ERG2016 Trieste

Local and Functional RG

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In collaboration with G. D'Odorico, C. Pagani JHEP 07 (2014) 040 [1312.7097] PRD 91 (2015) 125016 [1502.02439]

Outline of the talk

RG theory: what we know about the flow?

Fixed points and Wess-Zumino actions

Away from criticality

Weyl consistency conditions and Local RG

Exact RG flow for the c-functions

Some examples

RG flow

every theory consistent with the symmetries

RG fixed points

	describe continuos phase transitions
• needed for continuum limit	can be solved exactly
• conformal invariant theories (CFT)	

scaling regions





Exact RG flows



$$\partial_t \Gamma_k[\varphi] = \frac{1}{2} \operatorname{Tr} \left(\frac{\delta^2 \Gamma_k[\varphi]}{\delta \varphi \delta \varphi} + R_k \right)^{-1} \partial_t R_k$$

c- and a-theorem



 Δc and Δa are universal quantities depending on a whole trajectory! Integrated (or weak) c- and a-theorems:

 $\Delta c > 0 \qquad \qquad \Delta a > 0$

c- and a-theorem



 Δc and Δa are universal quantities depending on a whole trajectory! Strong c- and a-theorems:

$$\partial_t c > 0 \qquad \qquad \partial_t a > 0$$

Fixed point action



Fixed point action



 $\Gamma_{UV/IR}[\varphi,g] = S_{CFT_{UV/IR}}[\varphi,g] + c_{UV/IR}S_P[g]$

Weyl invariant (covariantization of the CFT action)

Conformal anomaly (Polyakov action)

$$S_P[g] = -\frac{1}{96\pi} \int d^2x \sqrt{g} R \frac{1}{\Delta} R$$

Wess-Zumino action





Wess-Zumino action



FP Wess-Zumino relation:

$$\Gamma[e^{w\tau}\varphi, e^{2\tau}g] - \Gamma[\varphi, g] = c\,\Gamma^{WZ}[\tau, g]$$

FP Wess-Zumino action:

$$\Gamma^{WZ}[\tau,g] = -\frac{1}{24\pi} \int d^2x \sqrt{g} [\tau \Delta \tau + \tau R]$$

Away from the fixed point



Wess-Zumino relation away from criticality:

$$\Gamma_{ke^{-\tau}}[e^{w\tau}\varphi, e^{2\tau}g] - \Gamma_k[\varphi, g] = \Gamma_k^{WZ}[\tau, g]$$

Running Wess-Zumino action:

$$\Gamma_{k}^{WZ}[\tau,g] = -\frac{1}{24\pi} \int \sqrt{g} \left[\tilde{\mathcal{C}}_{k} \tau \Delta \tau + \mathcal{C}_{k} \tau R \right] + \beta \text{-terms}$$

Running c-function(s) Everything that vanishes at a FP...

Running WZ action



Identifying the running central charge

$$\begin{split} \Gamma_k^{WZ}[\tau,g] &= -\frac{1}{24\pi} \int \sqrt{g} \left[\tilde{\mathcal{C}}_k \tau \Delta \tau + \mathcal{C}_k \tau R \right] + \beta \text{-terms} & \text{Which is the form of the beta terms?} \\ \mathcal{C}_k - \tilde{\mathcal{C}}_k &= O(\beta) & \Rightarrow \quad O(\beta) = 24\pi\omega_i\beta^i + \dots & \text{terms?} \\ \Gamma_k^{WZ}[\tau,g] &= -\frac{1}{24\pi} \int \sqrt{g} \left[\left(\mathcal{C}_k + 24\pi\omega_i\beta^i \right) \tau \Delta \tau + \mathcal{C}_k \tau R \right] + \beta \text{-terms} \\ & & & \\ \hline \mathcal{C}_k &= \mathcal{C}_k + 24\pi\omega_i\beta^i \end{split}$$

Scale anomaly

Scale anomaly (classical + quantum):

$$\int \sqrt{g} \left\langle T^{\mu}_{\mu} \right\rangle = -\sum_{i} (\beta^{i} - d_{i}g^{i}) \int \sqrt{g} \mathcal{O}_{i}$$

Dimensionless couplings and beta functions:

$$g^i = k^{d_i} \tilde{g}^i \qquad \qquad \beta^i - d_i g^i = k^{d_i} \tilde{\beta}^i$$

$$\beta -\text{terms} = -\sum_{i} k^{d_i} \tilde{\beta}^i \int \sqrt{g} \,\tau \mathcal{O}_i + \dots$$

First interaction contribution to the flow of c!

Derivative expansion for the running WZ action

$$\Gamma_k^{WZ}[\tau,g] = \int \sqrt{g} \left[V_k(\tau) + Z_k(\tau)\partial_\mu \tau \partial^\mu \tau + F_k(\tau)R \right] + O(\partial^4)$$

We already know:



How do we determine the next terms?

Stuckelberg trick

Stuckelberg trick:

$$k \to e^{-\tau} k$$

Couplings become spacetime dependent!

$$g_k^i \to g_{ke^{-\tau}}^i$$

Natural way to introduce beta functions:

$$\begin{split} g^i_{ke^{-\tau}} &= g^i_{k(1-\tau+\ldots)} \\ &= g^i_k - \tau k \partial_k g^i_k + \ldots \\ &= g^i_k - \tau \beta^i_k + \ldots \end{split}$$

Apply to the c-function:

$$\mathcal{C}_{ke^{-\tau}} = \mathcal{C}_k - \tau \partial_t \mathcal{C}_k + O(\tau^2)$$

Stuckelberg trick

The CFT actions delete each others

Recovering the scale anomaly:

$$\Gamma_{e^{-\tau_k}}[e^{w\tau}\varphi, e^{2\tau}g] - \Gamma_k[\varphi, g] = \int \sqrt{g} \left[(g_k^i - \tau\beta^i)\mathcal{O}_i - g_k^i\mathcal{O}_i \right] + O(\tau^2)$$
$$= -\int \sqrt{g} \tau\beta^i\mathcal{O}_i + O(\tau^2) \,.$$

New higher order terms:

$$g_{ke^{-\tau}}^{i} = g_{k}^{i} - \tau \beta_{k}^{i} + \frac{1}{2} \tau^{2} \beta^{j} \partial_{j} \beta^{i} + O(\tau^{3})$$
$$V_{k}(\tau) = \left[-\beta^{i} \tau + \frac{1}{2} \beta^{j} \partial_{j} \beta^{i} \tau^{2} \right] \mathcal{O}_{i} + O(\tau^{3})$$

Stuckelberg trick

$$\beta\text{-terms} = \int \sqrt{g} \left\{ \left[-\tau\beta^{i} + \frac{1}{2}\tau^{2}\beta^{j}\partial_{j}\beta^{i} + ... \right] \mathcal{O}_{i} + \left(-\omega_{i}\beta^{i} \right) \partial_{\mu}\tau\partial^{\mu}\tau + \left[\partial_{t} \left(\omega_{i}\beta^{i} \right) + ... \right] \tau \partial_{\mu}\tau\partial^{\mu}\tau \right\} + O(\tau^{4}) \right\}$$

Non-trivial structure emerges:

$$\begin{aligned} V_k(\tau) &= \left[-\beta^i \tau + \frac{1}{2} \beta^j \partial_j \beta^i \tau^2 \right] \mathcal{O}_i + O(\tau^3) \\ Z_k(\tau) &= -\frac{\mathcal{C}_k}{24\pi} - \omega_i \beta^i + \left[\partial_t \left(\frac{\mathcal{C}_k}{24\pi} + \omega_i \beta^i \right) + \dots \right] \tau + O(\tau^2) \\ F_k(\tau) &= -\frac{\mathcal{C}_k}{24\pi} \tau + \left[\partial_t \left(\frac{\mathcal{C}_k}{24\pi} \right) + \dots \right] \tau^2 + O(\tau^3) \,. \end{aligned}$$

... = missing terms that are not scale derivatives of lower order terms and define new RG quantities

Local RG

Osborn's ansatz:

All possible terms involving dilaton, couplings and curvatures

$$\Gamma_k^{WZ}[\tau,g] = \int \sqrt{g} \left[-\tau \beta^i \mathcal{O}_i + \chi_{ij} \partial_\mu g_k^i \partial^\mu g_k^j \tau + \omega_i \partial_\mu \tau \partial^\mu g_k^i - \frac{\mathcal{C}_k}{24\pi} \tau R \right] + O(\tau^2)$$

LRG: insert Osborn's ansatz in WZ consistency conditions away from criticality to derive non-trivial RG relations

Connection with the derivative expansion:

$$\partial_{\mu}g^{i} = -\beta^{i}\partial_{\mu}\tau + O(\tau^{2})$$

$$\chi_{ij}\partial_{\mu}g^{i}\partial^{\mu}g^{j} = \chi_{ij}\beta^{i}\beta^{j}\partial_{\mu}\tau\partial^{\mu}\tau + O(\tau^{3})$$

$$\omega_{i}\partial_{\mu}\tau\partial^{\mu}g^{i} = -\omega_{i}\beta^{i}\partial_{\mu}\tau\partial^{\mu}\tau + O(\tau^{4})$$

$$+\partial_{t}(\omega_{i}\beta^{i})\tau\partial_{\mu}\tau\partial^{\mu}\tau + O(\tau^{4})$$

Wess-Zumino consistency conditions

Weyl transformations are Abelian:

$$\Gamma^{WZ}[\tau_1, e^{2\tau_2}g] - \Gamma^{WZ}[\tau_1, g] = \Gamma^{WZ}[\tau_2, e^{2\tau_1}g] - \Gamma^{WZ}[\tau_2, g]$$

Infinitesimal FP WZ consistency conditions:

$$\Gamma^{WZ}[\tau_1, e^{2\tau_2}g] = \Gamma^{WZ}[\tau_1, g] + \delta_{\tau_2}\Gamma^{WZ}[\tau_1, g] + \dots$$
$$\delta_{\tau} \equiv \int 2\tau g_{\mu\nu} \frac{\delta}{\delta g_{\mu\nu}}$$

$$\delta_{ au_2}\Gamma^{WZ}[au_1,g]=\delta_{ au_1}\Gamma^{WZ}[au_2,g]$$

Wess-Zumino consistency conditions

The Wess-Zumino consistency conditions are also valid away from criticality

$$\begin{split} \Gamma_{ke^{-\tau}}^{WZ}[\sigma, e^{2\tau}g] &= \Gamma_k^{WZ}[\sigma, g] + \Delta_{\tau} \Gamma_k^{WZ}[\sigma, g] + O\left(\tau^2\right) \\ & \\ \Delta_{\tau} \equiv \int d^2x \, \tau \left\{ 2g_{\mu\nu} \frac{\delta}{\delta g_{\mu\nu}} - \beta^i \frac{\delta}{\delta g^i} \right\} \end{split}$$

Infinitesimal WZ consistency conditions away from a FP:

$$\Delta_{\tau_2} \Gamma_k^{WZ}[\tau_1, g] = \Delta_{\tau_1} \Gamma_k^{WZ}[\tau_2, g]$$

Local RG

Consistency condition deriving from the terms $\, au\partial_\mu au\partial^\mu au$

$$\partial_t \left(\frac{\mathcal{C}_k}{24\pi} + \omega_i \beta^i \right) = \chi_{ij} \beta^i \beta^j$$
$$\partial_t c_k = 24\pi \chi_{ij} \beta^i \beta^j$$

Consistency conditions (LRG) don't tell us how to compute things...

The flow of the c-function

$$\partial_t \Gamma_k^{WZ}[\tau, g] = \partial_t \Gamma_{e^{-\tau}k}[e^{w\tau}\varphi, e^{2\tau}g] - \partial_t \Gamma_k[\varphi, g]$$

$$\partial_t c_k = -12\pi \operatorname{Tr} \left(\frac{\delta^2}{\delta\varphi\delta\varphi} \Gamma_k[e^{w\tau}\varphi, e^{2\tau}\delta] + R_k[\delta] \right)^{-1} \partial_t R_k[\delta] \bigg|_{\int (\partial\tau)^2}$$

Exact flow for the c-function!

$$\partial_t c_k = 12\pi \operatorname{Tr} \tilde{\partial}_t \left\{ G_k \frac{\delta^3 \Gamma_k^{WZ}}{\delta \tau \delta \varphi \delta \varphi} G_k \frac{\delta^3 \Gamma_k^{WZ}}{\delta \tau \delta \varphi \delta \varphi} \right\} \Big|_{p^2} - 12\pi \operatorname{Tr} \tilde{\partial}_t \left\{ G_k \frac{\delta^4 \Gamma_k^{WZ}}{\delta \tau \delta \tau \delta \varphi \delta \varphi} \right\} \Big|_{p^2}$$

The flow is driven by matter-dilaton interactions...

The flow of the c-function

$$\partial_t \Gamma_k^{WZ}[\tau, g] = \partial_t \Gamma_{e^{-\tau}k}[e^{w\tau}\varphi, e^{2\tau}g] - \partial_t \Gamma_k[\varphi, g]$$

$$\partial_t c_k = -12\pi \operatorname{Tr} \left(\frac{\delta^2}{\delta\varphi\delta\varphi} \Gamma_k[e^{w\tau}\varphi, e^{2\tau}\delta] + R_k[\delta] \right)^{-1} \partial_t R_k[\delta] \bigg|_{\int (\partial\tau)^2}$$

Exact flow for the c-function!

$$\partial_t c_k = 12\pi$$
 $()_{p^2}$

The flow is driven by matter-dilaton interactions...

Zamolodchikov's metric



Zamolodchikov's metric



Zamolodchikov's metric



$$\chi_{ij} = \frac{1}{24\pi} \int \frac{d^2q}{(2\pi)^2} \tilde{\partial}_t \left\{ G_k(q^2) G_k\left((q+p)^2 \right) \right\} \mathcal{O}_i^{(2)}(q,q+p) \mathcal{O}_j^{(2)}(-q-p,-q)$$

Explicit representation of RG quantities!

Massive deformation Gaussian FP

$$\Gamma_{k}[\phi,g] = \frac{1}{2} \int \sqrt{g}\phi \left(\Delta + m^{2}\right)\phi - \frac{c_{k}}{96\pi} \int \sqrt{g}R\frac{1}{\Delta}R$$

$$\Gamma_k[\phi, e^{2\tau}\delta] = \frac{1}{2} \int \phi \left(\Delta + e^{2\tau}m^2\right)\phi - \frac{c_k}{24\pi} \int \tau \Delta \tau$$

$$\partial_t c_k = \frac{4ak^2m^4}{\left(ak^2 + m^2\right)^3} \qquad \qquad R_k(z) = ak^2$$
$$c_k = 1 - \frac{m^4}{\left(ak^2 - m^2\right)^2}$$

$$c_k = 1 - \frac{m^2}{\left(ak^2 + m^2\right)^2}$$

 $c_{0} = 0$ $c_{\infty} = 1$ $\Delta c = 1$

Massive deformation Wilson-Fisher FP

$$\begin{split} \Gamma_k[\bar{\psi},\psi,g] &= \int \sqrt{g} \,\bar{\psi} \left(\nabla + m\right) \psi - \frac{c_k}{96\pi} \int \sqrt{g} R \frac{1}{\Delta} R \\ \Gamma_k[e^{\tau/2} \bar{\psi},e^{\tau/2} \psi,e^{2\tau} \delta] &= \int \bar{\psi} \left(\nabla + e^{\sigma} m\right) \psi - \frac{c_k}{24\pi} \int \tau \Delta \tau \end{split}$$

$$\partial_t c_k = rac{akm^2}{\left(ak+m
ight)^3}$$

$$c_k = rac{1}{2} - rac{m^2}{2\left(ak+m
ight)^2}$$
 $c_\infty = rac{1}{2}$
 $c_0 = 0$
 $\Delta c = rac{1}{2}$

Conclusions & Outlook

Understanding of how to parametrize the effective (average) action away from criticality

Non-perturbative definition of the c- and a-functions

Framework to calculate approximated c- and a-functions

A proof of the strong c- and a-theorems using the fRG?

Thank you

The *c*-function in the LPA

extend a given truncation:

The *c*-function in the LPA

non-perturbative flow for the c-function:

$$\partial_t c_k = -24\pi \, \partial_t \Gamma_k [e^{-w\tau} \varphi, e^{2\tau} g] \Big|_{\int \tau \Delta \tau}$$

the c-function with in the LPA:

$$\partial_t c_k = \frac{12}{(1+\tilde{m}_k^2)^4} \left(\tilde{\beta}_{m^2}\right)^2$$
$$= \frac{12}{(1+\tilde{m}_k^2)^4} \left(2\tilde{m}_k^2 + \frac{1}{4\pi} \frac{\tilde{\lambda}_k}{(1+\tilde{m}_k^2)^2}\right)^2$$

the c-theorem is satisfied within our truncation!

 $\partial_t c_k \ge 0$

Sine-Gordon model

$$S_{SG}[\phi] = \int \left[\frac{1}{2}\phi\Delta\phi - \frac{m^2}{\beta^2}\left(\cos\left(\beta\phi\right) - 1\right)\right]$$

c- & a-functions in the loop expansion

 $\tilde{\beta}_2$

 \tilde{eta}_4

 $\tilde{\beta}_6$

~~~~~

Diagonal contributions:

$$G_{k}(x-y) = \frac{1}{2\pi} K_{0} \left( |x-y| \sqrt{ak^{2}} \right)$$

$$\partial_{t} \Gamma_{L,k} = -\frac{1}{2(L+1)!} \tilde{\beta}_{L+1}^{2} k^{4} \int d^{2}x \int d^{2}y \tau_{x} \tau_{y} \tilde{\partial}_{t} \left[ G_{k} \left( x-y \right) \right]^{L+1}$$

$$\downarrow$$

$$\partial_{t} \Gamma_{L,k} = \frac{k^{4}}{(L+1)!} \tilde{\beta}_{L+1}^{2} \int d^{2}x \tau_{x} \Delta \tau_{x} \int d^{2}y \frac{y^{2}}{2(2\pi)^{L+1}} \partial_{a} \left[ K_{0} \left( |y| \sqrt{ak^{2}} \right) \right]^{L+1} \Big|_{a \to 1}$$

$$\downarrow$$

$$\partial_{t} c_{L,k} = \mathcal{A}_{L} \tilde{\beta}_{L+1}^{2}$$

$$\mathcal{A}_{L} \equiv \frac{3}{2^{L} \pi^{L-1} L!} \int_{0}^{\infty} dx x^{4} \left[ K_{0} \left( x \right) \right]^{L} K_{1} \left( x \right)$$

Diagonal contributions:

![](_page_43_Figure_2.jpeg)

 $\mathcal{A}_L > 0$ 

$$\partial_t c_k^{(diagonal)} = \sum_{i=1}^{\infty} \mathcal{A}_{2i-1} \,\tilde{\beta}_{2i}^2$$

The c-theorem is satisfied by the diagonal contributions:

$$\partial_t c^{diagonal} > 0$$

Non-unitary case:

$$S_{LY}[\phi] = \int d^2x \left[\frac{1}{2}\phi\Delta\phi + ig\phi^3\right]$$

![](_page_44_Figure_3.jpeg)

$$\partial_t c_k = -\mathcal{A}_2 \,\tilde{\beta}_3^2 < 0$$

 $\mathcal{A}_2 > 0$ 

d = 4

$$\partial_t a_k^{(diagonal)} = \mathcal{A}_3 \tilde{\beta}_4^2 + \dots$$

$$\mathcal{A}_{3} = \frac{1}{2^{12}\pi^{6} \left(4!\right)^{2}}$$

Scheme independent!

 $\partial_t a_k^{diagonal} > 0$ 

The a-theorem is valid in the loop expansion

# Switch on gravity! $\mathcal{O} = R$ $\Gamma_k[g] = \int \sqrt{g} \left| -\frac{1}{16\pi G_k} R + \dots \right|$ $-\frac{1}{4}\partial_t \left(-\frac{1}{16\pi G_k}\right) R \frac{1}{\Delta} R + \dots \right]$ $= \int \sqrt{g} \left| -\frac{1}{16\pi G_k} R + \dots \right|$ $-\frac{c_k-c_\Lambda}{96\pi}R\frac{1}{\Lambda}R+\dots$ $\partial_t \left( -\frac{1}{16\pi G_k} \right) = \frac{c_k - c_\Lambda}{24\pi}$

$$\partial_t c_k = \frac{3}{2G_k^2} \left( \partial_t \beta_{G_k} - 2 \frac{\beta_{G_k}^2}{G_k} \right)$$

minimally coupled scalar:

$$c_k = \frac{ak^2}{ak^2 + bm^2} \qquad \qquad \partial_t c_k = \frac{2abk^2m^2}{\left(ak^2 + bm^2\right)^2}$$

$$R_k(z) = \frac{az}{e^{bz/k^2} - 1}$$

minimally coupled scalar:

![](_page_48_Figure_2.jpeg)

interacting scalar:

$$c_k = \frac{ak^2}{ak^2 + bV_k''(\varphi_0)}$$

$$\partial_t c_k = -\frac{abk^2 \left(\partial_t V_k''(\varphi_0) - 2V_k''(\varphi_0)\right)}{\left(ak^2 + bV_k''(\varphi_0)\right)^2}$$

$$\partial_t c_k = \begin{cases} -\frac{ab \partial_t \tilde{m}_k^2}{(a+b \, \tilde{m}_k^2)^2} & \text{ordered phase} \\ \frac{2ab \, \partial_t \tilde{m}_k^2}{(a-2b \, \tilde{m}_k^2)^2} & \text{broken phase} . \end{cases}$$

interacting scalar:

![](_page_50_Figure_2.jpeg)