

“ **Caffeine self-association  
in aqueous solution: from the  
supramolecular to atomic scale  
clustering** ”

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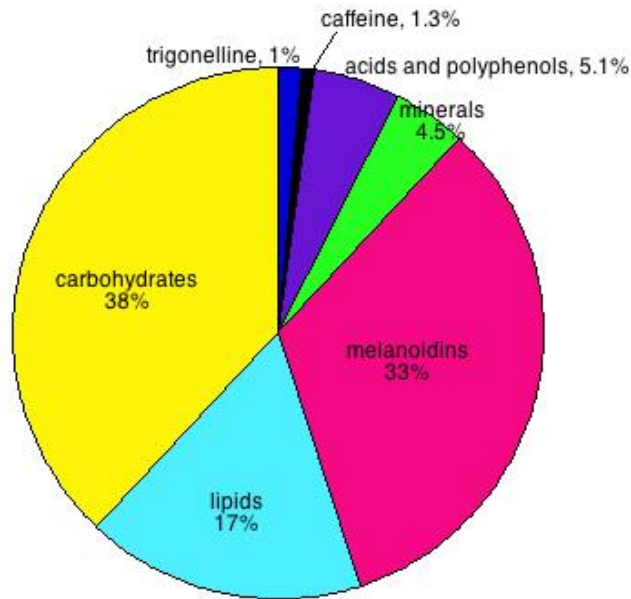
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*<sup>4</sup>Elettra Sincrotrone Trieste, Italy*

# Caffeine in coffee beans

Composition of Coffee (excluding the water!)



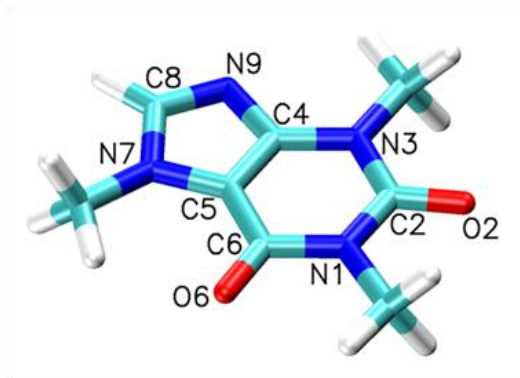
Coffee contains at least 1500 different compounds

Achieve a better knowledge on how water molecules interact with food biomolecules and how this affects the association of food biomolecules in aqueous solution, in order to understand the fundamental role of active substances in the food properties



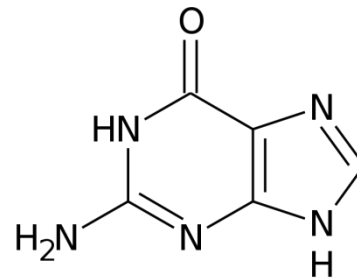
In an espresso coffee  $c=1 - 1.5$  mg/ml

# Caffeine as a purine molecule

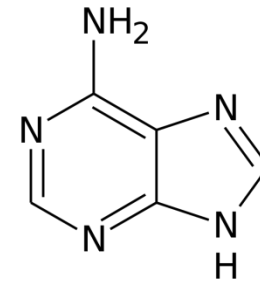


1,3,7-trimethyl xanthine  
**CAFFEINE**

## DNA nucleotide bases

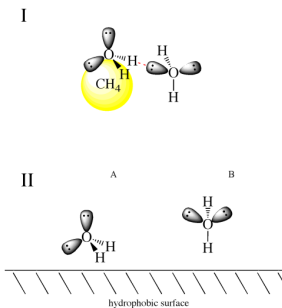


Guanine

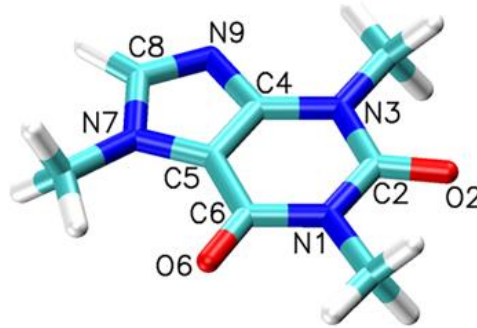


Adenine

## Test theory of hydrophobic hydration



# Outline



**1,3,7-trimethyl xanthine  
CAFFEINE**

## **HOMOTACTIC INTERACTIONS AND HYDRATION**

MD simulations

vs

NDIS experiments

SANS experiments

Resonance Raman scattering

## **HETEROTACTIC INTERACTIONS**

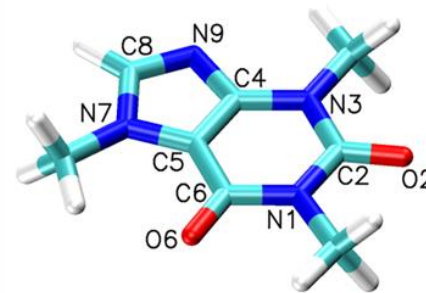
MD simulations

vs

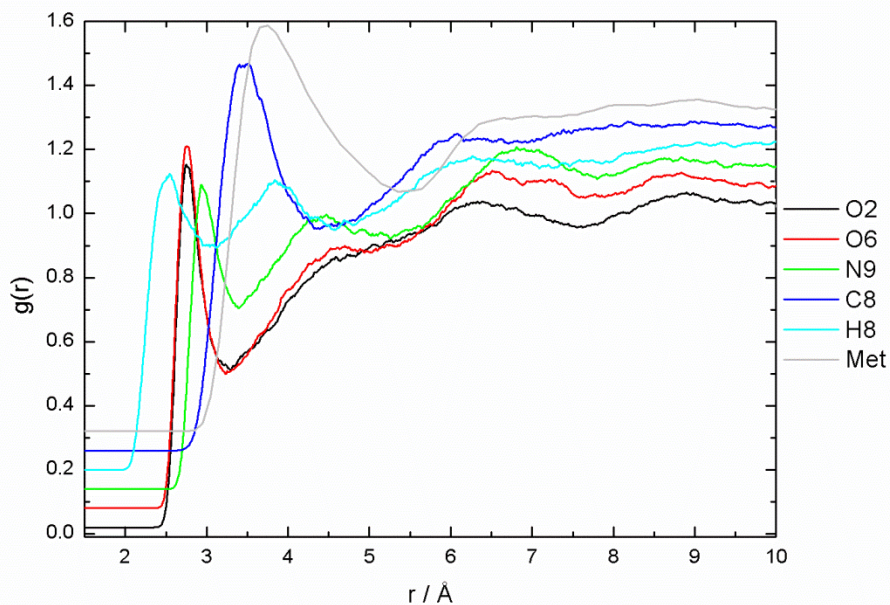
$^1\text{H-NMR}$

# Caffeine hydration

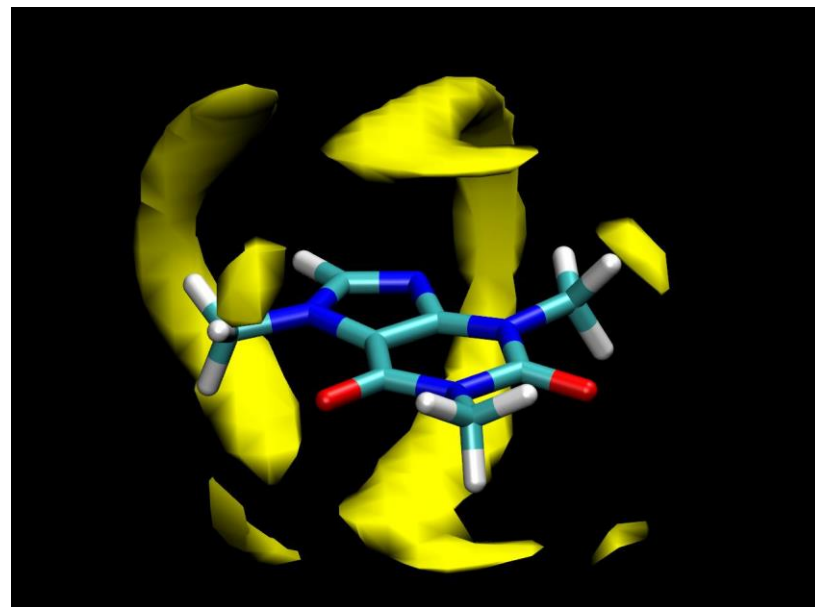
1. Caffeine force field development
2. Water structuring



Pair distribution functions for water oxygen atoms

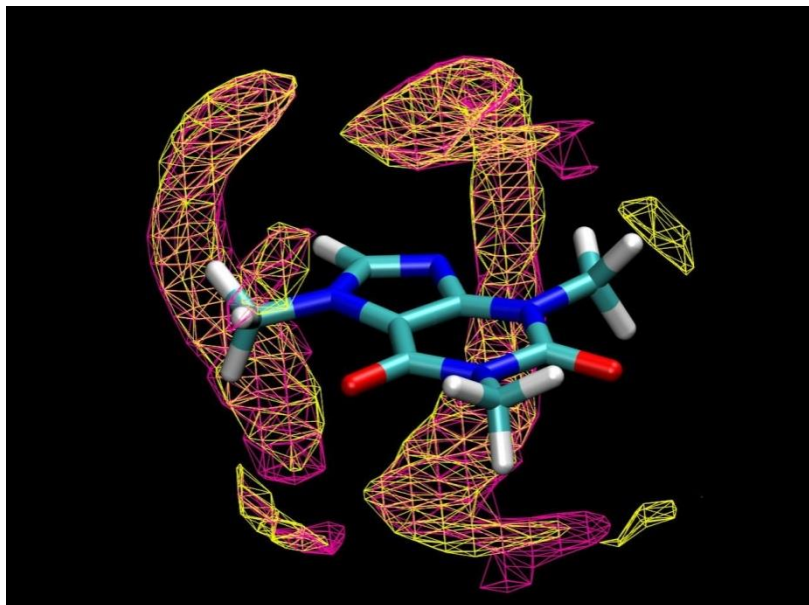


Water oxygen atom density (1.3 x bulk)



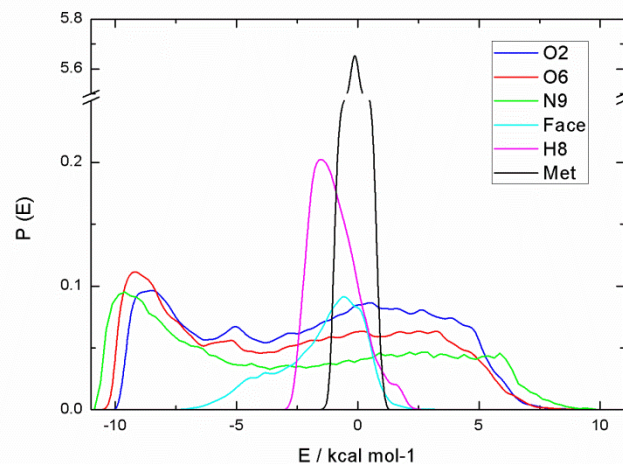
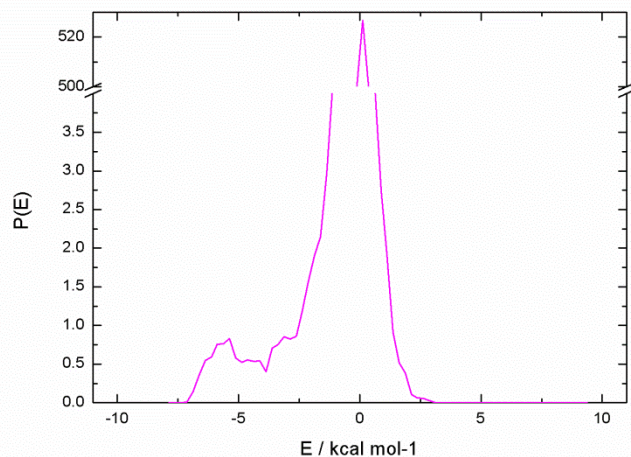
# Caffeine hydration

Comparing different water models... **TIP4P** **TIP3P**

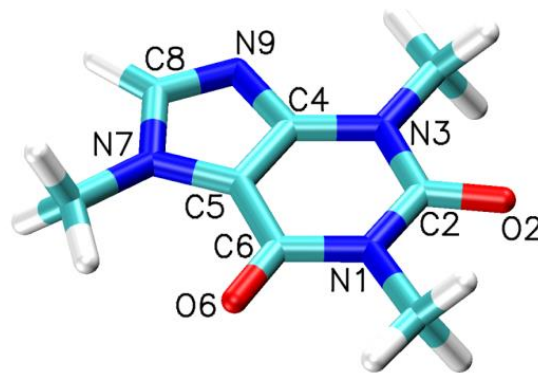


**Clouds of water oxygen atom density**  
**1.3 times bulk density**

# Caffeine hydration

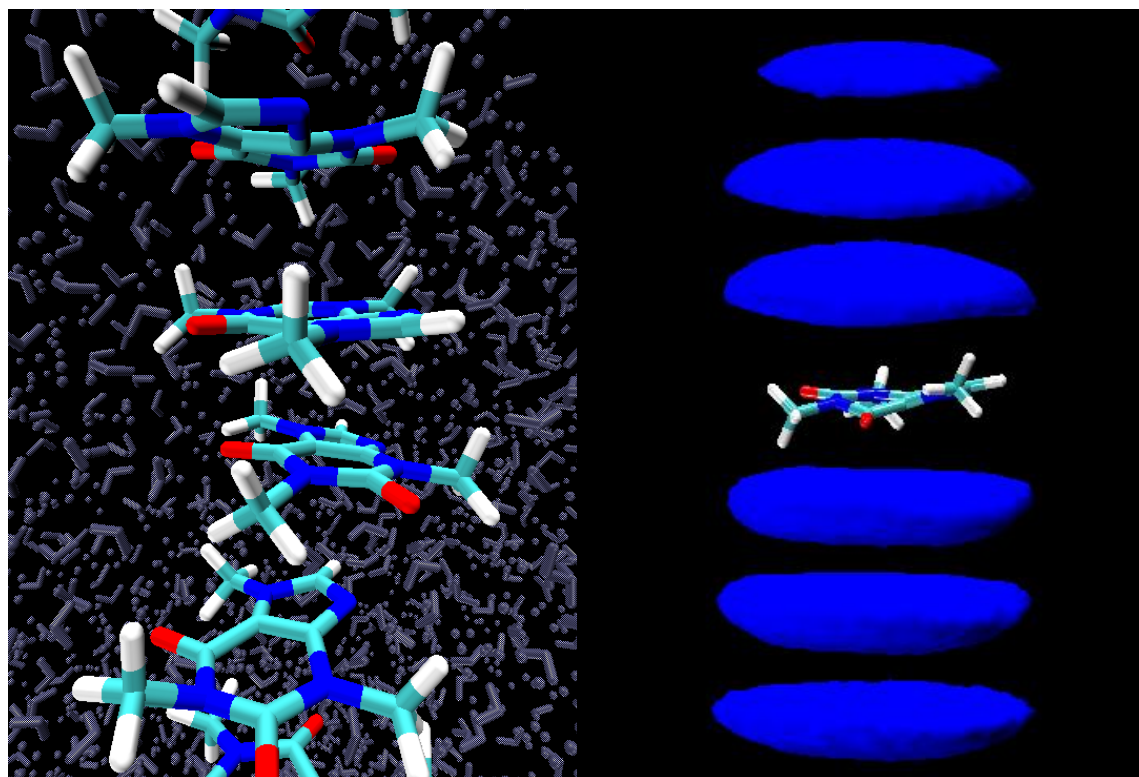


O2	Distance $O_{H2O}-O2_{caff} < 4$	4.4
O6	Distance $O_{H2O}-O6_{caff} < 4$	3.9
N9	Distance $O_{H2O}-N9_{caff} < 4$	3.4
H8	Distance $O_{H2O}-C8_{caff} < 4$	1.9
Met	$4 < \text{Distance } O_{H2O}-C_{Met_{caff}} < 5$	4.3
Face	$O_{H2O}$ in the parallelepiped with base formed by N1 and N9 atom positions and height 5	1.3
Bulk	Everything else	639.2



# Caffeine self-association

MD simulation 8 caffeine molecules in TIP4P water (0.1 m) at 298 K

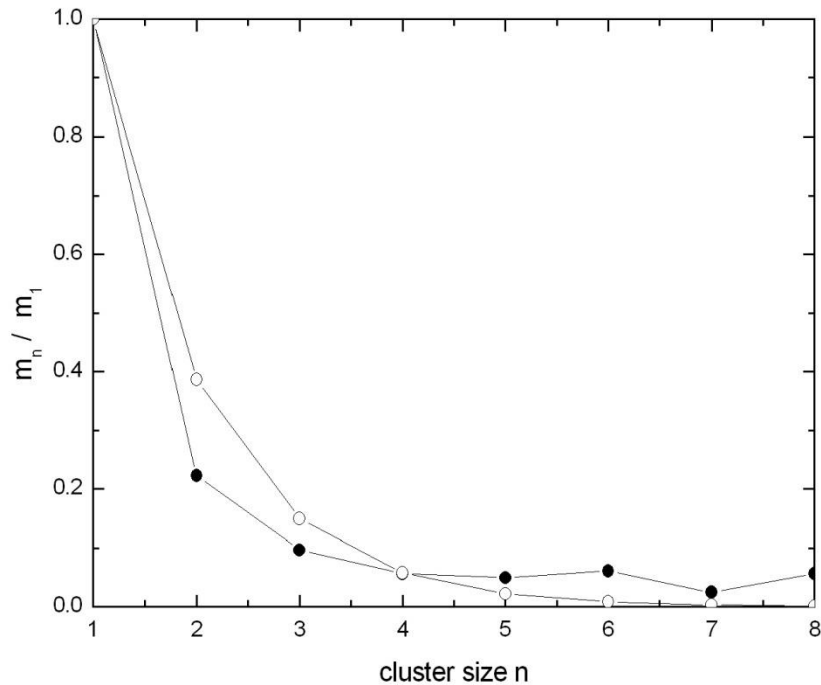
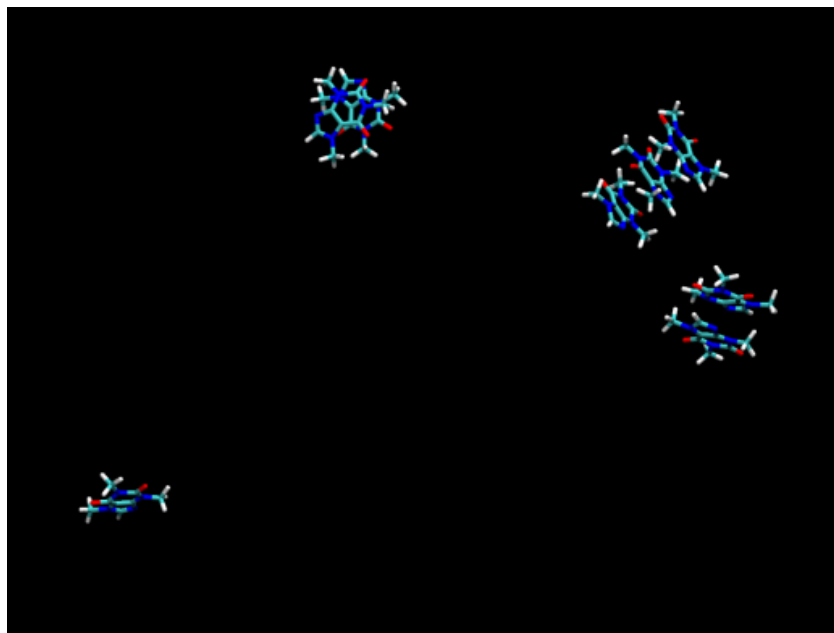


Contours of caffeine density enclosing regions with a caffeine atom density of 10 times bulk density



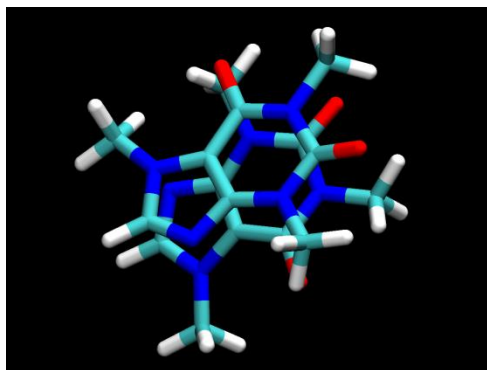
# Caffeine self-association

Distribution of cluster sizes from the simulation (•) vs isodesmic model (○)

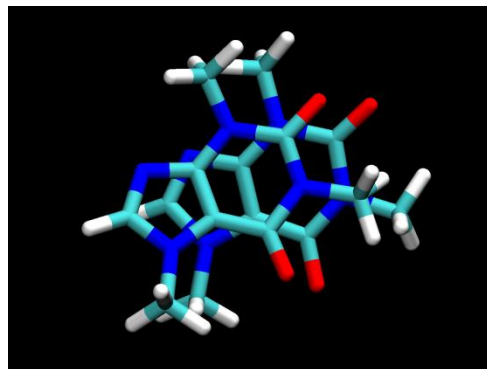


# Caffeine self-association

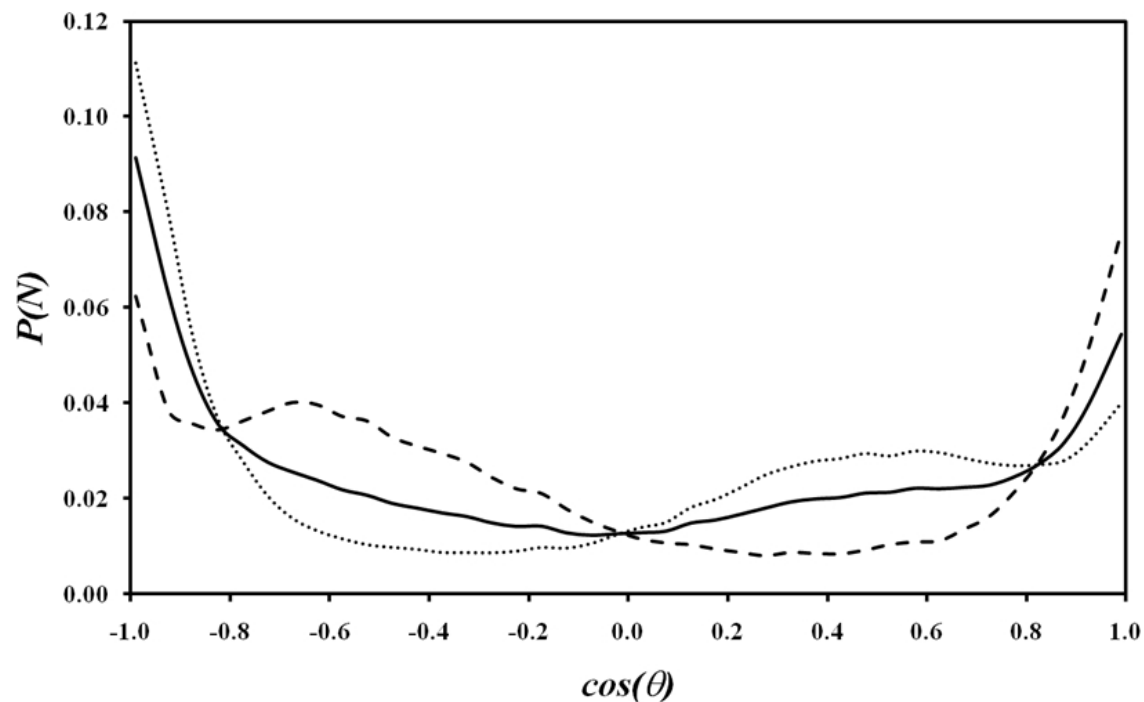
## Geometry of association



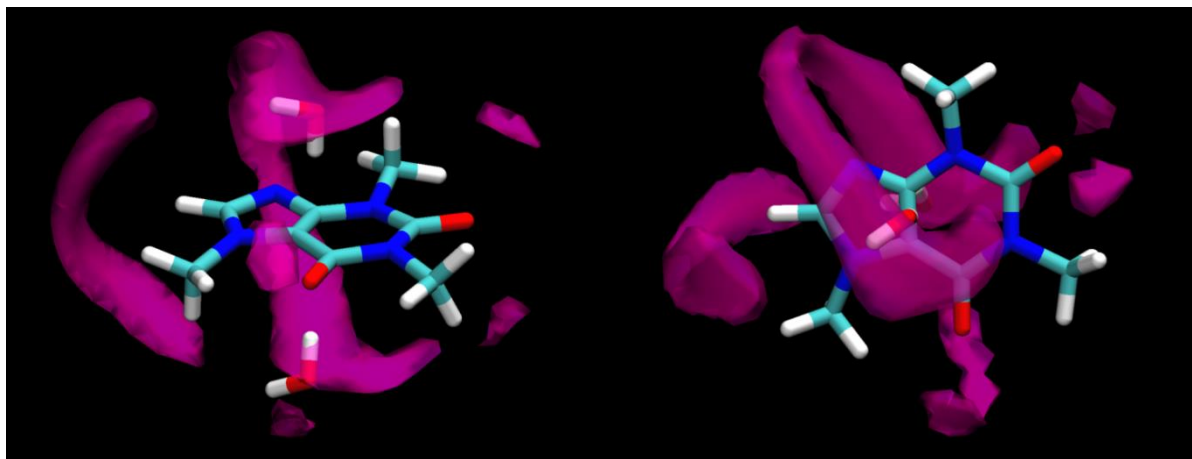
Flipped



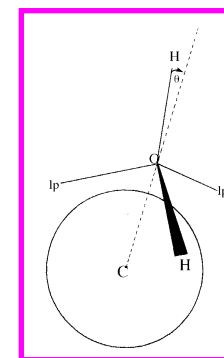
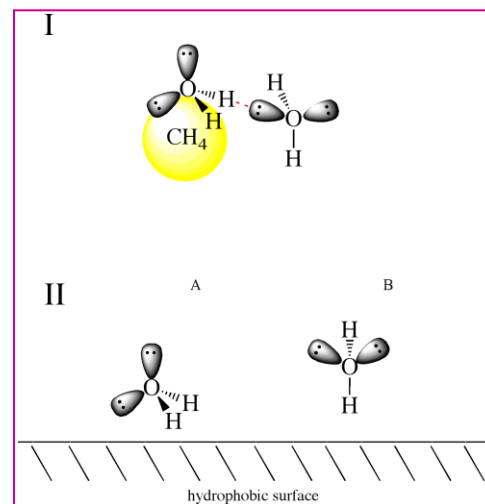
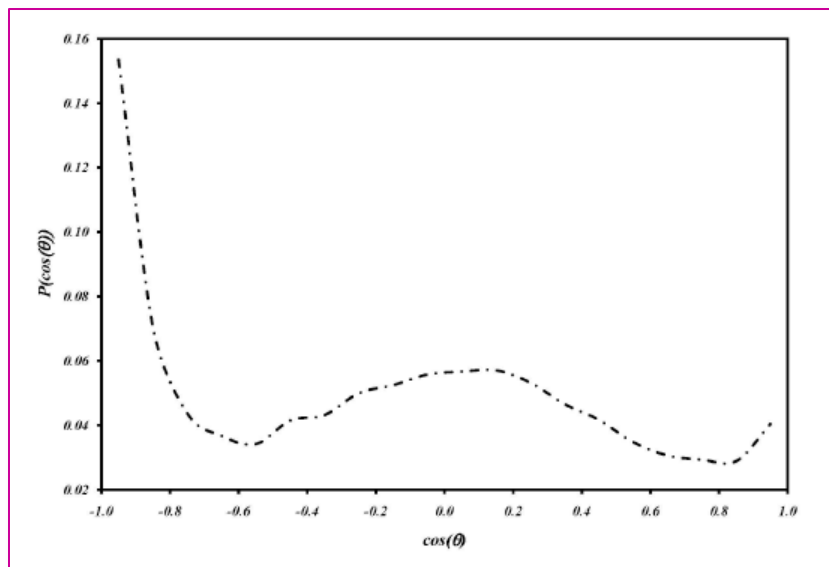
Non-flipped



# Role of hydration

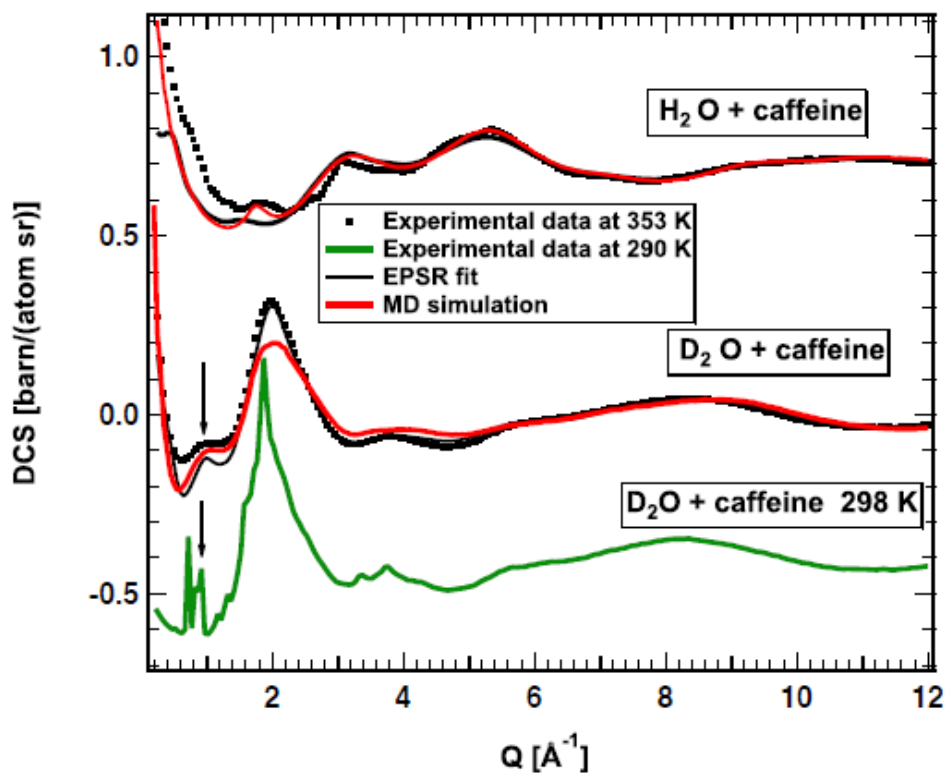


Probability of observing an angle  $\cos \theta$  between the water bond vectors and the normal to the caffeine surface plane



# Caffeine hydration

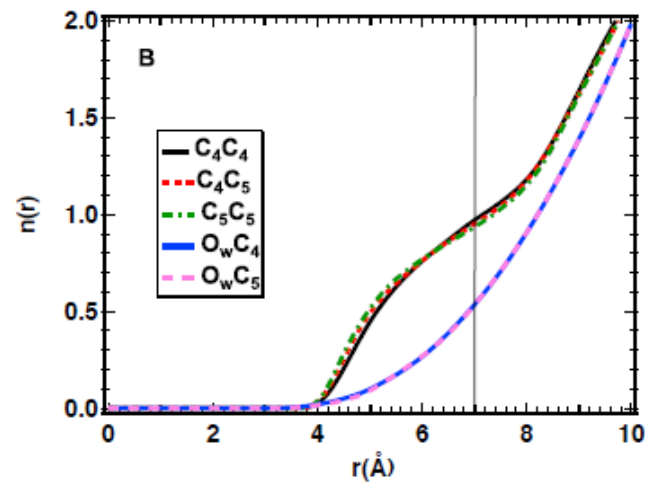
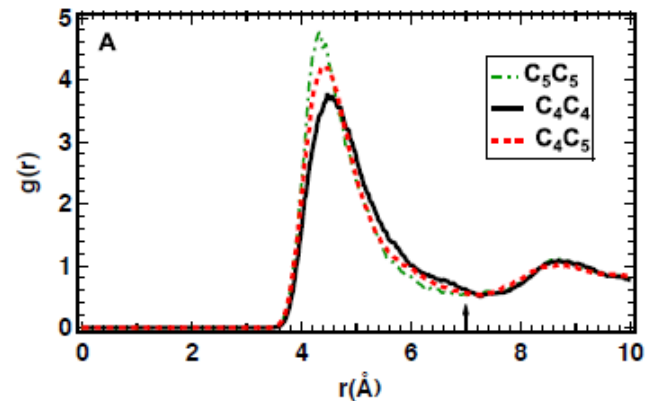
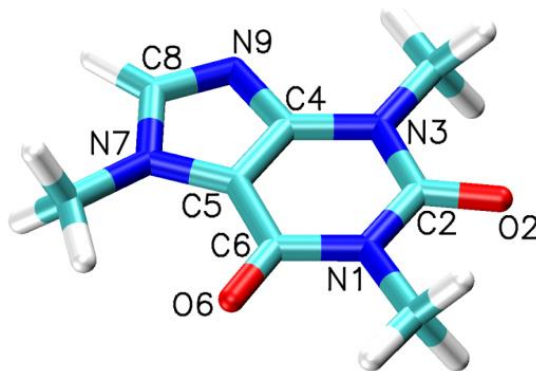
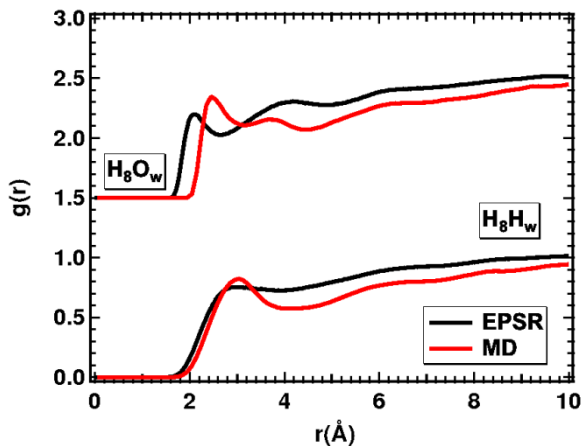
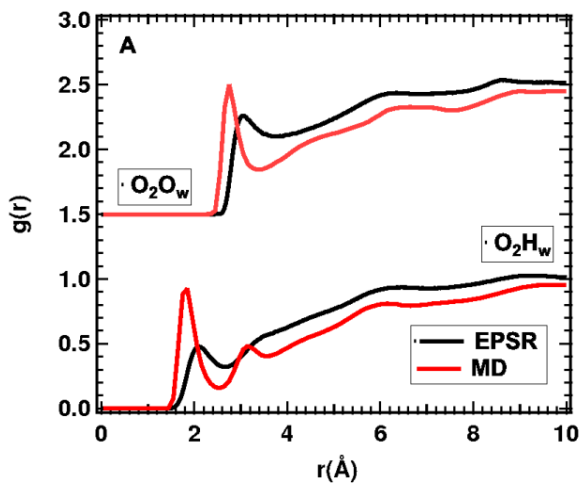
## Neutron diffraction with isotopic substitution experiments



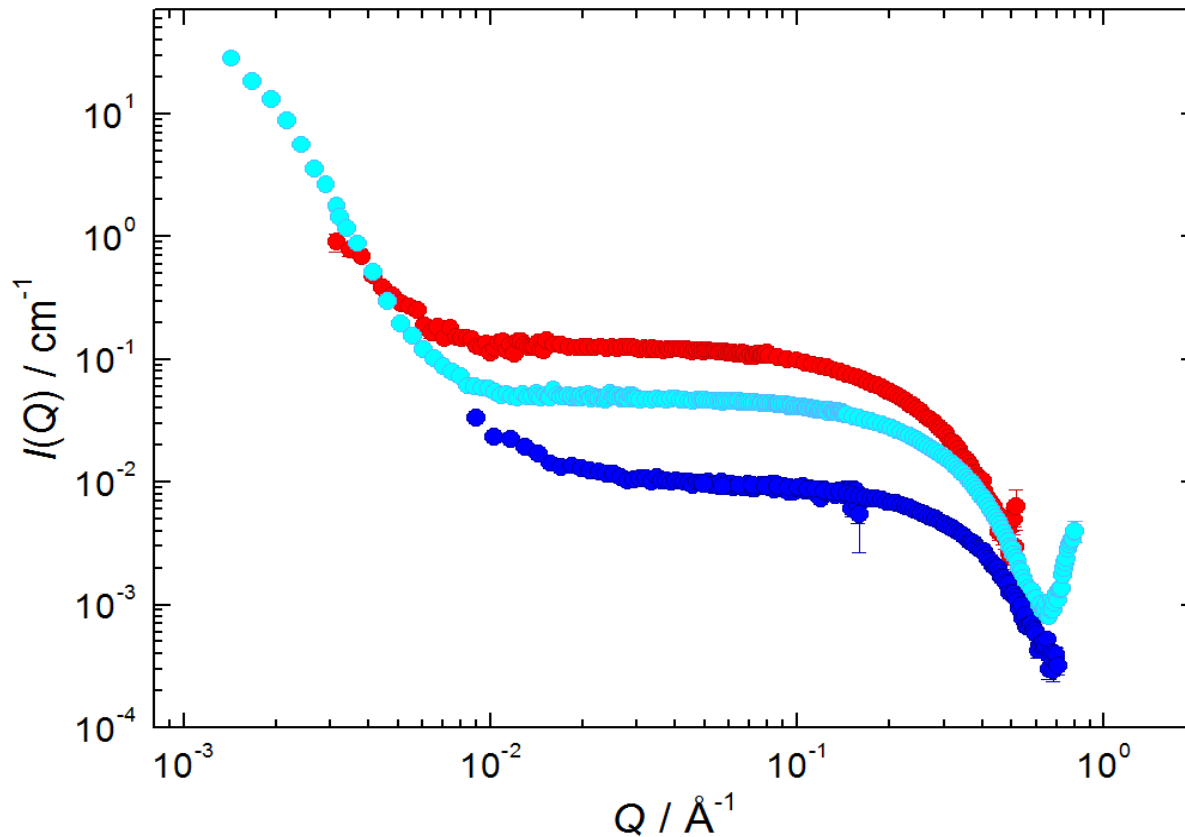
1 m caffeine aqueous solution  
H<sub>2</sub>O/D<sub>2</sub>O/HDO  
at 80°C

SANDALS diffractometer  
at the ISIS spallation neutron source

# Caffeine hydration



# Caffeine self-association

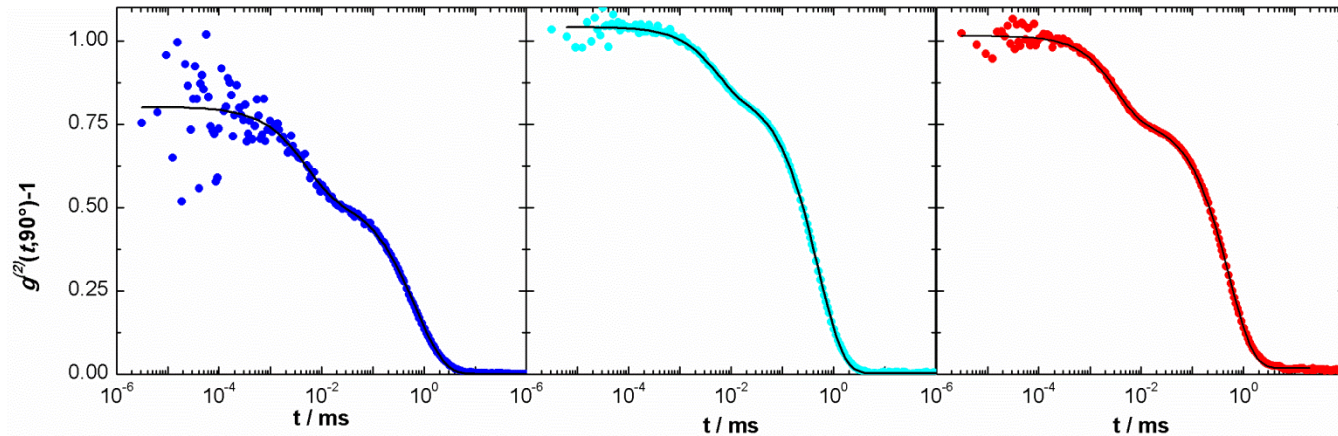


0.1m @ 25°C  
0.4m @ 45°C  
1.0m @ 80°C

SANS experiments  
D11 and D22 diffractometers at ILL

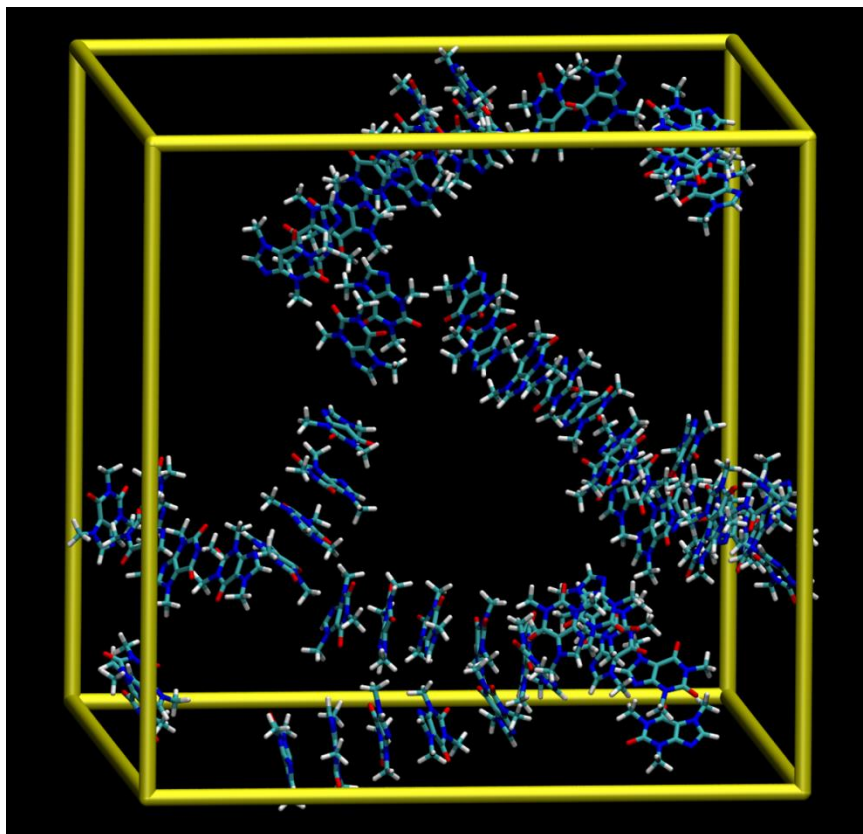
# Caffeine self-association

Small particles in solution whose size is increasing with concentration and temperature coexistent with larger structures



	T (°C)	$\langle R_h^{(1)} \rangle$ (Å)	$\langle R_h^{(2)} \rangle$ (Å)	$R_g^{(1)}$ (Å)	$R_g^{(2)}$ (Å)	$\frac{R_g^{(1)}}{\langle R_h^{(1)} \rangle}$	$\frac{R_g^{(2)}}{\langle R_h^{(2)} \rangle}$
		DLS	DLS	SANS	SLS		
<b>0.1 m</b>	25	$3.8 \pm 0.5$	$1100 \pm 200$	$5.1 \pm 0.1$	$1600 \pm 100$	$1.3 \pm 0.2$	$1.5 \pm 0.4$
<b>0.4 m</b>	43	$6.3 \pm 0.1$	$1380 \pm 40$	$6.1 \pm 0.1$	$1500 \pm 100$	$0.97 \pm 0.03$	$1.1 \pm 0.1$
<b>1.0 m</b>	80	$9.6 \pm 0.2$	$3700 \pm 100$	$8.0 \pm 0.1$	$2500 \pm 200$	$0.83 \pm 0.02$	$0.67 \pm 0.07$

# Caffeine self-association

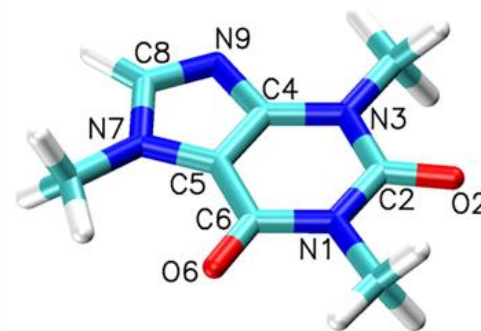
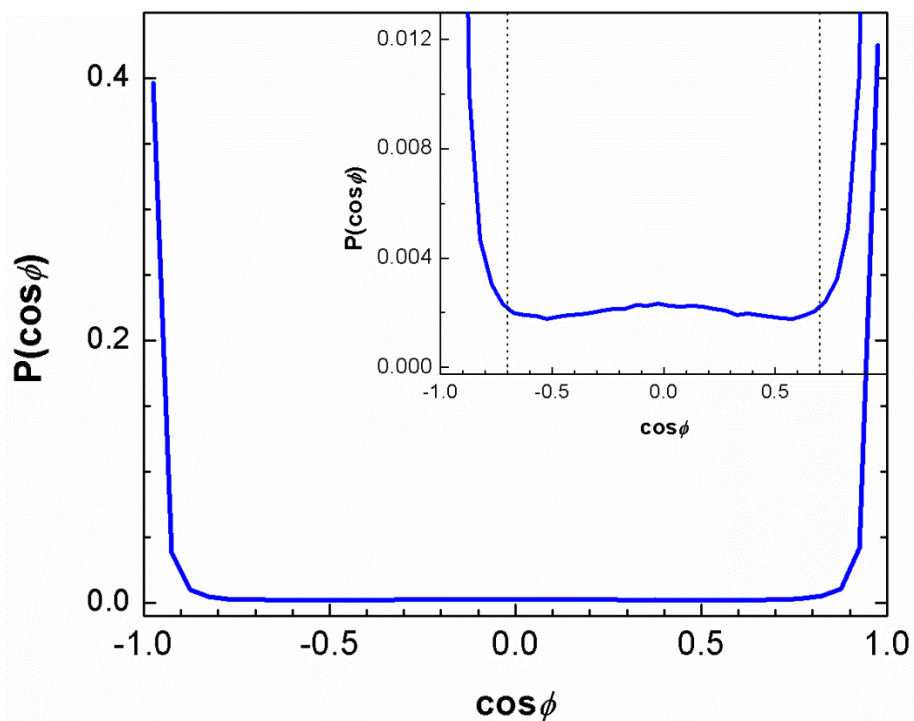


MD simulation 1 m at 80°C

MD clearly shows the presence of *small clusters* and *branched structures*



# Stacked vs branched clusters



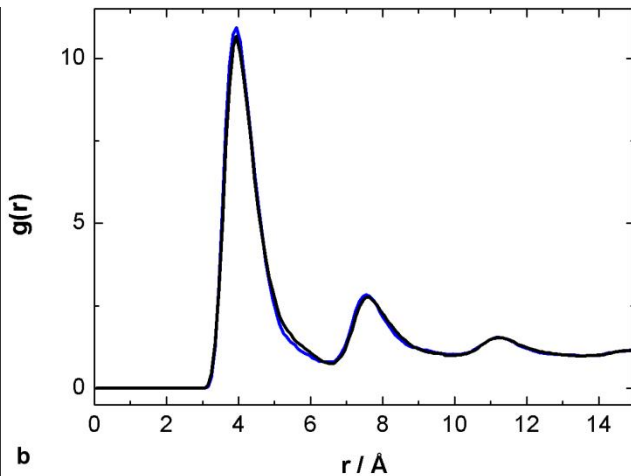
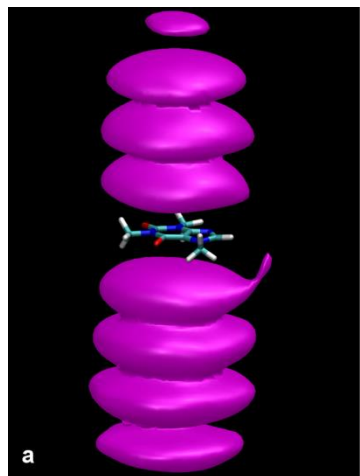
Stacked cluster

$d_{C4-C4} < 6.5 \text{ \AA}$  and  $d_{C5-C5} < 6.5 \text{ \AA}$   
 $\cos \phi < -0.7$  or  $\cos \phi > 0.7$

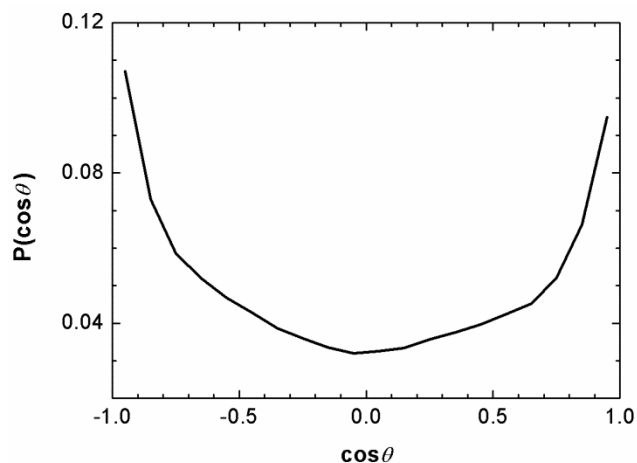
Branched aggregate

$d_{C4-C4} < 6.5 \text{ \AA}$  and  $d_{C5-C5} < 6.5 \text{ \AA}$   
 $-0.7 < \cos \phi < 0.7$

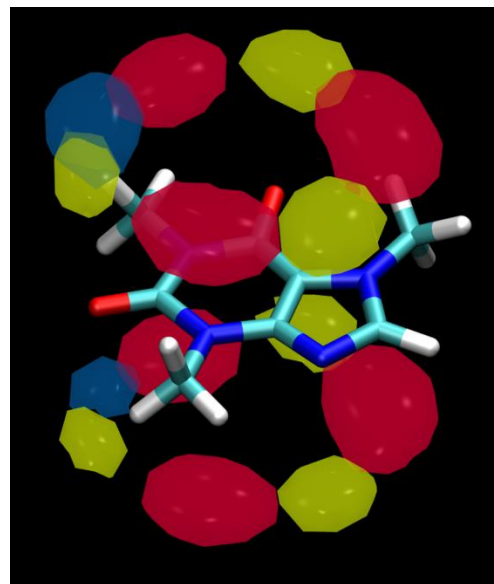
# Stacked clusters



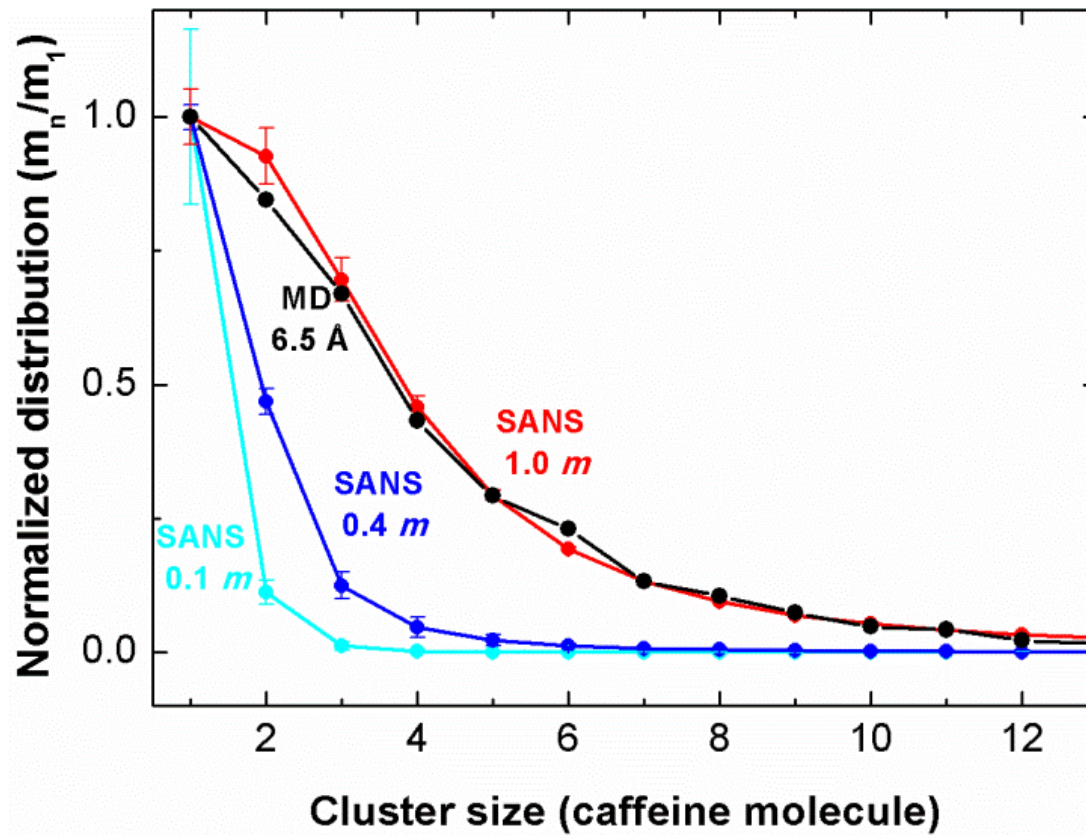
Pair distribution function  
C4-C4  
C5-C5



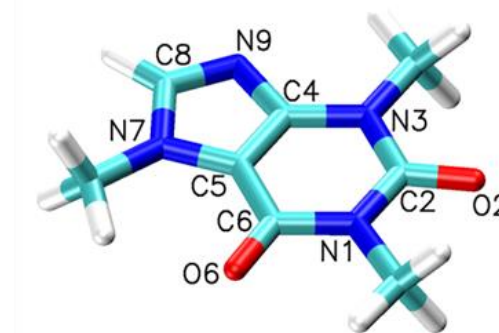
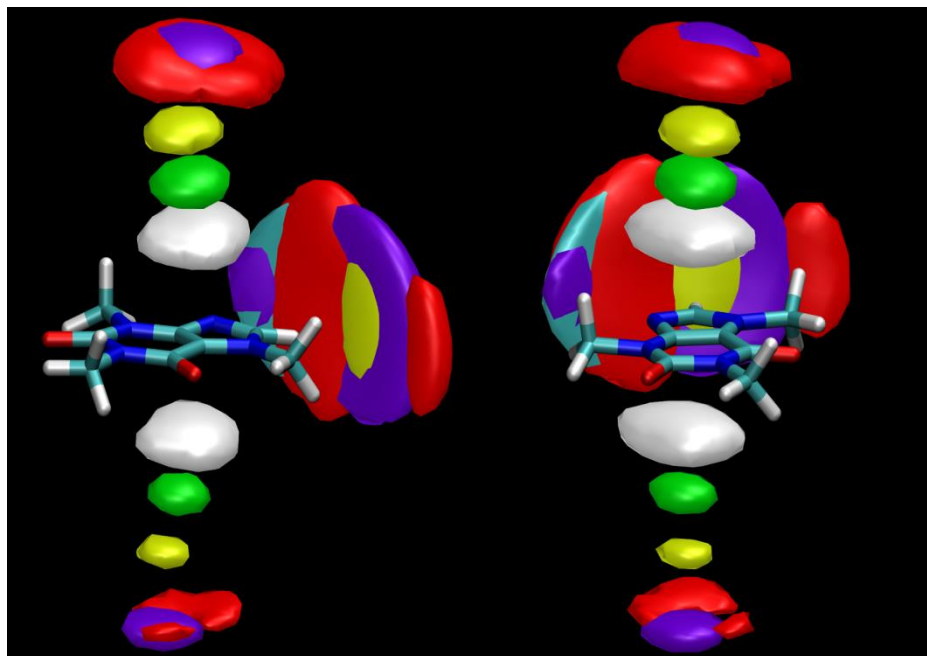
Cosine of the average angle  $\theta$  between two consecutive stacked caffeine dipole vectors



# Stacked clusters

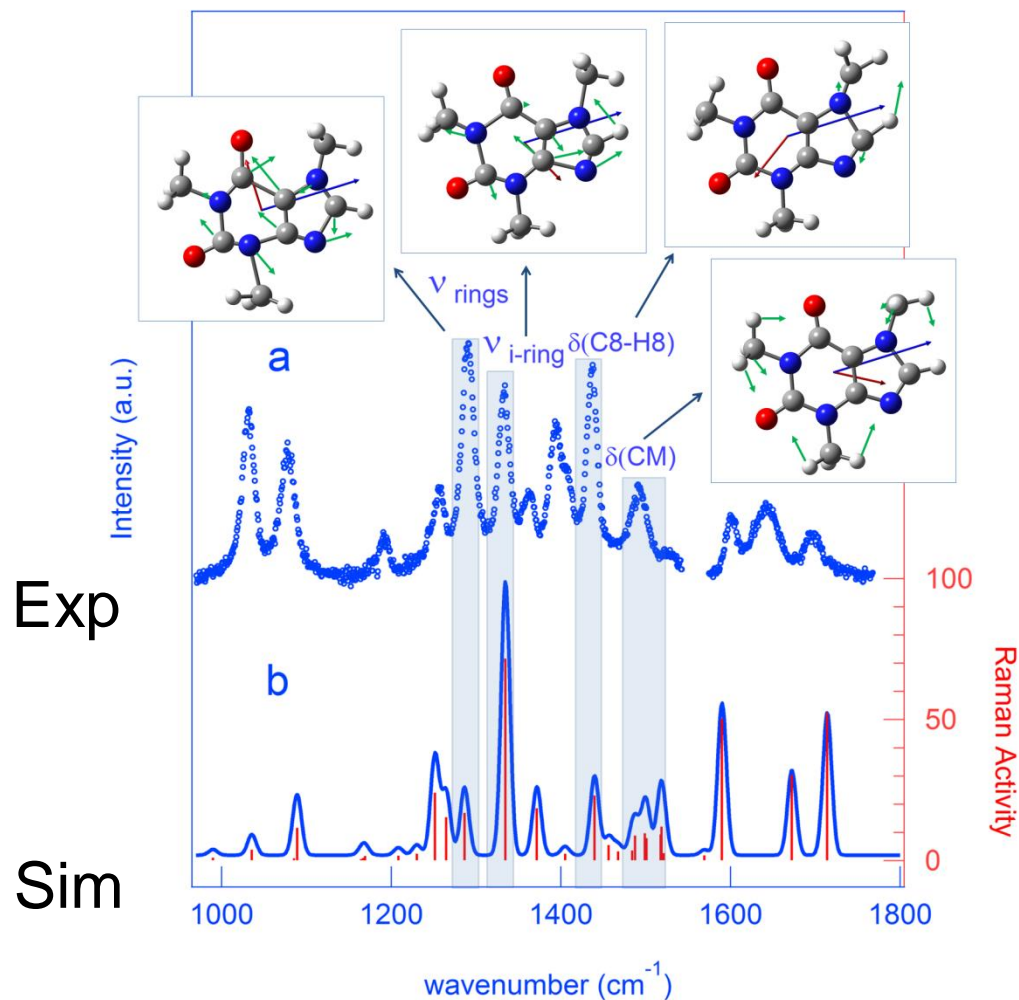


# Branched aggregates



**O2 and O6**  
**C1M, C3M and C7M**  
**C4 and C5**  
**N1 C2 and N3**  
**N7 C8 and N9**  
**H8 white**

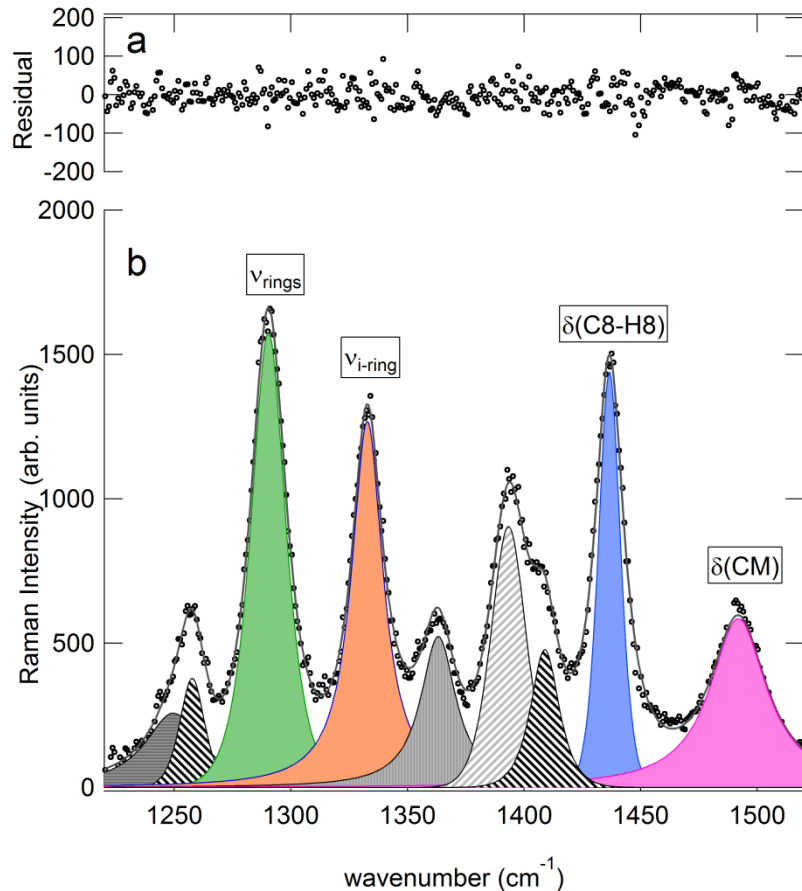
# Caffeine stacking



UV Resonance Raman  
scattering experiments

IUVS beamline Elettra

# Caffeine stacking

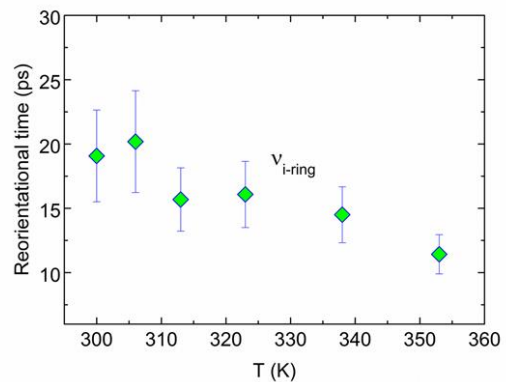
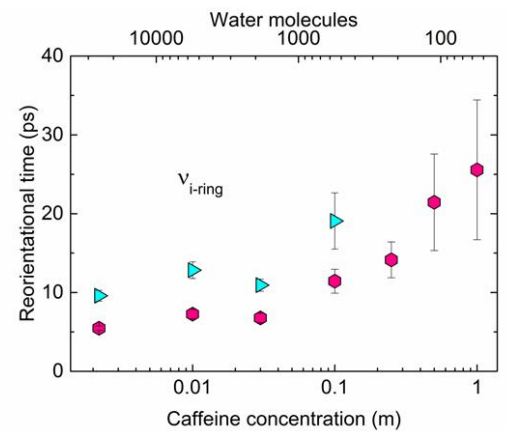
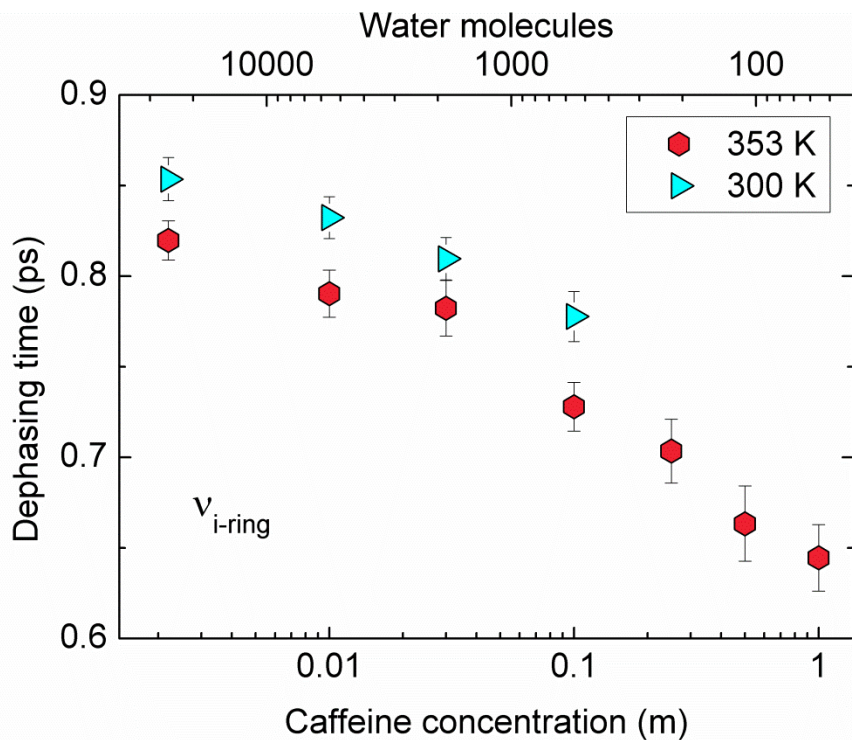


Data were analyzed using the Kubo Anderson framework (KAF).

This model allows the determination of the vibrational dephasing relaxation time and the reorientational relaxation time.

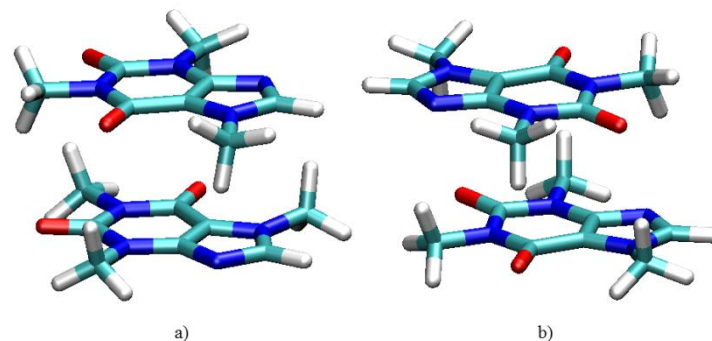
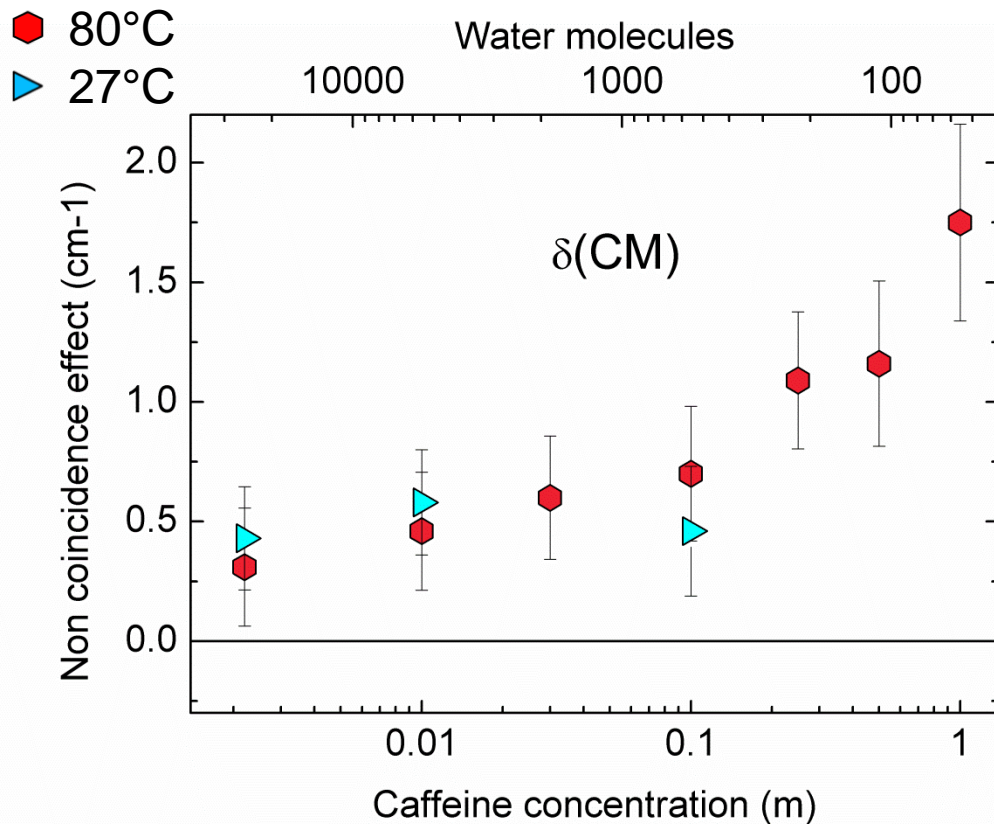
The non coincidence effect was also studied as a function of the temperature and the concentration.

# Caffeine stacking



$E_a \sim 2 \text{ kcal mol}^{-1}$

# Role of dipolar interaction



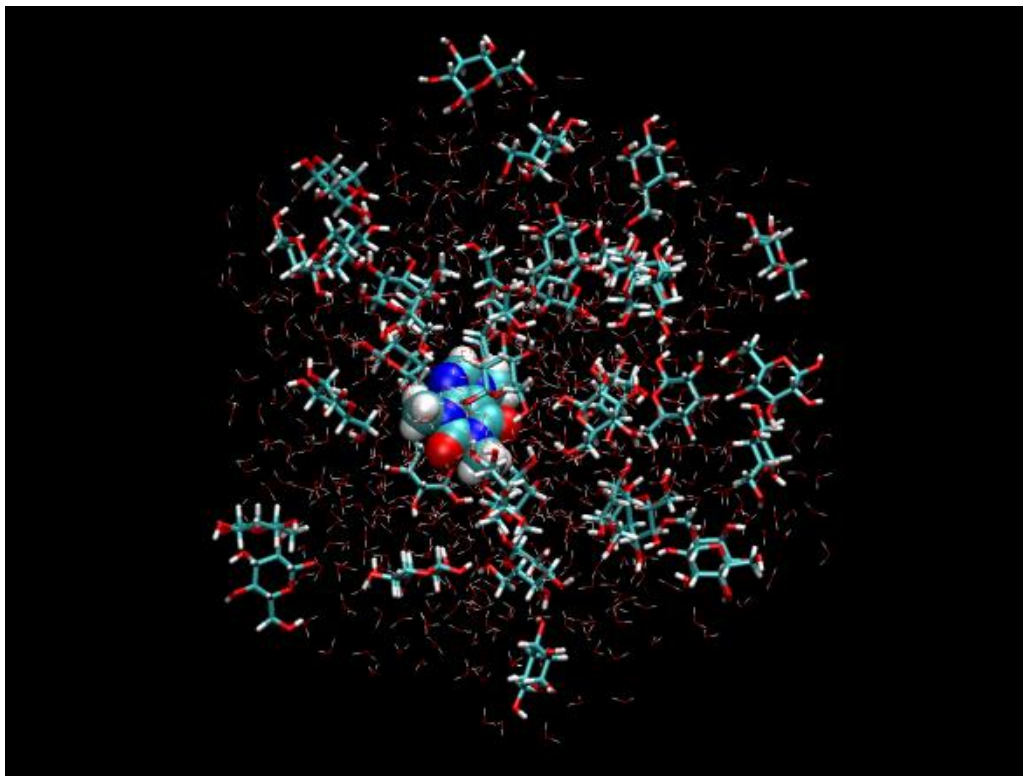
DFT calculations of the isotropic and anisotropic Raman activity of caffeine dimers in parallel and antiparallel stacking show positive NCE

The non coincidence effect, NCE, is defined as the non-coincidence of the position of the maxima of the isotropic and anisotropic Raman components:

$$\Delta\nu_{NCE} = \nu_{aniso} - \nu_{iso}$$



# Heterotactic Interactions

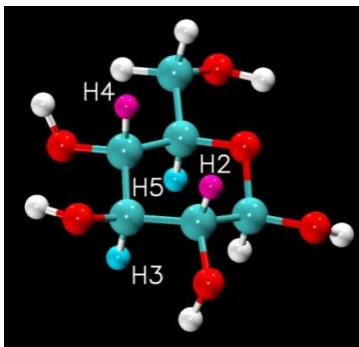


## MD

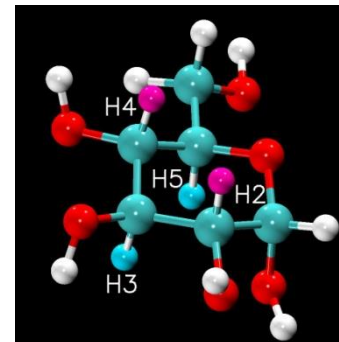
	$\beta$ -gluc.	$\alpha$ -gluc.	Sucr.	Sorb
N° caffeine molec.	1	1	1	1
N° sugar molec.	36	36	13	13
N° water molec.	667	667	666	666
Box size [Å]	30.03	30.03	29.26	28.4
Caff. conc. / m	0.083	0.083	0.083	0.083
Sug. conc. / m	3.0	3.0	1.08	1.08
Time [ns]	80	80	100	80

## $^1\text{H-NMR}$ titration exp.

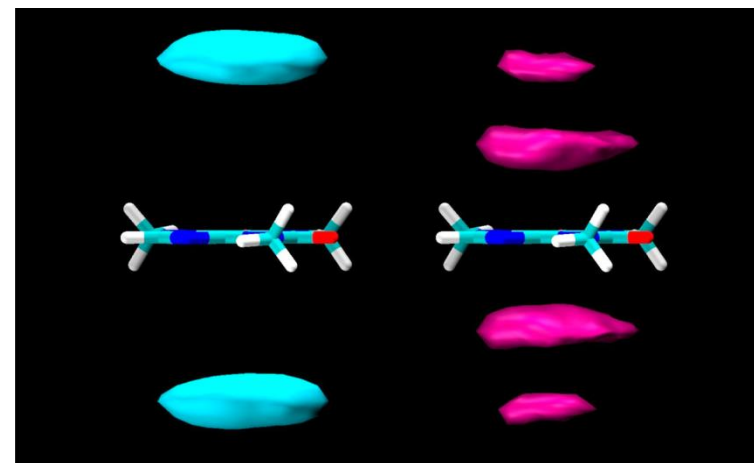
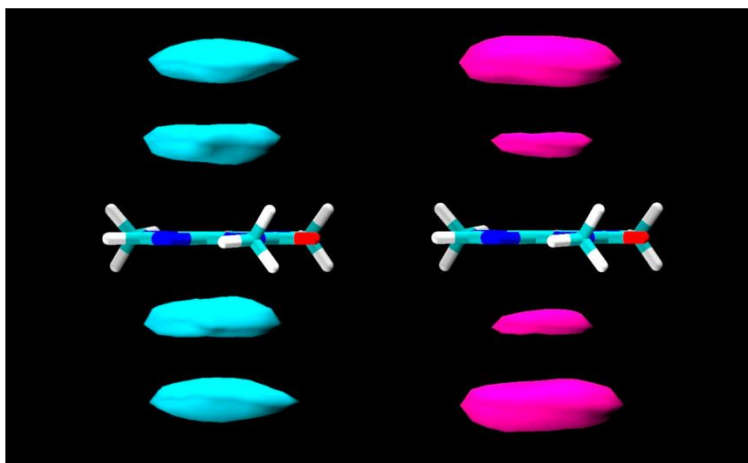
# Caffeine – Glucose Interaction



$\beta$ -D-glucopyranose



$\alpha$ -D-glucopyranose

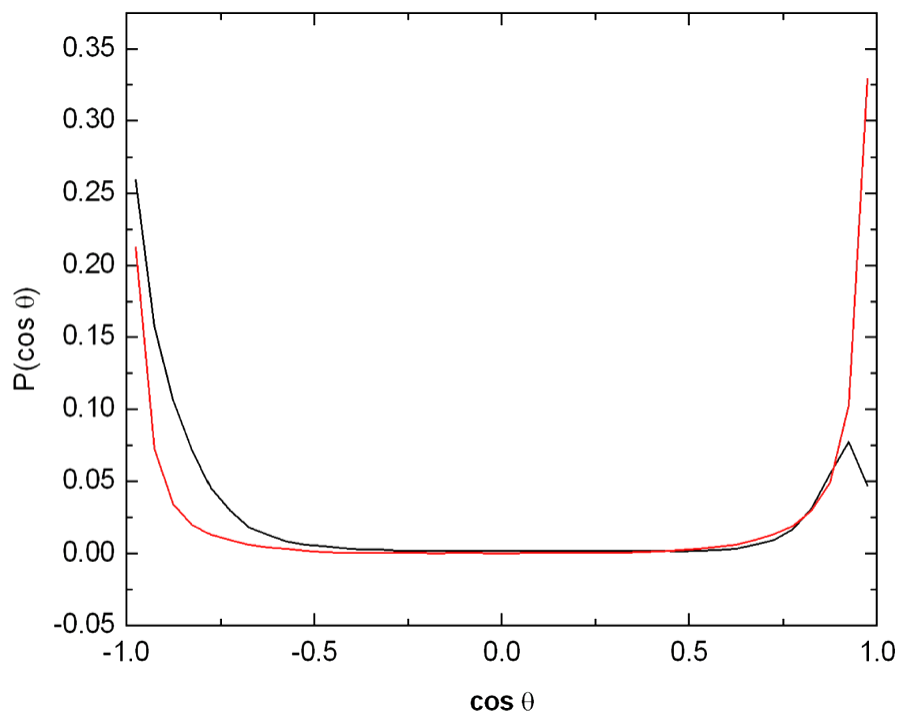
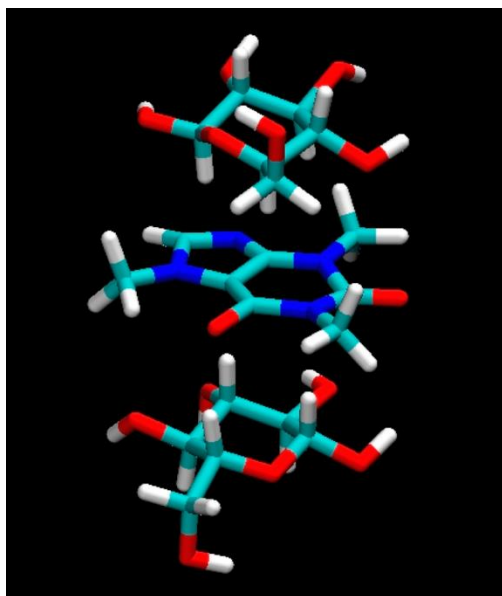


Protons **H3 H5** - Protons **H2 H4**

The contours enclose regions with proton density 3 times those of the bulk solution.

# Caffeine – Glucose Interaction

Probability of the cosine of the angle between the normal vector to the caffeine plane and the normal vector to the glucose plane



Protons **H3 H5**  
pointing away from the  
caffeine plane

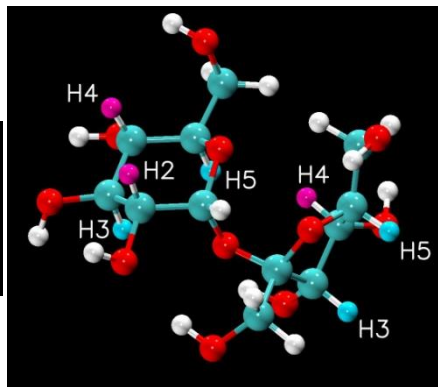
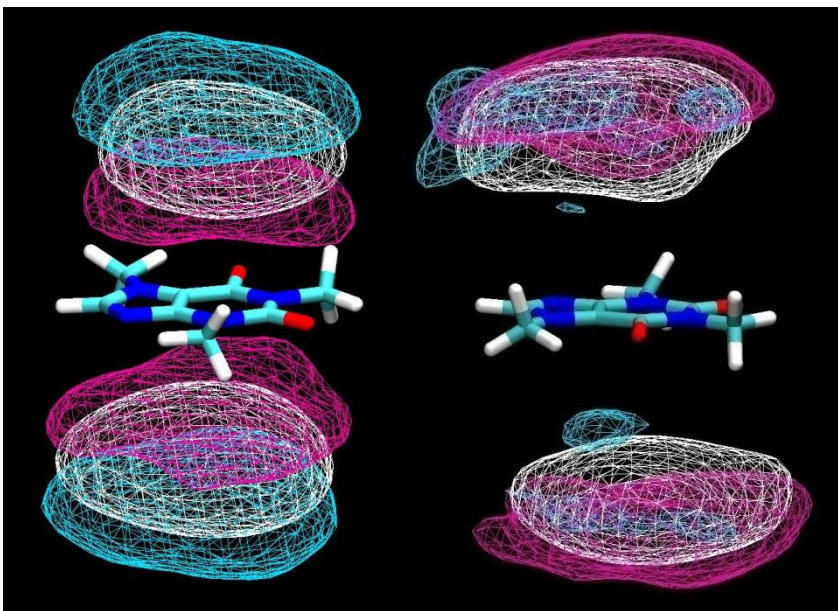
**α anomer** **β anomer**

Protons **H3 H5**  
pointing toward the  
caffeine plane

# Caffeine – Sucrose Interaction

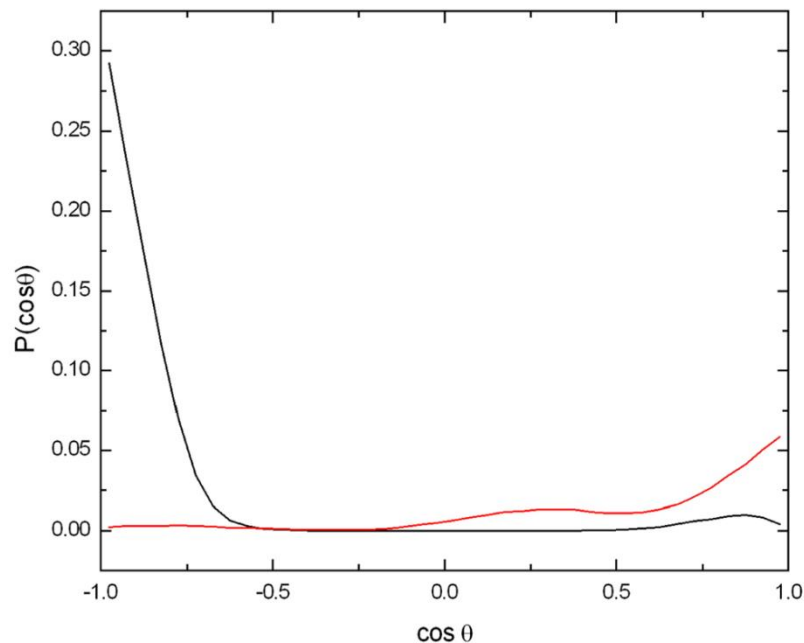
Glucose

Fructose



H3 H5  
RING  
H2 H4

Probability of the cosine of the angle between the normal vector to the caffeine plane and the normal vector to the sucrose monomer plane

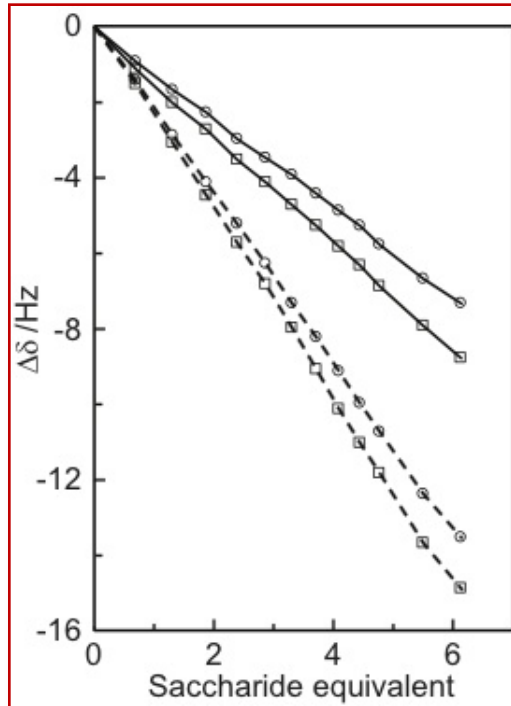


Protons H2 H4 pointing toward the caffeine plane

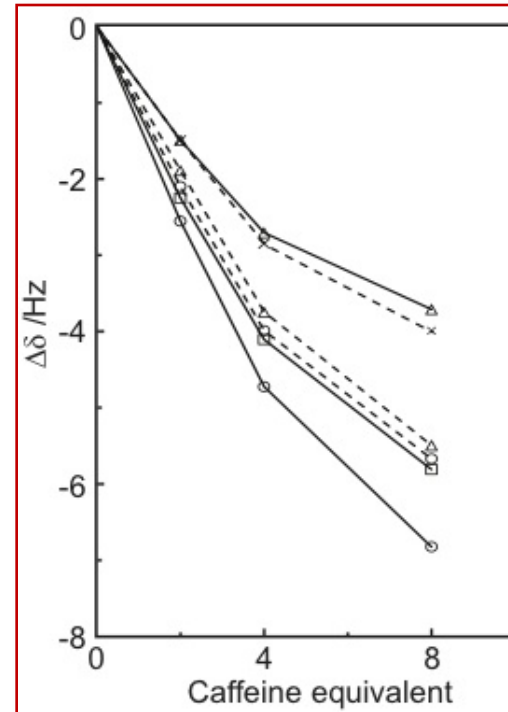
Protons H2 H4 pointing away from the caffeine plane

# NMR titration experiments

1



2



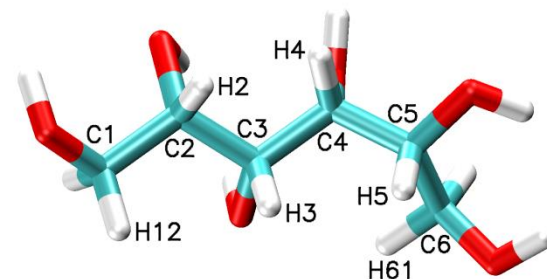
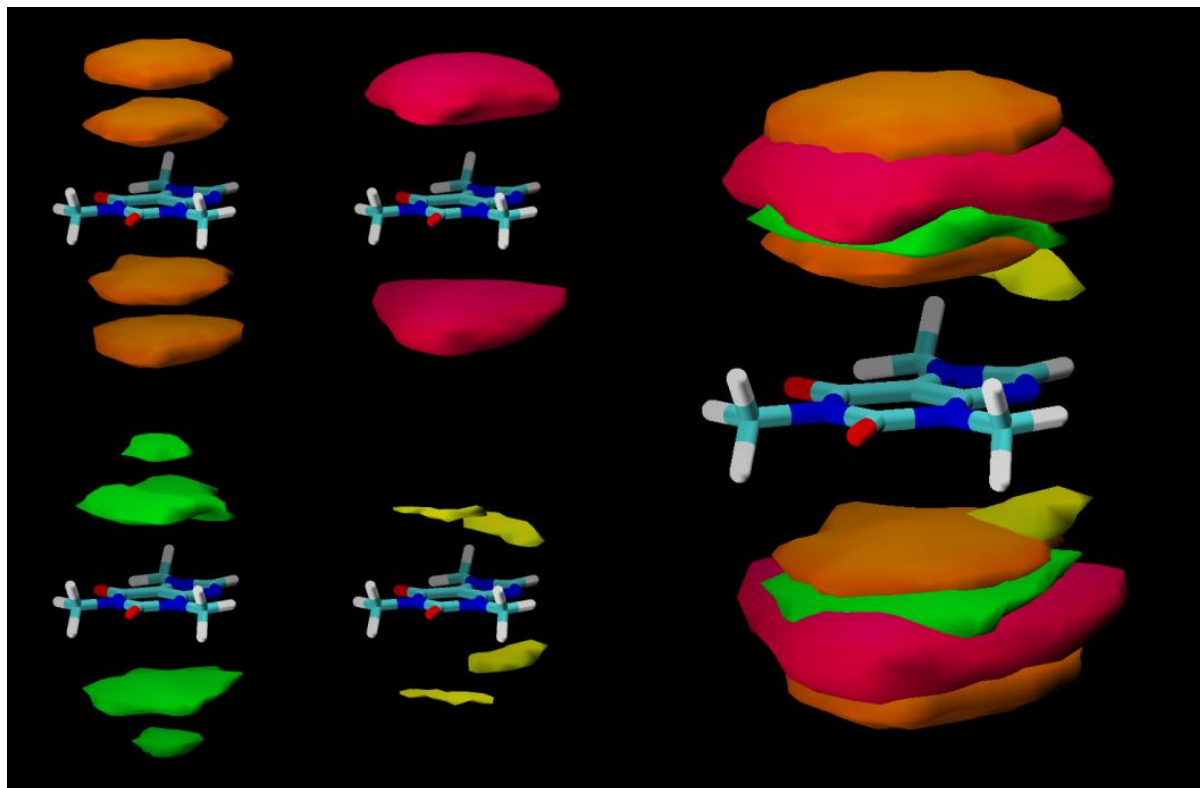
1

$^1\text{H}$  NMR chemical shift changes of protons H8 (○) and Me1 (□) upon addition of D-glucose (solid lines) or sucrose (dashed lines).

2

$^1\text{H}$  NMR chemical shift changes of sucrose protons upon addition of caffeine for H1g (○), H2g (□) and H3g (Δ) of the glucose residue (solid lines) and for H1f (○), H3f (D) and H4f (x) of the fructose residue (dashed lines).

# Caffeine – Sorbitol interaction

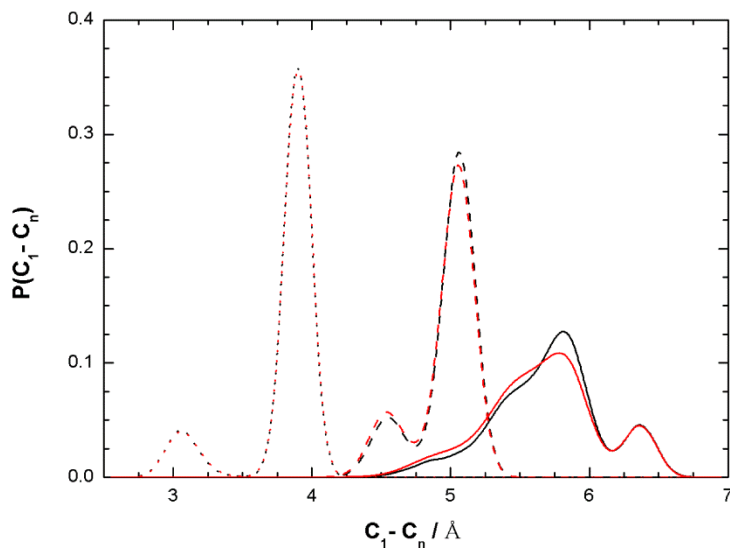


Density maps calculated for the individual sorbitol atoms

Orange: aliphatic protons  
Red: carbon atoms  
Green: oxygen atoms  
Yellow: hydroxyl protons

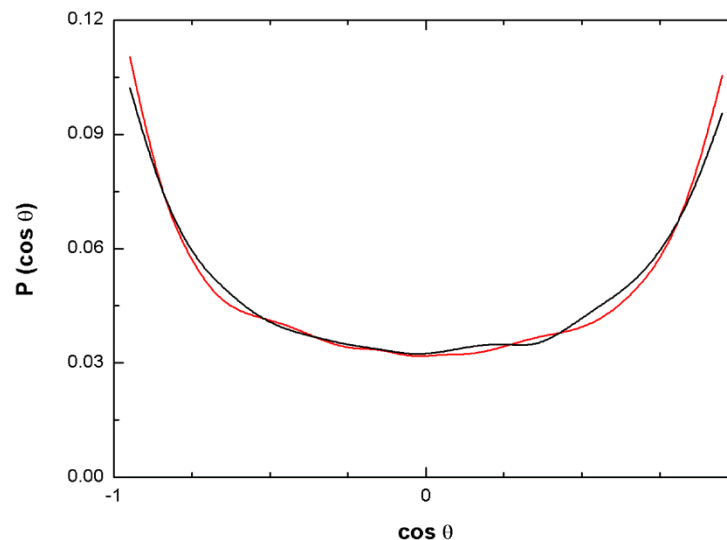
# Caffeine – Sorbitol interaction

Probability of the distance between the C1 and C<sub>n</sub> atom positions



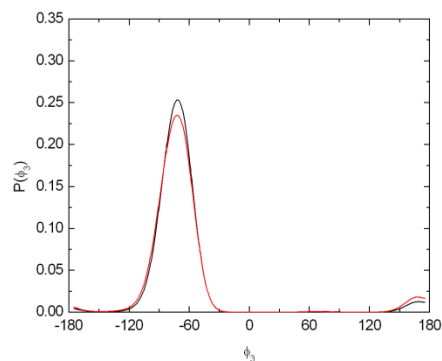
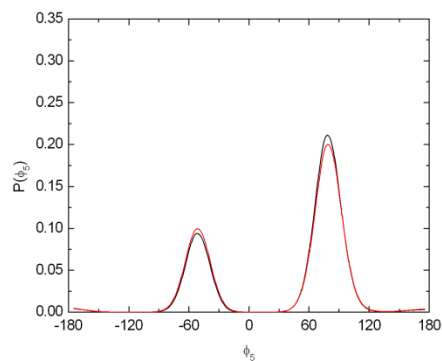
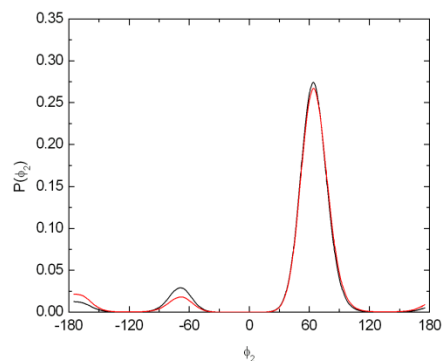
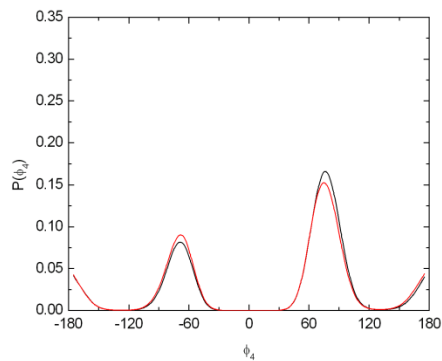
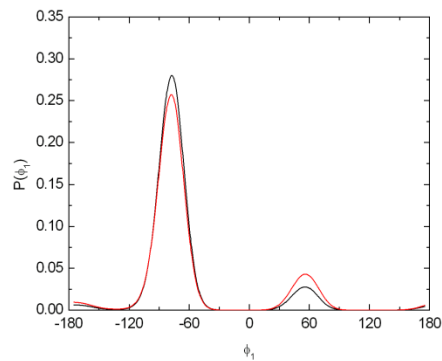
Black: bound sorbitol molecules  
Red: free sorbitol molecules  
Dotted lines: atoms C1-C4  
Dashed lines: atoms C1-C5  
Solid lines: atoms C1-C6

Probability of the cosine of the angle between the dipole moment vector of the caffeine molecule and the bound sorbitol chain vector

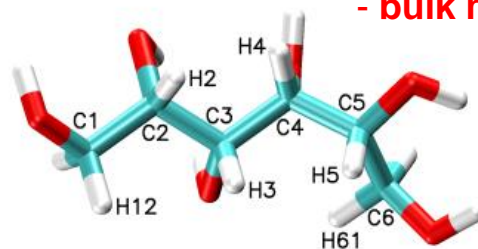


Black: C1 C4 atom positions  
Red: C1 C5 atom positions

# Caffeine – Sorbitol interaction



- interacting molecules  
- bulk molecules





# Summary

- ✓ **MD simulations complementary to different experimental approaches allowed to characterize the hydration and association properties of a food biomolecule**
- ✓ **Caffeine self-aggregation promotes the formation of two types of clusters: linear aggregates of stacked molecules and disordered branched aggregates.**
- ✓ **The water structuring explains the caffeine enthalpy-driven hydrophobic association.**
- ✓ **Dipolar interactions play an important role in the formation of caffeine aggregates.**
- ✓ **Caffeine weakly binds sugars by face-to-face stacking**

# Acknowledgements



Attilio Cesàro  
Silvia Di Fonzo  
Francesco D'Amico  
Claudio Masciovecchio



Elettra Sincrotrone Trieste

Yuri Gerelli



Marie-Louise Saboungi



Philip E. Mason  
John W. Brady

