

PREVENTION OF INCIDENTS IN RADIOTHERAPY

**ICTP SCHOOL ON MEDICAL PHYSICS FOR RADIATION THERAPY
DOSIMETRY AND TREATMENT PLANNING FOR BASIC AND ADVANCED APPLICATIONS
MARCH 27 – APRIL 7, 2017
MIRAMARE, TRIESTE, ITALY**

YAKOV PIPMAN, D.Sc.

What did we learn?

- Accidents happen
- When they happen there is more than one factor
- Many more 'almost accident's than big ones
- Common factors:
 - Training,
 - Communication, internal and external
 - Barriers,
 - Authority To Question (or lack thereof)
 - Lack Of Redundancies
 - Distractions / Attention
 - Procedural Variations
- Lack of clarity in analysis and reports of what happened

SAFETY IS NO ACCIDENT

A FRAMEWORK FOR
QUALITY RADIATION
ONCOLOGY AND CARE

DEVELOPED AND ENDORSED BY:

American Association of Medical Dosimetrists (AAMD)
American Association of Physicists in Medicine (AAPM)
American Board of Radiology (ABR)
American Brachytherapy Society (ABS)
American College of Radiology (ACR)
American College of Radiation Oncology (ACRO)
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American Society of Radiologic Technologists (ASRT)
Association of Freestanding Radiation Oncology Centers (AFROC)
Society of Chairmen of Academic Radiation Oncology Programs (SCAROP)
Society for Radiation Oncology Administrators (SROA)

Special Article

Improving patient safety in radiation oncology

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Received 5 November 2010; accepted 12 November 2010

Abstract Beginning in the 1990s, and emphasized in 2000 with the release of an Institute of Medicine report, health care providers and institutions have dedicated time and resources to reducing errors that impact the safety and well-being of patients. However, in January 2010, the first of a series of articles appeared in *The New York Times* that described errors in radiation oncology that grievously impacted patients. In response, the American Association of Physicists in Medicine and the American Society for Radiation Oncology sponsored a working meeting entitled "Safety in Radiation Therapy: A Call to Action." The meeting attracted 400 attendees, including medical physicists, radiation oncologists, medical dosimetrists, radiation therapists, hospital administrators, regulators, and representatives of equipment manufacturers. The meeting was co-hosted by 14 organizations in the United States and Canada. The meeting yielded 20 recommendations that provided a pathway to reducing errors and improving patient safety in radiation therapy facilities everywhere.
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The problem

In the early 1990s, articles began to appear in the scientific literature^{1,2} describing the frequency of medical mistakes that place patients at risk. Soon thereafter, reports surfaced in the public media regarding medical errors (eg, chemotherapy overdose, wrong-sided surgery, anesthesia error) that caused the death or severe disability of patients. Partly in response to these reports, an international conference was held in 1993 (in Rancho Palos Verdes, CA) to examine the causes and consequences of severe errors in medicine. The conference was hosted by the American Medical Association and had several organi-

zational co-sponsors. This conference spawned the National Patient Safety Foundation³ and several other initiatives (eg, the Veterans Administration National Patient Safety Partnership) that devoted substantial resources to the identification and mitigation of medical errors. The National Academy of Sciences Institute of Medicine formed the Committee on Quality of Health Care in America that published a seminal report in 2000, entitled "To Err is Human: Building a Safer Health System."⁴ This report estimated that between 44,000 and 98,000 patients died in the United States in 1997 as a consequence of medical errors, and it captured the attention of health care providers and public interest groups. For the past decade, programs to reduce medical errors have been established in most of the nation's hospitals and health care organizations.

Errors are known to occur in radiation oncology. The treatment of cancer patients with radiation is complicated for several reasons: the complexity of the disease, the sophistication of the technologies employed, the

This article is being published simultaneously in the January issue of *Medical Physics*.

Conflicts of interest: None.

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doi:10.1016/j.pro.2010.11.005

ICRP

Annals of the ICRP

ICRP Publication 112

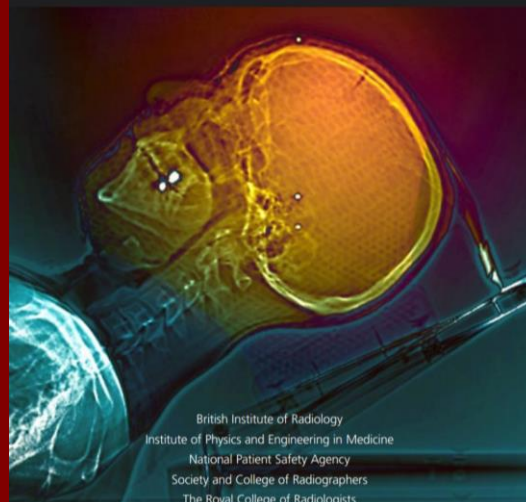
Preventing Accidental Exposures from
New External Beam Radiation
Therapy Technologies



RADIOTHERAPY RISK PROFILE Technical Manual



Towards Safer Radiotherapy



British Institute of Radiology
Institute of Physics and Engineering in Medicine
National Patient Safety Agency
Society and College of Radiographers
The Royal College of Radiologists

Safety Reports Series No. 17



LESSONS
LEARNED FROM
ACCIDENTAL
EXPOSURES IN
RADIOTHERAPY

What can we do?

Abundant Recommendations

Report	Advice
<i>Towards safer Radiotherapy</i>	37
<i>Radiotherapy Risk Profile</i>	15
<i>Preventing Accidental</i>	15
<i>Hendee and Herman</i>	20
<i>Heirarchy of Actions</i>	19
ASTRO	6
TG 100	5
Total	117



Recommendations for safer radiotherapy: what's the message?

*Peter Dunscombe**

Education/ Training (7)

Staffing/skills mix(6)

Documentation/SOP (5)

Incident Learning System (5)

Communication/questioning (4)

Check lists (4)

QC and PM (4)

Dosimetric Audit(4)

Accreditation (4)

Minimizing interruptions (3)

Prospective risk assessment (3)

Safety Culture (3)

What can we do?

Education and Training

Multilayered prevention

Risk assessment – (FMEA)

Learning and Reporting Systems

Analyzing – Root Cause Analysis (RCA)

Safety Culture

https://rpop.iaea.org/RPOP/RPoP/Content/AdditionalResources/Training/1_TrainingMaterial/AccidentPreventionRadiotherapy.htm

PREVENTION OF ACCIDENTAL EXPOSURE IN RADIOTHERAPY

Part 5: Reporting, investigating and preventing accidental exposures



IAEA

International Atomic Energy Agency

Preventing accidental exposures

● Communication

There should be clear and concise written rules for communication critical to safety. These rules should be posted and understood.

- Example: Handing over an accelerator to a physicist following maintenance should be formalized and adhered to. (e.g. case history on incorrect repair followed by insufficient communication – Spain, 1990)

Documents critical to safety, for example prescriptions, basic data and treatment plans, should be signed by staff who are responsible and qualified.

Multilayered prevention of accidental exposures

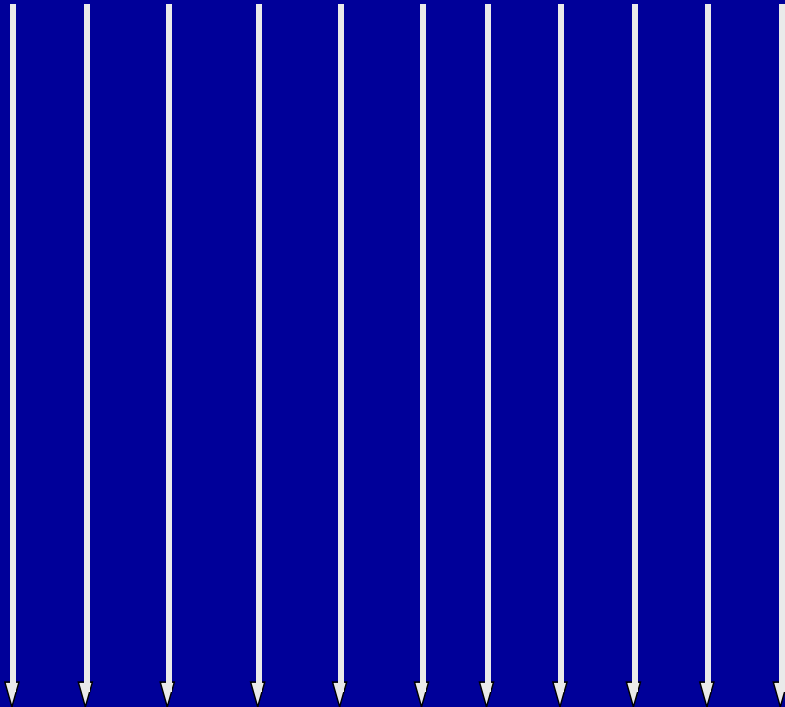
- The term “**defence in depth**” is defined in the BSS as “the application of more than one single protective measure for a given safety objective such that the objective is achieved even if one of the protective measures fail”.
- “**Defence in depth**” can be viewed as several layers of safety provisions, such as physical components and procedures.

Multilayered prevention of accidental exposures

- Multilayered prevention includes aspects of “defence in depth” but also includes aspects such as awareness and alertness which could be termed “**conceptual defence**”
- For this multilayered prevention of accidental exposures to work, these layers need to be independent of each other.
- An implemented Quality Assurance program might provide the layers. Part of the QA should be to verify that this is the case!

Multilayered prevention of accidental exposures

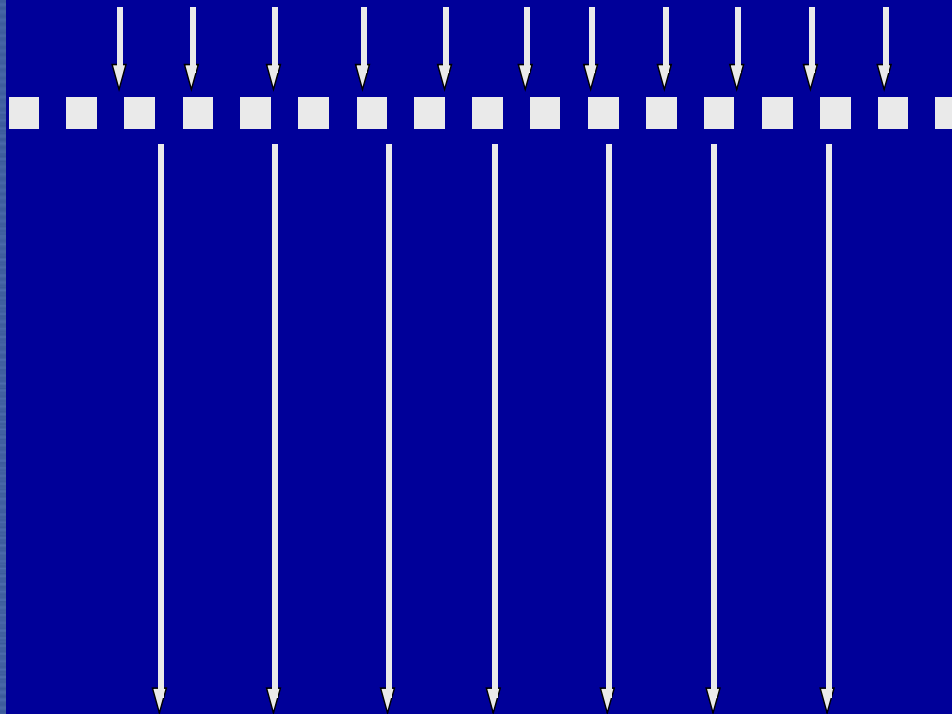
Initiating events will happen many times in any clinic



If there are no layers of safety provision, these events will lead to accidental exposures

Multilayered prevention of accidental exposures

Initiating events



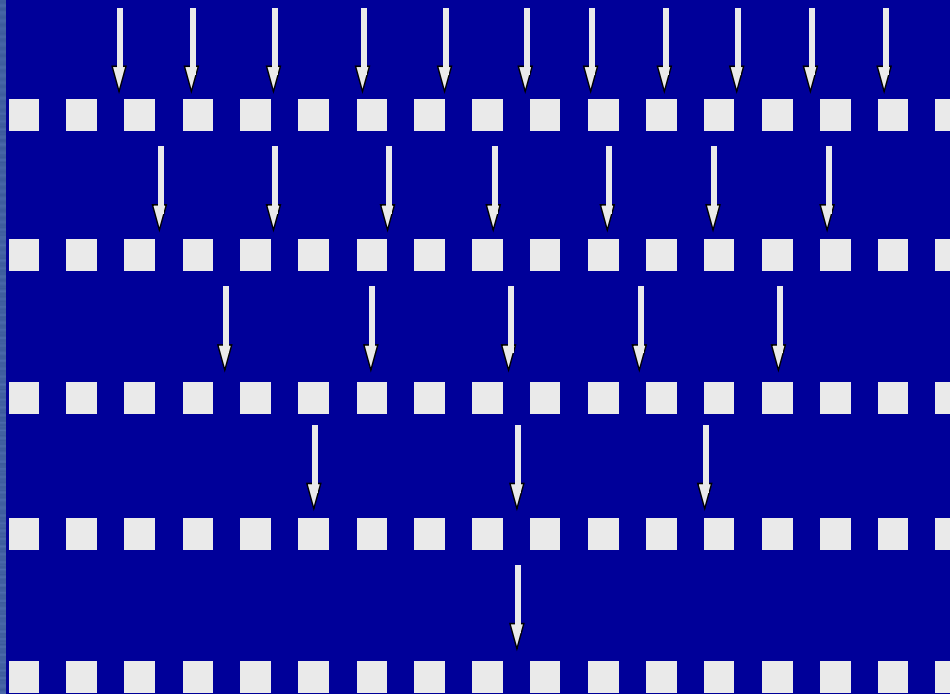
Accidental exposures

By putting in a layer of safety-provision, many initiating events are stopped from becoming accidental exposures.

When only a single layer of safety-provision is present, failure of this layer can still lead to accidental exposures.

Multilayered prevention of accidental exposures

Initiating events

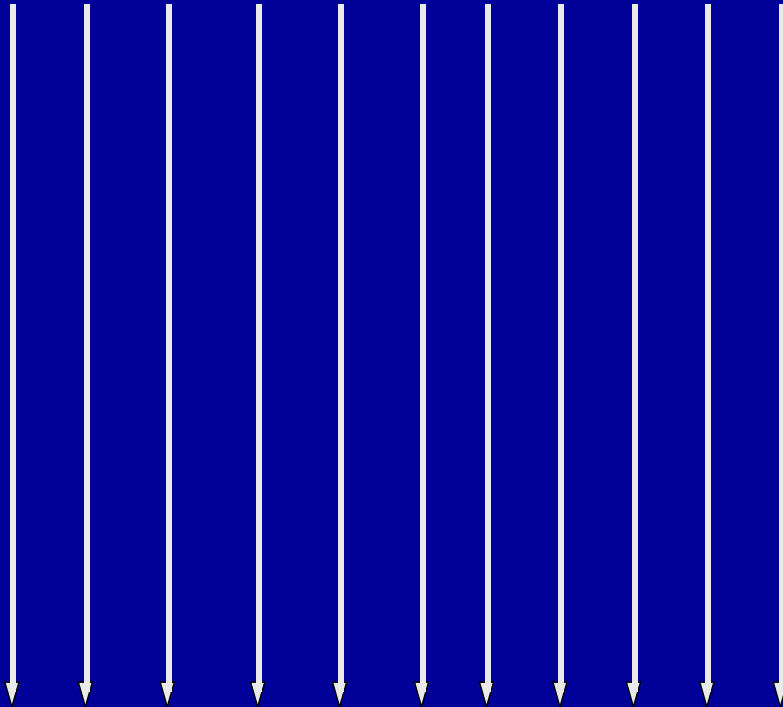


Accidental exposures

By having multiple independent layers of safety-provision, there is a much higher likelihood that accidental exposures are prevented.

Multilayered prevention of accidental exposures

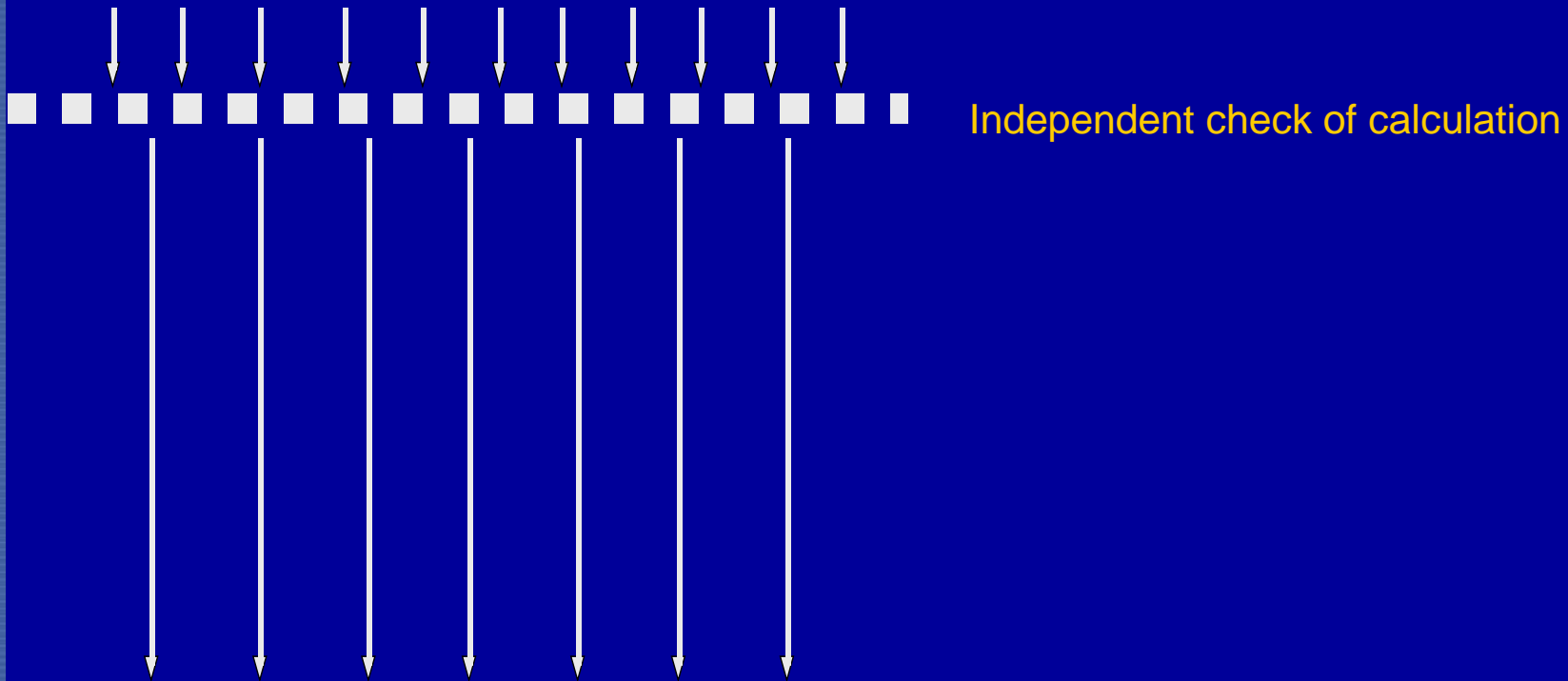
Initiating event: Mistakenly inverting SSD-correction in MU-calculation



Consequence: Very significant dose deviation for a patient

Multilayered prevention of accidental exposures

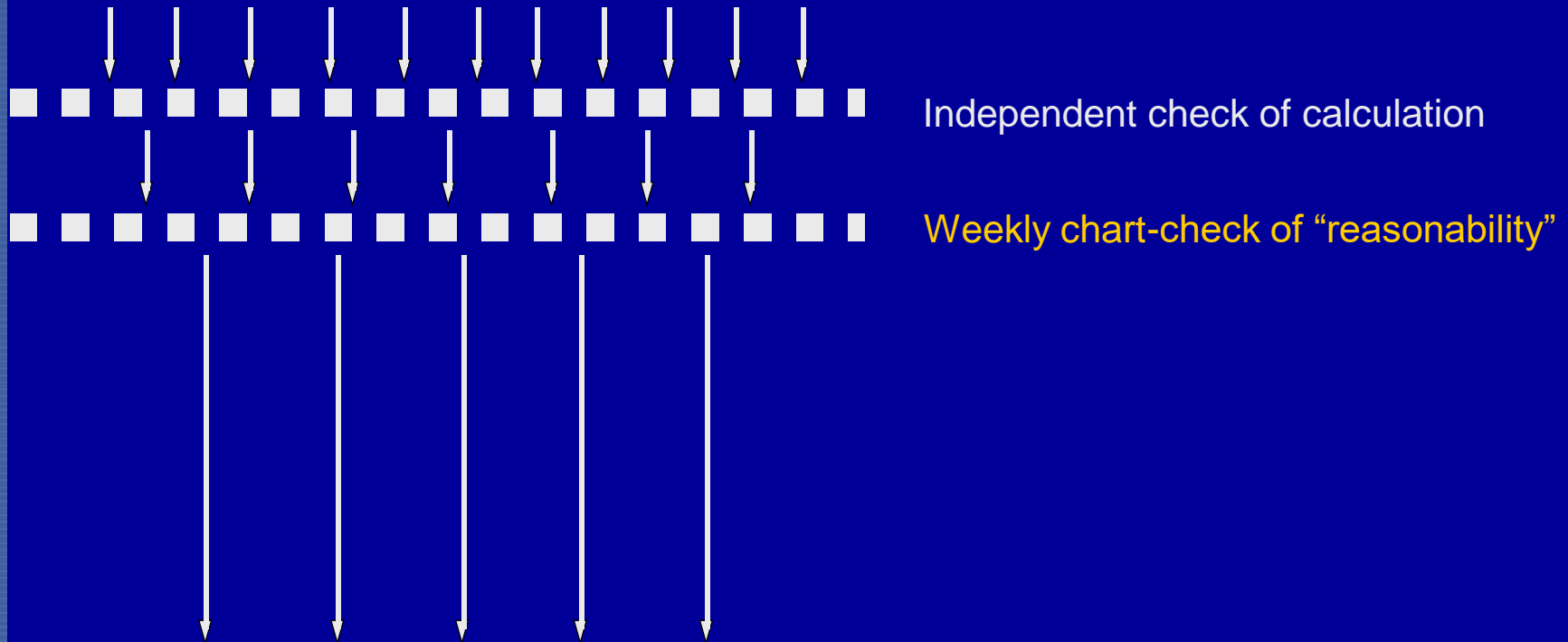
Initiating event: Mistakenly inverting SSD-correction in MU-calculation



Consequence: Very significant dose deviation for a patient

Multilayered prevention of accidental exposures

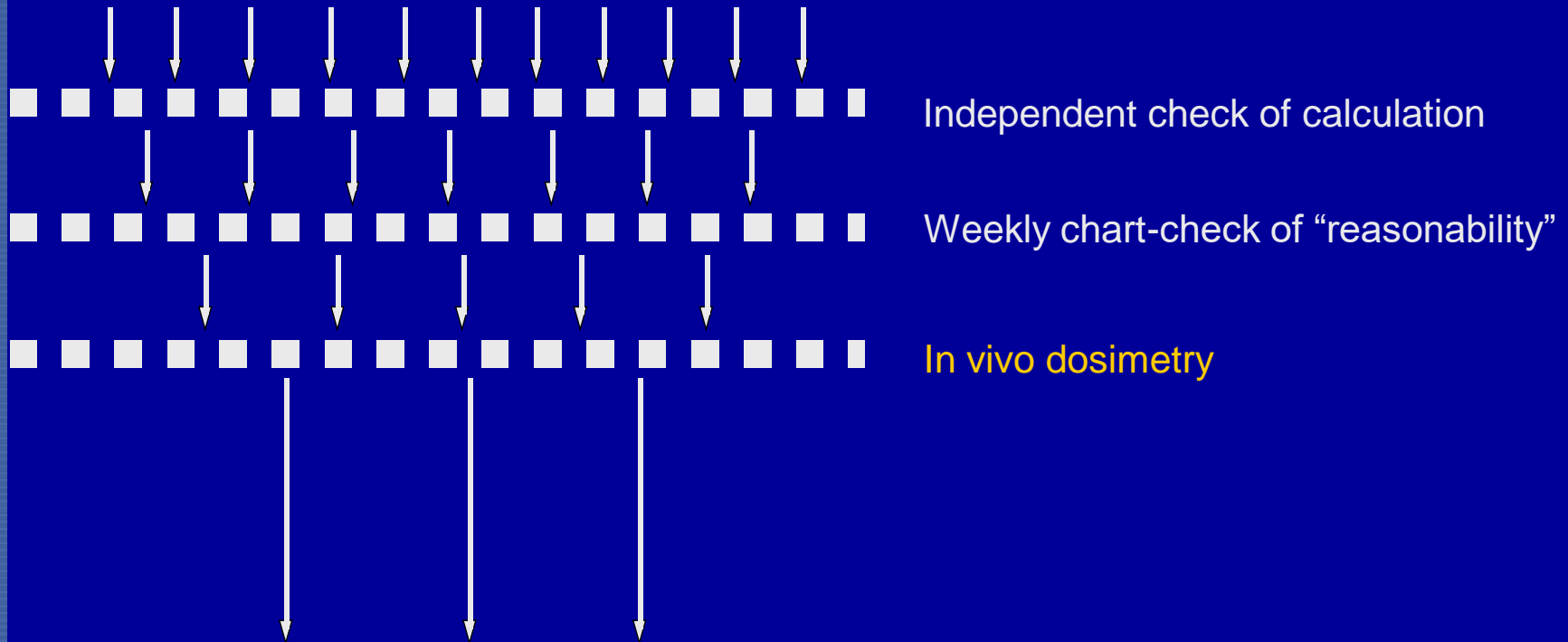
Initiating event: Mistakenly inverting SSD-correction in MU-calculation



Consequence: Very significant dose deviation for a patient

Multilayered prevention of accidental exposures

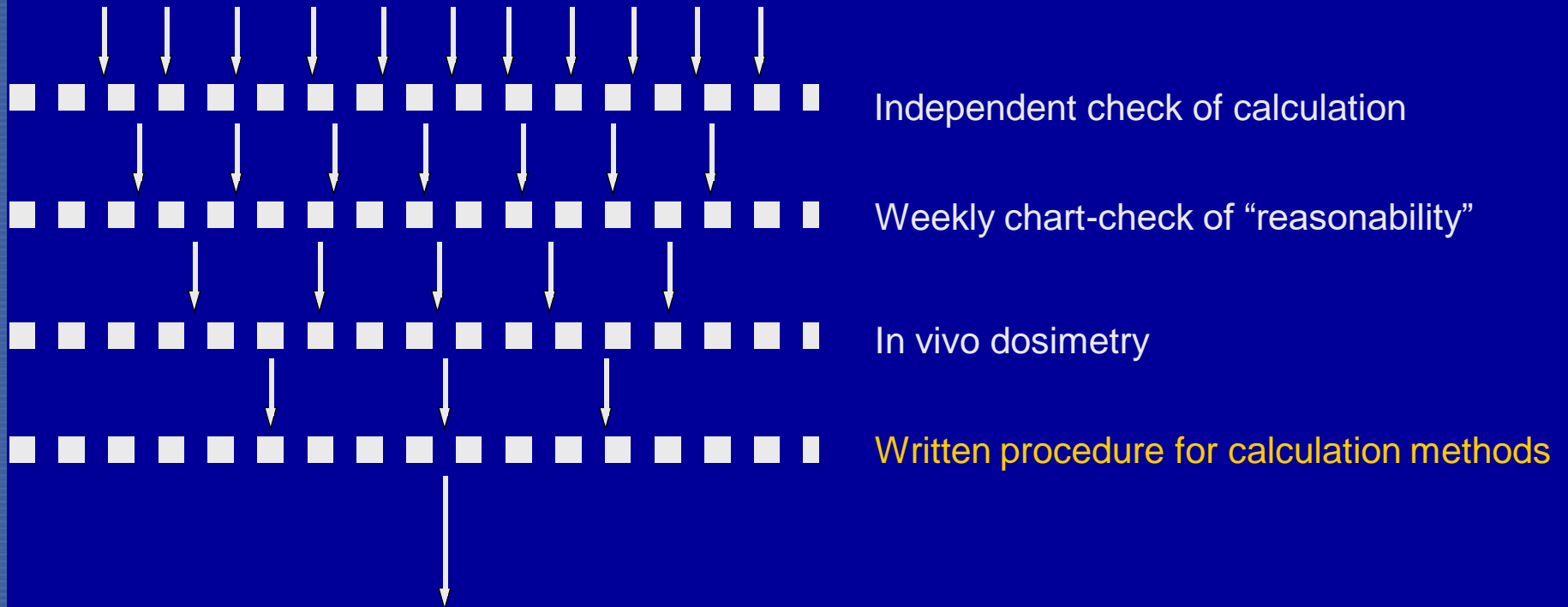
Initiating event: Mistakenly inverting SSD-correction in MU-calculation



Consequence: Very significant dose deviation for a patient

Multilayered prevention of accidental exposures

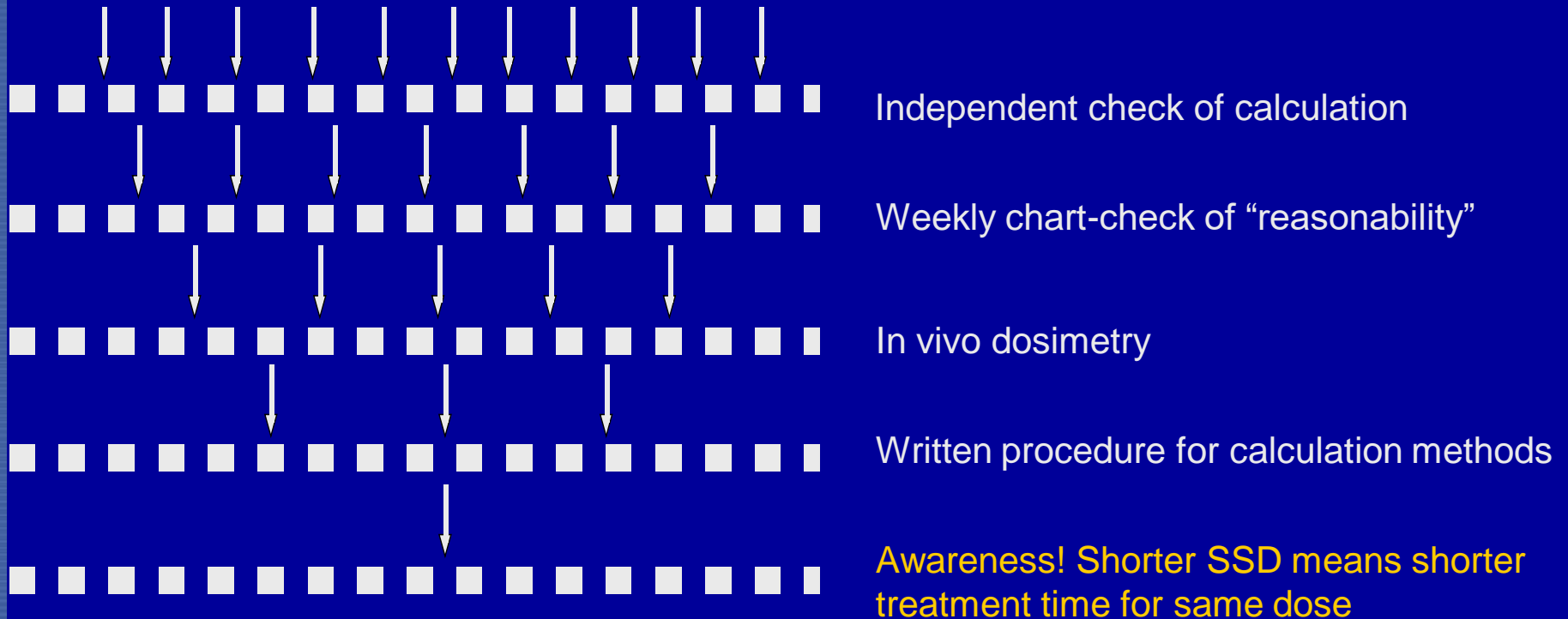
Initiating event: Mistakenly inverting SSD-correction in MU-calculation



Consequence: Very significant dose deviation for a patient

Multilayered prevention of accidental exposures

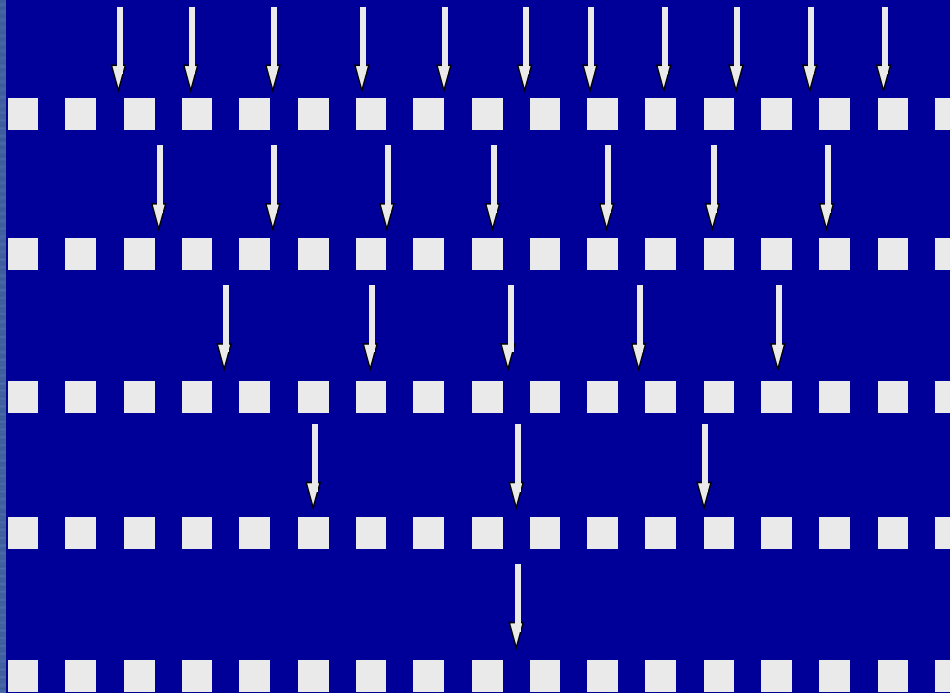
Initiating event: Mistakenly inverting SSD-correction in MU-calculation



Consequence: Very significant dose deviation for a patient

Multilayered prevention of accidental exposures

Initiating event: ?



Consequence: ?

TRY IT AS AN EXERCISE!

Examples of initiating events:

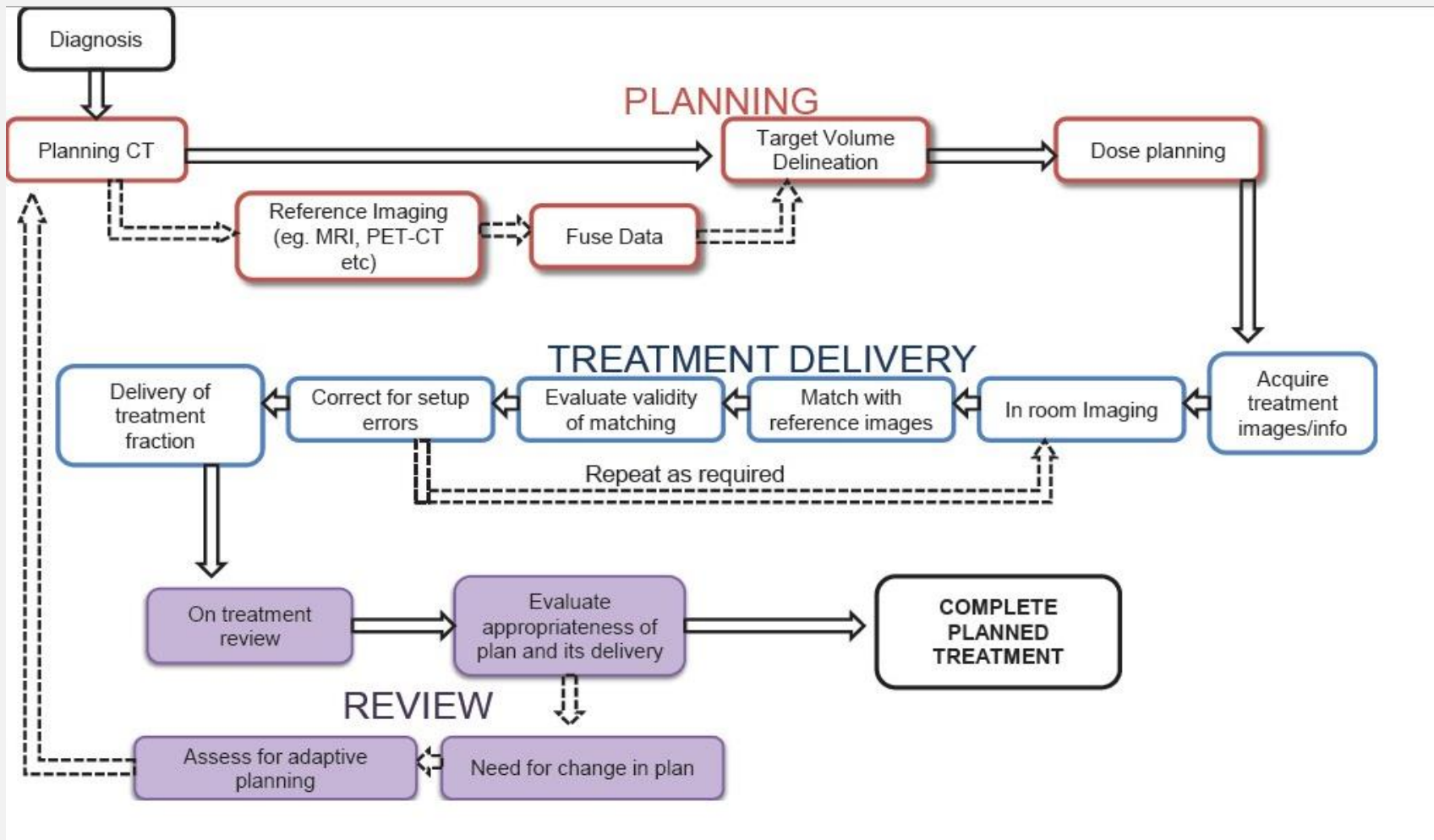
Calibration of beam made in penumbra

Pancake chamber used upside down

Use of wedge factor twice in calculation of treatment time

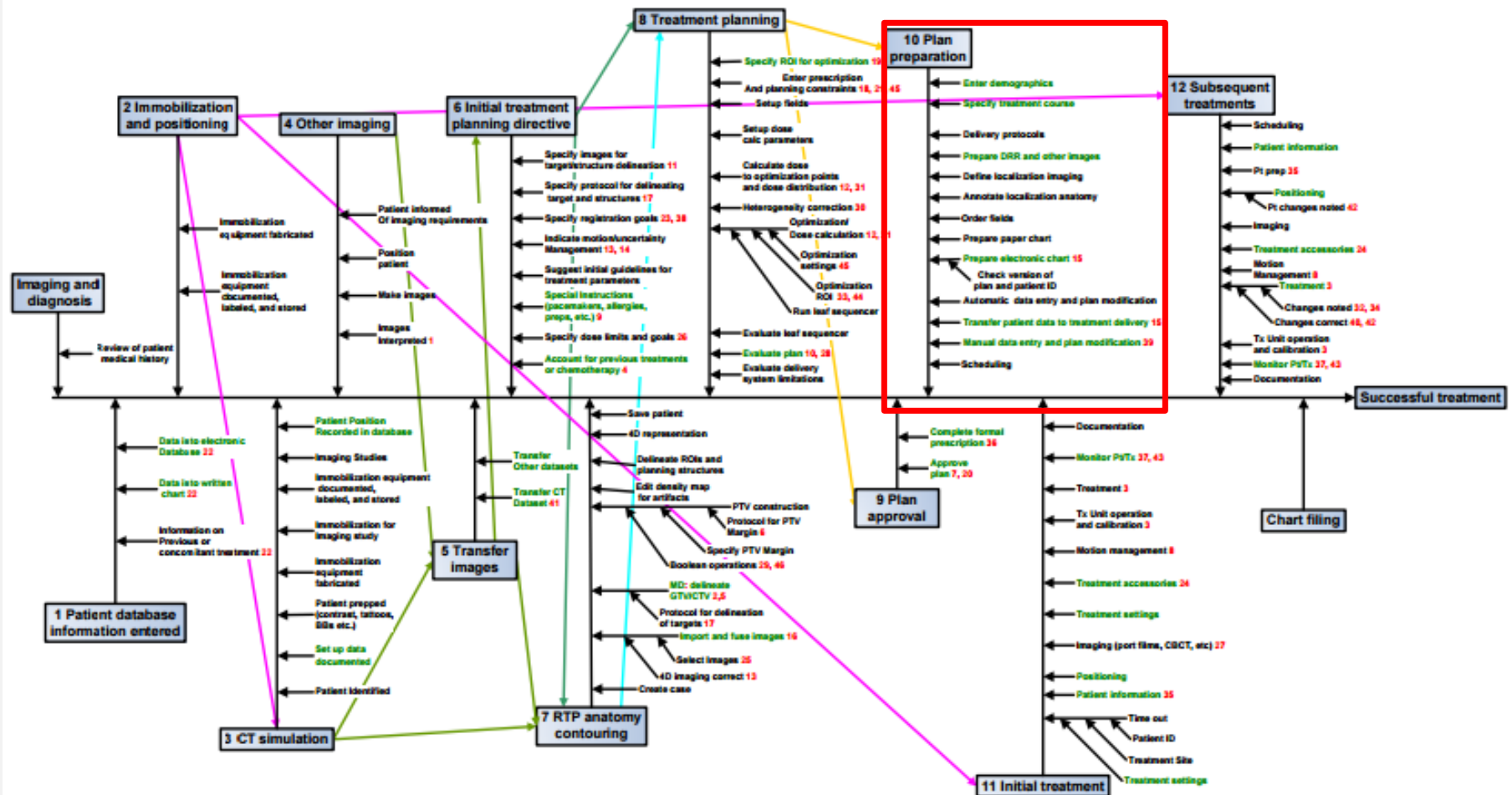
Misunderstanding of verbal prescription

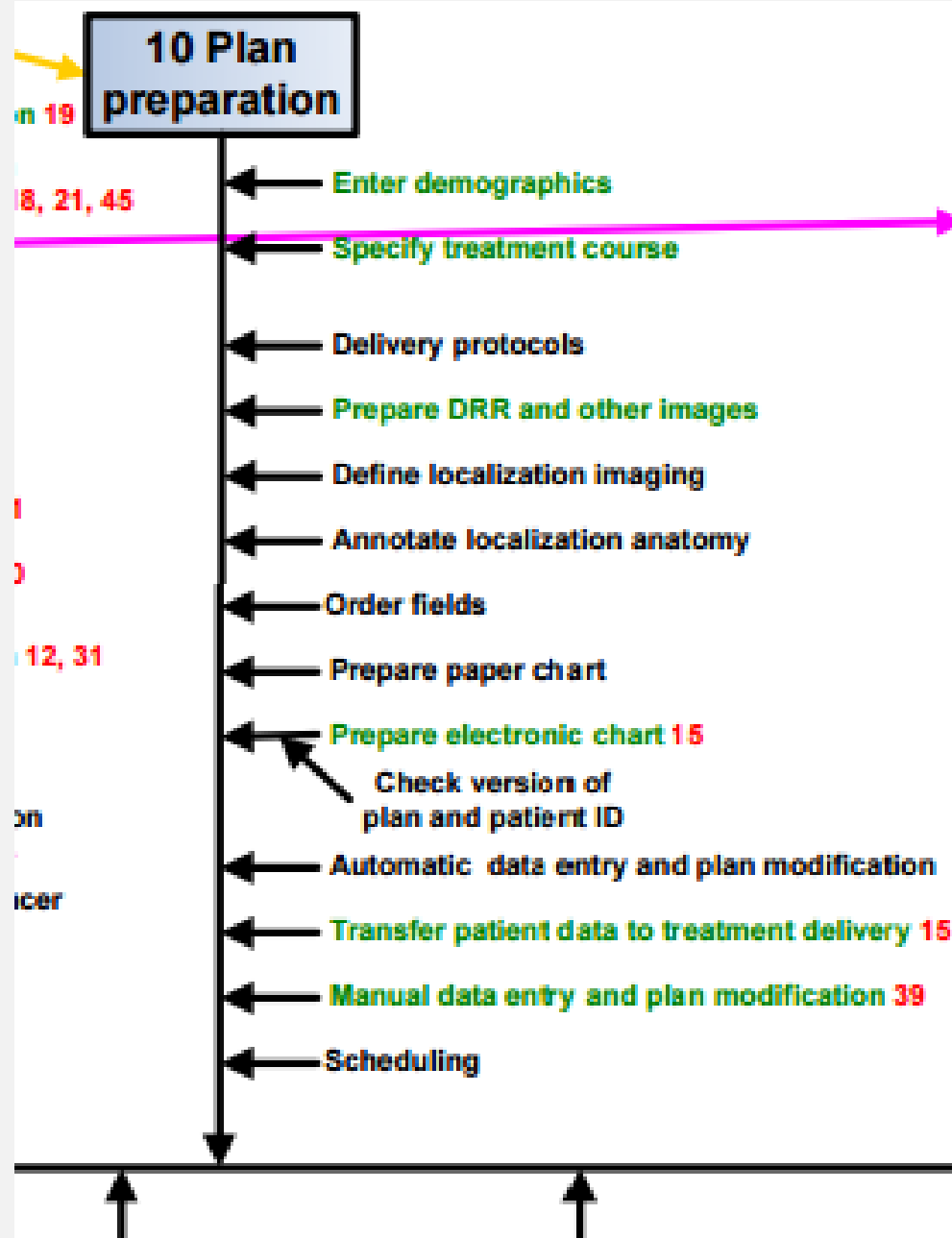
To Create Barriers, we use Process Maps



Process Map for IMRT

(TG 100 Example)





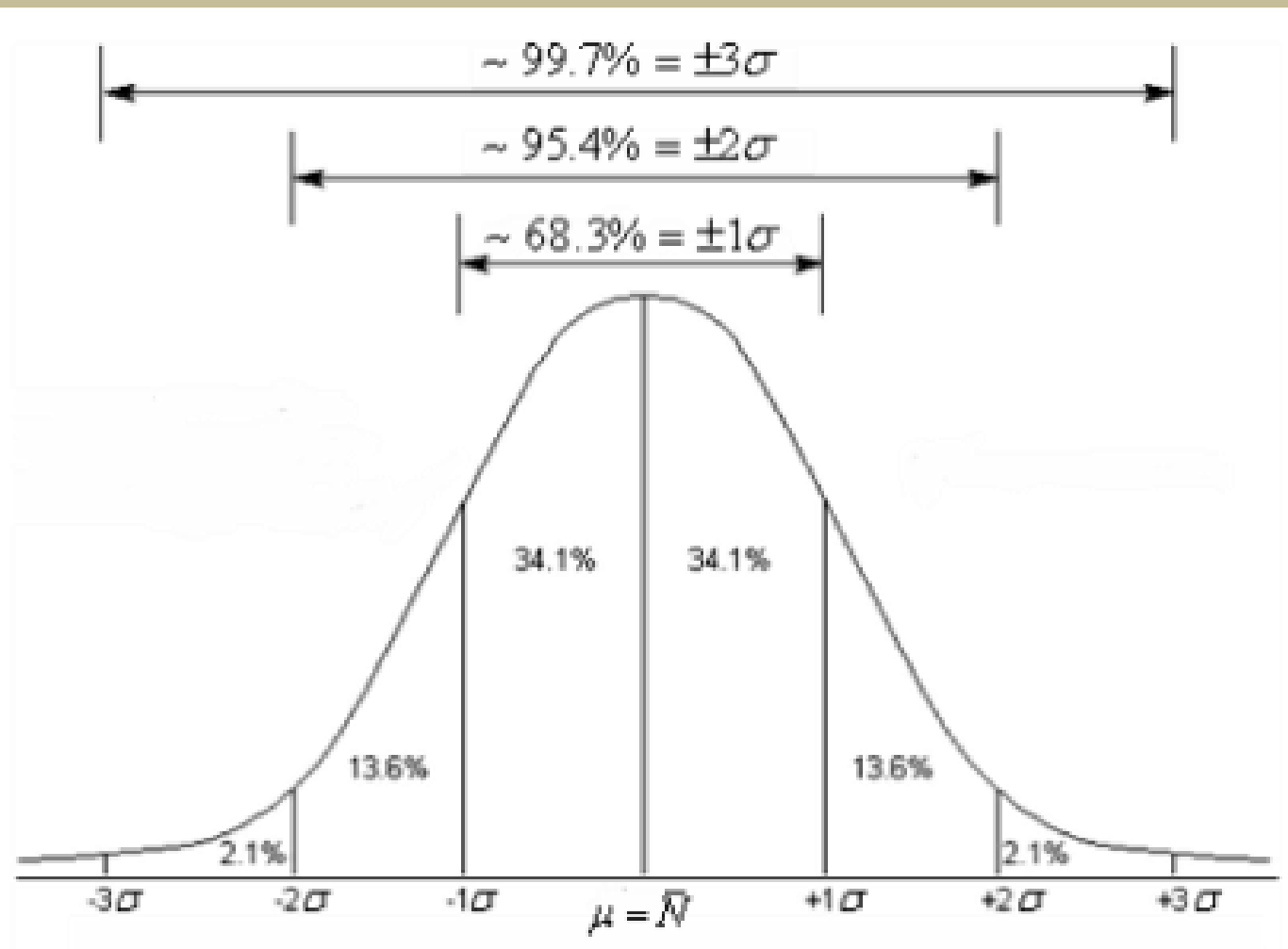
What is Safety ?

- **The absence of an unacceptable risk of harm.**
- **What is harm in RT?**
 - **excess morbidity**
 - **sub-optimal tumour control.**

Quality in Radiotherapy

The degree to which radiation therapy is consistent with current professional knowledge:

- The prescription is appropriate, i.e. evidence based**
- The prescription is delivered within tolerances determined by consensus in the profession**



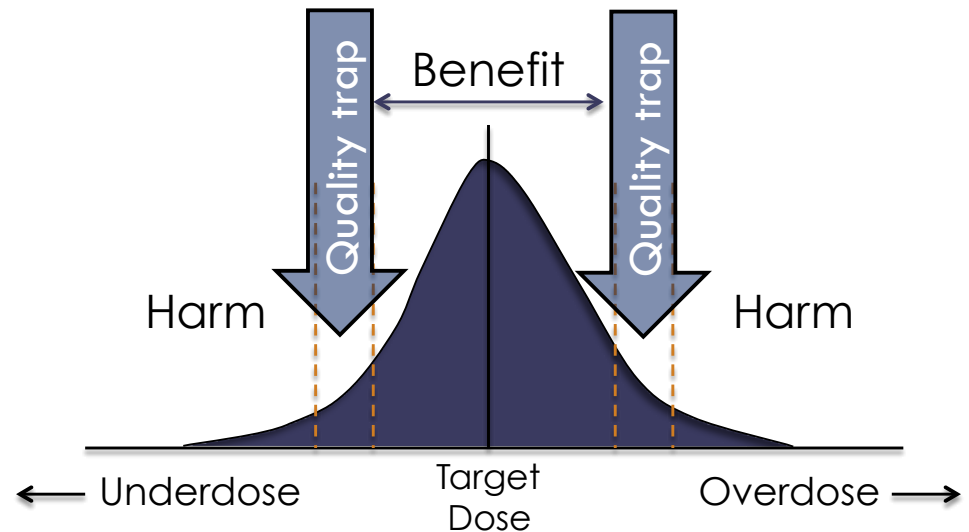
Is Safety an issue in Radiotherapy?

	“Serious” Incidents per course
New York State	0.012%
Varian	0.002%
UK	0.003%

The chance of dying or being injured on a U.S. domestic flight is about 0.00001% - Ford and Terezakis IJROBP 2010

How many patients fall into the “Quality Trap”?

There are about 750,000 patients receiving RT per year in the U.S.



At 0.01% that would be 75 serious accidents per year in the US alone!

If we ignore retreats, that is approximately 750,000 courses per year.

2.6% of 750,000 is about 20,000



Department of Radiation Oncology TREATMENT VARIANCE REPORT

Reported on __/__/200_ Reported by: _____ Occurrence date(s): __/__/200_ _____
Patient ID: _____ Attending M.D.: _____ Assigned Physician: _____

Details: Blocks / MLC / MU / Wedges / Geometry / Energy / Mode / Setup / Machine _____/
Calculation / Plan / # of Fr's _____ / Machine function / Identification
Other _____
Therapist(s): _____

Description of Variance (reporting staff):

Summary of Variance analysis (Physics)

(report attached [])

EFFECT CATEGORY	↓	REPORTING CLASS	↓
Prevented		Minor	
Corrected		Recordable	
Uncorrectable		Misadministration	

DEPARTMENTAL REVIEW:

Date: __/__/200_

Comments:

Corrective action:

Variance?

- A difference between what is expected and what actually occurs.
- An event that departs from the normal, the routine or from what we expected.



What information we collected?

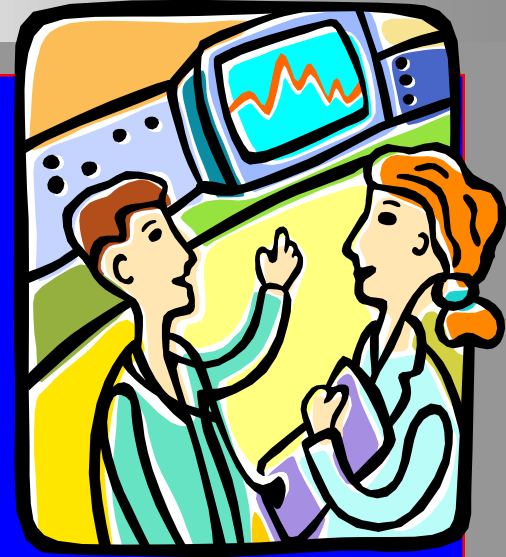
Department of Radiation Oncology
TREATMENT VARIANCE REPORT

Reported on __/__/200_ Reported by:_____ Occurrence date(s): __/__/200_, _____
Patient ID:_____ Attending M.D.:_____ Assigned Physicist:_____ -
Details: Blocks / MLC / MU / Wedges / Geometry / Energy / Mode / Setup / Machine_____/
Calculation / Plan / # of Fx's __/ Machine function / Identification
Other_____
Therapist(s): _____

Description of Variance (reporting staff):

THE ABOVE SECTION TO BE COMPLETED BY REPORTER

What did we do with it?



- **Bring to the attention of the attending Physician since s/he is ultimately responsible for the patient's treatment**
- **As the case may be, bring to the immediate attention of a supervisor or Physics.**
- **"Treatment Variance" forms are collected by Sherin**

What did we do with the information?

- Analyze the specifics of the variance with three goals in mind
 - What is the effect on the patient
 - Is there a lesson to learn and changes to be made
 - What reporting category does the variance fall into.

**Each case would be
evaluated by the QA team,
and the analysis reported**



Summary of Variance analysis (Physics)

(report attached[])

<input type="checkbox"/>	EFFECT CATEGORY	√	REPORTING CLASS	√
	Prevented		Minor	
	Corrected		Recordable	
	Uncorrectable		Misadministration	

THE ABOVE SECTION TO BE COMPLETED BY PHYSICS

When evaluating the significance of an error, its effect has to be evaluated on the assumption that the patient's treatment will be solely determined by that particular error.

**A measure, or action, is truly
redundant if it can perform
its function as if there was
no other system or action in
place.**

Proposed Corrective Action and Discussion

- Let's change "xy"
- We should replace "yzz" with "rstuv"
- The last person to "zxttt" will do "abcd"
- We will never again "defgh"!



Monthly Presentation to the departmental QA Committee



OVERALL ANALYSIS:

Number of cases reviewed: _____ fields _____ ESTV 's

Number of cases identified: _____

Effect Category	#	Reporting Class	#
Prevented		Minor	
Corrected		Recordable	
Uncorrectable		Misadministration	

SPECIFIC CONCLUSION:

ACTION:

Newer incident reporting systems

- In-house web-based system available since 2007
- Includes near-misses
- 600 “minor incident” for every 1 “critical error”

(Bird and Germain 1996)

The screenshot shows a web browser window with the URL https://www.radonc.jhmi.edu/Private/intranet/helpdesk/request/service_request.asp. The form is titled "Problem Type: Prescription Deviation" with a dropdown menu. The form fields are as follows:

First Name: Eric	Last Name: Ford
E-mail: eric.ford@jhmi.edu	Machine: 21EX
Patient First Name: Ronald	Patient Last Name: McDonald
Patient History #: 314159	Date of Incident: 2/29/2008
Treatment Fraction(s): 1	Treatment Site: Spine
Physician: Other	
Deviation Category: Treatment Error (incorrect or missing tx parameter or beam modifier used)	
How was incident discovered: visually in room	
Explanation of Incident: Narrative text here ...	

At the bottom of the form, there are three checkboxes: "Physics informed: ☒", "Supervisor informed: ☒, and "Physician informed: ☒". Below these is a checkbox "I require immediate assistance." which is also checked. At the very bottom are three buttons: "Submit", "Clear Form", and "Cancel".



<http://www.rosis.info/index.php>

About ROSIS

ROSIS, an acronym for Radiation Oncology Safety Information System, is a patient safety tool specific to radiation oncology. It was established in 2001 under the auspices of the professional body "European Society of Therapeutic Radiology and Oncology" (ESTRO), and has successfully established an international voluntary incident and near incident reporting system, a supporting website and an annual teaching course on Patient Safety in Radiation Oncology.

[Home](#) [About Us](#) [ROSIS Safety Information](#) [ROSIS Workshops](#) [Spotlight Cases](#) [Register Your Clinic](#) [Submit A Report](#) [ROSIS Publications](#) [ROSIS Discussion Forum](#)

<http://www.rosis.info/>

**Radiation Oncology Safety Information System
– a voluntary reporting system for radiation oncology**

The first step in becoming an active ROSIS participant is registration.

This means that you must first complete and return this registration form giving details of your clinic and the local contact person/people who will be responsible for submitting reports. This will be the only time that you will be asked for this information.

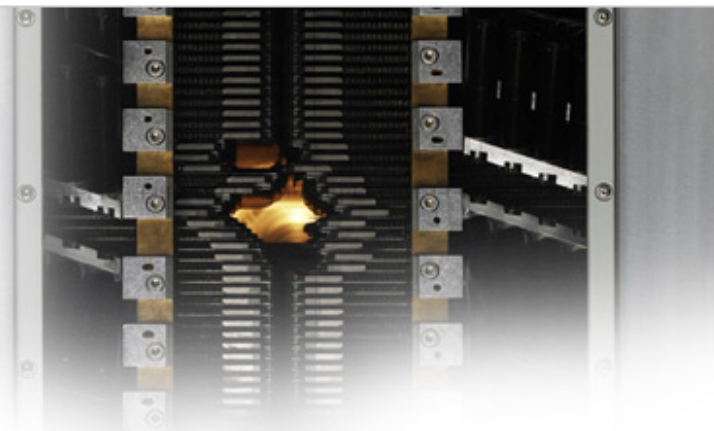
**General Information
Infrastructure(Equipment, etc)
QA procedures
Risk management (Reporting system, etc)**

http://www.rosis.info/docs/Registration_Form_March_11.pdf

Incident ID	Date	Summary
1372689757	1-7-2013	being miss-ray treatment by not correct adjusted target volume
1366098290	16-4-2013	High density materials in IGRT carbon fiber tabletop interface to the head and neck Posifix extension.
1347270437	10-9-2012	Pt was scheduled for IMRT treatment 25 x 2 Gy. The IMRT planning was accidentally done with a number of fractionations of 16 (as is also often used for treatment of the breast; 16 x 2.66Gy). The planning objectives was 50Gy in the PTV, as intended. Thus, 50 Gy was planned in 16 fractions instead of 25, resulting in a fraction dose of approx 3.13 Gy. The plan (field sizes, MU etc) was sent to the R&V system, but not the number of fractions. This is not possible with the current configuration of the R&V system. The fields were checked but the discrepancy between planned and intended number of fractions was not noted. The patient received 25 x 3.13 Gy = approx 78.25 Gy instead of 50Gy. The patient also received a boost (planned 8 x 2Gy). Due to severe skin reaction the treatment was checked and the error found. The last fraction of the boost was omitted.
1343040776	23-7-2012	Wrong treatment couch height selected due (SSD too large) to unusual treatment technique/geometry. Thoracic wall irradiation was interpreted as mamma irradiation.

Safety Reporting and Learning System for Radiotherapy

SAFRON is voluntary and aims to enable global shared learning from safety related events and safety analysis in order to improve the safe planning and delivery of radiotherapy. SAFRON is provided by the IAEA.



Actions

[Browse Safety Info by Process Step >](#)[Search for Incident Reports >](#)[Search for Documents & Links >](#)[Request Registration >](#)[View Instructions >](#)

Featured Incident Reports

[Plan with two isocenters, patient is not repositioned in between](#)

Craniospinal irradiation with three isocenters. Spinal field had two isocenters. Patient was not repositioned for one fraction and part of the upper spine got 100% higher dose than intended and lower...

[Isocentre Co-ordinates in Mosaik incorrect, and first](#)

Featured Documents & Links

[Quantitative cone-beam CT imaging in radiation therapy using planning CT as a prior: first patient](#)

This study looked at the difficulties of using CBCT for patient positioning because of poor imaging and scatter contamination. By using a correction method using the planning CT the capabilities of...

[Automatic patient alignment system using 3D](#)

The logo for SAFRON, consisting of the word "SAFRON" in white capital letters on a red rectangular background.

Information and Instructions for Registering with NUCLEUS

Prior to registering with Safety Reporting and Learning System for Radiotherapy (SAFRON), the registrant must register with NUCLEUS, the Agency's information resource catalogue. The link to NUCLEUS is: <http://nucleus.iaea.org/Home/index.html>

Instructions for Registering with SAFRON

SAFRON is an integrated voluntary reporting registry of radiation oncology incidents and near misses. Its success is dependent on facilities registering and sharing incidents that occur in their institutions. The registration form includes details on the equipment, staff and environment in your centre. This information indicates the complexity of the processes within departments. It will be used to carry out trend analyses of incidents in relation to complexity of practice, working environment and educational background of professional staff in a range of clinic types. The information will not be seen by other users of SAFRON.

<https://rpop.iaea.org/SAFRON/StaticContent/safron-instructions.pdf>

**Browse Process Steps**

You can view all the process steps for a selected treatment

All process step for:

- ☒ 1. Non-clinical phase
- ☒ 2. Pre-treatment phase
- ☒ 3. Treatment phase
- ☐ 4. Unknown

☒ 1. Non-clinical phase☒ 2. Pre-treatment phase☒ 3. Treatment phase☒ 3.1. Treatment setup☒ 3.1.1. Patient setup

3.1.1.1. Patient ID process

3.1.1.2. Patient data ID process

3.1.1.3. Explanation/instructions to patient

3.1.1.4. Patient positioning

3.1.1.5. Use of reference marks

3.1.1.6. Other

☒ 3.1.2. Treatment unit setup

3.1.2.1. Setting of treatment machine parameters

3.1.2.2. Setting of collimator angle

3.1.2.3. Setting of jaw position

*Number of staff:

*Radiation oncologists (physicians):

*Medical physicists:

*Radiation Therapy Technologists (RTT) / Radiation Therapists / Staff at treatment units treating patients:

*Radiation Therapy Technologists (RTT) / Radiation Therapists / Staff at simulator and/or in-house CT:

*Staff doing dosimetry i.e. treatment planning etc:

*Staff doing technical maintenance on radiotherapy equipment:

*How is most of your equipment maintenance performed?

Safety infrastructure in place at the clinic:
(Select all that apply to your clinic)

- ☐ There are documented policies and procedures for most of the clinical processes
- ☐ There are written policies and procedures for equipment quality control (including software)
- ☐ There are appropriate education and training for staff
- ☐ There is a committee with responsibility for on-going quality and safety improvement

Safety barriers in place at the clinic:
(Select all that apply to your clinic)

- ☐ Verification of patient ID
- ☐ Verification that pretreatment condition have been taken into account
- ☐ Verification of imaging data for planning (CT scan, fusion, imaging modality, correct data set)
- ☐ Verification reference points
- ☐ Physician peer review
- ☐ Review of treatment plan
- ☐ Independent confirmation of dose
- ☐ Time out
- ☐ Use of record and verifying system
- ☐ Verification of treatment accessories
- ☐ Image based position verification
- ☐ In vivo dosimetry

<https://rpop.iaea.org/SAFRON/ClinicRegistration/ClinicRegistrationEdit.aspx>



IAEA

SAFRON - Safety in Radiation Oncology

Dataset: All incident reports[Home](#) | [Process Steps](#) | [Incident Reports](#) | [Documents and Links](#) | [Help](#)

Submit Incident Report

Provide incident report details.

* Required Fields

*Treatment modality:	External beam radiotherapy
Date of discovery (YYYY-MM-DD):	<input type="text"/>
*Who discovered the incident?	
*How was the incident discovered?	
*What phase in the process is the incident associated with?	 Select
*Where in the process was the incident discovered?	 Select
*Was anyone affected by the incident?	
*Was any part of the prescribed treatment delivered incorrectly?	<div><div>Yes, more than 1 patient</div><div>Yes, one patient</div><div>Other, e.g. staff</div><div>No, but someone could have been; potential incident</div><div>No information provided</div></div>
If relevant, please indicate the proportion of fractions delivered incorrectly.	<div></div>
Prescribed dose per fraction (Gy):	<input type="text"/>
If relevant, please estimate the dose deviation from the prescribed dose per fraction:	
*Clinical incident severity:	 Help Text
*Summarize the incident in a single sentence headline:	<div></div>
If the incident-cause is related to equipment (hardware or software), please specify the make, model and version number:	<div></div>
Describe the incident in detail:	<div></div>
Describe the causes of the incident (Select one	

Option Menus


Tables

Free text description


ASTRO and the AAPM (2014) - medical specialty society sponsored radiation oncology PSO.

Goal: Educate the radiation oncology community on how to improve safety and patient care.

49




RADIATION ONCOLOGY
INCIDENT LEARNING SYSTEM




Sponsored by ASTRO and AAPM


Healthcare *SafetyZone*® Portal




User Ten [Logout](#)




Ask a Question




Library



My Review



Analysis



Administration

Target Safety - Incident Learning Database
A national medical error reporting system and patient safety database for radiation oncology.

How to use the Portal?
Select and click ... to submit a report click the center button or to view policies, procedures, and educational materials without submitting a report, select and click Library, the other topic.

WELCOME TO THE Healthcare SafetyZone® Portal
Your customized content can be placed here. This can be links to documents/forms in the Portal library or links to documents/forms in your intranet.


Safety Alert Area
[TJC Sentinel Event Alert](#)

SUBMIT EVENT


ASTRO and the AAPM (2014) - medical specialty society sponsored radiation oncology PSO.

Goal: Educate the radiation oncology community on how to improve safety and patient care.

50




RADIATION ONCOLOGY
INCIDENT LEARNING SYSTEM




Sponsored by ASTRO and AAPM


Healthcare SafetyZone® Portal




User Ten [Logout](#)




Ask a Question




Library



My Review



Analysis



Administration

Target Safety - Incident Learning Database

A national medical error reporting system and patient safety database for radiation oncology.

How to use the Portal?

Select and click ... to submit a report click the center button or to view policies, procedures, and educational materials without submitting a report, select and click Library, the other topic.

SUBMIT EVENT

Welcome to the Healthcare SafetyZone® Portal

Your customized content can be placed here. This can be links to documents/forms in the Portal library or links to documents/forms in your intranet.

Safety Alert Area

[TJC Sentinel Event Alert](#)

What to Report or Track

- Explicit events – frequent events
- Random events
- Actual errors
- Potential errors (near misses)
- Corrective measures



Incident Reporting Depends on Factors

- Culture
- Reporting system and guidelines
- Competence to interpret reported data
- Willingness to implement
 - Changes based on collected data and analyses
- Ability to share data and provide feedback
 - Power distance index



Organizational Culture

Pathological Culture	Bureaucratic Culture	Generative Culture
Do not want to know	May not find out	Actively seek it
Messengers (whistle blowers) are “shot”	Messengers are listened to if they arrive	Messengers are trained and rewarded
Responsibility is shirked	Responsibility is compartmentalized	Responsibility is shared
Failure is punished or concealed	Failures lead to local repairs	Failures lead to far reaching reforms
New ideas are actively discouraged	New ideas often present problems	New ideas are welcomed

Reason, J., Managing the risks of organizational accidents. Different organizational cultures




HTA Initiative #22 • January 2006 31

- To be completed by Investigator*

Reported by: A. H. Scott

Date received: 2005/06/02 Time: 1500

Report Index: 1000000


TOM BAKER CANCER CENTRE
RADIATION THERAPY INCIDENT REPORT - INVESTIGATION

Incident: an unwanted or unexpected change from a normal system behavior, which causes, or has a potential to cause, an adverse effect to persons or equipment.

Administrative information		Incident Impact (Complete all that apply)	
Persons interviewed:		Patients:	
Name	Date interviewed	# patients affected:	<u>1</u>
Floor Therapist	2005/06/02	# fractions per patient affected:	<u>1</u>
Cable room Therapist	2005/06/02	# fields per fraction affected:	<u>1</u>
	YYYY/MM/DD	Deviation from prescribed dose:	<u>minimal</u>
	YYYY/MM/DD	Deviation from prescribed volume:	<u>minimal</u>
	YYYY/MM/DD	Doseimetric/medical physicist who analyzed incident:	
		Name: <u>P. Doornik</u> Date: <u>2005/06/02</u>	
		Signature: <u>P. Doornik</u>	
		Name: _____ Date: <u>YYYY/MM/DD</u>	
		Signature: _____	
Persons:			
Yes		No	
<input type="checkbox"/>		<input checked="" type="checkbox"/> First Aid required	
<input type="checkbox"/>		<input type="checkbox"/> Medical attention required	
<input type="checkbox"/>		<input type="checkbox"/> Hospitalization required	
<input type="checkbox"/>		<input type="checkbox"/> Ongoing treatment therapy required	
<input type="checkbox"/>		<input type="checkbox"/> (staff) days of work lost: _____	
<input type="checkbox"/>		<input type="checkbox"/> (patient) days of treatment lost: _____	
Resources:			
Total overtime hours (TBCC staff): _____			
Total hours (outside service): _____			
Replacement/repair costs: _____			
Total hours for incident analysis: _____			
Additional costs: _____			
Operations:			
Number of treatment units affected: _____			
Number of patients affected: _____			
Fractions lost per patient: _____			
Fractions delayed by > 15 min: _____			

Verification of preliminary report information

Please indicate by either agreement or a revised response for each element of the incident report.

Info	Agreement	Revised Response
Warrants incident report	<input checked="" type="checkbox"/>	
Who	<input checked="" type="checkbox"/>	
What	<input checked="" type="checkbox"/>	
Where	<input checked="" type="checkbox"/>	
When	<input checked="" type="checkbox"/>	
Initial severity classification*	<u>Minor</u>	

**If initial severity revised, list additional people notified:*

Related documentation

Additional reports attached:



Root Cause Analysis - when

- 1. Any single obviously serious event**
- 2. Systematic events**
- 3. High frequency sporadic events**

Root Cause Analysis - how

- 1. Collect information – WHAT happened**
- 2. Identify causes – WHY, WHY, WHY, WHY, WHY**
- 3. Recommendations for remediation**
- 4. Implement and Monitor**

Incident Reporting and Learning systems must be:

Friendly for reporting
Responsive
Dynamic

Safety culture - free of fear

MANY TOOLS!!

Safety culture - free of fear

Incident Learning systems-
Friendly for reporting, responsive and dynamic

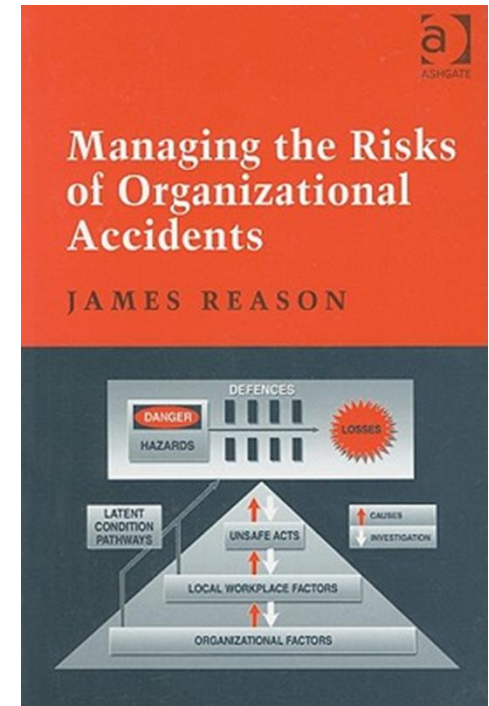
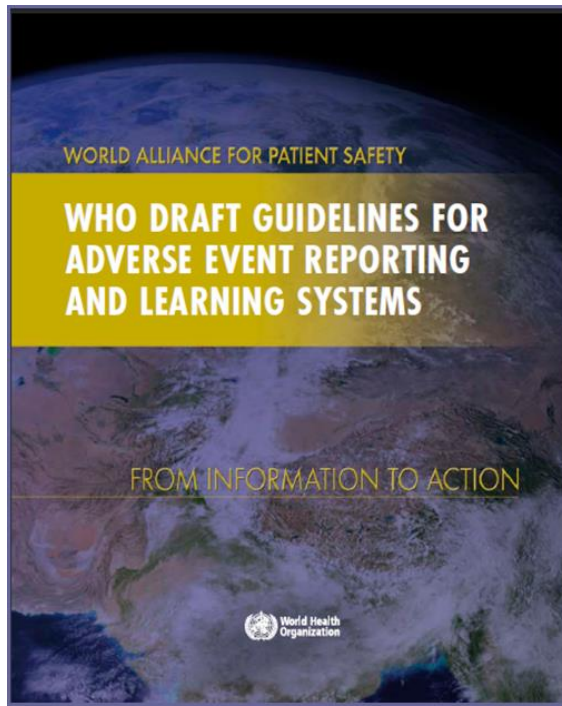
Root cause analysis methods

Check lists

Standard procedures and handoffs

Resources

- IAEA -> <http://www.iaea.org/>
- Lessons learned from accidents in radiotherapy, Safety Reports Series No. 17, IAEA, Vienna (2000).
- ICRP-> Prevention of accidental exposures to patients undergoing radiation therapy. Publication 86, Volume 30 No.3 (2000)
- AAPM - > <http://www.aapm.org/>
- ASTRO -> <https://www.astro.org/>
- TreatSafely -> <http://www.treatsafely.org/index.php>
- AHRQ (Agency for Healthcare Research and Quality)
 - <http://www.ahrq.gov/patients-consumers/care-planning/errors/index.html>



References

ASTRO report 2012

Safety is No Accident: A Framework for Quality Radiation Oncology and Care.

Zeitman A, Palta J, Steinberg M. ASTRO; 2012

AAPM white-paper 2012

Consensus recommendations for incident learning database structures in radiation oncology. Ford EC, Fong de Los Santos L, Pawlicki T, Sutlief S, Dunscombe P. Med Phys. 2012;39(12):7272-90.

ASTRO safety white-papers

Safety considerations for IMRT: Executive summary. Moran JM, Dempsey M, Eisbruch A, Fraass BA, Galvin JM, Ibbott GS, et al. Pract Radiat Oncol. 2011;1(3):190-5.

Assuring safety and quality in image-guided delivery of radiation therapy. Jaffray D, Langen KM, Mageras G, Dawson L, Yan D, Adams R, et al. Pract Radiat Oncol. 2013;in press.

ASRT safety white-paper

Radiation Therapy Safety: The Critical Role of the Radiation Therapist. Odle, T, Rosier, N. ASRT Education and Research Fnd. 2012.

The art of learning from our mistakes



A word cloud featuring the phrase "Thank You" in numerous languages. The words are arranged in a cross-like pattern, with "THANK YOU" being the largest and most prominent. Other languages include Spanish (GRACIAS, ARIGATO), Arabic (SHUKRIYA, SHUKRIA), Persian (MERCII), Chinese (THANKS), and many others. The background is white with a faint grid pattern.

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