Description and evaluation of the Earth System Regional Climate Model (RegCM-ES)

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19 Abstract

The increasing availability of satellite remote sensing data of high temporal frequency and 20 spatial resolution has provided a new and enhanced view of the global ocean and atmo-21 sphere, revealing strong air-sea coupling processes throughout the ocean basins. In order 22 to obtain an accurate representation and better understanding of the climate system, its 23 variability and change, the inclusion of all mechanisms of interaction among the differ-24 ent sub-components becomes ever more desirable. Regional coupled ocean-atmosphere 25 models can be especially useful tools to provide information on the mechanisms of air-26 sea interactions and feedbacks occurring at fine spatial and temporal scales. In this paper 27 we describe a new, state-of-the-art, Earth System Regional Climate Model (RegCM-ES). 28 RegCM-ES presently includes the coupling between the atmosphere, ocean and land sur-29 face, as well as an hydrological and ocean biogeochemistry model. The regional coupled 30 model has been implemented and tested over some of the COordinated Regional climate 31 Downscaling Experiment (CORDEX) domains. RegCM-ES shows a good representation 32 of precipitation and SST fields over the domains tested, as well as realistic simulations of 33 coupled air-sea processes and interactions. The RegCM-ES model, which can be easily 34 implemented over any regional domain of interest, is open source, making it suitable for 35 usage by the broad scientific community. 36

37 **1 Introduction**

The representation of all different components of the climate system, including the 38 atmosphere, land, ocean and their interactions, is fundamental for understanding climate 39 variability and change at a wide range of temporal and spatial scales. Satellite remote 40 sensing data of high temporal and spatial resolution has become increasingly available, 41 thus providing a new and enhanced view of the global ocean/atmosphere system and of 42 the strong air-sea coupling processes that characterize it (see reviews by Chelton et al. 43 [2004], Xie [2004], and Small et al. [2008]). For instance, a significant wind response 44 to SST fronts has been identified in the Gulf Stream region [Chelton et al., 2004], the 45 Brazil/Malvinas system [Tokinaga et al., 2005] and the Agulhas Return Current [O'Neill 46 et al., 2005], to name a few. 47

Coupled ocean-atmosphere models are thus essential tools to properly represent airsea interactions and feedbacks. In the last years, global coupled models have progressively refined their horizontal resolution to attempt to resolve smaller-scale processes. However, fine-resolution regional coupled ocean-atmosphere models, when properly driven by the large-scale circulation, can provide additional information on the mechanisms of air-sea interactions involving oceanic mesoscale and sub-mesoscale eddies [*Seo et al.*, 2006].

Coupled Earth System Models (ESMs) include physical components (i.e. the at-54 mosphere, ocean, land surface, sea-ice) as well as the representation of carbon pathways 55 through the land, atmosphere and ocean. Due to their extensive computational and storage 56 requirements, global circulation models and ESMs are generally not suited for regional ap-57 plications requiring high spatial and temporal resolutions and more detailed representation 58 of local processes, features (i.e. topography) and feedback mechanisms. To that end, Re-59 gional Earth System Models (RESMs) have been developed and are increasingly used in 60 the study of regional climate variability and change [Misra et al., 2009; Xu and Xu, 2015; 61 Byrne et al., 2016; Kilpatrick et al., 2016; Seo et al., 2016; Turuncoglu and Sannino, 2016]. 62

In this paper we present the Earth System Regional Climate Model (RegCM-ES), maintained and distributed by the Abdus Salam International Centre for Theoretical Physics (ICTP), which is a state-of-the-art regional coupled model that builds on the RegCM modeling system presented in *Giorgi et al.* [2012]. RegCM-ES presently supports the coupling between the atmosphere, ocean, and land surface components, and includes an hydrological and ocean biogeochemistry model. It can easily be implemented over any region of interest and early versions of it have already been successfully tested over some of the COordinated Regional climate Downscaling Experiment (CORDEX) domains and more:
 South Asia [*Ratnam et al.*, 2009], the Mediterranean [*Artale et al.*, 2010; *Turuncoglu and Sannino*, 2016], the Caspian Sea [*Turuncoglu et al.*, 2013]. CORDEX is a WCRP-sponsored
 program that organizes an international coordinated framework to produce an improved
 generation of regional climate change projections world-wide for input into impact and
 adaptation studies [*Giorgi et al.*, 2009].

The RegCM-ES is a community model and is open source and available under the 76 GNU General Public License (version 3), making it suitable for usage by the large sci-77 entific community. Source codes for the driver are distributed through the public code 78 repository hosted on GitHub (https://github.com/uturuncoglu/RegESM). The de-79 velopment of the driver is a collaborative work between the Istanbul Technical University 80 (ITU), the Italian National Agency for New Technologies, Energy and Sustainable Eco-81 nomic Development (ENEA) and the Abdus Salam International Centre for Theoretical 82 Physics (ICTP) [see also Turuncoglu and Sannino, 2016]. The RegCM-ES source code 83 also includes the required code patches for the individual model components to be used 84 within the coupled modeling framework. The ICTP's RegCM atmospheric model is also 85 distributed along with the RegCM-ES driver together with all necessary modifications for 86 its coupling. On the other hand, the source codes of the remaining individual model com-87 ponents are distributed by their own official releases, and different licensing types might 88 apply. 89

In addition to briefly describing the model components and coupling processes, this 90 paper presents three illustrative applications of the coupled model to a Central America 91 and South Atlantic domain, and to the tropical band configuration described by Coppola 92 et al. 2012. These applications are not intended to provide a full assessment of the model, 93 but more simply to illustrate its basic functioning. The article is organized as follows. In 94 Section 2 we describe the individual model components, their coupling and the driver phi-95 losophy. In section 3 we analyze the RegCM-ES simulations over the three domains. Sec-96 tion 4 highlights some ongoing developments and section 5 presents a summary of our 97 results and future outlooks. 98

⁹⁹ 2 The RegCM-ES model: individual components and coupling

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2.1 Atmospheric and land surface component: the RegCM4 model

The atmospheric component of the regional coupled model is the Regional Climate 101 Model version 4 [RegCM4; Giorgi et al., 2012]. The dynamical core of RegCM4 is based 102 on the primitive equations, hydrostatic version of the National Centre for Atmospheric Re-103 search (NCAR) and Pennsylvania State University mesoscale model MM5 [Grell et al., 104 1995]. RegCM4 is maintained by ICTP's Earth System Physics (ESP) section. It can be 105 applied to any region of the World, with grid spacing of up to about 10 km (in the hydro-106 static limit), and for a wide range of studies, from process and model development studies 107 to regional paleoclimate and future climate simulations. A non-hydrostatic version of the 108 model is also currently being tested. RegCM4 is a free software under the terms of the 109 GNU General Public License as published by the Free Software Foundation and as such 110 the code can be downloaded from its own repository (http://gforge.ictp.it/gf/project/regcm/). 111

All the experiments presented in this paper employ the Community Land Model 4.5 [CLM; *Oleson et al.*, 2010] for the representation of land surface processes. CLM4.5 is an advanced land surface package which includes soil, vegetation, snow and hdyrology calculations. It has options to describe dynamic vegetation processes responding to varying climate forcinds as well as agricultural and urban environments. In addition the model can use the Biosphere-Atmosphere Transfer Scheme (BATS, Dickinson et al. 1993), which is a much simpler land surface model. To simulate lateral freshwater fluxes at the land surface and to provide a river discharge to the ocean model, RegCM-ES uses the Hydrological Discharge (HD, version 1.0.2) model developed by the Max Planck Institute [*Hagemann and Dümenil*, 1998, 2001]. The model is designed to run on a fixed global regular grid of 0.5^o horizontal resolution, and it uses a pre-computed river channel network to simulate the horizontal transport of water within model watersheds. To do so, different flow processes are used, such as overland flow, baseflow and riverflow.

The RegCM4 includes a range of physical parameterizations for cumulus convection, 126 resolvable scale precipitation and cloud microphysics, planetary boundary layer and radiative transfer processes, which are described in Giorgi et al. [2012] and Nogherotto et al. 128 [2016]. In general, the performance of the physics schemes can depend on the region of 129 application, so that different schemes can be selected over different domains (see following 130 sections for more details on our test cases). The model also includes different physical pa-131 rameterizations of air-sea exchanges of momentum, heat and water vapor, however in the 132 present version of the RegCM-ES the only scheme available to describe exchanges with a 133 coupled ocean component is the Zeng Ocean Air-Sea Parameterization [Zeng et al., 1998]. The flexible design of the coupling interface also supports the use of a one-dimensional 135 lake model [Hostetler et al., 1993; Small et al., 1999] along with slab ocean model [Sol-136 mon et al., 2015]. The driver and coupling interface are designed to adapt easily to future 137 versions and developments of RegCM. 138

2.2 Oceanic component: the MITgcm model

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The ocean component maintained in the RegCM-ES is the Massachusetts Institute of 140 Technology General Circulation Model version c63s [MITgcm; Marshall et al., 1997; Ad-141 croft and coauthors, 2016, http://mitgcm.org/]. The MITgcm solves the incompress-142 ible Navier-Stokes equations on different types of grid in hydrostatic or non-hydrostatic 143 mode, using finite volume methods and orthogonal curvilinear coordinates in the hori-144 zontal. MITgcm has a large user community and has been used for a variety of purposes, 145 from idealized process studies to regional and global ocean simulations [e.g. Stammer 146 et al., 2003; Sannino et al., 2009; Furue et al., 2015; Rosso et al., 2015; Sannino et al., 147 2015; Reale et al., 2016]. Similar to the atmospheric model component, the ocean model has been modified in order to exchange fluxes with the remaining components through a 149 driver. 150

The ocean component can be set to exchange different fields with the overlying at-151 mosphere, depending on the application and the particular set-up of the experiment. Air-152 sea exchange fields are selected from a predefined field table. For example, the ocean 153 model can be set to use fluxes (heat, freshwater and momentum) provided by the atmo-154 spheric component. Alternatively, it can receive the atmospheric conditions as input, such 155 as surface air temperature, humidity, surface pressure, winds and precipitation, and com-156 pute fluxes internally through bulk formulas. In the configurations presented here, RegCM-157 ES uses the latter option. Presently, in RegCM-ES there is no implementation of the inter-158 action between a surface wave model and the ocean, which could be potentially of interest 159 for application in coastal areas. Future releases will address this issue by implementing a 160 wave model within the MITgcm. 161

In order to use the MITgcm in a regional ocean configuration we use lateral open boundary conditions prescribed by the MITgcm OBCS package. The geographical definition of OBCS is domain-dependent, and boundary conditions are prescribed using temperature, salinity, and velocity fields which are read from an external file during the runtime. To ensure numerical stability a sponge layer is added to each open boundary of the domain. Each variable is then relaxed towards the boundary values with a relaxation time scale that decreases linearly with distance from the boundary. The thickness of the sponge layer is one degree and inner fields are relaxed towards boundary values with a 10-day period. Details on parameterizations, parameter settings, ocean resolution, initial and lateral
 boundary conditions are provided later for each test case study.

2.3 The driver

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To achieve a modular and flexible modeling system that aims to support multiple model components and applications, the RegCM-ES uses the driver based coupling approach developed in *Turuncoglu and Sannino* [2016], an improved version of the original two-component coupled system implemented in *Turuncoglu et al.* [2013]. The coupled model is schematically depicted in Fig. 1. The driver couples, controls and synchronizes each individual model component, interchanging output and input fields and performing interpolations when necessary.



Figure 1. Schematic view of all RegCM-ES modelling components. The arrows indicate the interaction
 direction between individual components. Both atmosphere and ocean are forced by lateral boundary condi tions.

The driver combines each model component by using its standardized application 183 programming interfaces (APIs). Data exchanges and online regridding capabilities are 184 performed by using the Earth System Modeling Framework [ESMF; Hill et al., 2004a,b; 185 Collins et al., 2005] and the National United Operational Prediction Capability (NUOPC) 186 layer. Each model component is merged using the latest version of ESMF (version 7.0.0). 187 The ESMF framework is selected because of its online regridding capabilities, which al-188 lows the driver to readily perform different types of interpolation (e.g., bilinear, conserva-189 tive) for the exchange fields. The NUOPC layer simplifies common tasks of model cou-190 pling, component synchronization and run sequence by providing an additional wrapper 191 layer between the coupled model and the ESMF framework. 192

NUOPC also allows the definition of different coupling time intervals among the
 components. For example, simulations analyzed in this paper have three active components: the atmosphere (ATM), the ocean (OCN) and the river component (HYD). HYD
 runs on a daily time step and the interaction between the ATM and HYD, as well as HYD

and OCN, uses this slow timescale. Instead, ATM and OCN interact with a much higher frequency (3 hours for our standard set-up).

2.4 Performance and scalability

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The performance tests presented in this section can illustrate potential pitfalls, bottlenecks and overall scalability limits. The performance benchmarks include analyses of coupling time step frequency and number of components with respect to the total performance and scalability of the coupled model. To reveal the overhead of the coupling, or simply the driver, first each individual model component was tested in stand-alone mode and then the best performing model configuration was used in the coupled model configuration.

For the sensitivity tests we used the Central America domain and run several ex-207 periments lasting 5 model days (for details on the configuration of the domain see sec-208 tion 3.1). Fig.2 shows the benchmark results, including total wall-clock time and rela-209 tive speed-up based on 36 cores, for the stand-alone atmosphere (ATM), the stand-alone 210 ocean (OCN) and the coupled model (CPL). The atmospheric model was further tested us-211 ing two different cloud schemes. In the first experiment the resolvable scale precipitation 212 scheme of Pal et al. [2000] is used (Subgrid Explicit Moisture Scheme, SUBEX), while 213 the second employs a detailed cloud microphysics scheme [NT; Nogherotto et al., 2016]. 214



Figure 2. Benchmark results of standalone atmosphere model ATM (a), ocean only model OCN (b) and coupled model ATM+OCN (c). Black lines represent the results using the SUBEX scheme, whereas gray lines shows the model performance using the NT scheme. (d) Effect of coupling interval with a frequency of 3 hours (black lines) and 1 hour (gray lines), and (e) comparison with two (ATM+OCN, black lines) and three (ATM+OCN+HYD, gray lines) components in the RegCM-ES coupled model. Both wall-clock time (left vertical axis, solid lines) and speed-up based on 36 cores (right vertical axis, dotted lines) are shown.

The SUBEX scheme is faster regardless of the number of processors used, but both the SUBEX and NT schemes produce similar ATM scalabilities (Fig.2 a). The OCN run is between 1.6 and 2.4 times slower than the ATM-SUBEX configuration, whereas CPL is 2.2 to 3.4 times slower than ATM. Both OCN and CPL runs reduce their performances in comparison with ATM as the number of cores increases. The NT scheme performance in the CPL run weakens much faster than SUBEX, which shows a better scalability (Fig.2a).

In the current set-up, the CPL model does not produce significant speed-ups past 250 227 cores, either using the SUBEX or the NT schemes. In order to assess the driver perfor-228 mance, we tested the coupled model by either using different coupling frequencies, 1-hour 220 and 3-hours (Fig.2 d), or by modifying the number of active components (ATM-OCN and ATM-OCN-HYD, Fig.2 e). As expected, increasing the frequency of the coupling de-231 creases the performance of the model and its speed-up in sequential mode. However if the 232 number of cores is higher than 300 both experiments have the same scalability. Adding 233 another component, in this case the rivers (HYD), reduces the model performance sub-234 stantially starting from 72 cores (Fig.2 e). Also, as the number of cores increases past 235 180, the model performance begins to decrease due to higher time consumed for the com-236 munication between the various components of the system. 237

In general, it should be stressed that these performance results may depend on the number of grid points in the domain, with increasing scalability at larger grid point numbers.

²⁴¹ **3 Testing RegCM-ES over selected domains**

In this section we present illustrative examples of the RegCM-ES performance over three selected regional domains: Central America, South Atlantic and the Tropical Band. Similar experiments were performed for the Indian and Mediterranean CORDEX domains (see Fig. 3), and will be described more extensively in separate studies. After a general assessment of the RegCM-ES performance over the selected domains, some examples on how RegCM-ES represents coupled processes and air-sea interactions will also be given.



Figure 3. Different domains over which the RegCM-ES model has been tested. The solid red lines indicate the atmospheric model domain. The blue solid box shows the ocean model domain. Dotted lines are for the Tropical Band domain extending to 42° and 30° for the atmosphere and ocean, respectively.

251 **3.1 The Central American domain**

The Central America (CA) follows the CORDEX specifications, covering a large area of Central America and adjacent ocean and land regions at a grid spacing of 50 km and 23 vertical sigma/p levels. The atmospheric component employs an enhanced radiative transfer scheme [*Kiehl et al.*, 1996; *Giorgi et al.*, 2012] and the planetary boundary layer scheme of University of Washington (UW-PBL) [*Bretherton et al.*, 2004]. For cumulus convection we used the scheme of *Tiedtke* [1989] over land and *Emanuel* [1991] over ocean. In addition, both the SUBEX and NT resolvable scale precipitation schemes
 are tested. All simulations use the ERA Interim reanalysis [*Dee and Coauthors*, 2011] as
 lateral boundary and initial atmospheric conditions.

The ocean model covers the region from 15°S to 54°N and 145°W to 15°W (Fig. 261 3) at a horizontal resolution of ~ 0.12 degree in both zonal and meridional directions. The 262 vertical resolution ranges from 1 m near the surface to 250 m near the bottom with a to-263 tal of 40 z-levels. The model uses the non-linear free surface, a non-slip condition at the 264 land boundaries and a quadratic form of bottom friction with a drag coefficient of 0.002. 265 Vertical mixing is parameterized with the K-profile parameterization of *Large et al.* [1994]. while the Smagorinsky scheme [Smagorinsky, 1993] is implemented for viscosities, with 267 a biharmonic coefficient of 3 following Griffies and Hallberg [2000]. The background co-268 efficient is set to 1×10^{-5} m² s⁻¹ for viscosity and 5×10^{-5} m² s⁻¹ for tracer diffusion. The 269 bathymetry was obtained from the global topography of Smith and Sandwell [1997]. 270

Initial and lateral boundary conditions for the regional ocean model were obtained 271 from a global integration of the NOAA/Geophysical Fluid Dynamics Laboratory MOM 272 model at 0.25° horizontal resolution (MOM025; http://mom-ocean.org) forced by the 273 interannually varying CORE-II atmospheric state for the 1948-2007 period [Danabasoglu 274 et al., 2014], after interpolating temperature, salinity and horizontal velocities onto the 275 model grid. Lateral boundary conditions include temperature, salinity and velocity com-276 ponents. Sponge layers of one degree are implemented at each boundary, where monthly 277 averaged fields are prescribed every 10 days. 278

Differences in grid spacing between the global and regional models lead to systematic errors after interpolation, particularly in the case of the velocity fields. To avoid a possible mass imbalance between boundaries, the interpolated normal velocity fields across each open boundary are further individually adjusted to impose the same transport given by the original coarse grid of the global MOM025 model. Adjustments at each boundary are added as a barotropic velocity uniformly distributed over all grid points.

The coupled model RegCM-ES is run for 10 years, from 1988 to 1997. Averaged fields and biases are computed over the entire experimental period.

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3.1.1 Comparison with observations: coupled vs standalone

The seasonal precipitation biases for the atmospheric and coupled simulations are shown in Fig.4. As previously reported in *Fuentes-Franco et al.* [2014], all simulations show a prevailing tendency to overestimate rainfall over the topographically complex areas of the domain , particularly during summer, although the overall regional topographicallyinduced spatial details are captured.

When comparing the results using the SUBEX and NT schemes in the atmosphereonly simulations, the main differences are given by smaller biases over the Eastern Tropical Pacific (ETP) in the NT (Fig.4e-f vs. (Fig.4a-d) in all seasons. In MAM, for example, a slight northeastward shift of the simulated Inter-Tropical Convergence Zone (ITCZ) over the ETP (see Fig.4b) generates a wet bias over the Southwestern Mexican and Central-American coasts in the SUBEX simulation, which is improved by the NT scheme (Fig.4f).

Over land, differences are found over the Northern extension of the Andes where the NT shows an overestimation of precipitation compared to SUBEX. However, in general the NT scheme improves the dry bias found in SUBEX over Northern South America, showing a wet bias in SON. Similarly, over North America the NT scheme shows smaller dry biases over the South and Midwest USA compared to SUBEX.

The coupled simulations using the NT and SUBEX schemes show similar changes compared to the corresponding atmosphere-only simulations. The coupled simulations (Fig.4 i-p) yield an improvement of the biases over the Tropical North Atlantic (TNA) by



Figure 4. Precipitation bias over the Central America domain with respect to observations (GPCP). (a-d)
 Standalone atmospheric model (ATM) using SUBEX scheme; (e-h) ATM model using NT; (i-l) coupled
 model (CPL) using SUBEX and (m-p) CPL with the NT scheme. Biases are computed over the period 1988 1997 of austral summer (DJF, left), autumn (MAM, center-left), winter (JJA, center right) and spring (SON,
 right).

reducing the wet bias over the Caribbean Sea found in the atmospheric only simulations.
Furthermore, the location of the ITCZ over the TNA is improved in the coupled simulations, especially in the rainy season (JJA and SON), since the atmosphere-only simulations
show a southern shift of the ITCZ position. Over the ETP, in DJF and MAM there is a
shift of the ITCZ towards the south, with wet anomalies at latitudes south of the Equator.
However, in JJA and SON, the dry bias present in the atmosphere-only simulations over
the northern ETP (from 10°N to 20°N and from 130°W to 100°W) is much reduced in the

³¹⁹ coupled simulations (Fig.4 c,d,g,h, k, l, o, p).



- Figure 5. Sea surface temperature (SST, in °C) bias over the Central America domain with respect to
- NOAA NCDC ERSST version4. (upper panels) SUBEX experiment and (lower panels) using the NT scheme.
- Biases are computed over the period 1988-1997 of austral summer (DJF, left), autumn (MAM, center-left),
- winter (JJA, center right) and spring (SON, right).
- These biases can be partially explained by the SST biases in the coupled simulations shown in Fig.5. In all seasons the SST over the TNA presents warm anomalies in the easternmost part of the domain, and cold anomalies in its westernmost parts along the

South American coast and the Caribbean Sea. The warmer than observed SST over the 327 eastern TNA (Fig.5 c-d) causes intensified convection that is responsible for the wet bias 328 during JJA and SON (Fig.4 k, l). Conversely, over the ETP, there is a warm anomaly in 220 the northernmost regions along the US and Baja California coast and South of the Equator in the region Niño 1+2. This warm bias causes a southern shift of the ITCZ Fig.4(i, j, m, 331 n), which is in fact intensified in DJF and MAM, when a cold bias in the central Pacific 332 extends east towards the coasts of Mexico and Central America. During the warm period 333 of the year (JJA and SON), there is a warm SST bias throughout the ETP, except over the 334 Equator. 335

An added value of the NT scheme versus the SUBEX scheme is found over the ETP close to the Central American coasts (Fig.4 k, o respectively), where an improvement of the wet biases is found in JJA, despite the presence of a warmer SST bias in the NT region (Fig.5g) compared to SUBEX (Fig.5c). Over land, the coupled simulations do not show significant changes compared to the corresponding atmosphere-only simulations.

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3.1.2 Tropical Pacific Ocean and Atlantic transports

Achieving a realistic representation of subsurface properties in the Tropical Pacific is fundamental for a proper simulation of coupled ocean-atmosphere processes, such as the El Niño Southern Oscillations (ENSO). The Tropical Pacific upper ocean state is controlled by a delicate balance between surface forcing, mainly wind stress, and ocean physics such as vertical mixing and lateral friction. Hence, in a coupled framework, both atmospheric and oceanic conditions will influence the structure of the upper Pacific ocean.

Figure 6 shows the equatorial upper ocean temperature and zonal velocity from the 348 SODA [Carton and Giese, 2008] reanalysis product and RegCM-ES averaged over the 349 years 1988-1997. The model maintains a thermocline which is in good agreement with 350 the reanalysis, especially in the eastern Pacific where models have difficulties in repro-351 ducing the observed thermal stratification [Griffies et al., 2009] due to lack of oceanic and atmospheric resolution. The thermocline is shown to be too diffusive in the model, al-353 though stratification below the thermocline is capturing the thick layers between 11 and 354 14°C. Equatorial zonal currents also show comparable strengths in RegCM-ES and SODA, 355 with the equatorial undercurrent peaking at 1.1 m s^{-1} as in SODA, although its core is 356 deeper than observed. Surface currents are overestimated, possibly due to stronger and 357 too-westward wind stresses, causing a cold bias around the centre of the domain. 358

The Atlantic side of the Central American domain encompasses a large fraction of the subtropical North Atlantic, the Caribbean Sea and the Gulf of Mexico. The last two regions require fine horizontal and vertical resolution in order to simulate oceanic transports through narrow and shallow straits and account for intense air-sea interactions in what is commonly defined the Atlantic warm pool [*Misra et al.*, 2009]. A common evaluation for ocean models is the estimation of volume transports through well-measured "choke points" (straits or passages) which are known to be a measure for the fidelity of the simulated large-scale circulation.

Much of the warm and salty upper limb of the meridional overturning circulation 370 in the North Atlantic enters the Caribbean Sea through the many passages on its eastern 371 flank. These circulations merge and flow through the Yucatan Channel (between Mexico and Cuba) into the Gulf of Mexico and exit through the Florida Strait as the major 373 source of the Gulf Stream. The time-mean flow through the Yucatan channel in RegCM-374 ES is 29.6 Sv (1 Sv \equiv 10⁶ m³/s), very close to the observational estimate of 30.5 \pm 5.3 Sv 375 376 [Rousset and Beal, 2010]. In contrast, the global model MOM025 has a weaker transport of 22.8 Sv. The sluggish Yucatan transport in MOM025 can largely be explained by 377 the weak Windward Passage transport (between the islands of Cuba and Hispaniola) of 378 1.9 Sv, which is observed to be 7.5 \pm 1.6 [Smith, 2010] and is 8.4 Sv in the fine-resolution 379 RegCM-ES. As a consequence, the Florida Strait transport in RegCM-ES is 31.6 Sv, closely



Figure 6. (Top panels) Upper ocean temperature (in $^{\circ}$ C) at the equator in the Pacific Ocean. (Lower panels) Upper ocean zonal velocity component (in m s⁻¹) at the equator in the Pacific Ocean. Time-mean values over the period 1990-1995 are shown for reanalysis temperatures (SODA) (left) and RegCM-ES (right).

matching the observation of 30.8 ± 3.2 [*Rousset and Beal*, 2011], whereas MOM025 only transports 26.6 Sv northward through the Florida Strait. RegCM-ES then better represent transports through the main straits in the Caribbean Sea, with consequences for the injection of warm and salty waters of equatorial origin into the Gulf of Mexico and the resulting intensity of the Gulf Stream.

3.1.3 Air-sea interactions in a tropical cyclonic event

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Strong winds associated with tropical cyclones (TCs) generate a cooling in SST be-387 hind the storm. The cooling has been shown to rely on five different processes: 1) the 388 intense wind stress around the cyclone causes the entrainment of cold water from the 389 underlying thermocline into the ocean mixed layer leading to a decrease in SST [Leip-390 per, 1967; Bender et al., 1993; Hart et al., 2007; Hart, 2011; Price et al., 2008; Jansen 391 et al., 2010]; 2) enhanced surface sensible and latent heat fluxes from the ocean to the at-392 mosphere driven primarily by the high wind speeds near the radius of maximum winds 393 [Price, 1981; Emanuel, 2001; Trenberth and Fasullo, 2007]; 3) horizontal transports of warm water away from the storm center [Leipper, 1967]; 4) rain falling onto the ocean 395 surface; and finally 5) radiative losses [Brand, 1971]. SST cooling can vary from less than 396 1°C up to 9°C [Price, 1981; Cione et al., 2000; Lin and Coauthors, 2003; Walker et al., 397 2005; Price et al., 2008]. 398

According to *Dare and McBride* [2011], following the reduction in SST caused by the TC passage, subsequent atmospheric and oceanic processes tend to restore the SST to the climatological value with a time that varies widely between different TCs, from days to weeks. Larger reductions in SST are associated with more intense TCs and with storms that move slowly. Atmosphere-ocean feedbacks associated with tropical cyclones are im-

- ⁴⁰⁴ portant for controlling the duration, intensity and track of the tropical cyclone, and they
- can be reproduced in coupled model simulations, where the exchange of mass and energy
 between the atmosphere and ocean is possible.



Figure 7. a) 6-hourly track of the hurricane Gabrielle and the underlying SST field (Daily OI-V2, [*Reynolds et al.*, 2007]); b) Similar to a) but for the atmosphere-only simulation; c) Similar to a) but for the coupled simulation.

An illustrative example is provided in Fig.7, where the track and underlying SST is 410 shown for an observed and a simulated TC event. The observations (Fig.7a) show the 6-411 hourly track of the hurricane Gabrielle and corresponding SST (Daily OI-V2, [Reynolds 412 et al., 2007]) during 1-12 September 1989. Fig.7b shows the forcing SST field (ERA In-413 terim at 0.75° resolution) and a 3-hourly tracked simulated TC at the end of August 1995 414 in the atmosphere-only simulation. Similarly, in Fig.7c, we show the simulated SST and 415 two 3-hourly tracks of TCs formed during the same period but in the coupled simulation. 416 The cyclone tracking was performed using kyklop, a tracker scheme developed by Fuentes-417 Franco et al. [2016] for high resolution climate models. As in the observations, the cou-418 pled simulation produces colder SSTs beneath the TC tracks, which is obviously not found 419 in the atmosphere-only simulation where the SST field is not interacting with the atmo-420 sphere above. 421

Note that, in a climate-mode experiment, simulated cyclone tracks do not necessarily
correspond spatially with observed tracks (see *Fuentes-Franco et al.* [2016]). Therefore,
Fig.7 only serves as an example of atmosphere-ocean interactions during a TC, without
the intention to simulate a particular observed event. A detailed analysis on the properties
of the simulated TCs in both the Atlantic and Pacific Oceans, the effect of an SST bias
on their genesis and evolution (compare Fig.7a and 7c), as well as an assessment of all
air-sea interactions at play will be the subject of a following study.

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3.2 The South Atlantic domain

During summer most of South America is influenced by the monsoon, with the 430 South Atlantic Convergence Zone (SACZ) being a dominant feature in regulating precip-431 itation variability over eastern Brazil and Uruguay. The synoptic activity of the SACZ is 432 modulated by upper level Rossby waves that extend from the extratropical Pacific to the 433 northeast over South America. Recently, it was shown that the propagation path of these 434 waves varies among years and as consequence the synoptic SACZ activity is also highly 435 variable [Tirabassi et al., 2014]. In particular, if the path of the wave bends to the north-436 east so that circulation anomalies are largest over the continent, rainfall anomalies over 437 land are strongest. On the other hand, if the path is more zonal, rainfall anomalies over 438 land are relatively small, the oceanic extension of the SACZ is strong and anomalies per-439 sist longer. This latter effect has been ascribed to the local oceanic forcing of the SACZ. 440 Thus, the dynamics of the SACZ, and its interaction with the local ocean, as well as its 441 predictability depends on the trajectory of the extratropical transients. Several previous 442 studies have shown the importance of regional air-sea interactions in the interannual vari-443 ability of the SACZ (e.g. Barreiro et al. [2002, 2005]). 444

The South Atlantic region simulated with the present modeling system was designed 445 to allow the representation of the path of the transients associated with the main modes of 446 variability in the SACZ and their dependence on regional air-sea interactions. The atmo-447 spheric domain, bounded between 55°S-20°N and 145°W-60°E, uses the Mercator-rotated projection at a grid spacing of 50 km and 23 vertical sigma/p levels. For the convection 449 scheme we use the one of *Tiedtke* [1989] both over land and ocean. Similarly to the Cen-450 tral American domain, we performed two experiments with either the SUBEX or the NT 451 explicit cloud schemes. Boundary conditions are from ERA Interim reanalysis [Dee and 452 Coauthors, 2011]. 453

The ocean domain spans from 54°S to 10°N and 70°W to 30°E, and has a horizontal resolution of ~0.125 degree in both the zonal and meridional directions. The vertical resolution ranges from 5 m near the surface to 250 m near the bottom, with a total of 40 z-levels. The remaining physical parameterizations are the same as in the Central American domain, and the model is run for the same 10 years period (1988-1997).

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3.2.1 Comparison with observations: coupled vs standalone

Similarly to the results reported in *Reboita et al.* [2014], when using the Tiedke pa-460 rameterization for cumulus convection, an underestimation of precipitation in the Amazo-461 nian region and north of Brazil is found (Fig.8), associated with a lower evapotranspiration 462 reducing the static energy and the fraction of the convective rainfall. This negative precip-463 itation bias is found throughout the year but is higher in MAM and JJA (Fig.8b, c). Over 464 the ocean region of the ITCZ, precipitation in the SUBEX experiment is higher than ob-465 served, especially south of the Equator near the coast of Brazil, as a result of an ITCZ 466 located too far south (Fig.8a, d). In the austral spring the simulated ITCZ is also stronger 467 than observed. 468



Figure 8. Precipitation bias over the South Atlantic domain with respect to observations (GPCP). (a-d)

470 Standalone atmospheric model (ATM) using SUBEX scheme; (e-h) ATM model using NT; (i-l) coupled

⁴⁷¹ model (CPL) using SUBEX and (m-p) CPL with the NT scheme. Biases are computed over the period 1988-

⁴⁷² 1997 of austral summer (DJF, left), autumn (MAM, center-left), winter (JJA, center right) and spring (SON,

473 right).

The region denoted by Uruguay, northeast of Argentina and southern Brazil (the La plata basin) present lower precipitation than observed, with largest negative biases in winter and spring. This deficit in precipitation is a common problem of many RCMs [*Solman et al.*, 2013] and also of previous versions of the regional atmospheric model [*da Rocha et al.*, 2012].

Using the NT scheme in the atmosphere-only simulation, reduces precipitation bi-479 ases considerably over the northeast coast of Brazil both in DJF and JJA (Fig.8e, g). The 480 ITCZ over the ocean is also better represented in summer, although with the NT scheme 481 the positive bias during winter is stronger than in SUBEX (Fig.8 a,e,c,g). Overall, dur-482 ing summer and fall, regions of positive biases over the ocean are lower when using NT. 483 This scheme also improves the precipitation field over the Amazon region and north of 484 Brazil, but overestimates rainfall in the SACZ, particularly over the continent (Fig.8e, f). 485 The higher precipitation over land in the NT experiment is due to the fact that the micro-486 physics scheme receives the detrained mass flux from the Tiedke convection scheme and 487 this is assumed to condense into cloud water or into ice diagnostically using a coefficient 488 function of temperature. This process is applied for all types of convection, namely deep, shallow, and mid-level, favoring in particular stratiform precipitation over land, which is 490 underestimated by the SUBEX scheme. 491

The coupled model shows smaller precipitation biases over the ocean both using the NT and SUBEX schemes (Fig.8 i-l vs m-p). However, with SUBEX the driest regions over land found in the atmosphere-only run become even drier, specially in the Amazon and the northern part of Brazil during summer (Fig.8i). This is probably connected with the fact that the SST is too low north of 30°S (Fig.9a-d), reducing the transport of moisture inland and the formation of convective rainfall. Overall, the results of the coupled model using SUBEX in this domain show relatively low precipitation values (Fig.8 i-l).

The implementation of the NT scheme in the coupled model results in the smallest 499 precipitation across the domain year round. The biases in the ITCZ seen in atmosphere-500 only runs are strongly reduced in both the warm and cold seasons. Over South America, 501 however, the negative bias north of 10° S during summer persists (Fig.8 m). On the other 502 hand, even if the precipitation over the continental portion of the SACZ is still overesti-503 mated, it is closer to observations (Fig.8 e vs m). Moreover, the oceanic portion of the 504 SACZ is well simulated, showing no significant bias. Finally, the La Plata basin region 505 presents the lowest precipitation bias when using the coupled model with the NT scheme (Fig.8 a,e,i vs m). 507



Figure 9. Sea surface temperature (SST, in °C) bias over the South Atlantic domain with respect to NOAA
 NCDC ERSST version4. (upper panels) SUBEX experiment and (lower panels) using the NT scheme. Biases
 are computed over the period 1988-1997 of austral summer (DJF, left), autumn (MAM, center-left), winter
 (JJA, center right) and spring (SON, right).

The SST bias in the SUBEX and NT coupled runs partially explain the differences in precipitation between these two experiments (Fig.9). North of 24°S, the NT scheme run has warmer SSTs than the SUBEX one. This enhances evaporation and the transport of moisture intensifying convection and favoring precipitation over the SACZ and the Amazon region.

There is a warm SST bias of up to 5° C in both coupled experiments close to the 517 western coast of Africa at around 24°S. This is a typical region that coupled climate mod-518 els find hardest to simulate well due to the presence of an upwelling system produced by 519 the interaction between the Benguela current, the wind stress and the bathymetry. In a recent study Small et al. [2015] found that, in order to correctly simulate the Benguela up-521 welling system, the atmospheric circulation has to represent realistically the local wind 522 stress curl at the eastern boundary, which they achieve only with a horizontal resolution 523 of at least 27 km. Therefore, even though the use of the NT scheme reduces the overall 524 SST biases in the basin, in order to correct the positive bias in the Benguela region the 525 atmospheric resolution should be substantially refined. Sensitivity experiments will be per-500 formed in the future to address this issue.

3.2.2 Meridional ocean transports

Figure 10 shows the annual mean vertical cumulative volume transport (CVT) at 529 35°S averaged over the period 1990-1995 from RegCM-ES and the ocean global model 530 (MOM025) used to produce the lateral boundary conditions for the regional coupled model. 531 RegCM-ES produces a weaker equatorward transport at intermediate (\sim 500 m to \sim 1200 m) 532 layers as well as weaker poleward transport in the deeper layers compared to MOM025. The general vertical structure however is fairly well reproduced. Despite having weaker 534 transports, RegCM-ES better reproduces the depth at which the transport reverses (around 535 1200 m), as shown by observations [Dong et al., 2009]. In the bottom layers, both models 536 capture the northward transport associated with the presence of Antarctic Bottom Waters. 537 Because of a bias in the lower densities, the northward bottom transport in MOM025 is 538 found below 4200 m, whereas the transport in RegCM-ES is located at the same depth as 539 in the observations [Dong et al., 2009].

The time-mean value of the South Atlantic Meridional Overturning Circulation 545 (SAMOC) index, defined as the maximum of the zonally-integrated northward CVT from 546 surface to bottom across 35° S is 11.01 ± 3.08 Sv, somewhat weaker than recent observa-547 tional and modeling estimates (17.9±2.2 Sv [Dong et al., 2009], 15±3.7 Sv [Dong et al., 548 2011], 15.6±3.1 Sv [Perez et al., 2011], 10.28±1.37 Sv, 12.01±1.42 Sv, 14.72±1.26 Sv [Sitz et al., 2015]). The total time-mean volume transport through 35° S is -1.08±0.6 Sv, 550 which is also in the range of previous reported estimates of ~0.5 Sv [Baringer and Gar-551 zoli, 2007], ~0.6 Sv [Dong et al., 2011], ~0.77 Sv [Sloyan and Rintoul, 2001] and ~1 Sv 552 [Talley, 2008]. 553

RegCM-ES thus properly represents South Atlantic oceanic meridional fluxes, subject to lateral boundary conditions derived from a global model integration. Future experiments with different products imposed at the boundaries such as ocean reanalyses, along with changes to model physics, will test the sensitivity of the representation of meridional ocean transports.

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3.2.3 Eddy kinetic energy and air-sea interactions over ocean frontal systems

Mesoscale ocean eddies, with a typical latitude-dependent length scale of 10-100 km, interact with the atmosphere, potentially modifying the marine atmospheric boundary layer (MABL) and wind stress through SST and surface current anomalies [*Xie*, 2004; *Chelton and Xie*, 2010; *O'Neill et al.*, 2010, 2012]. Using a fine-resolution coupled model, *Seo et al.* [2016] found that in the California Current Systems, in regions of intense mesoscale



Figure 10. Meridional cumulative volume transport (CVT, in Sv; $1 \text{ Sv} \equiv 10^6 \text{ m}^3 \text{ s}^{-1}$) as a function of depth at 35°S, averaged over the period 1990-1995. The blue line represents the CVT computed from RegCM-ES and the black line is the transport obtained by the global ocean model MOM025. Note that a change in slope results in a change in flow direction (e.g. a negative slope corresponds to northward transport).

energy activity, surface eddy kinetic energy (EKE) is weakened almost entirely by the effect of surface currents on wind stress. On the other hand, when eddies are embedded in a strong wind stress lateral gradient, thermodynamic processes can outweigh the negative effect of the mechanical damping and may actually result in a net powering of the mesoscale field [*Byrne et al.*, 2016].

The surface EKE from the stand-alone ocean model, the coupled regional model 573 and the 0.25° global ocean model used to prescribe the open boundary conditions for the 574 regional model is shown Fig. 11, together with satellite observations for the period 1988-575 1997. Increased resolution in the ocean component improves the location of the Brazil-Malvinas confluence and produces a more energetic region compared to the relatively 577 coarse global model. The region of influence of the Agulhas System is also better rep-578 resented with the regional models. Coupling to the atmosphere reduces the EKE in the 579 Brazil-Malvinas confluence and increases EKE over the Agulhas retroflection resulting 580 in values closer to observations. The mechanism of ocean-atmosphere interactions at the 581 mesoscale are complex and require a dedicated analysis for each region, however these 582 preliminary results show that ocean-atmosphere interactions are important and should be 583 considered for a correct representation of the air-sea energy transfer. 584

Frontal regions like those in the Brazil-Malvinas confluence and the Agulhas current 585 have been shown to induce a local forcing to the atmosphere, as SST fronts can modify 586 the MABL trough changes in air-sea heat fluxes. Over colder (warmer) water, decreased 587 (increased) surface heat fluxes stabilize (destabilize) the MABL, inhibiting (enhancing) the 588 vertical turbulent mixing of momentum from aloft to the surface, increasing (reducing) the 589 near-surface wind shear, and decelerating (accelerating) the surface winds. This SST in-590 fluence on surface winds has been identified by means of satellite and in situ observations 591 [Jury, 1994; Rouault and Lutjeharms, 2000; Thum et al., 2002; Xie, 2004; Chelton et al., 592



Figure 11. (a) Eddy kinetic energy (EKE) in $[cm^{-2} s^{-2}]$ derived from satellite observations; (b) EKE obtained using the total velocity field from the global model MOM025; (c) standalone ocean model and (d) coupled model. EKE is computed as a time-mean for the period 1988-1997.

⁵⁹³ 2004; O'Neill et al., 2003; White and Annis, 2003; O'Neill et al., 2005; Tokinaga et al.,
 ⁵⁹⁴ 2005; Small et al., 2008; Chelton and Xie, 2010; O'Neill, 2012], and analyzed in numer ⁵⁹⁵ ical studies [Byrne et al., 2016; Xu and Xu, 2015; Kilpatrick et al., 2016].

Fig.12 shows SST isolines (solid black lines) for the time mean wind speed $[m s^{-1}]$ 601 (left), wind divergence $[10^{-6} \text{ s}^{-1}]$ (centre) and rainfall [mm day⁻¹] (right), for the re-602 gion of Brazil-Malvinas Confluence. RegCM-ES clearly shows air-sea coupling on annual 603 mean conditions over the Brazil-Malvinas Confluence confirming the results of Tokinaga 604 et al. [2005]. Over the cold Malvinas current winds are weaker, whereas they are inten-605 sified over the warm Brazil current, generating a strong surface wind divergence at the 606 front. This is consistent with the SST-induced vertical mixing mechanism for wind adjust-607 ment. Moreover, rainfall is seen to increase substantially over the warm side of the front, 608 with maxima over wind convergence (Fig.12). Similarly to previous results based on fine-609 resolution satellite measurements [O'Neill et al., 2003, 2005], RegCM-ES shows a strong 610 convergence of surface winds surrounded by net divergence in the Agulhas Retroflection 611 Current (Fig.13), resulting in increased rainfall over this warm current. 612

3.3 The Tropical Band

The atmospheric component RegCM4 can be run in a tropical band configuration referred to as the RegT-Band [*Coppola et al.*, 2011]. This tropical band configuration has also been coupled to the ocean model, obtaining a fully coupled tropical channel configu-



Figure 12. Time mean wind speed [m/sec] (left), wind divergence $[10^{-6} \text{ s}^{-1}]$ (centre) and rainfall

[mm/day] (right), for the Brazil-Malvinas Confluence region. Solid black lines represent SST isolines (con-

tour interval is 2° C).



Figure 13. (a) Time-mean wind divergence $[10^{-6}s^{-1}]$ and (b) rainfall [mm/day] over the region of the Agulhas System. Solid black lines represent the SST isolines (contour interval is 2°C).

ration. To the best of our knowledge this is the first attempt at producing a fully coupled tropical channel model. Indeed, tropical regions exhibit intense air-sea interactions at all time scales (ENSO, intra-seasonal atmospheric variations and their interactions with SSTs, to name a few) and coupled ocean-atmosphere dynamics can substantially benefit from the increased resolution achievable with a tropical band model compared to a global one.

The RegT-Band coupled simulation was run from January 1st, 1979 until Decem-622 ber 31st, 2008, with a 3-hour coupling time step between the ocean and atmosphere com-623 ponents. The atmospheric variables used to force the ocean component are wind speed, 624 surface air pressure, shortwave radiation, water flux, and upward heat flux, and the ocean 625 exchanges SST with the atmosphere. The RegT-Band atmosphere domain extends from 626 $43^{\circ}N$ to $43^{\circ}S$ and covers the entire tropical band in the longitudinal direction. For this 627 initial test case, the model uses a horizontal grid spacing of 100 km and 23 vertical lev-628 els. The initial and lateral boundary conditions are provided by the ERA-Interim reanalysis [Dee and Coauthors, 2011]. Where the atmosphere is not in contact with the dynamic 630 ocean component, SST is prescribed also from the Era-Interim reanalysis. In this configu-631 ration we use the University of Washington Planetary Boundary Layer (UW-PBL) scheme 632 [Bretherton et al., 2004], the Tiedtke [1989] cumulus convection scheme for both land and 633 ocean, and the NT microphysics cloud scheme Nogherotto et al. [2016]. 634

The ocean MITgcm component covers the tropical oceans from 30°N to 30°S. The horizontal resolution is 0.25 degree with 45 uneven vertical levels and the model employs the K-profile vertical mixing parameterization of *Large et al.* [1994]. The ocean started at rest, with temperature and salinity initial conditions taken from SODA version 2.2.4 [*Carton and Giese*, 2008]. Lateral boundary conditions for velocities, temperature and salinity are also imposed from SODA.

The DJF and JJA precipitation climatologies in the coupled model are compared to 641 the Global Precipitation Climatology Project (GPCP) precipitation data set [Huffman et al., 642 2001] in Figure 14. Results from the coupled model are comparable to the atmosphere-643 only simulations of [Coppola et al., 2011]. The ITCZ location is correctly reproduced in 644 both the Atlantic and Pacific oceans, although a double ITCZ appears over the Pacific 645 ocean in both seasons and the precipitation intensity is slightly overestimated there. Despite an overestimation of the precipitation over the Indian ocean in DJF, the Indian mon-647 soon JJA climatology is well represented both over land and ocean. The same is found for 648 the Indochina monsoon band and for the Western African monsoon. Further, if we focus 649 on the South American monsoon DJF climatology, both the location and precipitation in-650 tensity over the Amazon and the Eastern Brazilian coast are consistent with observations. 651

Beside the precipitation climatology, having a fully coupled ocean-atmosphere system in the tropical region calls for a verification of its ability to reproduce the observed SST interannual variability. In Figure 15 the SST annual standard deviation is shown for the coupled RegT-Band and the HadISST observational data set. The ENSO signal is evident in the coupled model, although weaker than observed. However, if we compute the Niño 3.4 anomaly index we see that the interannual variability of ENSO is quite well reproduced (Fig. 16), even if the strength of the anomaly is generally underestimated.

This preliminary illustrative analysis shows that the coupled version of the RegT-Band is able to represent the tropical precipitation climatology along with its interannual variability over the tropical regions, with biases and weaknesses that are comparable to CMIP5-type coupled models [*Wang et al.*, 2014]. A more in depth analysis of the coupled RegTBand run is needed to fully evaluate this model configuration, but this requires a separate targeted study, which is currently under way. We also plan to refine the resolution of both ocean and atmosphere components, and to identify the best settings in order to reduce the remaining biases in the climatological mean state and variability.

4 Ongoing developments

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4.1 Hydrological component: the ChyM model

The main reason to include a new hydrological model in the RegCM-ES arises from the necessity to take into account also small river basins and finer scale spatial resolution of river network than available in the 0.5° global grid of the HD model. One model that is suitable for this task is CHyM [Cetemps Hydrological Model; *Coppola et al.*, 2007], a spatially distributed hydrological model that uses a cellular automata algorithm to extract from a DEM (Digital Elevation Model) an eight flow direction map (D8). The model is able to simulate surface runoff, infiltration, evapotranspiration, percolation, melting and return flow.

The initial aim of the CHyM model was to provide a general purpose tool for flood alert mapping which can be used for any geographical domain with any resolution allowed by the DEM, namely about 30 meters in the current implementation [*ASTER*, 2011]. In previous applications, the model has been used to simulate climate change impacts on water resources in the Po river drainage basin, using off-line coupling with two Regional Climate Models [*Coppola et al.*, 2014].

An ad-hoc version of CHyM has been coupled to the Community Land Model 4.5 (CLM4.5) used in RegCM4 (see section 2). CLM4.5 provides the total runoff at each model grid point, which is then routed trough each CHyM grid cell using a continuity and a momentum equation based on the kinematic wave approximation [*Lighthill and Whitham*,



Rainfall (mm/day) - Average - DJF

Figure 14. DJF and JJA precipitation climatologies from the coupled model, the Global Precipitation
 Climatology Project (GPCP), and relative biases. Climatologies are computed for the period 1979-2008.

1955] of the shallow water wave. In this approximation the water flow velocity is a func-692 tion of the longitudinal bed slope of the flow element, the Manning's roughness coefficient 693 and the hydraulic radius. In the preprocessing, CHyM builds the drainage networks at the 694 selected domain and resolution (flow direction matrix, drained area, acclivity matrix and 695 Land Use map derived from USGS products and scaled at the CHyM grid resolution). At 696 run time, a velocity matrix is calculated taking into account the overland and channel flow, 697 and the routing equations are integrated using a time step that depends on the spatial reso-698 lution used. The discharge values calculated at the river mouths are then interpolated and 699 passed to the ocean during the run time. Furthermore, it is possible to select a threshold 700 (defined as the minimum drained area by a mouth point) to discriminate rivers that have 701 a very small catchment. The coupled RegCM-ES using the hydrological model CHyM is 702 currently under testing and assessment for the South Asia CORDEX domain, as reported 703 in a dedicated study [Di Sante et al., 2017]. 704



Figure 15. Annual-mean Standard deviation (STD) of sea surface temperature for the coupled model and
 the HadISST reanalysis, computed over the period 1979-2008.



Figure 16. Time series of Nino3.4 index for the coupled model and the HadISST reanalysis.

4.2 Biogeochemichal component: the BFM model

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A recent on-going development in RegCM-ES is the coupling with the ocean Bio-706 geochemical Flux Model [BFM; Vichi et al., 2007a,b, 2015]. BFM is a community model 707 for the simulation of the dynamics of the main biogeochemical processes occurring in the 708 marine ecosystems. It describes plankton dynamics and the cycles of carbon, phosphorus, 709 nitrogen, silica, and oxygen in water. The model also describes seawater pH and carbon-710 ate dynamics, and it computes fluxes of CO_2 and oxygen at the air-sea interface. The code 711 and the full description of the model equations and parameterizations are freely available 712 at http://bfm-community.eu. BFM has been coupled with several ocean models and 713 applied to the study of biogeochemical processes at the global [Vichi et al., 2007b], re-714 gional [Lazzari et al., 2012], and subregional scales [Lamon et al., 2014]. Applications so 715 far have included the study of the dynamics of nitrate and phosphate [Lazzari et al., 2016], 716 the assessment of ocean pH and alkalinity [Cossarini et al., 2015], and the quantification 717 of marine sequestration of atmospheric carbon [Canu et al., 2015]. 718

A first implementation of RegCM-ES using BFM is presently been tested for the Mediterranean CORDEX domain using the same configuration adopted in *Cossarini et al.* [2016]. Preliminary results indicate that the code is stable and major features of Mediterranean biogeochemistry are properly represented. The physical and biogeochemical performance of RegCM-ES in the Mediterranean basin will be presented in a follow-up study.

724 **5** Conclusions

In this paper we described the development of a new regional Earth system model, RegCM-ES, and presented some illustrative tests of its performance over three limited domains. In order to provide a general, modular, extensible and flexible tool that aims to support multiple model components and applications, RegCM-ES uses a driver based coupling approach [*Turuncoglu and Sannino*, 2016] presently supporting the coupling between the atmosphere, ocean and land surface components. The regional coupled model also includes a hydrology and an ocean biogeochemistry model.

Building on the community regional modeling system RegCM, RegCM-ES is an
open source community model, making it suitable for use by a large scientific community on any regional domain of interest. It has already been successfully tested over some
of the COordinated Regional Downscaling Experiment (CORDEX) domains, namely the
Mediterranean, South Asia and Central America domains, and additional domains such as
the South Atlantic and the Tropical Band (Fig. 3).

RegCM-ES has shown good indications towards improving the simulation of var-738 ious climate characteristics in regions where coupled air-sea processes and interactions 739 are important. For example, in the illustrative cases we discussed here, compared to the 740 stand-alone atmospheric counterpart, RegCM-ES showed a reduction of precipitation bi-741 ases and a better location of the ITCZ over the North Atlantic and Caribbean Sea (Central 742 America domain). The coupled Tropical Band configuration also showed a realistic and 743 often improved representation of the ITCZ and monsoon climatologies, along with a real-744 istic representation of ENSO variability (although with underestimated magnitude). On the 745 ocean side, oceanic meridional fluxes, partly constrained by the lateral conditions, were 746 well reproduced by the RegCM-ES model. The coupled model also showed an encourag-747 ing performance in simulating the effects of air-sea interactions, as for example shown for 748 the case of the Agulhas and the Brazil-Malvinas systems [Tokinaga et al., 2005; O'Neill 749 et al., 2003, 2005]. 750

The open-source coupled model will undergo a continuous development, also thanks to the large RegCNET community [*Giorgi and Anyah*, 2012], including improvements and updates on existing components (e.g., RegCM4, MITgcm, CHym, CLM, BFM) as well as the inclusion of new earth system modules. Clearly, the RegCM-ES system needs to be further assessed over different domains and for a wide range of applications. Therefore, we encourage interested users to access the model code, extensively test it for different scientific problems and possibly contribute to its development.

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