

Path integral estimation of complex-time correlation functions

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In collaboration with: R. Rota, G. Ferré, F. Mazzanti, and J. Casulleras

Outline

- Introduction. Complex-time correlation function
- The PIGS method for the ground state
- PIGS estimation of response in complex time
- Results for 1D HO and x^4
- Results for $N > 1$
- Other approaches: CBF/QMC for $T = 0$ and imaginary-time data at $T > 0$
- Remarks

Introduction

- In Quantum Monte Carlo methods we do not have real-time but imaginary-time dynamics.
- Dynamics in real time is therefore not achievable.
- To access to the dynamic structure function $S(q, \omega)$ from imaginary-time correlation functions requires of inverse Laplace transform \implies **Ill-posed problem**,

$$S(q, \omega) = \int d\tau e^{\tau\omega} S(q, \tau)$$

with $S(q, \tau)$ the imaginary-time correlation function computed with QMC.

- Is it possible to go beyond ? We are trying to do it ...

Complex-time correlation function

The imaginary-time correlation function $S(q, \tau)$ is a smooth function with *few* information on excitations.

To get more insight our goal is to calculate the correlation function in complex time,

$$S(q, t_c) = \langle \Psi_0 | e^{it_c \hat{H}/\hbar} \hat{\rho}_q e^{-it_c \hat{H}/\hbar} \hat{\rho}_{-q} | \Psi_0 \rangle ,$$

with $\hat{\rho}_q = \sum_{j=1}^N e^{i\vec{q}\cdot\vec{r}^j}$ and where the time $t_c = t_m e^{-i\delta}$ is a complex number.

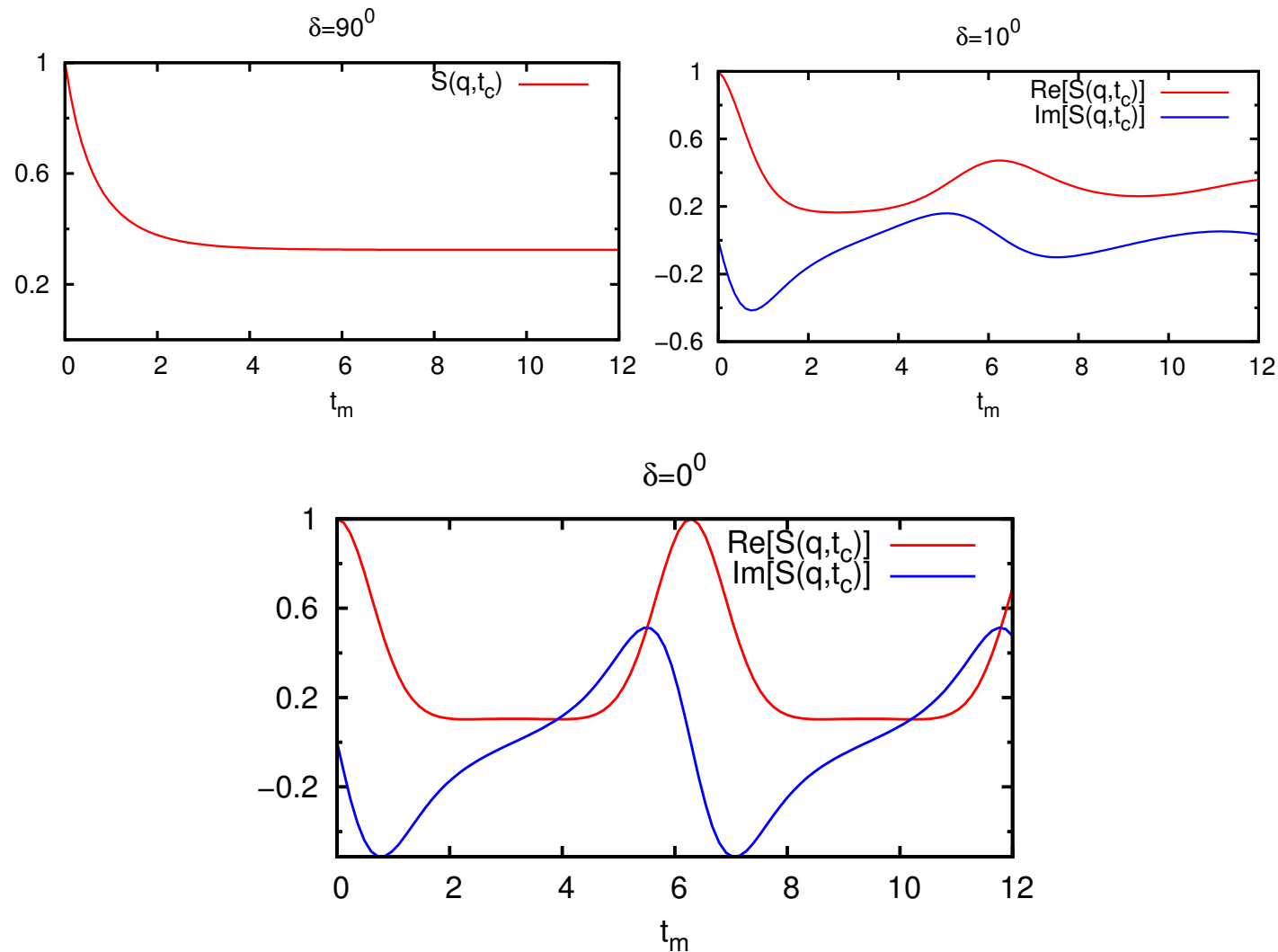
$$\delta = 0 \implies \text{Real time}$$

$$\delta = \pi/2 \implies \text{Imaginary time}$$

Here, we restrict our estimation to $T = 0$. Access to the ground-state wave function Ψ_0 provided by QMC (**Path Integral Ground State (PIGS) method**).

Behavior with δ

Exact results for one particle in 1D harmonic potential

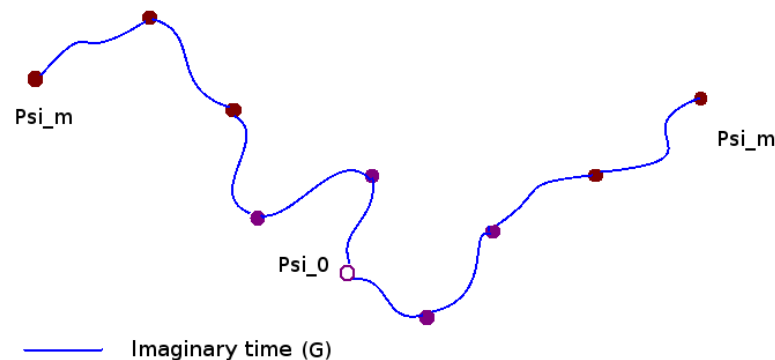


Ground state: PIGS method

To sample complex-time correlation factors we need to know the ground-state wave function Ψ_0 . For that we use the PIGS method (A. Sarsa *et al.*, JCP **113**, 1366 (2000); R. Rota *et al.*, PRE **81**, 016707 (2010))

$$\Psi_0(\mathbf{R}) = \int d\mathbf{S} G(\mathbf{R}, \mathbf{S}; \tau) \Psi_m(\mathbf{S})$$

Assuming an approximation for $G(\mathbf{R}, \mathbf{S}; \tau)$, when $\tau \rightarrow 0$, and convoluting until convergence one arrives to the ground state



Ground state: PIGS method

Green's function $G(\mathbf{R}, \mathbf{S}; \tau)$ is accurate to fourth order in τ (S. A. Chin and C. R. Chen, JCP **117**, 1409(2002))

$$e^{-\tau \hat{H}} = e^{-v_1 \tau \hat{W}_1} e^{-t_1 \tau \hat{T}} e^{-v_2 \tau \hat{W}_2} e^{-2t_0 \tau \hat{T}} e^{-v_2 \tau \hat{W}_2} e^{-t_1 \tau \hat{T}} e^{-v_1 \tau \hat{W}_1}$$

with $\hat{W}_i = \hat{V} + u_0 / (3v_i) \tau^2 [\hat{V}, [\hat{T}, \hat{V}]]$ and t_0 a parameter to optimize.

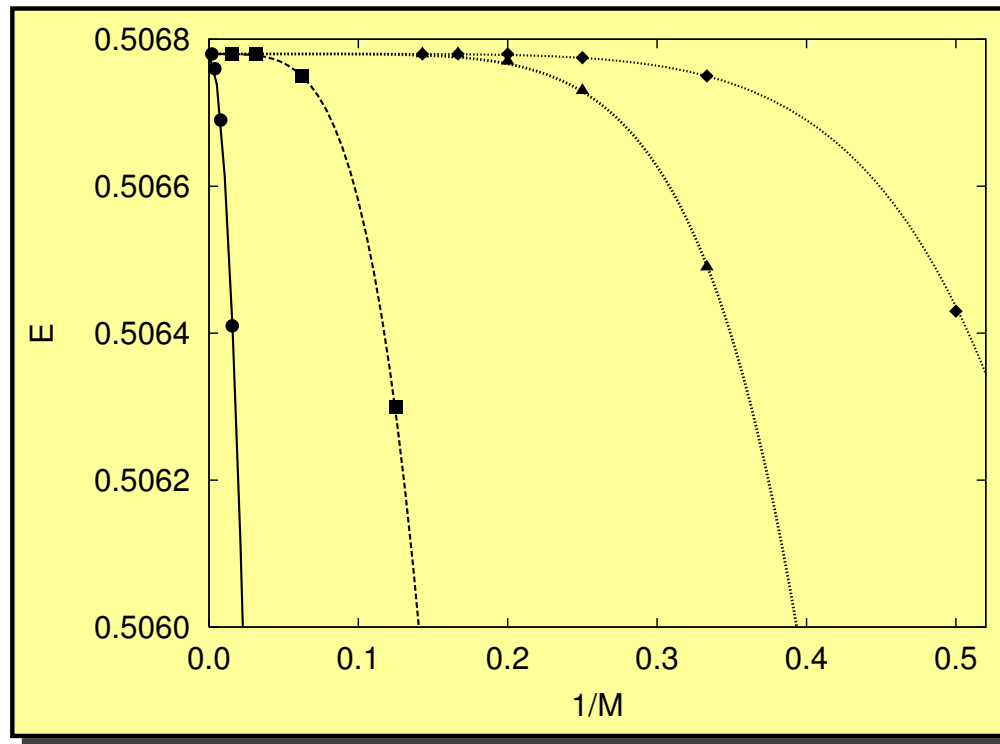
Unbiased (pure) estimations of *any* operator \hat{O} can be calculated in the center of the chain,

$$\langle \hat{O} \rangle = \mathcal{N}^{-1} \langle \Psi_m | G(\varepsilon/2) \hat{O} G(\varepsilon/2) | \Psi_m \rangle$$

- Results are independent of Ψ_m ; convergence to the ground state are not.
- Cheaper than PIMC in computer time.

Performance of different actions

HARMONIC OSCILLATOR ($T = 0.2$)



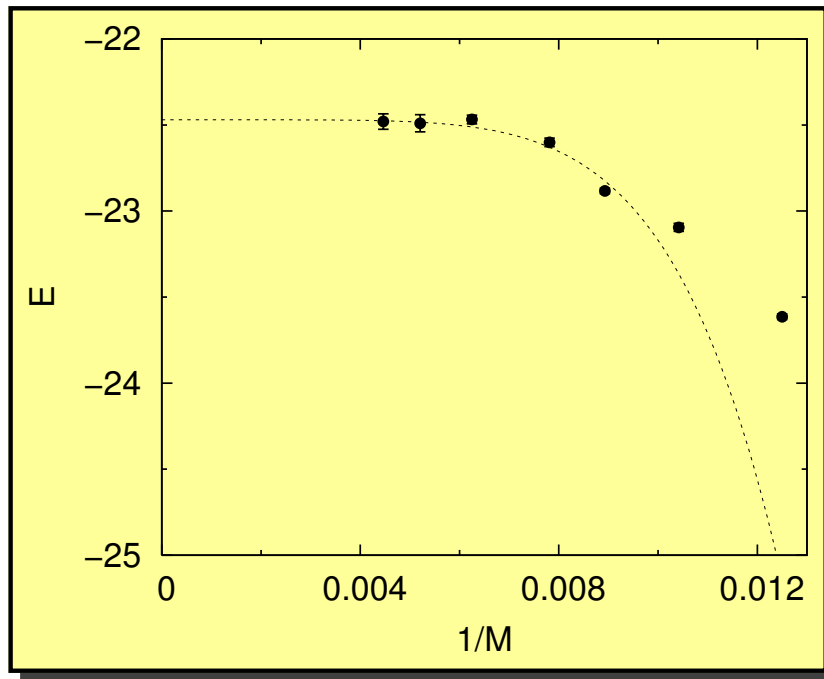
● → PA ($M = 512$)

■ → TIA ($M = 128$)

▲ → Chin- t_0 ($M = 6$)

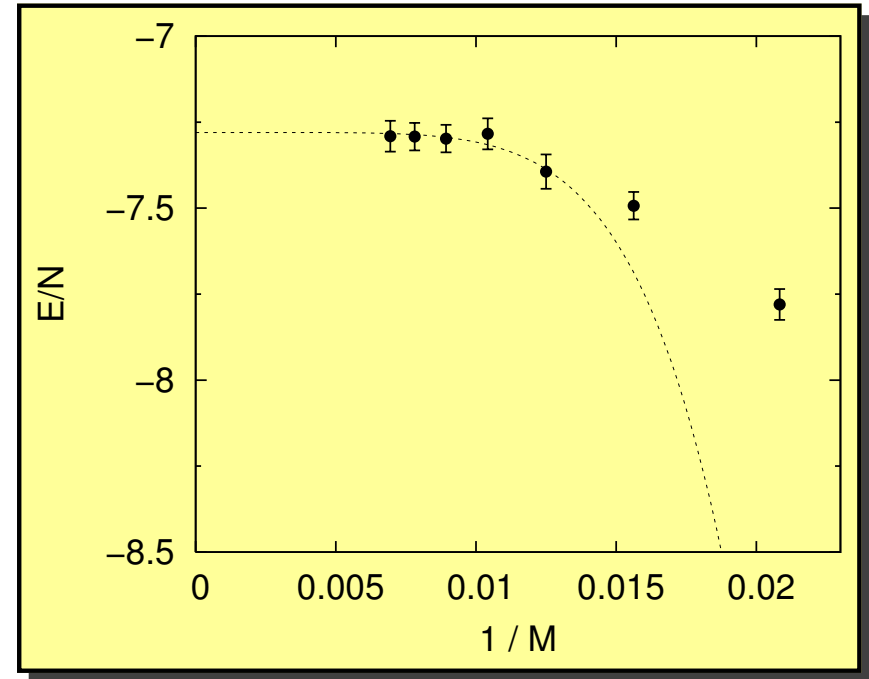
◆ → Chin- (t_0, a_1) ($M = 4$)

Performance of different actions



H₂ drop with 22 molecules

$T = 1.0$ K



Liquid ⁴He

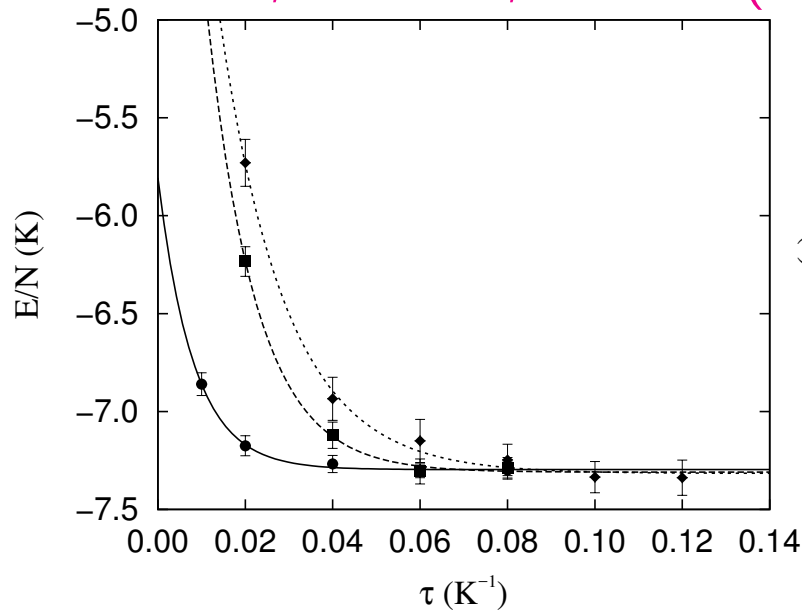
$T = 0.8$ K

The lines correspond to 6th order fits:

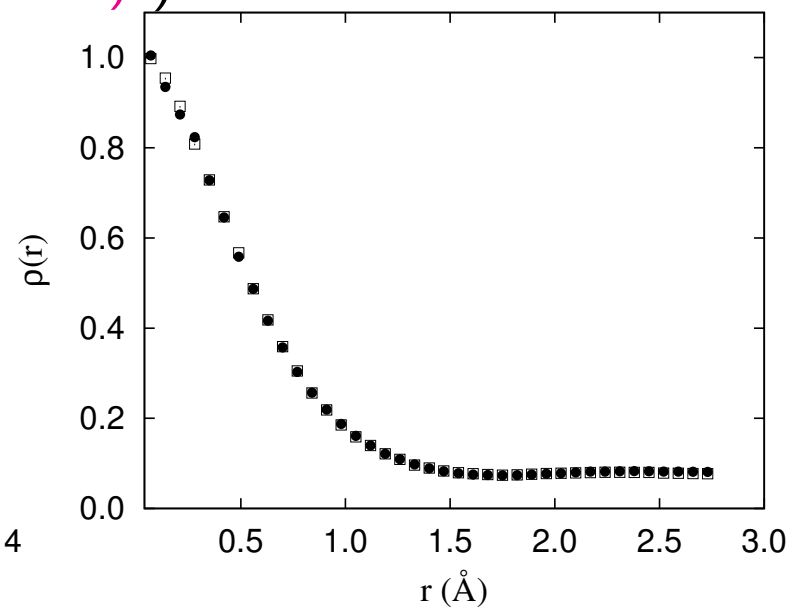
$$E/N = (E/N)_0 + A(1/M)^6$$

Ground state: PIGS method

Results for the ground state of liquid ${}^4\text{He}$ at zero temperature
 (R. Rota *et al.*, PRE **81**, 016707 (2010))



Energy



One-body distribution function

- Fast convergence: $N_b < 12$
- Pure estimation, even for non-diagonal operators $\rho(r)$

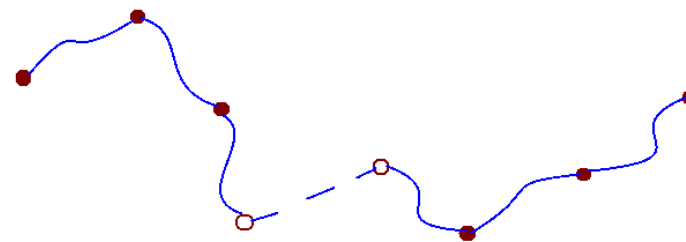
PIGS and complex time

- Our goal is to estimate the complex-time function

$$\begin{aligned}
 S(q, t_c) &= \int dR_0 dR_M e^{it_c E_0} \langle \Psi_0 | R_M \rangle \langle R_M | \hat{\rho}_q e^{-it_c \hat{H}} \hat{\rho}_{-q} | R_0 \rangle \langle R_0 | \Psi_0 \rangle \\
 &= \int dR_0 dR_M \Psi_0(R_M) \rho_q(R_M) G(R_0, R_M; t_c) \rho_{-q}(R_0) \Psi_0(R_0) ,
 \end{aligned}$$

with $G(R_0, R_M; t_c) = \langle R_M | e^{-it_c(\hat{H} - E_0)} | R_0 \rangle$ using **PIGS**.

- Use of a combined strategy: imaginary-time until the ground-state Ψ_0 and complex-time estimation of length $t_m = |t_c|$ in the center



— Imaginary time
 - - Complex time

PIGS and complex time

- Convolution in complex time

$$G(R_0, R_M; t_c) = \int dR_1 \dots dR_{M-1} \prod_{k=1}^M G\left(R_k, R_{k-1}; \frac{t_c}{M}\right)$$

- We build the path using importance sampling, with a product of free propagators in imaginary time with step τ_s

$$p_{\text{path}}(R_0, R_1, \dots, R_M) = \prod_{k=1}^M G_{\text{free}}(R_k, R_{k-1}; \tau_s) ,$$

- The complex-time propagator to be sampled is given by

$$G(R_0, R_M; t_c) = \int dR_1 \dots dR_{M-1} \prod_{k=1}^M \frac{G(R_k, R_{k-1}; \varepsilon_c)}{\exp\left(-\frac{(R_k - R_{k-1})^2}{4\lambda\tau_s}\right)} \times p_{\text{path}}(R_0, R_1, \dots, R_M)$$

PIGS and complex time

- Easiest approximation for the propagator: primitive action (PA)

$$G_{\text{PA}}(R_k, R_{k-1}; \varepsilon_c) = \exp\left(-\frac{(R_k - R_{k-1})^2}{4\lambda i\varepsilon_c}\right) \exp\left(-i\frac{V(R_k) + V(R_{k-1})}{2\hbar}\varepsilon_c\right)$$

- Writing $\varepsilon_c = \varepsilon_m e^{-i\delta}$,

$$\prod_{k=1}^M \frac{G_{\text{PA}}(R_k, R_{k-1}; \varepsilon_c)}{G_{\text{free}}(R_k, R_{k-1}; \tau_s)} \sim \exp(C_{\text{PA}}) \exp(iA_{\text{PA}})$$

with

$$C_{\text{PA}} = \sum_{k=1}^M \left[-\frac{(R_k - R_{k-1})^2}{4\lambda} \left(\frac{\sin \delta}{\varepsilon_m} - \frac{1}{\tau_s} \right) - \varepsilon_m \frac{V(R_k) + V(R_{k+1})}{2\hbar} \sin \delta \right]$$

and

$$A_{\text{PA}} = \sum_{k=1}^M \left[\frac{(R_k - R_{k-1})^2}{4\lambda\varepsilon_m} \cos \delta - \varepsilon_m \frac{V(R_k) + V(R_{k+1})}{2\hbar} \cos \delta \right]$$

PIGS and complex time

- **PA** is not a good choice due to its low convergence; in case of Chin action (**CA**) and one bead (ε_m),

$$C_{CA} = \sum_{j=1}^4 \left[\left(-\frac{(R_{k,j+1} - R_{k,j})^2}{4\lambda t_j} \right) \left(\frac{\sin \delta}{\varepsilon_m} - \frac{1}{\tau_s} \right) + \left(-\varepsilon_m v_j \frac{V(R_{k,j+1}) + V(R_{k,j})}{2\hbar} \right) \sin \delta + \left(\varepsilon_m^3 \frac{u_0}{3} \frac{W(R_{k,j+1}) + W(R_{k,j})}{2\hbar} \right) \sin(3\delta) \right]$$

and

$$A_{CA} = \sum_{j=1}^4 \left[\left(\frac{(R_{k,j+1} - R_{k,j})^2}{4\lambda t_j \varepsilon_m} \right) \cos \delta + \left(-\varepsilon_m v_j \frac{V(R_{k,j+1}) + V(R_{k,j})}{2\hbar} \right) \cos \delta + \left(\varepsilon_m^3 \frac{u_0}{3} \frac{W(R_{k,j+1}) + W(R_{k,j})}{2\hbar} \right) \cos(3\delta) \right]$$

PIGS and complex time

CA is a better action than PA but in complex time introduces a divergence in the term $\exp(C_{CA})$,

$$\varepsilon_m^3 \frac{u_0}{3} \frac{W(R_{k,j+1}) + W(R_{k,j})}{2\hbar} \sin(3\delta)$$

when $\delta < 60^\circ$

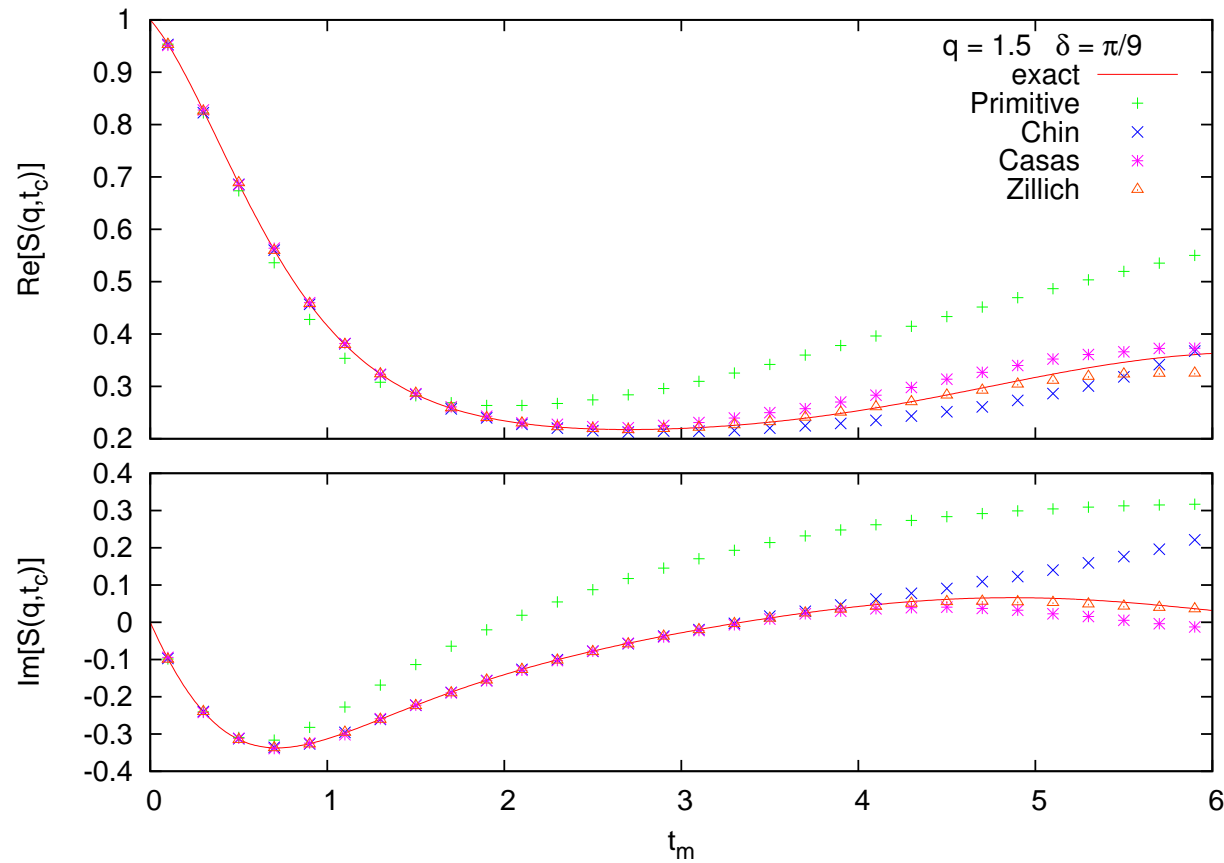
To circumvent this problem we have worked with other expansions

- Sixth-order expansion (Zillich *et al.*, JCP **132**, 044103 (2010))

$$\frac{64}{45} e^{-t_c \hat{V}/8} e^{-t_c \hat{K}/4} e^{-t_c \hat{V}/4} e^{-t_c \hat{K}/4} e^{-t_c \hat{V}/4} e^{-t_c \hat{K}/4} e^{-t_c \hat{V}/4} e^{-t_c \hat{K}/4} e^{-t_c \hat{K}/8} \\ - \frac{4}{9} e^{-t_c \hat{V}/4} e^{-t_c \hat{K}/2} e^{-t_c \hat{V}/2} e^{-t_c \hat{K}/2} e^{-t_c \hat{V}/4} + \frac{1}{45} e^{-t_c \hat{V}/2} e^{-t_c \hat{K}} e^{-t_c \hat{V}/2}$$

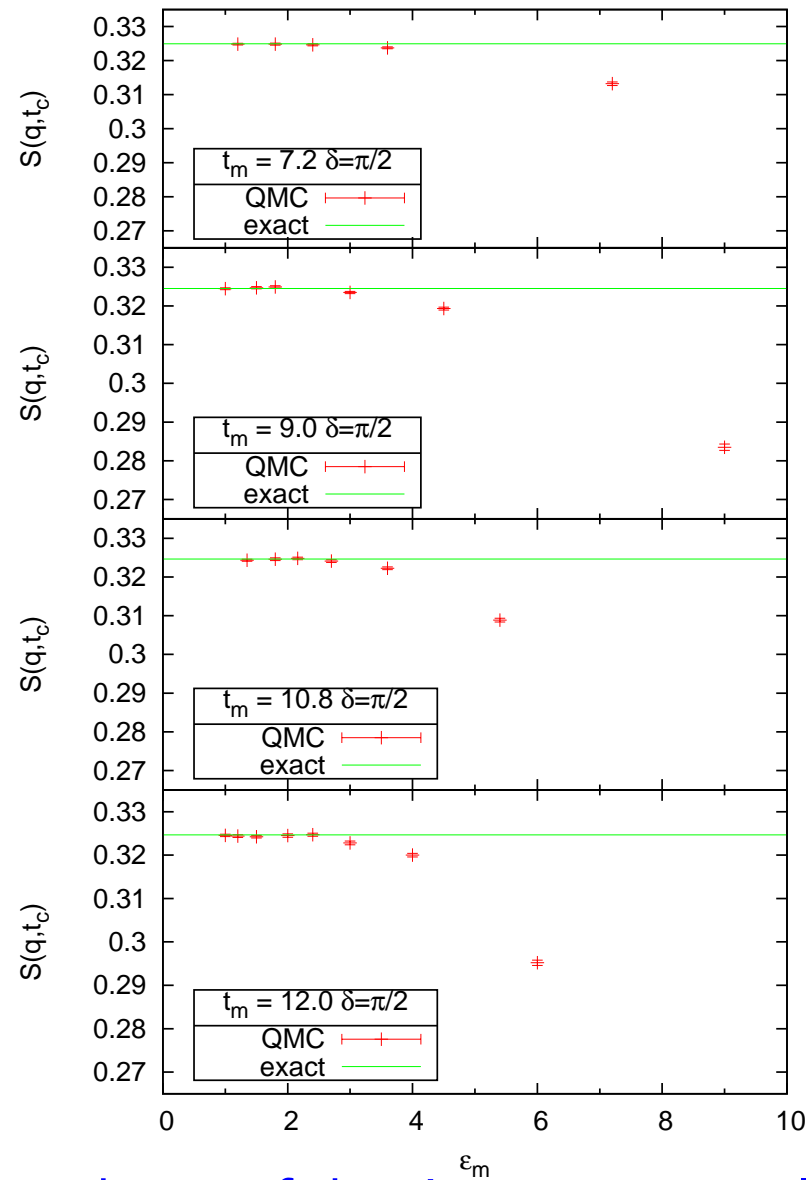
In some cases we verified that it is better to reduce the order of the expansion ($N > 1$)

PIGS and complex time



$S(q, t_c)$ with complex time $t_c = t_m e^{-i\delta}$ when $\delta = \pi/9$: the red lines are the exact analytical results, the symbols are QMC results obtained with $M = 1$ bead and with different approximation schemes for the complex-time propagator.

PIGS and complex time

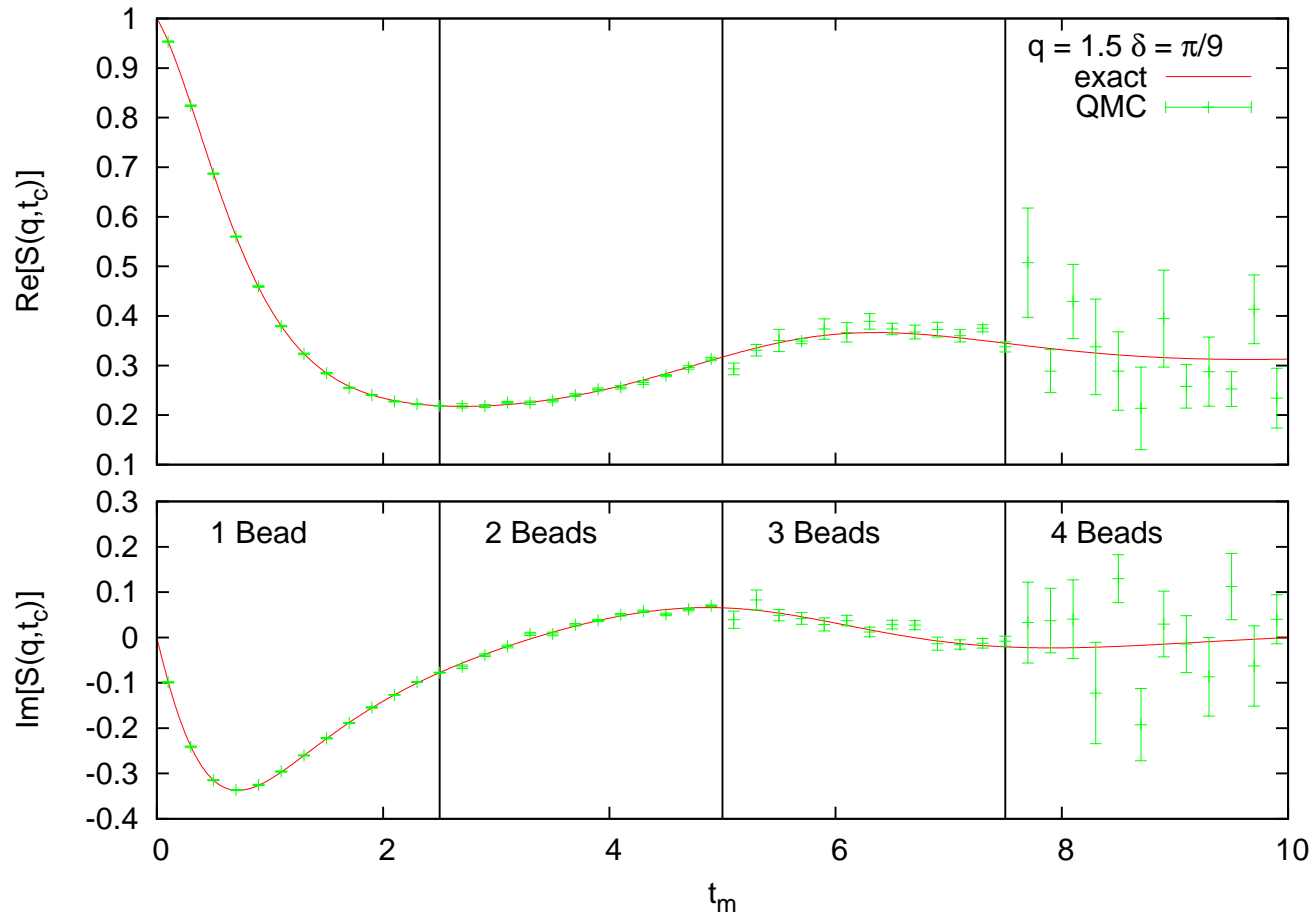


Time-step dependence of density response calculation (HO)

PIGS and complex time

- For this action (ZC) we can take an optimal time per bead of $\varepsilon_m^* = 2.5$
- The number of beads in complex time is determined with the condition $\varepsilon_m = |t_c|/M \leq \varepsilon_m^*$
- The time step τ_s for importance sampling is taken as $\tau_s \simeq \varepsilon_m / \sin \delta$
- Statistical errors increase with the number of beads in complex time. In practice, there is a maximum t_c attainable which decreases with δ

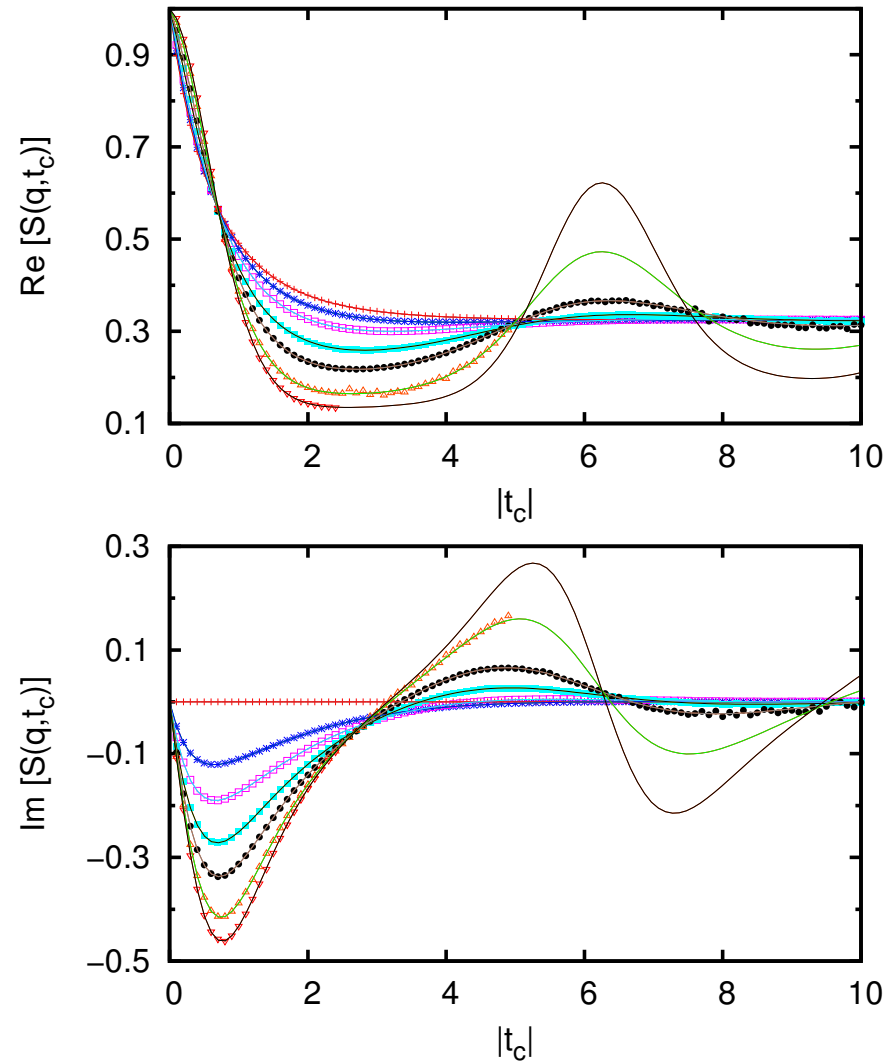
PIGS and complex time



PIGS HO results with different number of beads in complex time

$$S_{\text{HO}}^{\text{exact}}(q, t_c) = \exp \left[\frac{q^2}{2} (e^{-it_c} - 1) \right]$$

HO Results



R. Rota *et al.*, JCP **142**, 114114 (2015)

Extracting $S(q, \omega)$

The complex-time response obtained with **PIGS** is the transform of the dynamic response

$$S(q, t_c) = \int d\omega e^{-it_c \omega} S(q, \omega)$$

with $t_c = t_m e^{i\delta}$

When $\delta = 0$ one recovers the Fourier transform

$$S(q, t_c) = \int d\omega e^{-it_m \omega} S(q, \omega)$$

If $\delta = \pi/2$ one has to solve the Laplace transform

$$S(q, t_c) = \int d\omega e^{-t_m \omega} S(q, \omega)$$

Extracting $S(q, \omega)$

In practice, one assumes a simple model for $S(q, \omega)$ such as

$$S(q, \omega) = \sum_i \xi_i \delta(\omega - \omega_i)$$

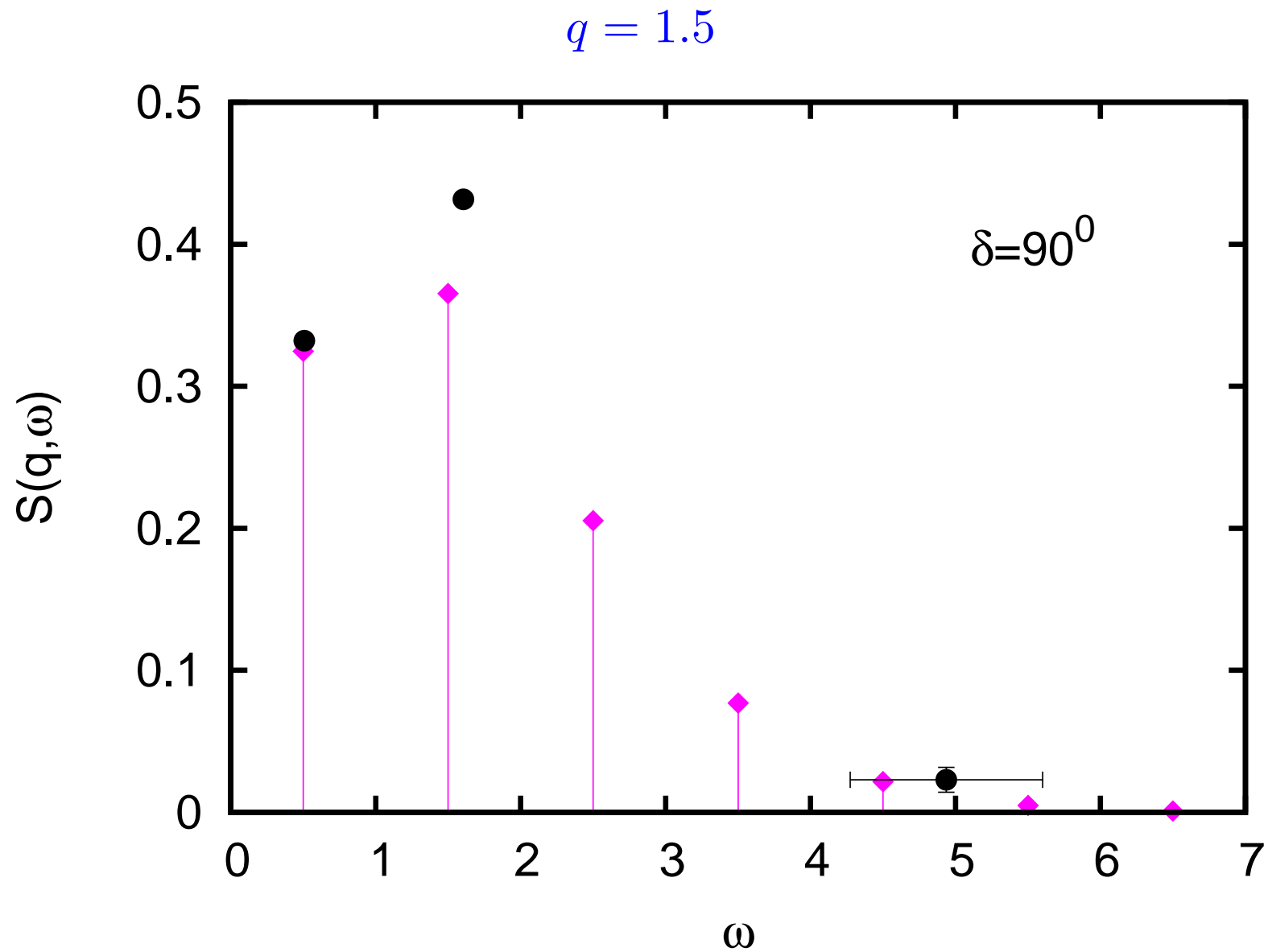
or

$$S(q, \omega) = \sum_i \xi_i \Theta(\omega - \omega_i) \Theta(\omega_{i+1} - \omega)$$

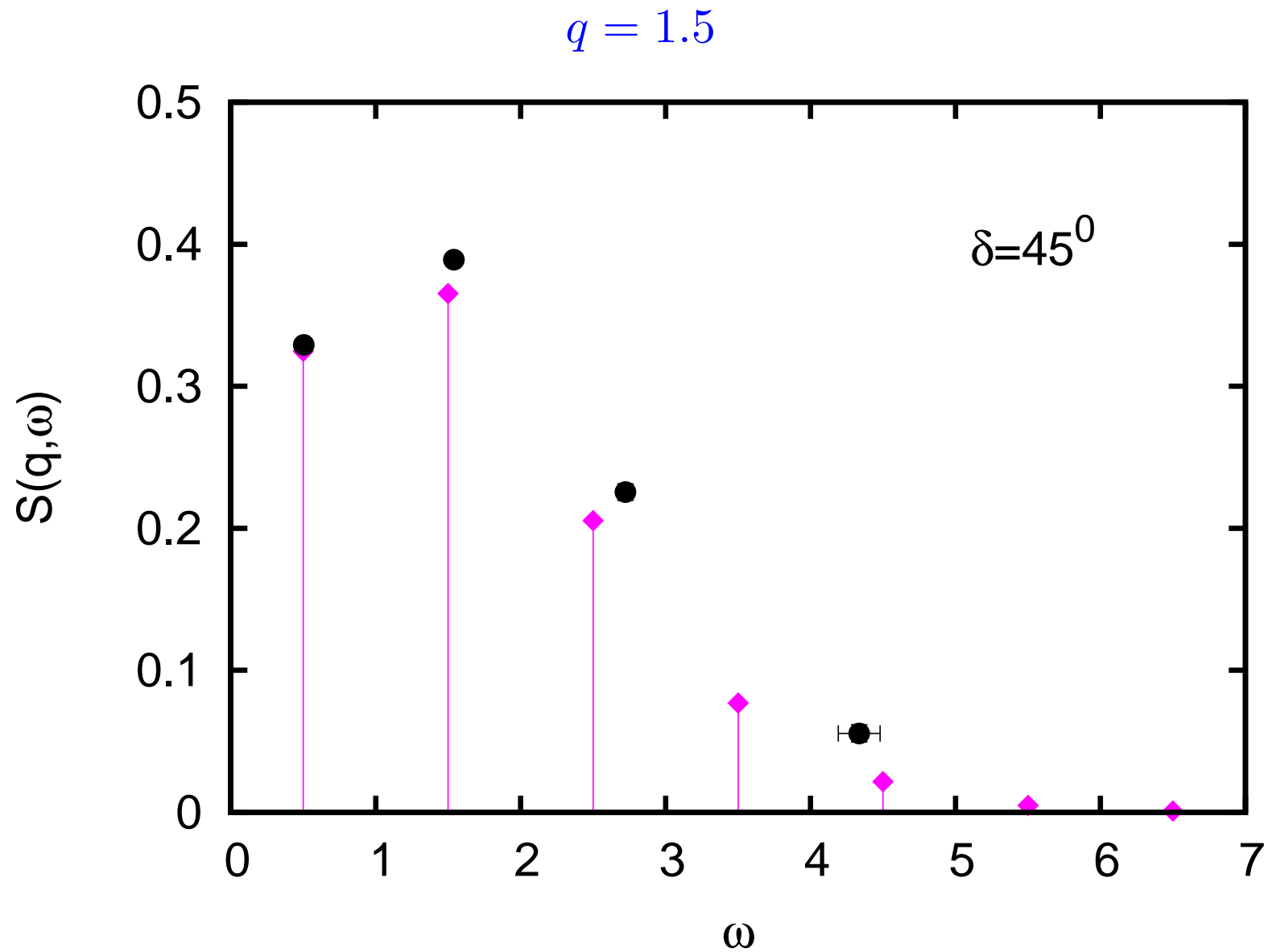
Optimal values for ξ_i and ω_i are obtained using different methods

- Stochastic optimization methods: simulated annealing, genetic algorithm
- A regularization method; Tikhonov approximation

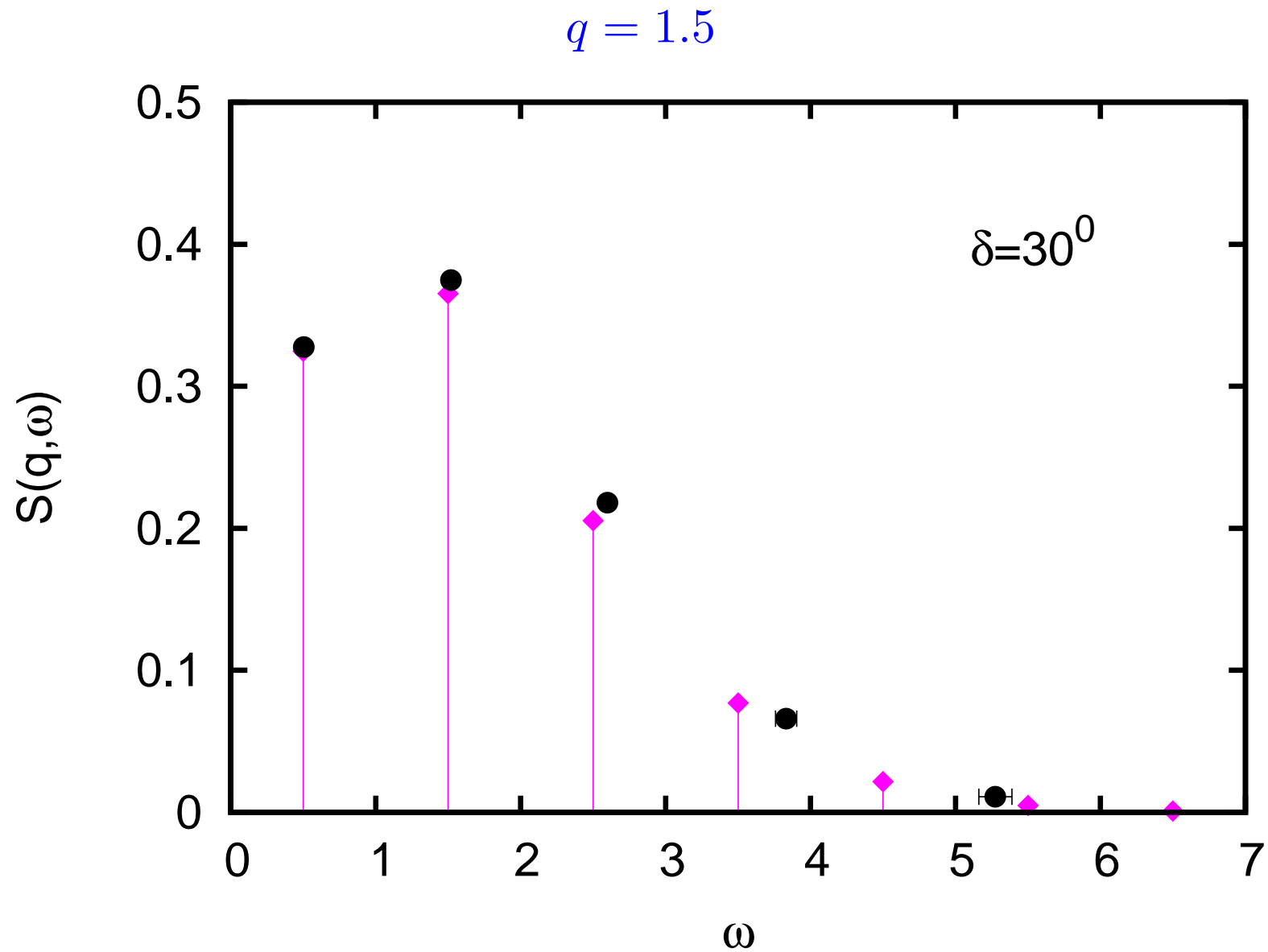
HO $S(q, \omega)$



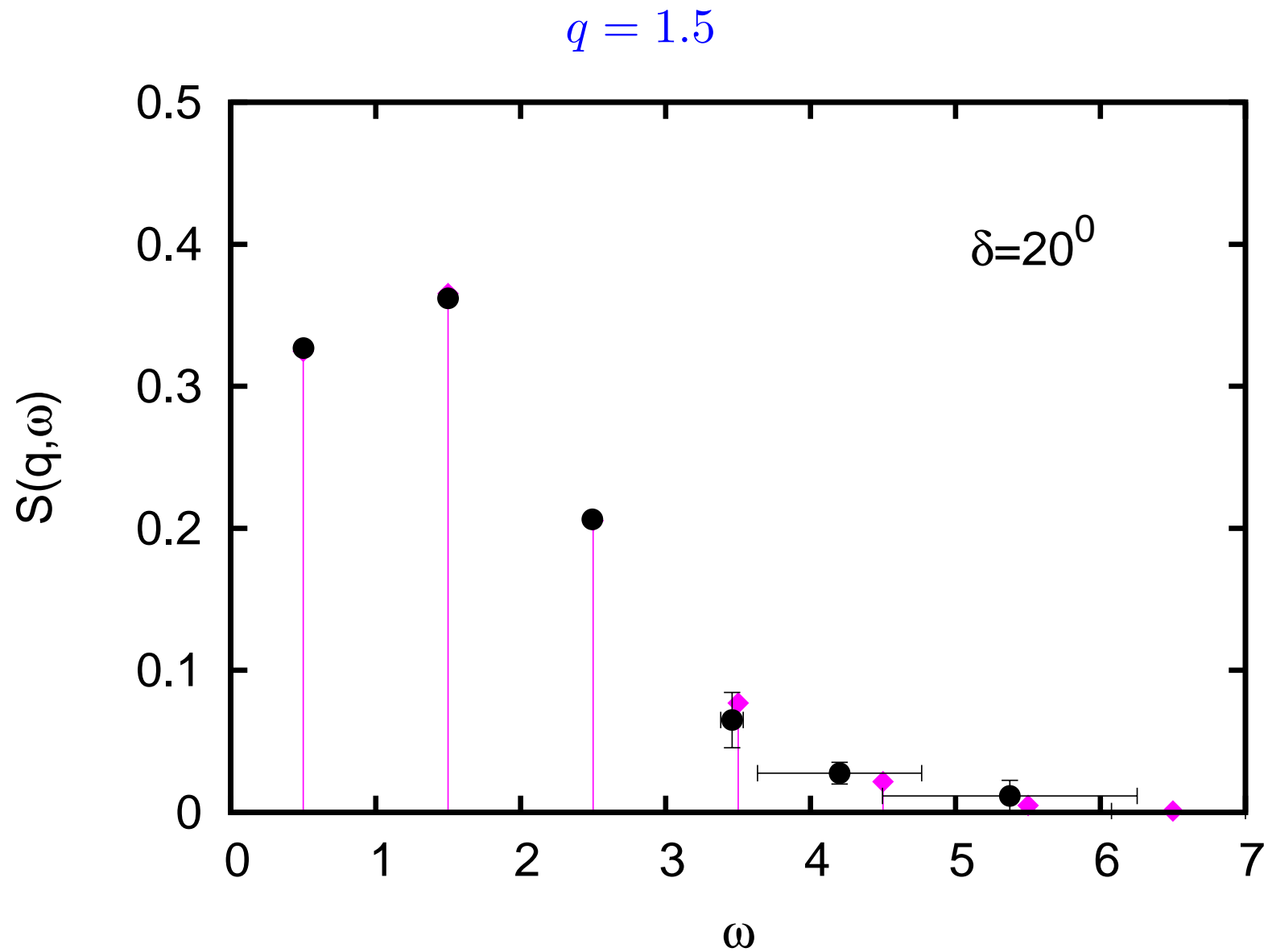
HO $S(q, \omega)$



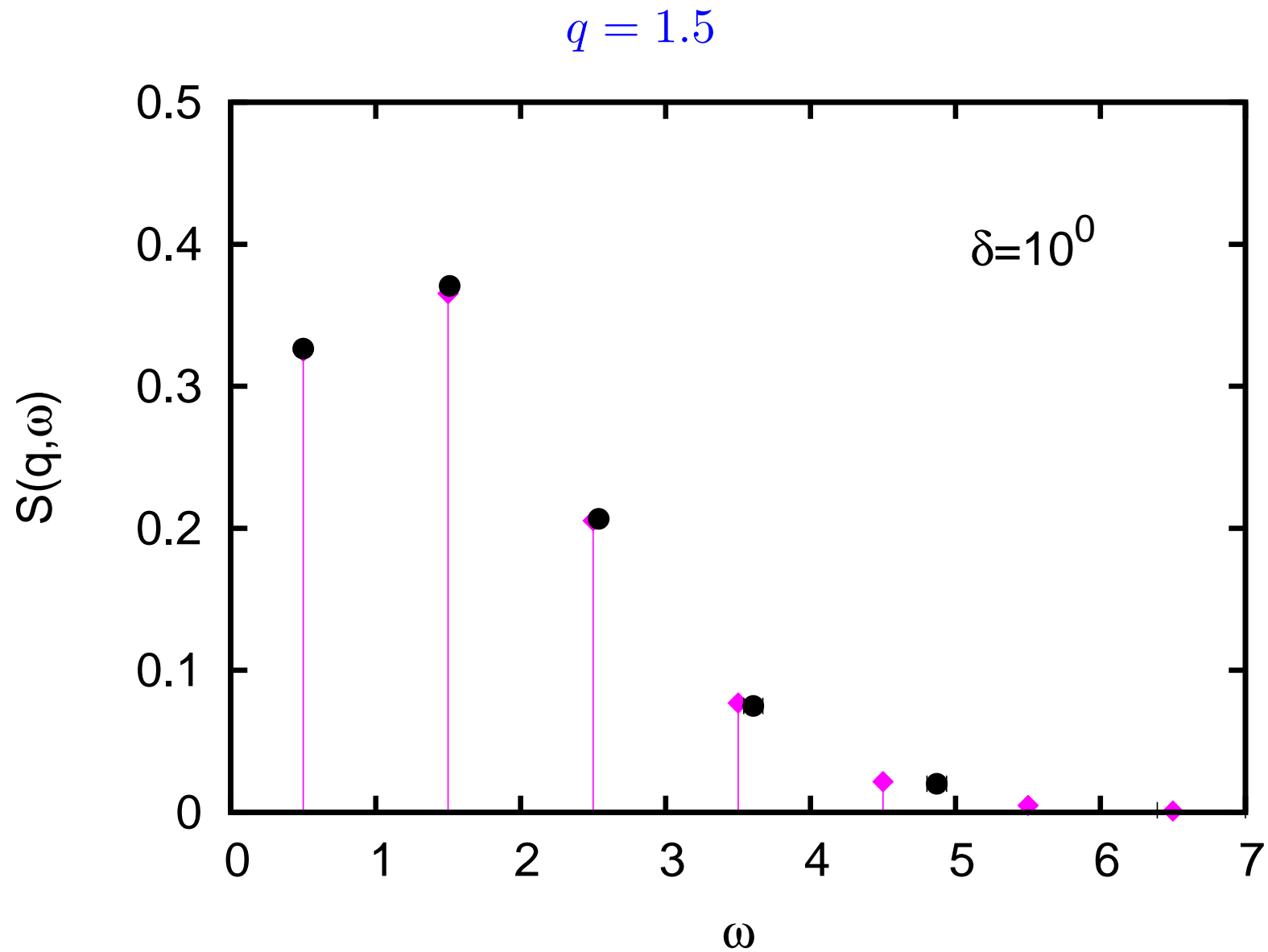
HO $S(q, \omega)$



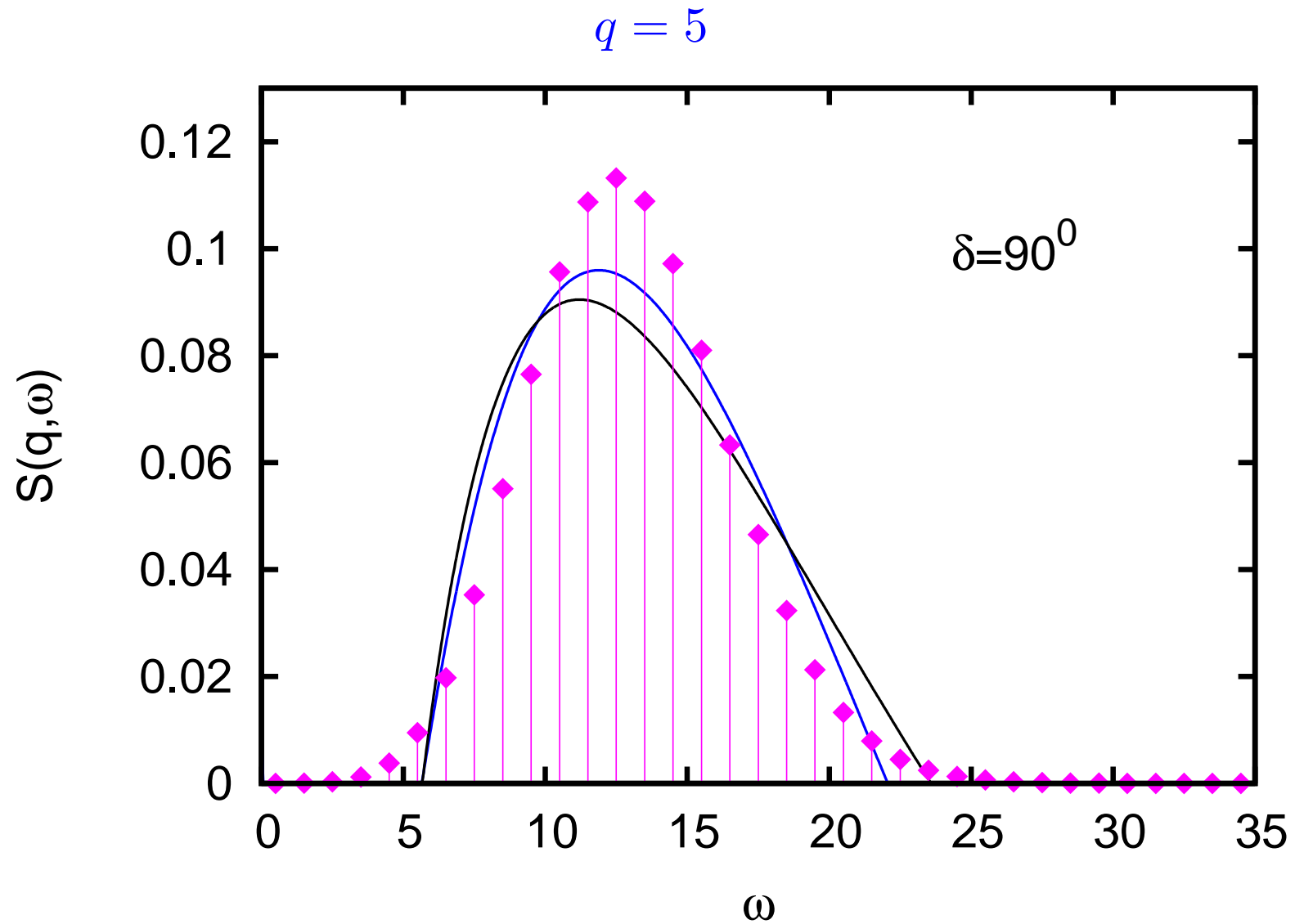
HO $S(q, \omega)$



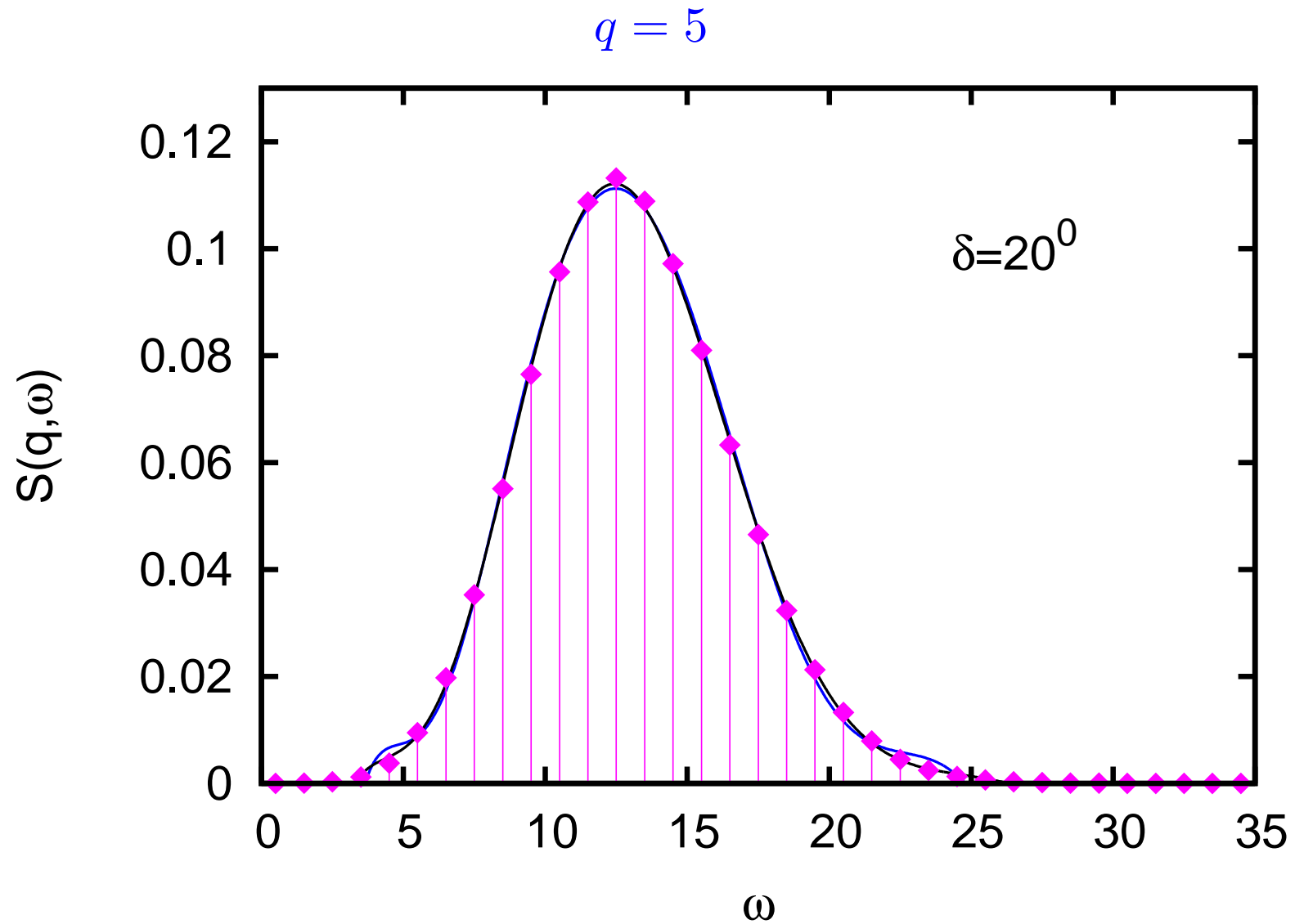
HO $S(q, \omega)$



HO $S(q, \omega)$

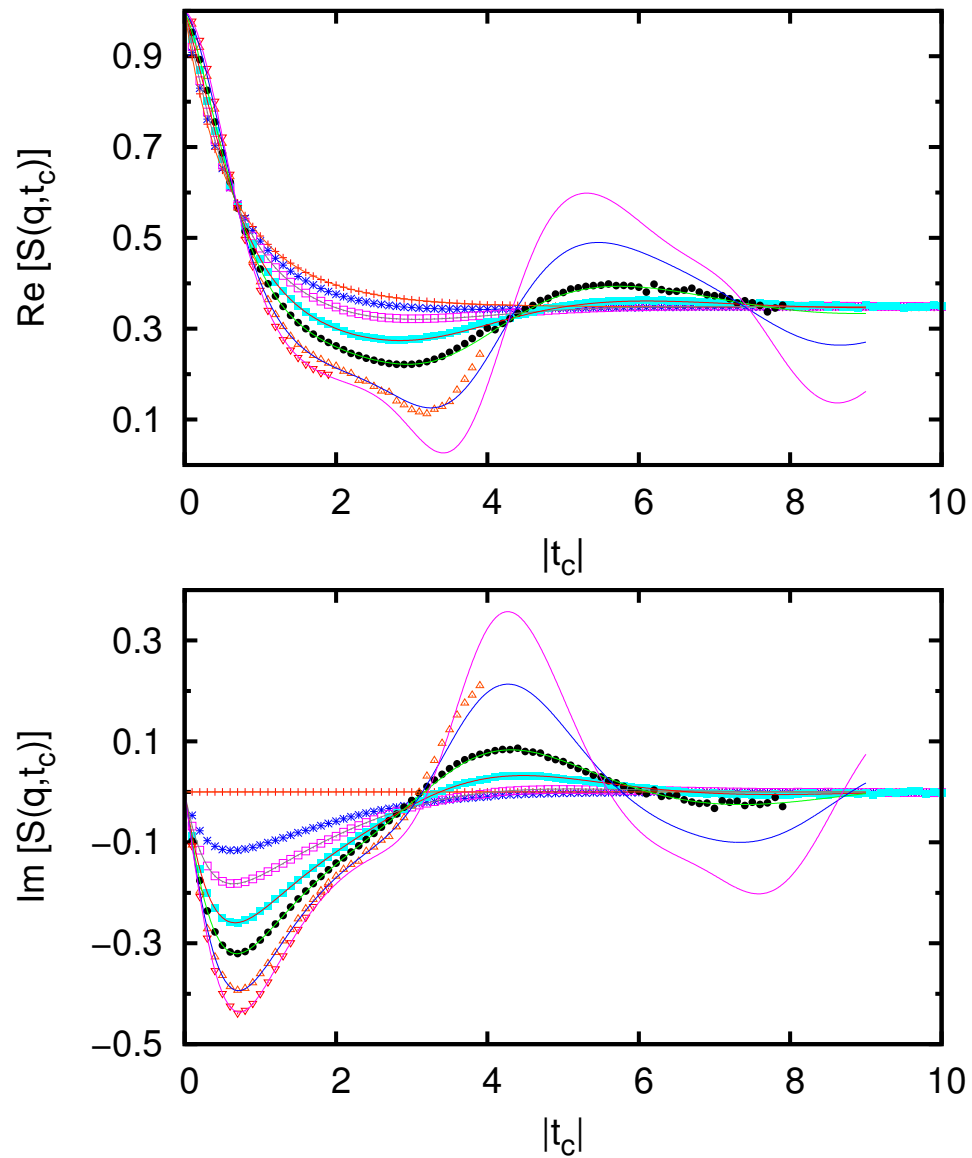


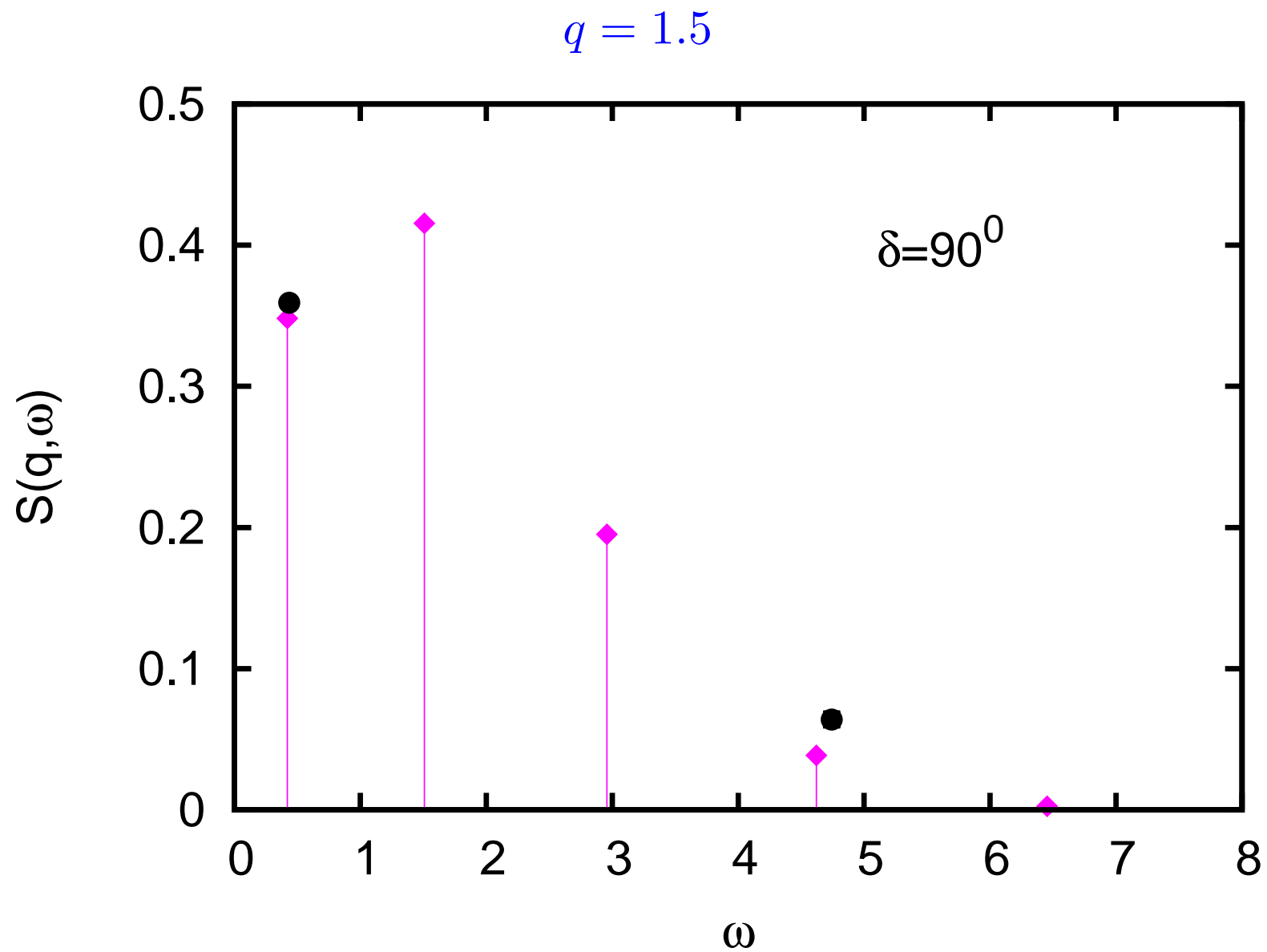
HO $S(q, \omega)$



x^4 Results

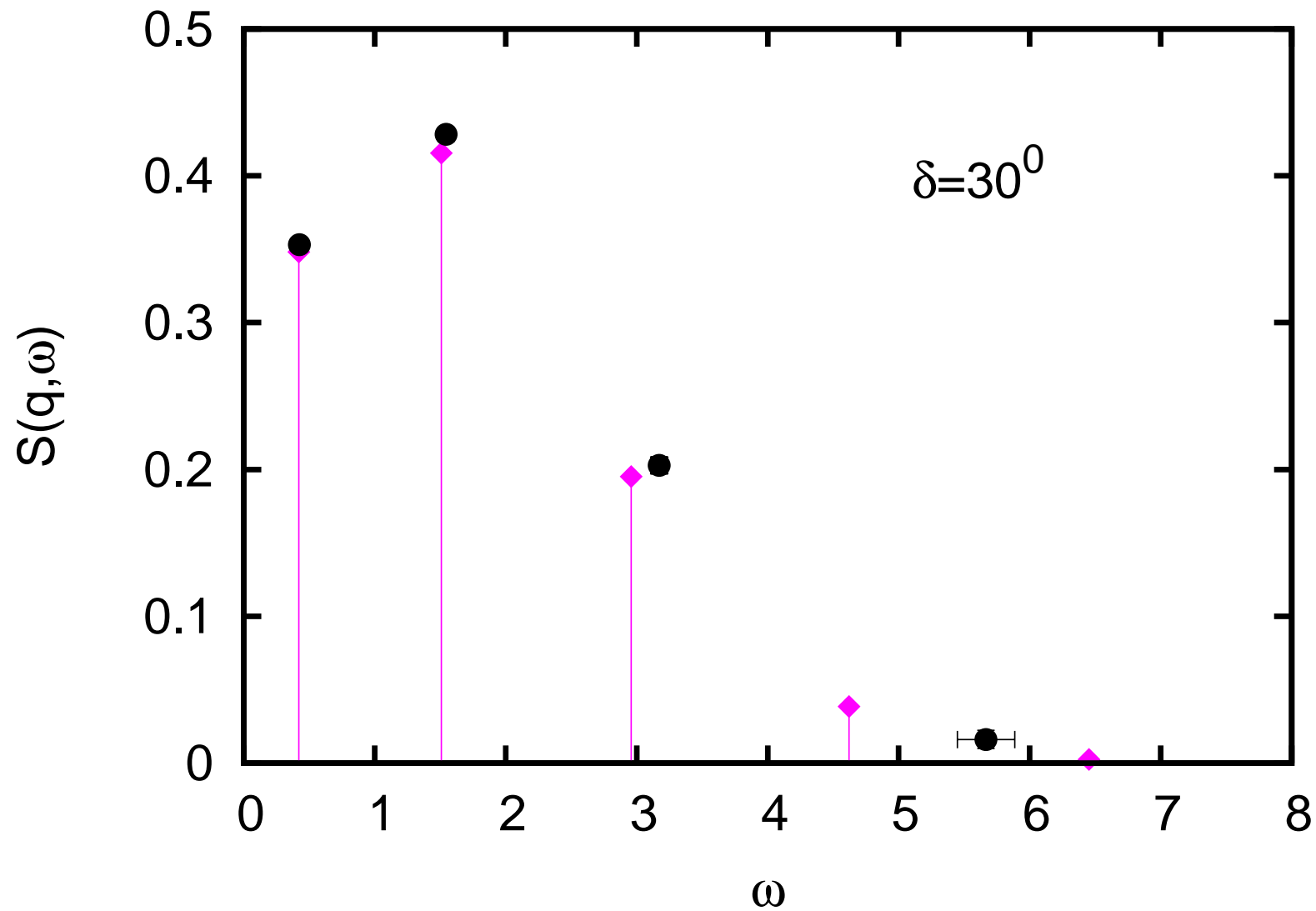
x^4 potential: similar accuracy to the one achieved for HO



$x^4 S(\mathbf{q}, \omega)$ 

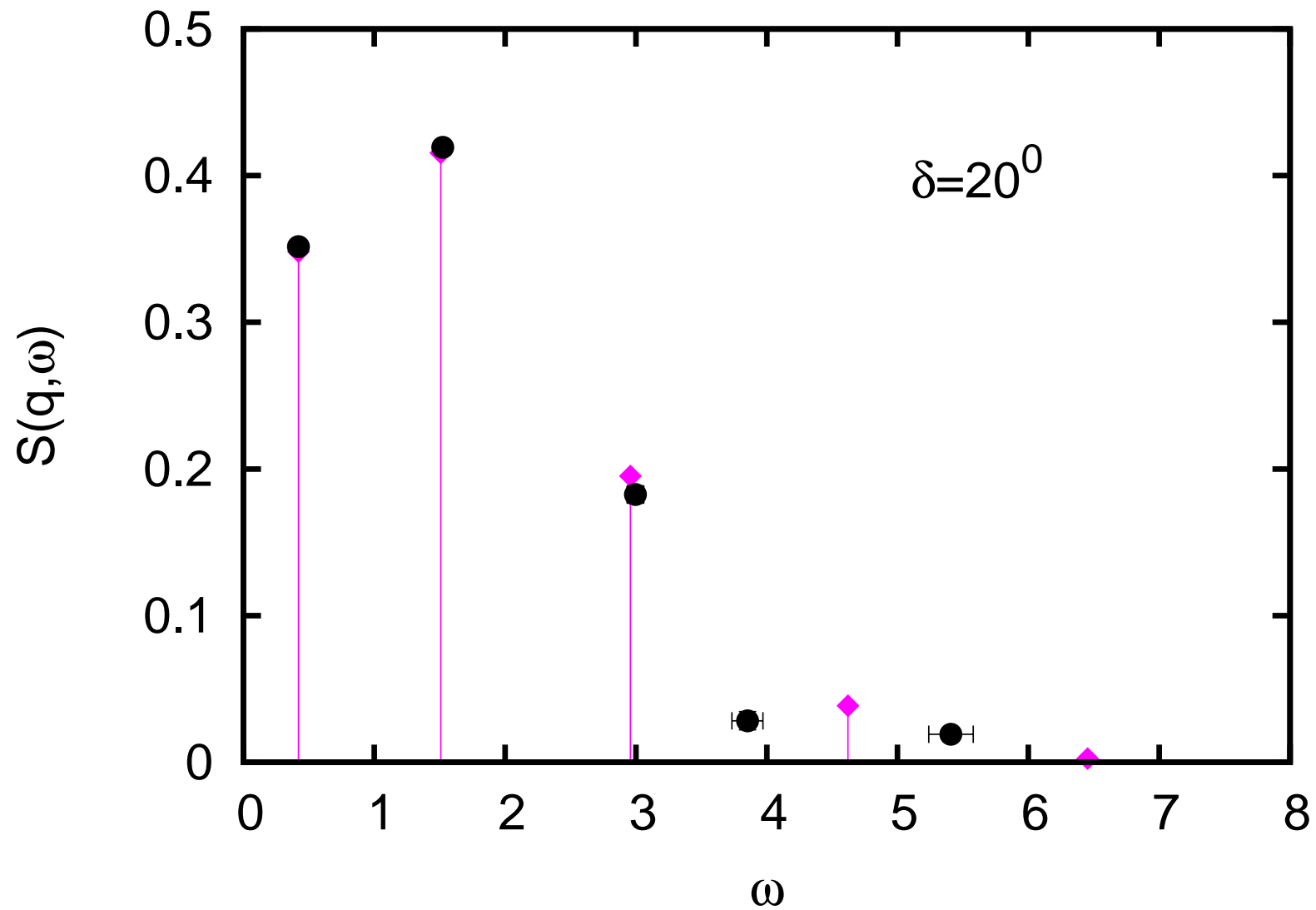
$x^4 S(q, \omega)$

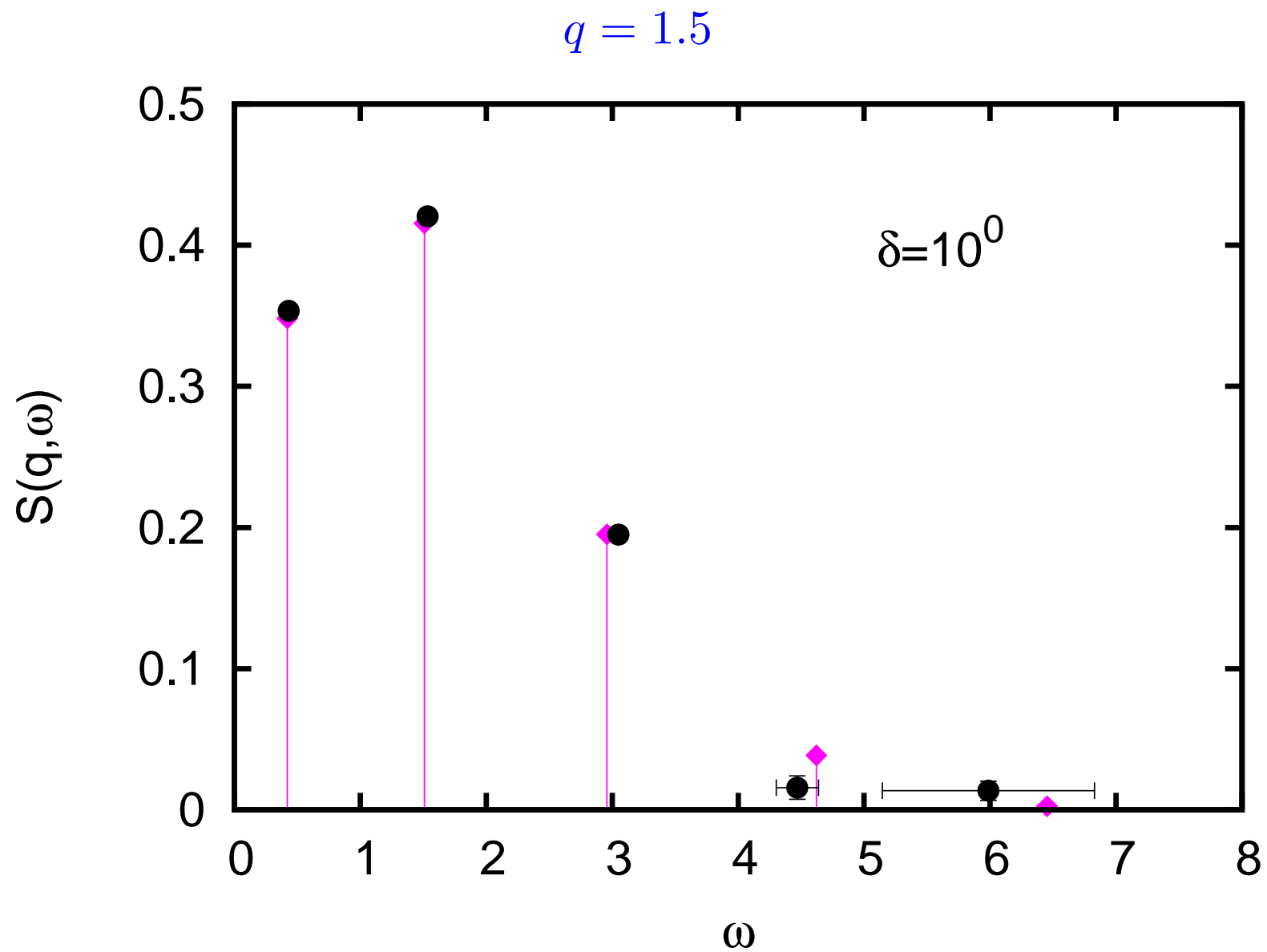
$q = 1.5$

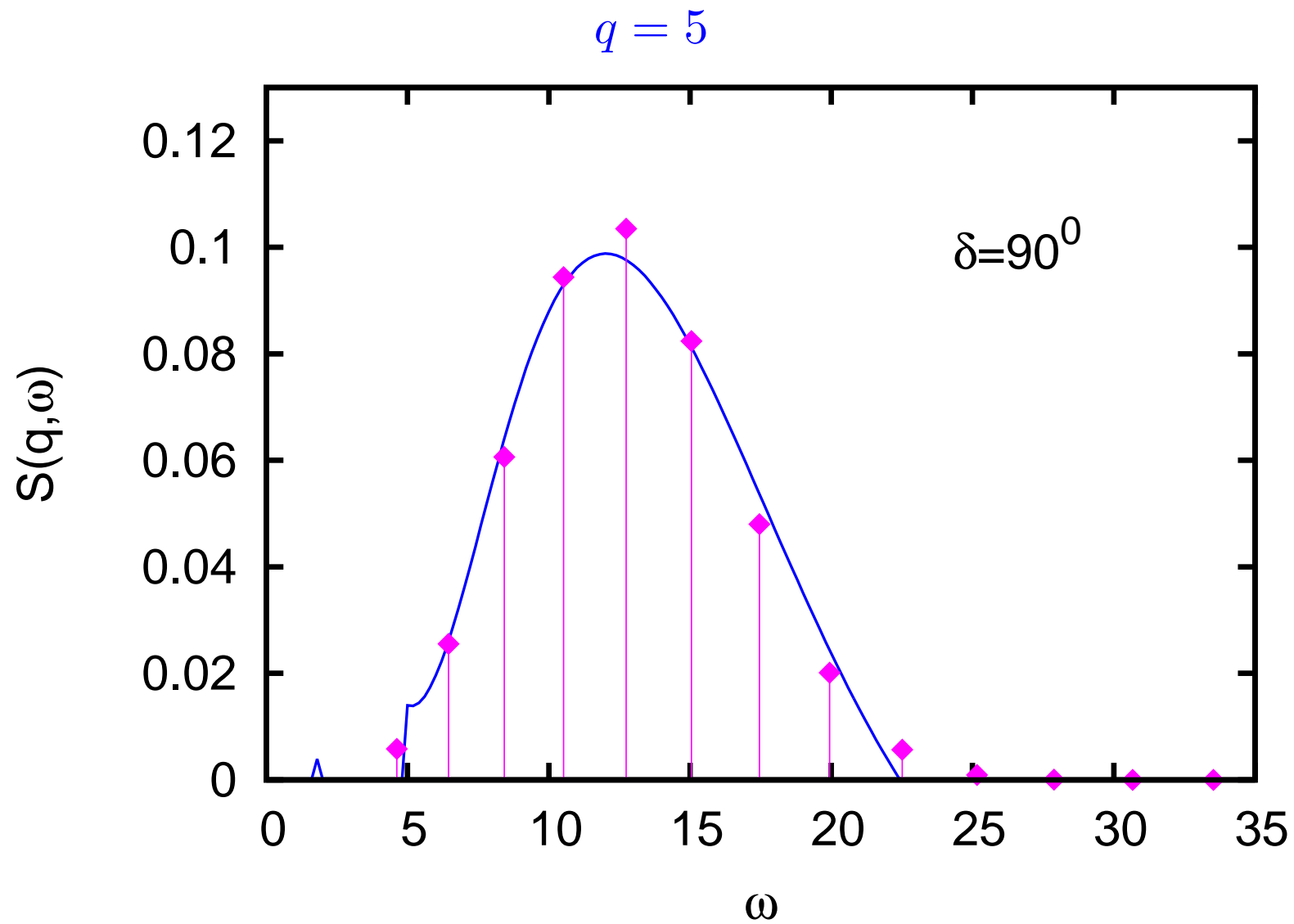


$$x^4 S(q, \omega)$$

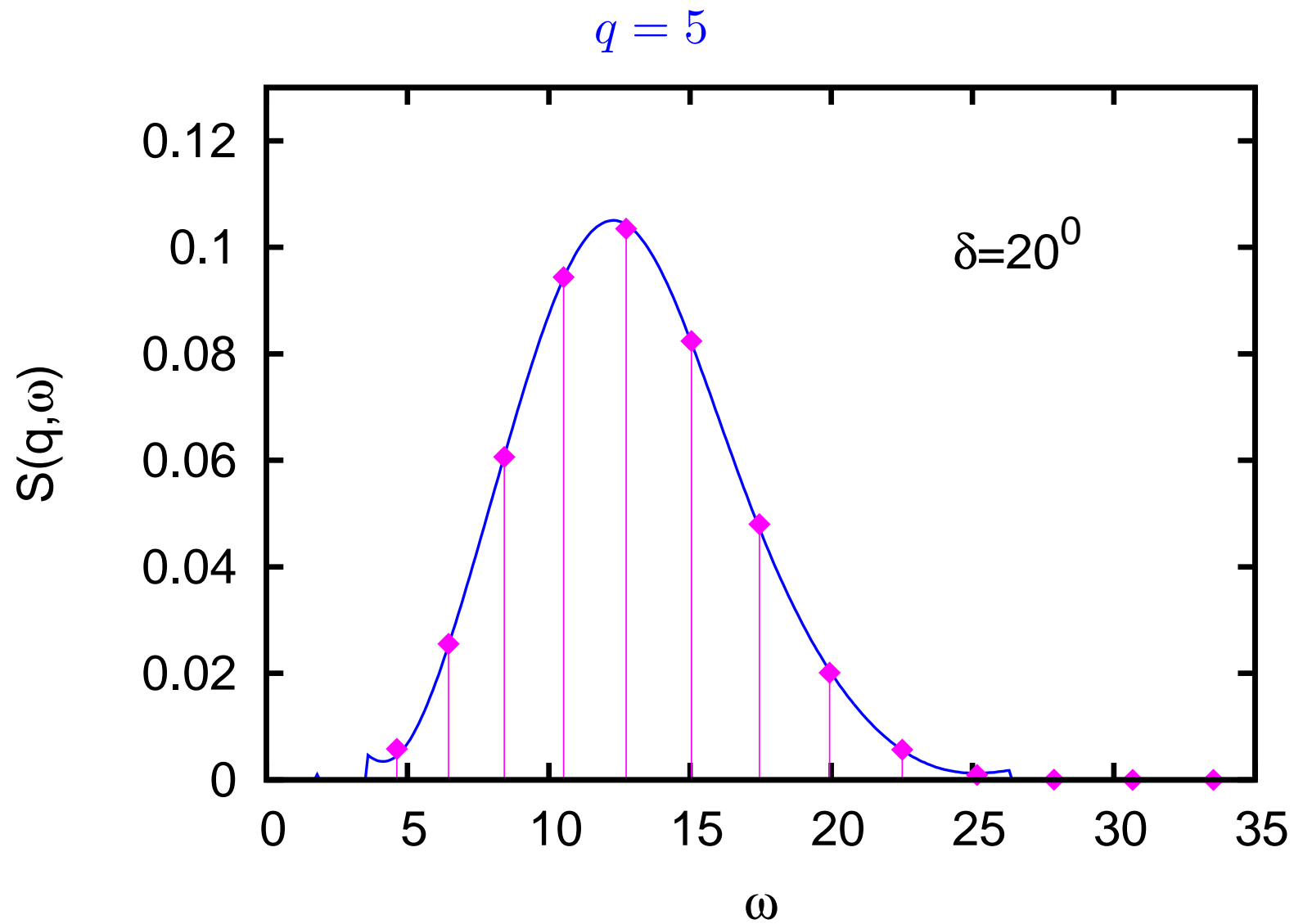
$$q = 1.5$$



$x^4 S(q, \omega)$ 

$x^4 S(q, \omega)$ 

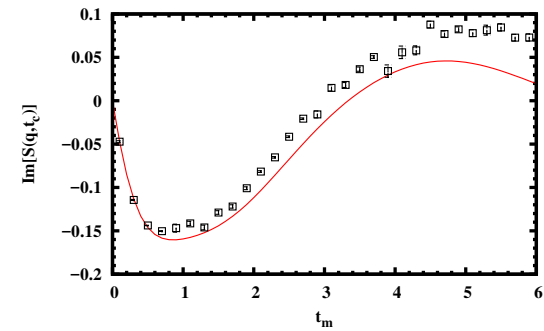
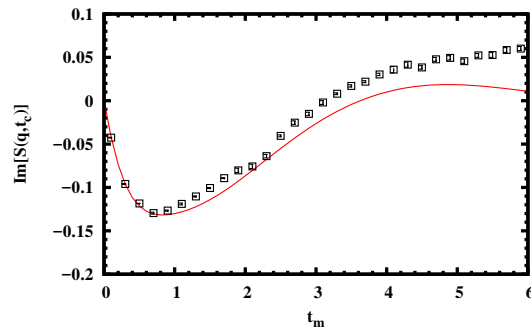
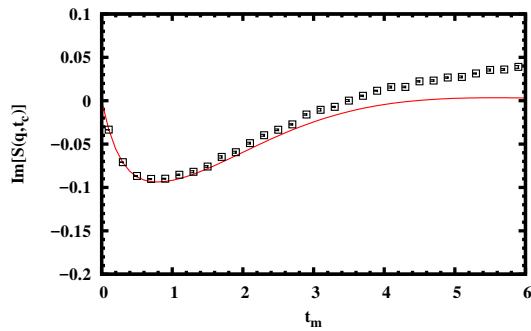
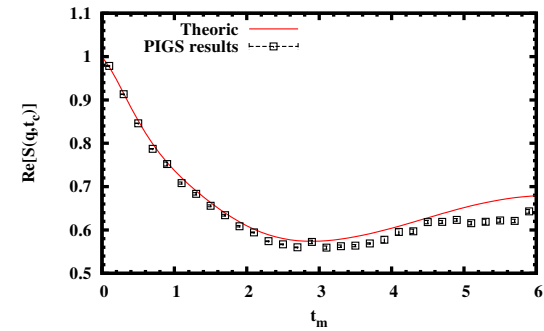
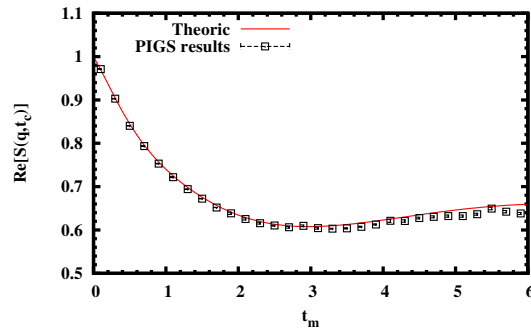
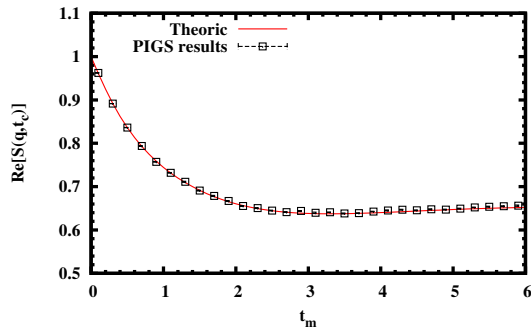
$$x^4 S(q, \omega)$$



$N > 1$ systems

- We are currently trying to calculate complex-time correlation functions for systems with $N > 1$
- We choose harmonic interaction between particles and a confining term $(\sum_i x_i^2)/N$
- When $N \leq 4$ we can compare with exact results
- Up to $N = 4$ we have verified that taking all the permutations or just uniform sampling leads to same results
- Calculations become harder since noise increases but still useful information can be drawn

$N = 3$ results

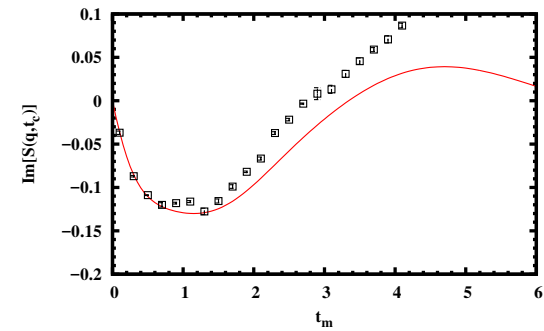
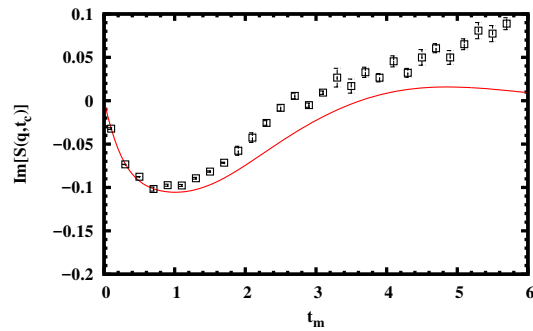
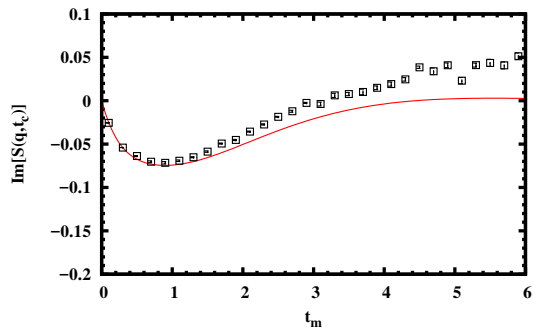
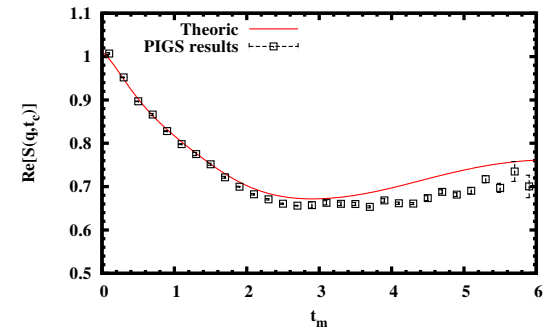
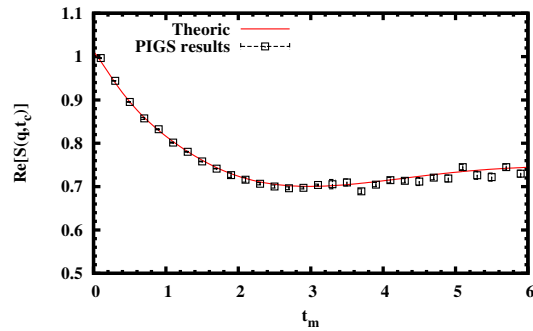
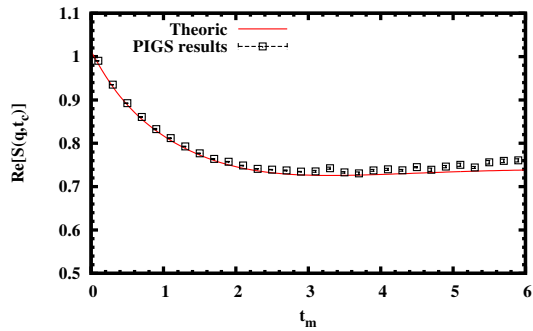


$\delta = 45^\circ$

$\delta = 30^\circ$

$\delta = 20^\circ$

$N = 4$ results

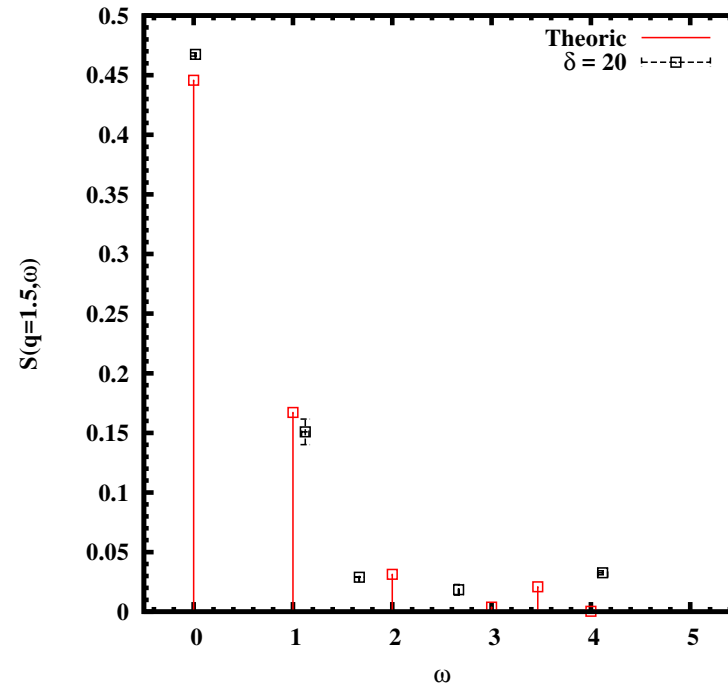
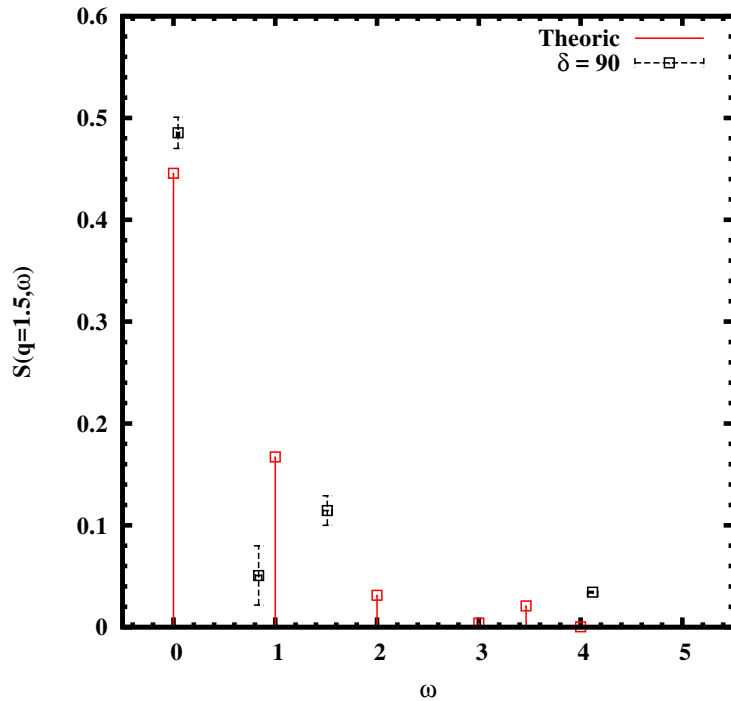


$\delta = 45^\circ$

$\delta = 30^\circ$

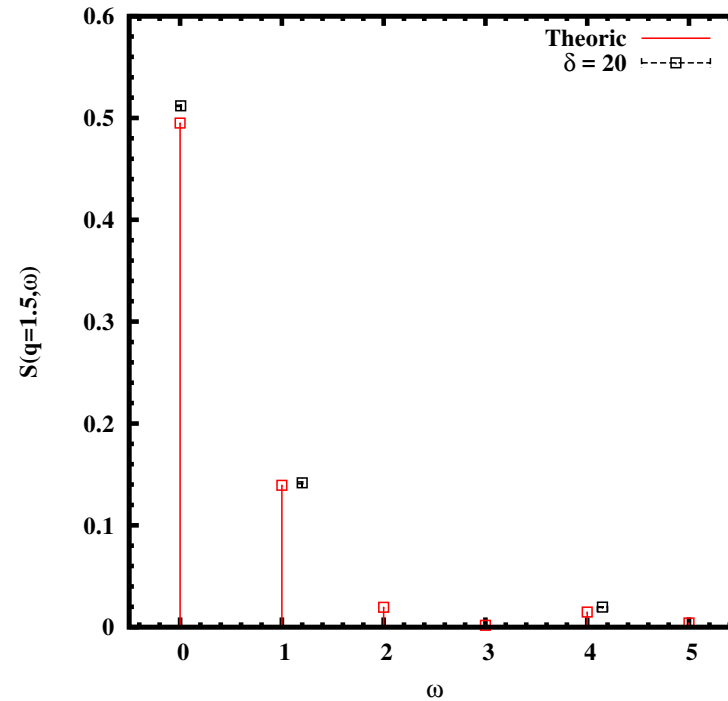
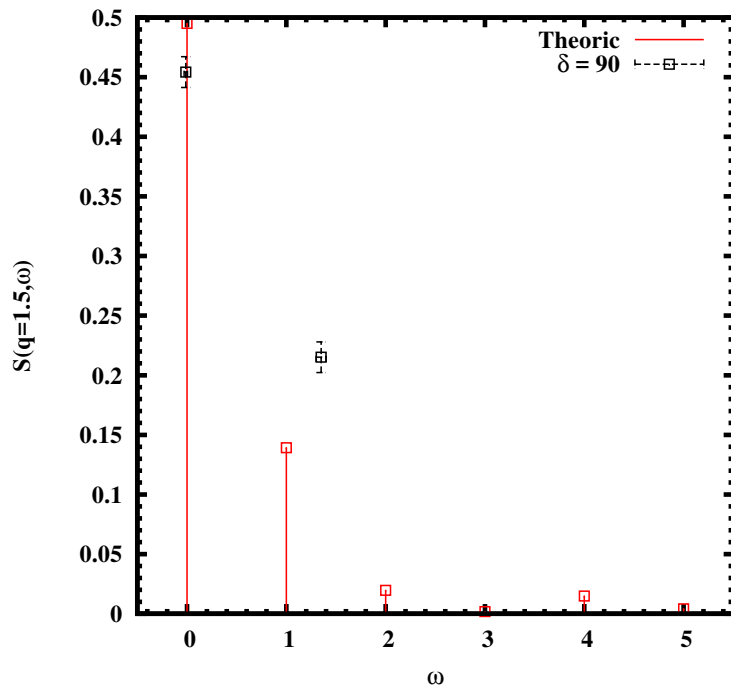
$\delta = 20^\circ$

$$N = 3 \quad S(q, \omega)$$



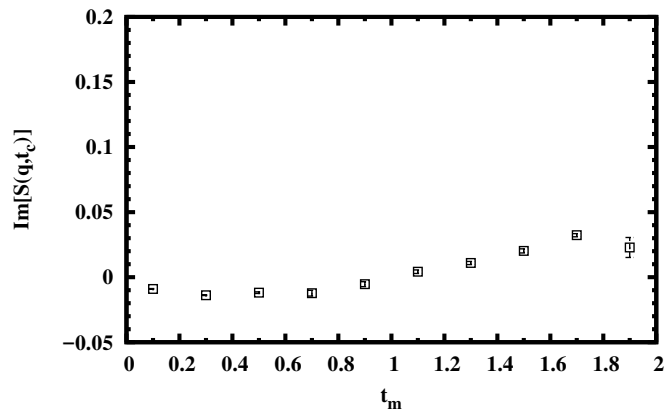
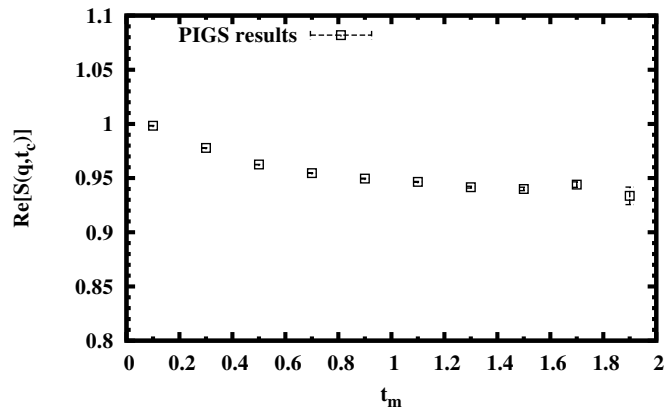
Results in the frequency domain.
Use of complex time still improves imaginary-time data.

$$N = 4 \quad S(q, \omega)$$

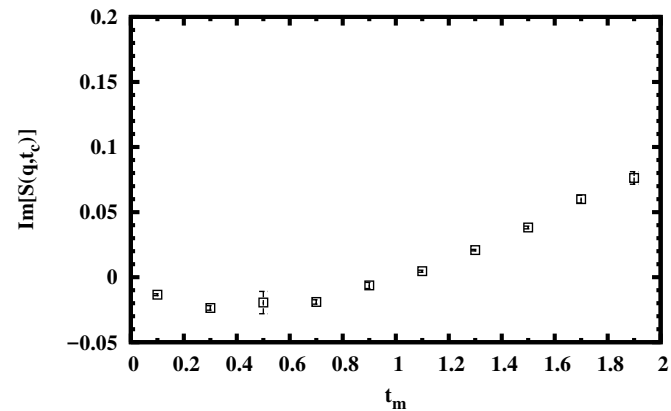
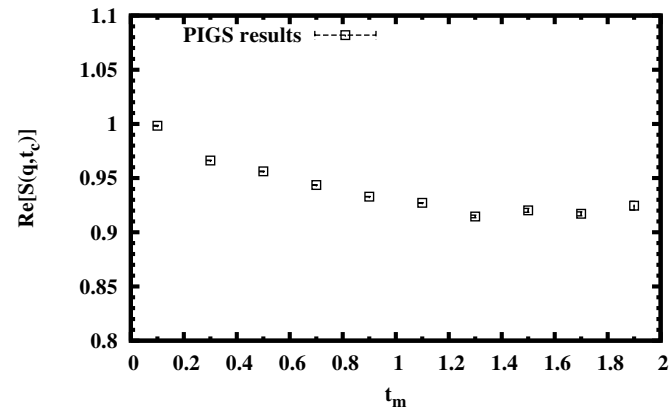


Results in the frequency domain.
Use of complex time still improves imaginary-time data.

$N = 10$ results



$$\delta = 45^\circ$$

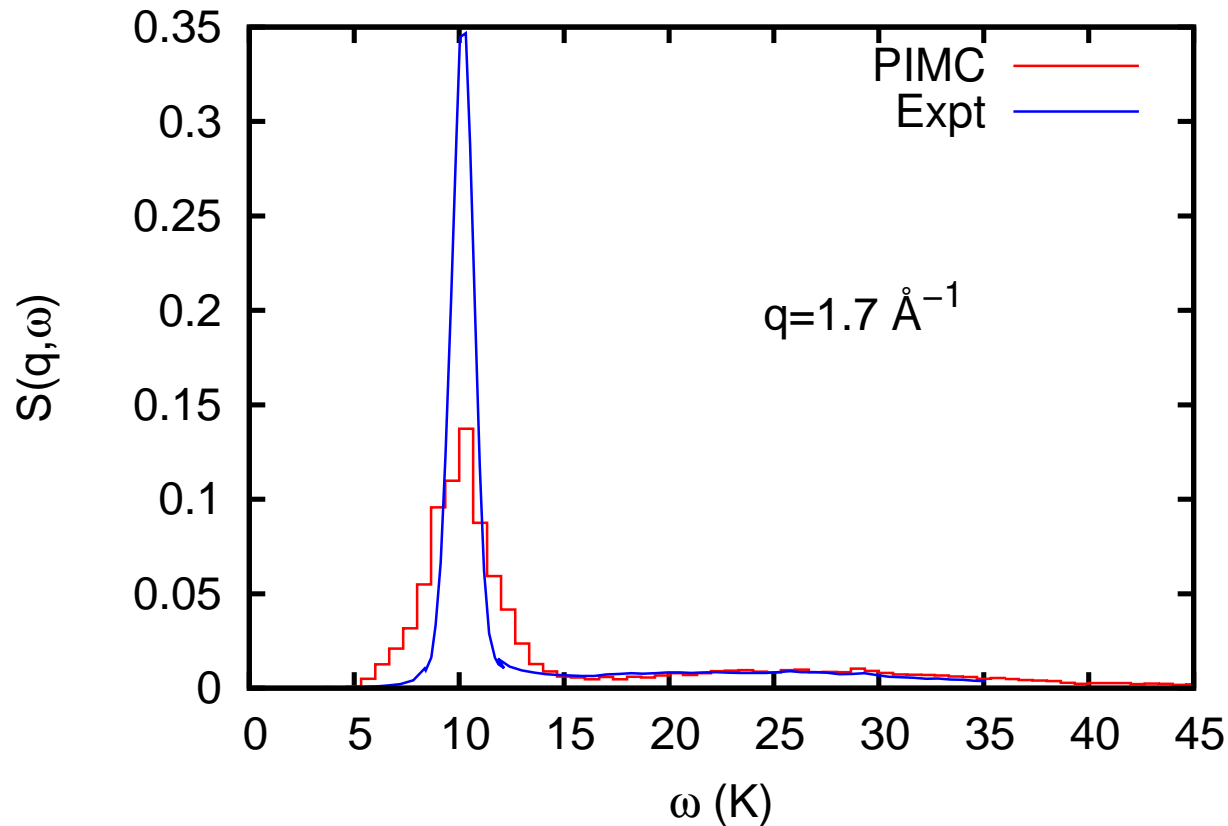


$$\delta = 30^\circ$$

Dynamic structure function ${}^4\text{He}$

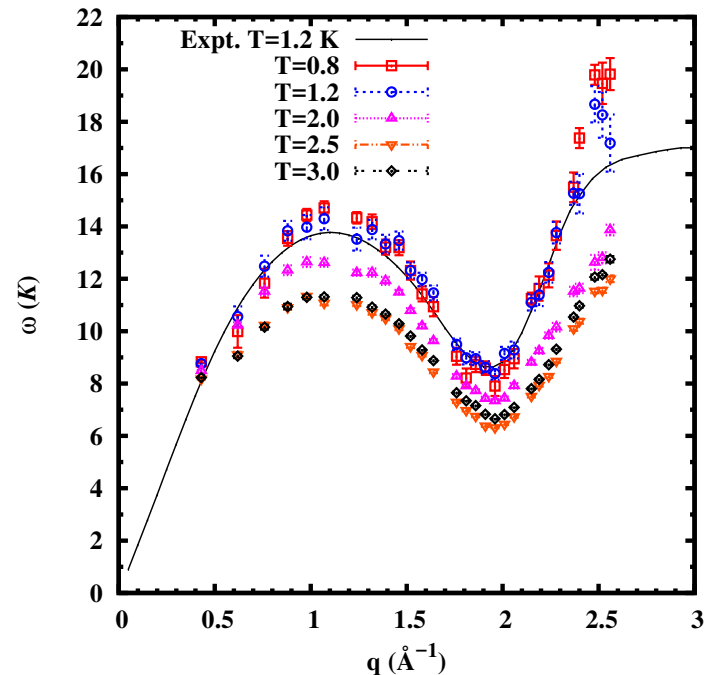
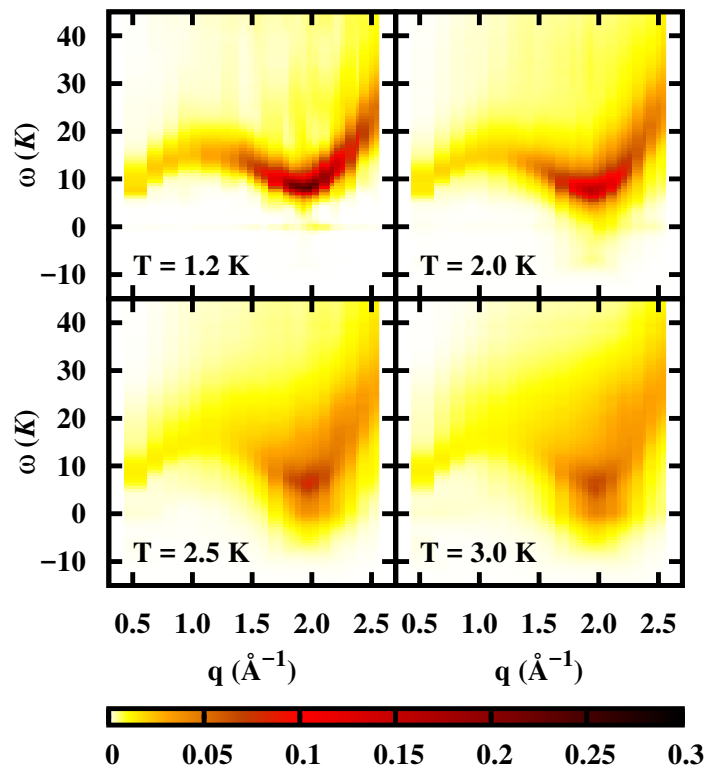
Up to now, at the many-body level we rely only on inverse Laplace transform of the imaginary-time correlation factor

$$S(q, \tau)$$



Dynamic structure function ^4He

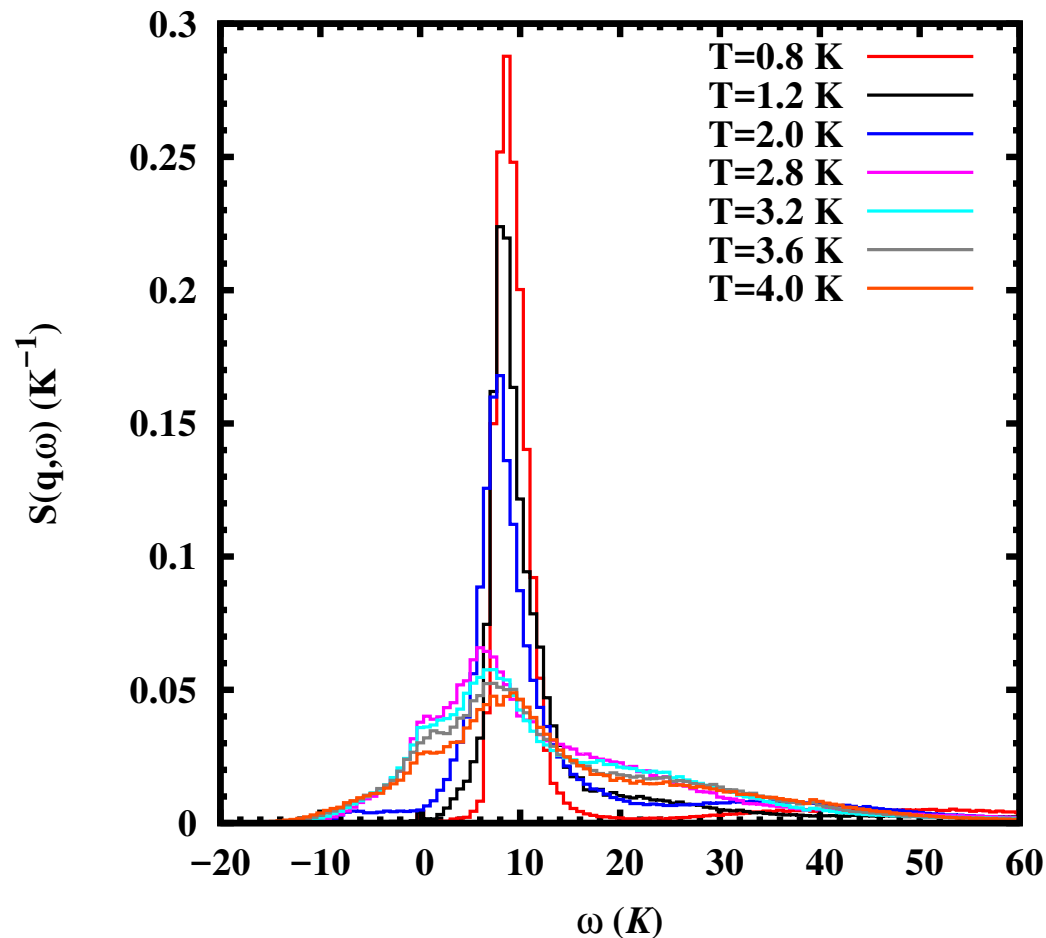
The phonon-roton spectrum is reasonably well described, except Pitaevskii plateau



G. Ferré and J. B., Phys. Rev. B **93**, 104510 (2016)

Dynamic structure function ^4He

The behavior with temperature is qualitatively correct. Largest effects in the **roton**



QMC-CBF approach

Results for $\epsilon(k)$ can be obtained by using CBF theory. More importantly, access to the full dynamic response $S(k, \omega)$.

In CBF,

$$S(k, \omega) = -\frac{1}{\pi} \text{Im} \frac{S(k)}{\hbar\omega - \epsilon_F(k) - \Sigma(k, \omega) + i\eta}$$

Self-energy,

$$\Sigma(k, \omega) = \frac{1}{2} \int \frac{d\mathbf{p} d\mathbf{q}}{(2\pi)^3 \rho} \frac{\delta(\mathbf{k} + \mathbf{p} + \mathbf{q}) |V_3(\mathbf{k}, \mathbf{p}, \mathbf{q})|^2}{\hbar\omega - \epsilon_F(p) - \epsilon_F(q) + i\zeta}$$

with the matrix element

$$V_3(\mathbf{k}, \mathbf{p}, \mathbf{q}) = \frac{\hbar^2}{2m} \sqrt{\frac{S(p)S(q)}{S(k)}} \left[\mathbf{k} \cdot \mathbf{p} X(p) + \mathbf{k} \cdot \mathbf{q} X(q) - k^2 u_3(\mathbf{k}, \mathbf{p}, \mathbf{q}) \right]$$

written in terms of the direct correlation function

$$X(k) = 1 - 1/S(k).$$

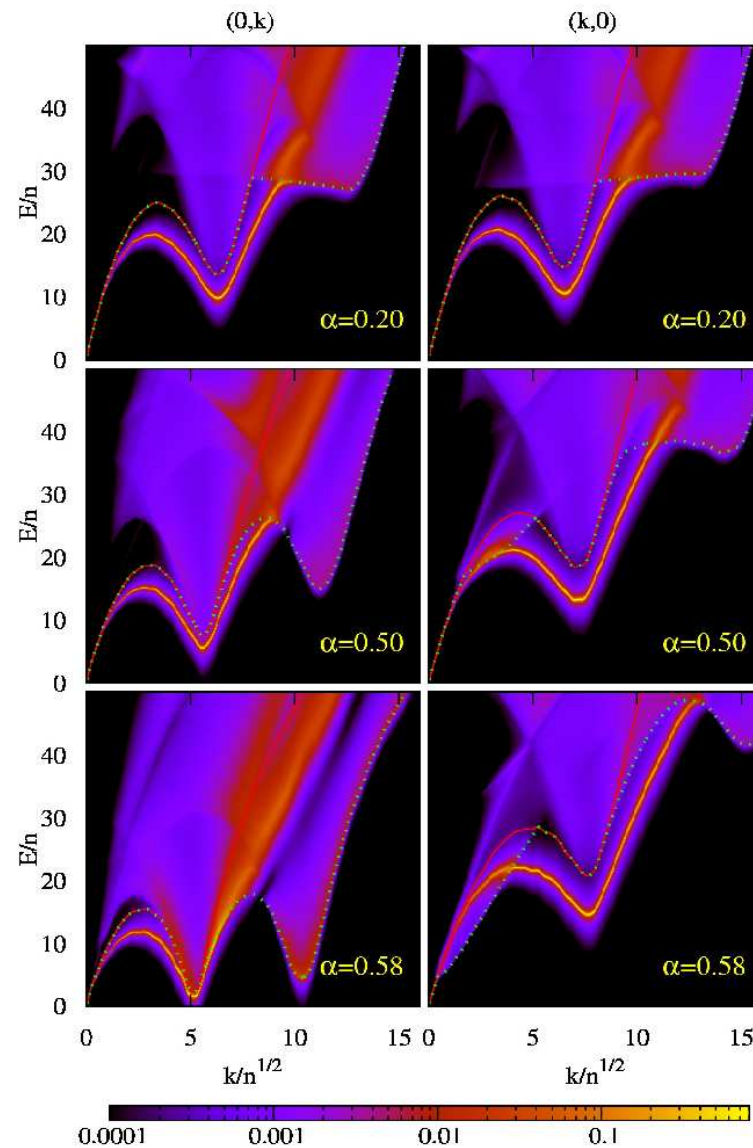
QMC input for $S(k)$

Example: stripe phase

The dipolar interaction is anisotropic \implies the excitation spectrum is also anisotropic, with roton minima approaching zero in the stripe phase.

CBF results, $n = 128$

(A. Macia *et al.*, Phys. Rev. Lett. **109**, 235307 (2012))



Remarks

- It is possible to calculate complex-time correlation functions using QMC methods with reasonable accuracy
- Inversion towards dynamic response is much less *ill-posed*
- First results for few-body problems show increase of noise but still relevant signals are observed
- Our **goal**: improve dynamics using QMC without the difficulties of relying only on imaginary-time functions (standard setup up to now !)

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THANKS FOR YOUR ATTENTION !