Solvation and environment effects: Continuum models via the Environ plugin

Quantum-ESPRESSO School

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- Multiscale models: motivations and choices
 - Continuum models of solvation: from quantum-chemistry to condensed matter
- Self-consistent continuum solvation
 - Dielectric model
 - Pressure and Surface-tension
 - Periodic boundary corrections
 - Electrochemistry
- The Environ module for Quantum-ESPRESSO
 - PW, CP, NEB, TDDFpT

Outline

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chemistry

Design principles for oxygen-reduction activity on perovskite oxide catalysts for fuel cells and metal-air batteries

Jin Suntivich¹, Hubert A. Gasteiger^{2†*}, Naoaki Yabuuchi², Haruyuki Nakanishi³, John B. Goodenough⁴ and Yang Shao-Horn^{1,2*}

The prohibitive cost and scarcity of the noble-metal catalysts needed for catalysing the oxygen reduction reaction (ORR) in fuel cells and metal-air batteries limit the commercialization of these clean-energy technologies. Identifying a catalyst design principle that links material properties to the catalytic activity can accelerate the search for highly active and abundant transition-metal-oxide catalysts to replace platinum. Here, we demonstrate that the ORR activity for oxide catalysts primarily correlates to σ^* -orbital (eg) occupation and the extent of B-site transition-metal-oxygen covalency, which serves as a secondary activity descriptor. Our findings reflect the critical influences of the σ^* orbital and metal-oxygen covalency on the competition between $O_2^{2^2}/OH^-$ displacement and OH⁻ regeneration on surface transition-metal ions as the rate-limiting steps of the ORR, and thus highlight the importance of electronic structure in controlling oxide catalytic activity.

Motivation

- Bulk calculations of bands
- NEB calculations of transition states
- BO/CP calculations of reactions (maybe biased MD)







Motivation

Realistic calculations, which allow to predict, need to be:

- More accurate
- More complex

Ideally they should not cost more and/or be more cumbersome than standard calculations, so that we can go high-throughput on them.

Motivation

- How large a simulation cell
- How many water molecules
 - How many electrons
- Sampling
- Is the result 'correct'?



Full atomistic QM

- Focus on part of the system, treated at the highest level
- Use some fast, maybe approximate model to handle the rest (aka the environment)
 - Atomistic (lower level ab-initio, semiemprical, classical QM/MM)
 - Coarse-grained
 - Continuum

Chlorophyll pigments (QM) in the FMO protein (MM/MMPol) near metal nanoparticles (Continuum)

O. Andreussi, et al. J. Phys. Chem. A 119, 5197 (2015).



Hierarchical models

The importance of the work of the laureates is independent of what strategy is used for the choice of the studied configuration(s). The prize focuses on how to evaluate the variation in the energy of the real system in a accurate and efficient way for systems where relatively large geometry changes or changes in electronic configuration in a smaller part of the studied system is strongly coupled to a surrounding that is only weakly perturbed. One way to address this problem is to develop an efficient computer code based on the Schrödinger equation that makes it possible to handle systems of the size that is required. The Car – Parrinello approach ¹³ is the leading strategy along this line. It is however still too demanding with respect to computer resources to be able to handle the large systems necessary for biomolecular modelling or extended supra-molecular systems with the required accuracy. The solution to the problem is instead to combine classical modelling of the larger surrounding, along the line suggested by Westheimer⁴, Allinger⁷, Némety and Scheraga⁸, with quantum chemical modelling of the core region, where the chemically interesting action takes place.

The Nobel Prize in Chemistry 2013 Martin Karplus, Michael Levitt, Arieh Warshel

The Nobel Prize in Chemistry 2013





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Photo: Wikimedia Commons Arieh Warshel

The Nobel Prize in Chemistry 2013 was awarded jointly to Martin Karplus, Michael Levitt and Arieh Warshel "for the development of multiscale models for complex chemical systems".

Hierachical models

Martin Karplus

Michael Levitt

Continuum models of solvation

From qauntum-chemistry to condensed matter

- Hierarchical approach, solute with full details, solvent simplified:
- take advantage of statistical averaging: isotropic ______ continuum.
- only focuses on effects of interest: electrostatics;

Intrinsically high-throughput

Reduced number of molecules and implicit average Sometimes solvent is 'structural' Experimental dielectric constant Biased selection, sometimes all interactions are important

Why using a continuum?

- Cavity
 - Rigid, soft
 - Sharp or smooth
 - Atomic/electronic
- Interactions
 - Electrostatic
 - Cavitation
 - Dispersion/Repulsion
 - H-bonds



Continuum models: the main ingredients

Polarizable Continuum Model

$$\sigma^{pol} = A^{-1}\phi[\rho^{solute}]$$
$$G^{el} = \langle \Psi | H^0 + \frac{1}{2}\phi^{pol} | \Psi \rangle$$

- Molecular shaped cavity
- Usually atom-defined cavity
- Sharp 2D interface
- Numerically solved via Boundary Elements Methods (BEM)





- J. Tomasi and M. Persico, Chem. Rev. 94 2027 (1994)
- J. Tomasi, B. Mennucci and R. Cammi, Chem. Rev. 105 2999 (2005)

Continuum Models: PCM

PROs

- Fast
- Reasonably parameterized and well tested
- Its electrostatic component gives reliable results (spectroscopy, reactions, etc.)

CONs

- Sharp atom-centered interface: possible problems with forces
- Arbitrary and possibly parameter intensive definition of cavity
- Does not account cavity softness

PCM: Pros and Cons

of its most common flavor

- IEF-PCM by Tomasi, Mennucci et al.
- COSMO by Klamt et al.
- Isodensity PCM and Self-Consistent Isodensity PCM (SCI-PCM) by Scalmani et al.
- SMx (x=1,8) methods by Cramer, Truhlar et al.
- SVPE or SSVPE by Chipman et al.

Implemented in Gaussian, GAMESS, Molpro, NWChem, QChem, ADF, Dalton, etc.

PCM-related methods

$$F[\rho^{solute}, \phi^{tot}] = \int \phi^{tot}(\mathbf{r}) \rho^{solute}(\mathbf{r}) d\mathbf{r} - \int \frac{\epsilon[\rho^{el}(\mathbf{r})]}{8\pi} \left| \nabla \phi^{tot}(\mathbf{r}) \right|^2 d\mathbf{r}$$

$$\frac{\delta F}{\delta \phi^{tot}} = 0 \to \nabla \cdot \epsilon [\rho^{el}(\mathbf{r})] \nabla \phi^{tot}(\mathbf{r}) = -4\pi \rho^{solute}(\mathbf{r})$$

$$\frac{\delta F}{\delta \rho^{el}} = \left(\frac{\delta E^{hartree}}{\delta \rho^{el}}\right) + v_{pol} + v_{ol}$$

- Fully variational approach
- Smooth (3D) selfconsistent dielectric function

~ -

• Numerically solved via multigrid



J. Fattebert and F. Gygi, J. Comput. Chem. 23 662 (2002)

Continuum Models: FG

PROs

- Elegant variational formulation
- Soft self-consistent cavity
- Limited number of parameter

CONs

- More computational expensive
- Choice of dielectric function can lead to convergence problems
- Limited parameterization
- Missing non-electrostatic terms

FG Model: Pros and Cons

of its original formulation

- Self-consistent Continuum Solvation (SCCS) by Andreussi et al.
- Vasp-sol by Mattew and Hennig
- Salsa by Tomas Arias et al.
- CANDLE by Goddard et al.

Other tools/modules developed by Skylaris et al. Reuter, Oberhofer et al., Vandevondelee et al.

Implemented in QE, VASP, BigDFT, CP2K, ONETEP, CASTEP, etc.

Derived or analogous to FG



- Electrostatics based on a **redefined** version of Fattebert-Gygi model
- Non-electrostatics based on quantum surface and quantum volume
- Changed the numerical approach, from multigrid to **FFT-based**
- **Reparametrized** for neutral and charged compounds

O. Andreussi, I. Dabo and N. Marzari, J. Chem. Phys. 136 064102 (2012)

Self-consistent Continuum Solvation (SCCS)

Poisson Equation in dielectric medium

$$\begin{aligned} \nabla \cdot \epsilon \left(\rho^{el}(\mathbf{r}) \right) \nabla \phi^{tot}(\mathbf{r}) &= -4\pi \rho^{solute}(\mathbf{r}) \end{aligned}$$
Polarization charge
$$\rho^{pol}(\mathbf{r}) &\equiv -\nabla \cdot \mathbf{P}(\mathbf{r}) = \nabla \cdot \left(\frac{\epsilon(\rho^{el}(\mathbf{r})) - 1}{4\pi} \nabla \phi^{tot}(\mathbf{r}) \right) \end{aligned}$$
Vacuum-like Poisson Equation
$$\nabla^2 \phi^{tot}(\mathbf{r}) &= -4\pi \left(\rho^{solute}(\mathbf{r}) + \rho^{pol}(\mathbf{r}) \right) \end{aligned}$$
Polarization charge
$$\rho^{pol}(\mathbf{r}) &= \frac{1}{4\pi} \nabla \ln \epsilon(\rho^{el}(\mathbf{r})) \cdot \nabla \phi^{tot}(\mathbf{r}) - \frac{\epsilon(\rho^{el}(\mathbf{r})) - 1}{\epsilon(\rho^{el}(\mathbf{r}))} \rho^{solute}(\mathbf{r}) \end{aligned}$$

Revising FG: electrostatics

 $\rho^{iter}(\mathbf{r}) = \frac{1}{4\pi} \nabla \ln \epsilon (\rho^{el}(\mathbf{r})) \cdot \nabla \phi^{tot}(\mathbf{r})$



Computationally robust and easy to implement Naturally parallel and fully periodic

Iterative Solver via FFT

- Iterative solution on polarization charge can be shown to be equivalent to a preconditioned steepest-descent algorithm on the potential.
- A more efficient preconditioned conjugate-gradient algorithm with a smart preconditioner was recently developed.



Even better solvers

G. Fisicaro, L. Genovese, O. Andreussi, N. Marzari, and S. Goedecker, J. Chem. Phys. 144, 014103 (2016)

$$\epsilon_{\epsilon_{0},\rho_{min},\rho_{max}}\left(\rho^{elec}\right) = \begin{cases} 1 & \rho^{elec} > \rho_{max} \\ \exp\left(t\left(\ln\rho^{elec}\right)\right) & \rho_{min} < \rho^{elec} < \rho_{max} \\ \epsilon_{0} & \rho^{elec} < \rho_{min} \end{cases}$$

$$t(x) = \frac{\ln \epsilon_0}{2\pi} \left[2\pi \frac{(\ln \rho_{max} - x)}{(\ln \rho_{max} - \ln \rho_{min})} - \sin \left(2\pi \frac{(\ln \rho_{max} - x)}{(\ln \rho_{max} - \ln \rho_{min})} \right) \right]$$



- Ideally flat in important regions
- Adapted to an exponentially decaying electronic density
- Optimally smooth polarization charge

Novel dielectric function

• Quantum Volume

$$H = E + P^{ext}V$$

$$V = \int d\mathbf{r}\vartheta \left(\rho^{elec}\left(\mathbf{r}\right)\right)$$

• Quantum Surface

 $G^{cav} = \gamma S$

$$S = \int d\mathbf{r} \left\{ \vartheta_{\rho_0 - \frac{\Delta}{2}} \left(\rho^{elec} \left(\mathbf{r} \right) \right) - \vartheta_{\rho_0 + \frac{\Delta}{2}} \left(\rho^{elec} \left(\mathbf{r} \right) \right) \right\} \times \frac{\left| \nabla \rho^{elec} \left(\mathbf{r} \right) \right|}{\Delta}$$

M. Cococcioni, F. Mauri, G. Ceder and N. Marzari, *PRL* **94**, 145501 (2005) D. Scherlis, M. Coccocioni et al., *J. Chem. Phys.***124** 074103 (2006)

Cavitation model

$\Delta G^{sol} = \Delta G^{el}(\epsilon_0, \rho_{min}, \rho_{max}) + (\alpha + \gamma)S + \beta V$

Up to six parameters:

- Up to two experimental quantities (static dielectric constant and surface tension)
- Just two parameters to define the cavity (dielectric function)
- Up to two solvent-dependent parameters to fit experimental results

Parameterize SCCS: Free Energies of Solvation



Fit of the cavity parameters

Fit of the experiments







Test on Molecular Dynamics

Periodic Boundary Conditions (PBC) DFT convergence of Anions' Electronic Structure Much stronger solvent-solute interaction

Charged Systems: new problems

$$\nabla \cdot \mathbf{E}(\mathbf{r}) = 4\pi\rho(\mathbf{r}), \qquad (1)$$

$$\nabla \times \mathbf{E}(\mathbf{r}) = 0. \tag{2}$$

Due to the irrotational nature of the electrostatic field, it is often convenient to express it in terms of the gradient of a scalar potential, i.e., the electrostatic potential, as

$$\mathbf{E}\left(\mathbf{r}\right) = -\nabla v\left(\mathbf{r}\right) \tag{3}$$

and Eqs. (1) and (2) are recast into a single second-order differential equation, i.e., the Poisson equation

$$\nabla^2 v\left(\mathbf{r}\right) = -4\pi\rho\left(\mathbf{r}\right).\tag{4}$$

$$\nabla f(\mathbf{r}) \to \widetilde{\nabla f}(\mathbf{k}) = i\mathbf{k}\widetilde{f}(\mathbf{k}),$$
 (13)

$$\nabla \cdot \mathbf{F}(\mathbf{r}) \to \widetilde{\nabla \cdot \mathbf{F}}(\mathbf{k}) = i\mathbf{k} \cdot \widetilde{\mathbf{F}}(\mathbf{k}),$$
 (14)

where the overwritten tilde identifies Fourier-transformed functions. By applying the above relations to Eqs. (1) and (3), the general solution of the electrostatic field and potential in a periodic system can be written as

$$\mathbf{\Xi} \left(\mathbf{k} \right) = -4\pi \frac{i \mathbf{k} \varrho \left(\mathbf{k} \right)}{|\mathbf{k}|^2} \text{ for } \mathbf{k} \neq 0$$
 (15)

and

$$\sigma[\varrho](\mathbf{k}) = \frac{i\mathbf{k}\cdot\mathbf{\Xi}(\mathbf{k})}{|\mathbf{k}|^2} = 4\pi\frac{\varrho(\mathbf{k})}{|\mathbf{k}|^2} \quad \text{for} \quad \mathbf{k}\neq 0.$$
(16)

$$\mathbf{v}[\varrho](\mathbf{r}) = \frac{4\pi}{V} \sum_{\mathbf{k}\neq 0} \frac{\varrho(\mathbf{k})}{|\mathbf{k}|^2} e^{i\mathbf{k}\cdot\mathbf{r}} + \mathbf{\Xi}_0 \cdot \mathbf{r} + \mathbf{v}_0, \qquad (21)$$

FFTs and PBC artifacts

- Periodically replicated charge distribution
- Only neutral charge distributions (or neutralized background)
- Only zero average electric field
- Zero average potential (tin-foil boundary conditions)
- Different strategies to solve the problem in vacuum:
 - Makov-Payne (post-processing, cubic cells, errors up to L⁻³)
 - Martyna-Tuckerman (reciprocal space, perfect if cell twice as big as system)
 - Parabolic correction (Point-Countercharge PCC)

FFTs and PBC artifacts

$$\Delta v^{2D} \left(\rho^{tot}, \mathbf{r} \right) = \Delta v^{2D} \left(\rho^{tot}, z \right)$$
$$= \frac{\alpha_{1D}}{L_z} q - \frac{2\pi q}{AL_z} z^2 + \frac{4\pi}{AL_z} d_z \cdot z - \frac{2\pi}{AL_z} Q_{zz} \quad (86)$$

where $\alpha_{1D} = \pi/3$ is the Madelung constant of a one dimensional periodic array of charges, A is the area of the slab, while L_z is the size of the cell axis perpendicular to the plane of the slab. The correction to the energy is readily obtained by integration with the system charge density: namely, for the system in vacuum

$$\Delta E^{2D} = \frac{\alpha_{1D}}{2L_z} \left(q^{solute}\right)^2 - \frac{2\pi}{AL_z} \left(q^{solute} Q_{zz}^{solute} - \left(d_z^{solute}\right)^2\right) \tag{87}$$

Similarly to what was derived for the isolated system, also for slabs the effect of the solvent can be immediately included by defining the corrective potentials in terms of the global dipole moment of the system, thus including the contribution of the polarization density

$$\Delta E^{2D} = \frac{\alpha_{1D}}{2L_z} \frac{\left(q^{solute}\right)^2}{\epsilon_0}^2 - \frac{2\pi}{AL_z} \left(q^{solute} \left(\frac{Q_{zz}^{solute} + Q_{zz}^{pol}}{2} + \frac{Q_{zz}^{solute}}{2\epsilon_0}\right) - \left(d_z^{solute} + d_z^{pol}\right) d_z^{solute}\right)$$
(88)



Parabolic correction in 2d systems



PBC Correction: extension to continuum environments



[1] M.C. Kim, E. Sim and K. Burke, *J. Chem. Phys.* 134, 171103 (2011)
[2] O. Andreussi, C. Dupont and N. Marzari, *in preparation* (2017)

Converging Anions in vacuum





- Very good results on cations (MAE of ~2 kcal/mol)
- Poor results on anions, improve after reparametrization (MAE of ~7 kcal/mol)



C. Dupont, O. Andreussi and N. Marzari, J. Chem. Phys. 139, 214110 (2013)

Stronger solvent-solute interaction: refitting

Revised self-consistent continuum solvation (SCCS) model for the excited state (TDDFpT)

THE JOURNAL OF CHEMICAL PHYSICS 142, 034111 (2015)



Self-consistent continuum solvation for optical absorption of complex molecular systems in solution

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We introduce a new method to compute the optical absorption spectra of complex molecular systems in solution, based on the Liouville approach to time-dependent density-functional perturbation theory and the revised self-consistent continuum solvation model. The former allows one to obtain the absorption spectrum over a whole wide frequency range, using a recently proposed Lanczos-based technique, or selected excitation energies, using the Casida equation, without having to ever compute any unoccupied molecular orbitals. The latter is conceptually similar to the polarizable continuum

From ground state to excited state

What happens when we excite the system (irradiation by light)?

The dielectric constant of the solvent (water in this case) changes from 80 to 1.7. This fact is taken into account in the TDDFPT equations.



Approximation for the optical response:

$$\epsilon(\omega) = \epsilon_{\infty}$$

TDDFPT + SCCS equations in a nutshell

To 1st order in the perturbation, the frequency-dependent Kohn-Sham equation reads:

$$\left(\hat{\boldsymbol{H}}^{\circ}-\varepsilon_{\boldsymbol{v}}-\omega\right)\tilde{\varphi}_{\boldsymbol{v}}^{\prime}(\boldsymbol{\mathsf{r}},\omega)+\hat{P}_{c}\hat{\boldsymbol{V}}_{\mathrm{int}}(\omega)\varphi_{\boldsymbol{v}}^{\circ}(\boldsymbol{\mathsf{r}})=-\hat{P}_{c}\hat{\boldsymbol{V}}_{\mathrm{ext}}^{\prime}(\omega)\varphi_{\boldsymbol{v}}^{\circ}(\boldsymbol{\mathsf{r}})$$

where

$$\hat{H}^{\circ} = -\frac{1}{2}\nabla + \hat{V}_{\text{XC}}^{\circ} + \hat{V}_{\text{ext}}^{\circ} + \hat{V}_{\text{H,solute}}^{\circ} + \hat{V}_{\text{H,solvent}}^{\circ} + \hat{V}_{\epsilon}^{\circ}$$

$$\hat{V}_{\text{int}}(\omega) = \hat{V}_{\text{XC}}'(\omega) + \hat{V}_{\text{ext}}'(\omega) + \hat{V}_{\text{H,solute}}'(\omega) + \hat{V}_{\text{H,solvent}}'(\omega) + \hat{V}_{\epsilon}'(\omega)$$

E

The solute and solvent response charge densities read:

Examples (using B3LYP hybrid functional)

4-aminophthalimide (4-AP)



The solvatochromic shifts of the absorption peaks in the spectra are due to two effects:

- geometrical (relaxation in vacuum VS solvent);
- *dielectric screening* (electronic excitations are screened by the solvent)

I. Timrov, O. Andreussi, A. Biancardi, N. Marzari, S. Baroni, J. Chem. Phys. **142**, 034111 (2015).



cyanin



alizarin

The Environ module

A library for continuum environments in QE

- Interfaced with PW, NEB, CP, and TDDFpT
- Planned extension to PHonon, (and Yambo)
- Available on QE-Forge
 <u>http://qe-forge.org/gf/project/electroemb/</u>
- Official release, infos, news, etc. on
 - www.quantum-environ.org

	Environ		
	A module to handle environment effects ir quantum-mechanical simulations	n first-principles	
	News		
			A) O ₂ adsorption
	A state of the sta		DOLLEARCE
	Linear-response calculation of	Removing the artefacts of	Solvent effects on Oxygen
	optical spectra of solvated	periodic-boundary conditions in	Reduction Reaction (ORR) on
	systems	dielectric environments.	platinum.

Environ as a plugin of QE

- Plugins activated by the "exe.x --plugin" (e.g. pw.x --environ) command-line option
- Handling of input keywords:
 - Independent input file (environ.in)
- Print outs: summary, timing, debug
 - Internal debug with an internal verbose=integer keyword
 - Not (yet) interfaced with the post-processing (PP) code

Interfaced with QE via plugins

- Designed for arbitrary "external" potentials which need to be computed along the SCF (may depend on electronic density)
- Each physical embedding requires up to three ingredients:
 - Contribution to the Kohn-Sham potential (in real-space)
 - Contribution to the total energy
 - Contribution to the inter-atomic forces

Environ as a self-standing library

- Continuum dielectric
- External pressure
- Surface tension
- Periodic-boundary-conditions corrections in real-space (especially useful for slabs)
- Fixed user-defined distributions of charges
- Fixed user-defined dielectric regions
- Electrochemical diffuse-layer (in progress)

Available Embeddings

Card: EXTERNAL_CHARGES { bohr angstrom }									
Syn	tax:								
EXTERNAL_CHARGES { bohr angstrom }									
	Q(1)	x(1)	y(1)	z(1)	{ spread(1)	dim(1)	axis(1)	}	
	<u>Q(2)</u>	<u>x(2)</u>	<u>y(2)</u>	<u>z(2)</u>	{ <u>spread(2)</u>	<u>dim(2)</u>	<u>axis(2)</u>	}	
	<u>Q(nec)</u>	<u>x(nec)</u>	<u>y(nec)</u>	<u>z(nec)</u>	{ <u>spread(nec)</u>	<u>dim(nec)</u>	<u>axis(nec)</u>	}	
Description of items:									
<pre>bohr : atomic positions are in cartesian coordinate,</pre>									
angstrom: atomic positions are in cartesian coordinates, in Angstrom									
	~1		-		• 1				

- Classical (fixed) charge distributions
- Compensate extra charge in simulation cell
- QM/MM (only electrostatics up to now)



- Shape given by Gaussian function exp(||x-x₀||²/spread²)
- Possibility to define multiple 0D-1D-2D charges

External Charges

Card: DIELECTRIC_REGIONS { bohr angstrom }												
Synt	ax:											
I	DIELECTRIC <u>EpsSt(1)</u> <u>EpsSt(2)</u> <u>EpsSt(ndr)</u>	C_REG <u>EpsOr</u> <u>EpsOr</u> <u>EpsO</u> r	;IONS { <u>pt(1)</u> <u>pt(2)</u> pt(ndr)	[bohr <u>x(1)</u> <u>x(2)</u> <u>x(ndr)</u>	angstr <u>y(1)</u> <u>y(2)</u> <u>y(ndr)</u>	rom } <u>z(1)</u> <u>z(2)</u> <u>z(ndr)</u>	<u>width(1)</u> width(2) width(ndr)	{ { {	<u>spread(1)</u> <u>spread(2)</u> <u>spread(ndr)</u>	<u>dim(1)</u> <u>dim(2)</u> <u>dim(ndr)</u>	<u>axis(1)</u> axis(2) axis(ndr)	} } }
Description of items: bohr : positions are in cartesian coordinate, in atomic units (i.e. Bohr radii) angstrom: positions are in cartesian coordinates,												
	EnsSt		REAL									
	static permittivity inside of dielectric region											
										[Back to To	p]
	EpsOpt		REAL									
	optica	al permi	ittivity	y inside	e of die	lectric	region					

- Solvent exclusion regions
- Reduce complexity of systems (substrates effects, interfaces, etc.)



• Shape given by complementary error function:

 $erfc((||x-x_0||-width)/spread)$

- Possibility to define multiple 0D-1D-2D regions
- At the intersection, input orders count

Dielectric regions

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Using SCCS



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THEOS THEORY AND SIMULATION OF MATERIALS