

# Stratosphere-Troposphere Coupling and Extratropical-to-Tropical Interactions

John R. Albers<sup>1,2</sup>

<sup>1</sup>Cooperative Institute for Research in the Environmental Sciences  
University of Colorado Boulder

<sup>2</sup>NOAA - Earth System Research Laboratory  
Physical Sciences Division

October 18, 2017

## Underlying focus:

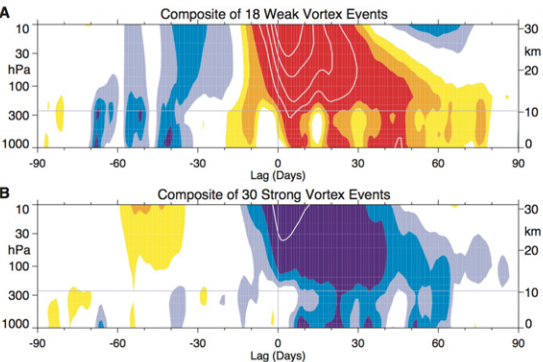
Stratosphere-troposphere coupling in the context of tropical-extratropical interactions

- (1) Basic characteristics of stratosphere-troposphere coupling
- (2) Probabilistic S2S predictability involving the sudden stratospheric warmings (SSWs)
  - Impact of SSWs versus ENSO
  - Equatorial quasi-biennial oscillation (QBO) and SSWs
- (3) Mechanisms behind stratosphere-troposphere coupling
  - Difficulties with prediction in the context of these coupling mechanisms

# Stratosphere-troposphere interactions:

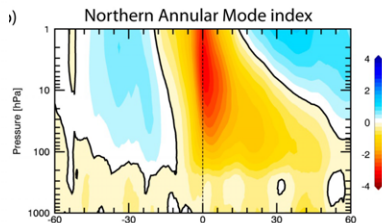
## The 'dripping paint' diagram...

Strong and weak stratospheric vortex composite:



*(Baldwin and Dunkerton Science 1999)*

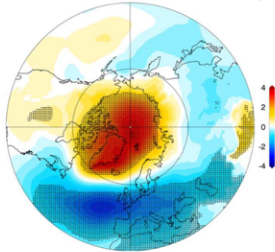
Sudden stratospheric warming composite:



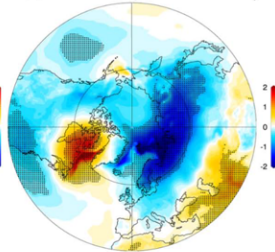
*(Butler, Sjoberg, Seidel, Rosenlof  
ESSD 2017)*

# Tropospheric response to sudden stratospheric warmings (SSWs)

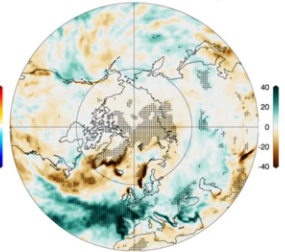
(a) Mean sea level pressure anomaly



(b) Surface temperature anomaly



(c) Precipitation anomaly



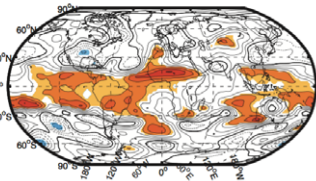
*(Butler, Sjoberg, Seidel, and Rosenlof EESD 2017)*

# Enhanced tropospheric predictability: DJF

## Stratosphere versus tropics and SSTs

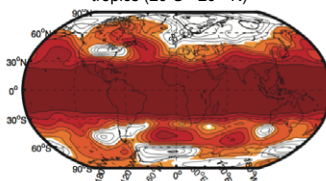
### DJF 500 hPa Geopotential Height Anomaly Correlation Coefficient

Climatological SSTs and observed atmospheric initial conditions

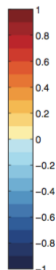
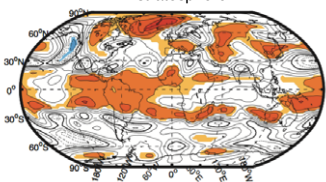
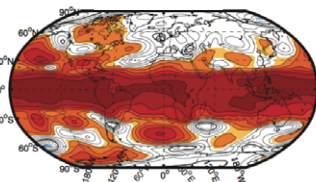


Observed SSTs

Climatological SSTs and nudged tropics (20°S - 20° N)



Climatological SSTs and nudged stratosphere



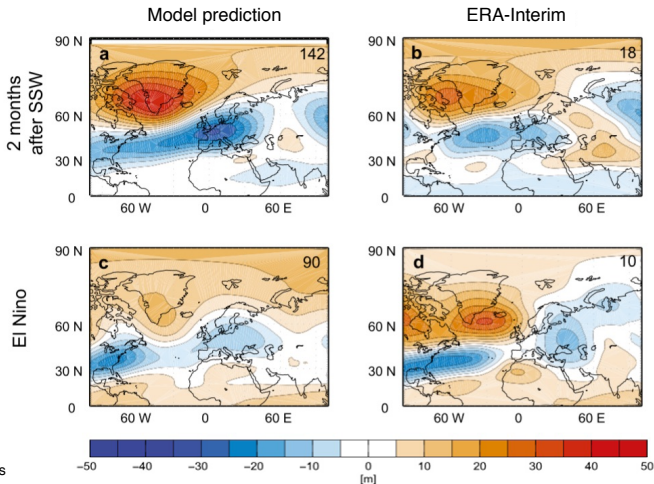
**Model details:**

- ECMWF IFS
- $\sim 0.5^\circ$  resolution
- Nudging of SSTs, tropics, stratosphere
- 9 ensembles per year (1979-2013)

*(Hansen, Greatbatch, Gollan, Jung, Weisheimer QJRM 2017)*

# Tropospheric response to SSWs and ENSO

## 500 hPa Geopotential Height Anomalies



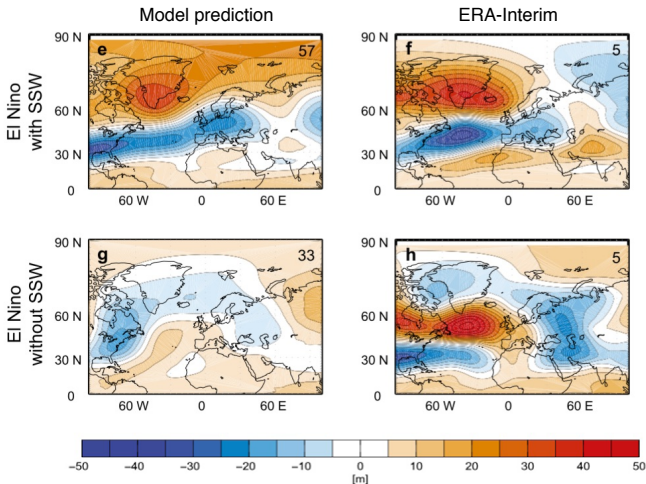
(Domeisen, Butler, Fröhlich, Bittner, Müller, Baehr JCLim 2015)

### Model details:

- MPI ECHAM6
- ~1.875° resolution
- Interactive land, ocean, ice components
- 9 ensembles per year (1980-2011)

# Tropospheric response to SSWs and ENSO

## 500 hPa Geopotential Height Anomalies



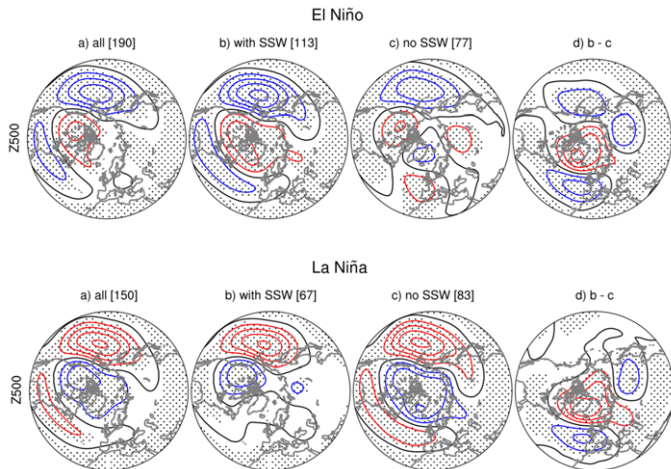
(Domeisen, Butler, Fröhlich, Bittner, Müller, Baehr JCLim 2015)

### Model details:

- MPI ECHAM6
- ~1.875° resolution
- Interactive land, ocean, ice components
- 9 ensembles per year (1980-2011)

# Tropospheric response to SSWs and ENSO

## El Niño versus La Niña



### Model details:

- NCAR CAM5
- 100 km resolution
- Observed SSTs and sea-ice
- 10 ensembles x 1952-2003

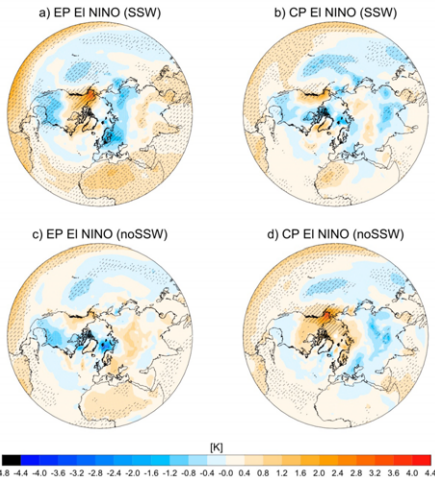
(Polvani, Sun, Butler, Richter, Deser JCLim 2017)



# Tropospheric response to SSWs and ENSO

East Pacific El Niño versus central Pacific El Niño

## Surface temperature anomalies



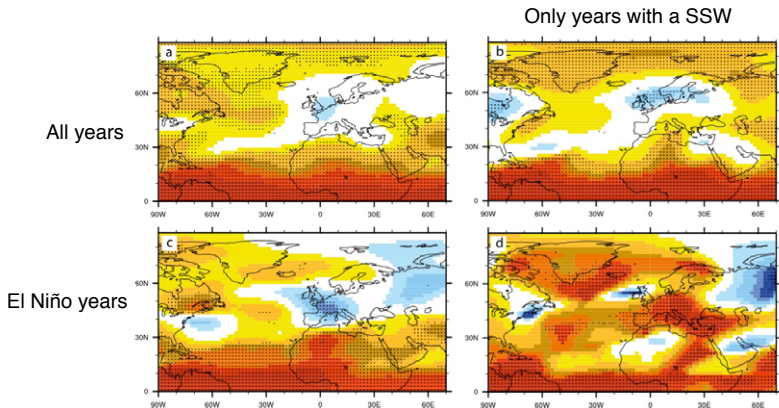
(Calvo, Iza, Hurwitz, Manzini, Peña-Ortiz, Butler, Cagnazzo, Ineson, Garfinkel JCLim 2017)

### Model details:

- 11 high-top CMIP-5 models
- Coupled atmosphere-ocean models
- 1 ensembles per model (1951-2005) with historical climate forcings

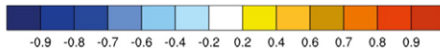
# Enhanced tropospheric predictability: SSWs versus ENSO

## 500 hPa Geopotential Height Anomaly Correlation Coefficient



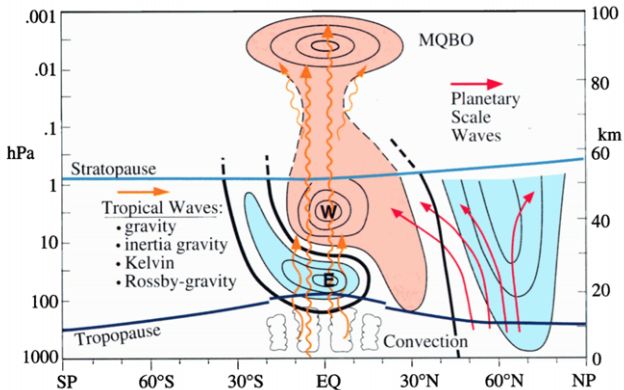
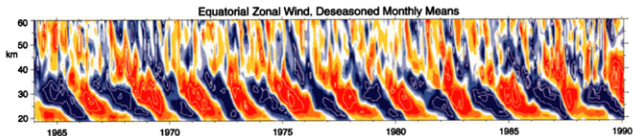
### Model details:

- MPI ECHAM6
- $\sim 1.875^\circ$  resolution
- Interactive land, ocean, ice components
- 9 ensembles per year (1980-2011)



(Domeisen, Butler, Fröhlich, Bittner, Müller, Baehr JCLim 2015)

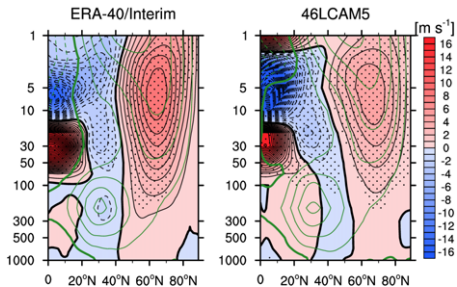
# Equatorial quasi-biennial oscillation (QBO)



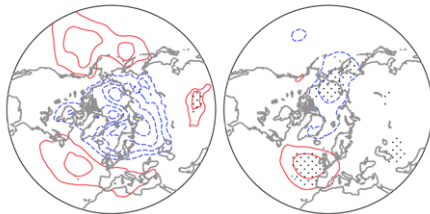
(Baldwin et al. Rev. Geophys. 2001)

# Tropospheric response to the QBO

a) QBOW-QBOe zonal-mean zonal wind



b) QBOW-QBOe sea-level pressure

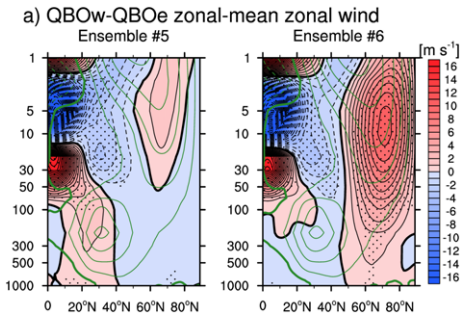


(Perlwitz, Sun, Richter, Albers, and Bacmeister in prep.)

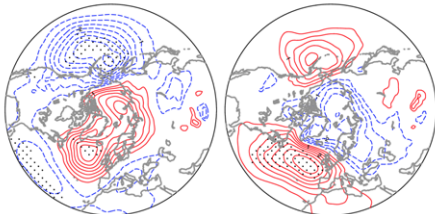
## Model details:

- NCAR CAM5
- 100 km resolution ( $\sim 1^\circ$ )
- Observed SSTs and sea ice
- 10 ensembles x 1957-2015 with historical climate forcings

# Tropospheric response to the QBO

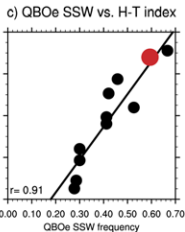
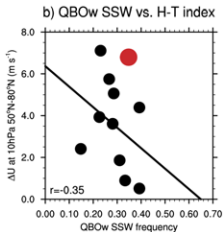
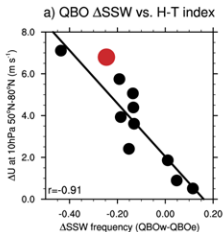


b) QBOw-QBOe sea-level pressure



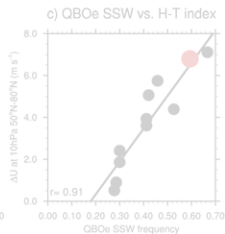
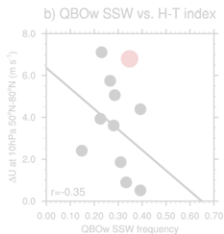
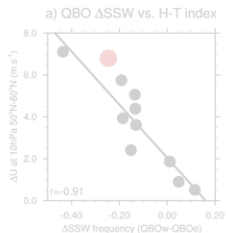
(Perlwitz, Sun, Richter, Albers, and Bacmeister in prep.)

# Probabilistic SSW predictability via ENSO and QBO



(Perlwitz, Sun, Richter, Albers, Bacmeister in prep.)

# Probabilistic SSW predictability via ENSO and QBO



(Perlwitz, Sun, Richter, Albers, Bacmeister in prep.)

## ERSSTv3

	# of winters	SSWs per winter
El Niño	19	0.79
La Niña	18	0.72

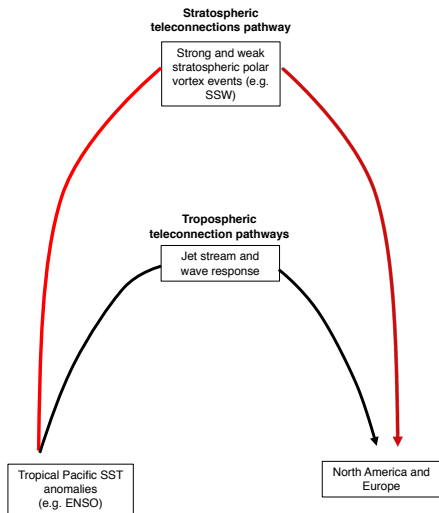
(Butler, Polvani, Deser ERL 2014)

## ERSSTv4

	# of winters	SSWs per winter
El Niño	20	0.8
La Niña	16	0.63

(Polvani, Sun, Butler, Richter, Deser JCLim 2017)

# Stratosphere-to-troposphere coupling mechanisms



## Stratosphere-to-troposphere communication:

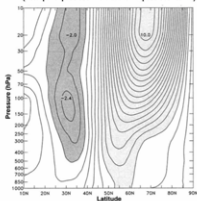
1. Communicate signal downwards to tropopause
  - Downward propagating zonal mean zonal wind anomalies  
(e.g., Holton *Mass JAS* 1976, Christiansen *JAS* 1999)
  - 'Downward control'  
(Haynes *et al. JAS* 1991)
  - Wave reflection  
(e.g., Perlwitz and Harnik *JClim* 2003)
  - Remote response to stratospheric PV anomalies  
(e.g., Hartley *et al. Nature* 1998, Black *JClim* 2002, Ambaum and Hoskins *JClim* 2002)
2. Amplify the response
  - Synoptic eddy feedbacks amplifying the stratospheric induced upper tropospheric perturbations  
(e.g., Song and Robinson *JAS* 2004, Hitchcock and Simpson *JAS* 2014)



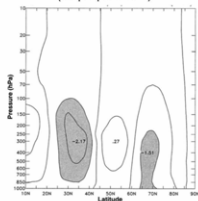
# Stratosphere-to-troposphere coupling mechanisms

## Piecewise PV inversion

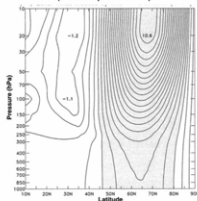
Zonal wind ( $\text{m s}^{-1}$ )  
(Tropospheric + stratospheric PV)



Zonal wind ( $\text{m s}^{-1}$ )  
(Tropospheric PV)

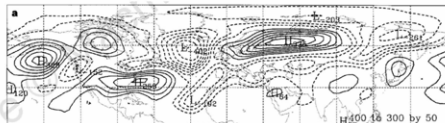


Zonal wind ( $\text{m s}^{-1}$ )  
(Stratospheric PV)

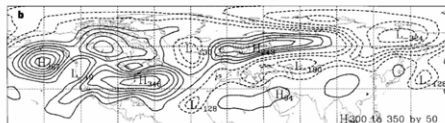


(Black JClim 2002)

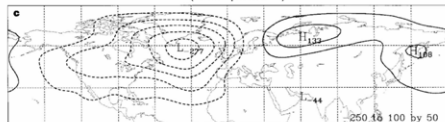
215 hPa Geopotential height anomaly  
(Tropospheric + stratospheric PV)



(Tropospheric PV)



(Stratospheric PV)



(Hartley, Villarín, Black, Davis Nature 1998)

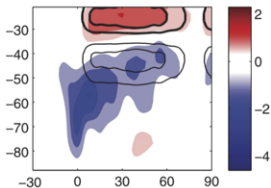
# Stratosphere-to-troposphere coupling mechanisms

Model and composite details:

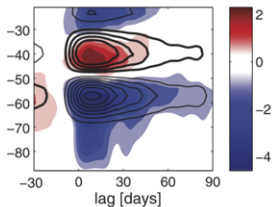
- GFDL dry dynamical core
- 93 SSWs in full model runs
- 63 SSWs in wave truncated model runs

All wavenumbers included

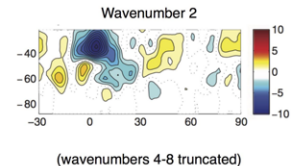
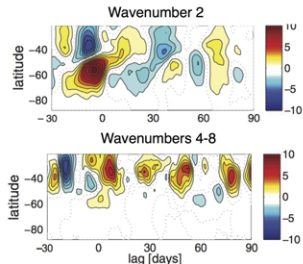
Zonal wind anomalies (250 hPa)



Wavenumbers >3 truncated



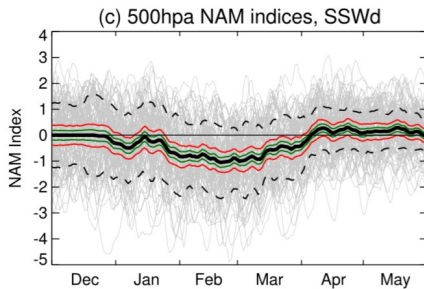
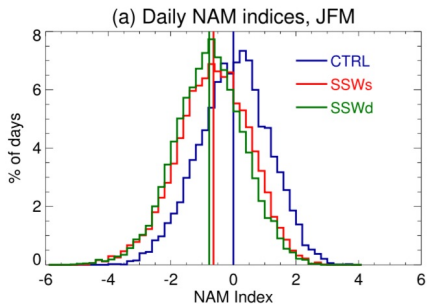
Momentum flux anomalies (250 hPa)



(Domeisen, Sun, Chen GRL 2013)

# Stratosphere-to-troposphere coupling mechanisms

## Stratosphere influence versus tropospheric internal variability

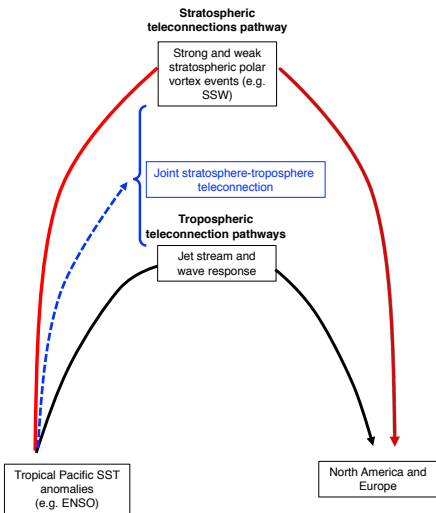


### Model details:

- CMAM
- $\sim 1.8^\circ$  resolution
- Annually repeating observed SSTs and sea ice
- 100 year time slices per run type

*(Hitchcock and Simpson JAS 2014)*

# Stratosphere-to-troposphere coupling mechanisms



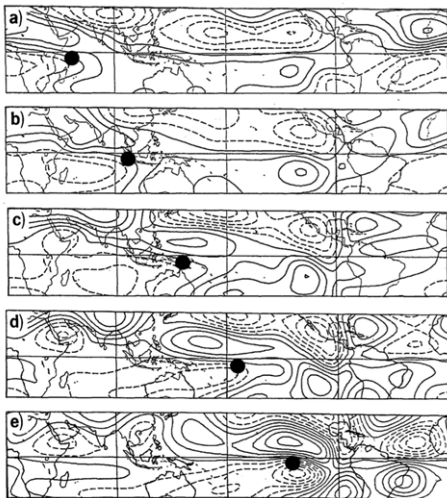
## Stratosphere-to-troposphere communication:

1. Communicate signal downwards to tropopause
  - Downward propagating zonal mean zonal wind anomalies  
(e.g., Holton *Mass JAS* 1976, Christiansen *JAS* 1999)
  - 'Downward control'  
(Haynes *et al. JAS* 1991)
  - Wave reflection  
(e.g., Perlwitz and Harnik *JClim* 2003)
  - Remote response to stratospheric PV anomalies  
(e.g., Hartley *et al. Nature* 1998, Black *JClim* 2002, Ambaum and Hoskins *JClim* 2002)
2. Amplify the response
  - Synoptic eddy feedbacks amplifying the stratospheric induced upper tropospheric perturbations  
(e.g., Song and Robinson *JAS* 2004, Hitchcock and Simpson *JAS* 2014)
3. Direct coupling via vertically deep PV deformations  
(Albers *et al. JAS* 2016)

# Tropical-extratropical tropospheric teleconnections

Divergence forcing and Rossby response

## Locational dependence of divergence forcing



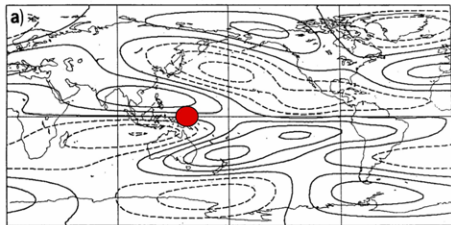
*(Sardeshmukh and Hoskins JAS 1988)*

# Tropical-extratropical tropospheric teleconnections

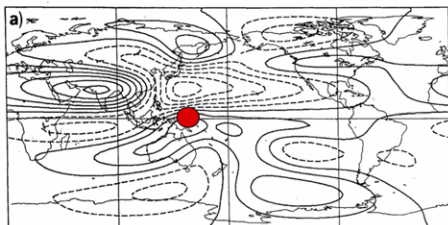
## Divergence forcing and Rossby response

### Basic state dependence of Rossby response to divergence forcing

December-February basic state



June-August basic state

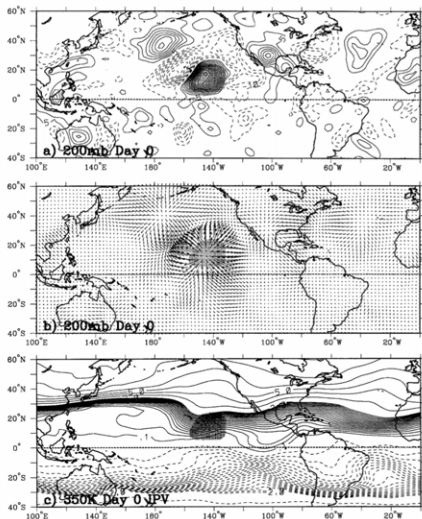


● Rossby response to divergence forcing

*(Sardeshmukh and Hoskins JAS 1988)*

# Tropical-extratropical tropospheric teleconnections

## Extratropical-to-tropical disturbances



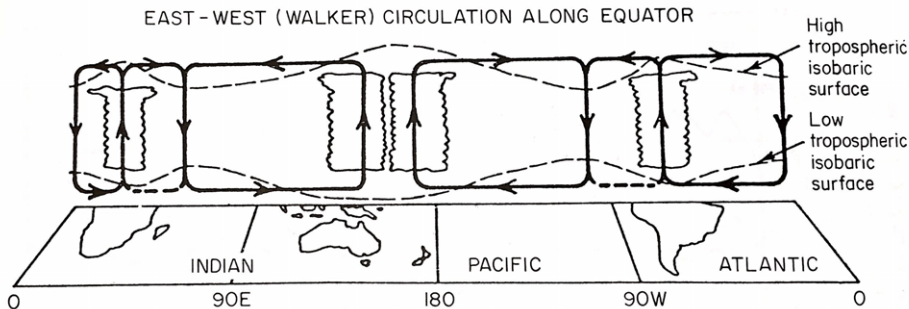
*(Kiladis JAS 1998)*

# Tropical-extratropical tropospheric teleconnections

## Westerly ducts formation

Why are the westerly ducts where they are?

Walker-like circulation?



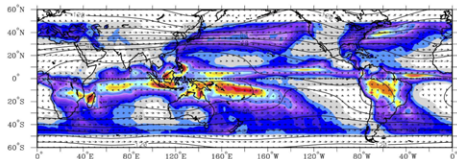
(P. Webster in AP 1983, Ed. Hoskins and Pearce)



# Tropical-extratropical tropospheric teleconnections

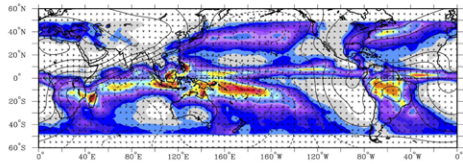
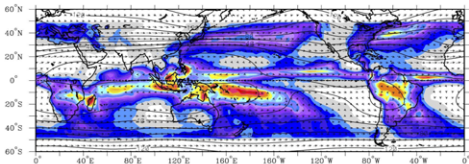
## Westerly ducts formation

ERA-Interim zonal wind (contours and vectors) – TRMM precipitation (colored contours)  
January Climatology



Rotational wind component

Divergent wind component

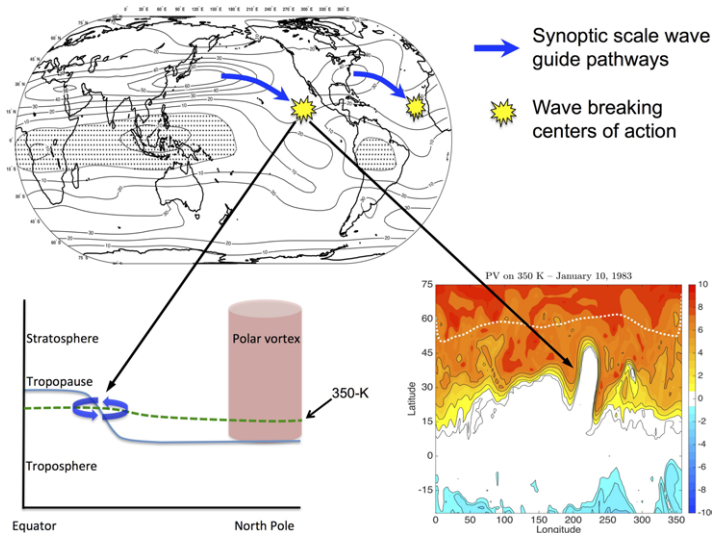


(figures courtesy of George Kiladis, NOAA)

(Figures courtesy of George Kiladis, NOAA)

# Tropical-extratropical tropospheric teleconnections

## Westerly ducts and extratropical-to-tropical disturbances



## What does this have to do with SSWs?

- Local station data showed intense gravity wave activity emanating from a PV intrusion over Gadanki, India during 2009 SSW

*(Nath et al. 2013)*

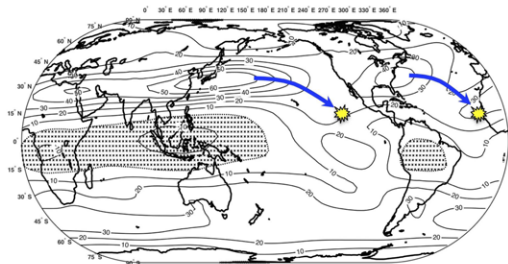
- Vortex location during SSWs have a geographic preference

*(Matthewman et al. 2009)*

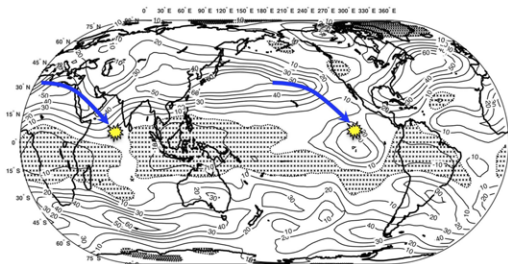
**Question:** Is there a systematic connection between SSWs and deep extratropical-tropical PV intrusions?

# January 2009 Wavenumber 2 SSW

1979-2012 DJF Climatology

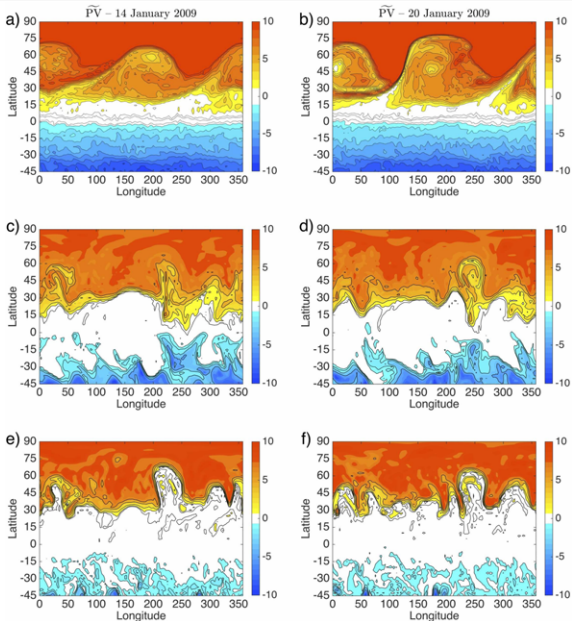


16-22 January 2009



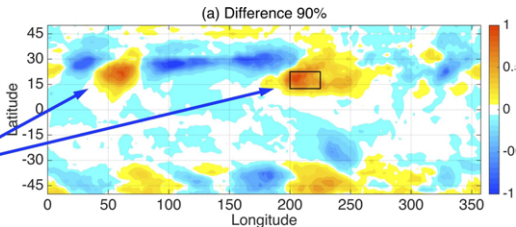
- Entirely new westerly duct over the Indian ocean formed

# January 2009 Wavenumber 2 SSW



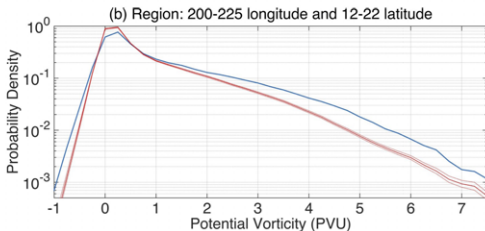
# PDFs of PV anomalies two weeks before all SSWs:

Reorganized anomalous  
wave breaking pattern



— PDF of two week period  
before all SSWs

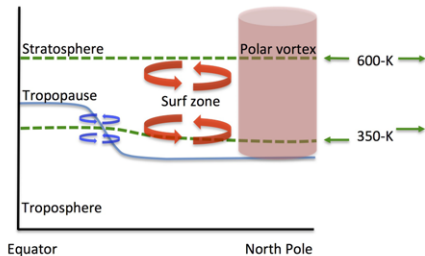
— PDF of random two  
week periods with 95%  
confidence interval



(Albers, Kiladis, Birner, Dias JAS 2016)

# Hypothesized mechanisms:

Example: Split SSW



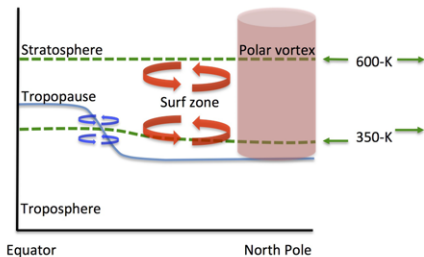
Synoptic scale waves





Planetary scale waves

# Hypothesized mechanisms:

Example: Split SSW



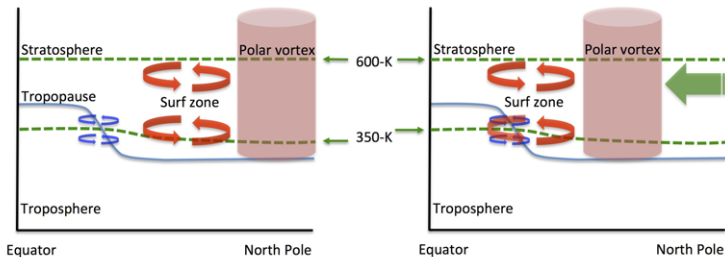
 Synoptic scale waves


 Planetary scale waves



# Hypothesized mechanisms:

Example: Split SSW

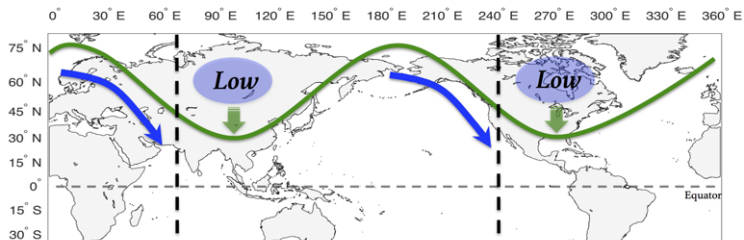
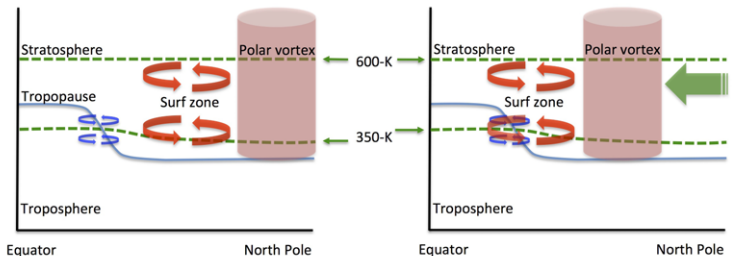



 Synoptic scale waves

 Planetary scale waves

# Hypothesized mechanisms:

Example: Split SSW



 Synoptic scale waves

 Planetary scale waves

## What is causing the largest intrusions along 350-K?

- Synoptic scale wave breaking?
  - High-pass filter  $\implies$  1-10 day variability

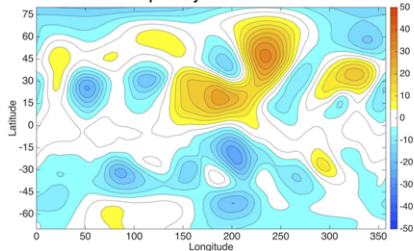
**OR**

- Large-scale, low frequency wave breaking?
  - Band-pass filter  $\implies$  30-120 day variability

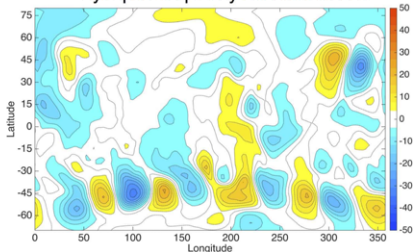
# January 2009 split SSW:

14 January 2009

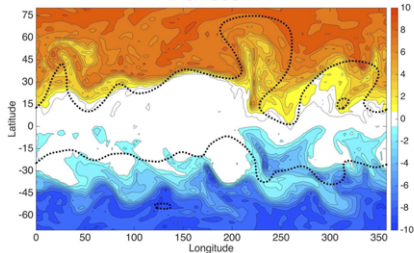
Low-frequency stream function



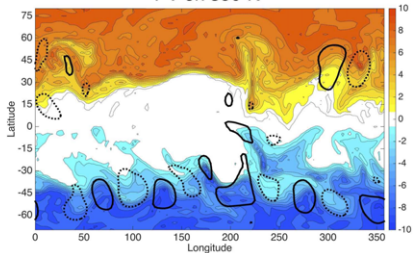
Synoptic frequency stream function



PV on 350-K



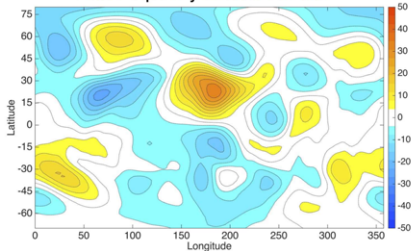
PV on 350-K



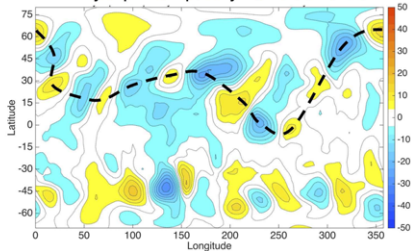
# February 1999 split SSW:

18 February 1999

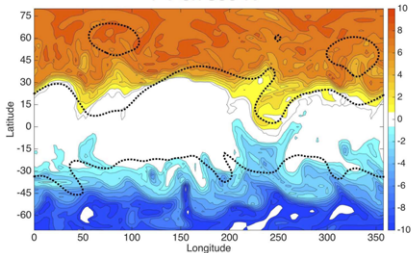
Low-frequency stream function



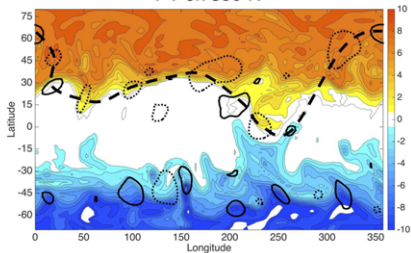
Synoptic frequency stream function



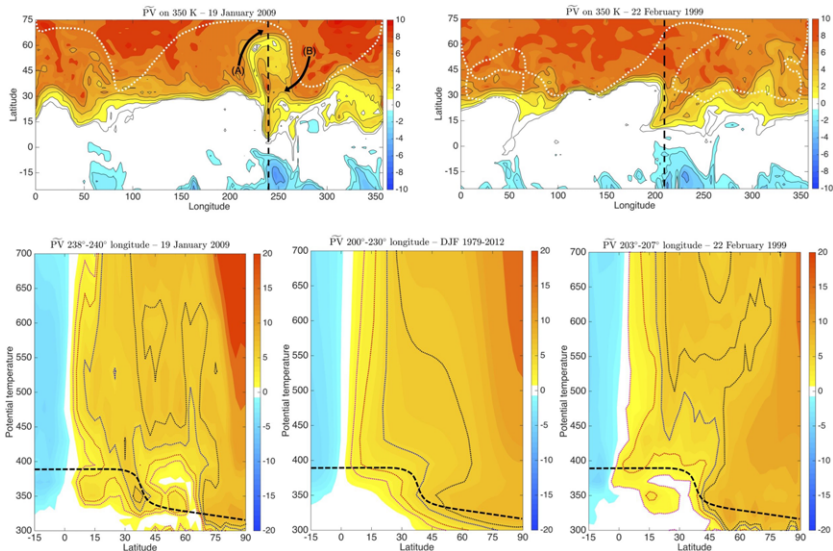
PV on 350-K



PV on 350-K

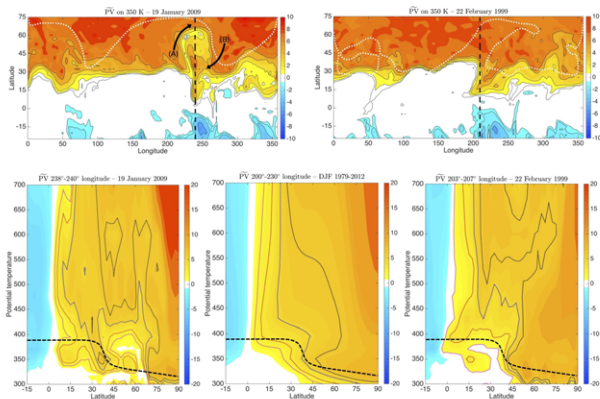


# PV cross-sections 1999 and 2009 split SSWs:



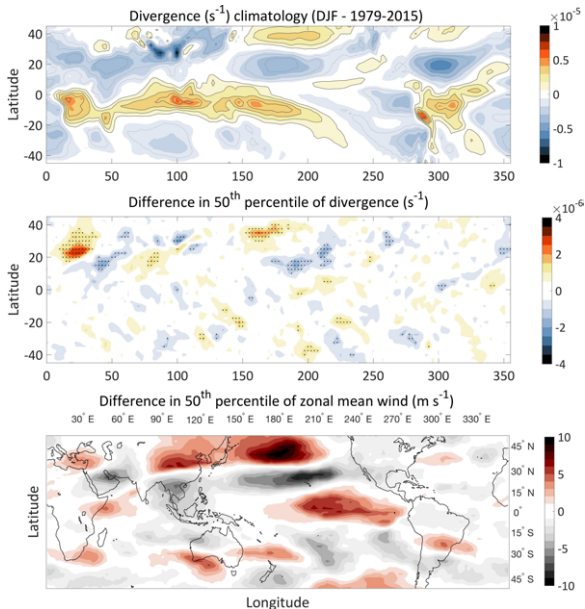
(Albers, Kiladis, Birner, Dias JAS 2016)

# PV cross-sections 1999 and 2009 split SSWs:



(Fig. 5 – Polvani and Saravanan 2000)

# SSW basic state and divergence patterns



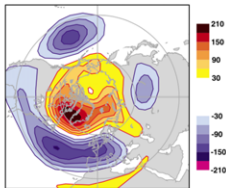


# Winter 2009/2010 predictability:

## Forced anomalies versus internal variability

### 500 hPa geopotential height anomalies

Observations 2009/2010 DJF

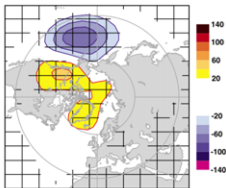


#### Model details:

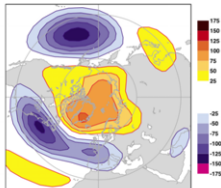
- ECMWF seasonal forecast model
- $\sim 0.75^\circ$  resolution
- Nudged experiments

*(Jung, Vitart, Ferranti, Morcette GRL 2011)*

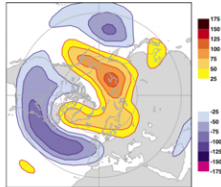
Nudged stratosphere 0.1-85 hPa



January 14 initialized forecast



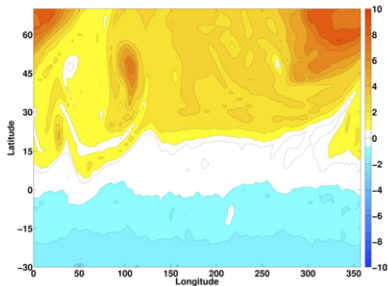
January 14 initialized forecast  
out of phase SSTs



# February 2010 and January 2012 Displacement SSWs:

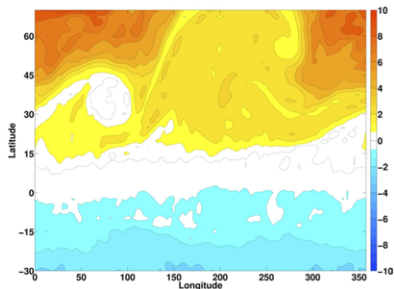
February 2010 Wave #1 Major SSW

PV on  $850-\theta$



January 2012 Wave #1 Minor SSW

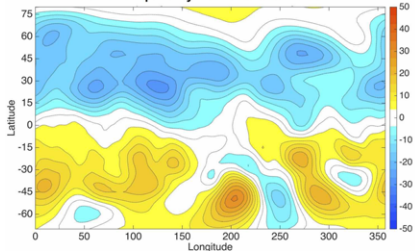
PV on  $850-\theta$



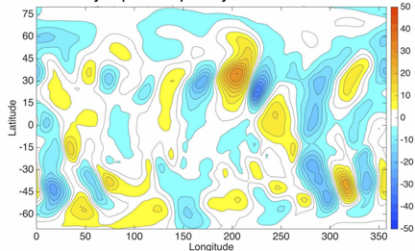
# February 2010 Displacement SSW:

8 February 2010

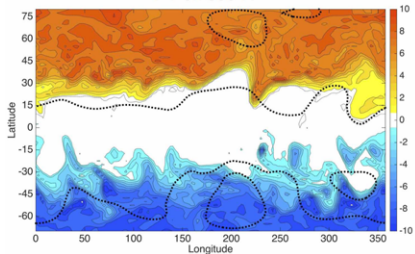
Low-frequency stream function



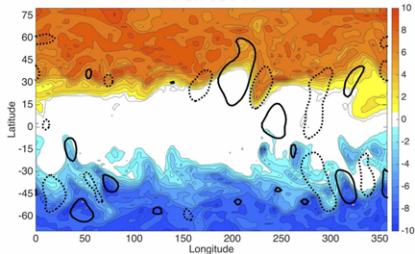
Synoptic frequency stream function



PV on 350-K

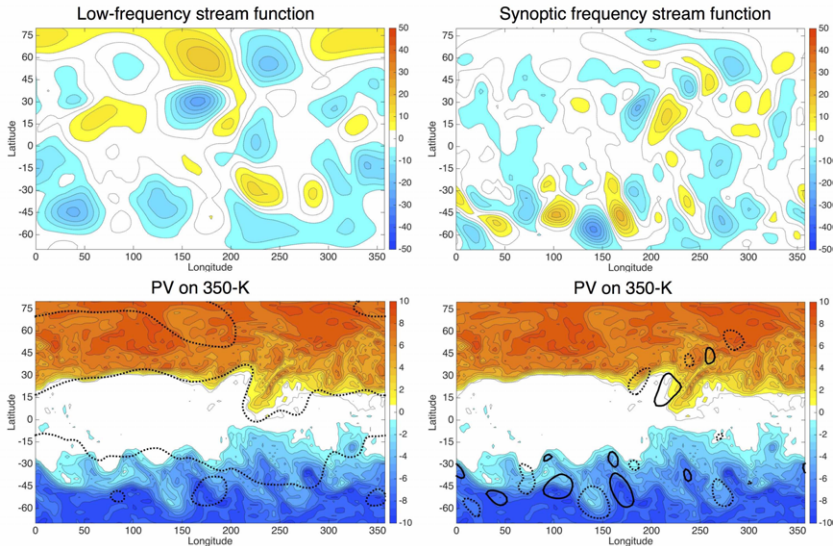


PV on 350-K



# January 2012 minor (wave #1) SSW:

21 January 2012



## To summarize

- Enhanced S2S predictability may be realized via an accurate accounting of stratospheric variability
- The QBO and ENSO both offer avenues for stratospheric-based S2S predictability
- Disentangling ‘stratospheric’ versus ‘tropospheric’ teleconnection pathways remains an ongoing area of research
- Exact mechanisms through which stratosphere-troposphere communication occur also remains an area of ongoing research

# References



Albers, J. R., G. N. Kiladis, T. Birner, and J. Dias, 2016: Tropical upper-tropospheric potential vorticity intrusions during sudden stratospheric warmings. *J. Atmos. Sci.*, **73 (6)**, 2361–2384.



Ambaum, M. H. and B. J. Hoskins, 2002: The NAO troposphere–stratosphere connection. *J. Climate*, **15 (14)**, 1969–1978.



Baldwin, M., et al., 2001: The quasi-biennial oscillation. *Rev. Geophys.*, **39 (2)**, 179–229.



Baldwin, M. P. and T. J. Dunkerton, 1999: Propagation of the Arctic Oscillation from the stratosphere to the troposphere. *J. Geophys. Res.*, **104 (D24)**, 30 937–30 946.



Black, R. X., 2002: Stratospheric forcing of surface climate in the arctic oscillation. *J. Climate*, **15 (3)**, 268–277.



Butler, A. H., J. P. Sjöberg, D. J. Seidel, and K. H. Rosenlof, 2017: A sudden stratospheric warming compendium. *Earth Syst. Sci. Data*, **9 (1)**, 63.



Calvo, N., et al., 2017: Northern hemisphere stratospheric pathway of different el niño flavors in stratosphere-resolving cmip5 models. *J. Climate*, **30 (12)**, 4351–4371.



Christiansen, B., 1999: Stratospheric vacillations in a general circulation model. *J. Atmos. Sci.*, **56 (12)**, 1858–1872.



Domeisen, D. I., A. H. Butler, K. Fröhlich, M. Bittner, W. A. Müller, and J. Baehr, 2015: Seasonal predictability over europe arising from el niño and stratospheric variability in the mpi-esm seasonal prediction system. *J. Climate*, **28 (1)**, 256–271.



Domeisen, D. I., L. Sun, and G. Chen, 2013: The role of synoptic eddies in the tropospheric response to stratospheric variability. *Geophys. Res. Lett.*, **40 (18)**, 4933–4937.



Hartley, D. E., J. T. Villarín, R. X. Black, and C. A. Davis, 1998: A new perspective on the dynamical link between the stratosphere and troposphere. *Nature*, **391 (6666)**, 471.



Haynes, P., M. McIntyre, T. Shepherd, C. Marks, and K. P. Shine, 1991: On the “downward control” of extratropical diabatic circulations by eddy-induced mean zonal forces. *J. Atmos. Sci.*, **48** (4), 651–678.



Hitchcock, P. and I. R. Simpson, 2014: The downward influence of stratospheric sudden warmings. *J. Atmos. Sci.*, **71** (10), 3856–3876.



Holton, J. R. and C. Mass, 1976: Stratospheric vacillation cycles. *J. Atmos. Sci.*, **33**, 2218–2225.



Jung, T., F. Vitart, L. Ferranti, and J.-J. Morcrette, 2011: Origin and predictability of the extreme negative nao winter of 2009/10. *Geophys. Res. Lett.*, **38** (7).



Kiladis, G. N., 1998: Observations of Rossby waves linked to convection over the eastern tropical Pacific. *J. Atmos. Sci.*, **55** (3), 321–339.



Matthewman, N. J., J. G. Esler, A. J. Charlton-Perez, and L. Polvani, 2009: A new look at stratospheric sudden warmings. Part III: Polar vortex evolution and vertical structure. *J. Climate*, **22** (6), 1566–1585.



Nath, D., S. Sridharan, S. Sathishkumar, S. Gurubaran, and W. Chen, 2013: Lower stratospheric gravity wave activity over Gadanki ( $13.5^{\circ}$  N,  $79.2^{\circ}$  E) during the stratospheric sudden warming of 2009: Link with potential vorticity intrusion near Indian sector. *J. Atmos. Sol.-Terr. Phy.*, **94**, 54–64.



Perlwitz, J. and N. Harnik, 2003: Observational evidence of a stratospheric influence on the troposphere by planetary wave reflection. *J. Climate*, **16** (18), 3011–3026.



Polvani, L. M., L. Sun, A. H. Butler, J. H. Richter, and C. Deser, 2017: Distinguishing stratospheric sudden warmings from enso as key drivers of wintertime climate variability over the north atlantic and eurasia. *J. Climate*, **30** (6), 1959–1969.



Sardeshmukh, P. D. and B. J. Hoskins, 1988: The generation of global rotational flow by steady idealized tropical divergence. *J. Atmos. Sci.*, **45** (7), 1228–1251.





Song, Y. and W. A. Robinson, 2004: Dynamical mechanisms for stratospheric influences on the troposphere. *J. Atmos. Sci.*, **61** (14), 1711–1725.



Webster, P. J., 1983: Large-scale structure of the tropical atmosphere. *Large-Scale Dynamical Processes in the Atmosphere*, B. J. Hoskins and R. P. Pearce, Eds., Academic Press, 397 pp.