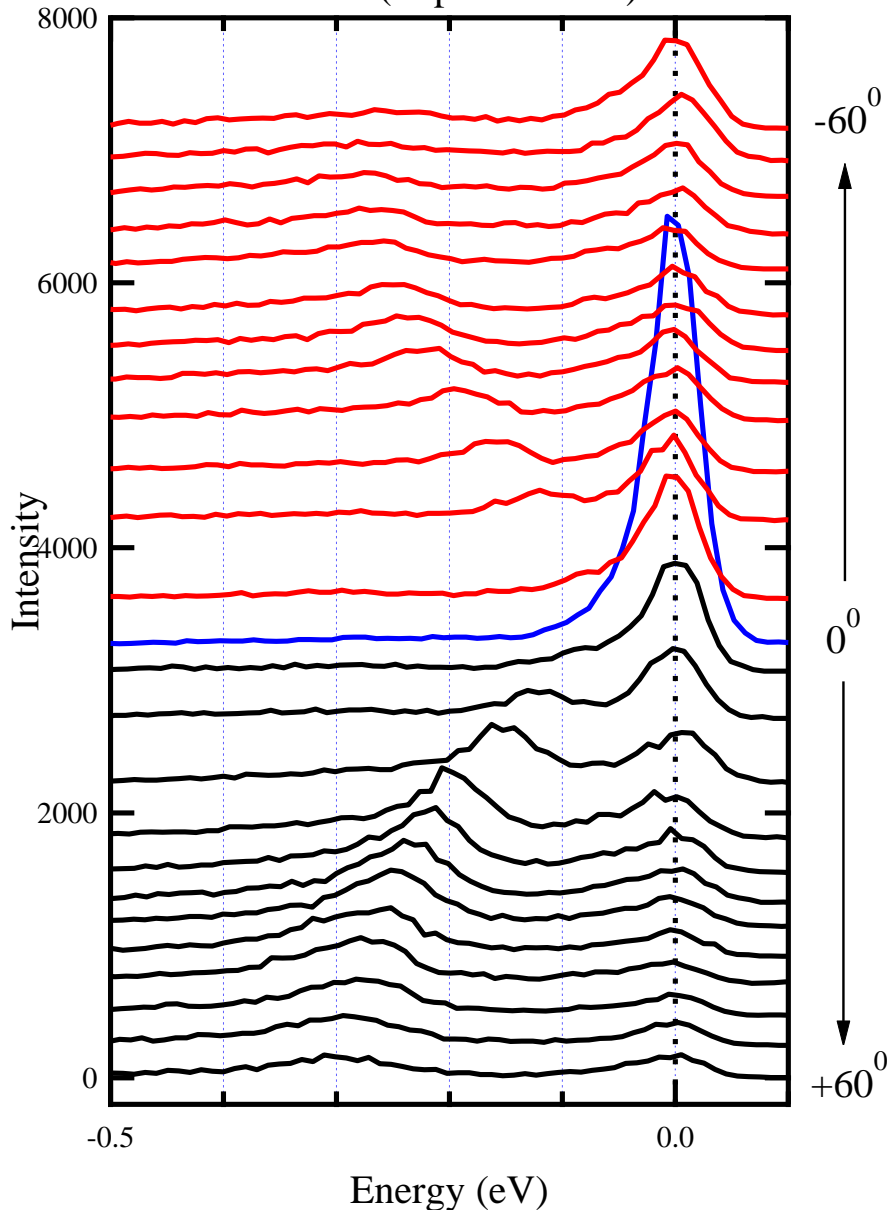
$\Delta E$  0.12 eV



M. Guarise, B. Dalla Piazza, M. Moretti Sala, G. Ghiringhelli, L. Braicovich, H. Berger, J.N. Hancock, D. van der Marel, T. Schmitt, V.N. Strocov, L.J.P. Ament, J. van den Brink, P.-H. Lin, P. Xu, H. M. Rønnow, and M. Grioni. *Phys. Rev. Lett.* **105**, 157006 (2010)

# AF $\text{NdBa}_2\text{Cu}_3\text{O}_{6+\delta}$ : magnon optical branch

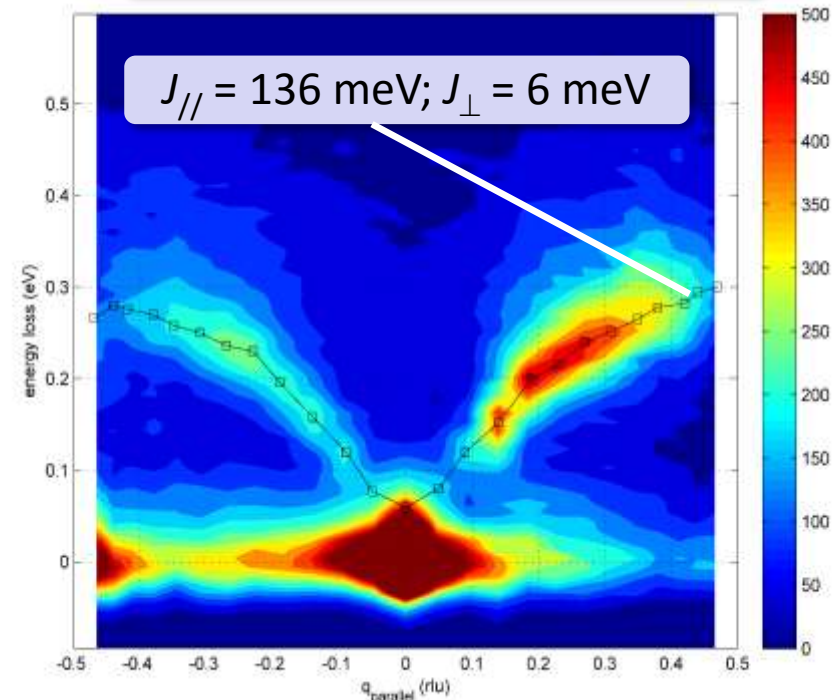
NBCO (H-polarization)



July 2015

BW = 50 meV

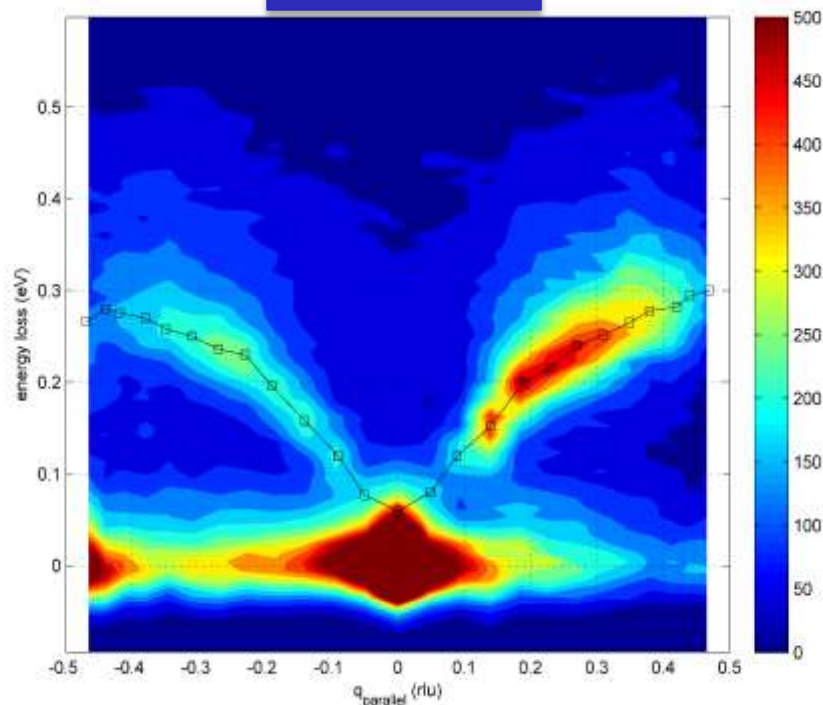
$\pi$  pol. – spin-flip



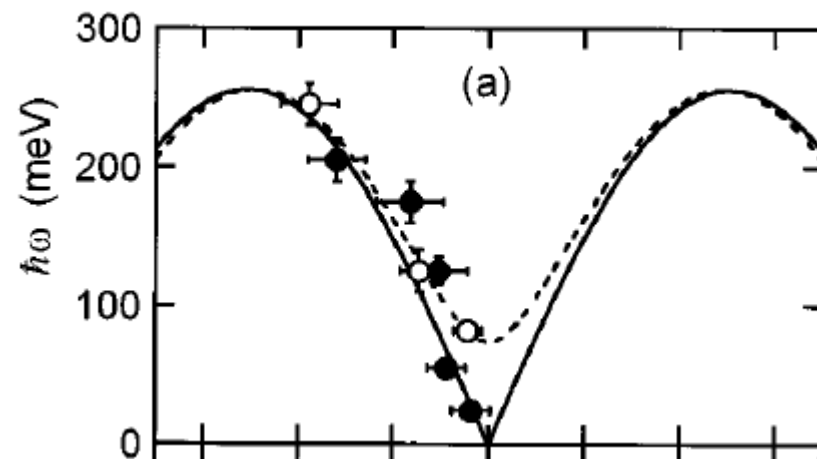
Y.Y. Peng, G. Dellea, M. Minola, G.M. De Luca, M. Salluzzo, M. Le Tacon, B. Keimer, L. Braicovich, N.B. Brookes, and G. Ghiringhelli, unpublished

# Comparing RIXS with INS

NBCO AF  
RIXS



YBCO AF  
INS



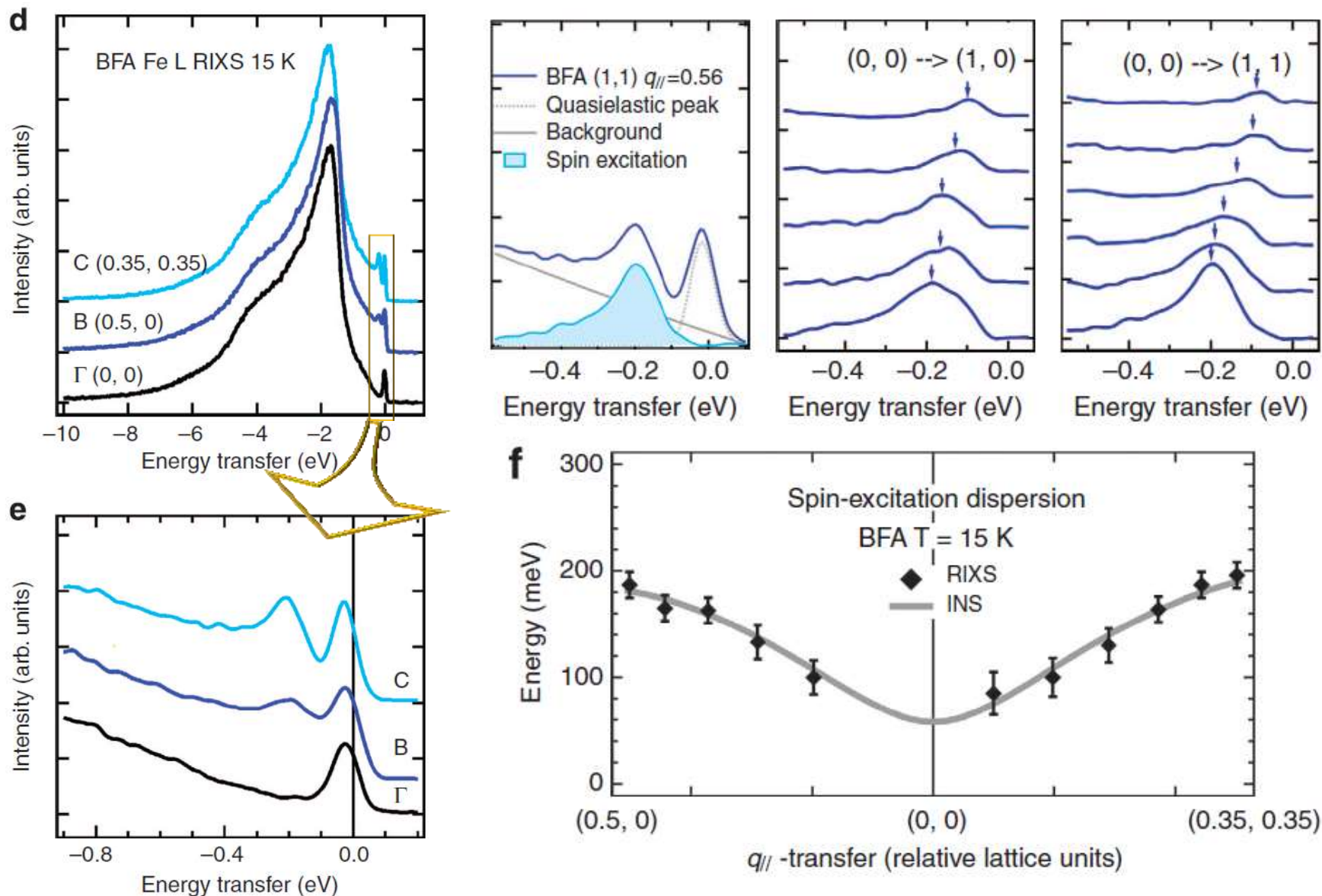
100 nm thick film  $\text{NdBa}_2\text{CuO}_{6.2}$ .  
BW 55meV,  $\Delta Q=0.02 \text{ \AA}^{-1}$ .

“ $\text{YBa}_2\text{Cu}_3\text{O}_{6.15}$  with mass 96 g.  
[...]the resolution in energy was  
2 meV and in  $Q$  was  $0.05 \text{ \AA}^{-1}$ .”

S. Hayden et al PRB 54 R6905 (1996)

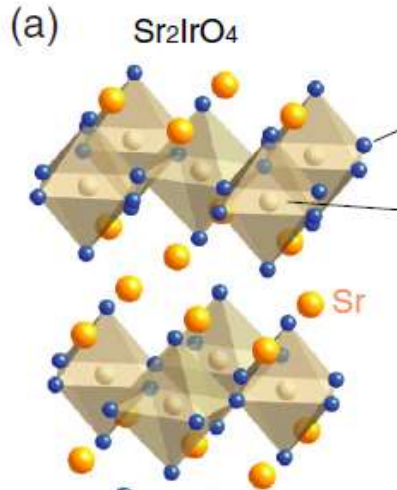
YY Peng, GG et al, unpublished

# Magnons at Fe L<sub>3</sub> edge in BaFe<sub>2</sub>As<sub>2</sub>



Ke-Jin Zhou, Yao-Bo Huang, Claude Monney, Xi Dai, Vladimir N. Strocov, Nan-Lin Wang, Zhi-Guo Chen, Chenglin Zhang, Pengcheng Dai, Luc Patthey, Jeroen van den Brink, Hong Ding & Thorsten Schmitt, *Nature Comm.* **4**, 1470 (2013)

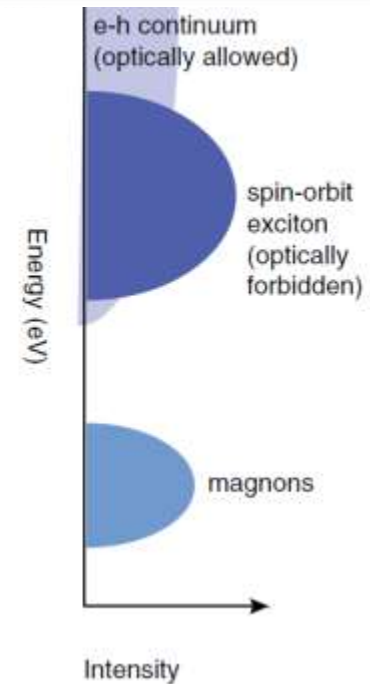
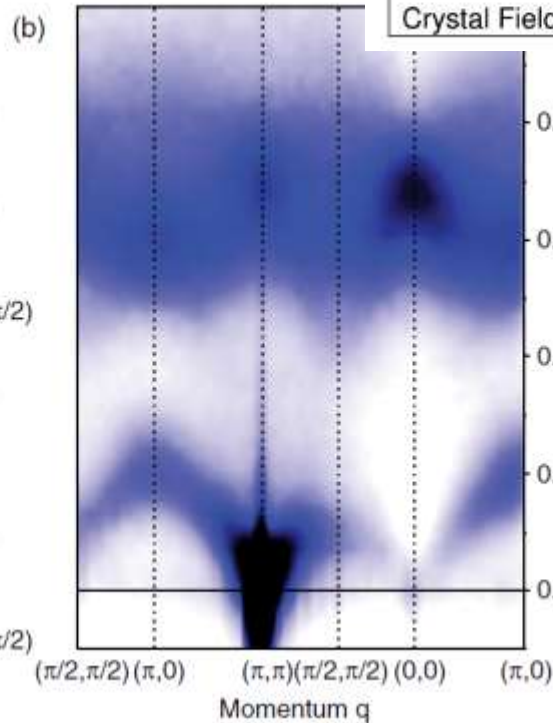
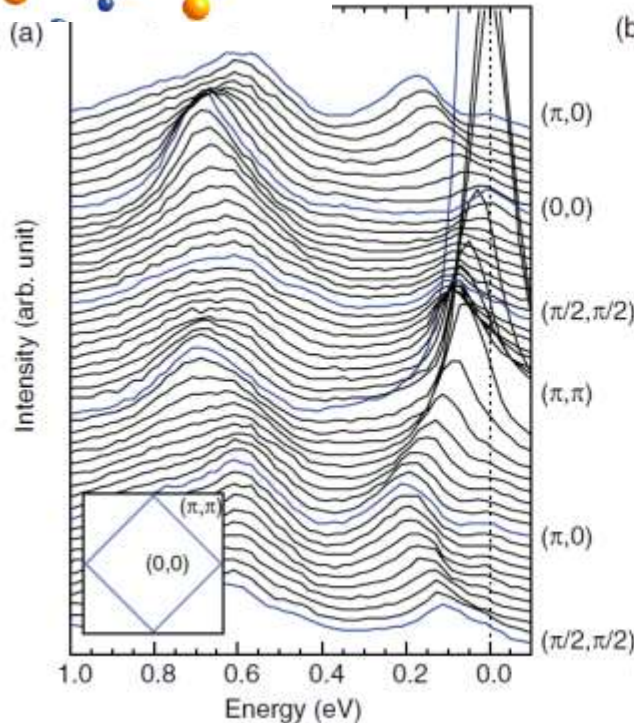
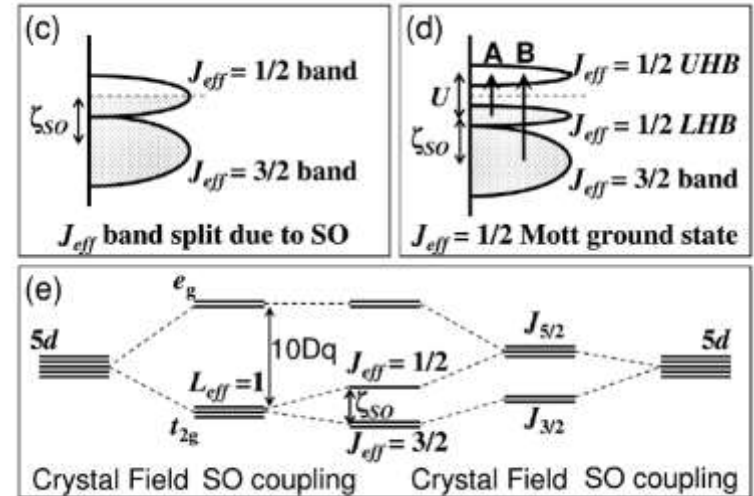
# Magnetic and orbital excitations in $\text{Sr}_2\text{IrO}_4$



Strong spin-orbit in the  $5d$

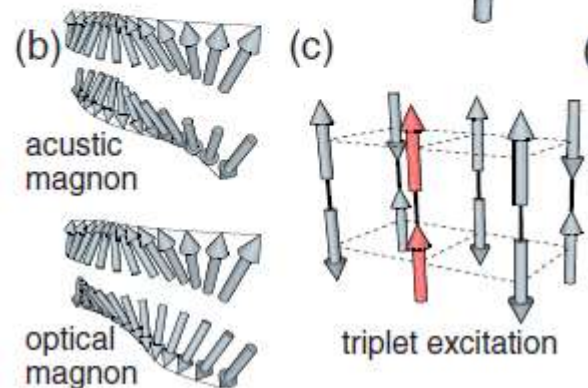
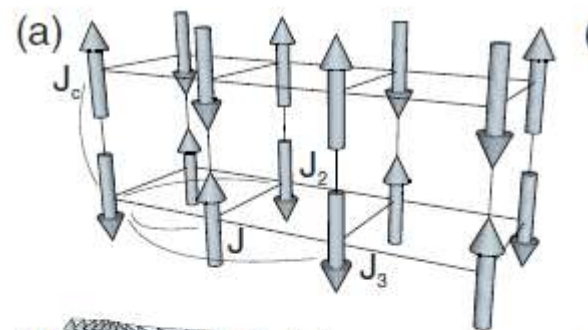
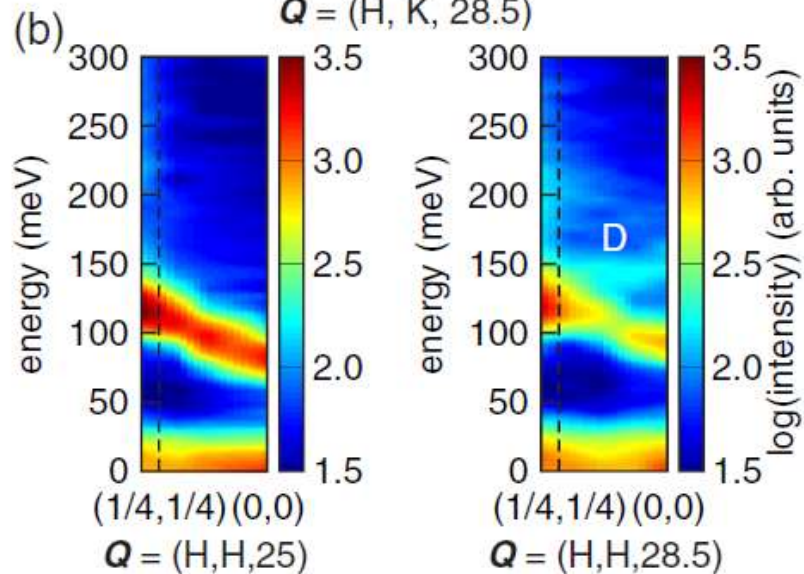
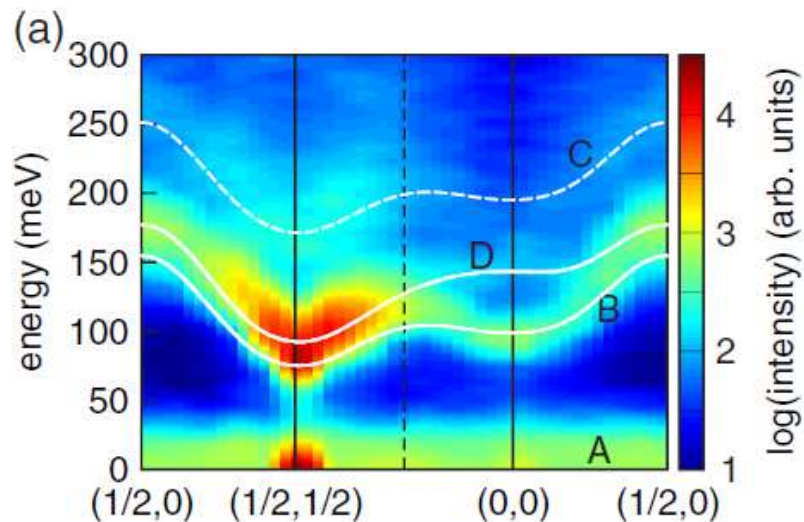
$(\zeta_{\text{SO}} \sim 0.4 \text{ eV})$

$L_3$  at 11.2 keV



Jungho Kim, D. Casa, M. H. Upton, T. Gog, Young-June Kim, J. F. Mitchell, M. van Veenendaal, M. Daghofer, J. van den Brink, G. Khaliullin, and B. J. Kim, Phys. Rev. Lett. **108**, 177003 (2012)

# Magnetic excitations in bilayer iridates



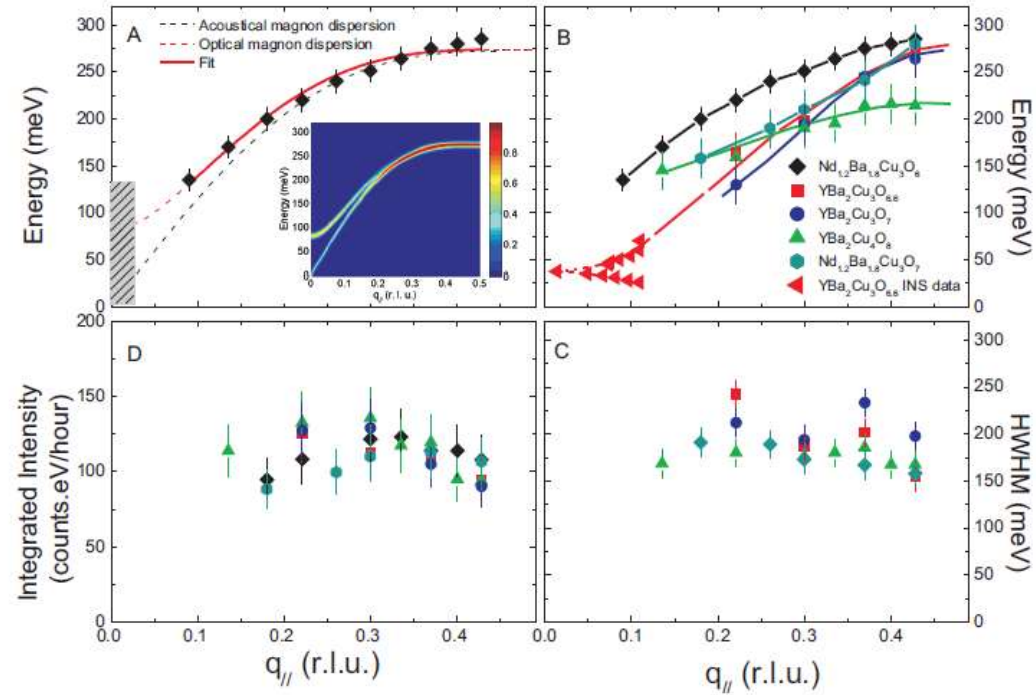
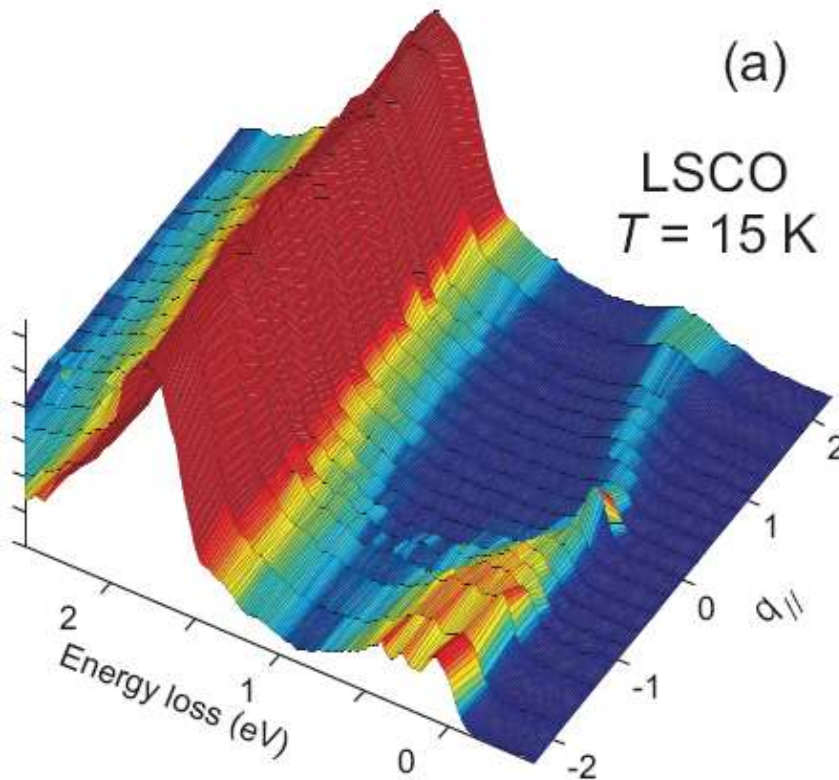
$J_c < J$

$J_c > J$

M. Moretti Sala, et al, PRB **92**, 024405 (2015)



# Superconductors: LSCO, YBCO and NdBCO



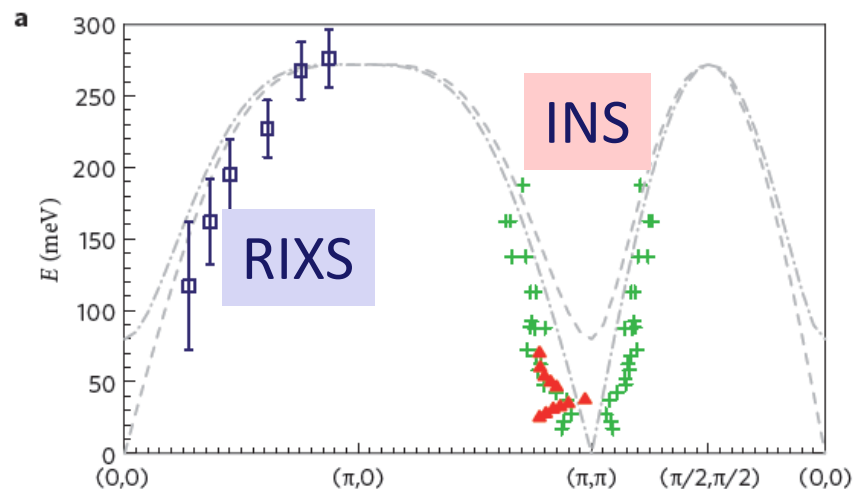
LSCO & NdBCO: 100 nm films on STO. YBCO: detwinned single crystals

Dispersing magnetic excitations are almost as strong in SC as in the AF parent compounds: they can be involved in Cooper pairing

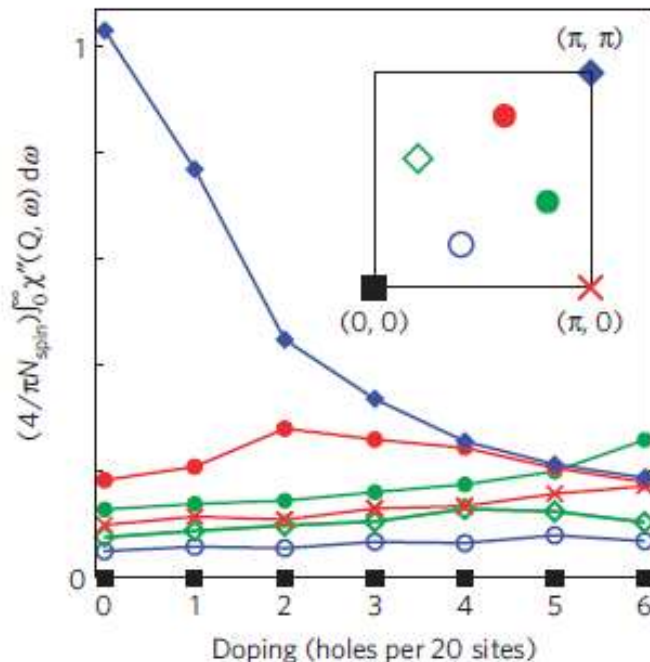
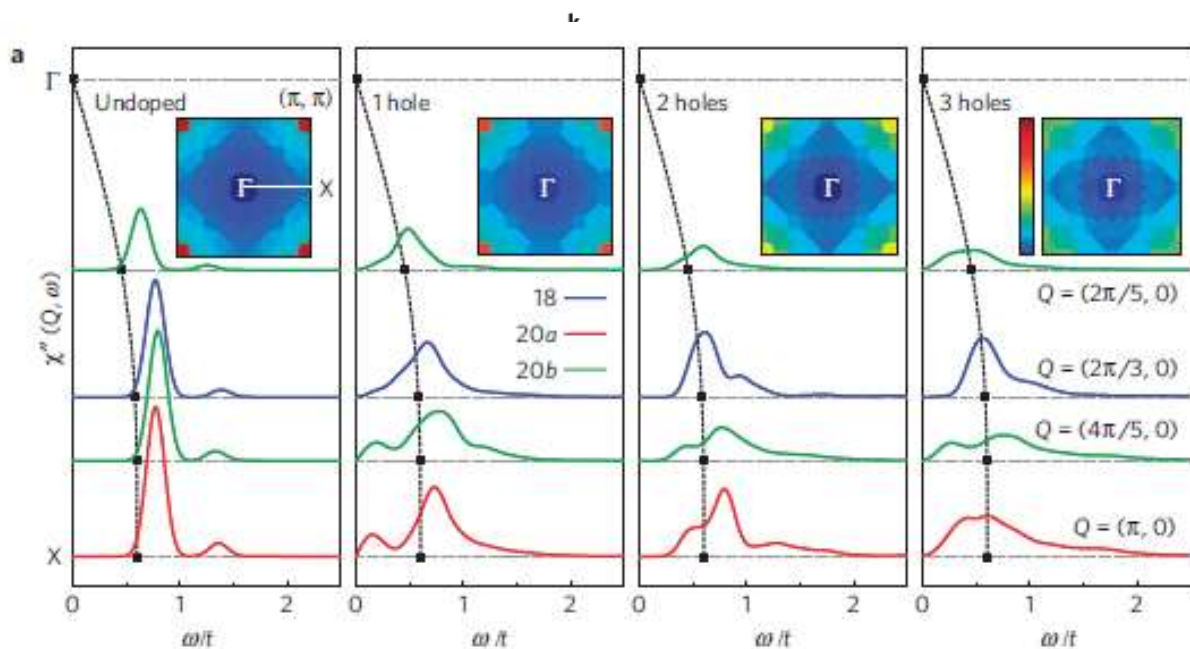
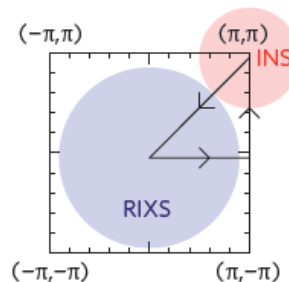
L. Braicovich, J. van den Brink, V. Bisogni, M. Moretti Sala, L. Ament, N.B. Brookes, G.M. de Luca, M. Salluzzo, T. Schmitt, and G. Ghiringhelli PRL **104** 077002 (2010)

M. Le Tacon, G. Ghiringhelli, J. Chaloupka, M. Moretti Sala, V. Hinkov, M.W. Haverkort, M. Minola, M. Bakr, K. J. Zhou, S. Blanco-Canosa, C. Monney, Y. T. Song, G. L. Sun, C. T. Lin, G. M. De Luca, M. Salluzzo, G. Khaliullin, T. Schmitt, L. Braicovich and B. Keimer, Nat. Phys. **7**, 725 (2011)

# YBCO: doping dependence of $\chi''$



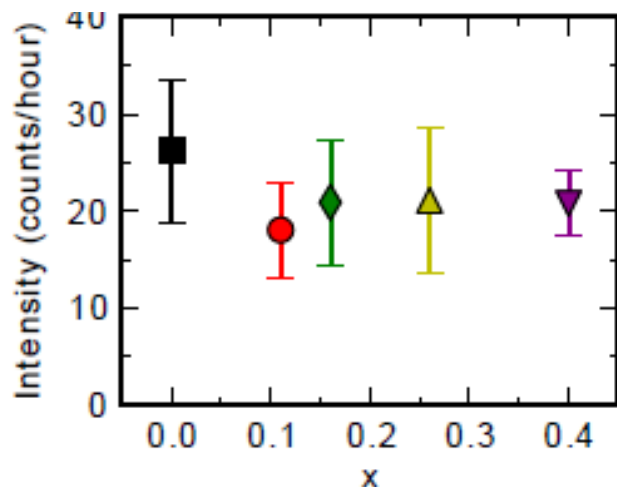
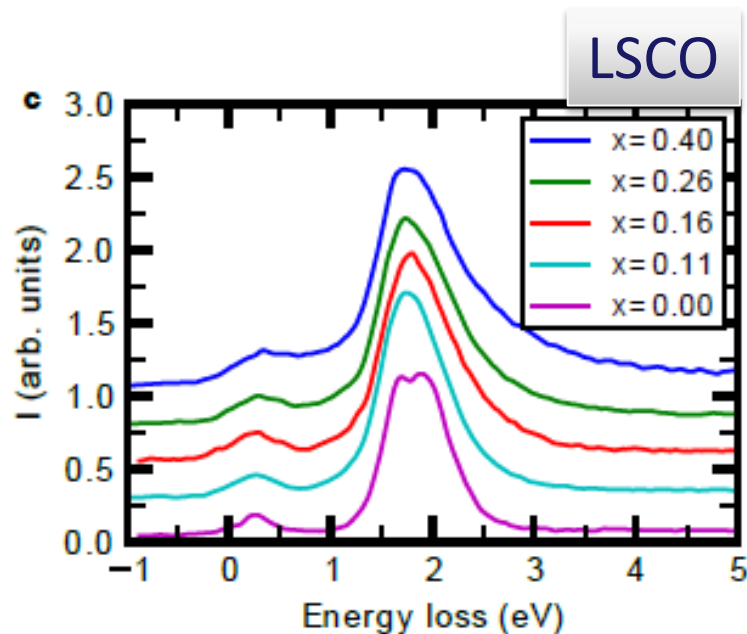
Matthias Vojta, *News and Views*, Nature Physics **7**, 674 (2011)



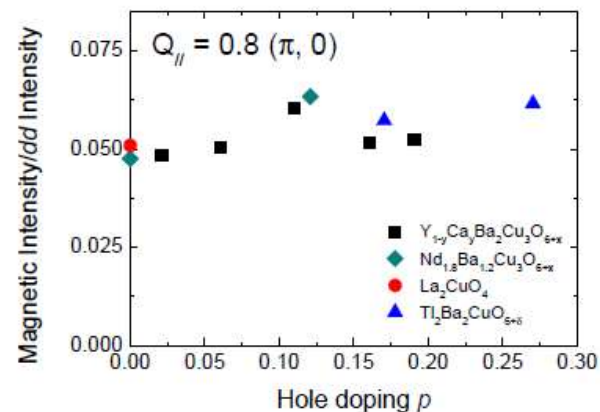
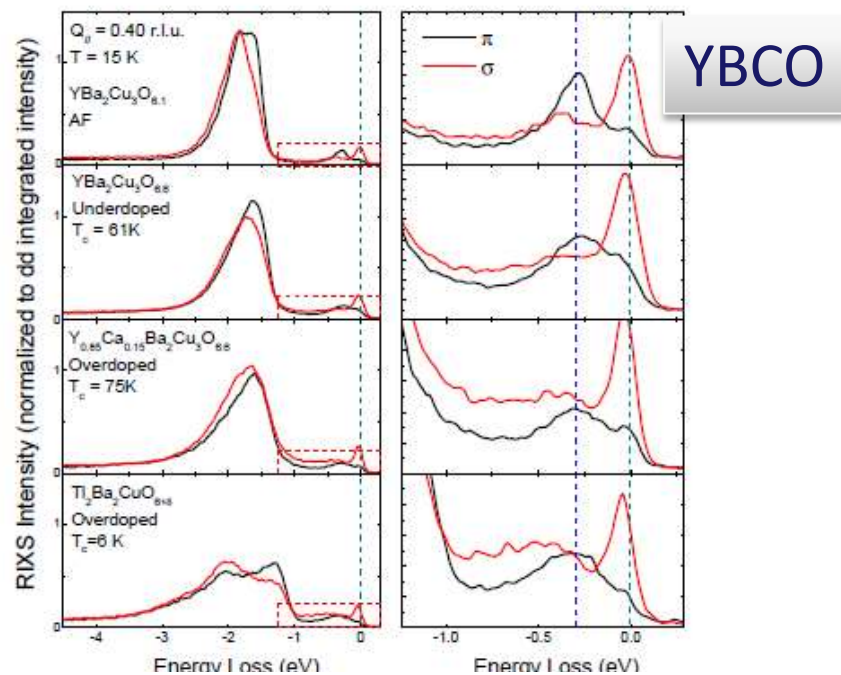
Imaginary part of the spin susceptibility  $\chi''(Q; \omega)$  resulting from exact diagonalization of the  $t$ - $J$  model with  $J/t=0.3$  on small cluster. (G. Khaliullin)

Energy-integrated  $\chi''$  of the 20-site cluster (normalized) for 7 accessible non-equivalent  $Q$  vectors. (G. Khaliullin)

# Persistent magnetic excitations in overdoped cuprates



$\pi$  pol

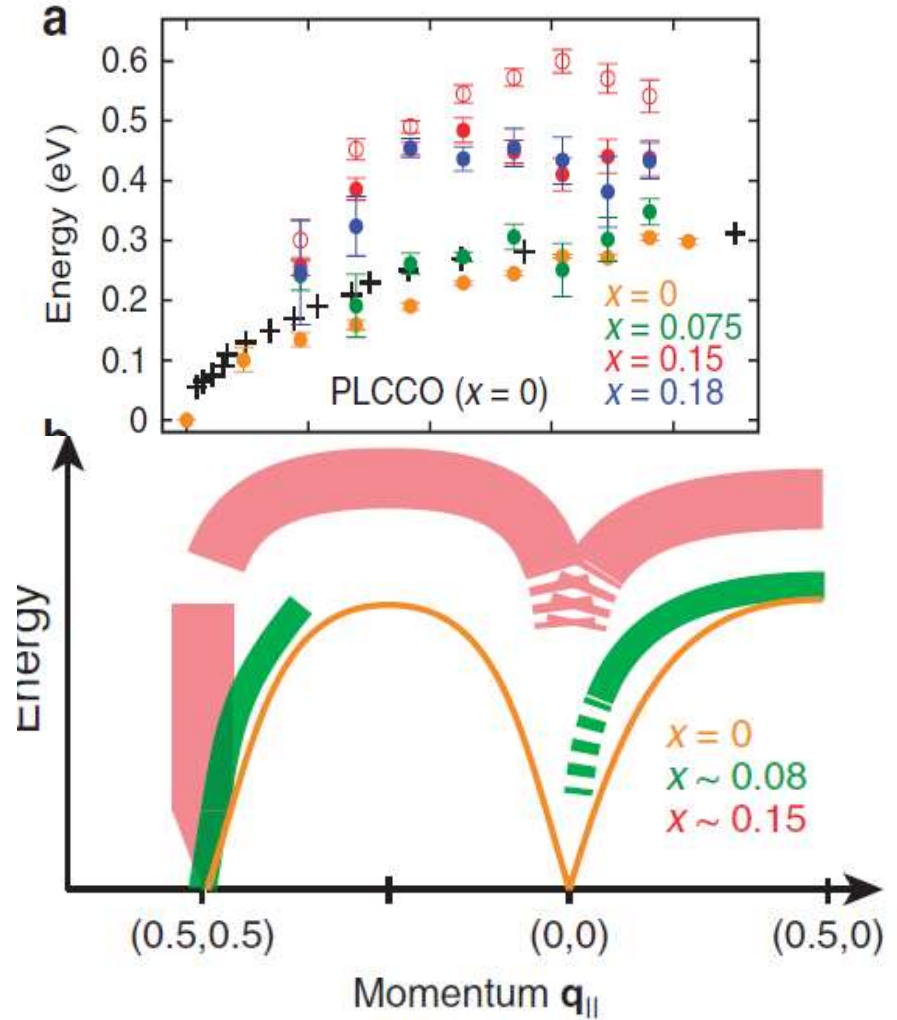
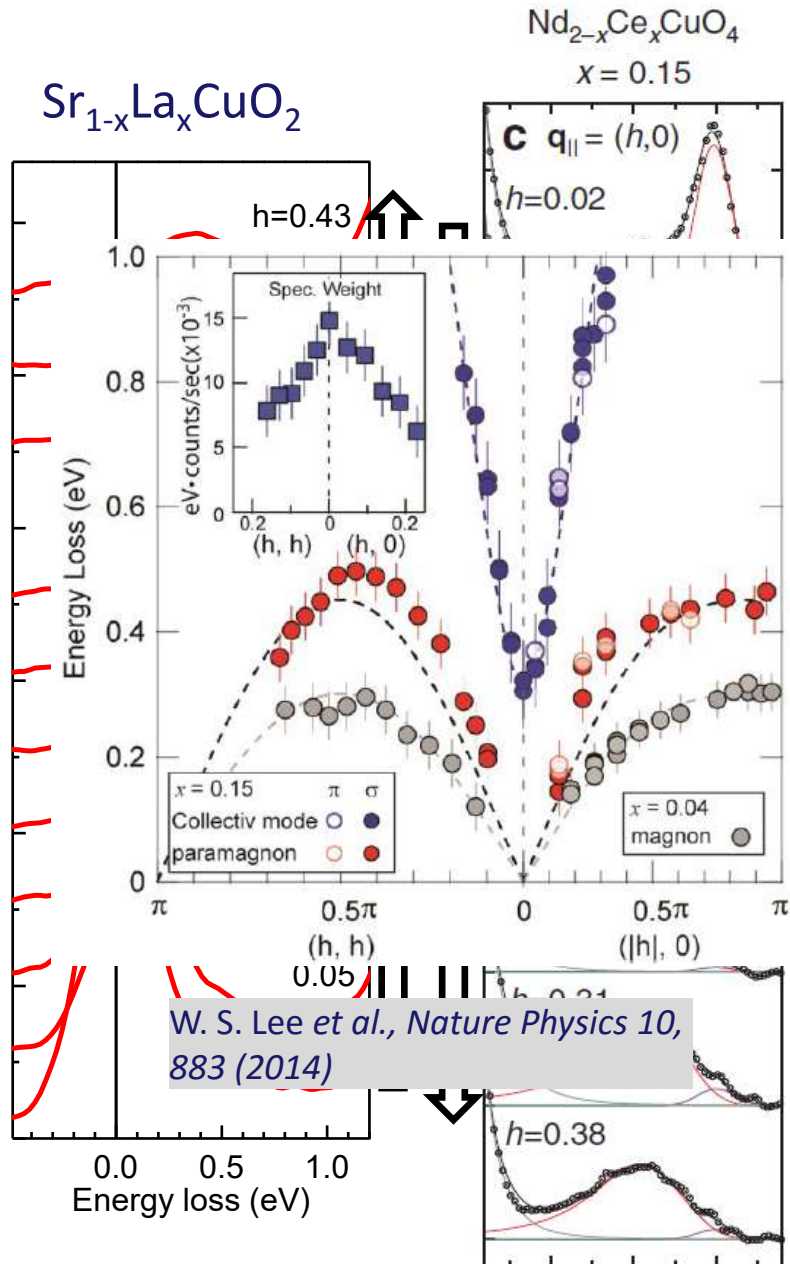


M. P. M. Dean, G. Dellea, R. S. Springell, F. Yakhov-Harris, K. Kummer, N. B. Brookes, X. Liu, Y.-J. Sun, J. Strle, T. Schmitt, L. Braicovich, G. Ghiringhelli, I. Bozovic, and J. P. Hill, *Nat. Mater.* **12**, 1019 (2013)

Giacomo Ghiringhelli 2016

M. Le Tacon, M. Minola, D. C. Peets, M. Moretti Sala, S. Blanco-Canosa, V. Hinkov, R. Liang, D. A. Bonn, W. N. Hardy, C. T. Lin, T. Schmitt, L. Braicovich, G. Ghiringhelli, and B. Keimer, *Phys. Rev. B* **88**, 020501 (2013)

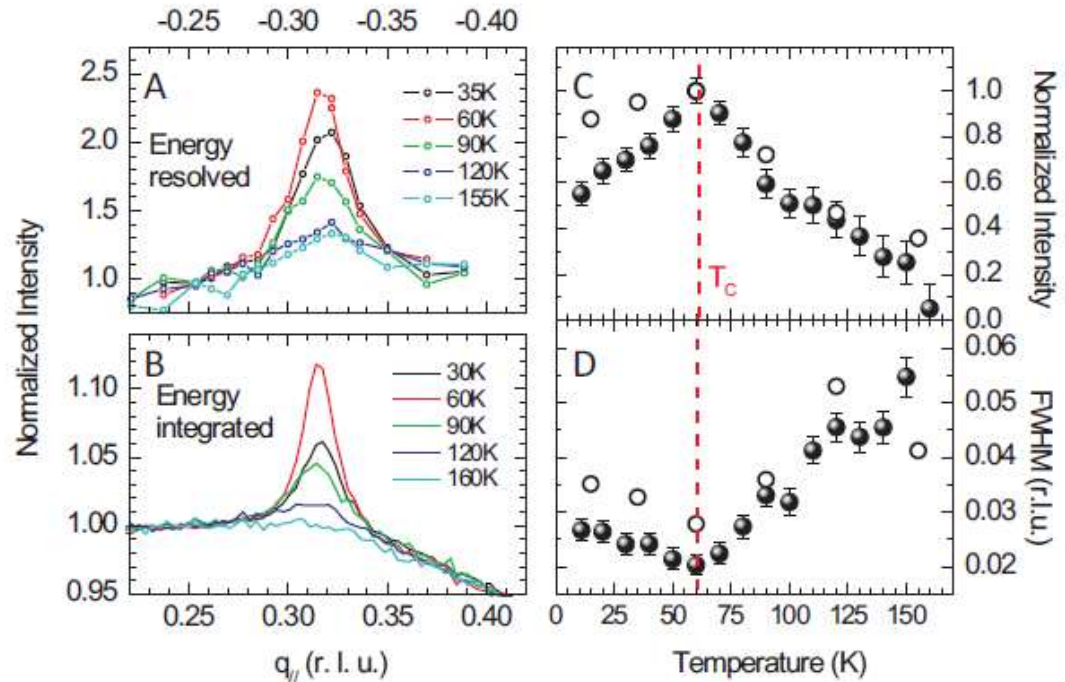
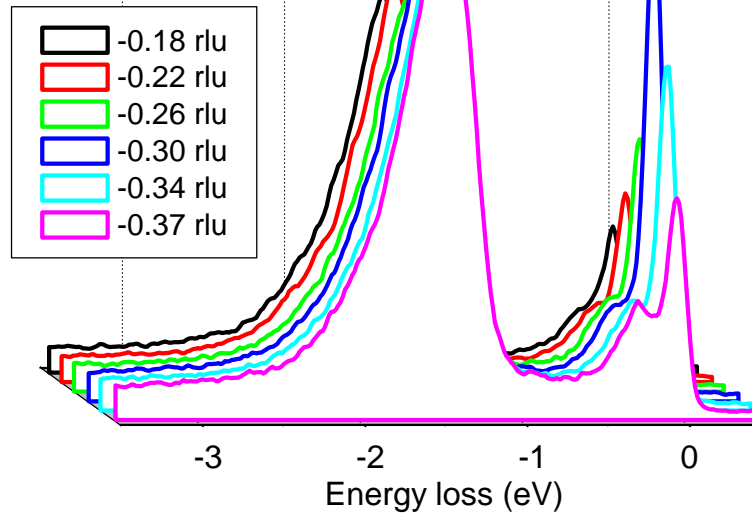
# Spin excitations in e-doped SC



K. Ishii, M. Fujita, T. Sasaki, M. Minola, G. Dellea, C. Mazzoli, K. Kummer, G. Ghiringhelli, L. Braicovich, T. Tohyama, K. Tsutsumi, K. Sato, R. Kajimoto, K. Ikeuchi, K. Yamada, M. Yoshida, M. Kurooka & J. Mizuki, *Nat. Comm.* 5, 3714 (2014)

# RIXS revealed Charge Order in HTcS

NBCO  $T_c=65K$   
V pol,  $T=15K$



Max intensity at  $T_c$ : CO compete with SC

G. Ghiringhelli, M. Le Tacon, M. Minola, S. Blanco-Canosa, C. Mazzoli, N.B. Brookes, G.M. De Luca, A. Frano, D. G. Hawthorn, F. He, T. Loew, M. Moretti Sala, D.C. Peets, M. Salluzzo, E. Schierle, R. Sutarto, G. A. Sawatzky, E. Weschke, B. Keimer, L. Braicovich, *Science* **337**, 821 (2012)

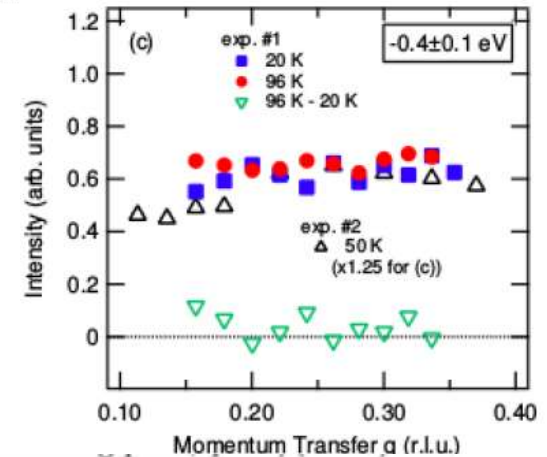
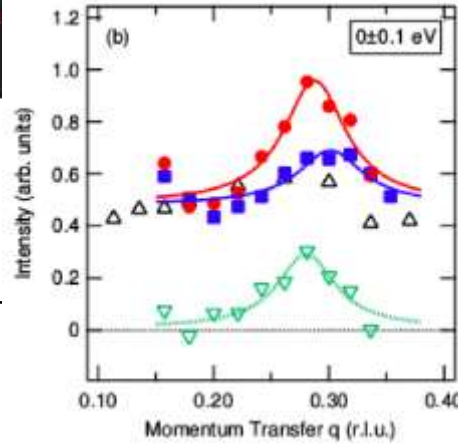
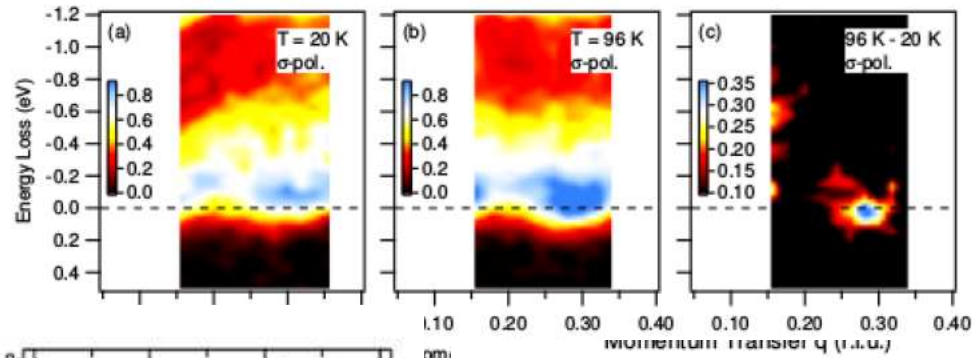
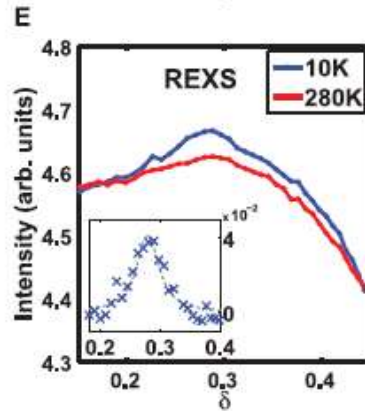
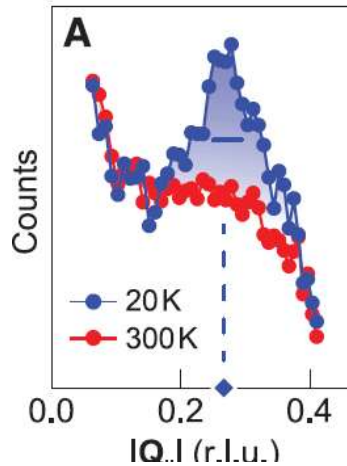
RXS (at Cu  $L_3$  and O K) in combination with STM, XRD and NMR has demonstrated that CO is ubiquitous in cuprates

# UD Bi2201, Bi2212, Hg1201 and OPD Bi2212

Bi2201 and Bi2212 underdoped

Bi2212 optimally doped

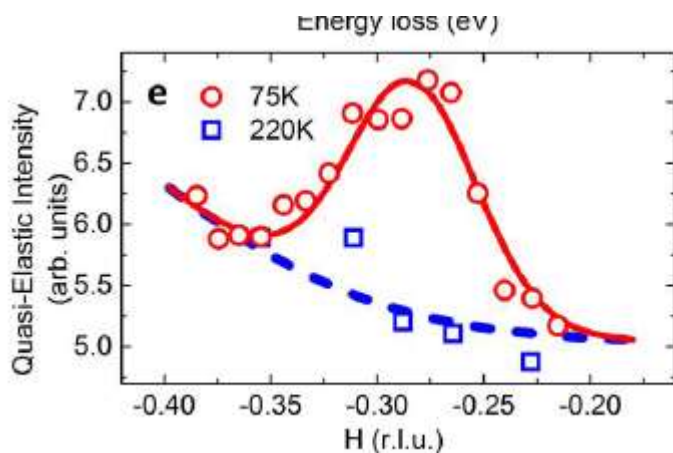
REXS - UD15K



R. Comin et al, Science 343, 390 (2014);

Eduardo H. da Silva Neto et al, Science 343, 393 (2014)

Hg1201 underdoped



Sample	$p$	$T_c$	$q_{  }$ (r.l.u.)	$\xi$ (Å)	refs.
Bi2201	0.115	15	0.265	26	[16]
Bi2201	0.130	22	0.257	23	[16]
Bi2201	0.145	30	0.243	21	[16]
Bi2212	0.09	45	0.30	24	[15]
Bi2212	0.160	98	0.28	<24 (at $T_c$ )	this work
YBCO	0.115	61	0.32	~60 (at $T_c$ )	[8, 10]
LBCO	0.125	2.5	0.236	~200	[4, 6-8]
LBCO	0.155	30	0.244	~240 (15 - 25 K)	[4, 6-8]

W. Tabis et al, Nat. Comm. 6875 (2014)

M. Hashimoto, G. Ghiringhelli et al, PRB 89 220511 (2014)

# ENERGY RESOLUTION: progress in the last 20 years

$\text{La}_2\text{CuO}_4$   
 $\text{Cu } 2p \rightarrow 3d$   
Photon energy  $\sim 931 \text{ eV}$

$\Delta E \sim 1.6 \text{ eV}$

K. Ichikawa *et al.*, J. Electron Spectrosc. Relat. Phenom. **78**, 183 (1996).

$\Delta E \sim 1.2 \text{ eV}$

L. C. Duda *et al.*, J. Electron Spectrosc. Relat. Phenom. **110–111**, 275 (2000).

$\Delta E \sim 0.8 \text{ eV}$

AXES @ ID08, 2003. G. Ghiringelli *et al.*, Phys Rev Lett. **92**, 117406 (2004).

$\Delta E \sim 0.45 \text{ eV}$

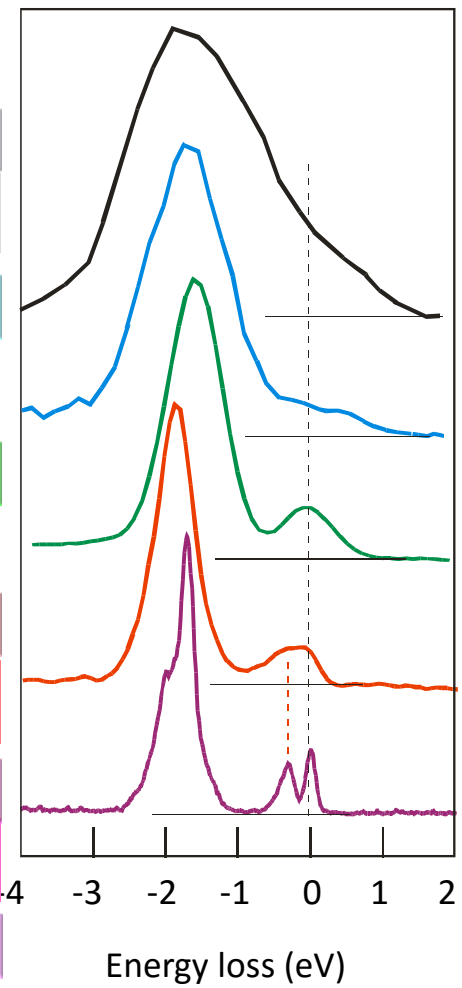
AXES @ ID08, 2007. L. Braicovich *et al.*, arXiv:0807:1140v1, (2008).

$\Delta E \sim 0.13 \text{ eV}$

SAXES @ SLS, 2008. G. Ghiringelli, L. Braicovich, T. Schmit *et al.*, unpublished

$\Delta E \sim 0.050 \text{ eV}$

$\Delta E \sim 0.030 \text{ eV}$



2000 Uppsala

2003 ESRF + AXES

2007

2008 SLS + SAXES

2015 ESRF + ERIXS  
2016

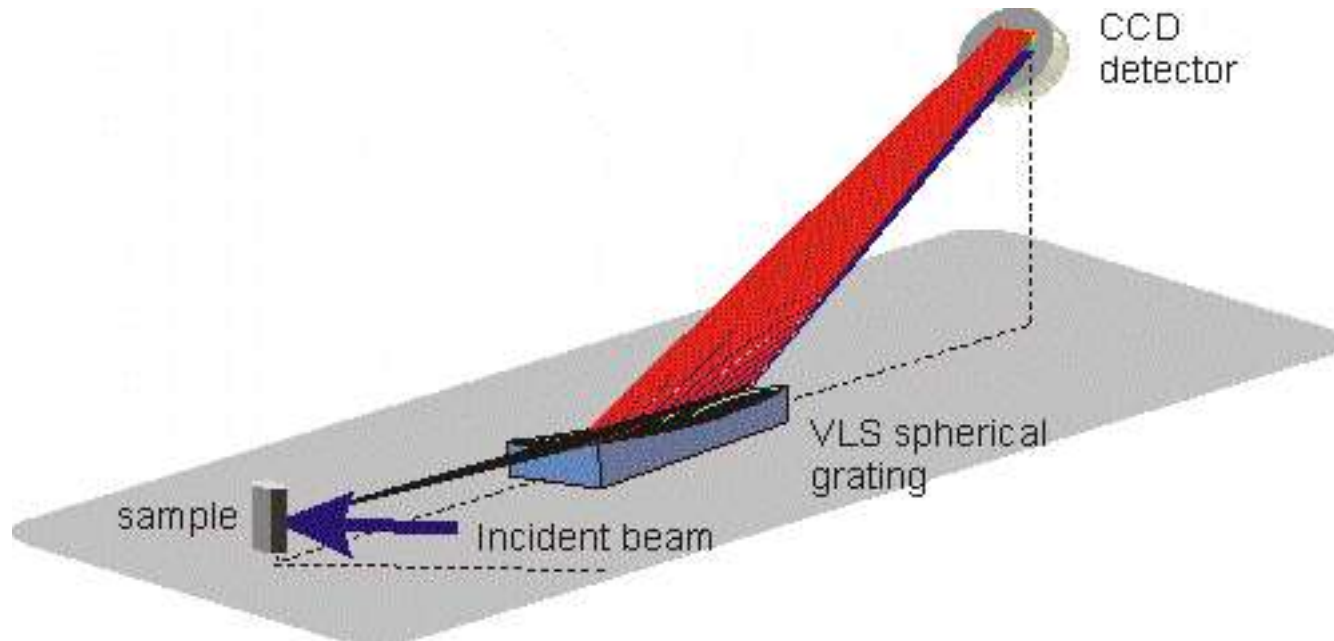
Combined resolving power has increased by a factor 30

# Soft x-ray RIXS instrumentation

High resolution mono, small x-ray spot on the sample

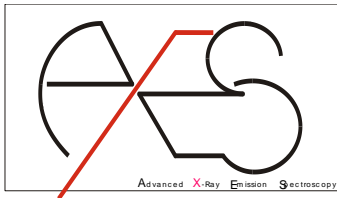
Grating spectrometer: optimized efficiency, high resolution

The main limiting factor is INTENSITY!!!!





# From AXES (ESRF, ID08) to SAXES (SLS, ADDRESS)



Since 1994: AXES at beam line ID08 of the ESRF

$L = 2.2 \text{ m}$

Design:  $E/\Delta E = 2,000$  at Cu  $L_3$  (930 eV)

2010:  $E/\Delta E = 5,000$  at Cu  $L_3$

Since 2007: SAXES at beam line ADDRESS of the SLS

$L = 5.0 \text{ m}$

Design:  $E/\Delta E = 12,000$  at Cu  $L_3$

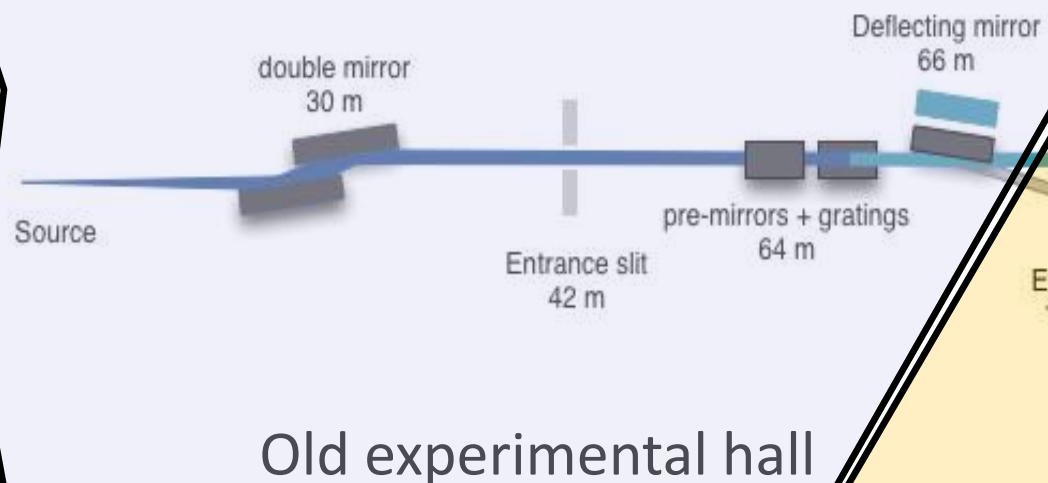
2011:  $E/\Delta E = 11,000$  at Cu  $L_3$

C. Dallera *et al.* J. Synchrotron Radiat. **3**, 231 (1996)  
G. Ghiringhelli *et al.*, Rev. Sci. Instrum. **69**, 1610 (1998)  
M. Dinardo *et al.*, Nucl. Instrum. Meth A **570**, 176 (2007)

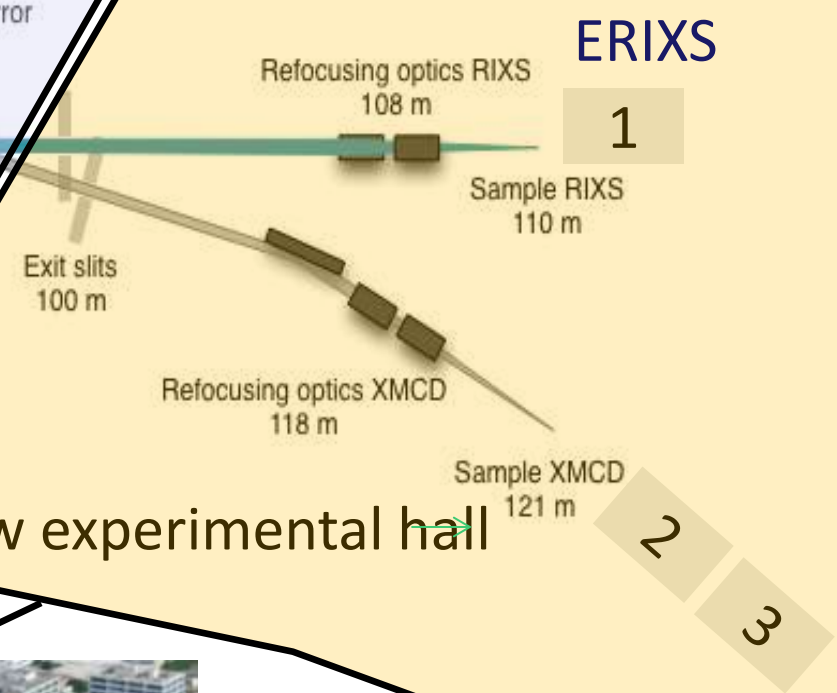
G. Ghiringhelli, et al Rev. Sci. Instrum. **77**, 113108 (2006)  
V. Strocov, T. Schmitt, L. Patthey et al, J. Synch. Rad., **17**, 631 (2010).



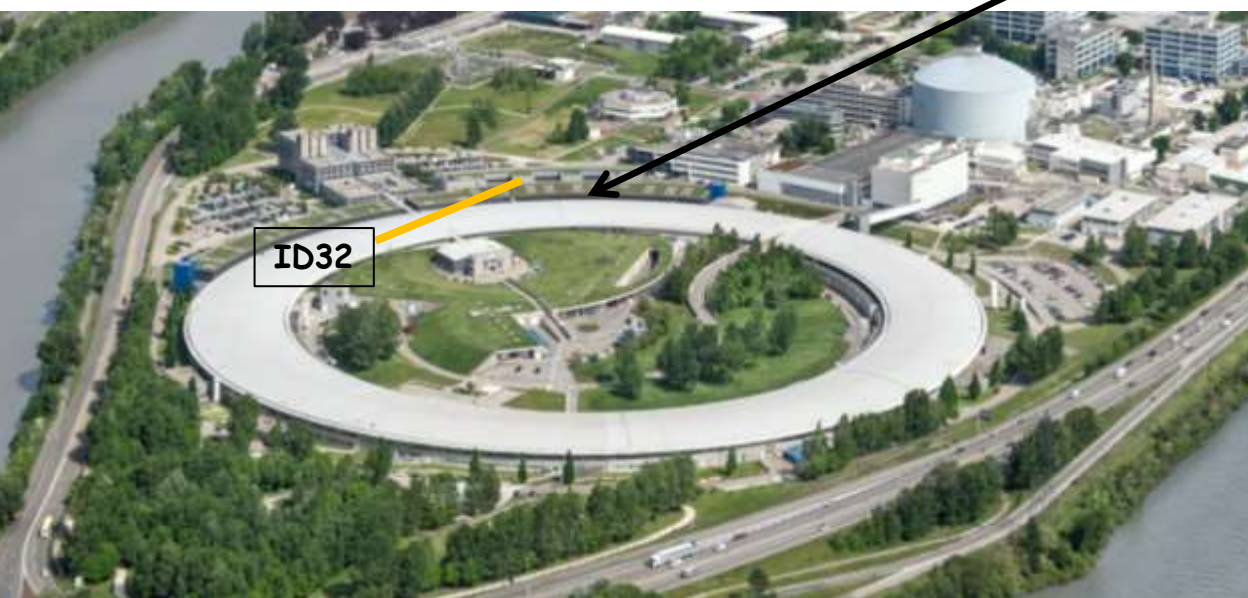
# New ID32 at the ESRF



Old experimental hall



New experimental hall

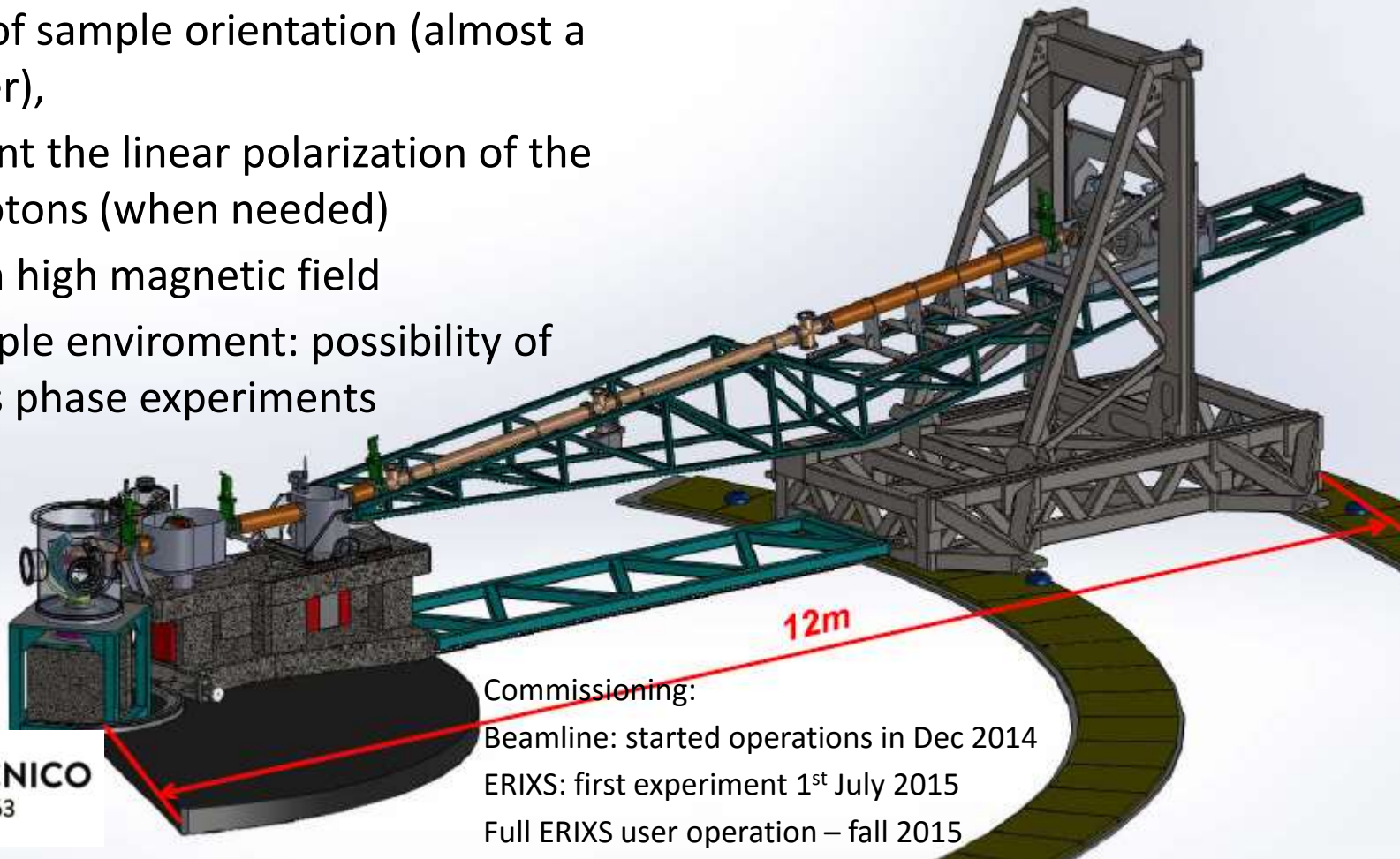


# ERIXS spectrometer at the new ID32

## FEATURES:

- $E/\Delta E > 20,000$  below 1000 eV from day one (50 meV at Cu  $L_3$ ) and  $E/\Delta E > 30,000$  ultimate
- continuous variation of scattering angle,
- full control of sample orientation (almost a diffractometer),
- measurement the linear polarization of the scattered photons (when needed)
- optionally in high magnetic field
- flexible sample environment: possibility of liquid and gas phase experiments

ESRF Upgrade program,  
N.B. Brookes, F. Yakhou,  
GG et al



Commissioning:

Beamline: started operations in Dec 2014

ERIXS: first experiment 1<sup>st</sup> July 2015

Full ERIXS user operation – fall 2015



Lucio Braicovich

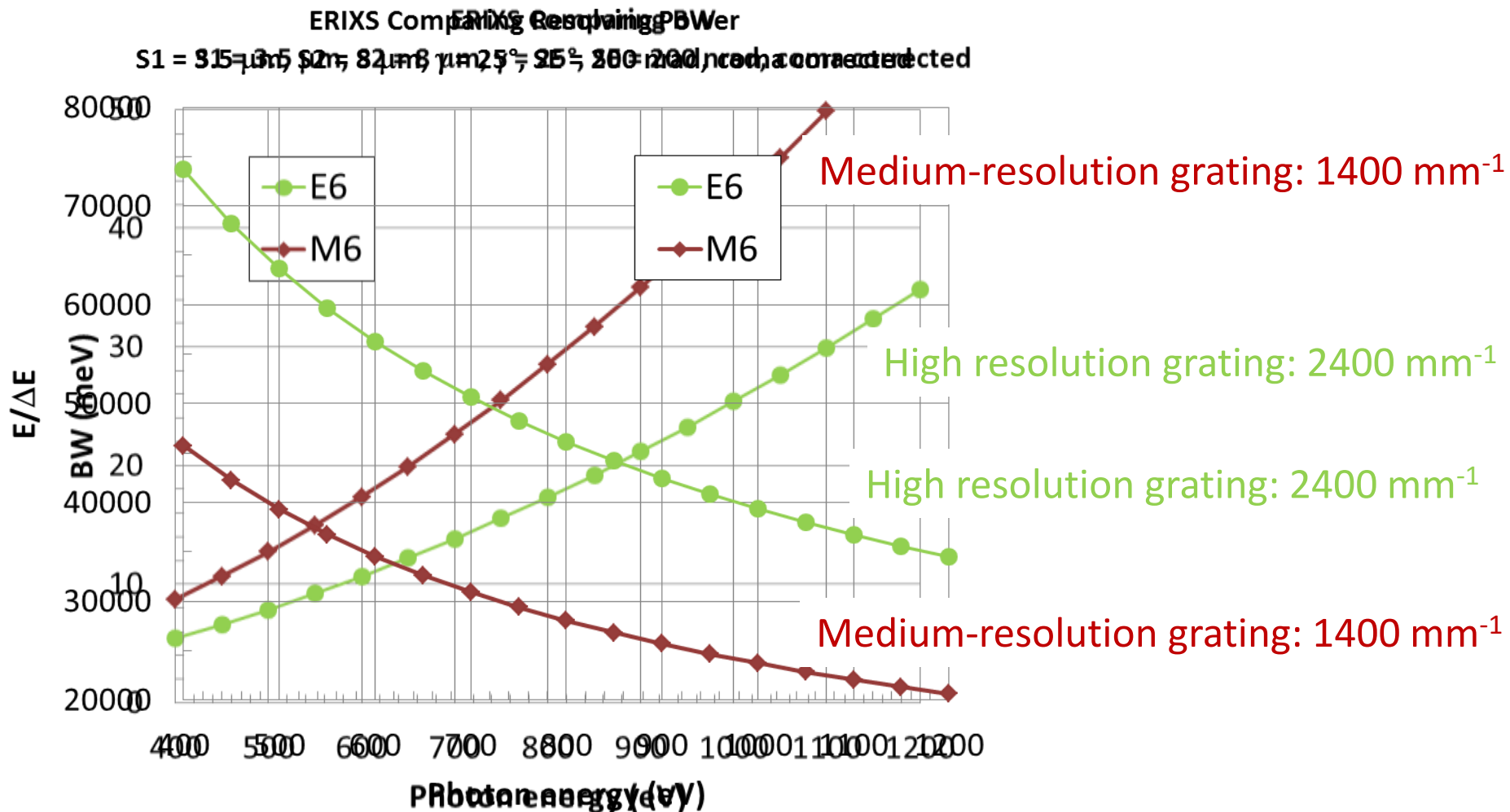
Nick Brookes



ERIXS, 27/04/2014

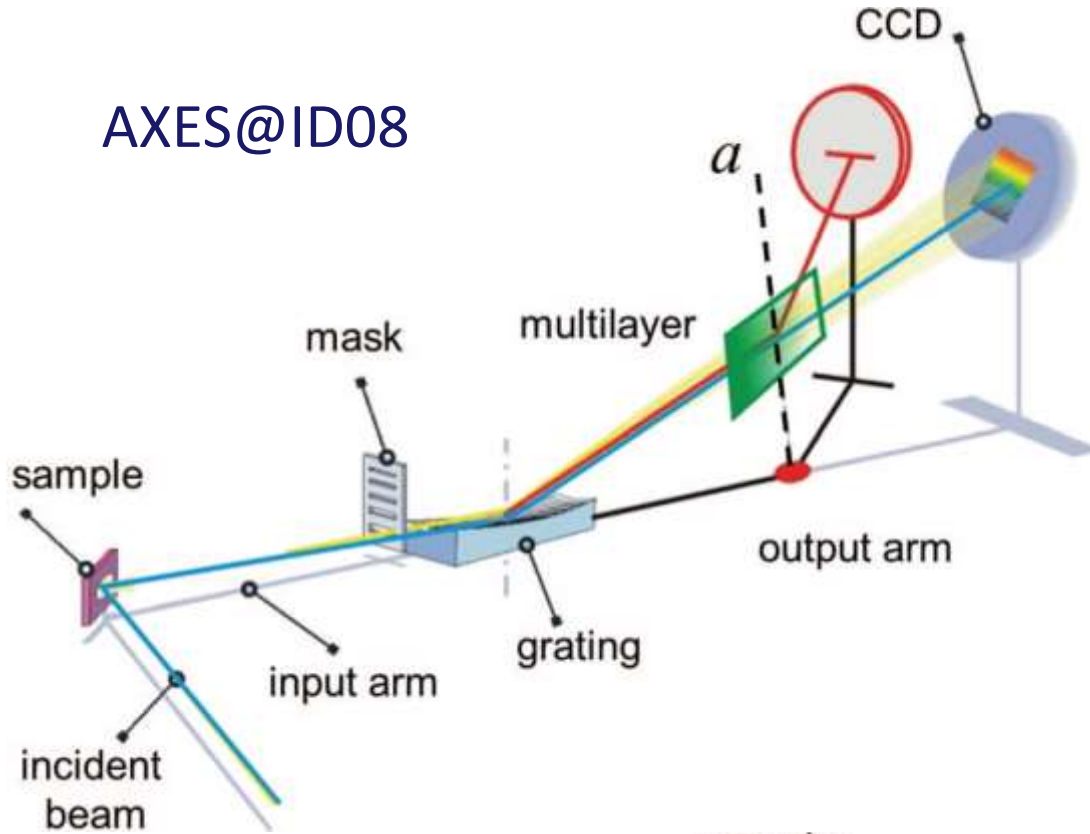


# ERIXS: Expected resolving power

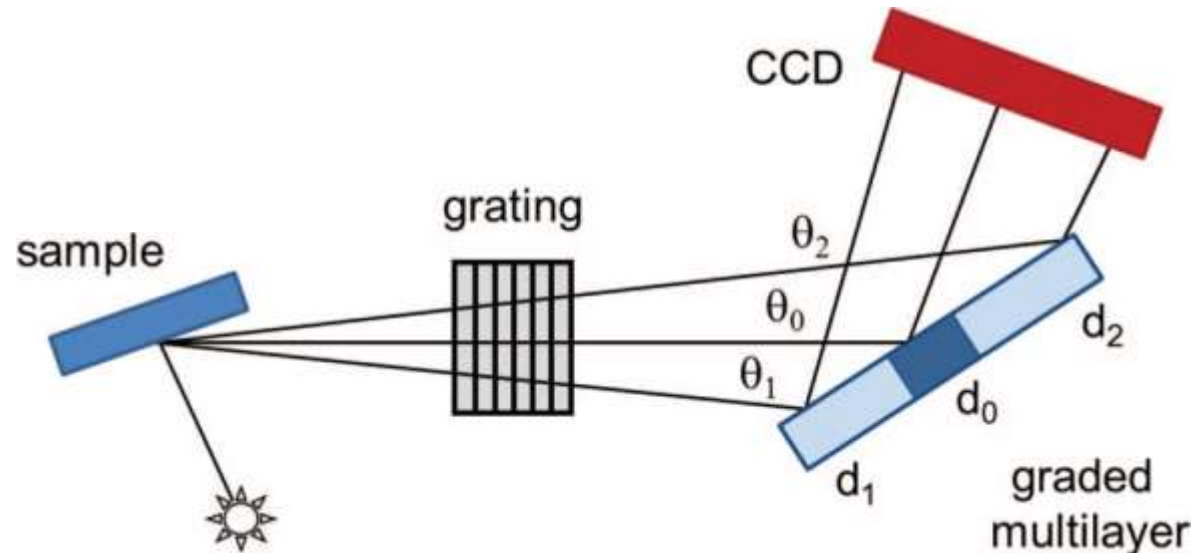


# A polarimeter for RIXS spectrometer

AXES@ID08

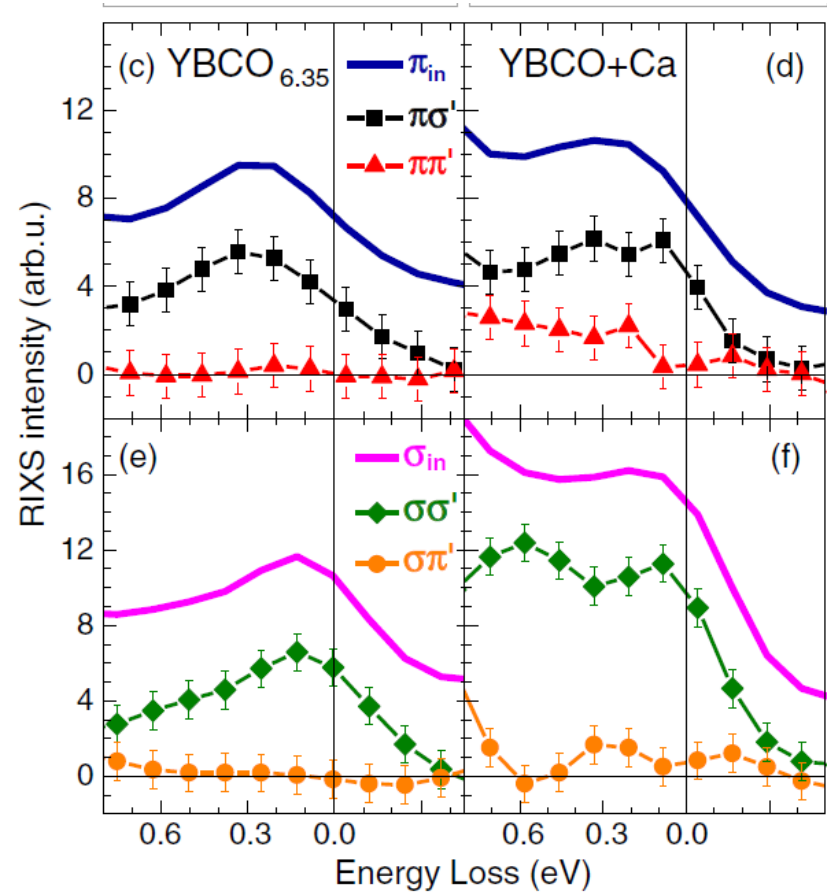
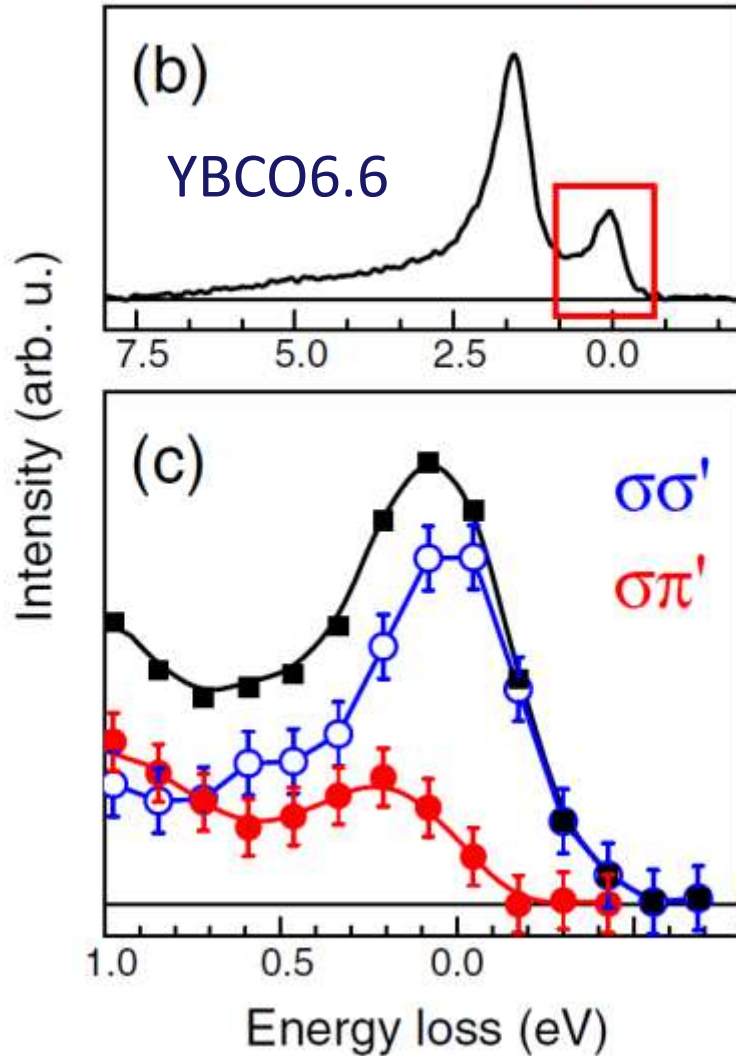


The CCD was rotated when the ML was inserted in the beam. One ML could cover limited energy range (Ni and Cu  $L_{2,3}$ ).



L. Braicovich, M. Minola, G. Dellea, M. Le Tacon, M. Moretti Sala, C. Morawe, J.-Ch. Peffen, R. Supruangnet, F. Yakhou, G. Ghiringhelli, and N. B. Brookes, Rev. Sci. Instrum. **85**,115104 (2014)

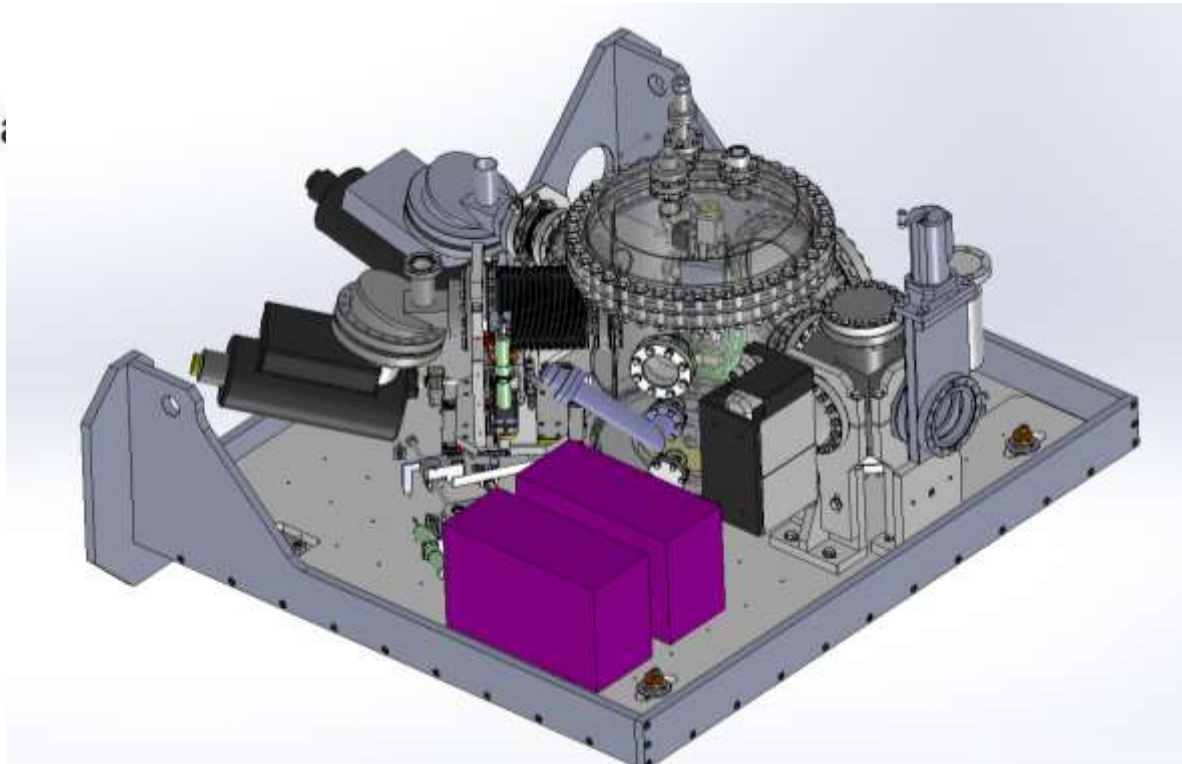
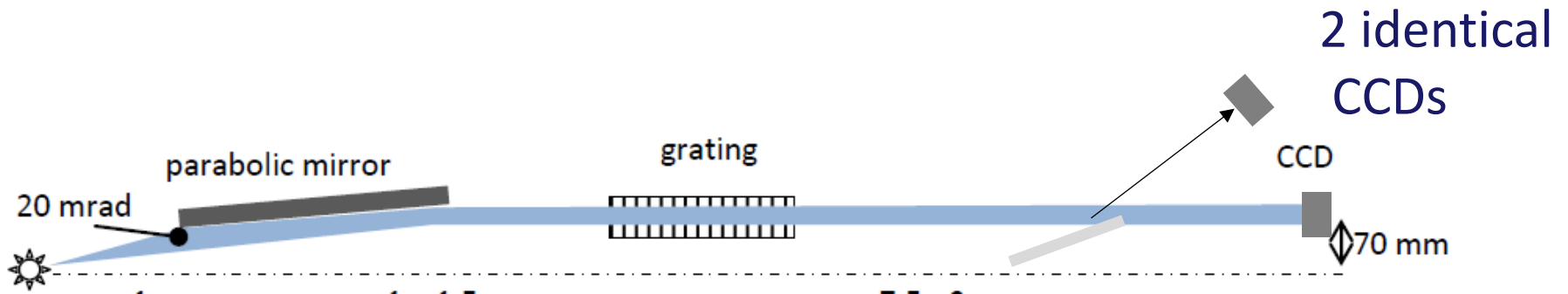
# AXES Polarimeter



M. Minola, G. Dellea, H. Gretarsson, Y. Y. Peng, Y. Lu, J. Porras, T. Loew, F. Yakhou, N. B. Brookes, Y. B. Huang, J. Pellicciari, T. Schmitt, G. Ghiringhelli, B. Keimer, L. Braicovich, and M. Le Tacon, Phys. Rev. Lett. 114, 217003 (2015)



# ERIXS Polarimeter



Covering with 2 ML mirrors most of the 520-1000 eV range

An evolution of the prototype made for AXES@ESRF that was used for real measurements

L. Braicovich, M. Minola, G. Dellea, M. Le Tacon, M. Moretti Sala, C. Morawe, J.-Ch. Peffen, R. Supruangnet, F. Yakhou, G. Ghiringhelli, and N. B. Brookes, *Rev. Sci. Instrum.* 85, 115104 (2014)

# ERIXS and the other HR soft-RIXS projects

SR FACILITY	E/ $\Delta E$ (combined)	Length	YEAR	NOTES
ESRF, ERIXS@ID32	30,000	11 m	2015	With Polarimeter
DIAMOND, IXS	40,000	14 m	2017	
MAX IV, Veritas	40,000	?	2017	Rowland Geometry
NSLS II, Centurion@SIX	70,000	15 m	2017	Hettrick-Underwood, 50 nrad slope error, 1 $\mu\text{m}$ spot on sample
European XFEL	20,000	5 m	2018	For non linear RIXS and pump-probe time-resolved RIXS

# Heisenberg RIXS: SCS beam line of European XFEL

## hRIXS consortium

The Heisenberg RIXS project at the European XFEL

Giacomo Ghiringhelli, Dip. Fisica, Politecnico di Milano

Marco Grioni, Ecole Polytechnique Fédérale de Lausanne

Rafael Abela, Paul-Scherrer-Institute

Torsten Schmitt, Paul-Scherrer-Institute

Bernhard Keimer, Max-Planck-Institut für Festkörperforschung Stuttgart

Simone Techert, Max-Planck-Institut für biophysikalische Chemie Göttingen

Jan-Erik Rubensson, Uppsala University

Joseph Nordgren, Uppsala University

Hao Tjeng, Max-Planck-Institut für Chemische Physik fester Stoffe Dresden

Matias Bargheer, Uni Potsdam

Jeroen van den Brink, IFW Dresden

Simo Huotari, Oulu, Finland

Maurits W. Haverkort, Max-Planck-Institut für Festkörperforschung Stuttgart

Frank de Groot, Utrecht University

Stefan Eisebitt, Technische Universität Berlin

Andrea Cavalleri, CFEL Hamburg, Oxford U.

Marc Simon, Laboratoire Chimie-Physique-Matière et Rayonnement, SOLEIL, Paris

Alexei Erko, Helmholtz-Zentrum Berlin

Alexander Föhlisch, Helmholtz-Zentrum Berlin and Uni Potsdam

## hRIXS working group

DESY Hamburg: Tim Laarmann, Wilfried Wurth, Simone Techert

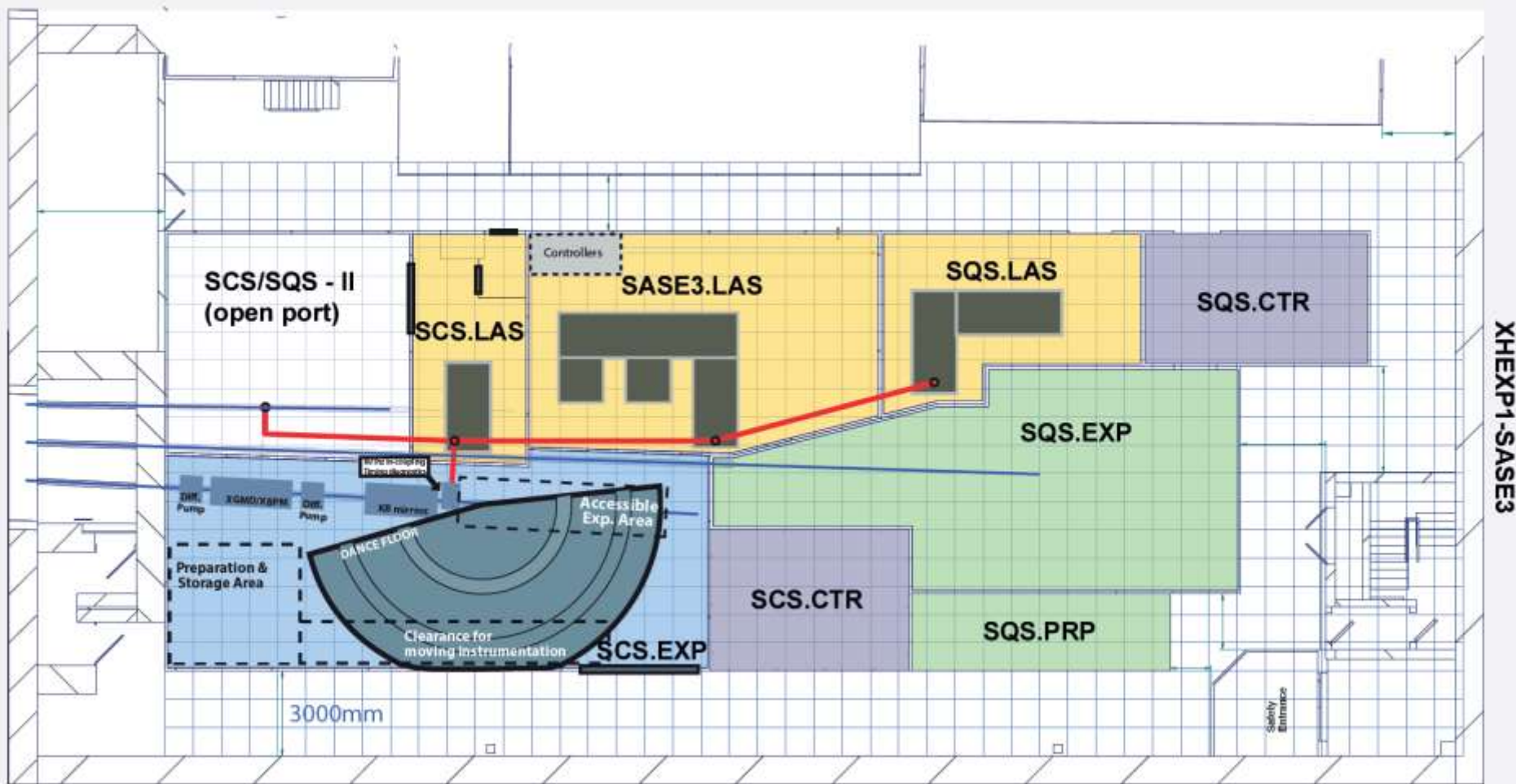
European XFEL: Andreas Scherz

Politecnico Milano: Ying Ying Peng, Giacomo Ghiringhelli

Uni Potsdam: Alexander Föhlisch



# hRIXS: boundary conditions

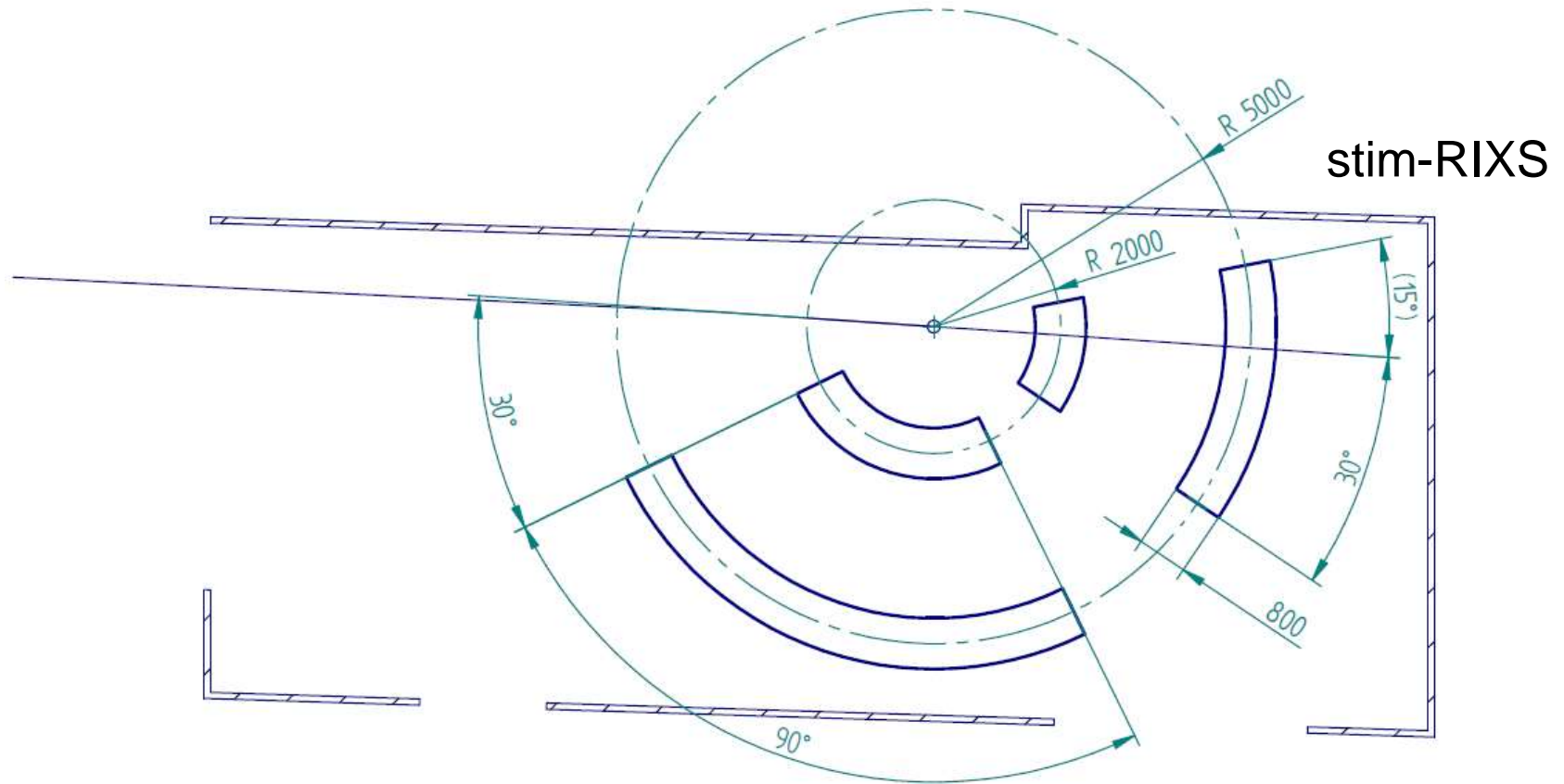


# hRIXS: boundary conditions

5-6 m scattering arm

Continuous rotation in backscattering ( $2\theta = 60^\circ - 150^\circ$ )

Possibility of full forward scattering ( $2\theta = 0^\circ - 20^\circ$ )

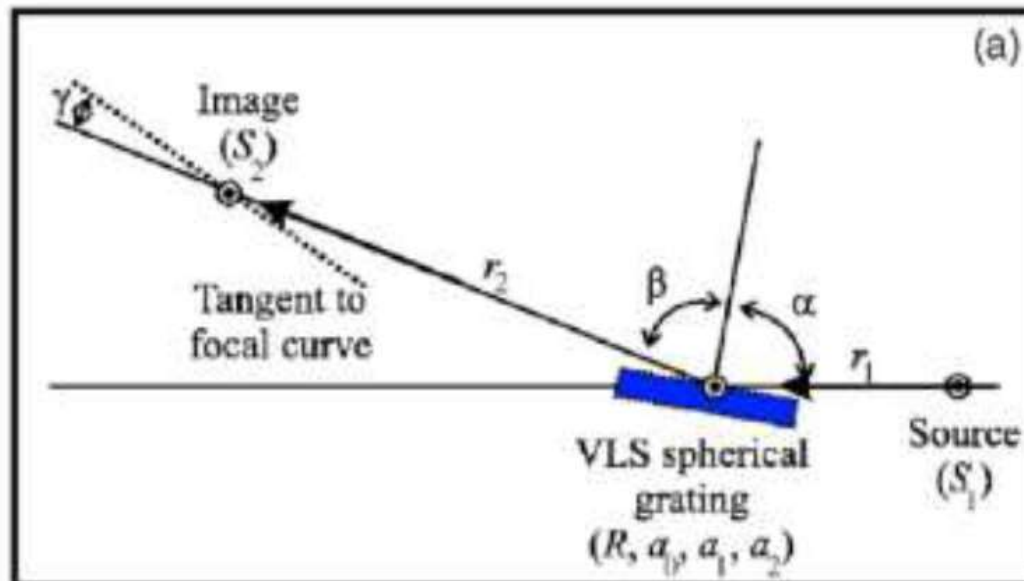


# We privilege flexibility

hRIXS will have to work with

- different source size (defocusing on sample to reduce beam damage in some cases)
- different detector resolution (from 10 micron for high resolution CCD to 100-200 micron for pixelated fast detectors)
- 5 m maximum length, that gives at least 5 mrad horizontal acceptance with 1" detectors

Therefore we abandoned the option of the collimating/refocusing mirror, to keep strictly



# Bibliography

REVIEWS OF MODERN PHYSICS, VOLUME 83, APRIL–JUNE 2011

## Resonant inelastic x-ray scattering studies of elementary excitations

Luuk J. P. Ament

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Thanks



***Insights into the high temperature superconducting cuprates from resonant inelastic X-ray scattering***

M.P.M. Dean

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Volume 376, 15 February 2015, Pages 3–13