



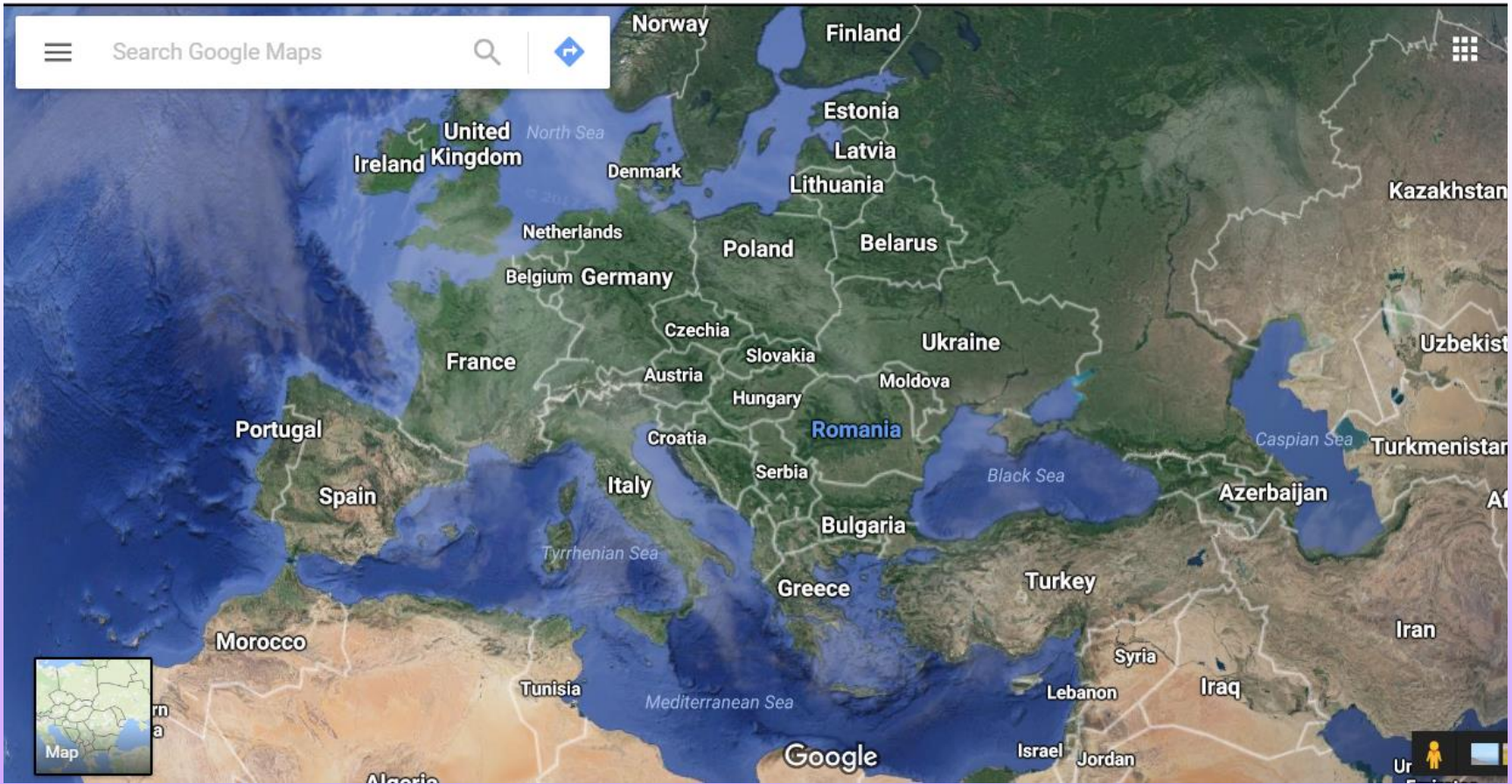
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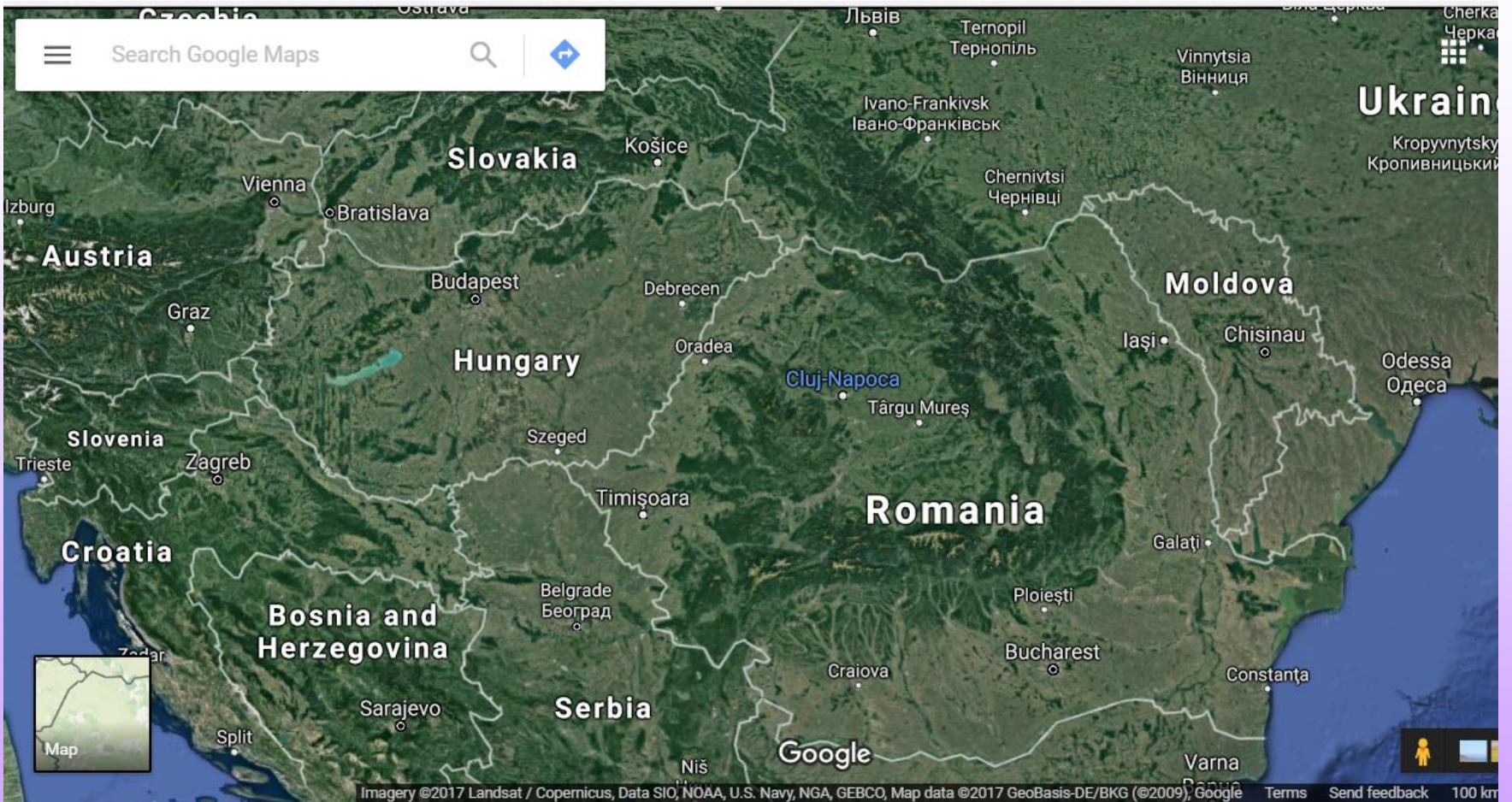
# **Optical Lithography: basics and practice**

**Dr. Nicoleta Tosa**

**National institute for Research and Development of Isotopic and Molecular Technologies**

**Winter College on Optics, 13-24 February, ICTP, Trieste, Italy**





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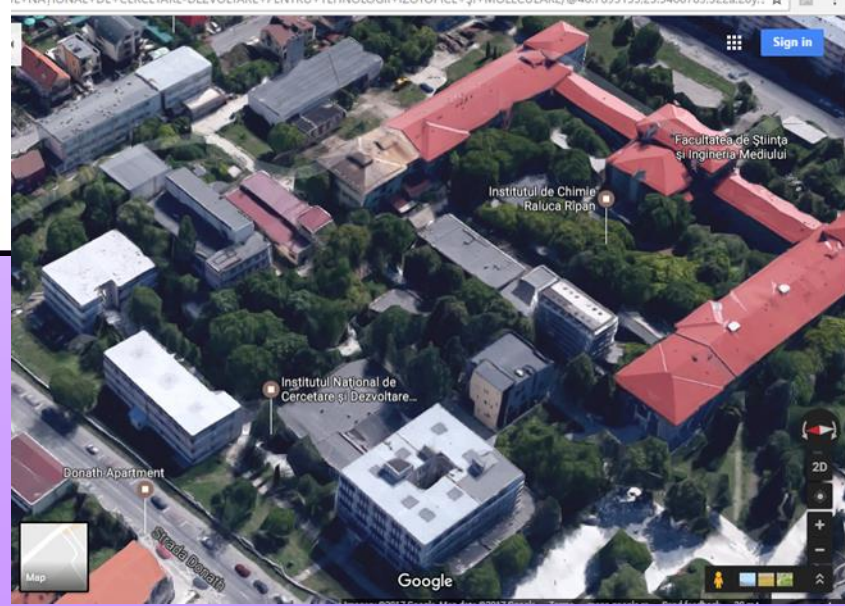
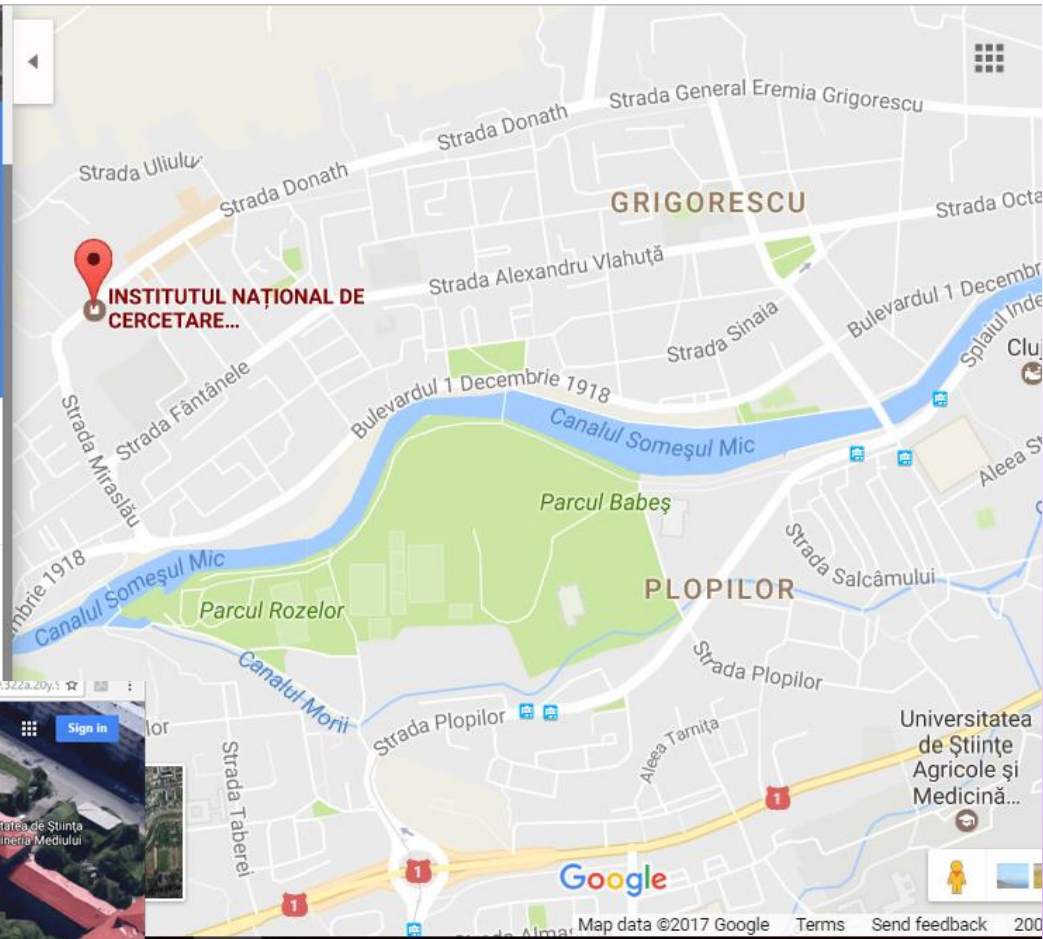
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**Mass Spectrometry, Chromatography  
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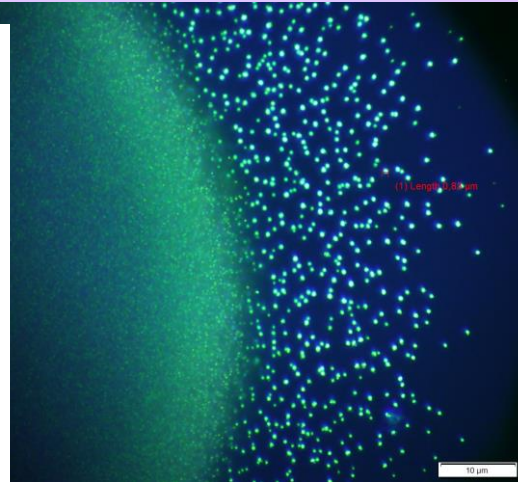
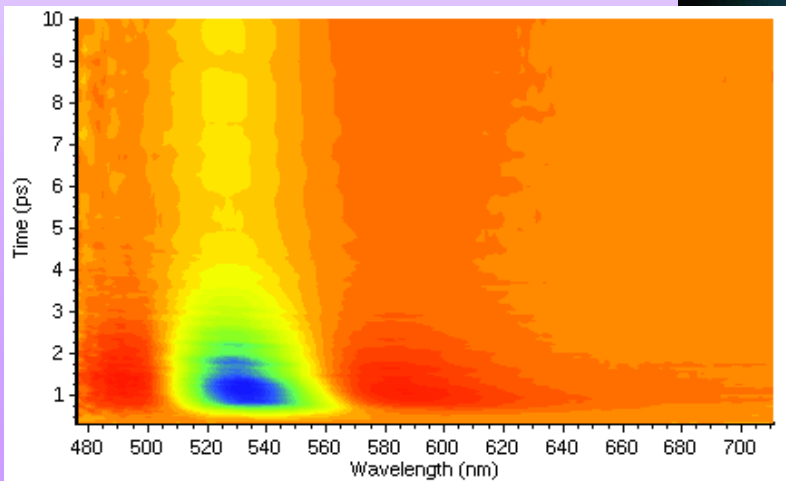
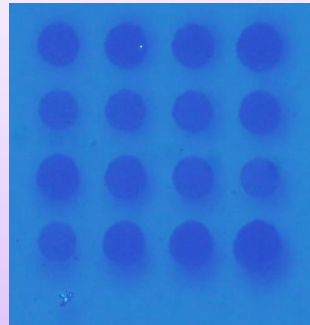
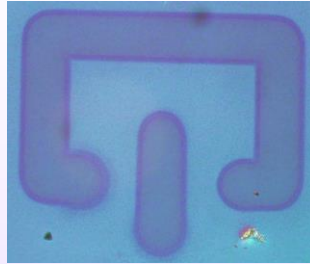
**Physics of Nanostructured Systems**

**Molecular and Biomolecular Physics**

**Isotopic Physics and Technology**

**Center of Research and Advanced Technologies for Alternative  
Energies (CETATEA)**

# Femtosecond Laser Laboratory



# Motivation

## Why optical lithography?

- Large number of applications such as optical limiting and 3D fluorescence imaging
- 3D microfabrication for industry (electronics) and 3D data storage.

## Why metallic micro/nanostructured materials?

- Larger interaction surfaces than a flat surface
- Localized Surface Plasmon Resonance (LSPR)

## Why controlled metallic micro/nanostructured patterns of noble metals?

- Stability of the patterned areas (oxidation proof for gold)
- Tunable sizes and geometries
- Compatibility with biomolecules
- Metallic electrodes for electrochemistry- Interdigitated electrodes with increased sensitivity
- Solid support for SERS detection

# Outline

- Optical lithography: origin and key stages
- Direct laser writing
  - TP-induced polymerization
  - Metallic structuring induced in thin films
- Optical microscopy imaging
- SEM and AFM investigations
- Conclusions



# Origin of Lithography

**Lithography:** from Ancient Greek

λίθος, **lithos**, meaning "stone"

**&**

γράφειν, **graphein**, meaning "to write"

**“to write on a stone”**

# Lithography in Art



**lithos,**

White surface

Limestone

**&**

black surface

**graphein,** to write

**“to write on a stone”**

without carving but etching based on  
**immiscibility of oil and water**

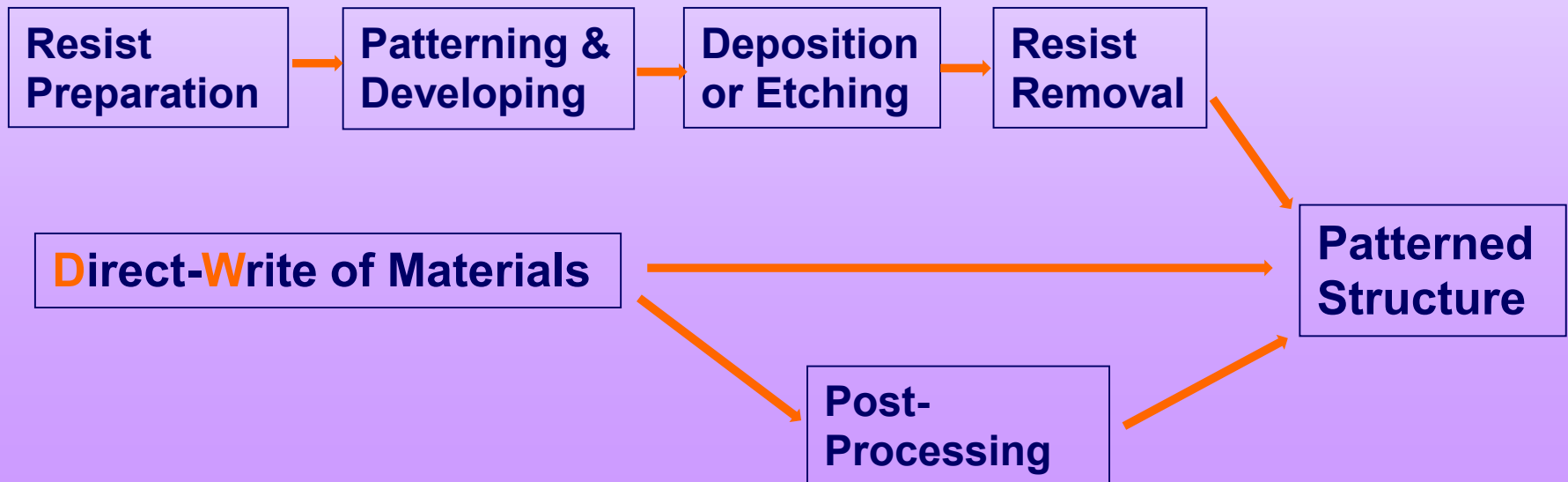
**Inventor: 1796, Alois Senefelder, german author and actor**

# Optical Lithography

“*chisel*” = carving tool

etching process → acid&water system as “chemical *chisel*”

Optical Lithography ← light or laser beam as “optical *chisel*”

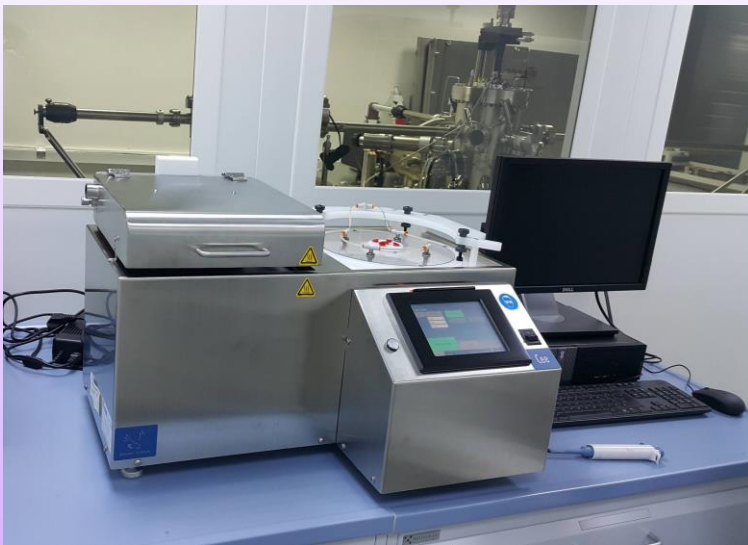


# Optical Lithography Stages

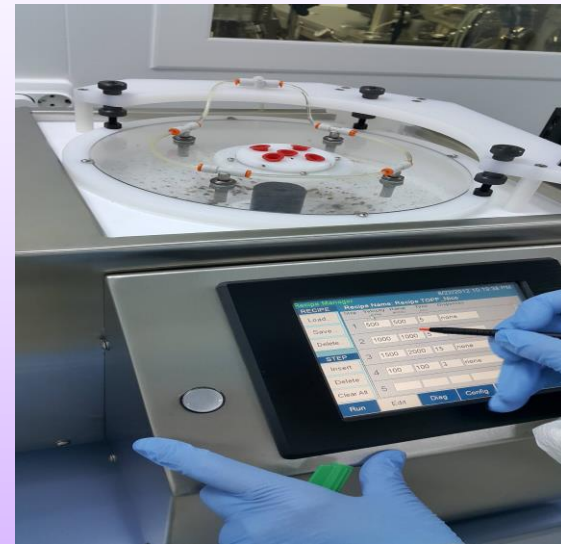
- 1. Substrate preparation – droplet onto the cover plate or thin films**
- 2. Optical lithographic process itself**
- 3. Developing and characterization of patterned structures**

# Thin films preparation by “spin-coating”

Parameters setting : speed, acceleration and time



Spin-coater – general view



Parameters setting recipe

Steps	Speed (rpm)	Acceleration (rpm/s)	Time (s)
1	500	500	5
2	1000	1000	5
3	1500	2000	20
4	500	500	5
5	100	100	5

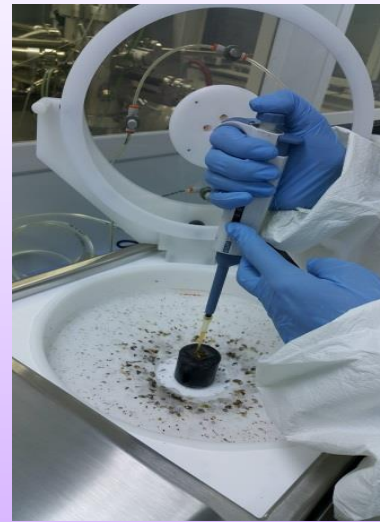
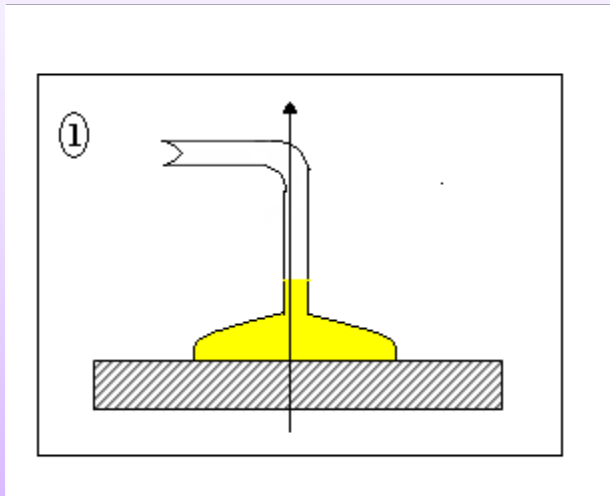
# Thin films preparation by “spin-coating”

Substrate placing on the spin-coater holder & holding the vacuum to fix the substrate



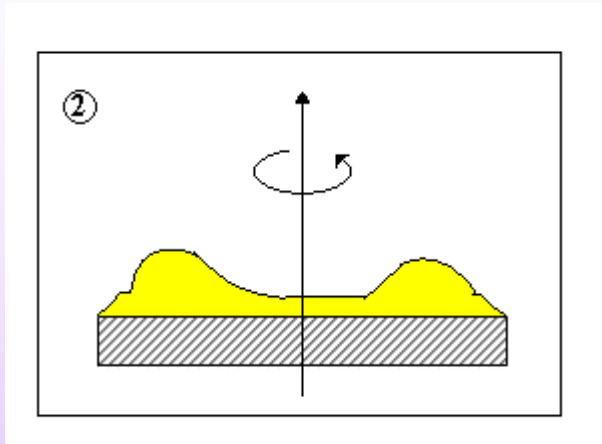
# The Key Stages in Spin-Coating

## Stage 1: The deposition of the coating solution onto the substrate



- pouring out or spraying the coating fluid onto the surface
- homogeneous coating fluids for uniform films
- wettability of the coating fluid related to the surface – complete vs partial covering

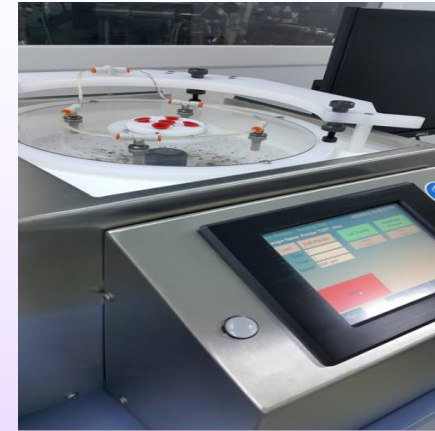
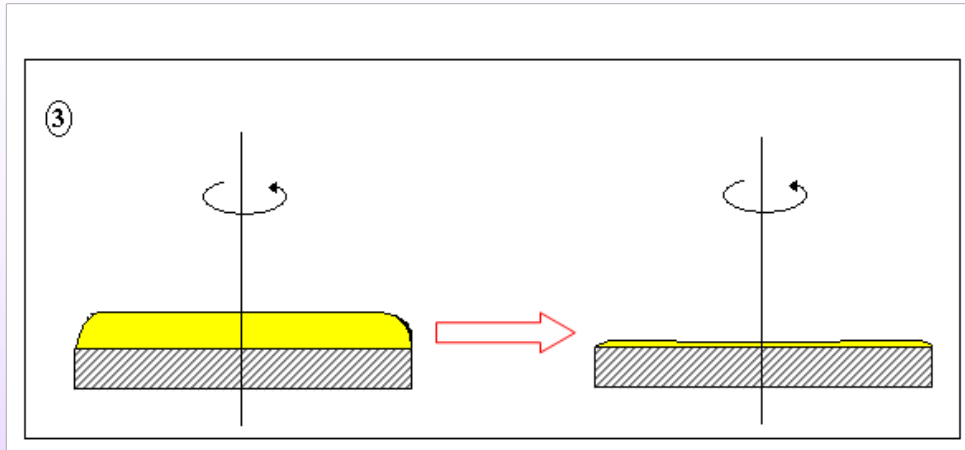
## Stage 2: The solution flowing out under the centrifugal forces action



- massif fluid expulsion from the plate surface by the centrifugal forces during the rotation motion
- appearance of vortexes shortly during the process due to the twisting motion, generated by the top of the layer inertia at faster and faster cover plate rotation
- thin enough fluid layer completely co-rotates with the wafer & no evidence of fluid thickness differences is observed
- the support reaches its desired speed and the fluid is thin enough that the viscous shear drag balances exactly the rotational accelerations.



## Stage 3: The layer spinning at a constant rate and the fluid thinning behaviour induced by fluid viscous forces



- **uniform process** using solutions containing **volatile solvents** which require a **lower centrifugal action speed**
- **the thickness** of the layer is reduced more by the **solvent evaporation**
- the solvent evaporation **increases the viscosity** and **reduces the solvent diffusion** through the film
- equilibrium between **the centrifugal forces**, which push back the liquid outward, and the opposed **viscosity forces**.
- appearance of **the “edge” effect** at the margins of glass cover plate
- the deposition solutions may be considered as being **newtonian liquids** with the viscosity independent on the shearing constraints

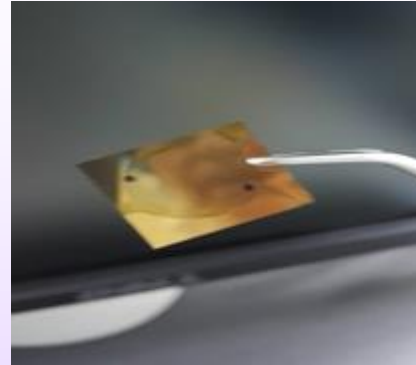
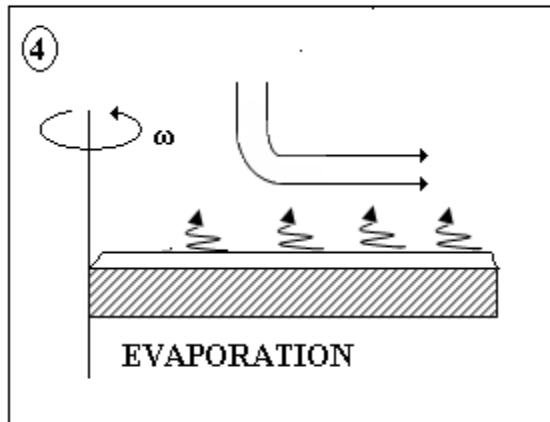
*thickness of the layer* at the end of the process:

$$e = e_0 / (1 + 4 \rho \omega^2 e_0^2 t / 3 \eta)^{1/2}$$

viscosity ( $\eta$ ), the rotation rate ( $\omega$ ), the liquid density ( $\rho$ ), rotation time ( $t$ ) and the initial thickness ( $e_0$ )

- A. Emslie, F. Bonner, L. Peck, “Flow of the viscous liquid on a rotating disk”, *J. Appl. Phys.*, 1958, 29, 858-862.  
D. Meyerhofer, “Characteristics of resist films produced by spinning”, *J. Appl. Phys.*, 1978, 49, 3993-3997.  
D. Bornside, C. Macosko, L. Scriven, “Spin coating: one-dimensional model”, *J. Appl. Phys.*, 1989, 66, 5185-5193.

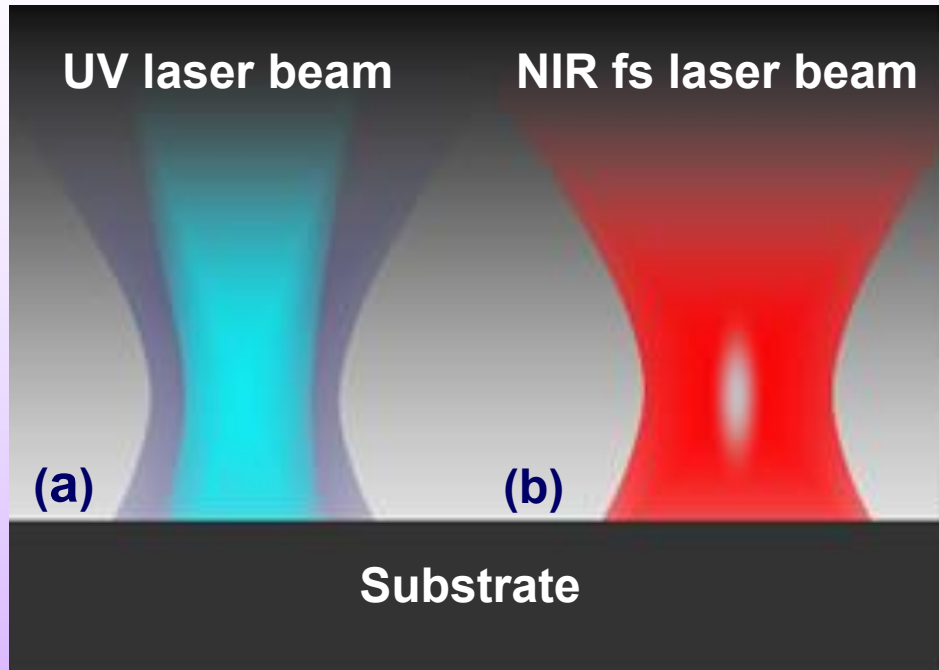
## Stage 4: The layer spinning at a constant rate and the coating thinning behaviour dominated by solvent evaporation



Thin films

- the coating effectively “gels” on the substrate
- the viscosity of the remaining solution will rise likely freezing the coating in place
- *viscous flow and evaporation* must undergo simultaneously throughout the spinning (Stages 3&4)
- *viscous flow effects early dominate on as time* must undergo simultaneously throughout the spinning
- *evaporation processes* dominate later

# Laser Regime for **D**irect **W**riting (**DLW**)



Materials (monomers or oligomers) doped with specific molecules capable to absorb at 1-photon and 2-photon

1-photon  
absorption  
(OPA)

vs

2-photon  
absorption  
(TPA)

Irradiation and photopolymerization of photosensitive substrates by UV (a) and NIR fs (b) laser radiation, respectively.

# 2-Photon Absorption (TPA)

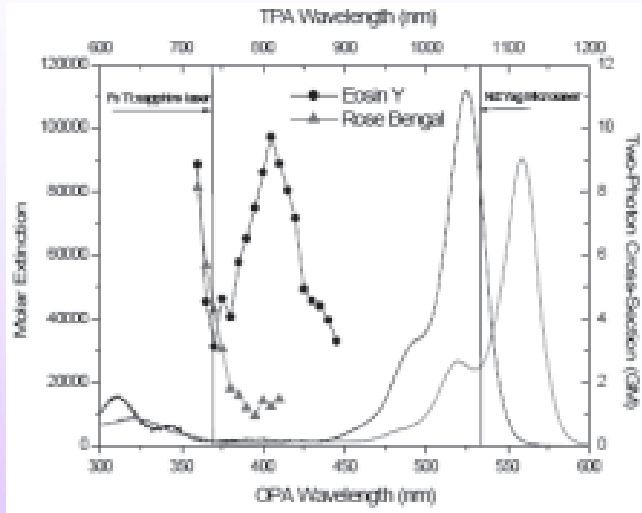
In 1931, M. Göppert-Mayer has theoretically predicted that all non absorbing materials become absorbing by the simultaneous absorption of two photons when they are irradiated by a large density of photons.

At present, this nonlinear absorption can easily be obtained at the focal point of lasers with conjugated organic compounds exhibiting large optical nonlinearities.

Push-pull molecules of type A- $\pi$ -D. D- $\pi$ -D or D- $\pi$ -A- $\pi$ -D type  
A acceptor group and D – donor group  
 $\pi$  - charge transfer system,

# TPA Optical Lithography

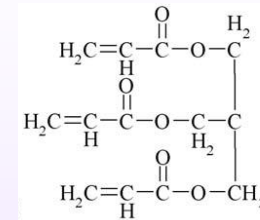
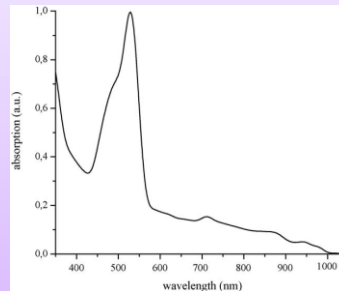
## 2-photon absorption (TPA)



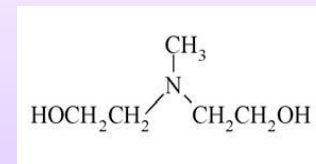
## 1-photon absorption (OPA) in 450-550 nm

situated in the TPA window for laser

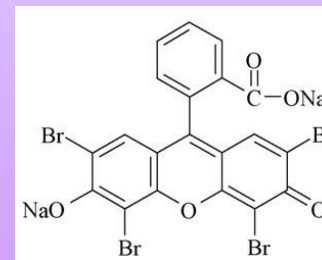
Absorption in the green range due to Eosin Y



Pentaerythritol  
Triacrylate  
(PETIA)  
multifunctional ligand  
(monomer)

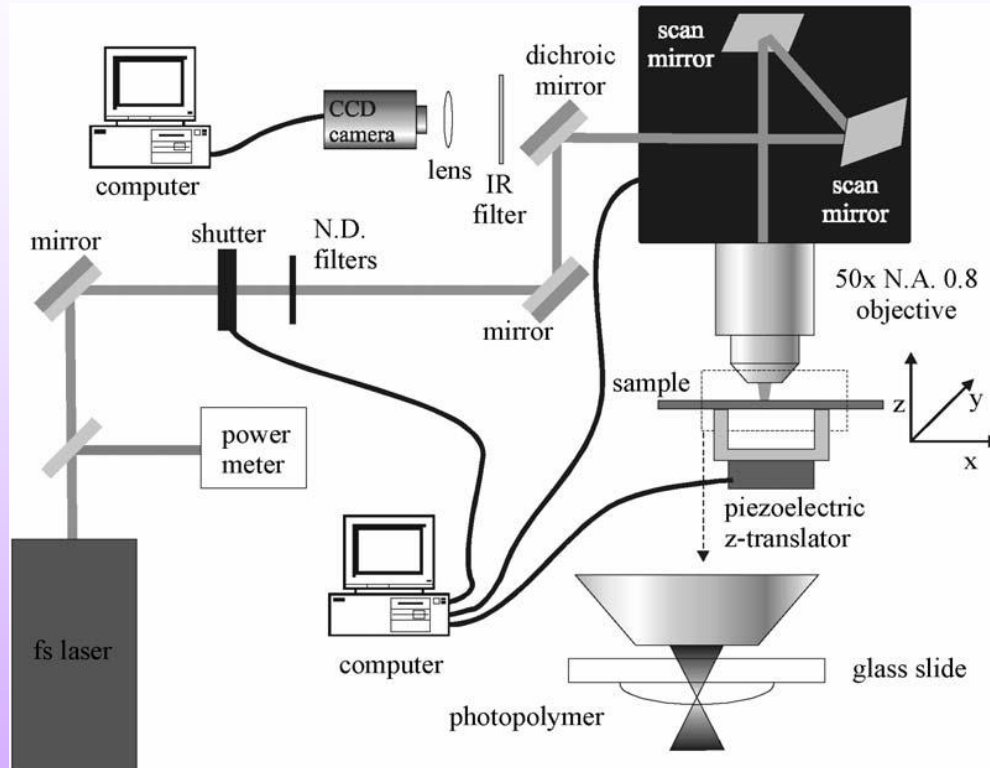


N-methyl  
Diethanolamine  
(MDEA)  
co-initiator amine,  
oxidized by a triplet  
state of the dye



Eosin Y - initiator  
(2, 4, 5, 7-tetrabromo  
fluoresceindisodium salt  
Sensitizer dye – TPA  
absorption in IR

# TPA Optical Lithography



**Experimental set-up**

**1028 nm fs laser**

**200 fs pulse duration**

**50 MHz repetition rate**

**1 W average power**

**50x objective, NA = 0.8**

**Lateral resolution**

$$r_l = 0.61\lambda/NA$$

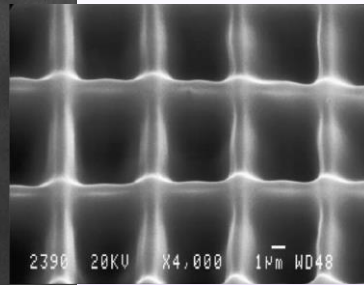
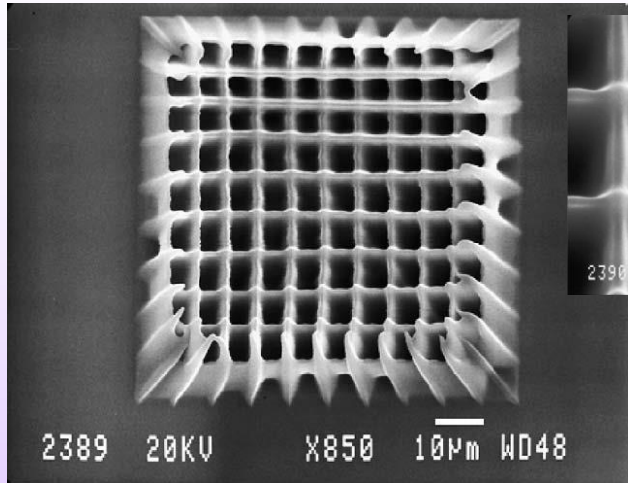
**785 nm**

**Axial resolution**

$$r_a = 2\lambda n/NA^2$$

**3.2  $\mu\text{m}$**

# TPA Optical Lithography



Detail feature

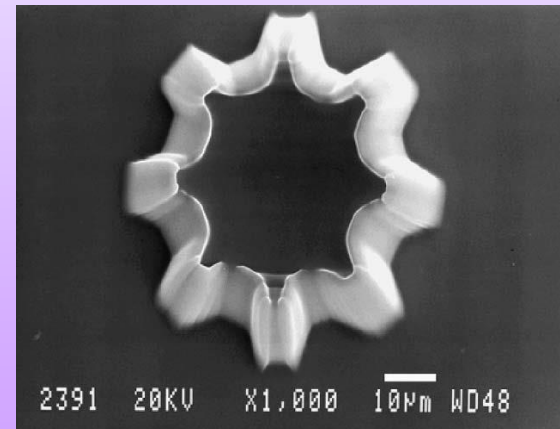
Resolution = 1 μm

Distortion effect due to polymer shrinkage

Error source: use of monomer instead of oligomer

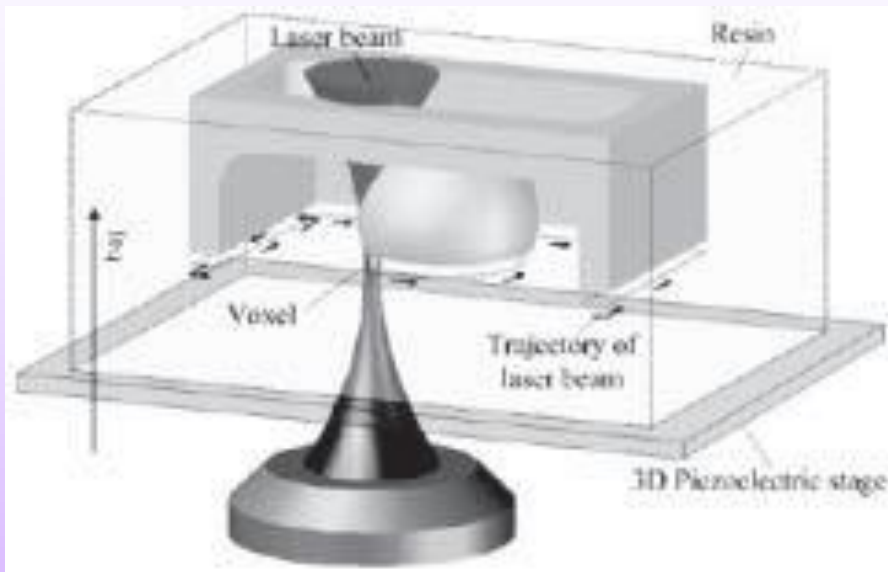
Structure obtained by microstereolithography – SEM image

Layer spacing = 1 μm

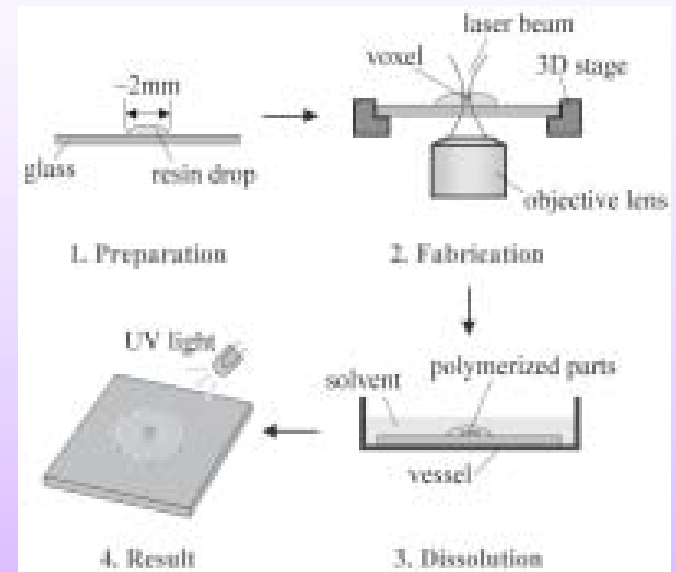


A hollow micro-gear - SEM image

# Two-Photon Induced Polymerization



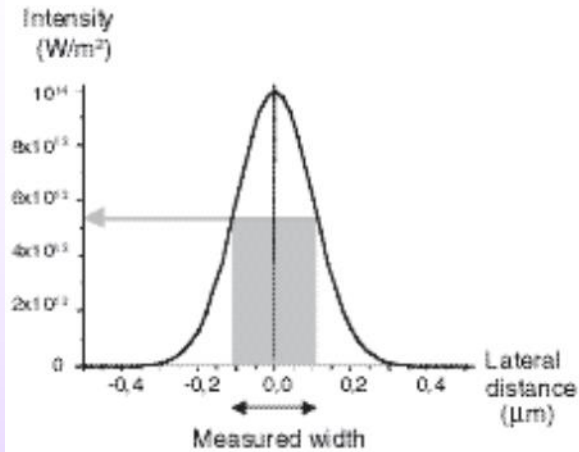
Schematic 3D microfabrication by TP polymerization (**TPA**)



Microfabrication steps of TP - induced polymerization



# Two-Photon Induced Polymerization

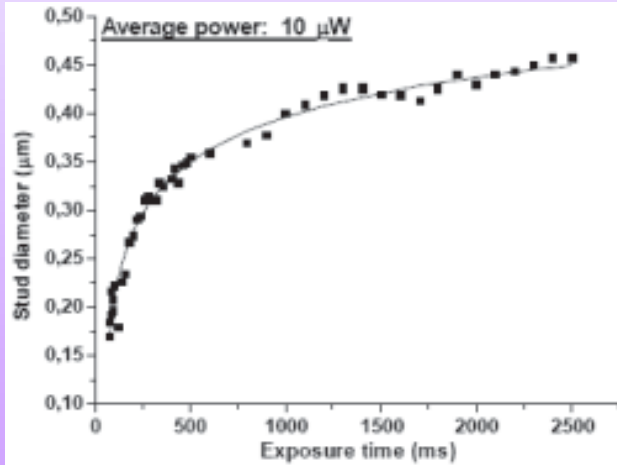


Voxel lateral size

$$v_a = w_0 \cdot \left[ \left( \frac{4 \cdot P^2 \cdot t}{\pi^2 \cdot w_0^4 \cdot E_{th}} \right)^{1/2} \right]$$

Voxel vertical size

$$v_b = \frac{2\pi \cdot w_0^2}{\lambda} \cdot \left[ \left( \frac{4p^2 \cdot t}{\pi^2 \cdot w_0^4 \cdot E_{th}} \right)^{1/2} - 1 \right]^{1/2}$$



Voxel lateral size on the exposure time dependence

$\lambda$  - wavelength,  
 $w_0$  - beam waist,  $P$  - laser power,,  
 $E_{th}$  - threshold energy for photo polymerization  
 $t$  - exposure time, respectively.

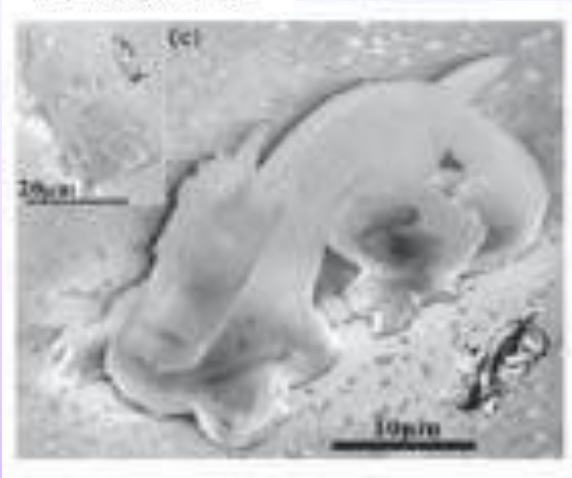
# Fabrication Time

Fabrication time = f(elementary time)



time needs to polymerize a voxel 1-10 ms/voxel

scanning speed (voxels connection) tens of  $\mu\text{m/s}$



Dragon with large near flat surfaces  
by 3D generalized layer by layer strategy

Fabrication time = 19 minutes

**Time Optimization:** microlens array (a) or holographic multiple spots (b)



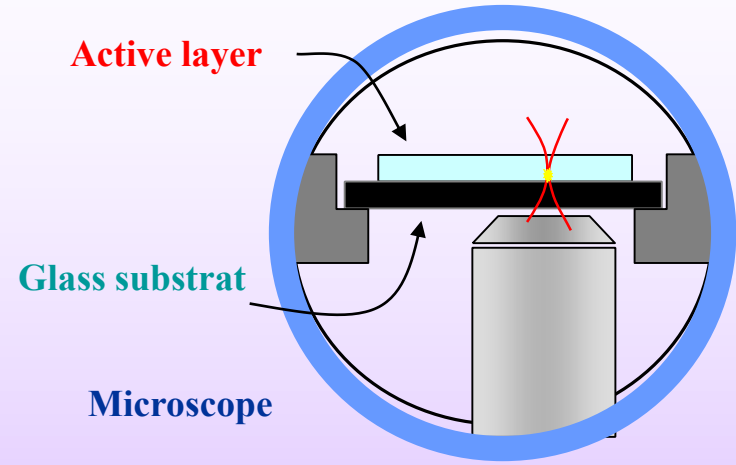
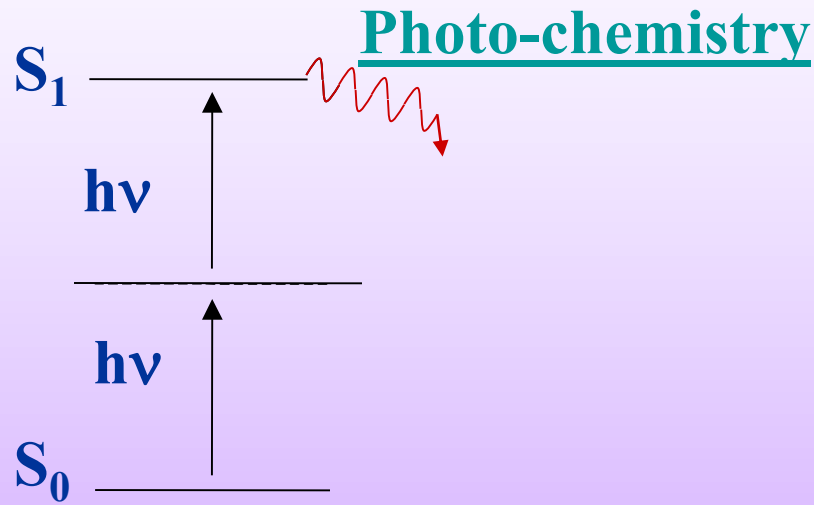
z-translation  
axis

3D micro-objects that mixes 1D, 2D and 3D  
features by path planning strategy

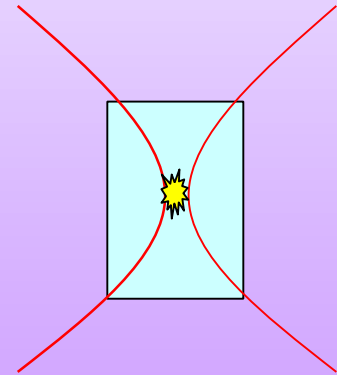
Fabrication time = 12 hours

# Two-photon Induced Metal Chemistry

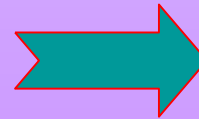
## Two-photon absorption



**Nonlinear absorption =  $\alpha I^2$   
confined at the focal point**

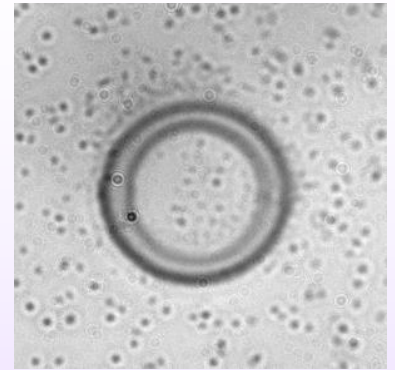


*Metallic Cations Photo-reduction*



*Neutral Metal Deposition*

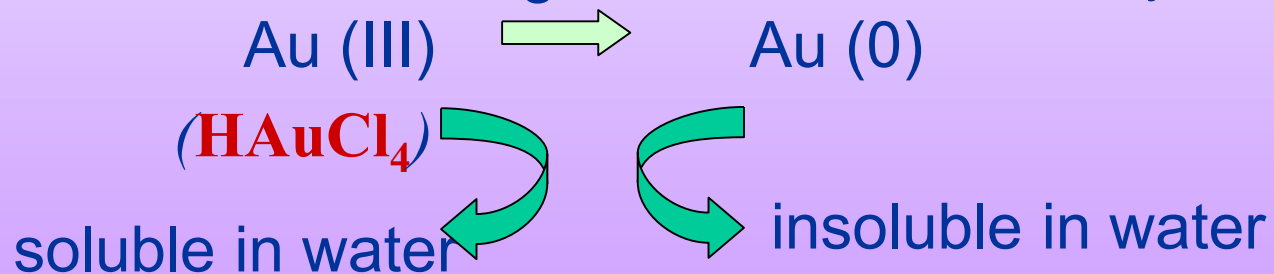
# MOVIE...



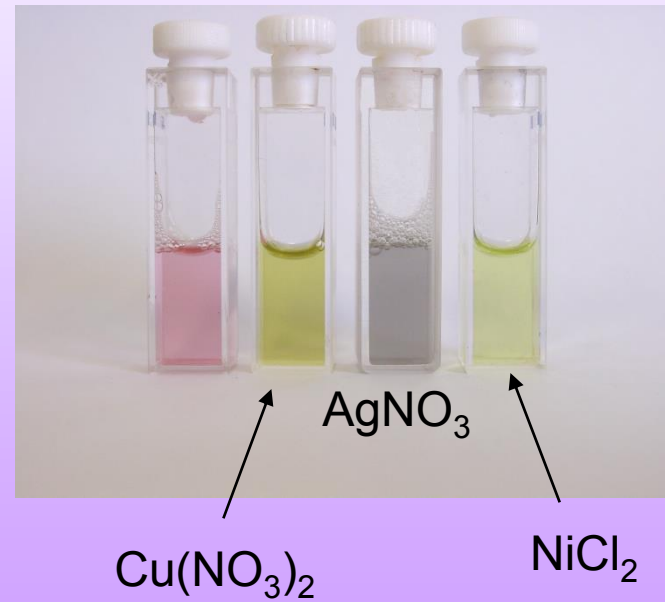
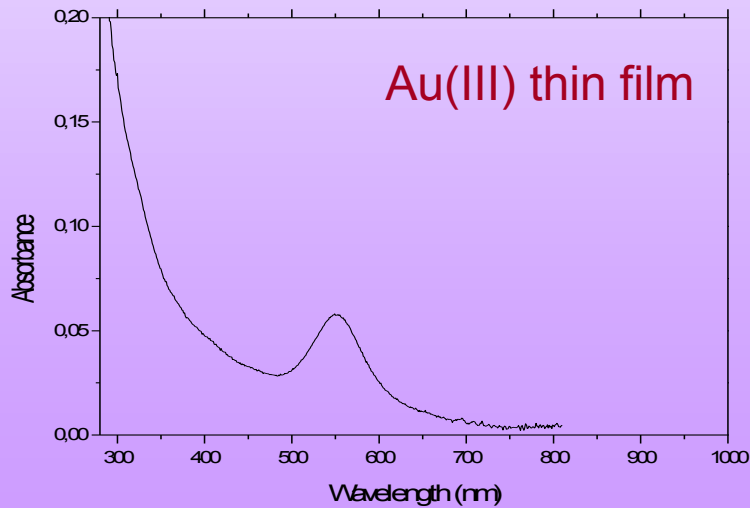
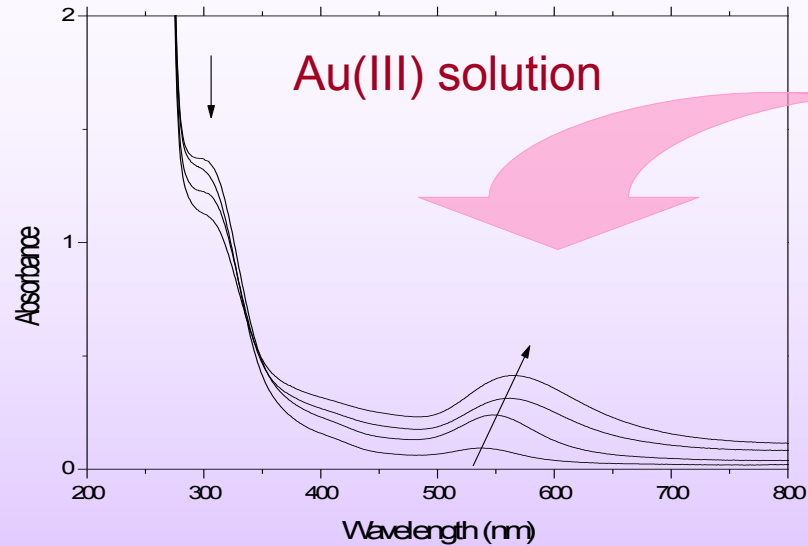
**Metallic nanowire fabrication... LIVE**

# The Photo-reduction Process

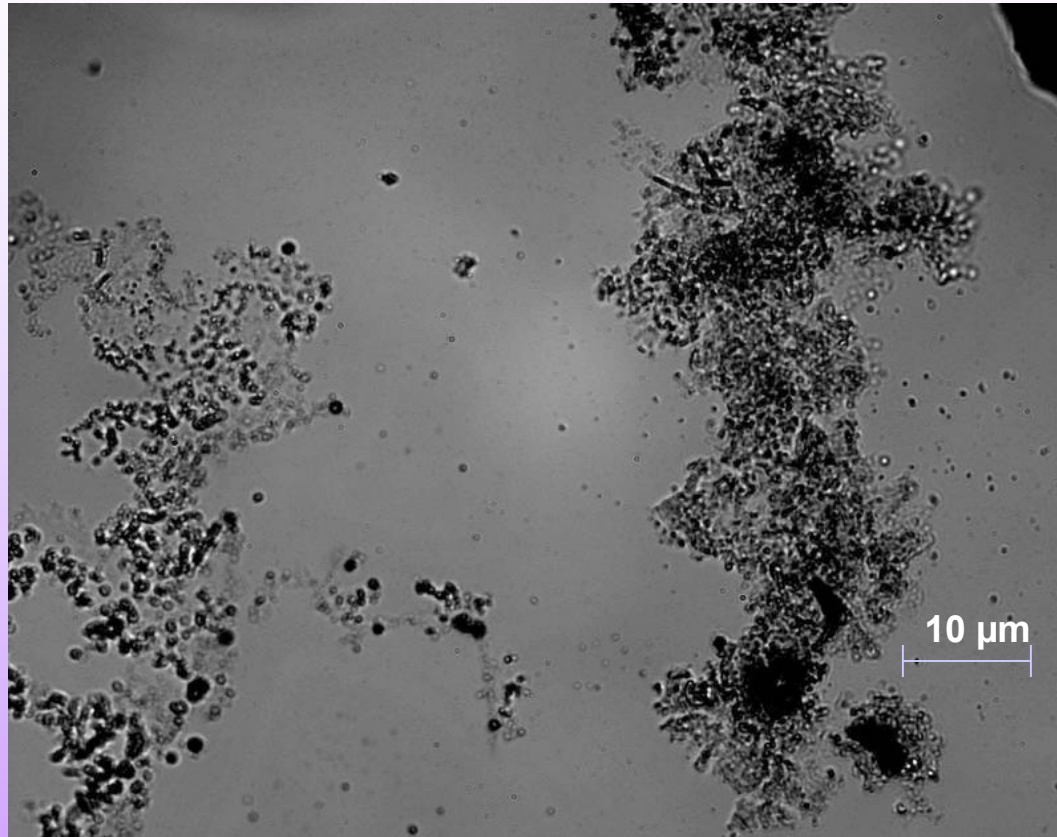
- Gold/metallic salt very soluble in water: **HAuCl<sub>4</sub>**
- Photo-sensitizer  
Sodium citrate & Polyvinylpyrrolidone (PVP)
- Reduction reaction involving Metallic cation in Polymer matrix :



# UV Photo-reduction of Metallic Cations

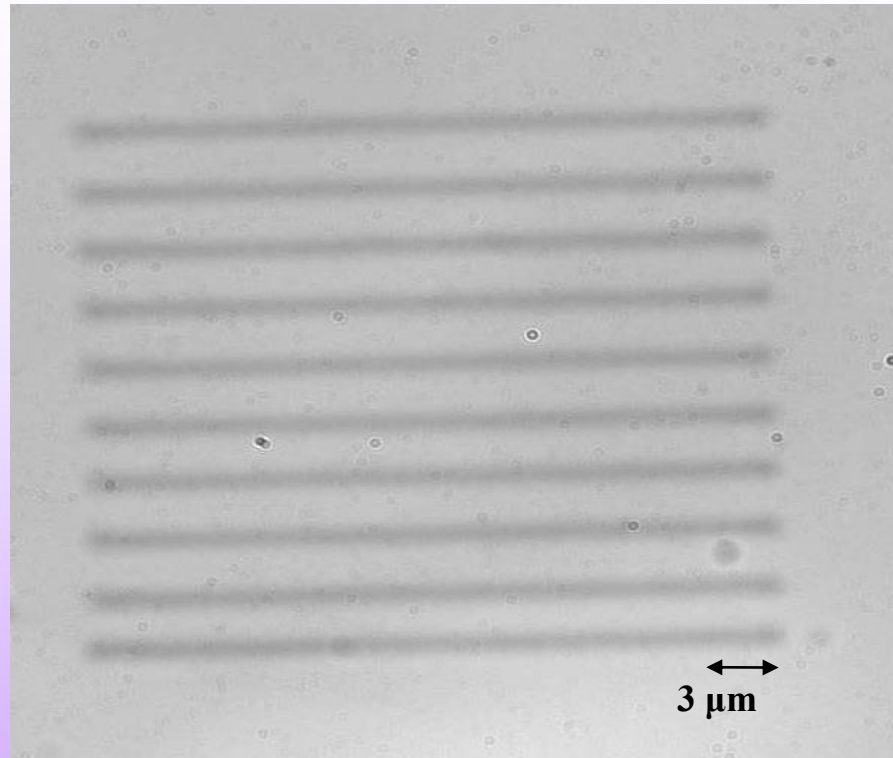


# Two-Photon Generation of Nanoparticles in Water



**Gold colloid in water  
(optical image in transmission)**

# Photo-precipitation in Viscous Medium

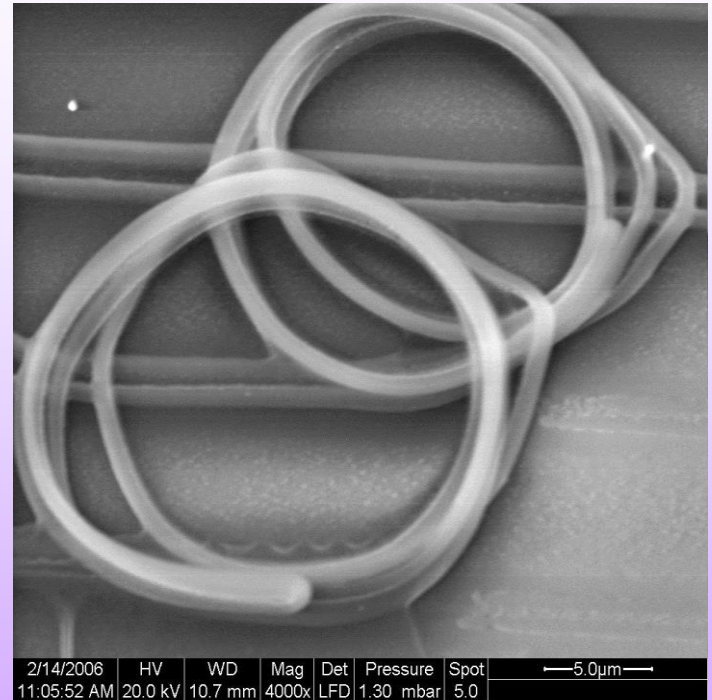
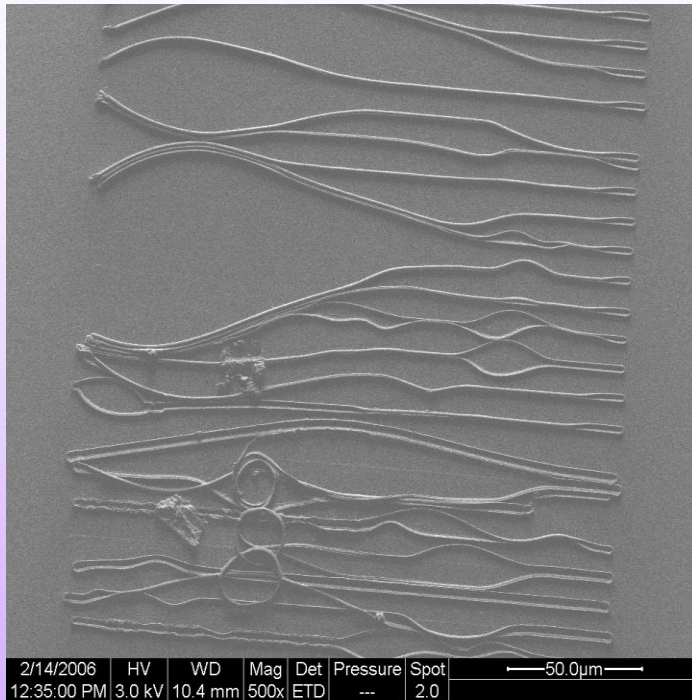


Optical image of horizontal gold wires (in transmission)

Hydrosoluble polymer: Polyvinylpyrrolidone (PVP)



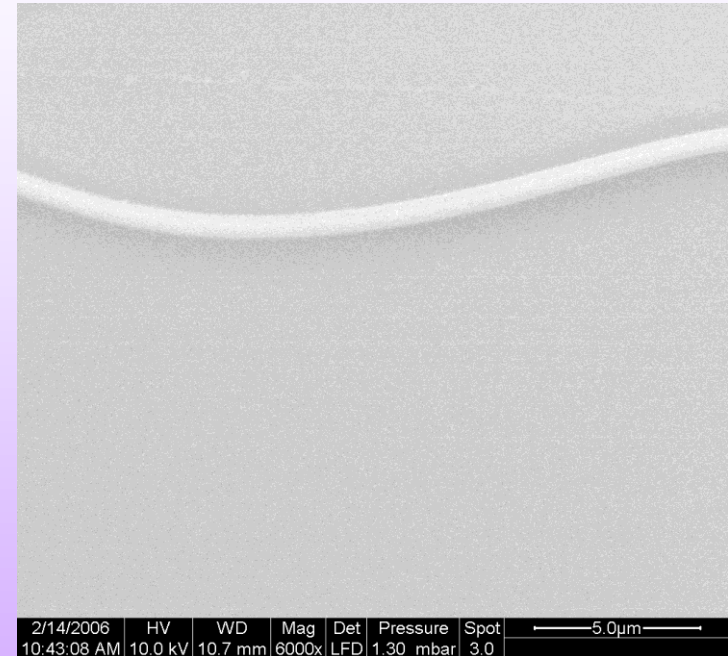
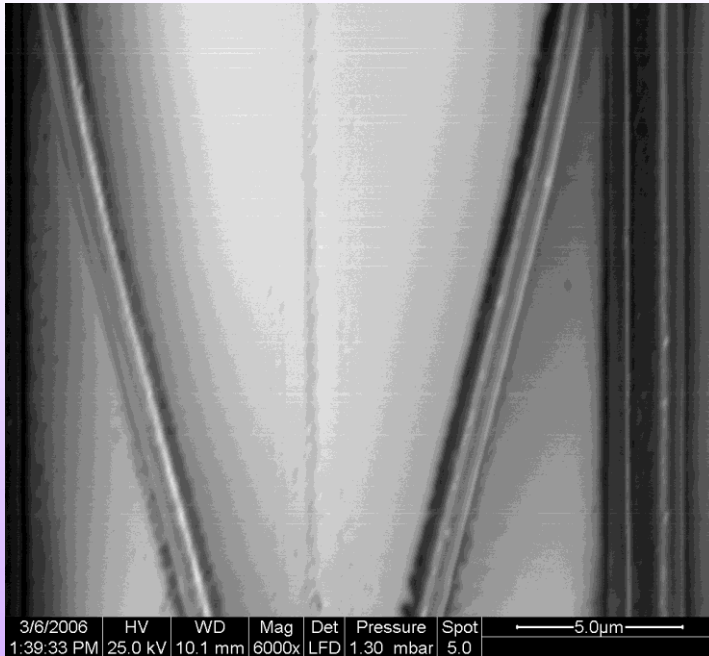
# Gold Nanowires Fabrication



**Gold wires on untreated glass - disconnected from the substrate**

**Continuous wires are obtained**

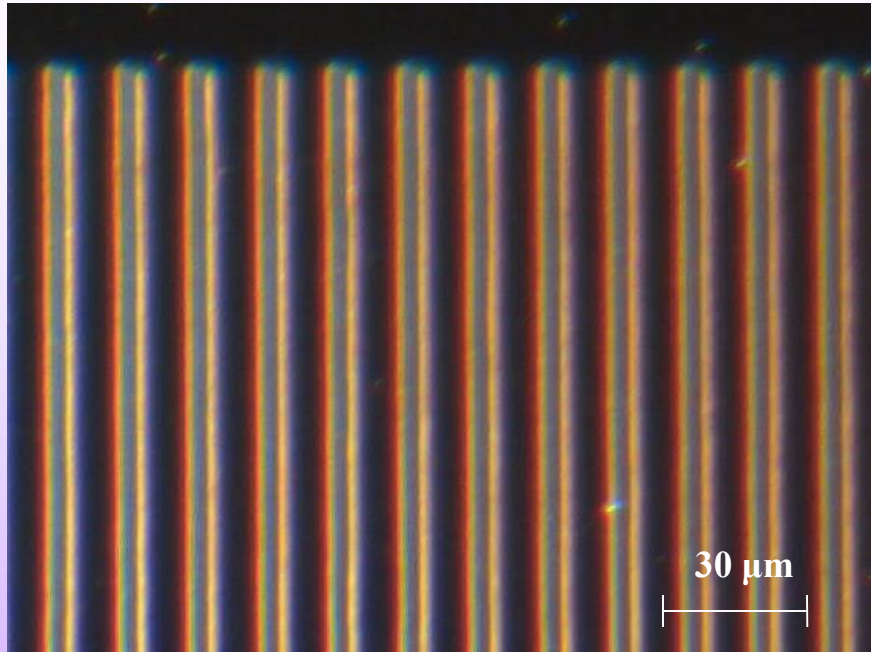
# Gold Nanowires Fabrication



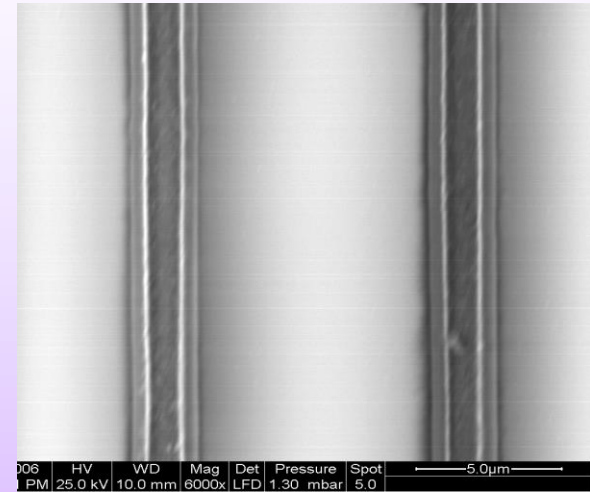
**Gold wires on untreated glass - disconnected from the substrate**

**Regular width wires are obtained**

# Gold Wires on Polyimide Underlayered Glass



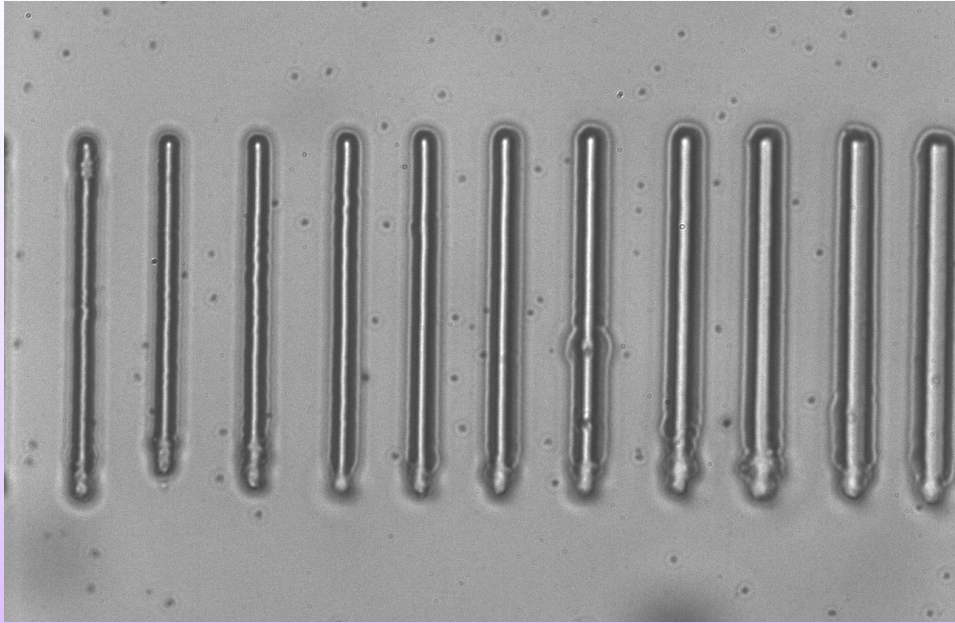
Optical image of a gold wires array  
(in dark-field scattering)



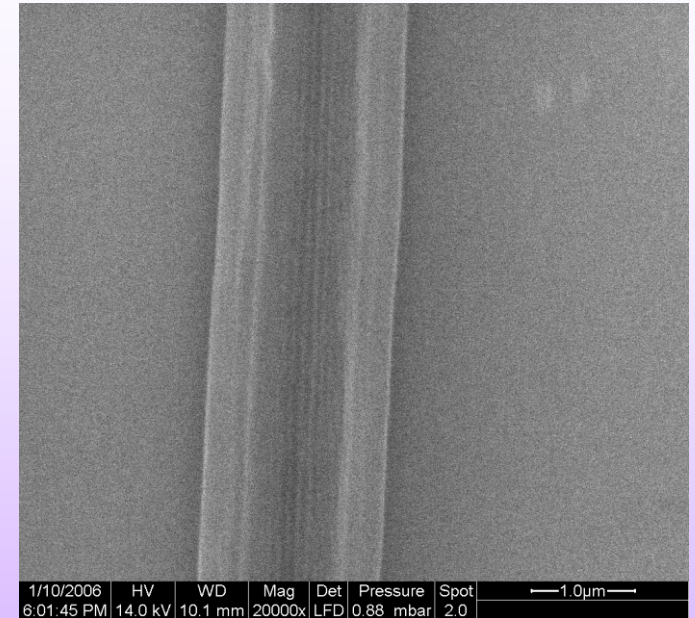
SEM image of two gold  
double wires

**Strong gold-substrate adhesion**  
due to the coordinative bonds between gold and  
polyimide

# Influence of the power



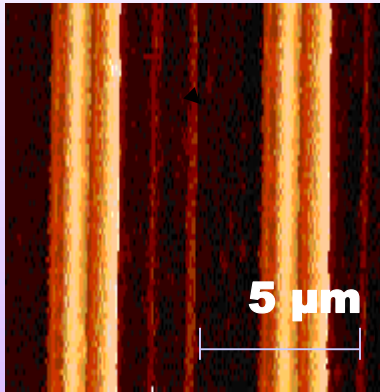
**Optical image of a gold wires array (phase contrast)**



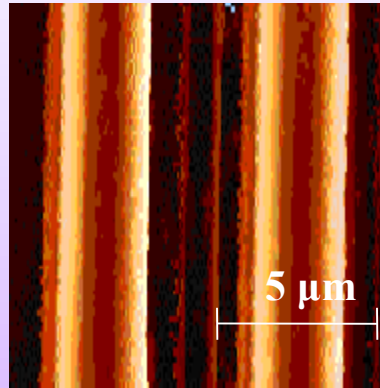
**SEM image of a gold double wire**

**Regular aspect wires with larger width at increased powers (20-80 mW)**

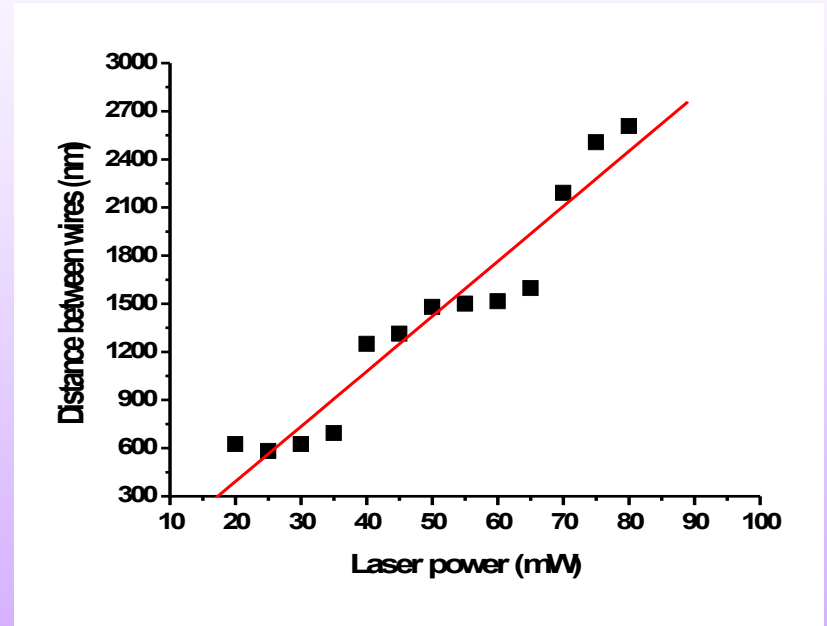
# Distance between Wires vs Laser Power



35 mW

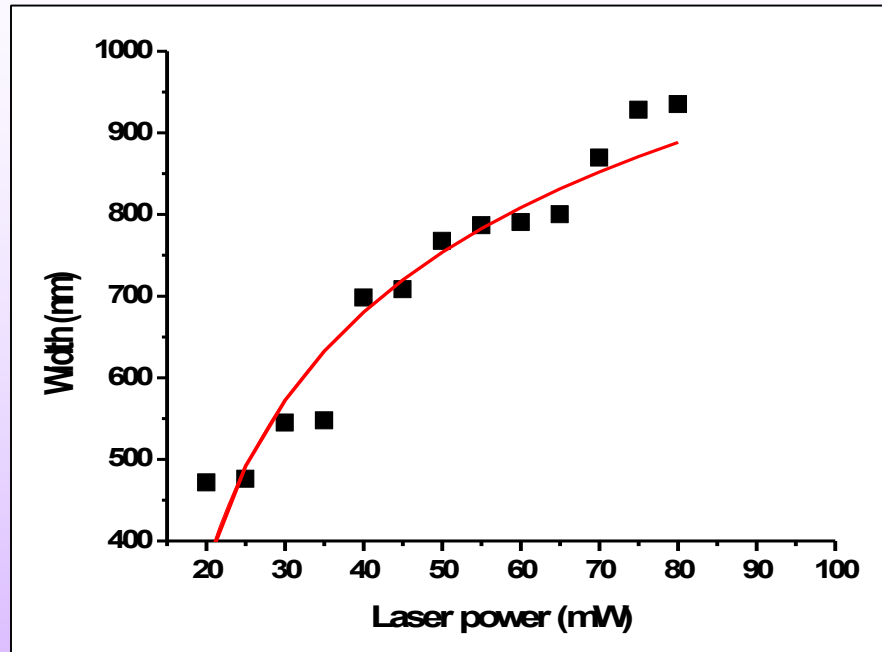


80 mW



**AFM top view images of two double-wires at different laser powers**

# Width of the Wire vs Laser Power

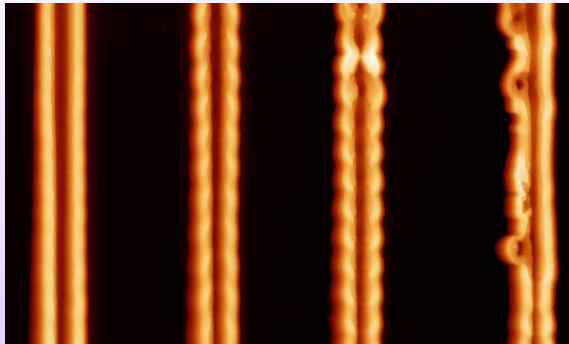


$$I = I_0 \exp(-2r^2 / w_0^2), \quad (\text{Gaussian beam})$$

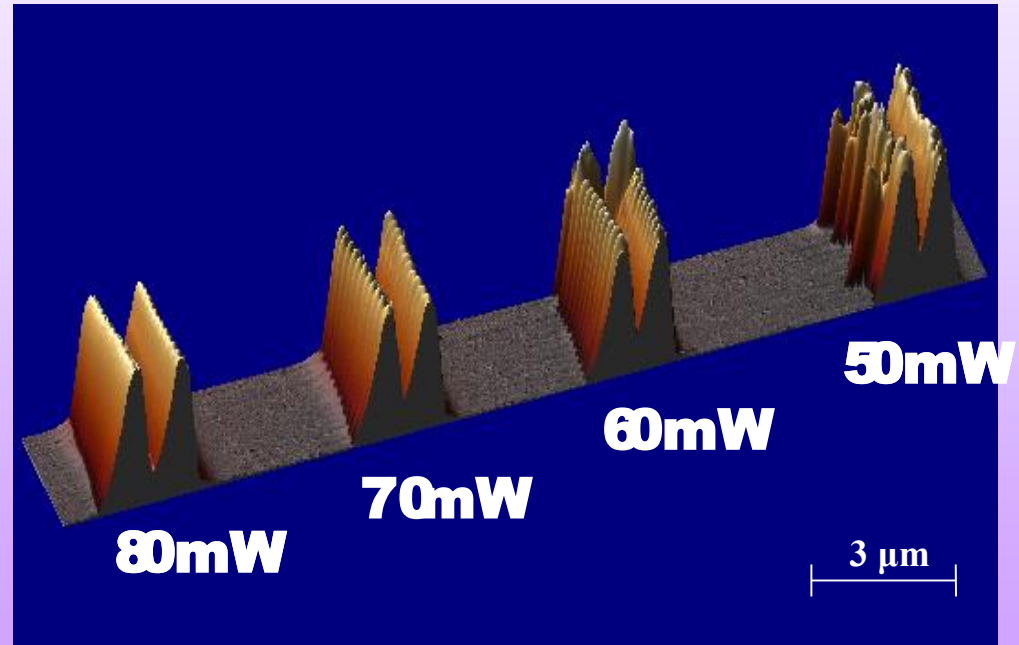
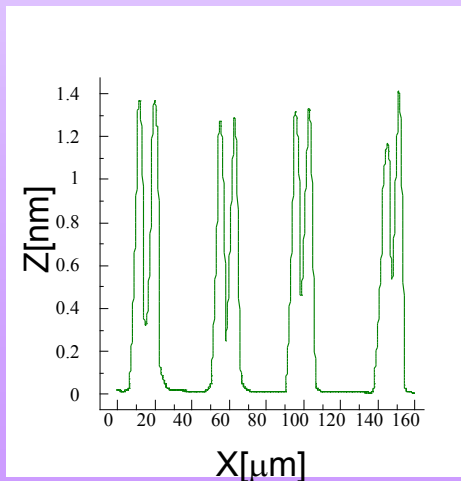
$w_0$  - the beam waist  
 $r$  - the beam radius

# Smoothness of the Wires vs Power

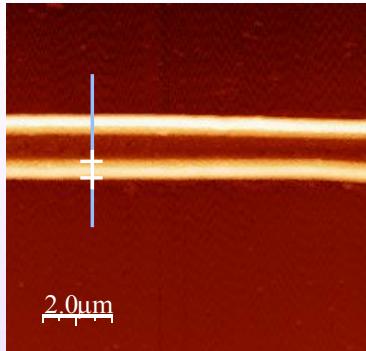
AFM top view, cross-section and 3D images of gold wires at various laser power



80 mW 70 mW 60 mW 50 mW

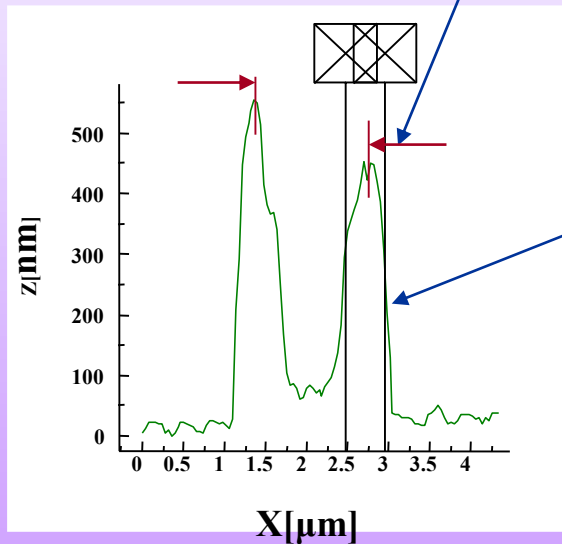


# Double Wire



top view

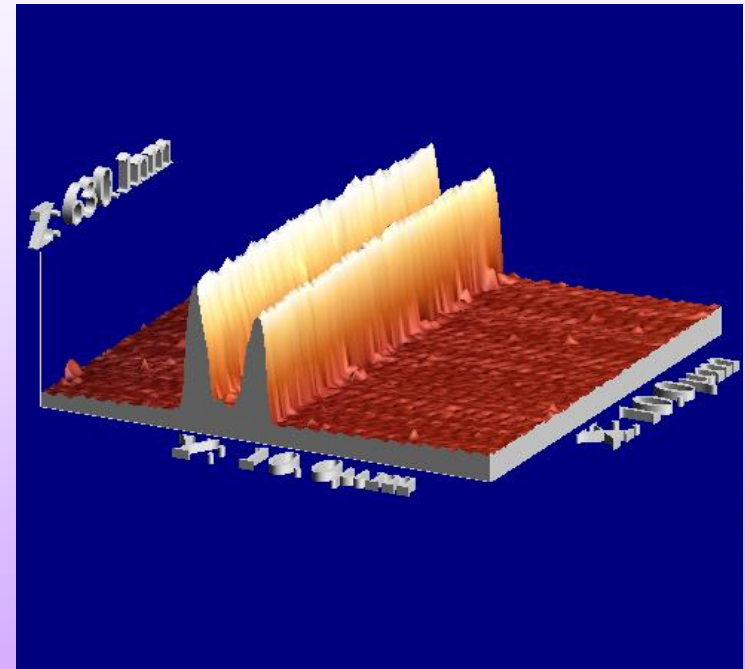
AFM measurements of typical gold wire



Distance between wires

Width of the wire

cross section

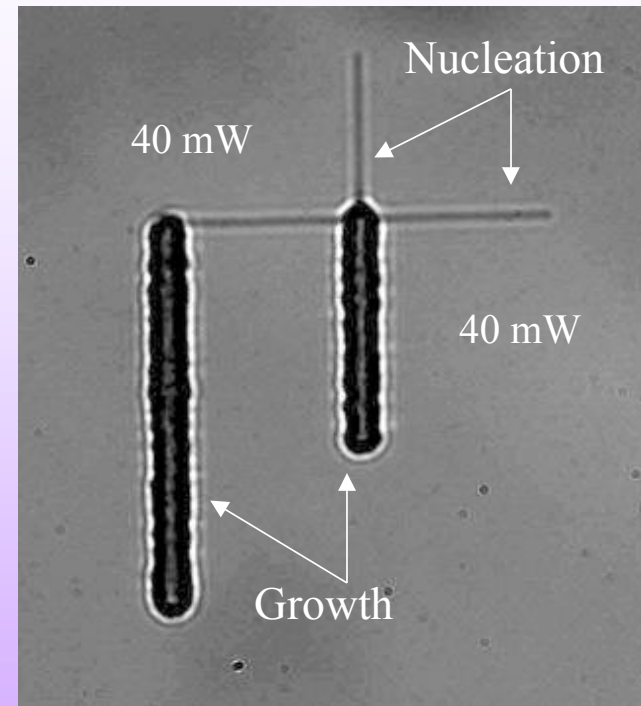
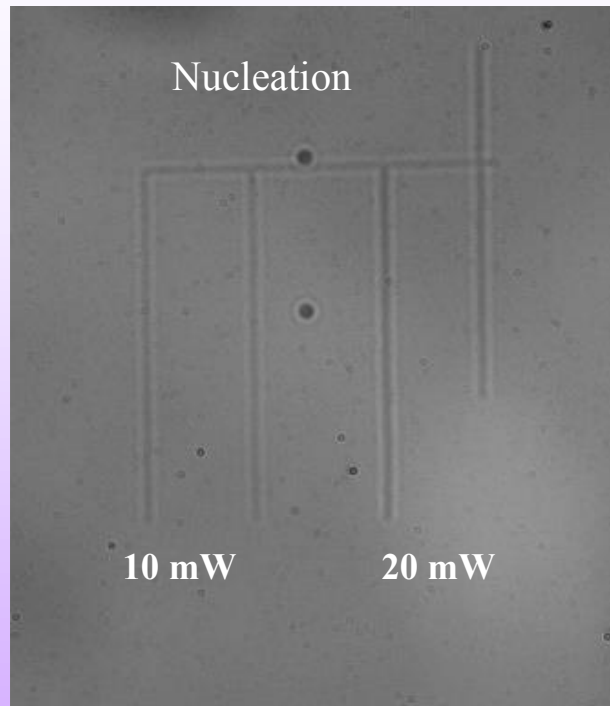


3D view

**Double wire due to the thermal effect induced by the colloids during the laser irradiation of the sample**

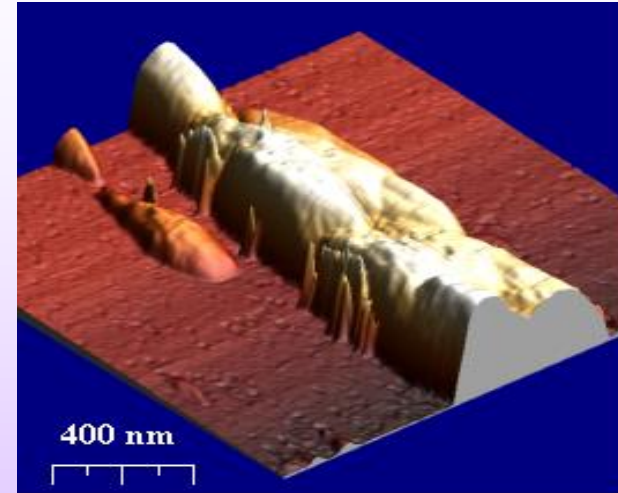


# The origin of the double-wire

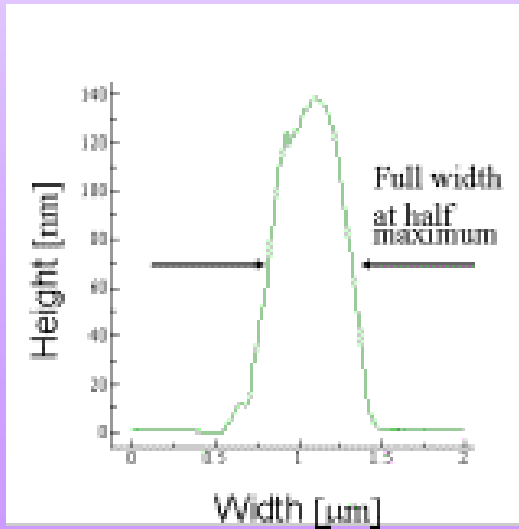


The structures are **very** sensitive to the “writing” conditions

# Single wire



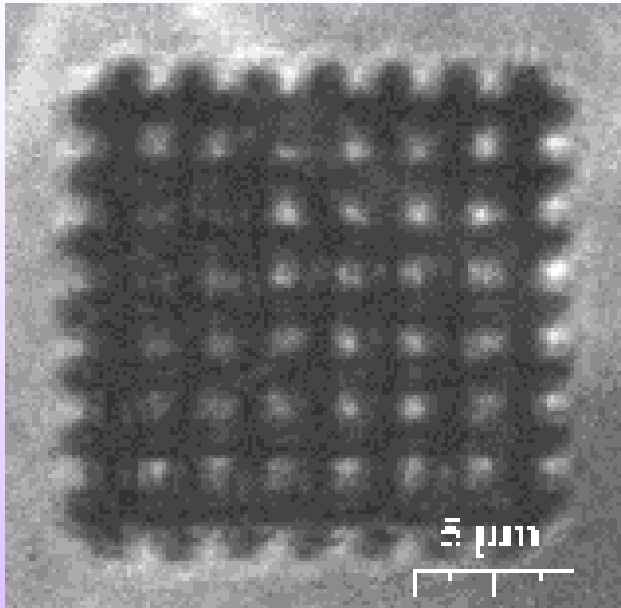
**a) 3D view**



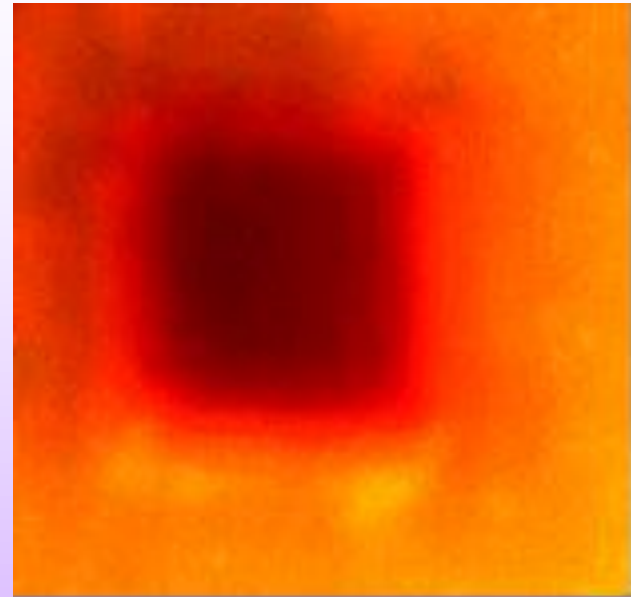
**b) Cross-section**

**N. Tosa, G. Vitrant, P. L. Baldeck, O. Stephan, I. Grosu, *J. Optoelectr. and Adv.Mater.* 2008, 10, 2199-2204.**

# Gold 3D structures



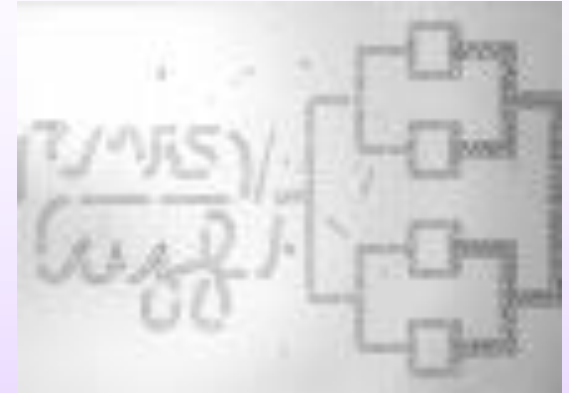
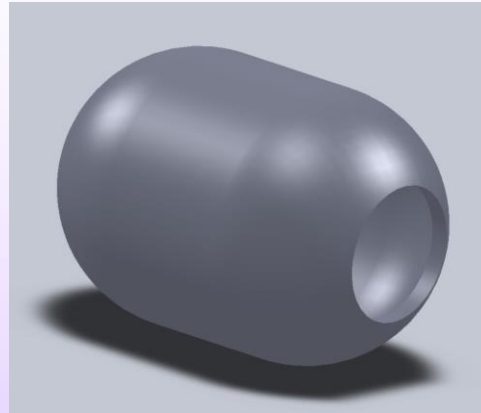
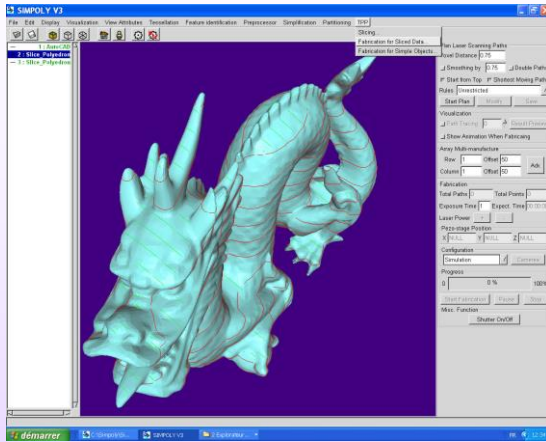
**a**



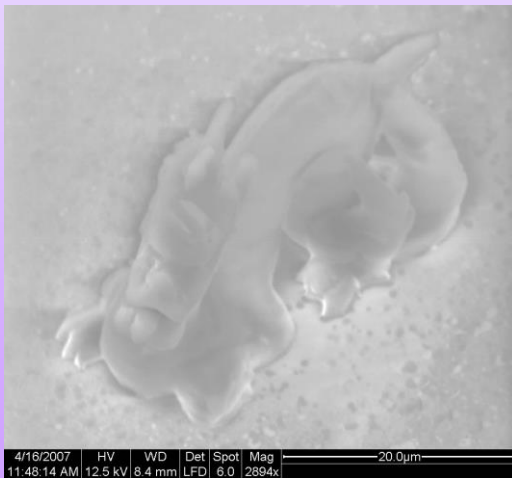
**b**

Optical images of a 3D woodpile with a period of  $2.5 \mu\text{m}$ ,  $7 \times 7$  lines in a layer and  $20 \mu\text{m}$  height: (a) in transmitted light with x100 oil-immersion objective; (b) in dark-field with x20 objective.

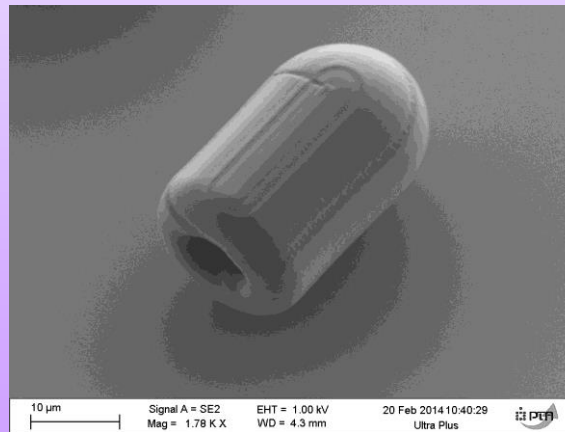
# Polymer 2D /3D structures



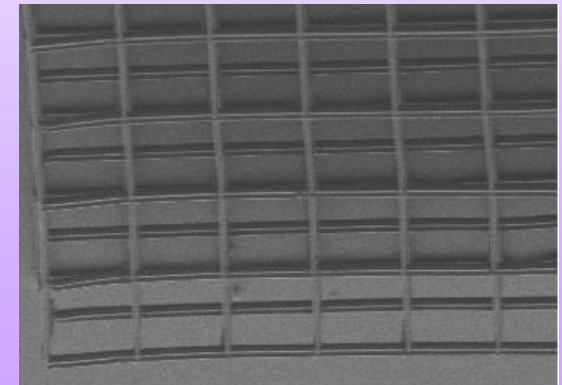
2D element of circuit



Micro - dragon

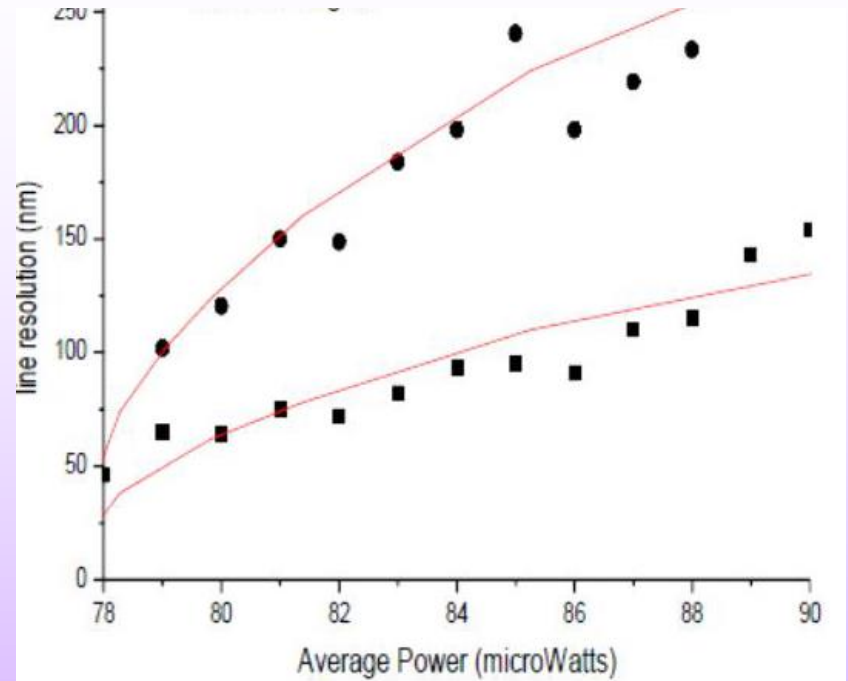
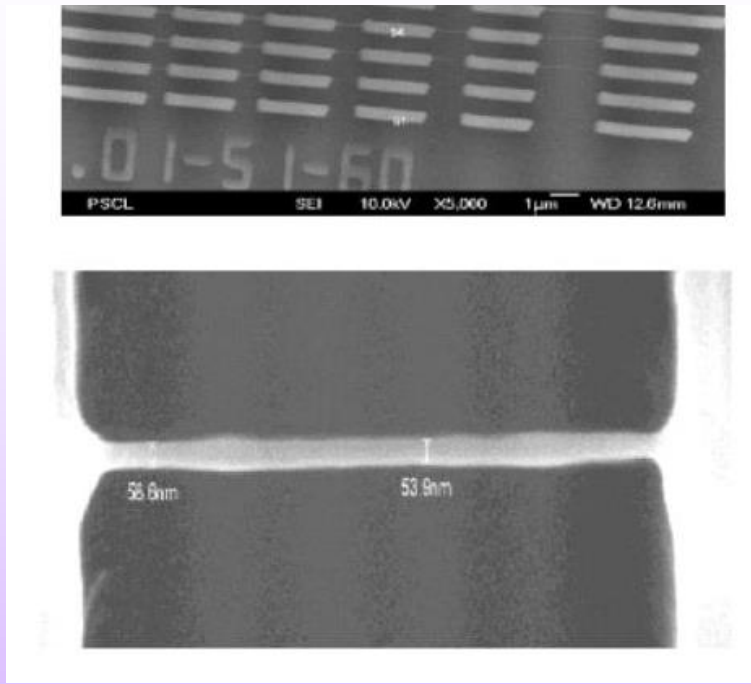


Micro-capsule ORMOCOMP



3D scaffold for biological applications

# Polymer 2D structures

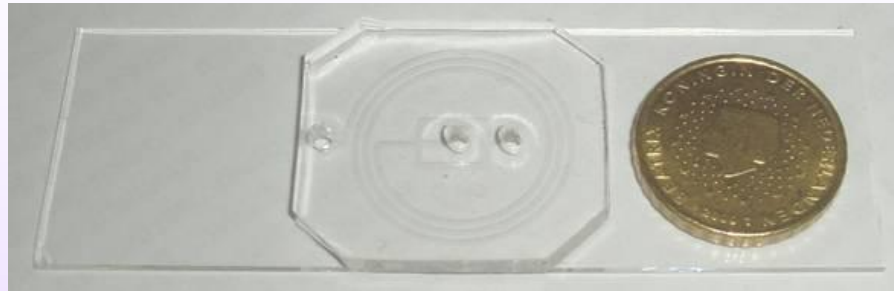
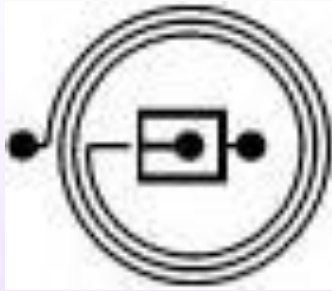


**Repetition rate: 6 kHz**  
**Pulse duration: sub-nanosecond**  
**Q-switched microchip laser: Nd:YAG 532 nm**

**voxel distance of 60 nm**  
**exposure time 1 ms**  
**decreasing laser power steps of 50 µW**

# Polymer 2D /3D structures

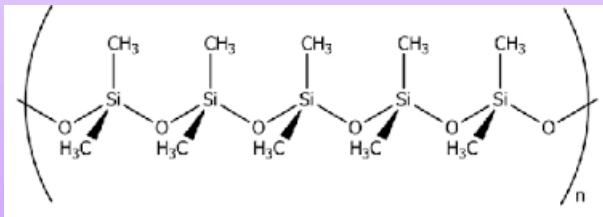
Optical lithography by mask



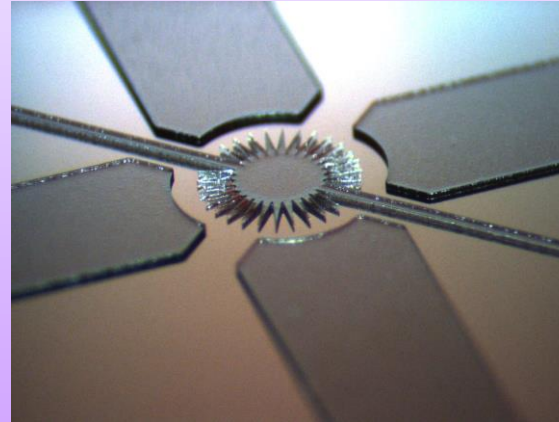
**Microfluidic circuit**

**PDMS**

Poly(dimethylsiloxane) or  
Dimethicone

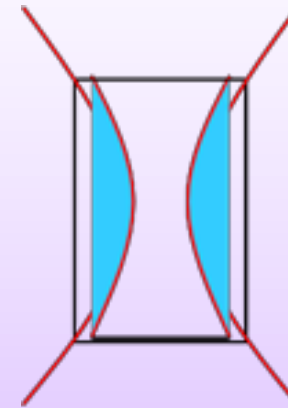
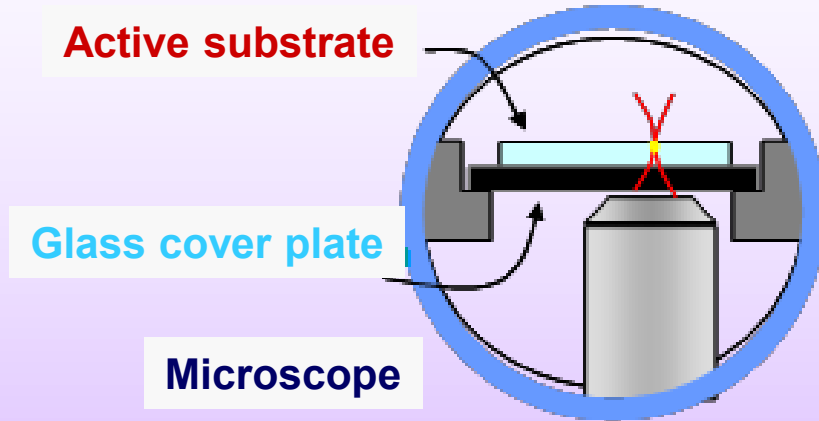


transparent material widely used for fabrication  
and prototyping of microfluidic chips



**140 μm size microfluidic circuit  
With 2 channels**

# Direct Laser Writing(DLW) photo-reduction in polymer doped thin films



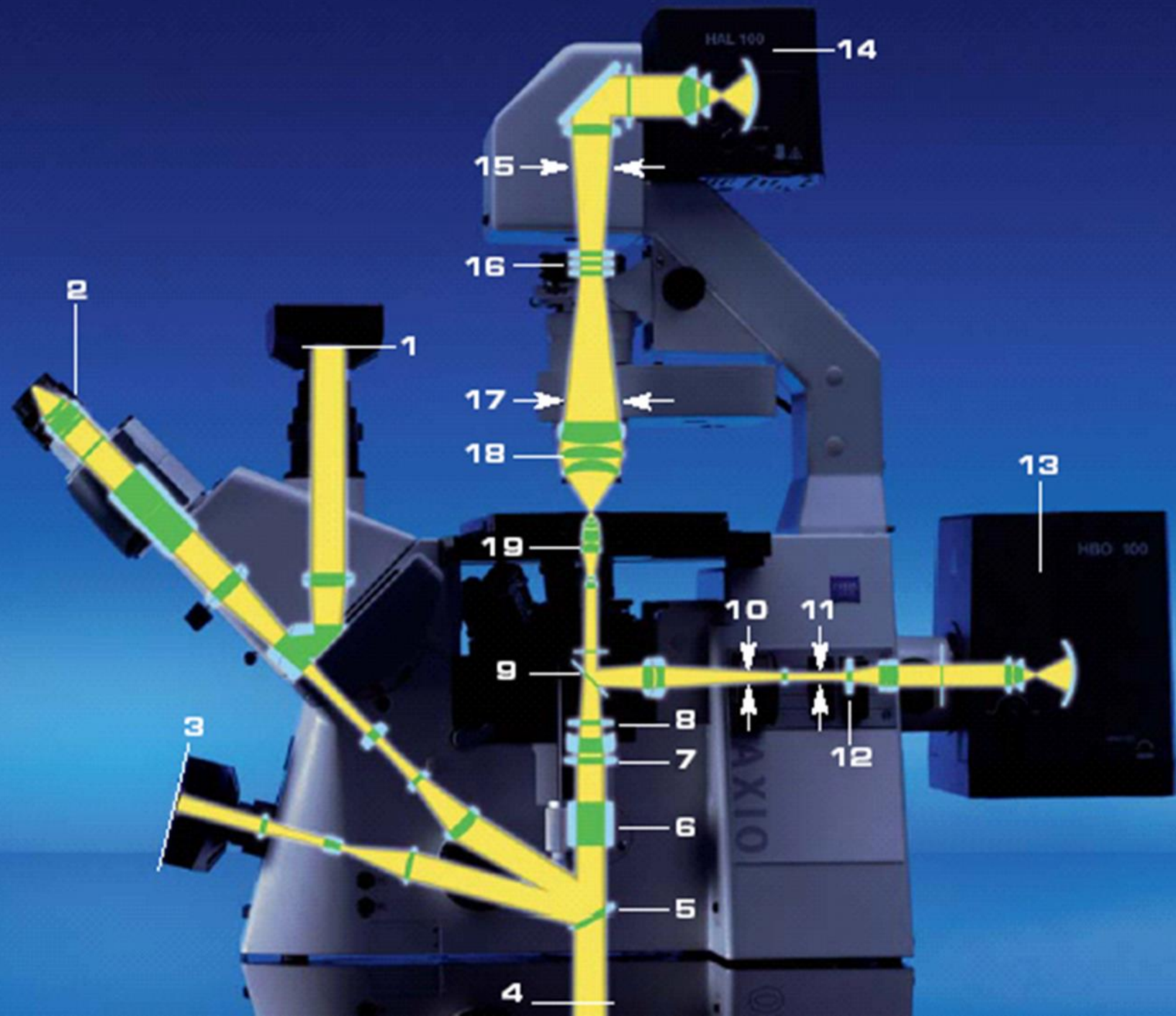
$\lambda = 380 \text{ nm}$



Photo-reduction

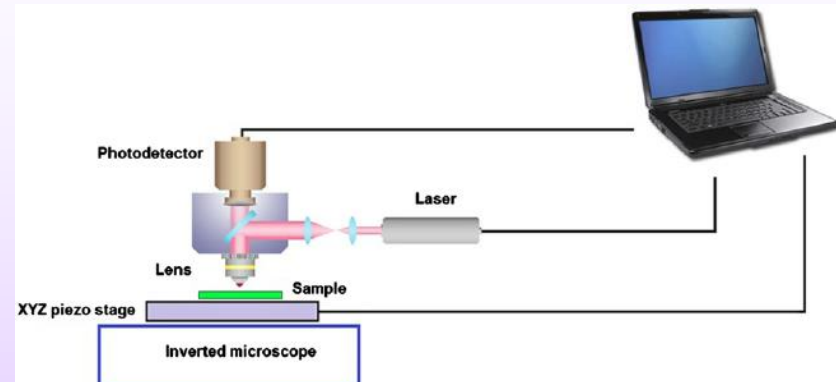
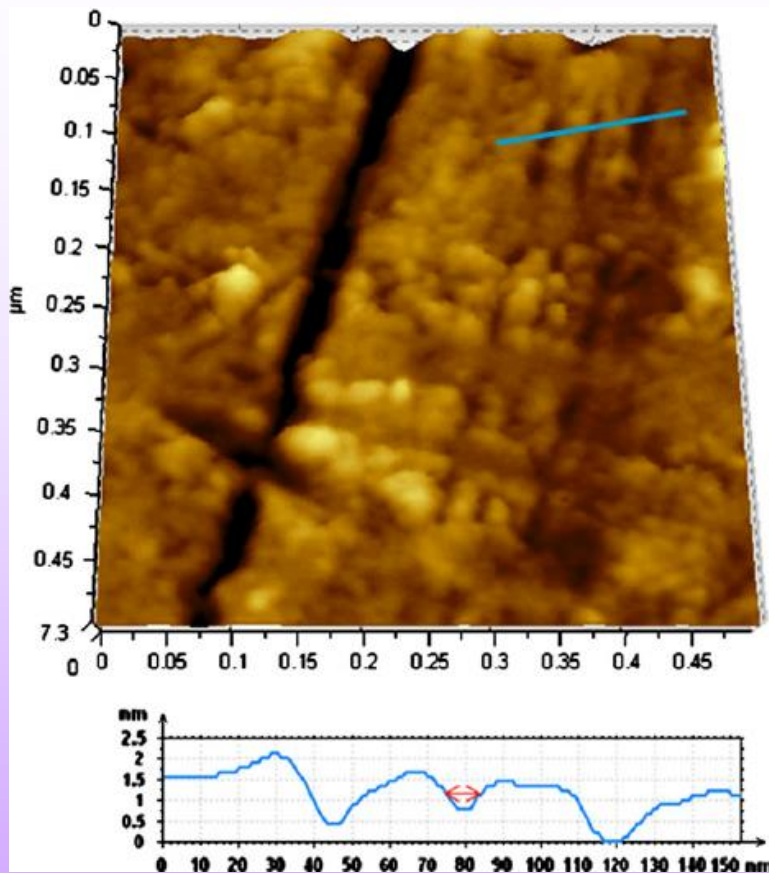


entire irradiated volume





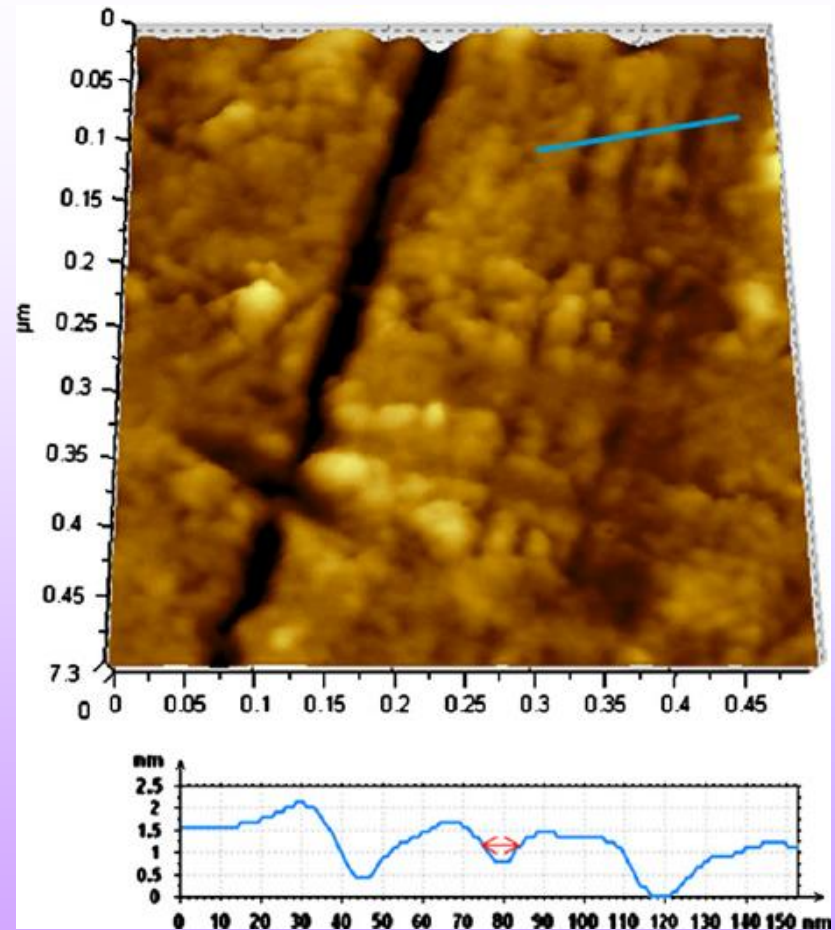
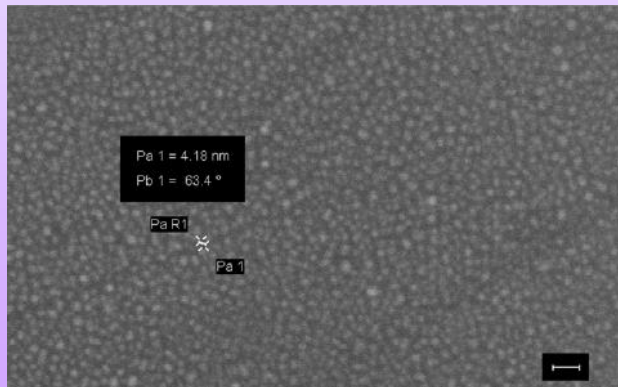
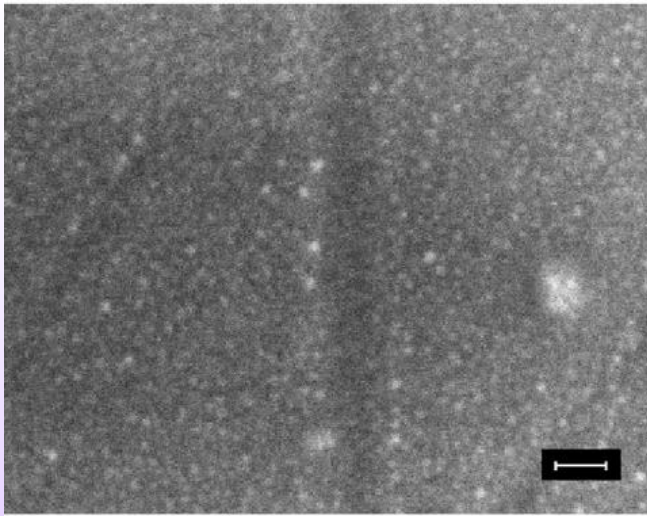
# 2 nm Optical Lithography



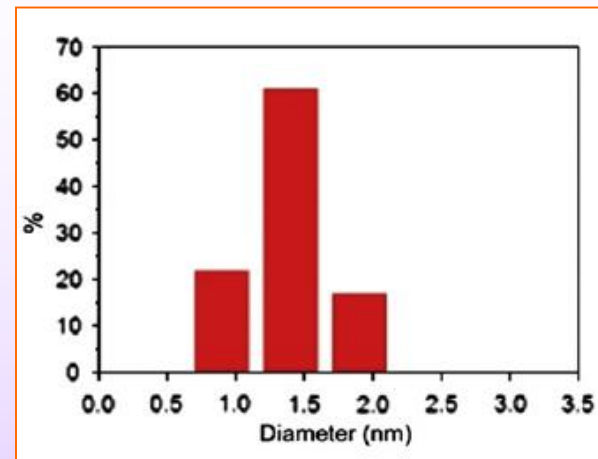
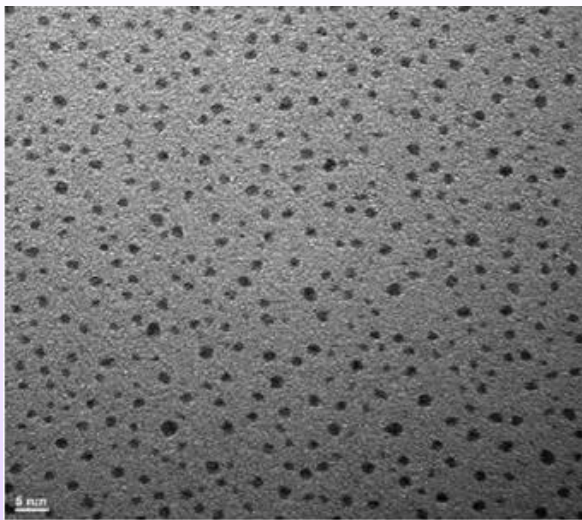
Rare-earth based  
fluorescent photosensitive  
glass-ceramics



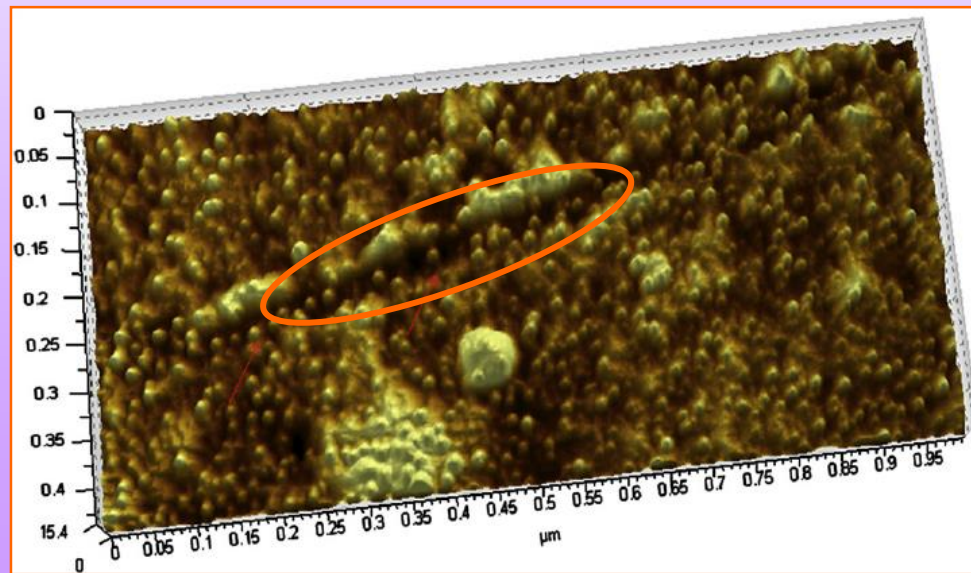
# 2 nm Optical Lithography



# How to measure a 2 nm line width



Distribution of gold nanoparticles:  
(a) TEM image of AuNPs,  
(b) histogram &  
(c) AFM top view of a 2 nm line covered by AuNPs



# Advantages vs disadvantages

**The chief advantage of the laser-controlled synthesis (deposition) is demonstrated here by :**

- First, the photoeffect is of a general chemical nature. No limitation in the choice of reducing agent was observed in both metallic Ag and Au synthesis.**
- Second, the growth is terminated either by turning off the laser or removing the sample (ionic solution).**
- Third, the use of microscope objectives for illumination allows the fabrication of micrometer-sizes metallic structures in devices with limited accesibility. Ex. Ag photodeposition inside of a glass capillary**

# Conclusions

- Optical lithography by DLW is a maskless procedure with spatial control of the process, confined at the focal point
- Metallic structures are generated selectively in thin films displaying well defined patterns and tunable sizes function on the process parameters
- Metallic microstructures contain long range arrays of lines/nanoparticles with size and shape uniformly distributed along the pattern
- The size of structures decrease with velocity increasing due to the laser exposure time diminishing
- Metallic microstructures can be anchored on active surfaces proving that they are eligible as substrates for metallic electrodes

# Acknowledgements

Dr. Eugen Pavel Storex Technologies srl

Dr. Valer Tosa INCDTIM

Dr. Alexandra Falamas INCDTIM

Dr. Cristian Tudoran INCDTIM

Dr. Lucian Barbu Tudoran INCDTIM SEM measurements

Dr. Patrice Baldeck MOTIV group

Dr. Olivier Stephan

Dr. Michel Bouriau

Eng. Jean Francois Motte for SEM measurements

Dr. Guy Vitrant MINATEC Grenoble, France

Laboratoire de Spectrometrie Physique,

Grenoble, France

(Laboratoire Interdisciplinaire de Physique

LIPhy)

The financial support from the National Authority for Scientific Research and Innovation-ANCSI, project number 237/2014( code project PN-II-PT-PCCA-2013-4-1374) and project number 169/2011(code project PN-II-PT-PCCA-2011-3.2-0210) are gratefully acknowledged.

# Acknowledgements

Prof. Dr. Maria Luisa Calvo

Dr. Humberto Cabrera

Dr. Victor Lysiuk

Prof. Dr. Alberto Diaspro

Mrs. Frederica Delconte

Prof. Joe Niemela

Prof. Mitco Danailov

**The financial support from the ICTP is gratefully acknowledged.**



**Thank you for your attention!**