

# Femtochemistry Bonding and Dynamics with X-ray Free-Electron Lasers

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May 2018*

# Outline

- 1. “I think I need to tell you why...”**
2. Setting the stage
3. Some basics
4. Methods
5. One application
6. One of many possible outlooks

# Ever increasing demand for energy

Quantity (world)	2001	2050	2100
Energy consumption rate TW	13.5	27.6	43.0
Population billion persons	6.1	9.4	10.4
Gross domestic product \$/person·year	7470	14850	27320

- Electricity
- Heat
- Fuels
- Secure
- Clean
- Sustainable
- Make
- Store, transport
- Release

Lewis, Nocera, PNAS **103**, 15729 (2006)

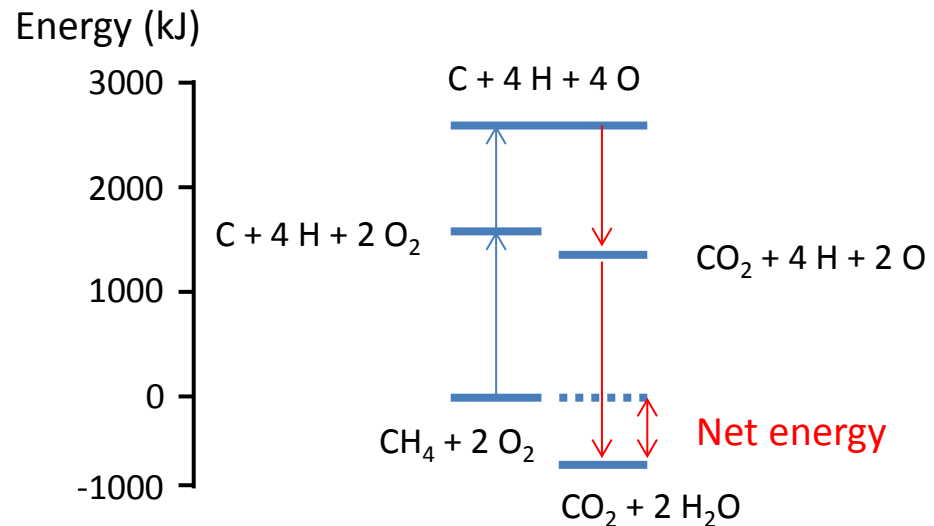
Lubitz, Reijerse, Messinger, Energy Environ. Sci. **1**, 15 (2008)

# Energy and chemical bonds

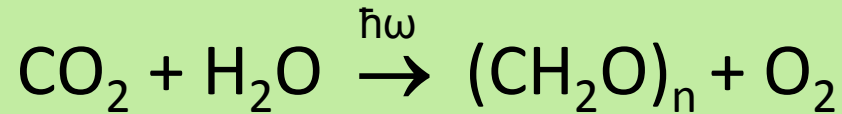
Material	Energy type	Specific energy* (MJ/Kg)
Uranium	Fission	80620000
Hydrogen (700 bar)	Chemical	142
Kerosene	Chemical	42.8
Lithium-ion battery	Electrochemical	0.5

\* Measured as thermal energy (amount of heat energy that can be extracted)

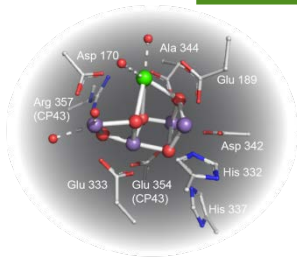
*Combustion of methane  
to CO<sub>2</sub>, water and heat*



# Photosynthesis



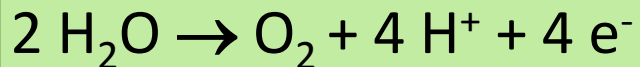
This process generates carbohydrates and the world supply of oxygen



**Photosystem II**

How?

Oxygen evolution

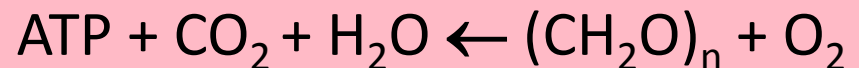


The oxygen is derived from water

**Life Cycle**

**Cytochrome Oxidase**

Aerobic metabolism



We consume oxygen to “burn” the energy of carbohydrates to produce ATP, the biological energy currency

# Water splitting – Rearranging bonds



*Piscina Agonistica Comunale  
Bruno Bianchi Trieste*



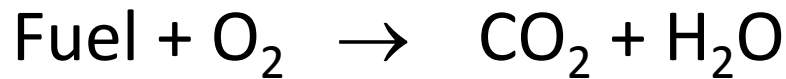
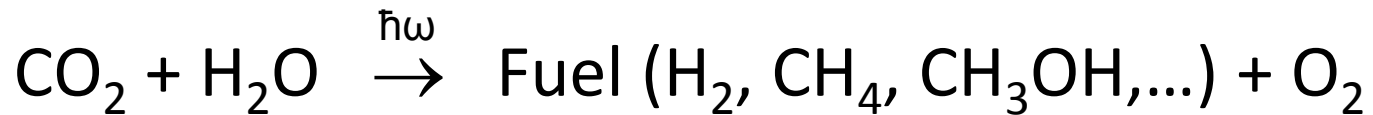
Approx. 50 m x 15 m x 2 m  
1.5 million liters of water

Water in *Piscina Agonistica  
Comunale Bruno Bianchi Trieste*  
split every second = 19.5 TW

World consumption rate in  
2001 = 13.5 TW

# Recreating the life cycle

## *Artificial Photosynthesis*

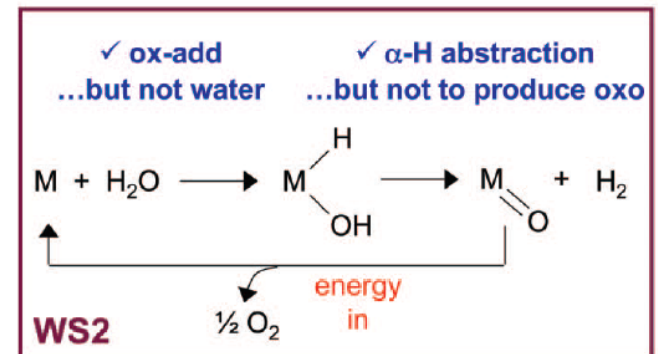
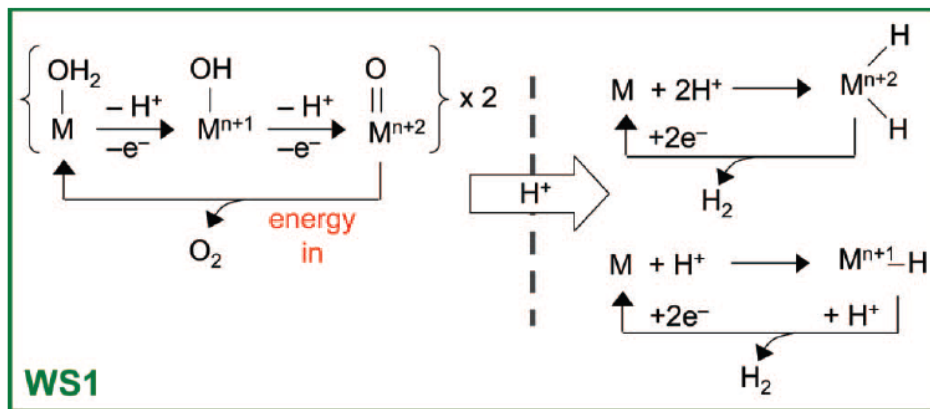


JCAP\*: “Discover new ways to produce hydrogen and carbon-based fuels using only sunlight, water and carbon dioxide as inputs...”

# Engineering chemical bonds

Nocera: „Unexplored basic science issues are immediately confronted when the problem is posed in the simplest chemistry framework.“

## *Water splitting strategies*





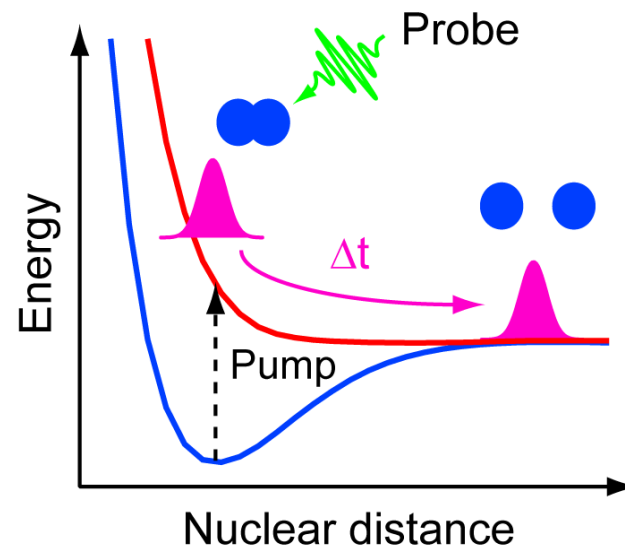
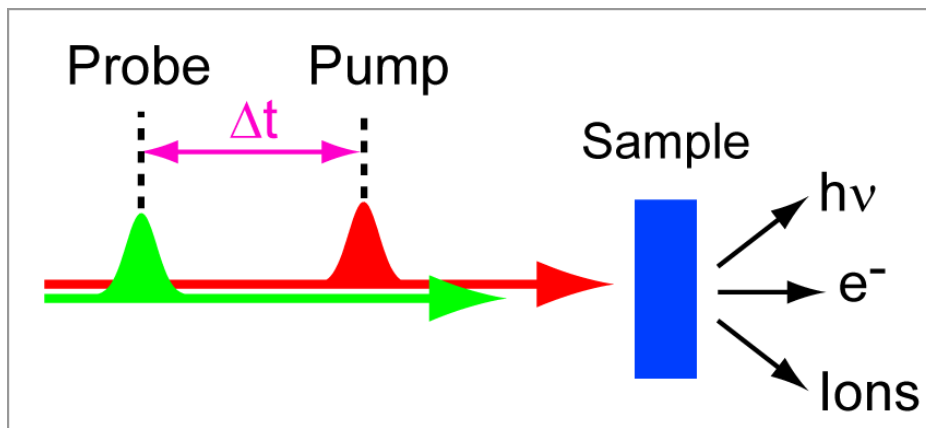
*Learn to rearrange bonds*

**Characterize molecules in weird  
bonding configurations**

# Outline

1. “I think I need to tell you why...”
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# Pump-probe spectroscopy



# Outline

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# What time-resolution do I need to resolve molecular motion?

## Take the speed of sound

- Resolve corresponding displacements
- “Speed of atoms” several 100-1000 m/s
- This corresponds to resolving  $100\text{-}1000 \cdot 10^{10} \text{ Å} / 10^{15} \text{ fs} = 0.1\text{-}1 \text{ Å} / 100 \text{ fs}$

# What time-resolution do I need to resolve molecular motion?

## Take the oscillation period of a molecule

- Resolve the oscillatory motion
- E.g.  $3500\text{ cm}^{-1}$  (wavelength of  $\sim 3\text{ }\mu\text{m}$ ) for the O-H stretch vibration in  $\text{H}_2\text{O}$
- $T = 1/f$ ,  $c = \lambda \cdot f \rightarrow T = \lambda/c \rightarrow T = 3 \cdot 10^{-6}\text{m} / (3 \cdot 10^8\text{m/s}) = 10^{-14}\text{ s}$
- This corresponds to a duration of the vibrational period of  $\sim 10\text{ fs}$

# What time-resolution do I need to resolve molecular motion?

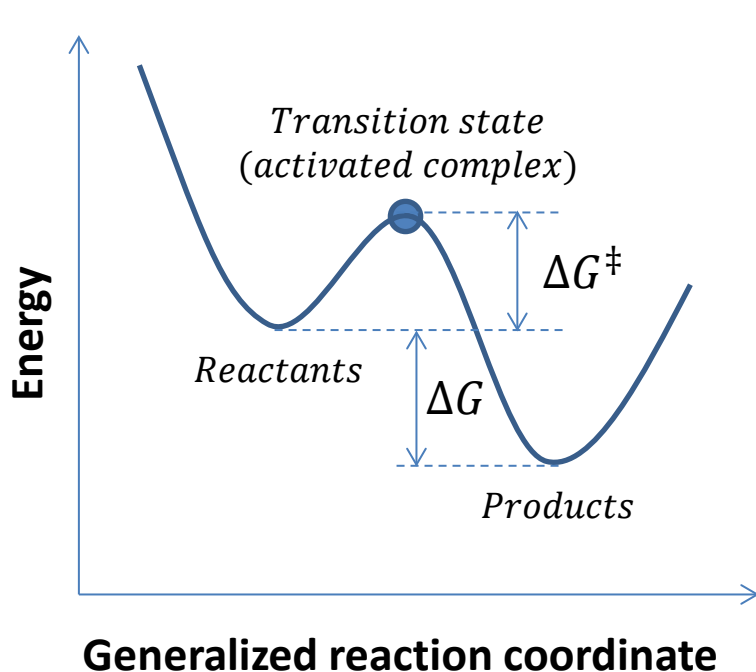
## Take the Brownian motion

- Resolve the mean square displacement  $x^2(t)$  with time  $t$ :  $x^2(t) = \frac{k_B T}{3\pi R \eta} t$
- With  $T$  temperature,  $R$  particle radius,  $\eta$  viscosity
- Albert Einstein (1905), Marian Smoluchowski (1906) and Paul Langevin (1908)
- Displacement of 1 Å takes 100 fs ( $R = 1$  Å,  $\eta = 20 \cdot 10^{-6}$  kg/(m·s) for O<sub>2</sub>, ideal gas)

# Wait a second: What do we actually talk about?

Chemical dynamics deals with the atomic-scale view of the elementary steps of a chemical reaction (pico- to femtoseconds and Ångstrom).

*This could be a triggered reaction (pump-probe) or a non triggered reaction (e.g. thermally activated). Most often, photoreactions (triggered) are studied!*



*Thermodynamics*

$$K = \frac{[Products]}{[Reactants]} = e^{-\frac{\Delta G}{RT}}$$

*Transition state theory*

$$k = \nu \cdot e^{-\frac{\Delta G^\ddagger}{RT}}$$

- Thermodynamic properties of the transition state ( $\Delta G^\ddagger$ ) and the crossing frequency ( $\nu$ ) determine the reaction rate ( $k$ ) (the kinetics) of thermal reactions (equilibrium)
- The energy potential landscapes determine the reaction mechanisms (the dynamics) of photochemical reactions

**We talk about the atomic-scale dynamics of chemical interactions**

**See extra slides on the delineation of kinetics and dynamics!**



# The Born-Oppenheimer Approximation

- When we describe chemical interactions
- By forming molecular from atomic orbitals
- We often assume the nuclei to be at rest
- We need to drop this approximation!
- Nuclear movements are now part of the problem!
- This problem is not analytically solvable...
- We need the Born-Oppenheimer approximation!

Max Born and Robert Oppenheimer: **Zur Quantentheorie der Molekeln**, *Annalen der Physik*, **389** (20), p. 457–484 (1927).

# The Born-Oppenheimer Approximation

*By neglecting the coupling of nuclear and electron motions we can treat the motion of nuclei and electrons independently.*

Masses of electrons and nuclei are so different ( $10^4$ ) **that the nuclei appear to be fixed while electrons are moving!**

Solve the Schrödinger equation for the **electrons in the static potential of the fixed nuclei** (“Produktansatz”).

The electronic part of the wavefunction depends on the nuclear coordinates BUT as a parameter, NOT as a variable!

$$\Psi_{\text{molecule}} = \Psi_e \cdot \Psi_n$$

$$\Psi_e = \Psi_e(r_e, R_n)$$

$$\Psi_n = \Psi_n(R_n)$$

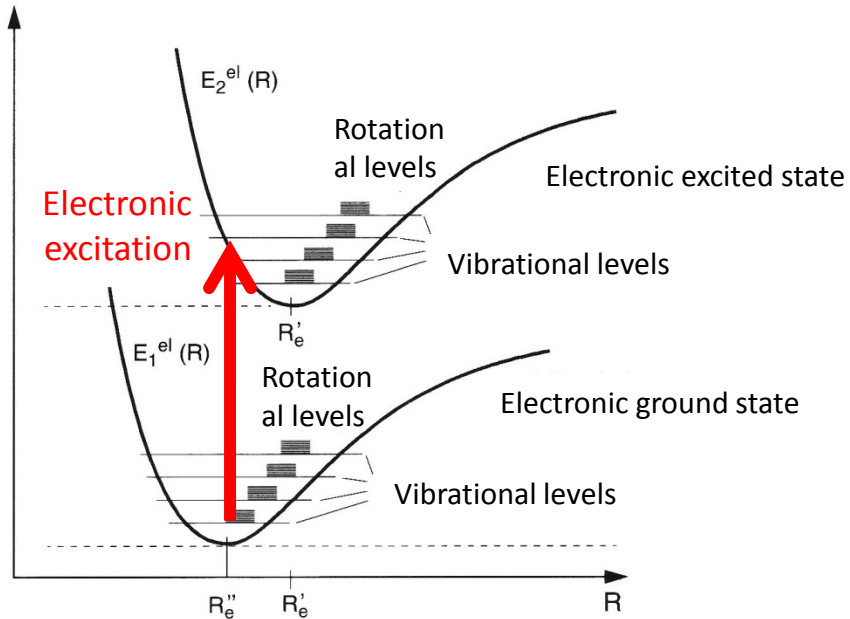
Within the adiabatic approximation (“electrons follow nuclear motions instantaneously”) we can solve the Schrödinger equation for  $\Psi_e$  at fixed  $R_n$  repeatedly for many  $R_n$ :

$$[T_e + V_e] \Psi_e(r_e, R_n=\text{const}) = E_e \Psi_e(r_e, R_n=\text{const}) \quad T_e/V_e = \text{kinetic/potential energy of electrons}$$

**By plotting the resulting set of solutions  $E_e$  versus  $R_n$  we build potential energy curves!**

(surfaces, landscapes, depending on the number of parameters/reaction coordinates)

# The Born-Oppenheimer Approximation



The potential energy curve  $E_e$  versus  $R_n$  corresponds to the “electronic part” of the total energy of the molecules plus the energy arising from repulsion of the nuclei (sum of kinetic and potential energy of electrons plus potential energy of nuclei)

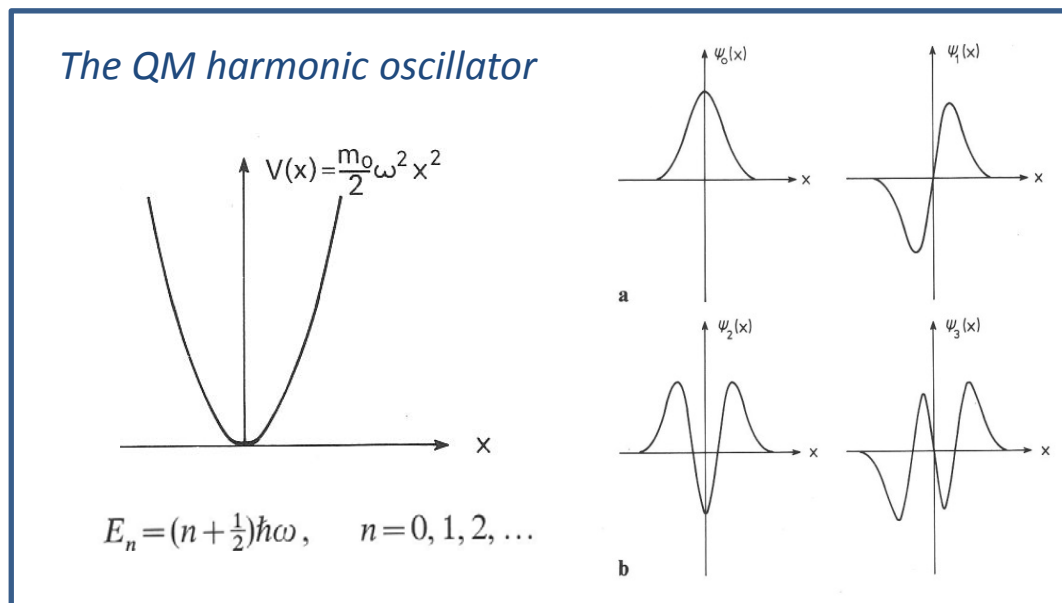
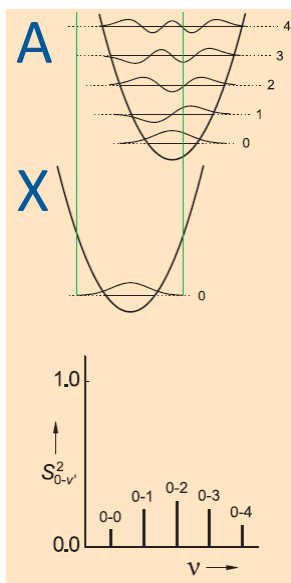
*Vibrational and rotational energies of the molecule are missing!*

# The Franck-Condon Principle

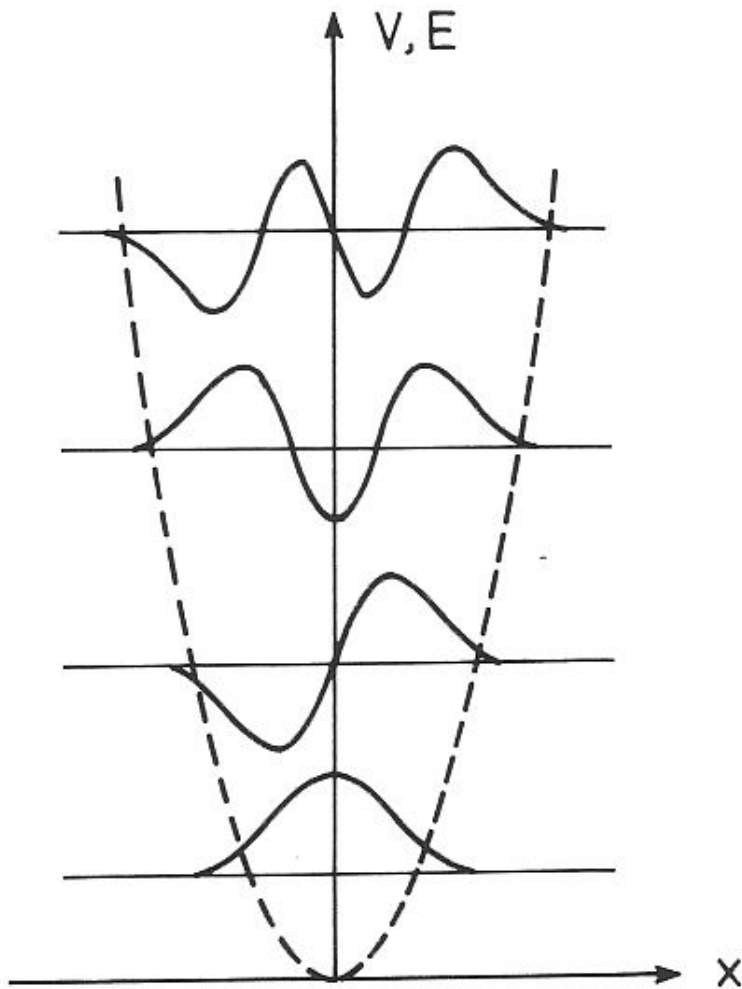
For the transition between state X and A with vibrational levels  $u$  the transition probability (electronic dipole transition) is proportional to:

The **electronic dipole moment** times the **Franck-Condon factors**

$$\begin{aligned} & |\langle \psi_e^A | d_e | \psi_e^X \rangle|^2 \quad \cdot \quad |\langle \psi_n^u | \psi_n^0 \rangle|^2 \\ & \left| \int \psi_e^A d_e \psi_e^X dr_e \right|^2 \quad \cdot \quad \left| \int \psi_n^u \psi_n^0 dR_n \right|^2 \end{aligned}$$



# How many drawings in one?



1. Shape of the harmonic potential  $V(x)$
2. Energy levels  $E$  of the harmonic oscillator
3. Nuclear wavefunctions plotted vs.  $x$

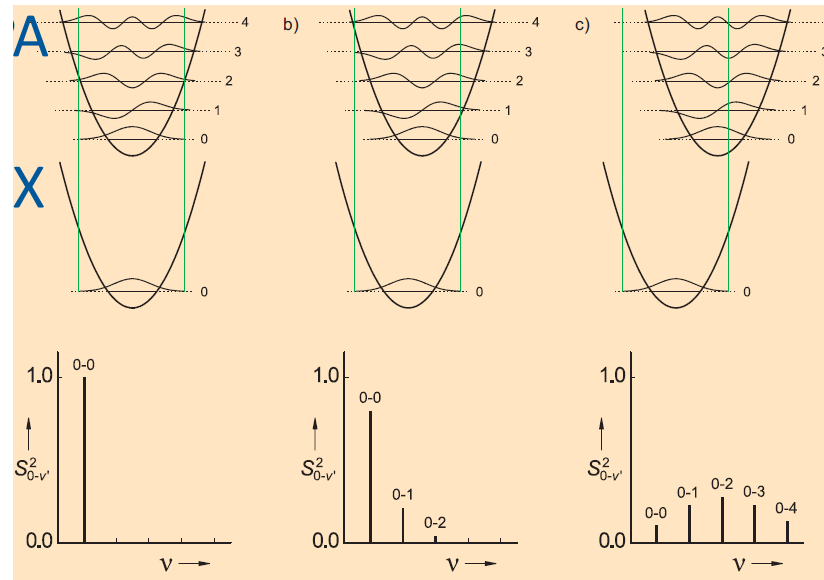
# The Franck-Condon Principle

For the transition between state X and A with vibrational levels  $u$  the transition probability (electronic dipole transition) is proportional to:

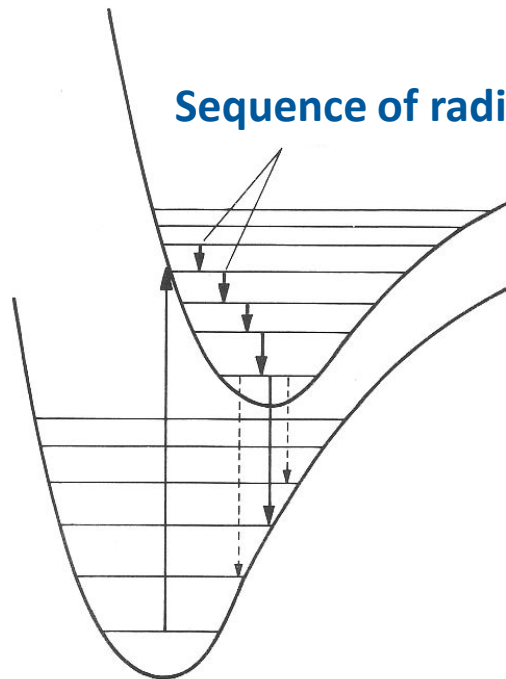
The **electronic dipole moment** times the **Franck-Condon factors**

$$\begin{aligned} & |\langle \psi_e^A | d_e | \psi_e^X \rangle|^2 \quad \cdot \quad |\langle \psi_n^u | \psi_n^0 \rangle|^2 \\ & \left| \int \psi_e^A d_e \psi_e^X dr_e \right|^2 \quad \cdot \quad \left| \int \psi_n^u \psi_n^0 dR_n \right|^2 \end{aligned}$$

Those overlap integrals  $S$  are the famous **Franck-Condon factors**



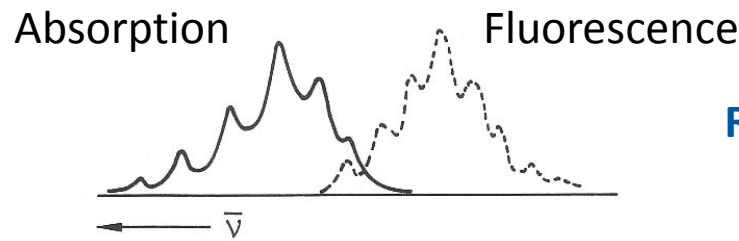
# Electronic excitation and (ultrafast) relaxation



## Sequence of radiationless transitions

- Internal conversion
- Intramolecular vibrational redistribution
- Intersystem crossing
- Thermalization

(see extra slides for details)

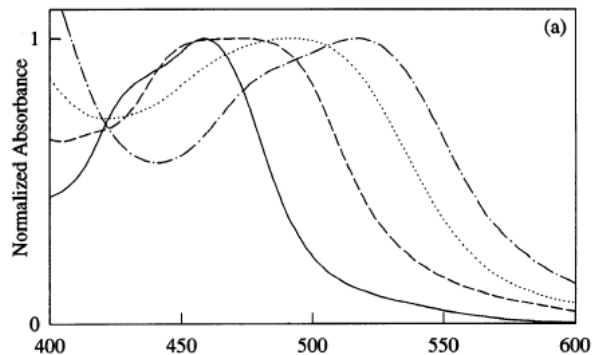


**Red-shift of fluorescence!**

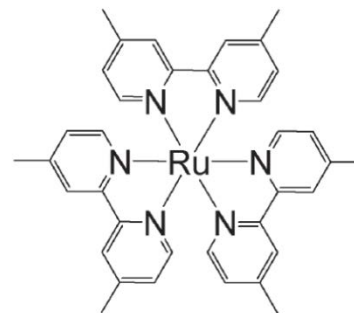
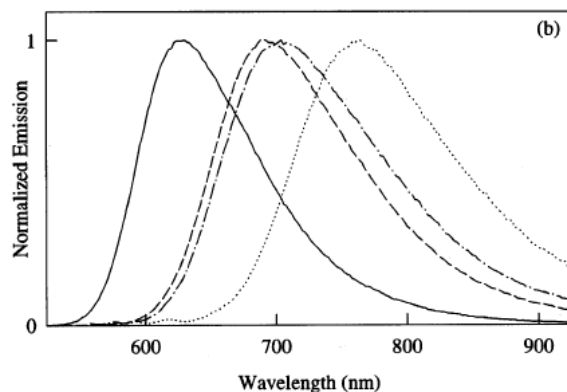
# Electronic excitation and (ultrafast) relaxation

## *A famous example*

### Absorption



### Fluorescence (Emission)



Wai-Yeung Wong (Ed.)  
*Organometallics and Related Molecules for Energy Conversion*

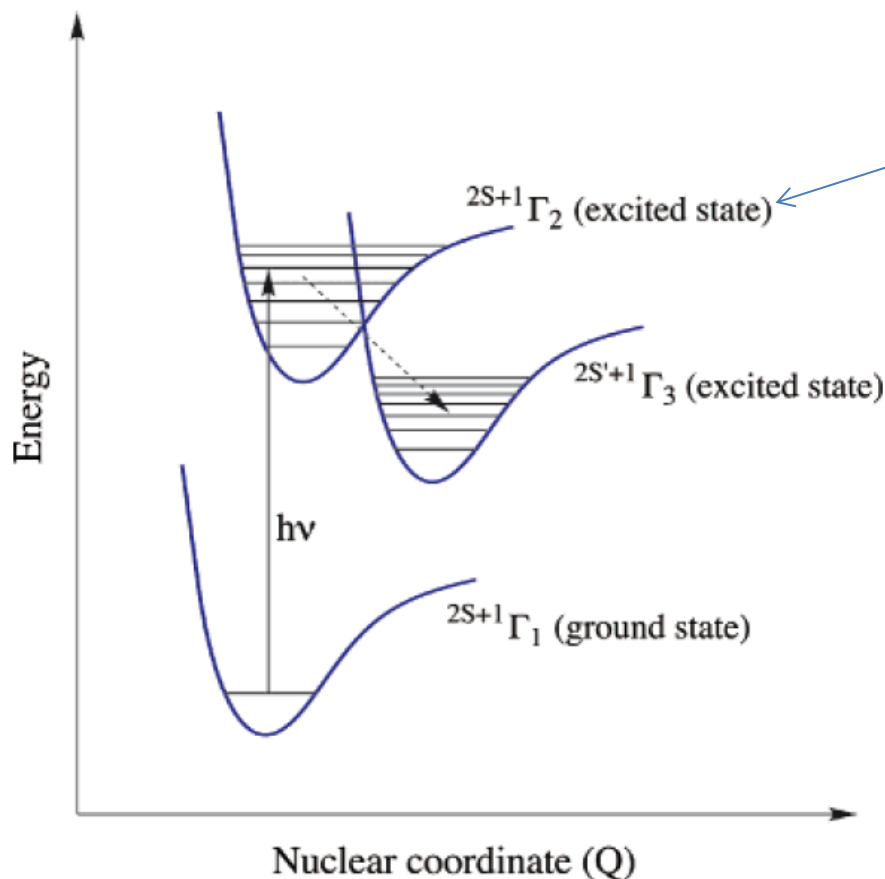
**FIGURE 4.** (a) Electronic absorption spectra of  $[\text{Ru}(\text{dmb})_3]^{2+}$  (—),  $[\text{Ru}(\text{dmb})_2(\text{dea})]^{2+}$  (---),  $[\text{Ru}(\text{dmb})(\text{dea})_2]^{2+}$  (···), and  $[\text{Ru}(\text{dea})_3]^{2+}$  (- · -), all in  $\text{CH}_3\text{CN}$  solution. (b) Static room-temperature emission spectra for  $[\text{Ru}(\text{dmb})_3]^{2+}$  (—),  $[\text{Ru}(\text{dmb})_2(\text{dea})]^{2+}$  (---),  $[\text{Ru}(\text{dmb})(\text{dea})_2]^{2+}$  (···), and  $[\text{Ru}(\text{dea})_3]^{2+}$  (- · -), all in deoxygenated  $\text{CH}_3\text{CN}$  solution. (Adapted from ref 18.)

Femtosecond Absorption Spectroscopy of Transition Metal Charge-Transfer Complexes, James K. McCusker, *Acc. Chem. Res.* **36**, 876-887 (2003).



# Electronic excitation and (ultrafast) relaxation

## *A famous example*



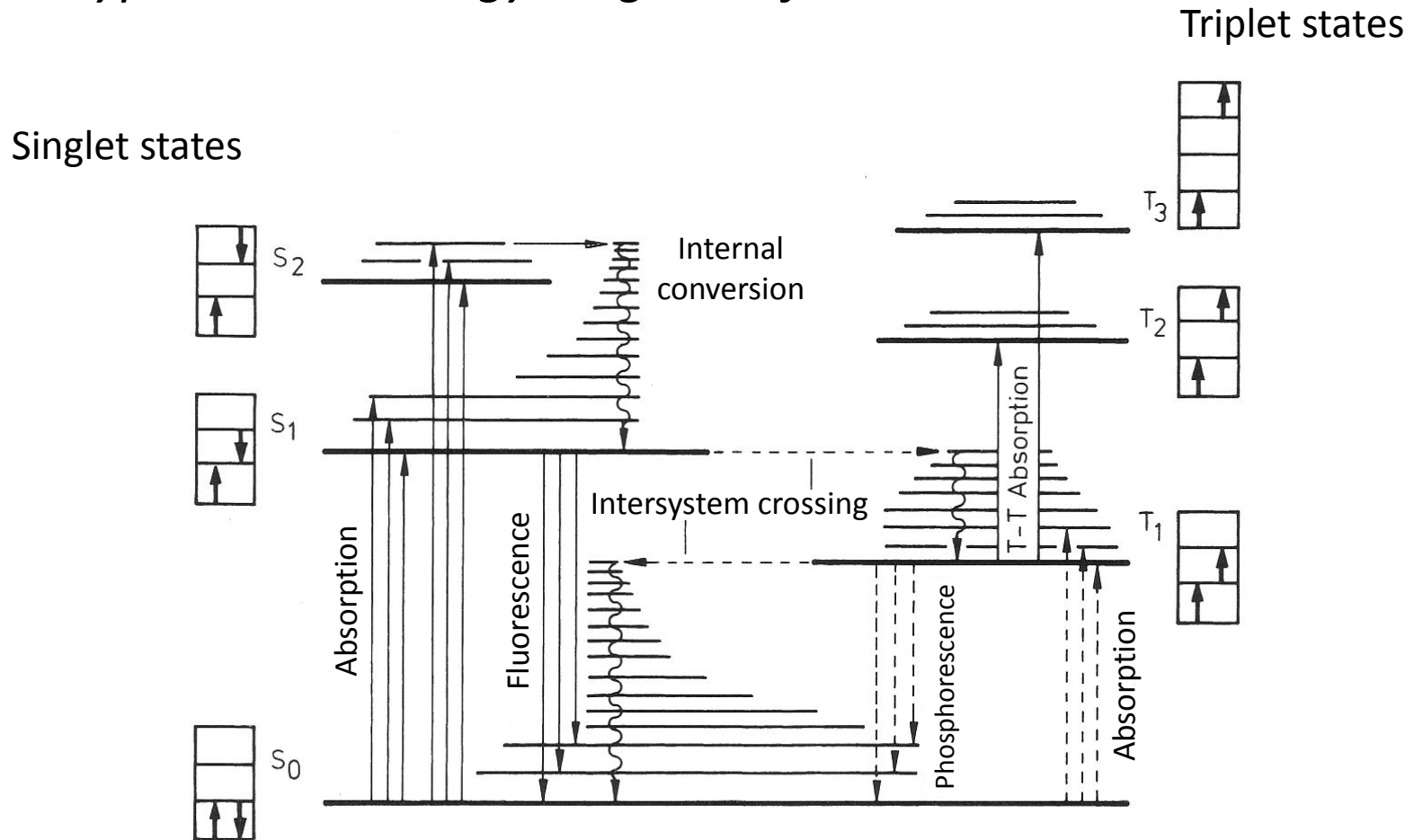
*If we can make this state live long, we can efficiently make use of the electron-hole pair!*

**Charge separation**  
**→ Henrik Lemkes lecture!**

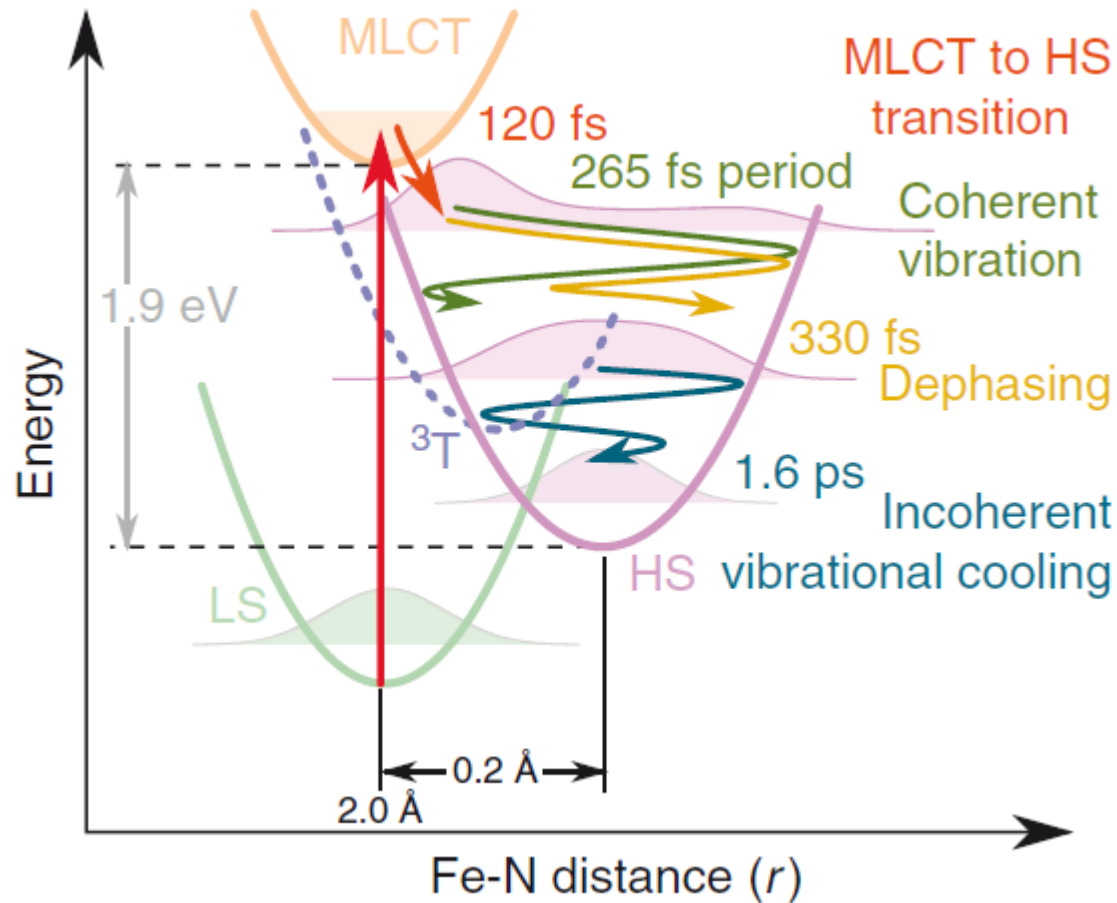
**FIGURE 1.** Generalized potential energy surface diagram for excited-state evolution. Initial excitation from the ground state ( $2S+1\Gamma_1$ , where  $S$  denotes the spin state) to the higher-lying excited state ( $2S+1\Gamma_2$ ) is followed by relaxation to the lower-energy surface ( $2S'+1\Gamma_3$ ). Understanding the dynamics and mechanism of the  $2S+1\Gamma_2 \rightarrow 2S'+1\Gamma_3$  conversion as it occurs in transition-metal charge-transfer complexes is the focus of the research described in this Account.

# Electronic excitation and (ultrafast) relaxation

## *Typical state energy diagram of a molecule*

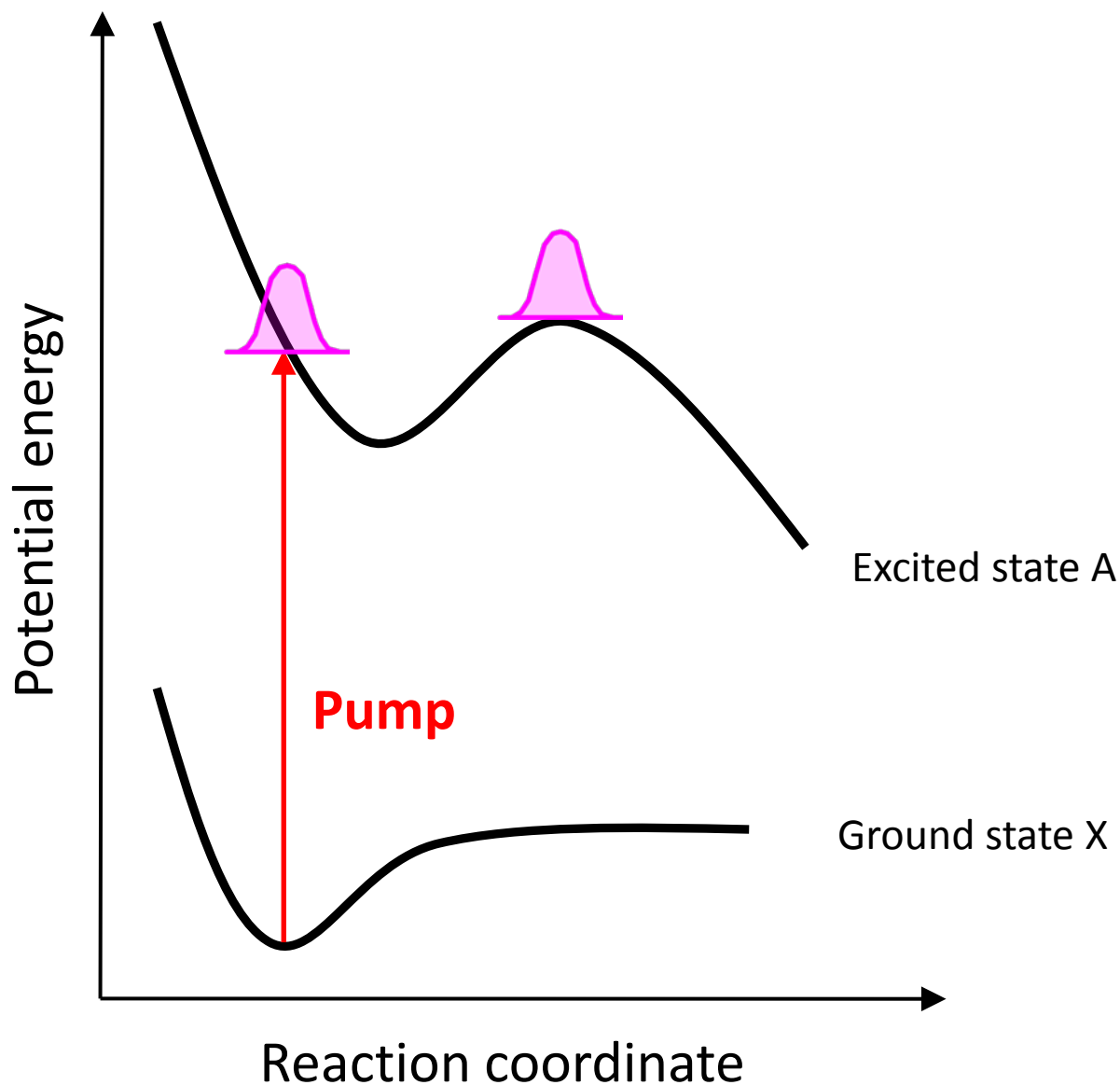


# Electronic excitation and (ultrafast) relaxation



H. Lemke et al., Nature Communications **8**, 15342 (2017).

# Describing nuclear motion



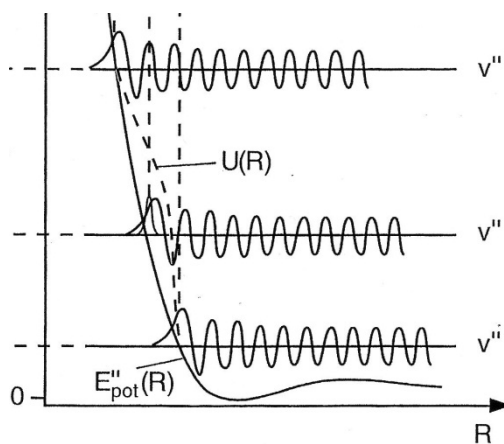
# (Nuclear) Wavepackets

- Coherent superposition of vibrational states
- Formation of a nuclear wavepacket
- The wavepacket is evolving in time (nuclei are moving)!
- Wavepackets to describe particles confined in space

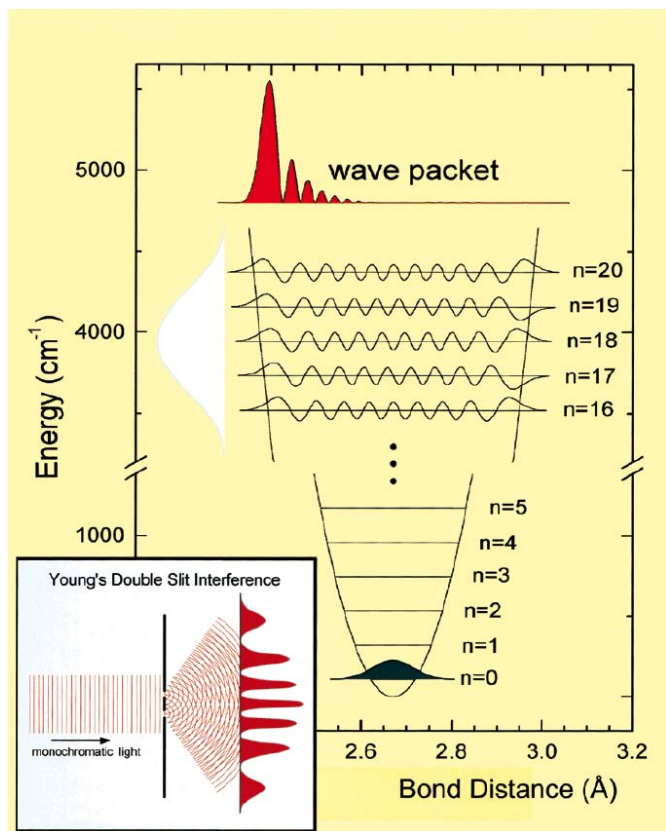
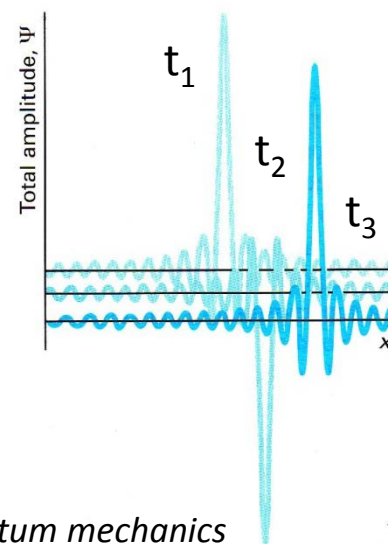
## Superposing plane waves

$$\Psi(x, t) = (2\pi\hbar)^{-1/2} \int_{-\infty}^{+\infty} e^{i(p_x x - Et)/\hbar} \phi(p_x) dp_x$$

## For dissociative states

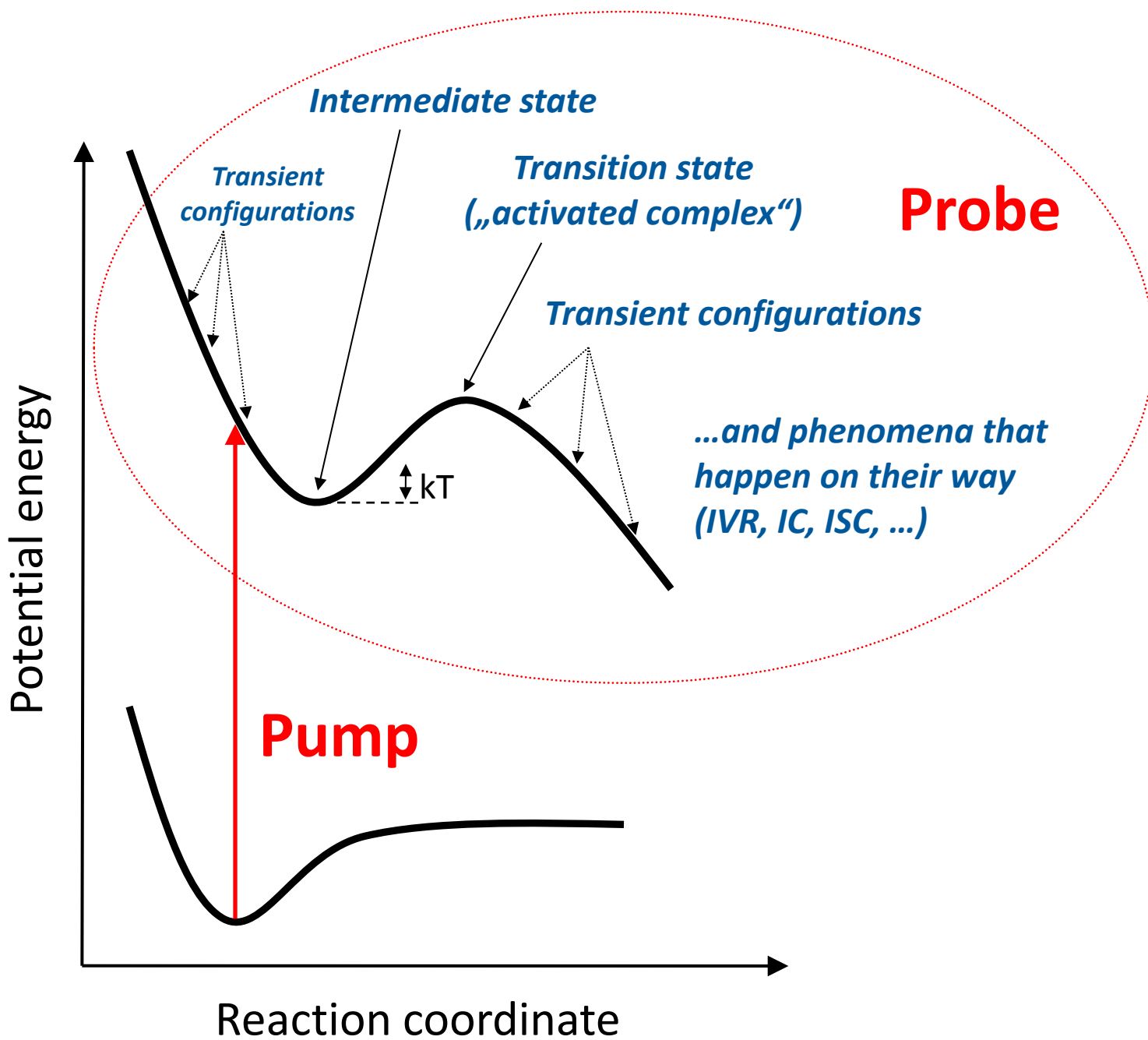


## For free particles

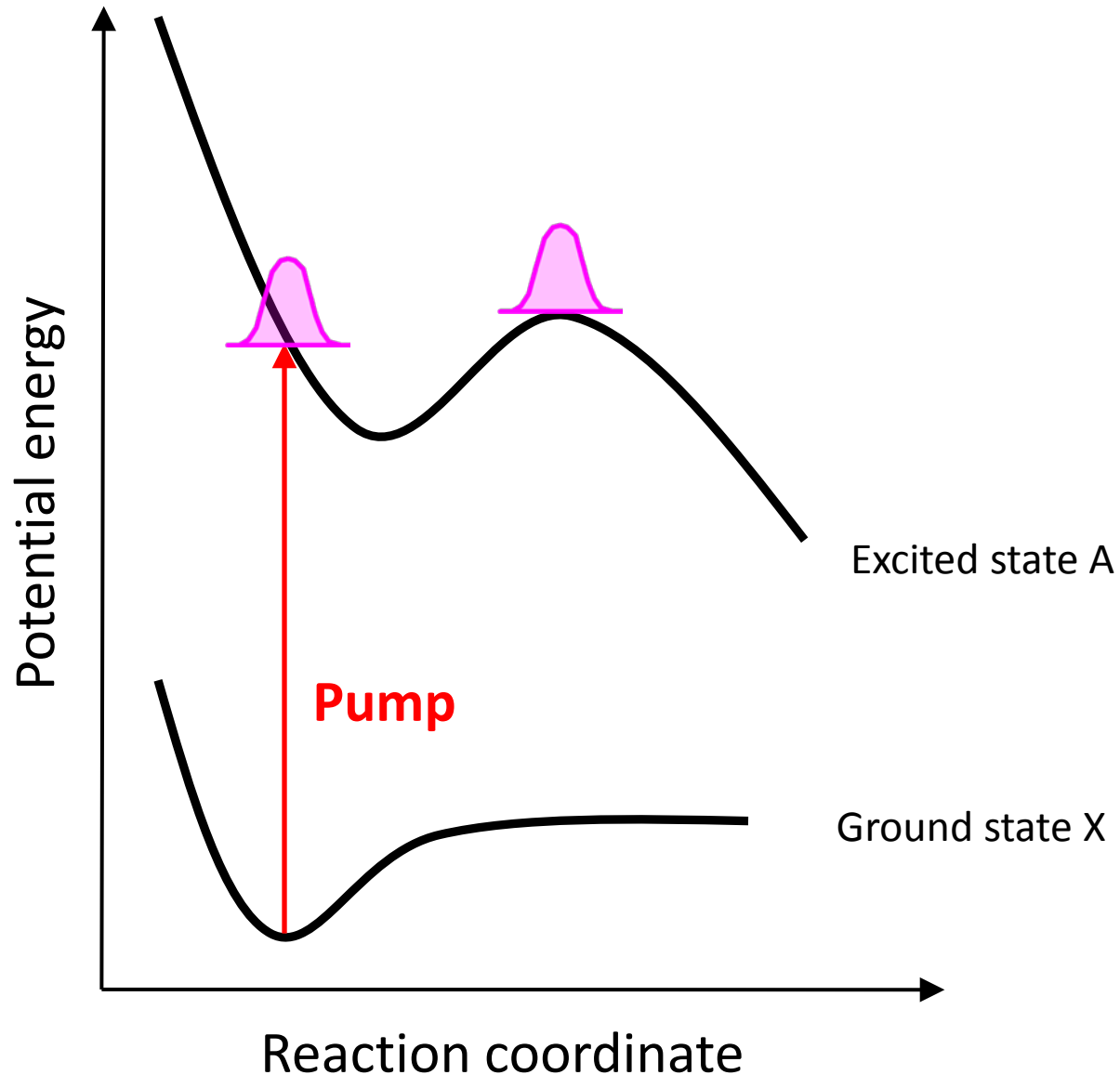


A. Zewail

*J. Phys. Chem. A* 2000, 104, 5660–5694

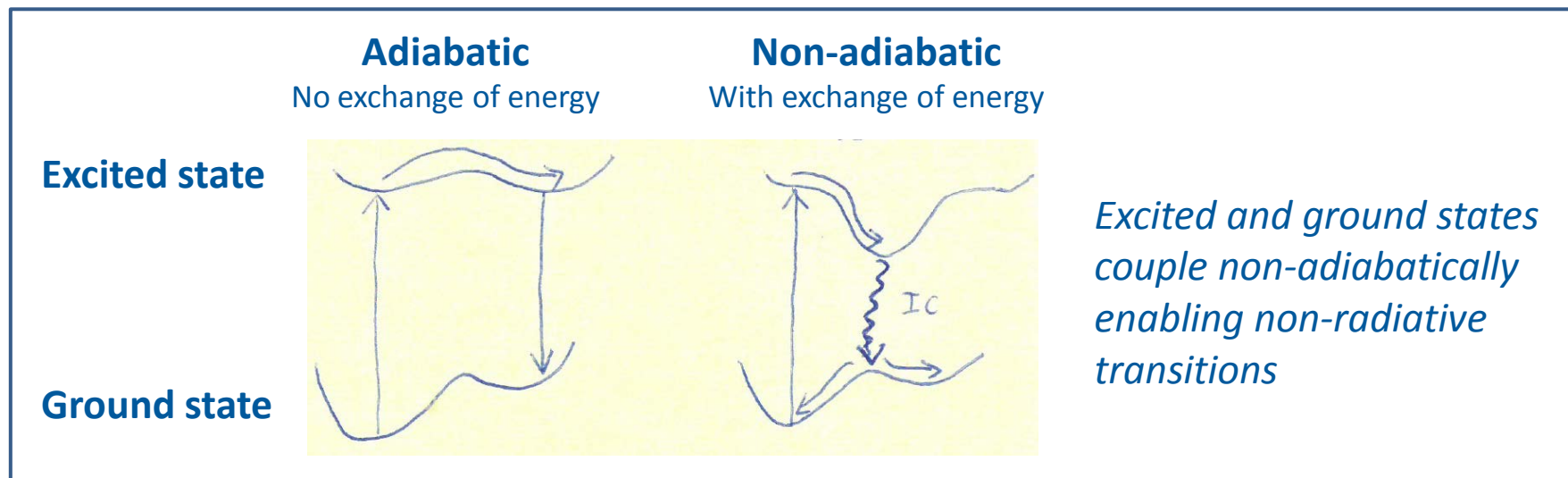


# What is “wrong” here (within the BOA)?



# Beyond the Born-Oppenheimer Approximation

*Getting from one state to another via Conical Intersections (CI)*



Born-Oppenheimer approximation: Nuclei move on adiabatic potential energy surfaces

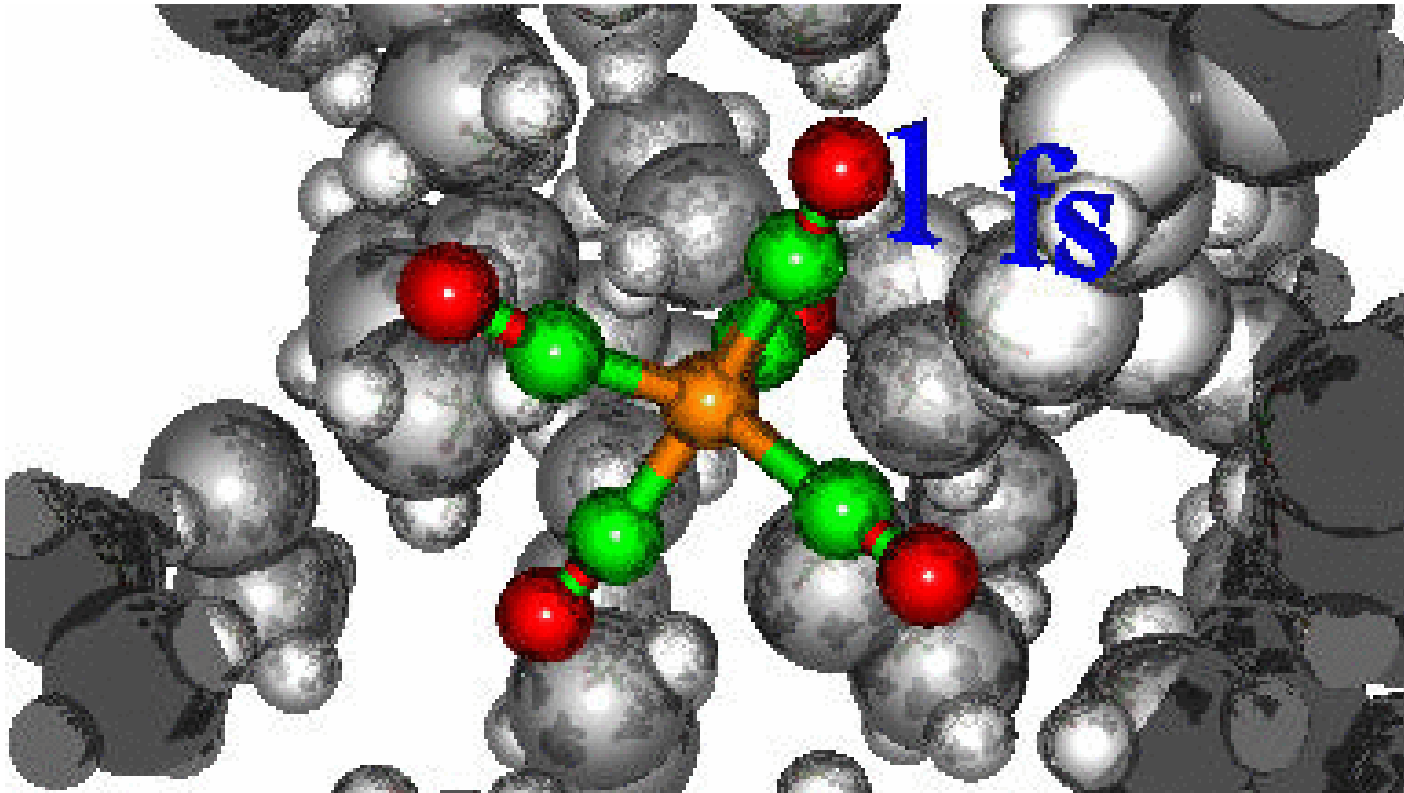
- CIs = Points/seams where potential energy surfaces are degenerate (intersect)
- At CIs states couple non-adiabatically, BOA breaks down, coupled electron-nuclear dynamics, non-adiabatic processes (e.g. Internal Conversion, IC) take place
- Nuclear motion around the CI = Non-radiative (highly efficient) transitions between states become possible
- Ultrafast atomic motion otherwise inaccessible (in excited and ground states)

→ CIs play major roles in photochemical reactions and ultrafast radiationless decays.  
Ultrafast atomic movements can then lead to unique electronic properties!



# What do you see?

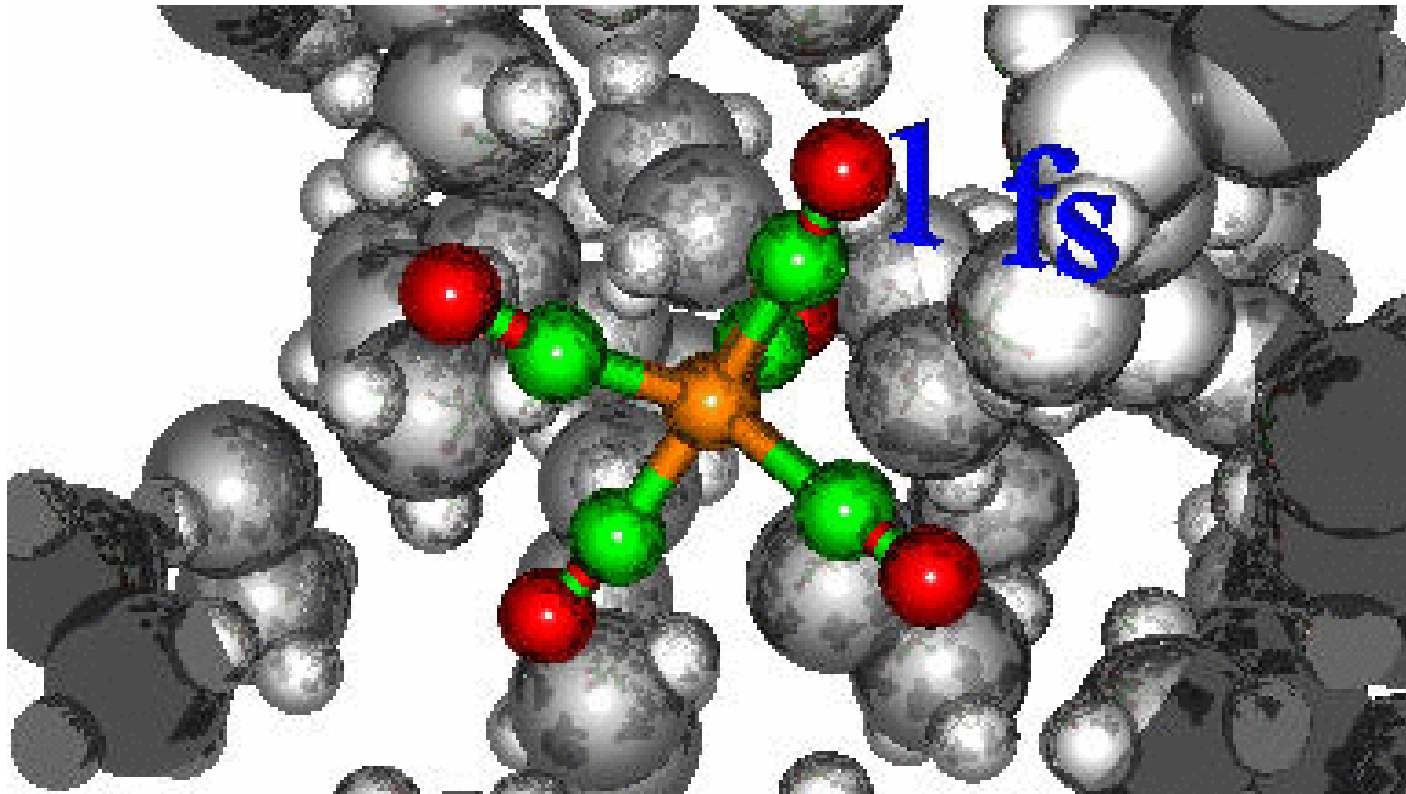
*Molecular dynamics simulation  
Michael Odelius (Stockholm University)*



*$\text{Fe}(\text{CO})_5$  dissociation in ethanol*

# Where are the electrons?

*Molecular dynamics simulation  
Michael Odelius (Stockholm University)*



*$\text{Fe}(\text{CO})_5$  dissociation in ethanol*

# How fast do electrons move?

- Ask yourself: Why do I care?
- Do I want to observe electron motion?
- Do I want to follow the rearrangements of electrons as nuclei are moving?
  - **I need fs time resolution!**
- So what time resolution do I need to observe electron motion?

# How fast do electrons move?

**Take the most basic atom and look at the electron in the ground state of the atom**

- Classically the electron takes 150 as to circulate the proton
- I need as temporal resolution

# How fast do electrons move?

**Take a scattering approach and the Heisenberg uncertainty principle**

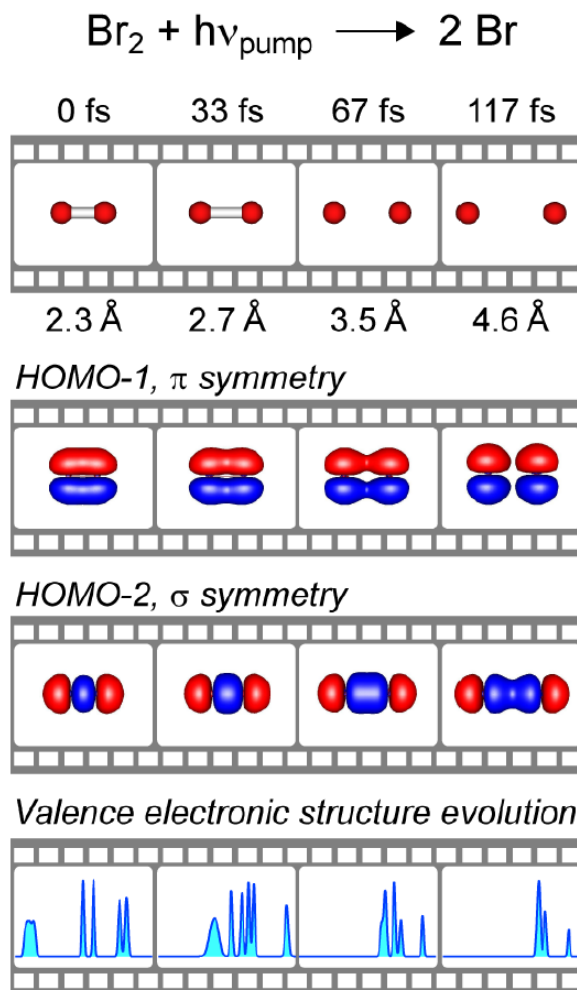
- $\Delta t \cdot \Delta E = \hbar$
- Time it takes to excite an atom = the scattering time  $\Delta t$
- Associated with the transition of the atom from its initial to its final state
- Where  $\Delta E$  is the energy transferred from to the atom
- May be given by  $\Delta t = \hbar / \Delta E$
- For photo-excitation, specifically electronic excitation
- With an energy  $\Delta E$  on the order of 5 eV transferred from the photon to the atom
- This corresponds to  $\Delta t = 3 \cdot 10^{-17} \text{ s} = 30 \text{ as}$  (electronic excitation of 5 eV)

# How fast do electrons move?

## Take the Sommerfeld model of metals (Drude model + quantum theory)

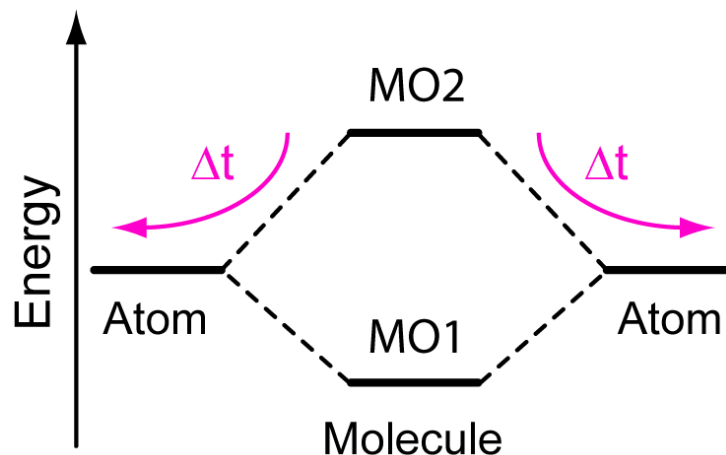
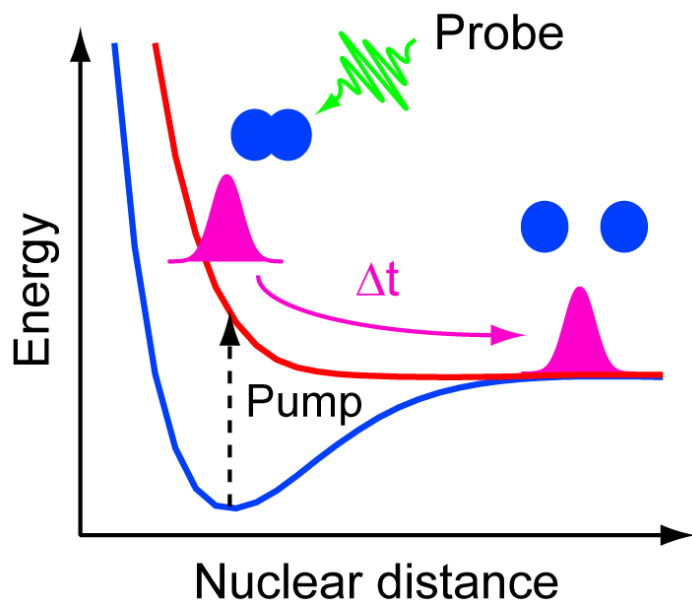
- For simplicity consider  $T = 0$  K (doesn't limit the generality)
- Electrons are particles with momentum  $p = \hbar k$  and velocity  $v = \hbar k / m$
- Electrons are waves with wave vector  $k$  and de Broglie wavelength  $\lambda = 2\pi/k$  (plane wave  $\exp(i k r)$  e.g.)
- Fermi-Dirac distribution of velocities
- Occupied region in (quantized)  $k$  space containing all occupied one-electron levels is a sphere (Fermi sphere with Fermi surface) with radius  $k_F$  (largest possible wave vector)
- Fermi momentum  $\hbar k_F$  = Momentum of the occupied one-electron levels of highest energy (Energy  $E_F$ )
- Fermi velocity  $v_F = \hbar k_F / m$  = Velocity of the occupied one-electron levels of highest energy
- Fermi velocity is the velocity of Fermions (electrons) with kinetic energy = Fermi energy
- For most metals  $v_F \approx 10^6$  m/s (e.g. Fe metal  $2 \cdot 10^6$  m/s)
- Now it's very simple:  $10^6$  m/s =  $10^6 \cdot 10^{10} \text{ \AA} / 10^{-18} \text{ as} = 1 \text{ \AA} / 100 \text{ as}$  (even at  $T = 0$  K and 1% of speed of light...)

# Electron rearrangements as nuclei are moving



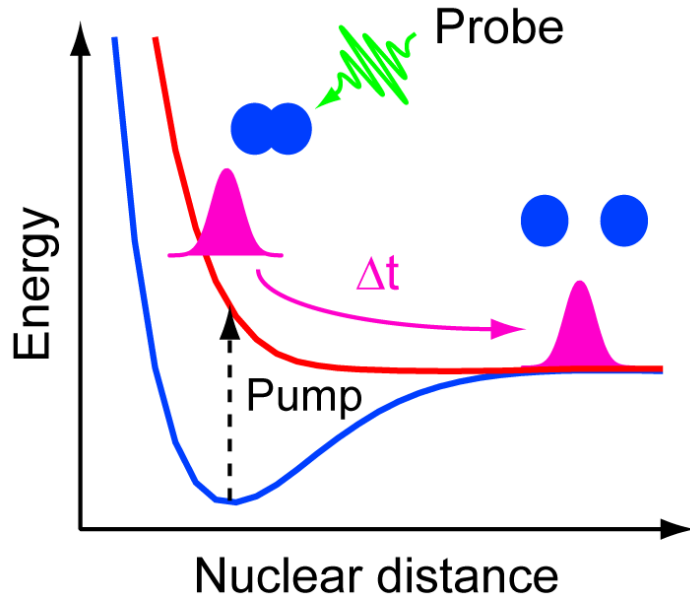
Wernet et al., PRL **103**, 013001 (2009).

# Mapping valence electron rearrangements





# Which one applies? Detect the differences...

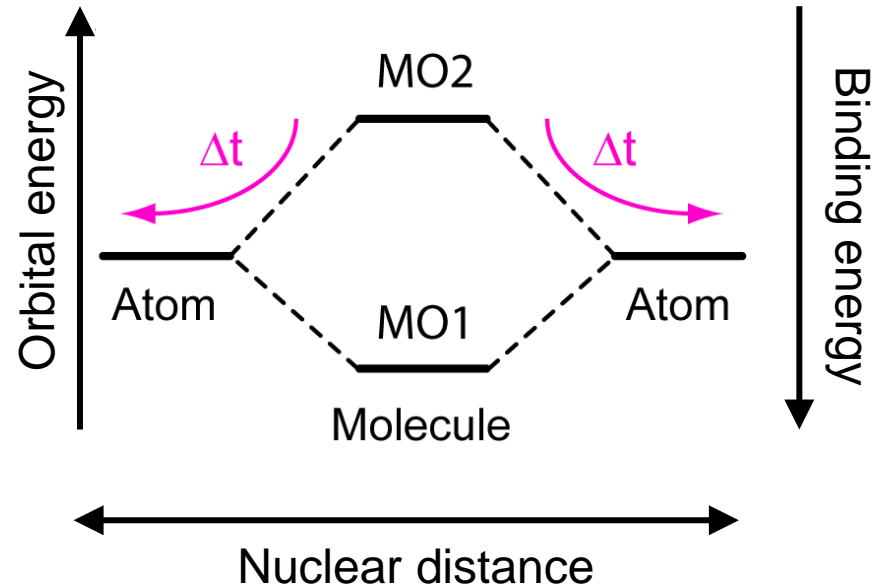


**Ashcroft Mermin** *Solid State Physics*

Chap. 2, footnote 6

„State“ = State of N-electron system

„Level“ = One-electron state (e.g. orbital)



**Koopmans' theorem:** The first ionization energy of a molecular system is equal to the negative of the orbital energy of the highest occupied molecular orbital (HOMO).

# Discuss: What time-resolution do we need?

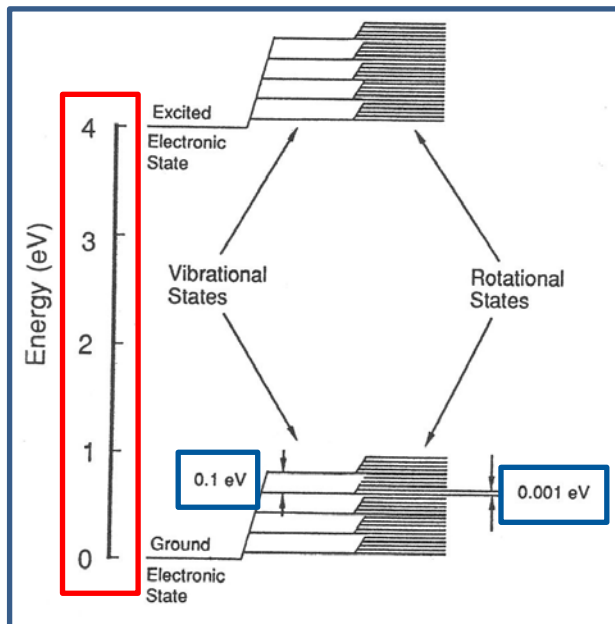
Say the temporal resolution corresponds to the pulse length

For Fourier-transform limited (Gaussian) pulses we have:

$$\Delta t_{\text{FWHM}} \cdot \Delta E_{\text{FWHM}} = 1.85 \text{ eV fs}$$

Svanberg

*Atomic and Molecular Spectroscopy*



**20 fs, 0.1 eV**

**2 fs, 1 eV**

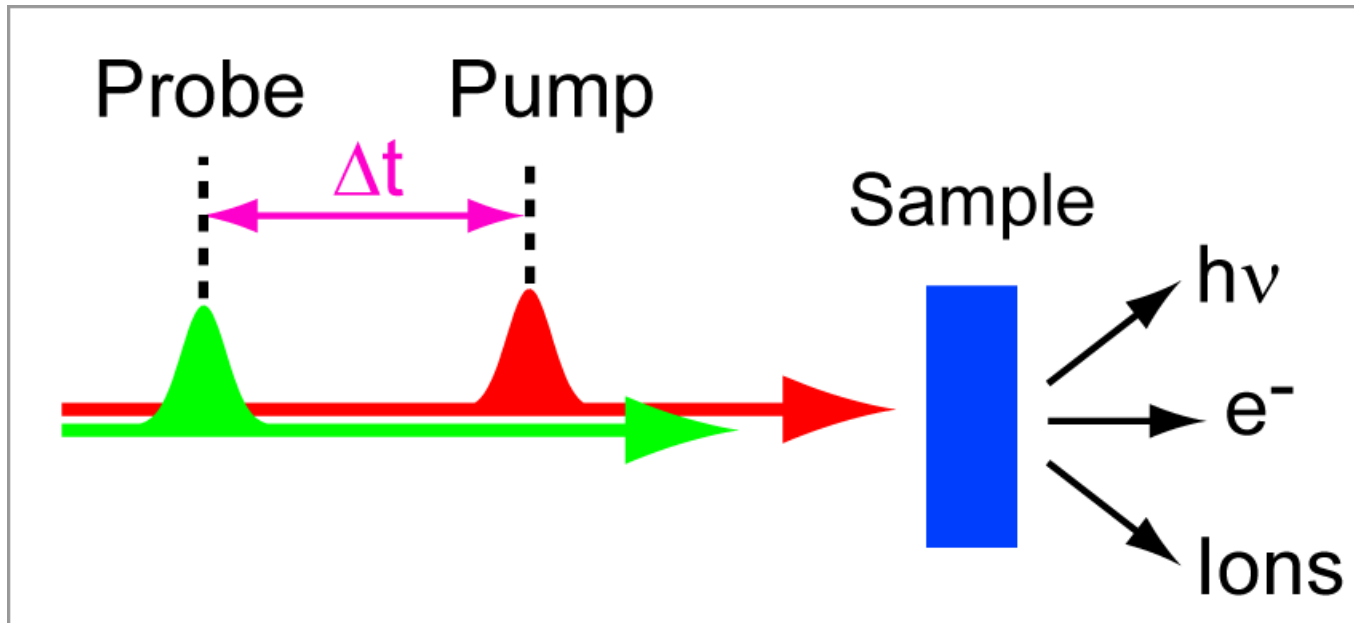
**0.2 fs, 10 eV**

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# Pump-probe spectroscopy

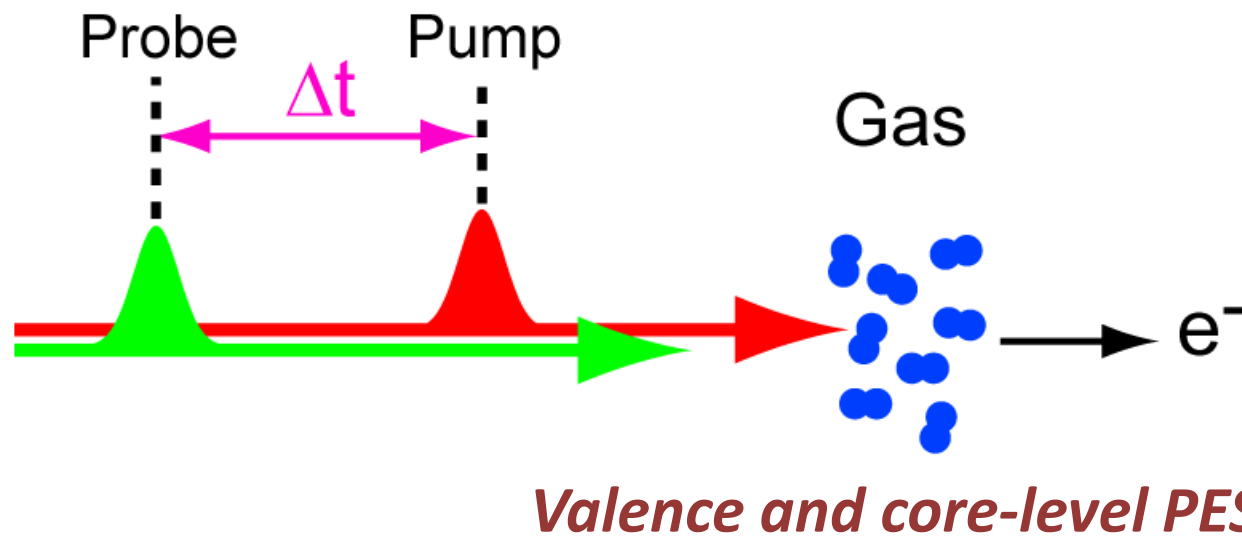
*Optical pump and x-ray probe*



# Pump-probe spectroscopy

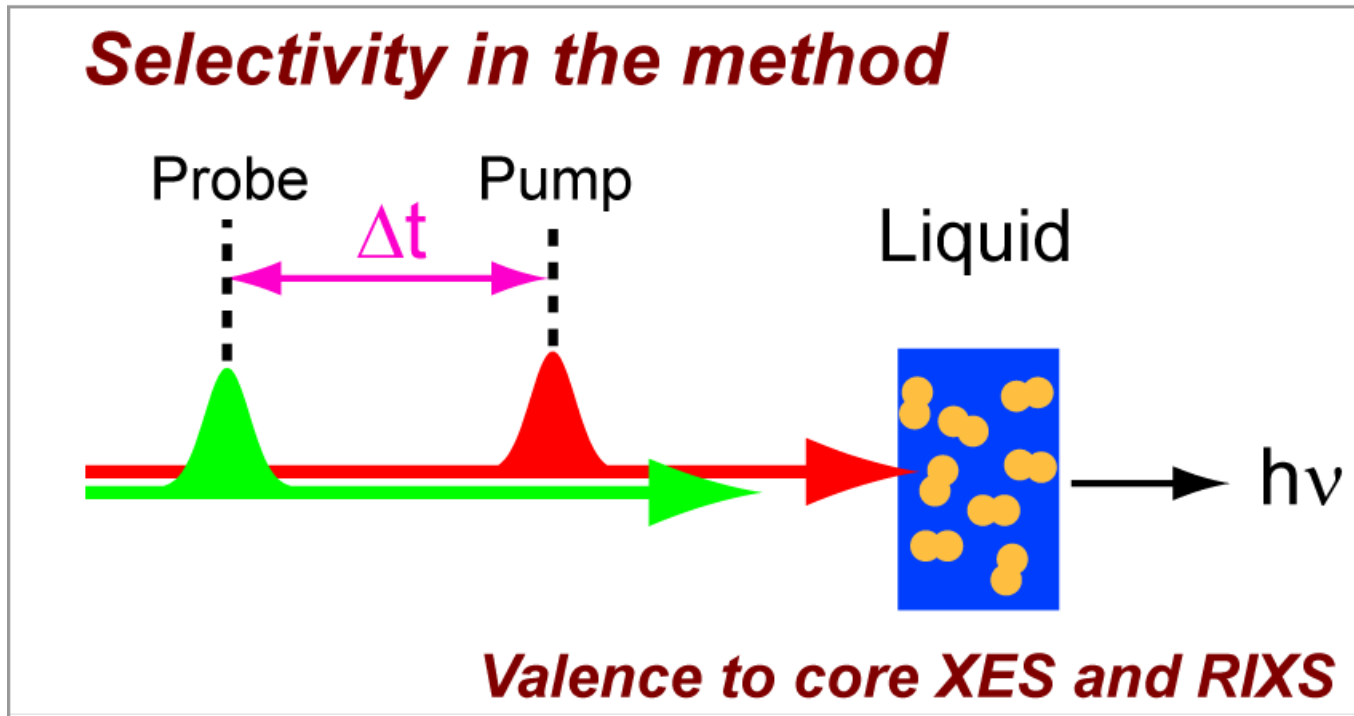
*Optical pump and x-ray probe*

## **Selectivity in the sample**



# Pump-probe spectroscopy

*Optical pump and x-ray probe*

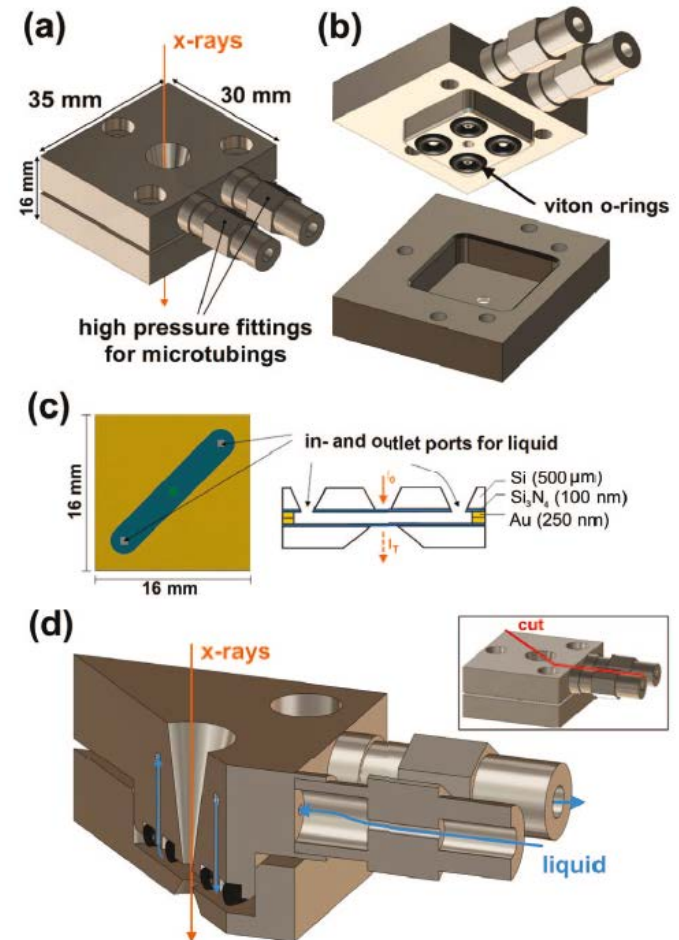
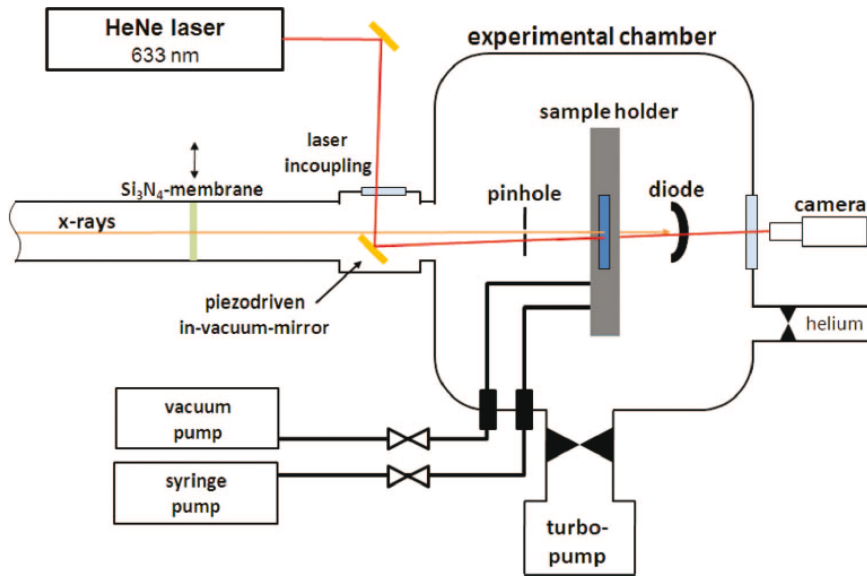


# Preparation of liquid samples

*For soft x-ray spectroscopy (UH-vacuum!)*

- Cells for transmission + fluorescence
- Jets for fluorescence
- Flat jets for transmission

# Cells for transmission



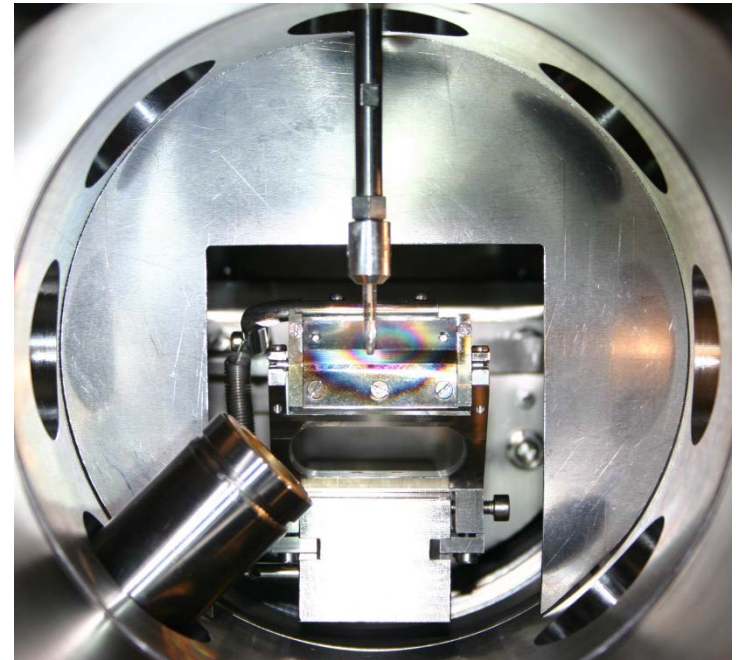
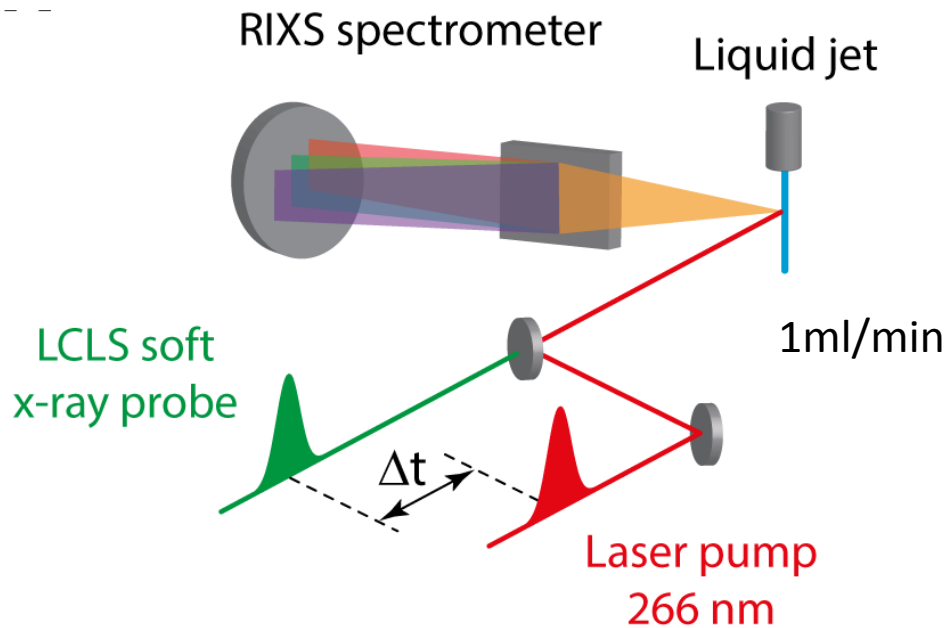
Schreck, Gavrilu, Weniger, Wernet, Rev. Sci. Instrum. **82**, 103101 (2011)

Meibohm, Schreck, Wernet, Rev. Sci. Instrum. **85**, 103102 (2014)

Similar realizations by Nils Huse and Nobuhiro Kosugi!



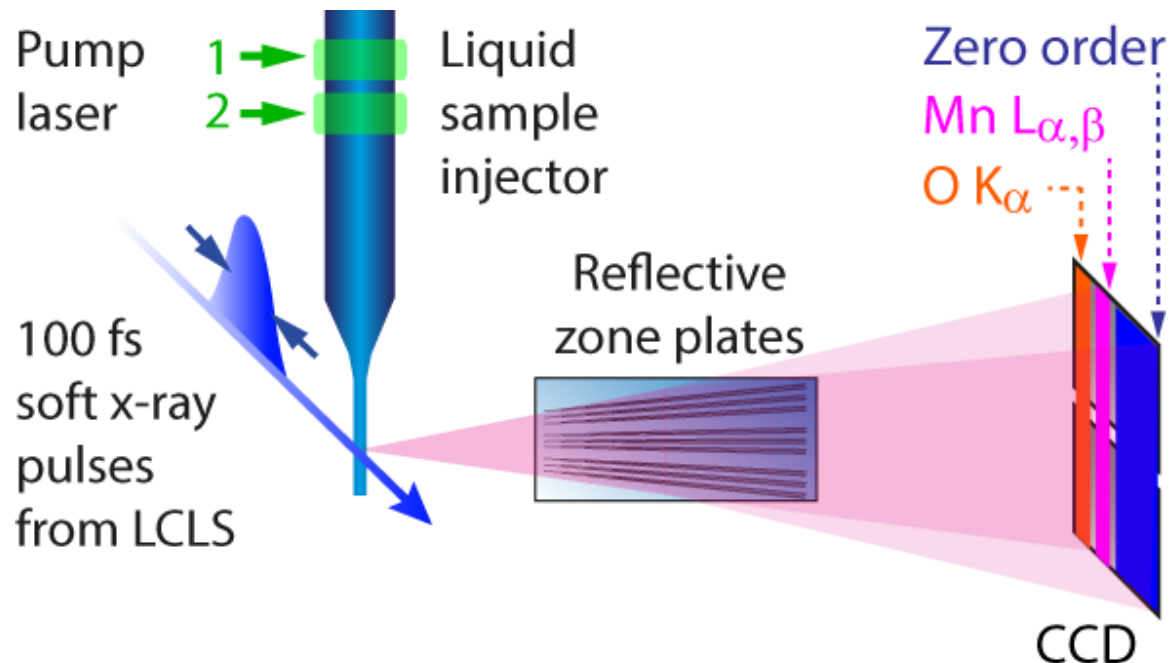
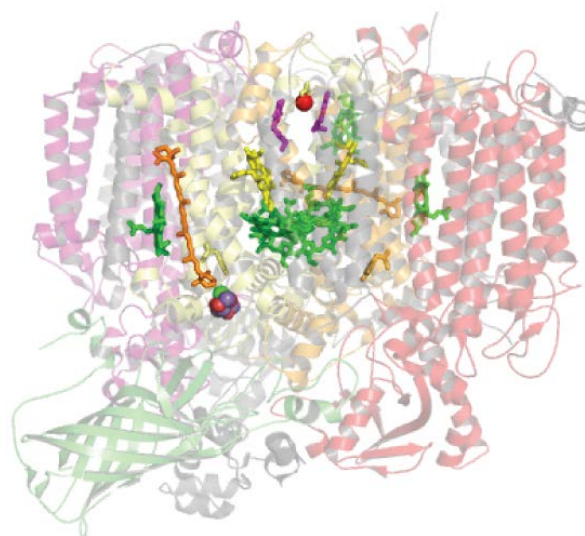
# Jets for fluorescence



K. Kunnus et al. Rev. Sci. Instrum. **83**, 123109 (2012).  
Ph. Wernet et al. Nature **520**, 78-81 (2015).

# Jets for fluorescence

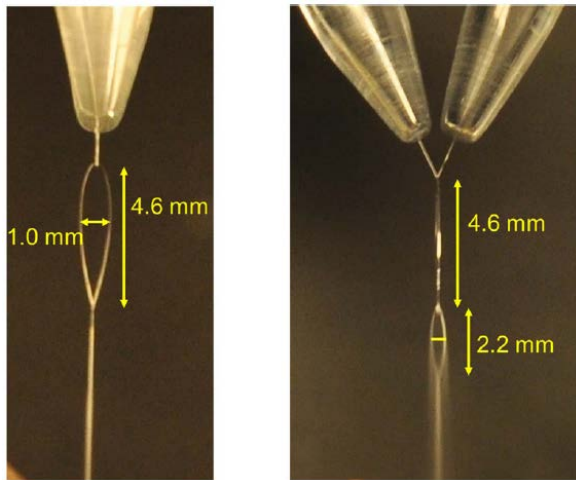
## Photosystem II



Kubin, Kern, ..., Borovik, Agapie, Messinger, ..., Bergmann, Mitzner, Yachandra, Yano, Wernet, Structural Dynamics **4**, 054307 (2017).

# Flat jets for transmission

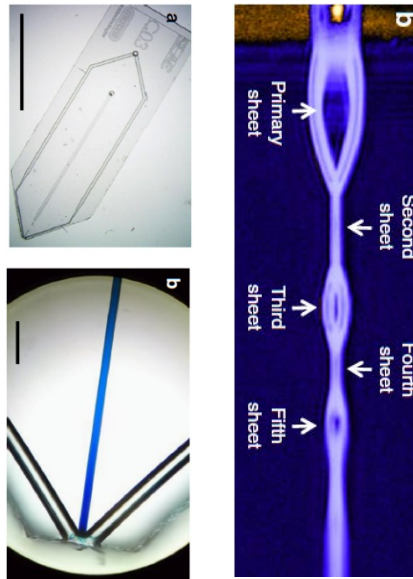
## Colliding jets



Ekimova, Quevedo, Faubel, Wernet, Nibbering, Struct. Dyn. **2**, 054301 (2015)

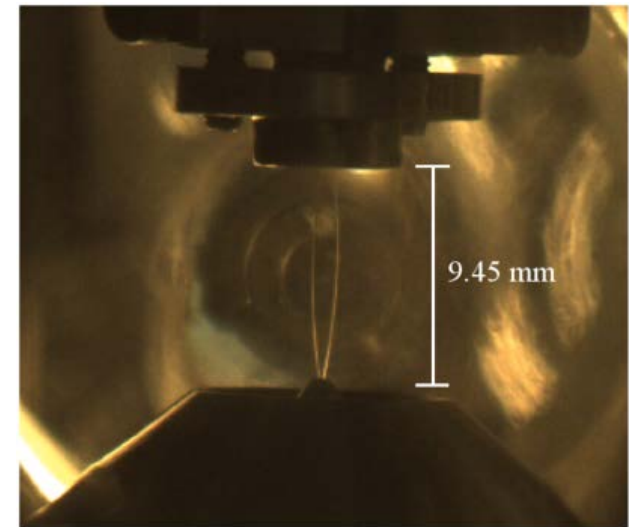
Fondell et al., Struct. Dyn. **4**, 054902 (2017)

## Microfluidic gas-dynamic nozzle



Koralek et al., Nat. Commun. **9**, 1353 (2018)

## Rectangular nozzle

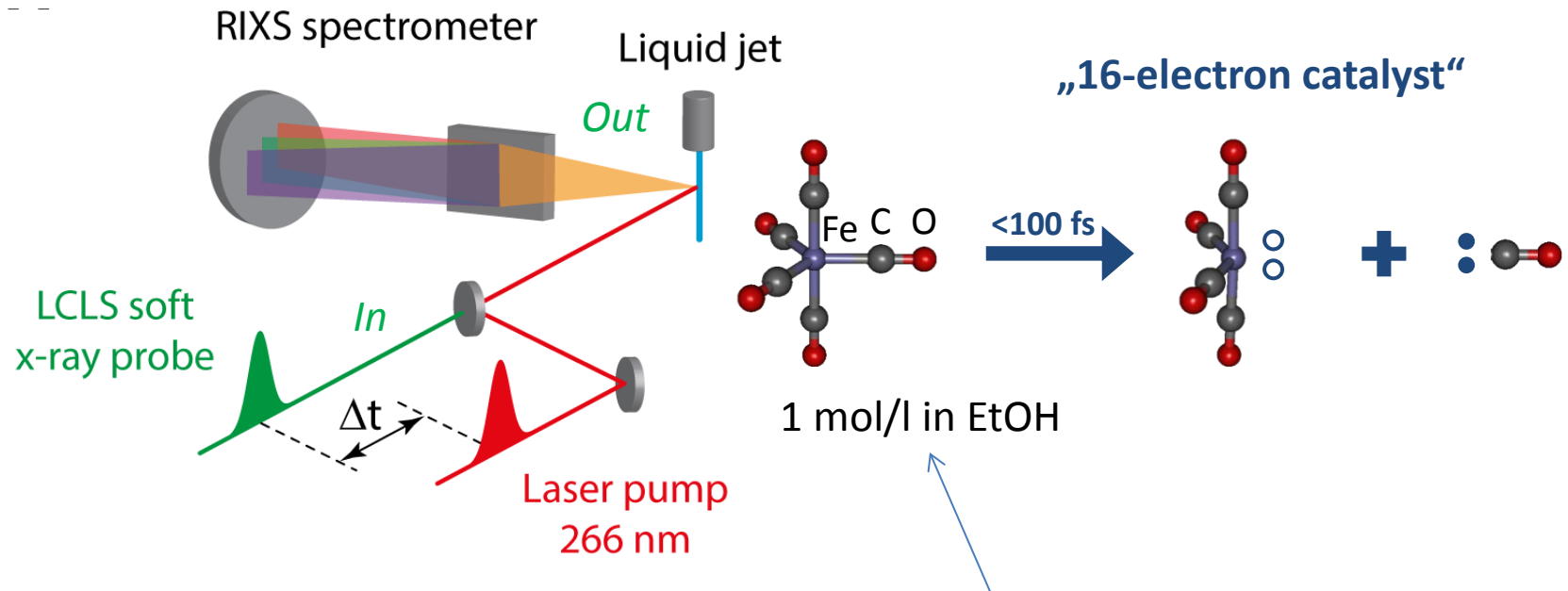


Galinis et al., Rev. Sci. Instrum. **88**, 083117 (2017)

# Outline

1. “I think I need to tell you why...”
2. Setting the stage
3. Some basics
4. Methods
- 5. One application**
6. One of many possible outlooks

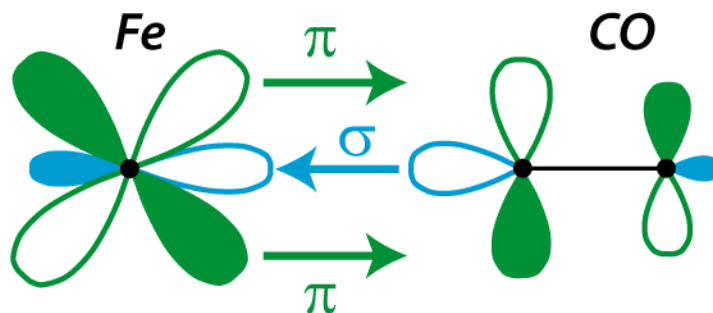
# Time-resolved RIXS at LCLS



K. Kunnus et al. Rev. Sci. Instrum. **83**, 123109 (2012).  
Ph. Wernet et al. Nature **520**, 78-81 (2015).

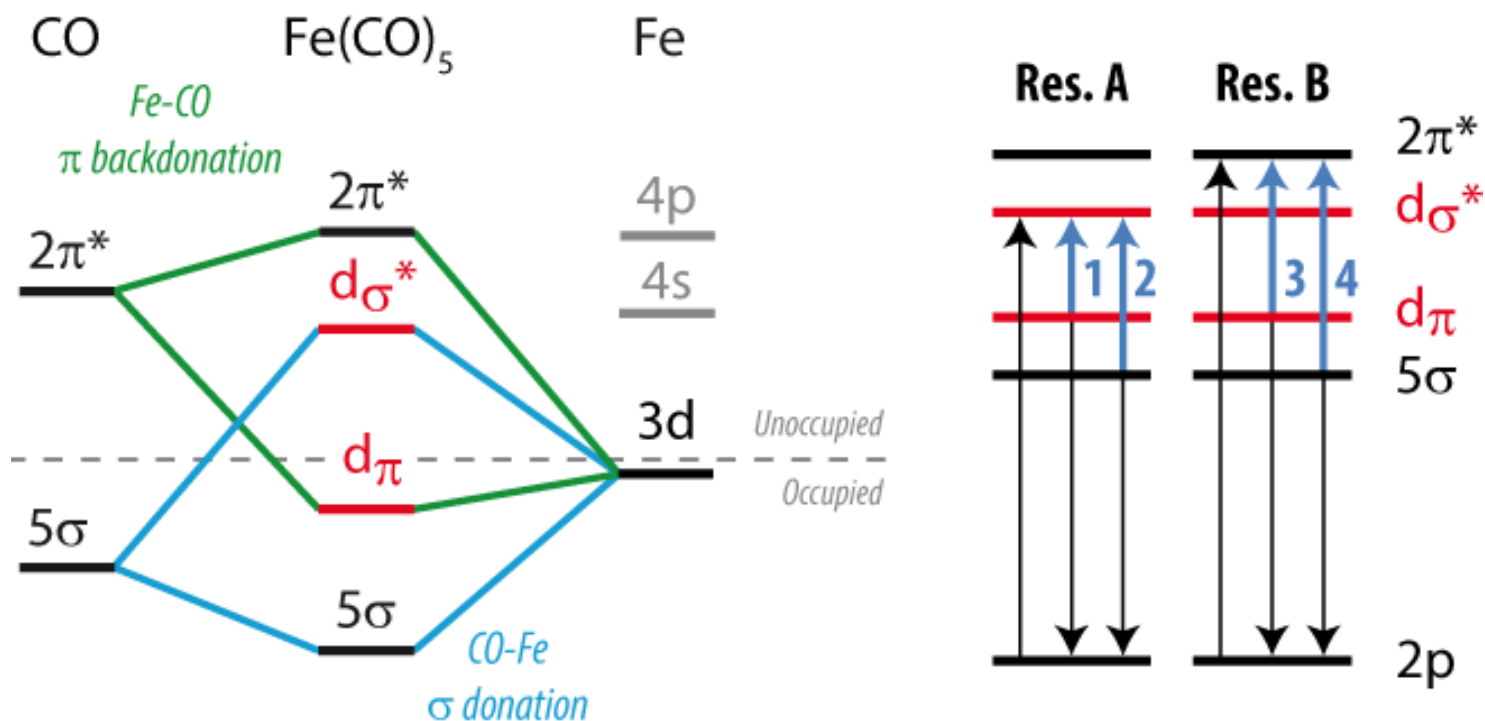
# Bonding in $\text{Fe}(\text{CO})_5$

Ligand to metal sigma donation

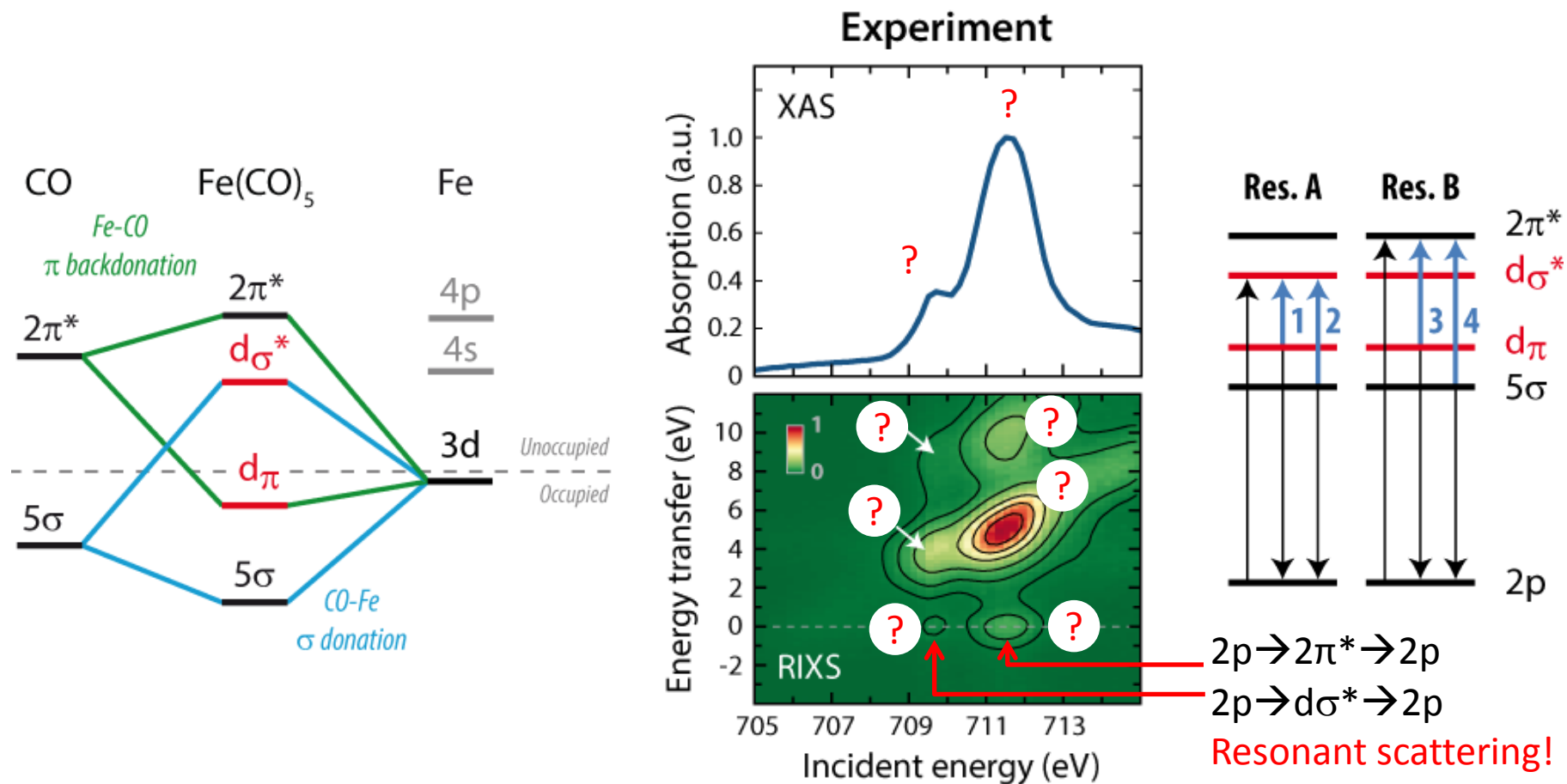


Metal to ligand pi back-donation

# RIXS of Fe(CO)<sub>5</sub>



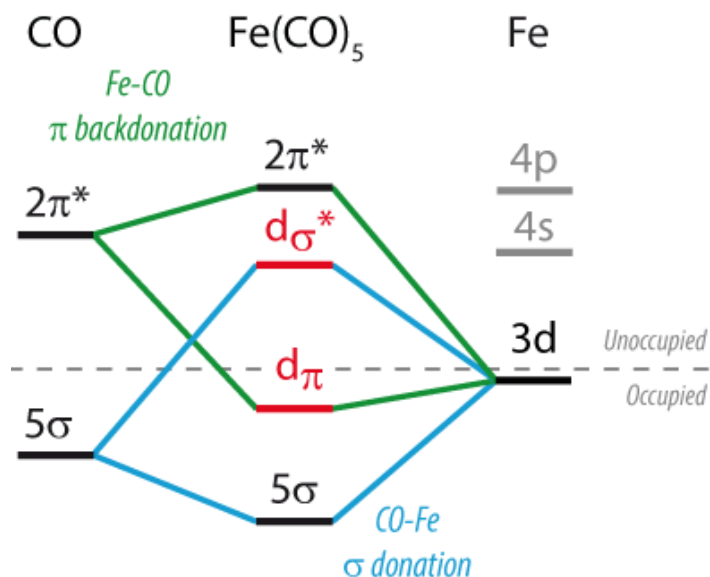
# You do the job: Assign the features!





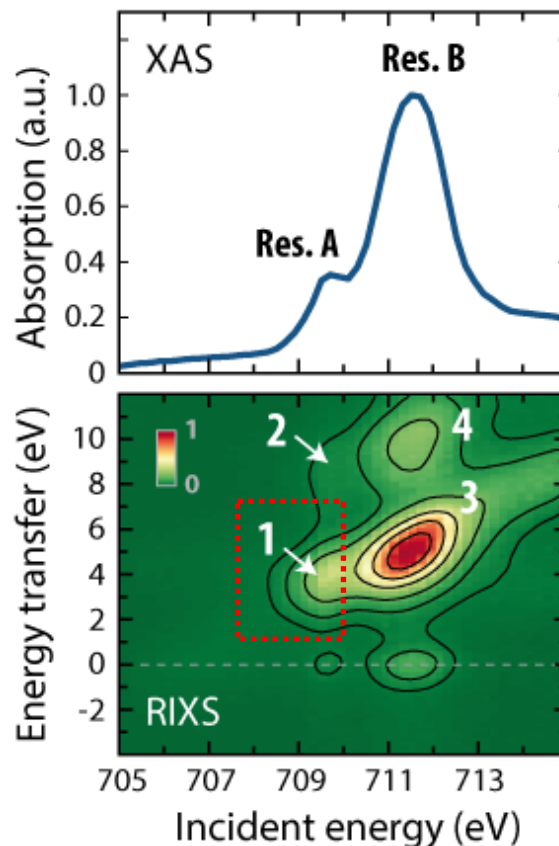
# RIXS of Fe(CO)<sub>5</sub>

*5σ not included (transitions 2 and 4 missing)!*

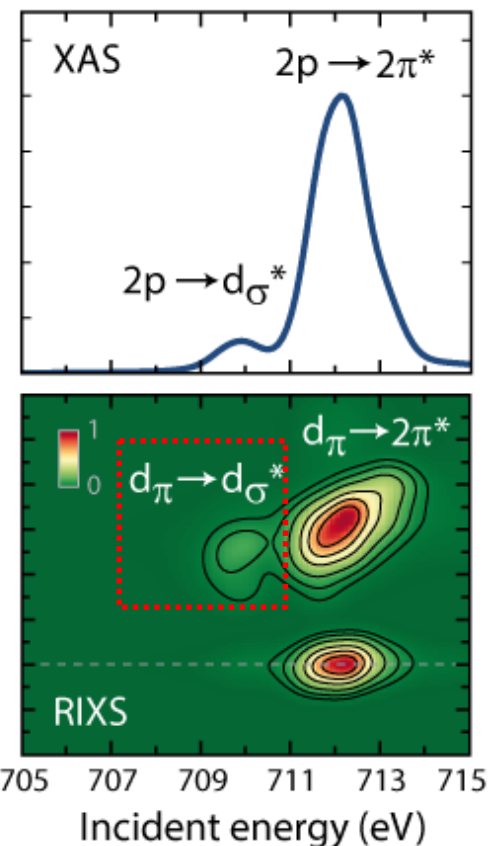


**Let's focus on these transitions because they involve the frontier orbitals HOMO and LUMO!**

Experiment



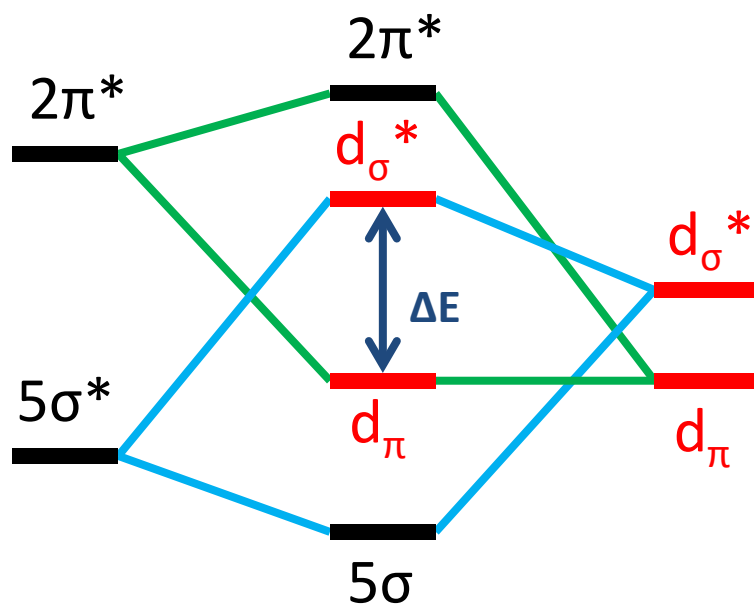
Theory



CO

$\text{Fe}(\text{CO})_5$

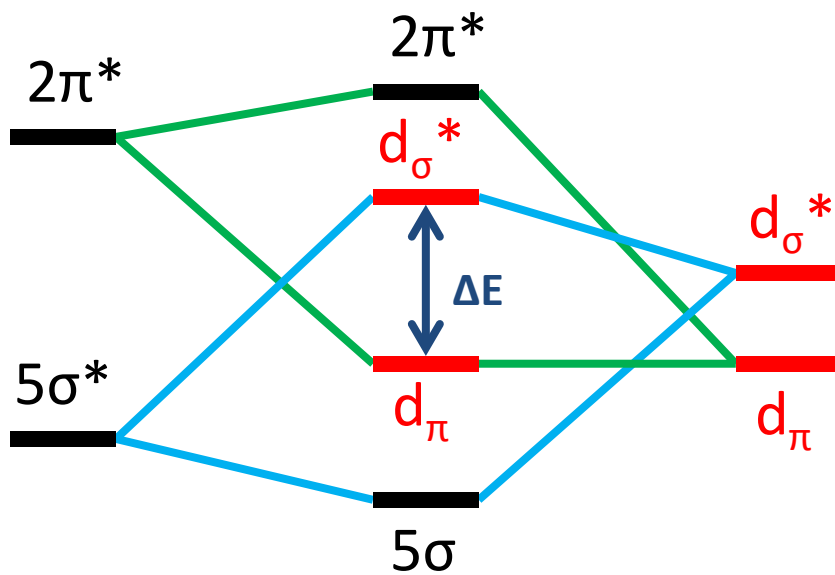
$\text{Fe}(\text{CO})_4$



CO

$\text{Fe}(\text{CO})_5$

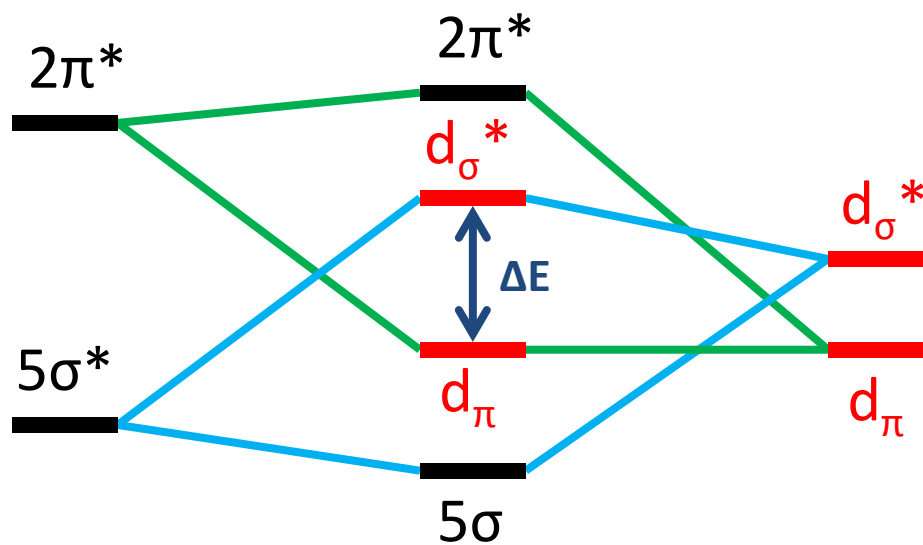
$\text{Fe}(\text{CO})_4$



CO

$\text{Fe}(\text{CO})_5$

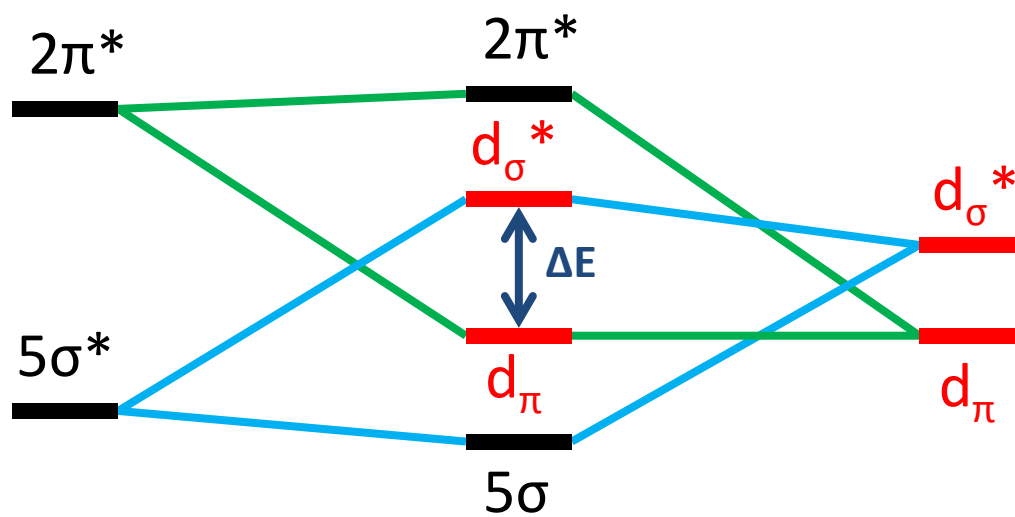
$\text{Fe}(\text{CO})_4$

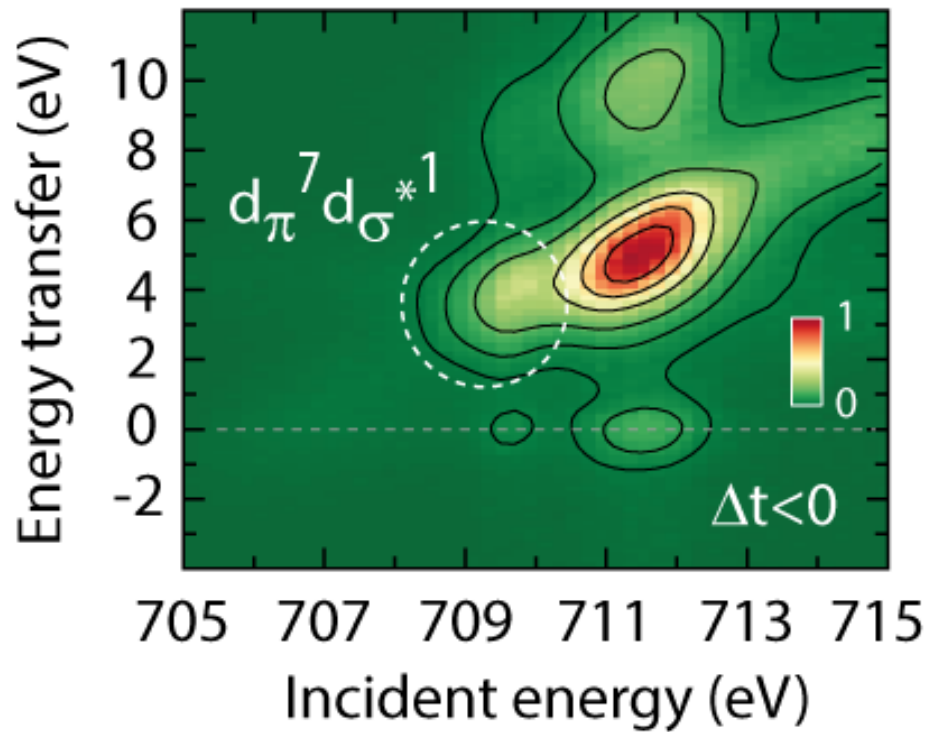


CO

Fe(CO)<sub>5</sub>

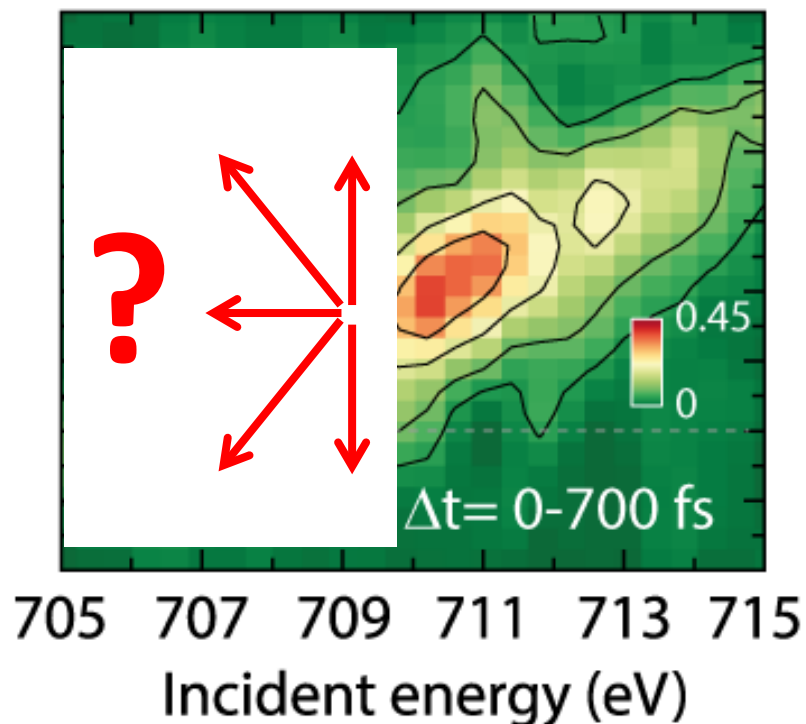
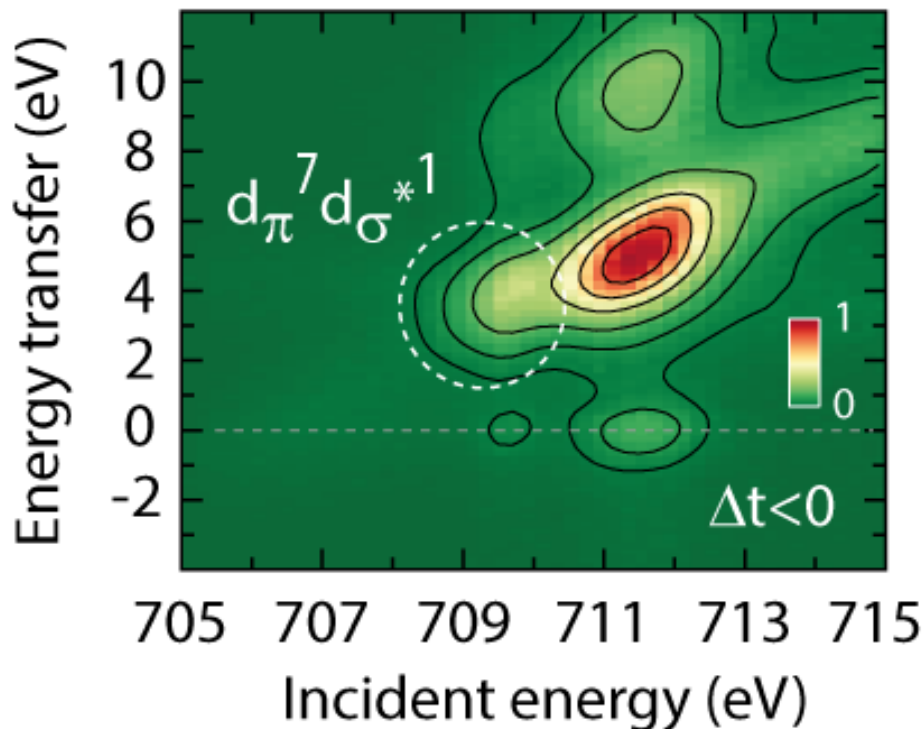
Fe(CO)<sub>4</sub>





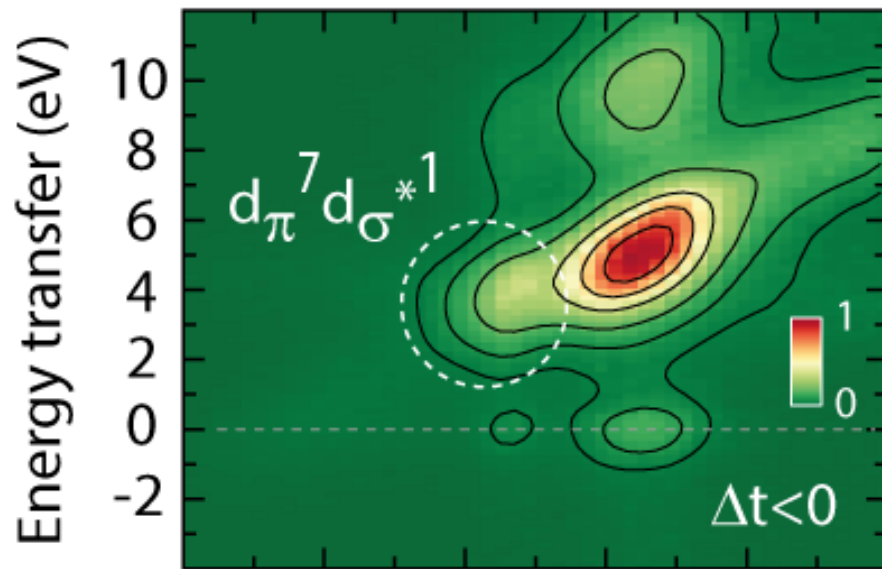
*Fe  $L_3$ -absorption edge*

# Predict the experimental outcome!

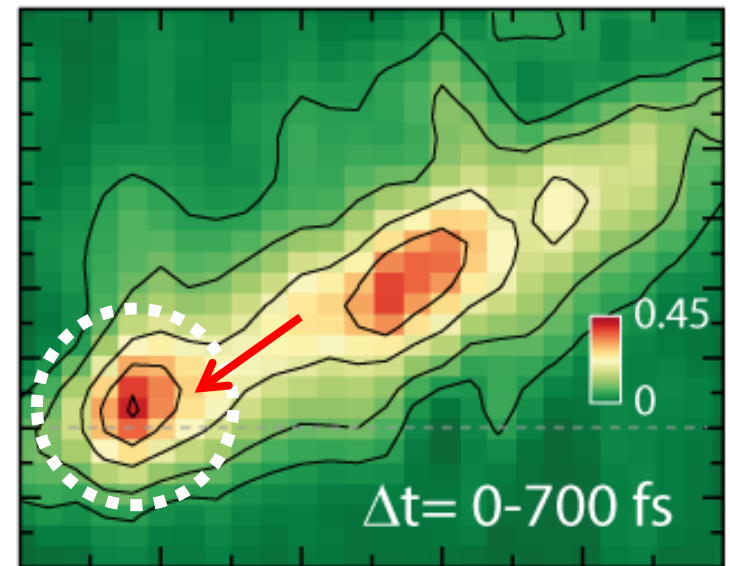


*Fe  $L_3$ -absorption edge*

## $\text{Fe(CO)}_5\text{Fe(CO)}_5$



## $\text{Fe(CO)}_4$ fragments



*Delays between 0 and 700 fs  
mashed together for the moment!*

Ph. Wernet, K. Kunnus, I. Josefsson, I. Rajkovic, W. Quevedo, M. Beye, S. Schreck, S. Grübel, M. Scholz, D. Nordlund, W. Zhang, R. W. Hartsock, W. F. Schlotter, J. J. Turner, B. Kennedy, F. Hennies, F. M. F. de Groot, K. J. Gaffney, S. Techert, M. Odelius, A. Föhlisch, Nature **520**, 78-81 (2015).

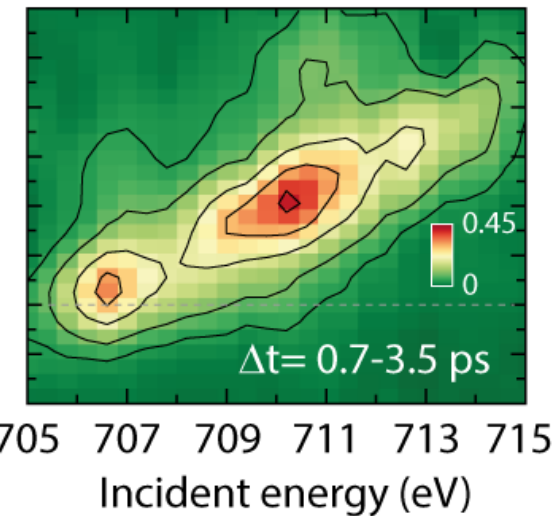
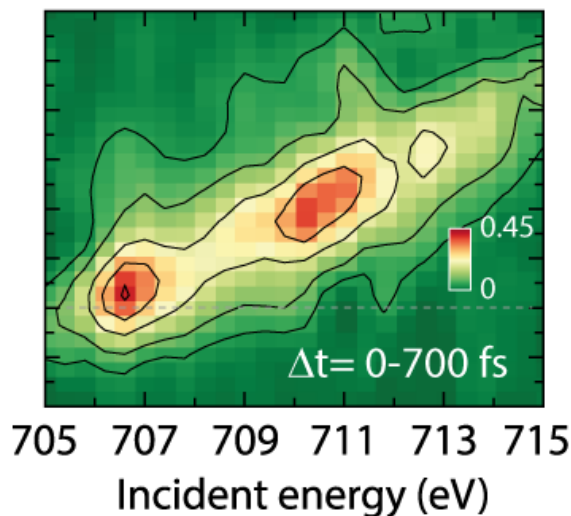
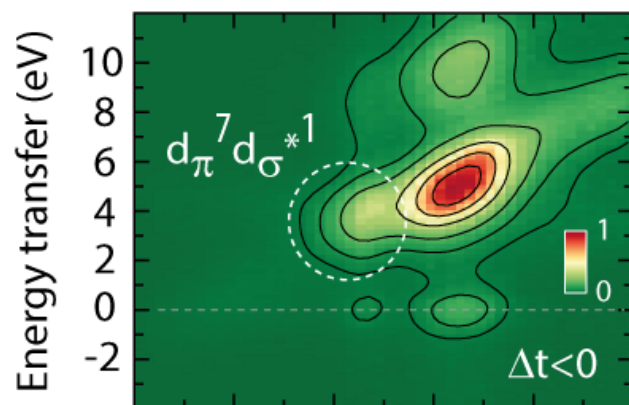


$\text{Fe}(\text{CO})_5$

$\text{Fe}(\text{CO})_4$  fragments

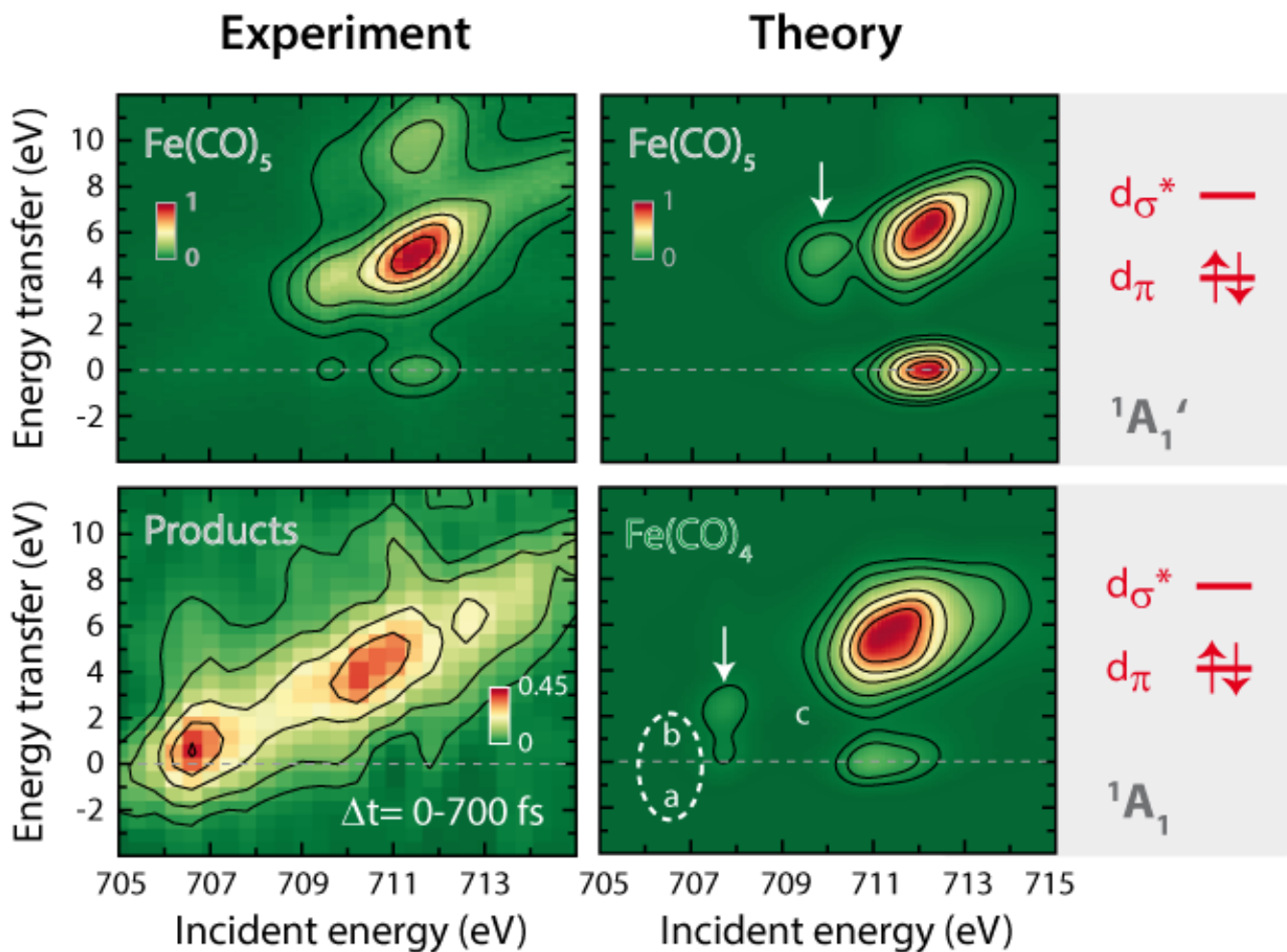
*Early*

*Late*



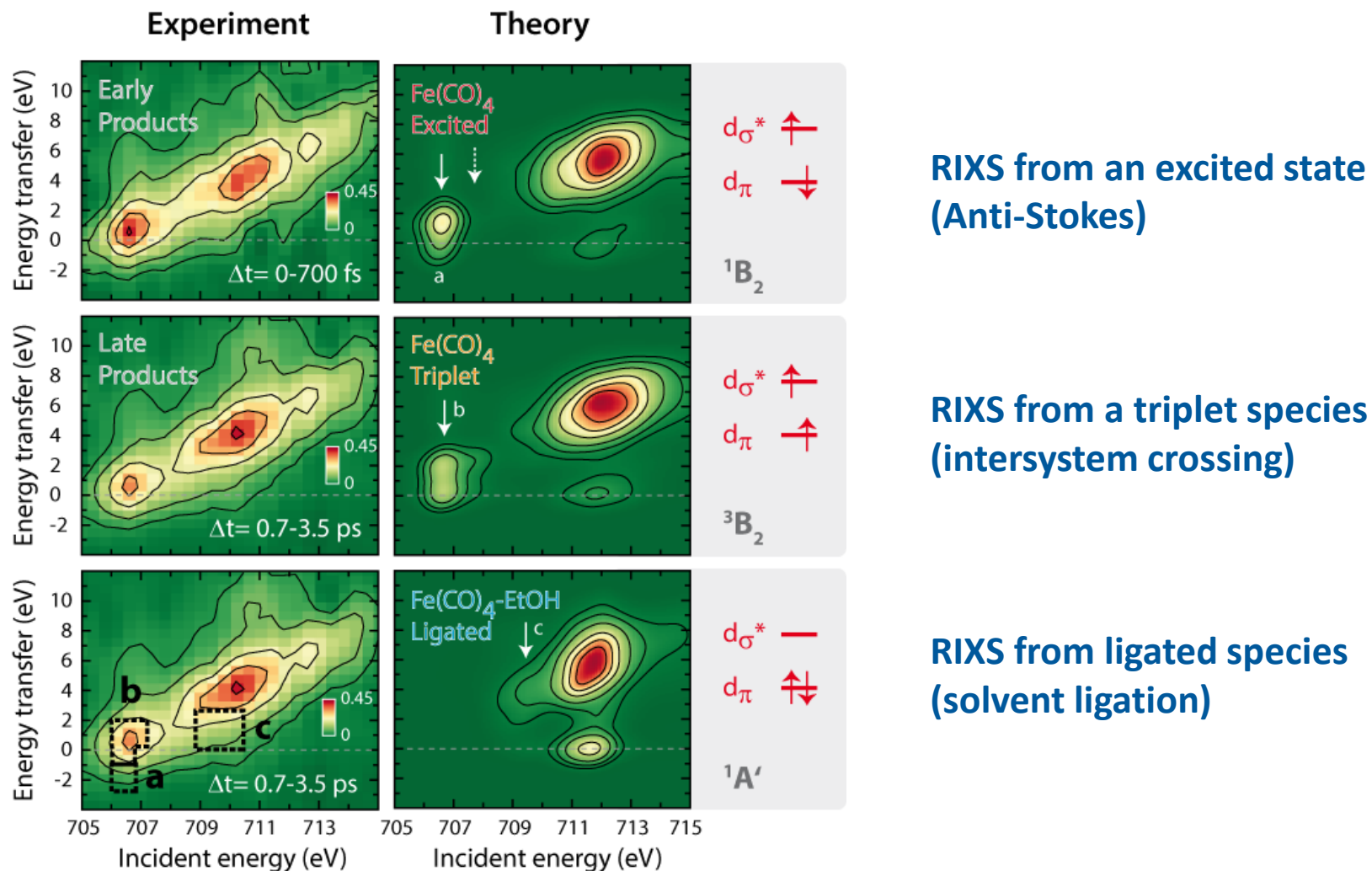
Ph. Wernet, K. Kunnus, I. Josefsson, I. Rajkovic, W. Quevedo, M. Beye, S. Schreck, S. Grübel, M. Scholz, D. Nordlund, W. Zhang, R. W. Hartsock, W. F. Schlotter, J. J. Turner, B. Kennedy, F. Hennies, F. M. F. de Groot, K. J. Gaffney, S. Techert, M. Odelius, A. Föhlisch, Nature **520**, 78-81 (2015).

# Characterize species with calculations



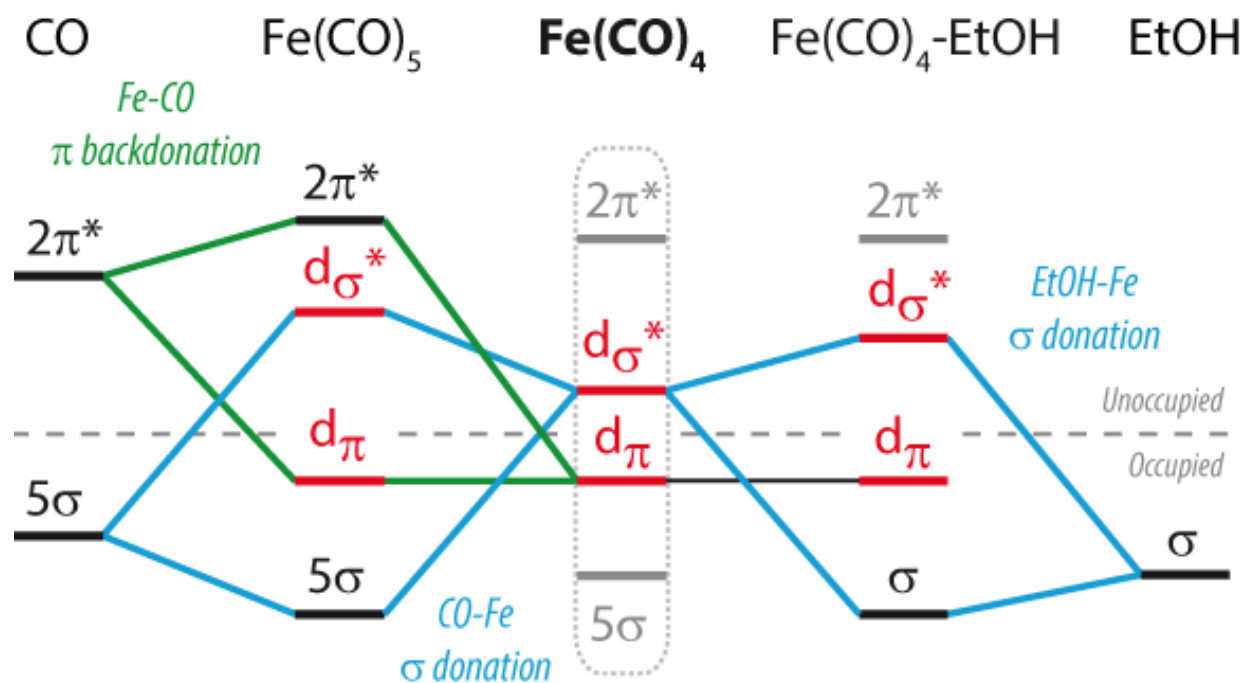
Book chapter in „X-Ray Free Electron Lasers“ by J. Yano, V. Yachandra, U. Bergmann (Eds.), *Royal Society of Chemistry Energy and Environment Series*, Ph. Wernet (2017).

# Characterize species with calculations



Book chapter in „X-Ray Free Electron Lasers“ by J. Yano, V. Yachandra, U. Bergmann (Eds.), *Royal Society of Chemistry Energy and Environment Series*, Ph. Wernet (2017).

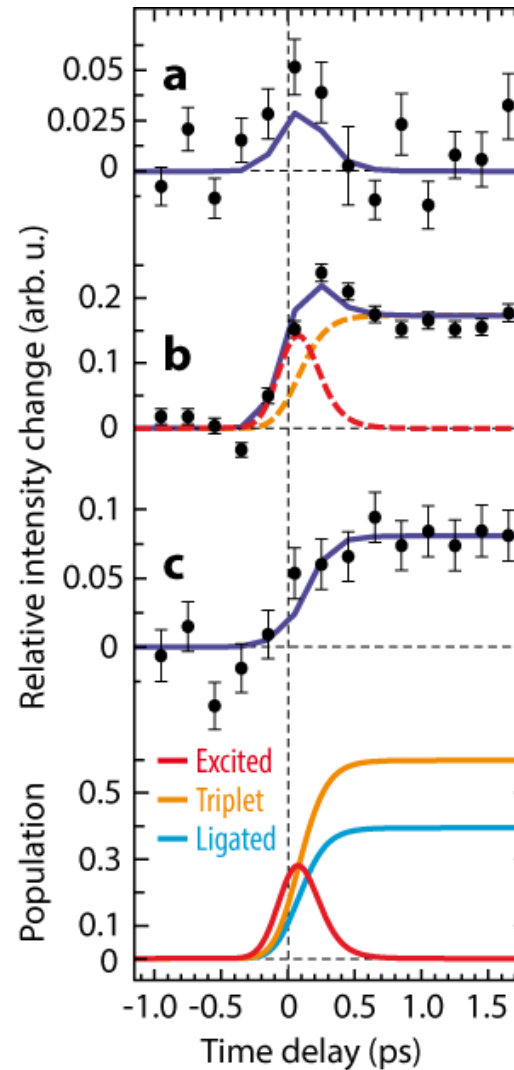
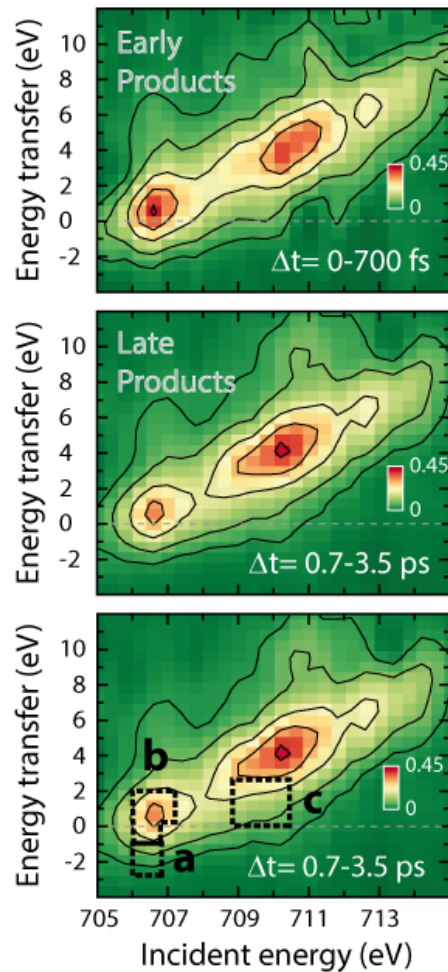
# Solvent ligation



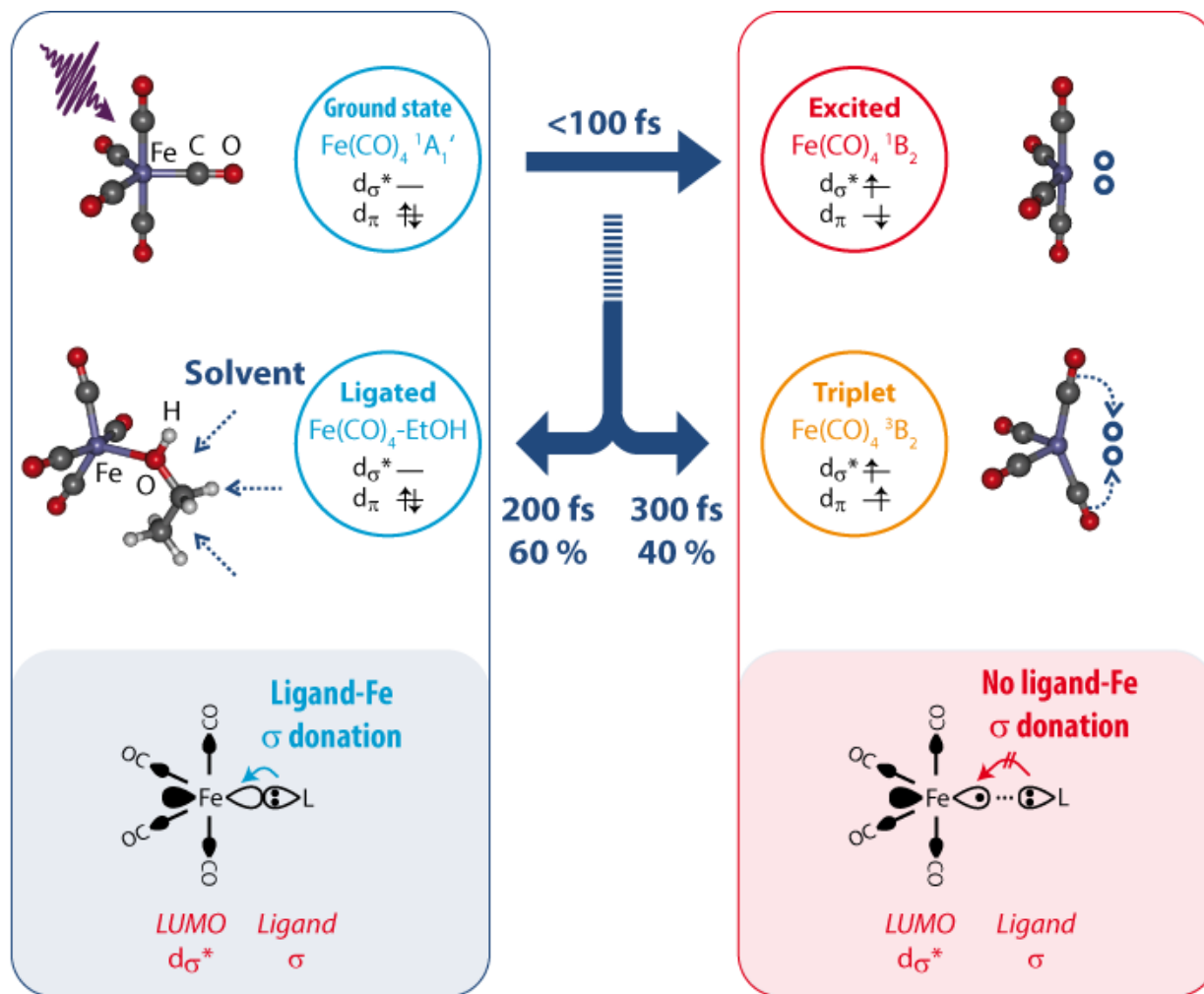
Book chapter in „X-Ray Free Electron Lasers“ by J. Yano, V. Yachandra, U. Bergmann (Eds.), *Royal Society of Chemistry Energy and Environment Series*, Ph. Wernet (2017).

# Temporal evolution

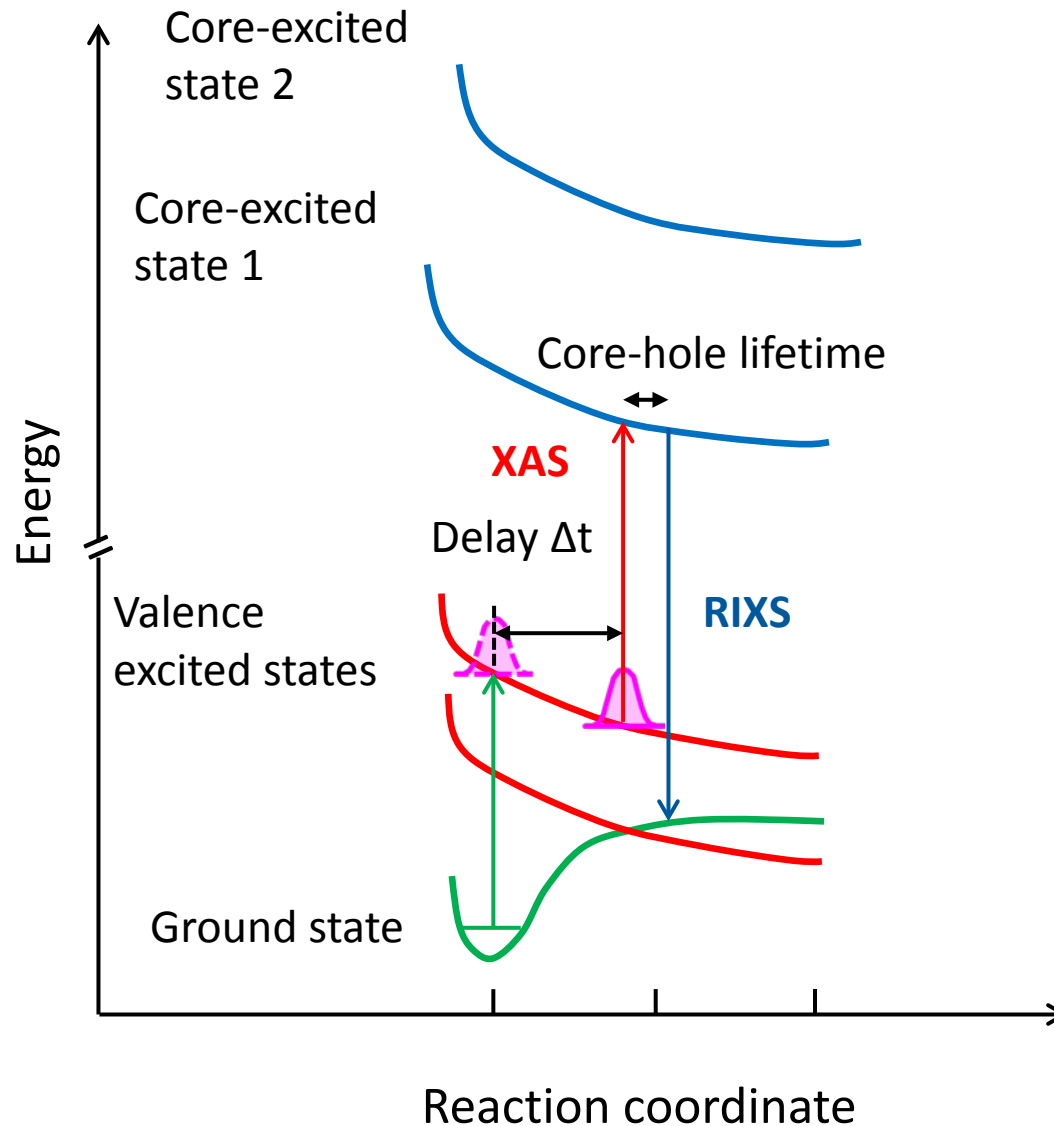
## Experiment



# Simple, conceptual conclusions



# Where are the arrows for XAS and RIXS?



# Outline

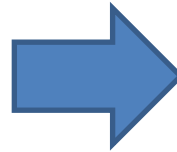
1. “I think I need to tell you why...”
2. Setting the stage
3. Some basics
4. Methods
5. One application
- 6. One of many possible outlooks**



## Current XFELs

100 Hz

Concentrations 1 mol/l

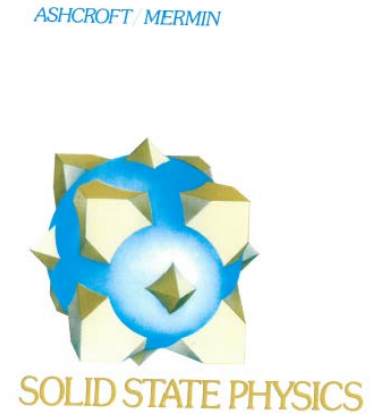
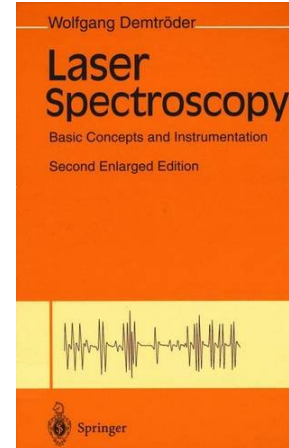
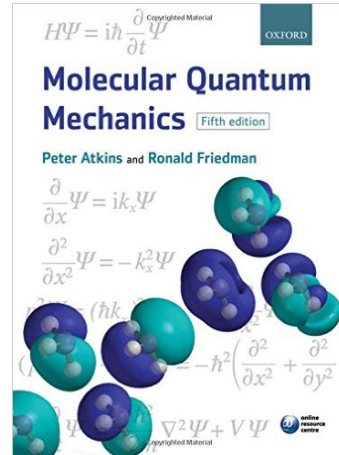
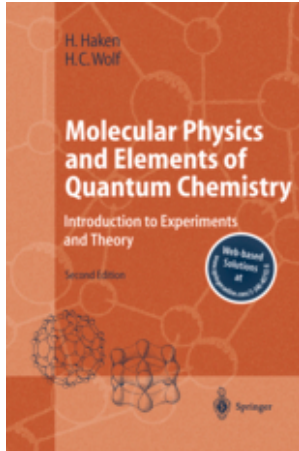


## LCLS-II, EU-XFEL, ...

100 kHz

Concentrations 1-10 mmol/l

# Further reading

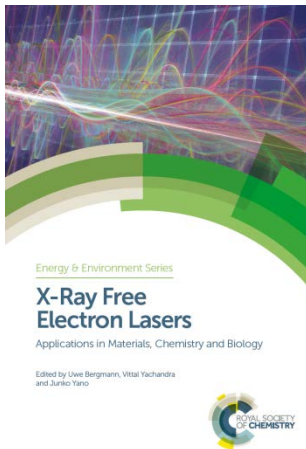


**Haken/Wolf** *Molecular Physics and Elements of Quantum Chemistry*

**Atkins/Friedman** *Molecular Quantum Mechanics*

**Demtröder** *Laser Spectroscopy*

**Ashcroft Mermin** *Solid State Physics*



**X-Ray Free Electron Lasers – Applications in Materials, Chemistry and Biology**  
Royal Society of Chemistry Energy and Environment Series)

*J. Yano, V. Yachandra, U. Bergmann (Eds.) (2016).*

# EXTRA SLIDES

# Outline

1. “I think I need to tell you why...”
- 2. Setting the stage**
3. Some basics
4. Methods
5. One application
6. One of many possible outlooks

# Chemical and thermodynamic equilibrium 1

## Chemical equilibrium:

*Reactants*  $\rightleftharpoons$  *Products*

e.g.:  $A + B \rightleftharpoons C + D$

$$Rate = \frac{d[Products]}{dt} \propto [Reactants]$$

$\alpha$ : Proportional to

[...]: Concentration of ...

$$\text{e.g. } Rate = \frac{d[C]}{dt} \propto [A] \cdot [B] \quad (\text{neglecting stoichiometry and reaction order})$$

## Proportionality constant $k$ (rate konstant):

$$Rate = k \cdot [Reactants]$$

The rate (the rate konstant) quantifies the speed/the efficiency of the reaction.

# Chemical and thermodynamic equilibrium 2

*Rate forward = Rate backward*

$$k_{forward} \cdot [Reactants] = k_{backward} \cdot [Products]$$

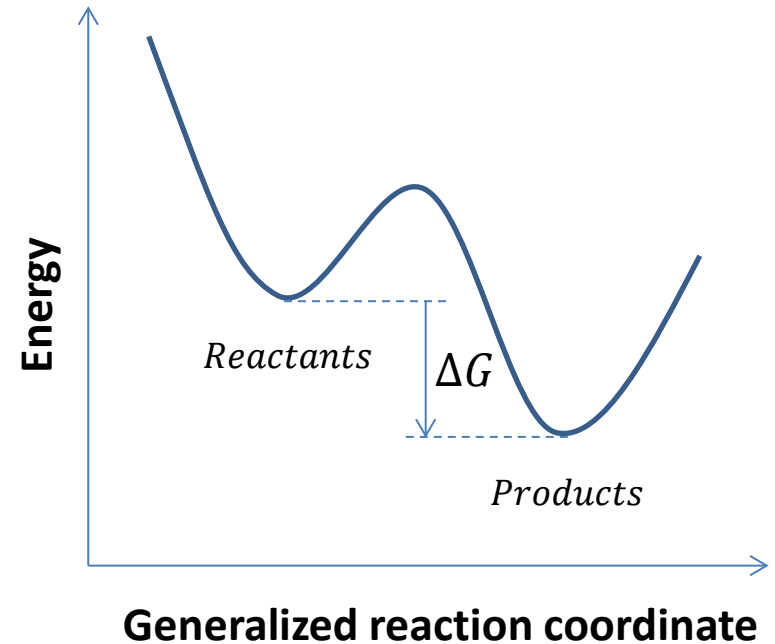
$$\Rightarrow \frac{k_{forward}}{k_{backward}} = \frac{[Products]}{[Reactants]} \equiv K \quad \text{Equilibrium constant}$$

**Thermodynamic equilibrium:**

$$\Delta G = -RT \cdot \ln K$$

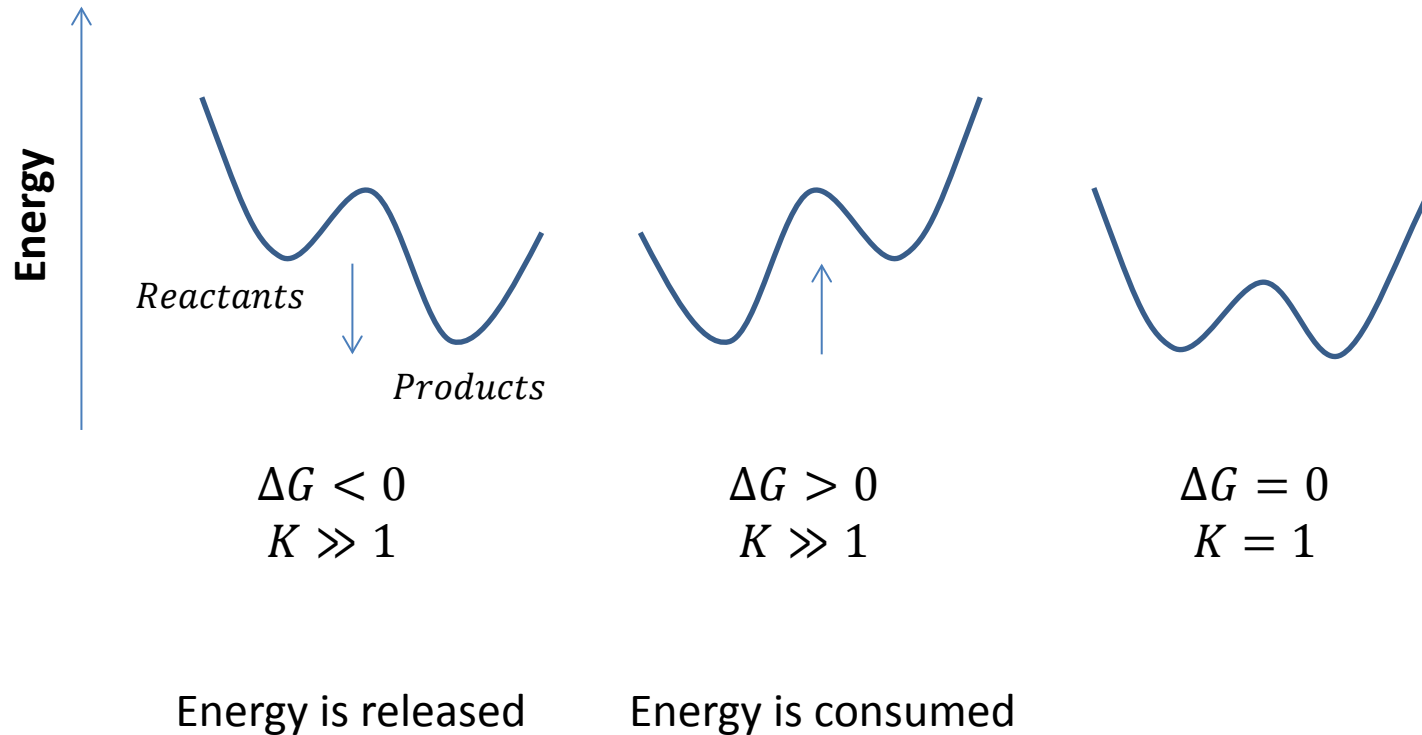
$$\Rightarrow K = \frac{[Products]}{[Reactants]} = e^{-\frac{\Delta G}{RT}}$$

with  $\Delta G = G(Products) - G(Reactants)$

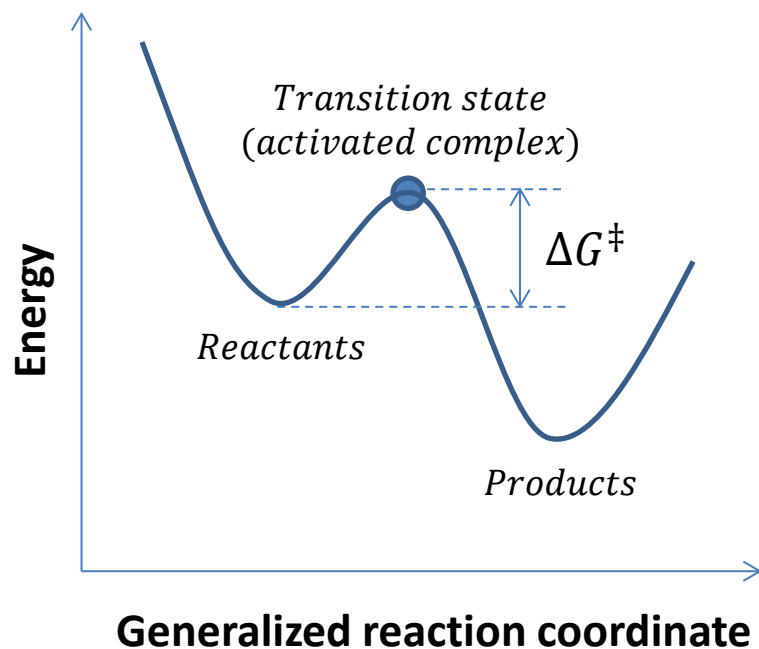


# Chemical and thermodynamic equilibrium 3

$$K = e^{-\frac{\Delta G}{RT}} \quad \text{with } \Delta G = G(\text{Products}) - G(\text{Reactants})$$



# Transition state theory 1



$$\Delta G^\ddagger = G(\text{transition state}) - G(\text{Reactants})$$



$$\text{Rate} = \nu \cdot [\text{Transition state}]$$

- $\nu$ :
- Crossing frequency (frequency of crossing the barrier)
  - Collision frequency (for treatment within collision theory)
  - Neglecting molecular orientation (steric effects)



# Transition state theory 2

With  $Rate = k \cdot [Reactants]$  it follows:

$$v \cdot [Transition\ state] = k \cdot [Reactants]$$

Or

$$k = v \cdot K = v \cdot \frac{[Transition\ state]}{[Reactants]}$$

Sometime called the Eyring equation.

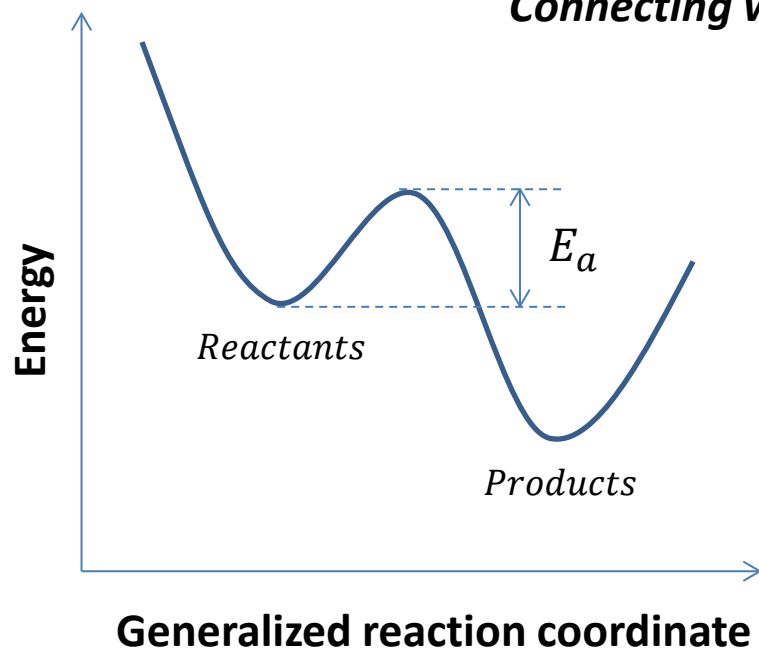
With  $K = e^{-\frac{\Delta G^\ddagger}{RT}}$  we arrive at:

$$k = v \cdot e^{-\frac{\Delta G^\ddagger}{RT}}$$

Sometime called the Eyring equation.

# Transition state theory 3

## Connecting with the Arrhenius equation



$$k = A \cdot e^{-\frac{E_a}{RT}}$$

$E_a$  is the activation energy.

What is  $A$  (besides an experimental parameter)?

Does  $A$  have a physical meaning?

Can  $E_a$  be calculated?

### Transition state theory gives answers:

- $A$  could be the collision frequency:  $A = v$
- $A$  could be the collision frequency including a factor  $\rho$  accounting for steric effects (such as the relative orientation of molecules):  $A = v \cdot \rho$
- $E_a = \Delta G^\ddagger$  can be calculated

# Transition state theory 4

## *Limitations*

- Its original goal was to calculate absolute rate constants („absolute-rate theory“).
- TST turned out to be more successful in calculating the thermodynamic properties of the transition state from measured rate constants (calculating the Gibbs energy  $\Delta G^\ddagger$  as well as the enthalpy and entropy).
- TST neglects the possibility of tunneling through the barrier (it assumes that the reaction does not occur unless particles collide with enough energy to form the transition structure).
- TST can fail for high temperatures when high vibrational modes are populated and transition states far from the lowest energy saddle point are formed.
- TST assumes that intermediates (reactants and products, see above, of elementary steps in a multi-step reaction) are long-lived (reaching a Boltzmann distribution of energies) and thus TST fails for short-lives intermediates.
- TST generally fails for photochemical reactions (that are determined by the energy potential landscape rather than the thermodynamics properties of TSs).

# How big is the “mistake” we make in calculating the energy of a state within the Born-Oppenheimer approximation?

- That’s not an easy question!
- Think about it (slide 11): “Masses of electrons and nuclei are so different ( $10^4$ )...”

Within the adiabatic approximation (“electrons follow nuclear motions instantaneously”) we can solve the Schrödinger equation for  $\Psi_e$  at fixed  $R_n$  repeatedly for many  $R_n$ :

$$[T_e + V_e] \Psi_e(r_e, R_n=\text{const}) = E_e \Psi_e(r_e, R_n=\text{const}) \quad T_e/V_e = \text{kinetic/potential energy of electrons}$$

Schrödinger equation with „Produktansatz“:

Mass of electron  $m_e$

$$\begin{aligned} &\Phi \left\{ -\frac{\hbar^2}{2m_0} \Delta - \frac{e^2}{4\pi\epsilon_0|\mathbf{r} - \mathbf{R}_1|} - \frac{e^2}{4\pi\epsilon_0|\mathbf{r} - \mathbf{R}_2|} \right\} \psi \\ &+ \psi \left\{ -\frac{\hbar^2}{2m_1} \Delta_1 - \frac{\hbar^2}{2m_2} \Delta_2 + \frac{e^2}{4\pi\epsilon_0|\mathbf{R}_1 - \mathbf{R}_2|} \right\} \Phi \end{aligned} \quad \begin{array}{l} \text{Electrons} \\ \text{Nuclei} \end{array}$$

The neglected part of the energy is smaller by  $m_0/m_{1,2} = m_e/m_n = 10^{-4}$

$$\cancel{\frac{\hbar^2}{m_1} (\nabla_1 \psi) \nabla_1 \Phi} - \cancel{\frac{\hbar^2}{m_2} (\nabla_2 \psi) \nabla_2 \Phi} - \cancel{\frac{\hbar^2}{2m_1} \Phi \Delta_1 \psi} - \cancel{\frac{\hbar^2}{2m_2} \Phi \Delta_2 \psi} = E \psi \Phi . \quad \text{Neglect within BOA}$$

Masses of nuclei  $m_n$