

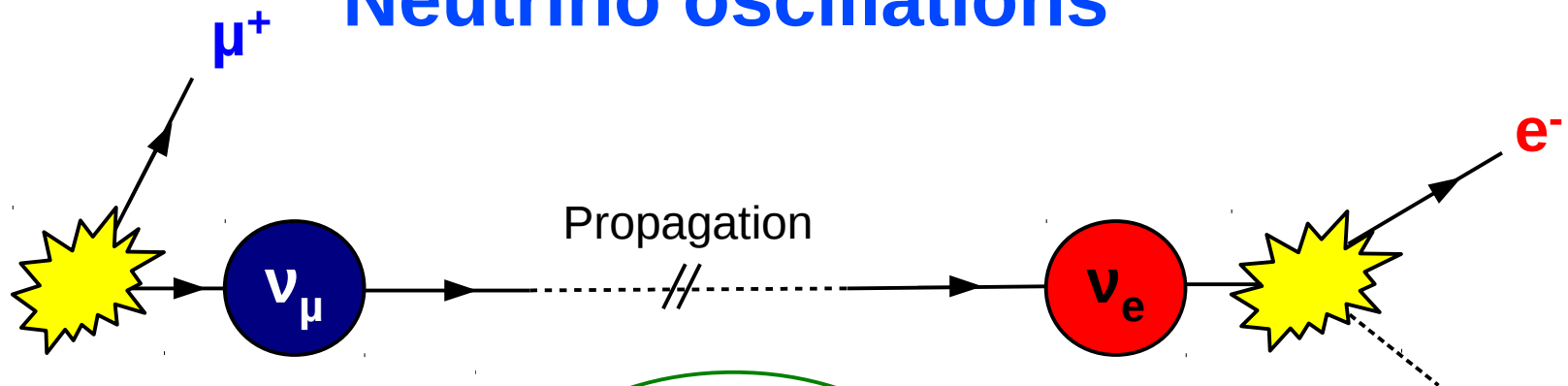
# Super-Kamiokande latest results (Atmospheric neutrinos)

C. Bronner  
May 29<sup>th</sup>, 2018



- Neutrino oscillations
- Atmospheric neutrinos to address open questions in neutrino oscillations
- Super-Kamiokande experiment
- Oscillation analysis:
  - strategy
  - simulation
  - event selection
  - fitting method
- Results
  - atmospheric neutrinos only
  - using external constraints
- Future improvements

# Neutrino oscillations



Flavor eigenstates  
(interaction)

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \times \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Mass eigenstates  
(propagation)

Mixing (or Pontecorvo-Maki-Nagawa-Sakata) matrix  
link between the two sets of eigenstates

$P(\nu_\alpha \rightarrow \nu_\beta)$  oscillates as a function of distance  $L$  traveled by the neutrino

- Amplitude of oscillations depends on the mixing matrix  $U$
- Phase of the oscillation depends on energy and difference of mass squared:  $\Delta m^2_{ij} L/E$

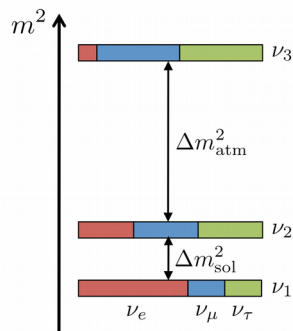
$$(\Delta m^2_{ij} = m^2_i - m^2_j)$$

# Neutrino oscillation

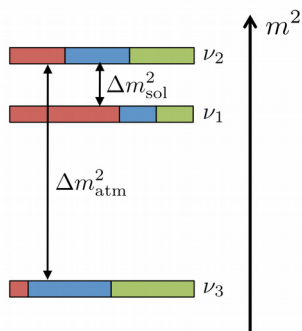
## Main current physics goals

Mass hierarchy:  
 $m_3 > m_2, m_1$ ?

normal hierarchy (NH)



inverted hierarchy (IH)



PDG 2017 summary table

Parameter	best-fit	$3\sigma$
$\Delta m_{21}^2 [10^{-5} \text{ eV}^2]$	7.37	6.93 – 7.96
$\Delta m_{31(23)}^2 [10^{-3} \text{ eV}^2]$	2.56 (2.54)	2.45 – 2.69 (2.42 – 2.66)
$\sin^2 \theta_{12}$	0.297	0.250 – 0.354
$\sin^2 \theta_{23}, \Delta m_{31(32)}^2 > 0$	0.425	0.381 – 0.615
$\sin^2 \theta_{23}, \Delta m_{32(31)}^2 < 0$	0.589	0.384 – 0.636
$\sin^2 \theta_{13}, \Delta m_{31(32)}^2 > 0$	0.0215	0.0190 – 0.0240
$\sin^2 \theta_{13}, \Delta m_{32(31)}^2 < 0$	0.0216	0.0190 – 0.0242
$\delta/\pi$	1.38 (1.31)	2 $\sigma$ : (1.0 - 1.9) (2 $\sigma$ : (0.92-1.88))

Octant of  $\theta_{23}$ :

$\theta_{23} > \pi/4$ ?

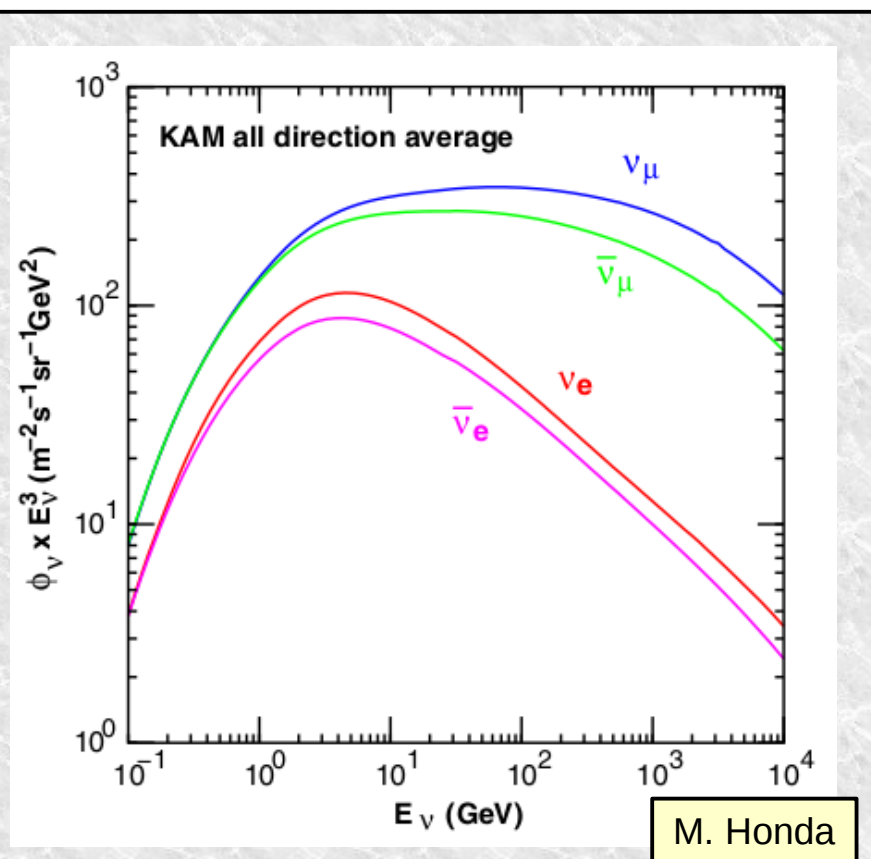
$\theta_{23} < \pi/4$ ?

Violation of CP symmetry in neutrino oscillations?

Degeneracies between those 3 questions

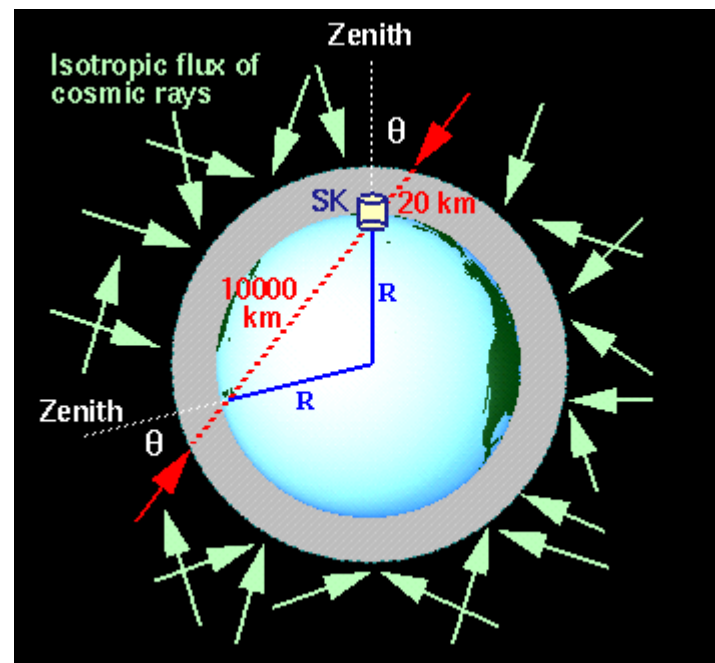
# Atmospheric neutrinos

## Interest for oscillation measurements



$\nu_\mu, \bar{\nu}_\mu, \nu_e, \bar{\nu}_e$  over 5 decades in energy

L from 10 to 13000km

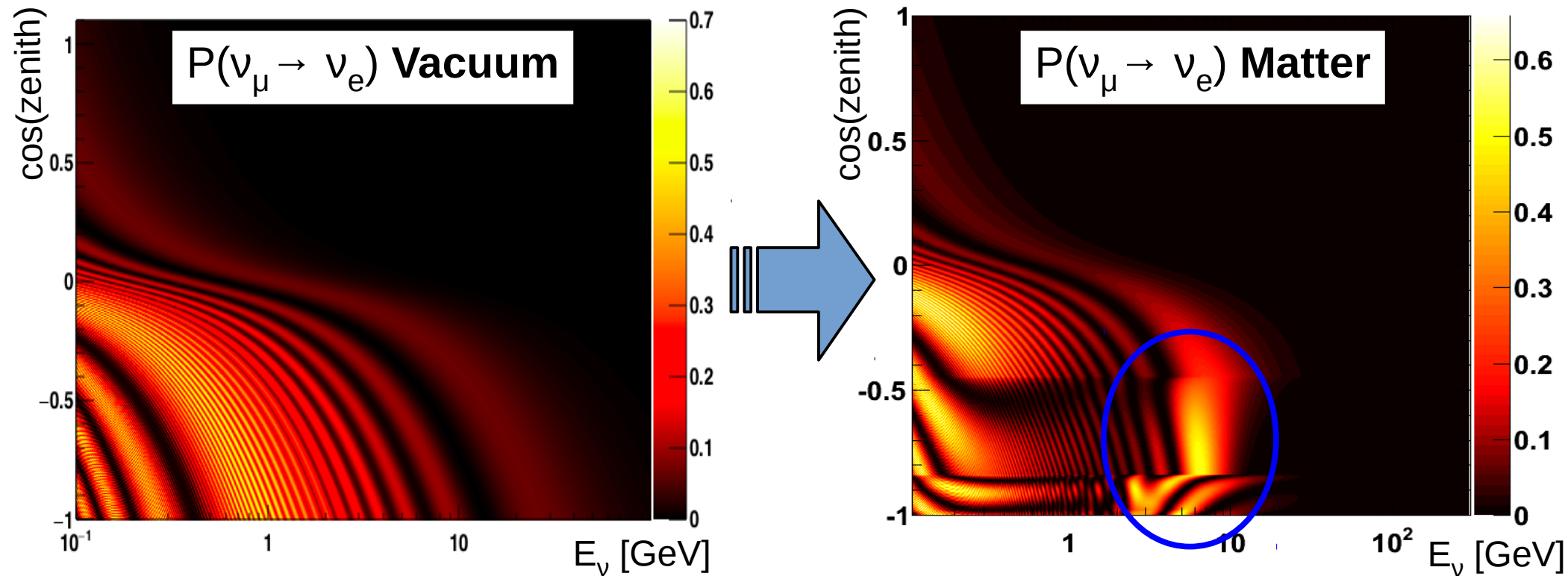


- Large range of neutrino energies and propagation lengths
- Oscillations dominated by  $\nu_\mu \rightarrow \nu_\tau$
- Large statistics allow to study sub-dominant effects

# Atmospheric neutrino oscillations

## Matter effects

6



Presence of a resonance driven by  $\theta_{13}$  induced matter effects between 2 and 10 GeV

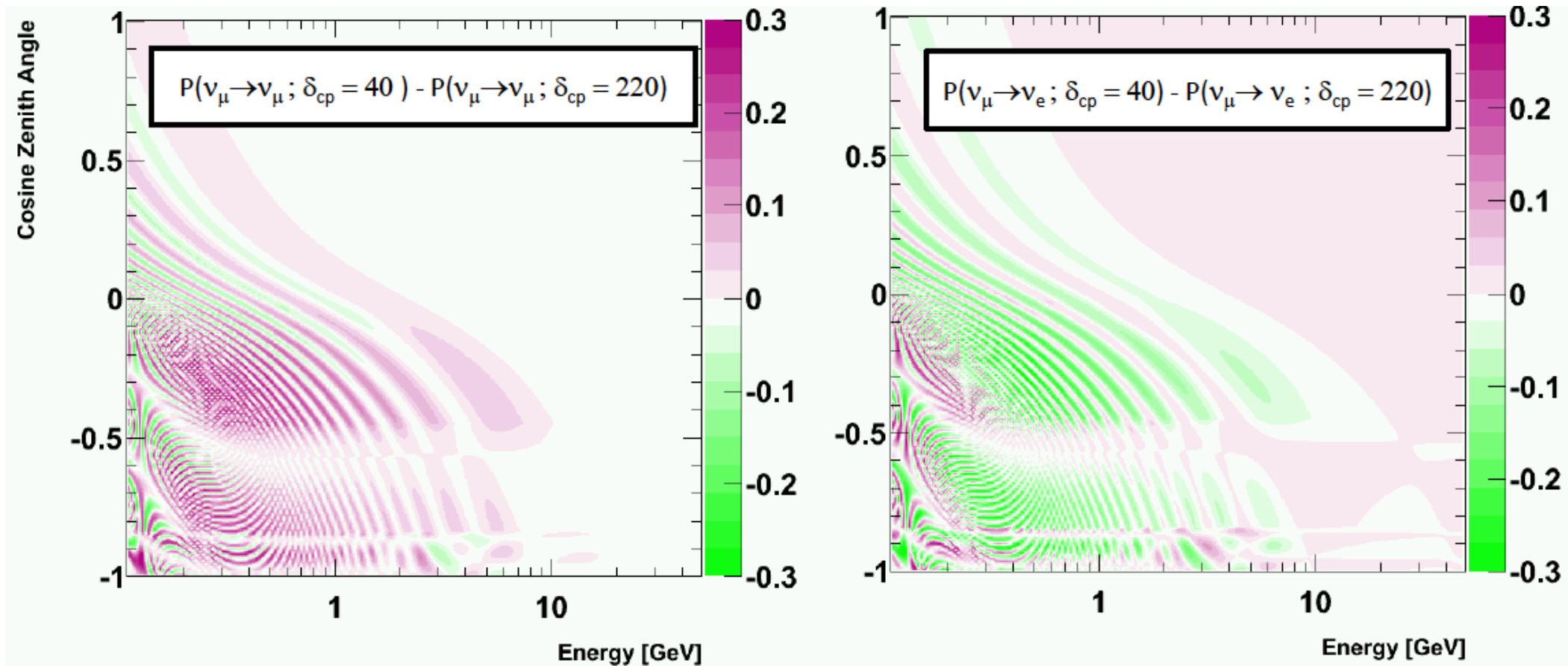
- Only for  $\nu$  in NH and  $\bar{\nu}$  in IH  $\rightarrow$  sensitivity to the mass hierarchy
- Size of the effect depends on  $\sin^2(\theta_{23}) \rightarrow$  sensitive to  $\theta_{23}$  octant
- MH sensitivity increases with larger statistics, improved ability to separate interactions of  $\nu$  and  $\bar{\nu}$  and constraint on  $\sin^2(\theta_{23})$

# Atmospheric neutrino oscillations

## Delta CP

7

Value of  $\delta_{CP}$  modifies the oscillation patterns in a complicated way



- Given neutrino flux and detector energy and angular resolution, sensitivity mainly comes from number of sub-GeV e-like events
- More  $\nu_e$  appearance events for  $\delta \sim 220-240^\circ$ , and less for  $\delta \sim 40-45^\circ$



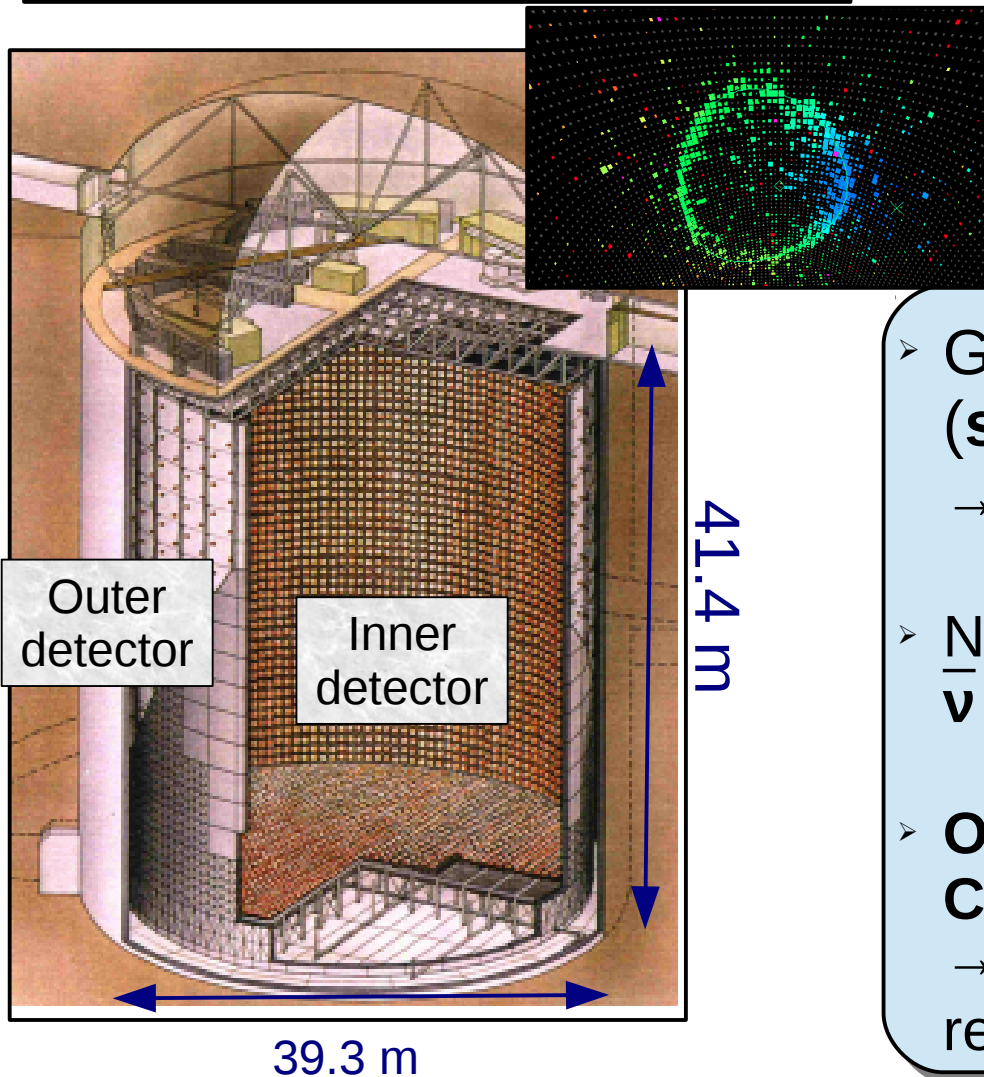
# Super-Kamiokande experiment

8

- 50 kt (22.5 kt fiducial) water Cherenkov detector
- 1000m overburden
- Operational since 1996

Wide physics program:

- ✓ **Atmospheric neutrinos**
- ✓ Solar neutrinos
- ✓ Supernova neutrinos
- ✓ Proton decay
- ✓ Dark matter indirect detection



- Good separation between  $\mu^\pm$  and  $e^\pm$  (**separate  $\nu_\mu$  and  $\nu_e$  CC interactions**)  
→ Less than 1% mis-PID at 1 GeV
- No magnetic field: **cannot separate  $\nu$  and  $\bar{\nu}$  on an event by event basis**
- **Only detects charged particles above Cerenkov threshold and photons**  
→ limitation for energy and directional reconstruction

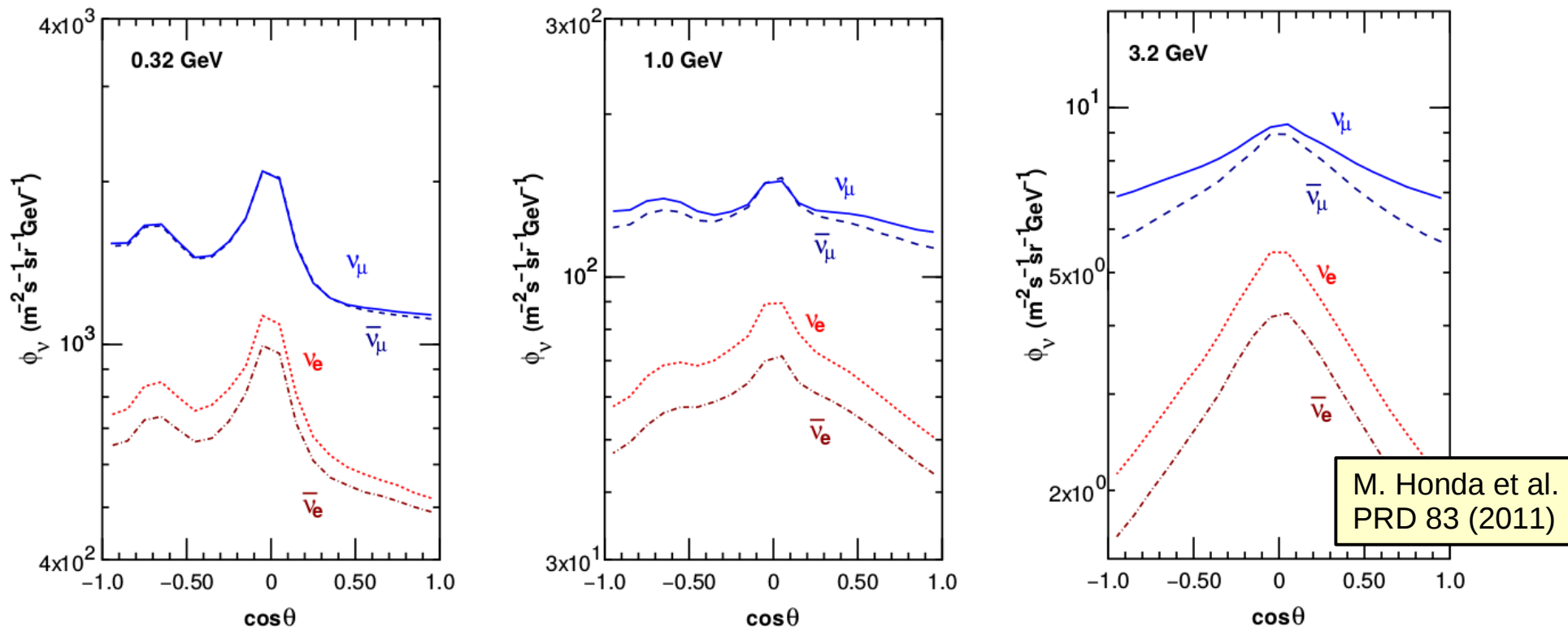


# Analysis strategy

## Binning

Bin events in variables related to neutrino energy and propagation length: **visible** energy and **lepton** direction (1 ring) or generalized momentum direction (multi-ring)

Flux is approximately up/down symmetric at high energy:



High energy down going neutrinos did not oscillate  
 → systematic cancellation for this region by using up/down symmetric binning

# Analysis strategy

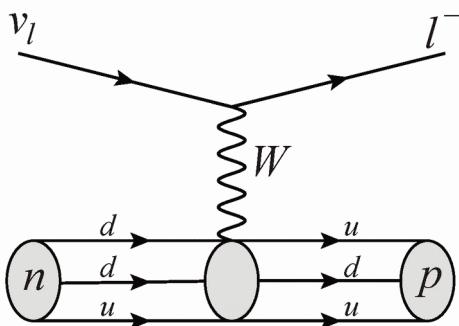
## Samples

10

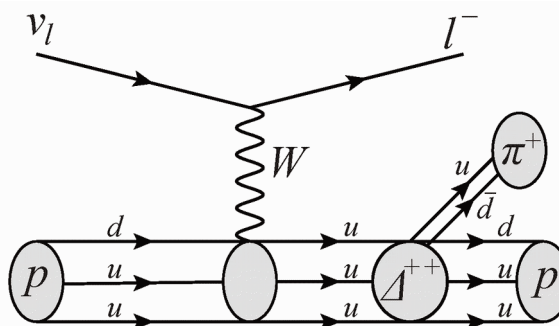
CC  $\nu_\tau$  interactions disfavored at SK energies: mostly studying  $P(\nu_\mu \rightarrow \nu_\mu)$ ,  $P(\nu_e \rightarrow \nu_e)$ ,  $P(\nu_\mu \leftrightarrow \nu_e)$  and corresponding oscillations for  $\bar{\nu}$   
→ **separate events between e-like and  $\mu$ -like** based on PID of most energetic ring

Make **samples enriched in events of different neutrino energy regions, and interaction types** based on topology of the events, number of rings, Michel electrons and amount of visible energy

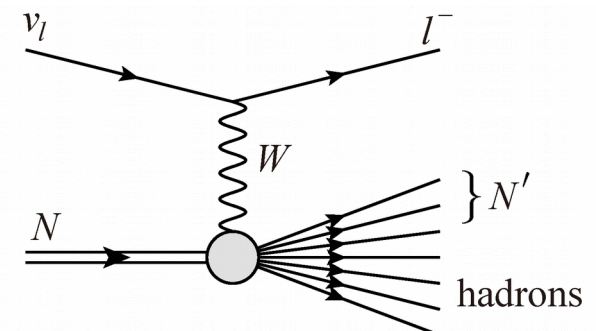
CCQE



CC RES



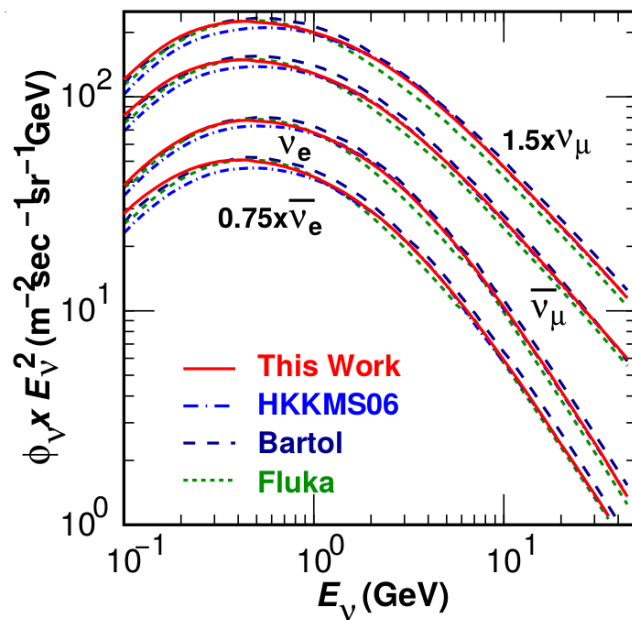
CC DIS/Multi- $\pi$



Additional **statistical separation between  $\nu_e$ -like and  $\bar{\nu}_e$ -like for Multi-GeV e-like events** to increase sensitivity to the mass hierarchy

Analysis based full MC simulation of neutrino interactions in the detector.  
Total MC statistics corresponds to 2000 years of atmospheric neutrino interactions

Flux: **Honda 2011**  
(PRD 83, 123001 (2011))



Neutrino interactions: **NEUT 5.3.6**

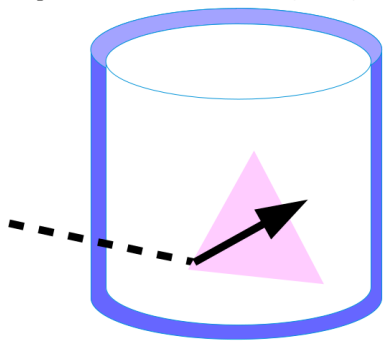
- CCQE: Llewellyn-Smith formalism with Smith-Moniz RFG and BBA05 form factors
- 2p2h: model from Nieves et al.
- Resonant pion production: Rein-Sehgal model with form factors from Graczyk and Sobczyk
- DIS: quark parton model using GRV98 PDFs with low  $q^2$  corrections by Bodek and Yang. PYTHIA 5.72 for high  $W$  part, custom model below
- Specific model for  $\nu_\tau$  interactions

Detector simulation: SKDetsim

- Based on GEANT3 (Fortran)
- NEUT cascade model used for re-interaction of pions in water (“secondary interactions”)

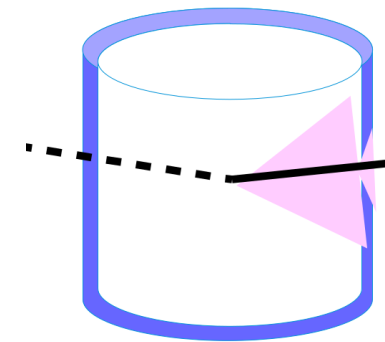
# Event selection Topology

12



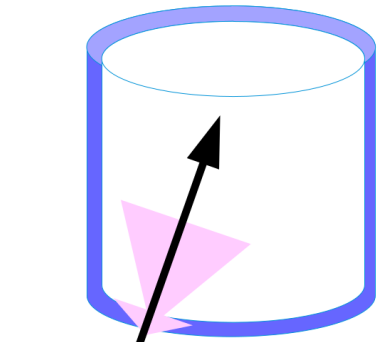
- Interaction in FV, no OD activity
- Sub-GeV ( $E_{\text{vis}} < 1.33 \text{ GeV}$ ) and MultiGeV
- $\langle E_{\nu} \rangle \sim 1 \text{ GeV}$
- 8.3 evts/day

**FC**



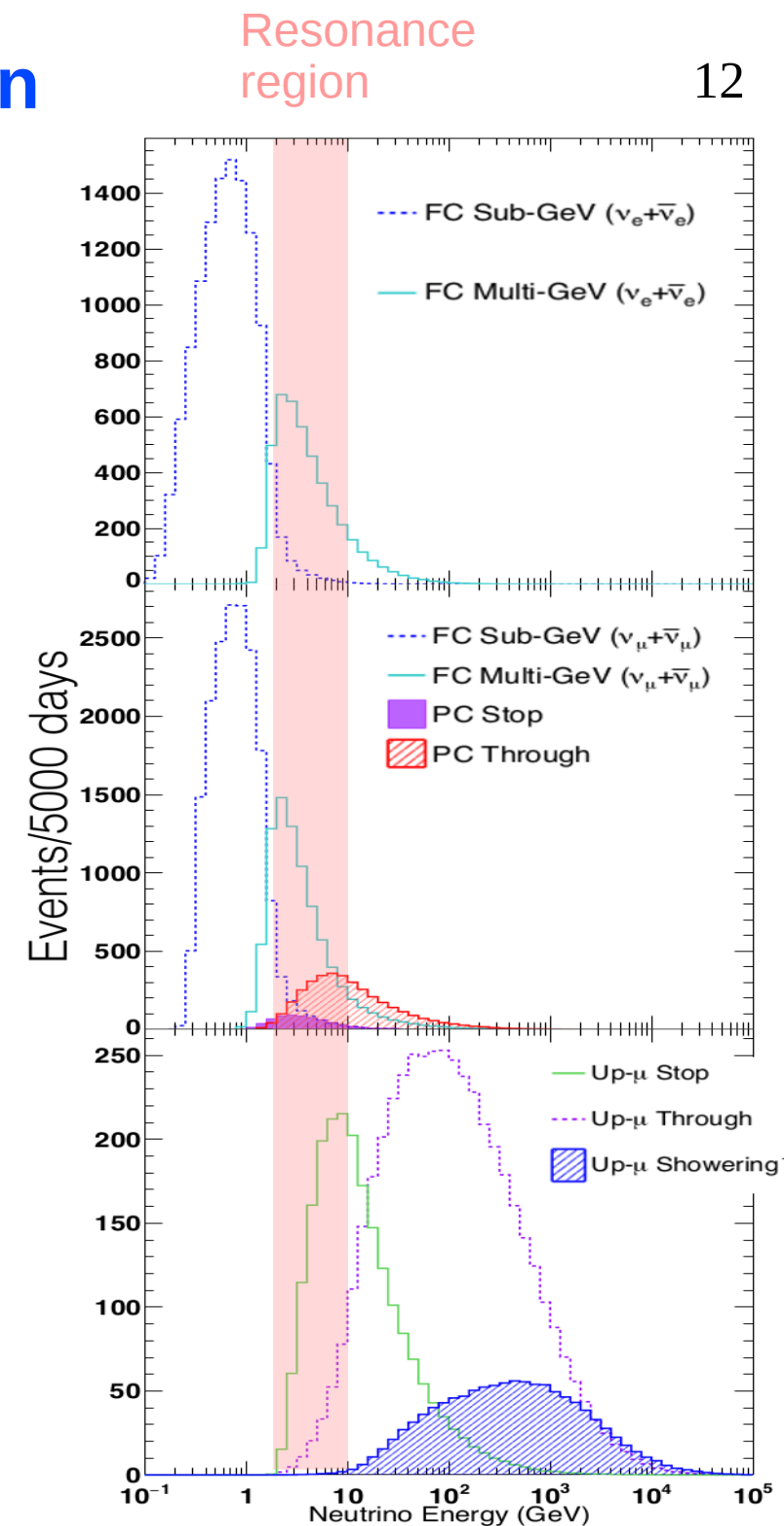
- Interaction in FV + OD activity
- Stopping and through going
- $\langle E_{\nu} \rangle \sim 10 \text{ GeV}$
- 0.73 evts/day

**PC**



- Interaction in rock or OD
- Through going (showering and non-showering) and stopping
- $\langle E_{\nu} \rangle \sim 100 \text{ GeV}$
- 1.49 evts/day

**Upmu**



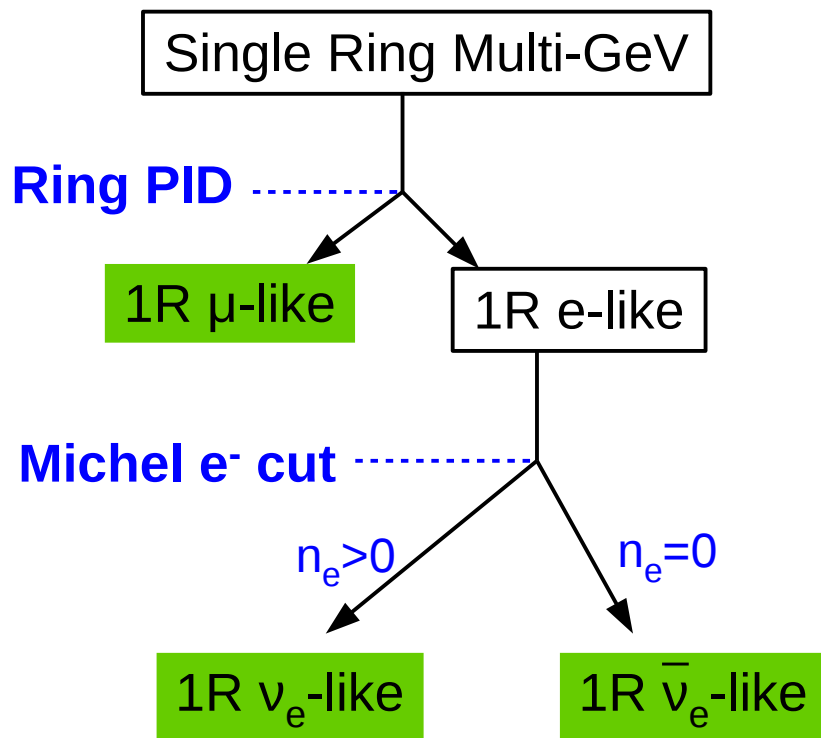
# Event selection

## FC Multi-GeV events

13

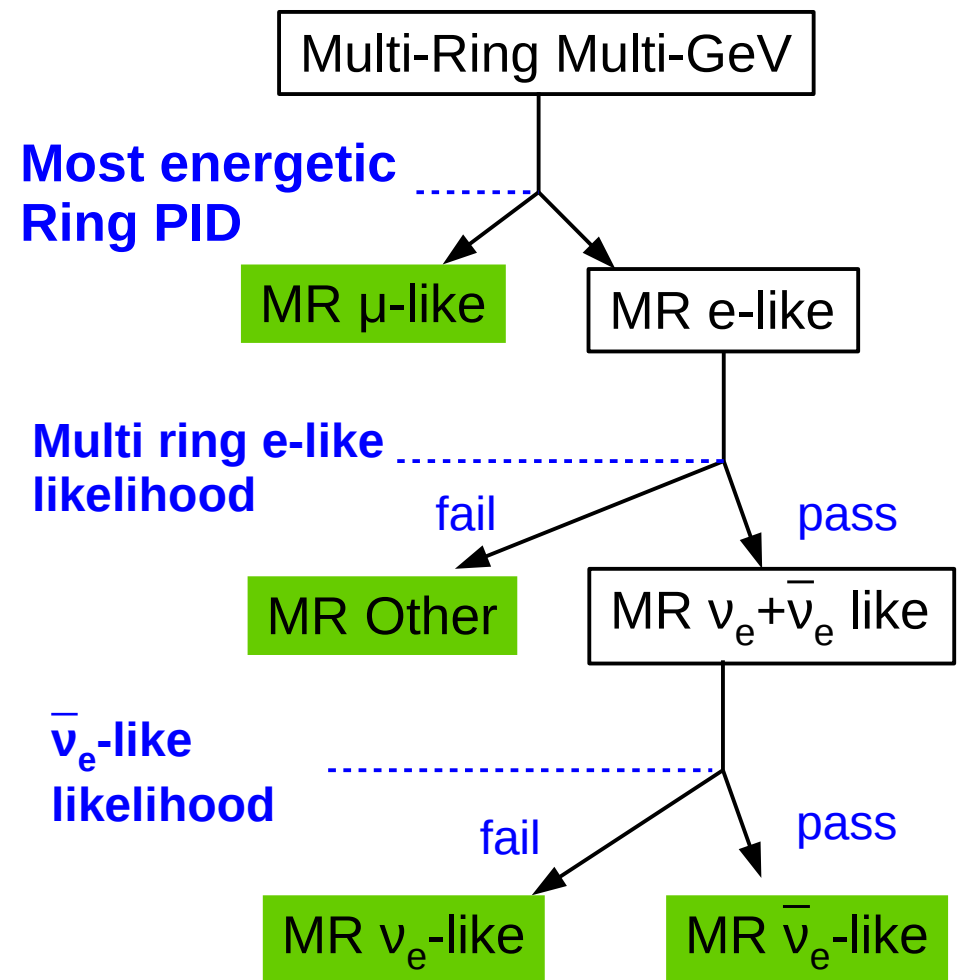
Additional selections for Fully Contained Multi-GeV ( $E_{\text{vis}} > 1.33$  GeV) to make samples enriched in  $\nu_e$  and  $\bar{\nu}_e$  events to increase MH sensitivity

### Single ring



$\pi^-$  from CC1 $\pi$  interaction of  $\bar{\nu}_e$  easily captured by  $O^{16}$   
→ less likely to have a Michel electron

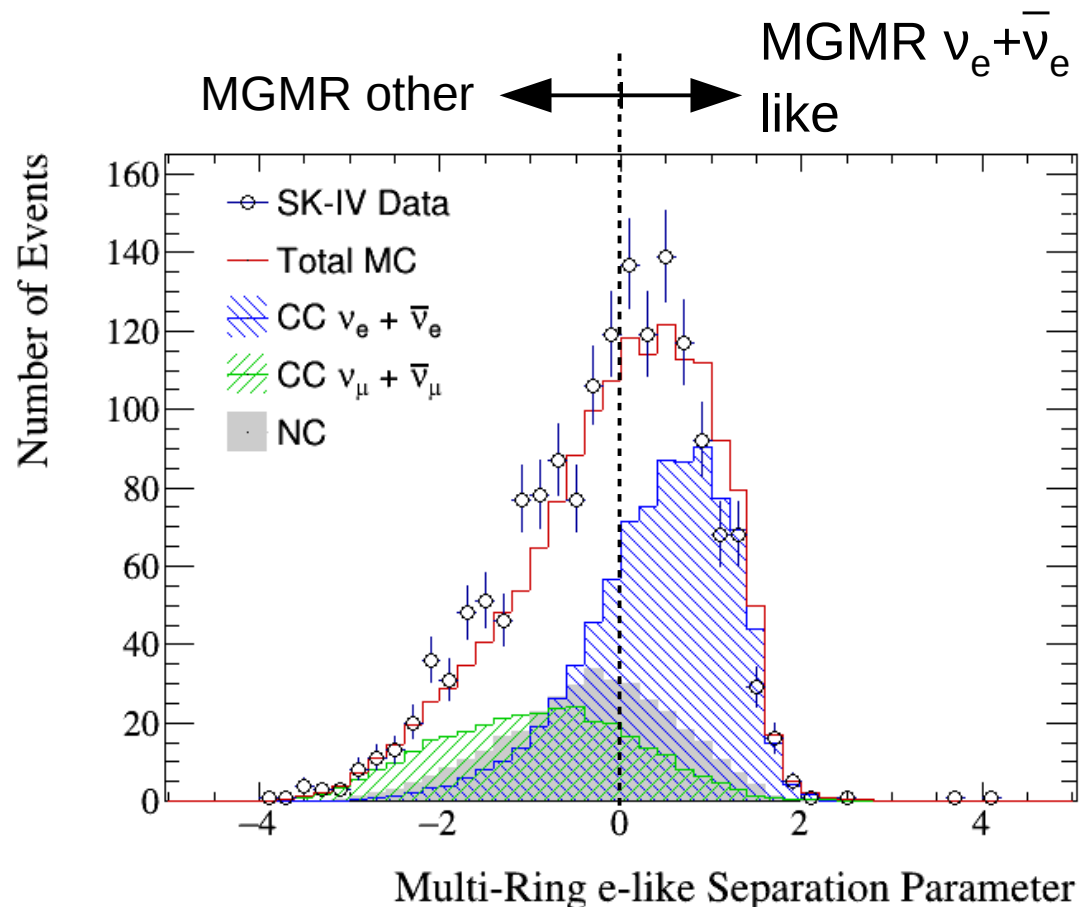
### Multi-ring



# Event selection

## FVFC multi-ring multi-GeV events - 1

First likelihood aims at removing NC and  $\nu_\mu/\bar{\nu}_\mu$  events which ended up in the MR e-like sample due to reduced PID performance for multi-ring events



### 4 variables:

- PID of most energetic ring
- Momentum fraction of m.e.r
- Nb of Michel electrons
- Largest distance between a Michel electron vertex and primary vertex

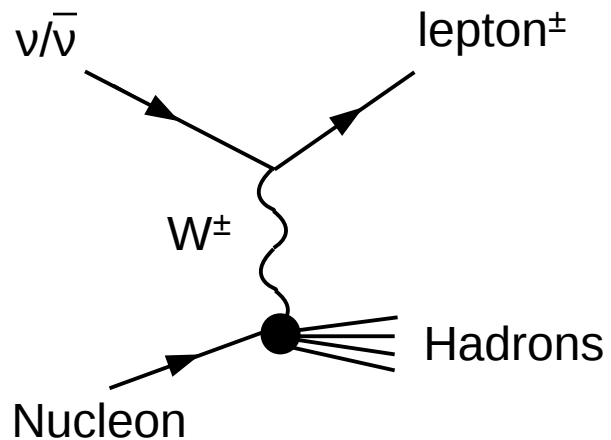
Signal: CC  $\nu_e$  and  $\bar{\nu}_e$  interactions  
 Efficiency (signal): 72.7%  
 Purity: 73%

# Event selection

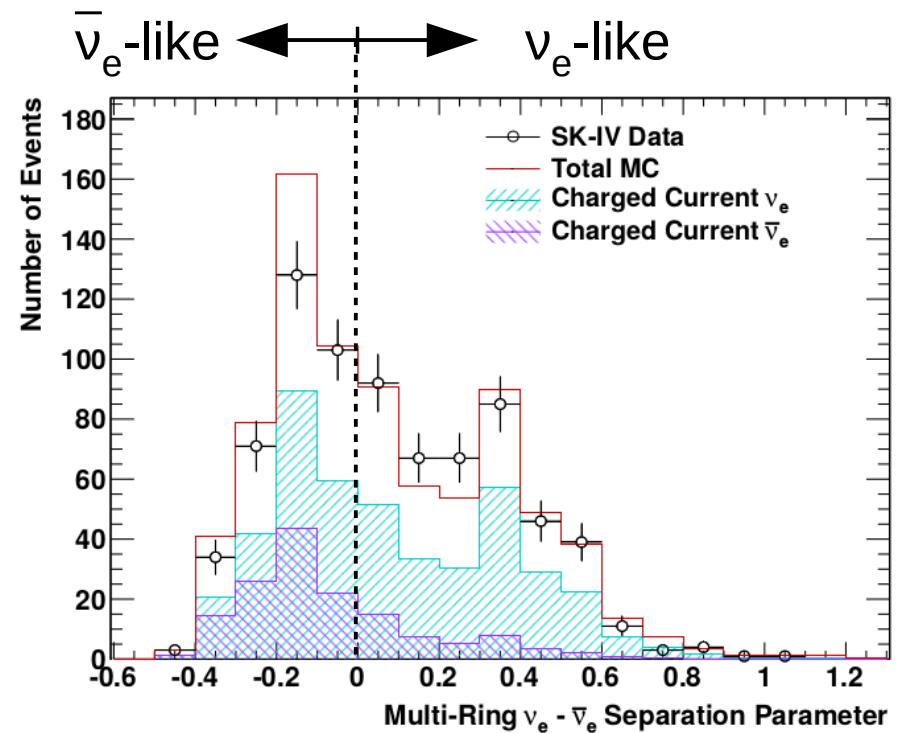
## FVFC multi-ring multi-GeV events - 2

Second likelihood is the real statistical separation between  $\nu_e$  and  $\bar{\nu}_e$  events

Dominant interaction is CC DIS



Larger transferred energy fraction (Bjorken  $y$ ) for  $\nu$  than  $\bar{\nu}$



	Neutrino	Anti-neutrino
Nb of rings	More	Less
Nb of Michel e-	More	Less
Transverse momentum	Larger	smaller

	Efficiency (signal)	Purity
$\nu_e$ -like	52.9%	58.4%
$\bar{\nu}_e$ -like	71%	27.5%



- Maximum likelihood method
- Minimize  $\chi^2$  with respect to systematics for a grid of values of parameters to fit
- Minimization uses iterative matrix inversion method
- Binned  $\chi^2$  assuming Poisson statistics in each bin

## Oscillation parameters

- $\sin^2(\theta_{13}) = 0.0219 \pm 0.0012$  (reactor)
- $\sin^2(\theta_{12}) = 0.304 \pm 0.014$  (solar+Kamland)
- $\Delta m^2_{21} = (7.53 \pm 0.18) \times 10^{-5} \text{ eV}^2/\text{c}^4$  (solar+Kamland)
- $\sin^2(\theta_{23})$ ,  $\Delta m^2_{32/31}$  and  $\delta$  free

Expected nb evts in bin n      Observed nb of evts in bin n      Pull for syst. i

$$\chi^2 = 2 \sum_n \left( E_n - O_n + O_n \ln \frac{O_n}{E_n} \right) + \sum_i \left( \frac{\epsilon_i}{\sigma_i} \right)^2$$

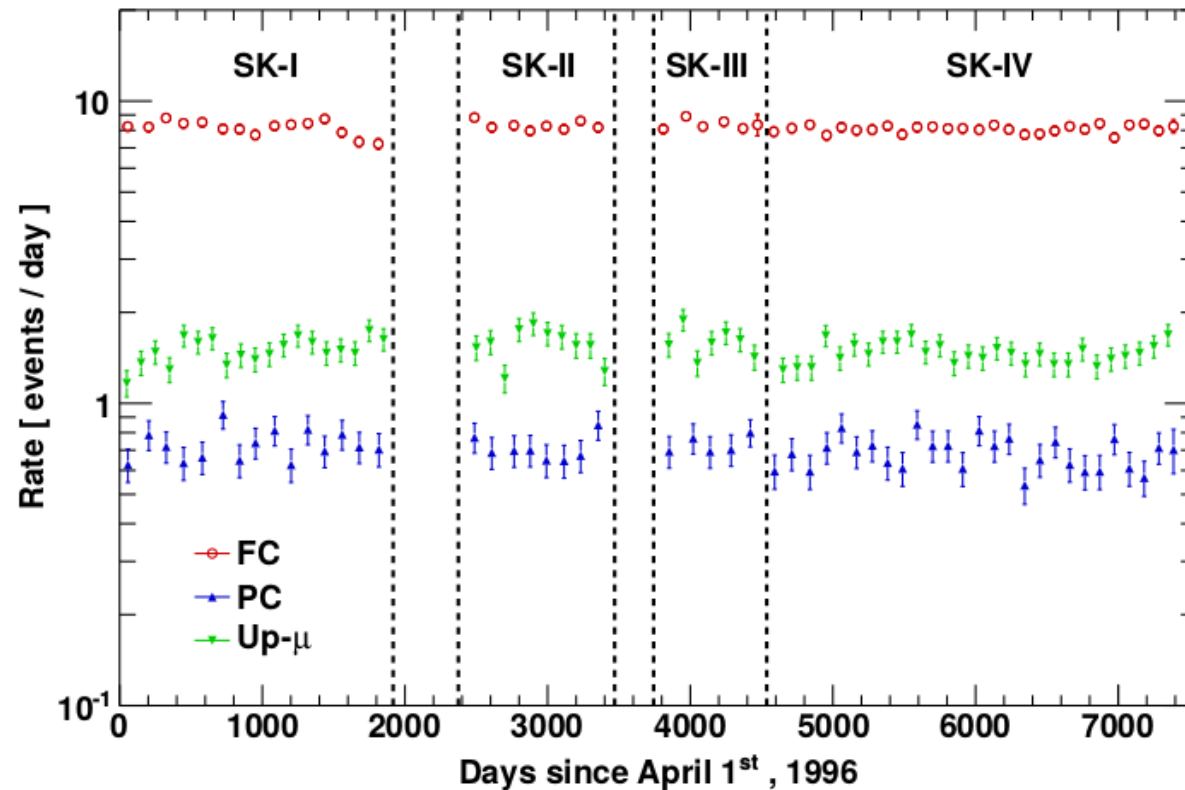
$$E_n = \sum_j E_{n,j} \left( 1 + \sum_i f_{n,j}^i \epsilon_i \right)$$

Effect of a  $1\sigma$  variation of syst. i on nb of evts in bin n for SK period j (fractional change divided by  $\sigma_i$ )

Predictions calculated separately for each SK period

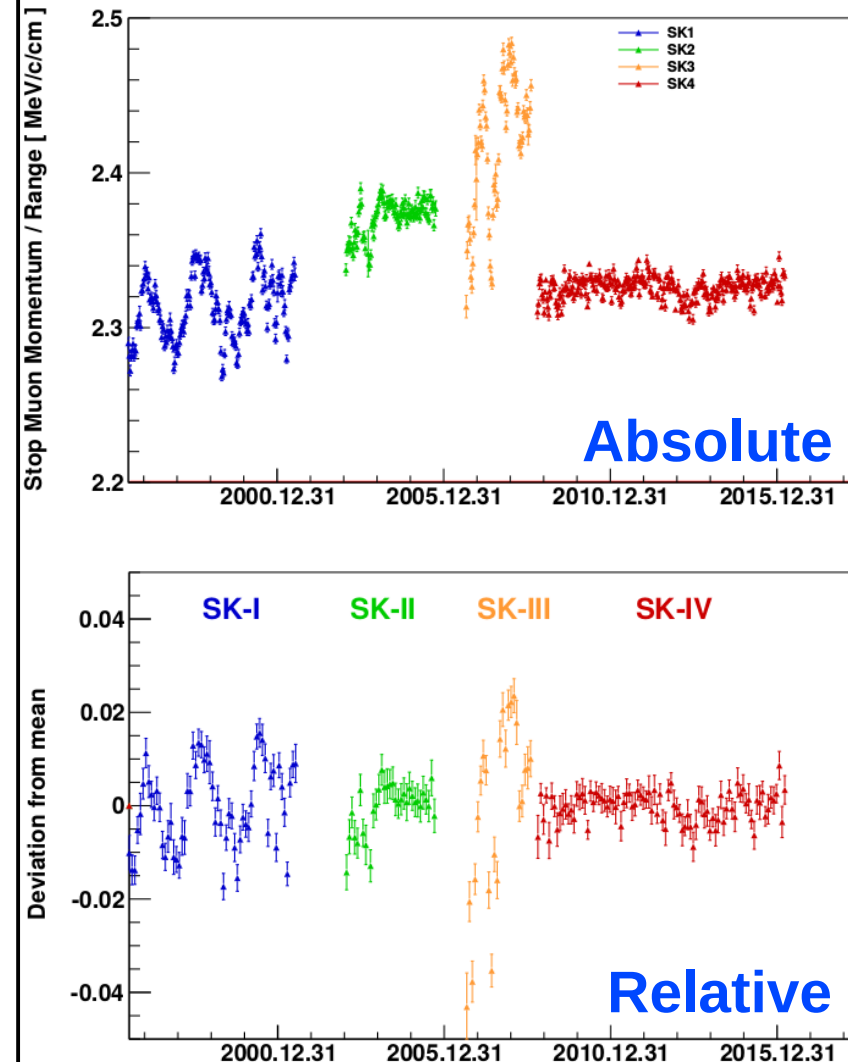
- different detector configurations, water quality and performance  
→ different MC simulations
- Some systematic uncertainties depend of the SK period
- Expectation from each period summed to compute  $\chi^2$

- 4 SK periods with different detector conditions over 20 years
- Total livetime: 5326 days (328 kton-year)
- 27505 muon-like and 20949 electron-like events



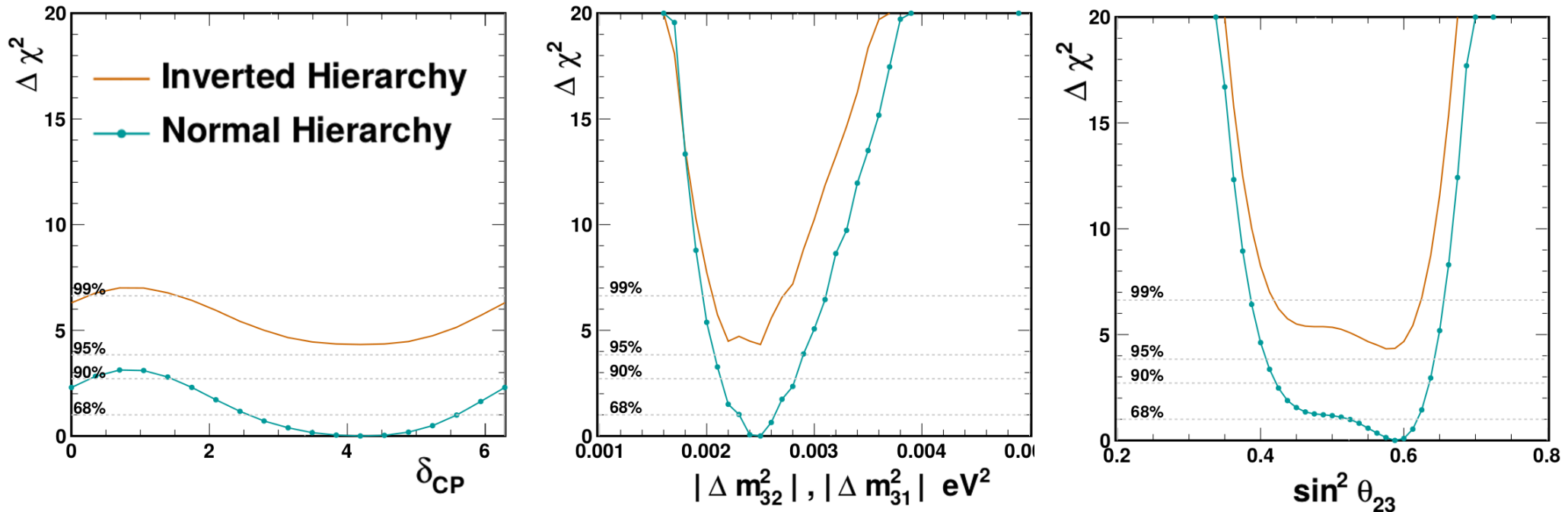
Stable event rates for the different topologies

## Energy scale stability (stopping muon)



# Atmospheric neutrino results

18

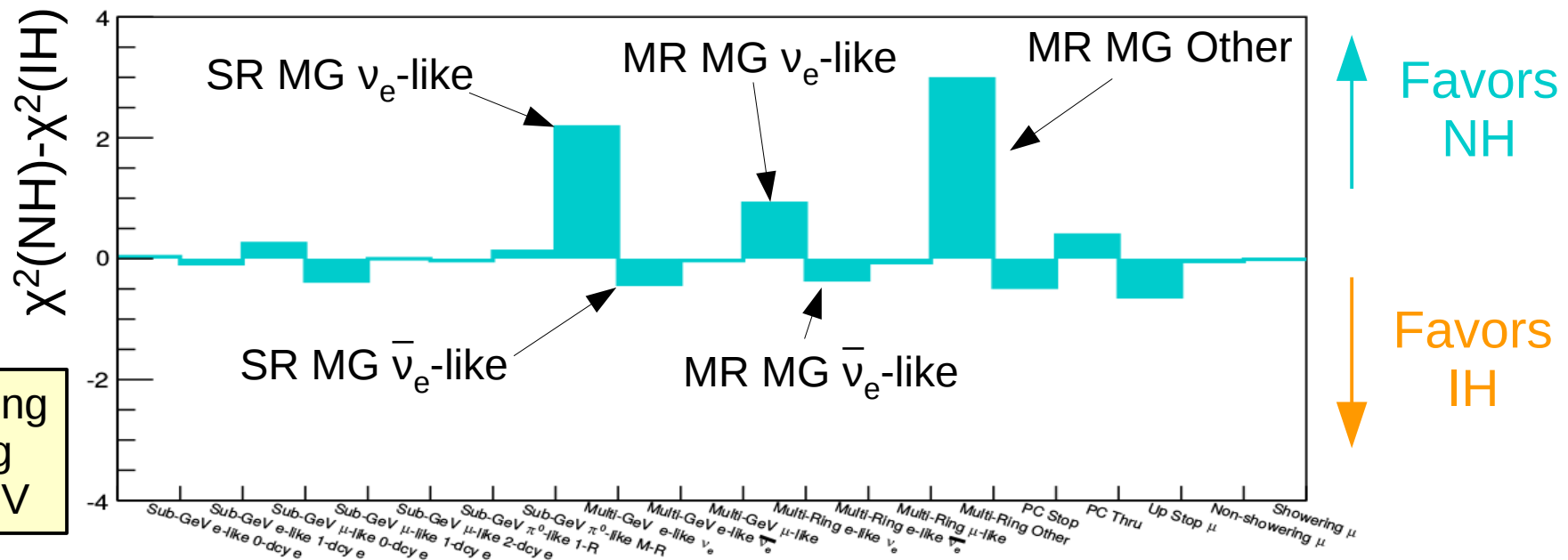


	$\chi^2$	$ \Delta m^2_{32/31} $	$\sin^2(\theta_{23})$	$\delta_{CP}$
Normal hierarchy	571.33	$2.5 \times 10^{-3}$	0.5875	4.18
Inverted hierarchy	575.66	$2.5 \times 10^{-3}$	0.575	4.18

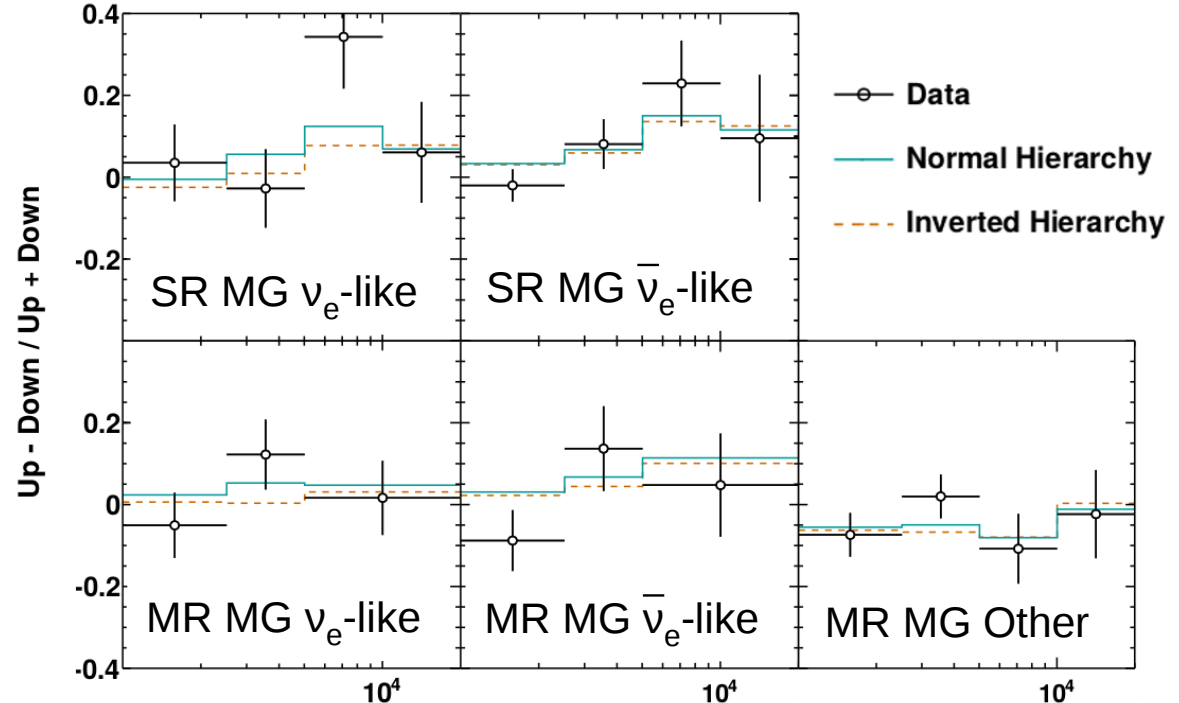
- $\chi^2(\text{NH}) - \chi^2(\text{IH}) = -4.33$
- P-value for this  $\Delta\chi^2$  (true values of the parameters corresponding to the NH best fit point) is 0.027 for true IH
  - **Preference for the normal hierarchy hypothesis**

# Atmospheric neutrino results

## Contributions to the MH preference



- Contribution to  $\Delta\chi^2$  comes mainly from Multi-GeV e-like samples
- MR Other sample has the biggest contribution, although it has lower purity
- Large statistical errors: statistically limited

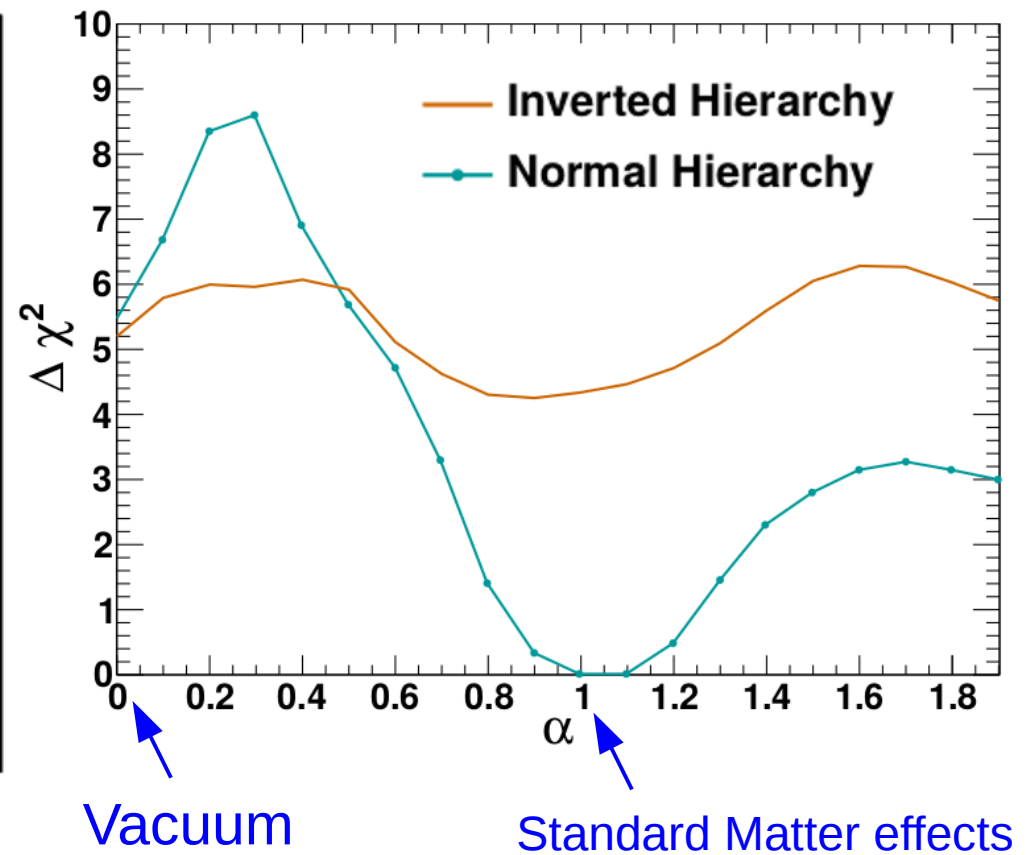
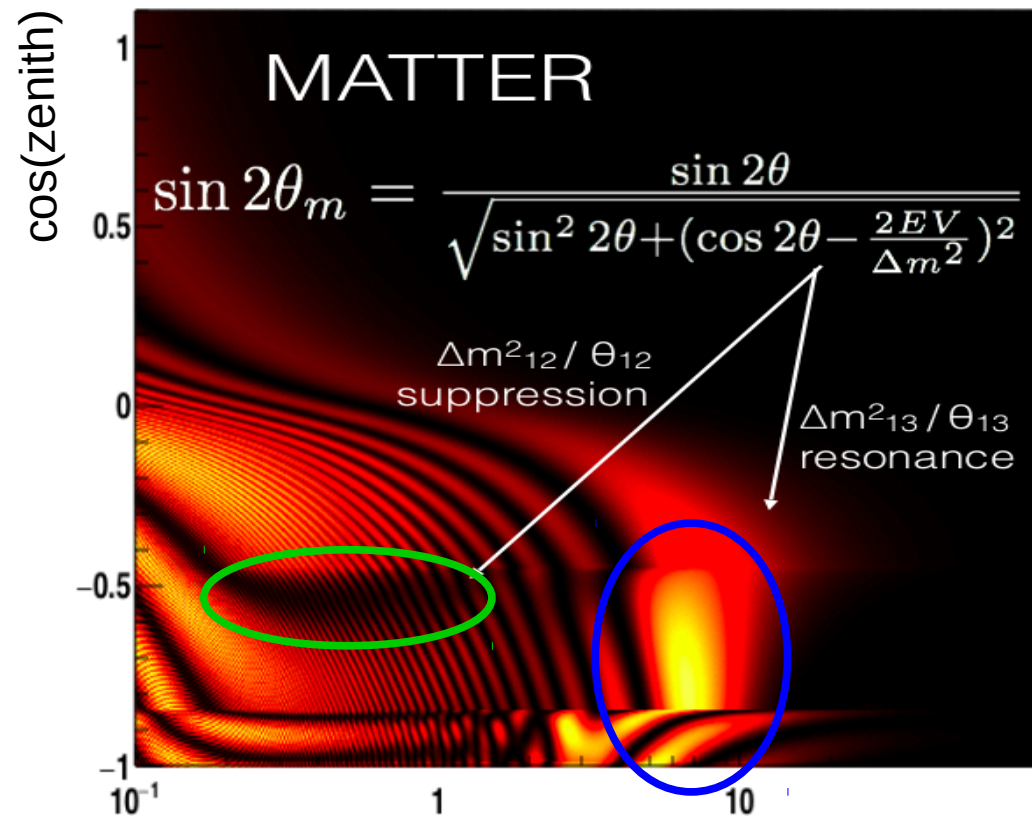


# Atmospheric neutrino results

## Search for matter effects

20

- Test consistency of data with matter effect
- Use all changes compared to vacuum oscillations, not just hierarchy dependent ones
- Introduce multiplicative parameter  $\alpha$  which changes electron density
- Best fit for  $\alpha=1$  and NH
- Disfavors vacuum oscillation at  $\Delta\chi^2=5.2$  ( $1.6\sigma$ )



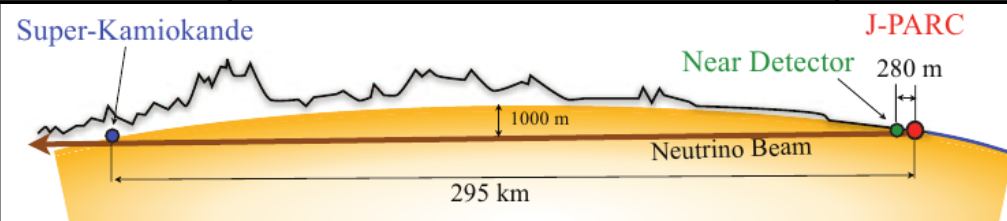
# External constraints

## Motivations

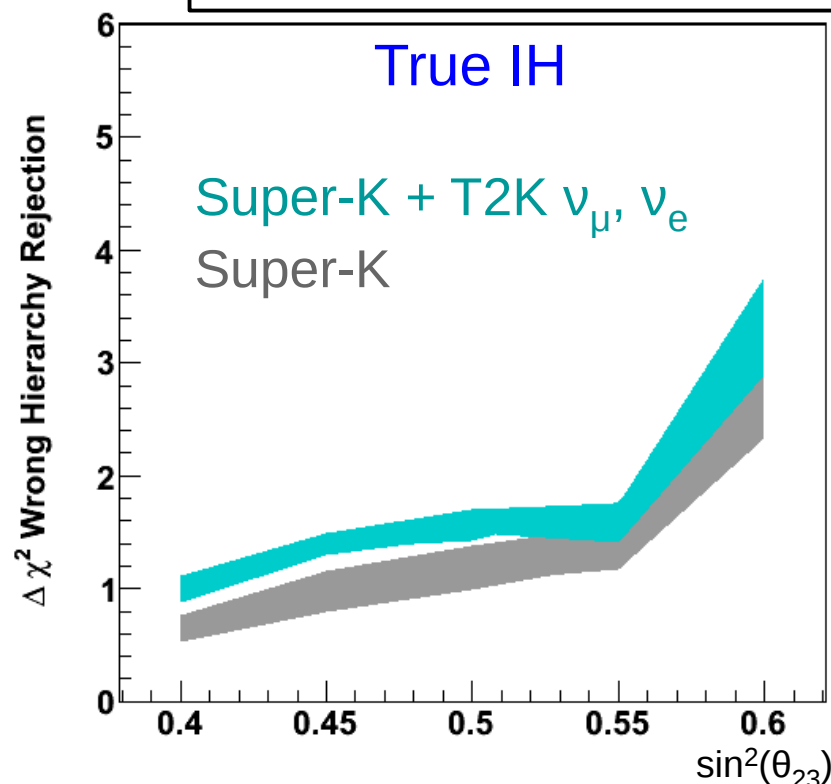
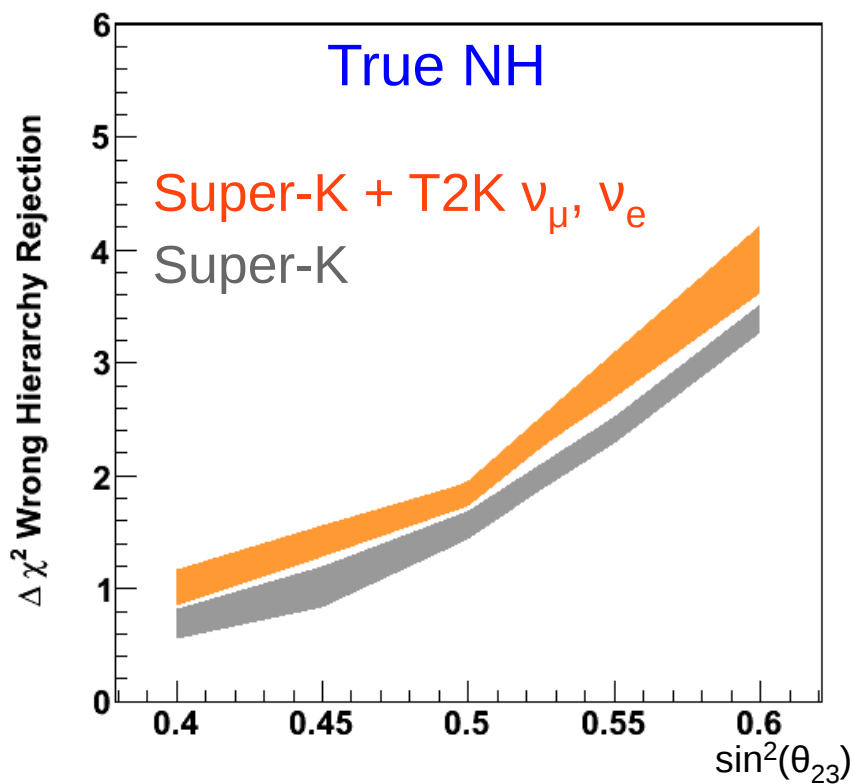
21

- Uncertainty on value of  $\sin^2(\theta_{23})$ 
  - uncertainty for MH determination
- Precise measurements of  $\sin^2(\theta_{23})$  and  $|\Delta m_{32}^2|$  by LBL experiments
- Both experiments have sensitivity to  $\delta$
- Combination can also break degeneracies in certain cases

### Tokai To Kamioka (T2K)



- Almost pure  $\nu_\mu/\bar{\nu}_\mu$  beam
- $L=295$  km from J-PARC to Super-K
- Near detector complex to constrain systematic uncertainties



Error bands:  
uncertainty due to unknown  $\delta$  value

# External constraints

## Model of the T2K experiment

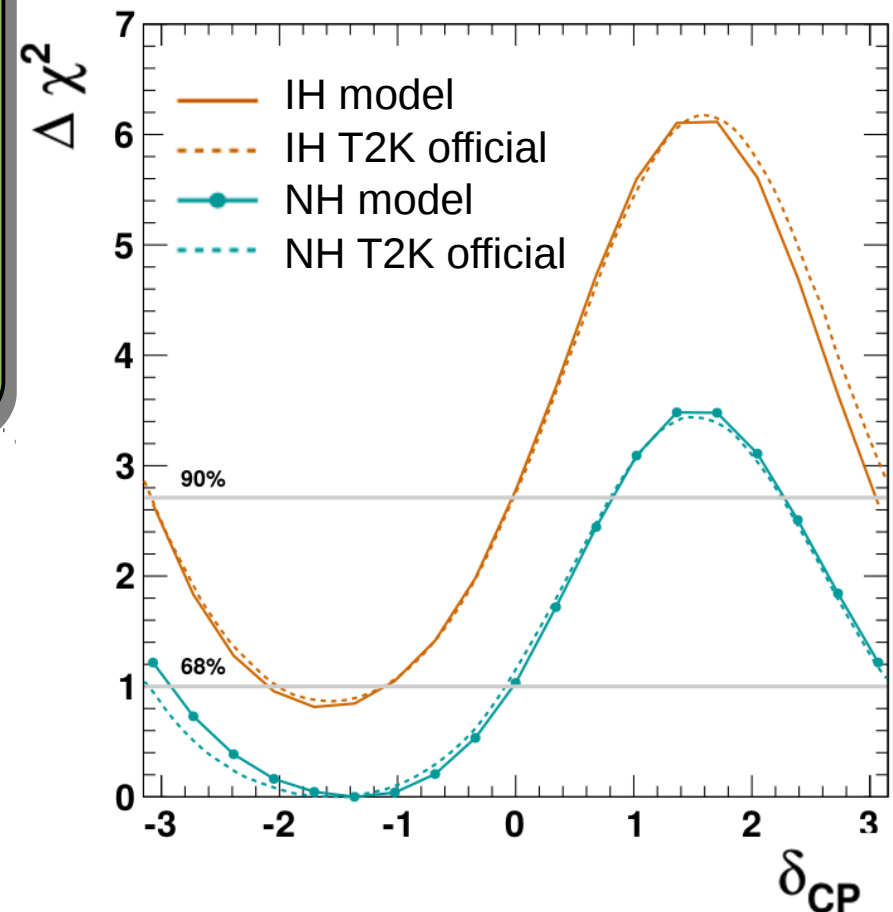
**NOT** a joint analysis between the 2 collaborations. Use SK tools to build a model of T2K and fit data based on publicly available information

- Neutrino interaction generator and detector simulation common between the experiments  
→ **reweight atmospheric MC to mimic T2K flux**
- Systematic uncertainties :  
-interaction and detector fully correlated  
-flux uncorrelated
- Propagate published results of near detector fit for interaction and beam flux parameters

Uses T2K data and analysis from PRD 91, 072010 (2015) – not latest results

- $6.57 \times 10^{20}$  POT in  $\nu$ -mode
- No  $\bar{\nu}$ -mode data
- No appearance  $\text{CC}1\pi$  sample
- Appearance samples binned in  $E_{\text{rec}}$
- Not using new reconstruction and FV

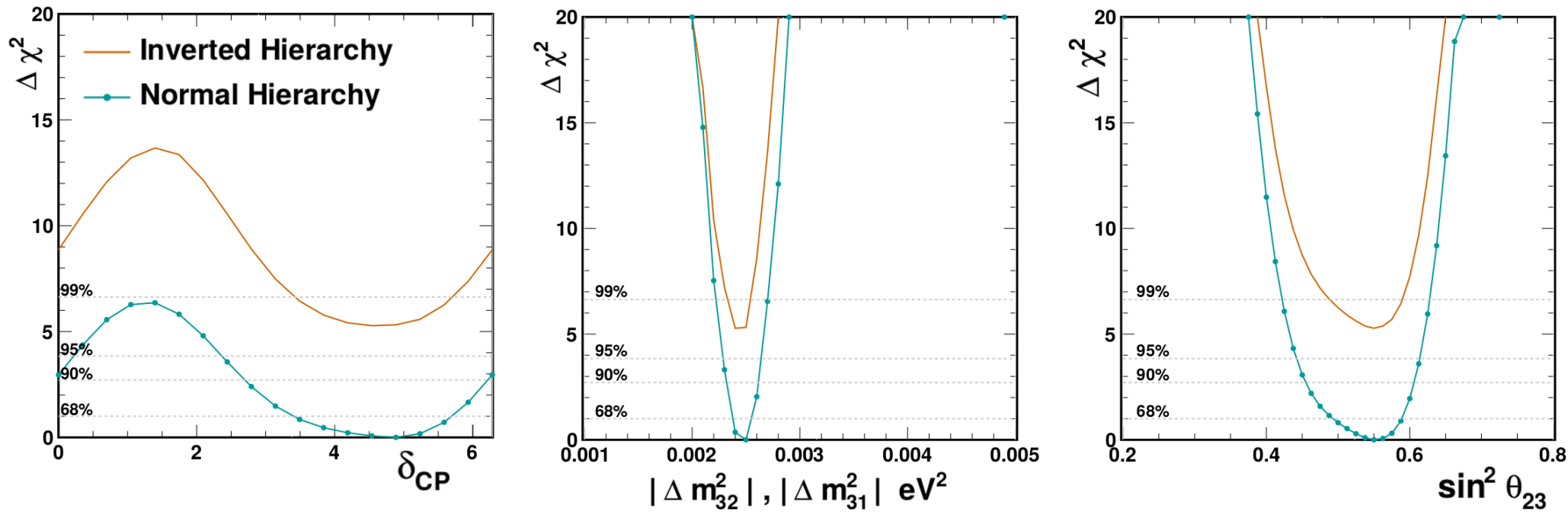
Model reproduces well official results





# Results with external constraints

23

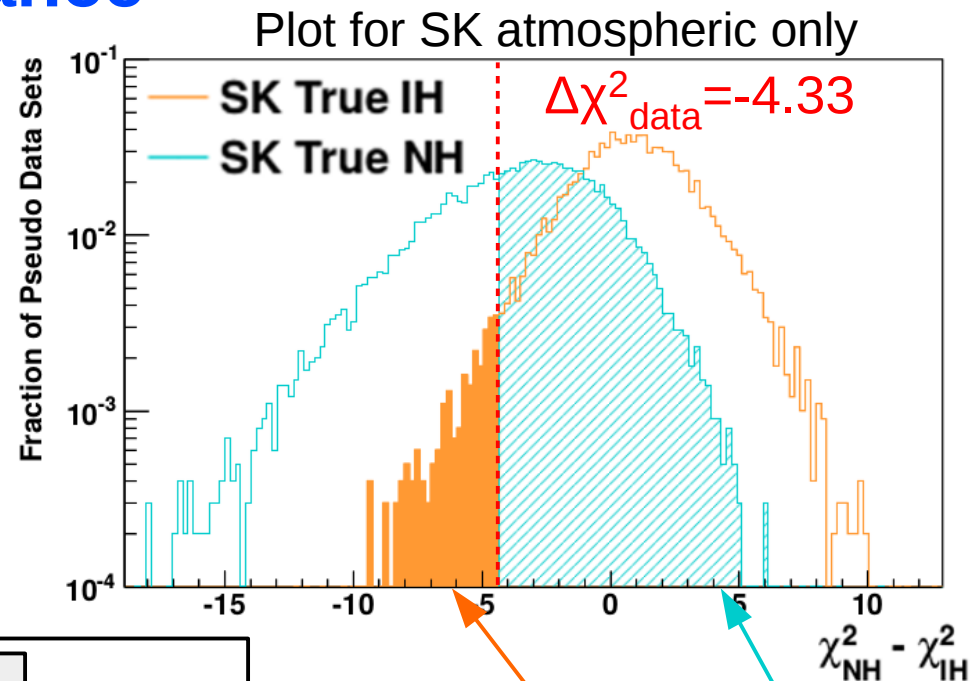


	$\chi^2$	$ \Delta m^2_{32/31} $	$\sin^2(\theta_{23})$	$\delta_{CP}$
Normal hierarchy	639.43	$2.50 \times 10^{-3}$	0.550	4.88
Inverted hierarchy	644.70	$2.40 \times 10^{-3}$	0.550	4.54

- $\chi^2(\text{NH}) - \chi^2(\text{IH}) = -5.27$
- P-value for this  $\Delta\chi^2$  (true values of the parameters corresponding to the NH best fit point) is 0.023 for true IH
  - **Slightly stronger preference for the normal hierarchy**

# Mass hierarchy Significance

- MH significance does not go as  $\sqrt{\chi^2}$ 
  - compute p-values using toy MC
- Limited sensitivity at current statistics
  - Also compute CLs values
- Significance depend on true values of  $\theta_{23}$  and  $\delta$ 
  - Compute for different true values



P-values and CLs for IH exclusion

P-values	Lower	Best fit	Upper
SK only	0.012	0.027	0.020
SK+T2K model	0.004	0.023	0.024

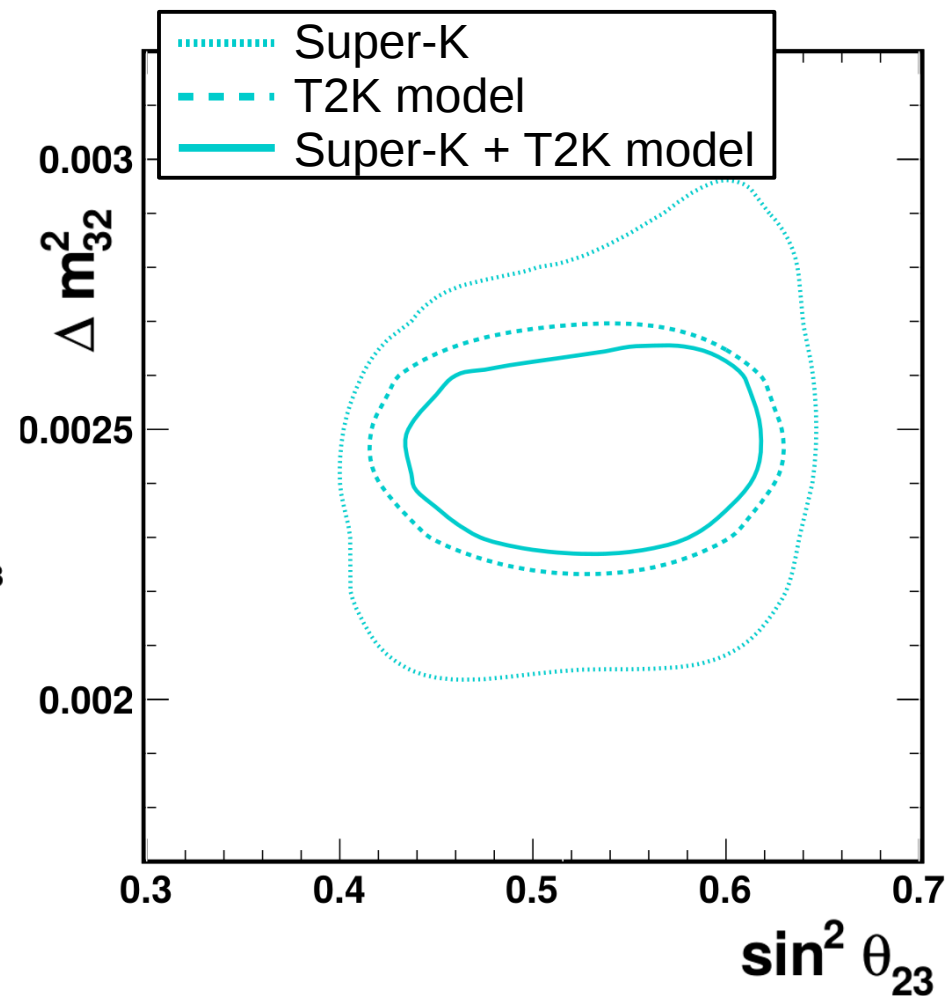
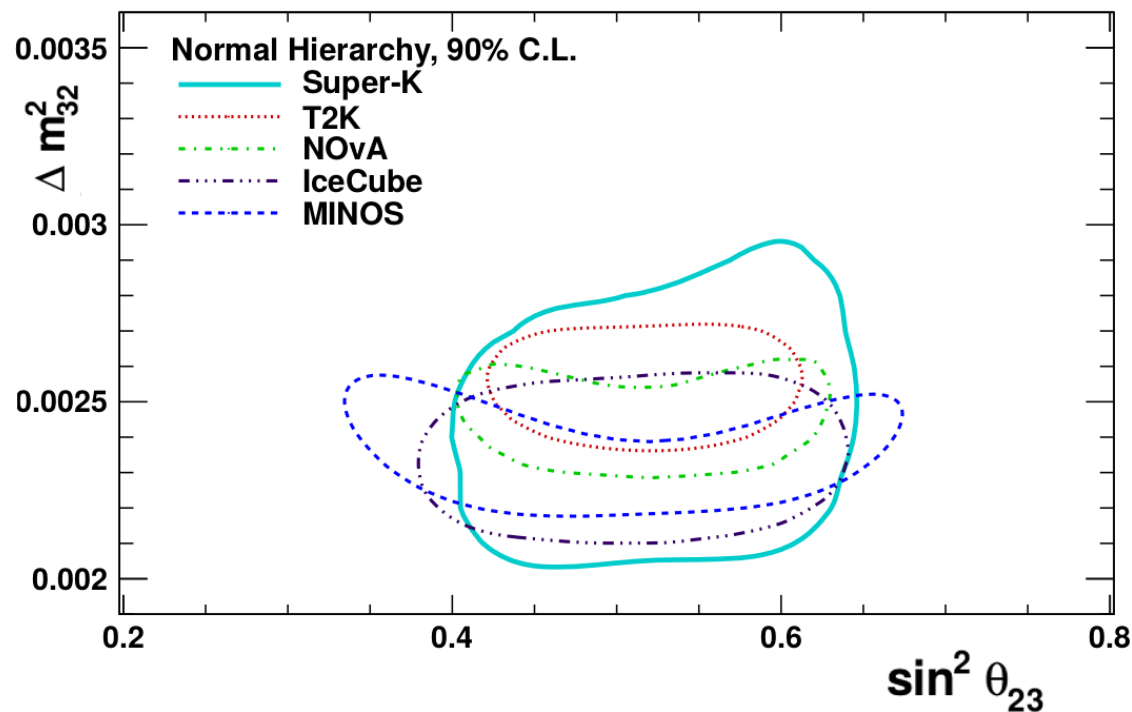
CLs	Lower	Best fit	Upper
SK only	0.181	0.070	0.033
SK+T2K model	0.081	0.075	0.056

$$CL_s = \frac{p_0(IH)}{1 - p_0(NH)}$$

Lower/upper edges of the 90% CL intervals for  $\sin^2(\theta_{23})$  and  $\delta$

90% CL contours for the normal hierarchy case

- Super-K atmospheric only measurement compatible with other experiments results
- In the analysis using T2K model, result dominated by T2K data

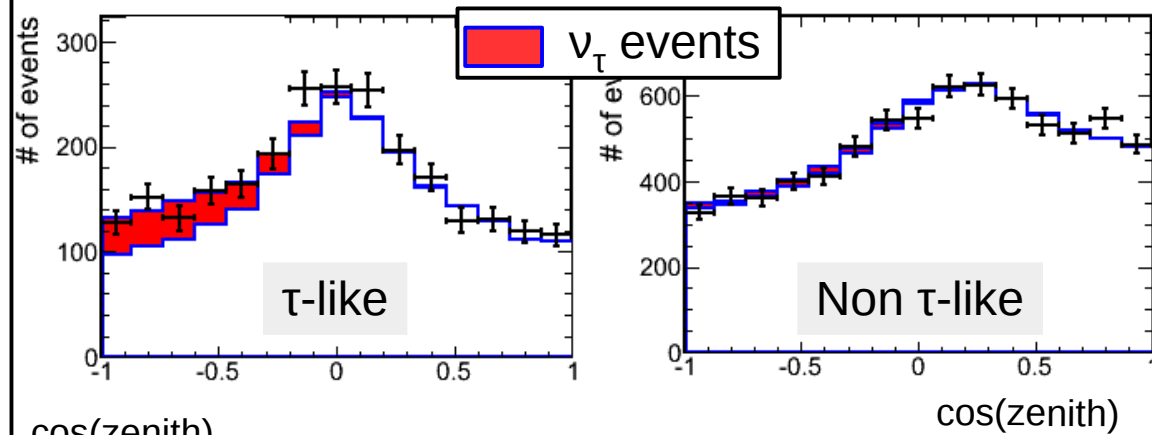


## Notes:

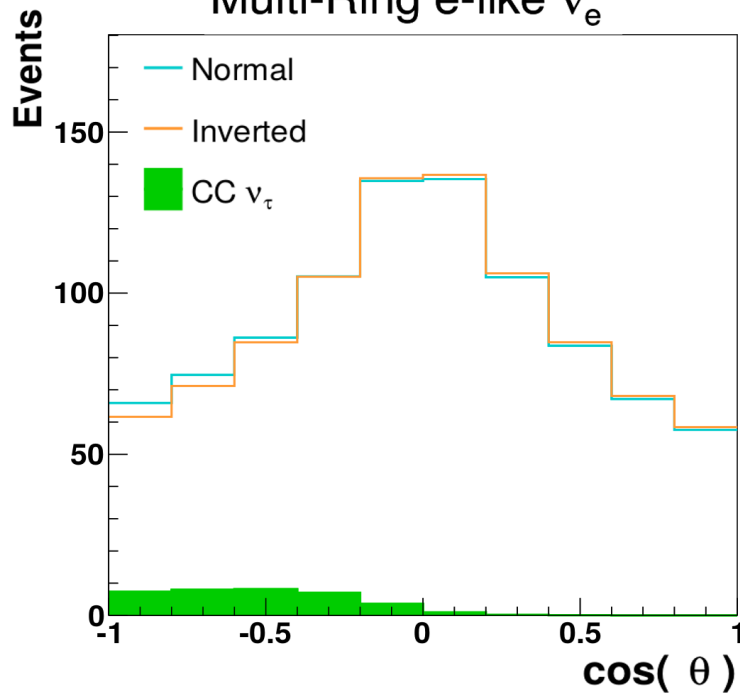
- T2K contours for comparisons from PRL. 118, 151801 (2017), while model uses PRD 91, 072010 (2015)
- NOvA contours as presented in Jan. 2018 Fermilab JTEP seminar

## Uncertainty on $\nu_\tau$ cross section

→ use NN to separate  $\nu_\tau$  background

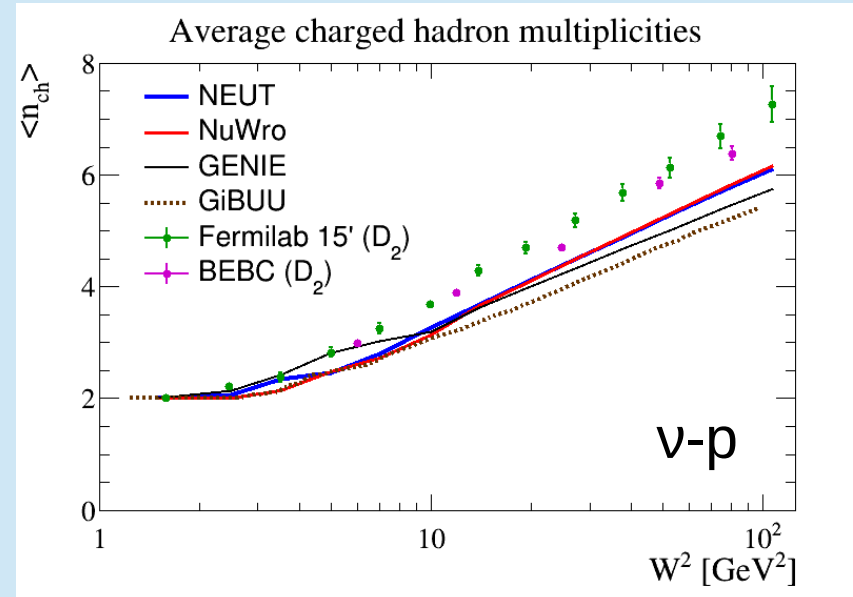


## Multi-Ring e-like $\nu_e$



## High energy neutrino interactions

- Improve simulation of DIS events in NEUT
- Improve systematic uncertainties model for DIS events



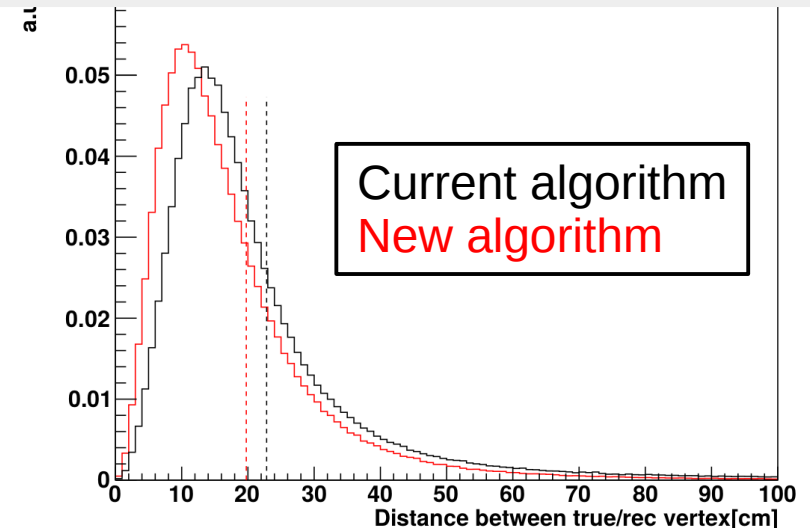
# Future improvements - 2

27

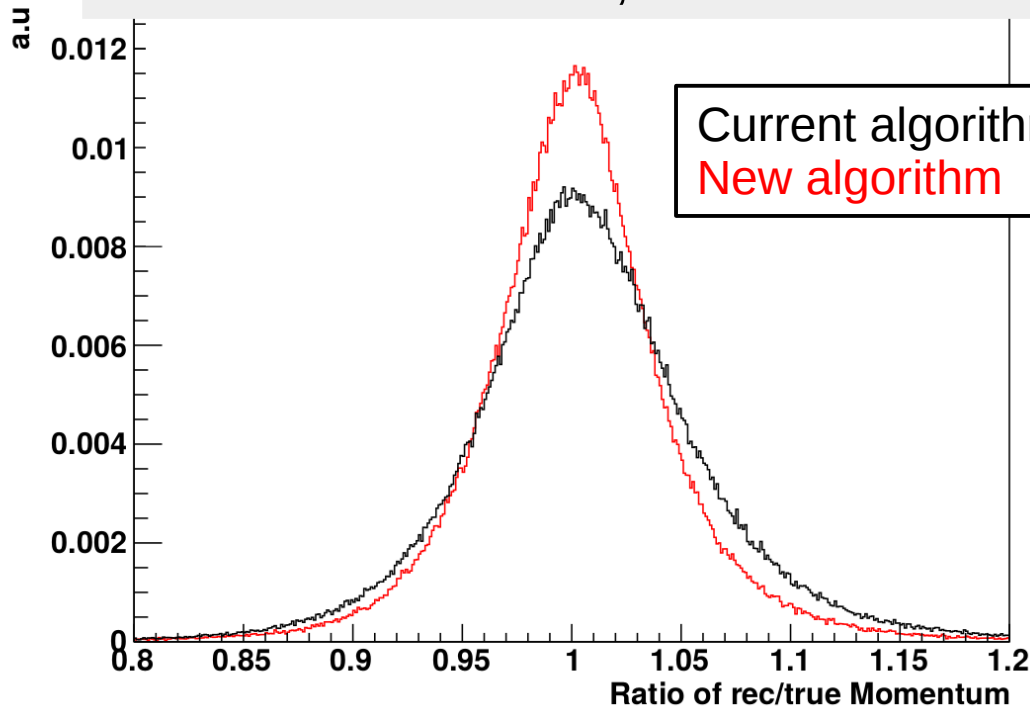
## New event reconstruction algorithm

- Maximum likelihood method using charge and time information from each PMT
- Improved PID performance, as well as vertex and momentum resolution
- Already used in T2K

## Vertex resolution, SR $\mu$ -like events

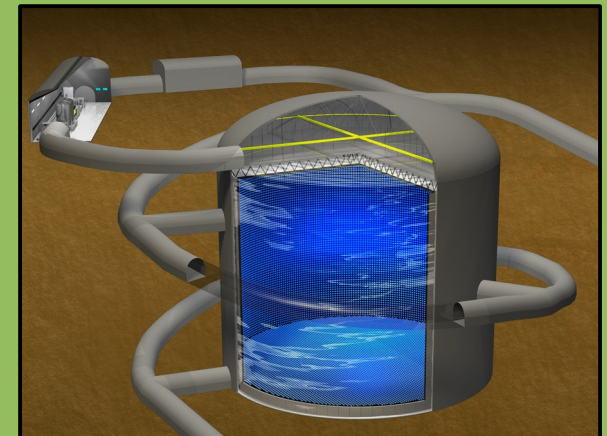


## Momentum resolution, SR e-like events



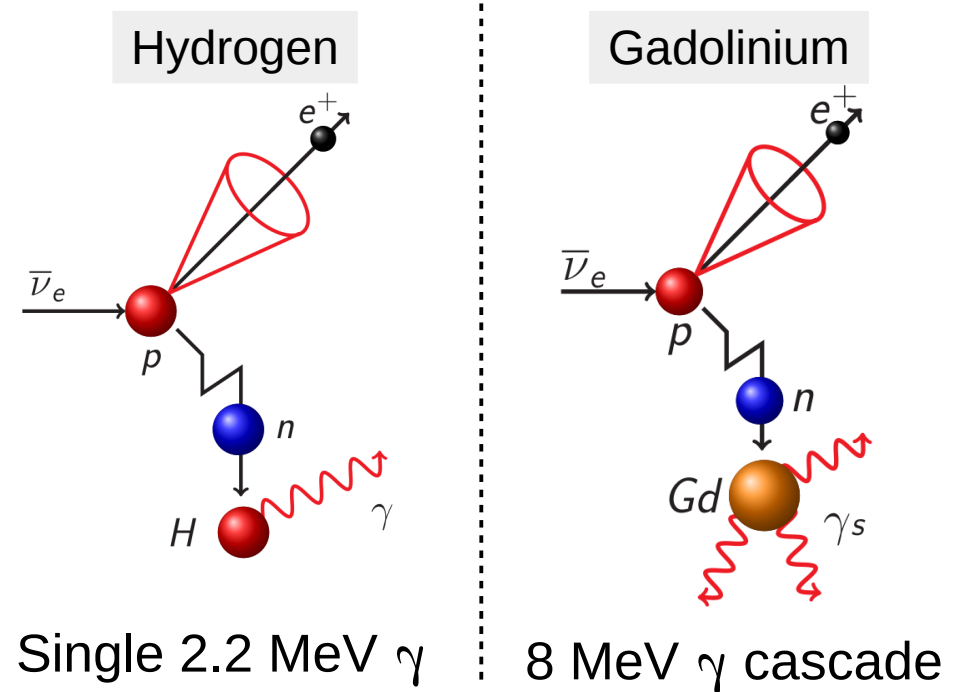
## Limited statistics

- New definition of fiducial volume
- Larger detector: Hyper-K



# Future Neutron tagging

- Neutrons cannot be directly seen in Super-K
- Can be detected from gammas emitted during their capture
- **SK-IV**: can use capture on hydrogen **efficiency~20%**
- Future **SK-Gd**: capture on Gd **efficiency~80%** at 0.1% Gd loading



Single 2.2 MeV  $\gamma$

8 MeV  $\gamma$  cascade

## Possible benefits:

- ✓ statistical  $\nu_e/\bar{\nu}_e$  separation in Sub-GeV samples for  $\delta$
- ✓ Improve statistical  $\nu_e/\bar{\nu}_e$  separation in Multi-GeV samples for MH
- ✓ Correct for missing (invisible) energy to improve energy resolution

## Challenges

- × uncertainties on neutron production for high energy  $\nu$  on nuclear targets
- × uncertainties on re-interactions in nuclear material and water
- × No measurement currently available

- Atmospheric neutrinos can be used to study open questions in neutrino oscillations
- Super-K is sensitive to the mass hierarchy through a matter induced resonance in the muon to electron flavor oscillation probability, which happens only for  $\nu$  in the NH and  $\bar{\nu}$  in the IH.
- Also sensitive to  $\delta_{CP}$  through the Sub-GeV electron like events
- The T2K data can be used to constrain the values of the oscillation parameters, particularly  $\sin^2(\theta_{23})$ , to increase MH sensitivity
- Using 328 kton-years of atmospheric data, exclude vacuum oscillations at  $1.6\sigma$  and IH by between 81.9% and 96.7% depending on true values of oscillation parameters
- IH exclusion ranges between 91.9% and 94.5% with the addition of the T2K data



**Additional slides**

# Neutrino oscillations Parameters

31

In practice, for neutrino oscillations:

$$U = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\text{“Atmospheric”}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\text{“Reactor”}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{“Solar”}}$$

( $c_{ij} = \cos(\theta_{ij})$ ,  $s_{ij} = \sin(\theta_{ij})$ )

$P(\nu_\alpha \rightarrow \nu_\beta)$  depends on **6 parameters**:

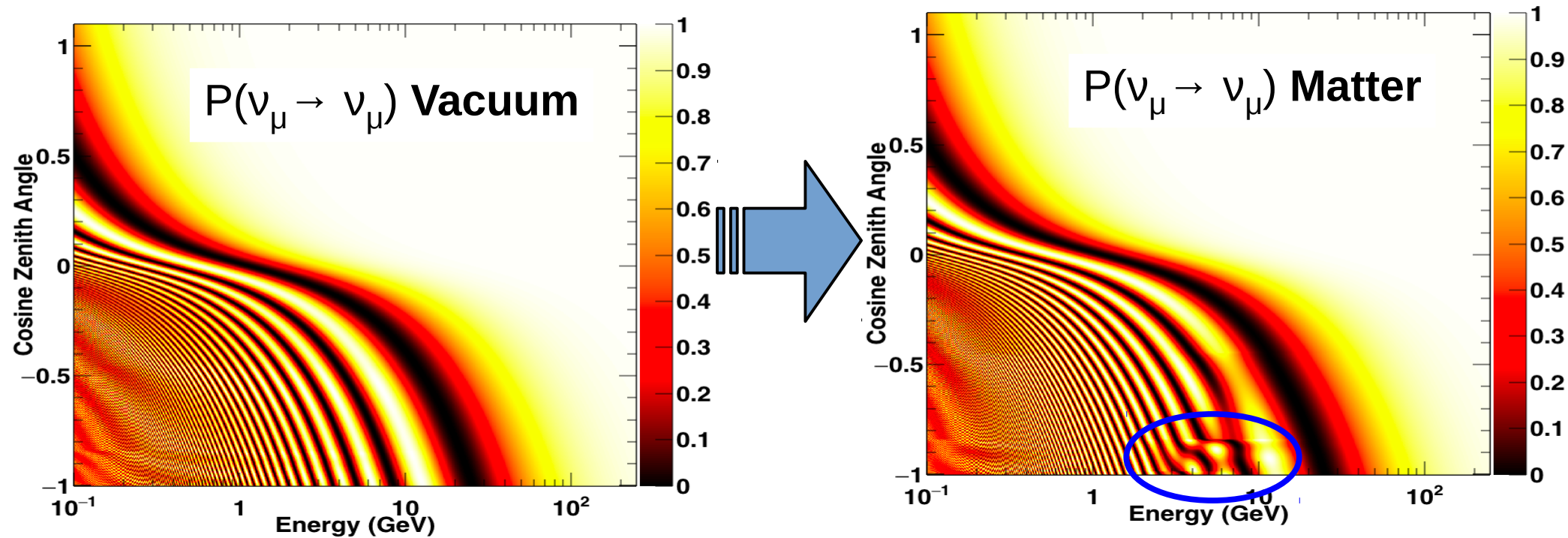
- 3 mixing angles  $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$
- 2 independent mass splittings  $\Delta m^2_{ij}$
- 1 complex phase, the **CP phase  $\delta$**

- Observed both disappearance and appearance of neutrino flavors
- All mass splittings ( $\Delta m^2_{ij}$ ) and mixing angles ( $\theta_{ij}$ ) measured to be non-zero
- Only  $\delta$  still unknown (not well constrained by data)
- Sign of  $\Delta m^2_{32/31}$  unknown

# Atmospheric neutrino oscillations

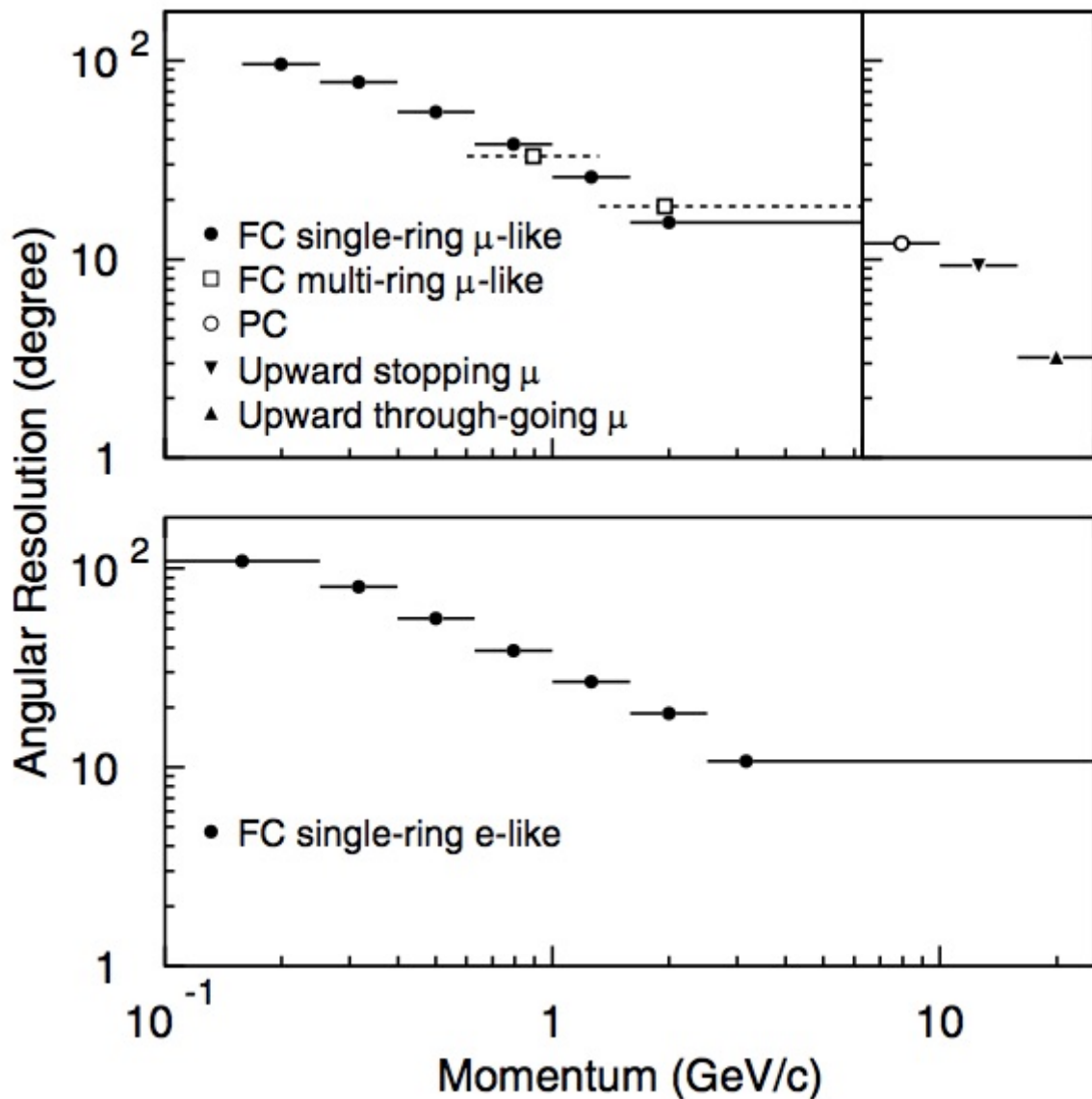
## Matter effects – muon neutrinos

32

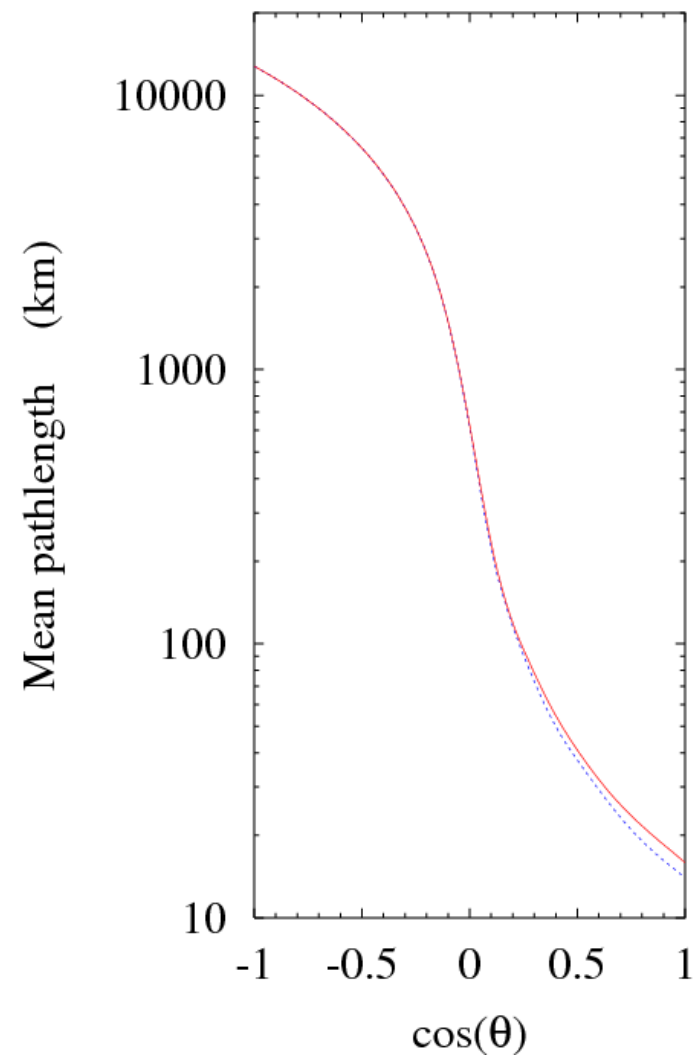


Slightly more muon disappearance for neutrinos passing through the Earth's core

PRD71, 112005 (2005)



Relation between L and  $\cos(\theta_z)$

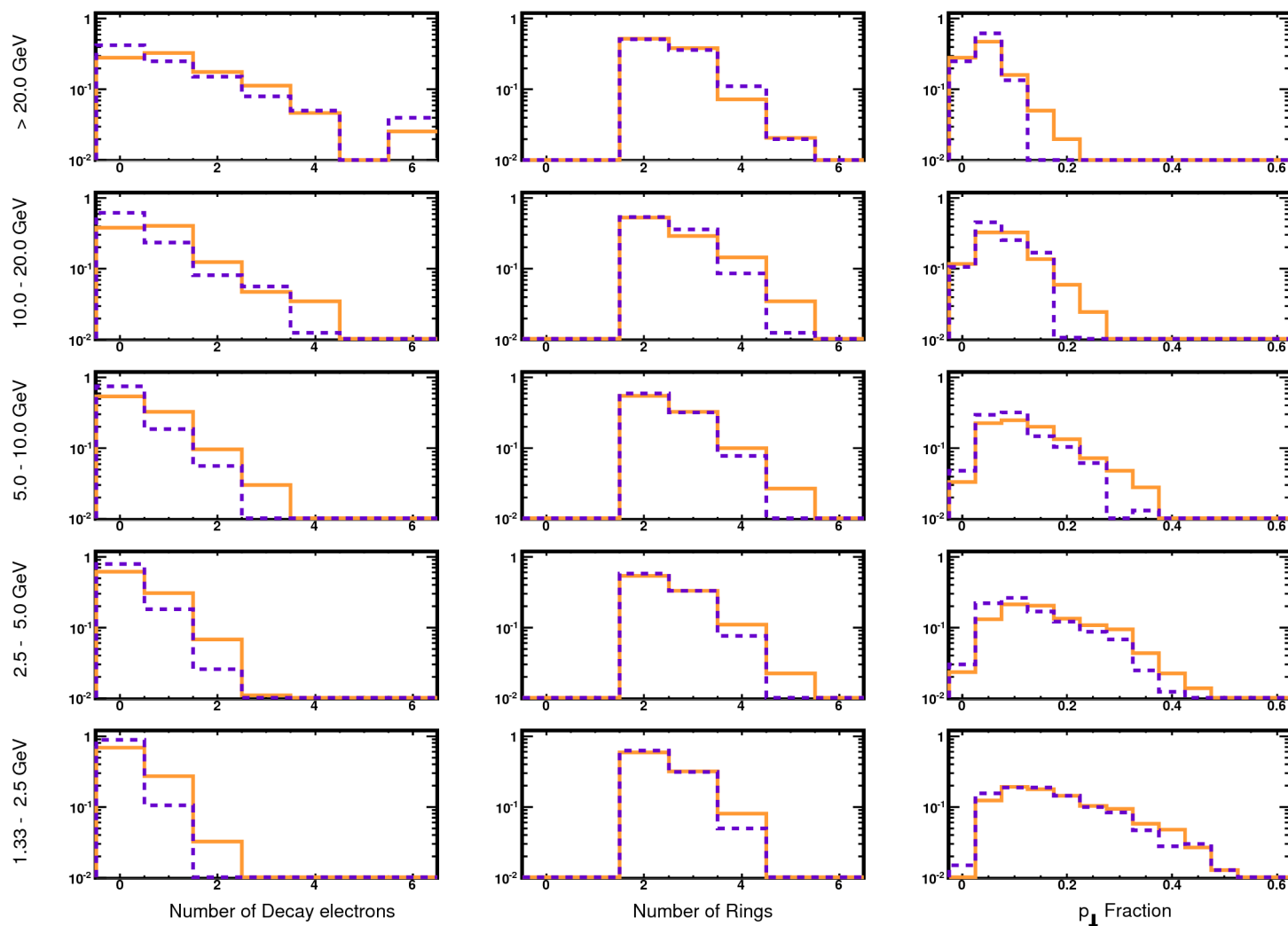


# Event selection

## nue/nuebar separation likelihood variables

3 variables, 5 energy bins.

CC  $\nu_e$   
CC  $\bar{\nu}_e$



# MC predictions

35

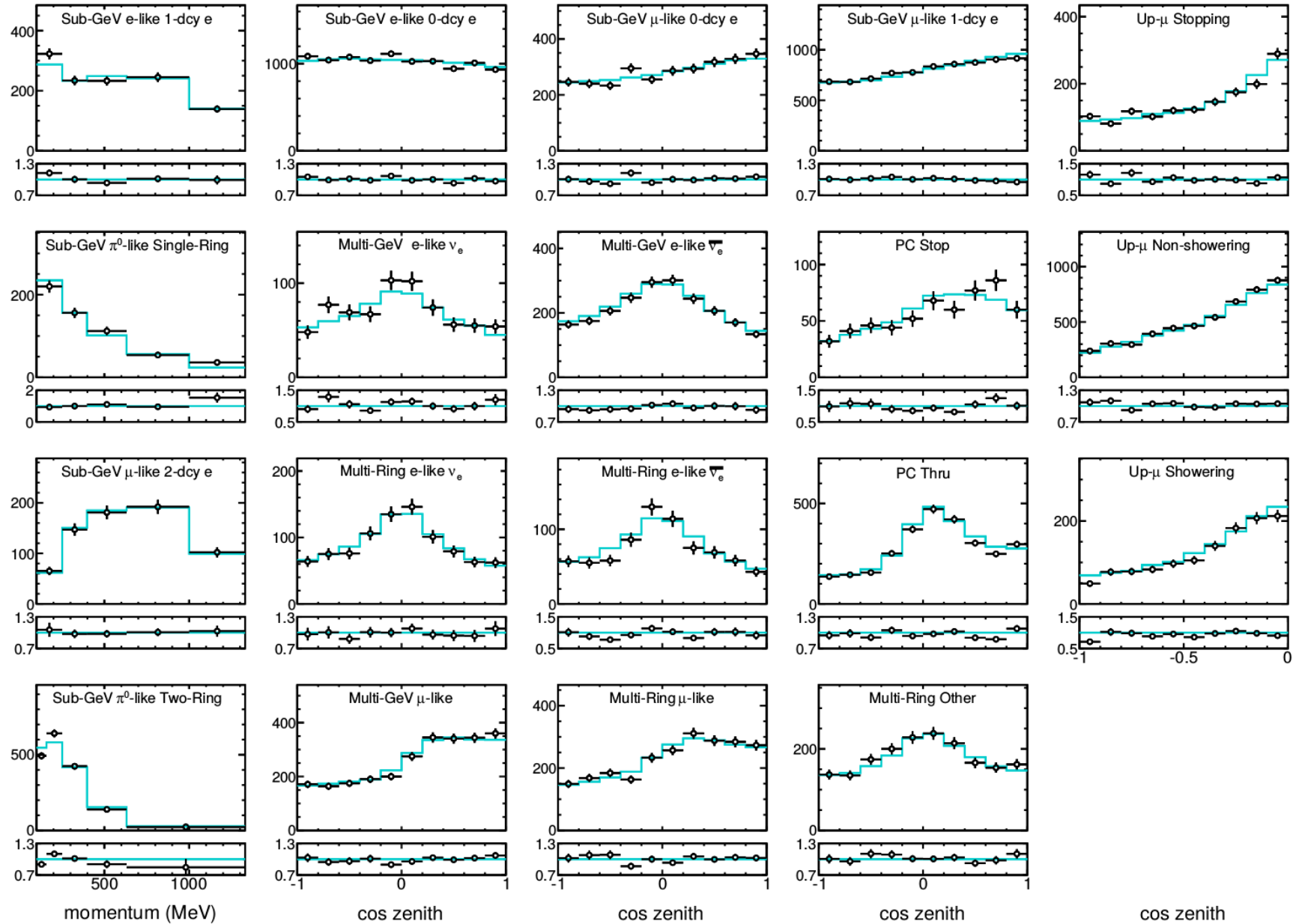
Sample	Energy bins	$\cos \theta_z$ bins	CC $\nu_e$	CC $\bar{\nu}_e$	CC $\nu_\mu + \bar{\nu}_\mu$	CC $\nu_\tau$	NC	Data	MC
<i>Fully Contained (FC) Sub-GeV</i>									
e-like, Single-ring									
0 decay-e	5 $e^\pm$ momentum	10 in $[-1, 1]$	0.717	0.248	0.002	0.000	0.033	10294	10266.1
1 decay-e	5 $e^\pm$ momentum	single bin	0.805	0.019	0.108	0.001	0.067	1174	1150.7
$\mu$ -like, Single-ring									
0 decay-e	5 $\mu^\pm$ momentum	10 in $[-1, 1]$	0.041	0.013	0.759	0.001	0.186	2843	2824.3
1 decay-e	5 $\mu^\pm$ momentum	10 in $[-1, 1]$	0.001	0.000	0.972	0.000	0.027	8011	8008.7
2 decay-e	5 $\mu^\pm$ momentum	single bin	0.000	0.000	0.979	0.001	0.020	687	687.0
$\pi^0$ -like									
Single-ring	5 $e^\pm$ momentum	single bin	0.096	0.033	0.015	0.000	0.856	578	571.8
Two-ring	5 $\pi^0$ momentum	single bin	0.067	0.025	0.011	0.000	0.897	1720	1728.4
Multi-ring			0.294	0.047	0.342	0.000	0.318	(1682)	(1624.2)
<i>Fully Contained (FC) Multi-GeV</i>									
Single-ring									
$\nu_e$ -like	4 $e^\pm$ momentum	10 in $[-1, 1]$	0.621	0.090	0.100	0.033	0.156	705	671.3
$\bar{\nu}_e$ -like	4 $e^\pm$ momentum	10 in $[-1, 1]$	0.546	0.372	0.009	0.010	0.063	2142	2193.7
$\mu$ -like	2 $\mu^\pm$ momentum	10 in $[-1, 1]$	0.003	0.001	0.992	0.002	0.002	2565	2573.8
Multi-ring									
$\nu_e$ -like	3 visible energy	10 in $[-1, 1]$	0.557	0.102	0.117	0.040	0.184	907	915.5
$\bar{\nu}_e$ -like	3 visible energy	10 in $[-1, 1]$	0.531	0.270	0.041	0.022	0.136	745	773.8
$\mu$ -like	4 visible energy	10 in $[-1, 1]$	0.027	0.004	0.913	0.005	0.051	2310	2294.0
Other	4 visible energy	10 in $[-1, 1]$	0.275	0.029	0.348	0.049	0.299	1808	1772.6
<i>Partially Contained (PC)</i>									
Stopping	2 visible energy	10 in $[-1, 1]$	0.084	0.032	0.829	0.010	0.045	566	570.0
Through-going	4 visible energy	10 in $[-1, 1]$	0.006	0.003	0.978	0.007	0.006	2801	2889.9
<i>Upward-going Muons (Up-<math>\mu</math>)</i>									
Stopping	3 visible energy	10 in $[-1, 0]$	0.008	0.003	0.986	0.000	0.003	1456.4	1448.9
Through-going									
Non-showering	single bin	10 in $[-1, 0]$	0.002	0.001	0.996	0.000	0.001	5035.3	4900.4
Showering	single bin	10 in $[-1, 0]$	0.001	0.000	0.998	0.000	0.001	1231.0	1305.0

328 kton-year,  $\sin^2(\theta_{23})=0.5$ ,  $\Delta m^2_{32}=2.4\text{e-}3$

# Atmospheric neutrino results

## Data/MC comparisons

36





# Flux systematics

Systematic error			Fit value (%)	$\sigma$ (%)
Flux normalization	$E_\nu < 1 \text{ GeV}^a$		14.3	25
	$E_\nu > 1 \text{ GeV}^b$		7.8	15
$(\nu_\mu + \bar{\nu}_\mu)/(\nu_e + \bar{\nu}_e)$	$E_\nu < 1 \text{ GeV}$		0.08	2
	$1 < E_\nu < 10 \text{ GeV}$		-1.1	3
	$E_\nu > 10 \text{ GeV}^c$		1.6	5
$\bar{\nu}_e/\nu_e$	$E_\nu < 1 \text{ GeV}$		1.6	5
	$1 < E_\nu < 10 \text{ GeV}$		3.3	5
	$E_\nu > 10 \text{ GeV}^d$		-1.6	8
$\bar{\nu}_\mu/\nu_\mu$	$E_\nu < 1 \text{ GeV}$		0.24	2
	$1 < E_\nu < 10 \text{ GeV}$		2.9	6
	$E_\nu > 10 \text{ GeV}^e$		-2.9	15
Up/down ratio	<400 MeV	<i>e</i> -like	-0.026	0.1
		$\mu$ -like	-0.078	0.3
		0-decay $\mu$ -like	-0.286	1.1
	>400 MeV	<i>e</i> -like	-0.208	0.8
		$\mu$ -like	-0.130	0.5
		0-decay $\mu$ -like	-0.442	1.7
	Multi-GeV	<i>e</i> -like	-0.182	0.7
		$\mu$ -like	-0.052	0.2
	Multi-ring Sub-GeV	<i>e</i> -like	-0.104	0.4
		$\mu$ -like	-0.052	0.2
	Multi-ring Multi-GeV	<i>e</i> -like	-0.078	0.3
		$\mu$ -like	-0.052	0.2
	PC		-0.052	0.2
		<i>e</i> -like	0.018	0.1
		$\mu$ -like	0.018	0.1
Horizontal/vertical ratio	<400 MeV	0-decay $\mu$ -like	0.054	0.3
		<i>e</i> -like	0.252	1.4
		$\mu$ -like	0.341	1.9
	>400 MeV	0-decay $\mu$ -like	0.252	1.4
		<i>e</i> -like	0.576	3.2
		$\mu$ -like	0.414	2.3
	Multi-GeV	<i>e</i> -like	0.252	1.4
		$\mu$ -like	0.234	1.3
	Multi-ring Sub-GeV	<i>e</i> -like	0.504	2.8
		$\mu$ -like	0.270	1.5
	Multi-ring Multi-GeV		0.306	1.7
			-9.3	10
	K/ $\pi$ ratio in flux calculation <sup>f</sup>		-2.13	10
			-6.6	5
Neutrino path length	FC Multi-GeV		0.22	5
	PC + Stopping UP- $\mu$		0.52	6.8
Sample-by-sample				
Matter effects				

Systematic error	Fit value (%)	$\sigma$ (%)
$M_A$ in QE	-0.69	10
Single $\pi$ Production, Axial Coupling	-4.4	10
Single $\pi$ Production, $C_{A5}$	-3.1	10
Single $\pi$ Production, BKG	-8.7	10
CCQE cross section <sup>a</sup>	6.7	10
CCQE $\bar{\nu}/\nu$ ratio <sup>a</sup>	9.2	10
CCQE $\mu/e$ ratio <sup>a</sup>	0.67	10
DIS cross section	-4.4	5
DIS model comparisons <sup>b</sup>	3.0	10
DIS $Q^2$ distribution (high W) <sup>c</sup>	8.2	10
DIS $Q^2$ distribution (low W) <sup>c</sup>	-5.8	10
Coherent $\pi$ production	-10.0	100
NC/CC	12.1	20
$\nu_\tau$ cross section	-13.8	25
Single $\pi$ production, $\pi^0/\pi^\pm$	-20.3	40
Single $\pi$ production, $\bar{\nu}_i/\nu_i$ ( $i = e, \mu$ ) <sup>d</sup>	-11.0	10
NC fraction from hadron simulation	-0.47	10
$\pi^+$ decay uncertainty Sub-GeV 1-ring	$e$ -like 0-decay	0.6
	$\mu$ -like 0-decay	0.8
	$e$ -like 1-decay	4.1
	$\mu$ -like 1-decay	0.9
	$\mu$ -like 2-decay	5.7
Final state and secondary interactions <sup>e</sup>	-0.2	10
Meson exchange current <sup>f</sup>	-1.8	10
$\Delta m^2_{21}$ [29]	0.022	2.4
$\sin^2(\theta_{12})$ [29]	0.32	4.6
$\sin^2(\theta_{13})$ [29]	0.11	5.4

Systematic Error		SK-I		SK-II		SK-III		SK-IV	
		Fit Value	$\sigma$	Fit Value	$\sigma$	Fit Value	$\sigma$	Fit Value	$\sigma$
FC reduction		-0.009	0.2	0.005	0.2	0.066	0.8	0.68	1.3
PC reduction		0.016	2.4	-3.43	4.8	-0.012	0.5	-0.78	1
FC/PC separation		-0.10	0.6	0.077	0.5	-0.13	0.9	0.0004	0.02
PC stopping/through-going separation (bottom)		-15.8	23	-2.4	13	-0.32	12	-1.5	6.8
PC stopping/through-going separation (barrel)		3.8	7	-5.7	9.4	-13.9	29	-0.40	8.5
PC stopping/through-going separation (top)		8.5	46	-3.0	19	-12.6	87	-24.1	40
Non- $\nu$ background	Sub-GeV $\mu$ -like	0.010	0.1	0.065	0.4	0.105	0.5	-0.011	0.02
	Multi-GeV $\mu$ -like	0.040	0.4	0.065	0.4	0.105	0.5	-0.011	0.02
	Sub-GeV 1-ring	0.010	0.1	0.049	0.3	0.084	0.4	-0.052	0.09
	0-decay $\mu$ -like								
	PC	0.020	0.2	0.115	0.7	0.381	1.8	-0.282	0.49

(Table continued)

Systematic Error			SK-I		SK-II		SK-III		SK-IV	
			Fit Value	$\sigma$	Fit Value	$\sigma$	Fit Value	$\sigma$	Fit Value	$\sigma$
Fiducial Volume	Sub-GeV $e$ -like (flasher event)		0.068	0.5	0.000	0.2	-0.004	0.2	-0.000	0.02
	Multi-GeV $e$ -like (flasher event)		0.014	0.1	0.000	0.3	-0.014	0.7	-0.000	0.08
	Multi-GeV 1-ring $e$ -like		3.6	13	-5.2	38	-1.0	27	2.6	18
	Multi-GeV Multi-ring $e$ -like		3.7	12	3.8	11	0.75	11	0.34	12
	Ring separation		-0.85	2	-0.11	2	0.22	2	-1.5	2
	< 400 MeV	$e$ -like	0.45	2.3	-1.07	1.3	0.80	2.3	0.96	1.6
		$\mu$ -like	0.14	0.7	-1.91	2.3	1.04	3	1.79	3
	> 400 MeV	$e$ -like	0.078	0.4	-1.40	1.7	0.45	1.3	-0.60	1
		$\mu$ -like	0.14	0.7	-0.576	0.7	0.208	0.6	-0.36	0.6
	Multi-GeV	$e$ -like	0.72	3.7	-2.14	2.6	0.45	1.3	-0.60	1
Particle identification (1 ring)		$\mu$ -like	0.33	1.7	-1.41	1.7	0.35	1	0.72	1.2
	Multi-ring Sub-GeV	$e$ -like	-0.68	3.5	3.13	3.8	0.45	1.3	1.14	1.9
		$\mu$ -like	-0.88	4.5	6.75	8.2	-0.90	2.6	1.37	2.3
	Multi-ring Multi-GeV	$e$ -like	-0.61	3.1	1.56	1.9	-0.38	1.1	0.54	0.9
		$\mu$ -like	-0.80	4.1	0.658	0.8	-0.73	2.1	-1.43	2.4
	Sub-GeV	$e$ -like	0.039	0.23	0.227	0.66	0.053	0.26	-0.123	0.28
		$\mu$ -like	-0.030	0.18	-0.172	0.5	-0.038	0.19	0.097	0.22
	Multi-GeV	$e$ -like	0.032	0.19	0.082	0.24	0.062	0.31	-0.154	0.35
		$\mu$ -like	-0.032	0.19	-0.089	0.26	-0.060	0.3	0.154	0.35
	Sub-GeV	$e$ -like	-0.23	3.1	-3.44	6	3.49	9.5	-2.24	4.2
Particle identification (multi-ring)		$\mu$ -like	0.049	0.66	1.38	2.5	-1.91	5.2	0.85	1.6
	Multi-GeV	$e$ -like	0.48	6.5	5.57	9.7	-1.80	4.9	-1.76	3.3
		$\mu$ -like	-0.21	2.9	-2.24	3.9	0.99	2.7	0.85	1.6
	Multi-ring likelihood selection	$\nu_e, \bar{\nu}_e$	-6.5	6.0	-1.3	3.8	-5.3	5.3	-2.3	3.0
Energy calibration	Multi-ring Other		6.2	5.7	1.4	4.1	4.7	4.9	2.7	3.4
	Up/down asymmetry energy calibration		-0.75	3.3	-0.90	2.8	0.06	2.4	0.08	2.1
	UP- $\mu$ reduction	Stopping	0.26	0.6	0.24	0.6	0.74	1.3	-0.15	0.4
		Through-going	-0.091	0.7	-0.090	0.7	0.162	0.7	0.087	0.5
	UP- $\mu$ stopping/through-going separation		-0.065	0.5	-0.064	0.5	0.115	0.5	0.052	0.3
	Energy cut for stopping UP- $\mu$		0.003	0.4	-0.004	0.6	0.030	0.4	-0.102	0.6
	Path length cut for through-going UP- $\mu$		-0.043	0.9	-0.122	1.3	0.957	2	-0.122	1.7
	Through-going UP- $\mu$ showering separation		-0.416	1.5	-0.826	2.3	0.993	2.8	1.47	1.5
	Background subtraction for UP- $\mu$ Stopping <sup>a</sup>		7.53	3.4	-4.68	4.4	2.90	2.4	-3.30	3
		Non-showering <sup>a</sup>	10.0	16	-3.1	21	-4.9	20	-6.7	17
Sub-GeV 1-ring $\pi^0$ selection		Showering <sup>a</sup>	-3.6	18	-3.6	14	1.4	24	2.1	17
			-12.3	18	-15.7	14	0.1	24	-0.9	24
	$\nu_e/\bar{\nu}_e$ Separation		-0.98	7.2	6.96	7.9	0.45	7.7	2.46	6.8
	100 < $P_e$ < 250 MeV/c		1.7	9	7.0	10	0.98	6.3	5.2	4.6
	250 < $P_e$ < 400 MeV/c		1.7	9.2	9.8	14	0.76	4.9	3.4	3
	400 < $P_e$ < 630 MeV/c		3.0	16	7.7	11	3.7	24	14.8	13
	630 < $P_e$ < 1000 MeV/c		2.6	14	11.2	16	1.3	8.2	19.4	17
	1000 < $P_e$ < 1330 MeV/c		2.2	12	6.8	9.8	1.7	11	27.4	24
	Sub-GeV 2-ring $\pi^0$		1.3	5.6	-2.7	4.4	1.6	5.9	-0.72	5.6
	Decay-e tagging		-3.2	10	-1.0	10	0.9	10	1.3	10
Solar Activity			-1.8	20	20.0	50	2.7	20	0.6	10