

# Infrared Spectroscopy and Microscopy

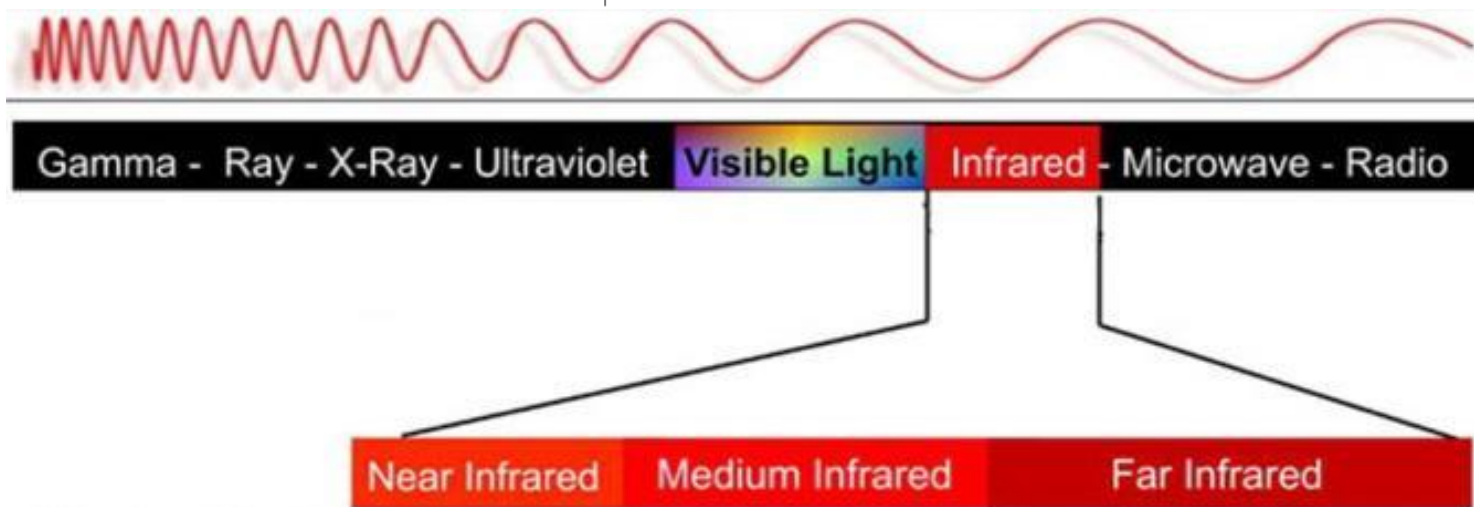
Lisa Vaccari  
SISSI beamline manager



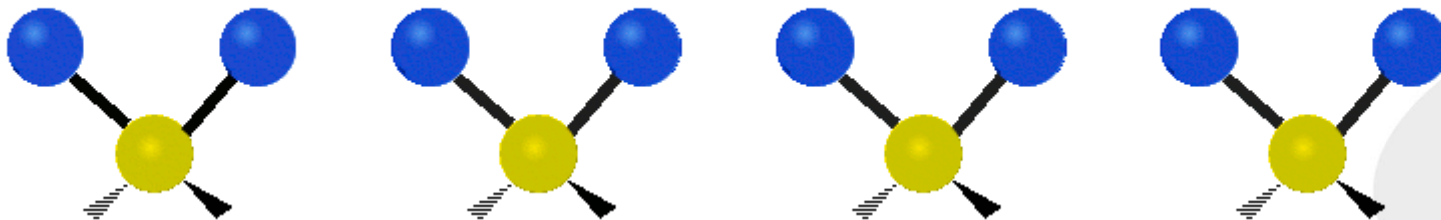
- Infrared Spectroscopy: Basic Concepts
- FTIR Spectromicroscopy: Instrumentation
- A brief history of IR spectroscopy at SR facilities
- IRSR: Generation and properties
- SR FTIR Microscopy: Selected application for life Sciences
- New trends for IRSR
  - FTIR Imaging and tomography
  - IR nanoscopy: beyond diffraction limit with near-field IR
  - Plasmonic for ultrasensitive SR FTIR microscopy

# Infrared Spectroscopy: Basic Concepts

# Electromagnetic Spectrum: a closer view into the IR spectral range



	<b>NIR</b>	<b>MIR</b>		<b>FIR</b>
$\lambda$ ( $\mu\text{m}$ )	0.74	3	30	300
$\nu$ (THz)	400	100	10	1
$\bar{\nu}$ ( $\text{cm}^{-1}$ )	~13000	~3333	~333	~33
E (eV)	1.65	0.413	0.041	0.004
E (Kcal/mol)	37	10	1	0.1





# Infrared Spectroscopy: Basic concepts

IR spectroscopy is an absorption spectroscopy that probes molecular vibrations

## The classical description of vibrational motion

### The Hooke's law

$$F(\text{restoring force}) = -k \cdot x,$$

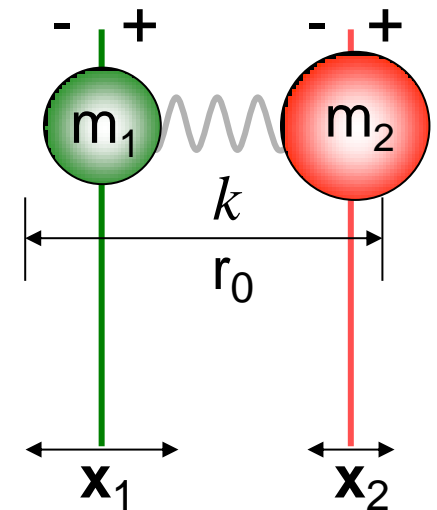
$$k = \text{Force constant } [Nm^{-1}]$$

Stronger the bond is,  
higher the value of  $k$  is

$$\nu = \frac{1}{2\pi} \sqrt{\frac{k}{\mu}}$$

$$\mu = \frac{m_1 m_2}{m_1 + m_2}$$

$$E_{\text{Total Energy}} = \frac{1}{2} k x^2$$

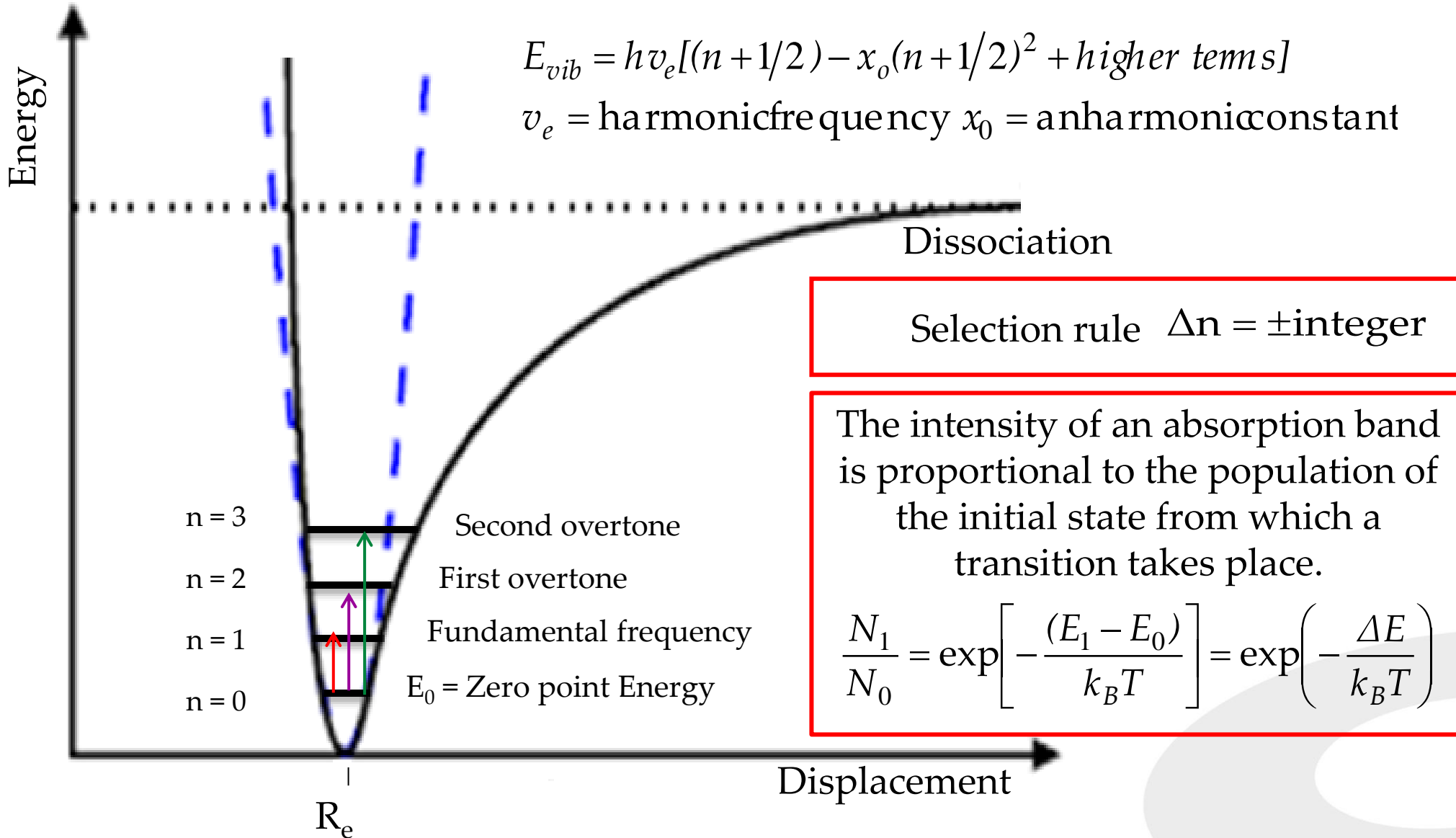


Any vibrational energy value is allowed by the classical solution as well as null vibrational energy



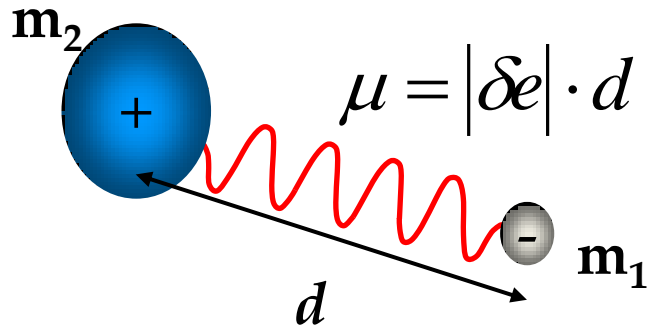
# Infrared Spectroscopy: Basic concepts

## The anharmonic oscillator in quantum mechanics



# Infrared Spectroscopy: Basic concepts

## Allowed and Forbidden vibrational Transitions



A vibrating molecule is a dipole oscillator that produces a stationary alternating electric field. The electric dipole moment oscillates at its fundamental vibrational frequency, and hence EMR of this frequency can be absorbed and induces vibrational transitions

Vibrations that do not induce variation of the dipole moment of the molecule are forbidden

## How many vibrational transitions?

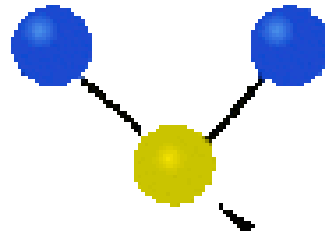
By exploiting molecular symmetries, it can be demonstrated that any vibration of a molecule constituted by N atoms can be described by the suitable combinations of  $3N-6$  ( $3N-5$  for linear molecules) simple harmonic vibrations, the so called NORMAL MODES of vibration.

Normal modes of vibration are characterized by all the atoms moving in phase (they pass thorough their equilibrium position at the same time), at the same frequency but with different amplitudes.

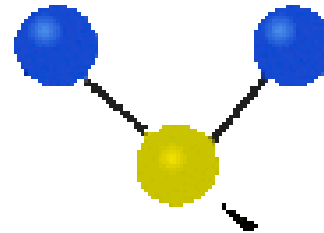
# Infrared Spectroscopy: Basic concepts

## Stretching modes ( $\nu$ )

Symmetric Stretching

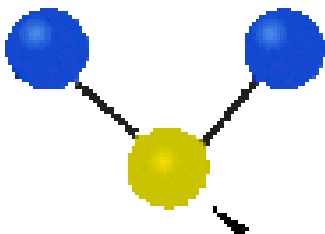


Antisymmetric Stretching

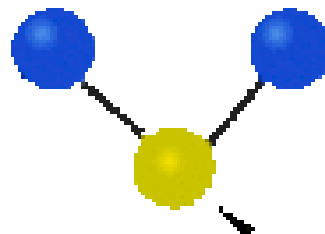


## Deformation modes

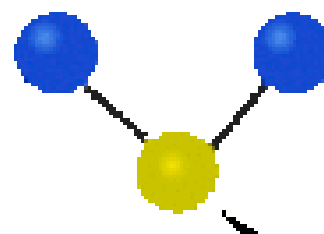
Scissoring ( $\delta$ )



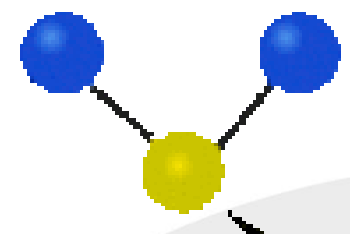
Rocking ( $\rho$  or  $\varrho$ )



Wagging ( $\omega$ )



Twisting ( $\tau$ )



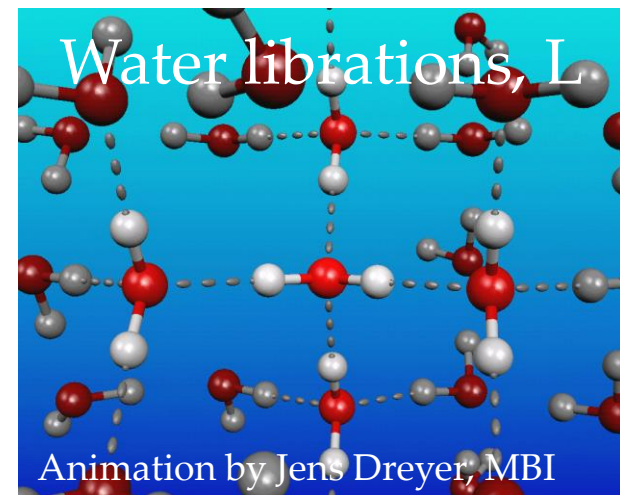
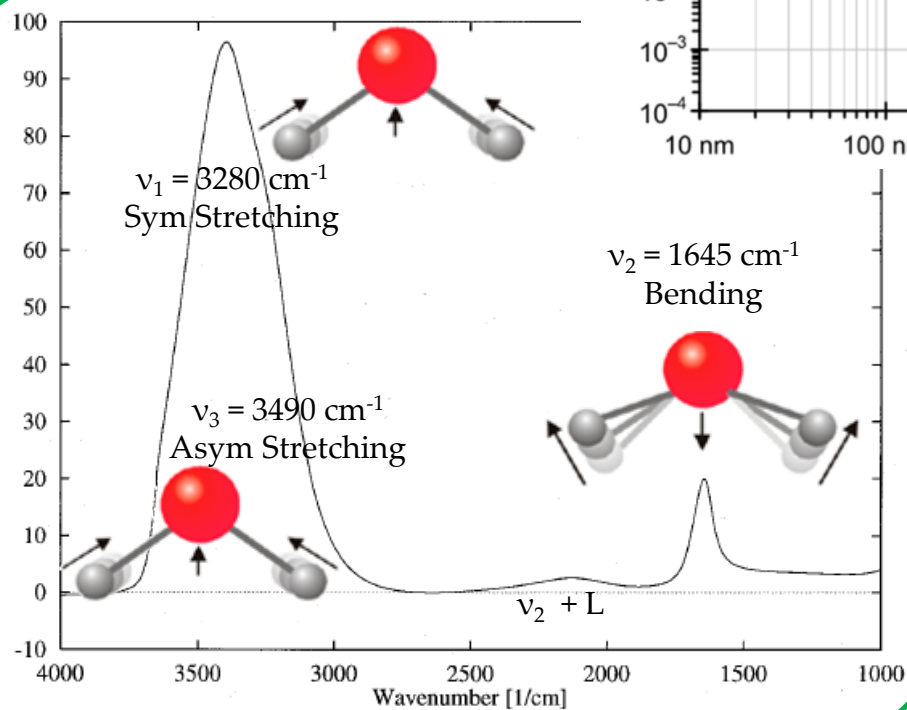
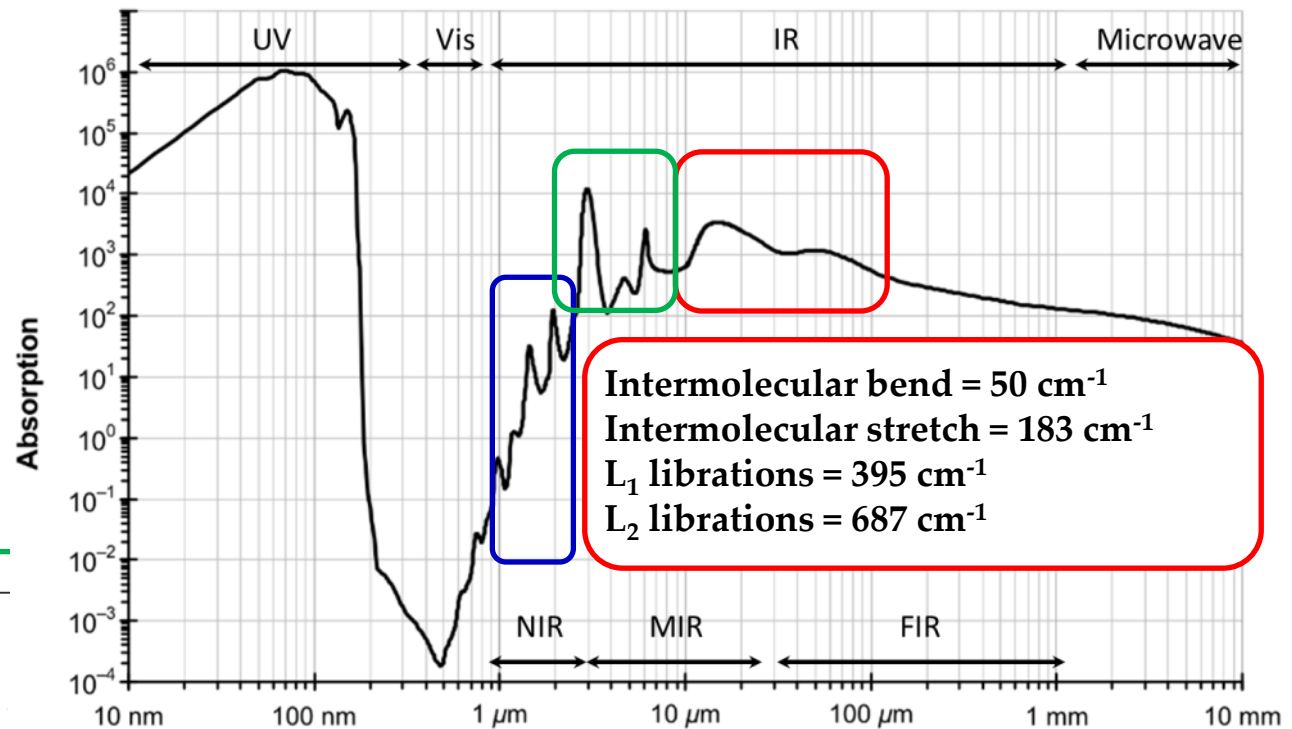
In plane deformations

Out plane deformations

# Infrared Spectroscopy: Basic concepts

An example:  
Vibrational Spectrum  
of liquid water

Overtone  
and  
combination bands



# Infrared Spectroscopy: Basic concepts

## FROM PEAK POSITION, INTENSITY AND WIDTH

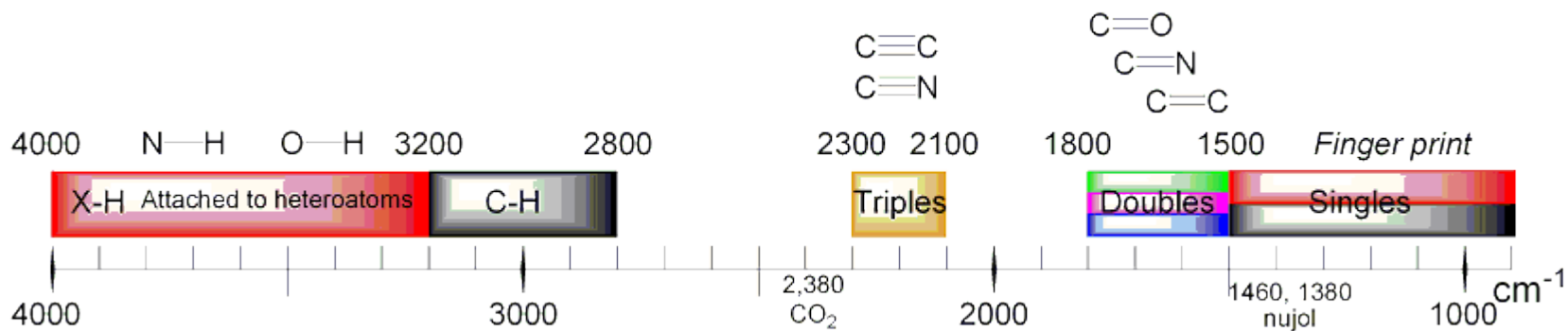
NATURE OF ATOMS INVOLVED IN THE SPECIFIC VIBRATION

PARAMETERS OF THE ATOMIC BOND : BOND STRENGTH AND LENGTH

BOND CONFORMATION: DOUBLE BOND CIS/TRANS, PROTEINS' CONFORMATION,....

CHEMICAL ENVIRONMENT (THROUGH MODULATION OF THE DIPOLE MOMENT)

ROTATIONAL MODES IN THE FIR REGION



## FROM WHOLE SPECTRUM

NATURE OF THE MOLECULE: SPECTRAL FINGERPRINT=> MOLECULAR IDENTIFICATION

SAMPLE INTERACTIONS: FREE/BOUND WATER ...

SAMPLE EVOLUTION: REACTION KINETIC, AGING, PHYSICO CHEMICAL TREATMENT,  
CONSTRAINTS (PRESSURE, TEMPERATURE, pH) ...

ATOMIC BOND ORIENTATION: POLARIZATION MEASUREMENT

## QUANTITATIVE or SEMI-QUANTITATIVE ANALYSIS

SIMPLE MIXTURES: BEER LAMBERT BOUGUER LAW

COMPLEX MIXTURE : PLS, CLS, ALS, MCR, PCR ...

# FTIR Spectromicroscopy: Instrumentation



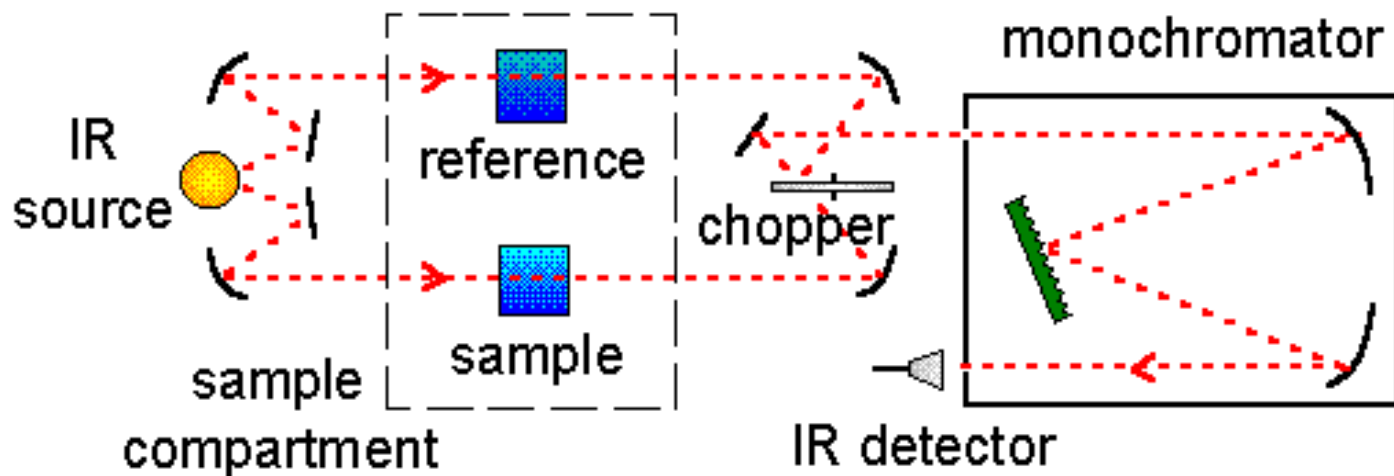
# FTIR Spectromicroscopy: Instrumentation

When dealing with molecular species (normal modes of vibration  $3N-6$ ), the absorption profile at a single frequency (or limited spectral range) is scarcely useful. Only a multi-frequency profile can account for the system complexity and its interaction with the environment



An FTIR spectrum needs to be energy resolved over a large spectral range

## The past instrumentation: Dispersive Interferometers



©1995 CHP

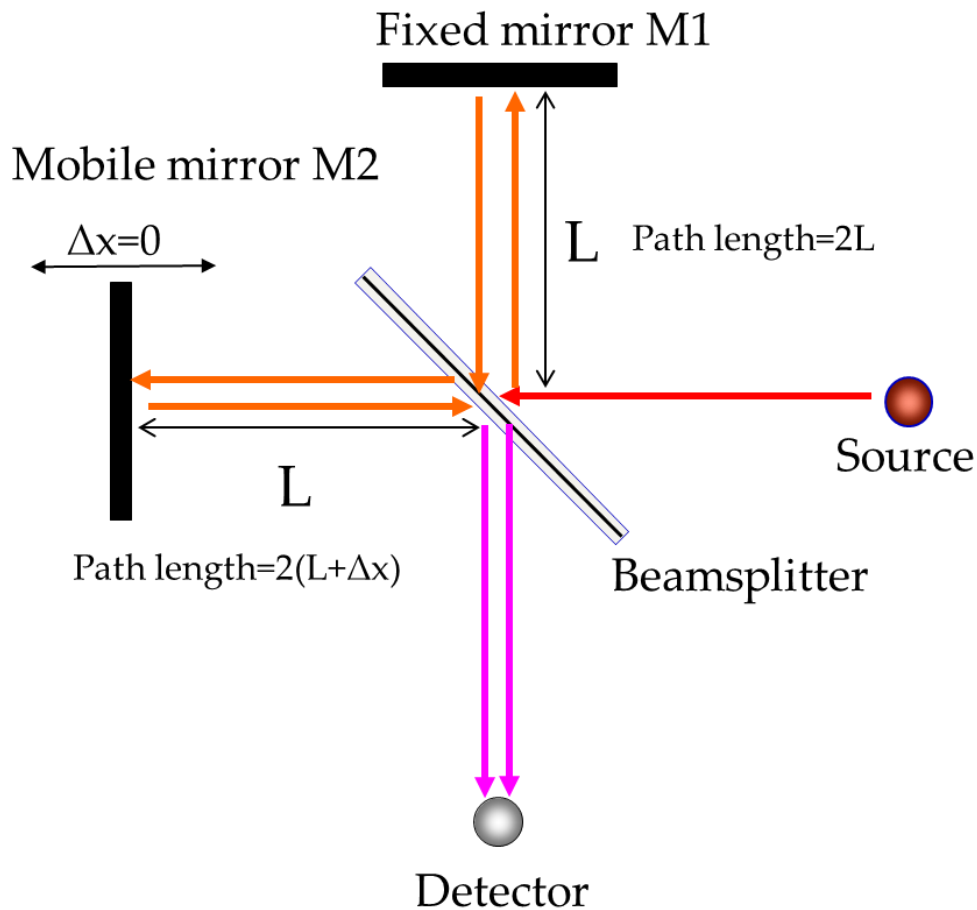
[http://www.chemicool.com/definition/fourier\\_transform\\_infrared\\_spectrometer\\_ftir.htm](http://www.chemicool.com/definition/fourier_transform_infrared_spectrometer_ftir.htm)

This slow acquisition time limited the wide spreading of infrared spectroscopy until 1960s', when Fourier Transform Interferometer have been first proposed.



# FTIR Spectromicroscopy: Instrumentation

## The present instrumentation: Fourier Transform InfraRed Interferometers



*Optical Path Difference \_ OPD*

$$2\Delta x = 2vt$$

*v = mirror velocity*

### ⇒ Conventional sources

NIR: Tungsten lamp  
MIR: Glow bar (SiC)  
FIR: Hg-Arc

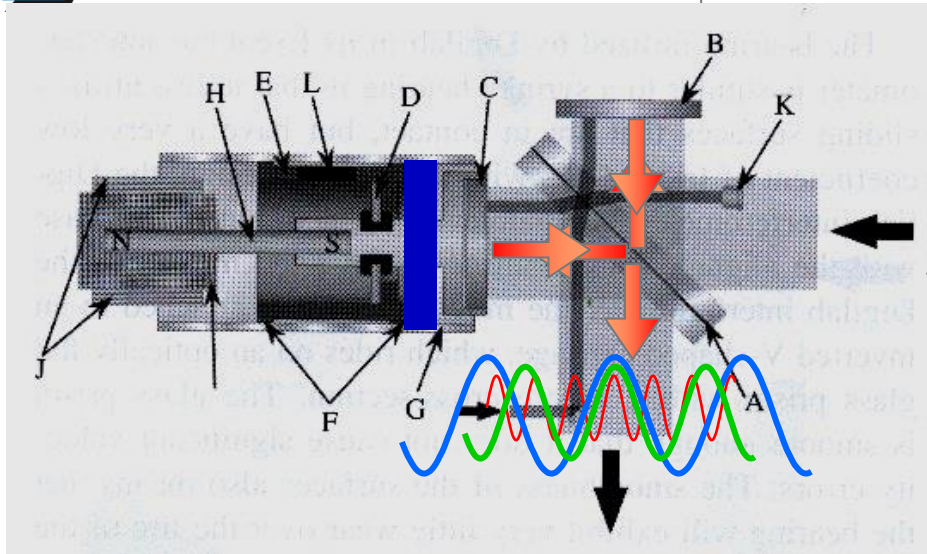
### ⇒ Beamsplitters

NIR:  $\text{CaF}_2$   
MIR: KBr  
FIR: Mylar, Silicon

### ⇒ Detectors

NIR – InGaAs, InSb, Ge, Si room temperature detectors  
MIR: Room temperature DLaTGS  
Nitrogen cooled MCT  
FIR – He Cooled Silicon Bolometer  
Room temperature DLaTGS

# FTIR Spectromicroscopy: Instrumentation



For a single wavelength

$$I(x) = I(\tilde{\nu})[1 + \cos(2\pi x \tilde{\nu})]$$

For a polychromatic source

$$I(x) = \int I(\tilde{\nu})d\tilde{\nu} + \int I(\tilde{\nu})\cos(2\pi x \tilde{\nu})d\tilde{\nu}$$

$$I(\text{ZPD}) = 2 \int I(\tilde{\nu})d\tilde{\nu} = I_0$$

$$I(x) = \frac{1}{2}I_0 + \int I(\tilde{\nu})\cos(2\pi x \tilde{\nu})d\tilde{\nu}$$

$$I(x) - \frac{1}{2}I_0 = I'(x) = \int I(\tilde{\nu})\cos(2\pi x \tilde{\nu})d\tilde{\nu}$$

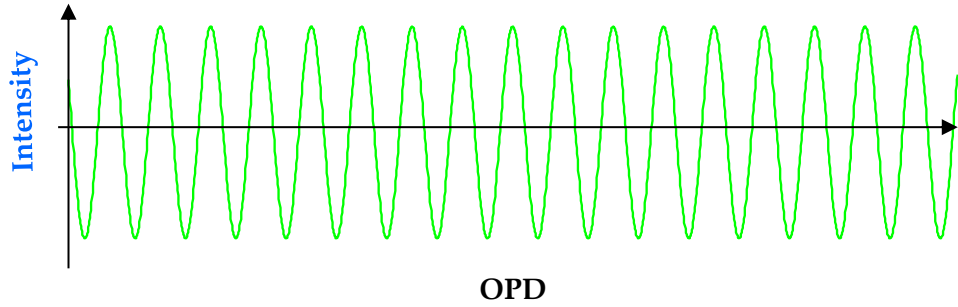
$$I(\tilde{\nu}) \propto \int_{-\infty}^{+\infty} I'(x)\cos(2\pi x \tilde{\nu})dx$$

Fourier Transform (FT) →



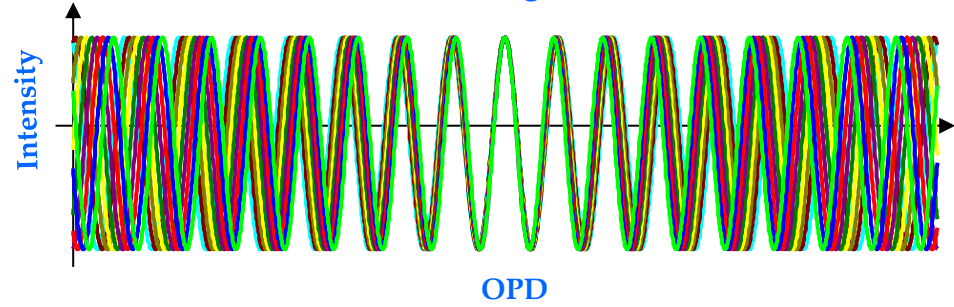
Elettra  
Sincrotrone  
Trieste

Detector Signal

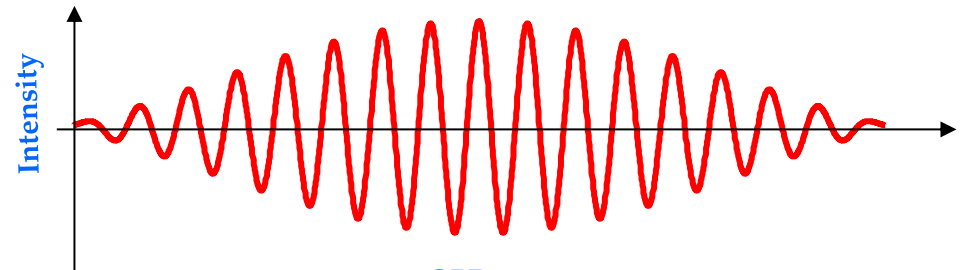


# FTIR Spectromicroscopy: Instrumentation

Detector Signal

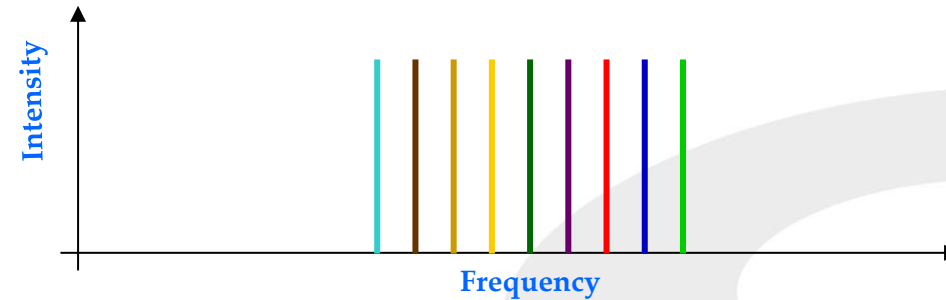


Detector Signal



OPD  
Spectrum

FT  
→  
←



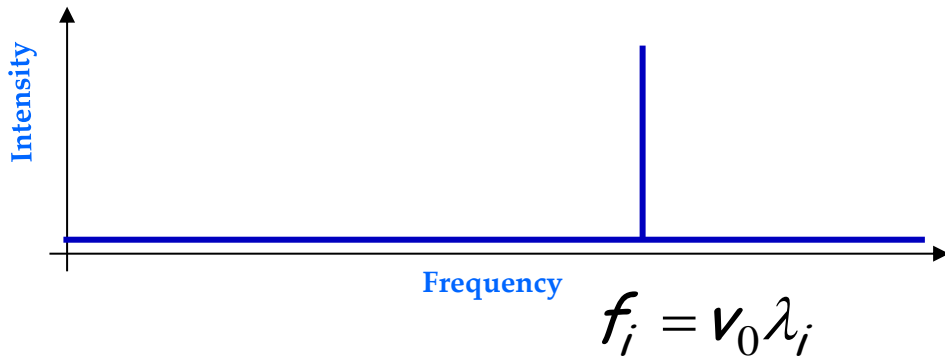
$$\lambda_i = v_0 t_i = \frac{v_0}{f_i}$$

$v_0$  speed of change of OPD  
 $v_M$  mirror velocity  
 $f_i$  frequency  
 $t_i$  period

$$v_0 \propto v_M$$

$v_M$  has to be KNOWN and CONSTANT  
He-Ne laser (632,80 nm)

Spectrum

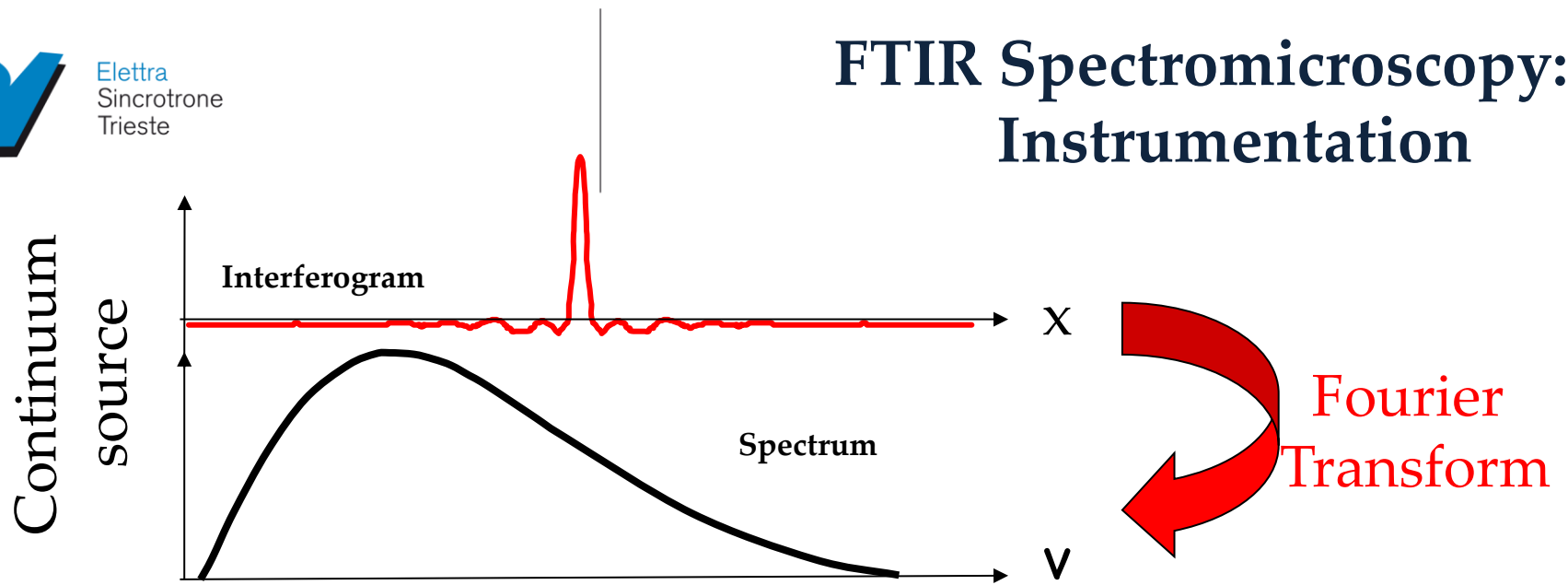


$$f_i = v_0 \lambda_i$$



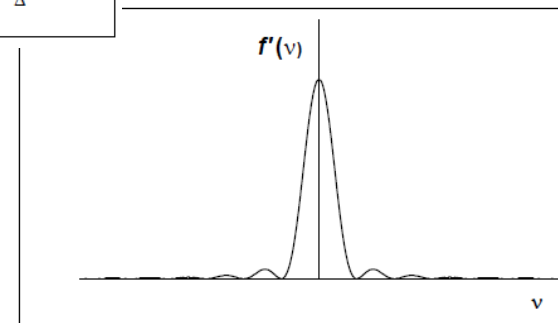
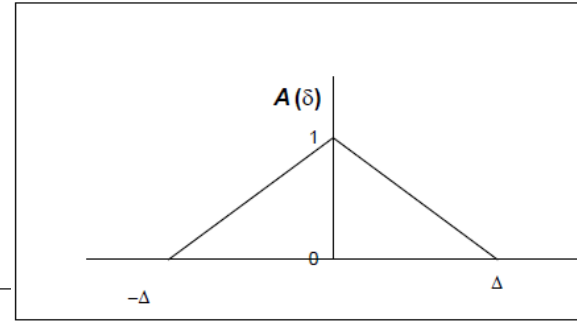
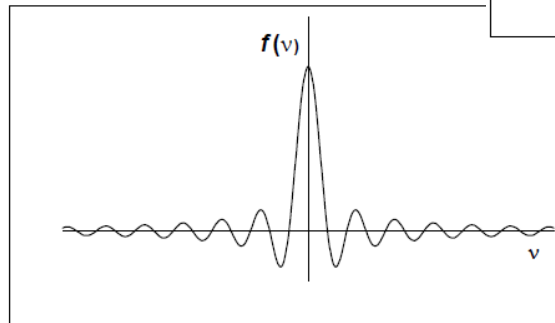
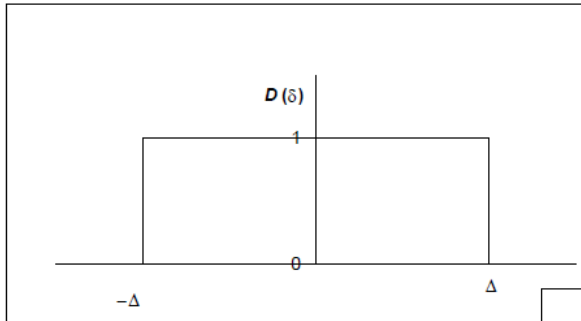
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Trieste

# FTIR Spectromicroscopy: Instrumentation



## Apodization function

$$I(\tilde{\nu}) \propto \int_{-L_{\max}}^{+L_{\max}} I'(x) \cos(2\pi x \tilde{\nu}) dx = \int_{-\infty}^{+\infty} D(x) I'(x) \cos(2\pi x \tilde{\nu}) dx$$



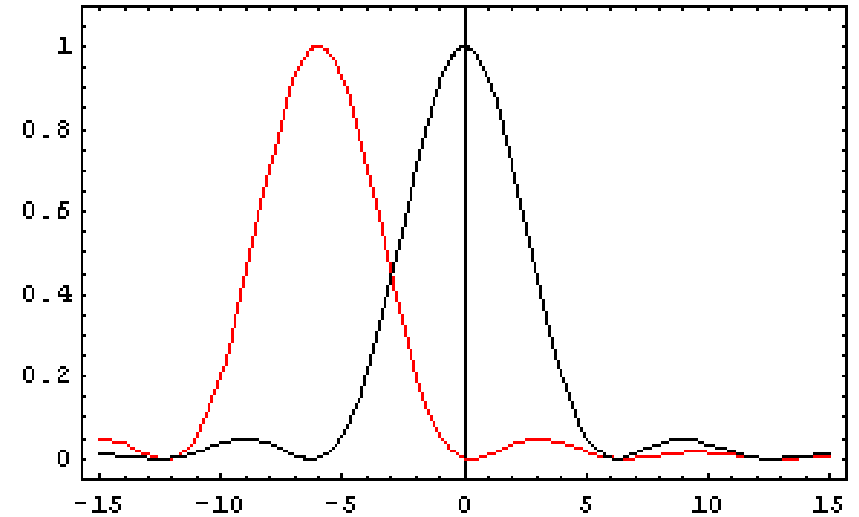


Elettra  
Sincrotrone  
Trieste

9 chambers = 5.13 m R= 0.00096cm<sup>-1</sup>



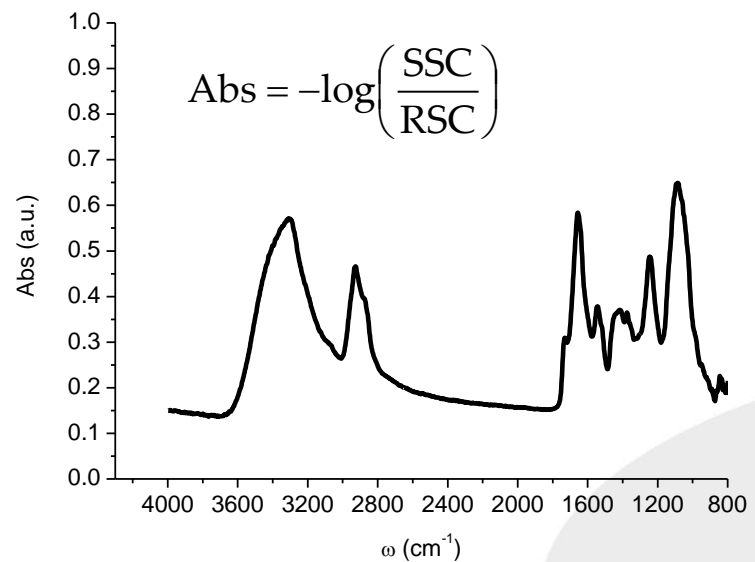
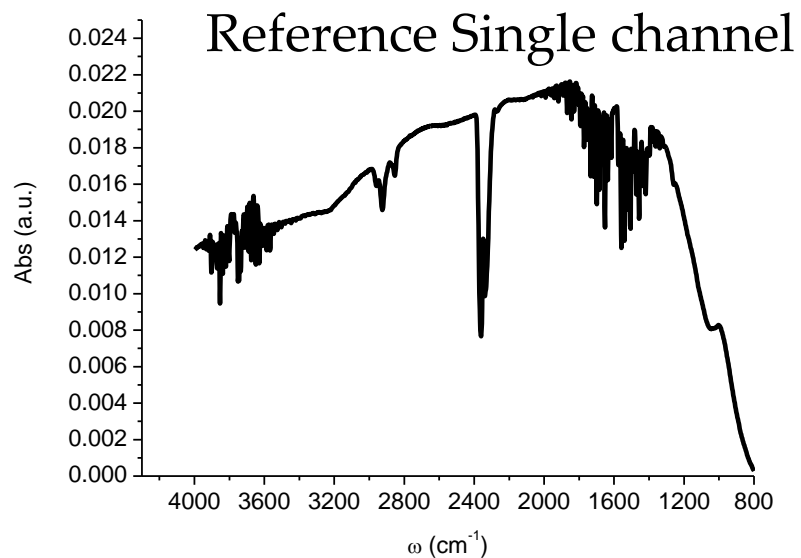
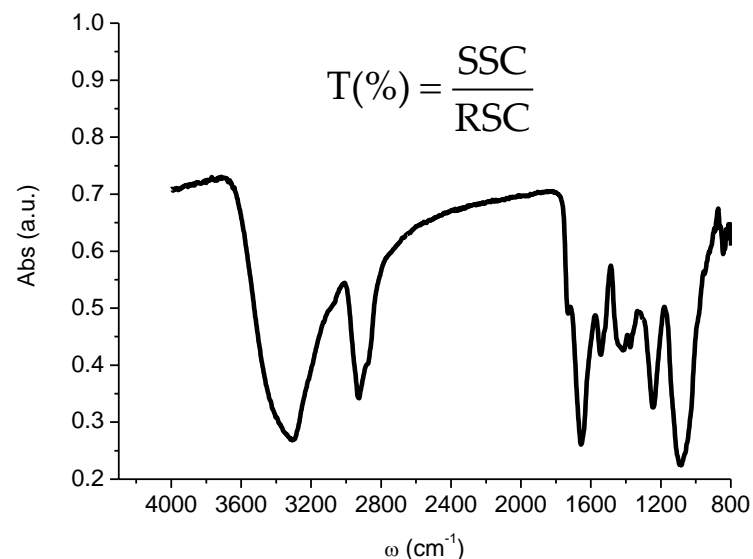
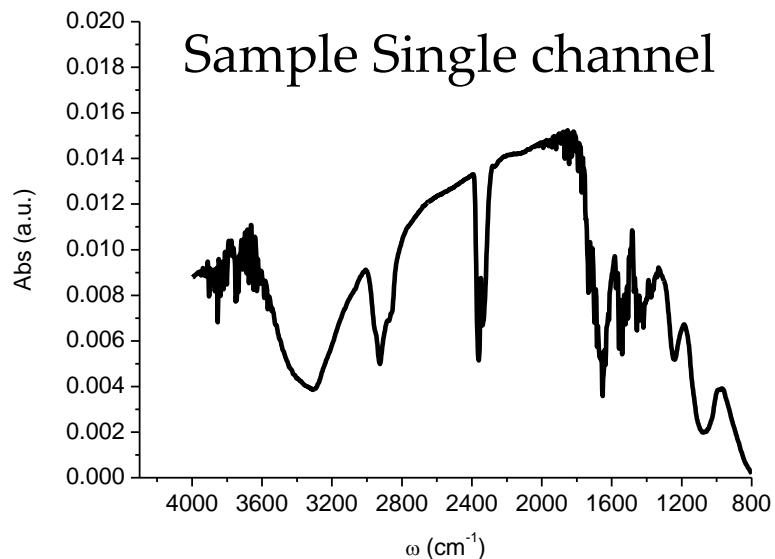
## FTIR Spectromicroscopy: Instrumentation



The spectral resolution or resolving power ( $\Delta\tilde{\nu}$ ) of a spectrograph is a measure of its ability to resolve features in the electromagnetic spectrum.

$$\Delta\tilde{\nu}_{\max} \propto \frac{1}{(OPD)_{\max}}$$

# FTIR Spectromicroscopy: Instrumentation



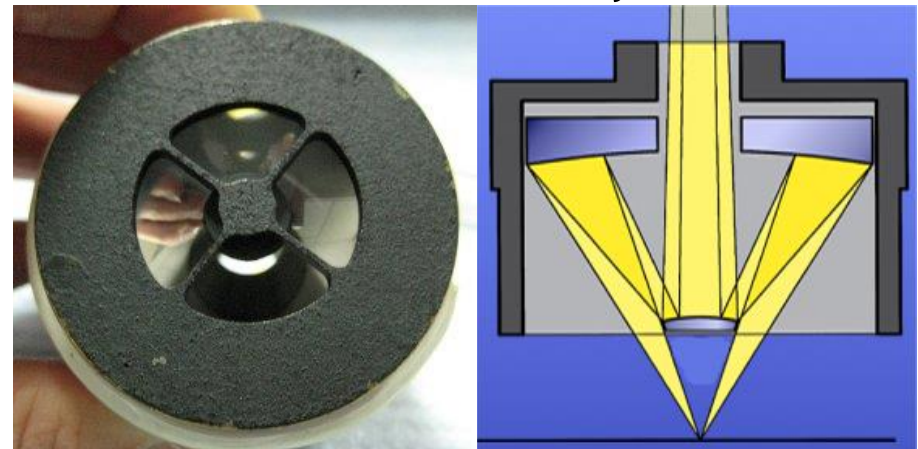


# FTIR Spectromicroscopy: Instrumentation

Spatially resolved chemical information on heterogeneous samples are obtained by coupling FTIR spectrometers with specially designed Vis-IR microscopes

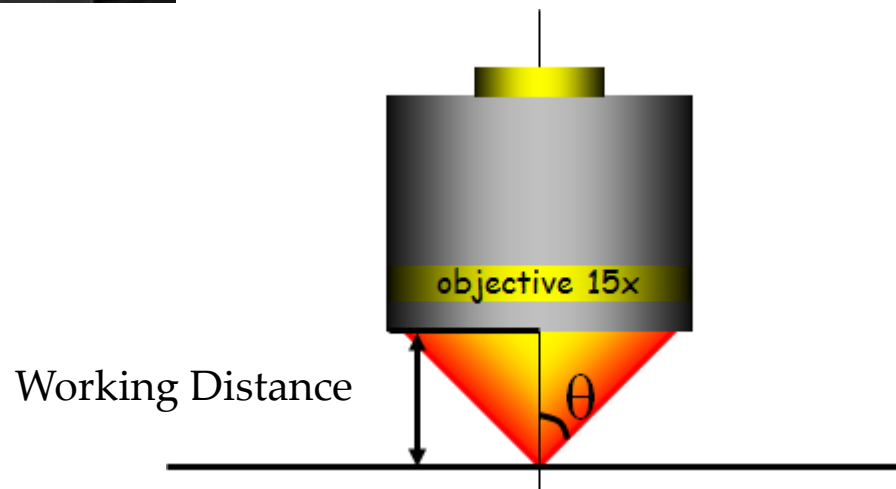


## Schwarzschild objective



$$NA = n \cdot \sin 2\theta$$

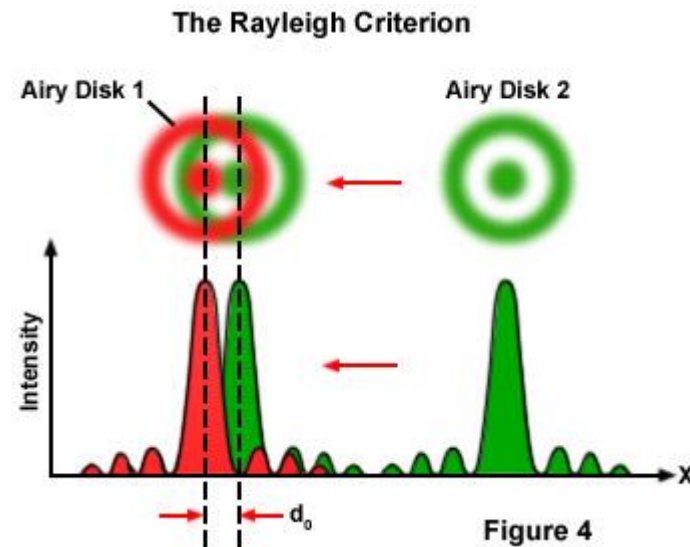
$2\theta$  = angular aperture



# FTIR Spectromicroscopy: Instrumentation

In far-field microscopies, and FTIR microscopy as well, lateral resolution,  $\delta$ , is diffraction limited

$$\delta \approx 0.66 \frac{\lambda}{nNA}$$



Objective NA	Wavelength	$\delta$
0.4	10 $\mu\text{m}$ (1000 $\text{cm}^{-1}$ )	$\sim 15 \mu\text{m}$
	2.5 $\mu\text{m}$ (4000 $\text{cm}^{-1}$ )	$\sim 4 \mu\text{m}$
0.65	10 $\mu\text{m}$ (1000 $\text{cm}^{-1}$ )	$\sim 9.5 \mu\text{m}$
	2.5 $\mu\text{m}$ (4000 $\text{cm}^{-1}$ )	$\sim 2.5 \mu\text{m}$

$$\delta \approx \lambda$$



# A brief history of IR spectroscopy at SR facilities

*Volume 1.*

*July-August, 1893.*

*Number 1.*

THE  
PHYSICAL REVIEW.

A STUDY OF THE TRANSMISSION SPECTRA OF  
CERTAIN SUBSTANCES IN THE INFRA-RED.

BY ERNEST F. NICHOLS.

WITHIN a few years the study of obscure radiation has been greatly advanced by systematic inquiry into the laws of dispersion of the infra-red rays by Langley,<sup>1</sup> Rubens,<sup>2</sup> Rubens and Snow,<sup>3</sup> and others. Along with this advancement has come the more extended study of absorption in this region. The absorption of atmospheric gases has been studied by Langley<sup>1</sup> and by Ångström.<sup>4</sup> Ångström<sup>5</sup> has made a study of the absorption of certain vapors in relation to the absorption of the same substances in the liquid state, and the absorption of a number of liquids and solids has been investigated by Rubens.<sup>6</sup>

In the present investigation, the object of which was to extend this line of research, the substances studied were: plate glass, hard rubber, quartz, lamp-black, cobalt glass, alcohol, chlorophyll, water, oxyhæmoglobin, potassium alum, ammonium alum, and ammonium-iron alum.

<sup>1</sup> Report on Mt. Whitney Expedition, Profess. Papers, U. S. Signal Service, XV.

<sup>2</sup> Annalen der Physik und Chemie, N. F. XLV., p. 238.

<sup>3</sup> Annalen der Physik und Chemie, N. F. XLVI., p. 529.

<sup>4</sup> Bihang till K. Svenska Vet.-Akad. Handlingar, Band 15, Afd. 1, No. 9.

<sup>5</sup> Öfversigt af Kongl. Vetenskaps-Academiens Forhandlingar, 1890, No. 7, Stockholm.

<sup>6</sup> Annalen der Physik und Chemie, N. F. XLV., p. 258.

# IR beamlines

## The Cinderella Story

- 1976 Meyer and Lagarde (LURE, Orsay) published the first paper on IRSR
- 1981 Duncan and Yarwood observed at Daresbury the first IRSR emission
- 1985 The first IRSR spectrum (on N<sub>2</sub>O) is collected at Bessy (Berlin)
- 1986 The first beamline was opened to users at UVSOR (Japan)
- 1987 Started the brilliant story of IR-beamlines at NSLS Brookhaven (USA)
- 1992 In Europe: Orsay (France), Lund (Sweden), Daresbury (GB)
- 1995 First international workshop on IRSR, Rome (Italy)
- 2001 First IR beamline in Italy (SINBAD@DAΦNE)
- 2006 Second beamline in Italy (SISSI@Elettra)

Opening of the IR1 beamline at LNLS (Brazil)

New IR beamlines are under construction







Elettra  
Sincrotrone  
Trieste

# SR-IR beamlines

More than 40 IRSR beamlines worldwide





# IRSR: Generation and properties

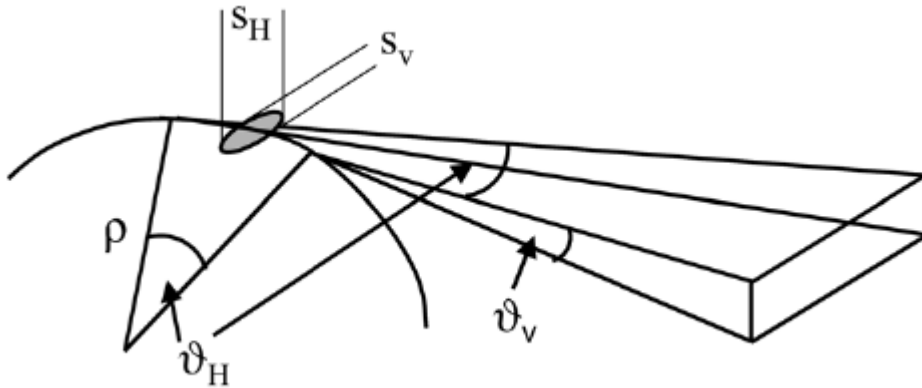


# IRSR Generation

## Bending Magnet IRSR

Extrapolation of the Schwinger equations (1949) by WD Ducan and GP William (1980s)

*Infrared synchrotron radiation from electron storage rings; Appl Opt. 1983 22(18):2914.*



$$P_{BM}(\lambda) = 4.38 \times 10^{14} \times I \times \theta_H \times bw \times \left(\frac{\rho}{\lambda}\right)^{1/3} \text{ photons} \cdot \text{s}^{-1} \quad [1]$$

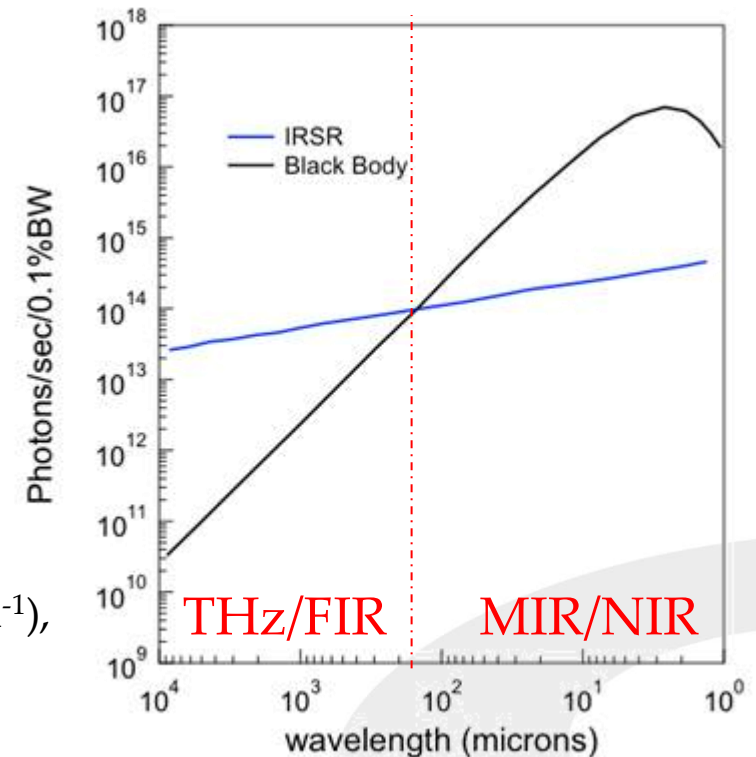
$I$  = Current(A)

$\theta_H$  = Horizontal Collection Angle (rads)

$bw$  = Bandwidth(%)

$\rho, \lambda$  = Radius of the ring, Wavelength (same units)

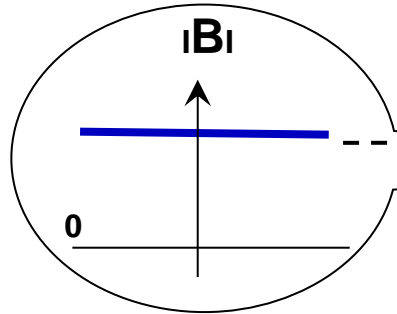
$P(\lambda)$  as obtained in [1], in the spectral range 1 to  $10^4 \mu\text{m}$  ( $10^4$  to  $1 \text{ cm}^{-1}$ ), for a current of **1 A**, a horizontal angle  $\theta_H = 100 \text{ mrad}$ s and  $\rho = 5 \text{ m}$ . Comparison with the emission for a BB source at 2000K.



# IRSR Generation

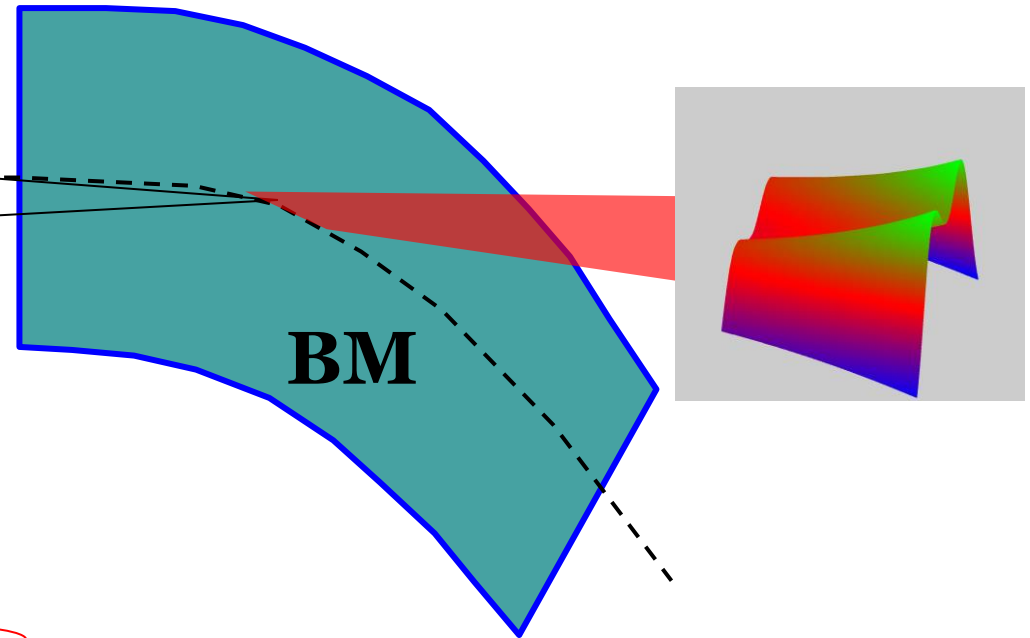
## Bending Magnet IRSR

### Constant Field Emission



$$\theta_{V-Nat} = 1.66 \left( \frac{1000 \times \lambda}{\rho} \right) \quad [\lambda] = \mu\text{m}; [\rho] = \text{m}$$

Angular range into which 90% of the emitted photons travel



$\lambda$ [ $\mu\text{m}$ ]	$\nu$ [ $\text{cm}^{-1}$ ]	THz	$\theta_{V-Nat}$
1	10000	300	9.2
10	1000	30	19.8
100	100	3	42.2
1000	10	0.3	90.3

Calculated for Elettra  $\rho = 5.5$  m.

Very large extraction apertures are needed for IR beamlines for:

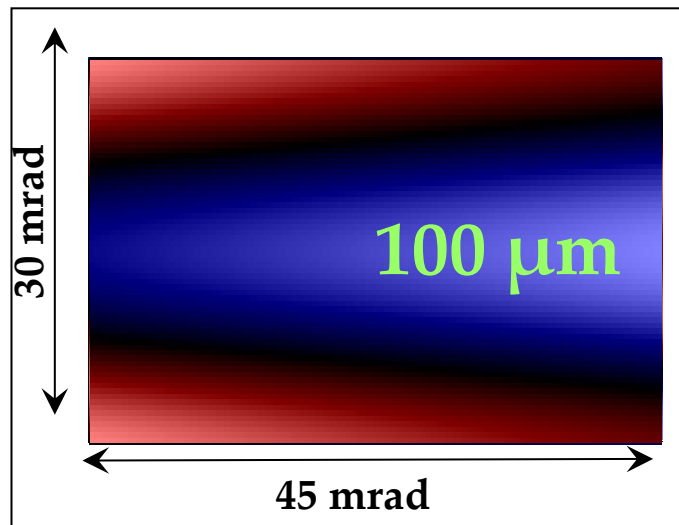
- Maximizing the flux ( $\theta_H$ )
- Allowing efficient extraction of lower energy components of IR synchrotron emission ( $\theta_V$ )

# IRSR Generation

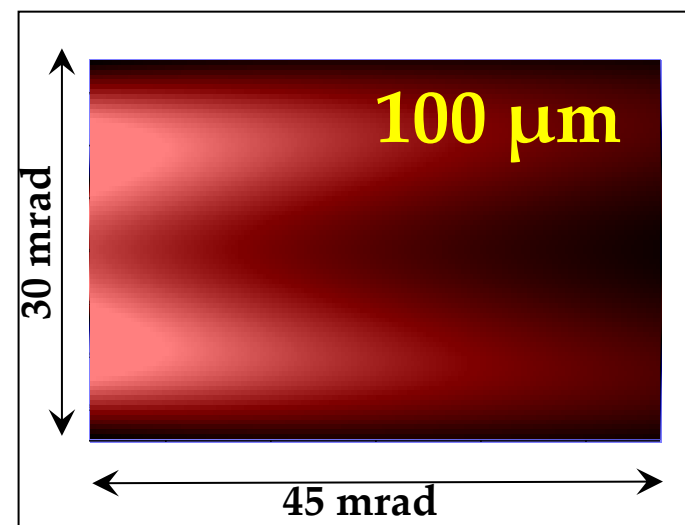
## Bending Magnet IRSR

Vertical opening angle depends on the electron energy (through bending magnet radius)

***INDUS***  
0.45 GeV  
45 mrad H X 30 mrad V  
BM



***DIAMOND***  
3.0 GeV  
45 mrad H X 30 mrad V  
BM

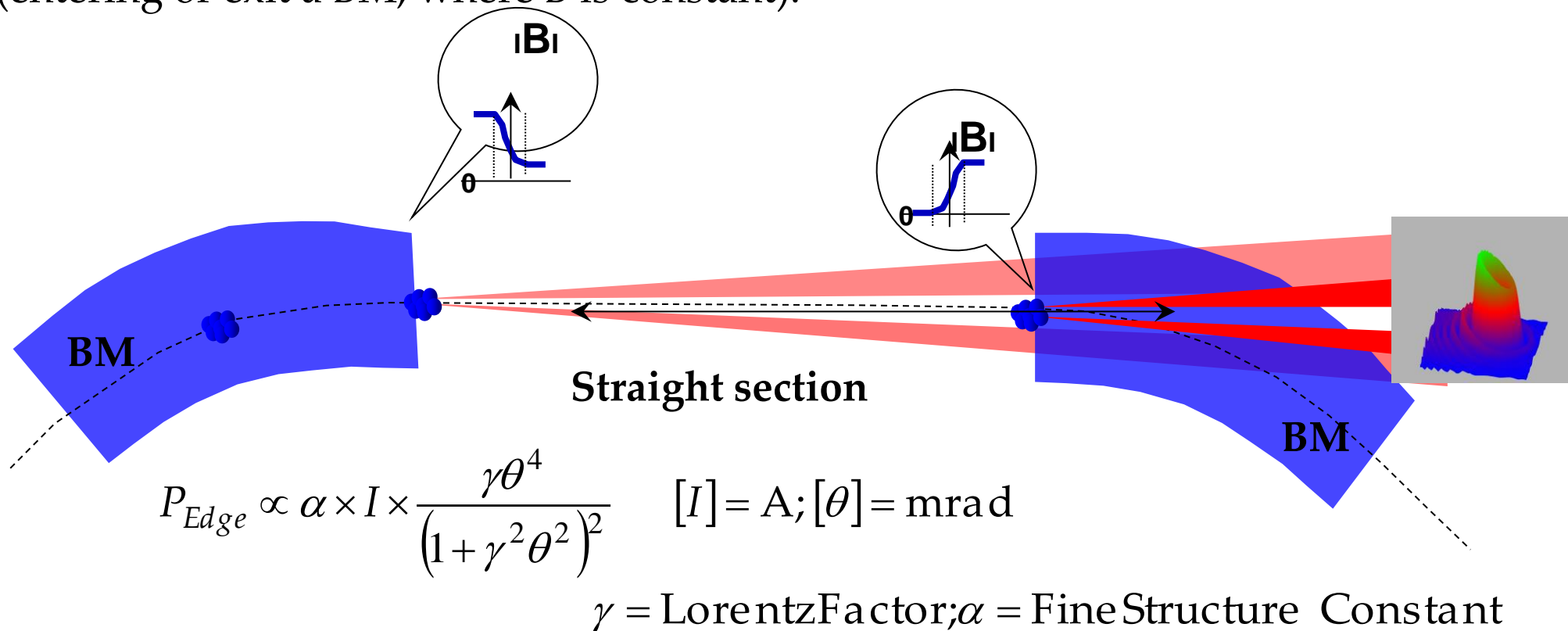




# IRSR Generation

## Edge Radiation

Edge radiation is produced when electrons experience a changing magnetic field (entering or exit a BM, where B is constant).

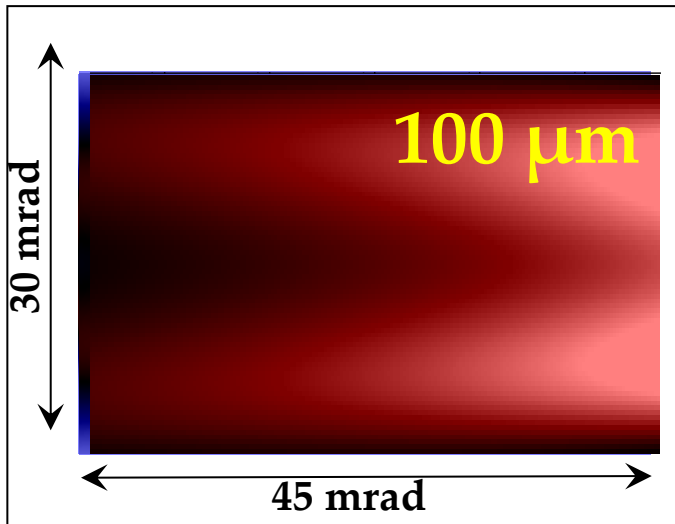


- Edge radiation has a ring structure characterized by interference pattern
- Being  $\Theta_{\max} \sim 1/\gamma \sim 10$  mrad, it is spatially confined and intrinsically bright
- It is radially polarized

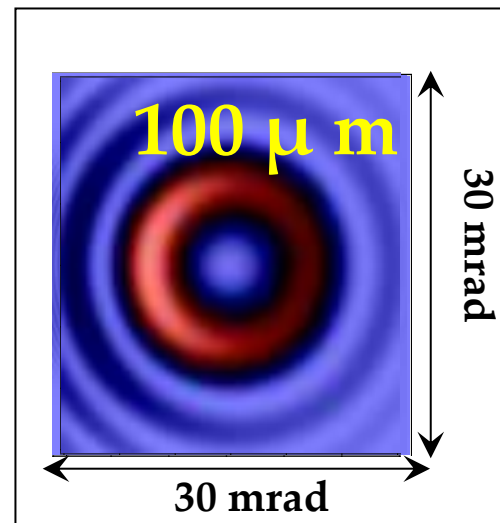
# IRSR Generation

## Edge Radiation

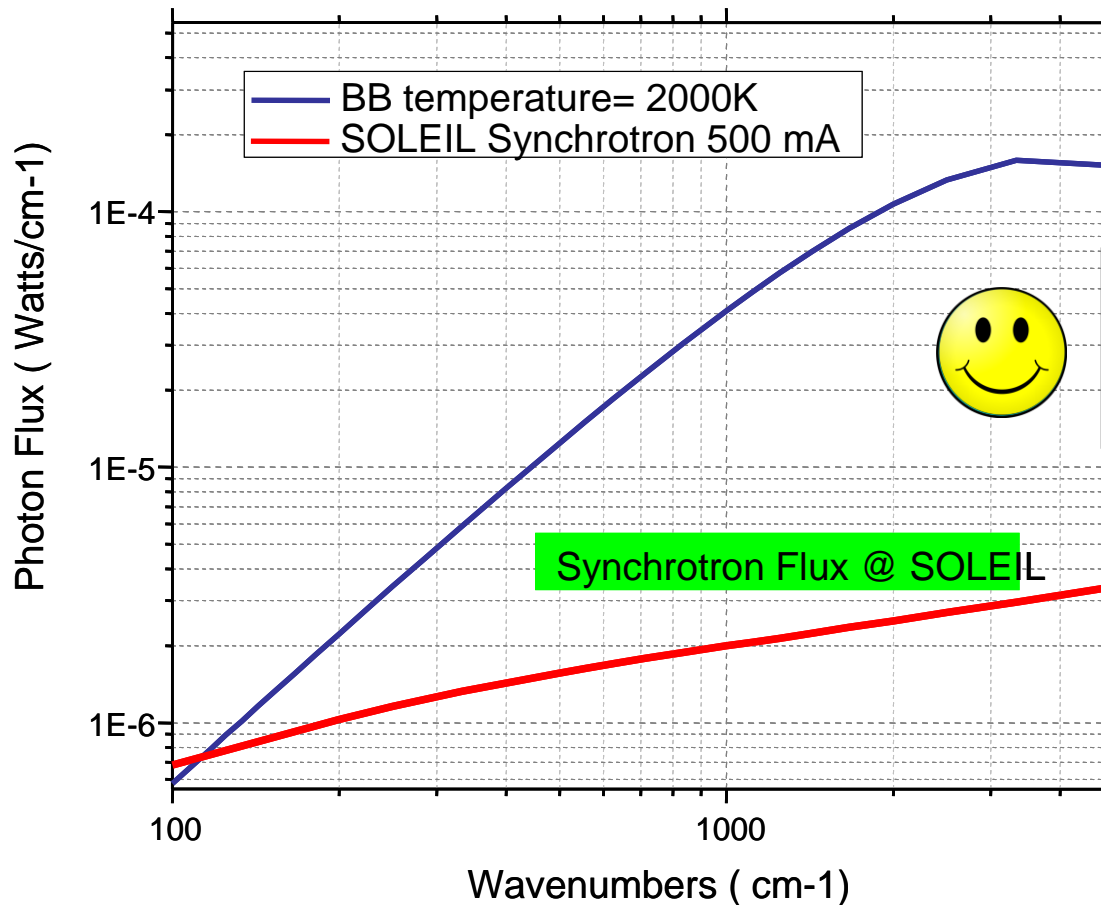
**SOLEIL**  
2.75 GeV  
45 mrad H X 30 mrad V  
BM



**SOLEIL**  
2.75 GeV  
30 mrad H X 30 mrad V  
BM



## The brightness advantage



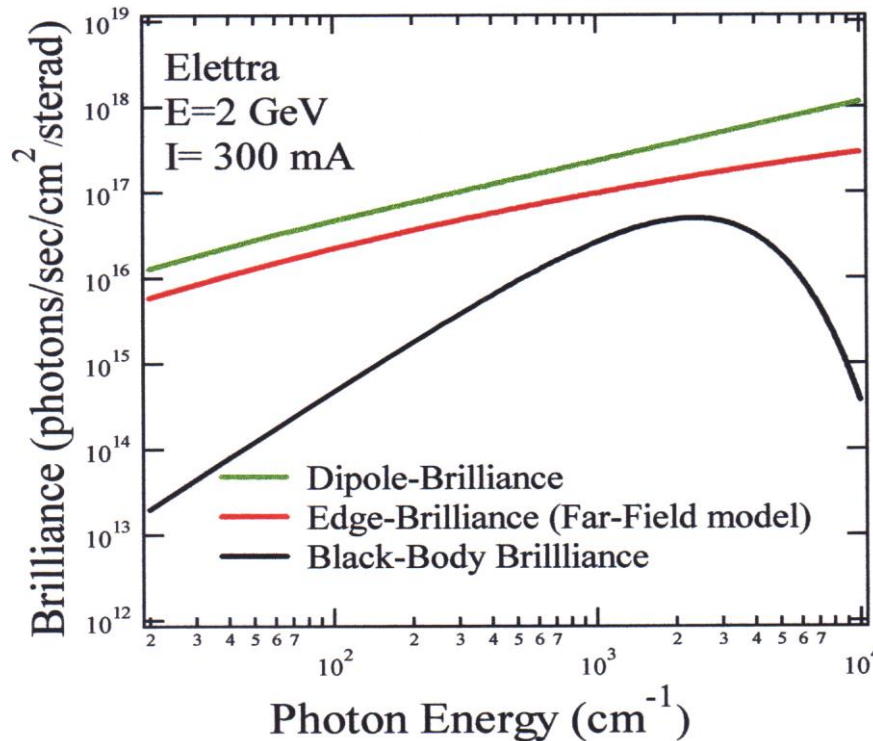
**Synchrotron IR is much brighter !**

$$B(\lambda) \approx \frac{3.8 \times 10^{20} \times bw \times I}{\lambda^2}$$

$$[\lambda] = \mu\text{m}; [I] = \text{A}; [bw] = \%$$



## The brightness advantage

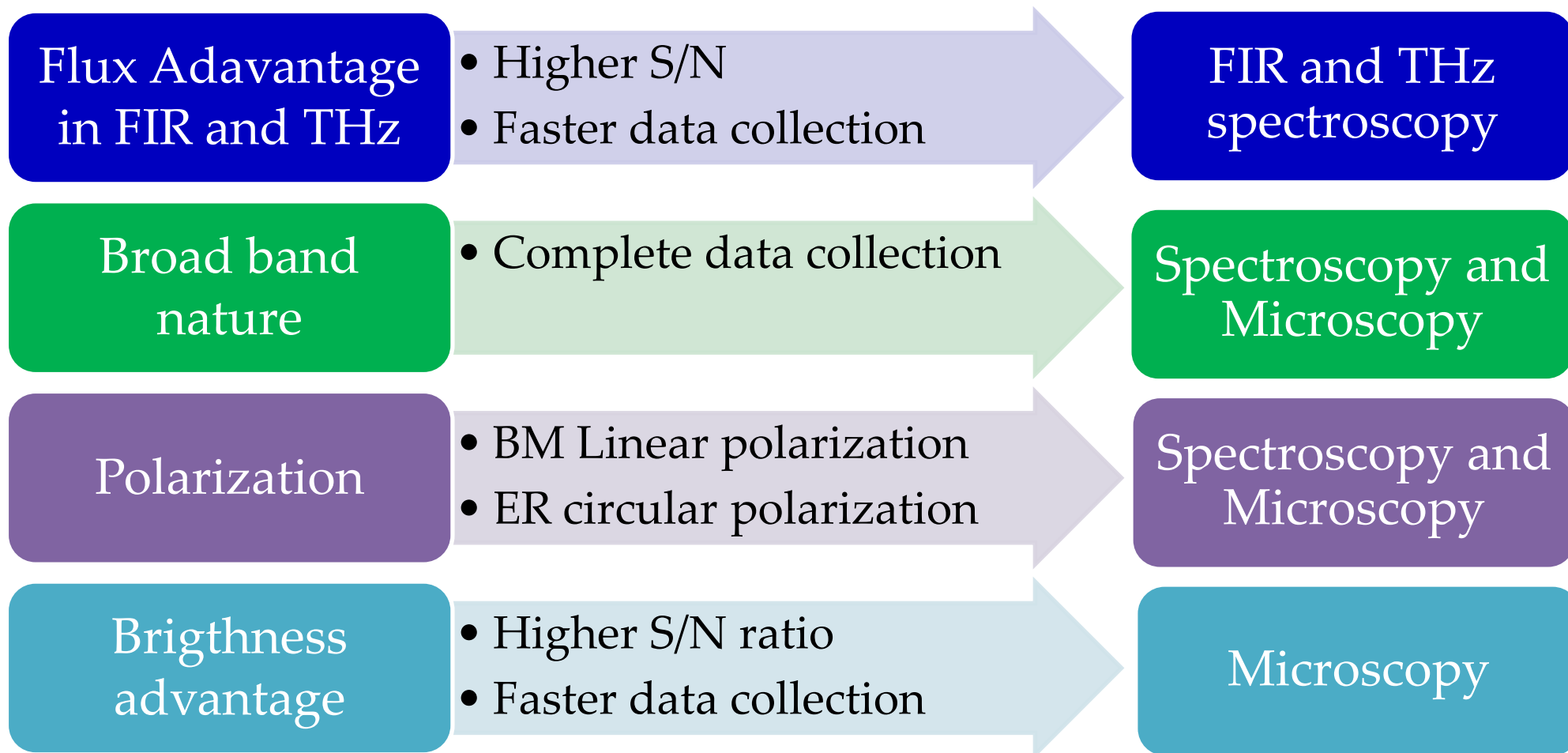


It is the brightness,  $B$ , that determines the signal to noise ratio,  $S/N$ , in a infrared experiment via the formula

$$\%N(\text{Noise}\%) = \frac{100 A^{1/2}}{B(\nu) \Delta \nu \epsilon t^{1/2} \xi D^*}$$

Particularly useful for  
 microscopy and  
 spectroscopy of small  
 sample/diluted systems

## Exploitation of IRSR advantages



# IR beamlines: A special design

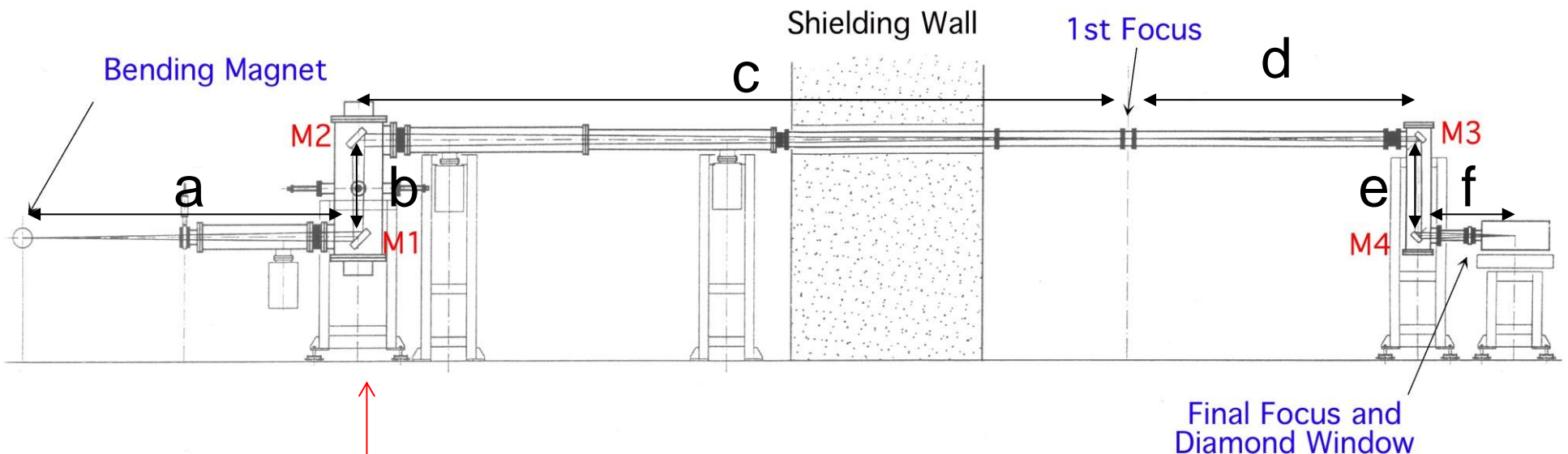
## SISSI beamline layout

### Synchrotron Infrared Source for Spectroscopy and Imaging

Radiation is collected over a large solid  
65 mrad (H) x 25 mrad (V)

M1 Plane mirror  
M2 Ellipsoidal mirror  
M3 Plane mirror  
M4 Ellipsoidal mirror

a=3.5 m	d=1.5m
b=1.0m	e=1.0m
c=11.5m	f=2.5m



First Chamber in the Ring Tunnel

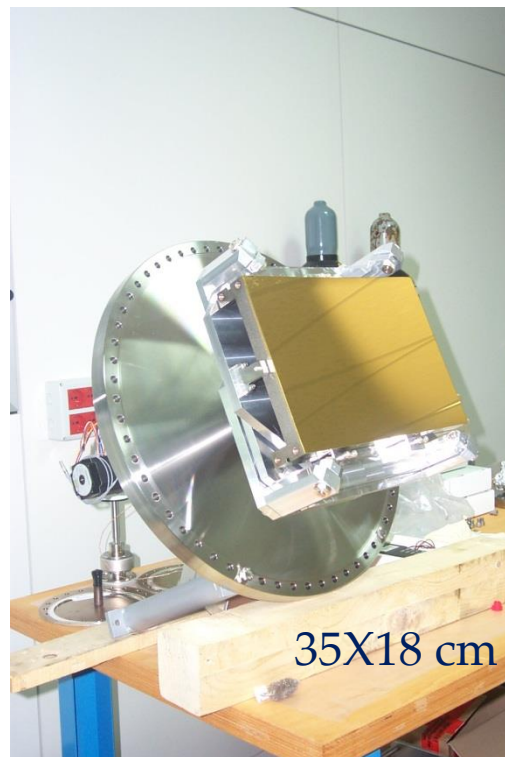
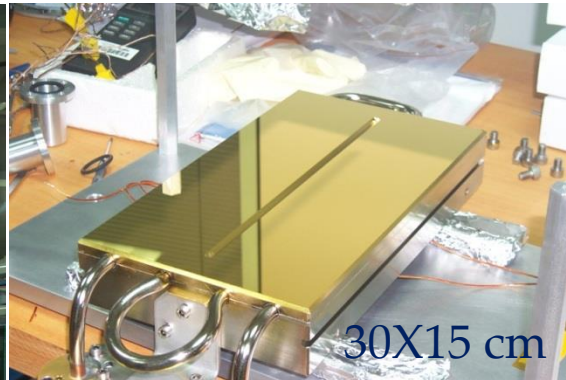


# IR beamlines: A special design

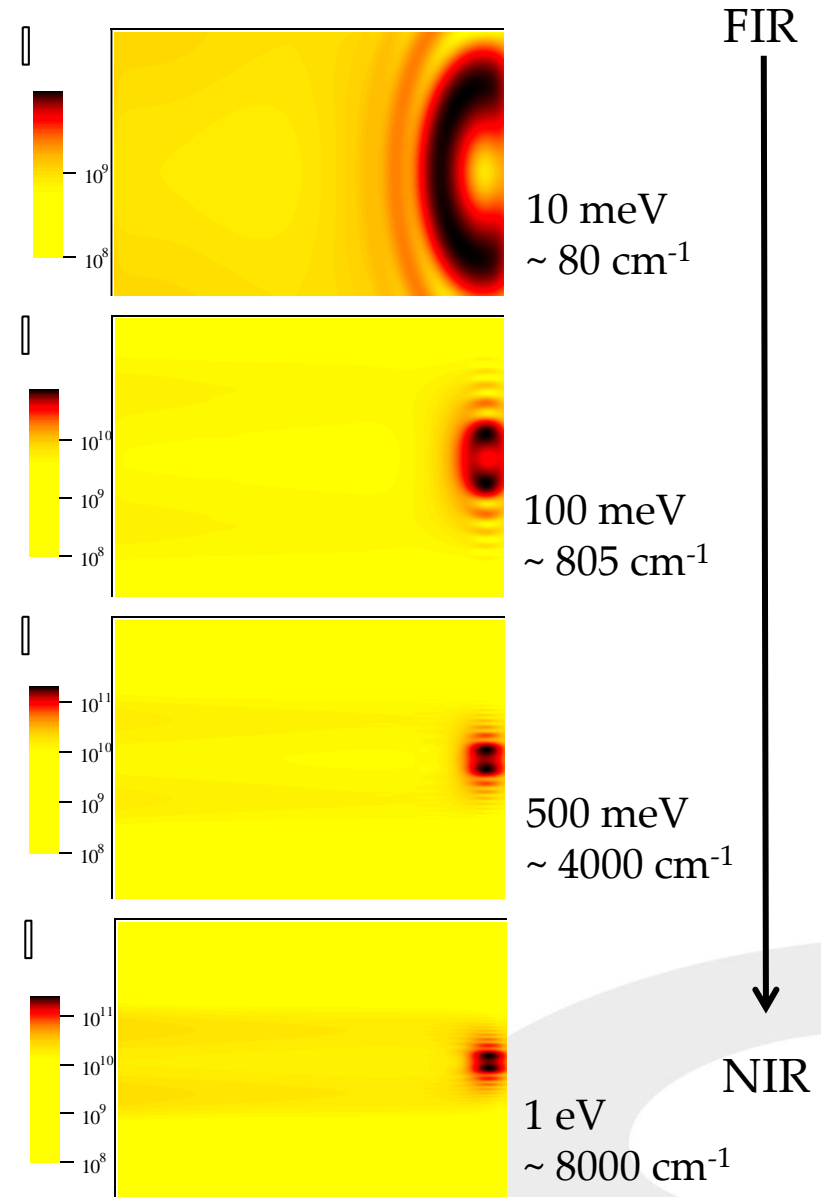
The air-cooled  
extraction mirror (M1)



The focusing  
Ellipsoidal mirror (M2)

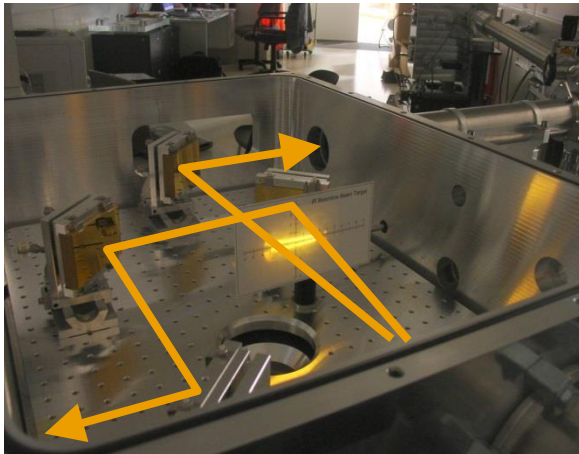


IRSR intensity distribution at the  
extraction mirror



# IR beamlines: A special design

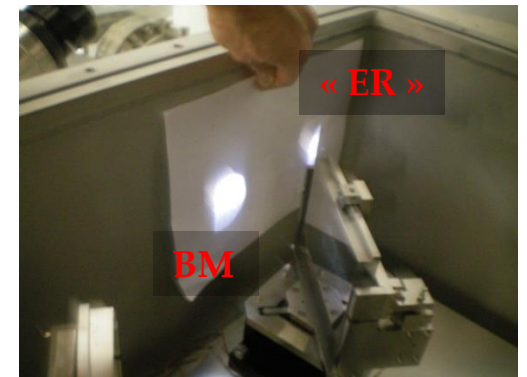
## @ Australian Synchrotron



Edge radiation to  
"high  
resolution"  
spectrometer

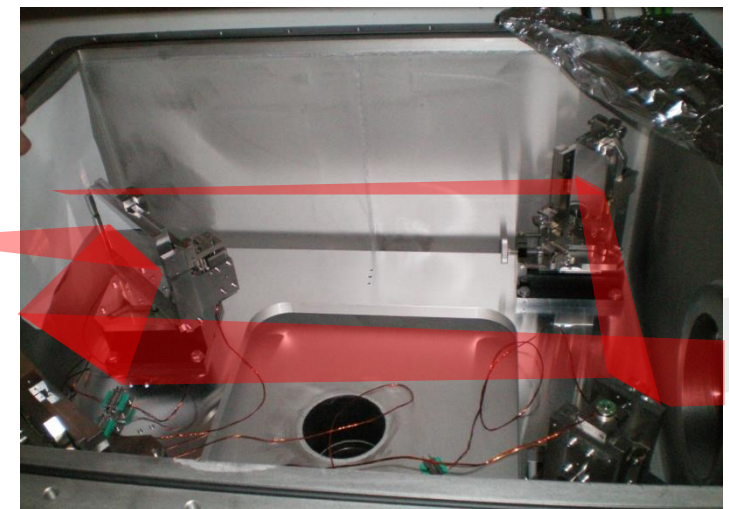
Bending magnet  
radiation to "microscope"

## @ Soleil



Microscope 2  
Branche ER

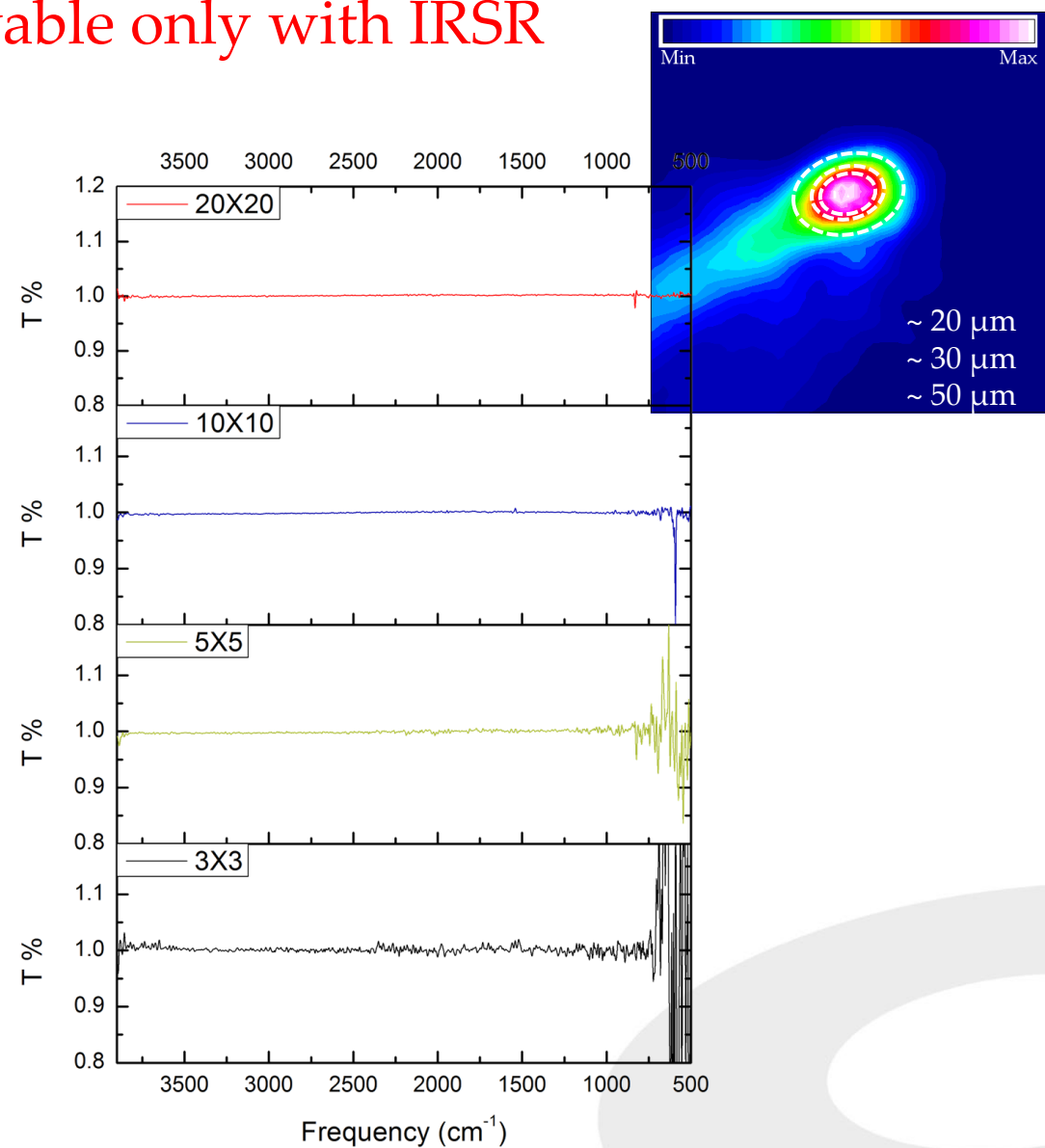
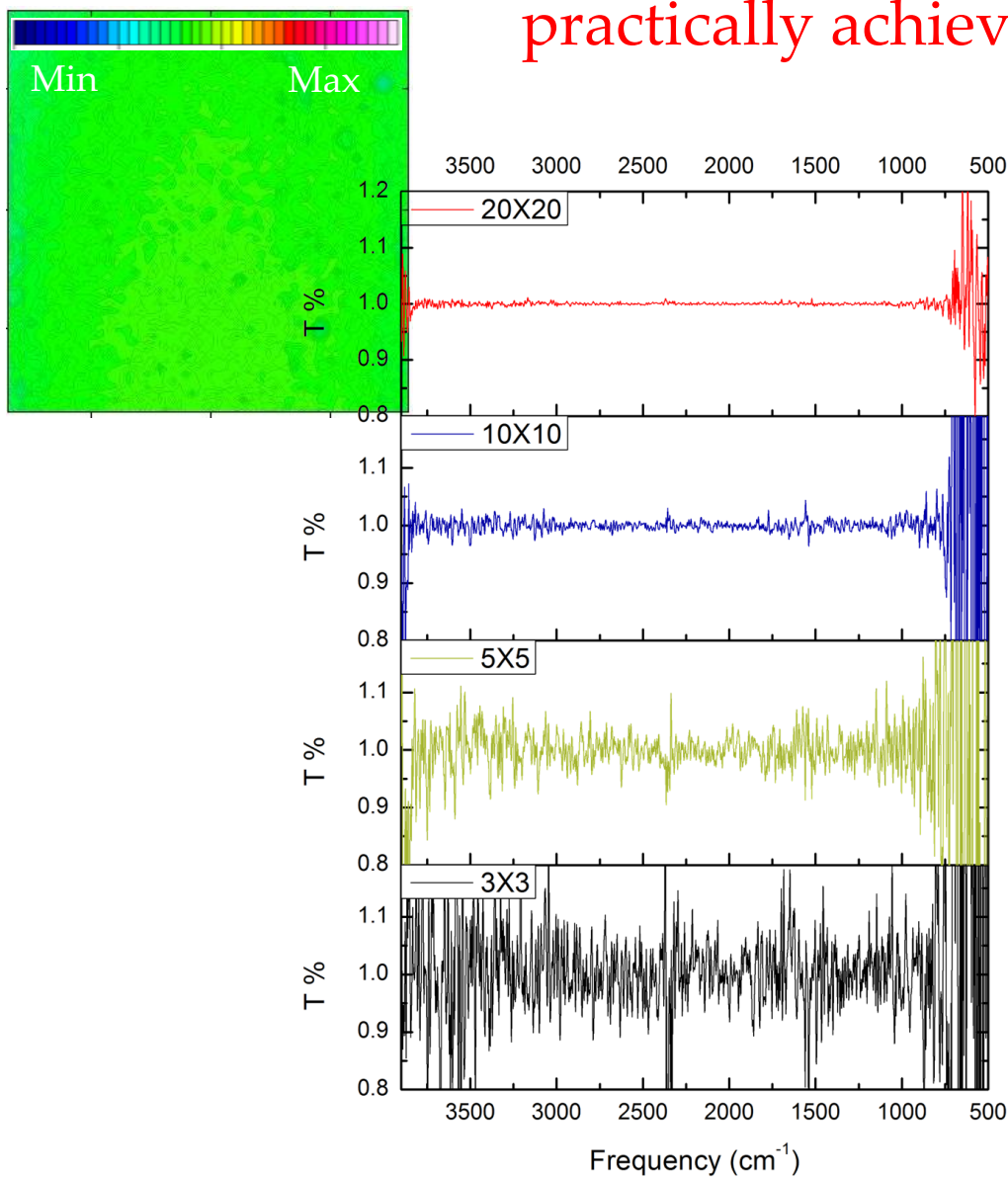
Microscope 1  
Branche BM



Conventional  
Source

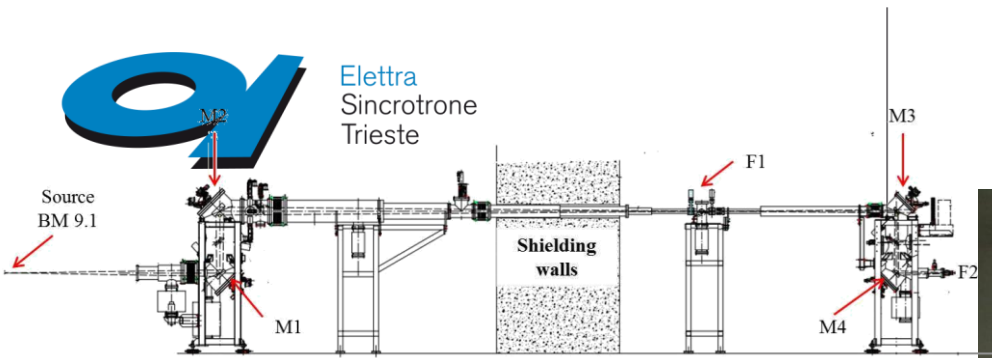
Diffraction Limited FTIR Microscopy is  
practically achievable only with IRSR

IRSR





# SISSI branchlines



Vertex 70



Vertex 70v

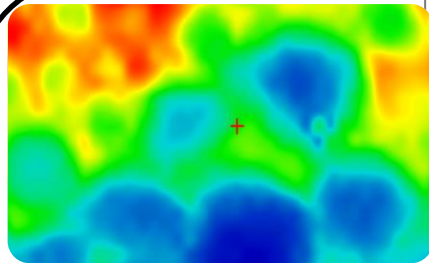
Hyperion  
2000



Consiglio Nazionale Ricerche

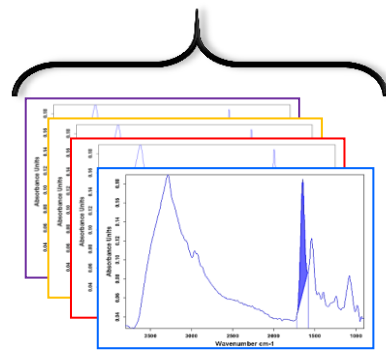


# SR-FTIR Mapping

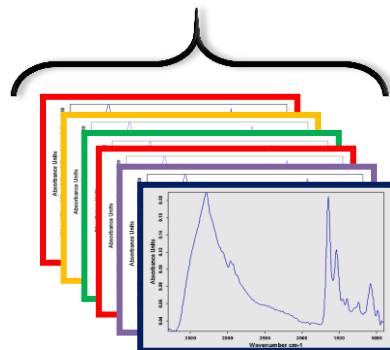


Chemical map of the Sample

The spatial resolution is achieved by beam confinement



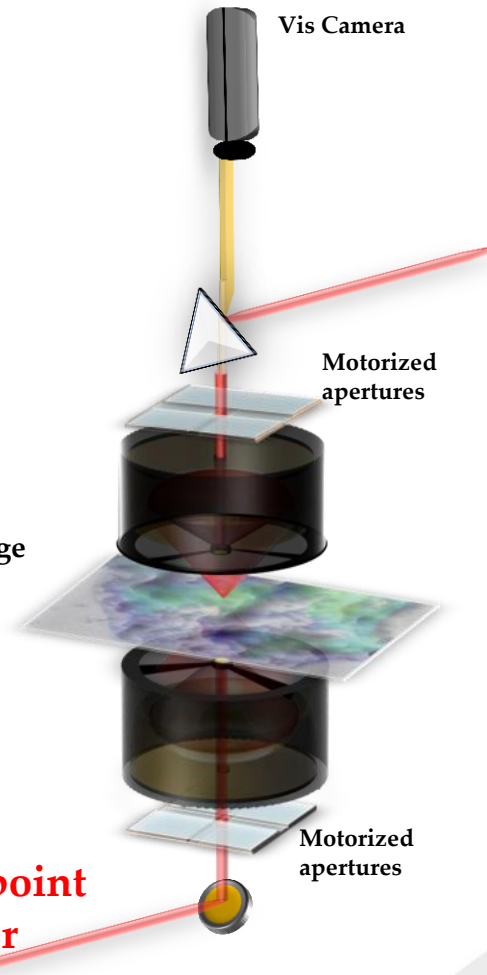
Integration of a Specific Band



Acquired Spectra

Motorized XYZ stage

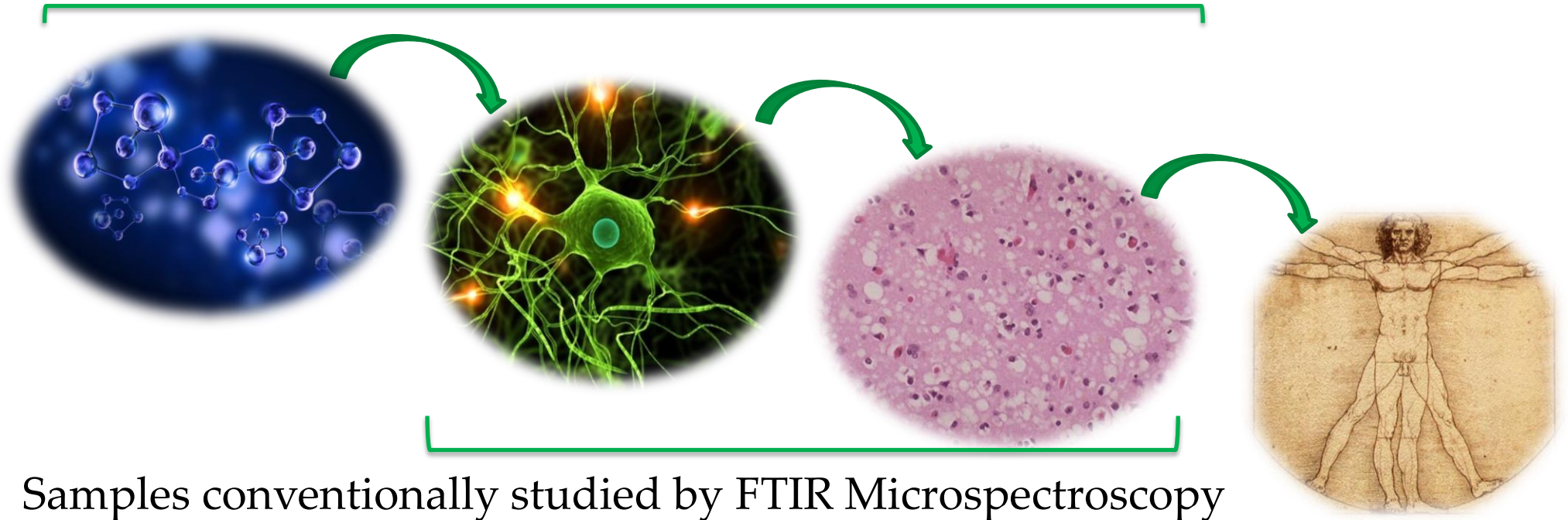
To Single point  
Detector



# SR FTIR Microscopy: Selected application for life Sciences



## FTIR Spectroscopy

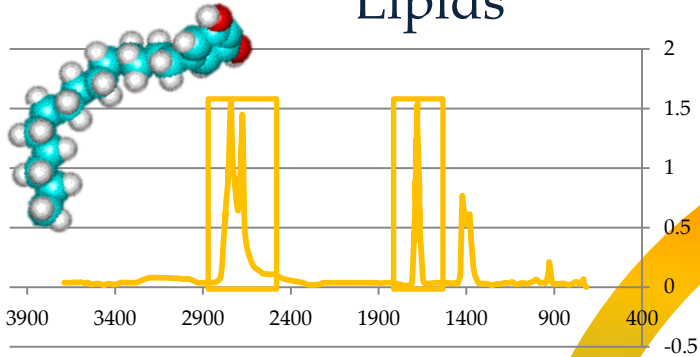


FTIR Microscopy allows to investigate several aspects of the **biochemistry** of cells and tissues

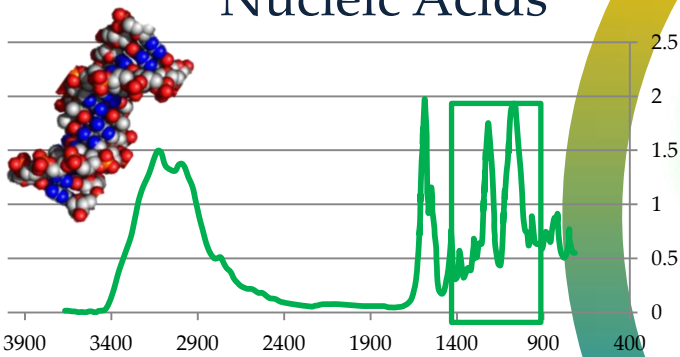


Elettra  
Sincrotrone  
Trieste

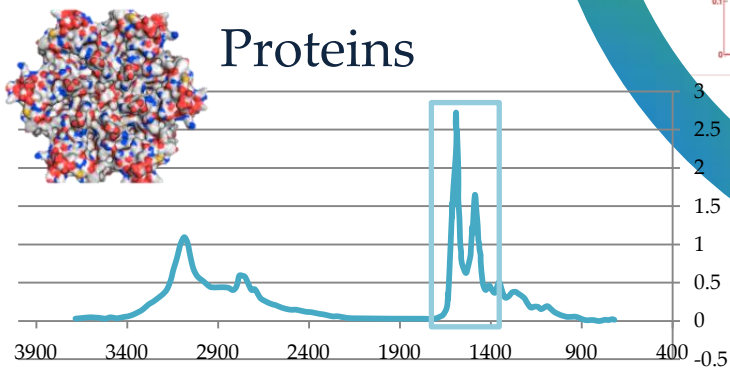
Lipids



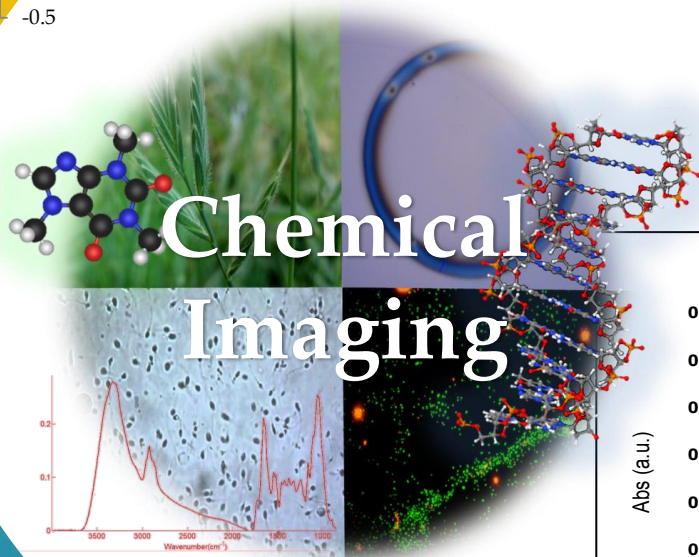
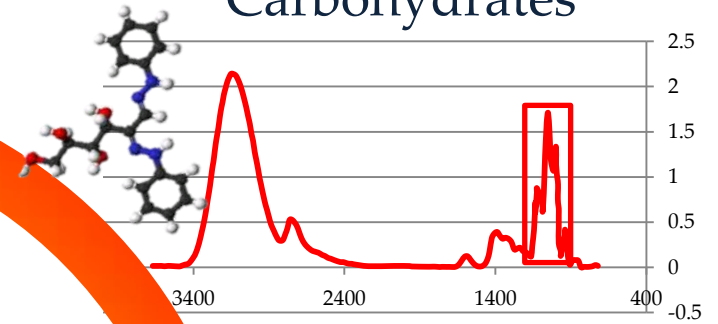
Nucleic Acids



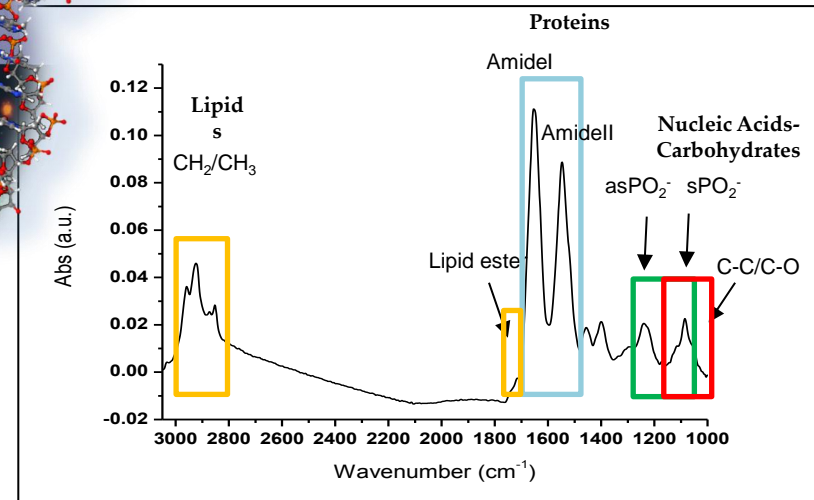
Proteins



Biology and life sciences:  
The spectroscopic point of view  
Carbohydrates



**SINGLE CELL SPECTRUM**



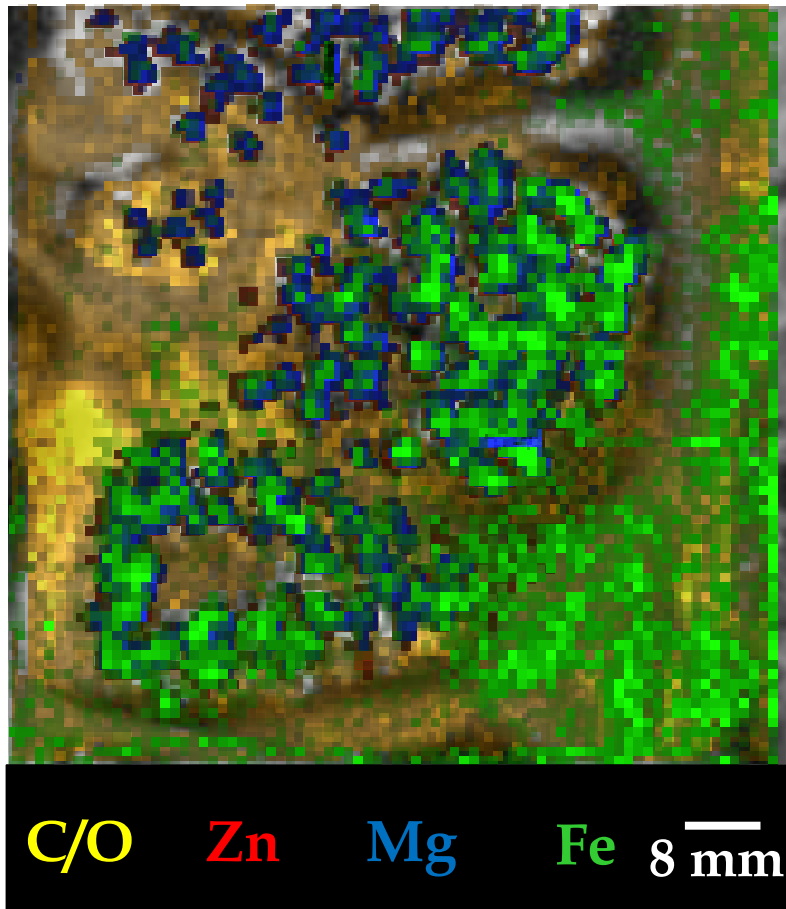
Band intensity, position, width and shape (band components) are sensitive to subtle biochemical changes of bio-specimens

**Compositional and structural information at tissue, cellular and sub-cellular level can be achieved by exploiting SR brightness advantage**

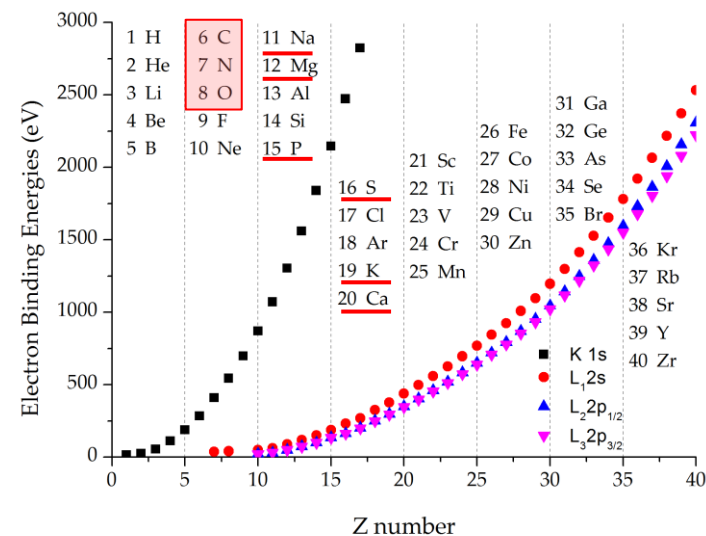
# Soft X-Ray Radiation Damage On Fixed Cells Investigated with SR FTIRM, AFM and XRM

## TwinMic Beamline at Elettra

Functionality and toxicity of Zn in wheat



- Radiation damage induced by X-rays on biological samples is one of the remaining bottlenecks for their ultrastructural characterization by X-ray microscopy techniques
- X-ray nanofocusing is a today reality but the extent to which the lateral resolution can be pushed without unacceptable bio-sample degradation is still an open question



# Soft X-Ray Radiation Damage On Fixed Cells Investigated with SR FTIRM, AFM and XRM

The very same radiation that induces damage is  
exploited for probing it

Literature Survey

Radiation  
damage is  
dose  
dependent

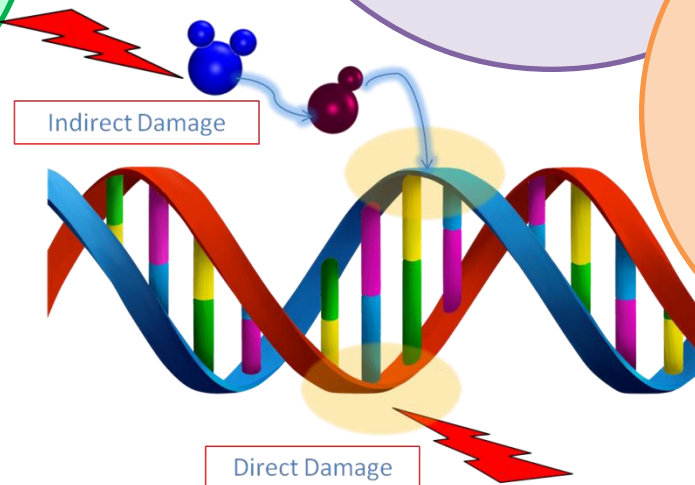
Radiation  
damage  
depends on  
sample  
preparation

Hydrated  
samples  
undergo more  
relevant  
changes with  
respect to fixed  
ones

Cryo-XRM  
better preserves  
the structural  
integrity of bio-  
samples

Radiolytic effects  
undergone by a  
specific molecule  
strongly depend  
on the sample  
architecture

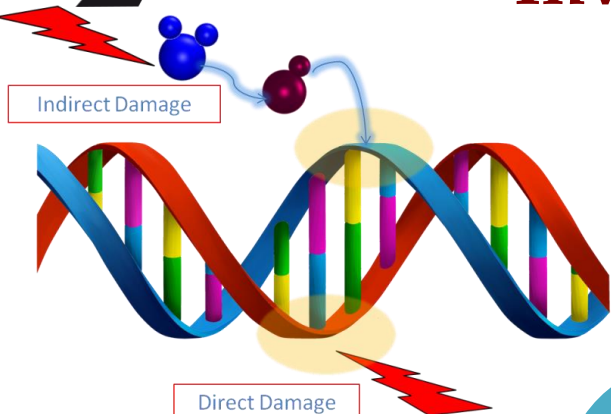
Genetic  
material is  
extremely  
sensitive to  
ionizing  
radiation



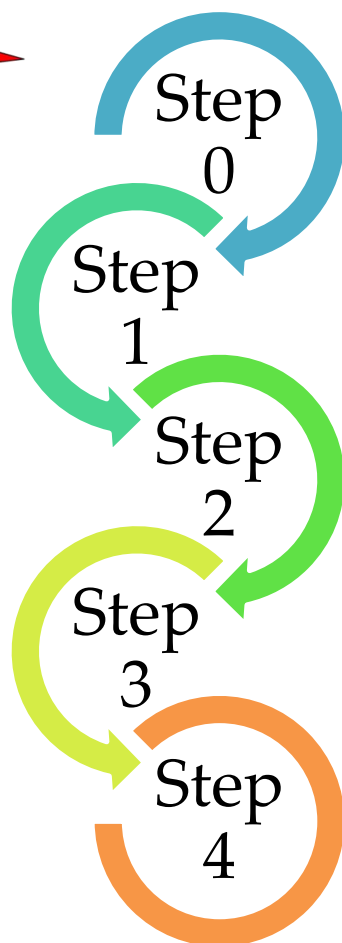
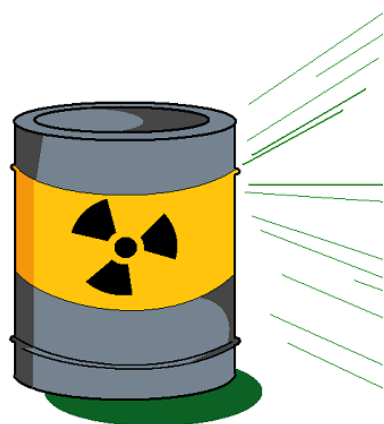


# Soft X-Ray Radiation Damage On Fixed Cells Investigated with SR FTIRM, AFM and XRM

 Elettra  
Sincrotrone  
Trieste



Hek293T cells (human embryonic kidney)



Cell growth on 100 nm  $\text{Si}_3\text{N}_4$  membranes  
Cell fixation with PFA 3.7% and overnight air drying

Cell drying in vacuum @ TwinMic  
( $p < 10^{-5}$  mbar) for 1:30 hour

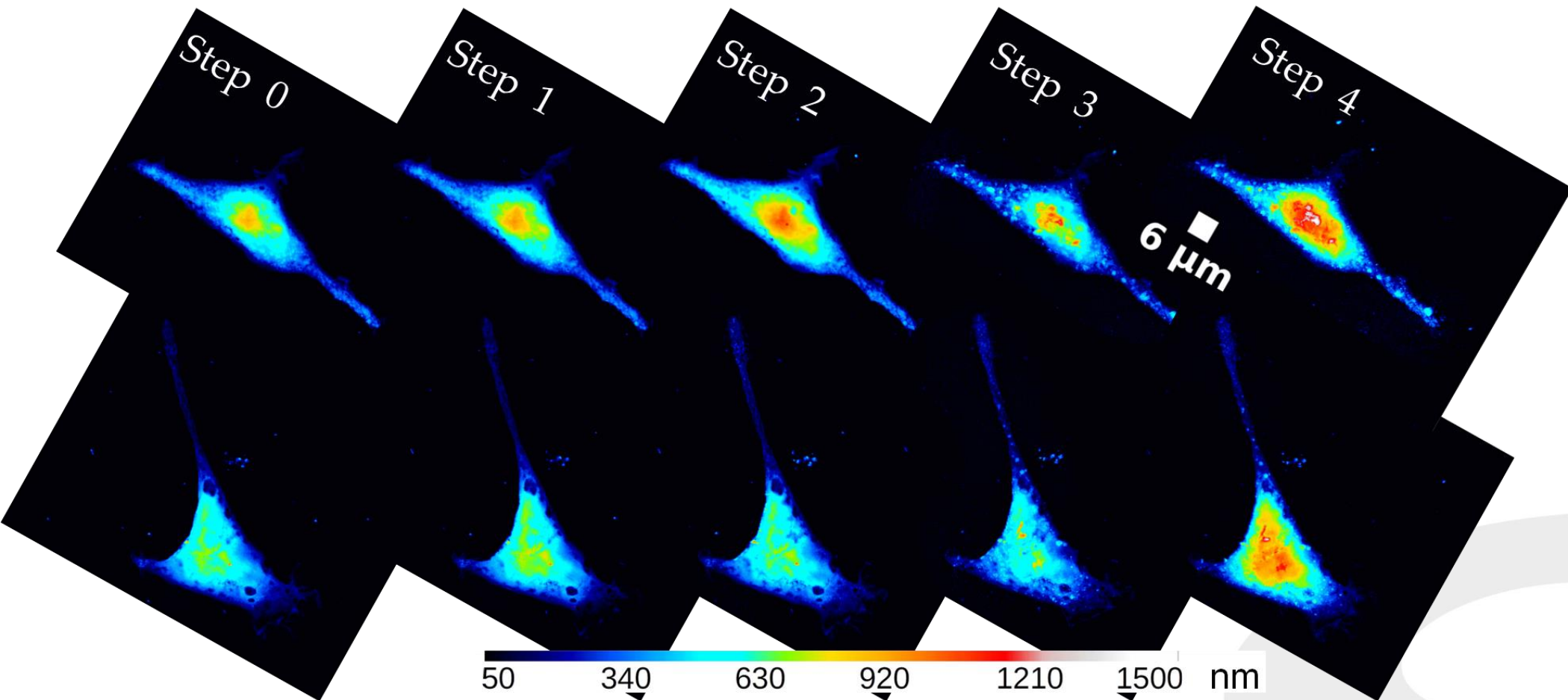
Low Dose STXM mapping @ TwinMic 1 keV  
Estimated dose:  $2 \cdot 10^6$  Gy

High Dose STXM mapping @ TwinMic 1 keV  
Estimated dose:  $2 \cdot 10^7$  Gy  
Cumulative estimated dose:  $2.2 \cdot 10^7$  Gy

Very high dose STXM mapping @ TwinMic 1 keV  
Estimated dose:  $6 \cdot 10^8$  Gy  
Cumulative estimated dose:  $6.2 \cdot 10^8$  Gy

## Outcomes of AFM

- Minimal cell shrinkage
- Evident degradation/thinning of pseudopodia terminations
- Appreciable thickness variations, especially on the nuclear region at Step 4
- Outstanding topographical changes: nanometric pits and bulges increase in number and size when increasing dose





## Outcomes of XRM

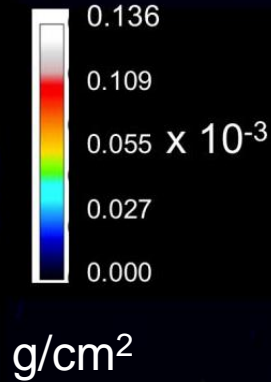
Mass Thickness

$$\rho t = -\ln \frac{(I/I_0)}{\mu^*}$$

Step 2 ( $\sim 10^6$  Gy)

Step 3 ( $\sim 10^7$  Gy)

Step 4 ( $\sim 10^8$  Gy)



Mass absorption coefficient

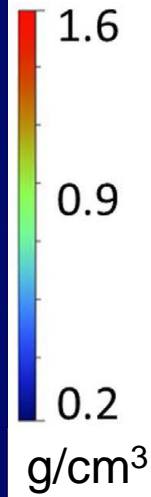
Element	Mass fraction
C	0.5
N	0.16
H	0.07
O	0.25
P+S	0.02

- Mass Thickness decreases with increasing dose



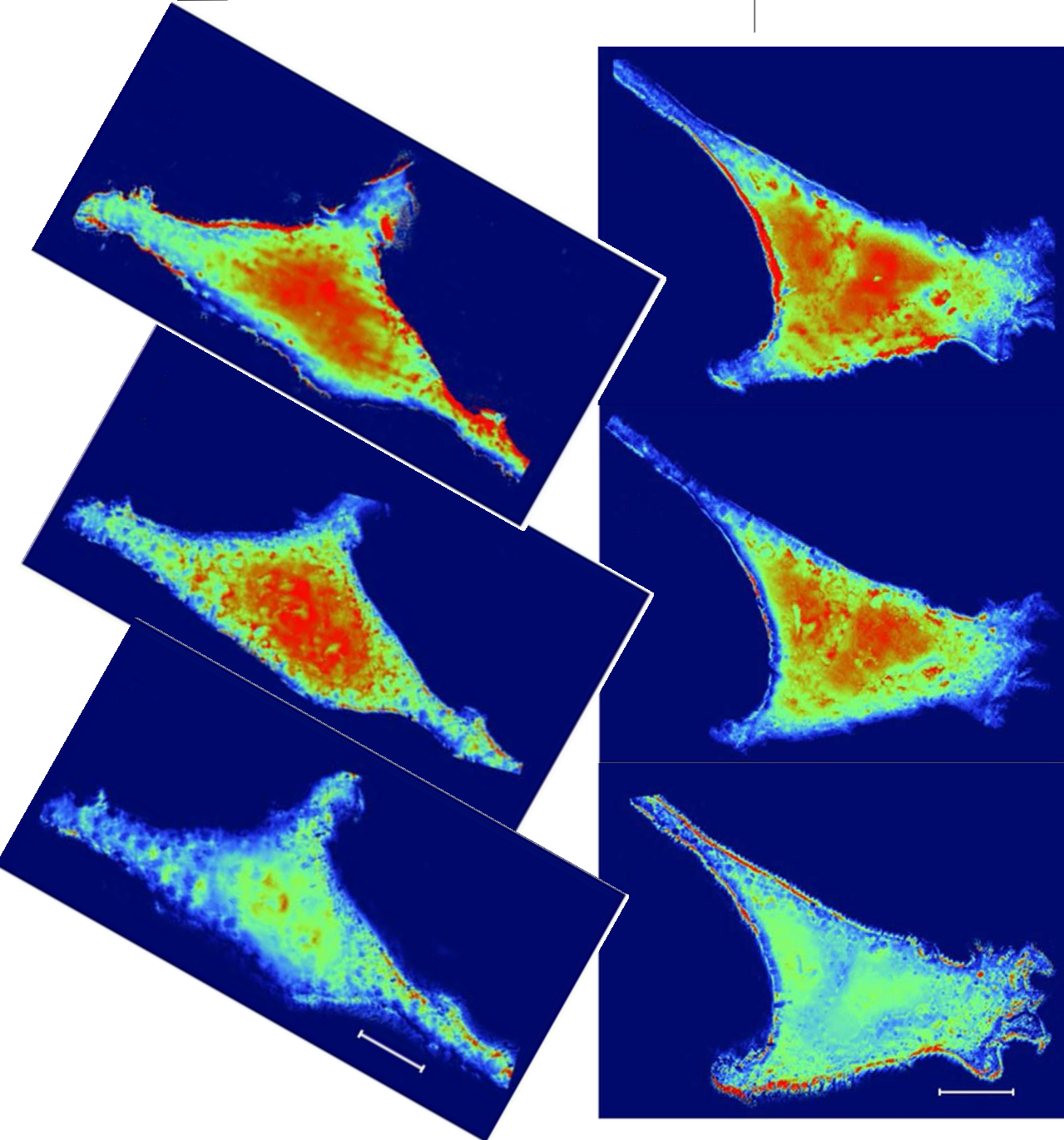
## Combining XRM and AFM

XRM cell images  
normalized over  
AFM cell thickness



$$\rho = -\ln \frac{(I/I_0)}{\mu^* t}$$

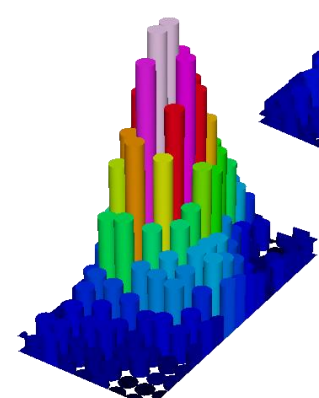
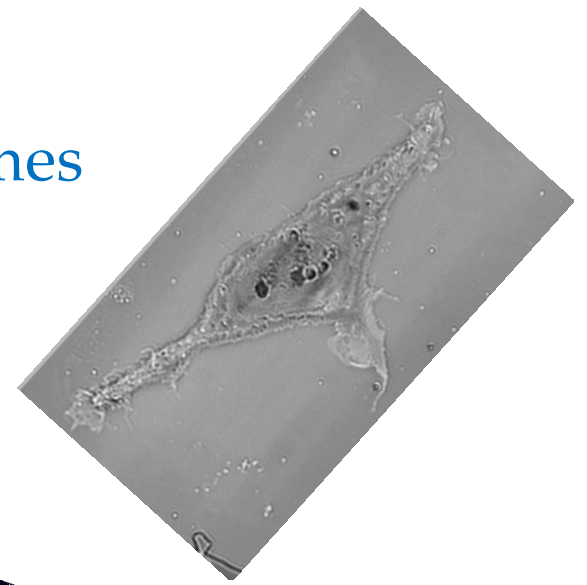
- Progressive reduction of the cell density with increasing X-ray dose



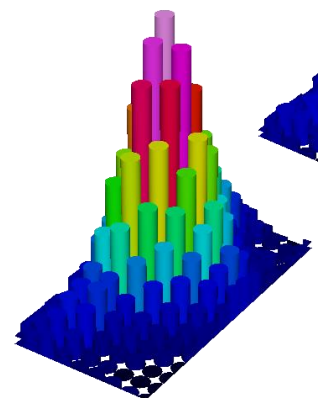


Elettra  
Sincrotrone  
Trieste

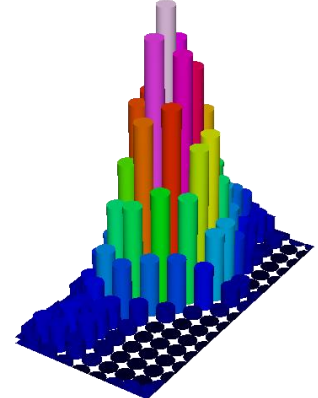
# FTIRM Outcomes



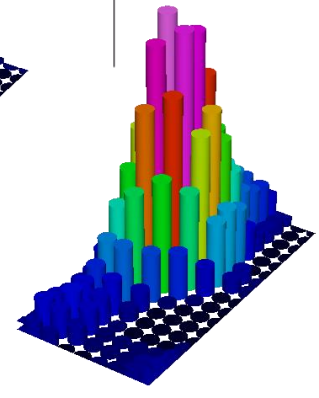
Step 0



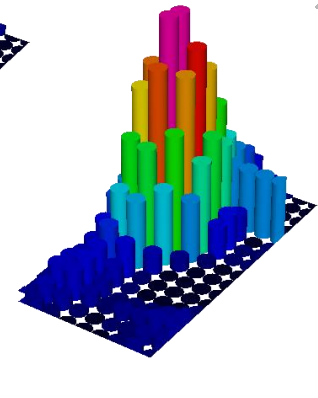
Step 1



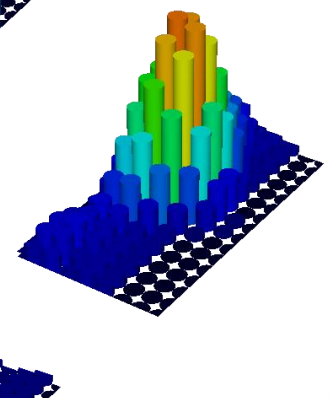
Step 2



Step 3

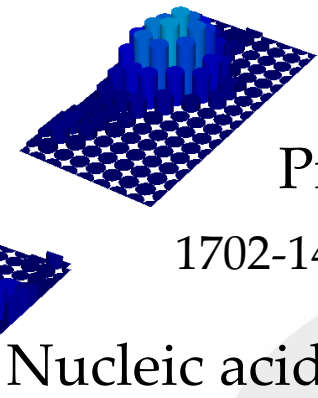


Step 4



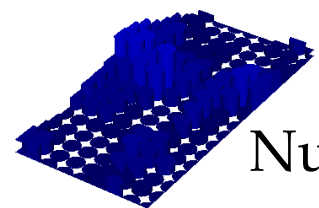
Lipids

2988-2830  $\text{cm}^{-1}$



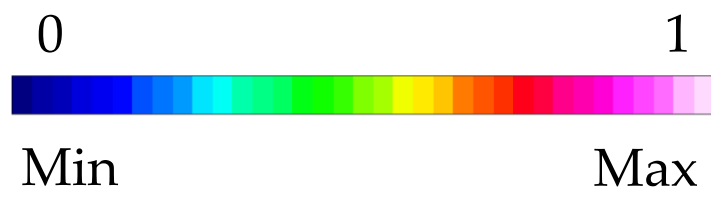
Proteins

1702-1480  $\text{cm}^{-1}$



Nucleic acids

1270-1190  $\text{cm}^{-1}$



0

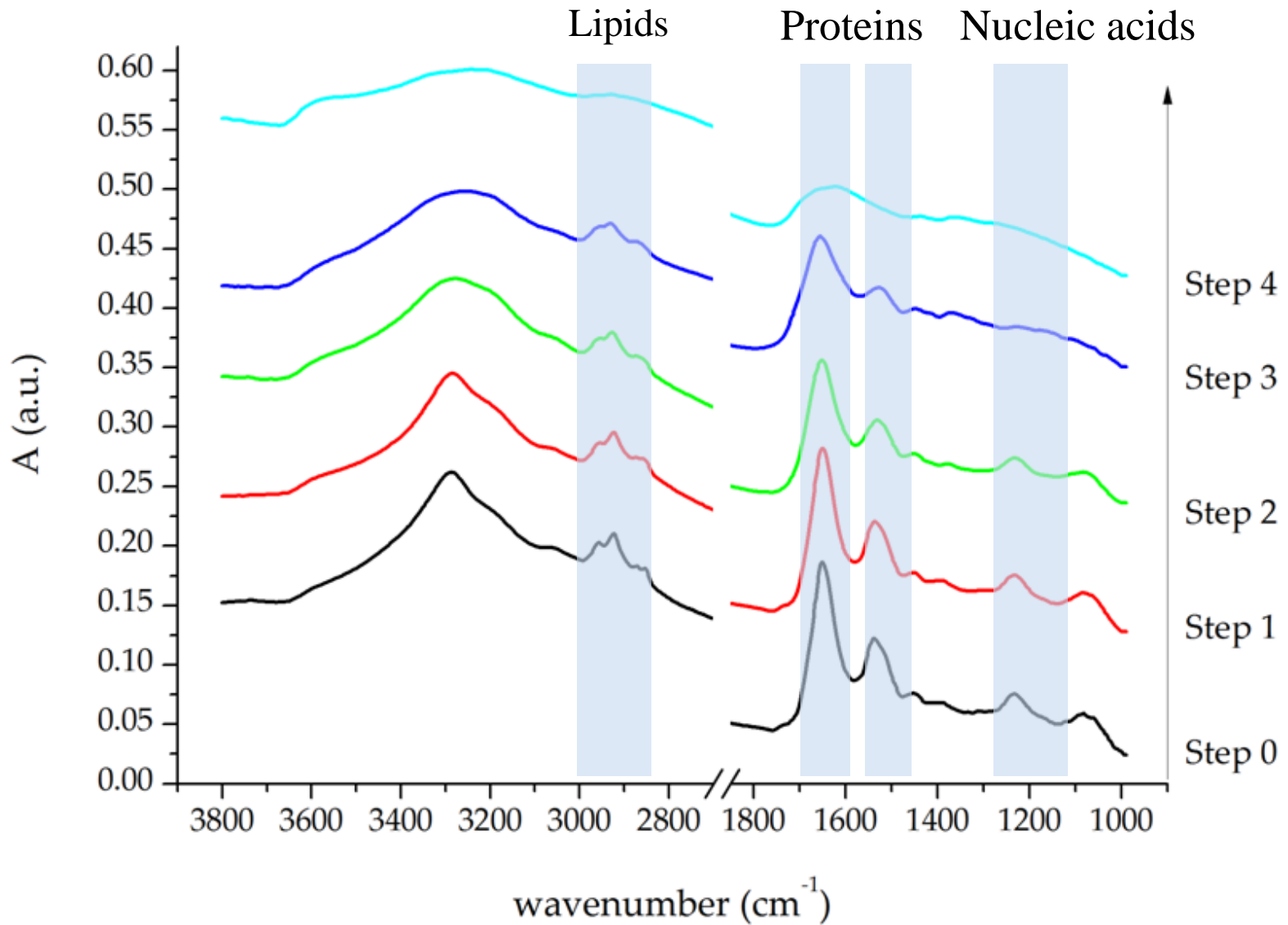
1

Min

Max



# FTIRM Outcomes

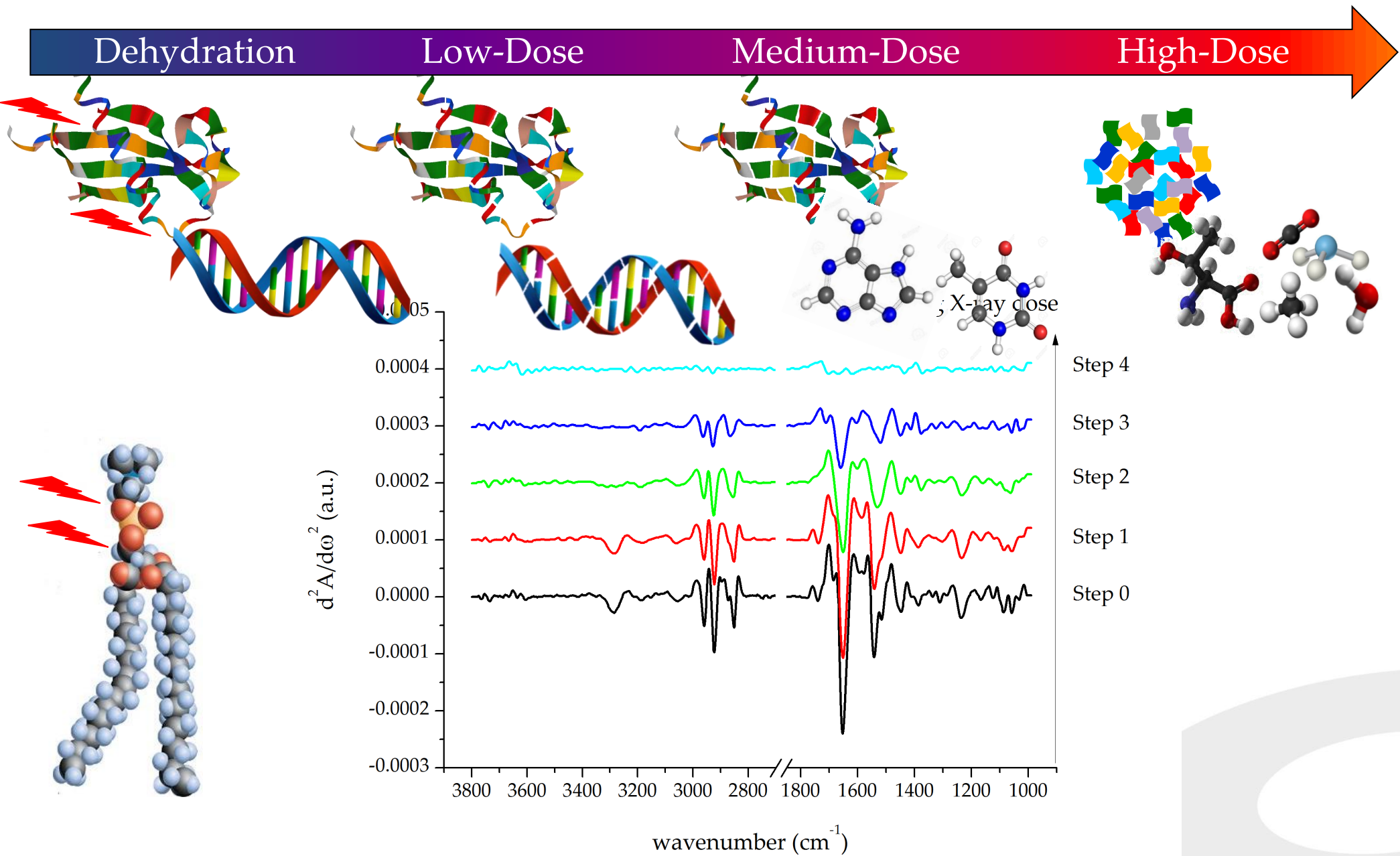






Elettra  
Sincrotrone  
Trieste

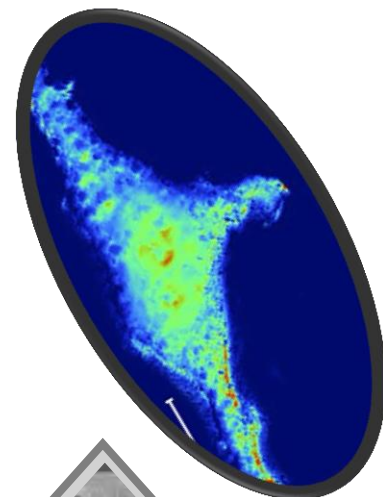
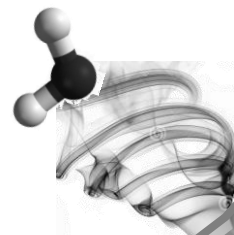
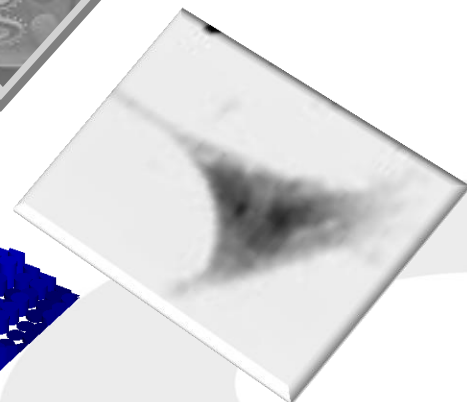
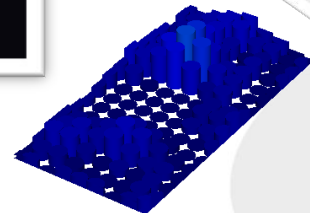
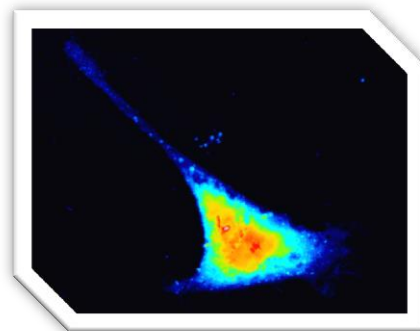
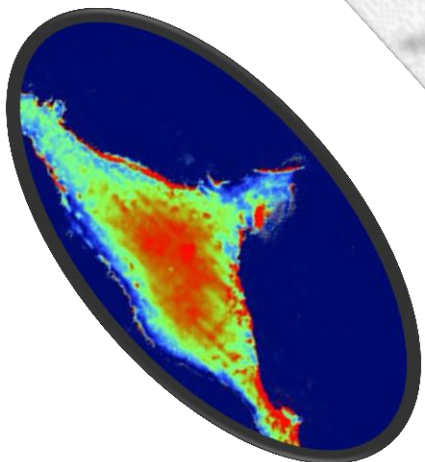
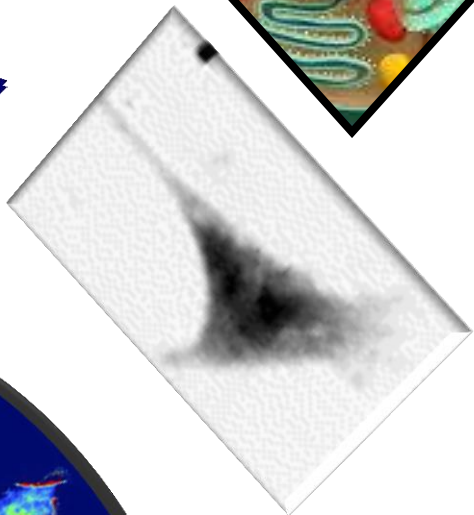
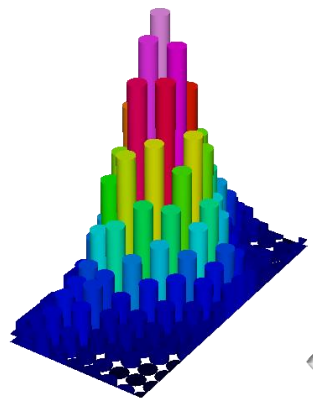
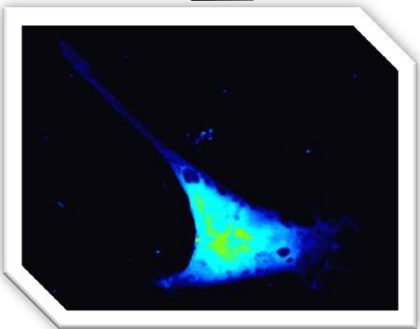
# FTIRM Outcomes





Elettra  
Sincrotrone  
Trieste

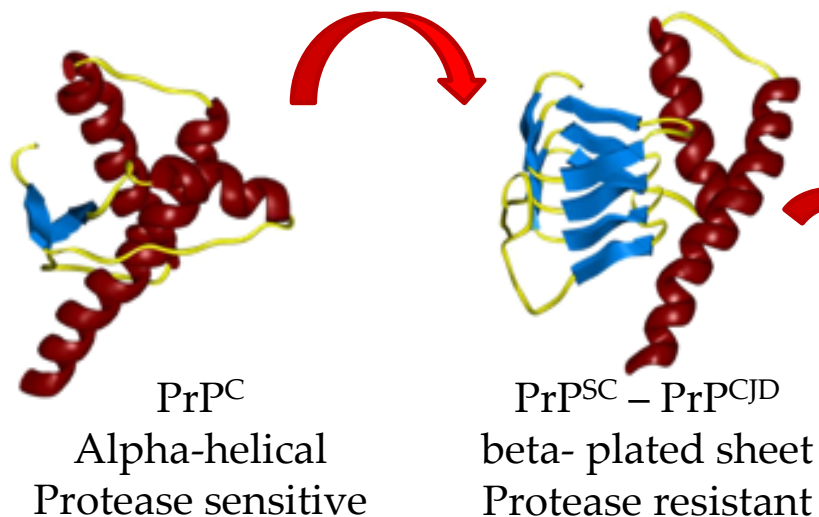
# General Conclusions



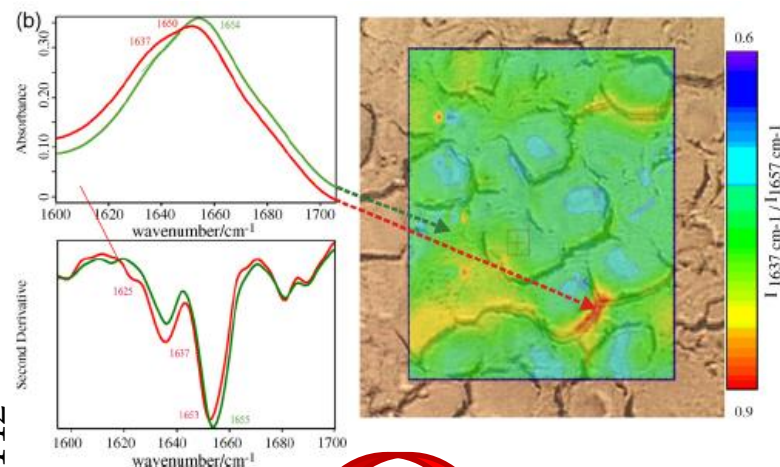


# FTIR Microscopy and Prion Research

## Aberrant metabolism of the Prion Protein (PrP)



As revealed by FTIRM



As revealed by FTIR spectroscopy

PrP<sup>C</sup> 42%  $\alpha$ -helix; 3%  $\beta$ -sheet

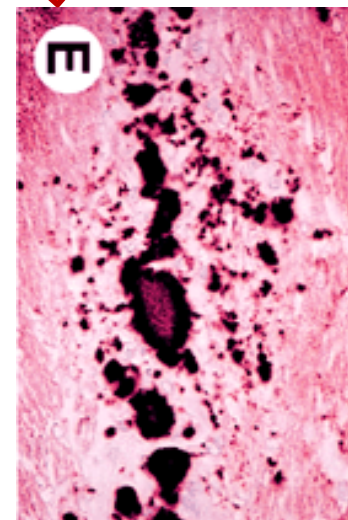
PrP<sup>Sc</sup> <  $\alpha$ -helix; >  $\beta$ -sheet Phenotype dependent

Amide I band – 1700-1600 cm <sup>-1</sup>	
1695-1675	Antiparallel $\beta$ -sheet/ Aggregated strands
1670-1660	$3_{10}$ - Helix
1660-1648	$\alpha$ -helix
1648-1640	Random coil
1640-1625	$\beta$ -sheet
1628-1610	Aggregated strands

PNAS 1999 vol. 96 no. 26 15137-15142



Prion rods



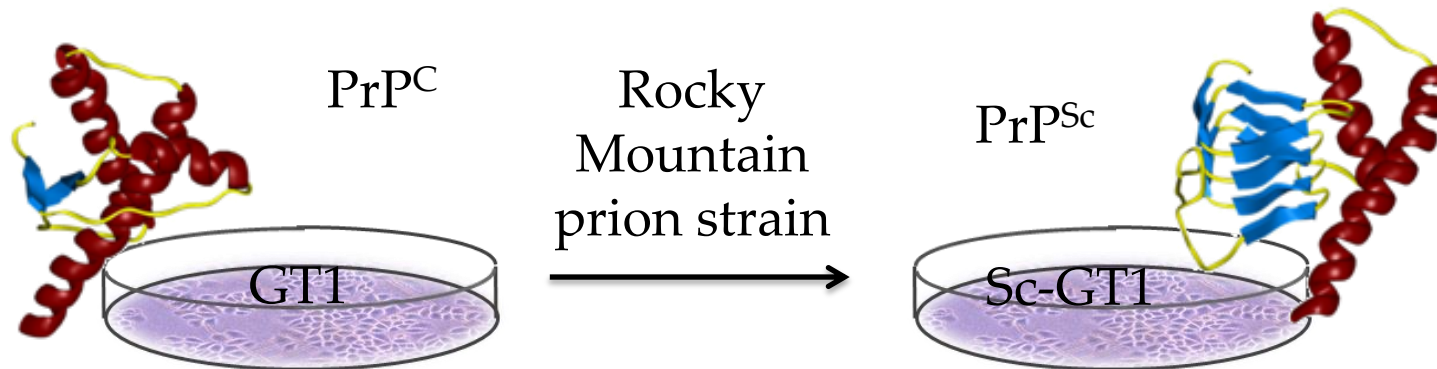
PrP amyloid plaques

PNAS 1998 vol. 95 no. 23 13363-13383



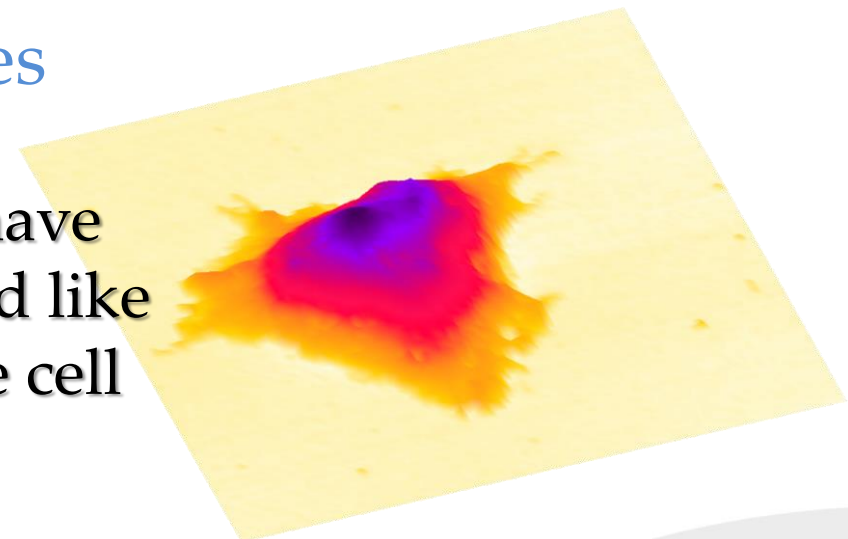
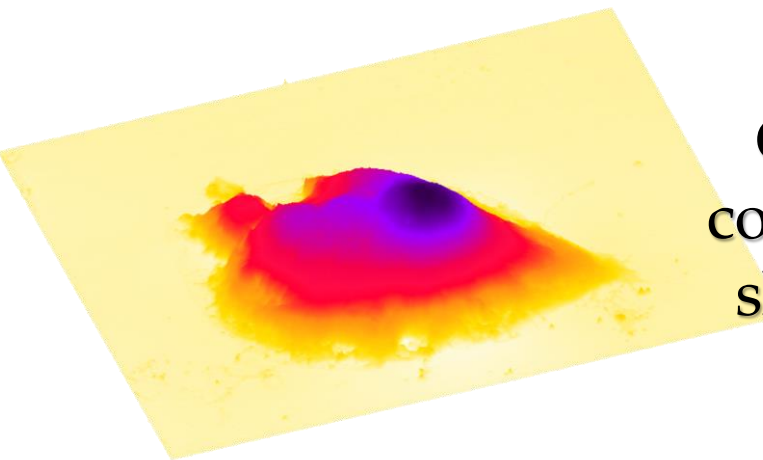
Elettra  
Sincrotrone  
Trieste

# A multi-technique approach for cellular analysis



## AFM outcomes

GT1 and Sc-GT1 have comparable pyramid like shape and effective cell height



Prion Laboratory , Neurobiology Sector, SISSA Prof. G. Legname, A. Didonna

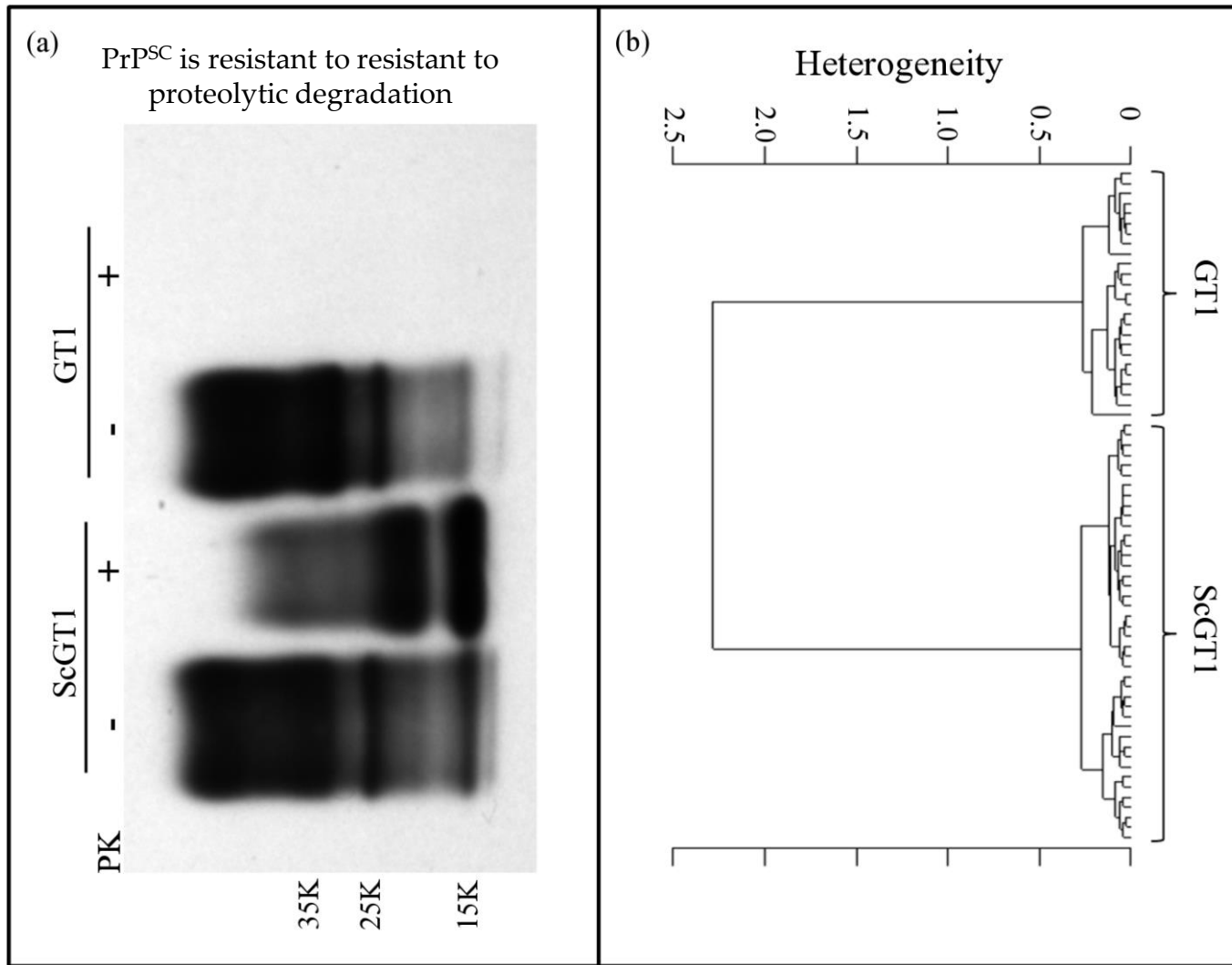
Alessandro Didonna, Lisa Vaccari, Alpan Bek, and Giuseppe Legname. **Infrared Microspectroscopy: A Multiple-Screening Platform for Investigating Single-Cell Biochemical Perturbations upon Prion Infection.** *ACS Chemical Neuroscience* 2011 2 (3), 160-174

# Outcomes of FTIRM on individual single cells\_1

Proteinase K Western blot assay  
Chronic infection of the neural cell line

FTIR Microscopy on whole cells  
Healthy and Infected cells can be discerned

- Multiple cell sensitivity
- Execution time: many hours

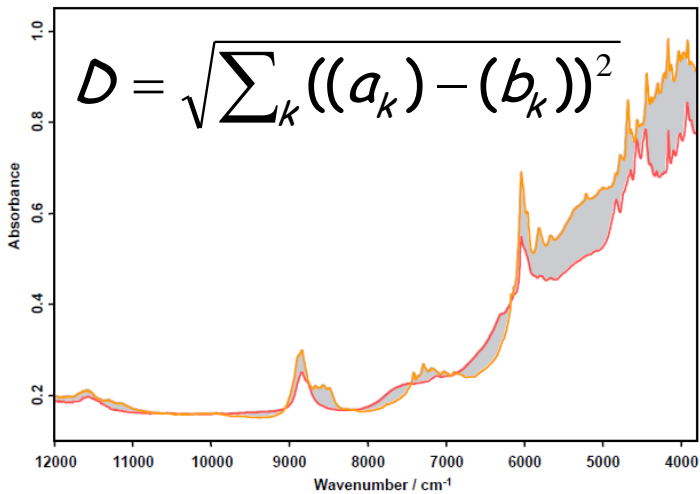


- Single cell sensitivity
- Execution time: few minutes

# Multivariate statistical analysis: Cluster Analysis

## 1. Spectral distance calculation

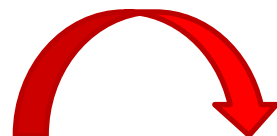
Distance between spectra  $a$  and  $b$  can be calculated with many algorithms. Euclidean spectral distance between  $a$  and  $b$  spectra is calculated over the all sampled  $k$  points (or within specific intervals).



## 2. Spectral distance matrix

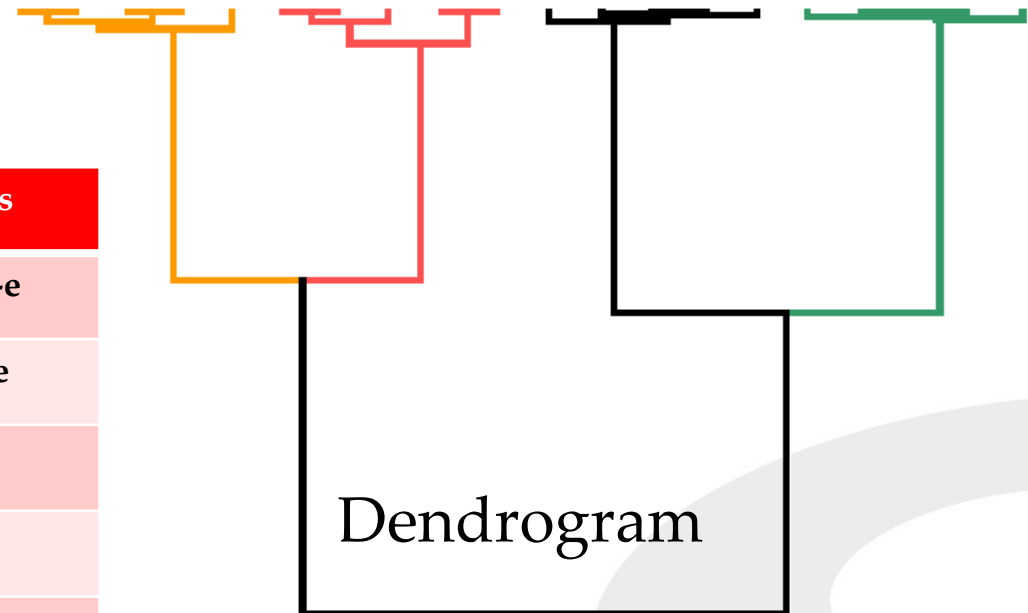
	a	b	c	d	e
a	0				
b	44	0			
c	11	54	0		
d	100	68	97	0	
e	120	92	115	21	0

There are many methods available to calculate spectral distances between a newly-created cluster and all the other spectra or clusters.



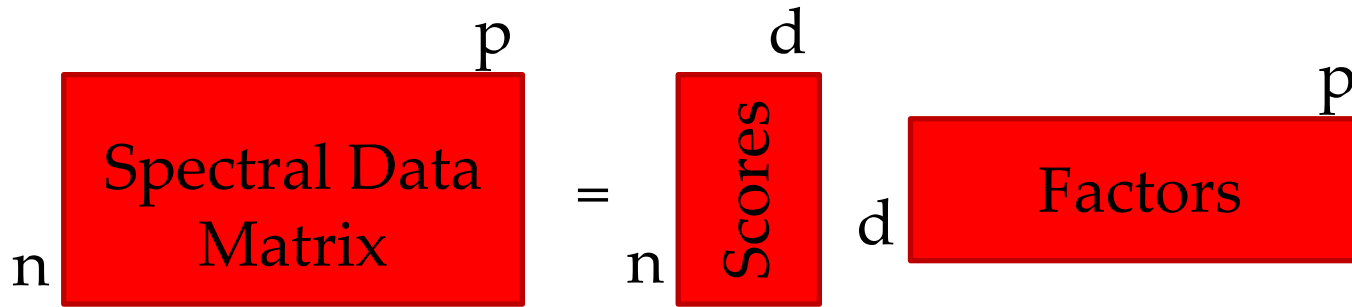
N	Clusters
1	a-b-c-d-e
2	ac-b-d-e
3	ac-b-de
4	abc-de
5	abcde

## 3. Spectra clustering

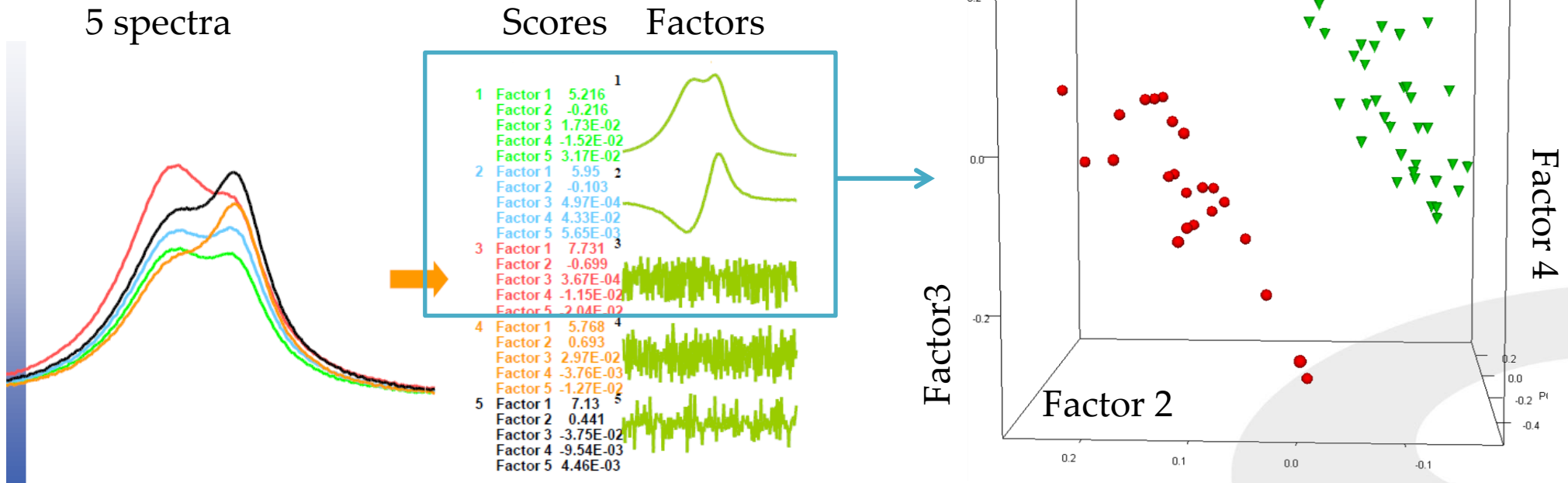


# Multivariate statistical analysis: PCA

## Principal component Analysis

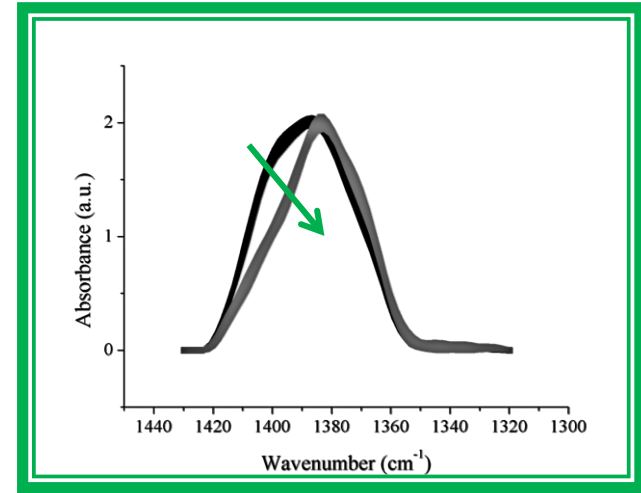
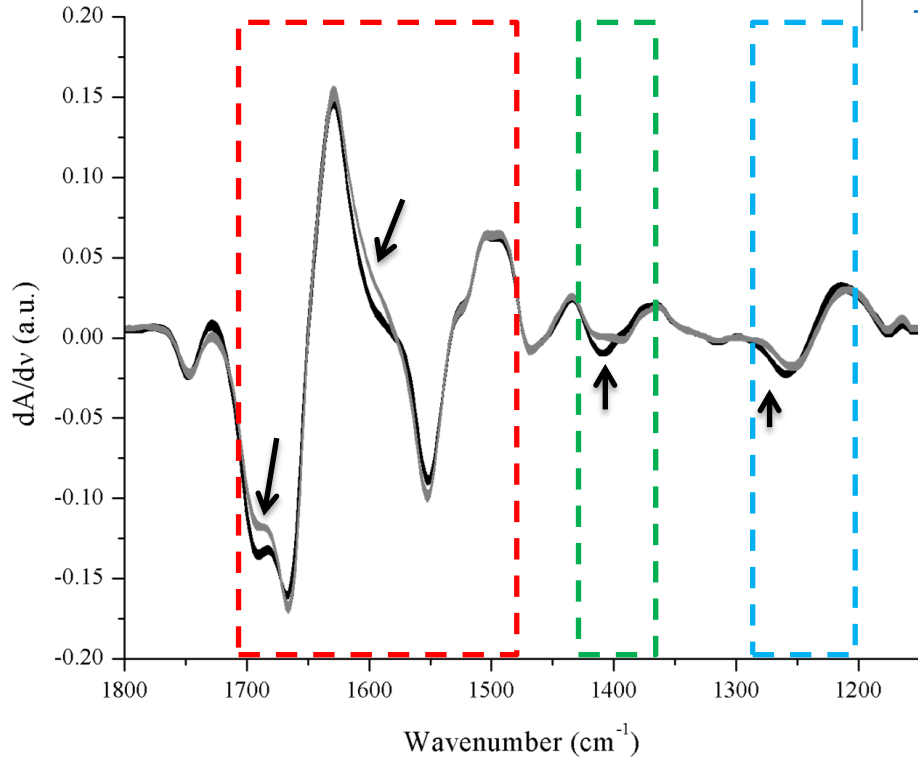


$n$  spectra with  $p$  data points;  $d$  scores for each spectrum ( $d < n$ );  $d$  factors with  $p$  data points ( $d < n$ )

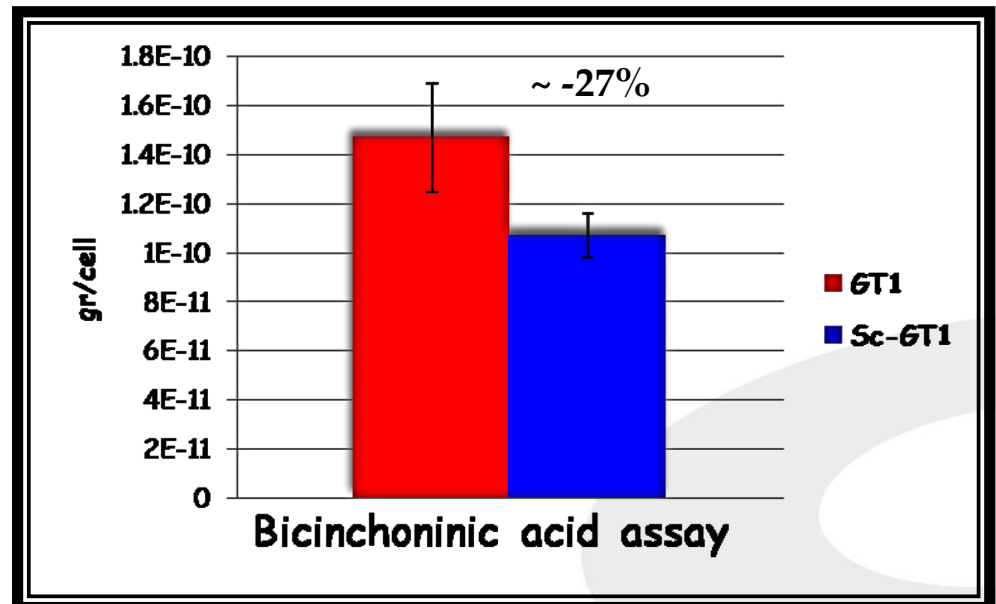
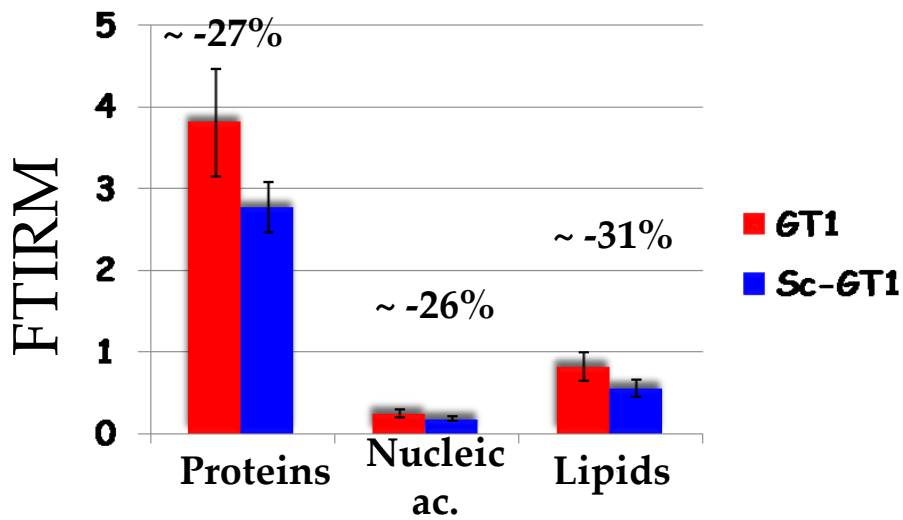




# Outcomes of FTIRM on individual single cells\_2



Aspartate → Aspartic acid  
Glutamate → Glutamic acid

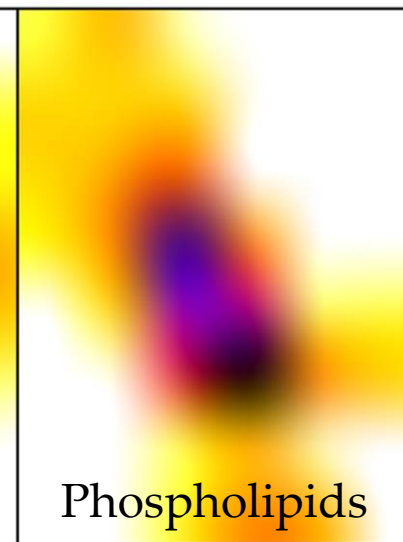
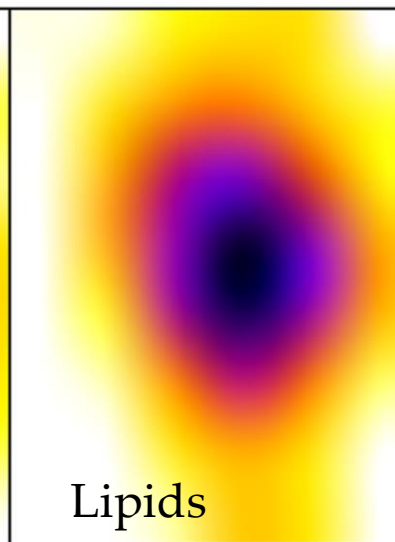
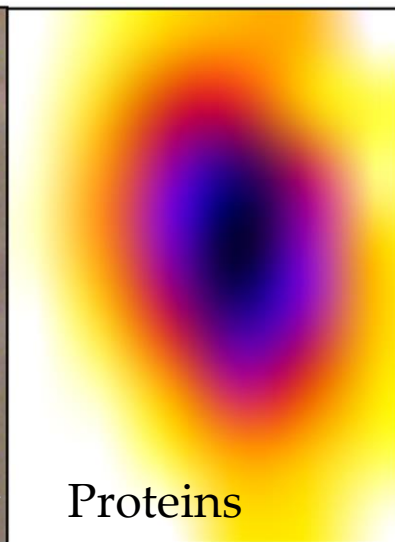
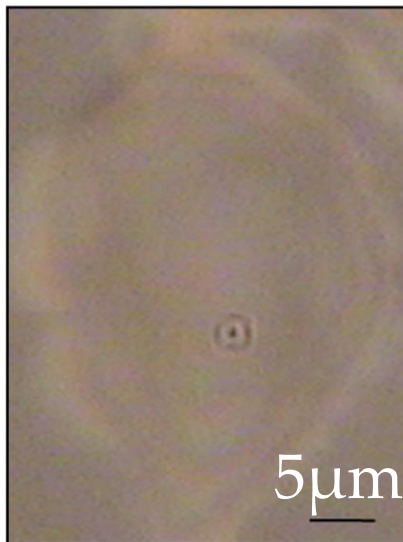
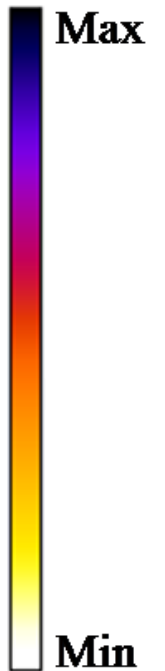
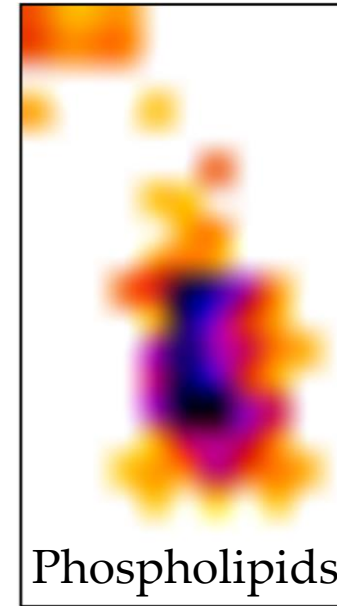
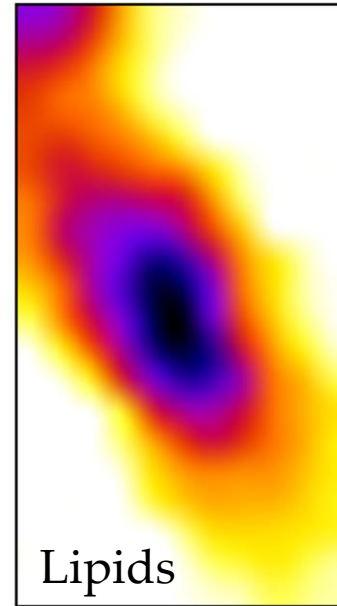
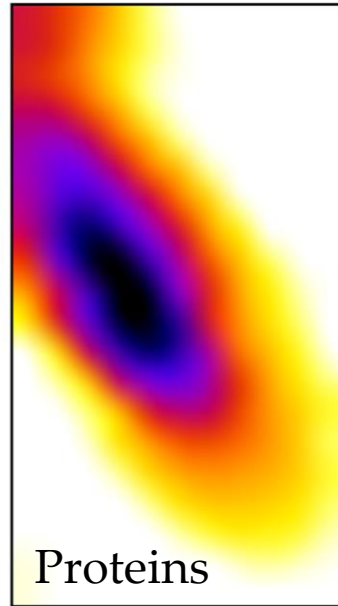
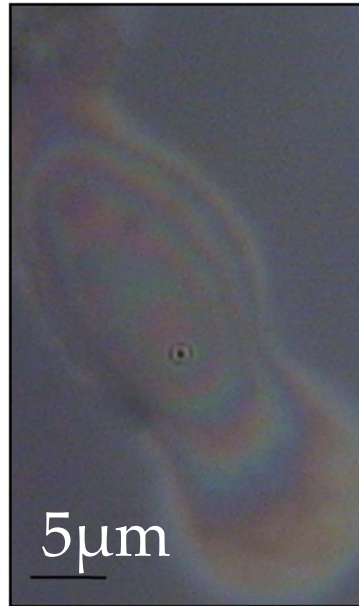






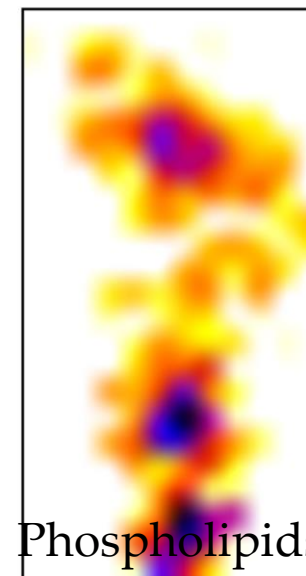
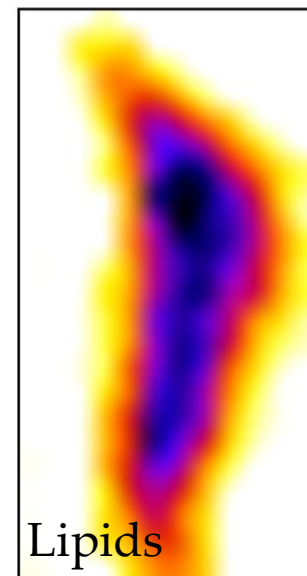
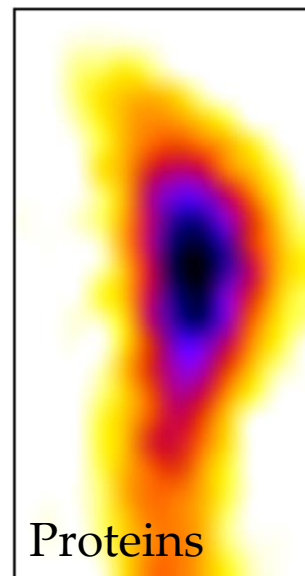
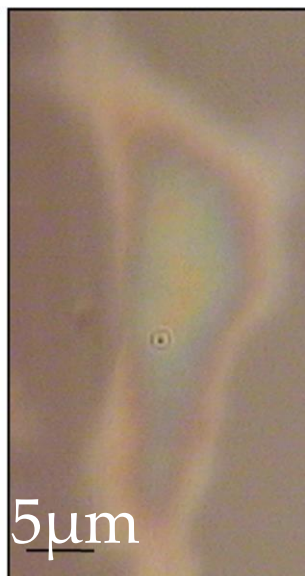
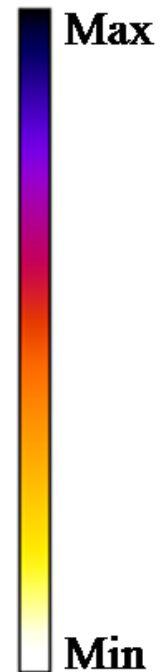
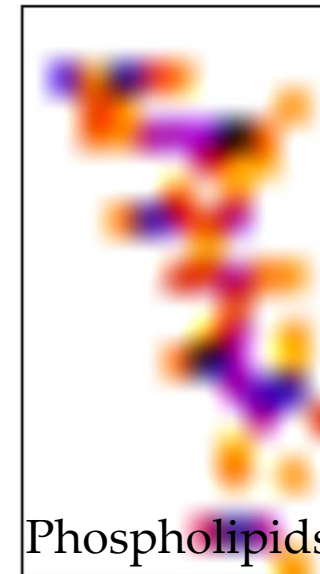
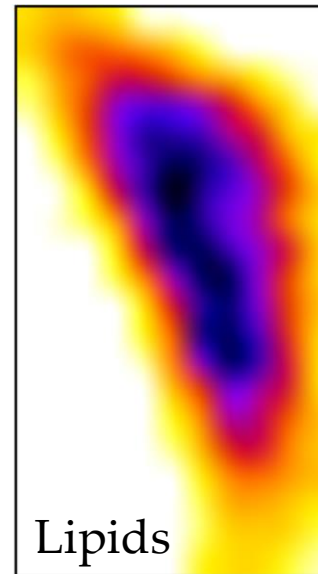
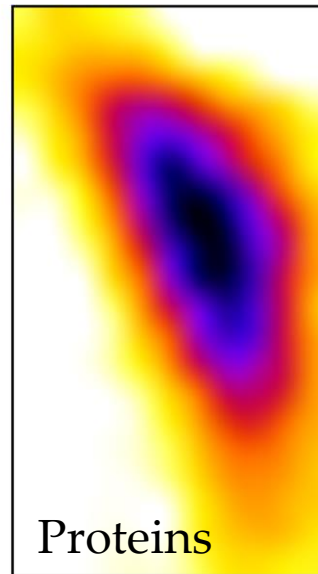
Elettra  
Sincrotrone  
Trieste

# Outcomes of FTIRM at subcellular level: GT1



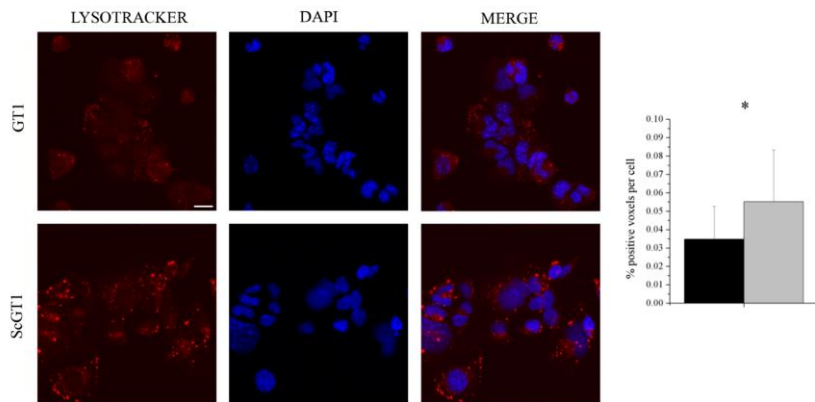


# Outcomes of FTIRM at subcellular level: ScGT1



- Down-regulation of Lipid and Protein metabolism
- The lower concentration of cellular proteins and lipids is not related to variations in shape and volume of the infected cells

One of the distinctive histopathological hallmarks of prion disease is spongiosis  
→ GT1 cell line seems to undergo *in vitro* the same alterations experienced *in vivo*



Extended cytosolic vesicles and discrete vesicular foci characterize prion infection  
→ Direct Involvement of the lysosomal compartment in prion propagation

**Subcellular compartment/s where the PrP<sup>C</sup> to PrP<sup>Sc</sup> conversion takes place is still the subject of intense debate**

**Our results may reflect the role of lysosomal compartment as conversion sites**

# FTIR Microscopy and Cancer Research Glioblastomas

Gliomas are an heterogeneous group of primary brain tumors  
Glioblastoma is the most malignant one (only 5% 5-years survival)

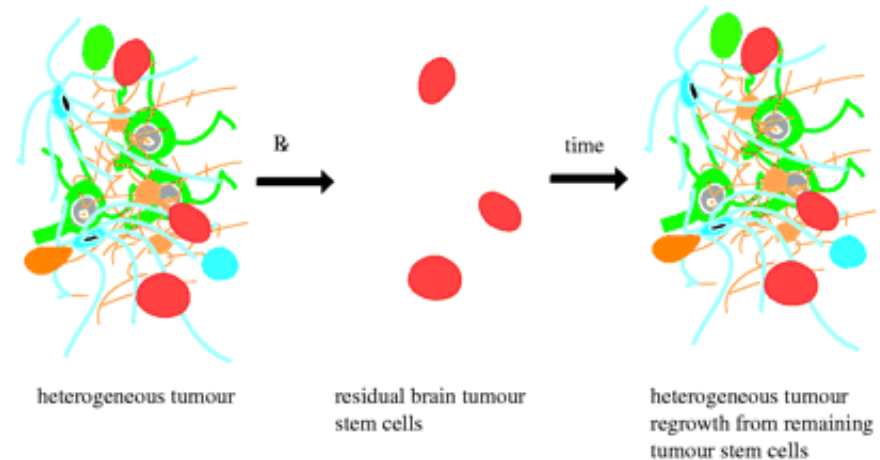
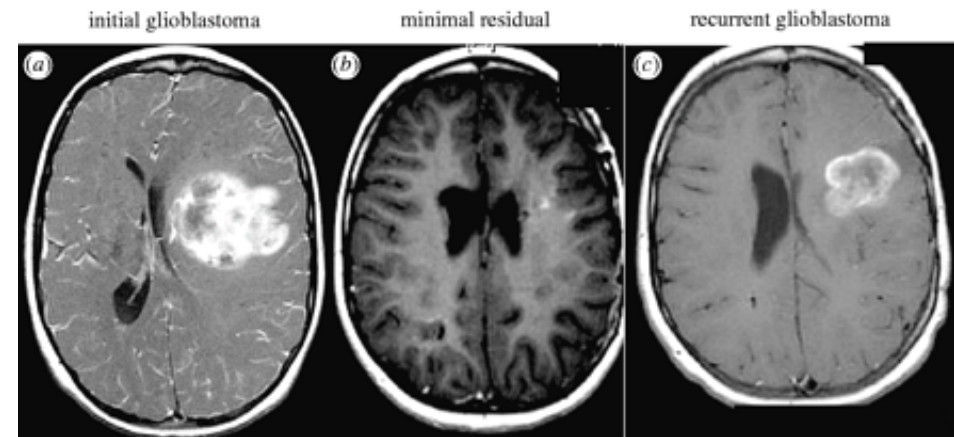
## Cancer Stem Cells Theory

Only a small subpopulation of cancer stem cells is able to initiate tumor growth and drive development

CSCs not removed by surgery are responsible for tumor recurrence

CSCs are resistant to standard radio- and chemo-therapies

Inducing differentiation of stem cells is the most promising therapeutic approach nowadays under investigation



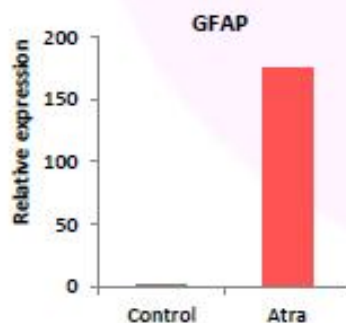
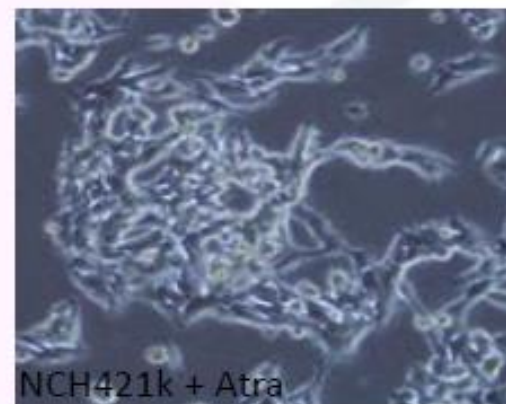
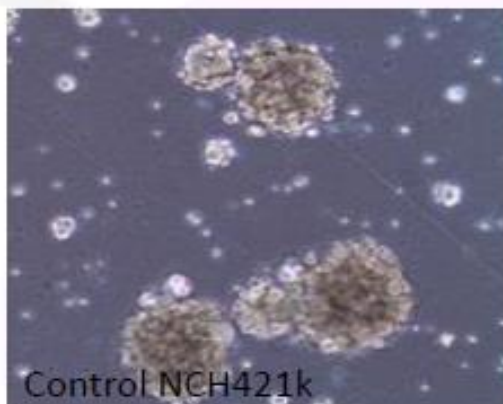
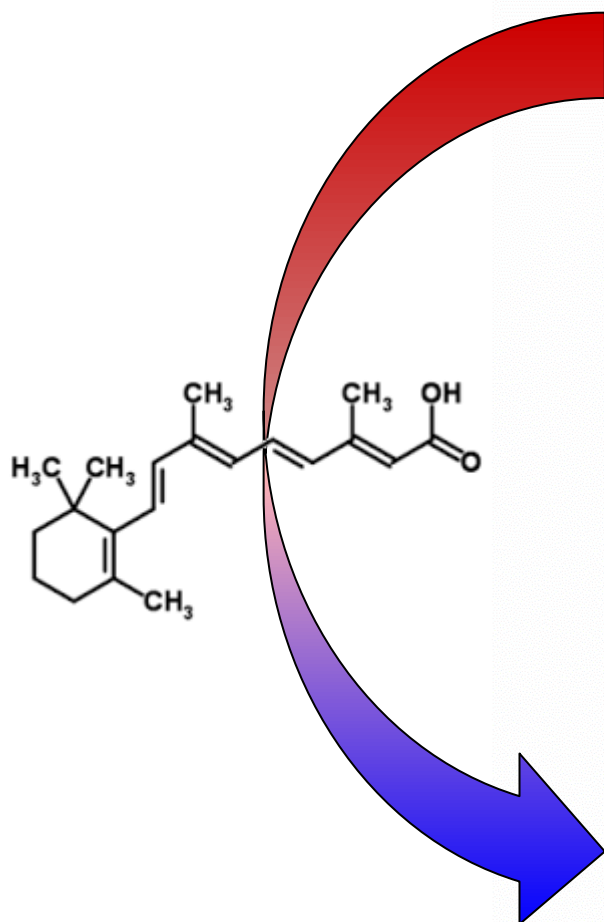
**Fast methods for monitor the presence/abundance of stem cells and the efficiency of stem-differentiating agent are needed**



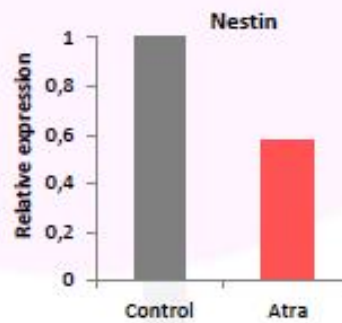
# Stem cell differentiation as revealed by FTIRM

## CONTROL STEM CELLS

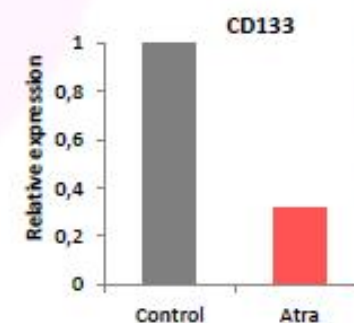
NCH421K Human Stem Cell Like Glioblastoma cell line



Astrocytes differentiation marker



Stemness markers



## ATRA-DIFFERENTIATED CELLS



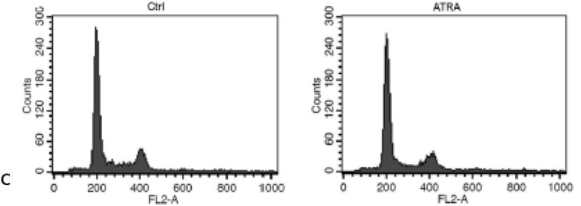


Elettra  
Sincrotrone  
Trieste

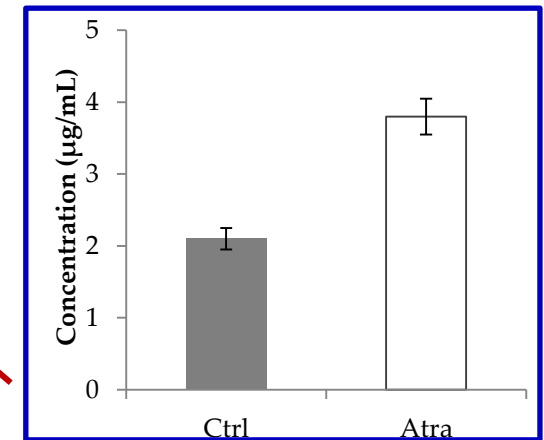
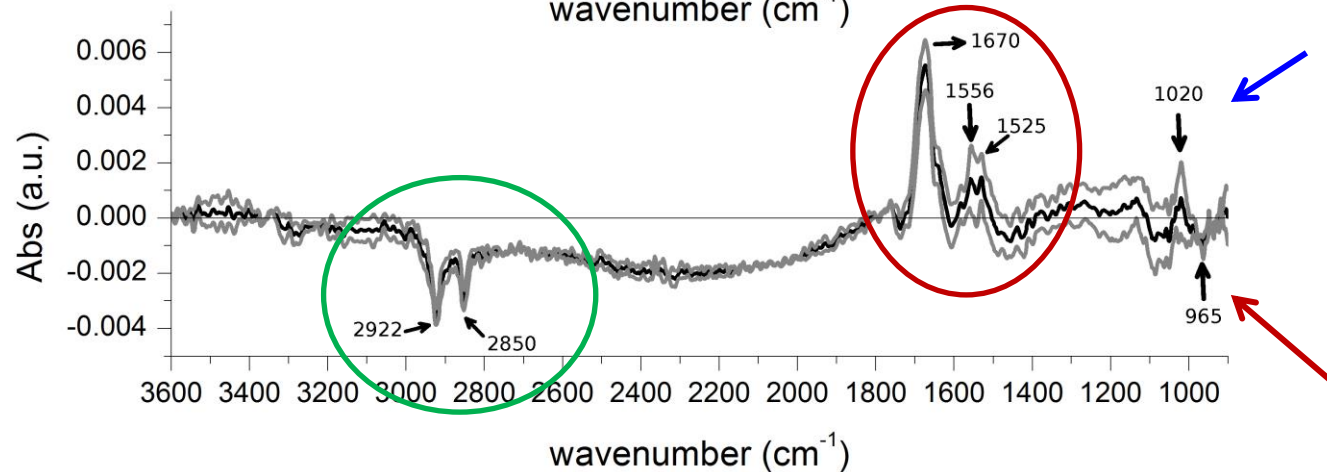
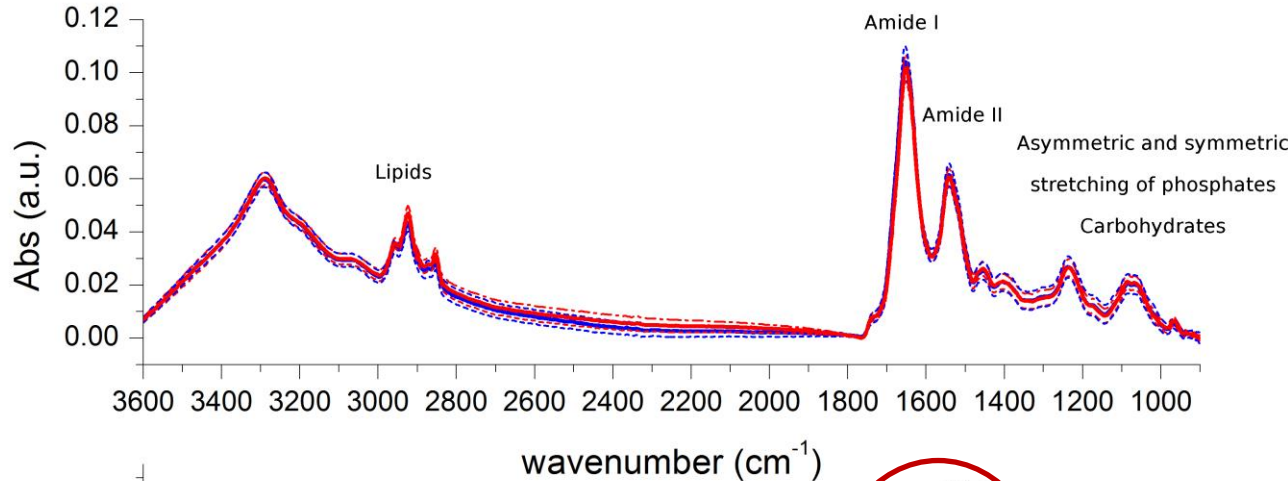
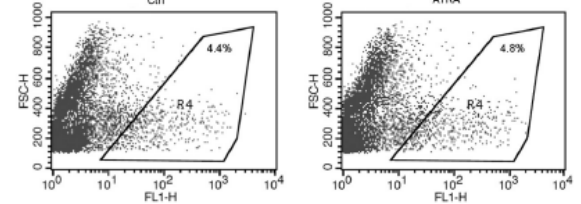
# Stem cell differentiation as revealed by FTIRM

## ATRA-DIFFERENTIATED – STEM CELLS

Same cell cycle distribution



Same, minimal level of apoptosis

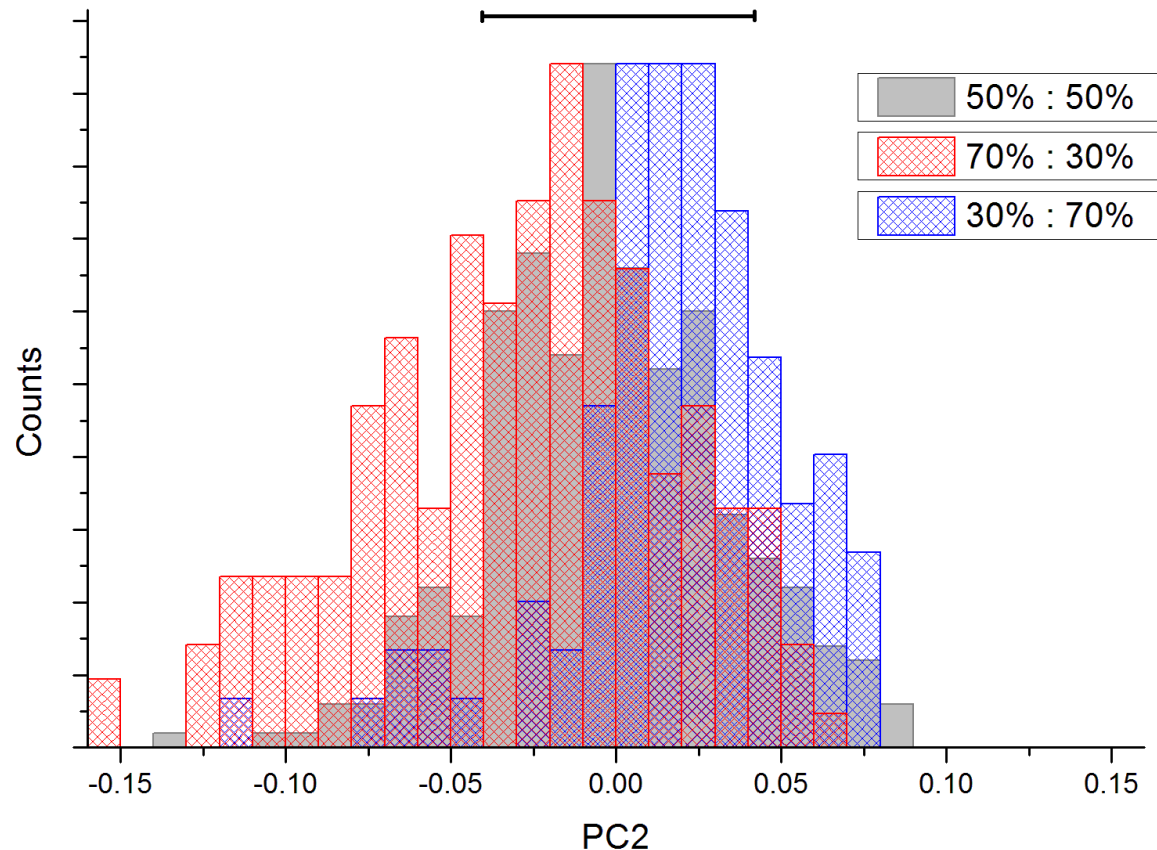


Different set of genes/proteins expressed by differentiated cells  
 High glycolytic rate in ATRA-differentiated cells → lower lipid concentration  
 In cancer cells and gliomas as well, glycolytic levels are often increased → Down-regulation of  
 Glycogen inhibitors and high level of protein kinase in glioma CSCs  
 Glycogen stores as supplemental energy substrate when glucose supply is insufficient

# Stem cell differentiation as revealed by FTIRM

All the aforementioned differences are detected at single cell level by using a label-free, non-damaging and fast method.

To determine abundancy of stem cells and efficiency of ATRA-induced differentiation of glioma stem cell model NCH412K is possible.



What's about primary cells? This is still an open question

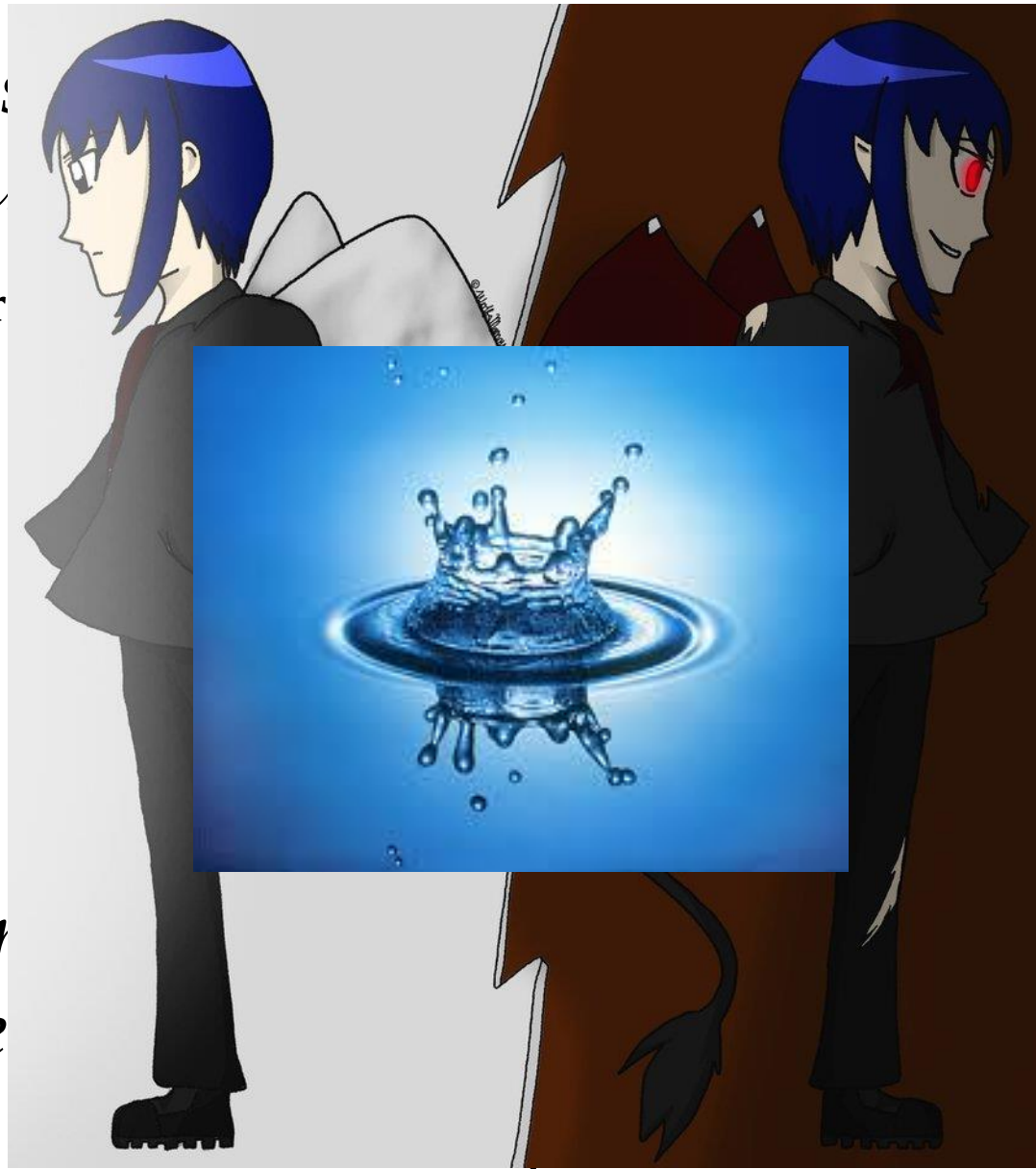
# Water: two sides of the same coin

*“Water is  
medicine”*

Albert Szent-Gyorgy

*er and  
er”*

medicine in 1937



*With s  
'nightmare*

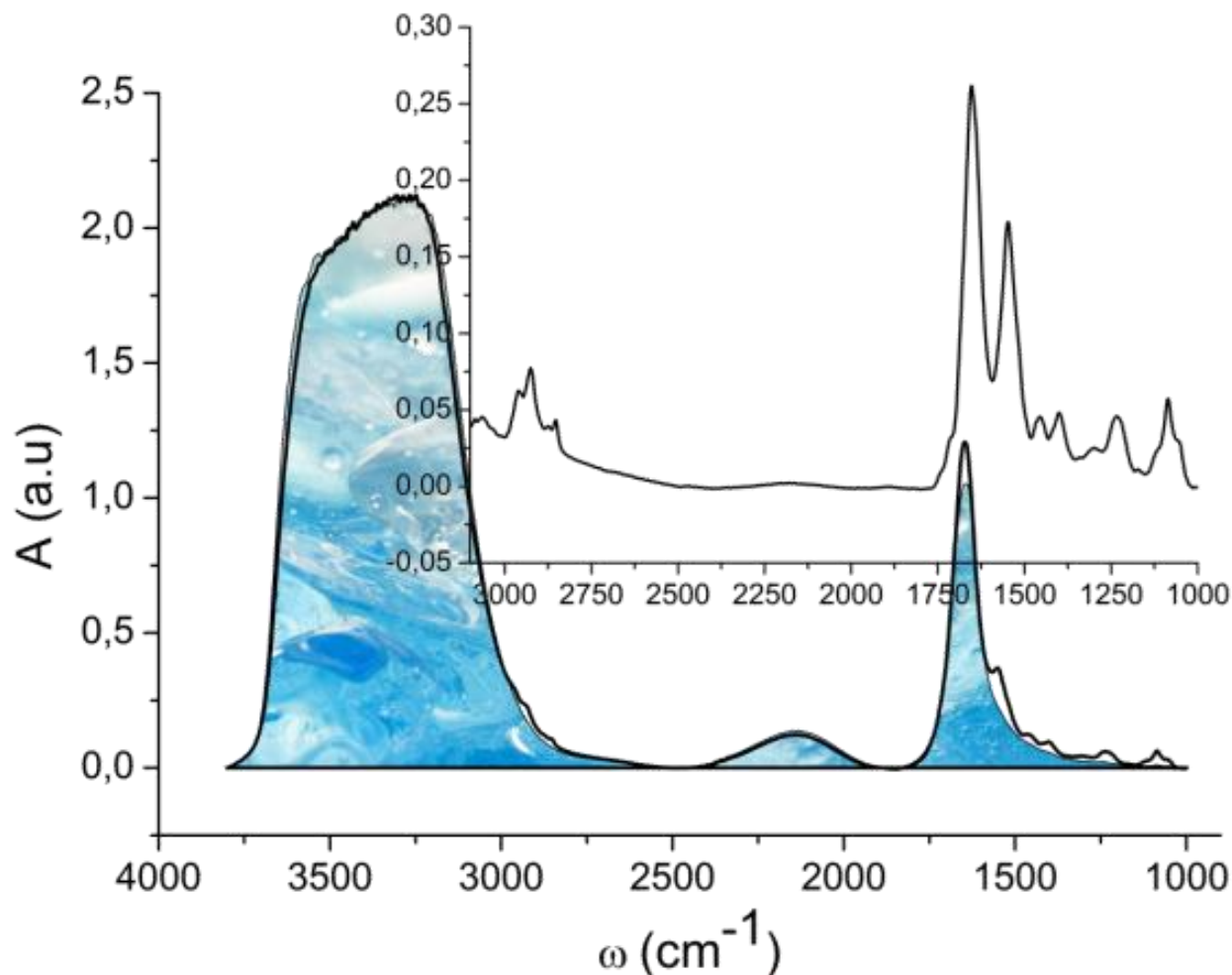
*ins a  
icroscopy*

# FTIRM of live cells:

## The physiological Conditions

### Why

- 1- For fully exploiting the label-free capabilities of FTIRM
- 2- For collecting data of major biological relevance
- 3- For monitoring dynamic cellular processes

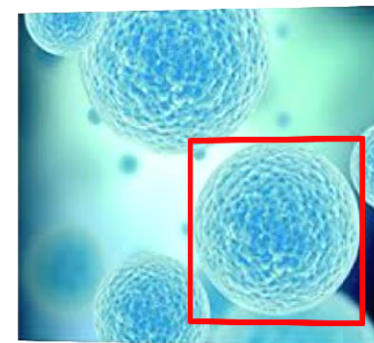


### Cell Dry mass

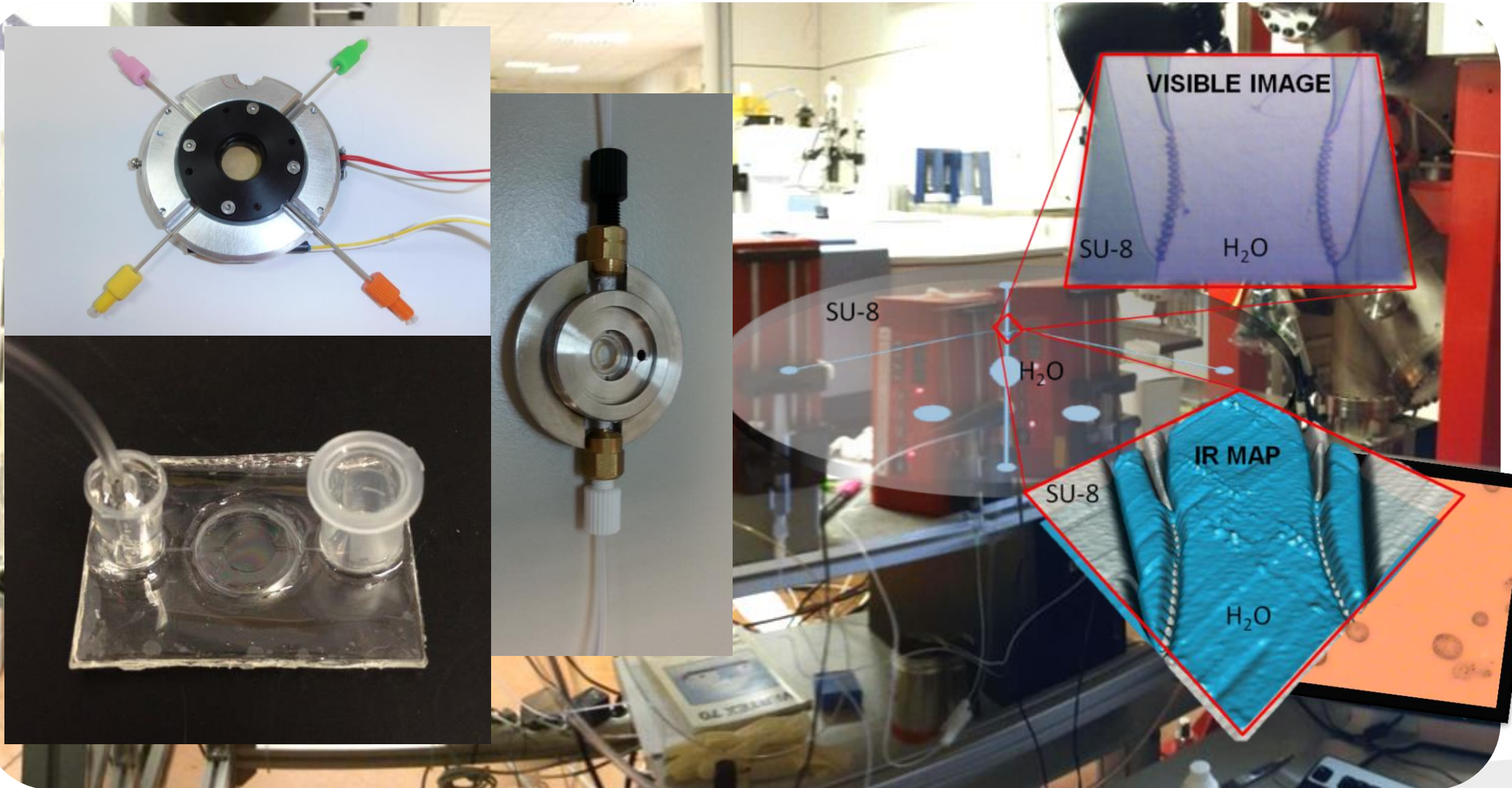
- ~ 50% of proteins
- ~ 15% of carbohydrates
- ~ 15% of nucleic acids
- ~ 10% of lipids
- ~ 15% other molecules

### Cellular water

- ~ 70% of the cell weight







G. Birarda et al., **IR-Live: Fabrication of a low-cost plastic microfluidic device for infrared spectromicroscopy of living cells**, *Lab Chip*, 2016, Accepted Manuscript (DOI: 10.1039/C5LC01460C)

Mitri E., Birarda G., Vaccari L., Kenig S., Tormen M., Grenzi G. **SU-8 bonding protocol for the fabrication of microfluidic devices dedicated to FTIR microspectroscopy of live cells**. *Lab on a Chip* 2014 14(1), 210-8

Mitri E., Pozzato A., Coceano G., Cojoc D., Vaccari L., Tormen M., Grenzi G. **Highly IR-transparent microfluidic chip with surface-modified BaF<sub>2</sub> optical windows for Infrared Microspectroscopy of living cells**. *Microelectronic Engineering* 2013 107, 6-9





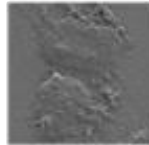
# Advantages of live cell analysis

U937 leukemic monocytes

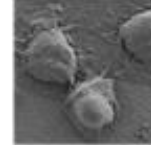
Air dried



Ethanol



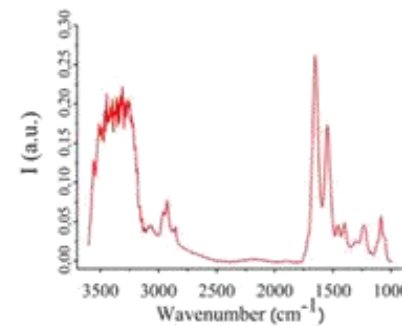
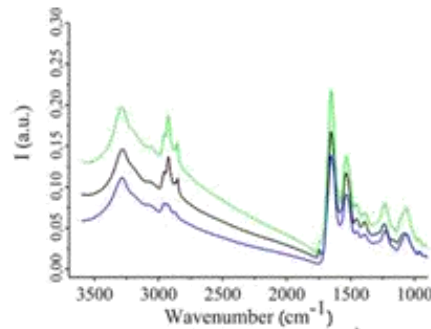
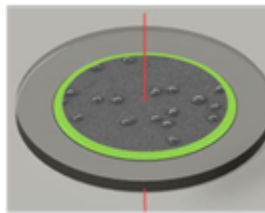
Formalin



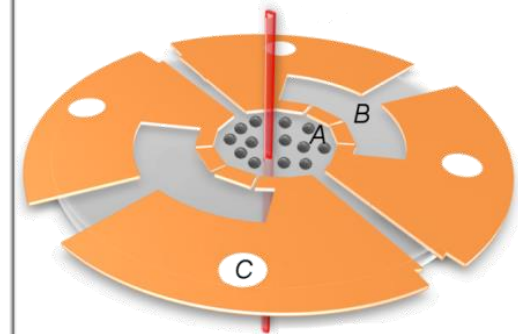
Live



FTIRM on fixed cells

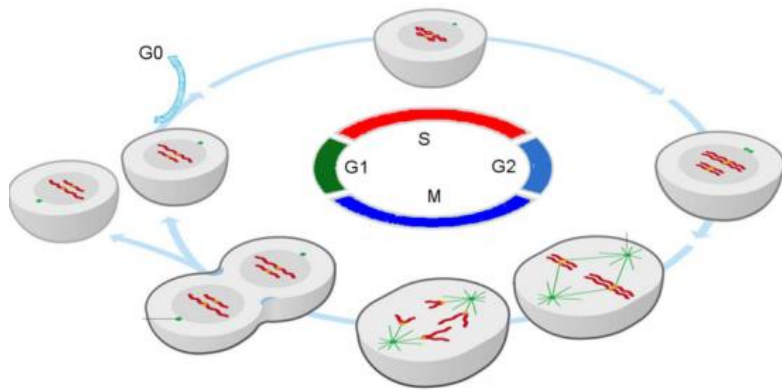


FTIRM on live cells

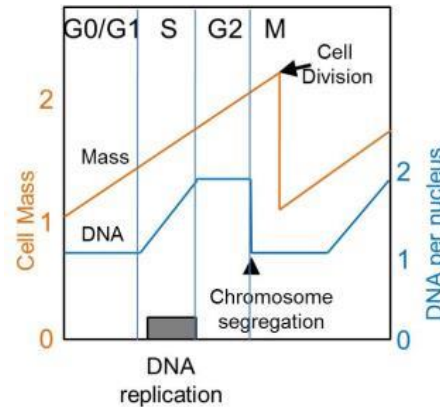


- Ethanol dramatically alters both membrane order (increased disorder) and composition. It also induces protein aggregation and precipitation, as well as a mass loss of cellular material
- **Formalin well preserves both cellular membranes and protein secondary structure, similarly to unfixed air-dried cells. However, unfixed cells are not stable in time**  
**Reliable spectroscopic assessment of nucleic acid content and structure can be done only under hydrated conditions**
- Nucleic acid structure is affected by both formalin and ethanol as well as by air-drying. Dehydration induces the B-DNA to A-DNA transition (1120 → 1240 cm<sup>-1</sup>), limiting FTIRM diagnostic capabilities

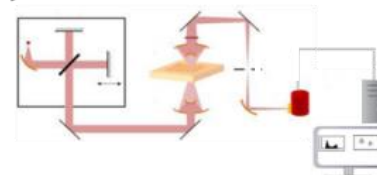
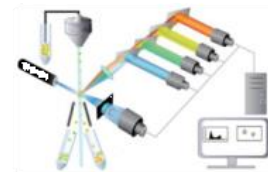
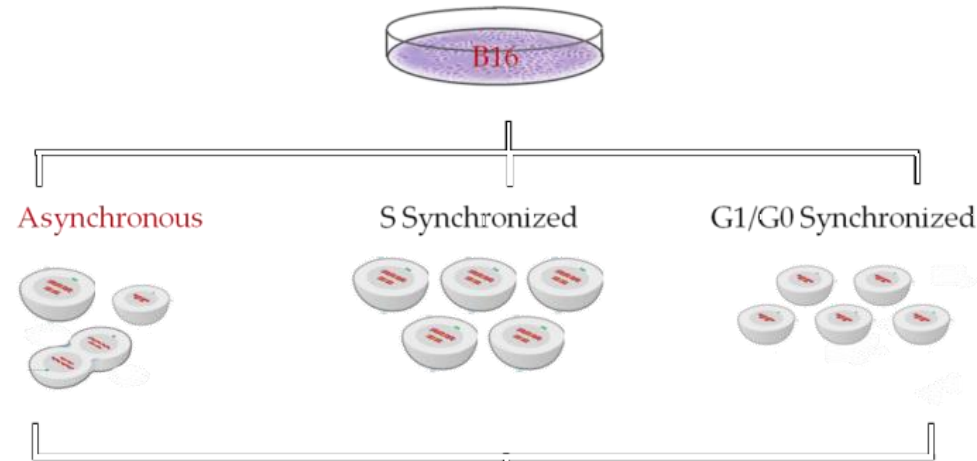
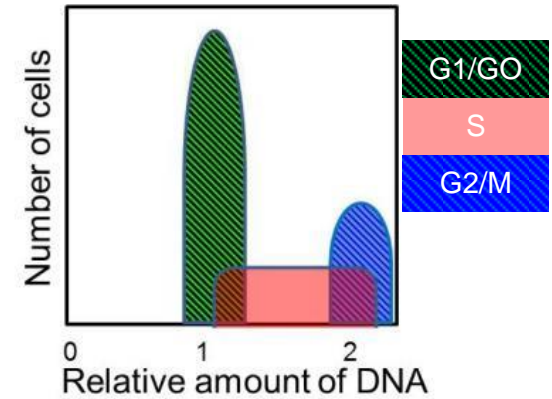
## Cell cycle stages



## Cell growth



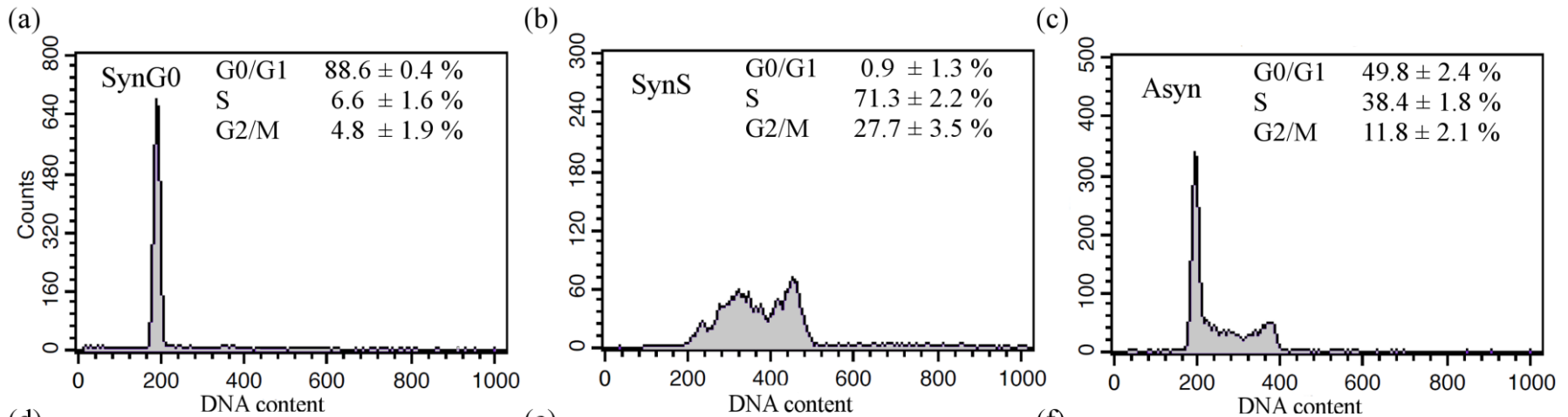
## Cell cycle analysis by FC



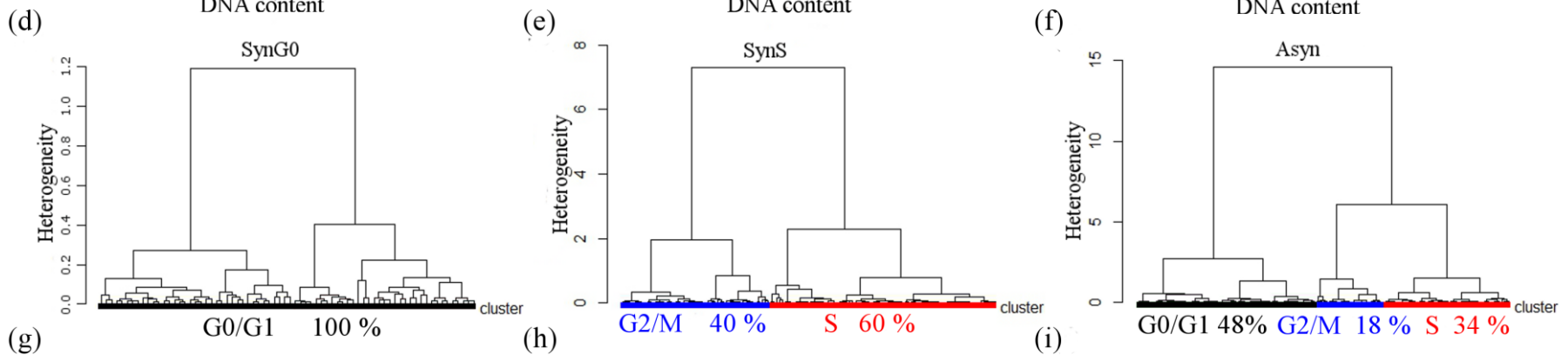
**Experimental Design**



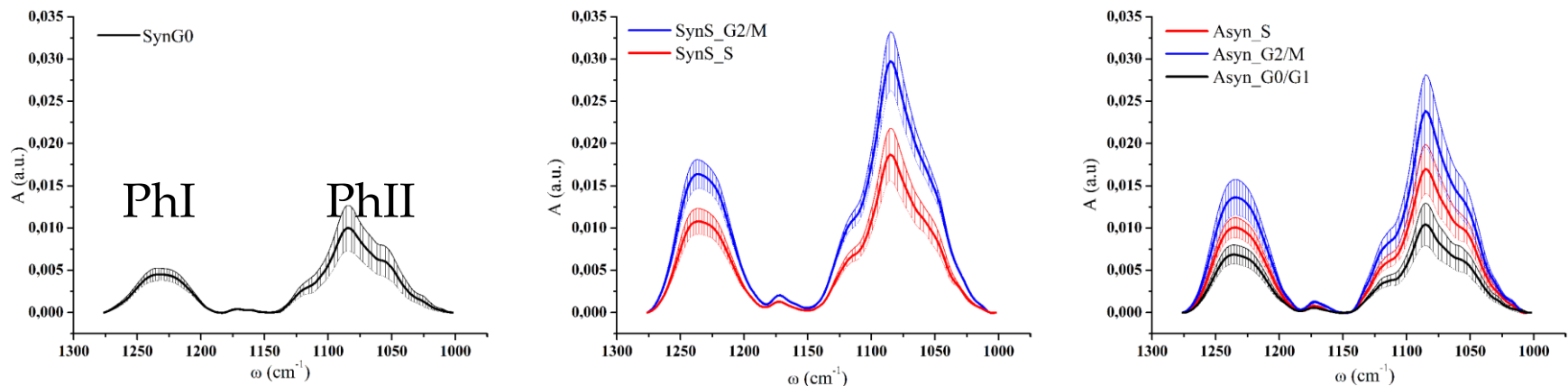
FC Analysis

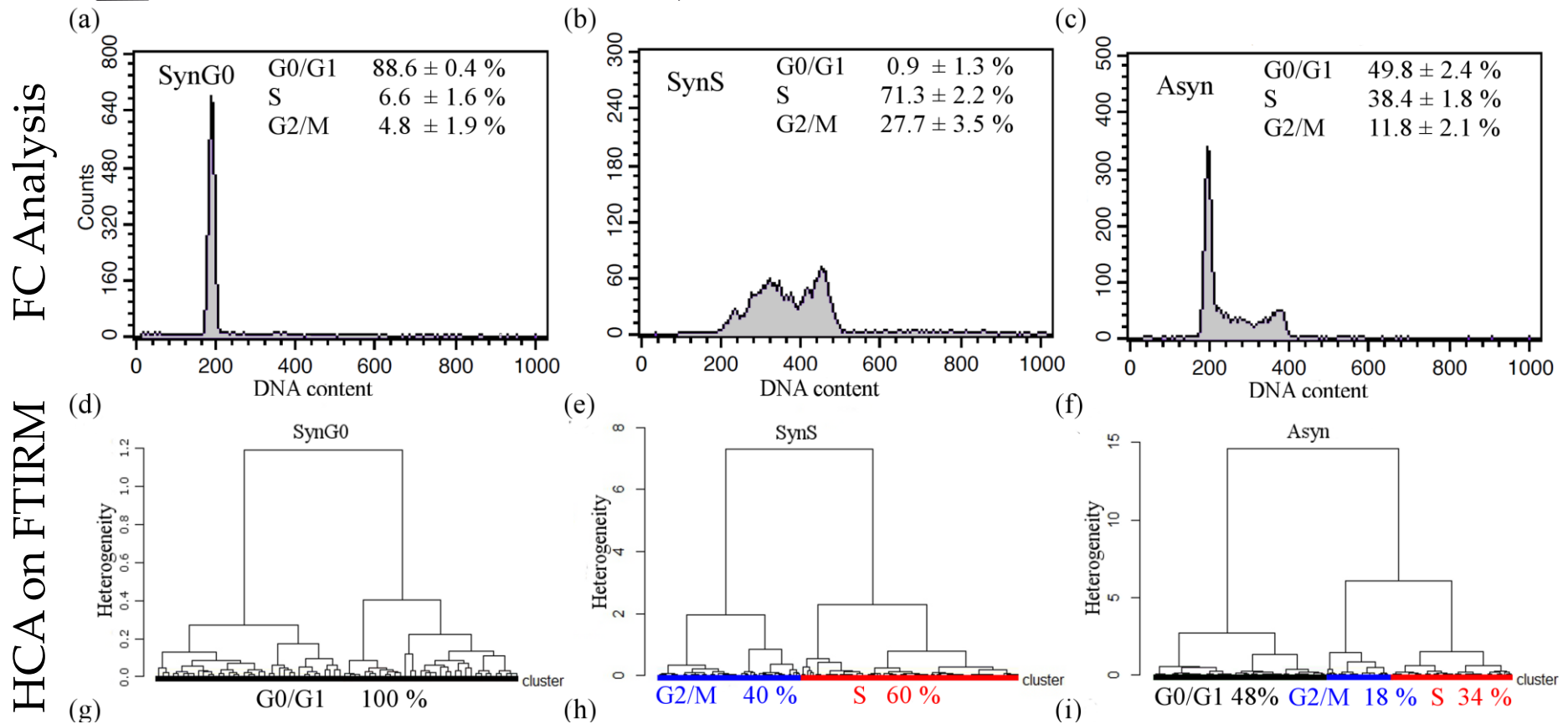


HCA on FTIRM



HCA Centroids

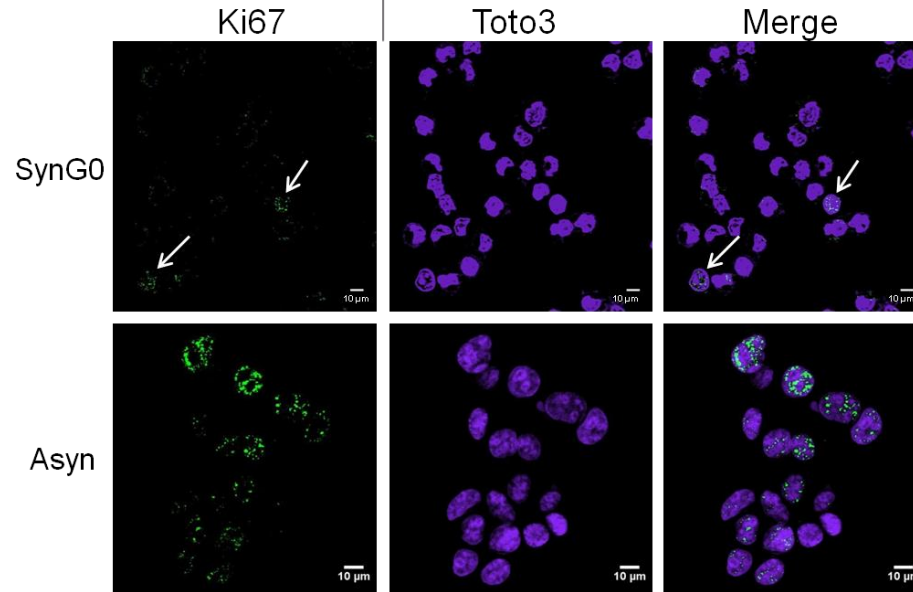




Discrimination between G1/G0, S and G2/M phases of the cell cycle is possible in-situ on live cells by FTIRM with a degree of accuracy comparable to PI-FC



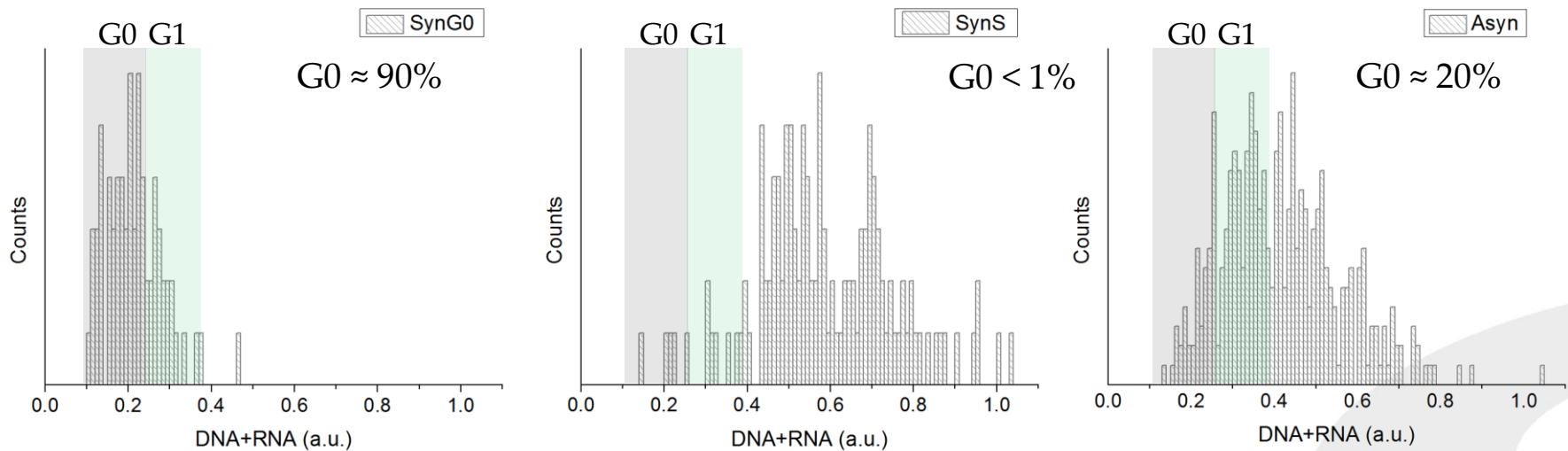
# From PI-FC to FTIRM



FTIRM can discriminate between progressive G1 and G0 quiescent cell cycle stages

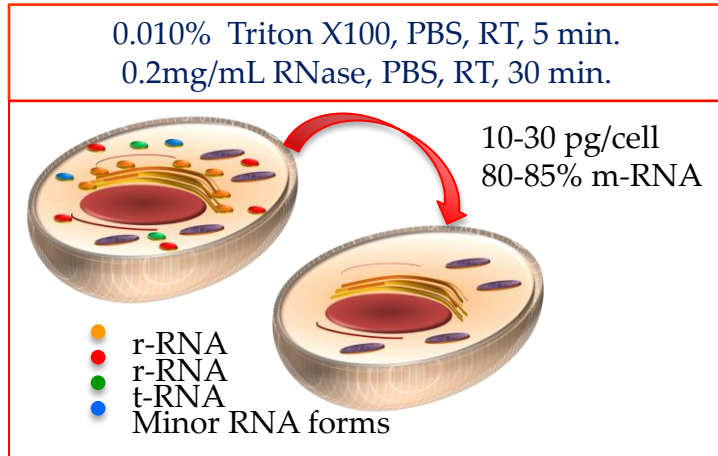
$\text{RNA}/(\text{RNA}+\text{DNA}) \approx 0.5$  G1, S, G2, M

$\text{RNA}_{\text{G0}} \approx \frac{1}{2} \text{RNA}_{\text{G1}}$

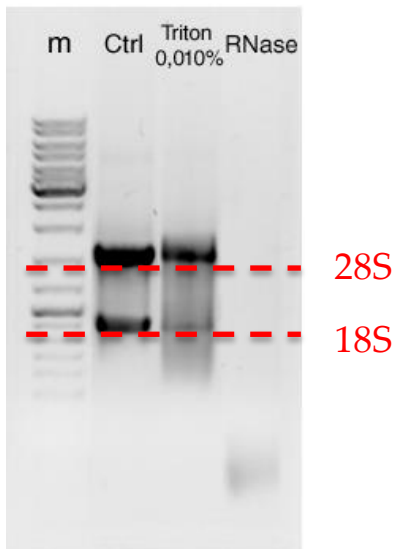
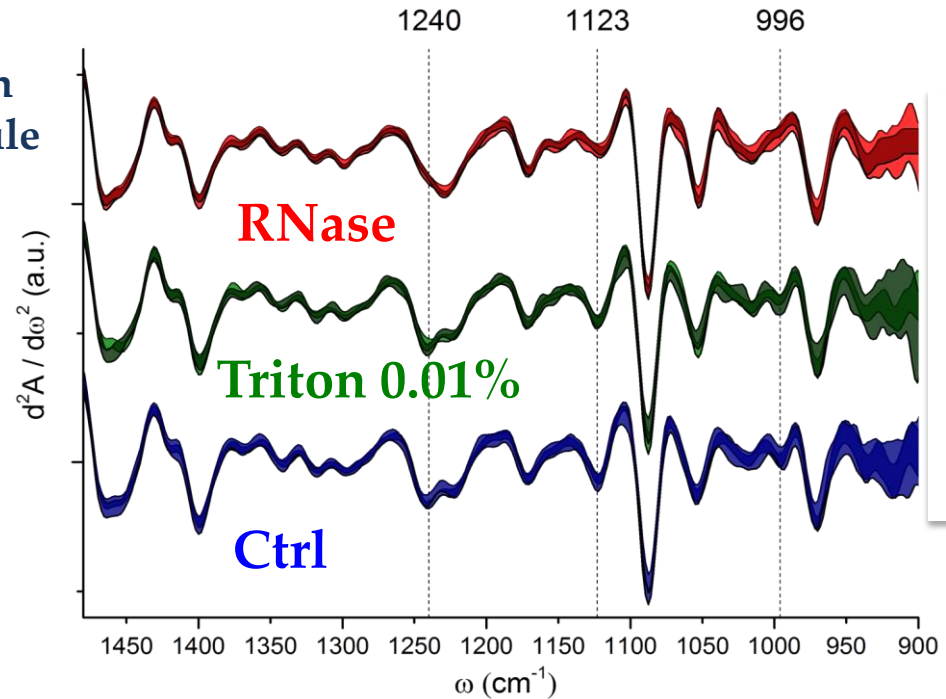




The spectral features of a molecule in a cell can not be entirely described by the isolated molecule



## In-cell RNA spectral features



- Permeabilization at very low Triton concentrations does not affect the biochemical cellular composition
- Only three well defined spectral contributions are affected by RNase treatment, centered at & “classically” assigned to:
  - ~ **1240**  $\text{cm}^{-1}$ : Asym. Stretch. of phosphodiester group of RNA + phospholipids + Amide III (in which proportion?)
  - ~ **1123**  $\text{cm}^{-1}$ : Sym. Stretch. of phosphodiester group of RNA & Asym C-O Stretch. of Ribose
  - ~ **996**  $\text{cm}^{-1}$ : Sym. C-O Stretch. of Ribose

# From AO-FC to FTIRM

## Results of FC with Acridine Orange

**Acridine orange:** Nucleic acid selective fluorescent dye

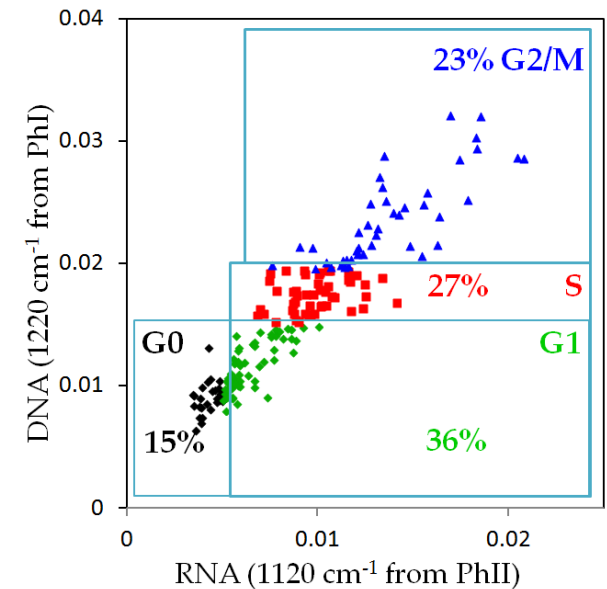
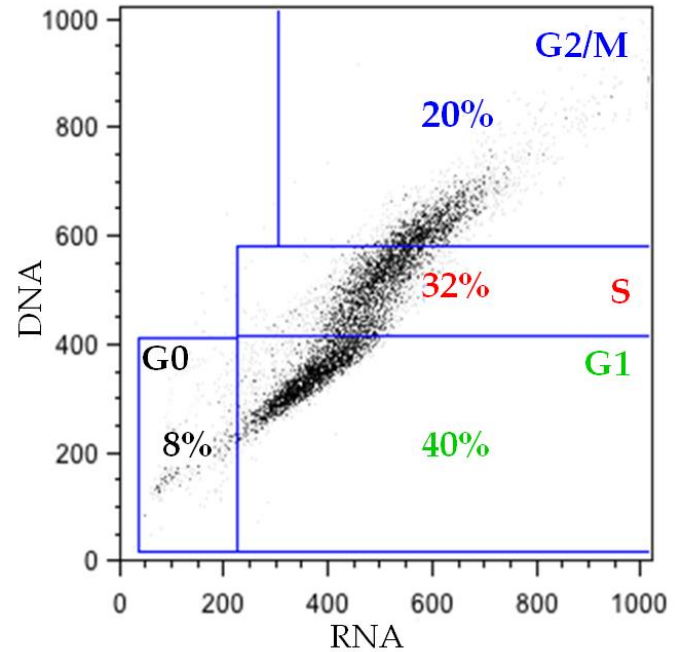
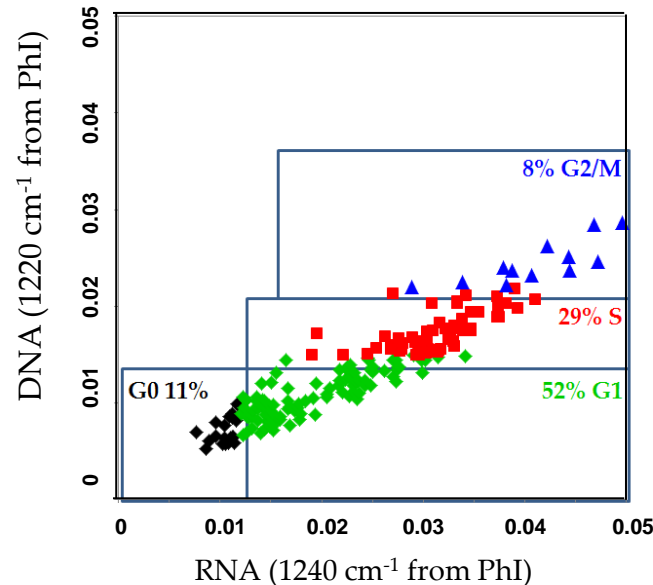
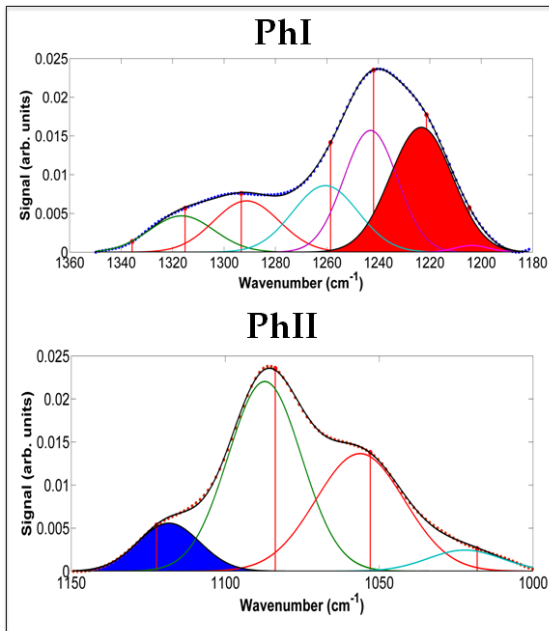
DNA probe: Exc: 502 nm Em: 525 (green)

RNA probe: Exc: 460nm; Em: 650 nm(red)

## FTIRM Results

New method for baseline correction

New developed program for spectral band fitting

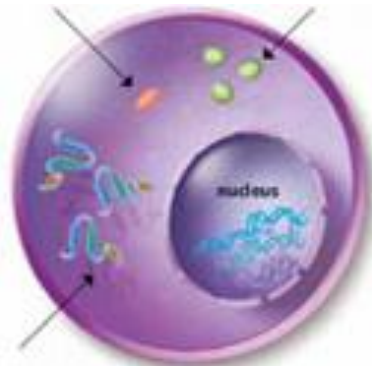


# Real-time cellular dynamics

## Heat Shock Response

### Regular temperature

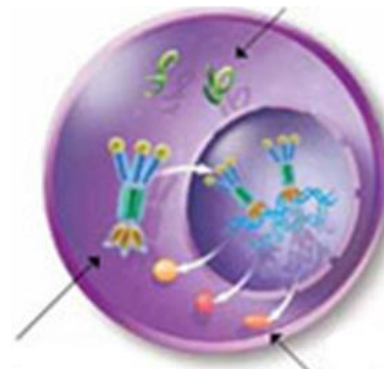
HSP proteins      Folded proteins



Inactive Heat-shock transcription factors (HSF)

### Temperature increase

Misfolded proteins      HSP bonded to Misfolded proteins      Native folding restoring



Active HSF

New HSP proteins



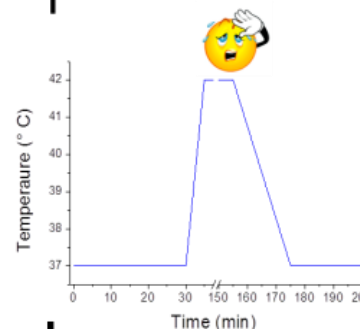
MDA-MB 231

MCF 7

Cells were collected, pelleted, washed and resuspended in physiological solution

### Experimental Design

IRMS measurements

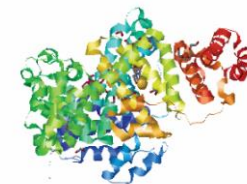


Mild	39.5 ± 1° C
Severe	42.5 ± 1° C
Deleterious	45.5 ± 1° C

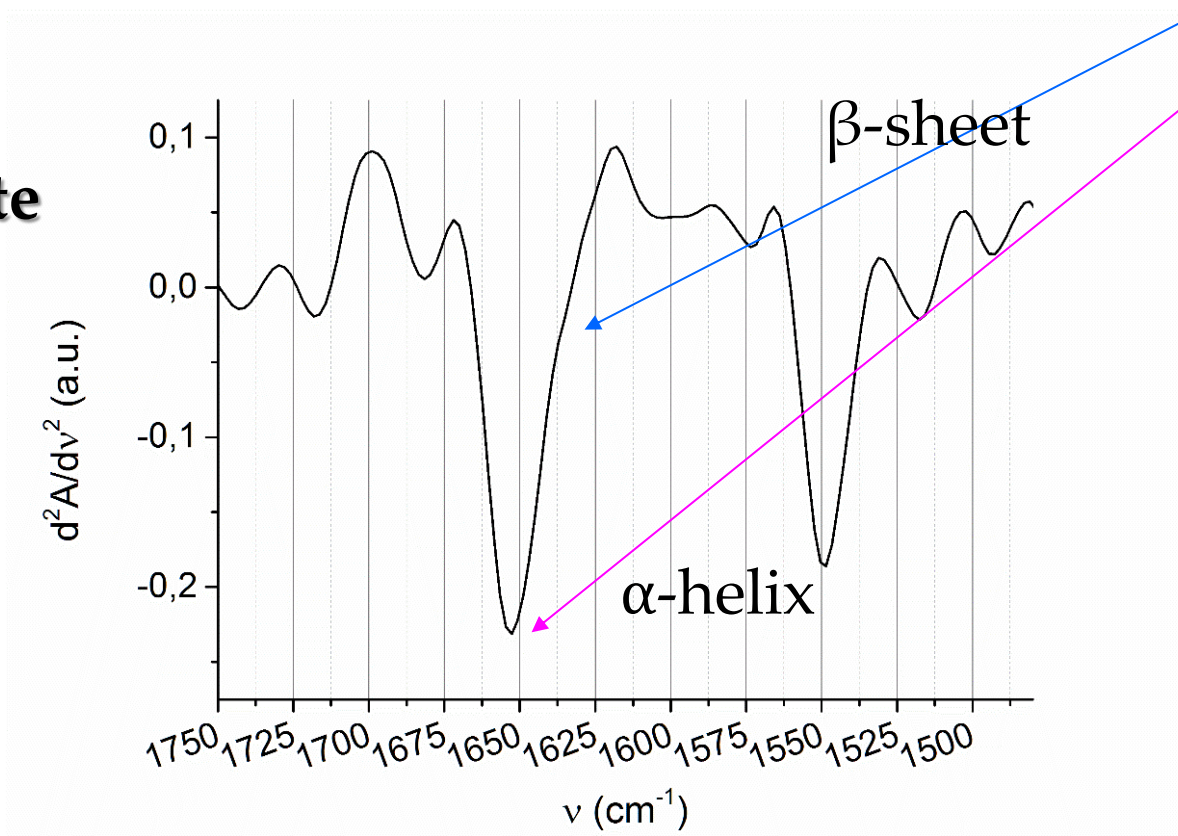


Elettra  
Sincrotrone  
Trieste

# Initial State



Initial state



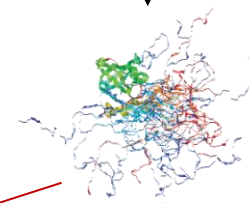
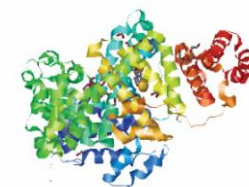
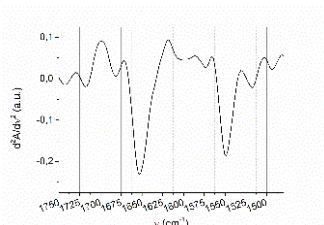




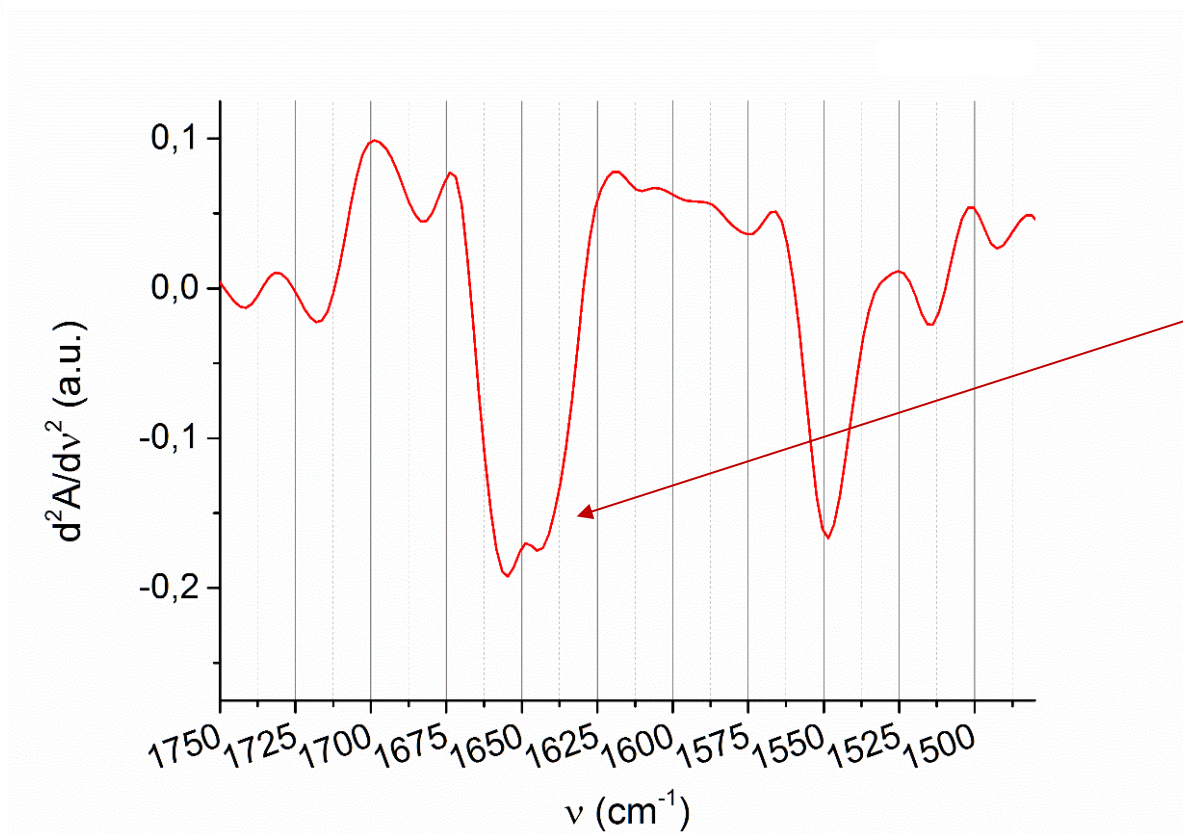
Elettra  
Sincrotrone  
Trieste

# Immediate Response: 5-10 minutes

Initial state

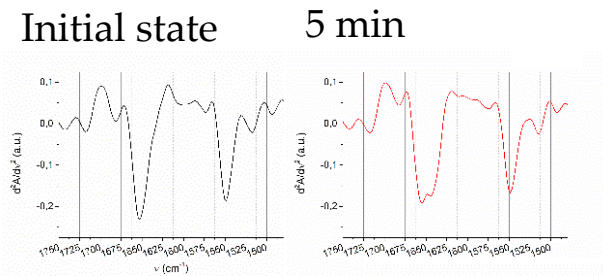


**5 minutes**

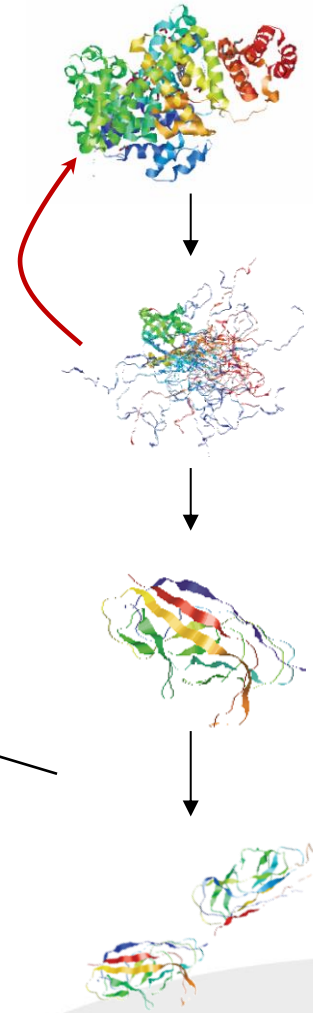
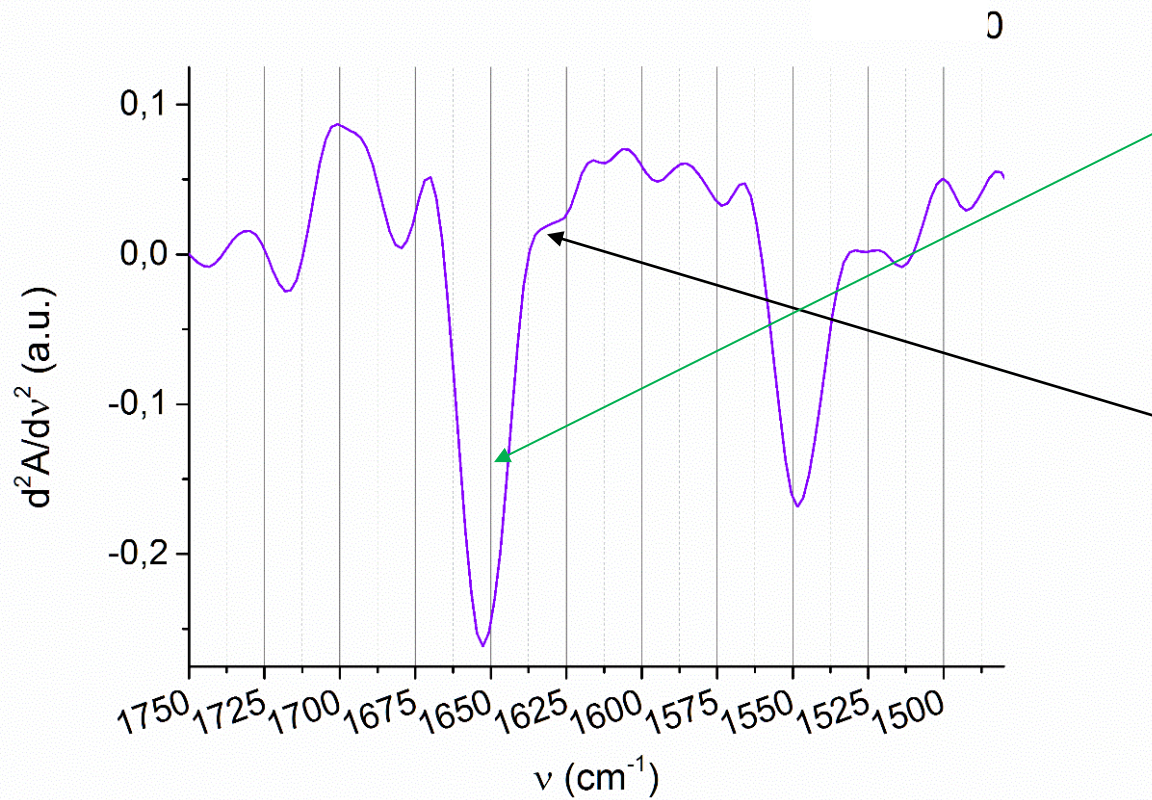




# Immediate Response: 5-10 minutes



**10 minutes**

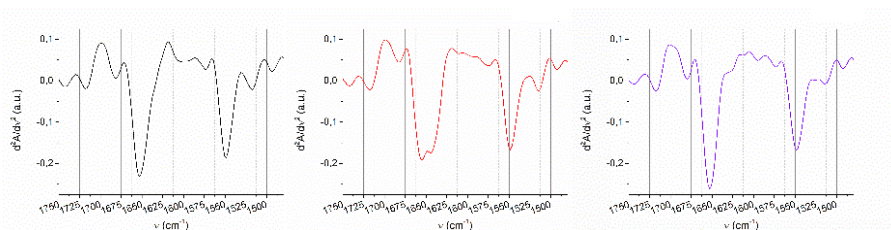


# Early Response: 15-35 minutes

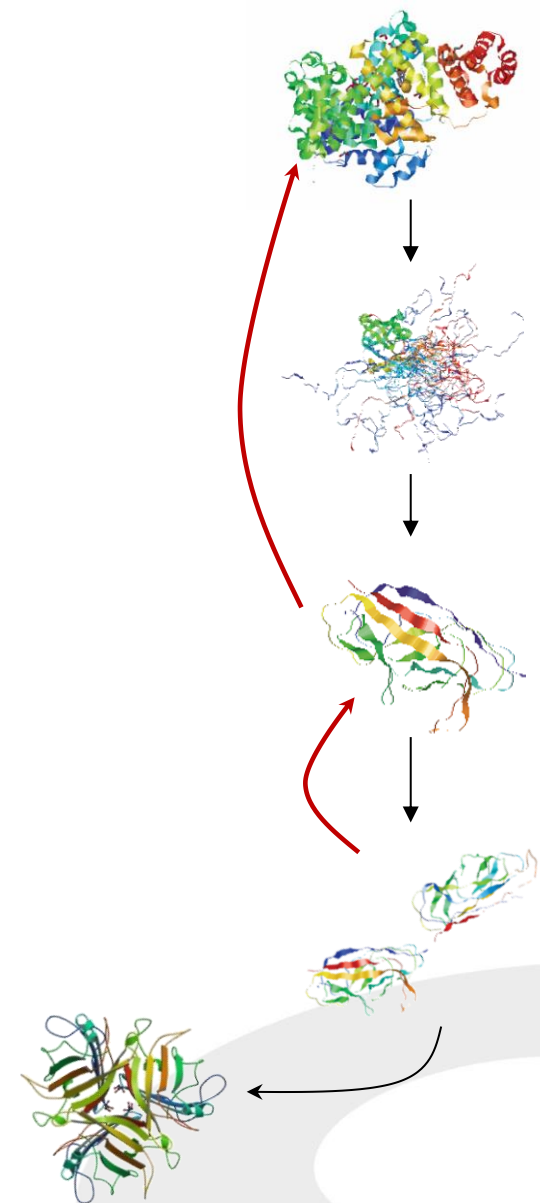
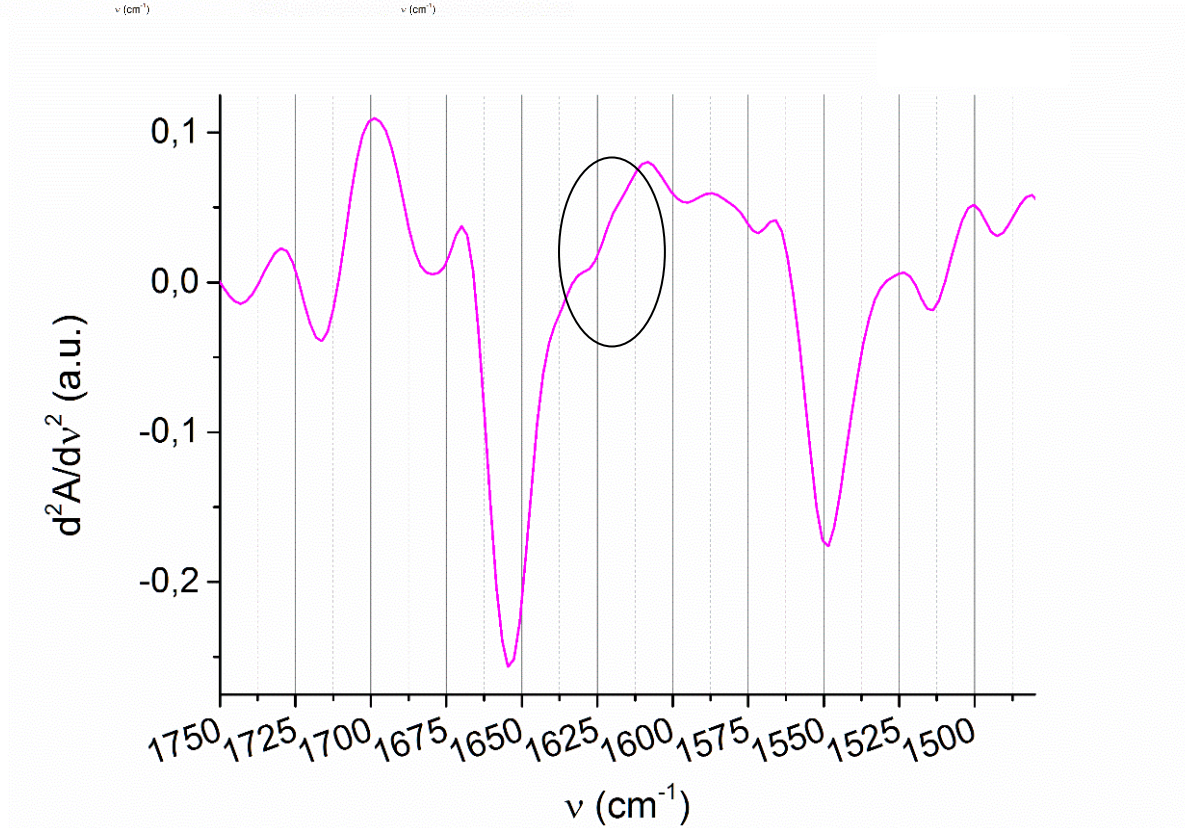
Initial state

5 min

10 min

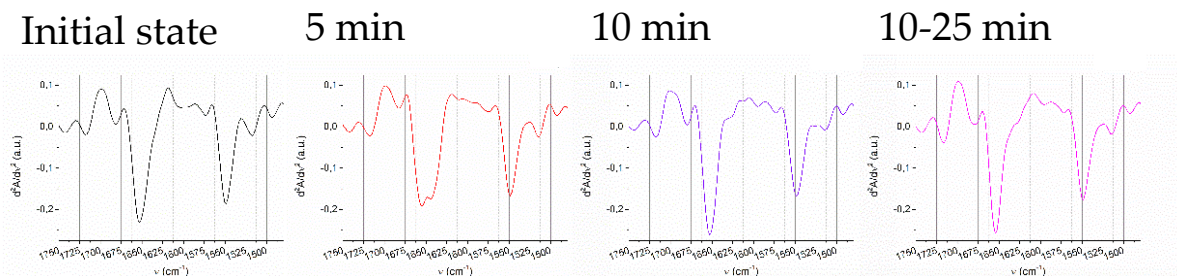


**15-25  
minutes**

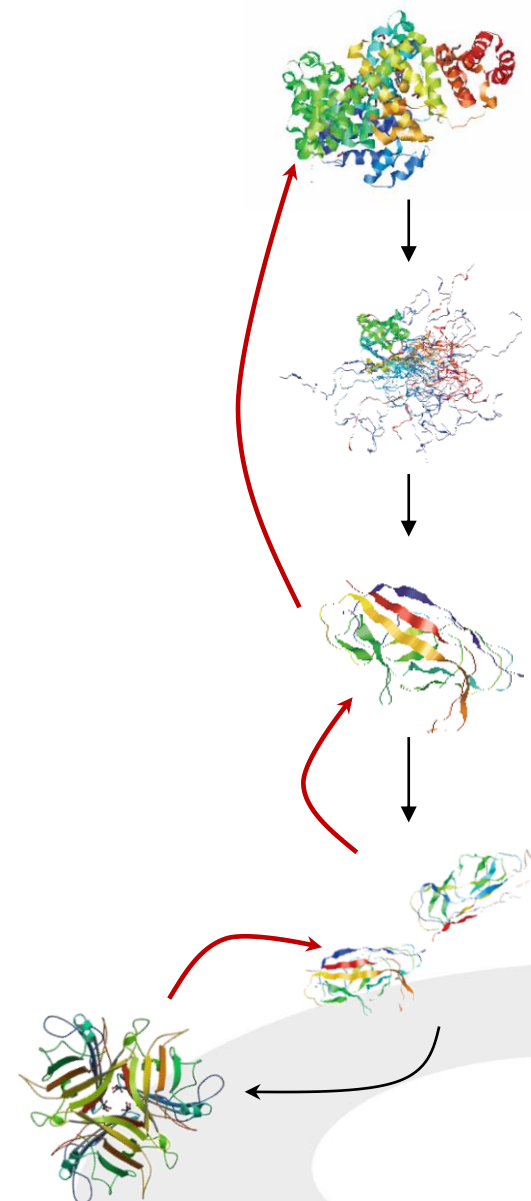
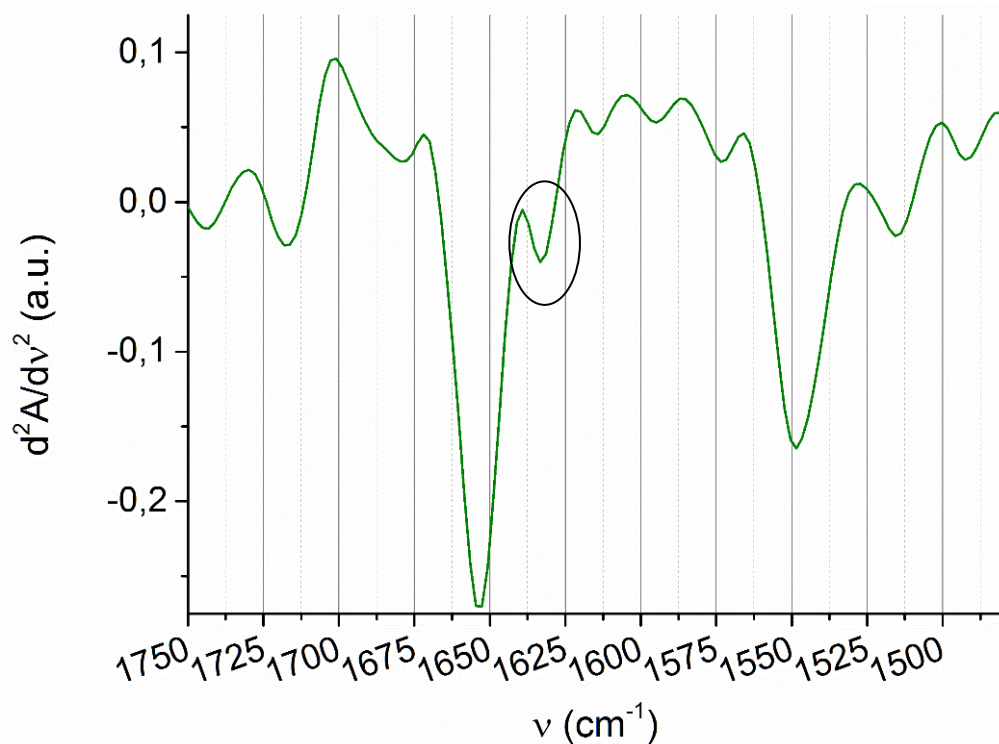




# Early Response: 15-35 minutes



**30  
minutes**



# Late Response: 40-120 minutes

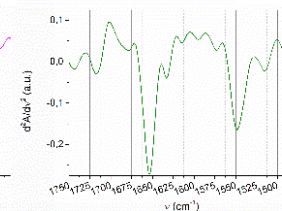
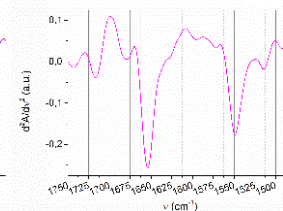
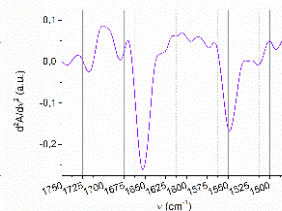
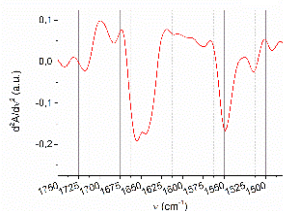
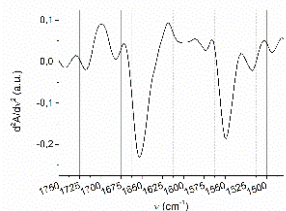
Initial state

5 min

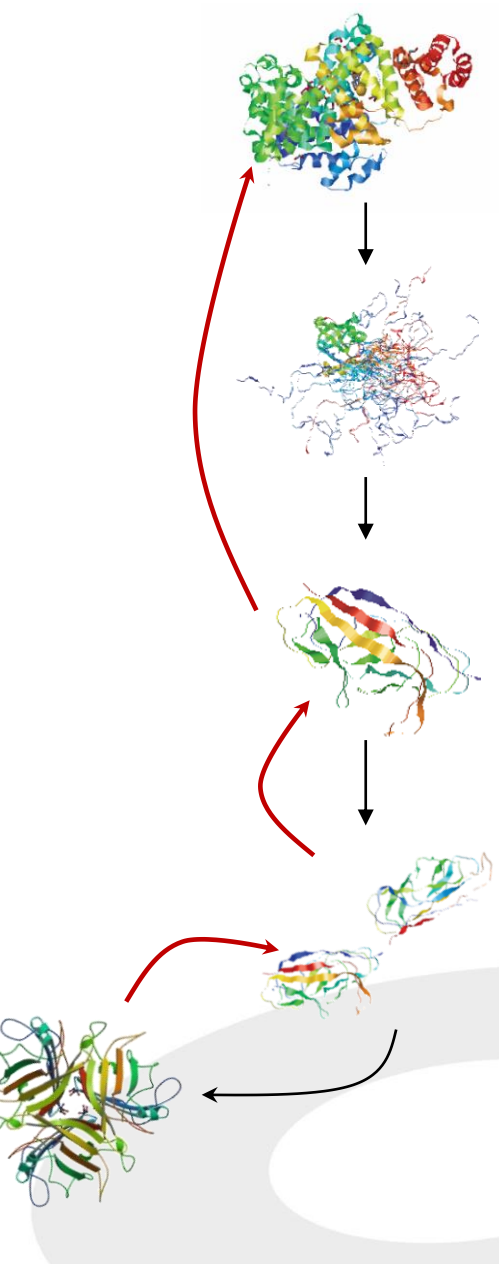
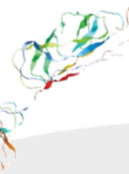
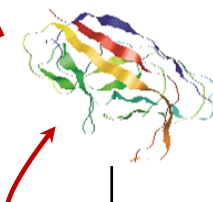
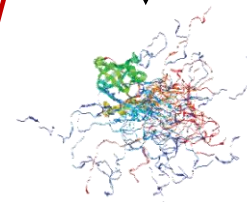
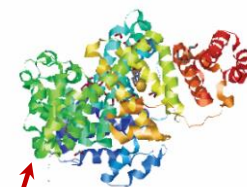
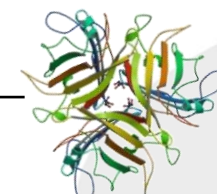
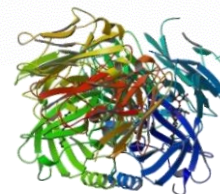
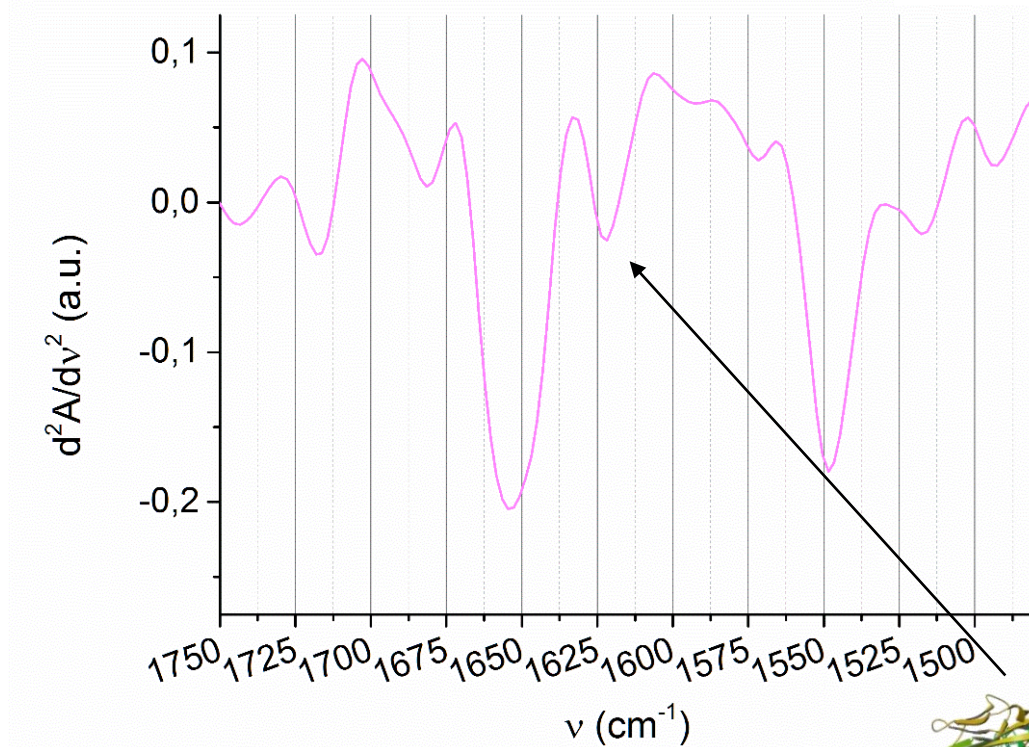
10 min

15-25 min

30 min

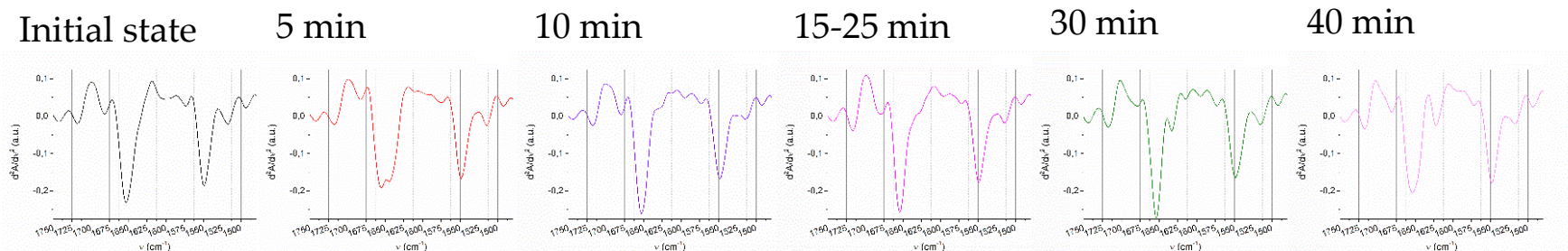


**40  
minutes**

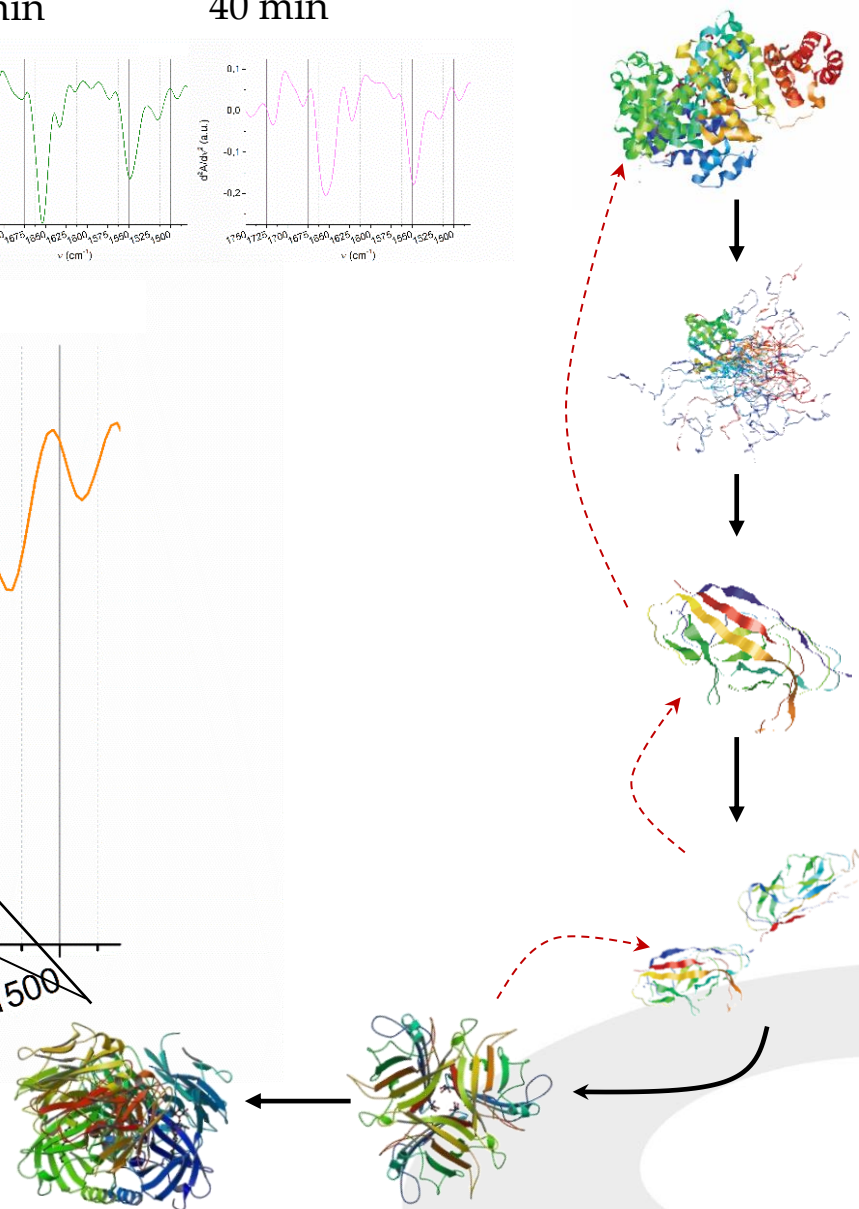
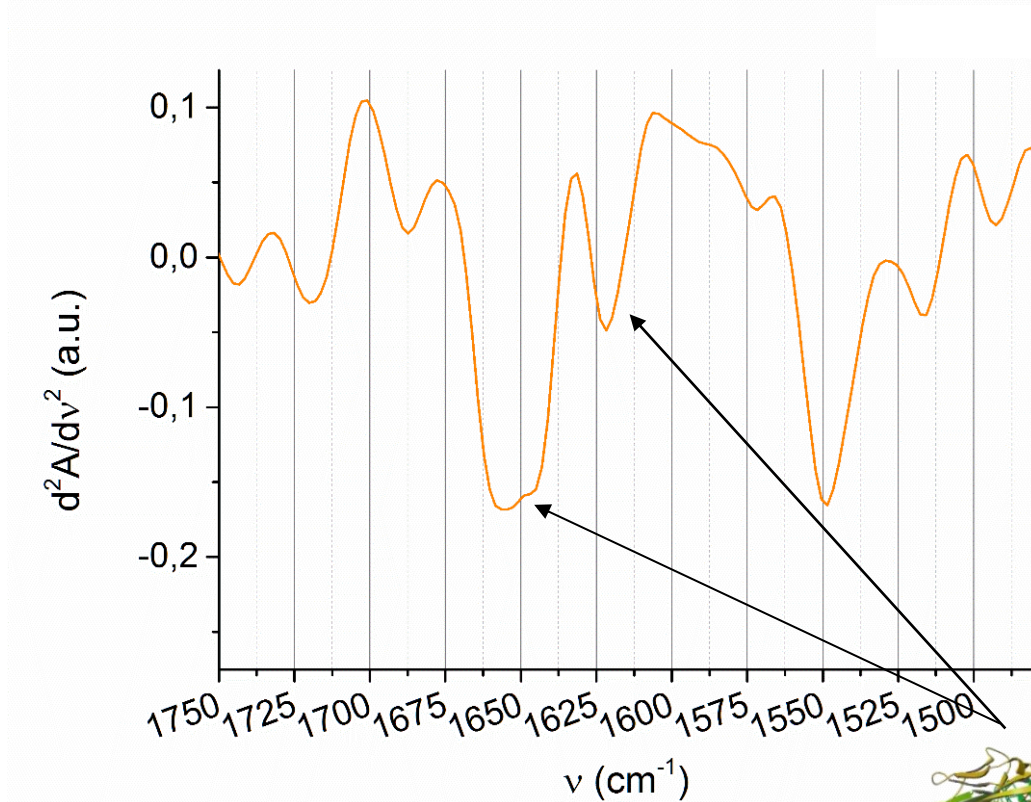




# The final State: 130 minutes

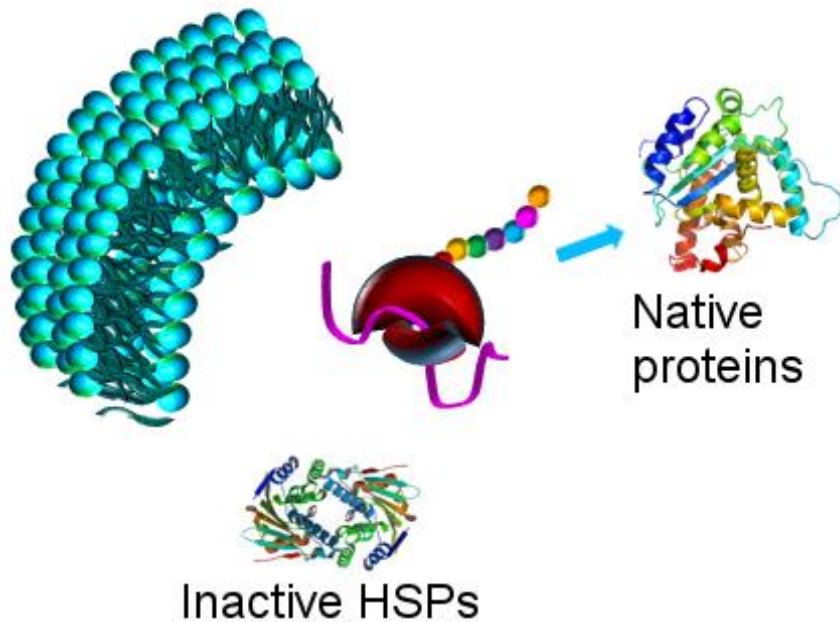


**Final  
state**

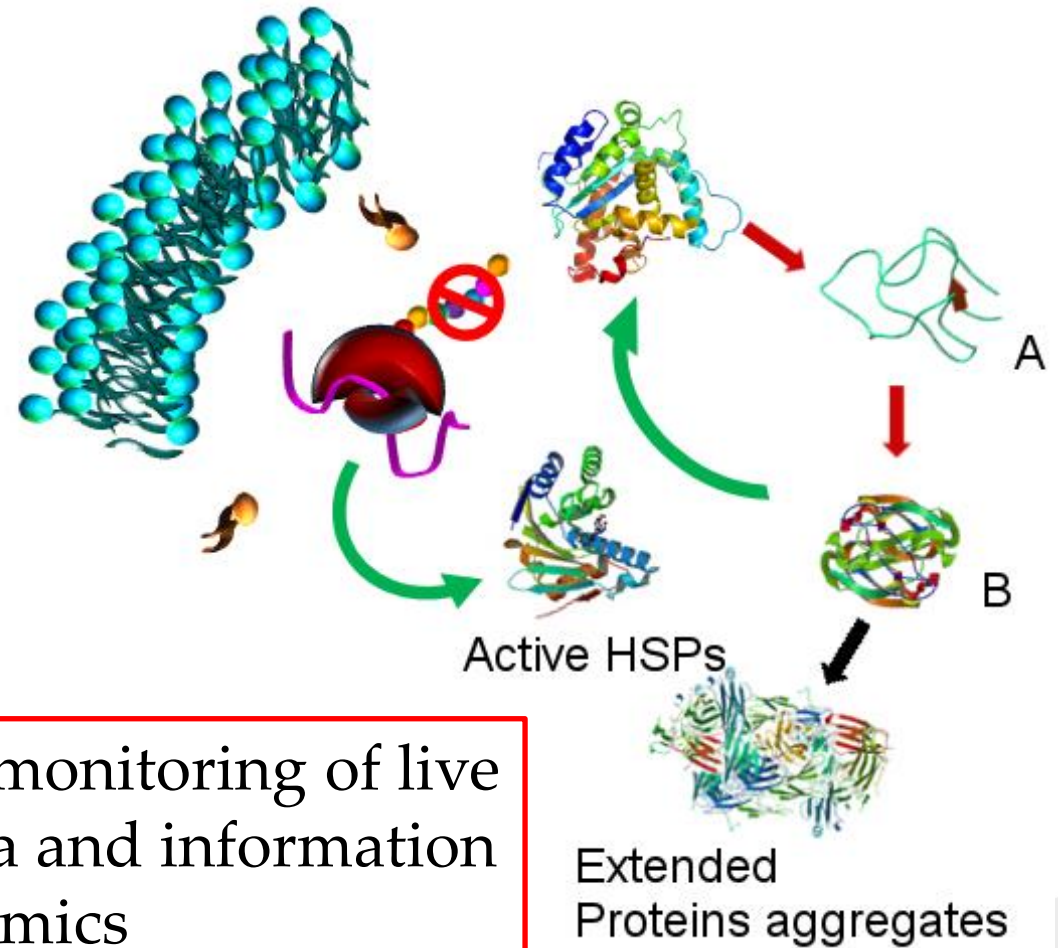




## Regular Conditions

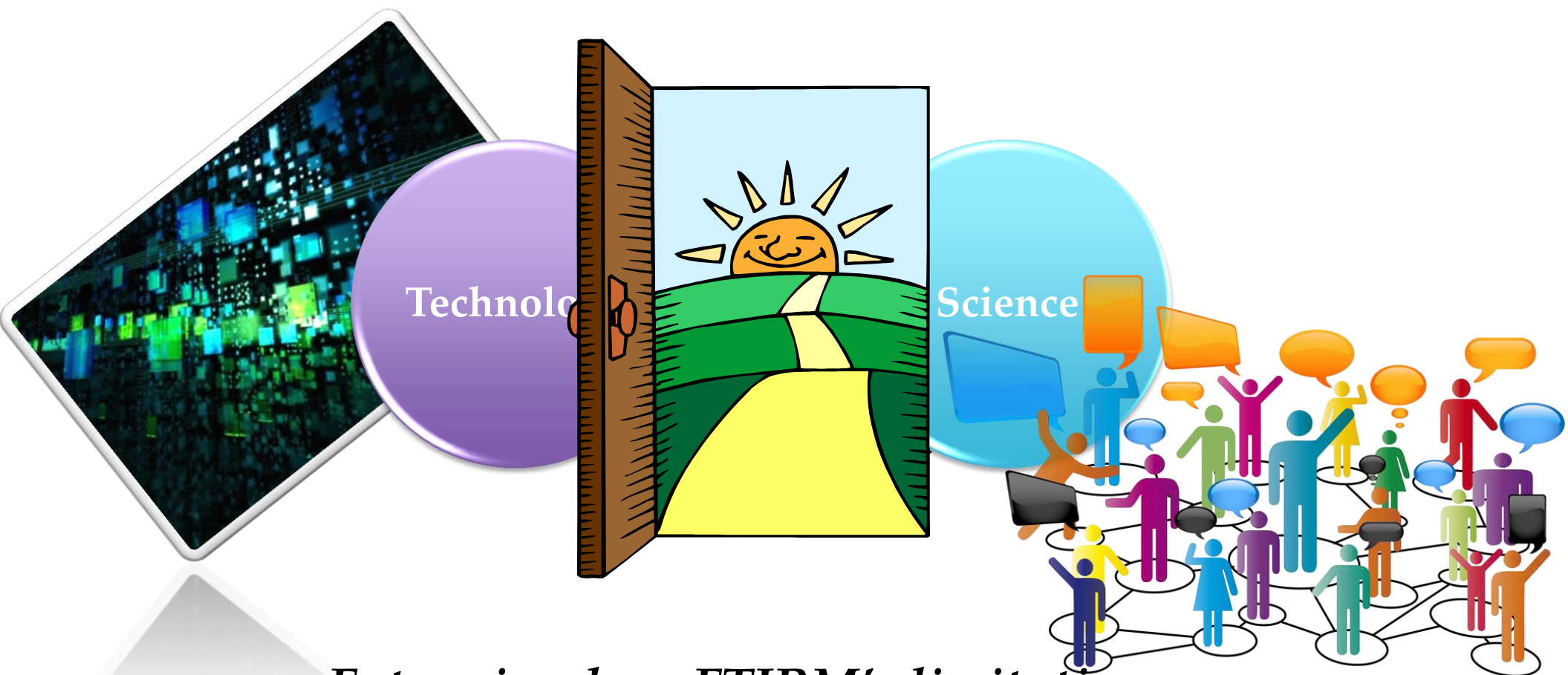


## Severe Heat-Shock Conditions



Fluidics for FTIR allows the safe monitoring of live cells providing more reliable data and information on the cellular dynamics

# Where is the future of SR-FTIR?

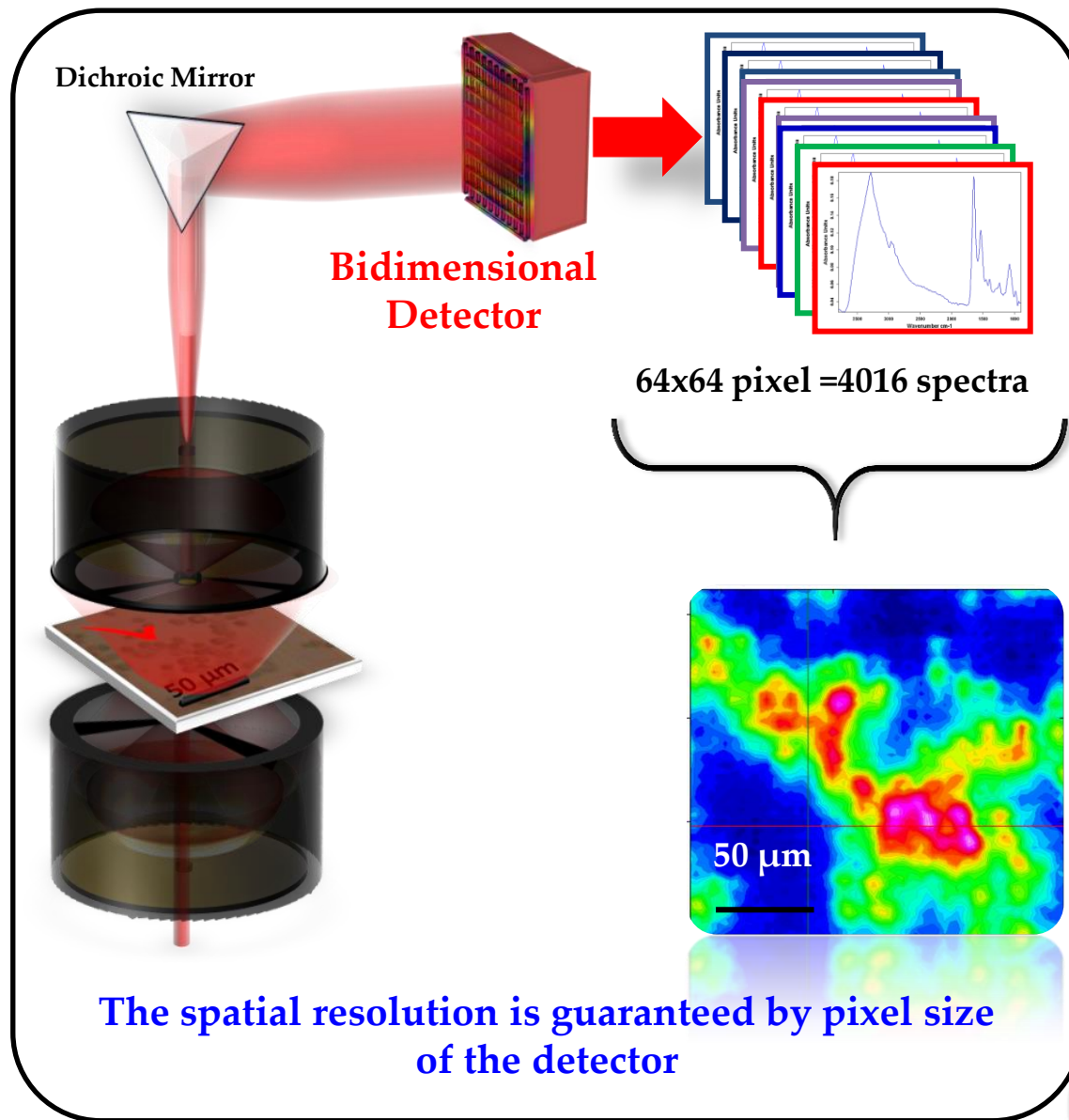


*Future is where FTIRM's limitations are*

- *Slow data acquisition*
- *Limited lateral resolution*
- *Limited sensitivity (micromolar regime)*

# From FTIR Microscopy to FTIR Imaging

Speeding data acquisition by using 2D multiplex MIR detectors

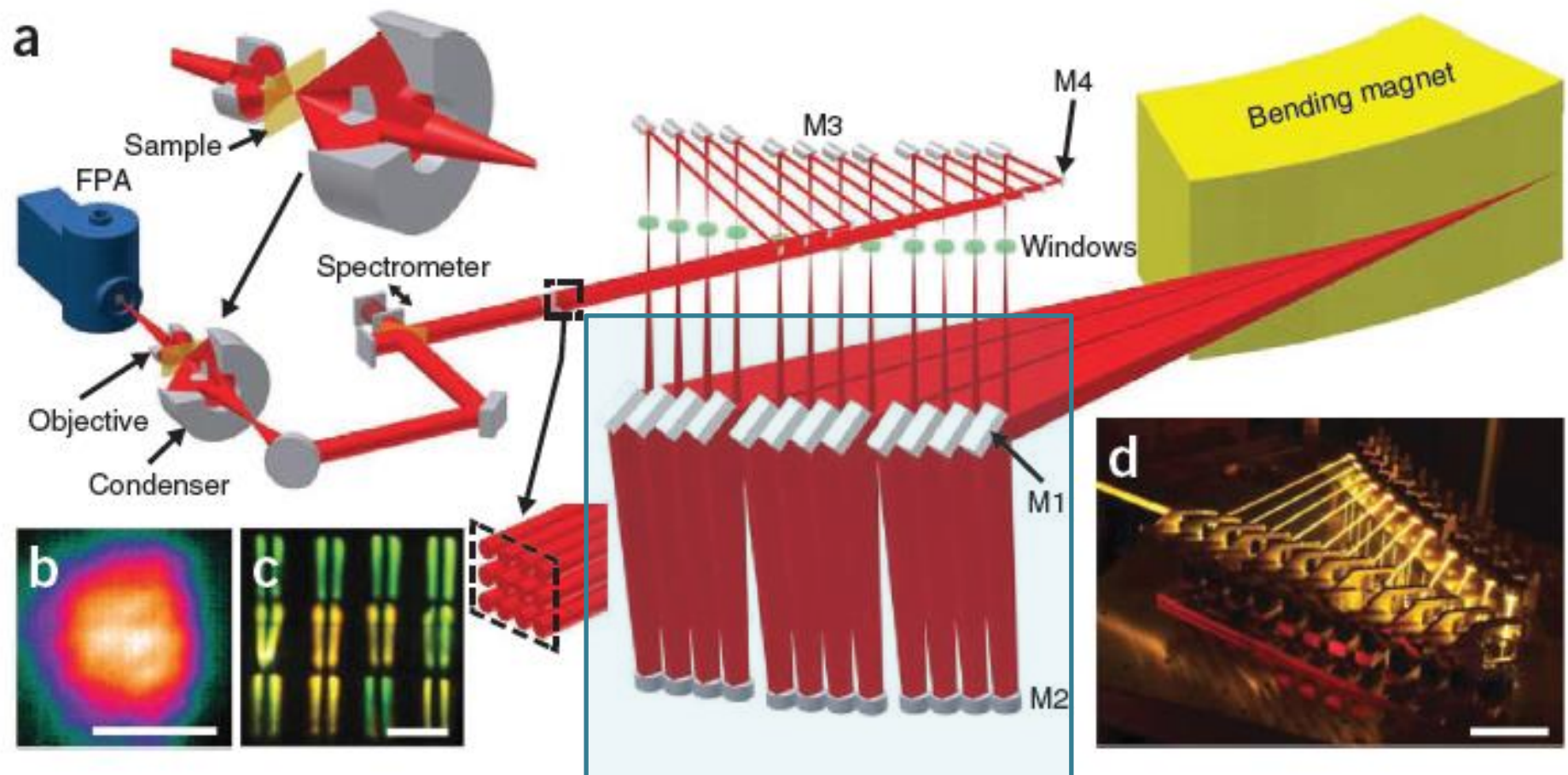




# From FTIR Microscopy to FTIR Imaging

## IRENE (SRC, Wisconsin): a dedicated beamline

Wide horizontal extraction: 320X27 mrad (HXV)

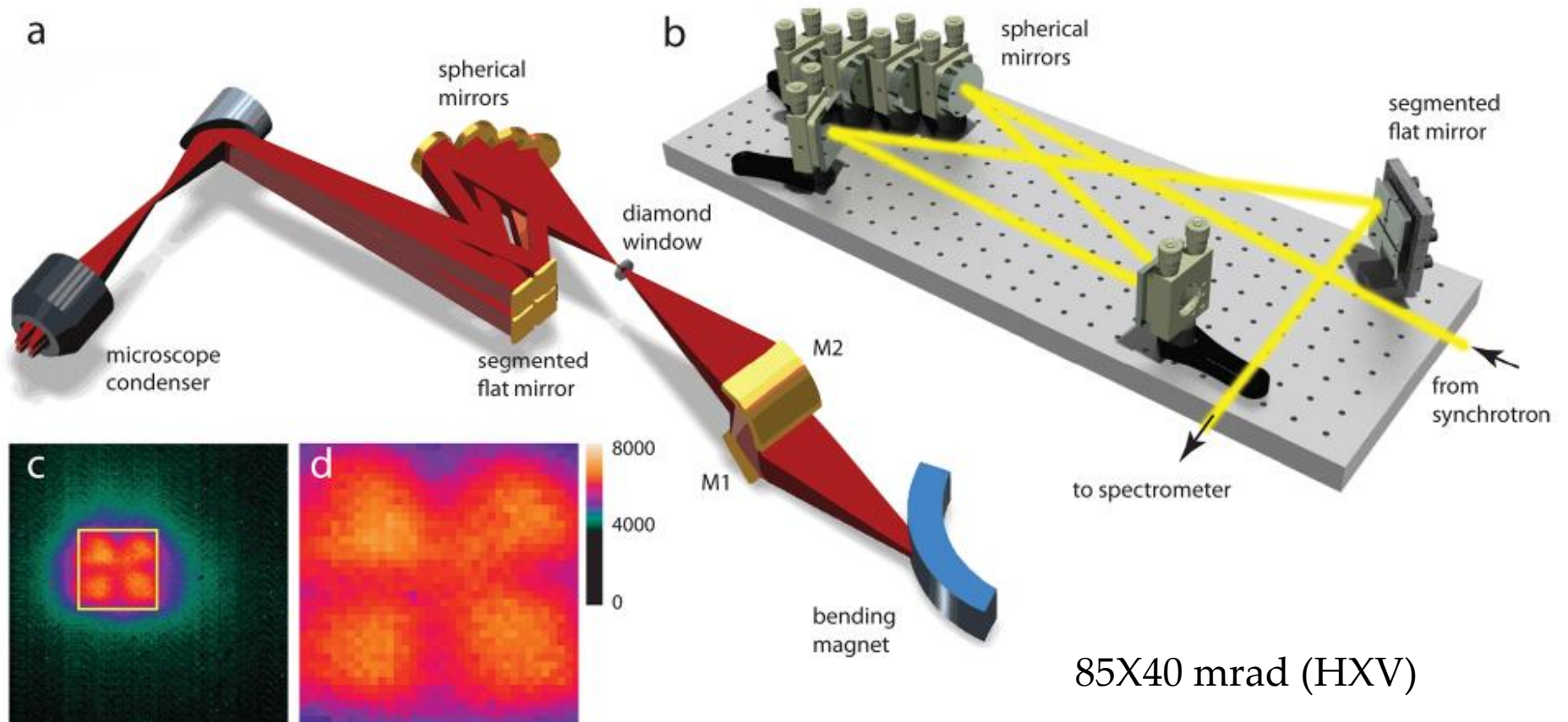


From M.J. Nasse *et al.*, *High-resolution Fourier-transform infrared chemical imaging with multiple synchrotron beams*, *Nature Methods*, 8:413 (2011)



# From FTIR Microscopy to FTIR Imaging

FTIRI at U10 (NSLS, New York) with an external coupling box

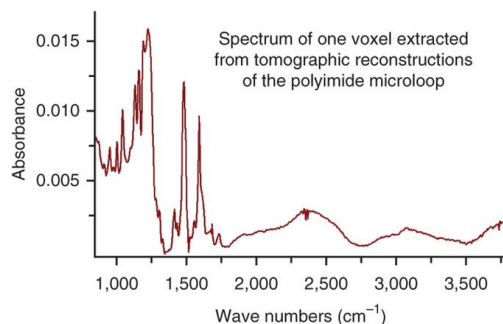
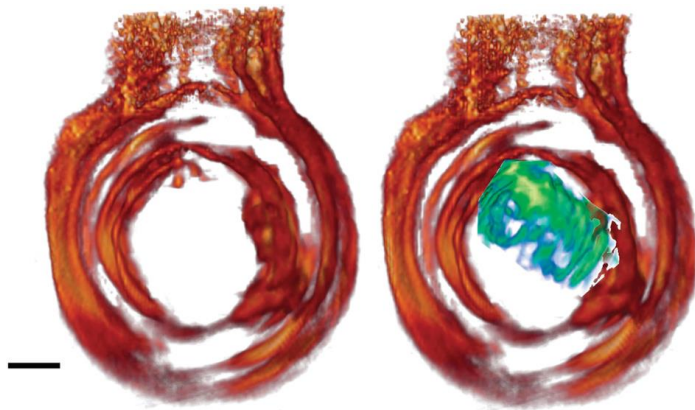


# Potentialities of FTIR Imaging

- ❖ ~100 fold pixel area reduction ( $5\mu\text{m} \rightarrow 0.5\mu\text{m}$ ) maintaining almost unaffected the spectral quality
  - ❖ ~ 10 fold S/N gain
  - ❖ ~  $10^2$ - $10^3$  data-acquisition time speeding
  - ❖ Enhanced chemical contrast
- ❖ Improved sensitivity (in the femto-molar range)



## FTIR spectro-microtomography



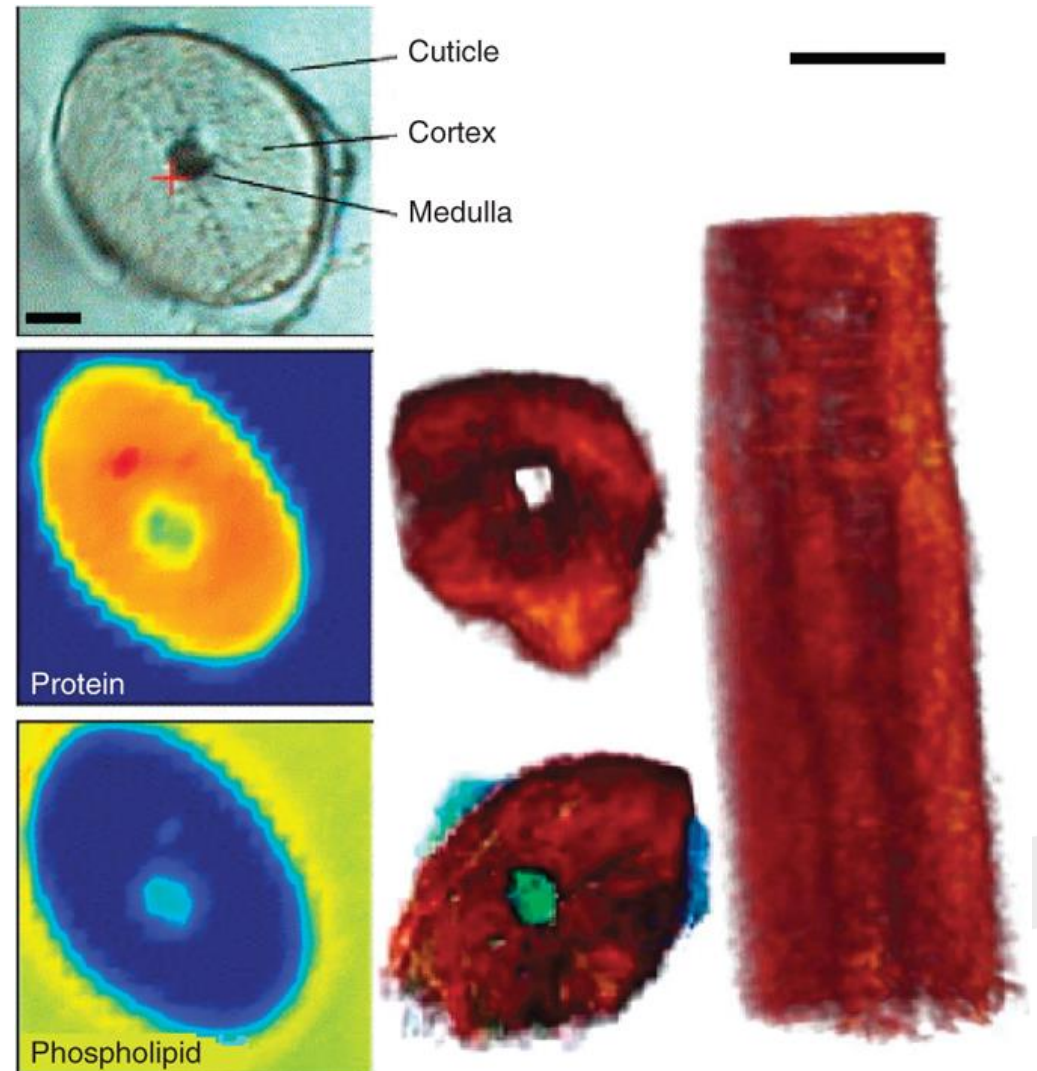
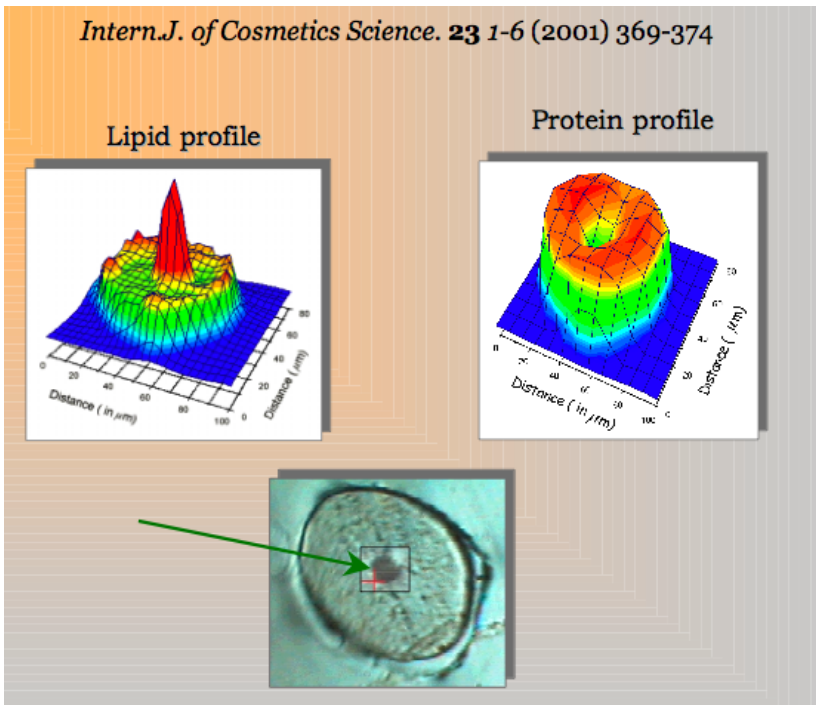
From M. Martin et al., *3D spectral imaging with synchrotron Fourier transform infrared spectro-microtomography*, *Nature Methods*, 10: 861 (2013)



# FTIR spectro-microtomography

From 2D

To 3D



## Vibrational characterization at the nanoscale

Understanding how natural systems are organized at the nanoscale and how this organization contributes to their function will improve human ability to interact with them and to build nano-organized synthetic platforms with improved functional efficiency

There is nowadays a large variety of tools for characterizing materials' morphology, structure and function at the nanoscale, while chemical composition analysis through far-field infrared microscopy yields information at a larger length scale

**FUTURE of FTIR IS WHERE CHEMICAL SPECIATION MEETS  
MORPHOLOGICAL CHARACTERIZATION**



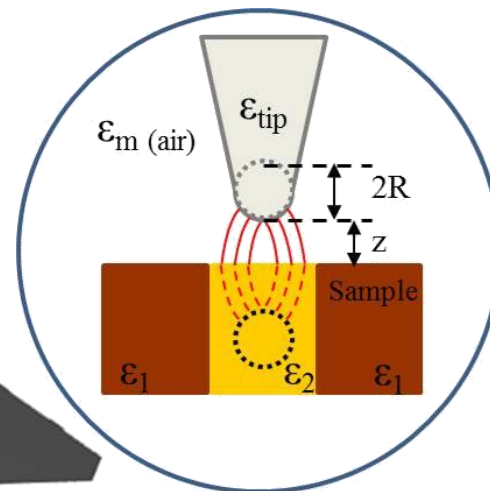
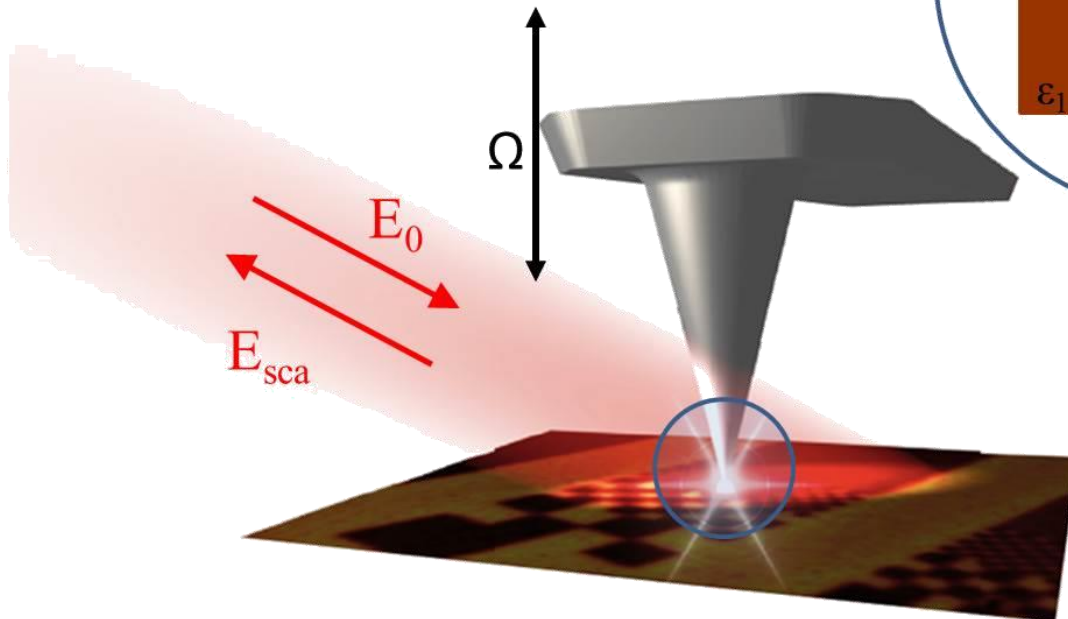
# Vibrational characterization at the nanoscale

## Scattering-type Scanning Near-field Infrared Microscopy: s-SNIM

Fritz Keilmann and Rainer Hillenbrand

*Optical oscillation modes of plasmon particles observed in direct space by phase-contrast near-field microscopy*, Applied Physics B 73, 239 (2001)

Working principle



Lateral resolution  
~  
AFM tip radius

$$E_{sca} \propto \alpha_{eff} E_0 = s e^{i\varphi}$$

$$\alpha_{eff} = \epsilon_m \alpha_{tip} (1 + \beta) \left( 1 - \frac{\alpha_{tip} \beta}{16\pi(z + R)^3} \right)^{-1}$$

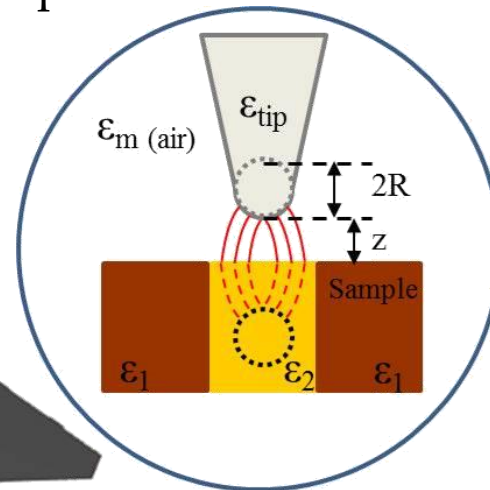
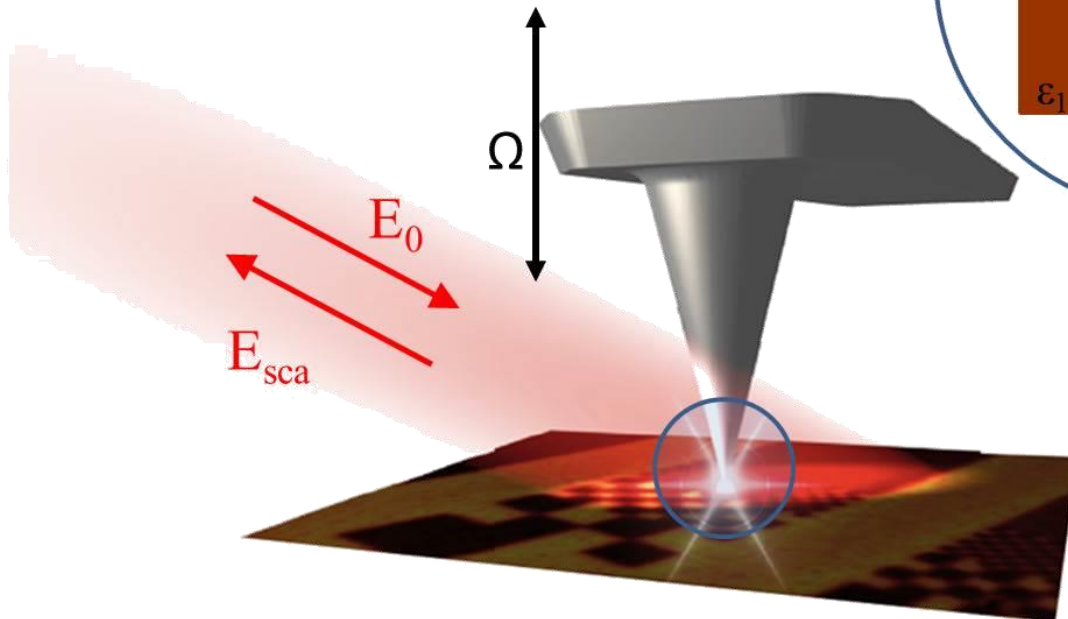
$$\beta = \frac{\epsilon_{sample} - 1 \cdot \epsilon_m}{\epsilon_{sample} + 1 \cdot \epsilon_m}$$

# Vibrational characterization at the nanoscale

## Scattering-type Scanning Near-field Infrared Microscopy: s-SNIM

A sample affects the s-SNOM signal only through its dielectric value  $\epsilon_s$  taken at the wavelength of illumination. This provides the basis to view s-SNOM as a nanospectroscopic tool, to measure the local dielectric function for identifying nanosystems according to their known (far-field) optical and infrared dielectric properties.

### Working principle



Lateral resolution  
~  
AFM tip radius

$$E_{sca} \propto \alpha_{eff} E_0 = s e^{i\varphi}$$

$$\alpha_{eff} = \epsilon_m \alpha_{tip} (1 + \beta) \left( 1 - \frac{\alpha_{tip} \beta}{16\pi(z+R)^3} \right)^{-1}$$

$$\beta = \frac{\epsilon_{sample} - 1 \cdot \epsilon_m}{\epsilon_{sample} + 1 \cdot \epsilon_m}$$

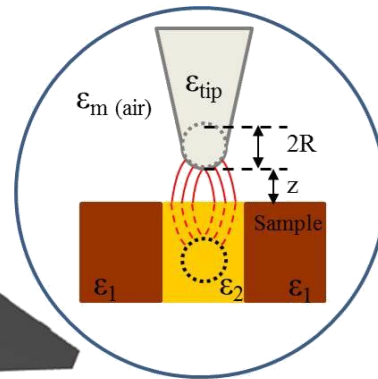
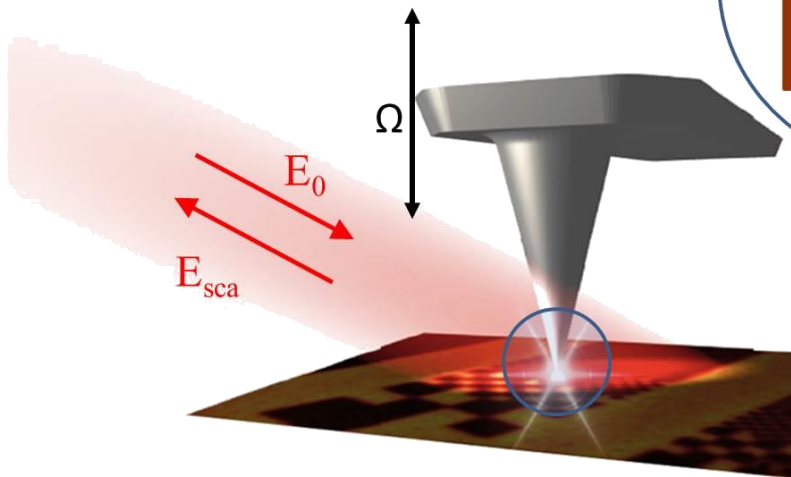
# Vibrational characterization at the nanoscale

$$E_{sca} \propto \alpha_{eff} E_0$$

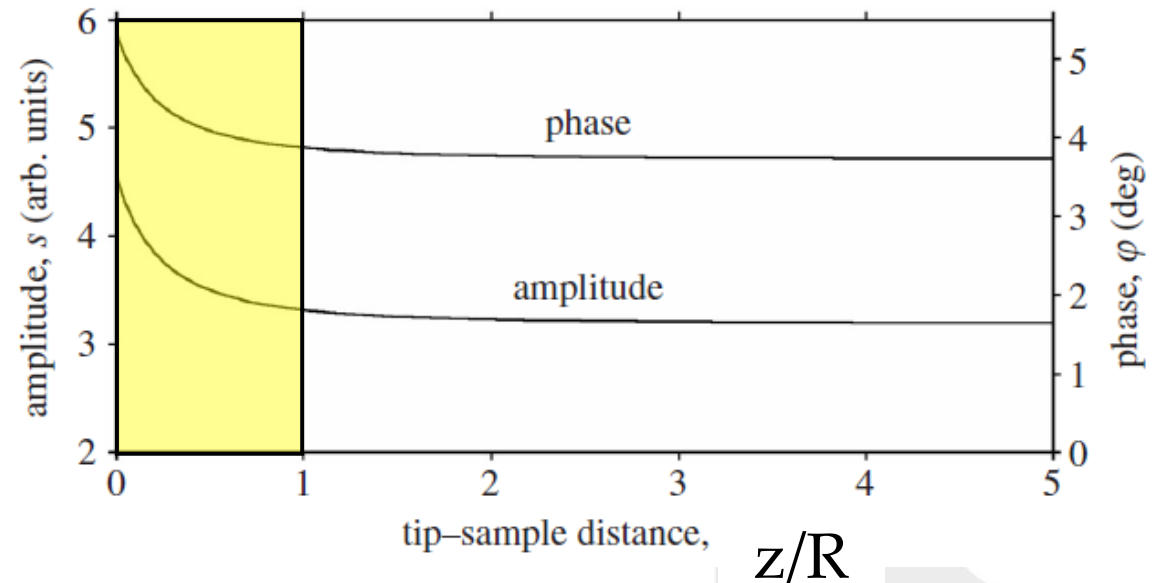
$$\alpha_{eff} = se^{i\varphi}$$

Relative amplitude

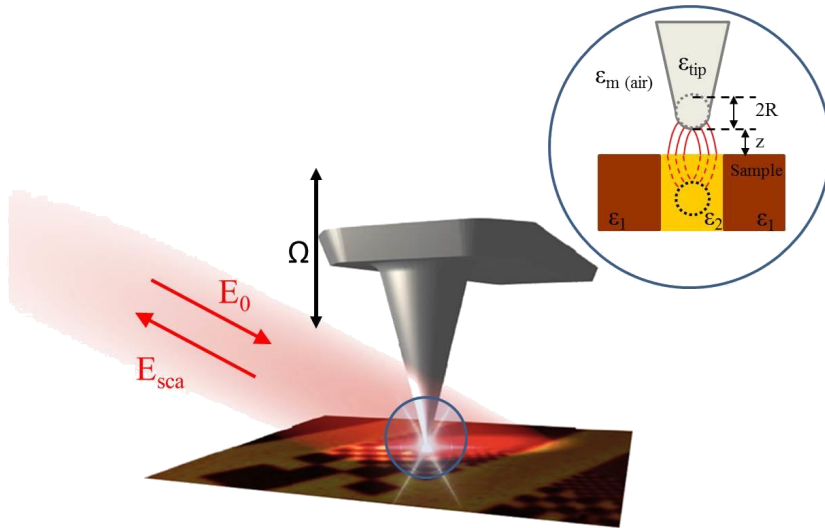
Phase shift



Wavelength 633 nm  
Material Si:  $\epsilon_s = 15$   
Tip Au:  $R=10$  nm;  $\epsilon_s = -10+2i$



# Vibrational characterization at the nanoscale



<http://www.neaspec.com/products/near-field-illumination-units/>

Measured signal  
Background scattering + Near field scattering  
Background scattering  $\gg$  Near field scattering

Demodulation of the dectector signal at  
second of higher armonics of the  
oscillation frequency of the tip

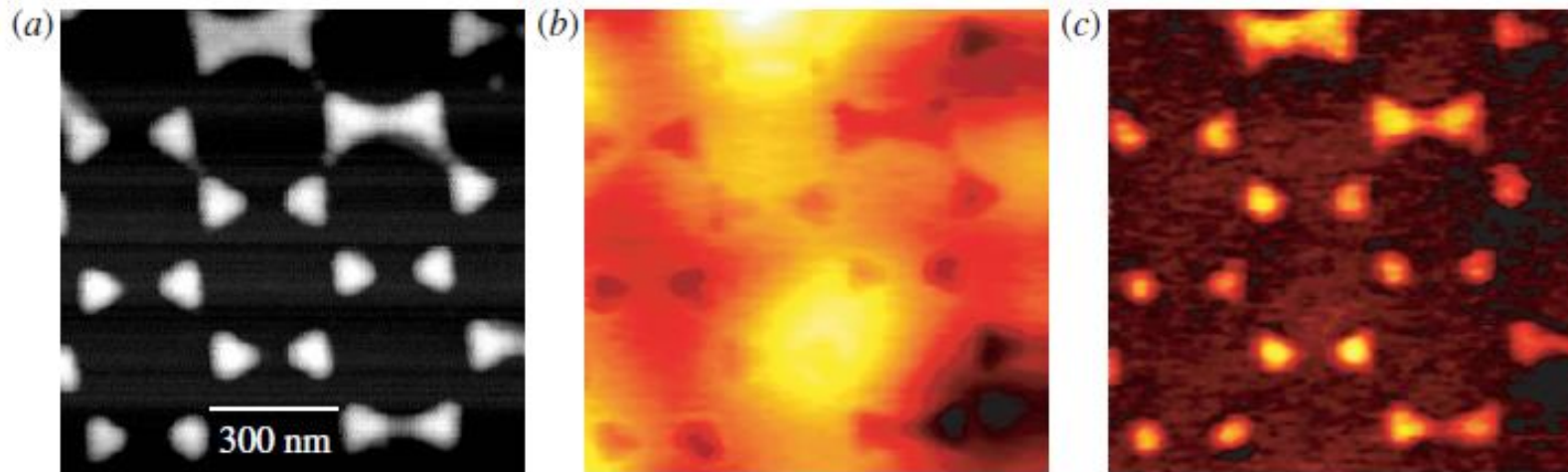


Figure 7. Experimental s-SNOM images of 20 nm high Au islands on Si. (a) Topography, (b) optical amplitude  $s_1$  showing residual background scattering, and (c) optical amplitude  $s_3$  showing pure near-field response.

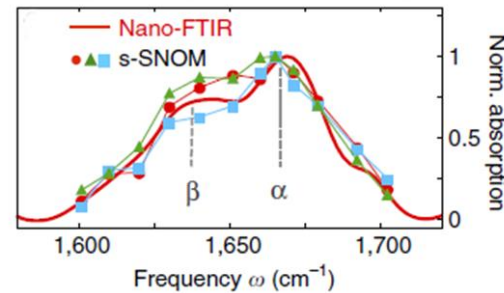
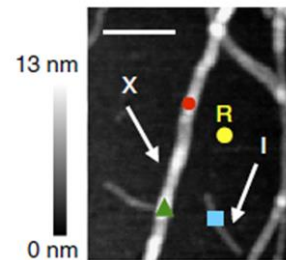
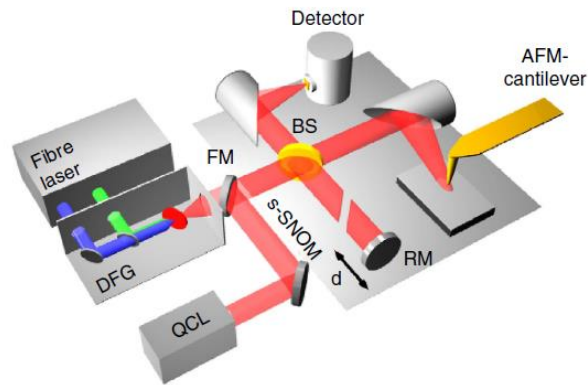


# Vibrational characterization at the nanoscale

## From laser s-SNIM

### *Structural analysis and mapping of individual protein complexes by infrared nanospectroscopy resolution*

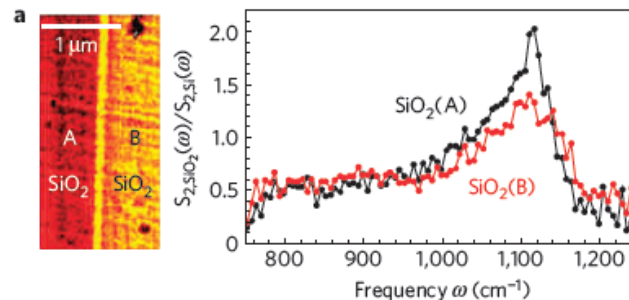
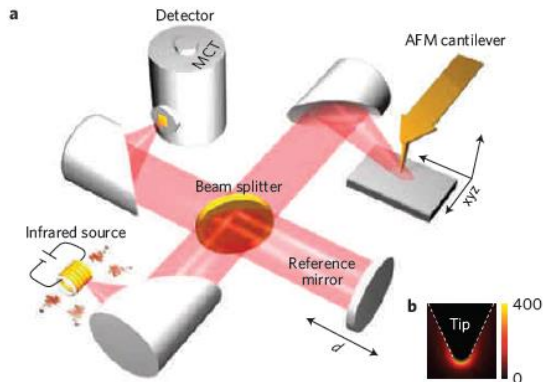
Iban Amenabar et al., published in Nature Communications 4, Article number: 2890doi:10.1038/ncomms3890



## To broadband s-SNIM

### *Infrared-spectroscopic nanoimaging with a thermal source*

F. Huth, M. Schnell, J. Wittborn, N. Ocelic & R. Hillenbrand, published in Nature Materials 10, 352–356 (2011)



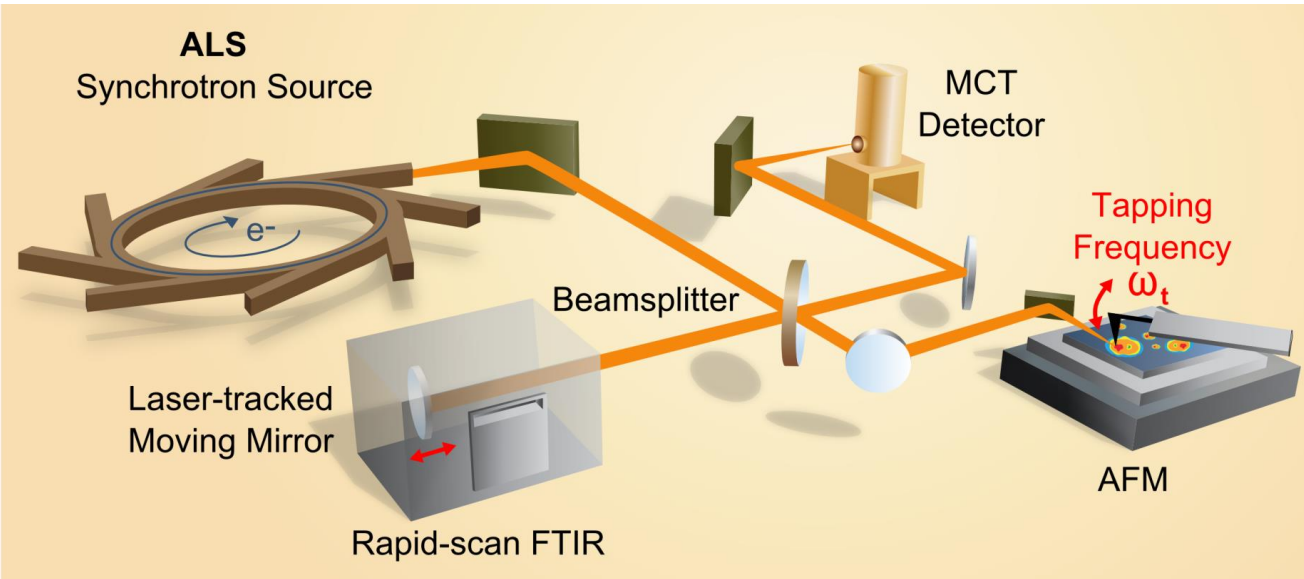
Broadband sources offer better spectral accuracy and more efficient data collection, improving recognition capabilities



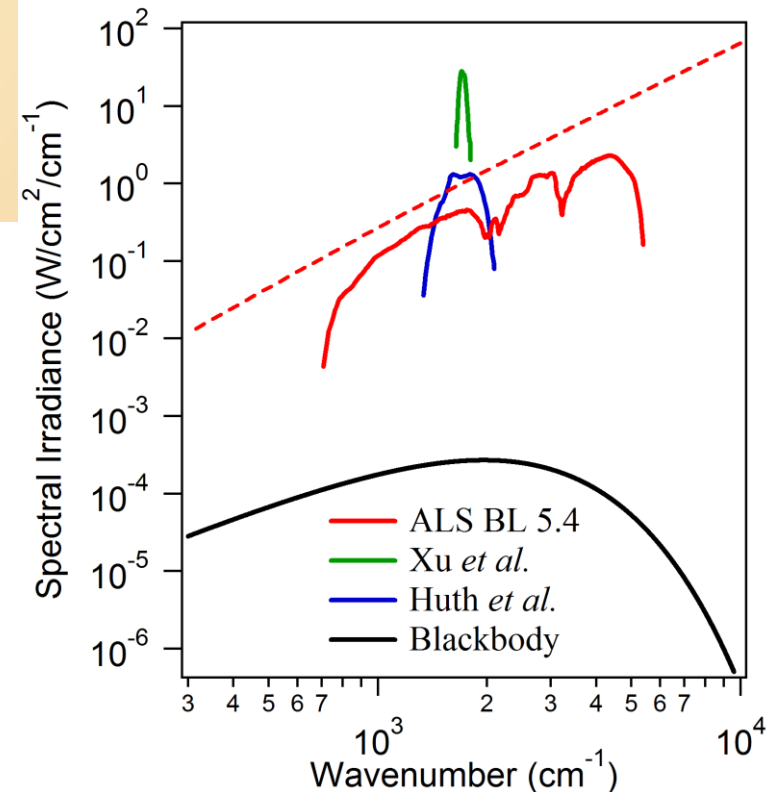
Elettra  
Sincrotrone  
Trieste

# Vibrational characterization at the nanoscale

## From laser/conventional IR sources to IR-SR



Hans A. Bechtel et al.,  
*Ultrabroadband infrared  
nanospectroscopic imaging*  
PNAS 111 (20) 7191-7196 (2014)



## s-SNIM @ SR Facilities

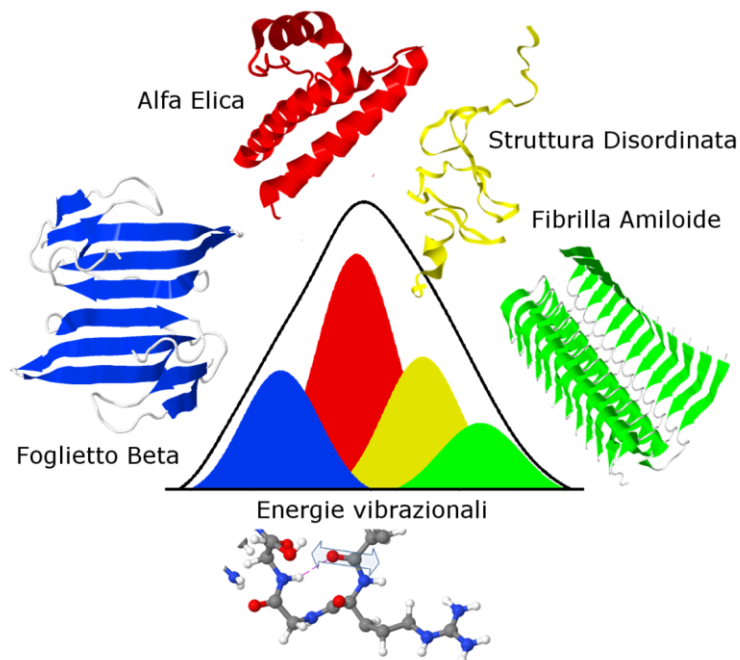
- Advance Light Source
- ANKA
- Spring8
- Brazilian Synchrotron Light Laboratory
- Diamond

# Structural characterization of functional proteins by ultrasensitive SR Collective Enhanced IR Absorption Microscopy (SR-CEIRA Microscopy)

## Background

- FTIR spectroscopy is a very sensitive technique for the structural characterization of proteins in solution (natural environment)
  - It offers complementary information to CD, SAXS and NMR spectroscopy for proteins difficult to crystallize

### Amide I deconvolution

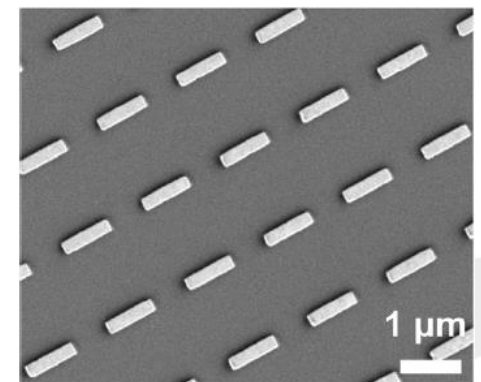
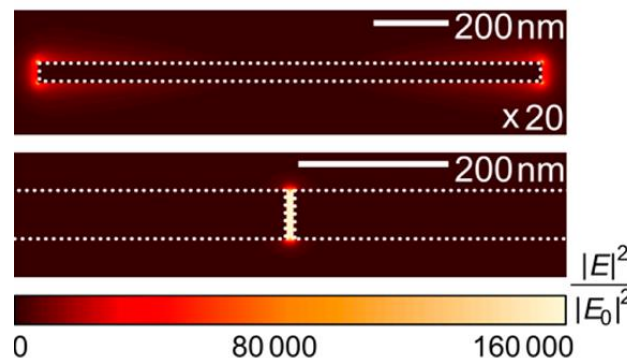
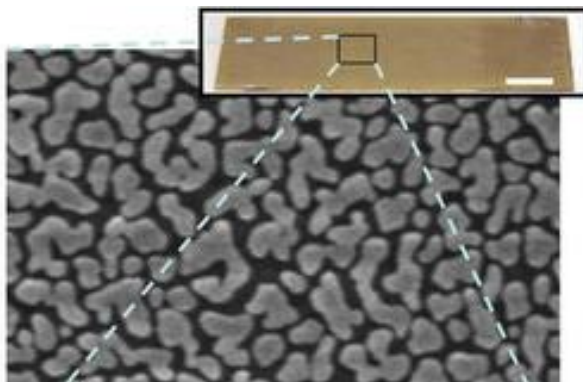


FTIR spectroscopy is sensitive to micromolar concentration at the best.

# Structural characterization of functional proteins by ultrasensitive SR Collective Enhanced IR Absorption Microscopy (SR-CEIRA Microscopy)

Surface Enhanced IR Absorption spectroscopy (SEIRA) offers the possibility to defeat the sensitivity limits of FTIR spectroscopy

- SEIRA with randomly oriented substrates
  - Randomly oriented metallic substrate – E field enhancement up to  $10^2$
- SEIRA with single engineered nanoantennas
  - Single metallic nanoantennas/nanogap - E field enhancement up to  $10^3$
- CEIRA with ordered arrays of nanoantennas - E field enhancement up to  $10^4$ - $10^5$





# Structural characterization of functional proteins by ultrasensitive SR Collective Enhanced IR Absorption Microscopy (SR-CEIRA Microscopy)

## The reasons for SR-CEIRA Microscopy

- Increasing the intensity of the incoming electric field, the surface enhancement effect is maximized

### SR Brilliance

- Not only Amide I band is interesting for structural characterization of proteins (despite largely used)

### SR broadband and Flexibility of fabrication approaches

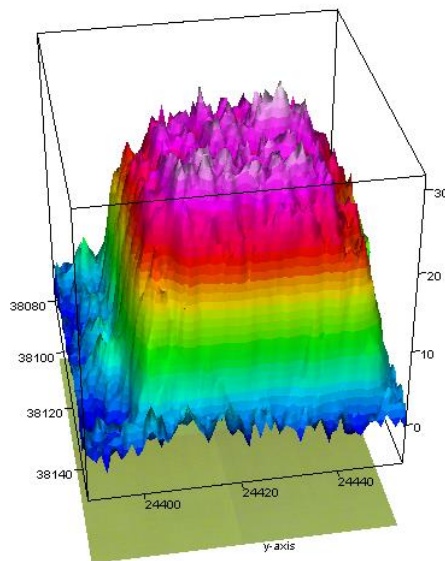
## The project

Four spectral regions Regions Of Interest (ROIs) optimized for SR-CEIRA:

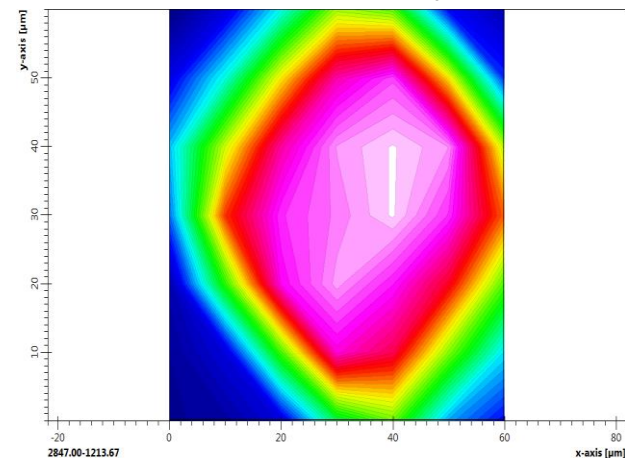
- Amide I ( $1695\text{-}1610\text{ cm}^{-1}$ ) and Amide II ( $1590\text{-}1480\text{ cm}^{-1}$ )
- Amide III band ( $\sim 1350\text{-}1250\text{ cm}^{-1}$ )
- Stretching modes of lateral aliphatic chains of aminoacids ( $3000\text{-}2800\text{ cm}^{-1}$ )
- C-O-C and phosphate linkages, especially relevant for glyco- and phosphorylated-proteins), and (below  $1200\text{ cm}^{-1}$ )

# Structural characterization of functional proteins by ultrasensitive SR Collective Enhanced IR Absorption Microscopy (SR-CEIRA Microscopy)

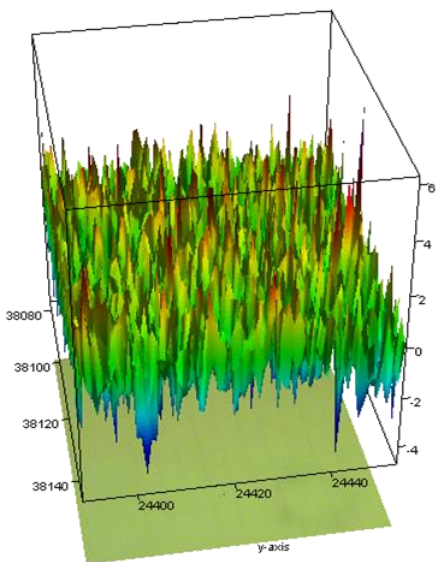
IRSR light polarized parallel to  
antennas



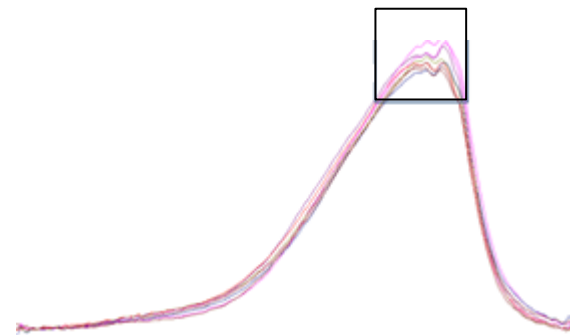
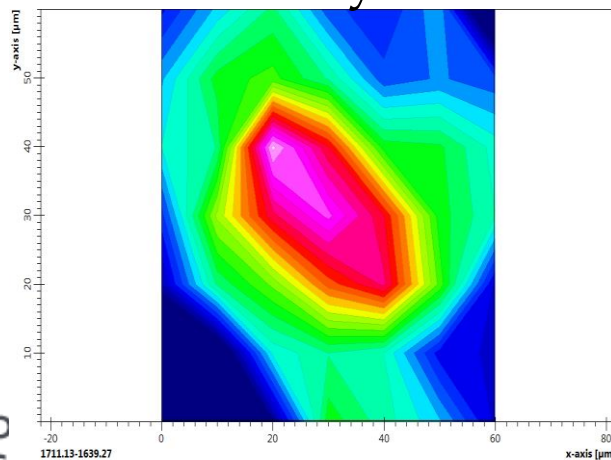
IRSR signal  
enhancement by CEIRA



IRSR light polarized  
perpendicular to antennas



IRSR signal of a BSA monolayer  
enhanced by CEIRA







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Sincrotrone  
Trieste

Thank you for your attention

[www.elettra.eu](http://www.elettra.eu)