



The Abdus Salam
**International Centre
for Theoretical Physics**

**School on Synchrotron and Free-Electron-Laser
Based Methods: Multidisciplinary Applications and
Perspectives | (smr 3202) | 7-18 May 2018**

High resolution RIXS: introduction and applications to strongly correlated systems



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9 May, 2018

Summary



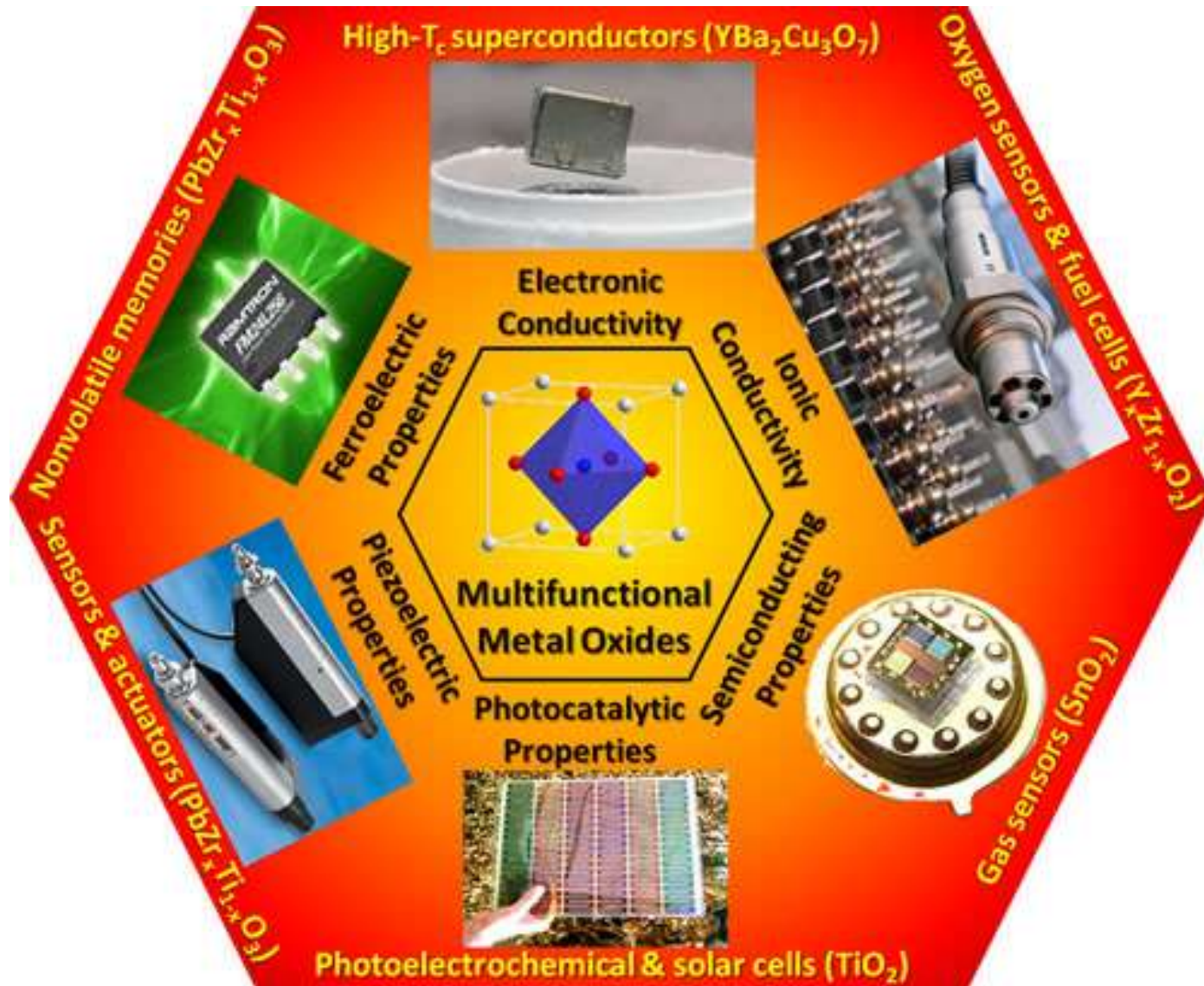
POLITECNICO
MILANO 1863



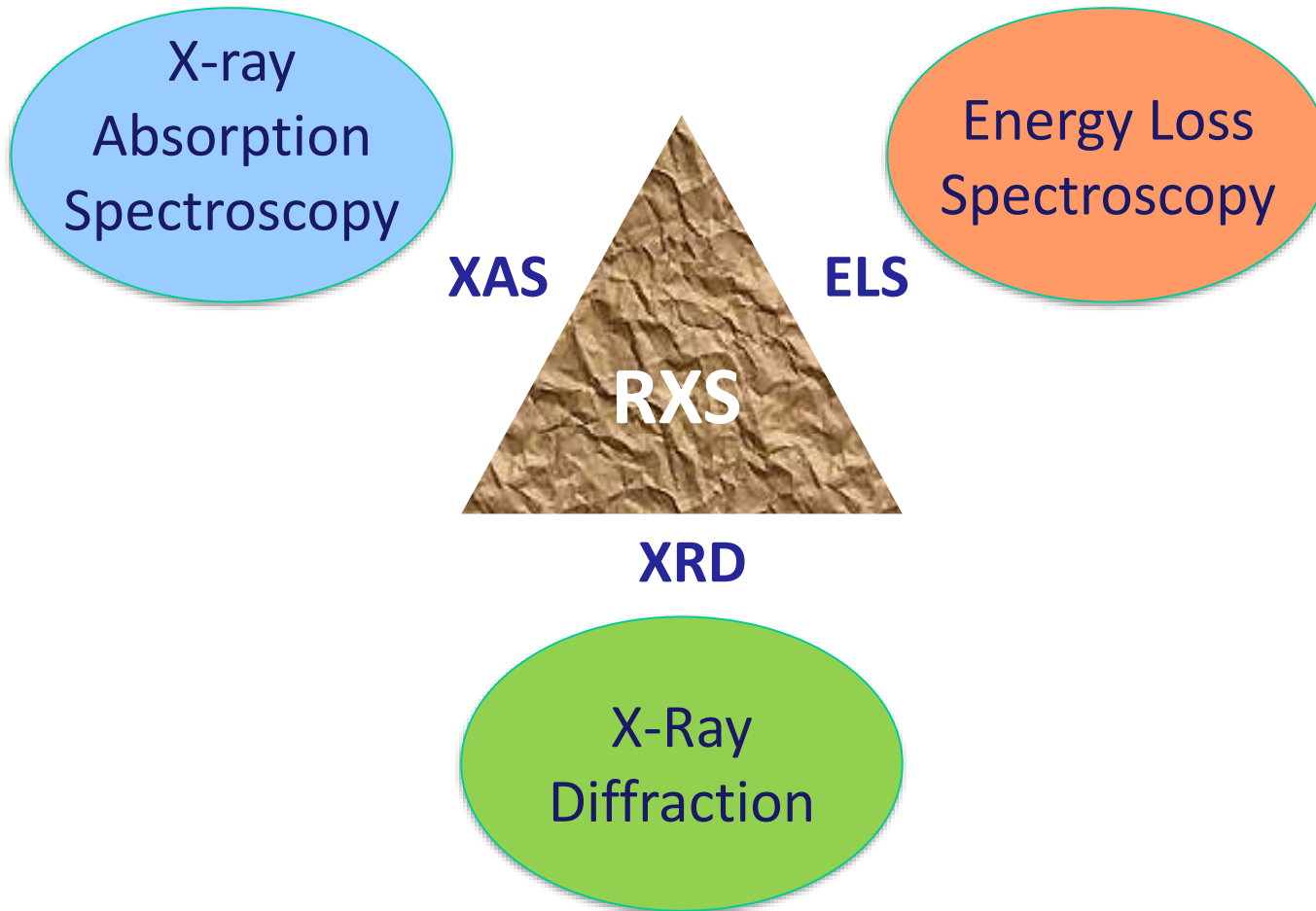
- ~ Introducing resonant x-ray inelastic scattering
- ~ *dd* excitations
- ~ Cu L_3 RIXS and spin excitations in cuprates



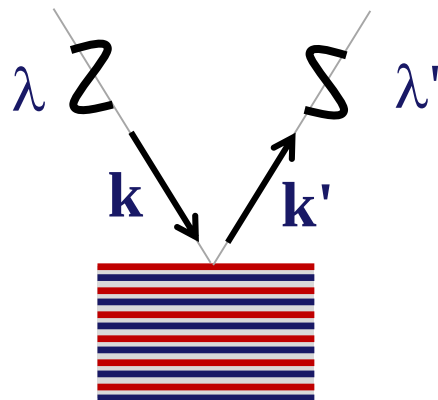
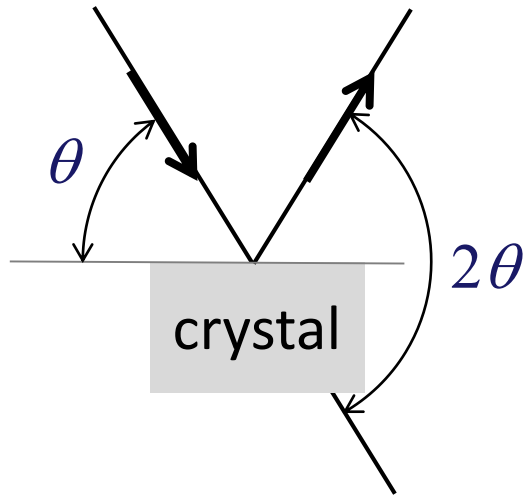
Transition metal oxides



Introduction to Resonant X-ray Scattering



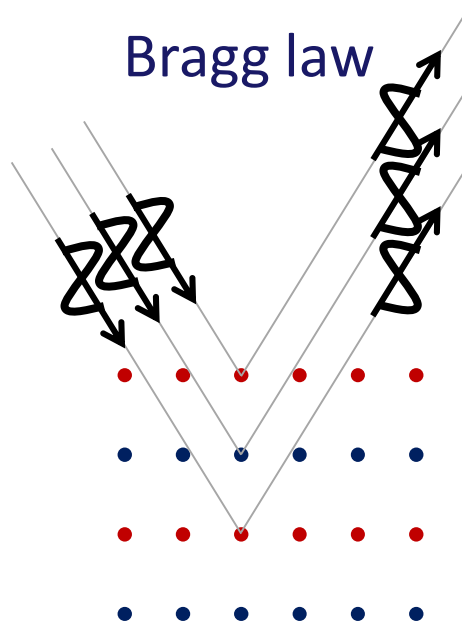
From XRD to X-ray Scattering



$$|\mathbf{k}'| = |\mathbf{k}|$$

$$\lambda = \lambda'$$

Real space
Bragg law

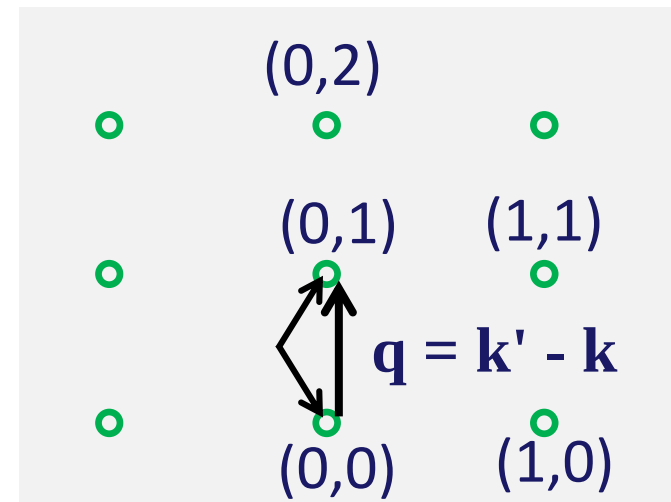


$$|\mathbf{k}'| = |\mathbf{k}|$$

$$\lambda = \lambda'$$

$$\mathbf{q} = \mathbf{G}$$

Reciprocal lattice
Laue condition: $\mathbf{q} = \mathbf{G}$

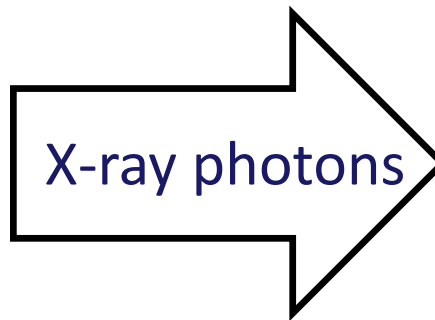
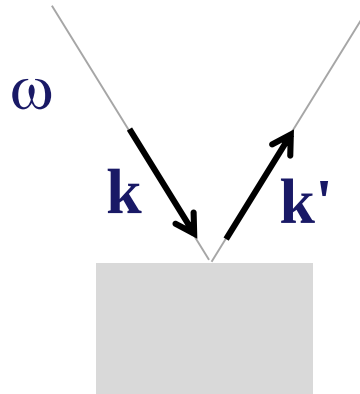
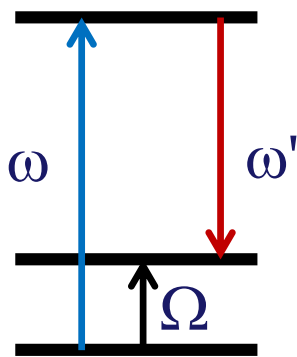


ELS: from Raman to Inelastic X-ray Scattering

Energy Loss Spectroscopy

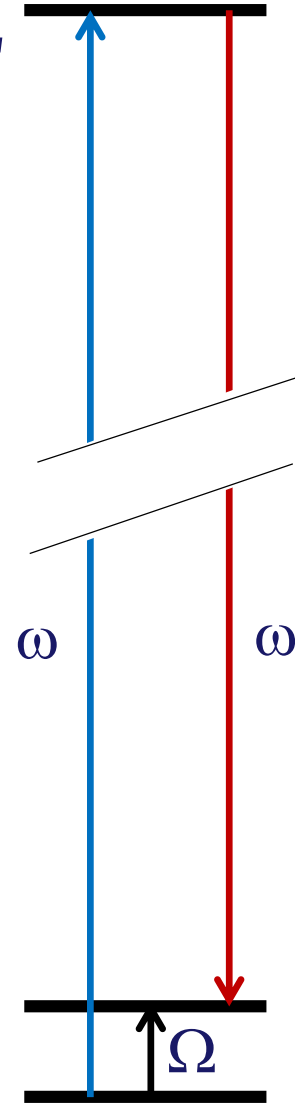
Raman
light scattering

$$k \approx 0, q \approx 0, \\ \Omega = \omega - \omega'$$



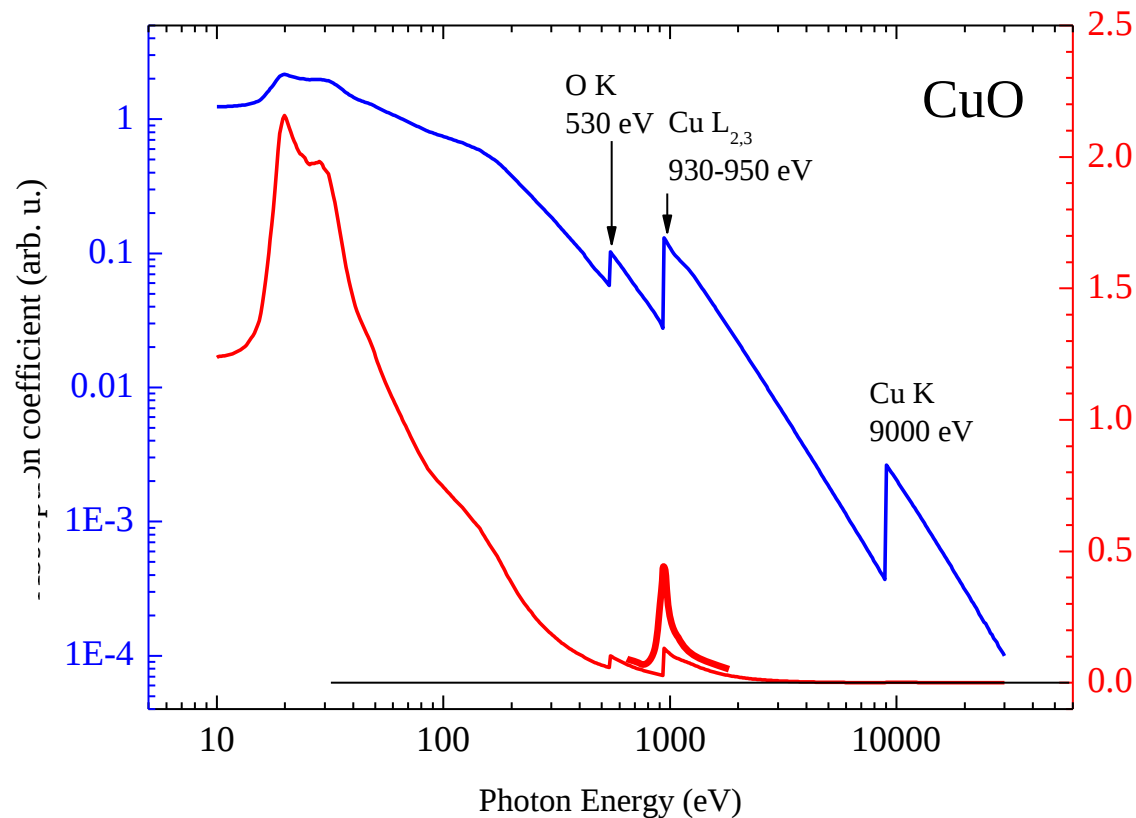
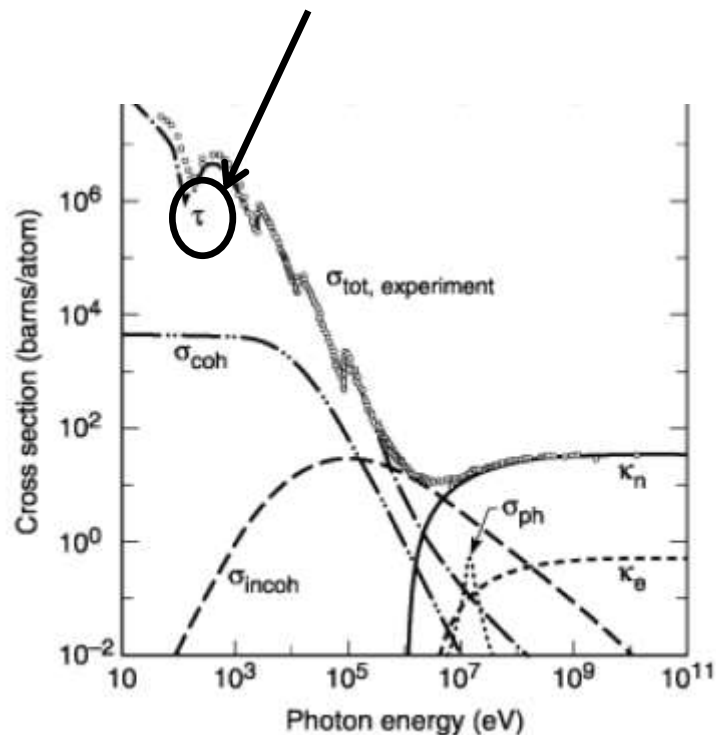
Inelastic
X-ray
Scattering

$$\Omega = \omega - \omega' \\ \mathbf{q} = \mathbf{k}' - \mathbf{k}$$



Resonant X-ray Absorption

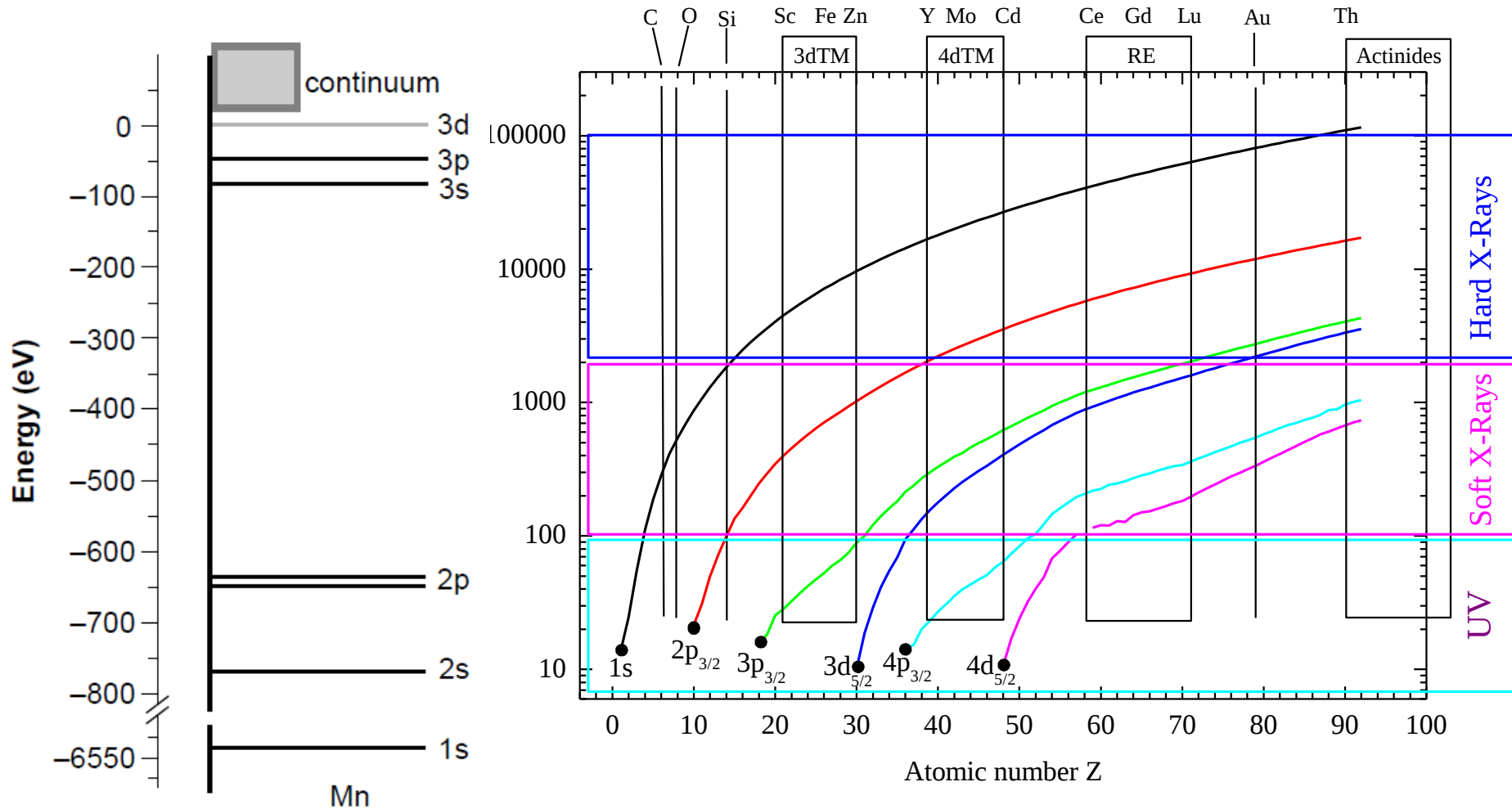
Photoelectric effect dominates x-ray absorption below 100,000 eV



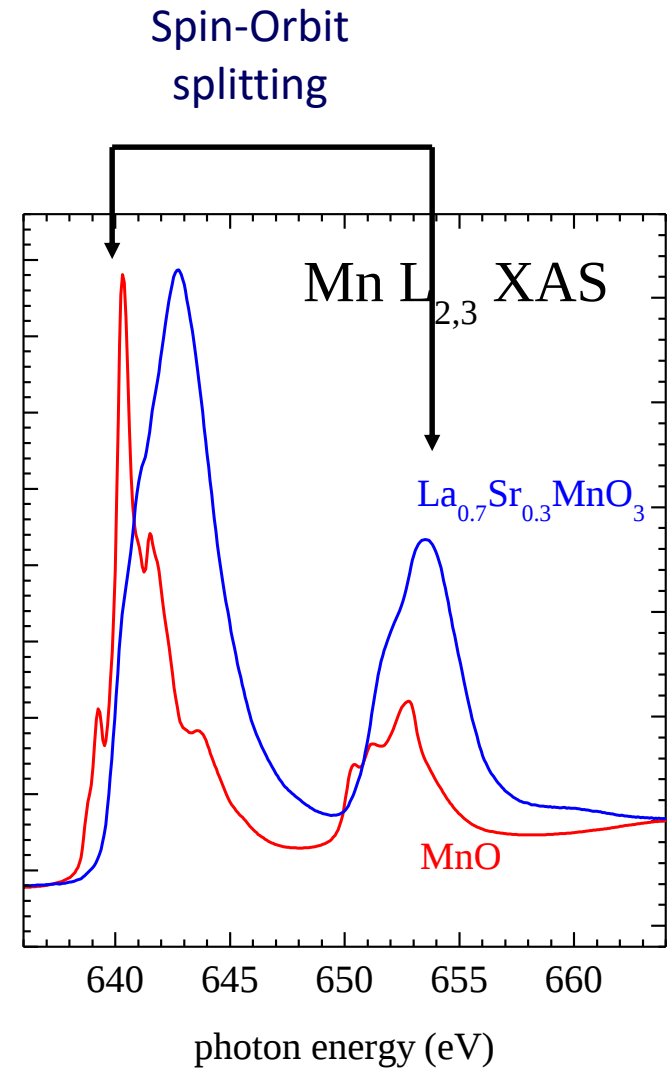
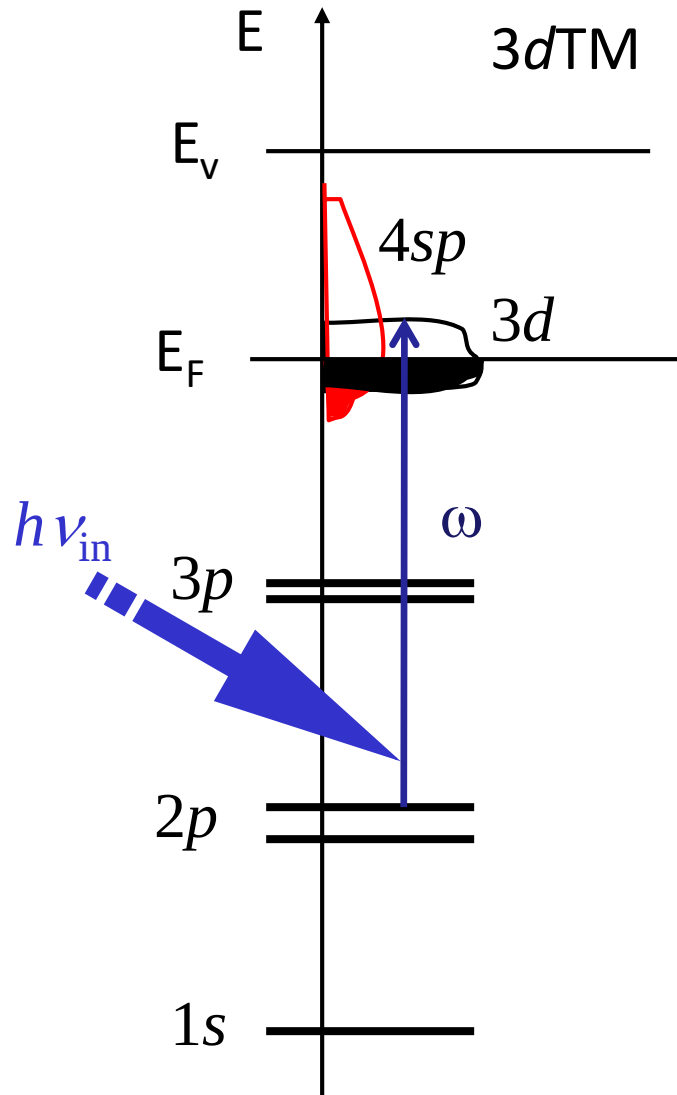
Edges are univocally element specific

And often are dressed with a strong resonance

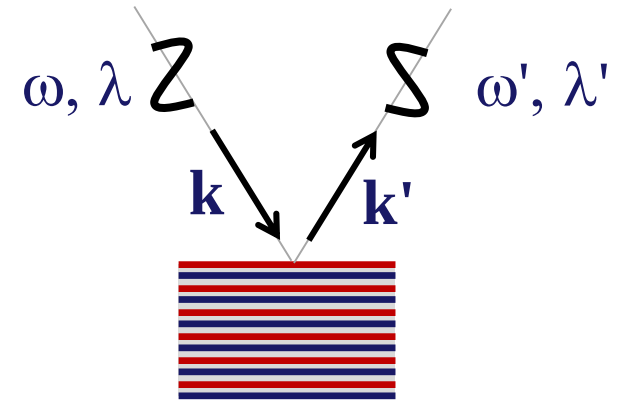
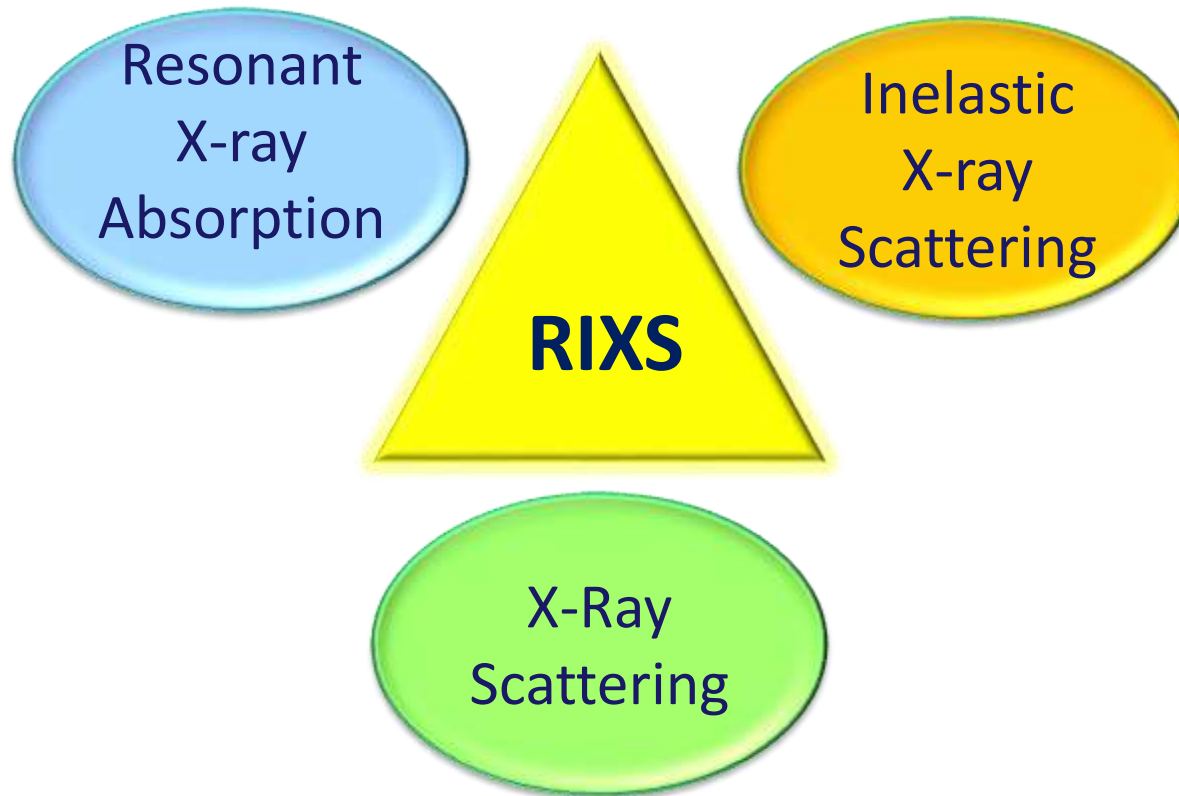
Core level binding energies and edges



XAS of 3d transition metals



Resonant Inelastic X-ray Scattering

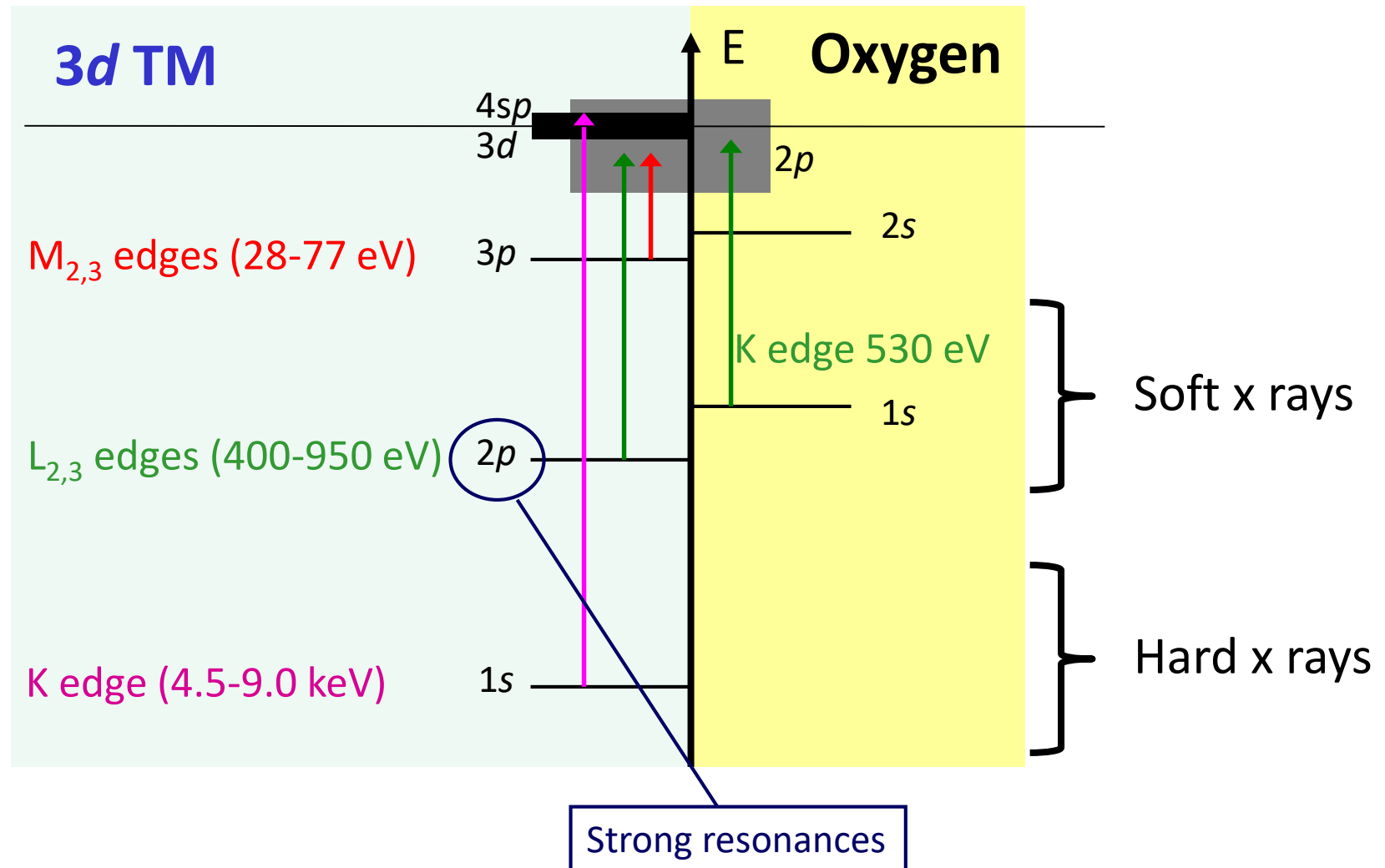


$$\Omega = \omega - \omega'$$

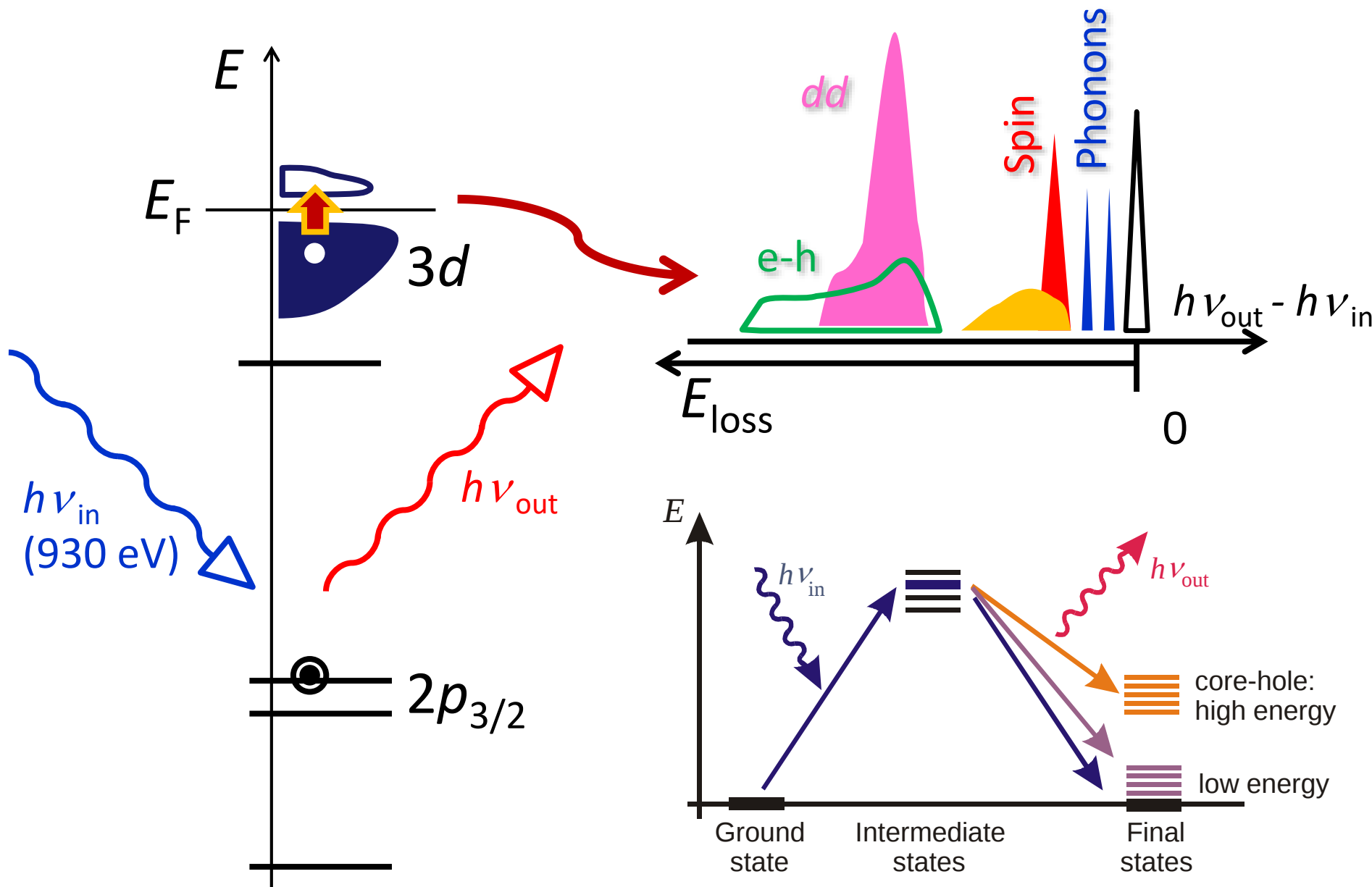
$$\mathbf{q} = \mathbf{k}' - \mathbf{k}$$

The choice of the resonance: $2p \rightarrow 3d$, L_3 edge

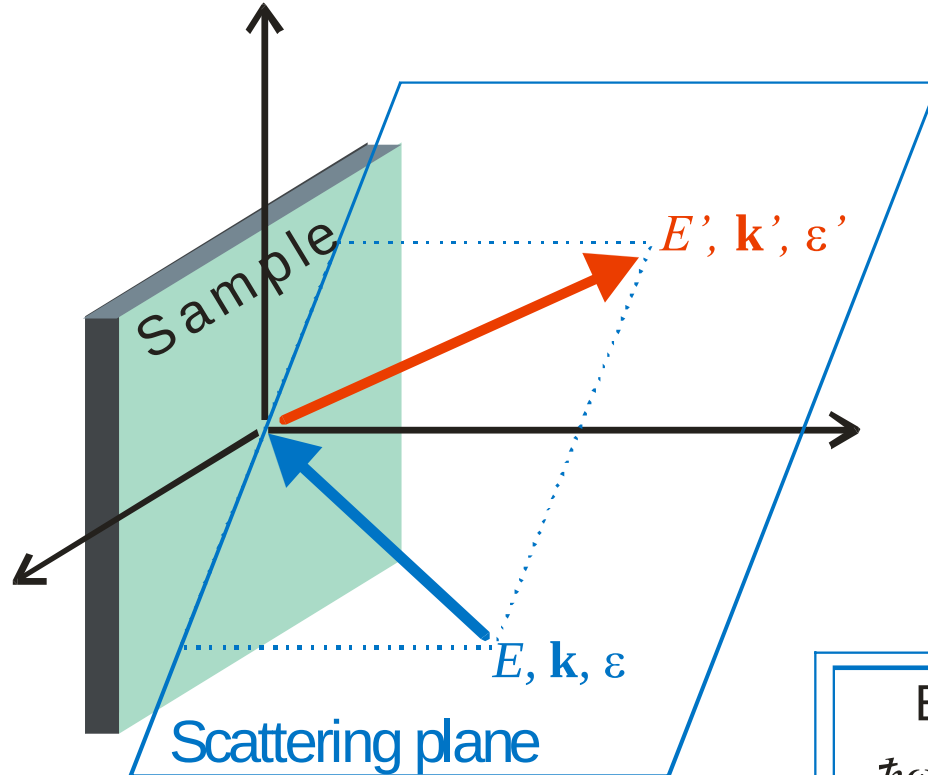
3d Transition Metal oxides: a lucky coincidence for soft x-rays



L₃ RIXS



L edge RIXS : energy and momentum transfer



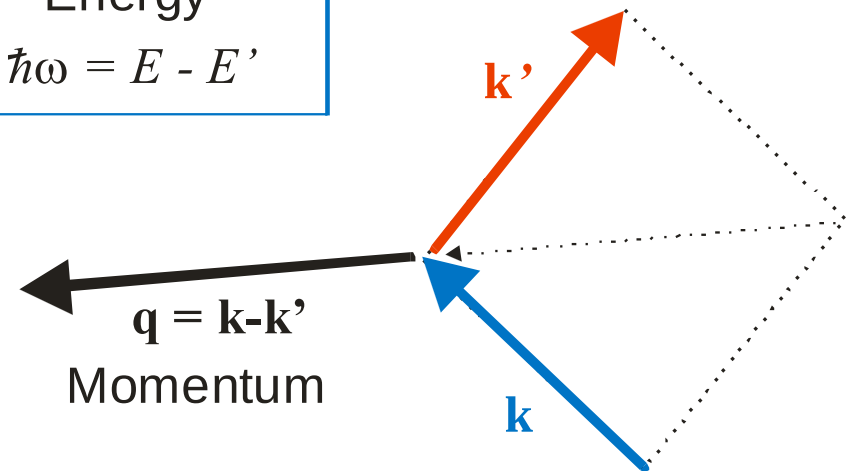
Resonant Inelastic X-ray Scattering:

- an energy loss experiment
- made with photons of high energy
- at a core absorption resonance

Conservation laws:

- Energy
- Momentum
- "Angular momentum"

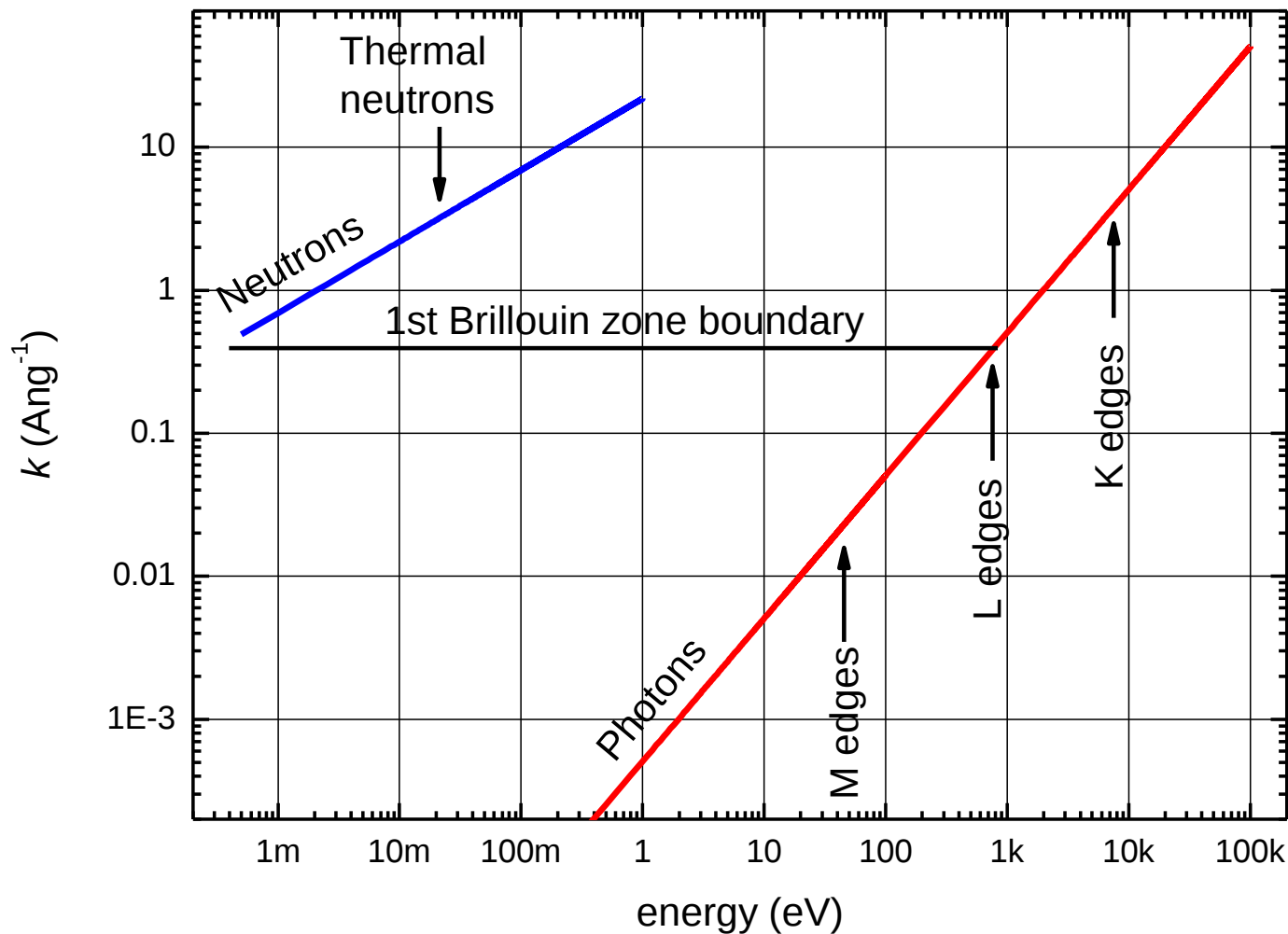
Energy
 $\hbar\omega = E - E'$



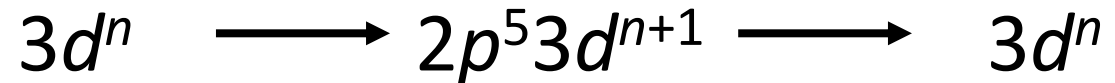
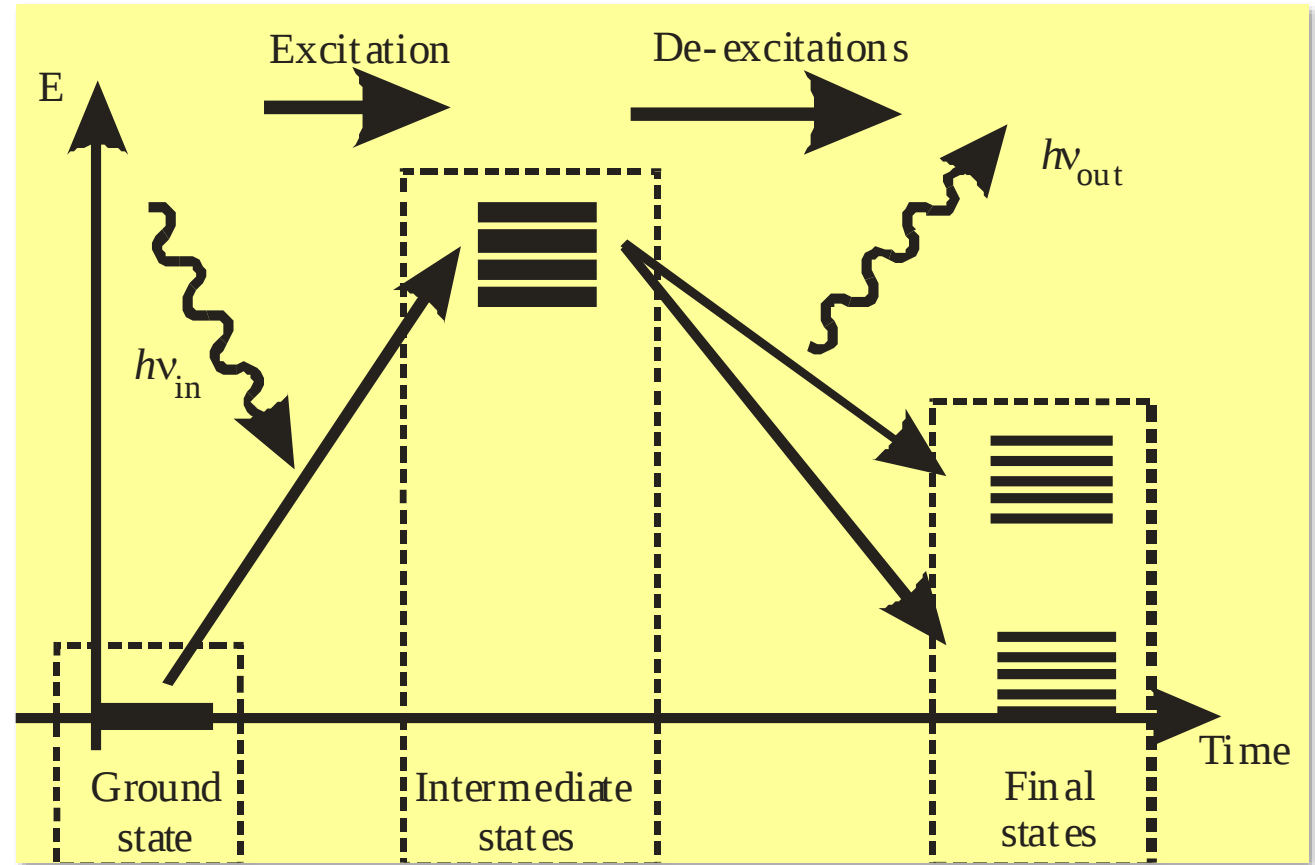
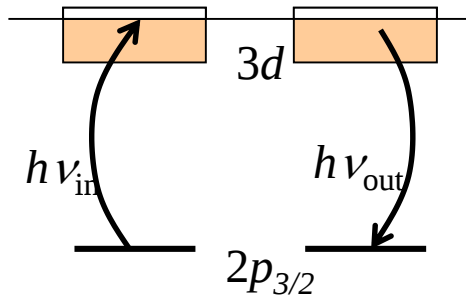
Photon momentum and kinematics

Photons vs Neutrons: energy and momentum

Wavevector of particles used in inelastic scattering



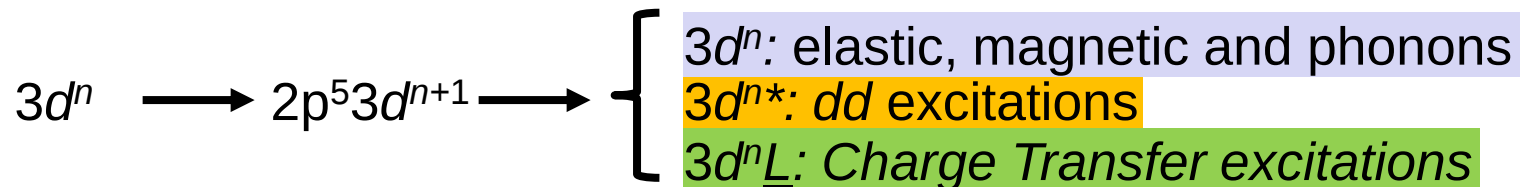
$L_{2,3}$ edge RIXS: intermediate and final states



The potential of soft RIXS (for 3dTM systems)

Site selective,
 q resolved probe of
elementary excitations

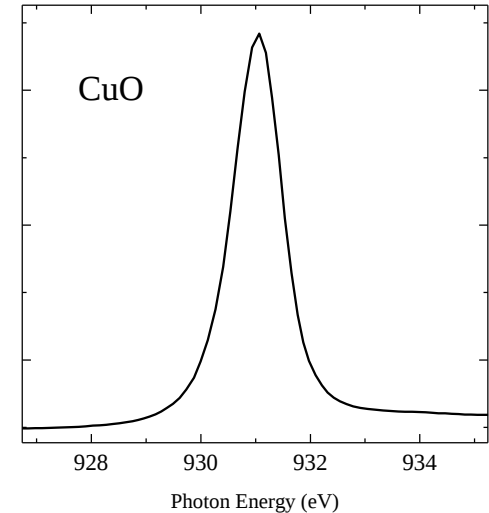
- charge excitations across the gap
- dd excitations
- magnetic excitations
- phonons



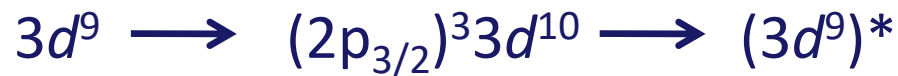
Cuprates: the “easy” case

In cuprates Cu is divalent: $\text{Cu}^{2+} \leftrightarrow 3d^9$

This makes XAS almost trivial: 1 peak only

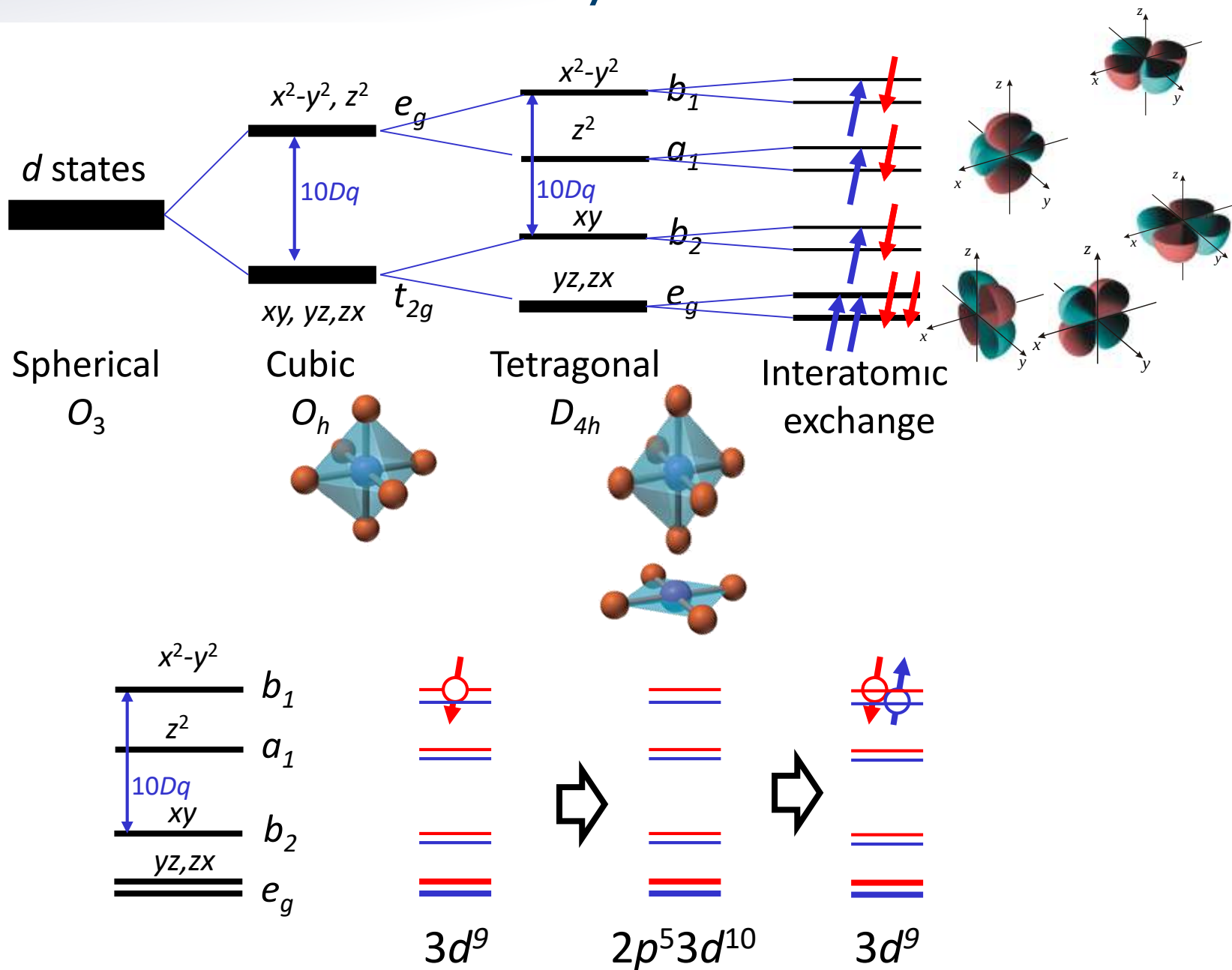


RIXS can be calculated even by hand:

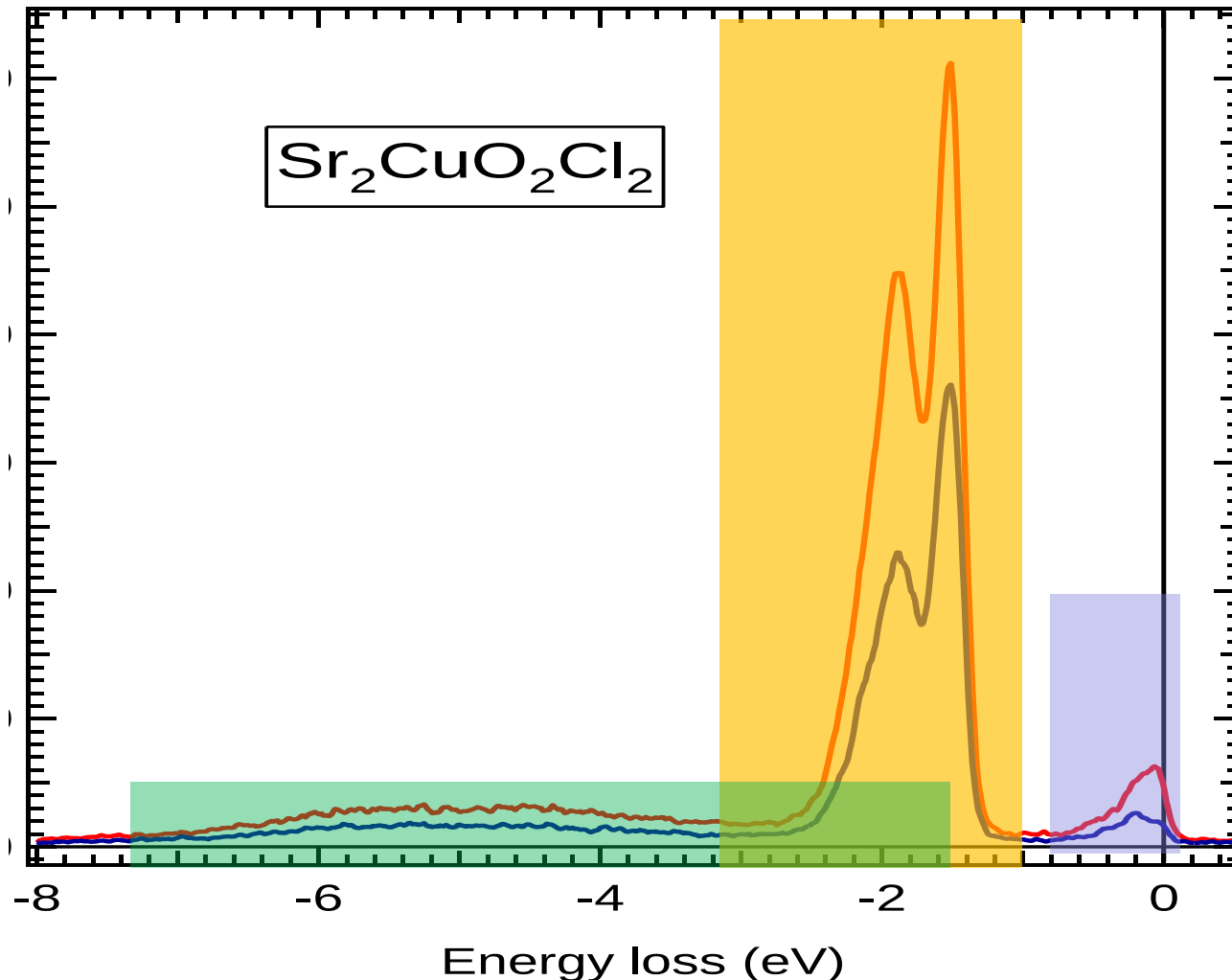
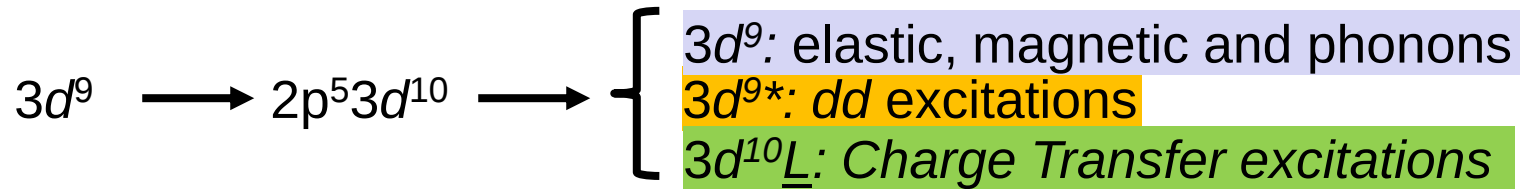


Even for magnetic excitations (spin waves),
because fast collision approximation is a very
good approximation

dd excitations in Cu^{2+} systems



Cu L₃ RIXS of cuprates: mainly *dd* excitations

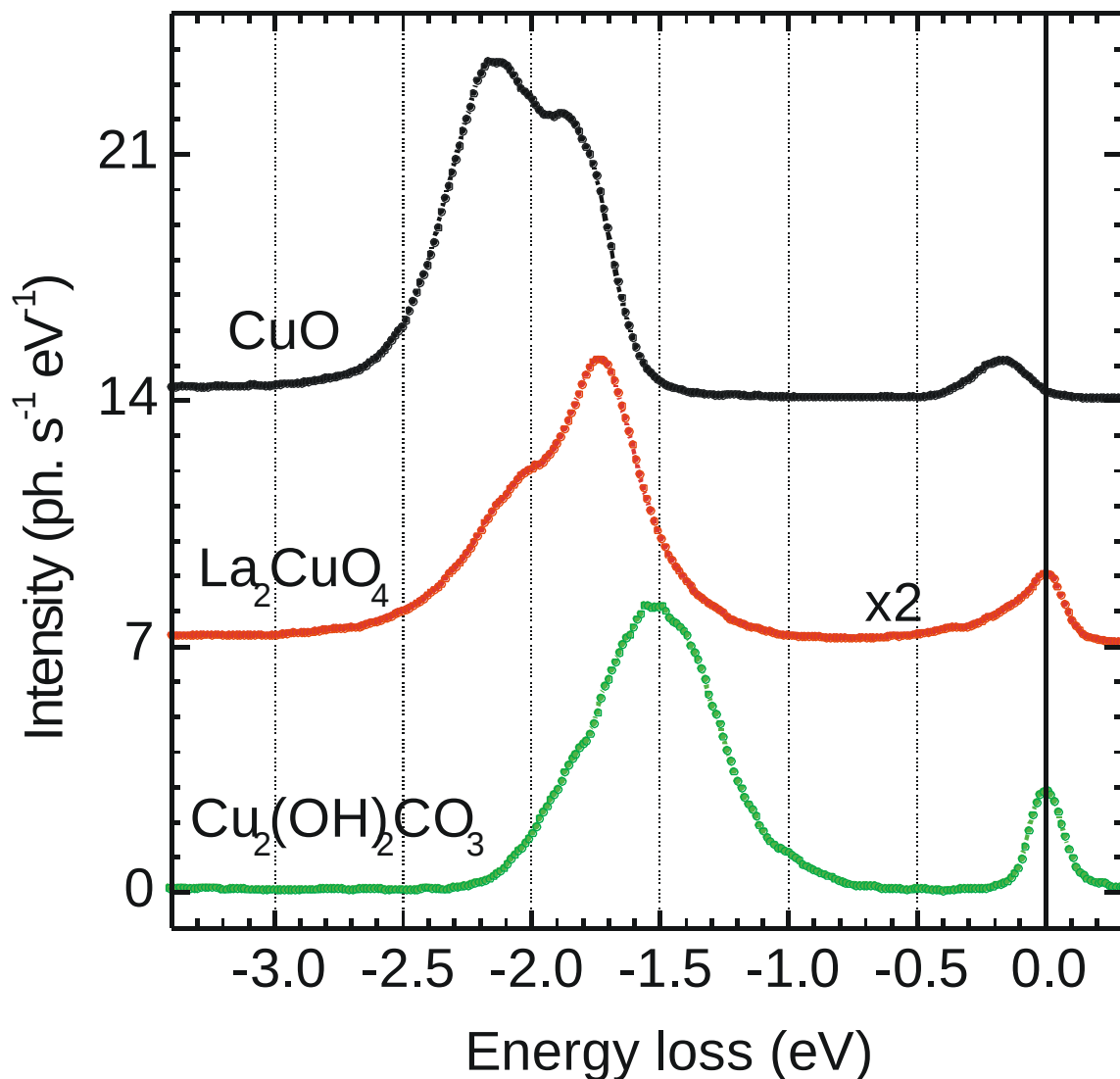


All final states are reached via 2 electric dipole allowed transitions!

Photons get coupled to electrons spin thanks to $2p$ spin-orbit interaction

At L₃ edge elastic peak is very small (not the case at K)

Cu L₃ edge: CuO, La₂CuO₄, Malachite



Cu²⁺ in square
approximately
planar coordination

Cu-O distances:
CuO 1.7 – 2.2 Å
LCO 1.9 – 2.4 Å
Malachite 1.9 – 2.6 Å

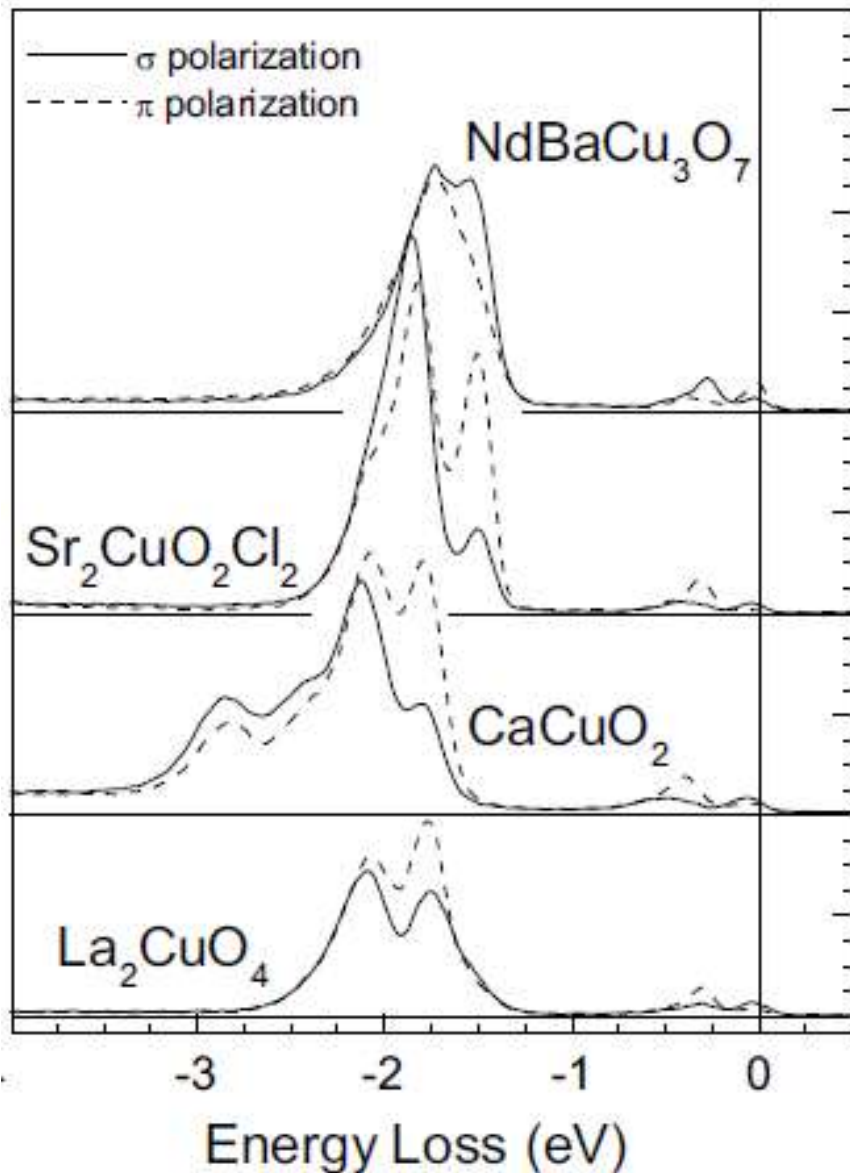
Different Cu²⁺
coordination,
symmetry,
hybridization



Different *dd* excitations

G. Ghiringhelli, A. Piazzalunga, X. Wang, A. Bendounan, H. Berger, F. Bottegoni, N. Christensen, C. Dallera, M. Grioni, J.-C. Grivel, M. Moretti Sala, L. Patthey, J. Schlappa, T. Schmitt, V. Strocov, and L. Braicovich, Eur.Phys. J. Special topics **169**, 199 (2009)

This is a very direct way of measuring the *dd*-excitation energies

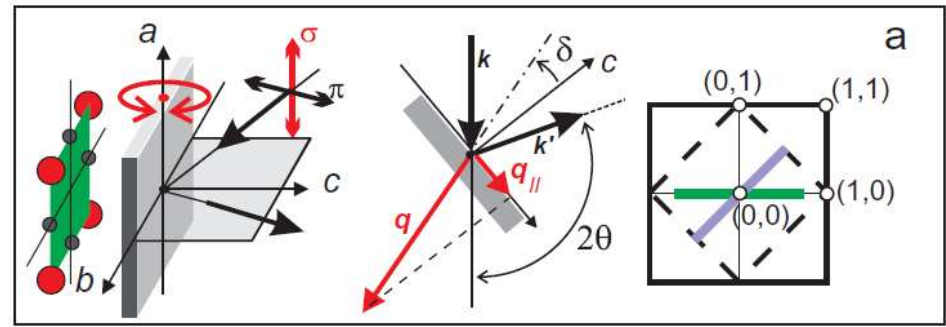


New Journal of Physics

The open-access journal for physics

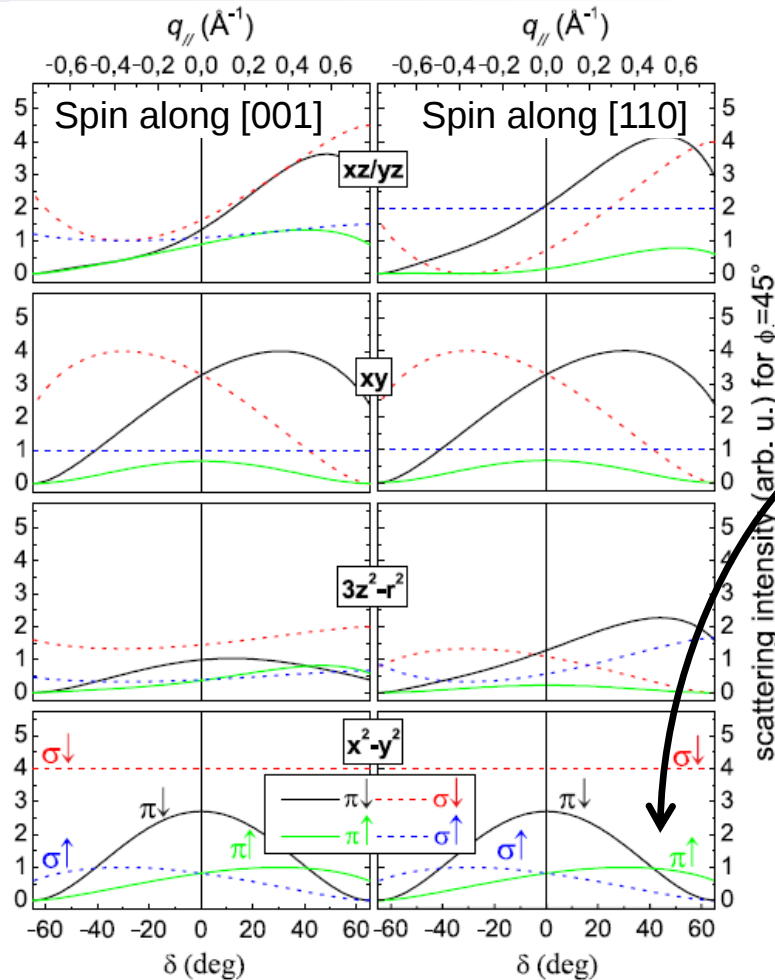
Energy and symmetry of *dd* excitations in undoped layered cuprates measured by Cu L_3 resonant inelastic x-ray scattering

M Moretti Sala^{1,8,9}, V Bisogni^{2,10}, C Aruta³, G Balestrino⁴, H Berger⁵, N B Brookes², G M de Luca³, D Di Castro⁴, M Gironi⁵, M Guarise⁵, P G Medaglia⁴, F Miletto Granozio³, M Minola¹, P Perna³, M Radovic^{3,11}, M Salluzzo³, T Schmitt⁶, K J Zhou⁶, L Braicovich⁷ and G Ghiringhelli⁷



M. Moretti Sala, et al New J. Phys. **13**, 043026 (2011)

dd-excitation energies from fitting using atomic cross sections

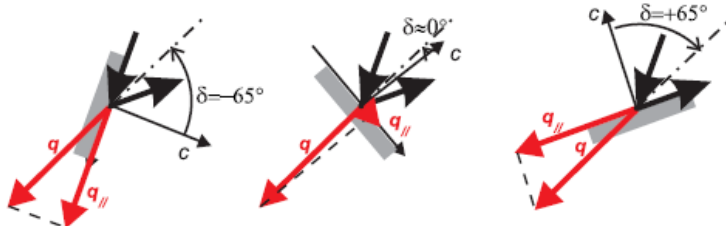
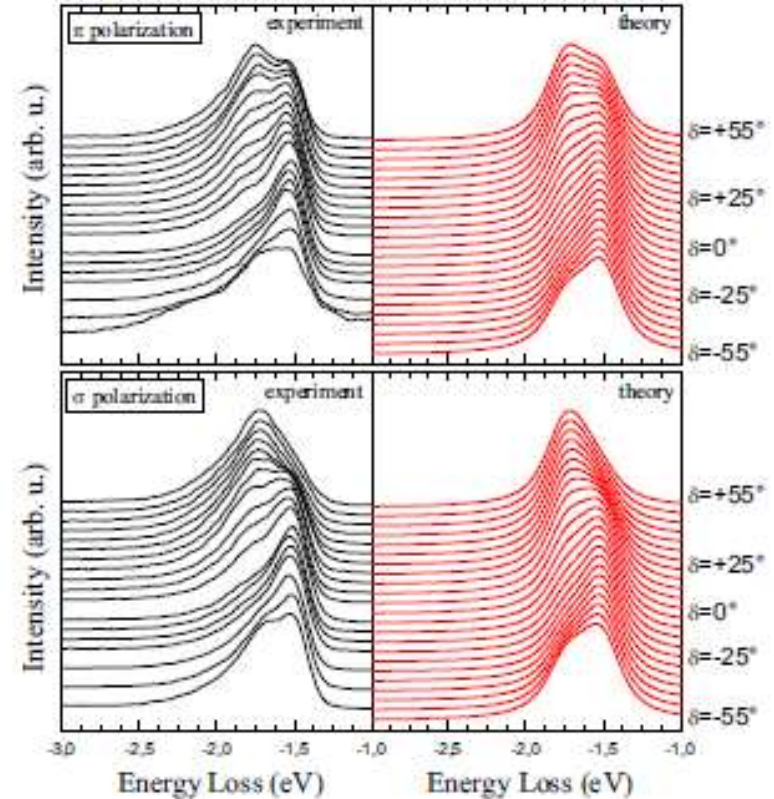


Ground state: $\underline{3d}^{\downarrow} x^2-y^2$

Spin flip: $\underline{3d}^{\uparrow} x^2-y^2$

$F(\theta_{in}, \phi_{in}, \theta_{out}, \phi_{out}, \theta_{spin}, \phi_{spin}, \varepsilon_{in}, \varepsilon_{out})$

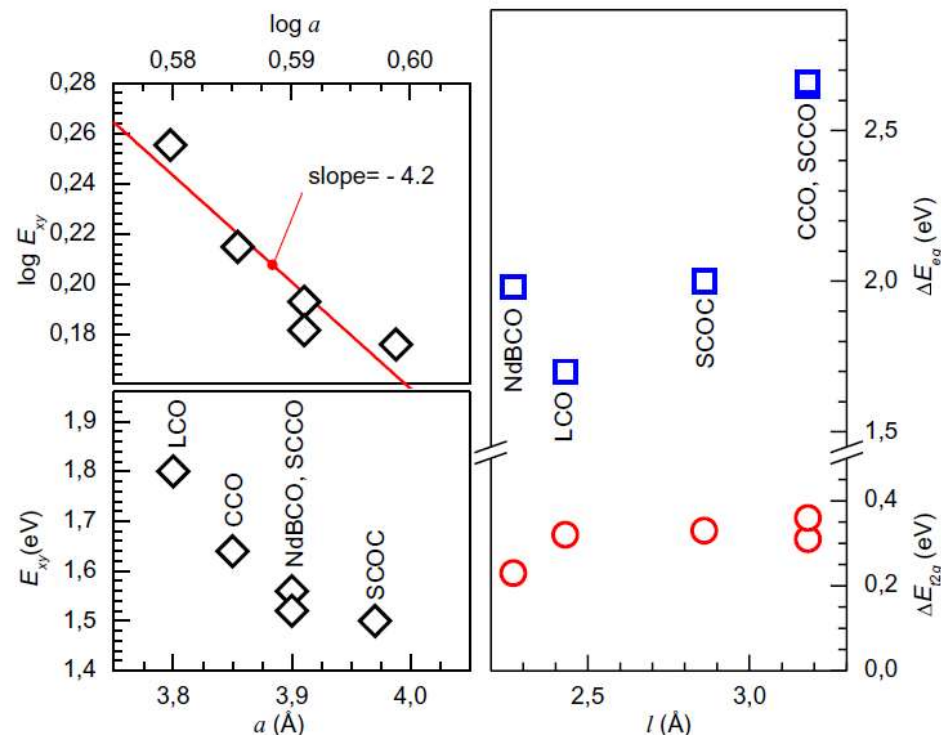
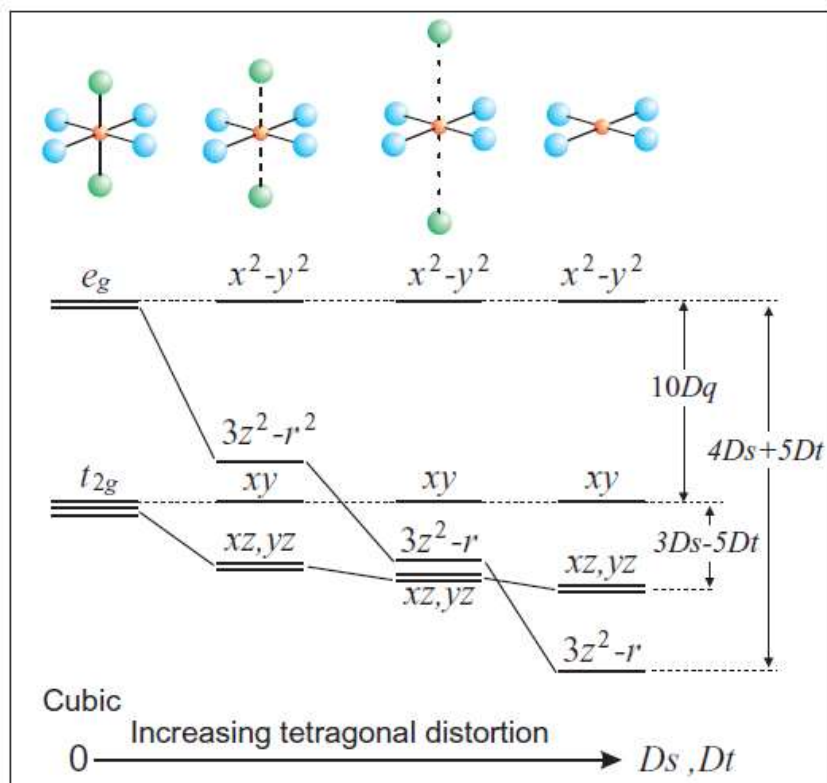
NdBCO



$2\theta=130^\circ$

M. Moretti Sala, et al New J. Phys. **13**, 043026 (2011)

Crystal field trends in cuprates



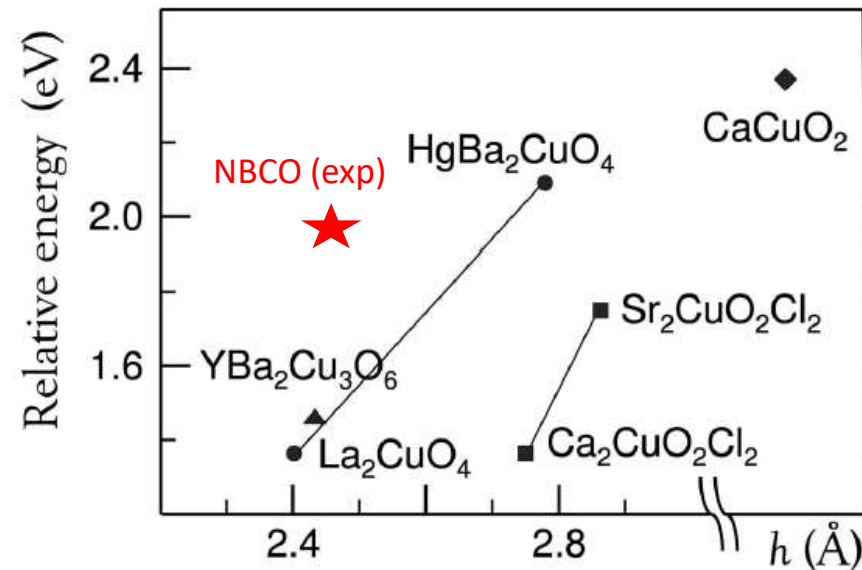
	La_2CuO_4	$\text{Sr}_2\text{CuO}_2\text{Cl}_2$	CaCuO_2
J [meV]	$130^{34,35}$	130^{35}	130^{35}
$E_{3z^2-r^2}$ ($\Gamma_{3z^2-r^2}$) [eV]	1.70 (.14)	1.97 (.10)	2.72 (.12)
E_{xy} (Γ_{xy}) [eV]	1.80 (.10)	1.50 (.08)	1.75 (.09)
$E_{xz/yz}$ ($\Gamma_{xz/yz}$) [eV]	2.12 (.14)	1.84 (.10)	2.10 (.18)

Crystal field trends in cuprates: theory vs experiment

CASSCF: complete-active-space self-consistent-field method

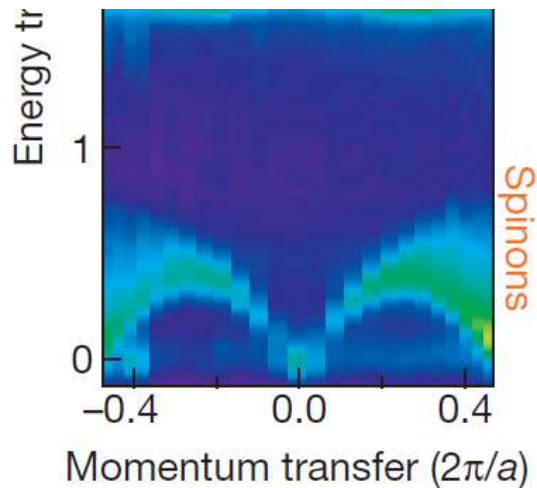
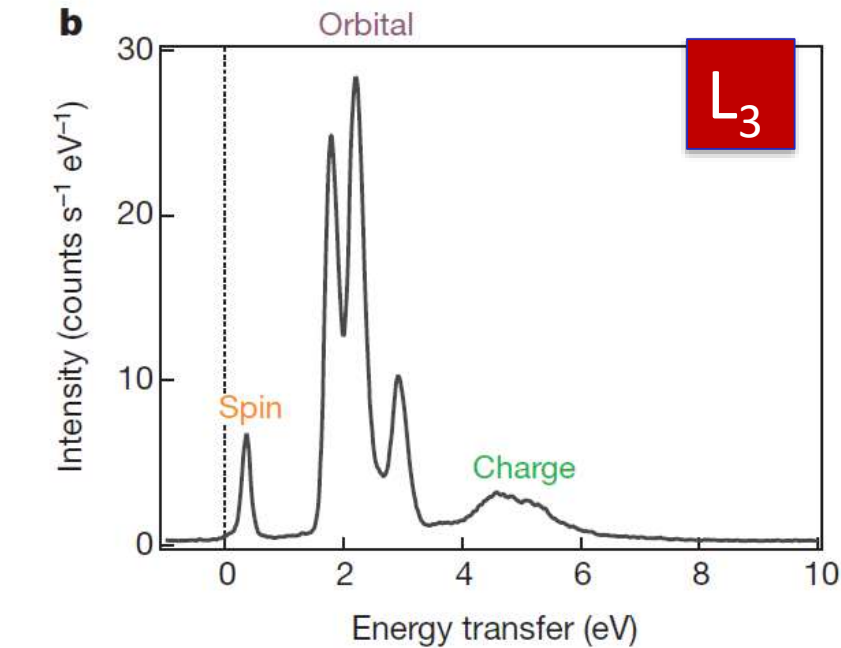
Table 1 | CASSCF+SDCI versus RIXS results for the Cu d -level splittings in La_2CuO_4 , $\text{Sr}_2\text{CuO}_2\text{Cl}_2$, and CaCuO_2 (eV). The ground-state Cu $t_{2g}^6 d_{z^2}^2 d_{x^2-y^2}^1$ configuration is taken as reference. A $2J$ term was here subtracted from each of the RIXS values reported in Ref. [14], see text.

Hole orbital	La_2CuO_4 SDCI/RIXS	$\text{Sr}_2\text{CuO}_2\text{Cl}_2$ SDCI/RIXS	CaCuO_2 SDCI/RIXS
x^2-y^2	0	0	0
z^2	1.37/1.44	1.75/1.71	2.38/2.39
xy	1.43/1.54	1.16/1.24	1.36/1.38
xz, yz	1.78/1.86	1.69/1.58	2.02/1.69

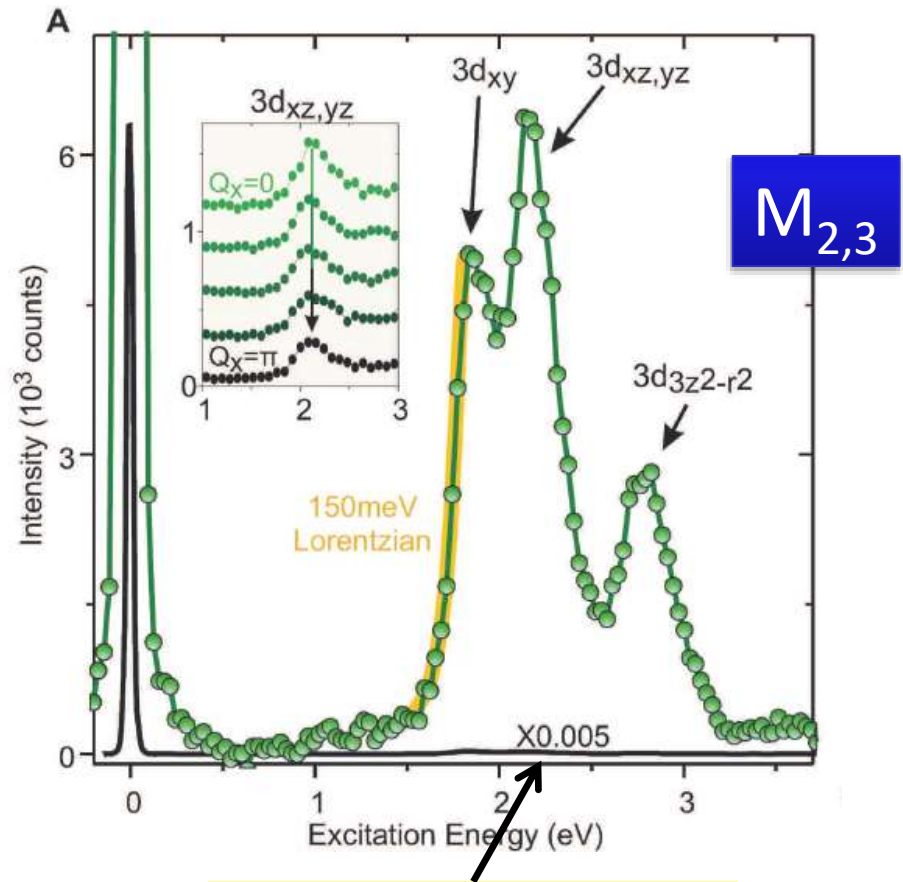


Liviu Hozoi, Liudmila Siurakshina, Peter Fulde & Jeroen van den Brink,
SCIENTIFIC REPORTS 1 : 65 (2011)

dd excitations: Cu L_3 vs $M_{2,3}$ edges

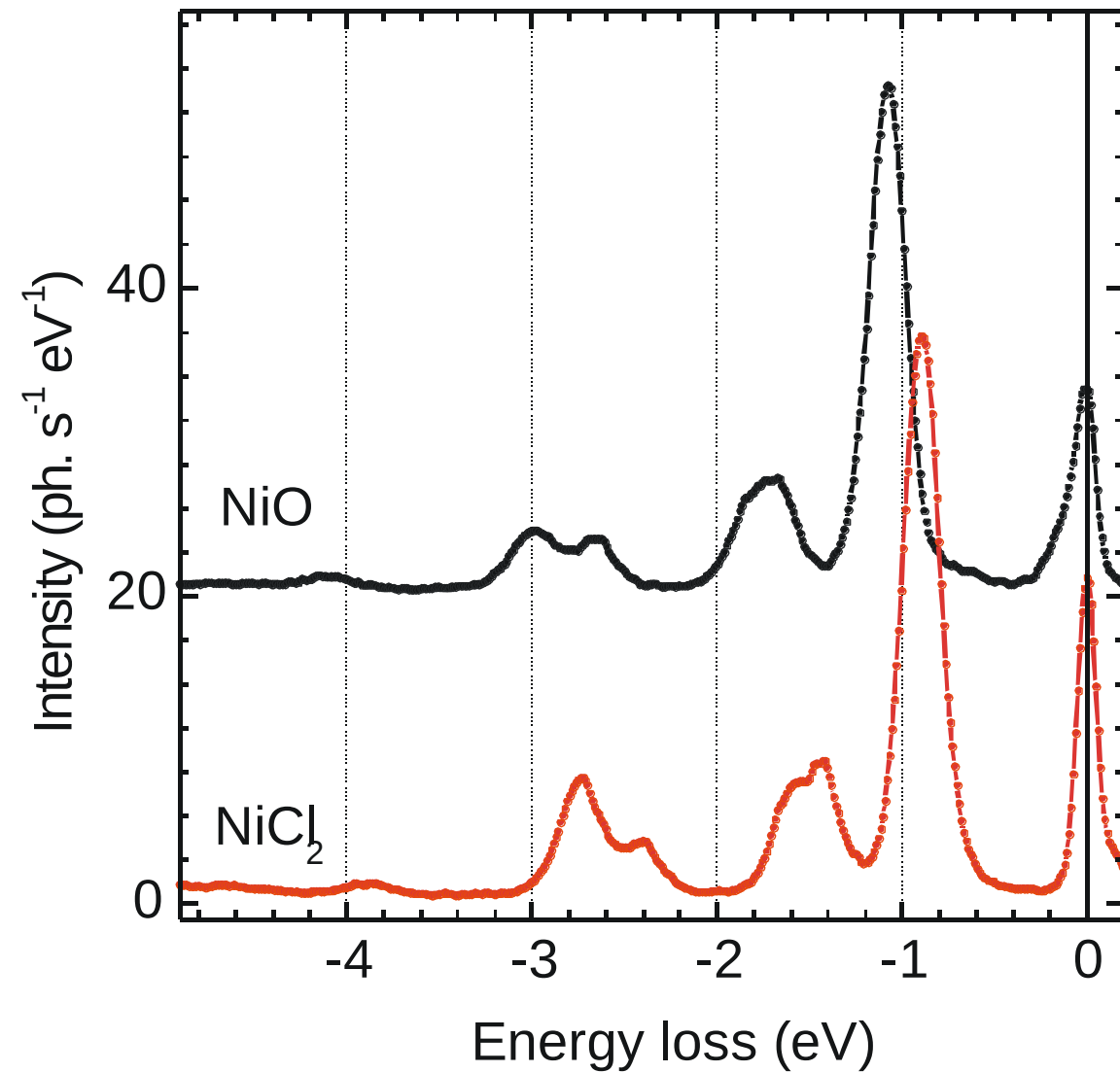


No spin excitations
at Gamma point

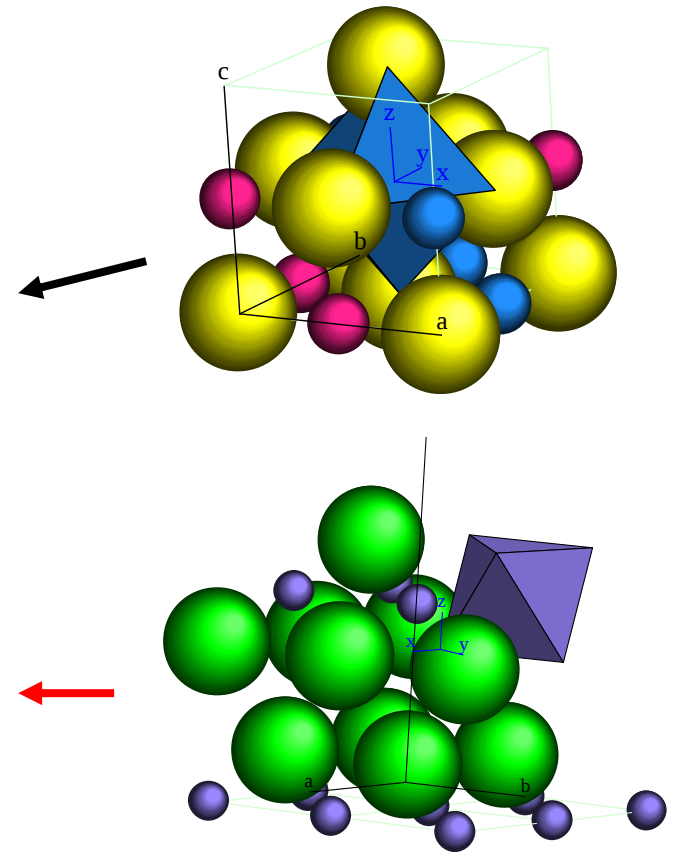


Very weak signal
with respect to
the elastic peak

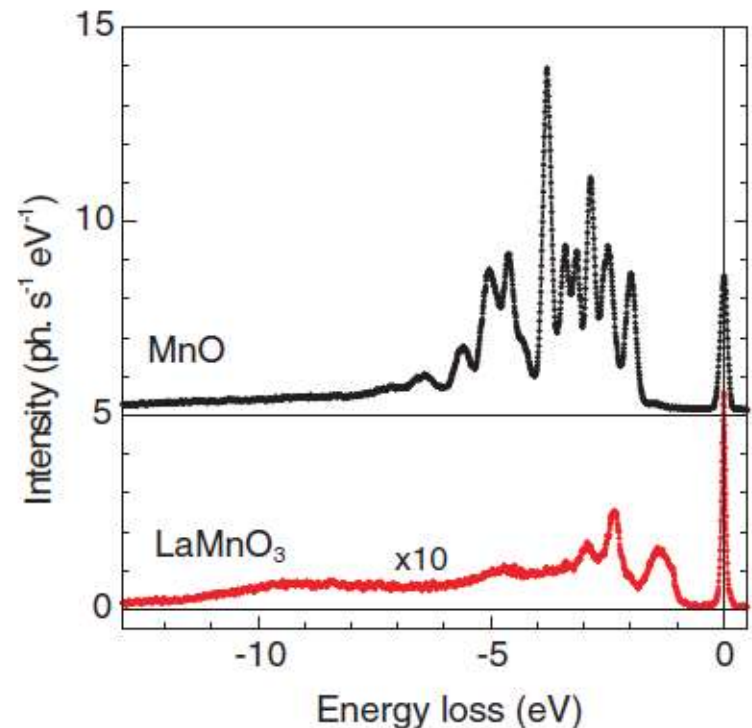
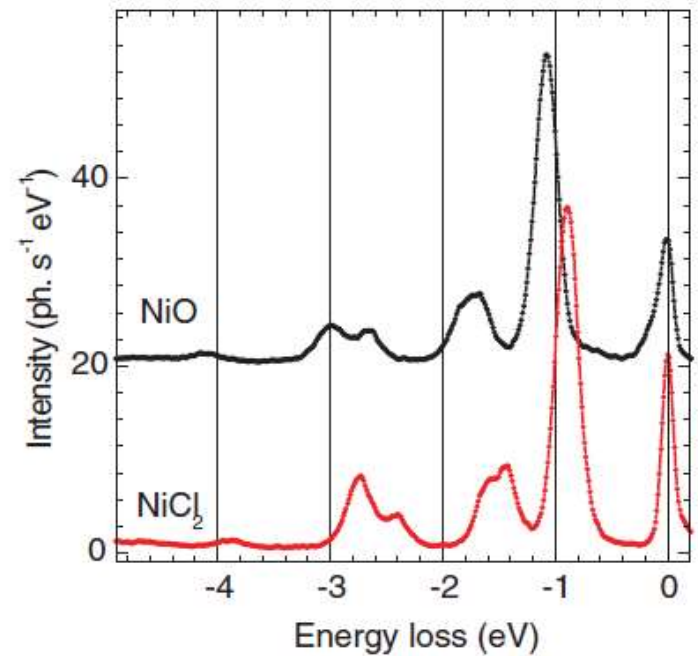
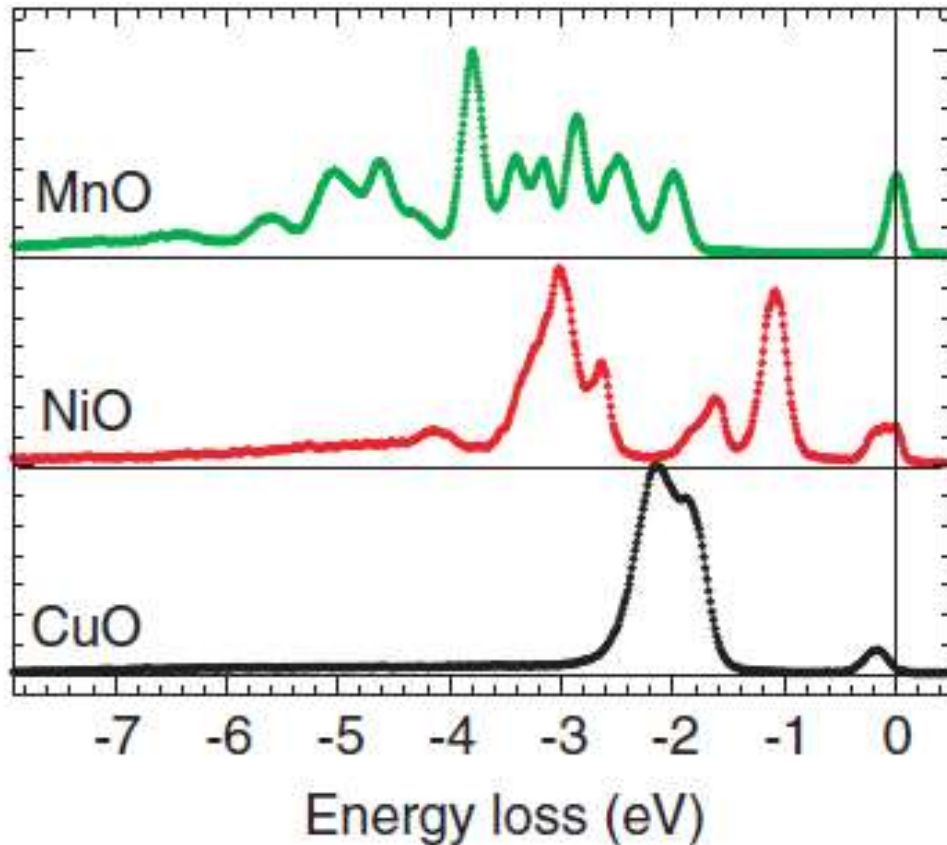
Ni L₃ edge: NiO, NiCl₂



Ni²⁺ (3d⁸) in octahedral coordination

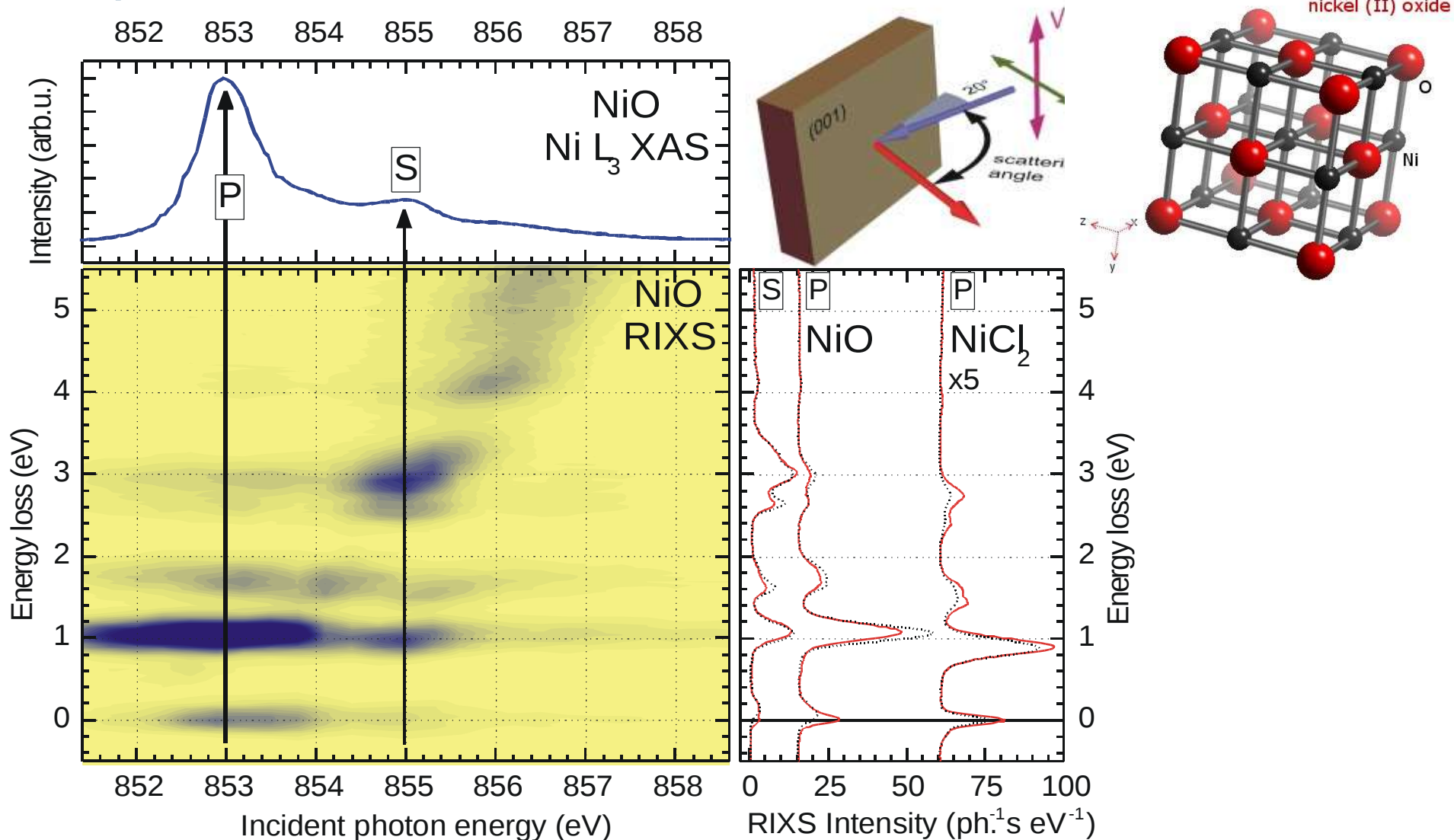


dd and CT excitations in simple oxides



G. Ghiringhelli, A. Piazzalunga, X. Wang, A. Bendounan, H. Berger, F. Bottegoni, N. Christensen, C. Dallera, M. Grioni, J.-C. Grivel, M. Moretti Sala, L. Patthey, J. Schlappa, T. Schmitt, V. Strocov, and L. Braicovich, Eur.Phys. J. Special topics **169**, 199 (2009)

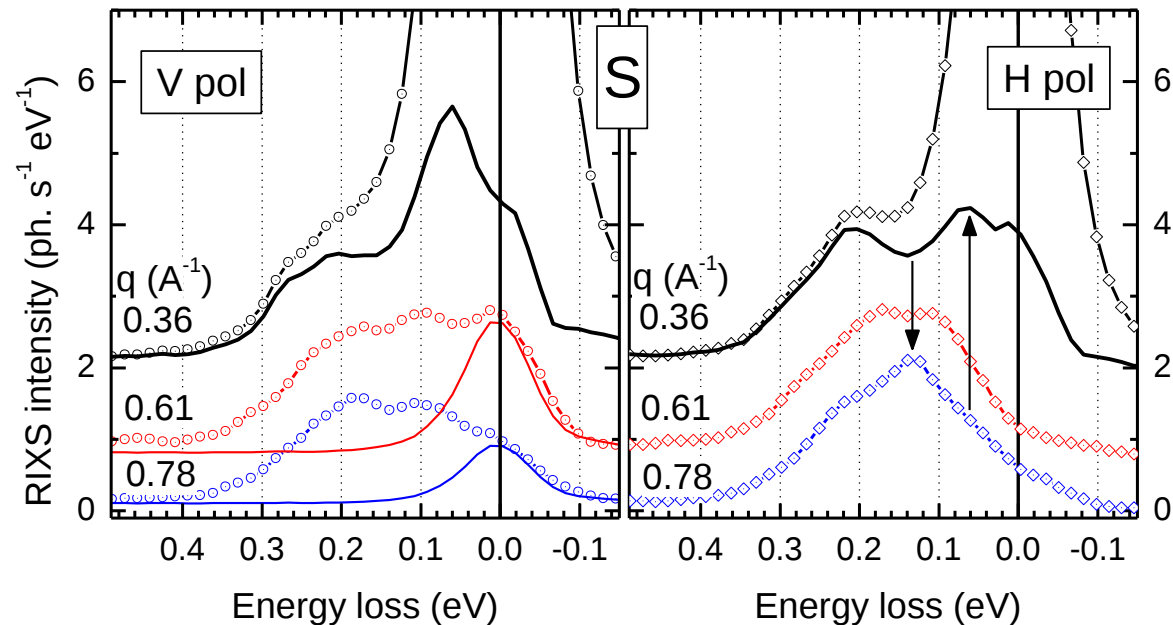
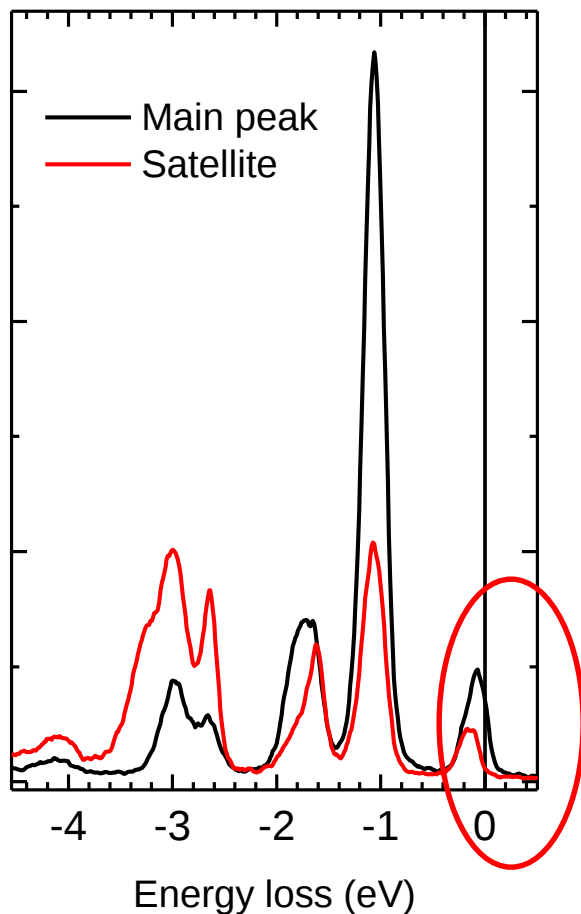
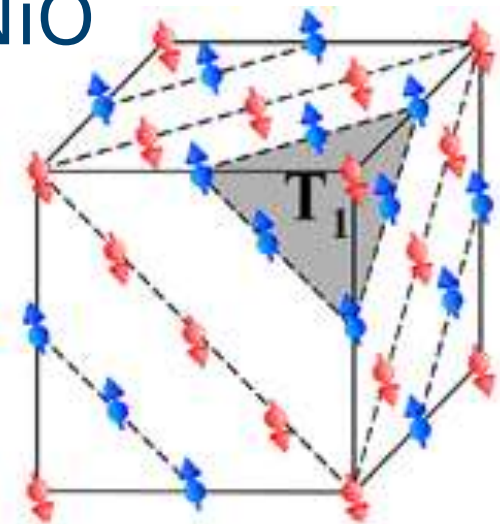
RIXS of NiO: incident photon energy dependence ...



G. Ghiringhelli, A. Piazzalunga, C. Dallera, L. Braicovich, T. Schmitt, V.N. Strocov, J. Schlappa, L. Patthey, X. Wang, H. Berger, and M. Grioni, PRL **102**, 027401 (2009)

... and magnetic excitations in NiO

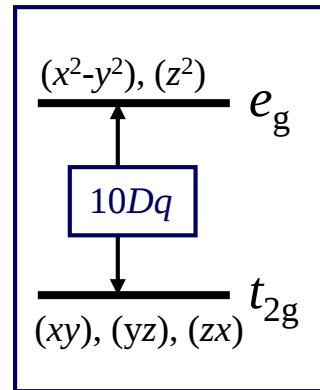
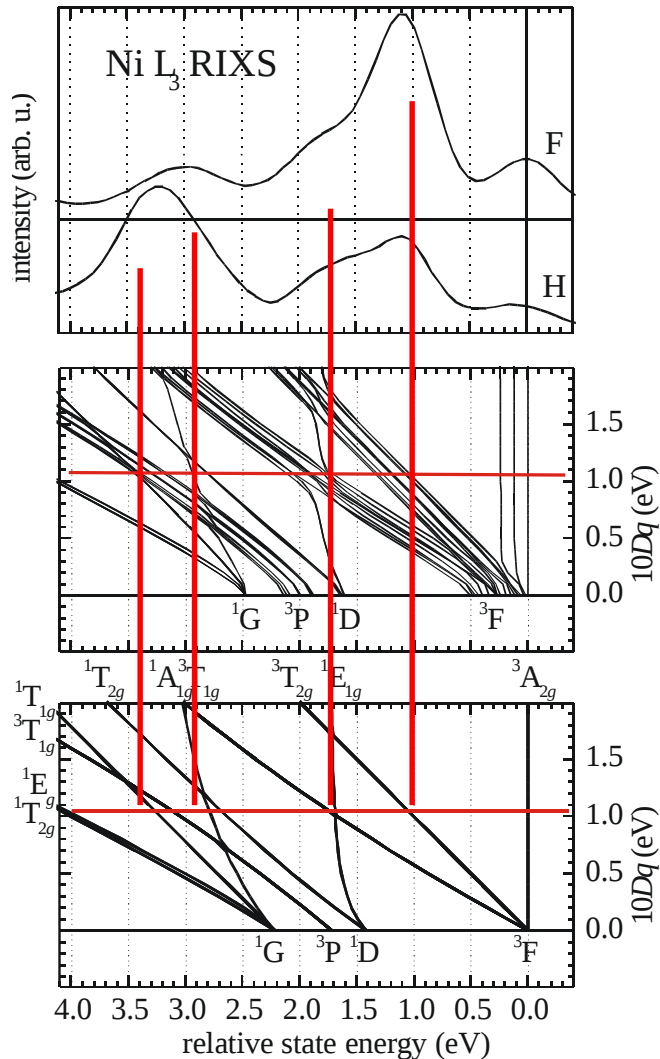
Interatomic exchange splitting : ~ 115 meV



No evident dispersion of these magnetic excitations

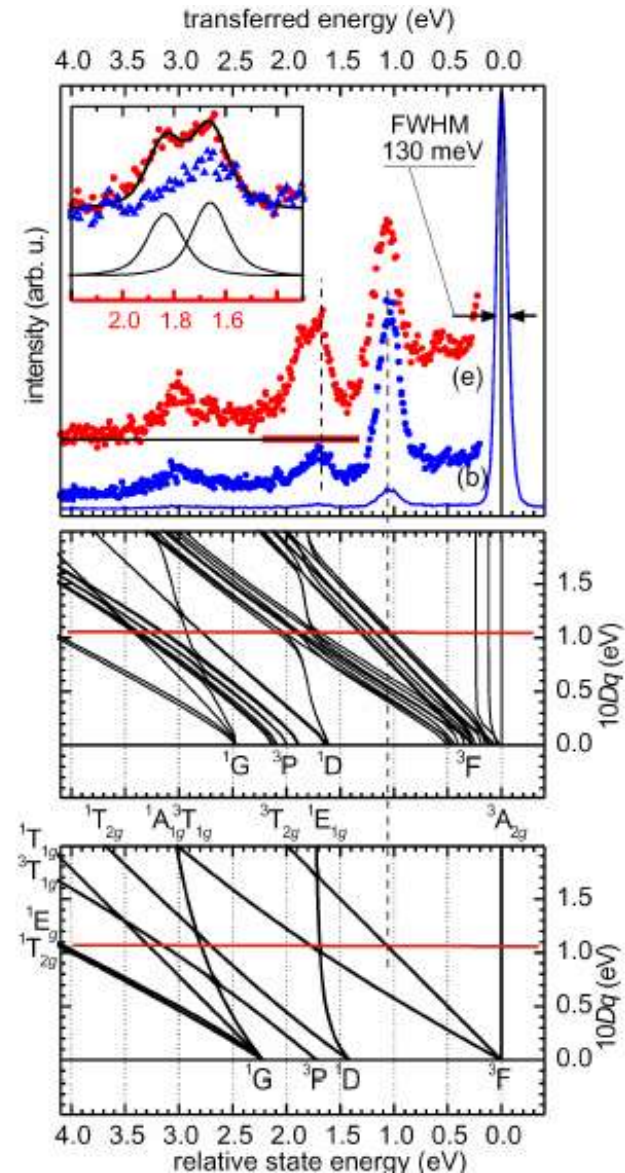
Many excited states

Crystal field model: Sugano-Tanabe diagrams

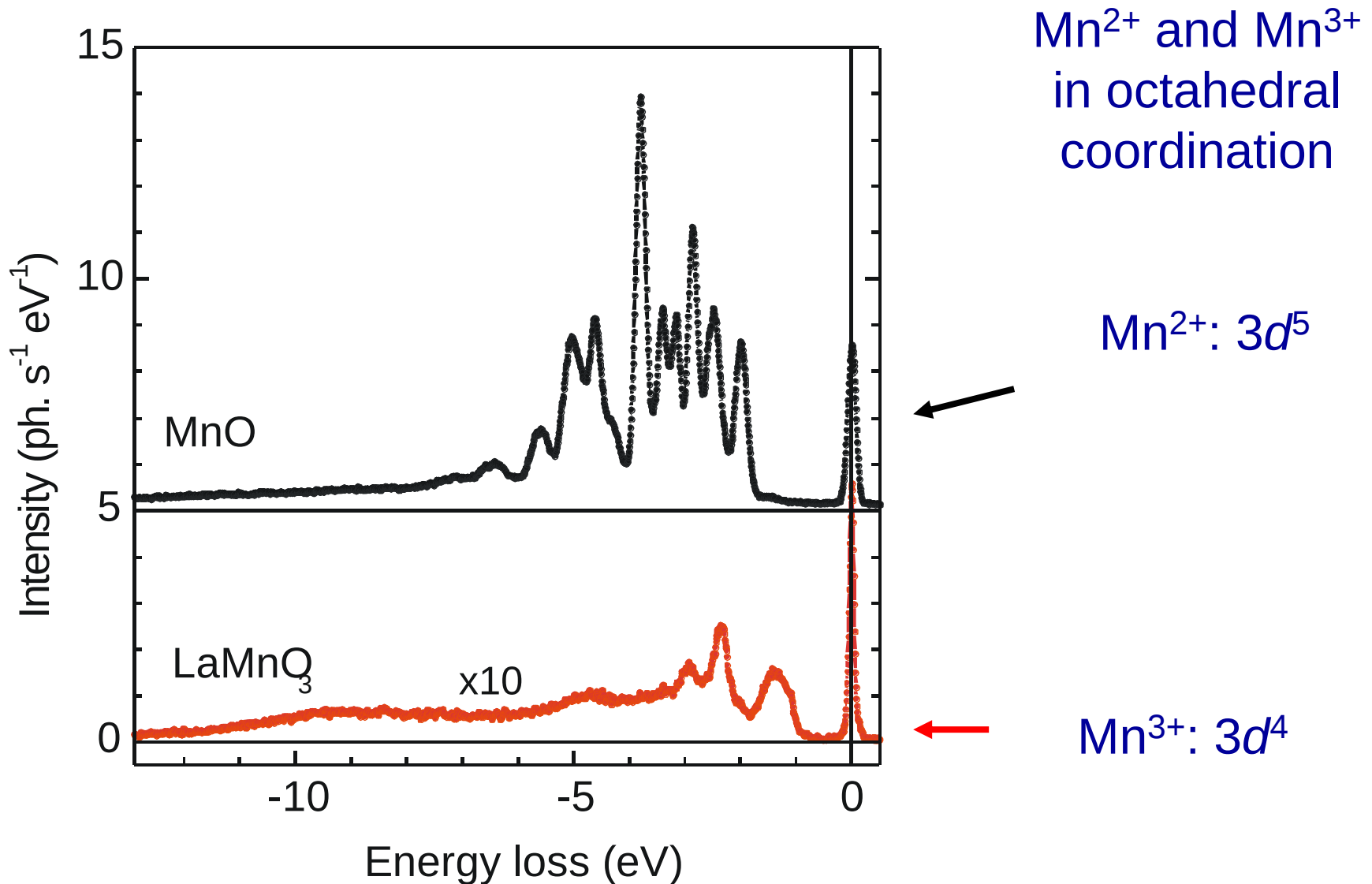


Single ion
Octahedral C.F.
3d spin-orbit
Exchange

Single ion
Octahedral C.F.

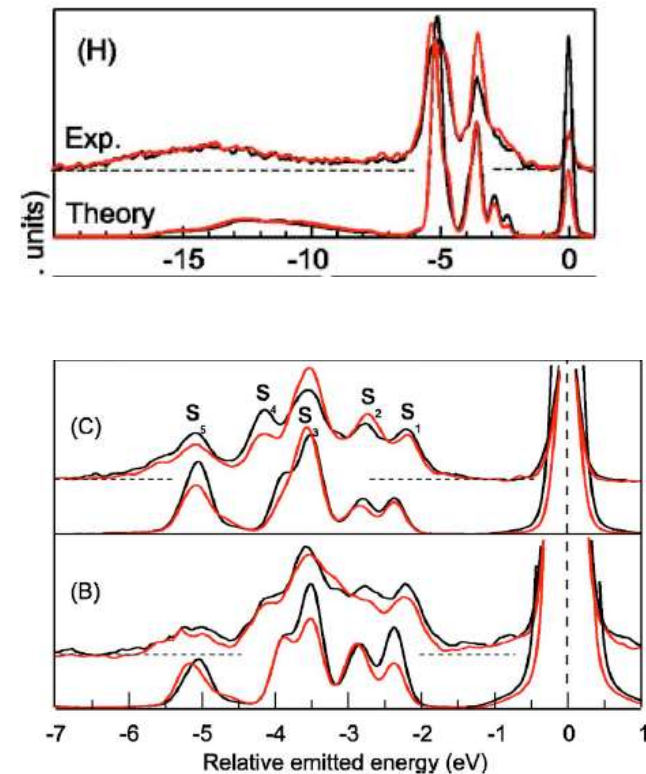
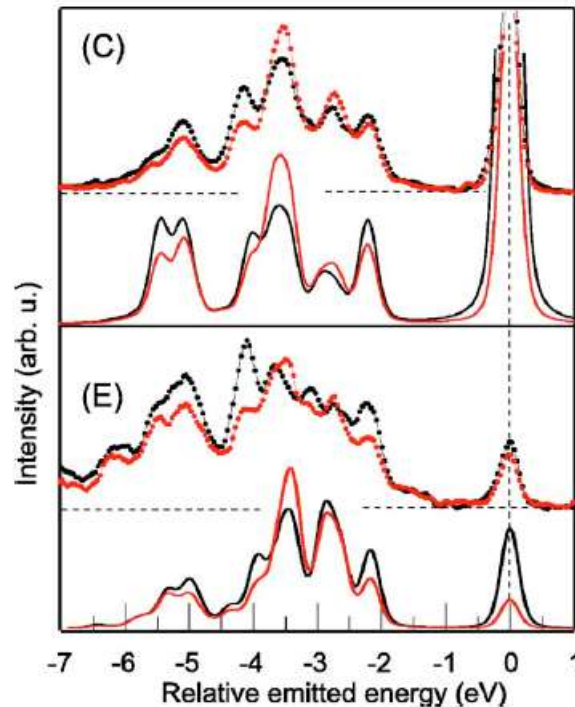
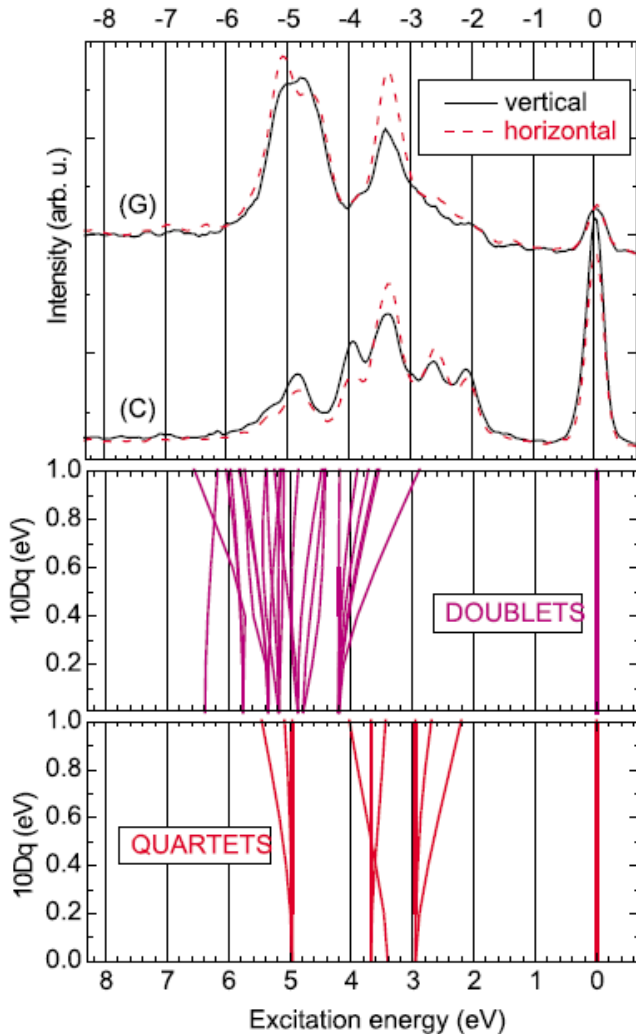


Mn L₃ edge: MnO, LaMnO₃



dd of Mn^{2+} : Sugano-Tanabe, Single ion, Single Ion Impurity Model

Ground state: $3d^5$, high spin (6S for $10Dq=0$)



G. Ghiringhelli et al, PRB **78**, 117102 (2008)

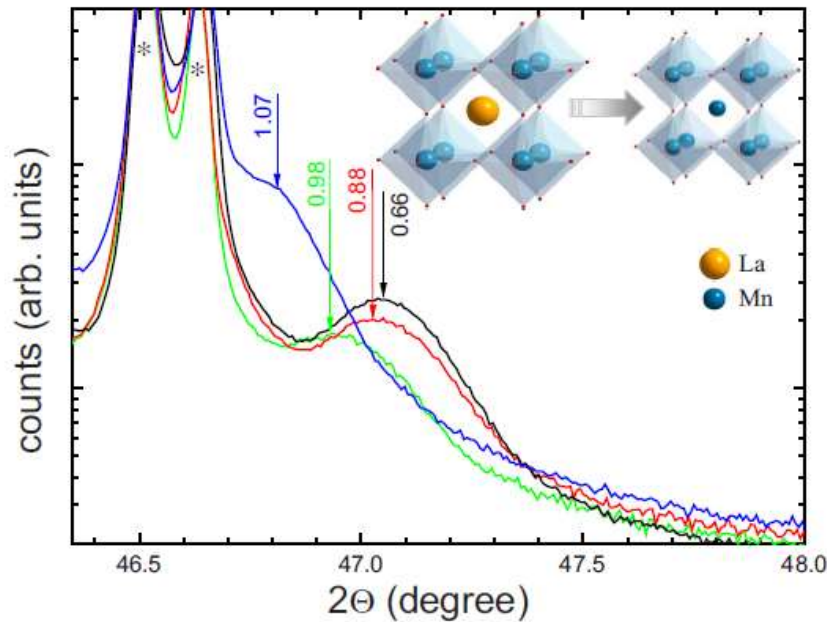
G. Ghiringhelli et al, PRB **73**, 035111 (2006)

An application to thin film: Mn^{2+} in La_xMnO_3

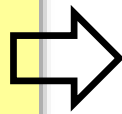
$\text{La}_x\text{MnO}_{3-\delta}/\text{STO}$ films

$x = \text{La}/\text{Mn}$ ratio

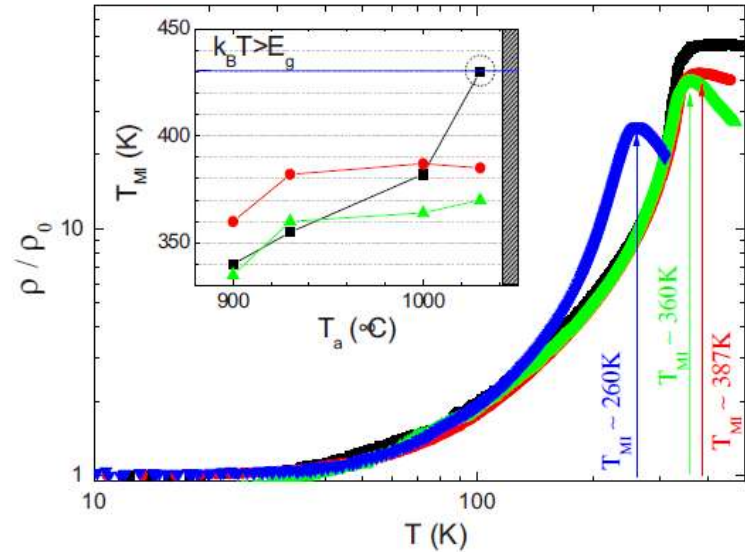
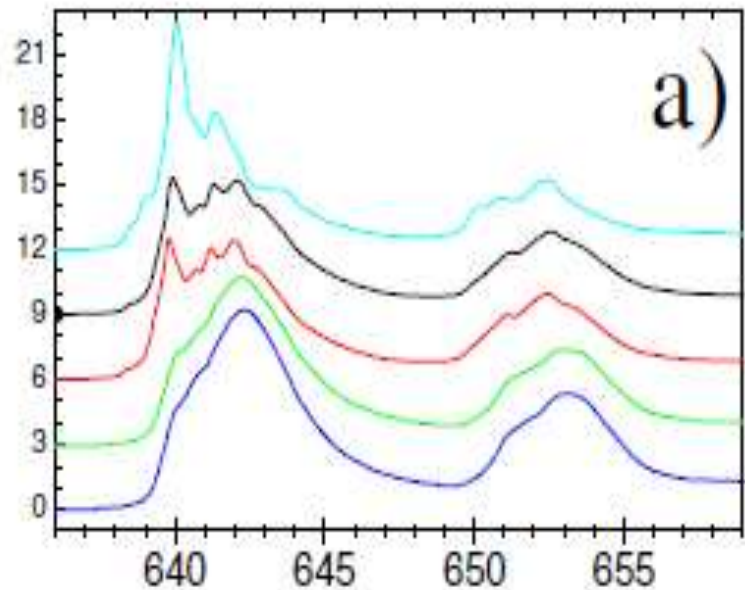
for $x < 1$ becomes FM (self doping)



XAS reveals the presence of Mn^{2+} for $x < 1$



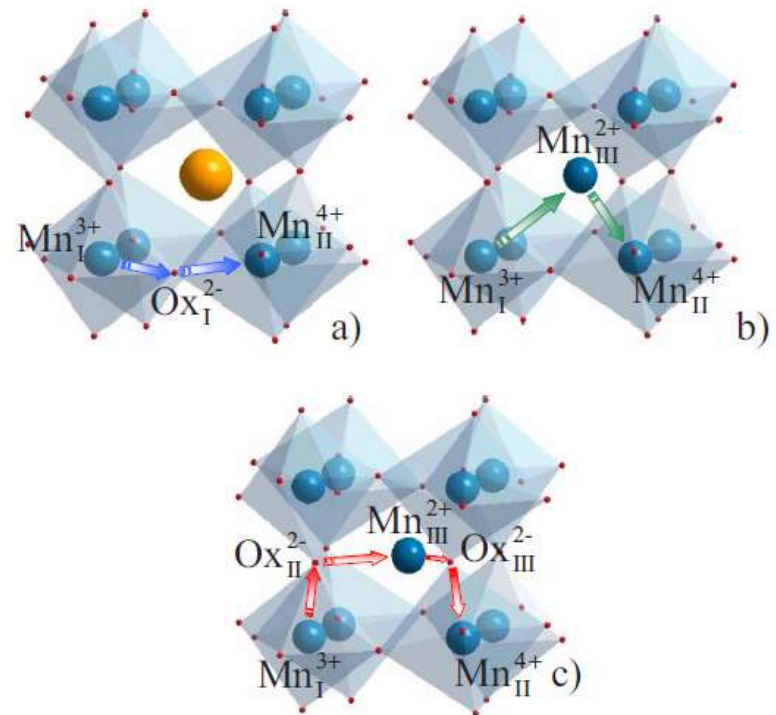
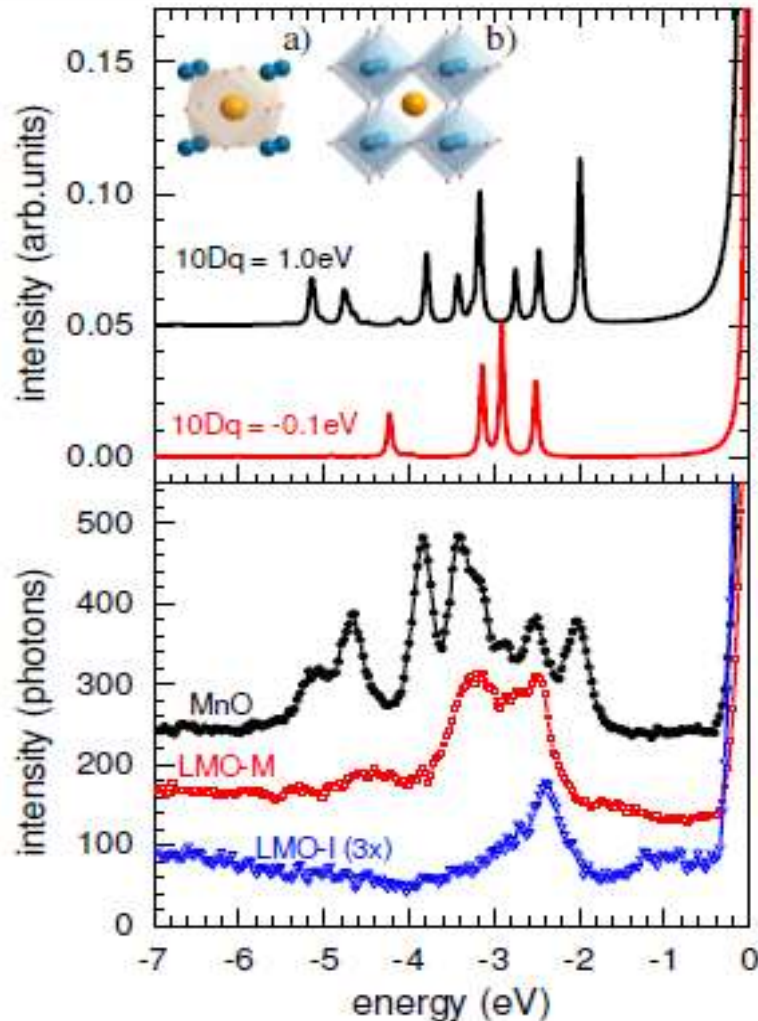
XAS (arb.u.)



An application to thin film: Mn^{2+} in La_xMnO_3

RIXS shows that Mn^{2+} is at site A, ie, it replaces La^{3+}

The Mn^{2+} in site A allows new Double Exchange paths, increasing T_{MI}

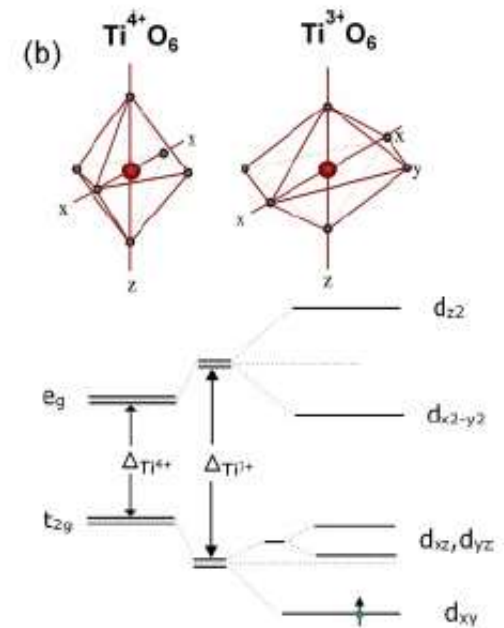
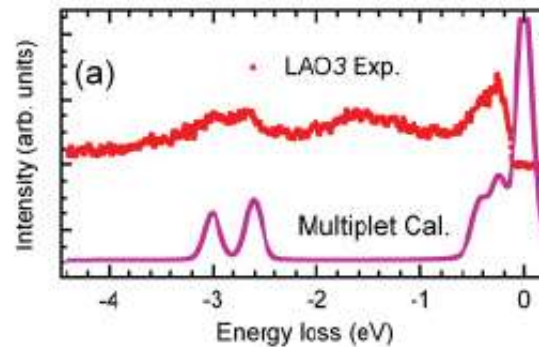
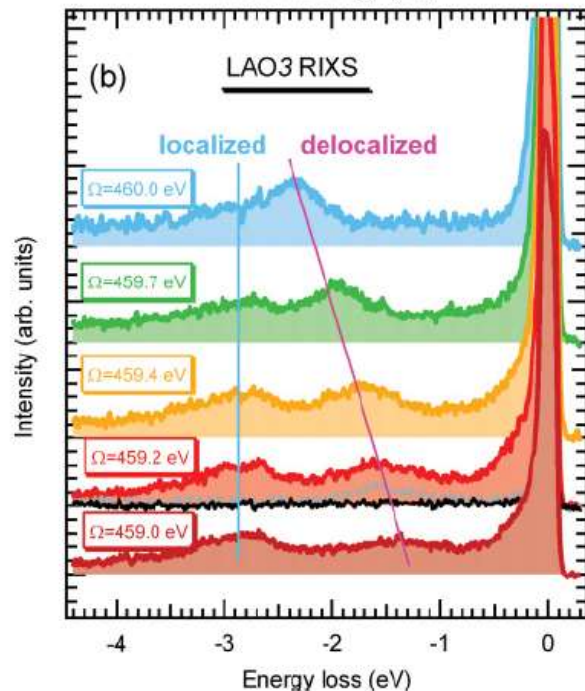
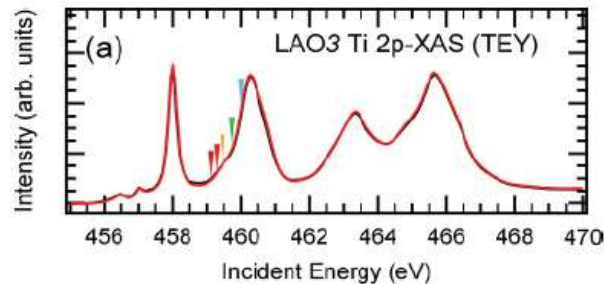


STO/LAO superlattice: RIXS at Ti L_3

PHYSICAL REVIEW B 83, 201402(R) (2011)

Localized and delocalized Ti 3d carriers in $\text{LaAlO}_3/\text{SrTiO}_3$ superlattices revealed by resonant inelastic x-ray scattering

Ke-Jin Zhou,¹ Milan Radovic,^{2,1} Justine Schlappa,^{1,*} Vladimir Strocov,¹ Ruggero Frison,³ Joel Mesot,^{1,2} Luc Patthey,¹ and Thorsten Schmitt^{1,†}

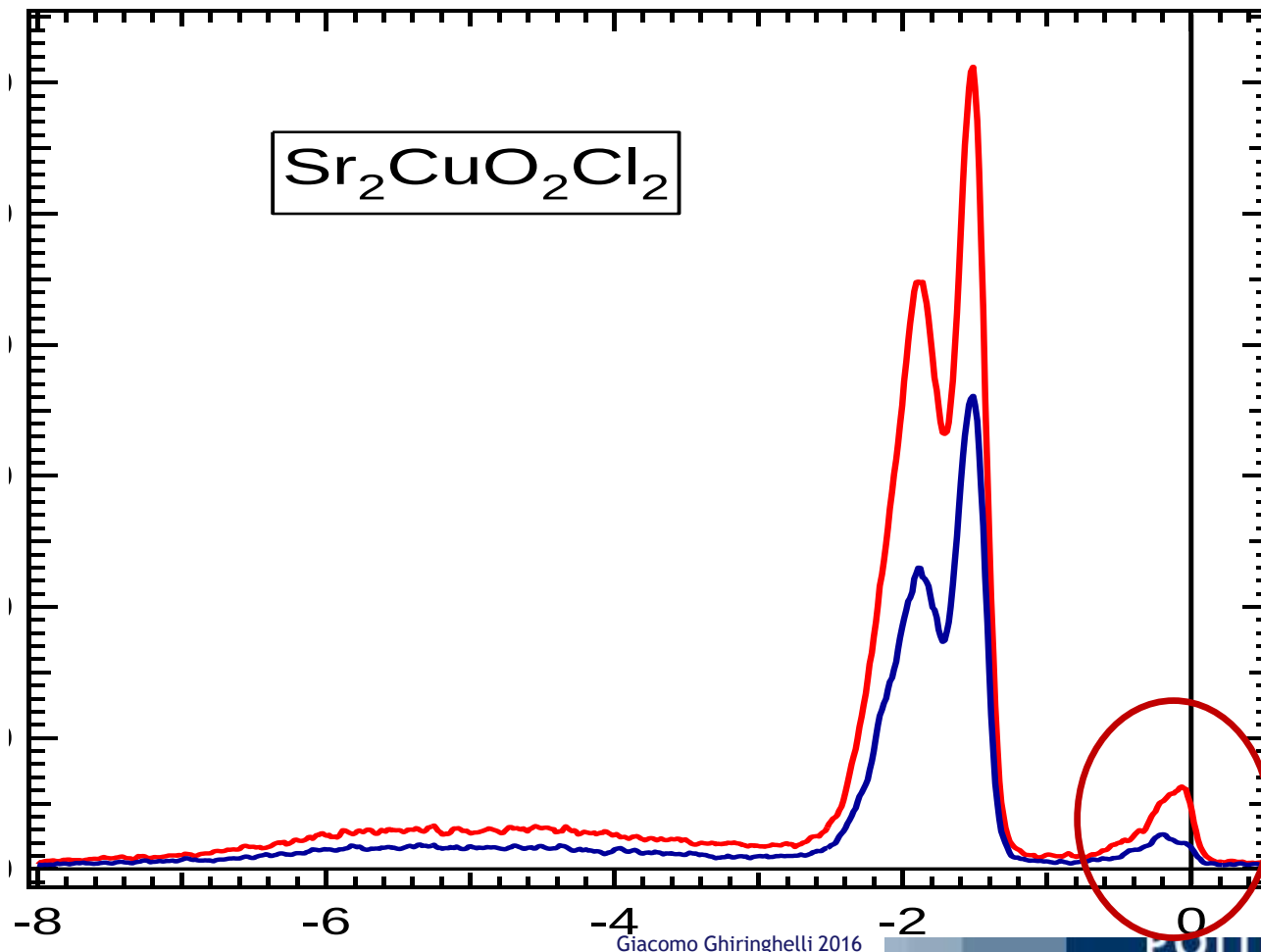


What about the “quasi-elastic” spectral features?

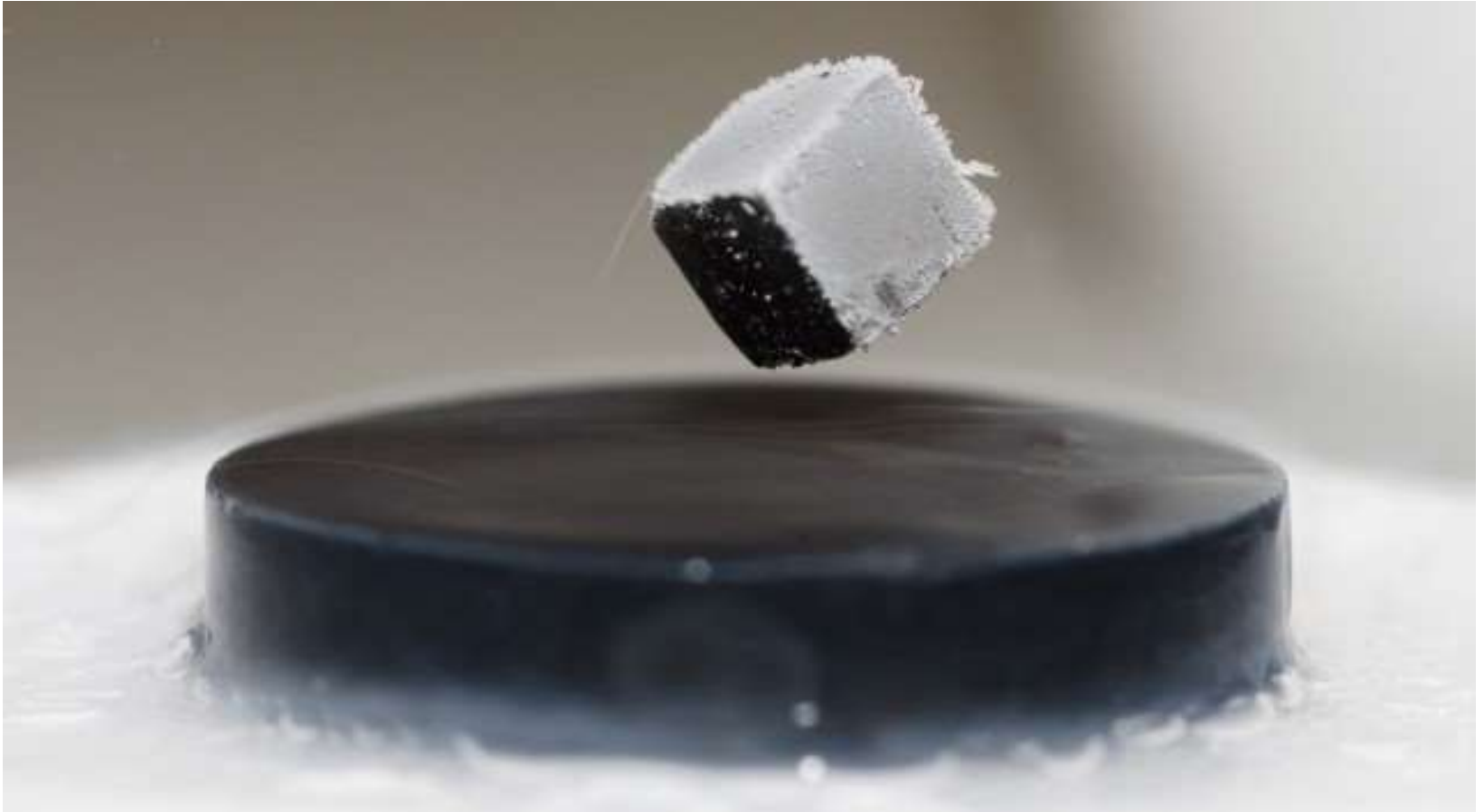
Phonons: up to 90 meV

Magnons ($2J$ at BZB): up to 300 meV ($J_{\text{eff}} \approx 140$ meV)

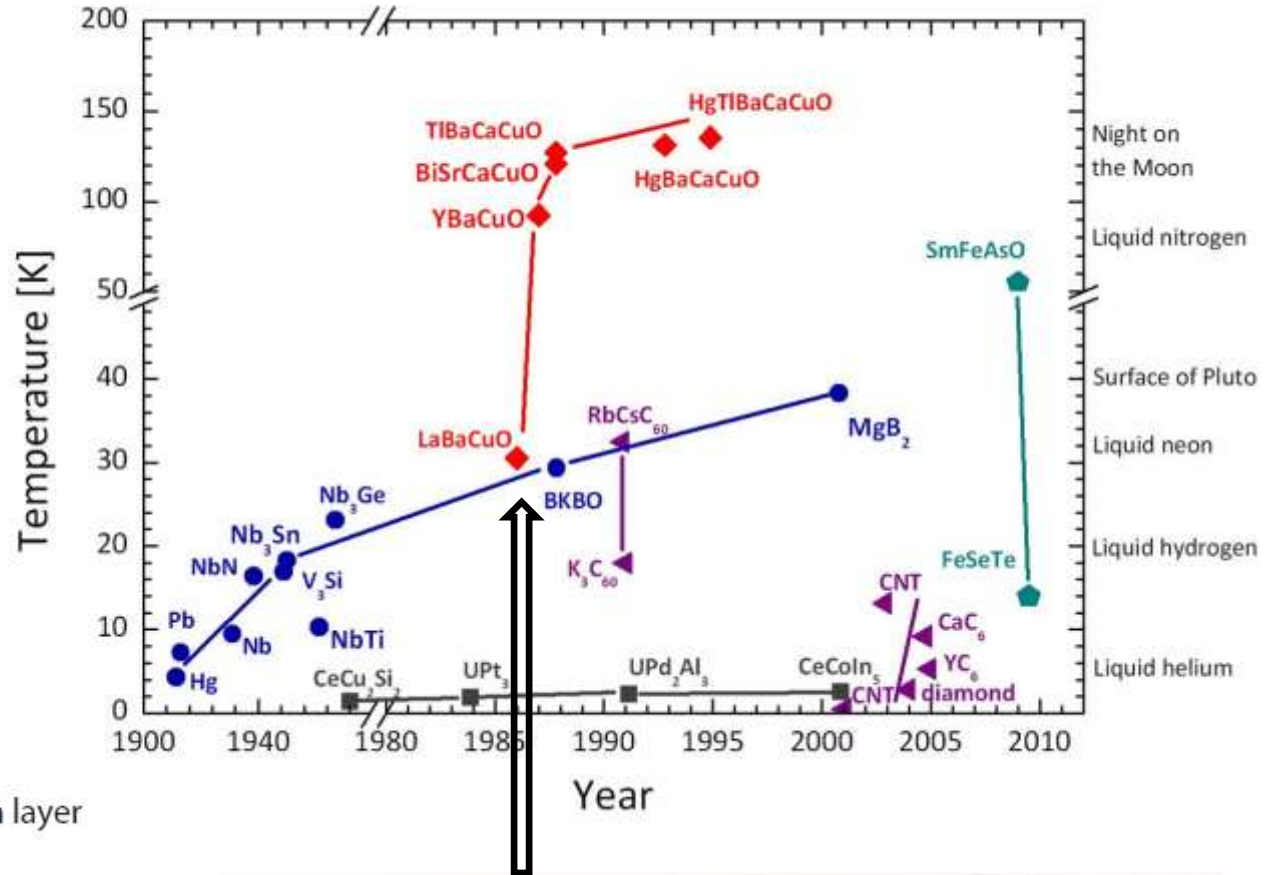
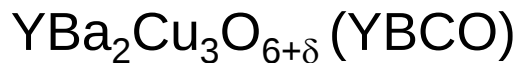
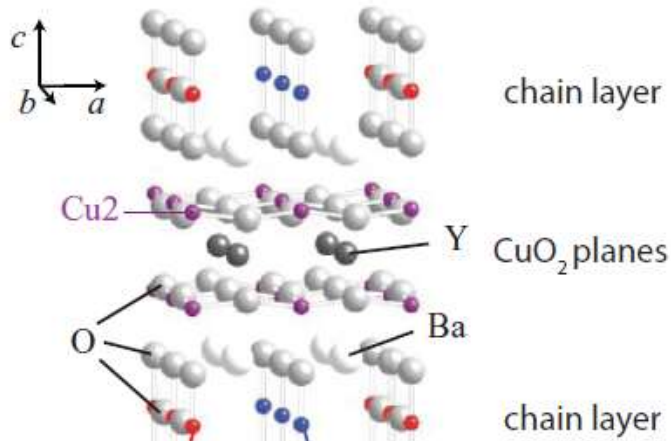
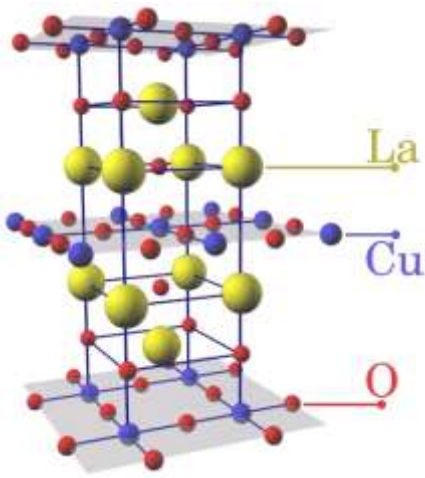
Multi mangons...



High Tc superconductors



High T_c superconducting cuprates



Possible High T_c Superconductivity in the Ba—La—Cu—O System

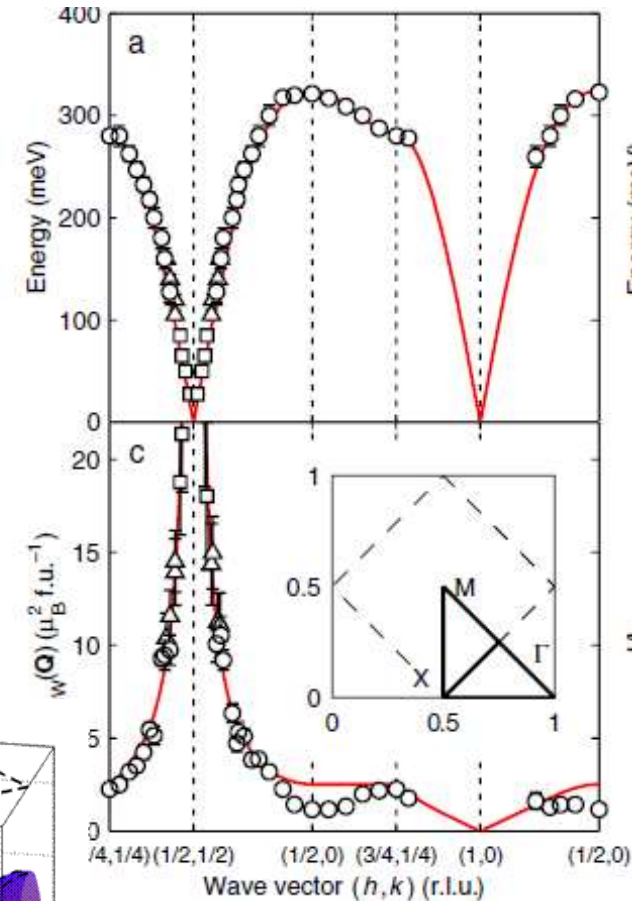
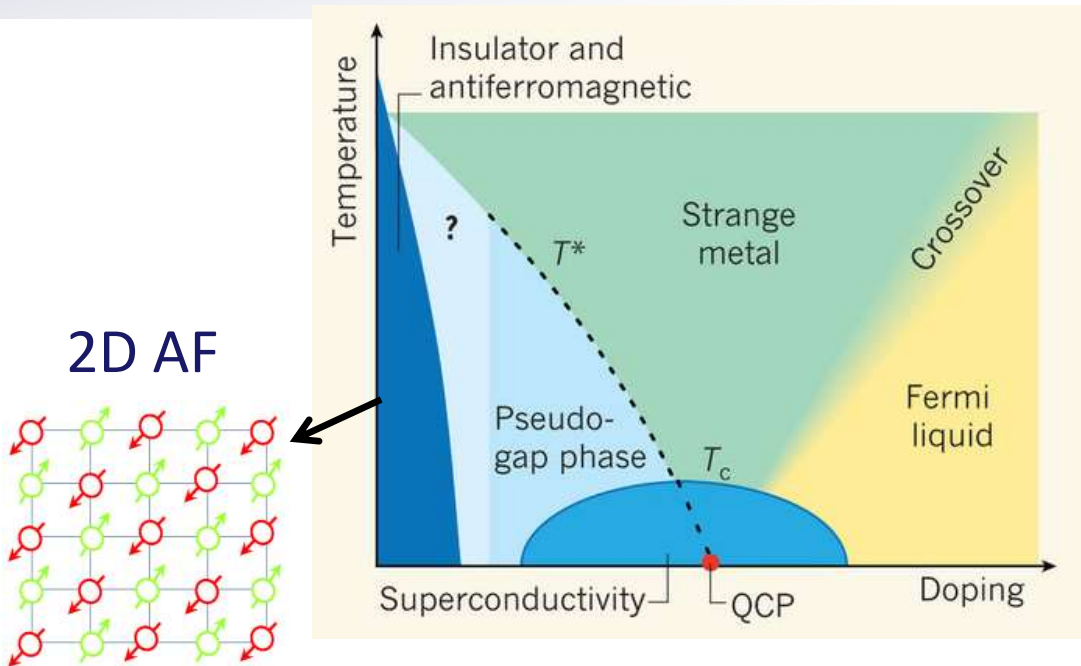
J.G. Bednorz and K.A. Müller

IBM Zürich Research Laboratory, Rüschlikon, Switzerland

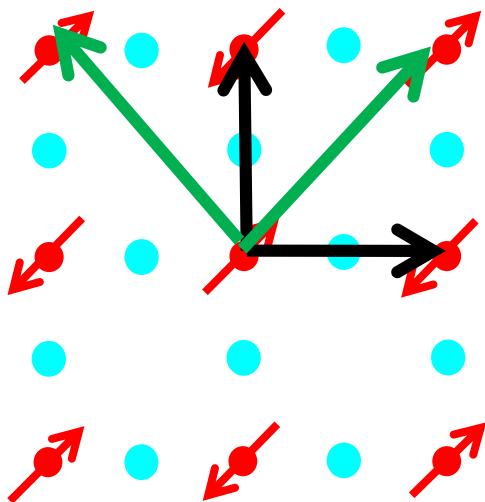
Received April 17, 1986

Spin excitations in HTcS: undoped AF

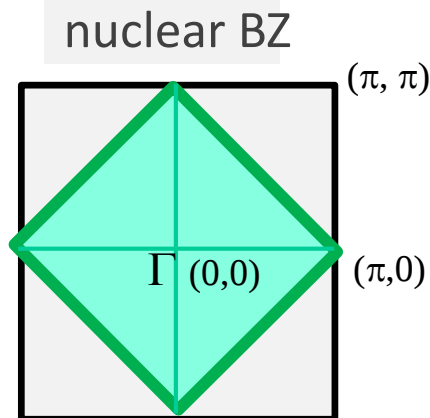
INS: La_2CuO_4



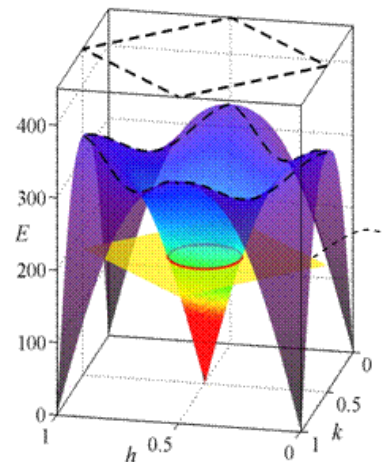
DIRECT SPACE



RECIPROCAL SPACE

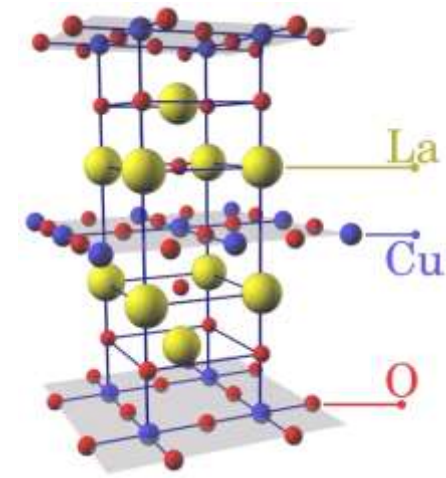


magnetic BZ

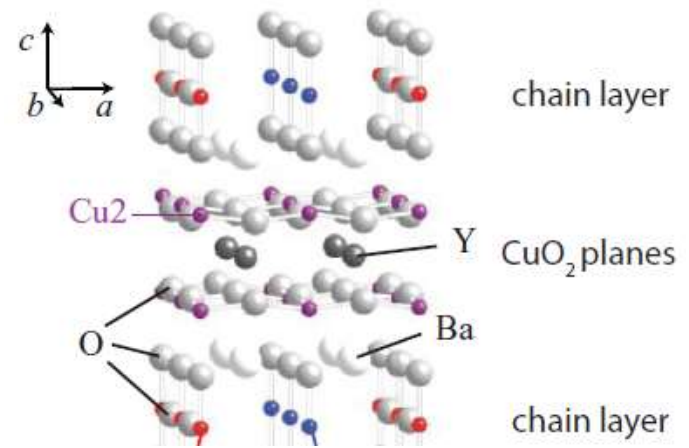
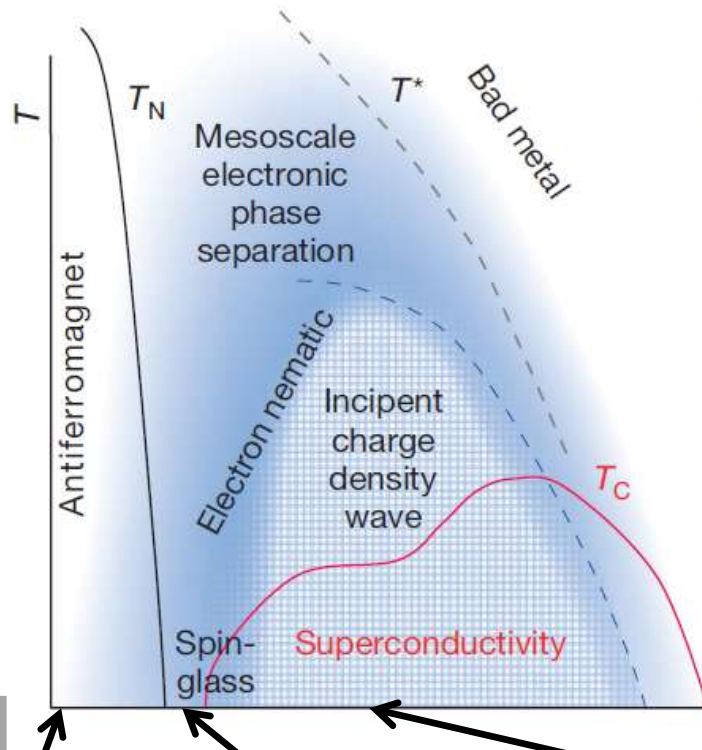


N. S. Headings, S. M. Hayden, R. Coldea, and T. G. Perring, Phys Rev Lett. **105** 247001 (2011)

The mysteries of HT_cS

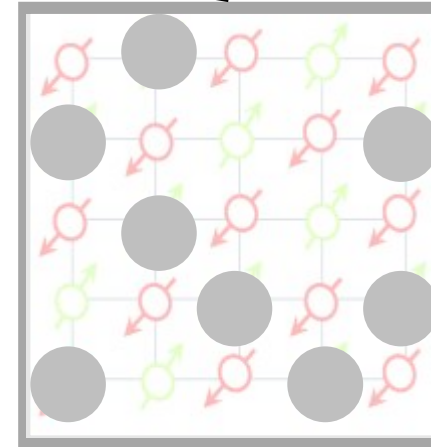
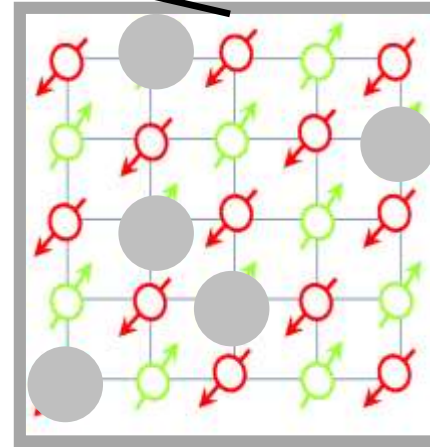
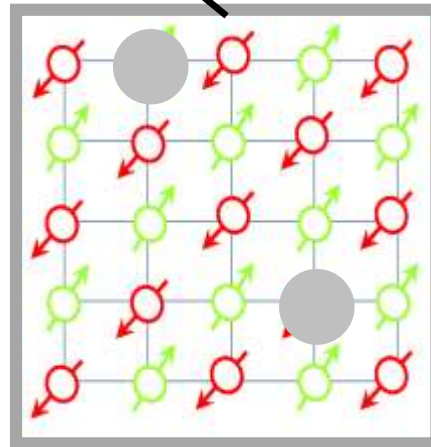
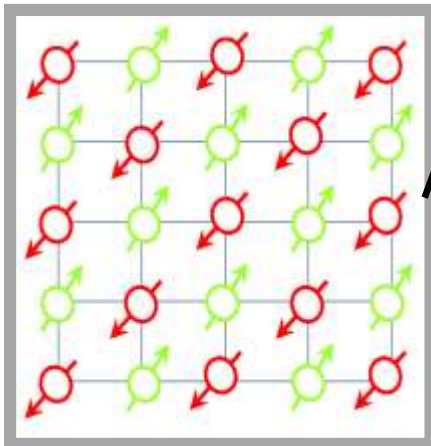


$La_{2-x}Sr_xCuO_4$ (LSCO)

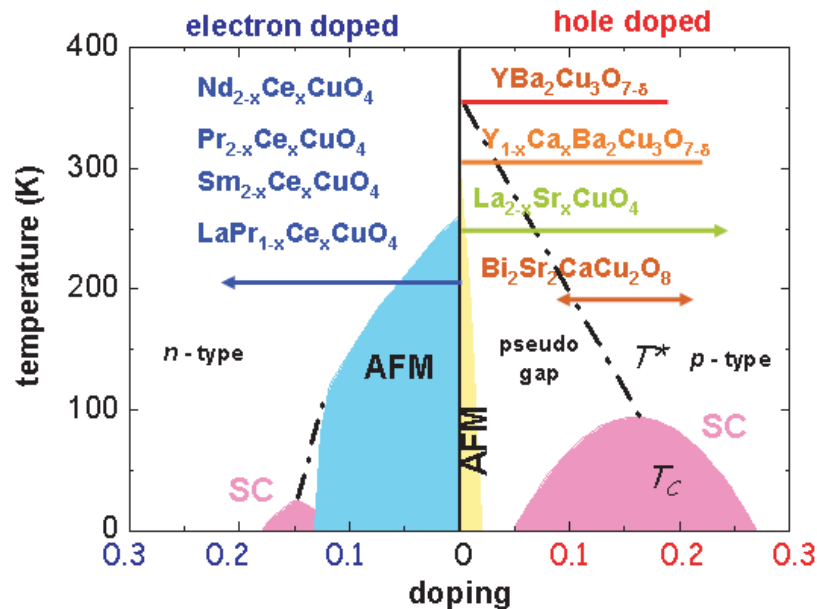


$YBa_2Cu_3O_{6+\delta}$ (YBCO)

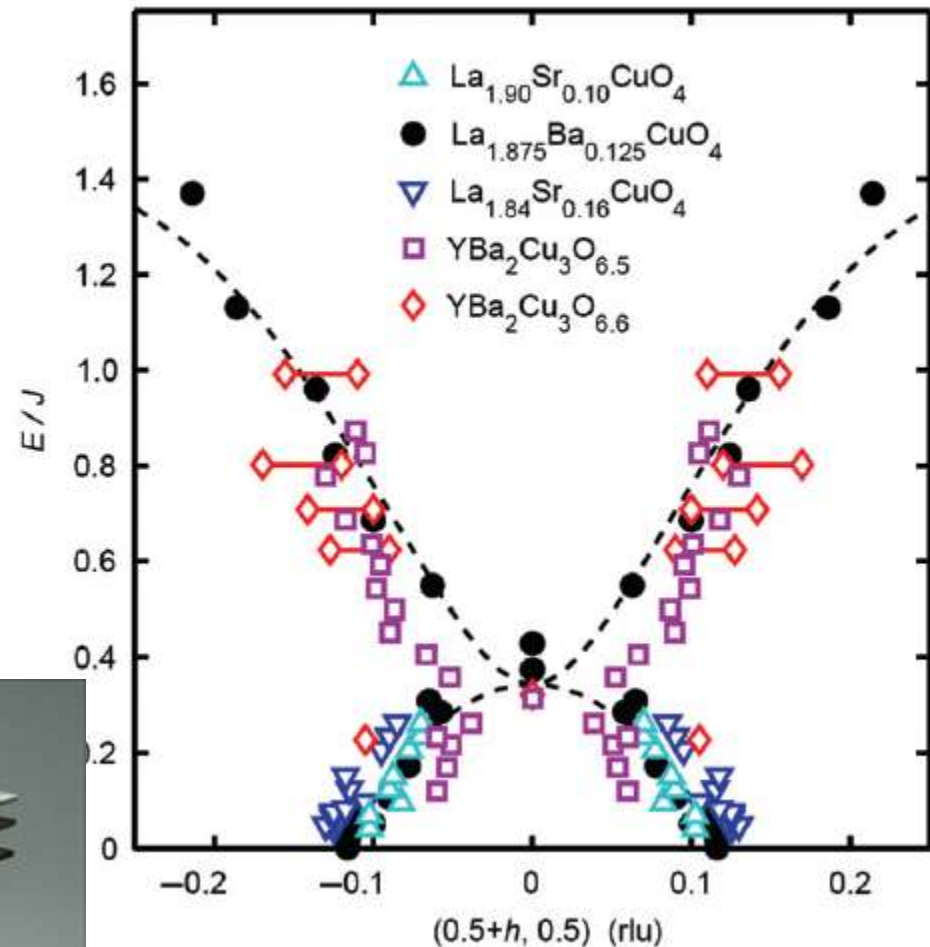
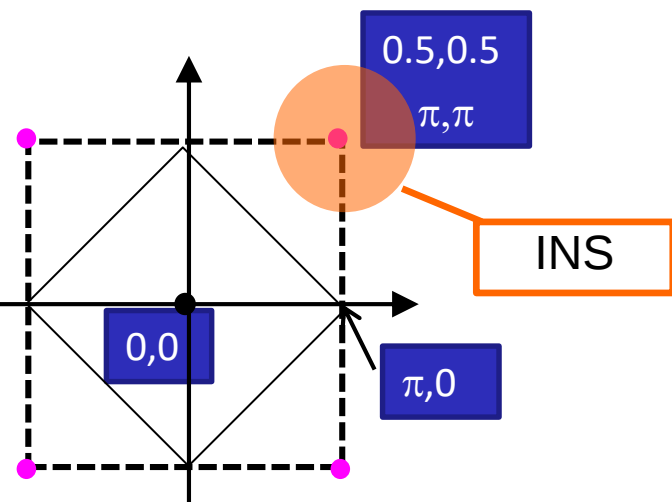
Eduardo Fradkin and Steven A. Kivelson, *Nature Physics*, 8, 864 (2012)



Spin excitations in HTcS: doped SC



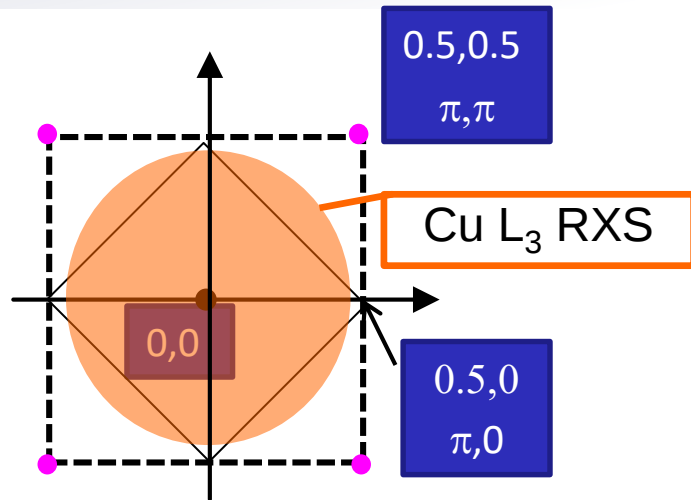
http://for538.wmi.badw.de/projects/P4_crystal_growth/index.htm



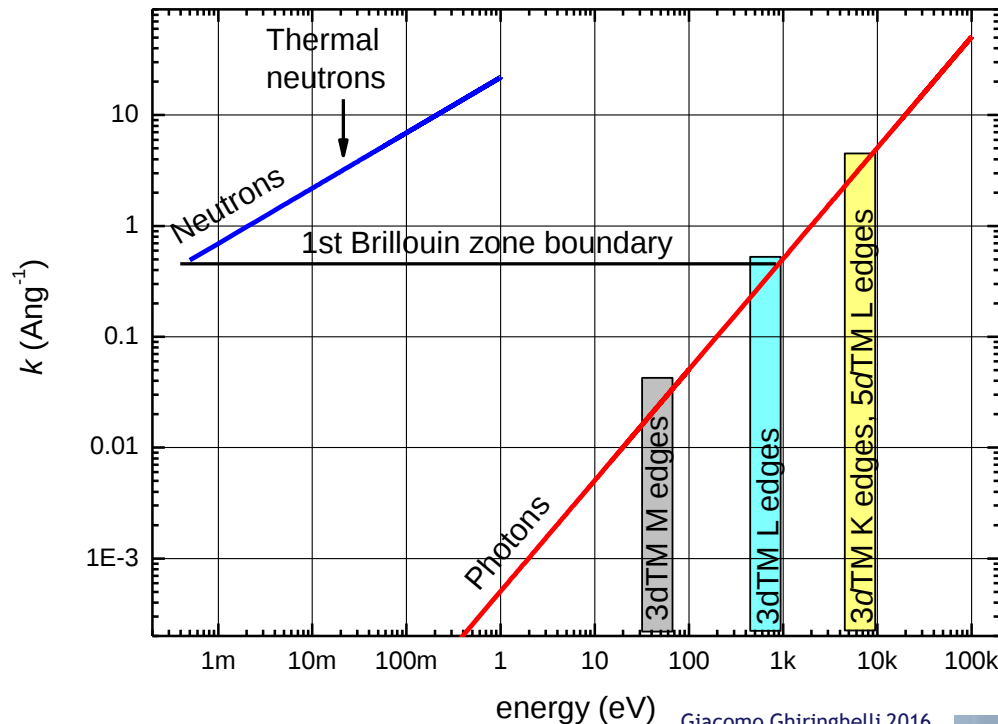
J.M. Tranquada, in *Handbook of High-Temperature Superconductivity: Theory and Experiment*, J.R. Schrieffer and J.S. Brooks, eds., Springer, 2007,

V. Hinkov et al, Eur. Phys. J. Special Topics 188, 113–129 (2010)

RIXS: Experimental conditions

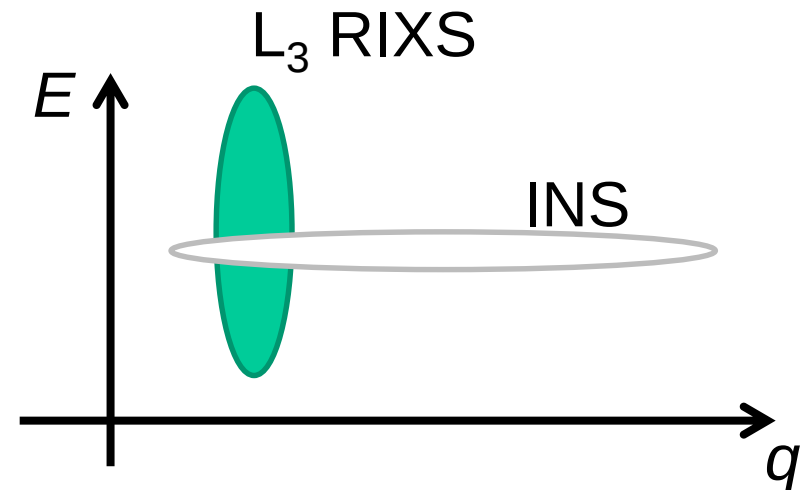


Wavevector of particles used in inelastic scattering



Cu L₃ resonance:

- $E_0 = 930$ eV
- $q_{\text{max}} = 0.86$ Ang⁻¹
- confined inside a region around Γ
- 2p core hole: spin-orbit interaction
- E resolution: 120-240 meV
- q resolution: 0.005 rlu
- $\frac{1}{2}$ - 1 hour per spectrum



spin-flip excitations and the 2p S-O coupling

3d	E _g	$d_{3z^2-r^2}$ $Y_{2,0}$ $d_{x^2-y^2}$ $\frac{Y_{2,2}-Y_{2,-2}}{\sqrt{2}}$
	T _{2g}	d_{xy} $-i \frac{Y_{2,2}-Y_{2,-2}}{\sqrt{2}}$ d_{yz} $i \frac{Y_{2,1}+Y_{2,-1}}{\sqrt{2}}$ d_{zx} $-\frac{Y_{2,1}-Y_{2,-1}}{\sqrt{2}}$

The 3d spin must not be pure UP or DOWN

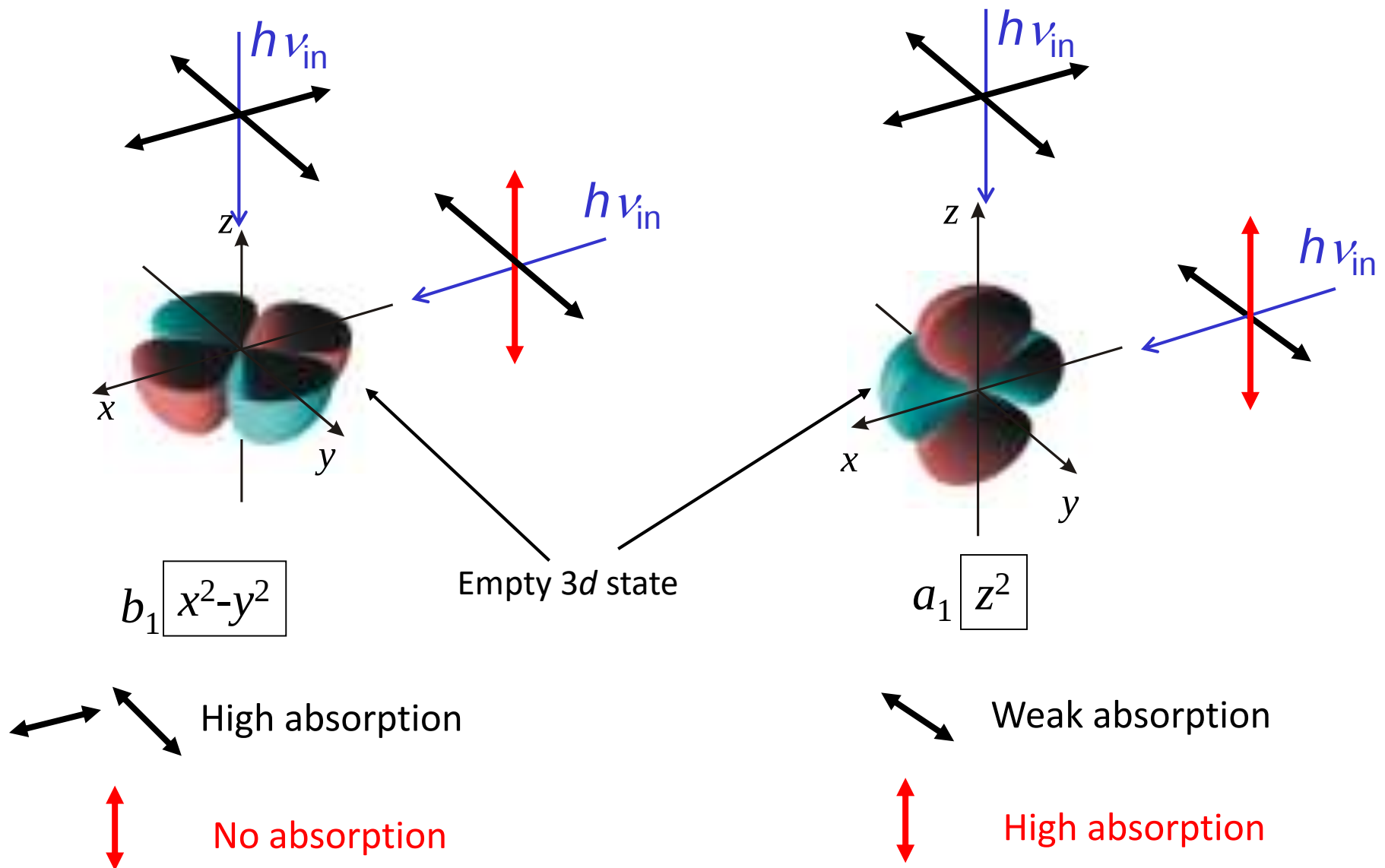
2p_{3/2}

$ \frac{3}{2}, \frac{3}{2}\rangle$	$ \frac{3}{2}, \frac{1}{2}\rangle$	$ \frac{3}{2}, -\frac{1}{2}\rangle$	$ \frac{3}{2}, -\frac{3}{2}\rangle$
$Y_{1,1}^\uparrow$	$\frac{\sqrt{2}}{\sqrt{3}}Y_{1,0}^\uparrow + \frac{1}{\sqrt{3}}Y_{1,1}^\downarrow$	$\frac{1}{\sqrt{3}}Y_{1,-1}^\uparrow + \frac{\sqrt{2}}{\sqrt{3}}Y_{1,0}^\downarrow$	$Y_{1,-1}^\downarrow$

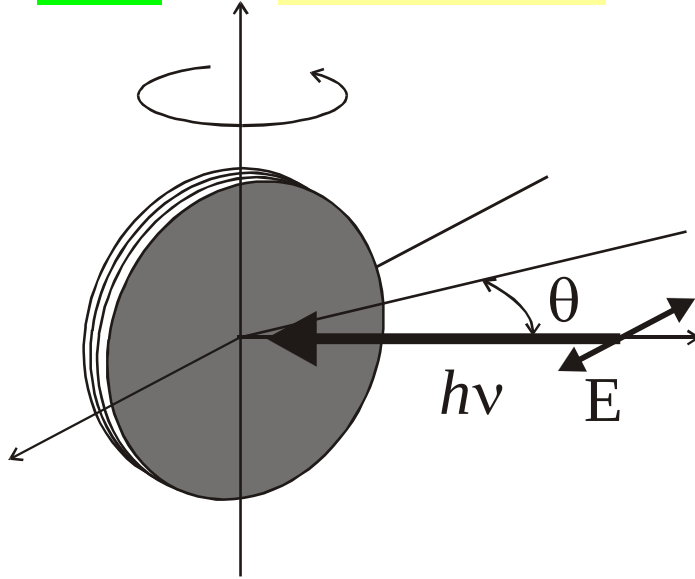
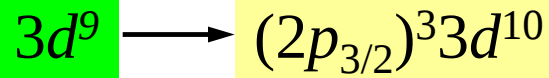
2p_{1/2}

$ \frac{1}{2}, \frac{1}{2}\rangle$	$ \frac{1}{2}, -\frac{1}{2}\rangle$
$-\frac{1}{\sqrt{3}}Y_{1,0}^\uparrow + \frac{\sqrt{2}}{\sqrt{3}}Y_{1,1}^\downarrow$	$-\frac{\sqrt{2}}{\sqrt{3}}Y_{1,-1}^\uparrow + \frac{1}{\sqrt{3}}Y_{1,0}^\downarrow$

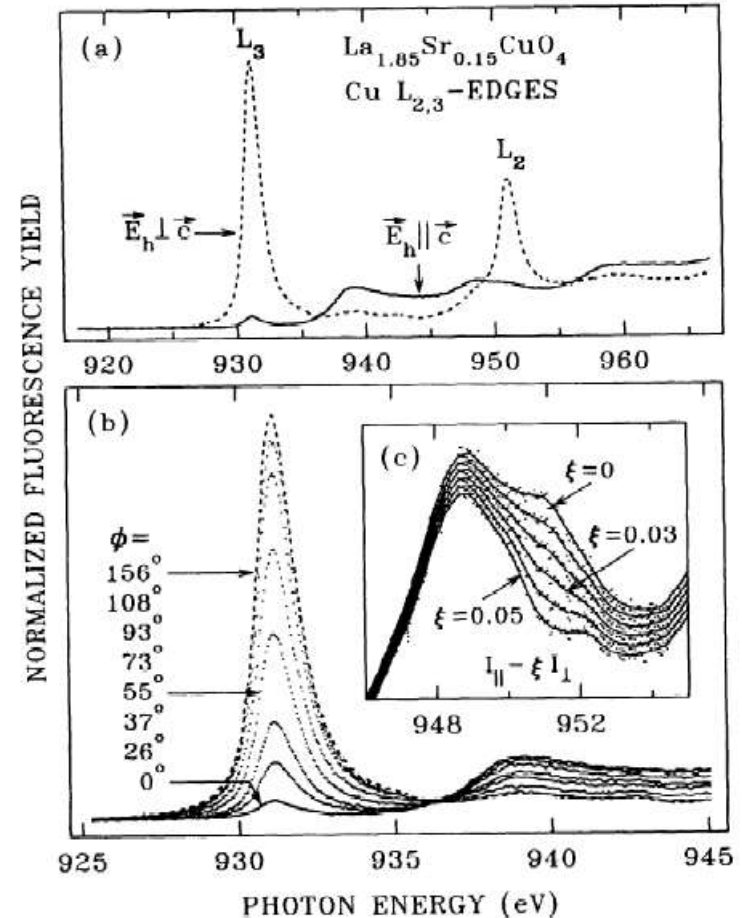
Linear polarization of x-rays and orbital orientation



3d hole symmetry in cuprates



Result: the hole in Cu^{2+} has 100% x^2-y^2 symmetry



VOLUME 68, NUMBER 16

PHYSICAL REVIEW LETTERS

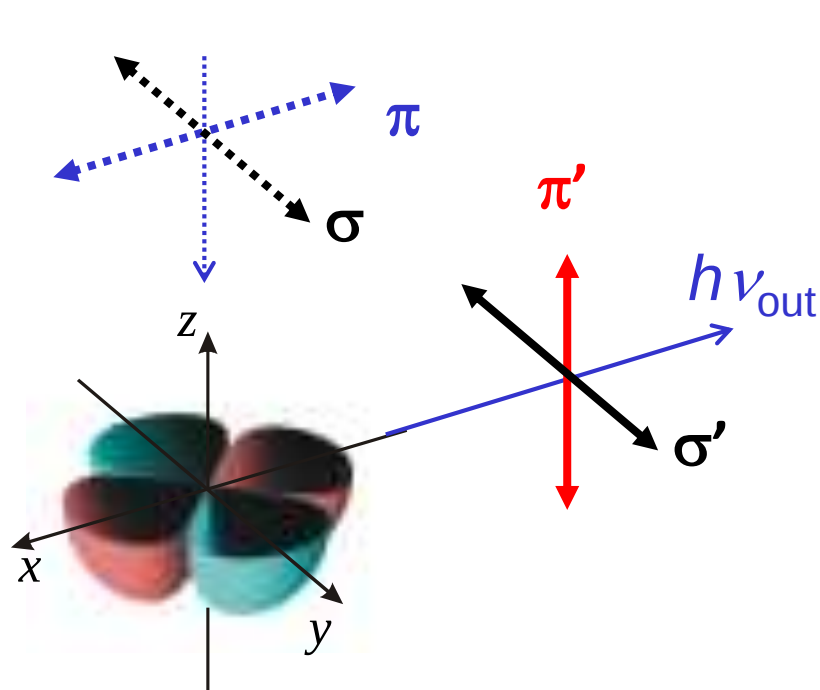
20 APRIL 1992

Out-of-Plane Orbital Characters of Intrinsic and Doped Holes in $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$

C. T. Chen, L. H. Tjeng, J. Kwo, H. L. Kao, P. Rudolf, F. Sette, and R. M. Fleming

Linear polarization of x-rays and orbital orientation (2)

The same rules hold for emission (radiative de-excitation)

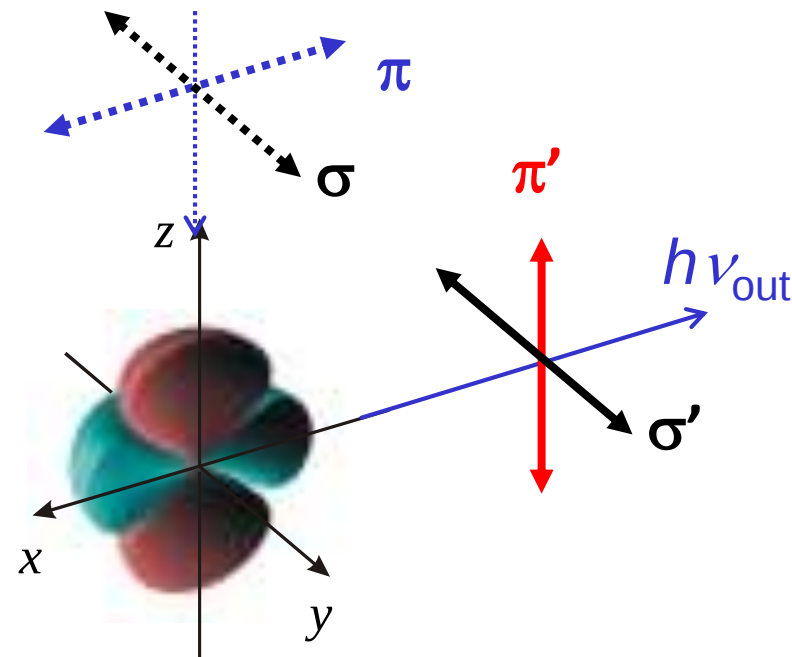


$$b_1 \boxed{x^2 - y^2}$$

High emission



No emission



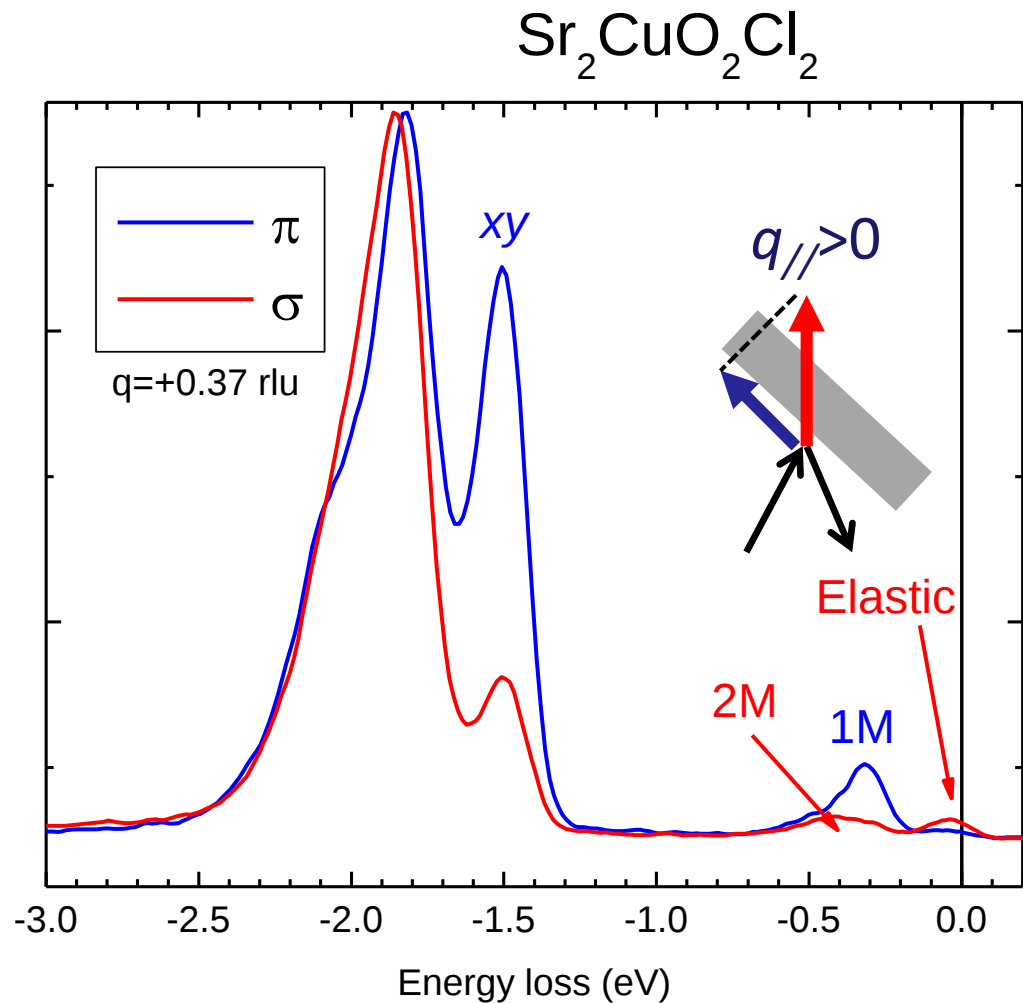
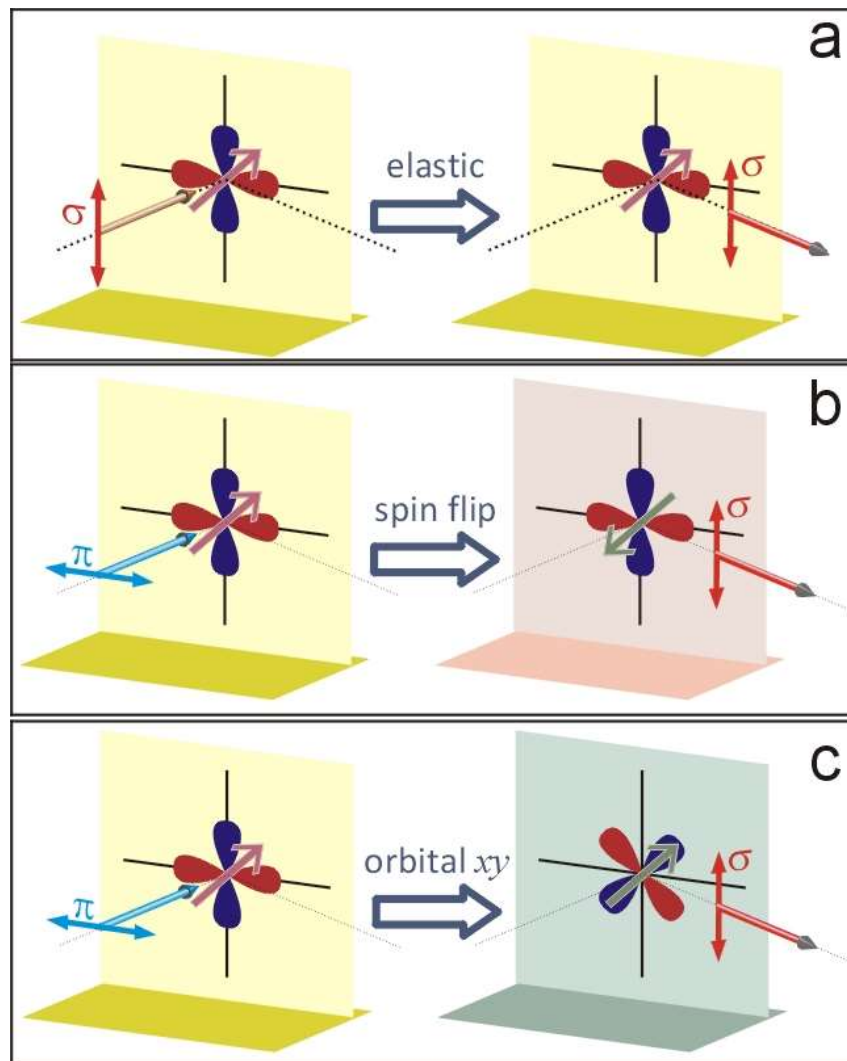
$$a_1 \boxed{z^2}$$

Weak emission



High emission

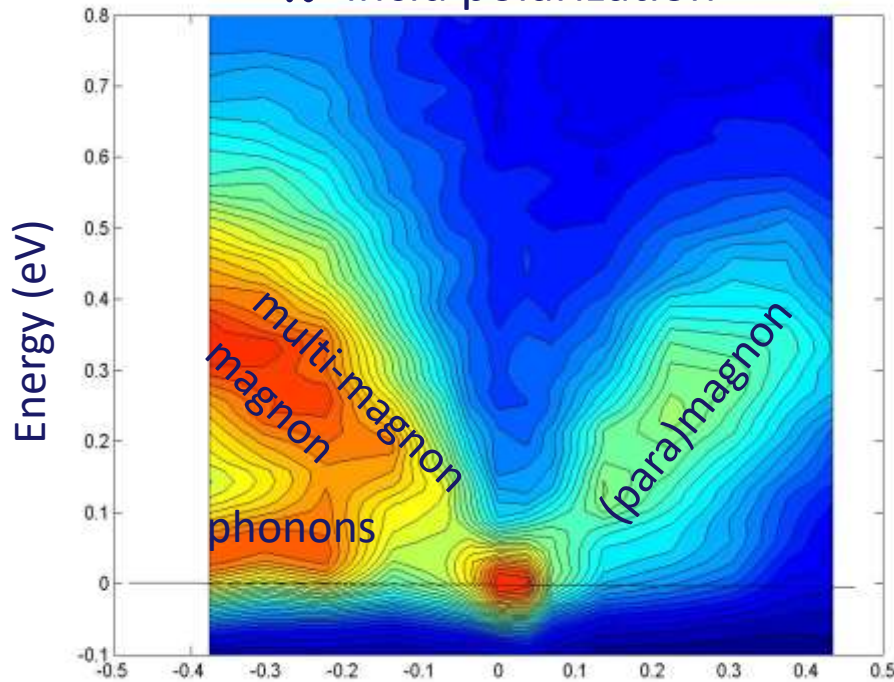
Polarization dep. of Cu L_3 RIXS intensity



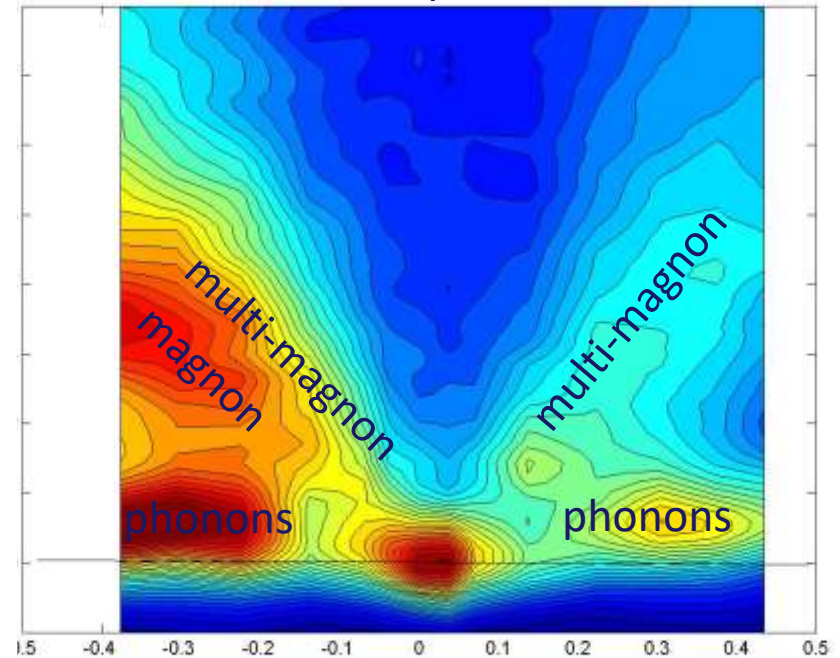
Polarization dependent cross-sections

LSCO, opt. doping

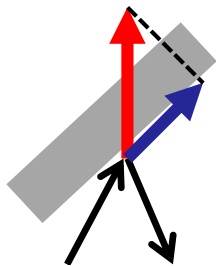
π incid polarization



σ incid polarization

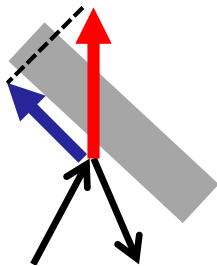


$q_{//} < 0$

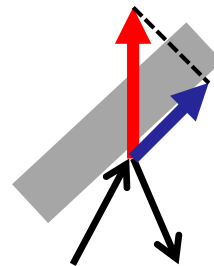


h (rlu)

$q_{//} > 0$

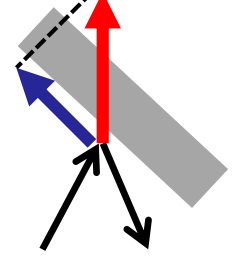


$q_{//} < 0$

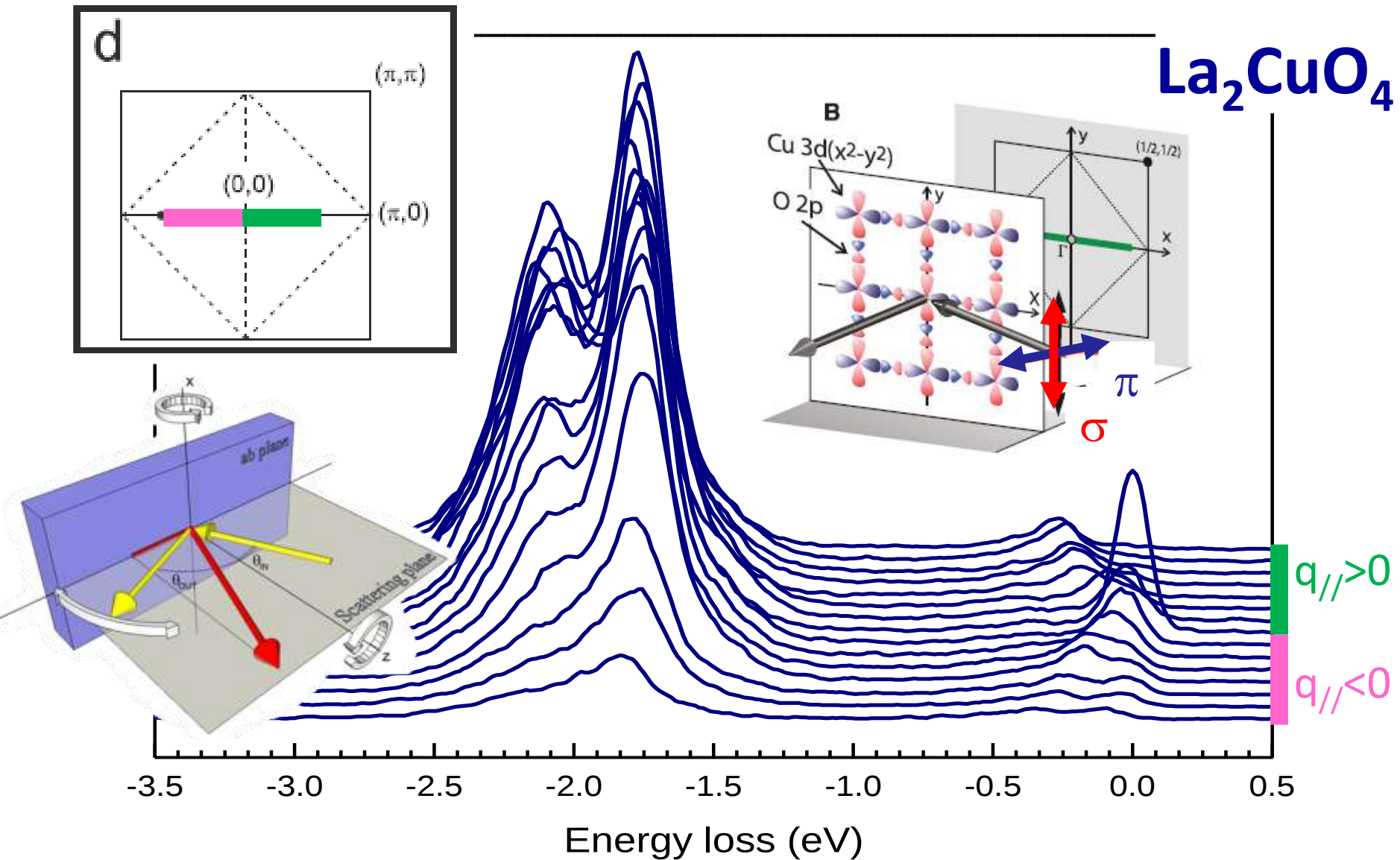


h (rlu)

$q_{//} > 0$

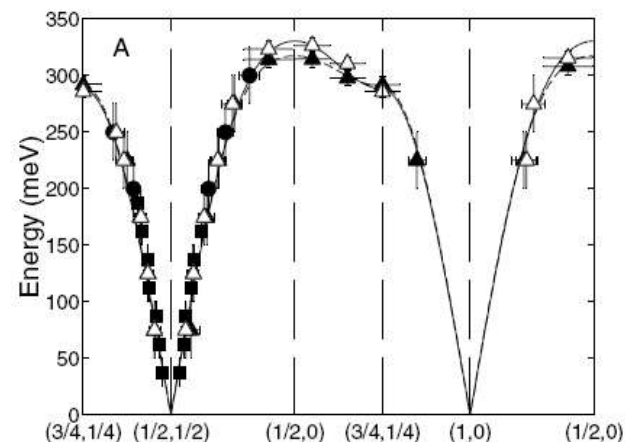
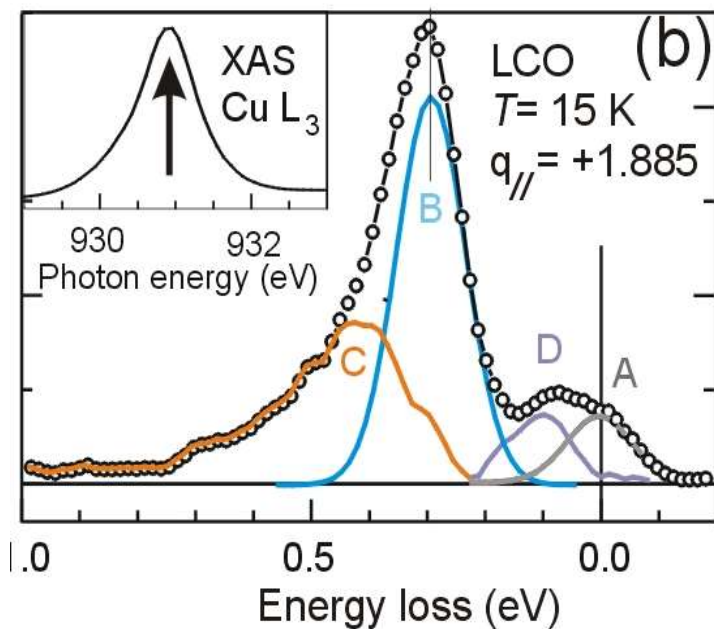
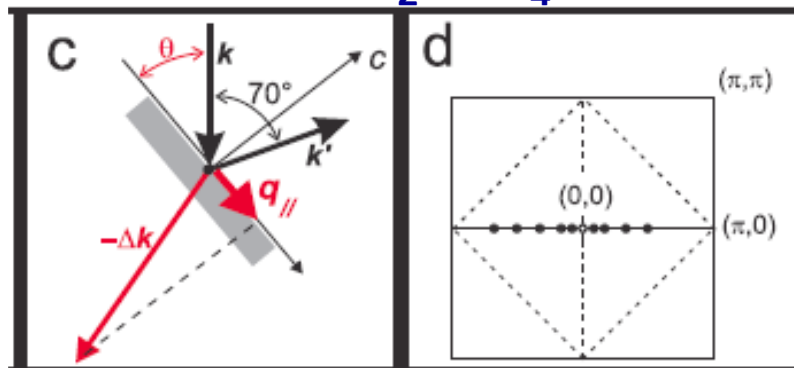


First demonstration: La_2CuO_4

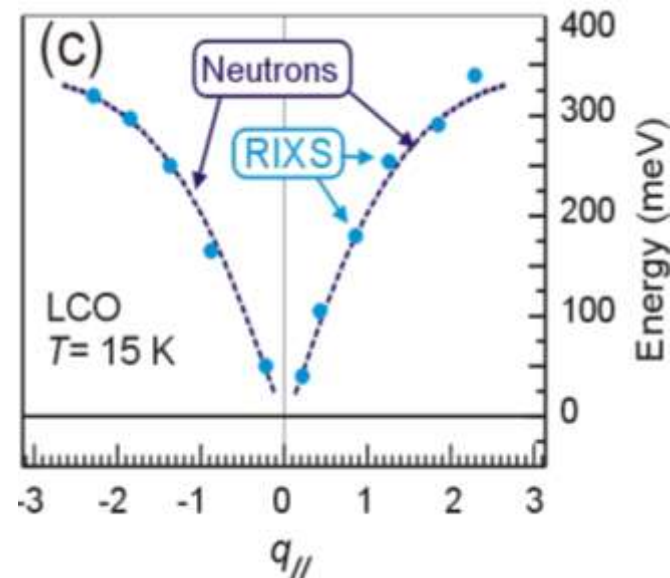


La₂CuO₄, RIXS vs INS

La₂CuO₄



R. Coldea et al, Phys. Rev. Lett. **86**, 5377 (2001).



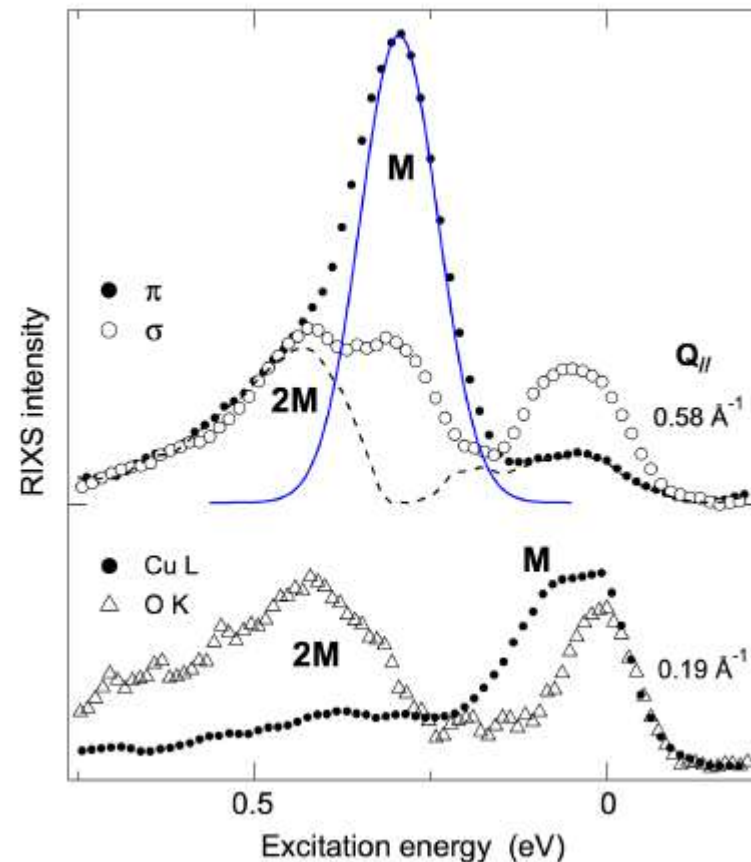
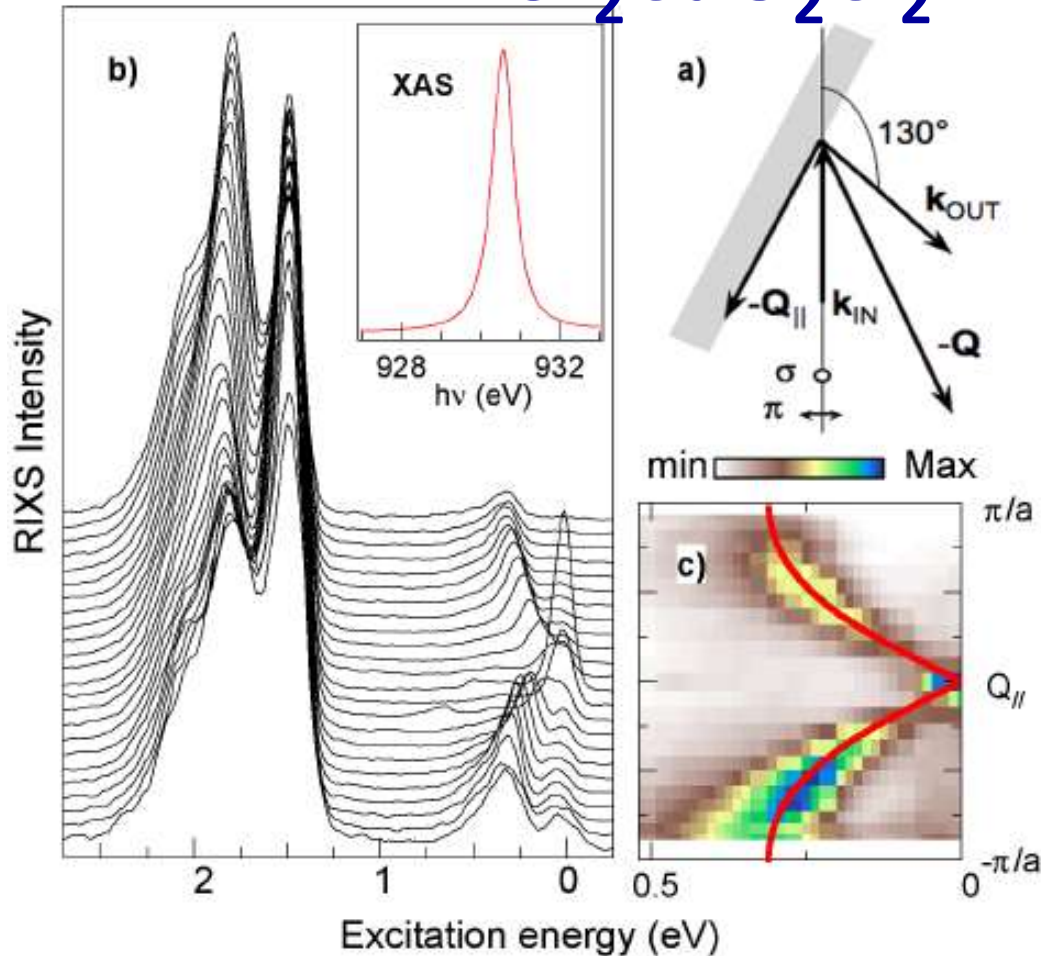
L. Braicovich, J. van den Brink, V. Bisogni, M. Moretti Sala, L. Ament, N.B. Brookes, G.M. de Luca, M. Salluzzo, T. Schmitt, and G. Ghiringhelli PRL **104** 077002 (2010)

Magnetic excitations in AF cuprates

2008



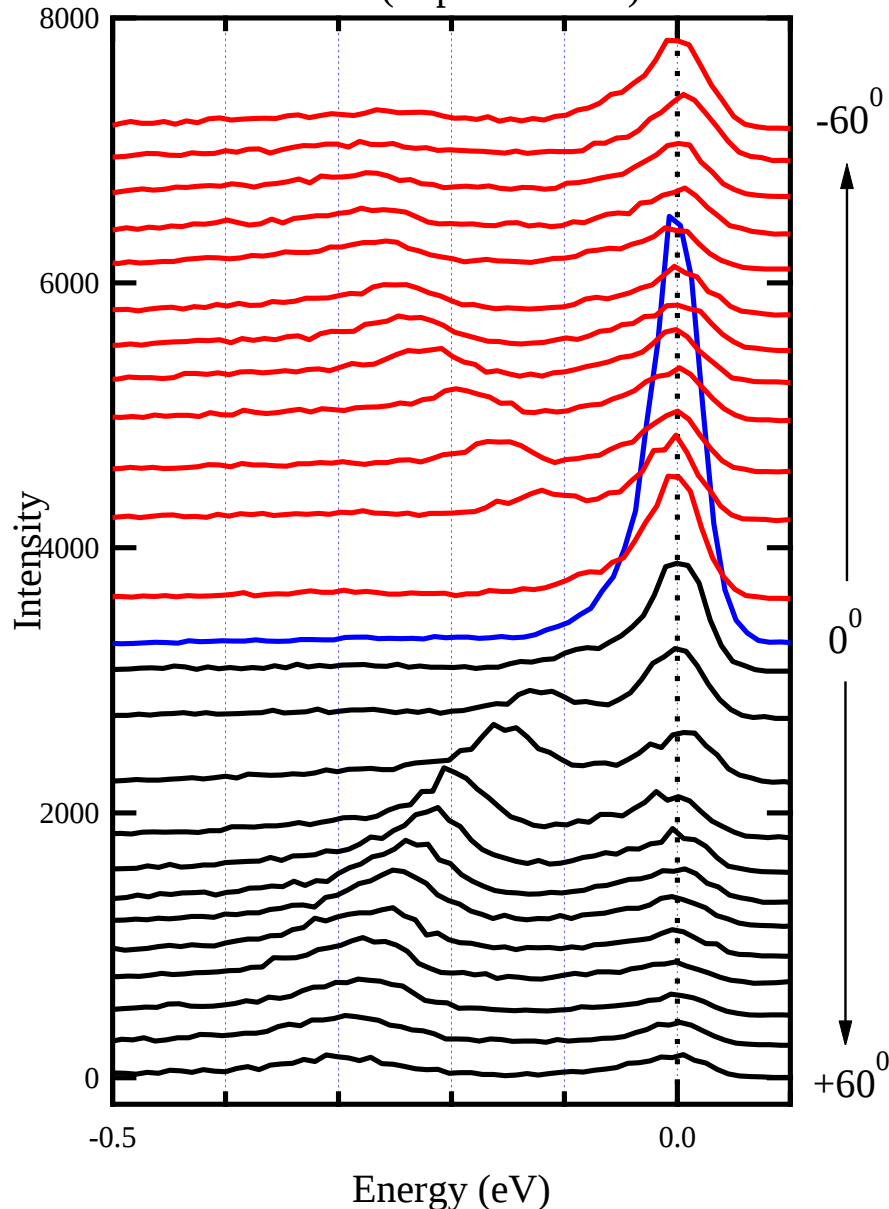
ΔE 0.12 eV



M. Guarise, B. Dalla Piazza, M. Moretti Sala, G. Ghiringhelli, L. Braicovich, H. Berger, J.N. Hancock, D. van der Marel, T. Schmitt, V.N. Strocov, L.J.P. Ament, J. van den Brink, P.-H. Lin, P. Xu, H. M. Rønnow, and M. Grioni. Phys. Rev. Lett. **105**, 157006 (2010)

AF $\text{NdBa}_2\text{Cu}_3\text{O}_{6+\delta}$: magnon optical branch

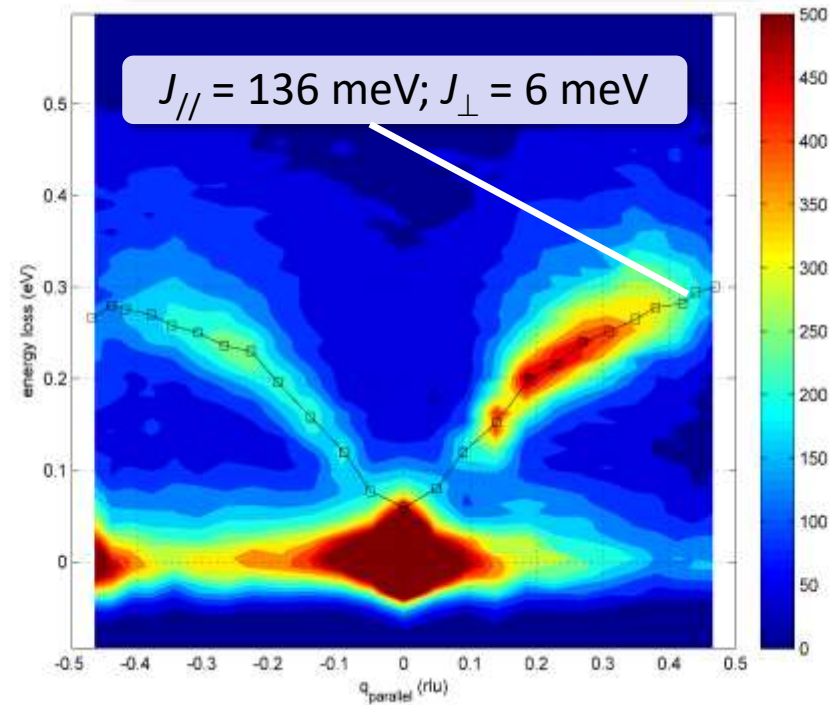
NBCO (H-polarization)



July 2015

BW = 50 meV

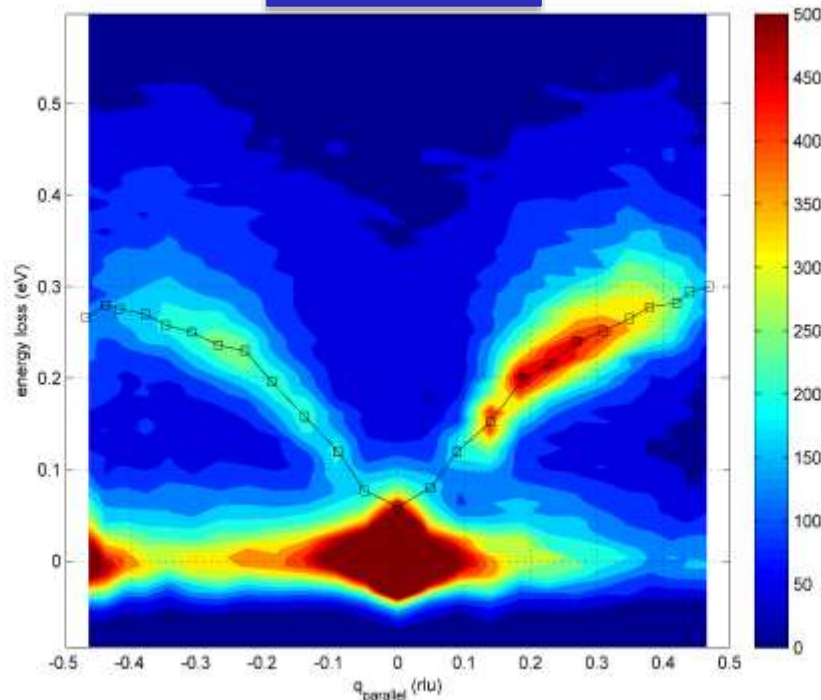
π pol. – spin-flip



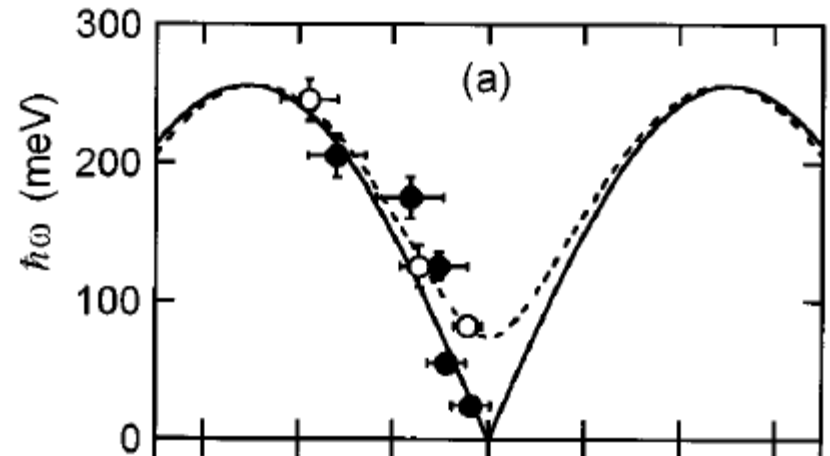
Y.Y. Peng, G. Dellea, M. Minola, G.M. De Luca, M. Salluzzo, M. Le Tacon, B. Keimer, L. Braicovich, N.B. Brookes, and G. Ghiringhelli, unpublished

Comparing RIXS with INS

NBCO AF
RIXS



YBCO AF
INS



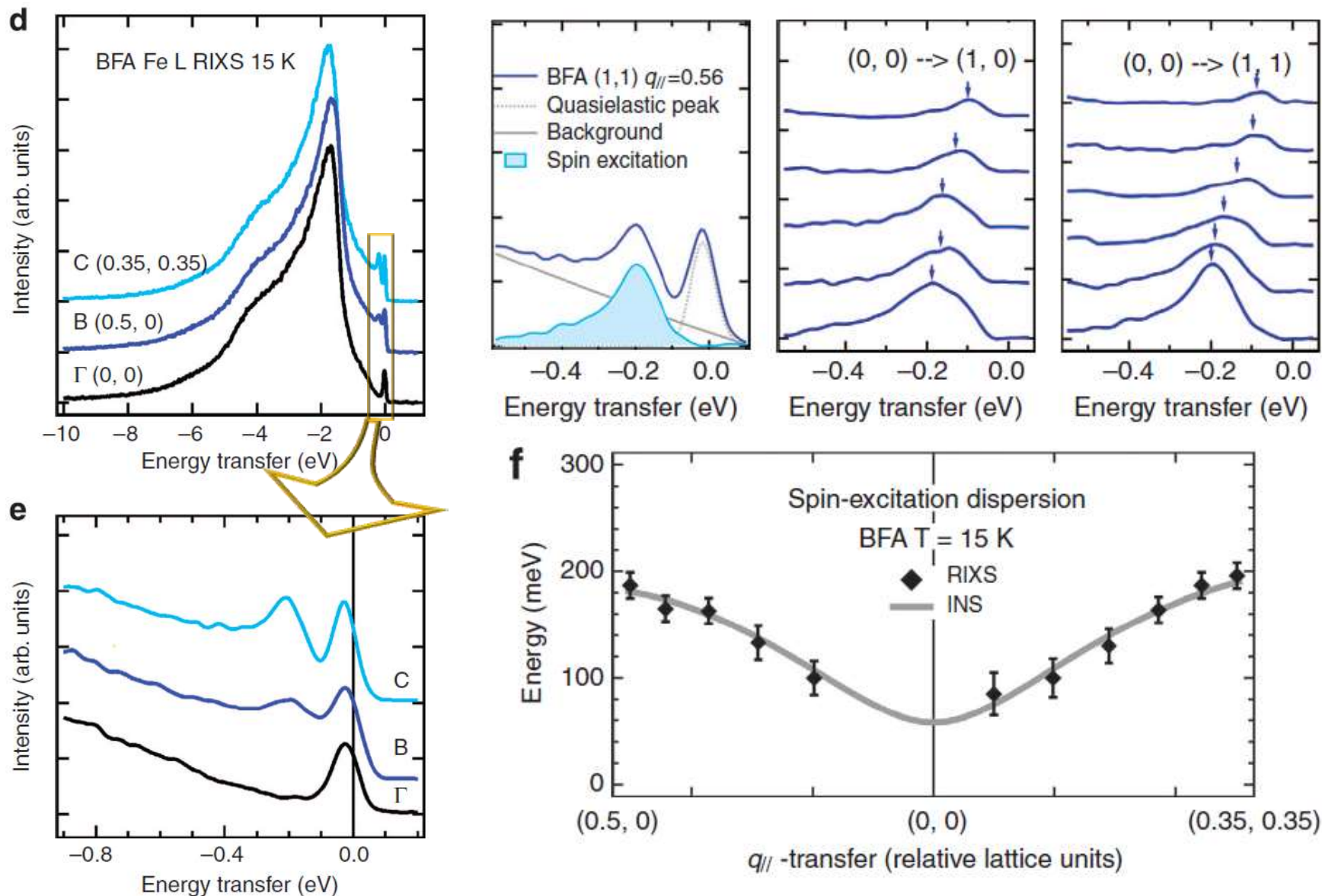
“ $\text{YBa}_2\text{Cu}_3\text{O}_{6.15}$ with mass 96 g.
[...]the resolution in energy was
2 meV and in Q was $0.05 \text{ \AA}^{-1}$.”

100 nm thick film $\text{NdBa}_2\text{CuO}_{6.2}$.
BW 55meV, $\Delta Q=0.02 \text{ \AA}^{-1}$.

S. Hayden et al PRB 54 R6905 (1996)

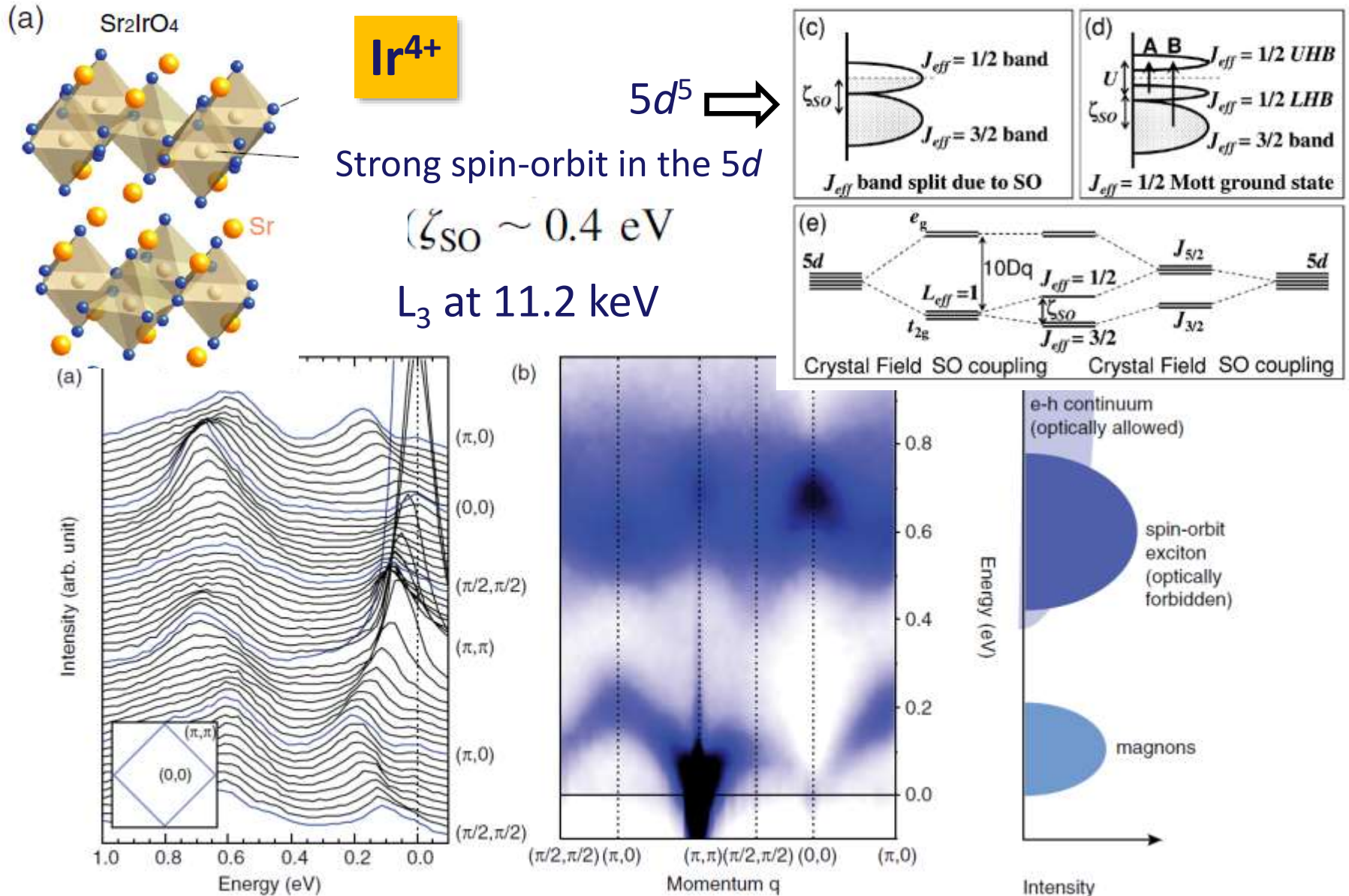
YY Peng, GG et al, unpublished

Magnons at Fe L_3 edge in BaFe_2As_2



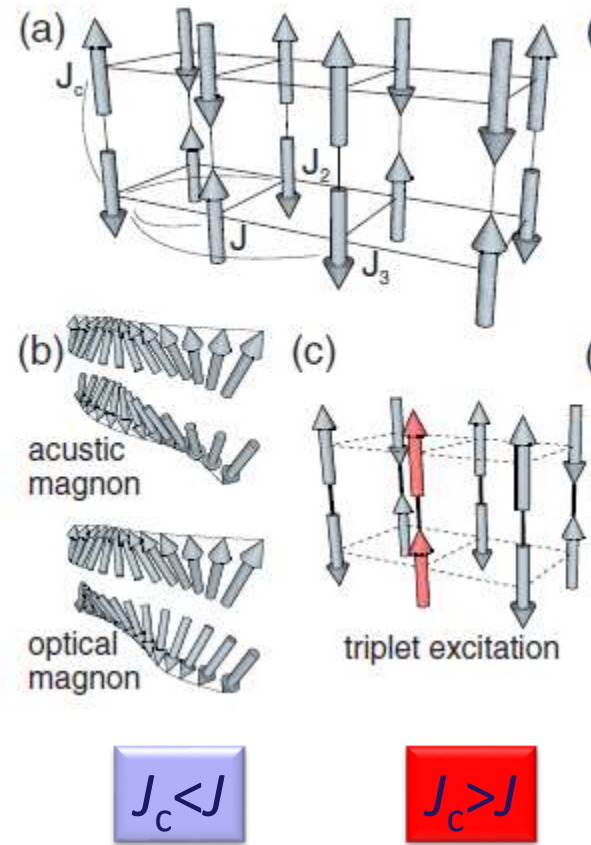
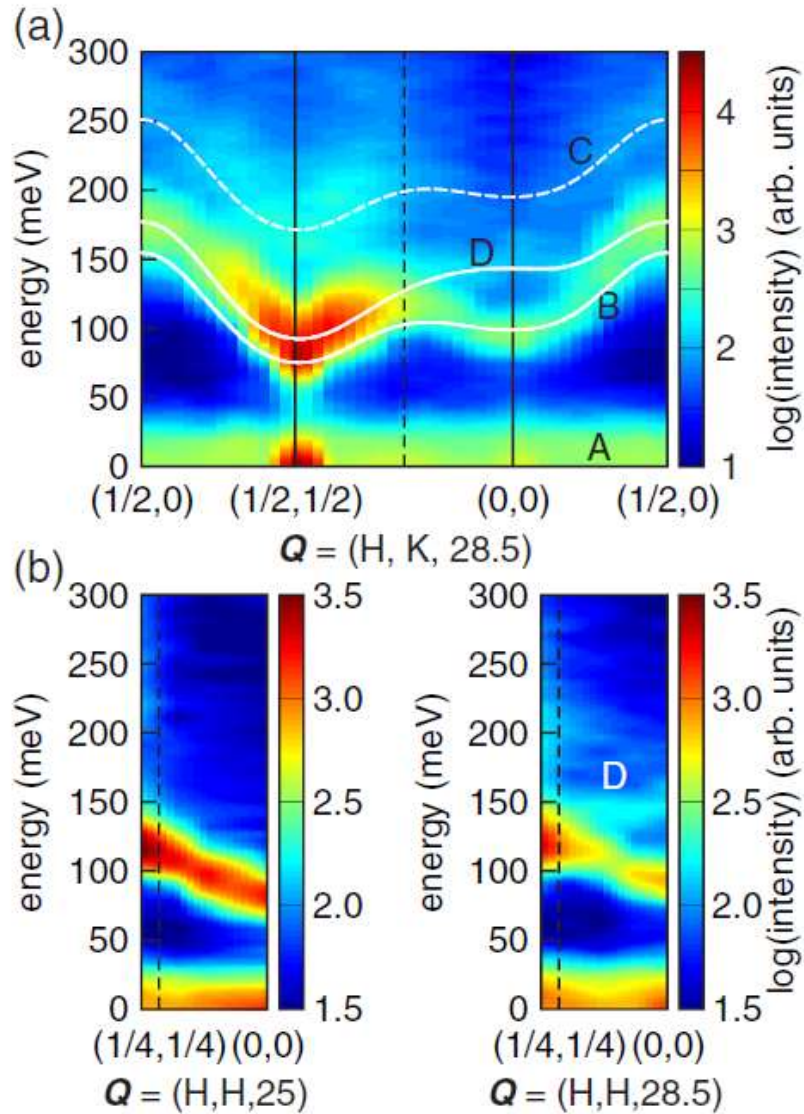
Ke-Jin Zhou, Yao-Bo Huang, Claude Monney, Xi Dai, Vladimir N. Strocov, Nan-Lin Wang, Zhi-Guo Chen, Chenglin Zhang, Pengcheng Dai, Luc Patthey, Jeroen van den Brink, Hong Ding & Thorsten Schmitt, *Nature Comm.* **4**, 1470 (2013)

Magnetic and orbital excitations in Sr_2IrO_4



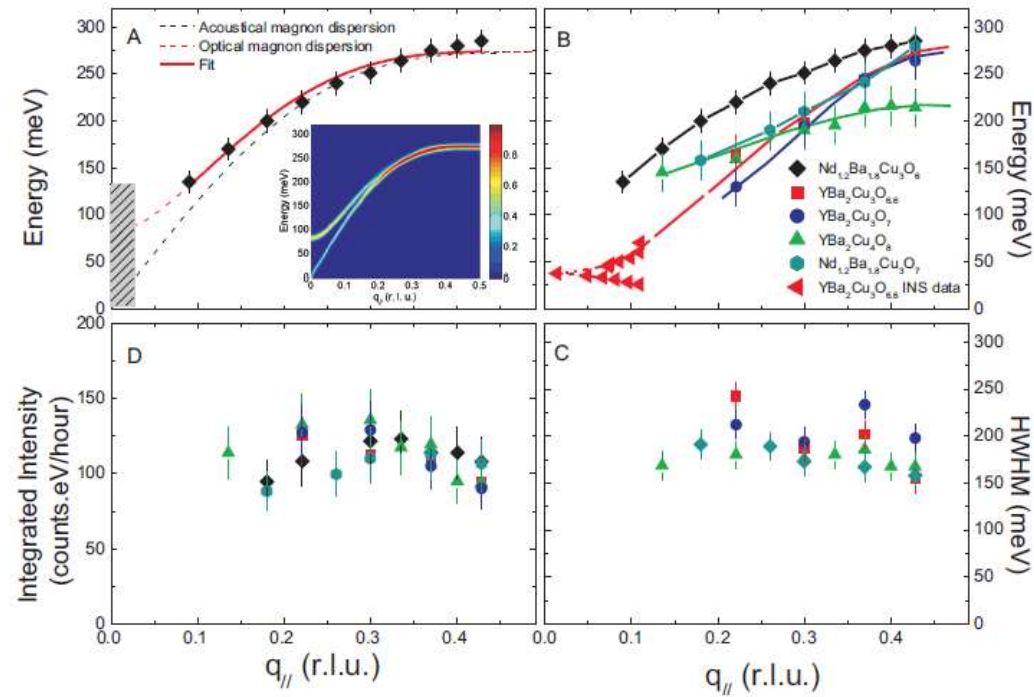
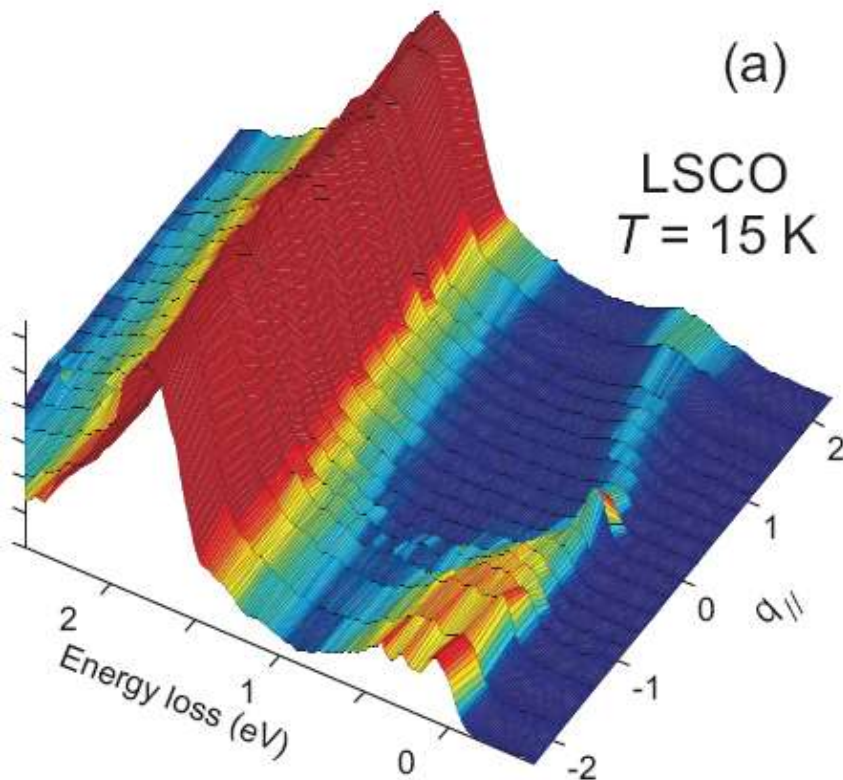
Jungho Kim, D. Casa, M. H. Upton, T. Gog, Young-June Kim, J. F. Mitchell, M. van Veenendaal, M. Daghofer, J. van den Brink, G. Khaliullin, and B. J. Kim, Phys. Rev. Lett. **108**, 177003 (2012)

Magnetic excitations in bilayer iridates



M. Moretti Sala, et al, PRB **92**, 024405 (2015)

Superconductors: LSCO, YBCO and NdBCO



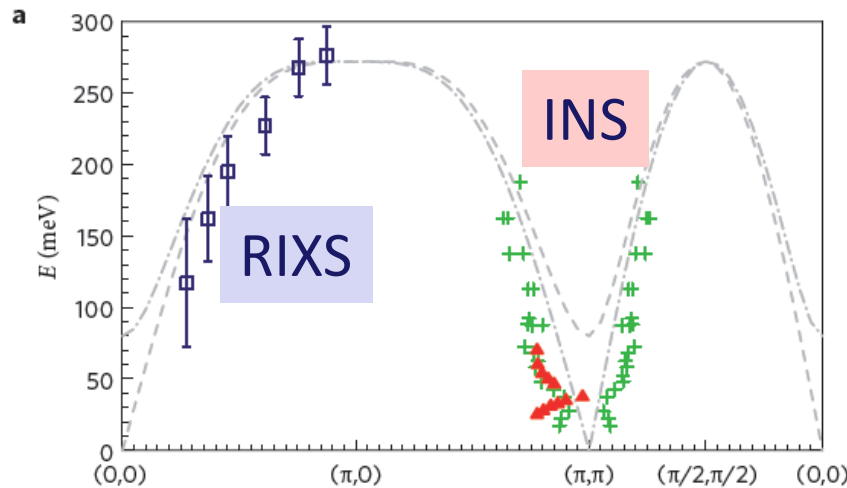
LSCO & NdBCO: 100 nm films on STO. YBCO: detwinned single crystals

Dispersing magnetic excitations are almost as strong in SC as in the AF parent compounds: they can be involved in Cooper pairing

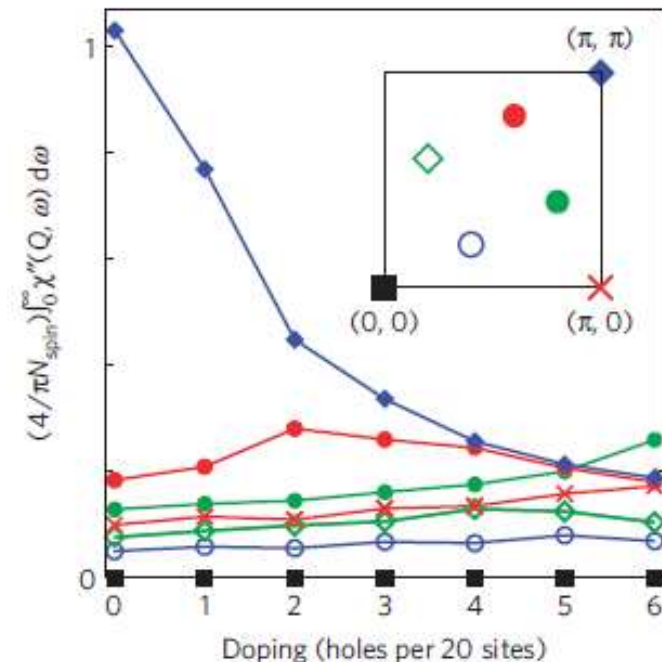
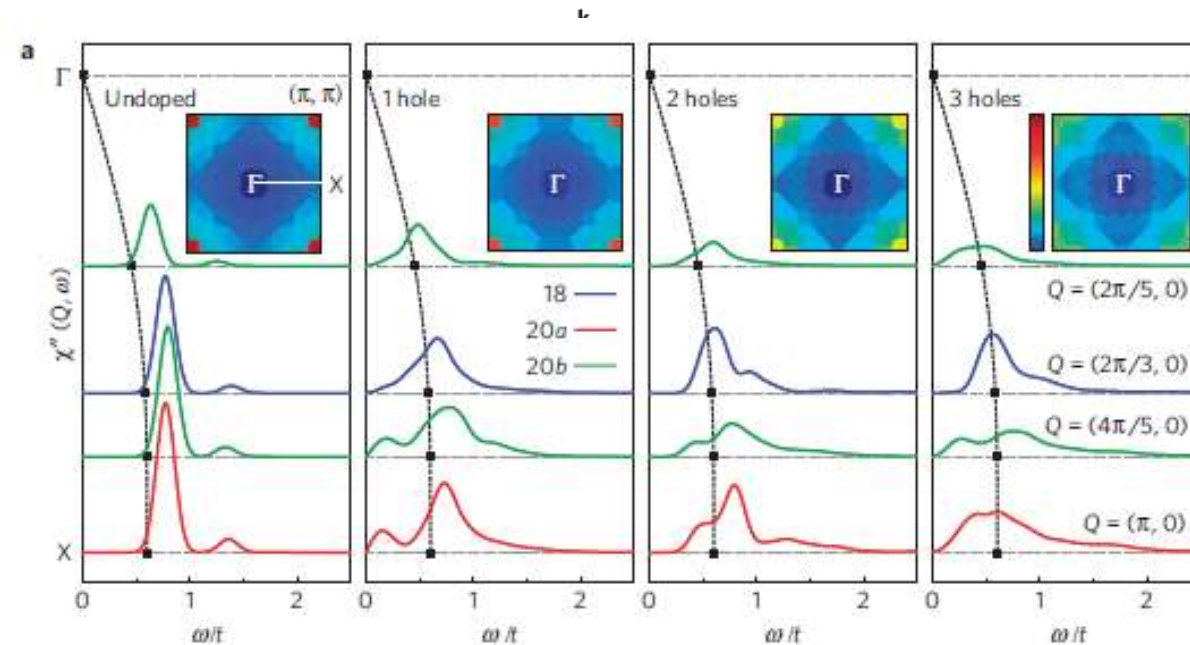
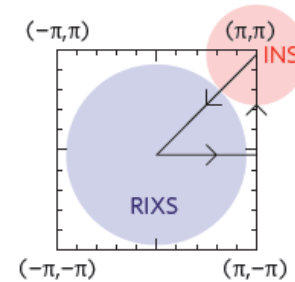
L. Braicovich, J. van den Brink, V. Bisogni, M. Moretti Sala, L. Ament, N.B. Brookes, G.M. de Luca, M. Salluzzo, T. Schmitt, and G. Ghiringhelli PRL **104** 077002 (2010)

M. Le Tacon, G. Ghiringhelli, J. Chaloupka, M. Moretti Sala, V. Hinkov, M.W. Haverkort, M. Minola, M. Bakr, K. J. Zhou, S. Blanco-Canosa, C. Monney, Y. T. Song, G. L. Sun, C. T. Lin, G. M. De Luca, M. Salluzzo, G. Khaliullin, T. Schmitt, L. Braicovich and B. Keimer, Nat. Phys. **7**, 725 (2011)

YBCO: doping dependence of χ''



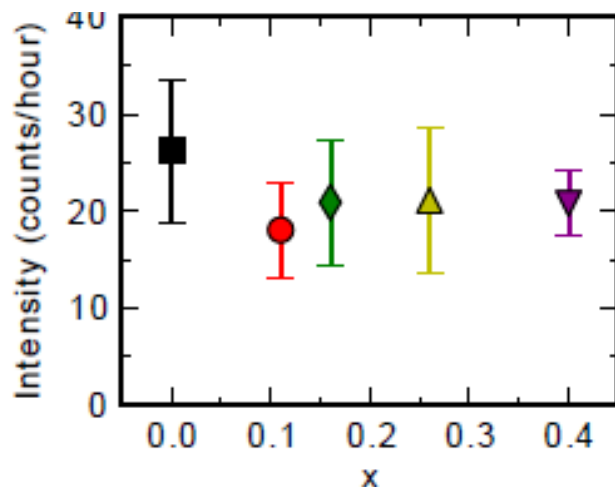
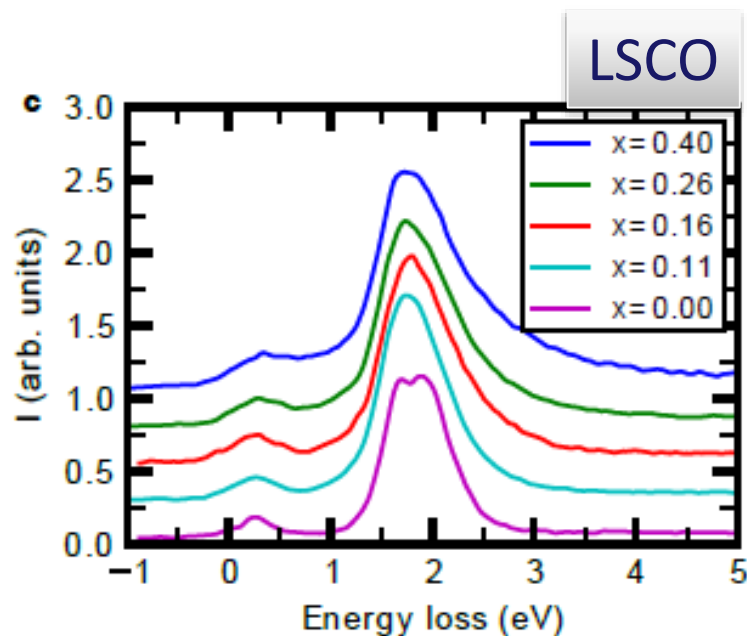
Matthias Vojta, *News and Views*, Nature Physics **7**, 674 (2011)



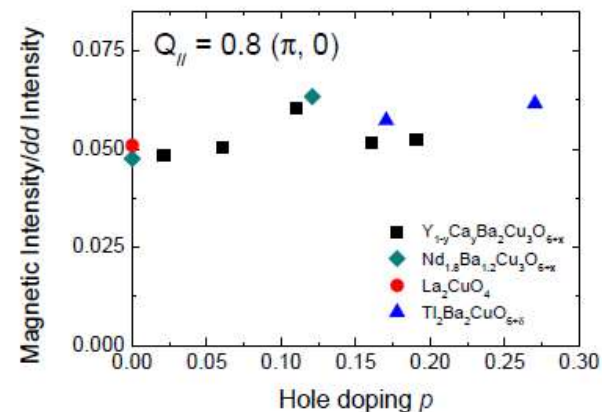
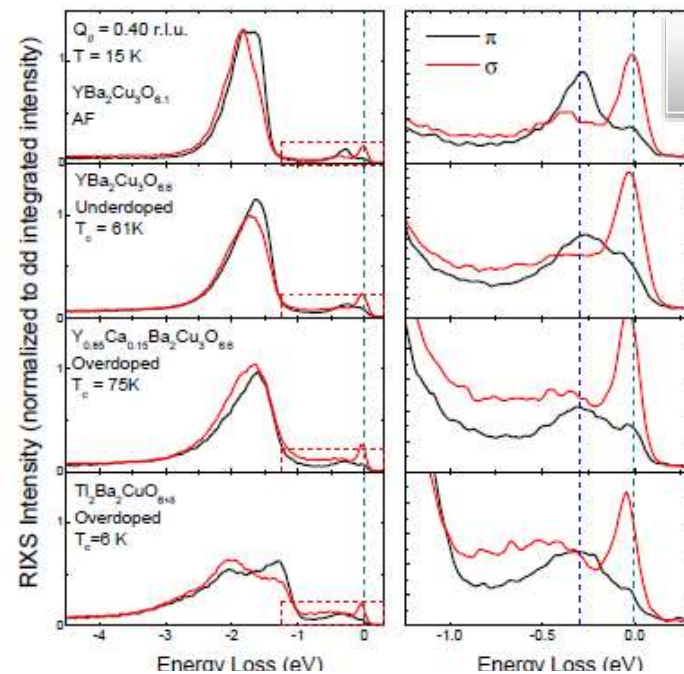
Imaginary part of the spin susceptibility $\chi''(Q; \omega)$ resulting from exact diagonalization of the t - J model with $J/t=0.3$ on small cluster. (G. Khaliullin)

Energy-integrated χ'' of the 20-site cluster (normalized) for 7 accessible non-equivalent Q vectors. (G. Khaliullin)

Peristent magnetic excits in overdoped cuprates



π pol

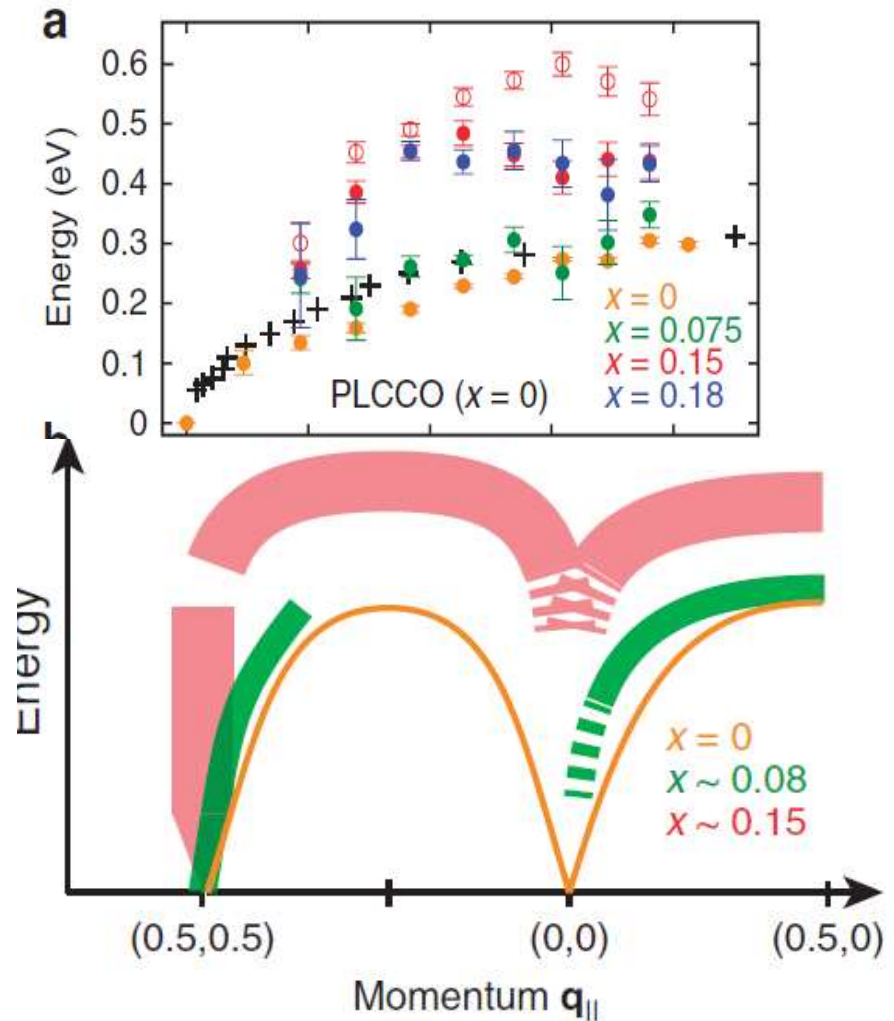
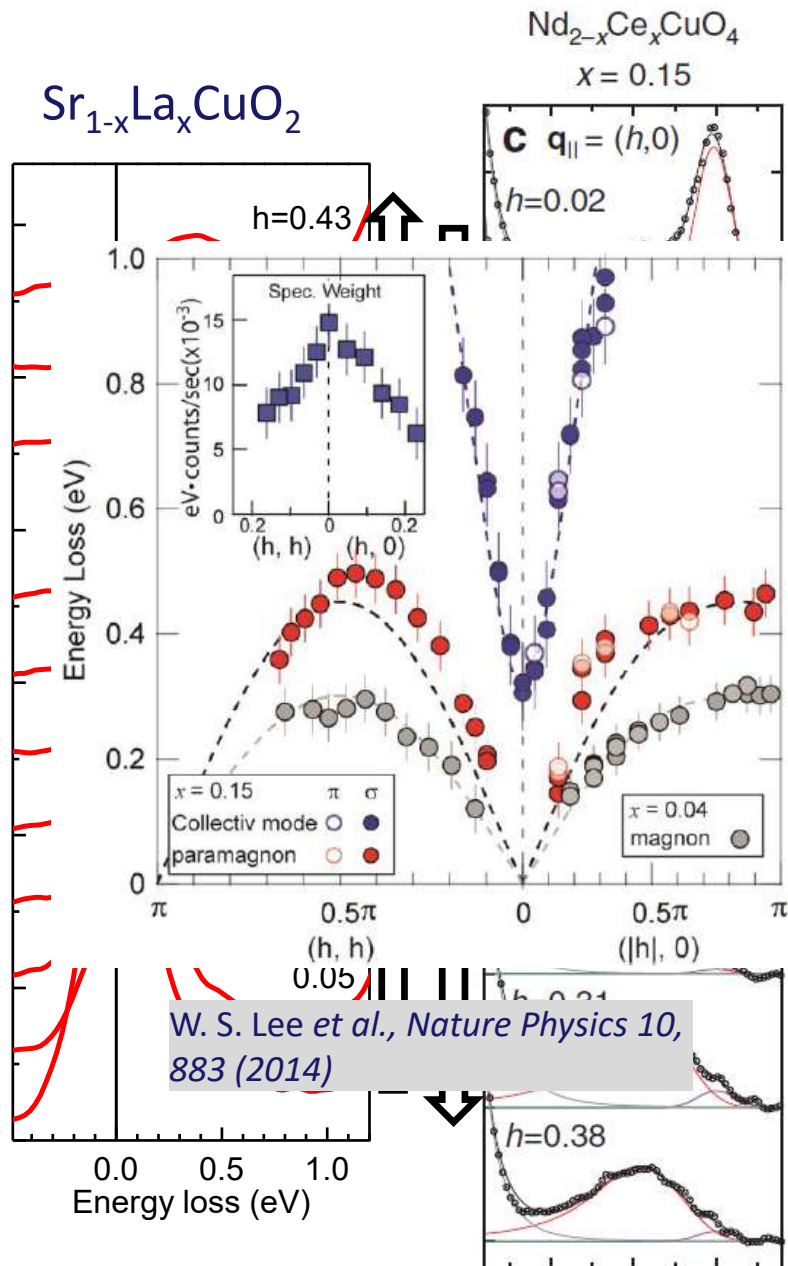


M. P. M. Dean,, . G. Dellea, R. S. Springell, F. Yakhov-Harris, K. Kummer, N. B. Brookes, X. Liu, Y.-J. Sun, J. Strle, T. Schmitt, L. Braicovich, G. Ghiringhelli, I. Bozovic, and J. P. Hill, Nat. Mater. **12**, 1019 (2013)

Giacomo Ghiringhelli 2016

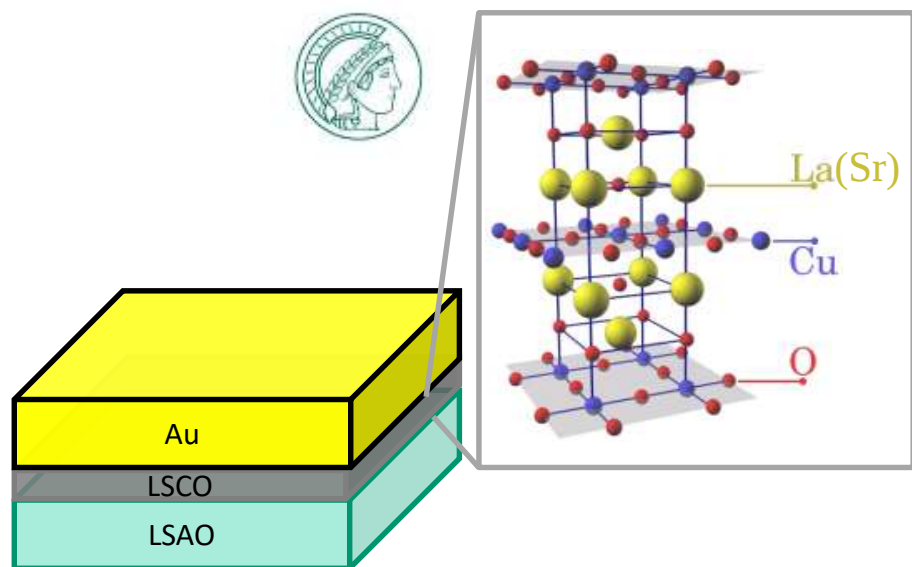
M. Le Tacon, M. Minola, D. C. Peets, M. Moretti Sala, S. Blanco-Canosa, V. Hinkov, R. Liang, D. A. Bonn, W. N. Hardy, C. T. Lin, T. Schmitt, L. Braicovich, G. Ghiringhelli, and B. Keimer, Phys. Rev. B **88**, 020501 (2013)

Spin excitations in e-doped SC



K. Ishii, M. Fujita, T. Sasaki, M. Minola, G. Dellea, C. Mazzoli, K. Kummer, G. Ghiringhelli, L. Braicovich, T. Tohyama, K. Tsutsumi, K. Sato, R. Kajimoto, K. Ikeuchi, K. Yamada, M. Yoshida, M. Kurooka & J. Mizuki, *Nat. Comm.* 5, 3714 (2014)

Individual cuprate layers

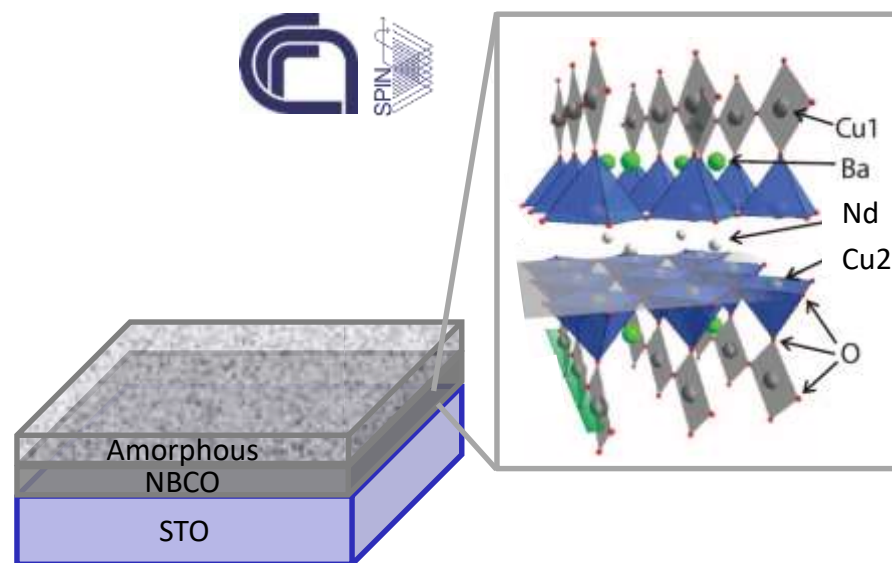


▪ $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ (LSCO) opt. doped $x=0.16$

▪ **Au capping** (10÷15 nm thick)

- 1 u.c. non-SC
- 2 u.c. $T_c \approx 20$ K
- 4 u.c. $T_c \approx 25$ K
- 30 u.c. $T_c \approx 38$ K

Grown by G. Logvenov, G. Cristiani, F. Baiutti



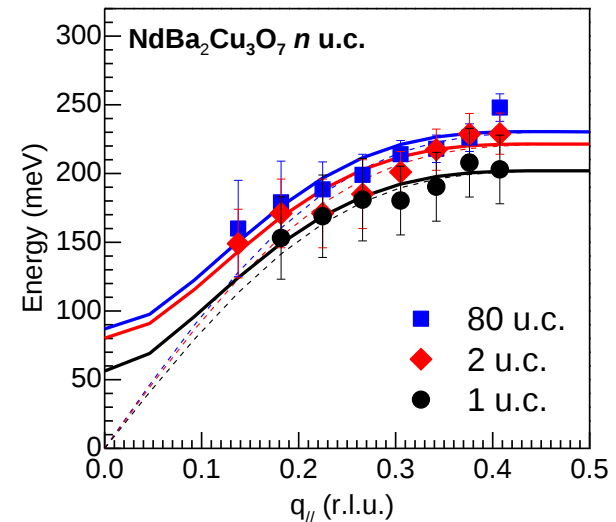
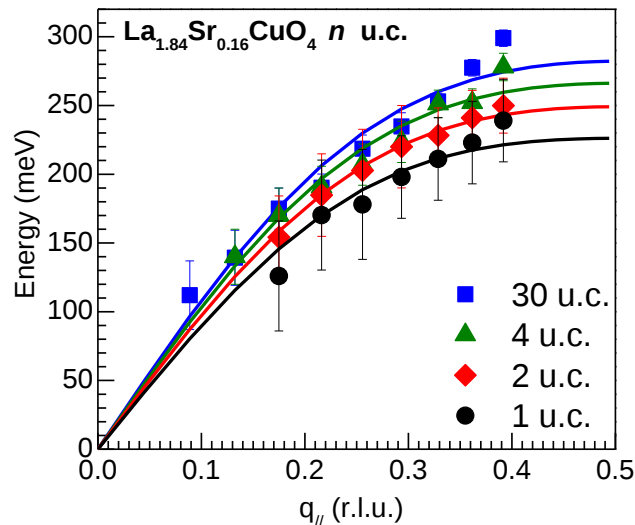
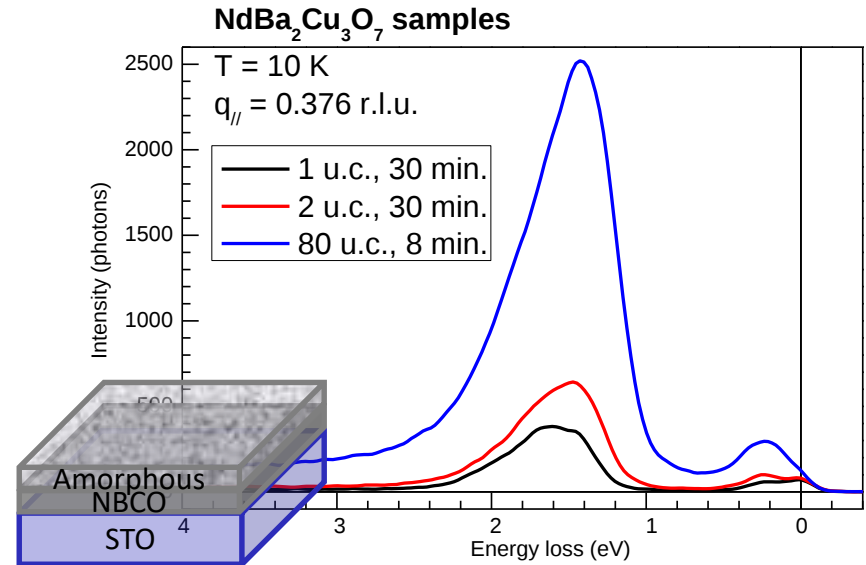
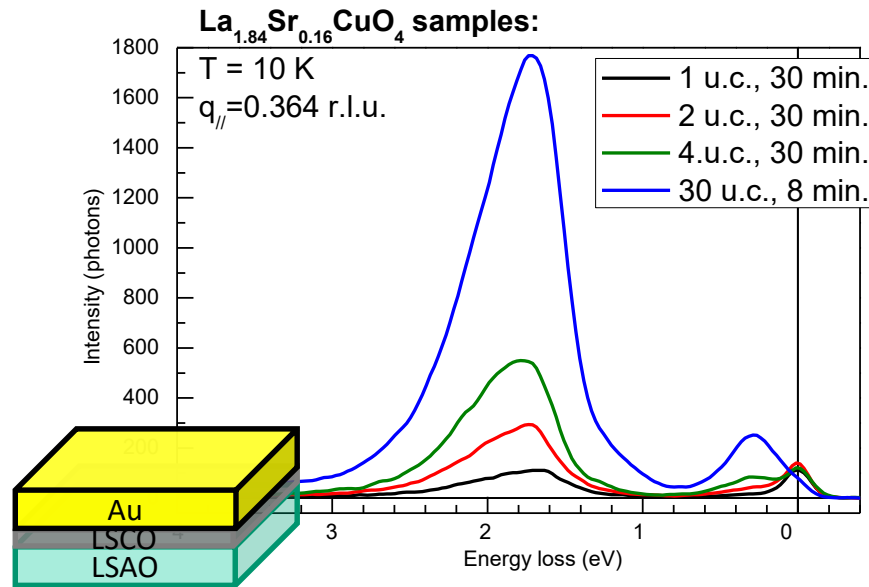
▪ $\text{NdBa}_2\text{Cu}_3\text{O}_7$ (NBCO) optimally doped

▪ **Amorphous NBCO capping**
(equivalent thickness of 2 u.c.)

- 1 u.c. non-SC
- 2 u.c. $T_c \approx 93$ K
- 80 u.c. $T_c \approx 93$ K

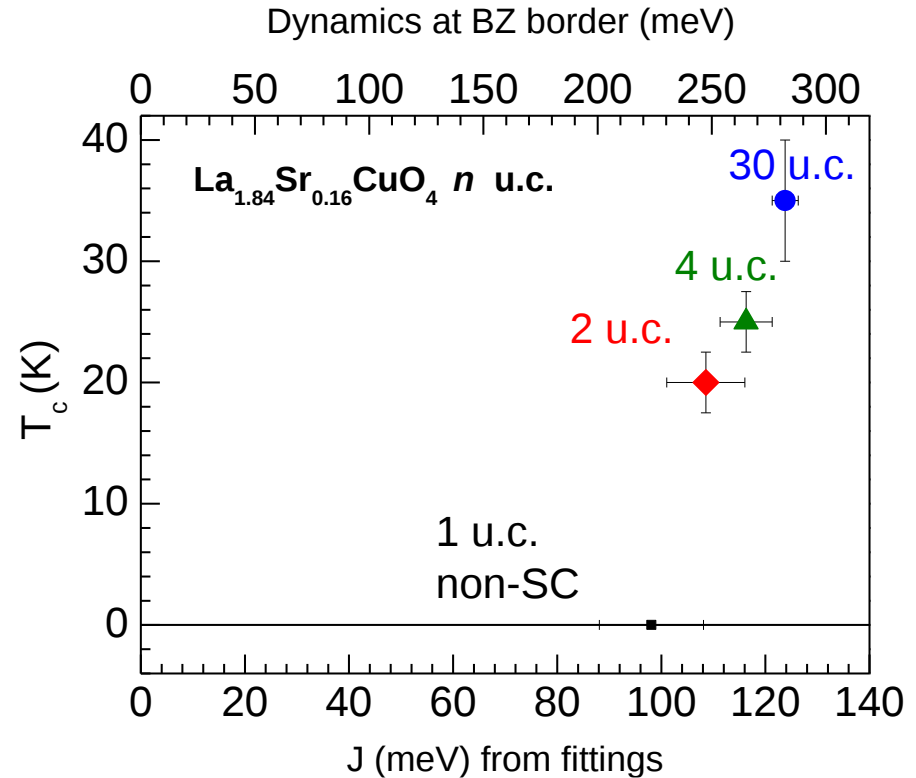
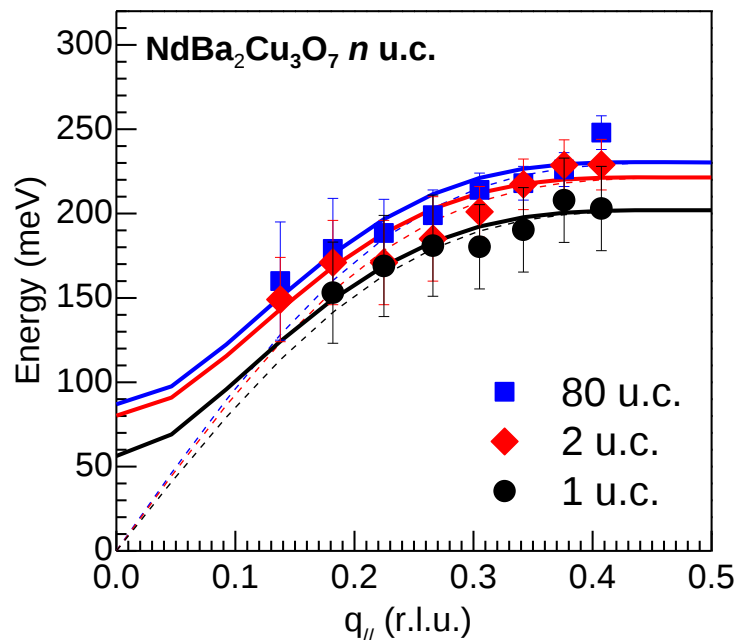
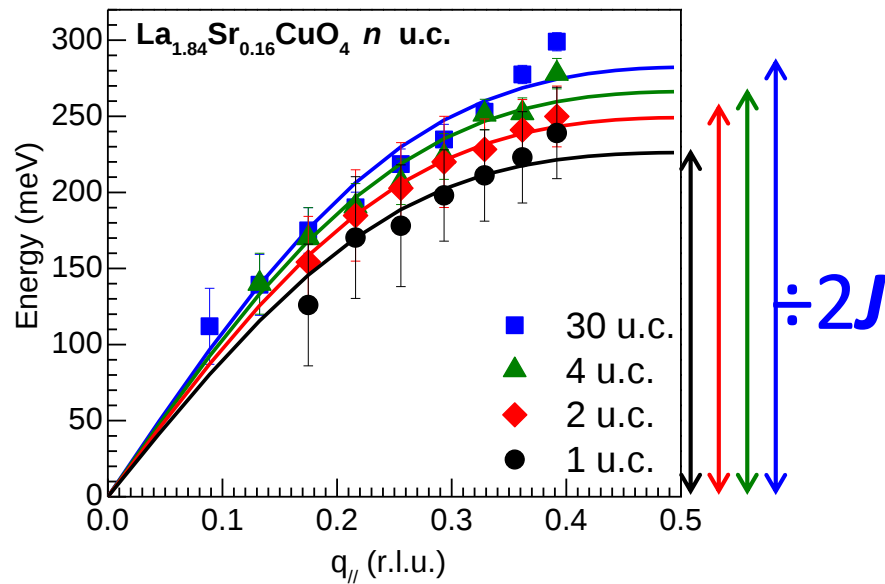
Grown by M. Salluzzo

Exceptional sensitivity of RIXS



Experiment at ADRESS beam line of SLS: **M. Minola**, G. Dellea, M. Salluzzo, V. Bisogni, T. Schmitt, M. Le Tacon, G. Logvenov, G. Cristiani, F. Baiutti, B. Keimer, L. Braicovich, G. Ghiringhelli, unpublished

Small effect of thickness on J

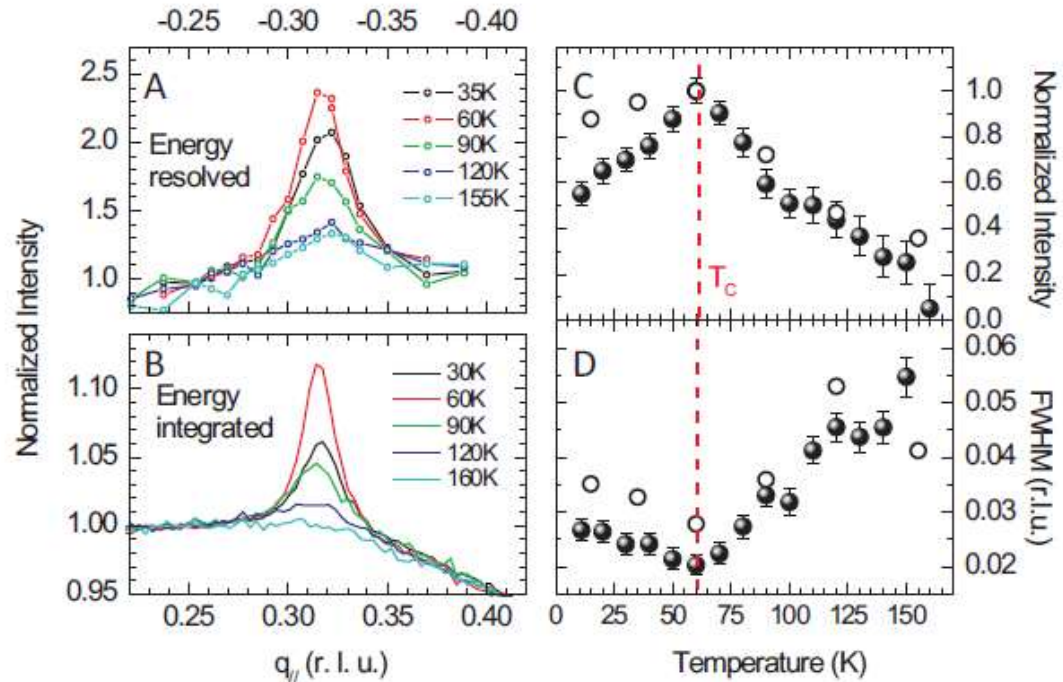
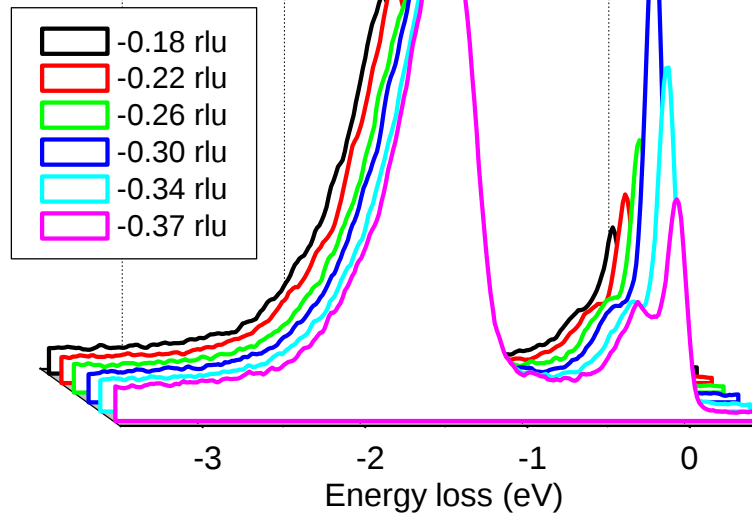


Linear spin-wave theory fittings
 J decreases at lower film thickness, but only by 20% from bulk to 1 uc

RIXS revealed Charge Order in HTcS

NBCO $T_c=65K$

V pol, $T=15K$



Max intensity at T_c : CO compete with SC

G. Ghiringhelli, M. Le Tacon, M. Minola, S. Blanco-Canosa, C. Mazzoli, N.B. Brookes, G.M. De Luca, A. Frano, D. G. Hawthorn, F. He, T. Loew, M. Moretti Sala, D.C. Peets, M. Salluzzo, E. Schierle, R. Sutarto, G. A. Sawatzky, E. Weschke, B. Keimer, L. Braicovich, Science **337**, 821 (2012)

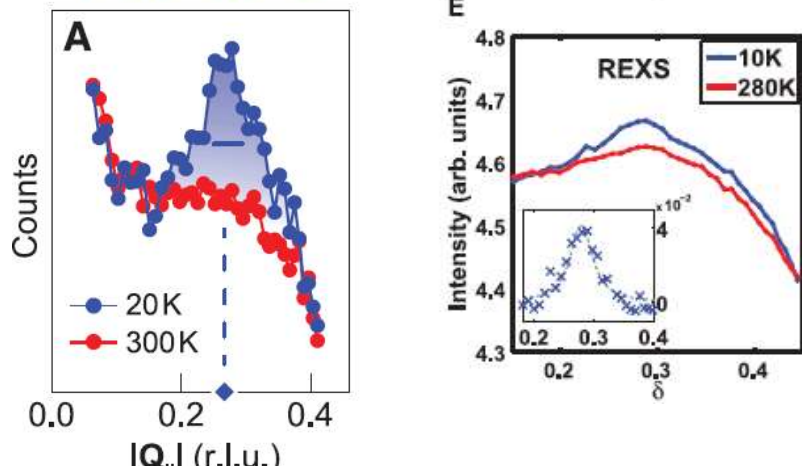
RXS (at Cu L_3 and O K) in combination with STM, XRD and NMR has demonstrated that CO is ubiquitous in cuprates

UD Bi2201, Bi2212, Hg1201 and OPD Bi2212

Bi2201 and Bi2212 underdoped

Bi2212 optimally doped

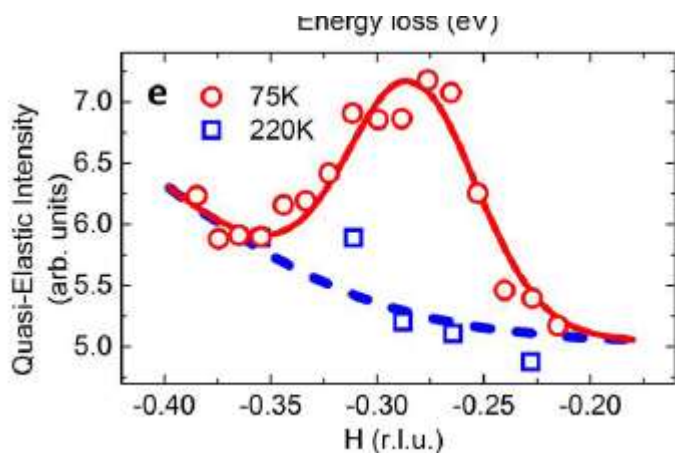
REXS - UD15K



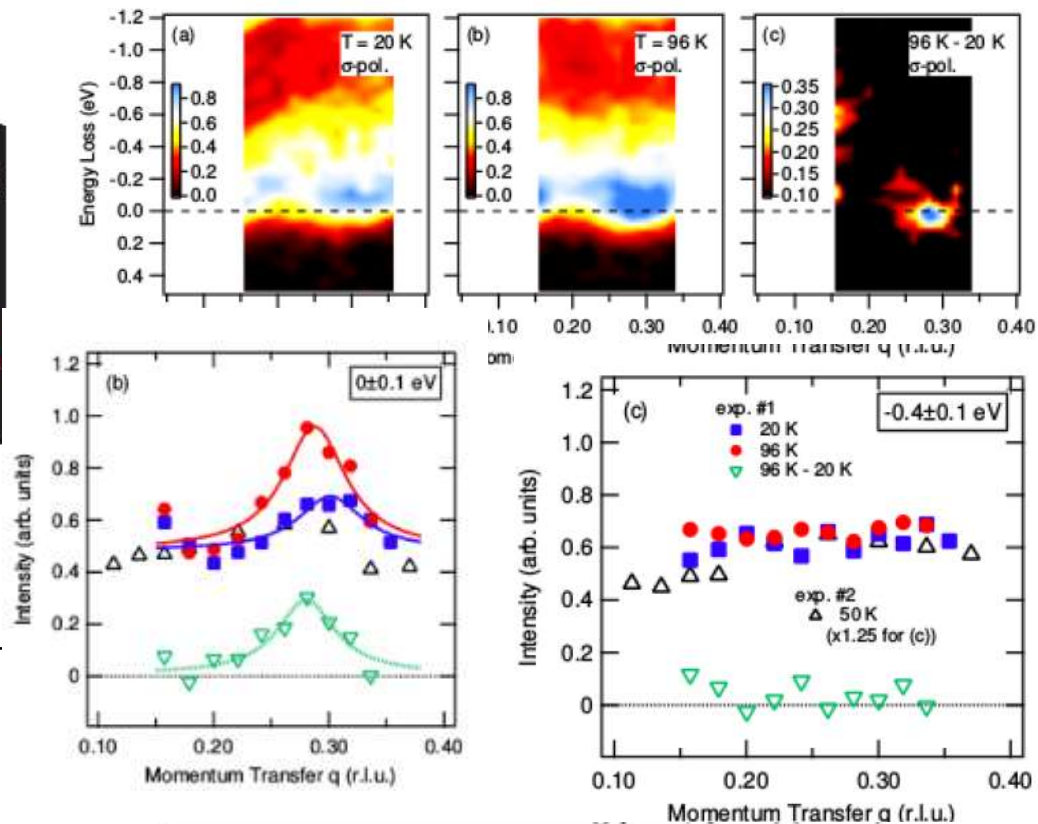
R. Comin et al, Science 343, 390 (2014);

Eduardo H. da Silva Neto et al, Science 343, 393 (2014)

Hg1201 underdoped



W. Tabis et al, Nat. Comm. 6875 (2014)



Sample	p	T_c	$q_{ }$ (r.l.u.)	ξ (Å)	refs.
Bi2201	0.115	15	0.265	26	[16]
Bi2201	0.130	22	0.257	23	[16]
Bi2201	0.145	30	0.243	21	[16]
Bi2212	0.09	45	0.30	24	[15]
Bi2212	0.160	98	0.28	<24 (at T_c)	this work
YBCO	0.115	61	0.32	~60 (at T_c)	[8, 10]
LBCO	0.125	2.5	0.236	~200	[4, 6-8]
LBCO	0.155	30	0.244	~240 (15 - 25 K)	[4, 6-8]

M. Hashimoto, G. Ghiringhelli et al, PRB 89 220511 (2014)

ENERGY RESOLUTION: progress in the last 20 years

$\Delta E \sim 1.6 \text{ eV}$

K. Ichikawa *et al.*, J. Electron Spectrosc. Relat. Phenom. **78**, 183 (1996).

$\Delta E \sim 1.2 \text{ eV}$

L. C. Duda *et al.*, J. Electron Spectrosc. Relat. Phenom. **110–111**, 275 (2000).

$\Delta E \sim 0.8 \text{ eV}$

AXES @ ID08, 2003. G. Ghiringhelli *et al.*, Phys Rev Lett. **92**, 117406 (2004).

$\Delta E \sim 0.45 \text{ eV}$

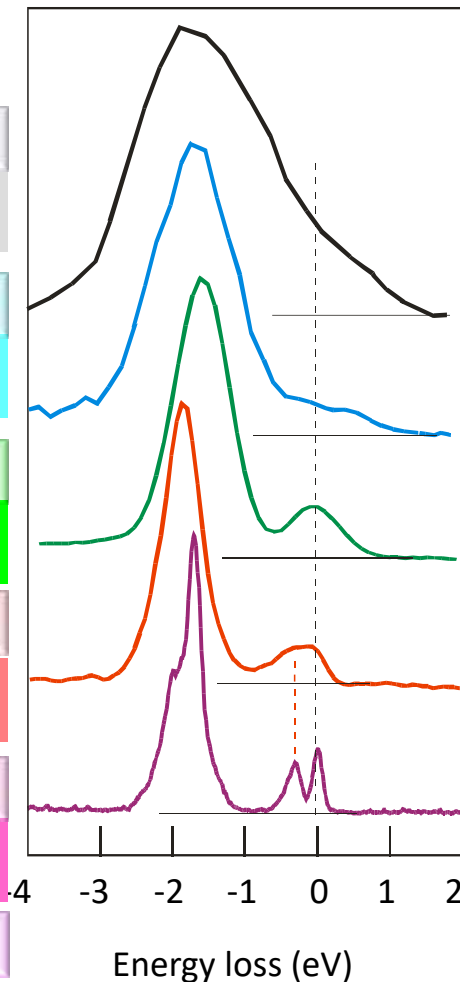
AXES @ ID08, 2007. L. Braicovich *et al.*, arXiv:0807.1140v1, (2008).

$\Delta E \sim 0.13 \text{ eV}$

SAXES @ SLS, 2008. G. Ghiringhelli, L. Braicovich, T. Schmit *et al.*, unpublished

$\Delta E \sim 0.050 \text{ eV}$

$\Delta E \sim 0.030 \text{ eV}$



La_2CuO_4
 $\text{Cu } 2p \rightarrow 3d$
Photon energy $\sim 931 \text{ eV}$

2000 Uppsala

2003 ESRF + AXES

2007

2008 SLS + SAXES

2015

2016

ESRF + ERIXS

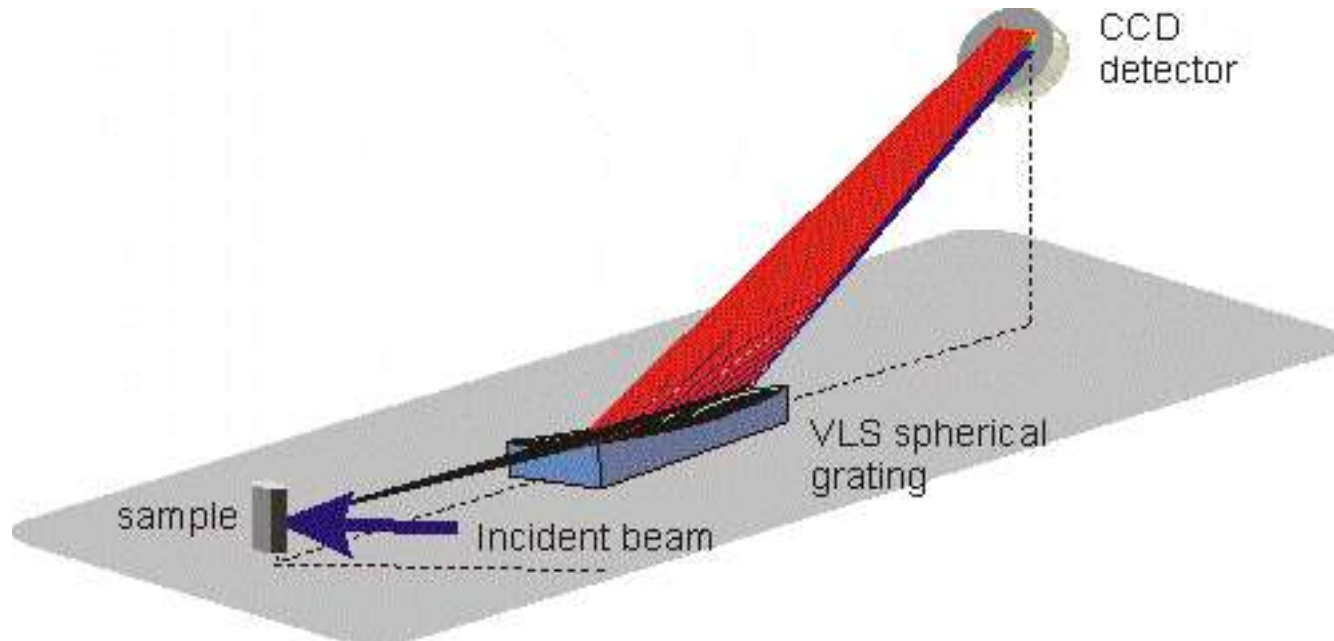
Combined resolving power has increased by a factor 30

Soft x-ray RIXS instrumentation

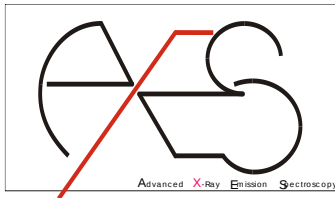
High resolution mono, small x-ray spot on the sample

Grating spectrometer: optimized efficiency, high resolution

The main limiting factor is INTENSITY!!!!



From AXES (ESRF, ID08) to SAXES (SLS, ADDRESS)



Since 1994: AXES at beam line
ID08 of the ESRF

$L = 2.2$ m

Design: $E/\Delta E = 2,000$ at Cu L_3 (930 eV)

2010: $E/\Delta E = 5,000$ at Cu L_3

Since 2007: SAXES at beam line
ADDRESS of the SLS

$L = 5.0$ m

Design: $E/\Delta E = 12,000$ at Cu L_3

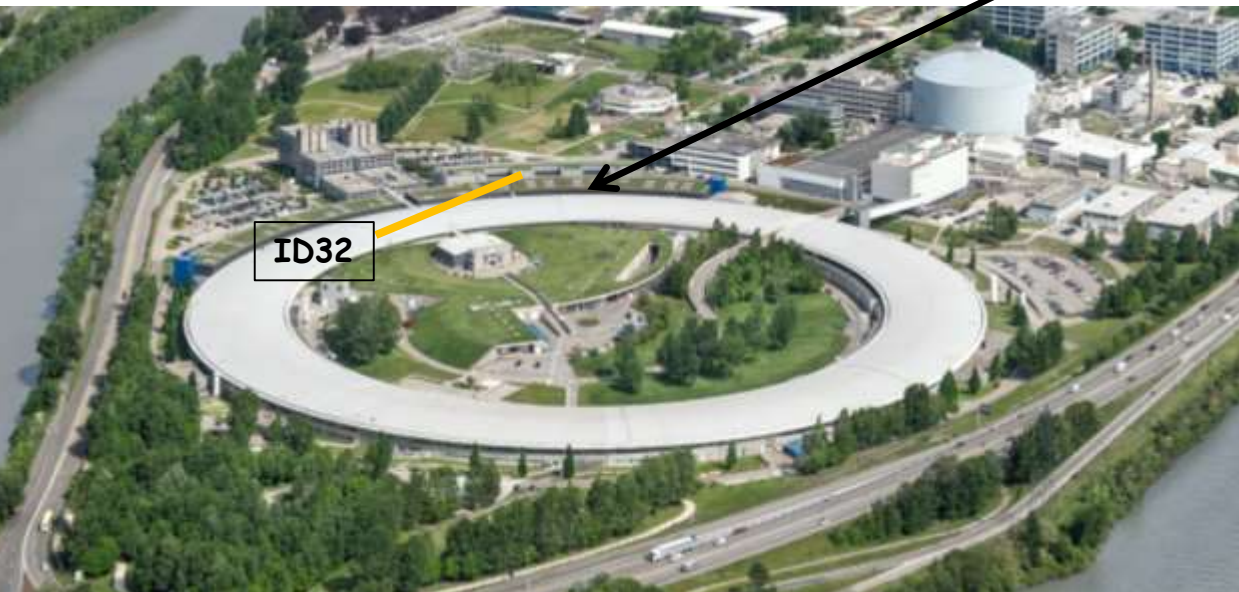
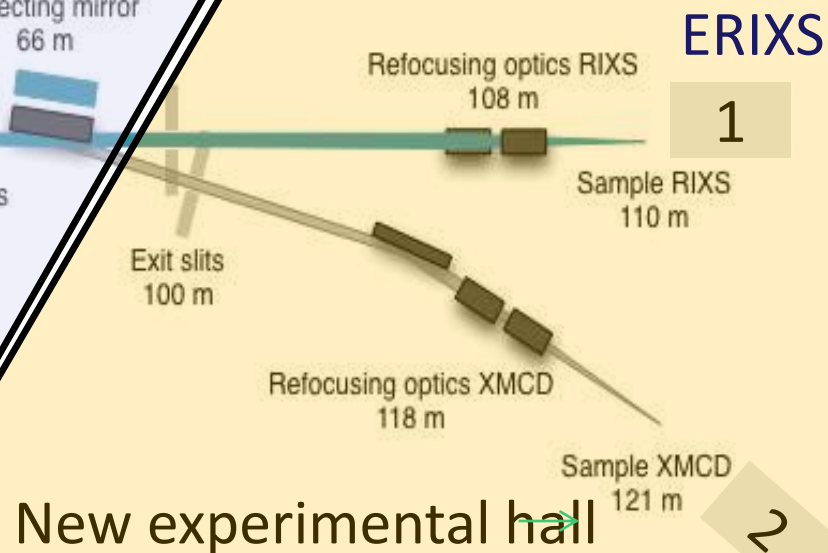
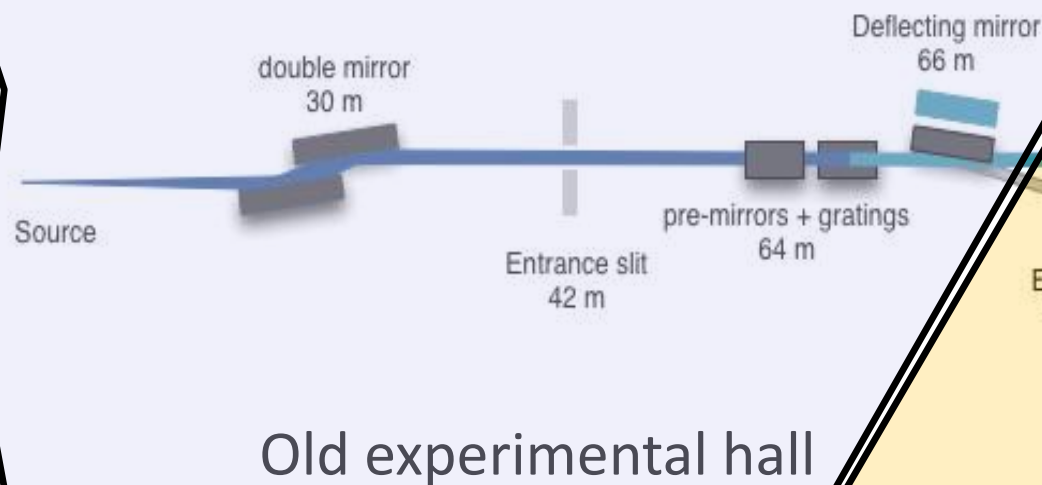
2011: $E/\Delta E = 11,000$ at Cu L_3

C. Dallera *et al.* J. Synchrotron Radiat. **3**, 231 (1996)
G. Ghiringhelli *et al.*, Rev. Sci. Instrum. **69**, 1610 (1998)
M. Dinardo *et al.*, Nucl. Instrum. Meth A **570**, 176 (2007)

G. Ghiringhelli, et al Rev. Sci. Instrum. **77**, 113108 (2006)
V. Strocov, T. Schmitt, L. Patthey et al, J. Synch. Rad., **17**, 631 (2010).



New ID32 at the ESRF



ERIXS spectrometer at the new ID32

FEATURES:

- $E/\Delta E > 20,000$ below 1000 eV from day one (50 meV at Cu L_3) and $E/\Delta E > 30,000$ ultimate
- continuous variation of scattering angle,
- full control of sample orientation (almost a diffractometer),
- measurement the linear polarization of the scattered photons (when needed)
- optionally in high magnetic field
- flexible sample environment: possibility of liquid and gas phase experiments

ESRF Upgrade program,
N.B. Brookes, F. Yakhou,
GG et al



Commissioning:

Beamline: started operations in Dec 2014

ERIXS: first experiment 1st July 2015

Full ERIXS user operation – fall 2015

ERIXS@ID32, ESRF, 27/04/2014



ERIXS, 27/04/2014



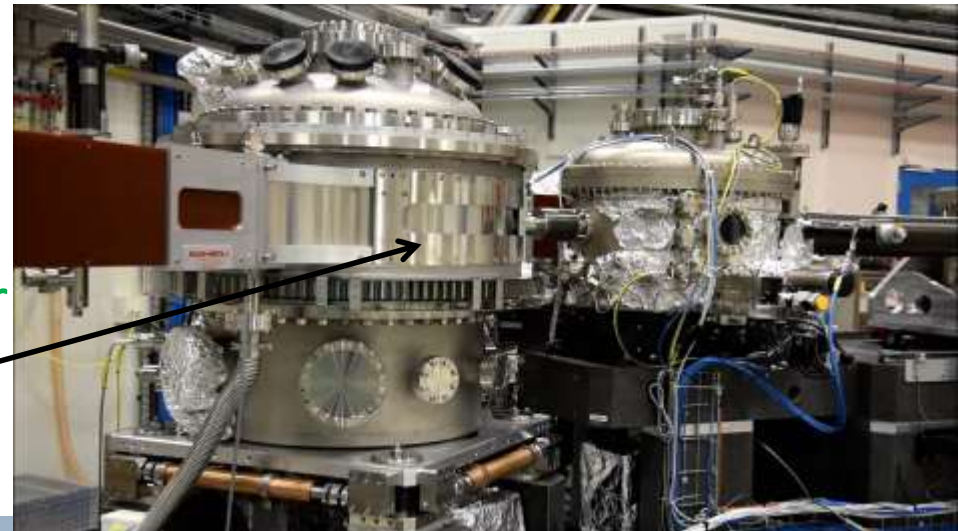
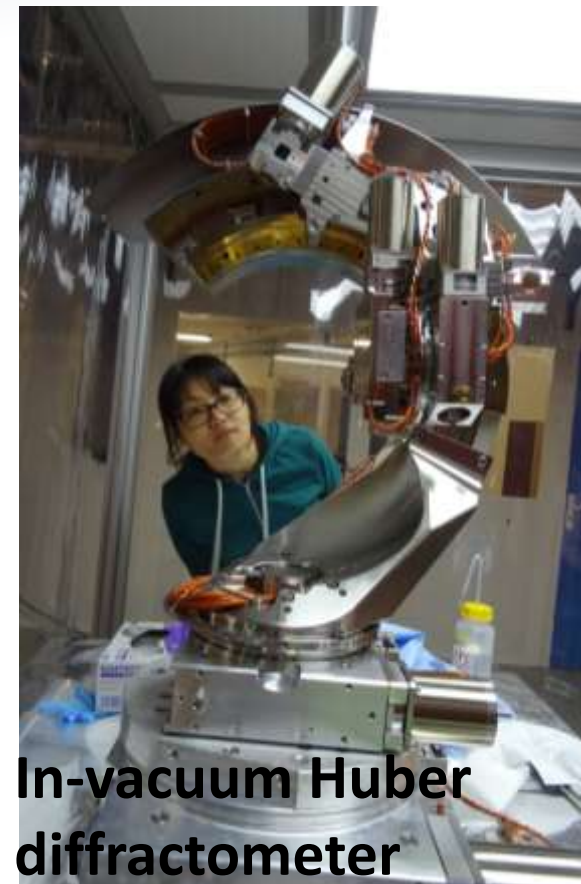
ERIXS Spectrometer



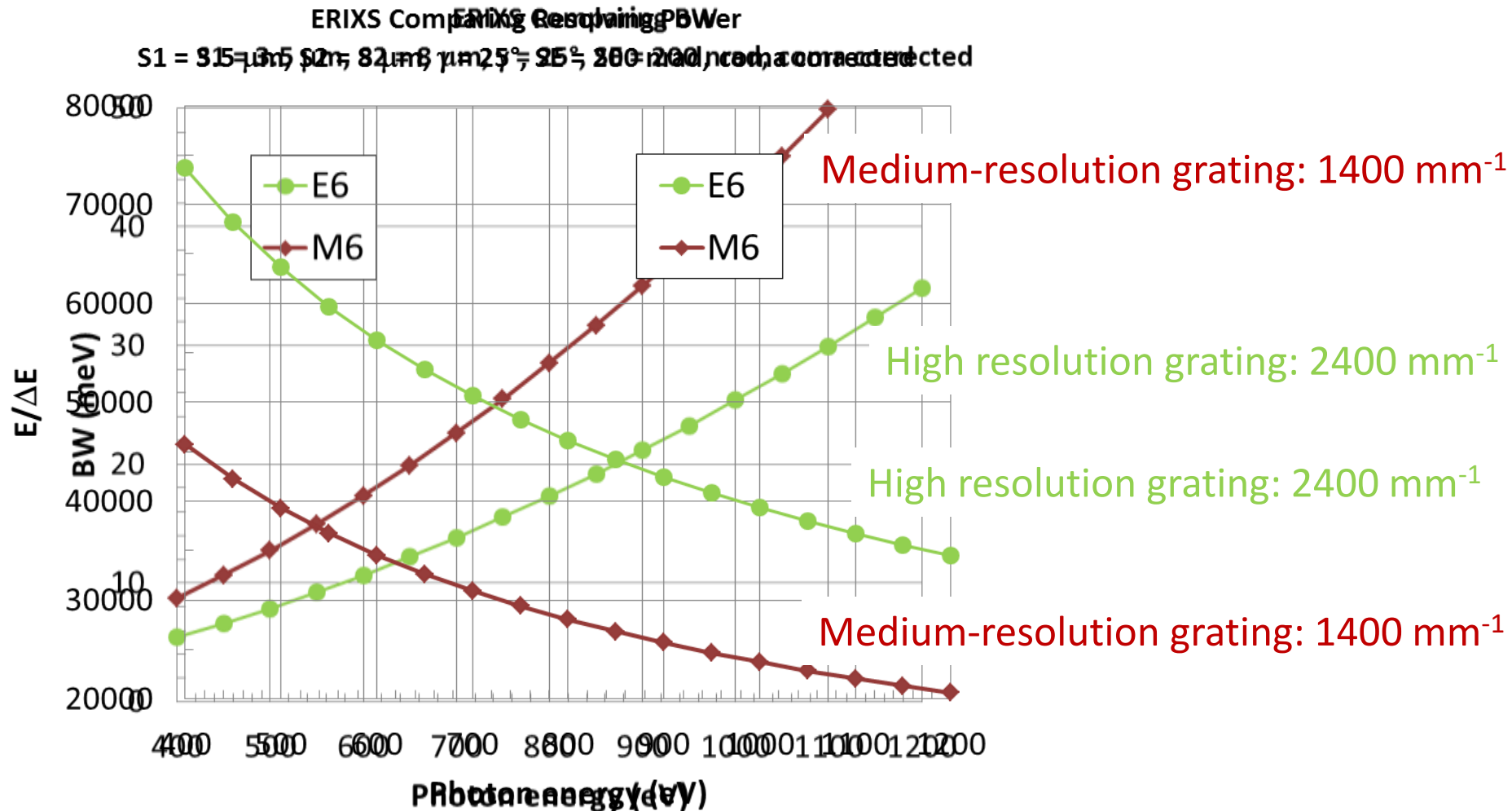
Continuously rotating
scattering arm

Speed
x8.6

Sample chamber
CINEL – Italy
ESRF

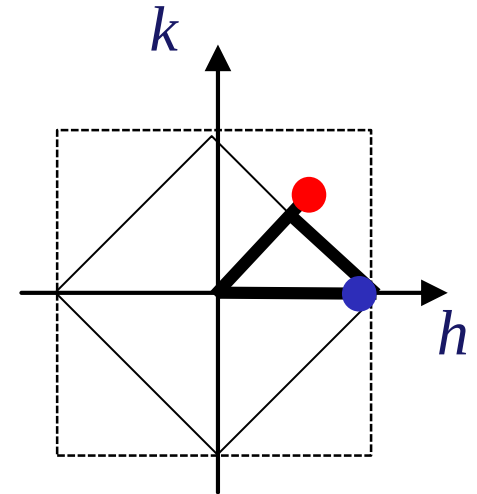
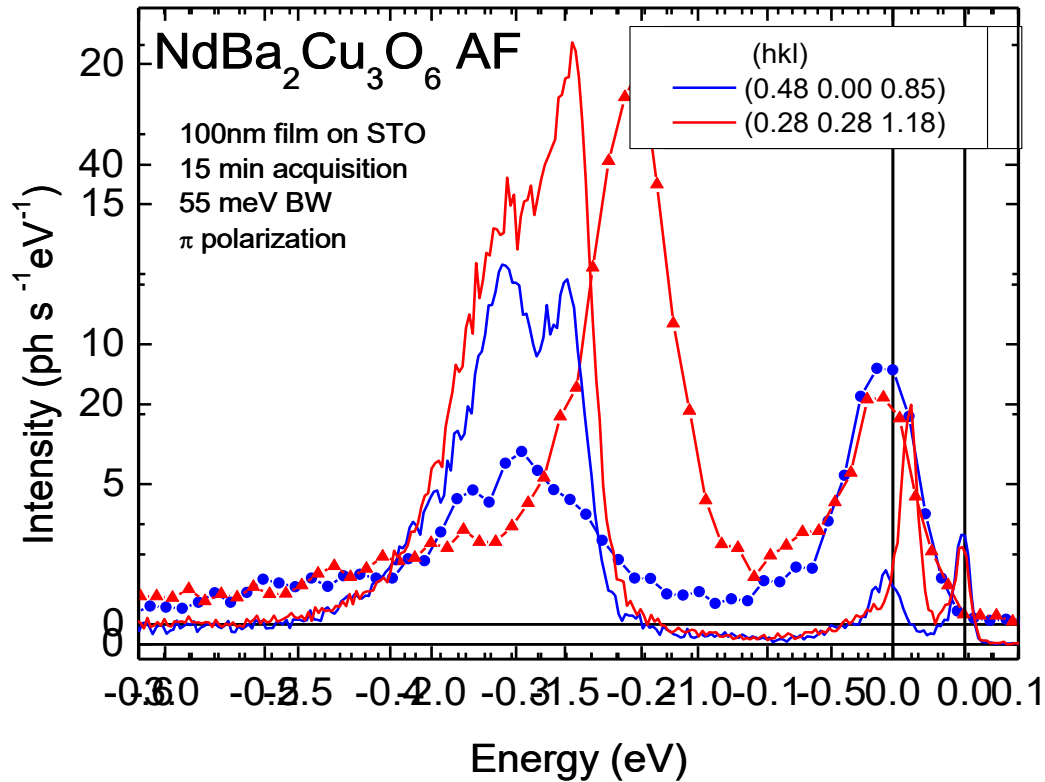


ERIXS: Expected resolving power



NBCO magnetic spectra

Resolution: 55 meV

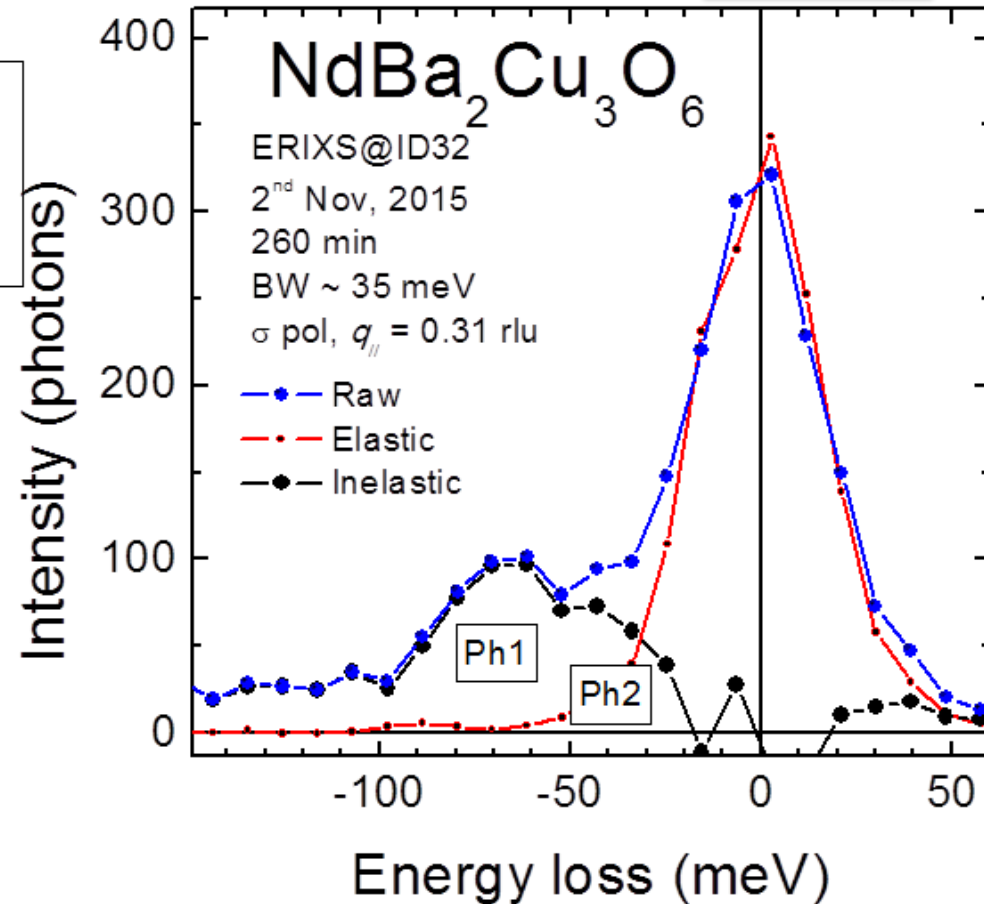
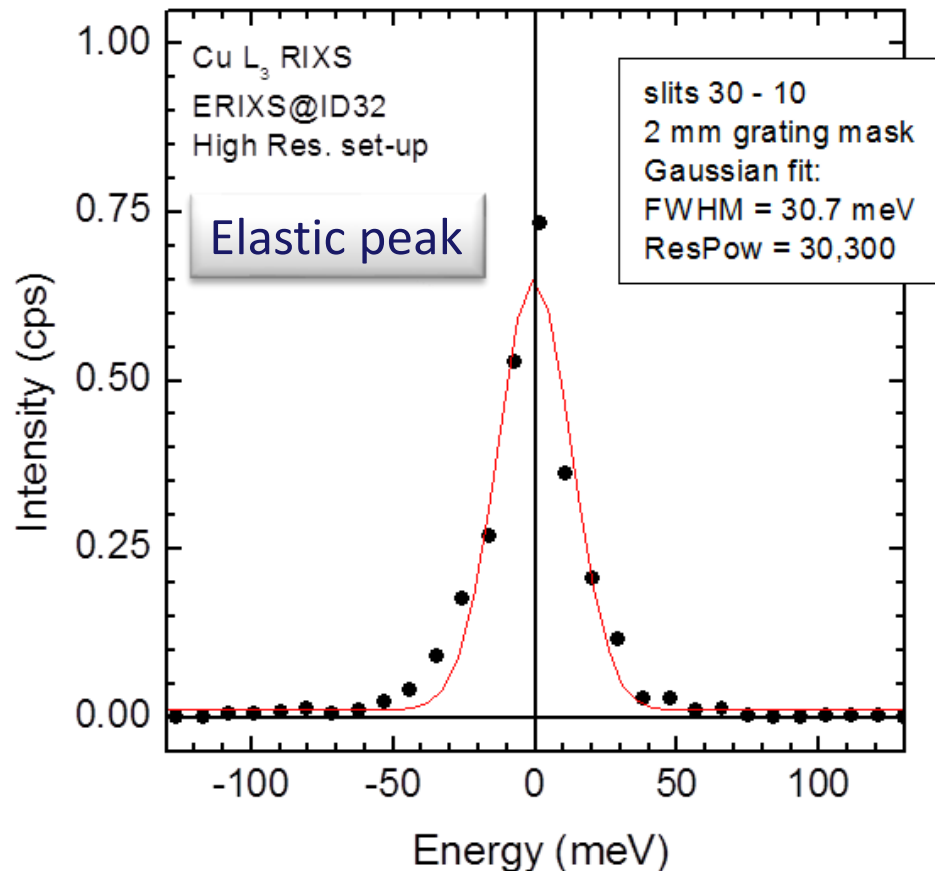


ERIXS: ultra-high resolving power (Nov 2015)

Resolution: 31 meV

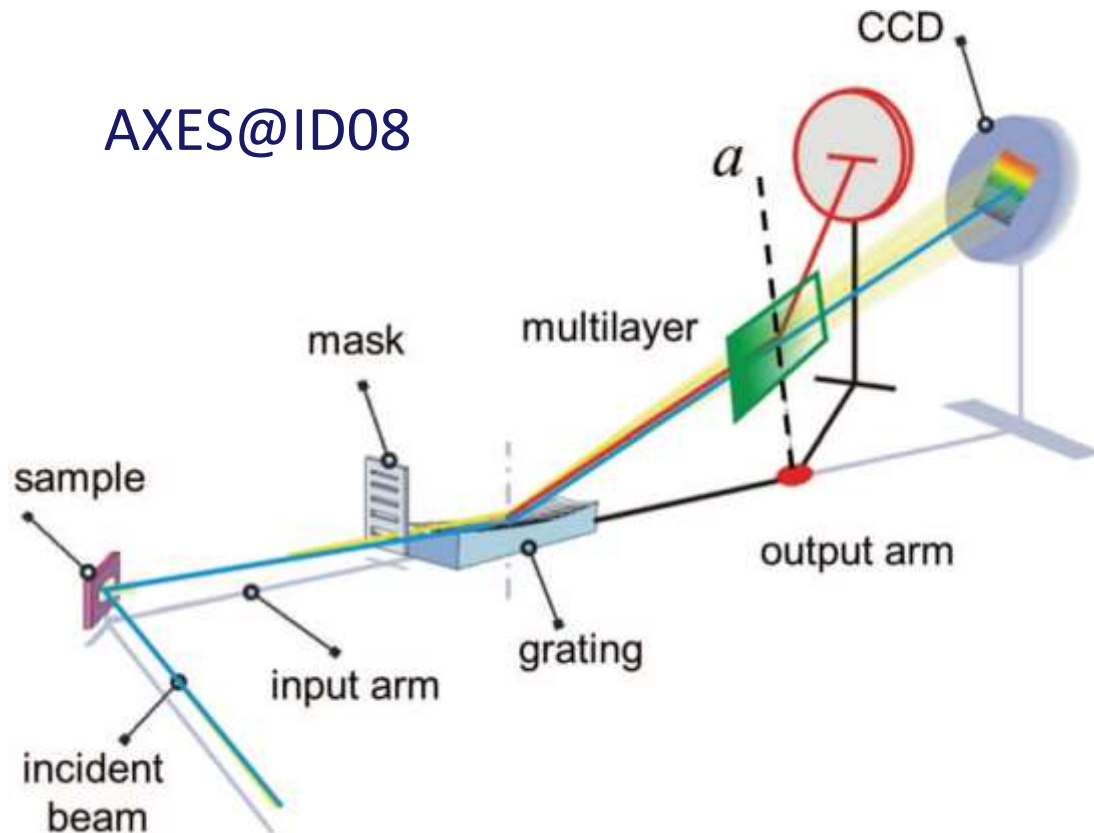
High resolution grating: 2400 mm⁻¹

Phonons

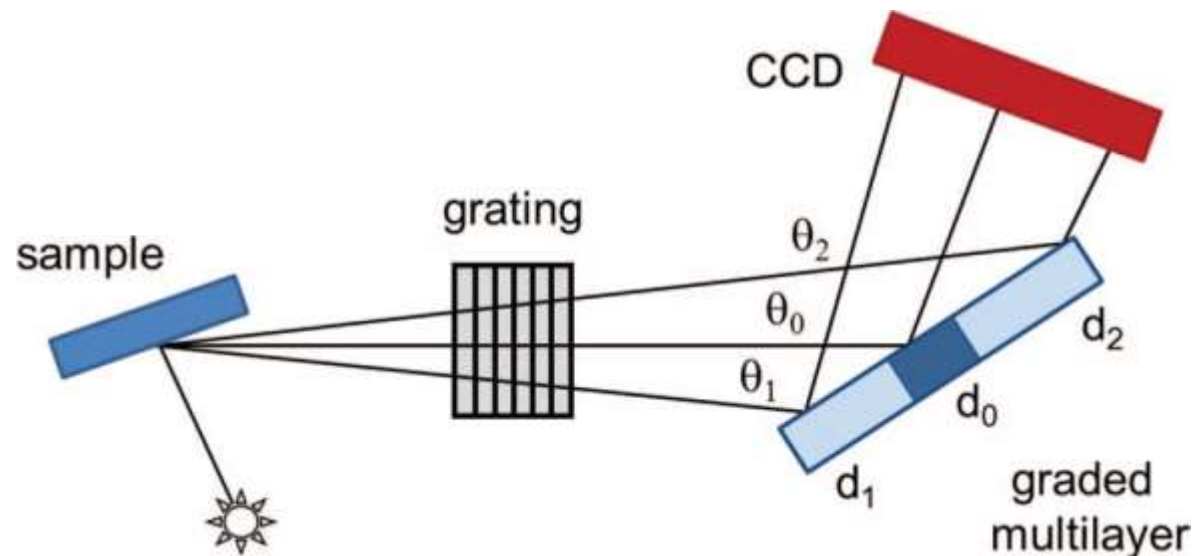


A polarimeter for RIXS spectrometer

AXES@ID08

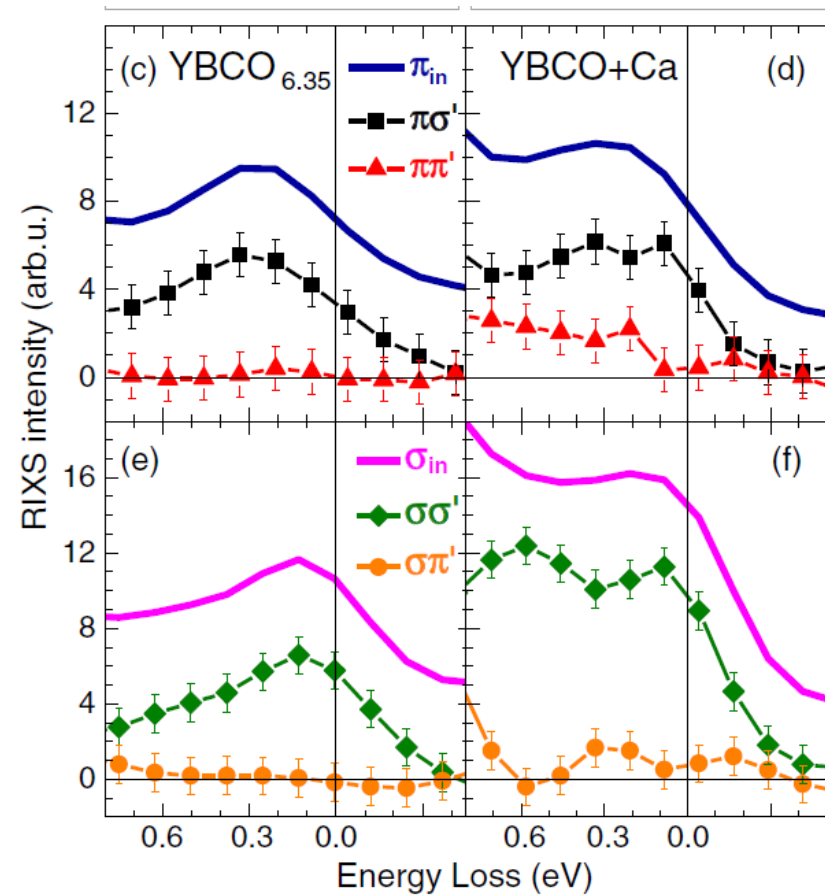
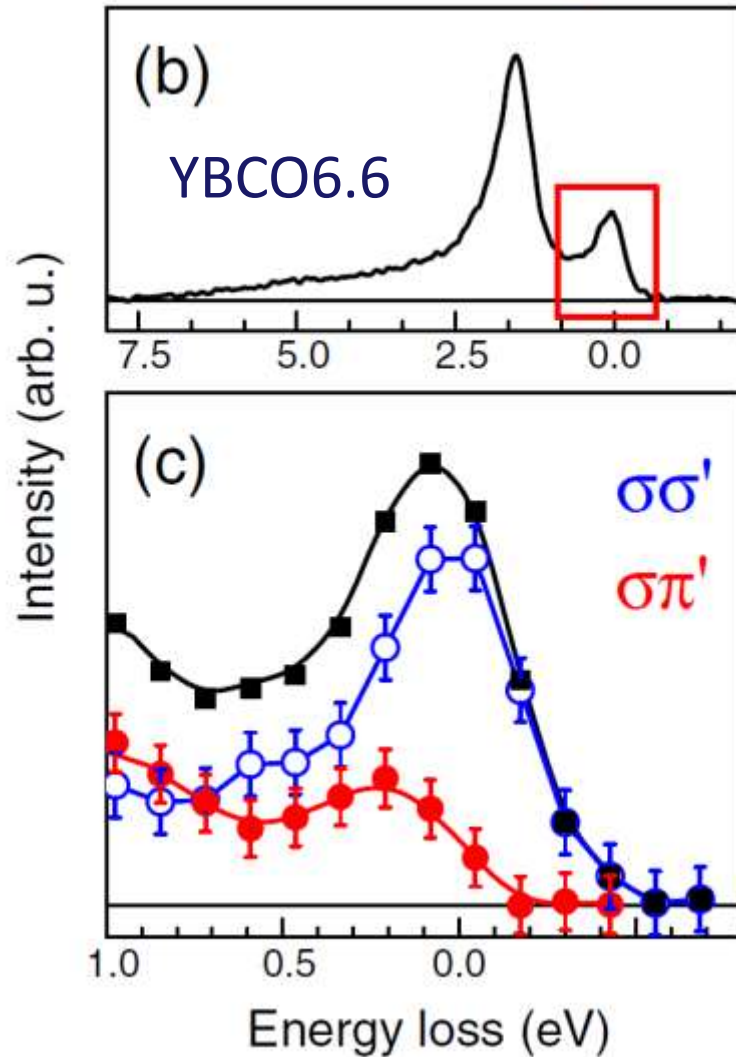


The CCD was rotated when the ML was inserted in the beam. One ML could cover limited energy range (Ni and Cu $L_{2,3}$).



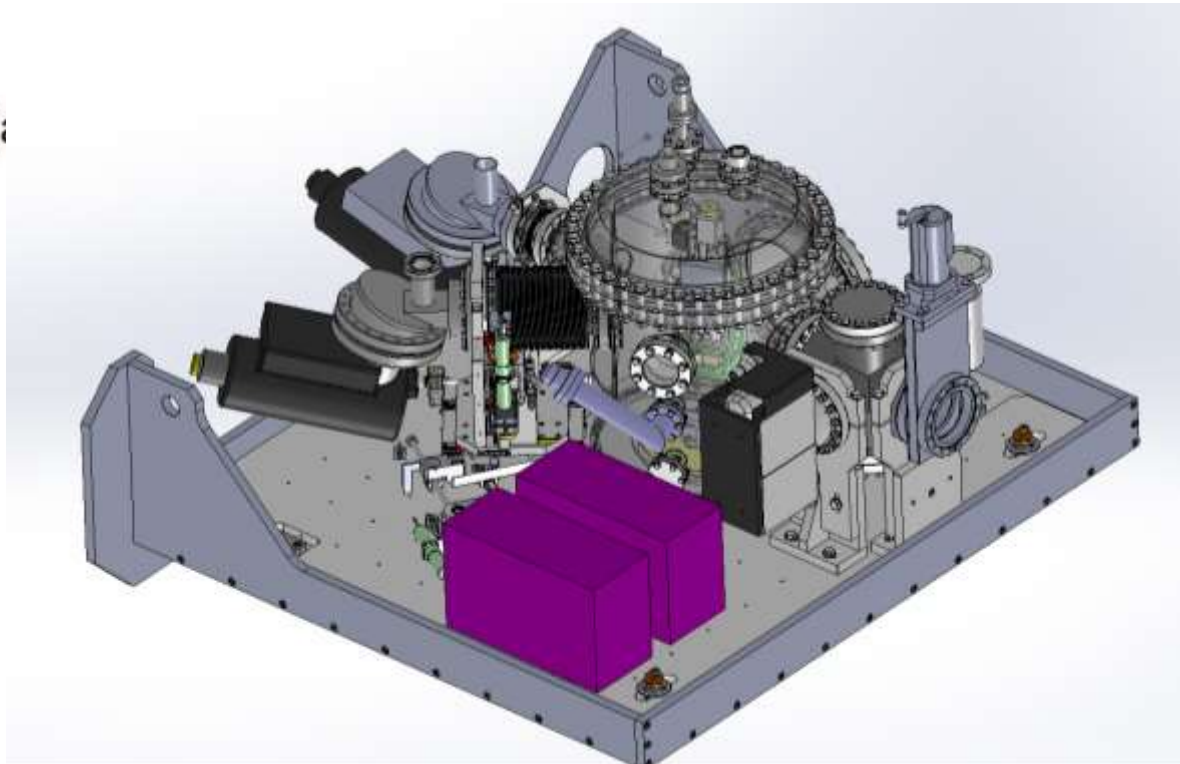
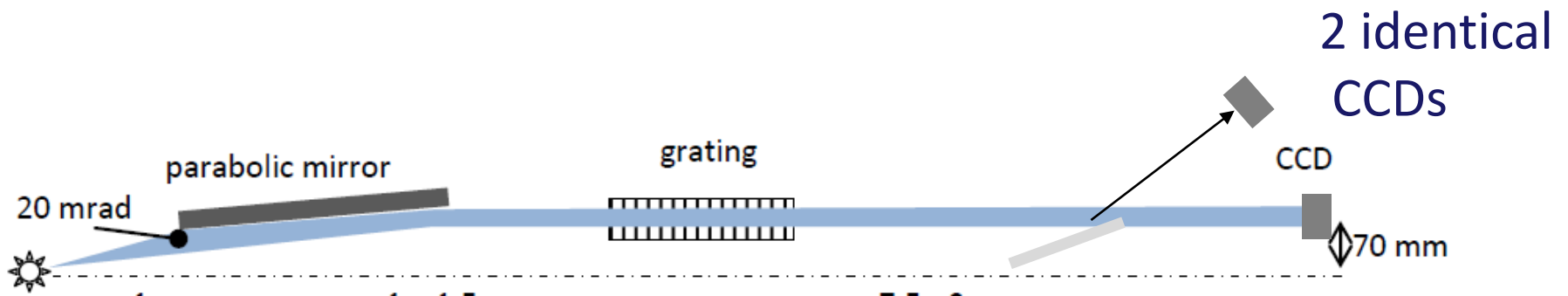
L. Braicovich, M. Minola, G. Dellea, M. Le Tacon, M. Moretti Sala, C. Morawe, J.-Ch. Peffen, R. Supruangnet, F. Yakhov, G. Ghiringhelli, and N. B. Brookes, Rev. Sci. Instrum. **85**,115104 (2014)

AXES Polarimeter



M. Minola, G. Dellea, H. Gretarsson, Y. Y. Peng, Y. Lu, J. Porras, T. Loew, F. Yakhov, N. B. Brookes, Y. B. Huang, J. Pelliciari, T. Schmitt, G. Ghiringhelli, B. Keimer, L. Braicovich, and M. Le Tacon, Phys. Rev. Lett. 114, 217003 (2015)

ERIXS Polarimeter

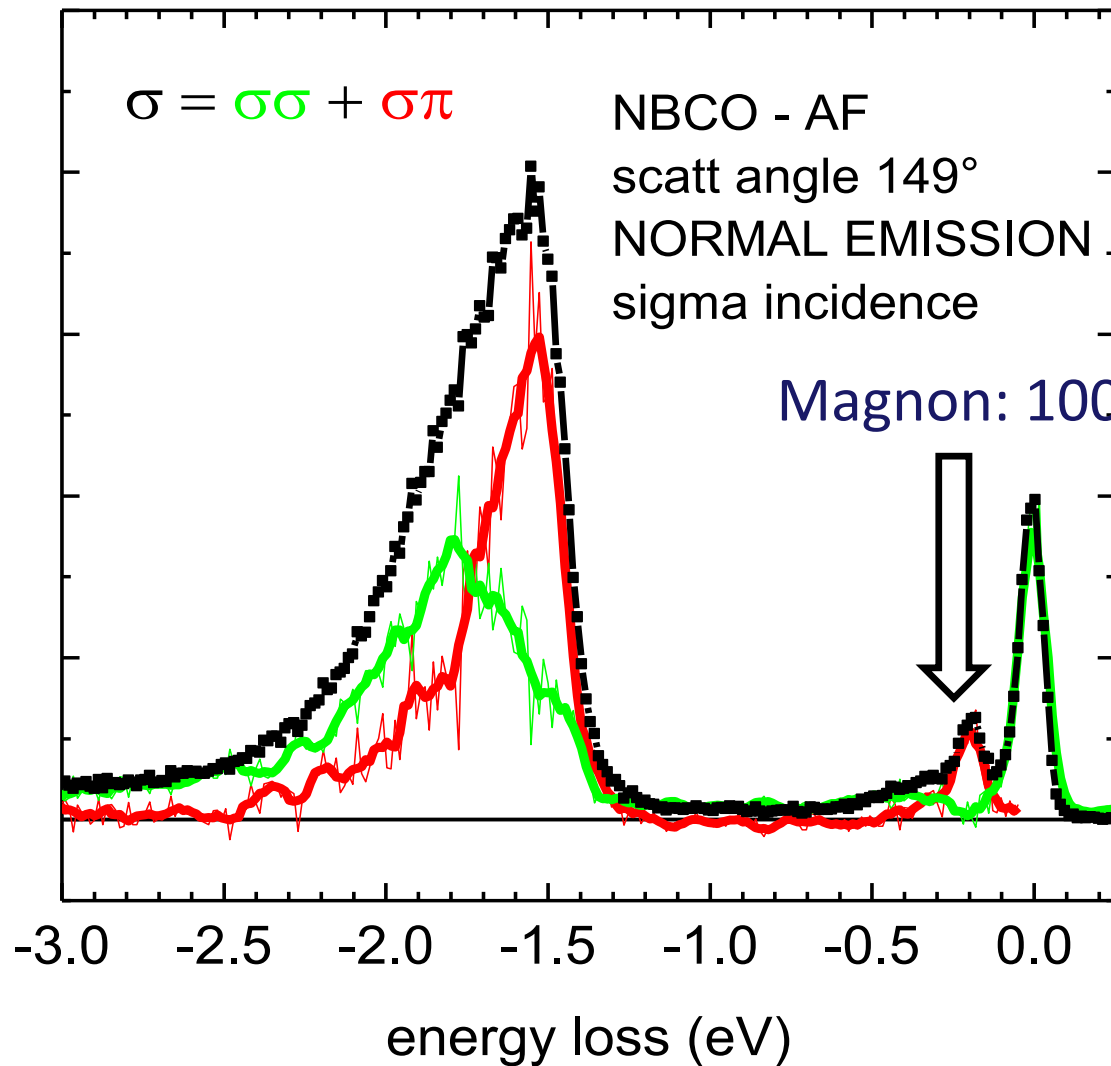


Covering with 2 ML mirrors most of the 520-1000 eV range

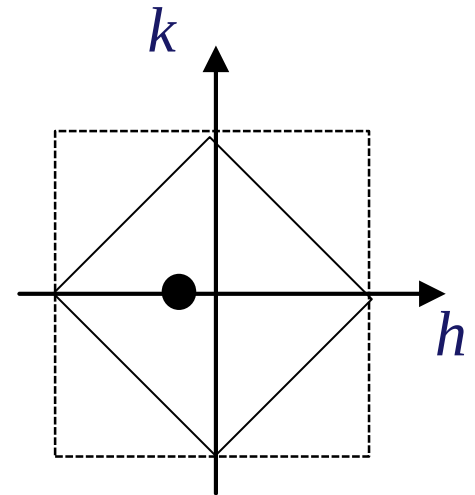
An evolution of the prototype made for AXES@ESRF that was used for real measurements

L. Braicovich, M. Minola, G. Dellea, M. Le Tacon, M. Moretti Sala, C. Morawe, J.-Ch. Peffen, R. Supruangnet, F. Yakhou, G. Ghiringhelli, and N. B. Brookes, Rev. Sci Instrum. 85, 115104 (2014)

ERIXS Polarimeter: first spectrum



$$hkl = (-0.10, 0.00, 1.63)$$



Commissioning has
just started, not
open to users yet.

ERIXS and the other HR soft-RIXS projects

SR FACILITY	E/ Δ E (combined)	Length	YEAR	NOTES
ESRF, ERIXS@ID32	30,000	11 m	2015	With Polarimeter
DIAMOND, IXS	40,000	14 m	2017	
MAX IV, Veritas	40,000	?	2017	Rowland Geometry
NSLS II, Centurion@SIX	70,000	15 m	2017	Hettrick-Underwood, 50 nrad slope error, 1 μ m spot on sample
European XFEL	20,000	5 m	2018	For non linear RIXS and pump-probe time-resolved RIXS

Heisenberg RIXS: SCS beam line of European XFEL

hRIXS consortium

The Heisenberg RIXS project at the European XFEL

Giacomo Ghiringhelli, Dip. Fisica, Politecnico di Milano

Marco Grioni, Ecole Polytechnique Fédérale de Lausanne

Rafael Abela, Paul-Scherrer-Institute

Torsten Schmitt, Paul-Scherrer-Institute

Bernhard Keimer, Max-Planck-Institut für Festkörperforschung Stuttgart

Simone Techert, Max-Planck-Institut für biophysikalische Chemie Göttingen

Jan-Erik Rubensson, Uppsala University

Joseph Nordgren, Uppsala University

Hao Tjeng, Max-Planck-Institut für Chemische Physik fester Stoffe Dresden

Matias Bargheer, Uni Potsdam

Jeroen van den Brink, IFW Dresden

Simo Huotari, Oulu, Finland

Maurits W. Haverkort, Max-Planck-Institut für Festkörperforschung Stuttgart

Frank de Groot, Utrecht University

Stefan Eisebitt, Technische Universität Berlin

Andrea Cavalleri, CFEL Hamburg, Oxford U.

Marc Simon, Laboratoire Chimie-Physique-Matière et Rayonnement, SOLEIL, Paris

Alexei Erko, Helmholtz-Zentrum Berlin

Alexander Föhlisch, Helmholtz-Zentrum Berlin and Uni Potsdam

hRIXS working group

DESY Hamburg: Tim Laarmann, Wilfried Wurth, Simone Techert

European XFEL: Andreas Scherz

Politecnico Milano: Ying Ying Peng, Giacomo Ghiringhelli

Uni Potsdam: Alexander Föhlisch



Unique performance of XFEL in brilliance and time structure

+ Average brilliance/brightness:

ESRF ID32: 5×10^{15} ph/s

NSLS II: $6-8 \times 10^{15}$ ph/s

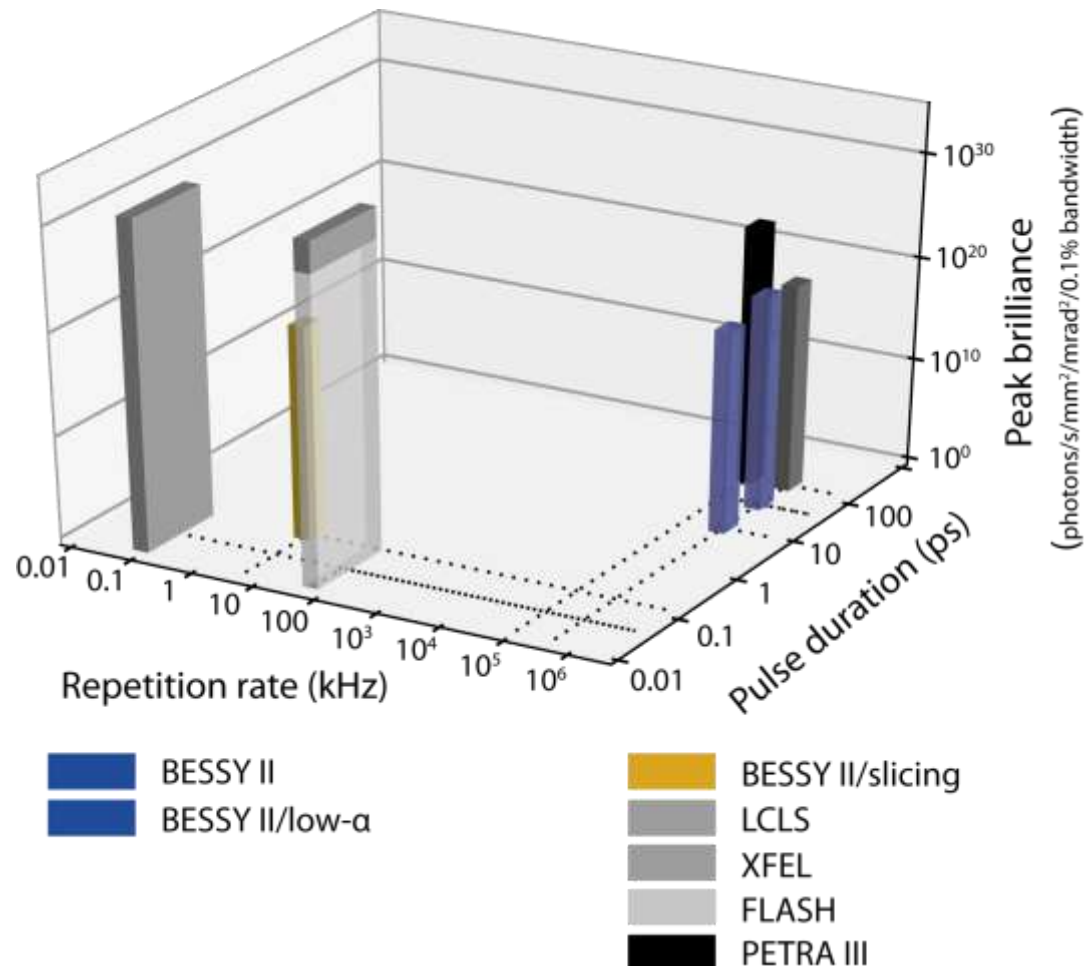
XFEL SASE3: 5×10^{17} ph/s

- energy resolution
- polarization analysis
- rapid data acquisition
- 3D-momentum-transfer

+ peak brilliance

+ femtosecond time structure:

- Driven and transient phases
- Chemical pathways
- Non-linear X-ray science



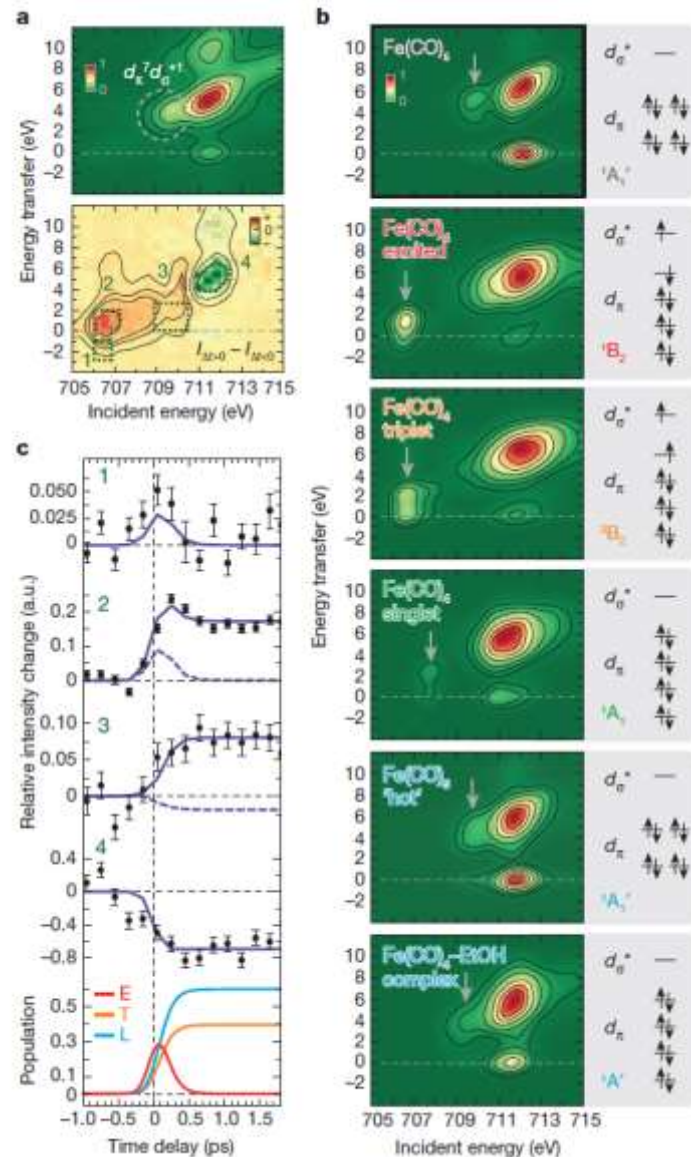
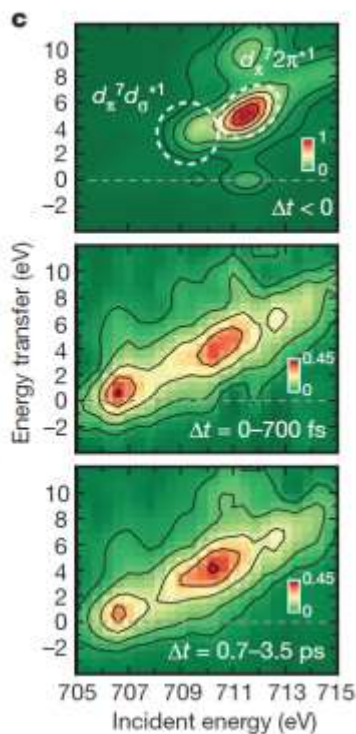
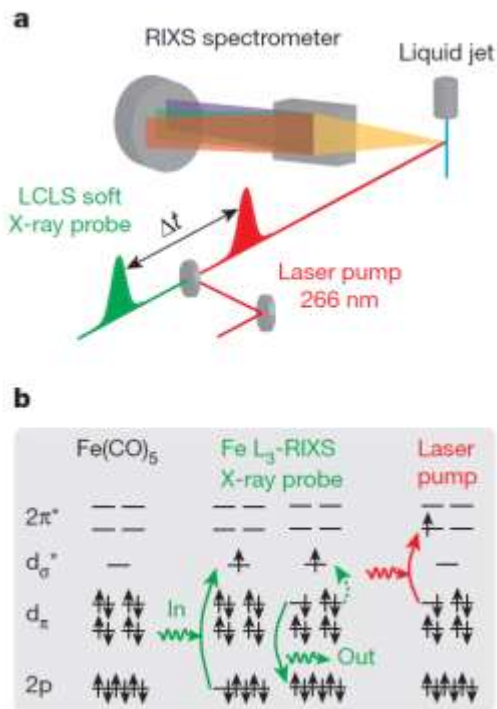
Pump-Probe RIXS

LETTER

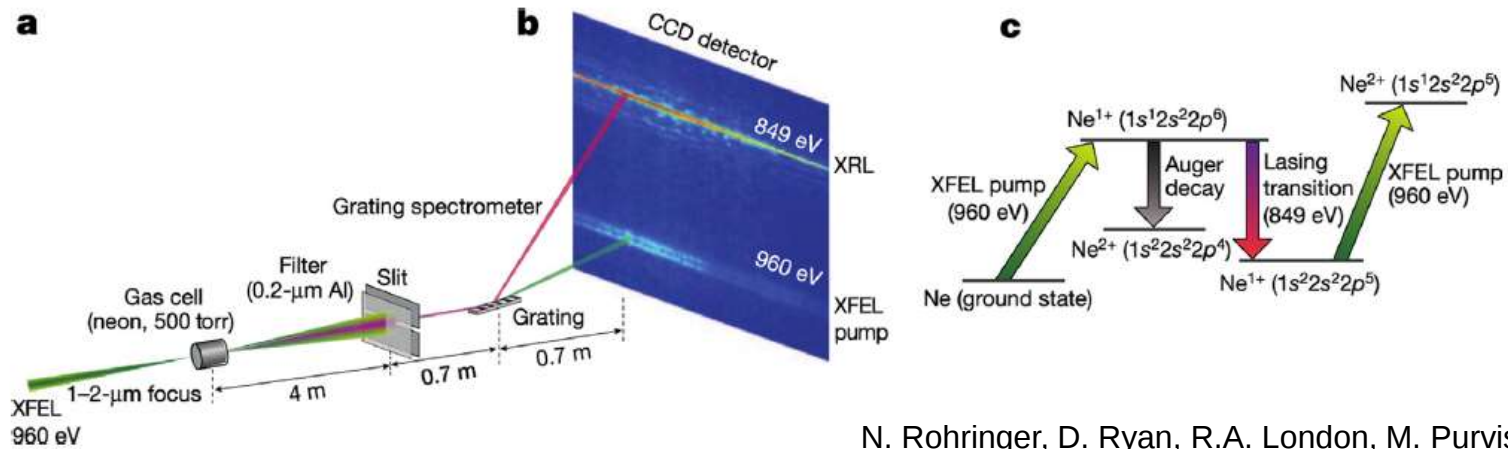
doi:10.1038/nature14296

Orbital-specific mapping of the ligand exchange dynamics of $\text{Fe}(\text{CO})_5$ in solution

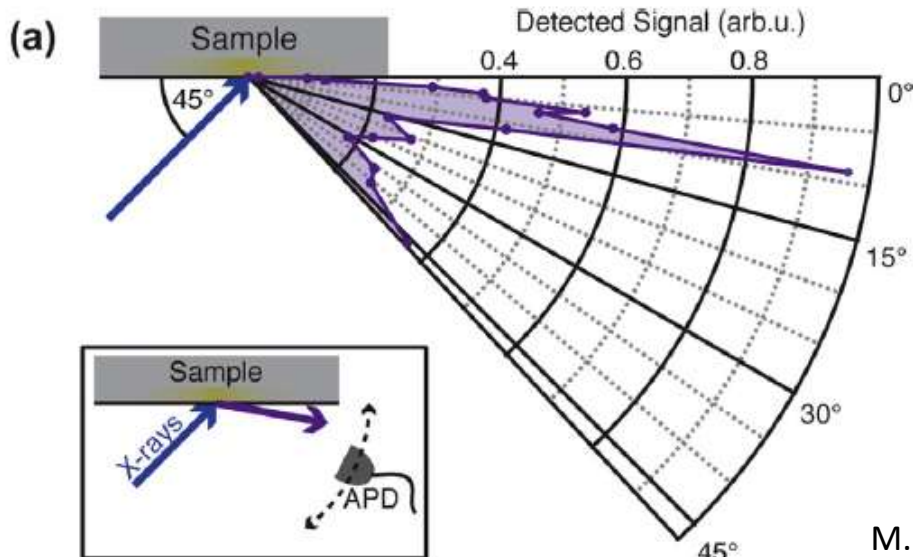
Ph. Wernet¹, K. Kunnus^{1,2}, I. Josefsson³, I. Rajkovic^{4†}, W. Quevedo^{4†}, M. Beye¹, S. Schreck^{1,2}, S. Grübel^{4†}, M. Scholz⁴, D. Nordlund⁵, W. Zhang^{6†}, R. W. Hartsock⁶, W. F. Schlotter⁷, J. J. Turner⁷, B. Kennedy^{8†}, F. Hennies⁸, F. M. F. de Groot⁹, K. J. Gaffney⁶, S. Techert^{4,10,11}, M. Odelius³ & A. Föhlisch^{1,2}



Stimulated emission



N. Rohringer, D. Ryan, R.A. London, M. Purvis, F. Albert, J. Dunn, et al., Atomic inner-shell X-ray laser at 1.46 nanometres pumped by an X-ray free-electron laser, *Nature* 481 (2012) 488–491



M. Beye, S. Schreck, F. Sorgenfrei, C. Trabant, N. Pontius, C. Schüßler-Langeheine, et al., Stimulated X-ray emission for materials science, *Nature* 501 (2013) 191–194,

RIXS at XFEL: beyond 1 photon at a time

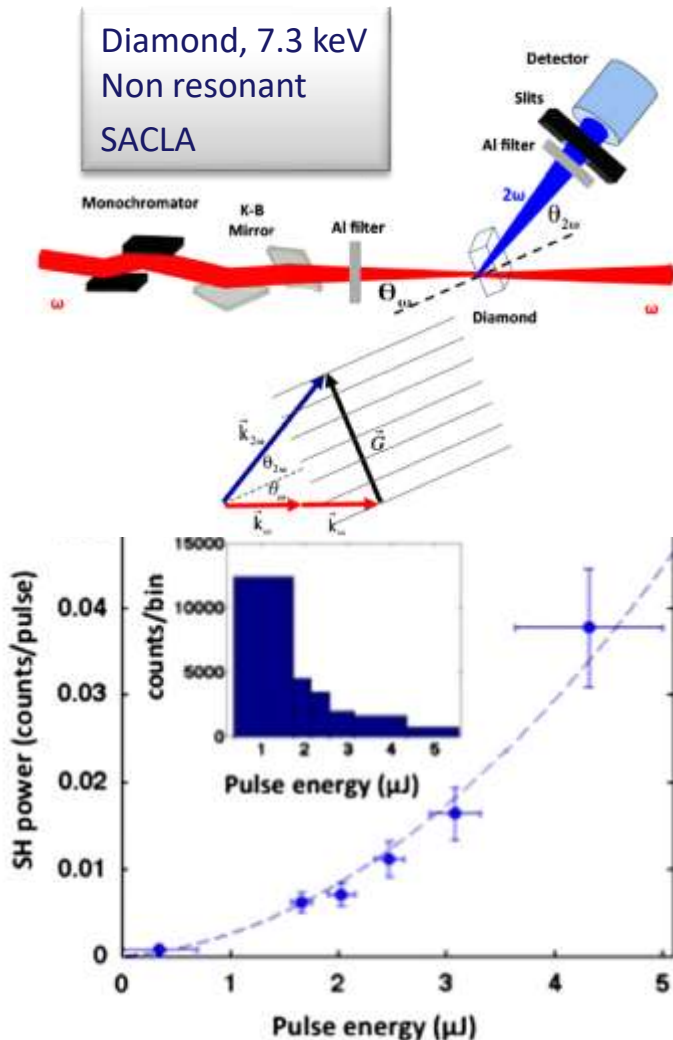
PRL 112, 163901 (2014)

PHYSICAL REVIEW LETTERS

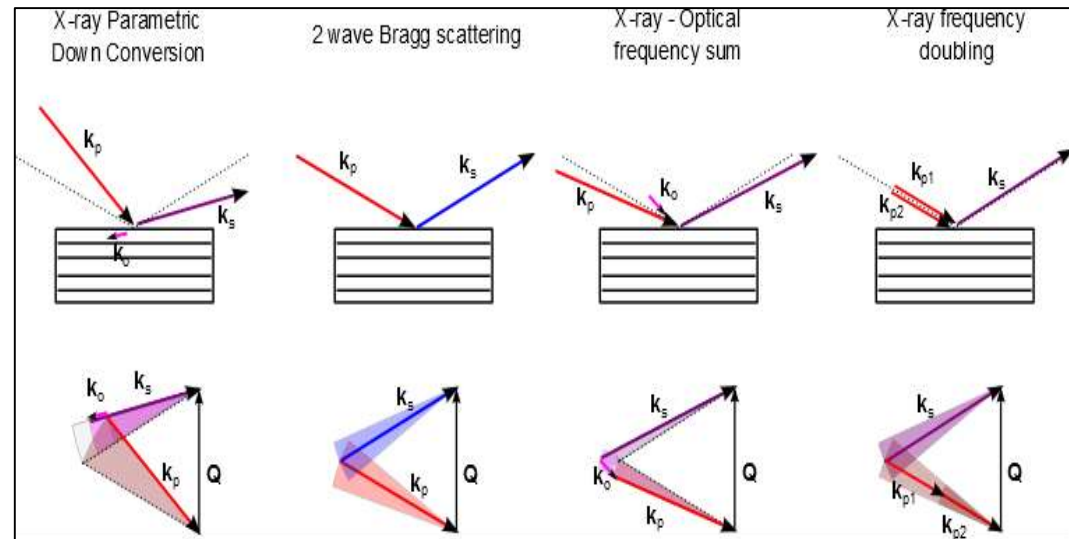
X-Ray Second Harmonic Generation

S. Schwartz,^{1,2,*} M. Fuchs,^{3,4} J. B. Hastings,⁵ Y. Inubushi,⁶ T. Ishikawa,⁶ T.

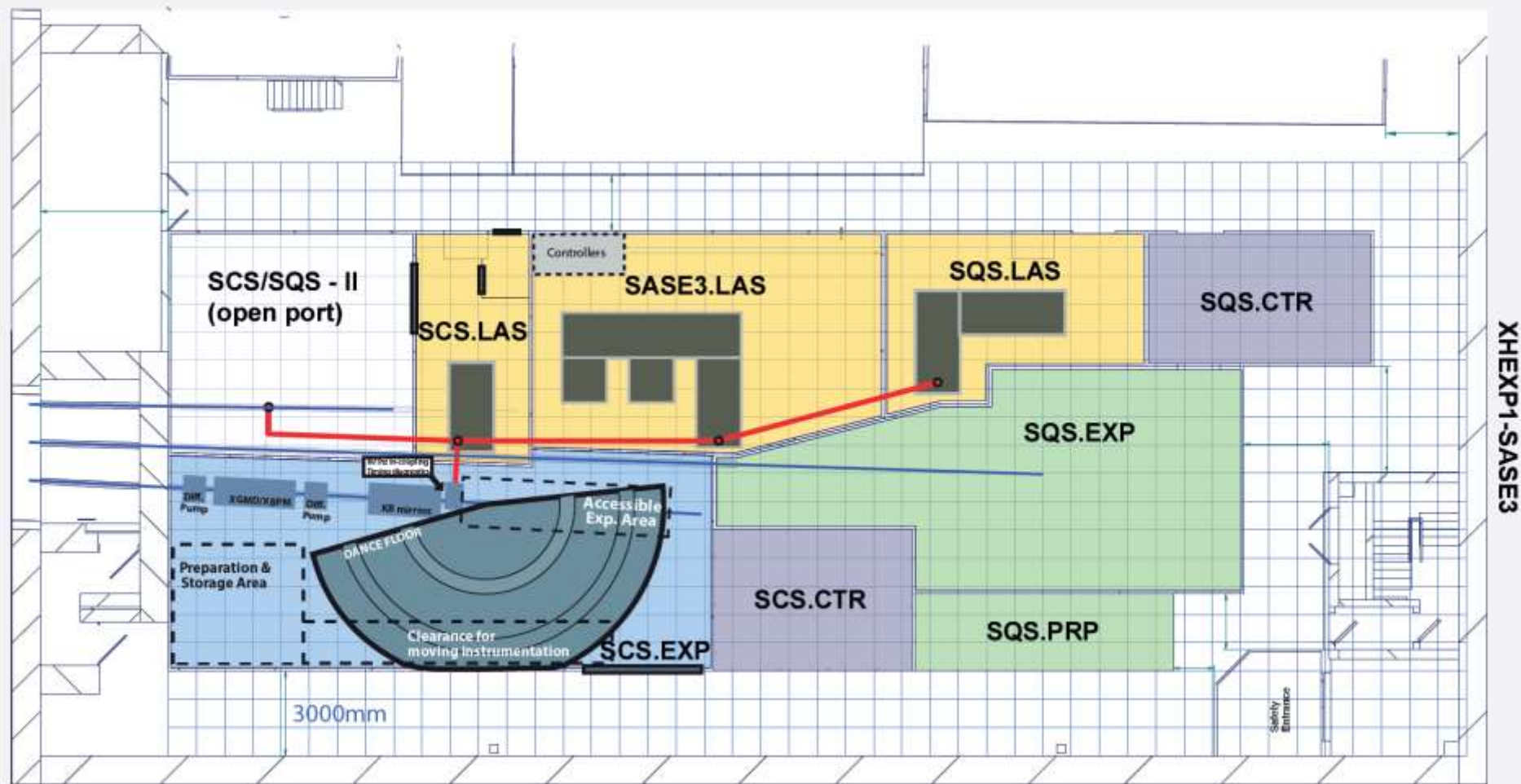
Diamond, 7.3 keV
Non resonant
SACLA



- Short pulses: TR RIXS we can look at the fs-ps evolution of orbital, magnetic, charge and phonon excitations, and at CDW₃ (provided we have the right pump)
- Coherent pulses: multi-photon processes, stimulated emission, multiple excitations



hRIXS: boundary conditions

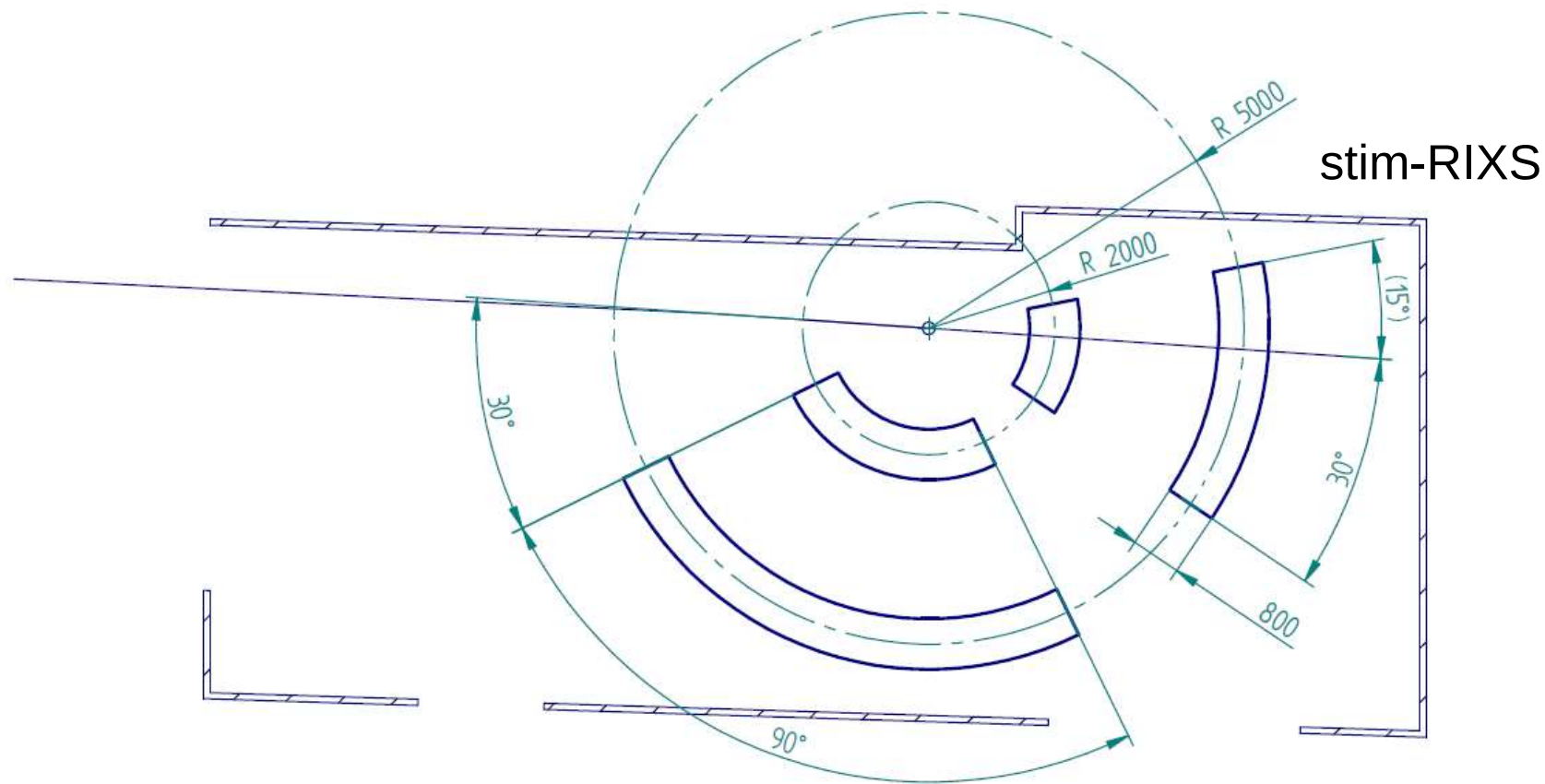


hRIXS: boundary conditions

5-6 m scattering arm

Continuous rotation in backscattering ($2\theta = 60^\circ - 150^\circ$)

Possibility of full forward scattering ($2\theta = 0^\circ - 20^\circ$)



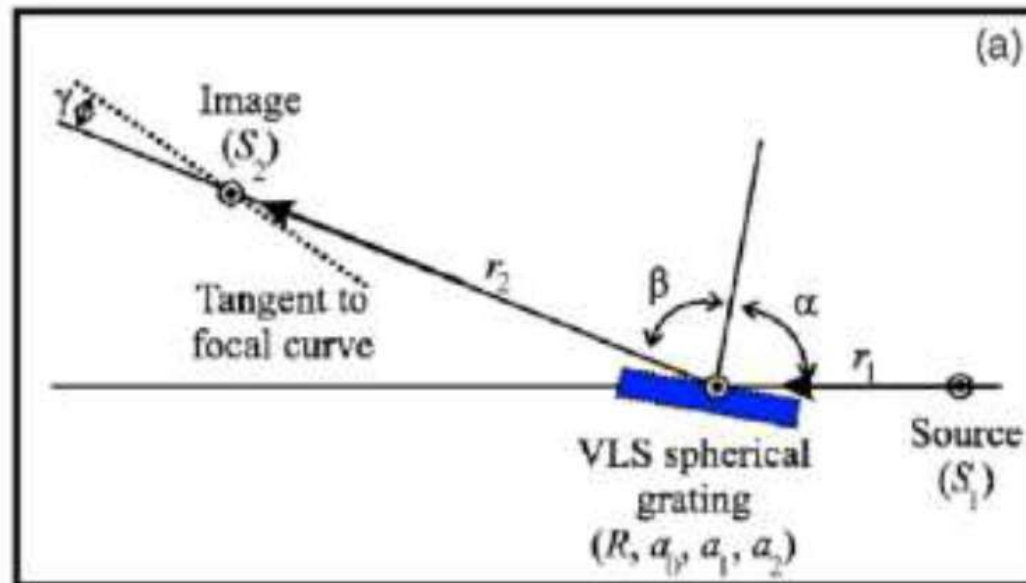
hr-RIXS, tr-RIXS

We privilege flexibility

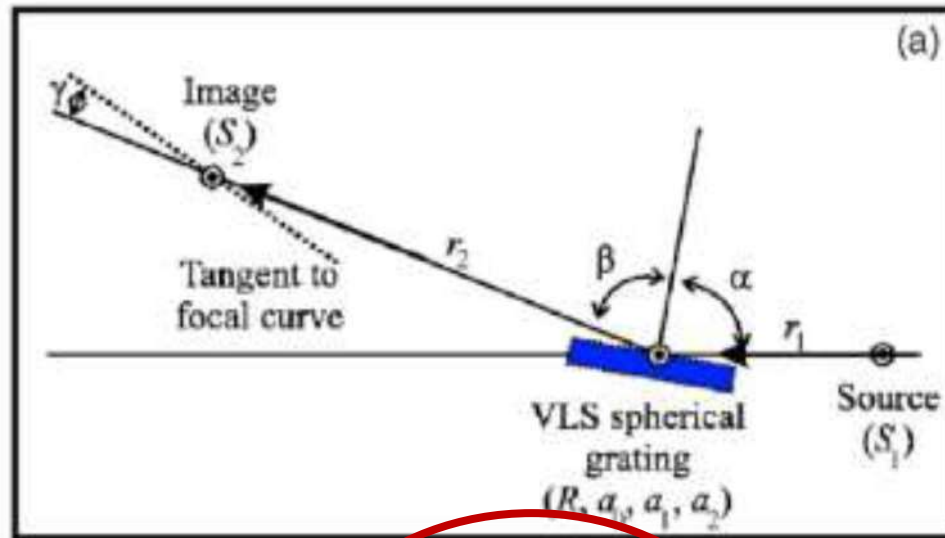
hRIXS will have to work with

- different source size (defocusing on sample to reduce beam damage in some cases)
- different detector resolution (from 10 micron for high resolution CCD to 100-200 micron for pixelated fast detectors)
- 5 m maximum length, that gives at least 5 mrad horizontal acceptance with 1" detectors

Therefore we abandoned the option of the collimating/refocusing mirror, to keep strictly



Optimization of grating parameters



A (1:1)

$S_1=10\text{ }\mu\text{m}$

$S_2=10\text{ }\mu\text{m}$

B (1:2)

$S_1=5\text{ }\mu\text{m}$

$S_2=10\text{ }\mu\text{m}$

C (1:5)

$S_1=20\text{ }\mu\text{m}$

$S_2=100\text{ }\mu\text{m}$

D (1:20)

$S_1=5\text{ }\mu\text{m}$

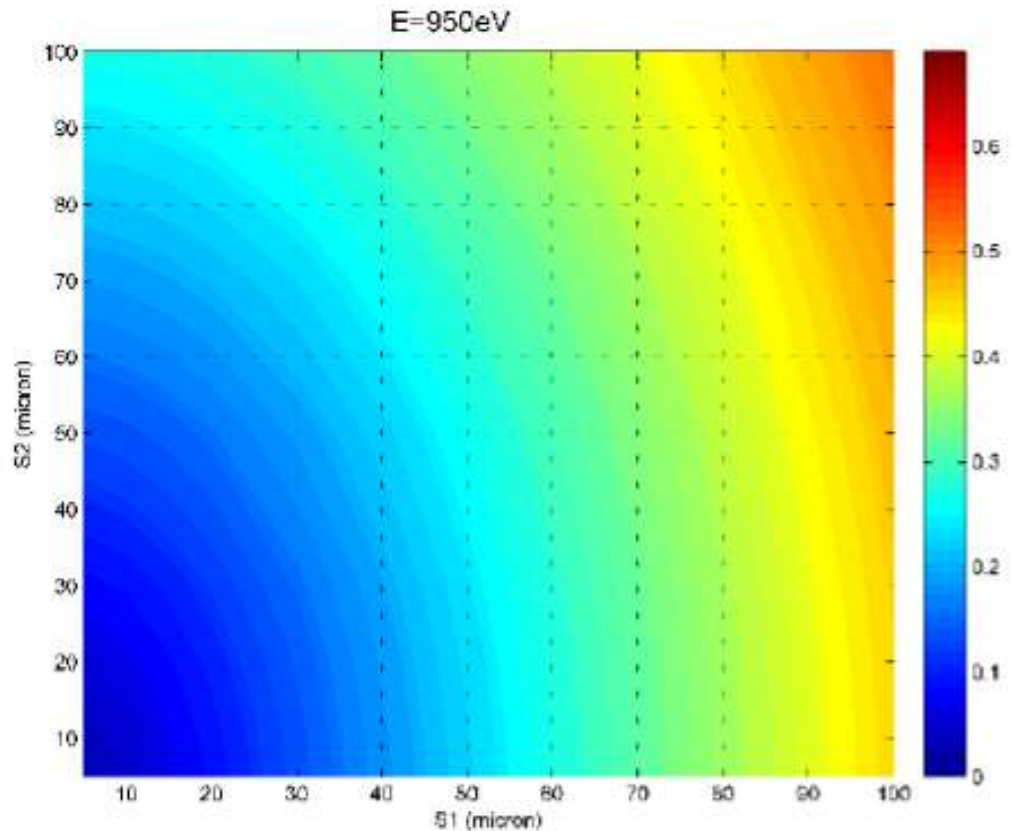
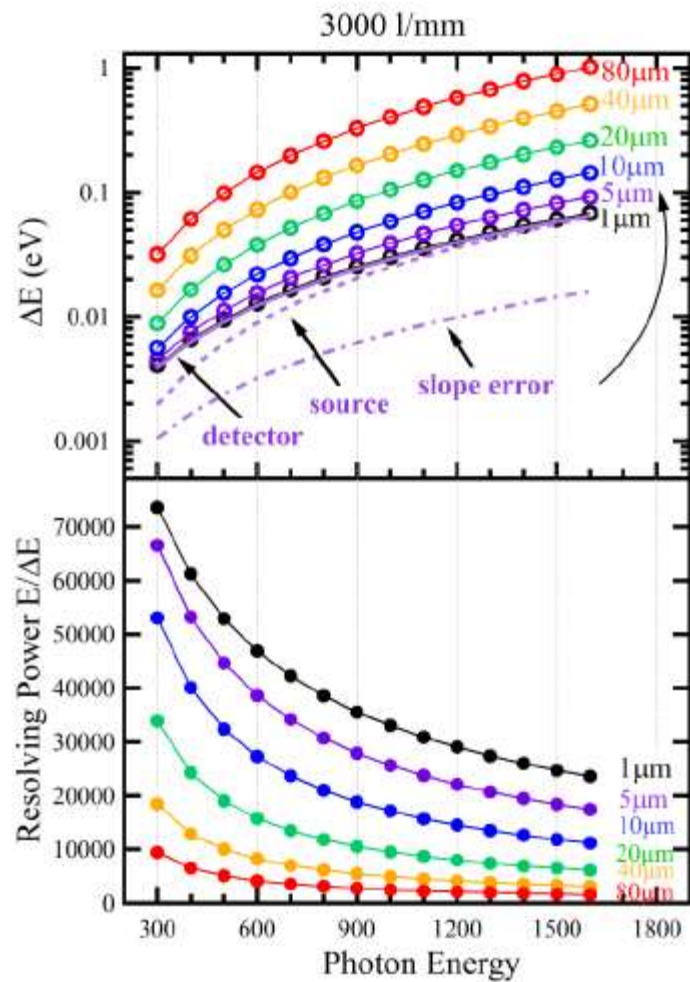
$S_2=100\text{ }\mu\text{m}$

E (5:1)

$S_1=50\text{ }\mu\text{m}$

$S_2=10\text{ }\mu\text{m}$

Parameter optimization



Operational end 2017 (?)

YY Peng, GG, *hRIXS optical design report* (2015)

Bibliography

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Resonant inelastic x-ray scattering studies of elementary excitations

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Insights into the high temperature superconducting cuprates from resonant inelastic X-ray scattering

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