

# Is There a 6D F-theory Swampland?

Tom Rudelius  
Harvard University

# Based On

- 1502.05405/hep-th, 1511.05565/hep-th,  
1605.08045/hep-th
  - with Lakshya Bhardwaj, Michele Del  
Zotto, Jonathan Heckman, David Morrison,  
and Cumrun Vafa
- see also 1201.1943/hep-th, 1604.01030/hep-th  
1605.08052/hep-th, by Taylor et al.

# Outline

- I. The Landscape and the Swampland
- II. The Classification of 6D Gauge Theories
  - I. SCFTs
  - II. LSTs
  - III. SUGRAs
- III. Unpaired Tensors in 6D
- IV. Open Questions

# The Landscape and the Swampland

# The Big Picture

Landscape

Swampland

# Some Swampland Criteria

- For gravitational theories:
  - “No global symmetries”
  - The Weak Gravity Conjecture Arkani-Hamed et al., 2006
  - Moduli spaces infinite in length Ooguri, Vafa '06
  - Gauge group rank bounded Vafa '05
  - No super-Planckian spatial field variations  
c.f. Eran Palti's talk
- Non-gravitational theories less constrained

# Classification of 6D Gauge Theories

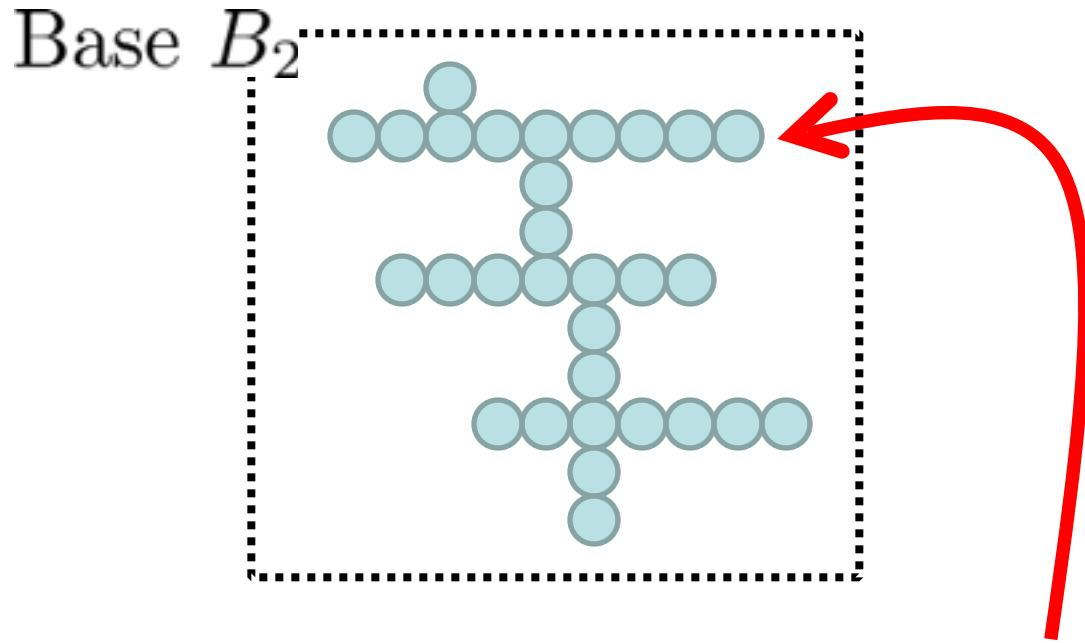
# 6D Theories and F-theory

Vafa '96, Vafa Morrison, I/II '96

IIB:  $\mathbb{R}^{5,1} \times B_2$  with pos. dep. coupling  $\tau(z_B)$

$$\begin{array}{ccc} & T^2 \rightarrow CY_3 & \\ \text{F-theory on } \mathbb{R}^{5,1} \times CY_3 & \downarrow & B_2 \end{array}$$

# Geometric Picture



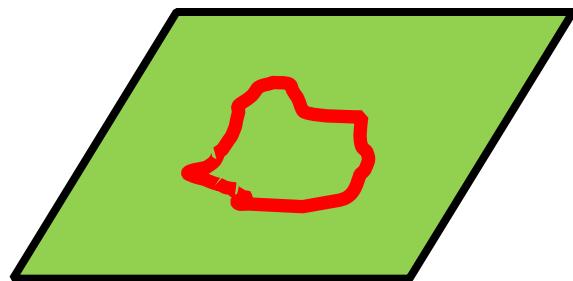
Curves in base  $\Rightarrow$  strings ( $D3 / \mathbb{P}^1$ )

Singularities in fiber  $\Rightarrow$  particles (7-brane on  $\mathbb{P}^1$ )

# Strings from D3 on a $\mathbb{P}^1$

$-\Sigma \cap \Sigma = \text{String Charge}$

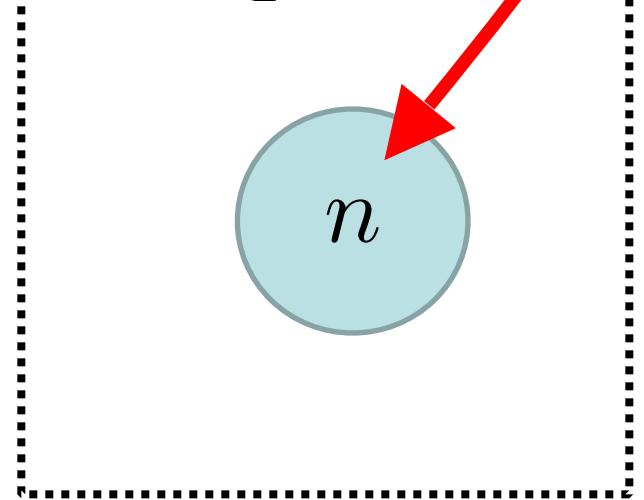
(which must be integer  $> 0$  for SCFTs,  
 $\geq 0$  for LSTs)



$\times$

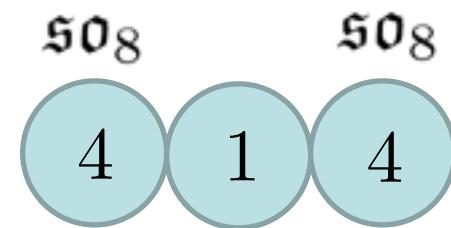
$\mathbb{R}^{5,1}$

Base  $B_2$



# Dirac Pairing for String Charge Lattice

Intersection Matrix  $\longleftrightarrow$  Dirac Pairing



$$\Omega_{IJ} = \begin{pmatrix} -4 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & -4 \end{pmatrix}$$

# SCFTs vs. LSTs vs. SUGRA

Dirac pairing on string charge lattice  $\Omega_{IJ}$

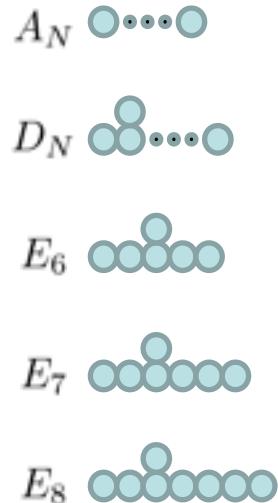
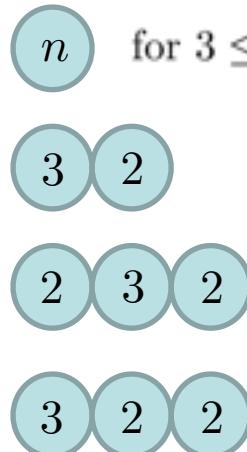
6D SCFT	LST	6D SUGRA
$\Omega_{IJ} < 0$	$\Omega_{IJ} \leq 0$ Nullity( $\Omega_{IJ}$ ) = 1	$\Omega_{IJ}$ : 1 + eigenvalue

# Classification of 6D Theories

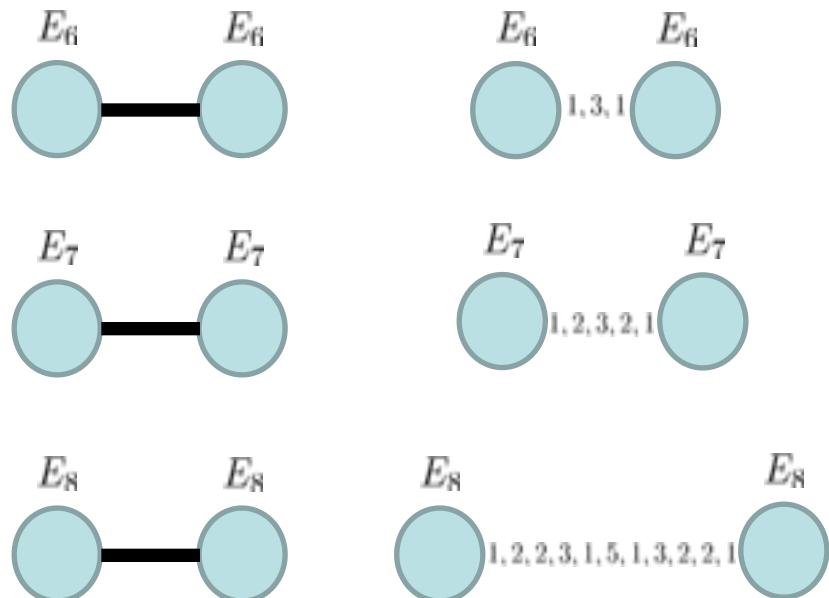
- Looks like chemistry

## “Atoms”

c.f. Morrison and Taylor '12



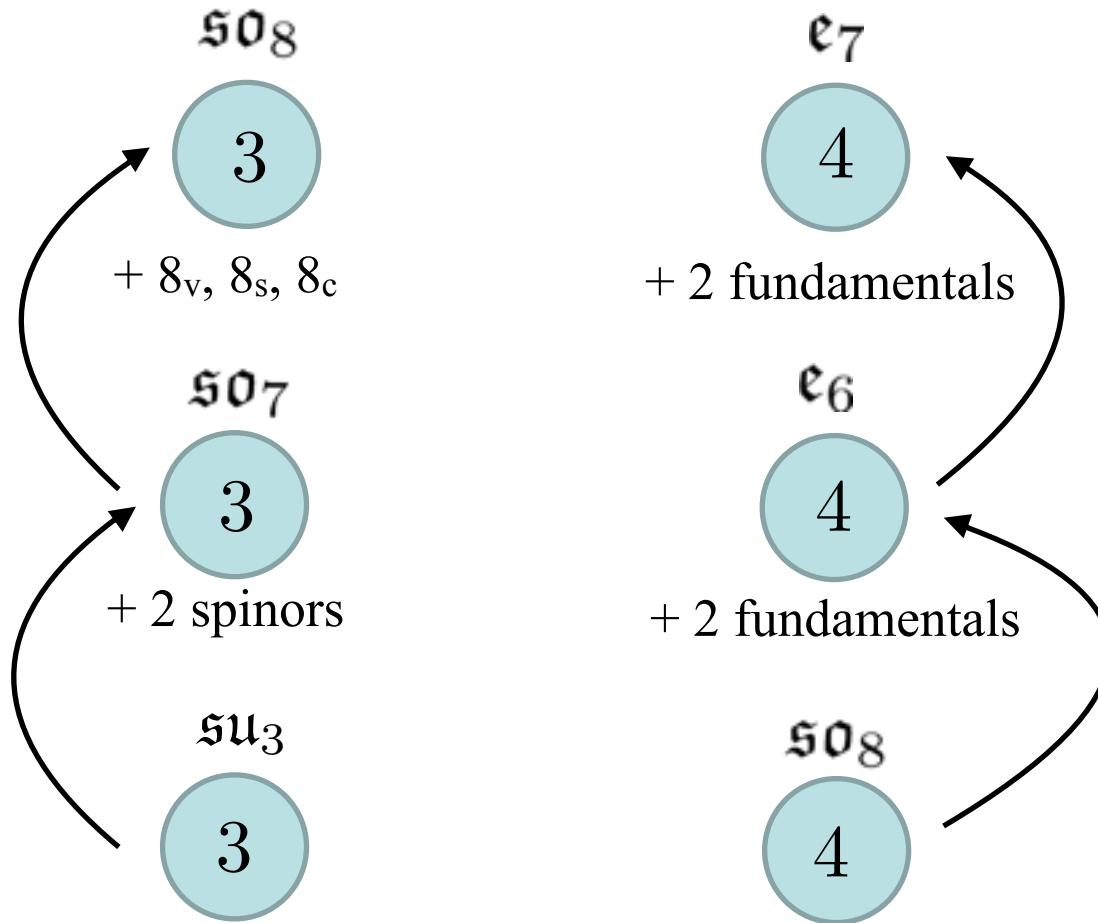
## “Radicals”



# Minimal Gauge Algebras



# Fiber Enhancements

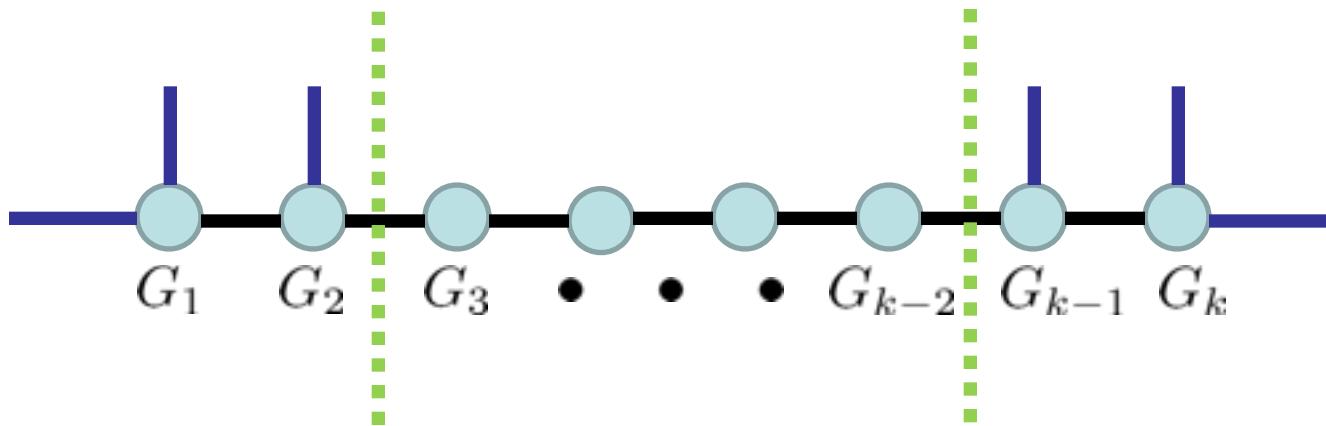


# Classification of 6D SCFTs

Heckman, Morrison, Vafa '13

Heckman, Morrison, TR, Vafa '15

- 6D SCFTs can be classified via F-theory
- Nearly all F-theory conditions can be phrased in field theory terms
- 6D SCFTs = Generalized Quivers



# Example: All $(2,0)$ Theories

Witten '95, Strominger '95

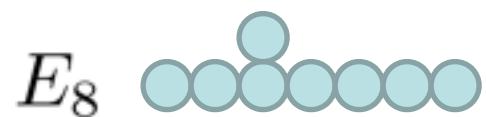
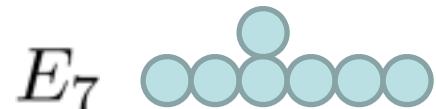
Type IIB on  $\mathbb{C}^2/\Gamma_{ADE}$

Resolution Involves:

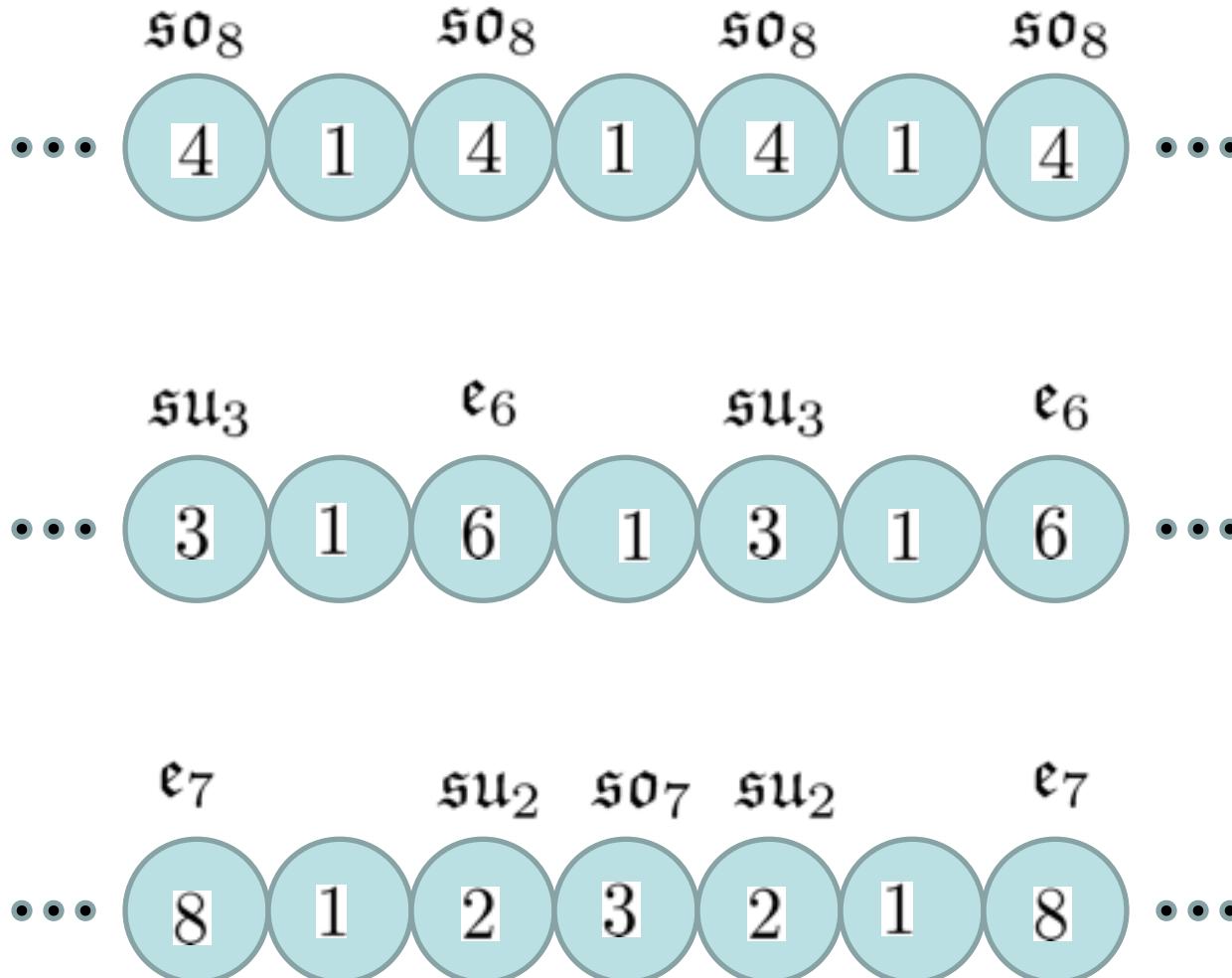
Bouquet of  $\mathbb{CP}^1$ 's

$$\mathbb{CP}_i^1 \cap \mathbb{CP}_j^1 = -\text{Dynkin}_{ij}$$

Note:  $\mathbb{CP}_i^1 \cap \mathbb{CP}_i^1 = -2$



# Examples



c.f. Alessandro Tomasiello's talk

# Classification of LSTs

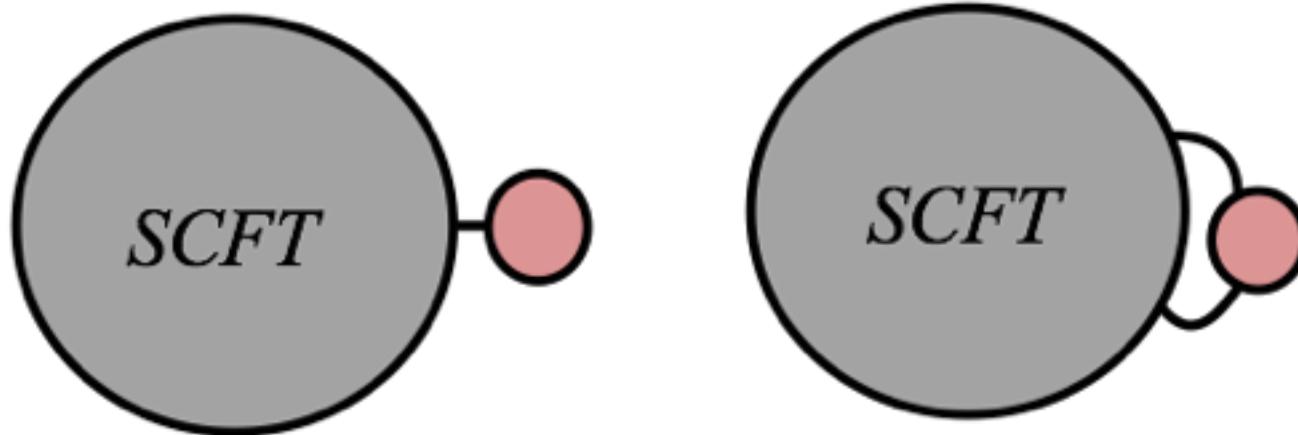
LSTs are UV complete, non-local 6D theories with gravity decoupled and an intrinsic string scale

They are characterized by the presence of a non-dynamical tensor

# Classification of LSTs

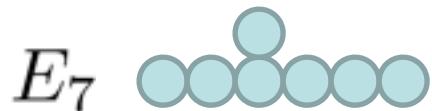
Bhardwaj et al. '15

LSTs are simply “affinizations” of 6D SCFTs in which a single node is added to the quiver!

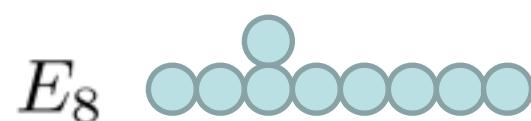
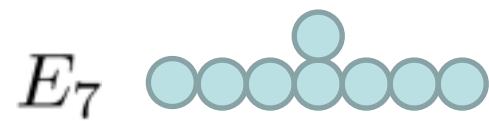
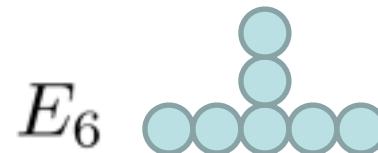
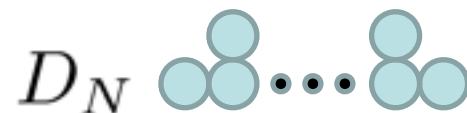


# Example: (2,0) LSTs

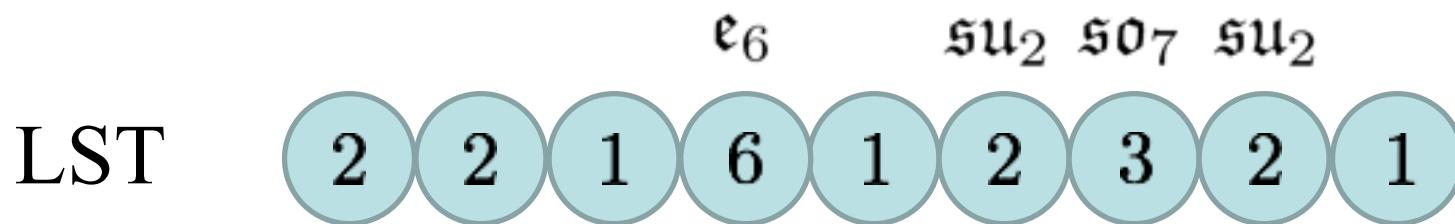
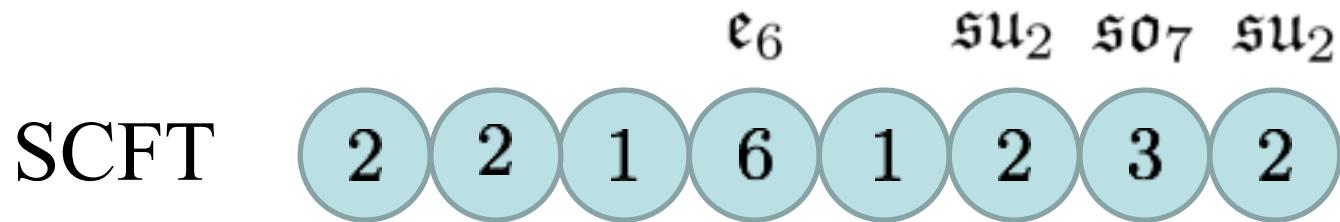
SCFTs



LSTs



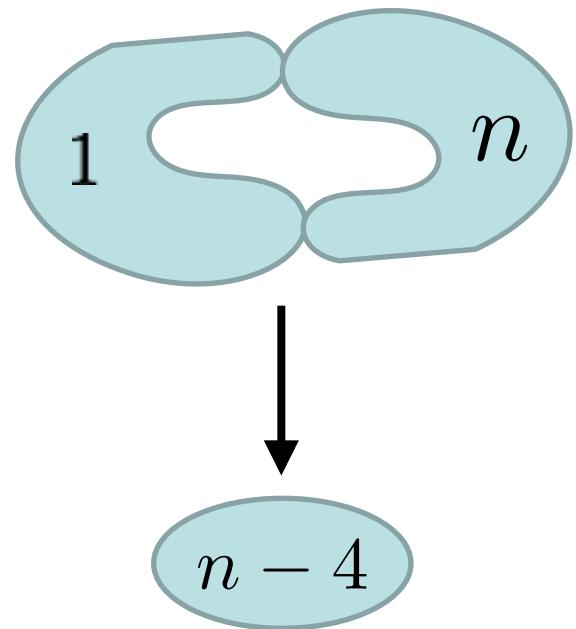
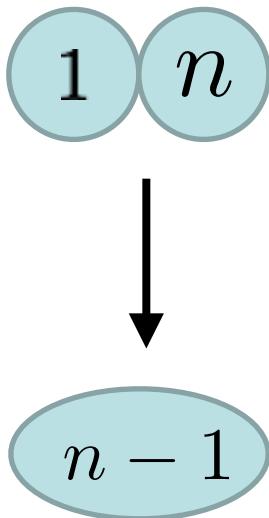
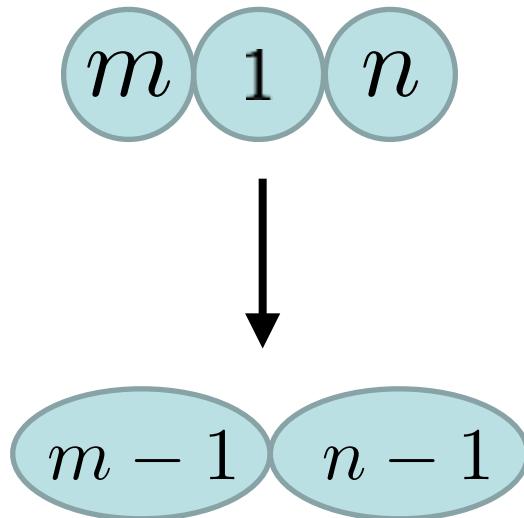
# A (1,0) Example



# Classification of 6D SUGRAs

Aspinwall, Morrison '97  
Kumar, Morrison, Taylor '09, '10  
Morrison, Taylor '12  
Grimm, Taylor '12  
Martini, Taylor '14  
Johnson, Taylor '14  
Taylor, Wang '15

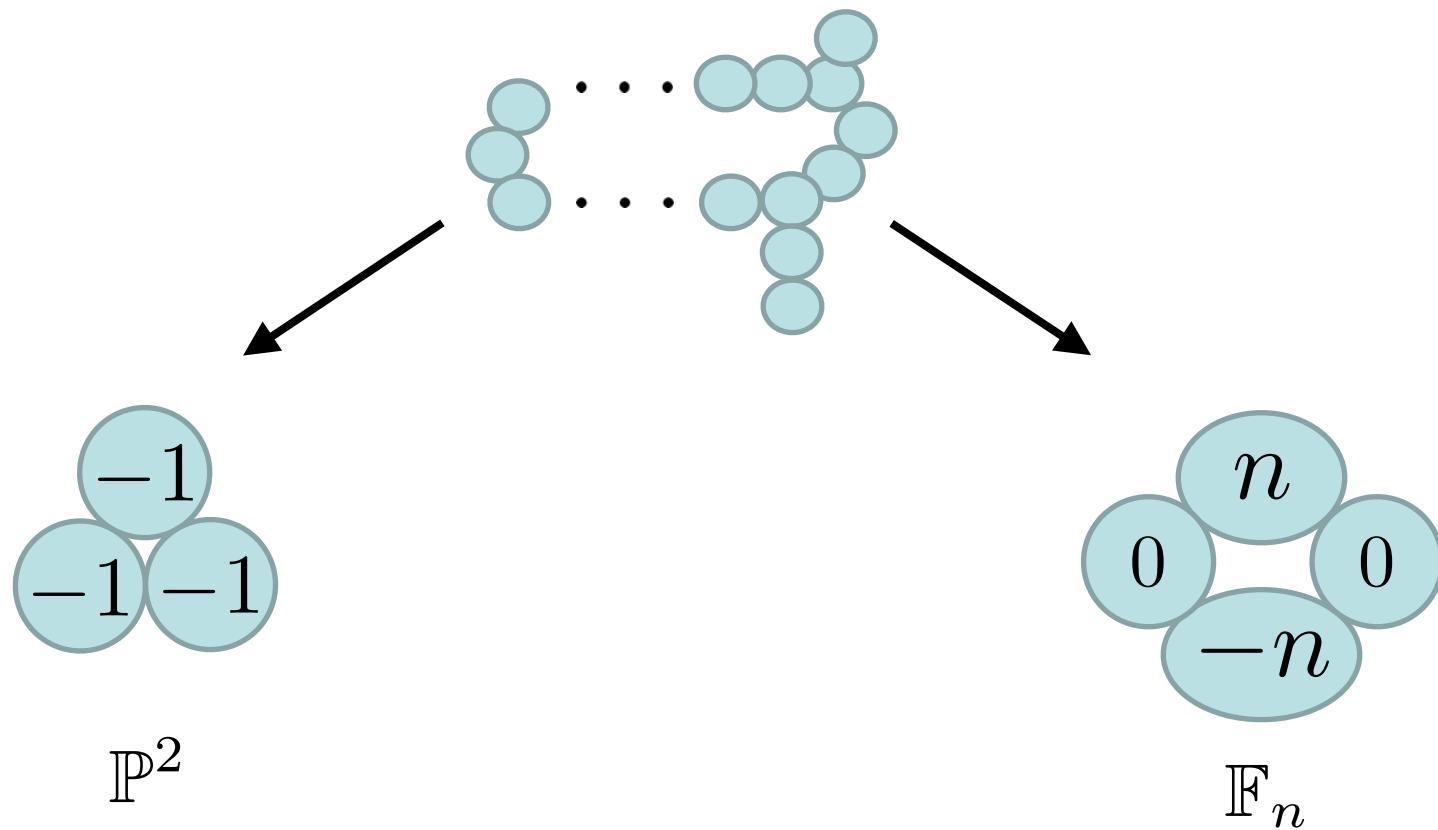
## Blow-down operations:



# Classification of 6D SUGRAs

All 6D SUGRAs blow down to  $\mathbb{P}^2$  or  $\mathbb{F}_n$ !\*

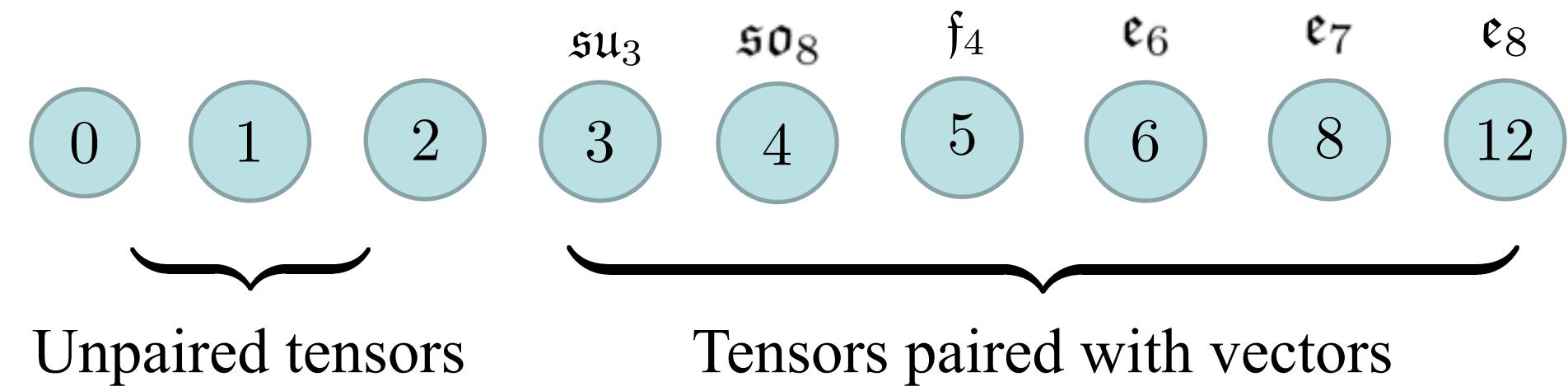
Grassi '91



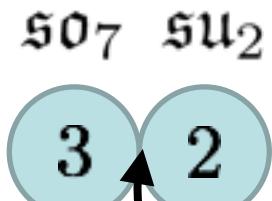
\*except the Enriques surface

# Unpaired Tensors in 6D

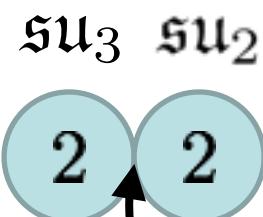
# Minimal Gauge Algebras



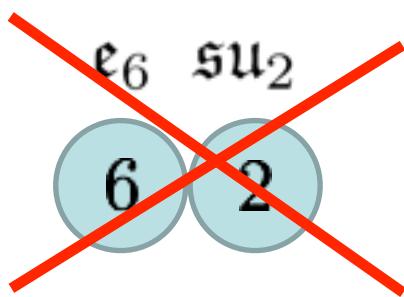
# Mixed Anomalies and Adjacencies



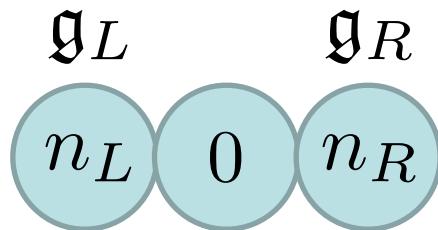
$$\frac{1}{2}(8, 2)$$



$$(3, 2)$$

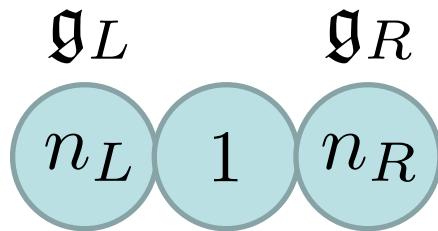


# Unpaired Tensors and Adjacencies

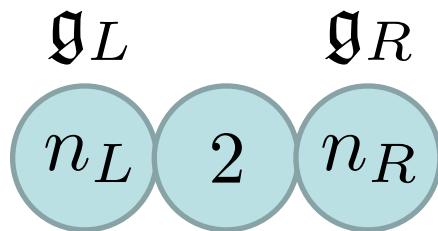


$$\Rightarrow \text{rk } \mathfrak{g}_L + \text{rk } \mathfrak{g}_R \leq 16$$

Johnson, Taylor '16

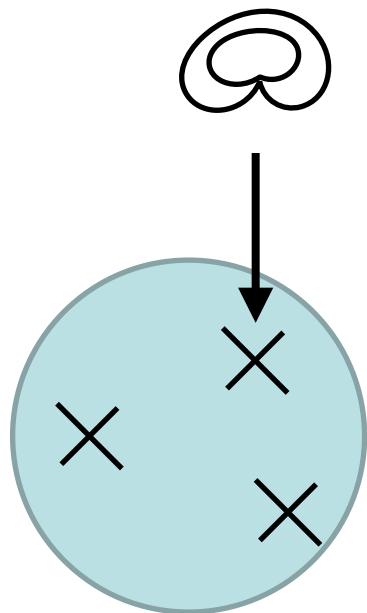


$$\Rightarrow \mathfrak{g}_L \oplus \mathfrak{g}_R \subset \mathfrak{e}_8$$



$$\Rightarrow \mathfrak{g}_L \oplus \mathfrak{g}_R \subset \mathfrak{su}_2$$

# Unpaired Tensors and Adjacencies



$$\text{Ord}(f, g, \Delta) = (a, b, d)$$

$$\tilde{f} := \frac{f}{z^a} \in \mathcal{O}(-4K_B - a\Sigma)$$

$$\tilde{g} := \frac{g}{z^b} \in \mathcal{O}(-6K_B - b\Sigma)$$

$$\Sigma = \{z = 0\} \quad \tilde{\Delta} := \frac{\Delta}{z^d} \in \mathcal{O}(-12K_B - d\Sigma)$$

c.f. Bertolini, Merkx, Morrison '15

# Unpaired Tensors and Adjacencies

Define residual vanishings

$$\tilde{a} = (-4K_B - a\Sigma) \cdot \Sigma = -4(m - 2) + ma$$

$$\tilde{b} = (-6K_B - b\Sigma) \cdot \Sigma = -6(m - 2) + mb$$

$$\tilde{d} = (-12K_B - d\Sigma) \cdot \Sigma = -12(m - 2) + md$$

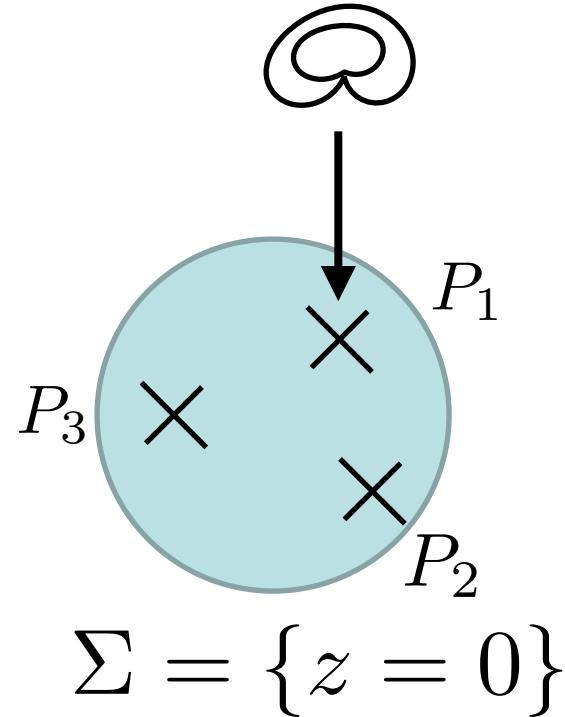
$$(\Sigma^2 = -m)$$

# Unpaired Tensors and Adjacencies

Define  $\tilde{a}_{P_k} = \text{ord}_{P_k} \tilde{f}$

$\tilde{b}_{P_k} = \text{ord}_{P_k} \tilde{g}$

$\tilde{d}_{P_k} = \text{ord}_{P_k} \tilde{\Delta}$



$$\tilde{a} \geq \sum_k \tilde{a}_{P_k}, \quad \tilde{b} \geq \sum_k \tilde{b}_{P_k}, \quad \tilde{d} \geq \sum_k \tilde{d}_{P_k}$$

# Maximal Intersections for -1 Curves

Kodaira Types	Symmetry Algebras
$II^*$	$\mathfrak{e}_8$
$III^* \oplus III$	$\mathfrak{e}_7 \oplus \mathfrak{su}(2)$
$IV^* \oplus IV$	$\mathfrak{e}_6 \oplus \mathfrak{su}(3)$
$I_9$	$\mathfrak{su}(9)$
$I_4^*$	$\mathfrak{so}(16)$
$I_0^* \oplus I_0^*$	$\mathfrak{so}(8) \oplus \mathfrak{so}(8)$
$I_2^* \oplus I_2$	$\mathfrak{so}(12) \oplus \mathfrak{su}(2)$
$I_1^* \oplus I_4$	$\mathfrak{so}(10) \oplus \mathfrak{su}(4)$

Type  $I_0$

Persson '90  
Miranda '90

Kodaira Types	Symmetry Algebras	Kodaira Types	Symmetry Algebras
$IV^{*,ns} \oplus I_3$	$\mathfrak{f}_4 \oplus \mathfrak{su}_3$	$IV^{*,ns} \oplus I_0^{*,ns}$	$\mathfrak{f}_4 \oplus \mathfrak{g}_2$
$I_4^*$	$\mathfrak{so}(16)$	$I_1^{*,ns} \oplus I_0^{*,ns}$	$\mathfrak{so}(9) \oplus \mathfrak{g}_2$
$I_0^{*,ns} \oplus I_1^{*,ns}$	$\mathfrak{so}(7) \oplus \mathfrak{so}(9)$	$I_0^{*,ns} \oplus I_6^{ns}$	$\mathfrak{g}_2 \oplus \mathfrak{sp}(3)$
$I_7$	$\mathfrak{su}(7)$	$I_6^{ns} \oplus I_3^s$	$\mathfrak{sp}(3) \oplus \mathfrak{su}(3)$
$I_N^{*,ns} \oplus I_M, M + N \leq 4$	$\mathfrak{so}(2N+7) \oplus \mathfrak{su}(M)$	$\leq I_9^{ns} \oplus I_0^{*,ns}$	$\leq \mathfrak{sp}(4) \oplus \mathfrak{g}_2$

Type  $I_1$

Type  $II$

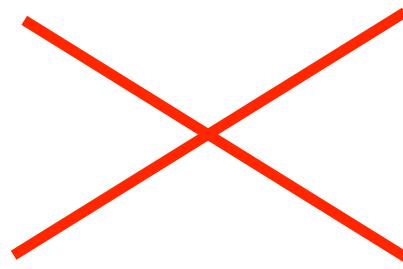
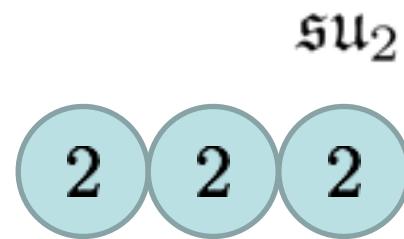
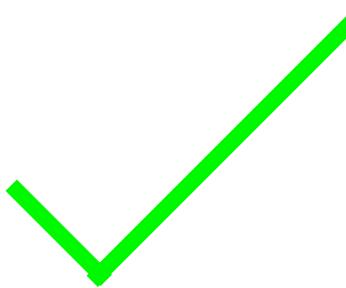
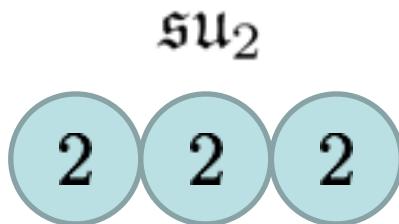
# Maximal Intersections for -2 Curves

Type  $I_0$ :  $\emptyset$

Type  $I_1$ :  $\mathfrak{su}_2$

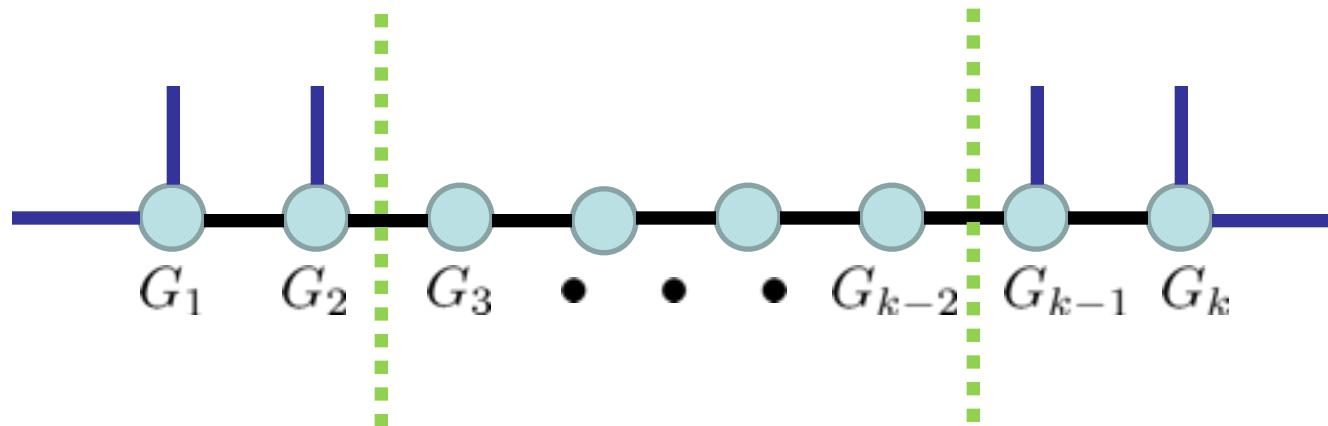
Type  $II$ :  $\mathfrak{su}_2$

But...



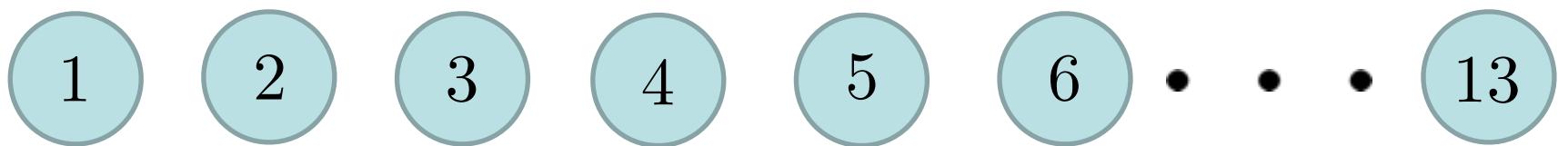
# Open Questions

# Do all 6D SCFTs admit a tensor branch description?



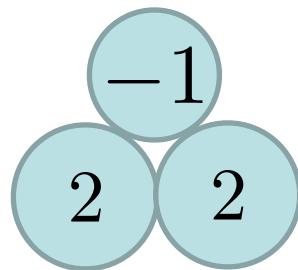
c.f. Antonella Grassi's talk, perhaps?

What about  $\Sigma^2 \leq -3$  unpaired tensors?

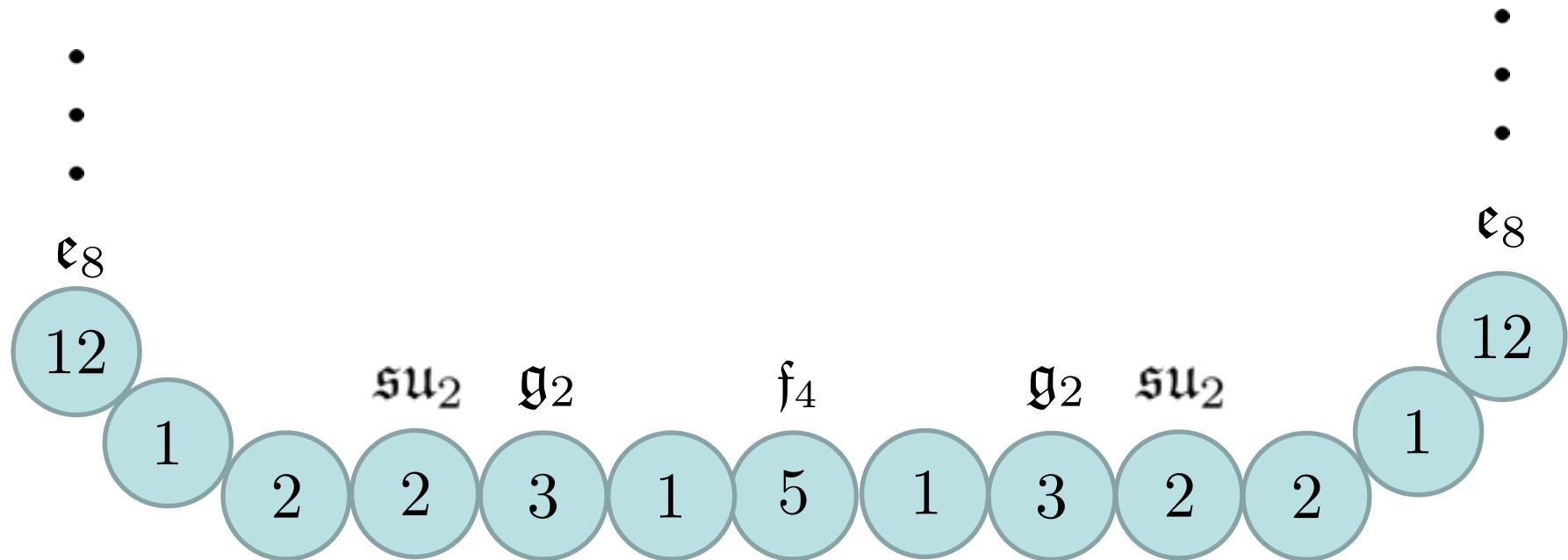


c.f. Timo Weigand's talk

What about lattices that don't admit a geometric realization?



Can we bound  $h^{1,1} \leq 491$ ,  $T \leq 193$ ?



Aspinwall Morrison '97

# Can we prove positivity of SUGRA couplings?

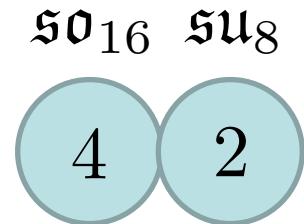
Kumar, Morrison, Taylor '10

$$\mathcal{L} \supset \frac{1}{2}a \operatorname{tr} R \wedge *R + \frac{2}{\lambda_A}(b_A) \operatorname{tr} F^A \wedge *F^A$$

$$a > 0 \quad \text{Cheung, Remmen '16}$$

$$12a + \sum_A \nu_A b_A > 0$$

# What about 4 – 2 intersections?

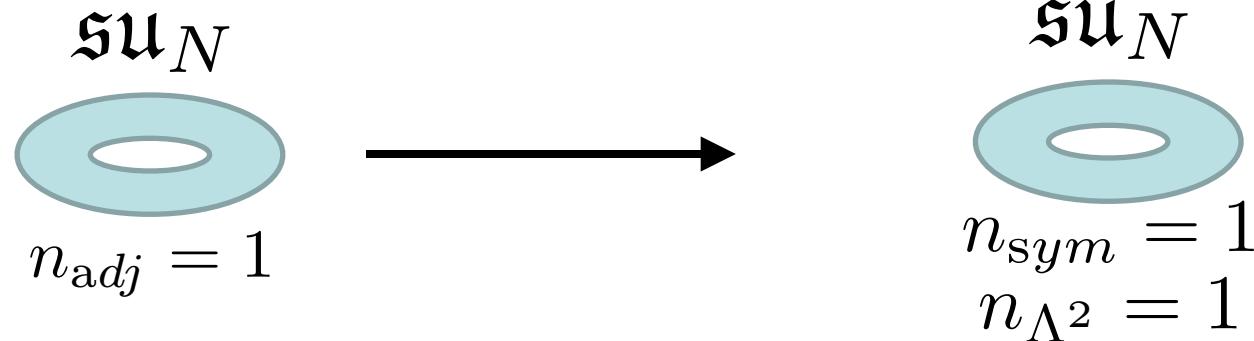


c.f. Hanany, Zaffaroni '97, Tachikawa '15  
+ work in progress by Bhardwaj, Morrison, Tachikawa, Tomasiello

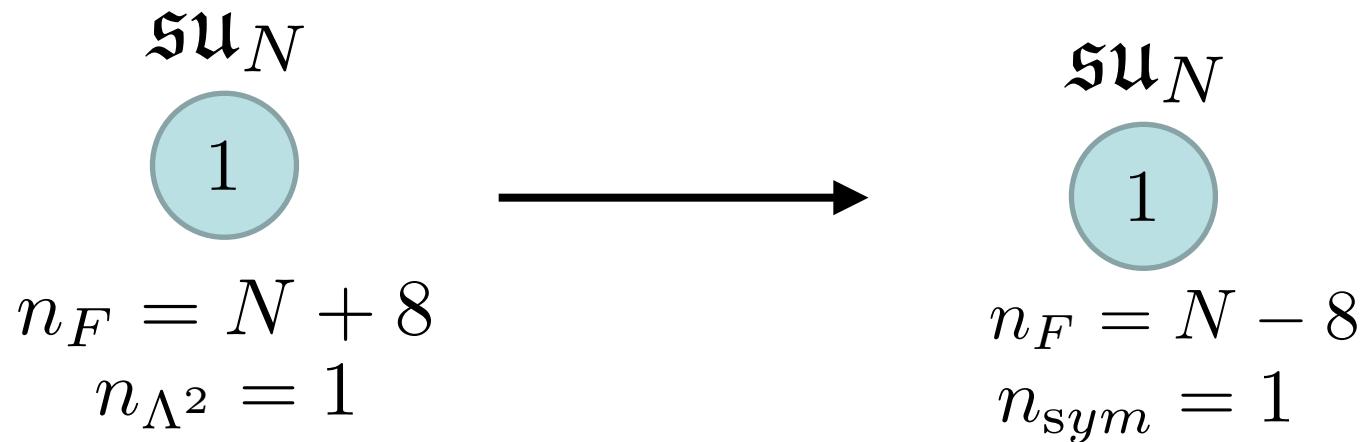
# What about “exotic” matter?

LSTs/SUGRAs

c.f. Wati Taylor’s talk



SCFTs/LSTs



# Conclusions

- There seems to be an F-theory swampland in 6D
- But, it seems to be small
- Future top-down work or bottom-up work could eradicate it entirely
- We have made some progress, via understanding unpaired tensors in F-theory
- (Finite) work still to be done!