

# Phenomenology of atmospheric neutrino oscillations

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# Content

**Oscillation set-up**

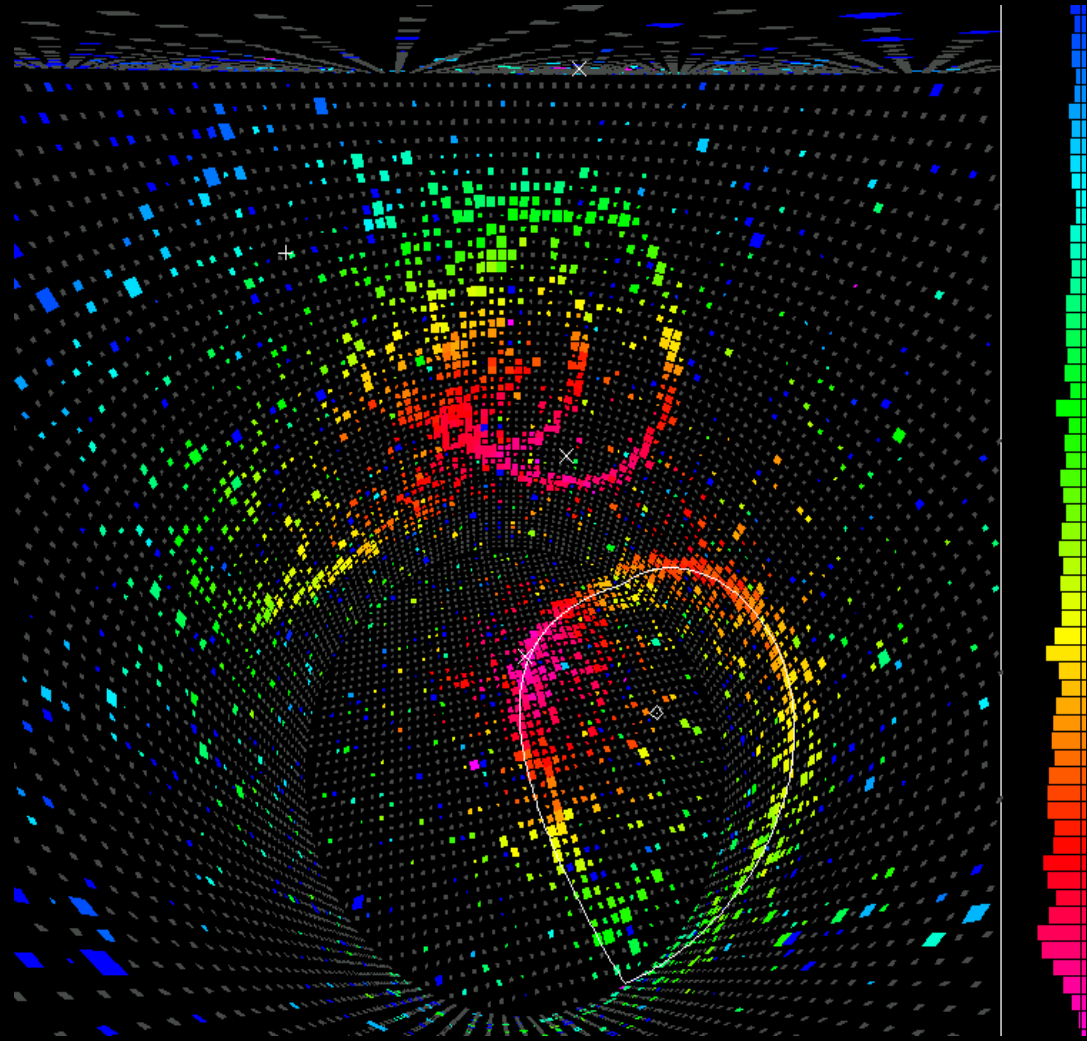
**Oscillation amplitudes and probabilities**

**Dynamics, oscillograms**

**Interference and CP-violation**

**Ordering, hierarchy, octant**

# Oscillation Set-up



# Set-up

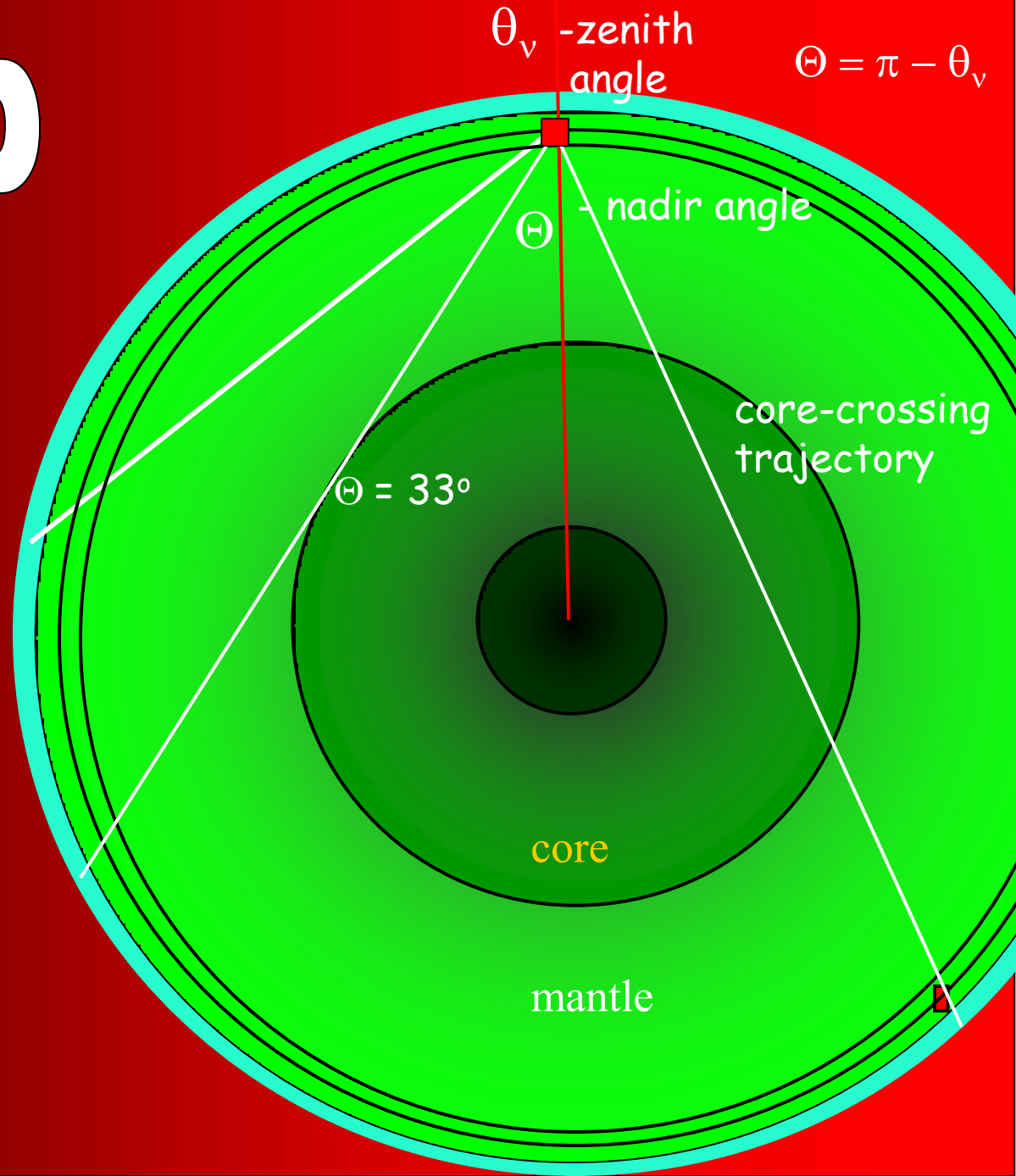
Oscillations in  
multilayer medium

flavor-to-flavor  
transitions

Absorption

$R_E = 6300$  km

$R_{\text{core}} = 3600$  km



# Source

$\pi, K, \mu$  - decays free decays ?

Incoherent fluxes of the flavor states

$\nu_e, \nu_\mu$  and corresponding antineutrinos

Energy range:  $0.01 - 10^6$  GeV corresponding vacuum oscillation length  
due 1-3 splitting:

$$l_\nu = 10 - 10^8 \text{ km}$$

Flavor ratio  $r = \Phi_\mu / \Phi_e$  increases with energy from 2 to 100

Charge asymmetry:  $\Phi_\alpha / \bar{\Phi}_\alpha$  increases from 1.2 to 1.5

Size of coherent production region given by decay length  $l_{dec}$   
much smaller than oscillation length For :  $l_{dec} / l_\nu$  - upto 0.05

Oscillations in coherent production region - negligible

Size of the wave packet from free decay

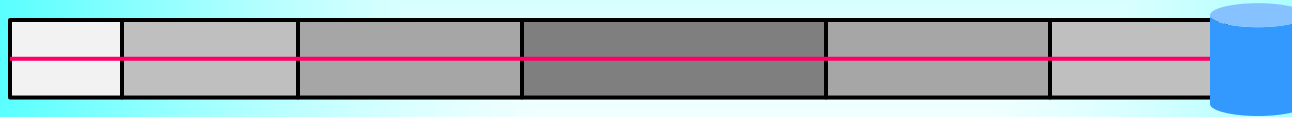
$$\sigma_x = l_{dec} \frac{m_\pi^2}{E_\pi^2}$$

# Medium

Baselines: 0 - 13000 km

Matter density 3 - 15 g/cm<sup>3</sup>

Density profile depends on the zenith angle



air      approximately symmetric      matter profile

For low energies size of external layer in close to horizontal directions can be comparable with oscillation length

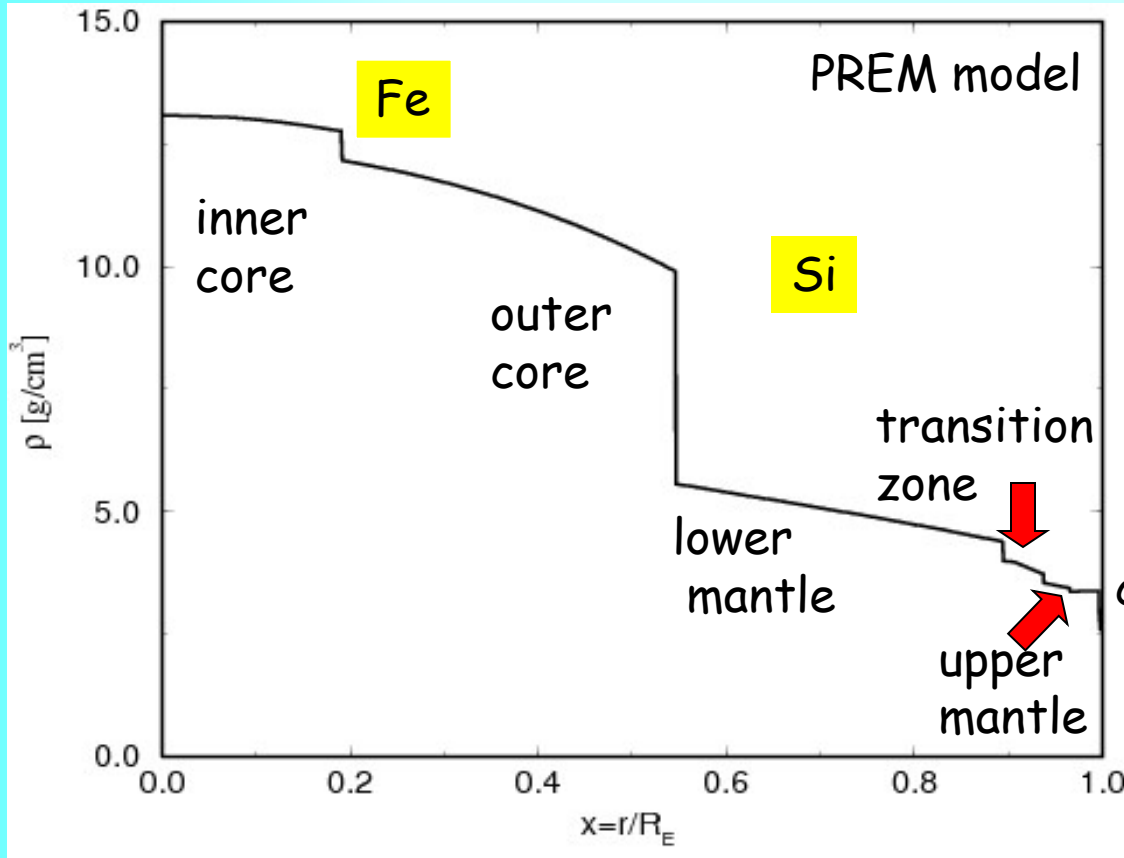
Problem to see effects: neutrino energy and direction reconstruction DUNE?

Absorption can be neglected at energies of interests for standard oscillations:  $E < 100 \text{ GeV}$

The probability of absorption

$$P = 0.005 (E / 100 \text{ GeV})$$

# The earth density profile



*A.M. Dziewonski  
D.L Anderson 1981*

(phase transitions in silicate minerals)

Alternative models

solid

liquid

$R_e = 6371$  km

# Detection

Flavor states are detected

Flavor identification

Neutral currents

Scattering on electrons?

Neutrino energy reconstruction

$\sigma_E$

Neutrino direction (baseline) reconstruction

$\sigma_\theta$



# Oscillation amplitudes and probabilities



# Propagation basis

Standard parameterization

$$v_f = U_{\text{PMNS}} v_{\text{mass}}$$

$$U_{\text{PMNS}} = U_{23} I_\delta U_{13} I_{-\delta} U_{12}$$

$$I_\delta = \text{diag}(1, 1, e^{i\delta})$$

↑ ↑  
commute

$$v_f = U_{23} I_\delta \underbrace{U_{13} U_{12}} v_{\text{mass}}$$

Propagation basis

$$\tilde{v} = \begin{pmatrix} v_e \\ \tilde{v}_2 \\ \tilde{v}_3 \end{pmatrix}$$

$$v_f = U_{23} I_\delta \tilde{v}$$

$v_e$  and the potential are not affected

$$H = U_{13} U_{12} H_0^{\text{diag}} U_{12}^\dagger U_{13}^\dagger + V$$

Hamiltonian in the propagation basis

$$H_0^{\text{diag}} = \Delta m_{13}^2 \text{diag}(0, r_\Delta, 1)$$

$$r_\Delta = \frac{\Delta m_{21}^2}{\Delta m_{31}^2}$$

$H = H(\theta_{12}, \theta_{13}, V)$  does not depend on  $\theta_{23}, \delta$

Evolution in the propagation basis does not depend on CP violation phase

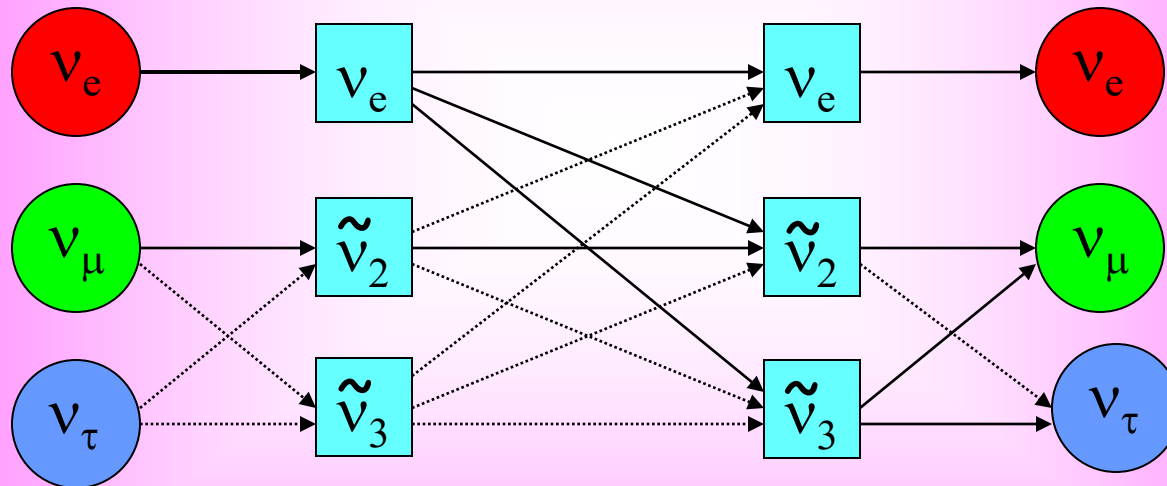
# Scheme of transitions

Propagation basis

$$\tilde{\nu} = U_{13} U_{12} \nu_{\text{mass}}$$

$$\tilde{\nu}_f = U_{23} \mathbf{I}_\delta \nu$$

$$S_{\text{prop}} = ||A_{ij}||$$



$$\sin\theta_{23}$$

$$\cos\theta_{23}$$

$$\cos\theta_{23} e^{-i\delta}$$

projection

propagation

projection back

CP appears in projection only

# Amplitudes in the propagation basis

$$S_{\text{prop}} = \begin{pmatrix} A_{ee} & A_{e2} & A_{e3} \\ A_{2e} & A_{22} & A_{23} \\ A_{3e} & A_{32} & A_{33} \end{pmatrix}$$

For symmetric density profile due to T-invariance:

$$A_{ij} = A_{ji}$$

Hierarchy of amplitudes

$$A_{e3} \sim \sin \theta_{13}$$

$$A_{e2} \sim r_{\Delta} \sim \sin^2 \theta_{13}$$

$$A_{23} \sim \sin \theta_{13} r_{\Delta} \sim \sin^3 \theta_{13}$$

Matrix of transitions in the flavor basis

$$S_f = U_{23} \mathbf{I}_{\delta} S_{\text{prop}} \mathbf{I}_{-\delta} U_{23}^{\dagger}$$

$A_{22}$   $A_{23}$   $A_{33}$  can be expressed via  $A_{e2}$   $A_{e3}$

# Constant density

$$|A_{e2}| = \cos \theta_{13}^m \sin 2\theta_{12}^m \sin \phi_{12}^m$$

Solar amplitude

$$|A_{e3}| = \sin 2\theta_{13}^m |\sin \phi_{13}^m e^{-i\phi_{13}^m} + \sin 2\theta_{12}^m \sin \phi_{12}^m|$$
$$\sim \sin 2\theta_{13}^m \sin \phi_{13}^m$$

Atmospheric  $2\nu$   
amplitude

$$|A_{23}| = \sin \theta_{13}^m \sin 2\theta_{12}^m \sin \phi_{12}^m$$

$$\sin 2\theta_{12}^m \sim \sin 2\theta_{12} / \xi_{12}$$
$$\phi_{12}^m \sim \phi_{12} \xi_{12} = VL/2$$

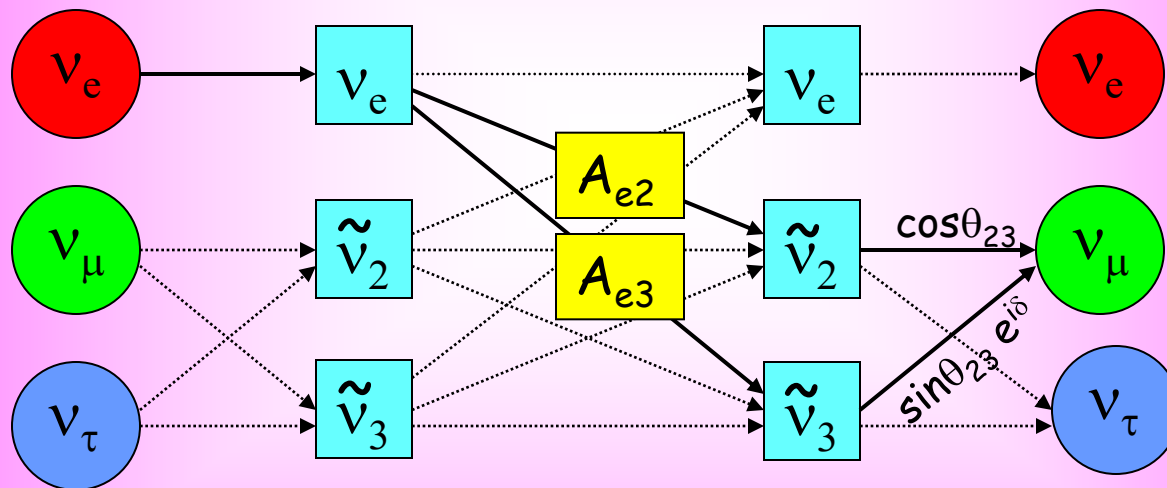
matter dominated limit

$$\nu_e - \nu_\mu$$

projection

propagation

projection



$$P(\nu_e \rightarrow \nu_\mu) = |\cos\theta_{23} A_{e2} + e^{i\delta} \sin\theta_{23} A_{e3}|^2$$

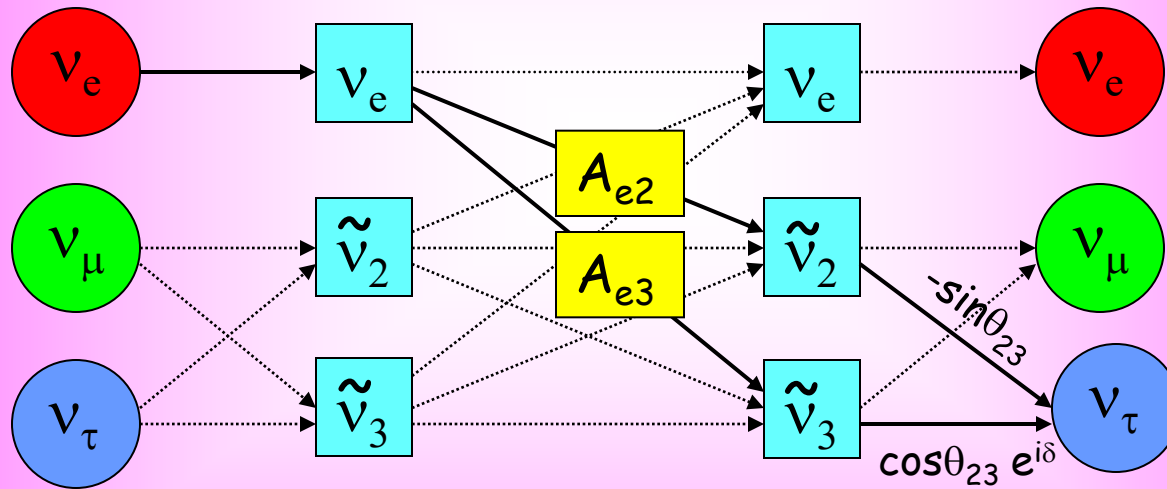
$$P(\nu_\mu \rightarrow \nu_e) = P(\nu_e \rightarrow \nu_\mu) (\delta \rightarrow -\delta)$$

$$\nu_e - \nu_\tau$$

projection

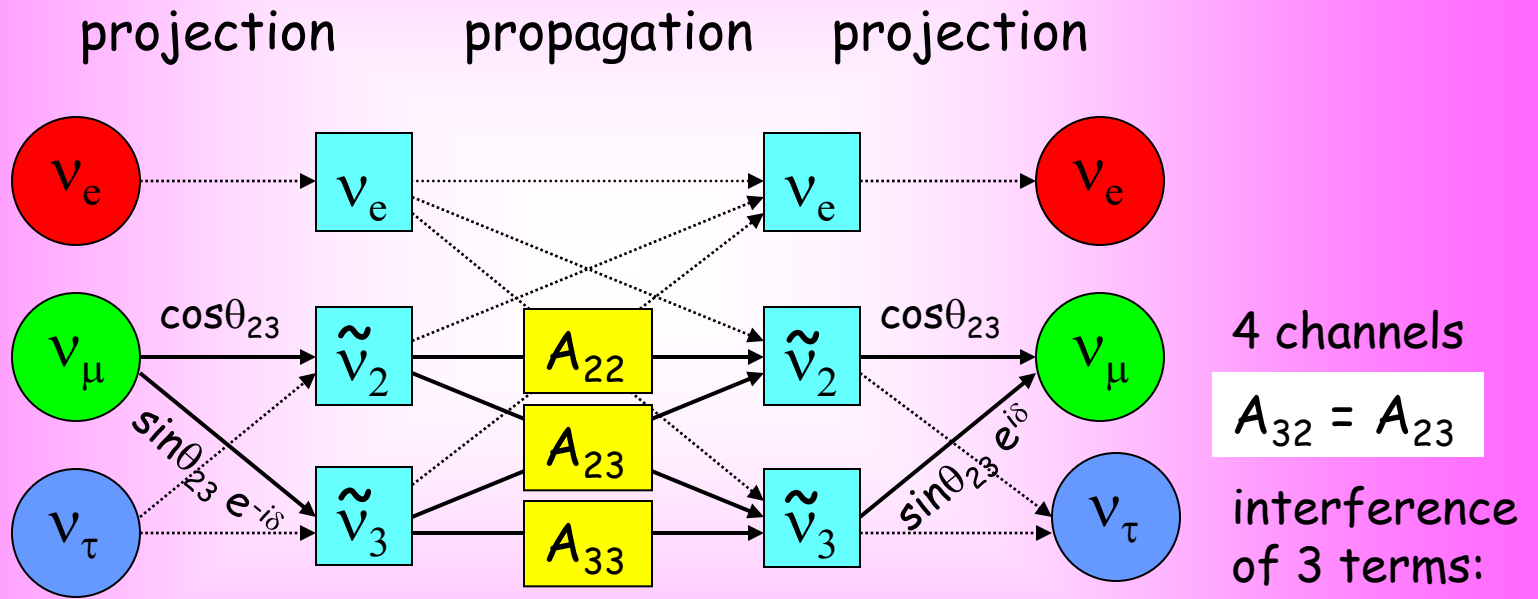
propagation

projection



$$P(\nu_e \rightarrow \nu_\tau) = |\sin\theta_{23} A_{e2} - e^{i\delta} \sin\theta_{23} A_{e3}|^2$$

$$\nu_{\mu} - \bar{\nu}_{\mu}$$

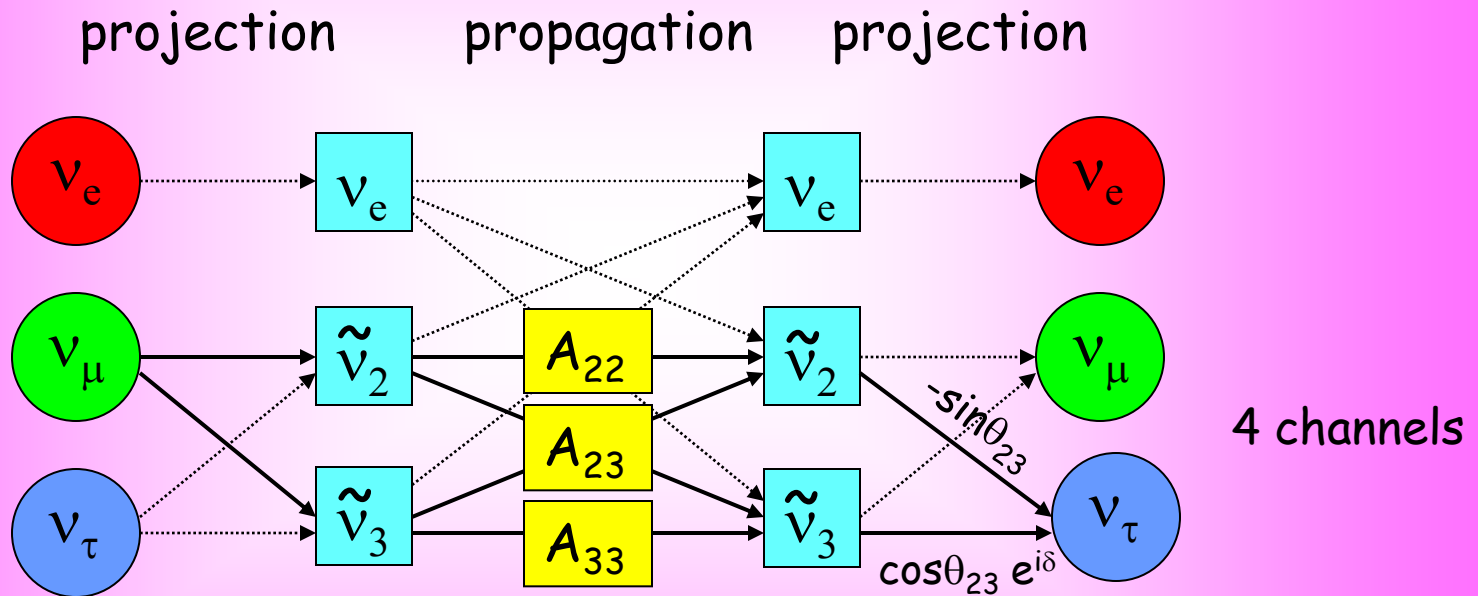


$$P(\nu_{\mu} \rightarrow \nu_{\mu}) = |\cos^2\theta_{23} A_{22} + \sin^2\theta_{23} A_{33} + \cos\delta \sin 2\theta_{23} A_{23}|^2$$

↑  
even function of  $\delta$



$$\nu_\mu - \nu_\tau$$



$$P(\nu_\mu \rightarrow \nu_\tau) = \left| \frac{1}{2} \sin 2\theta_{23} (A_{33} - A_{22}) + (\cos 2\theta_{23} \cos \delta + i \sin \delta) A_{23} \right|^2$$

# Other probabilities

$$P(\nu_\alpha \rightarrow \nu_\beta) = P(\nu_\beta \rightarrow \nu_\alpha) (\delta \rightarrow -\delta)$$

For antineutrinos:

$$\delta \rightarrow -\delta$$

$$V \rightarrow -V$$

$$A_{ij} \rightarrow \overline{A_{ij}} = A_{ij}(V \rightarrow -V)$$

Unitarity...

Approximations:

$$\sin\theta_{13} = 0$$

$$\Delta m^2_{21} = 0$$

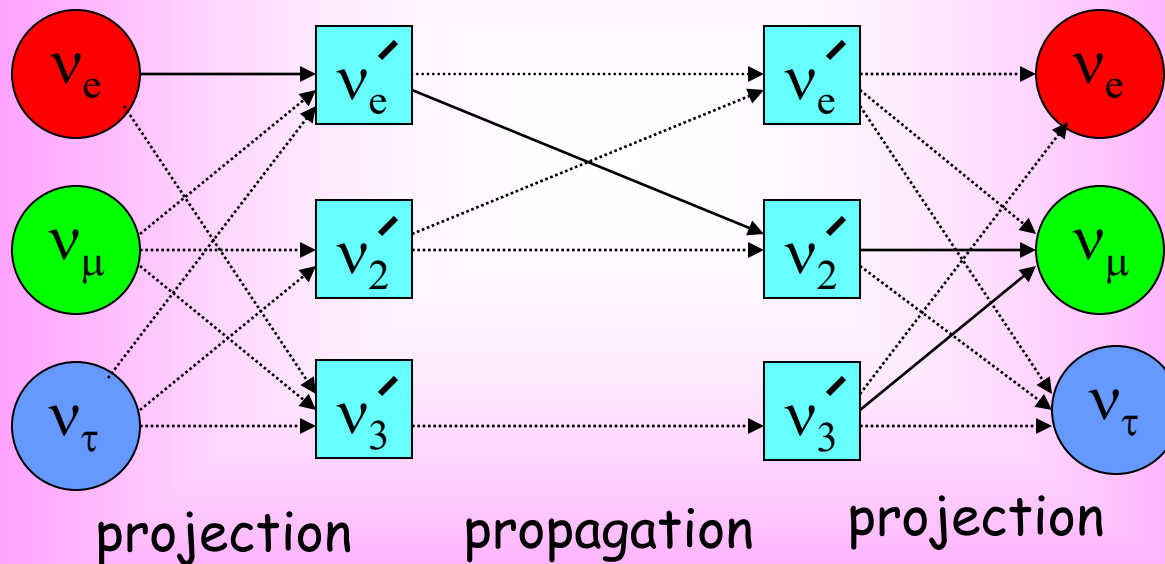
# Another propagation basis

Convenient for  
for sub-sub GeV events

$$\nu_f = U_{23} I_\delta U_{13} \nu'$$



additional 1-3 (vacuum or matter) rotation



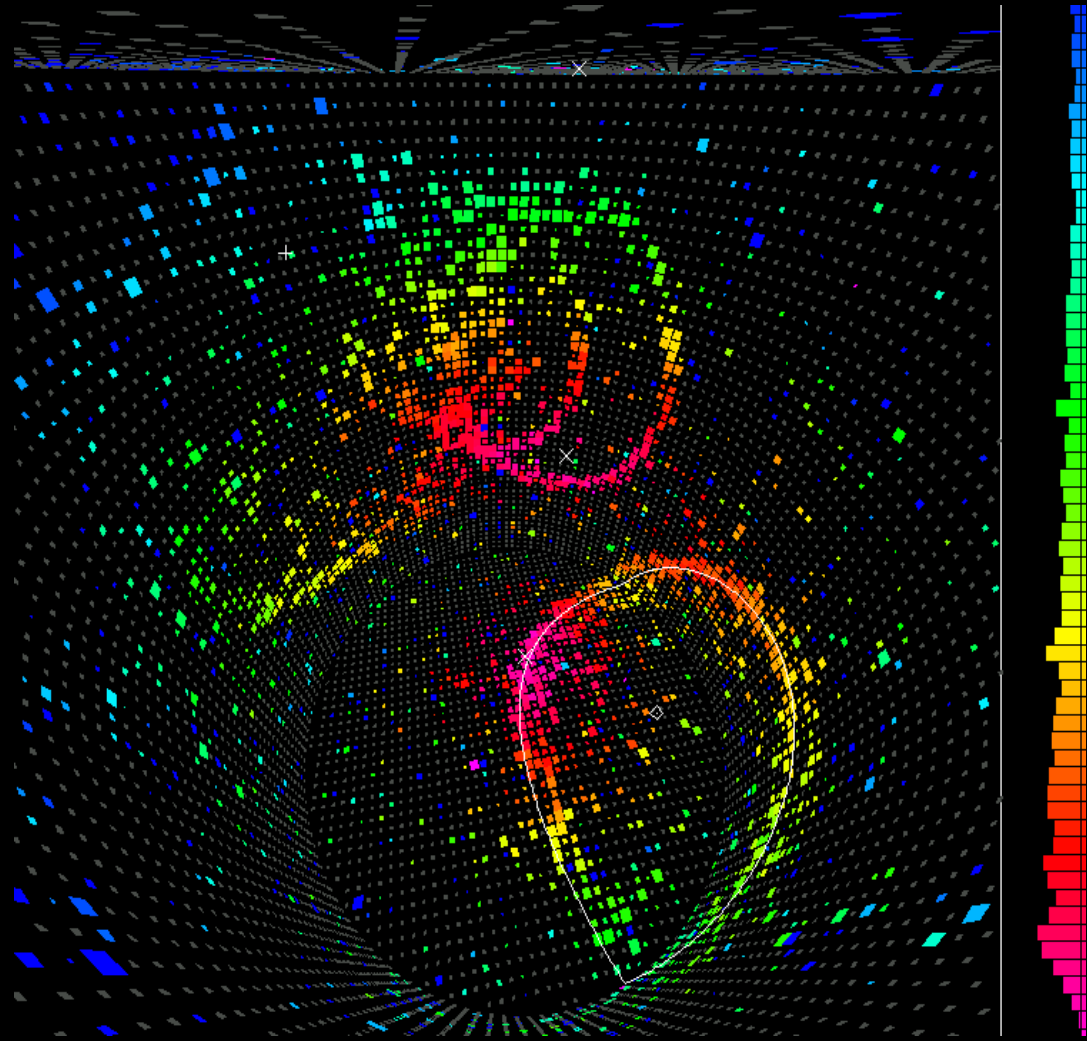
Decoupling of the third  
state from evolution

$$3\nu \rightarrow 2\nu$$

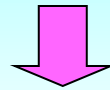
Single amplitude matters

$$A_{e'2}$$

# Dynamics Oscillograms



# Effects



Resonance  
enhancement  
of oscillations

Parametric  
enhancement  
of oscillations

Interference of 1-2  
and 1-3 modes of  
oscillations

CP-violation

Resonance  
(MSW) peaks

Mantle - core - mantle  
trajectories

Parametric  
ridges

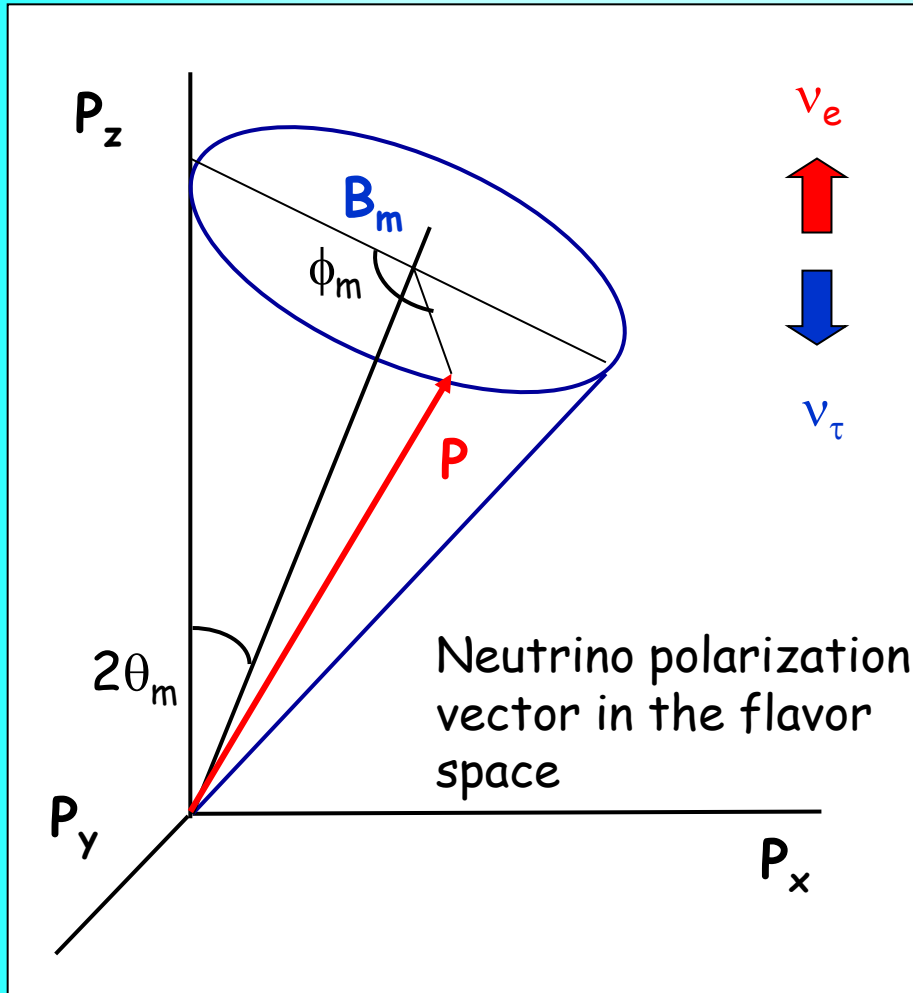
Magic baselines,  
CP-violation domains

In oscillation probabilities and  
distributions of events



# Graphic representation

Electron spin precession in the magnetic field



$|\mathbf{P}| = \frac{1}{2}$  polarization vector

$$\mathbf{B}_m = \frac{2\pi}{l_m} (\sin 2\theta_m, 0, \cos 2\theta_m)$$

$$P_{ee} = v_e^+ v_e = P_Z + 1/2$$

$$\phi_m = 2\pi t / l_m \quad \text{oscillation phase}$$

Degrees of freedom:

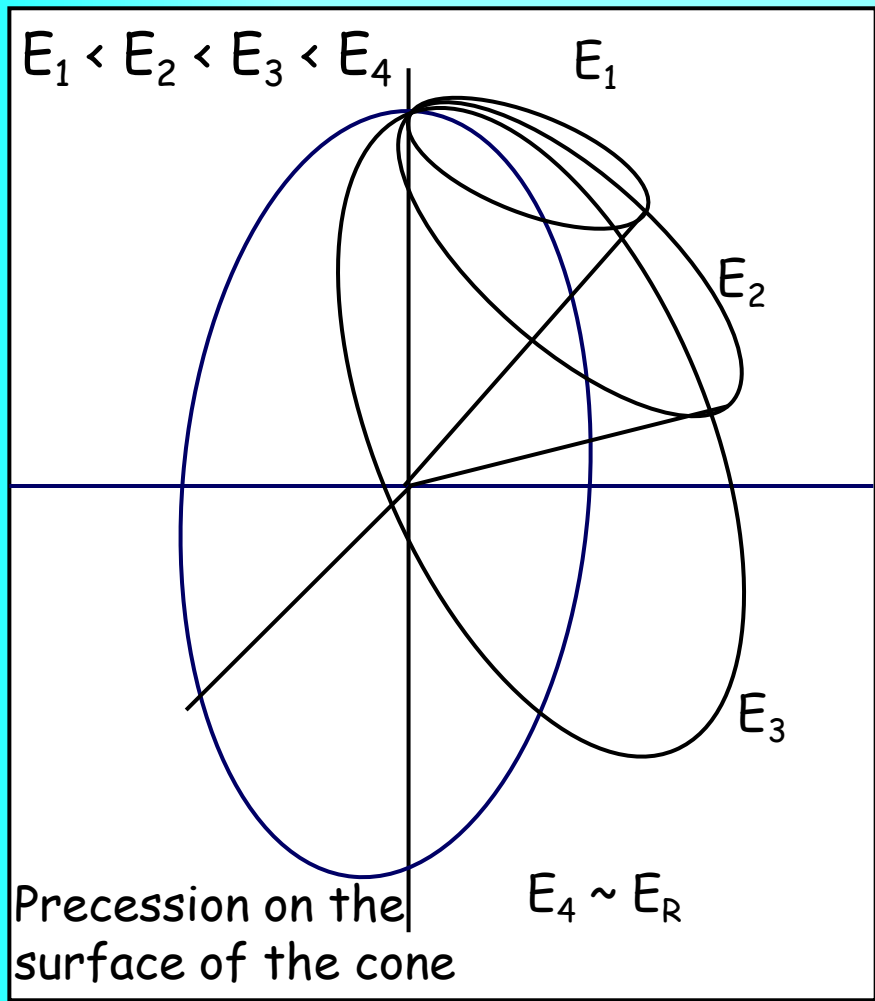
$\theta_m (n)$  - mixing angle

$\phi_m (n)$  - phase

$\theta_{\text{cone}} (dn/dx)$  - cone angle

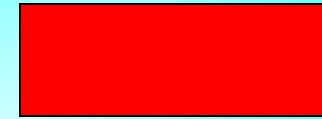
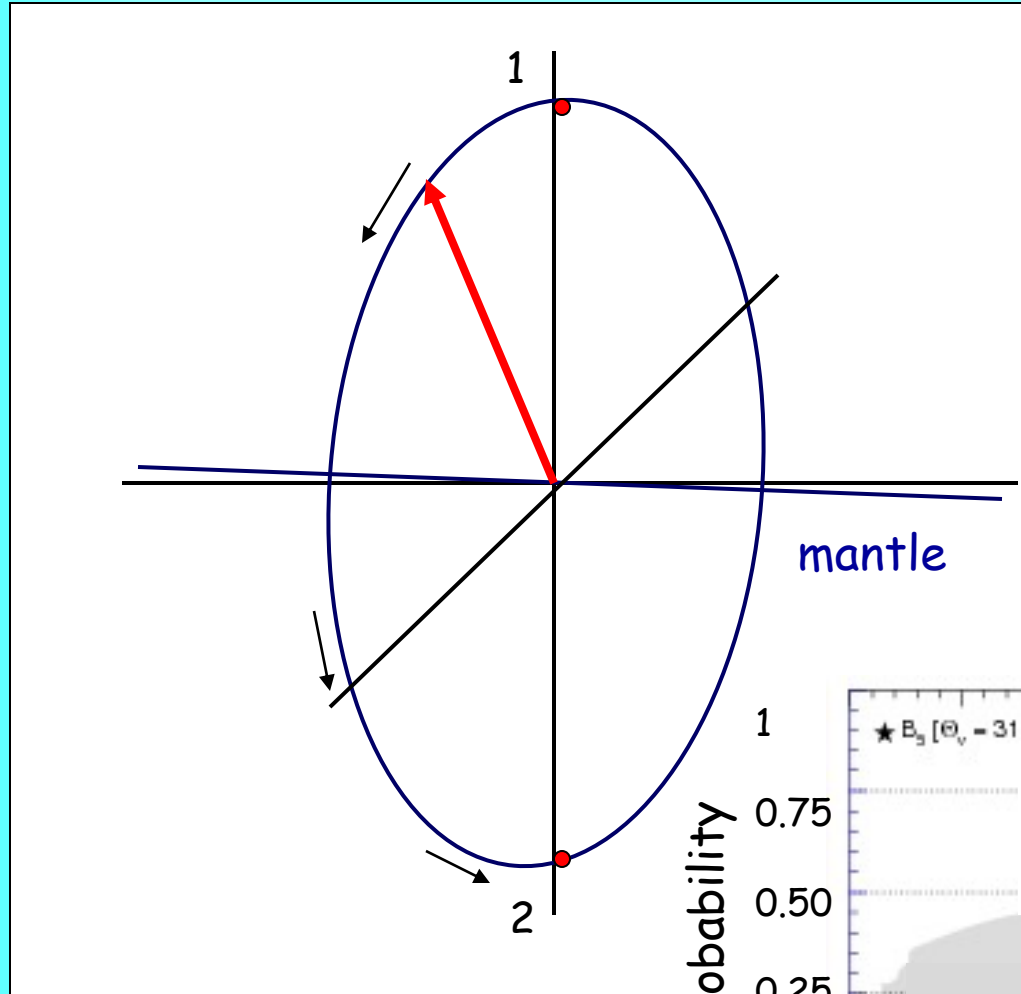
Using various density profiles  $n(x)$  one can do "engineering" of new effects

# Resonance enhancement



Constant, nearly constant density

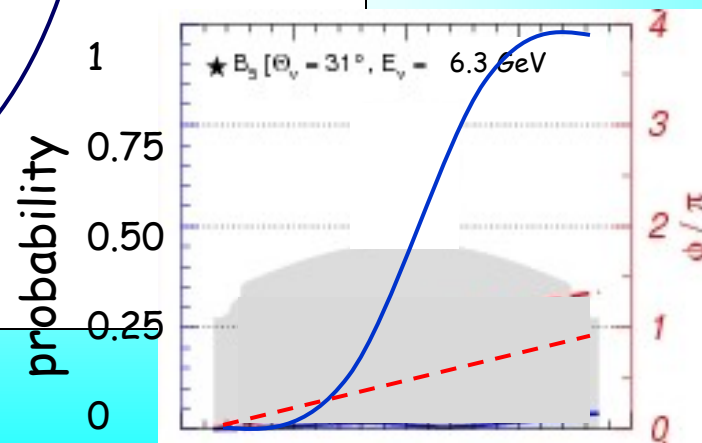
# Resonance enhancement in mantle



1 2  
mantle

Conditions for  
resonance peak

$$\nu_e \rightarrow \nu_\mu, \nu_\tau$$

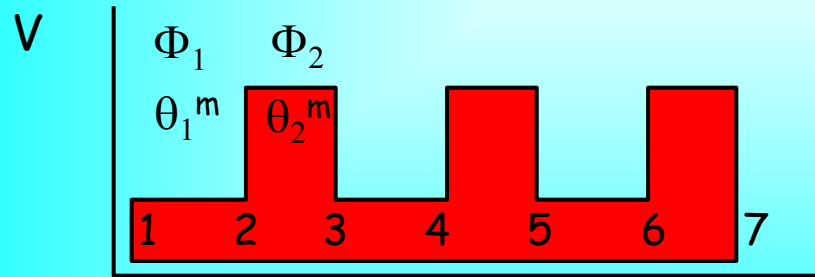




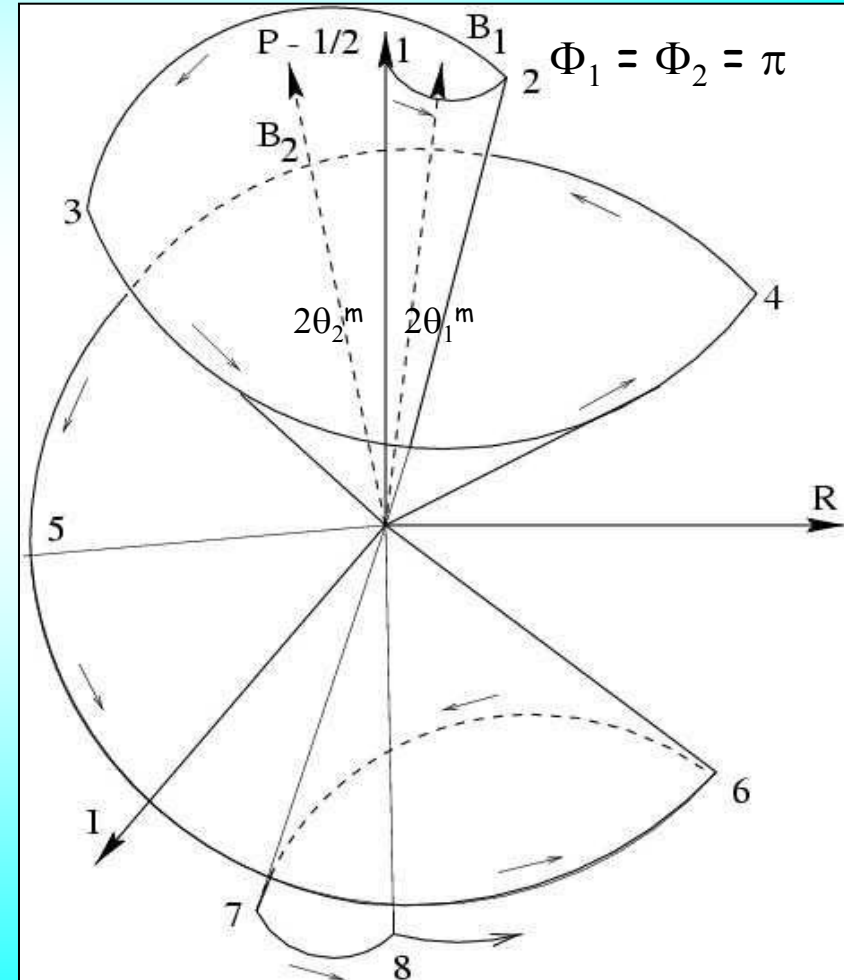
# Parametric resonance

Enhancement is associated to certain conditions for the phase of oscillations

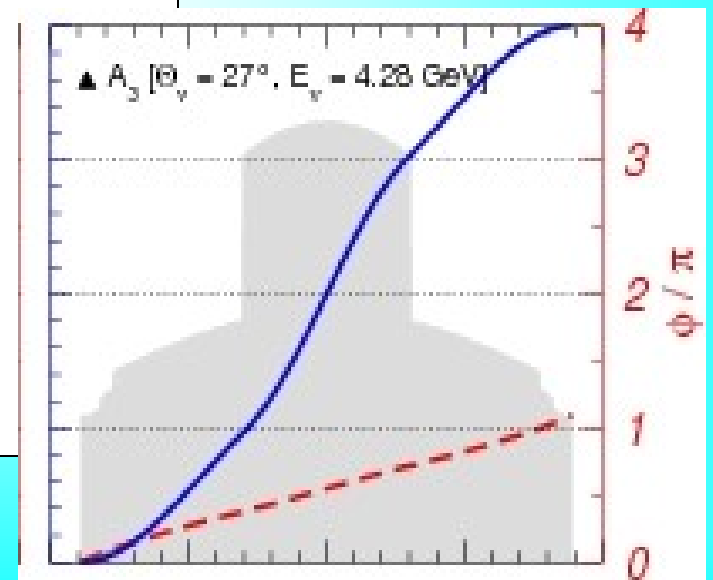
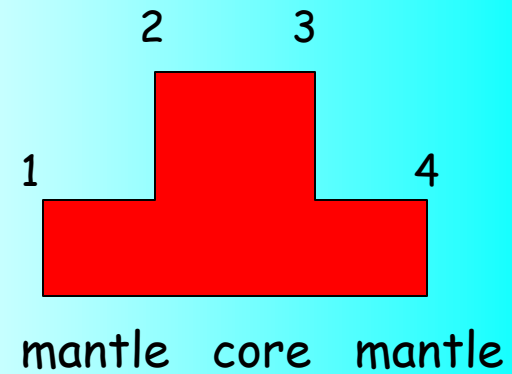
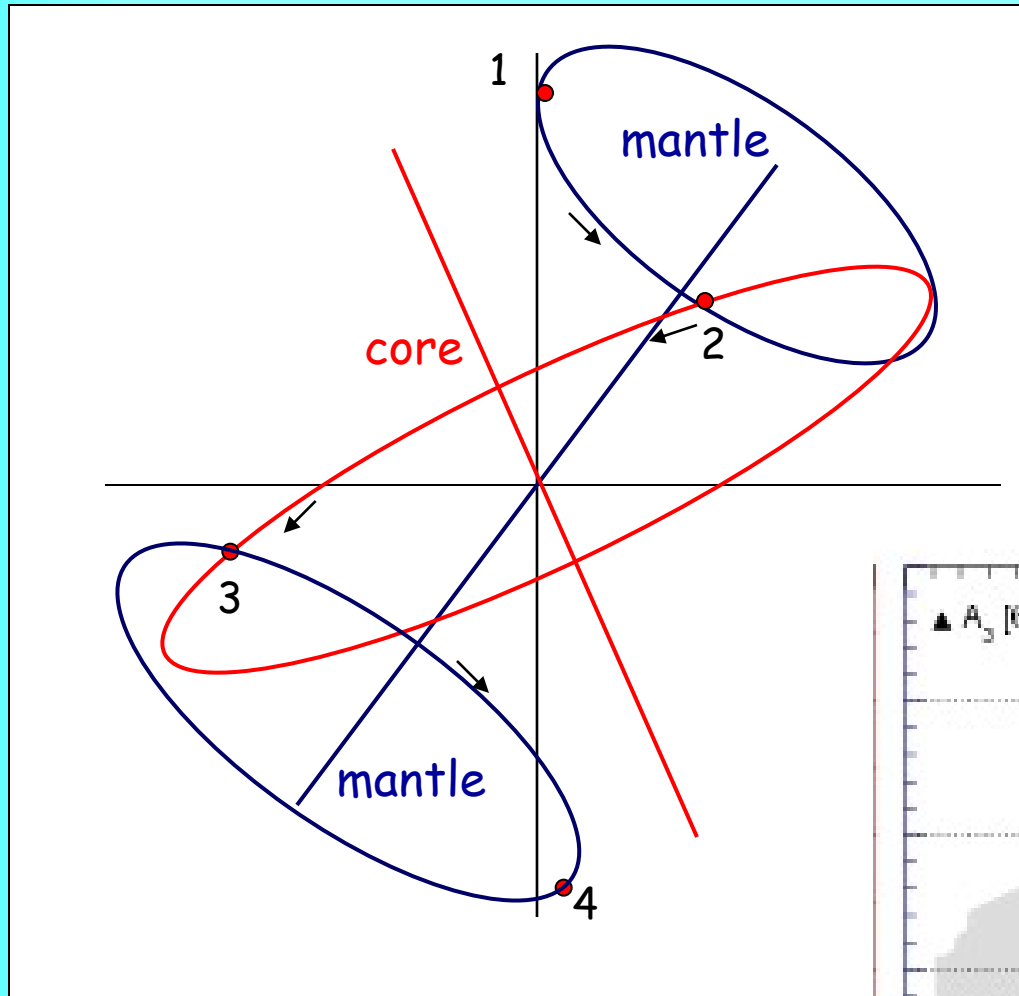
V. Ermilova V. Tsarev, V. Chechin  
E. Akhmedov  
P. Krastev, A.S., Q. Y. Liu,  
S.T. Petcov, M. Chizhov



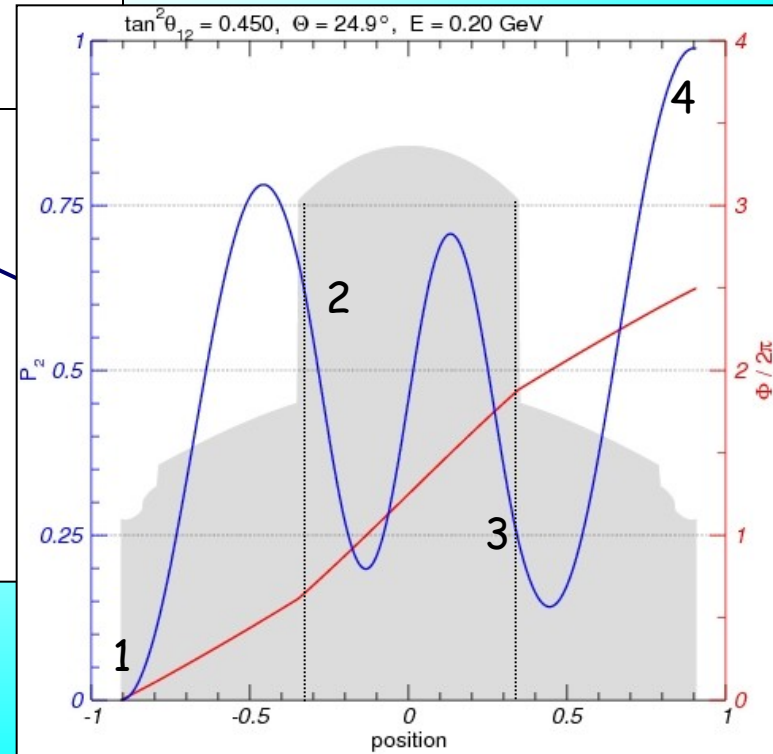
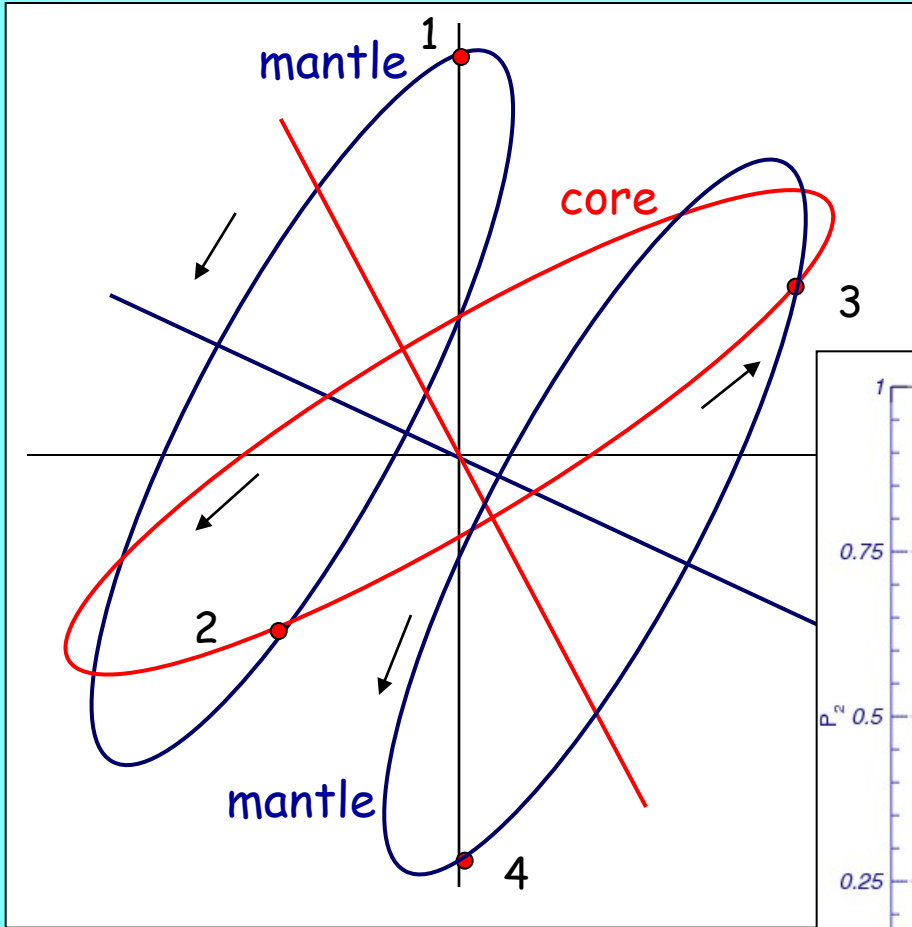
`` Castle wall profile''



# Parametric enhancement



# Parametric enhancement of 1-2 mode



# Oscillograms of the Earth

Lines of equal probability in the  $E - \theta_z$  plane

$$\nu_e \rightarrow \nu_\mu + \nu_\tau$$

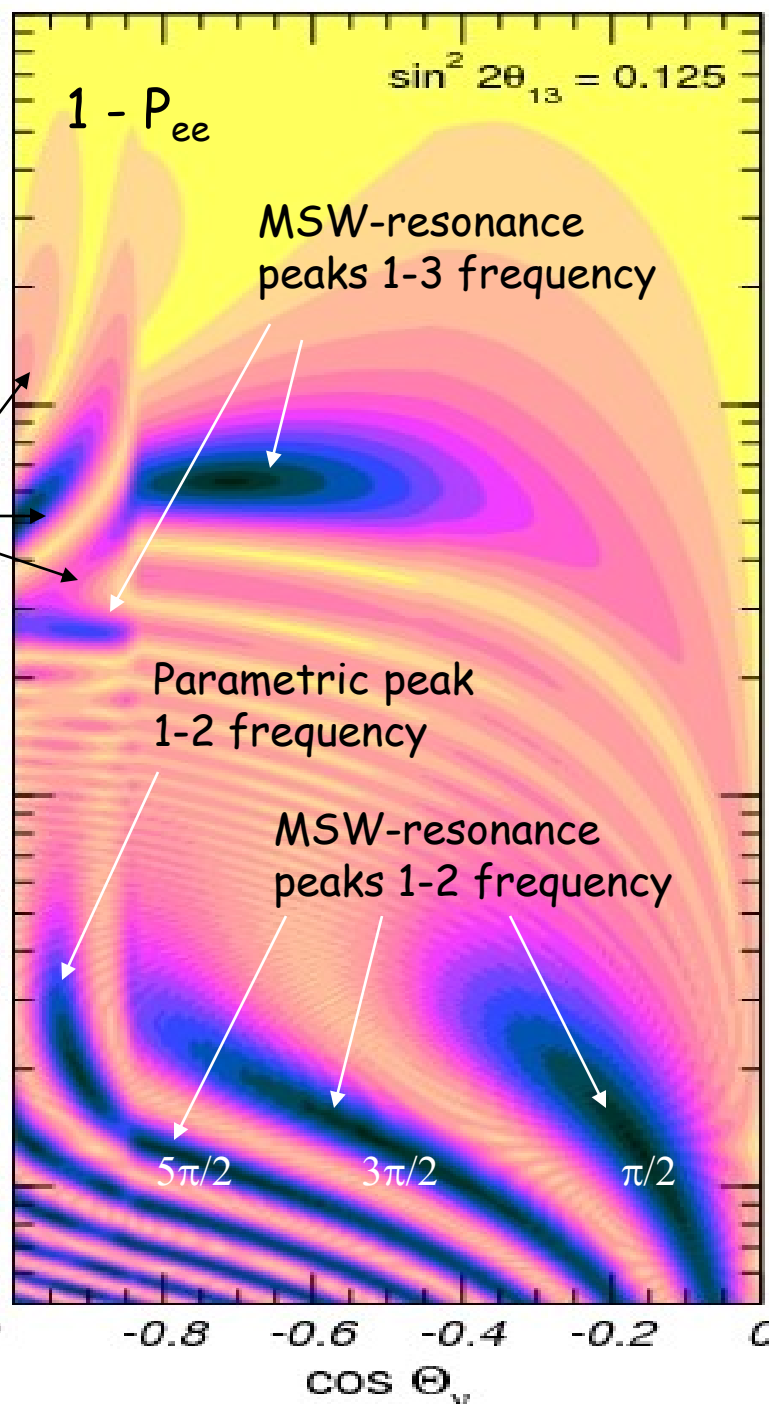
100

10

1

0.1

E, GeV



$\sin^2 2\theta_{13} = 0.125$

$1 - P_{ee}$

MSW-resonance peaks 1-3 frequency

Parametric ridges 1-3 frequency

Parametric peak 1-2 frequency

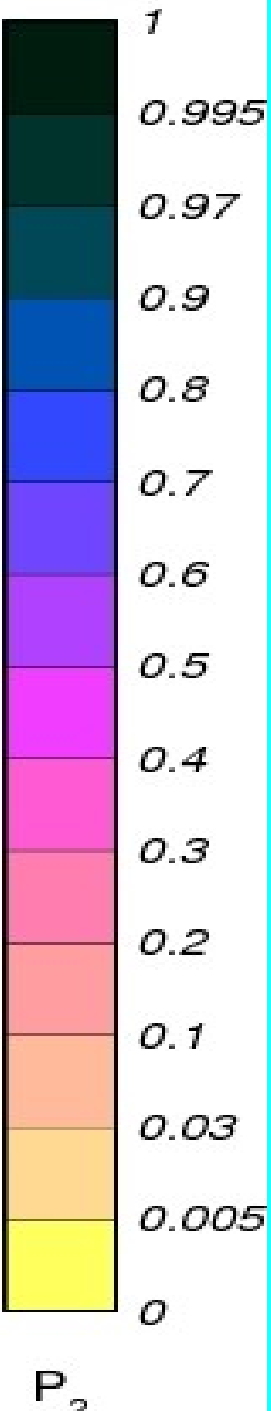
MSW-resonance peaks 1-2 frequency

$5\pi/2$

$3\pi/2$

$\pi/2$

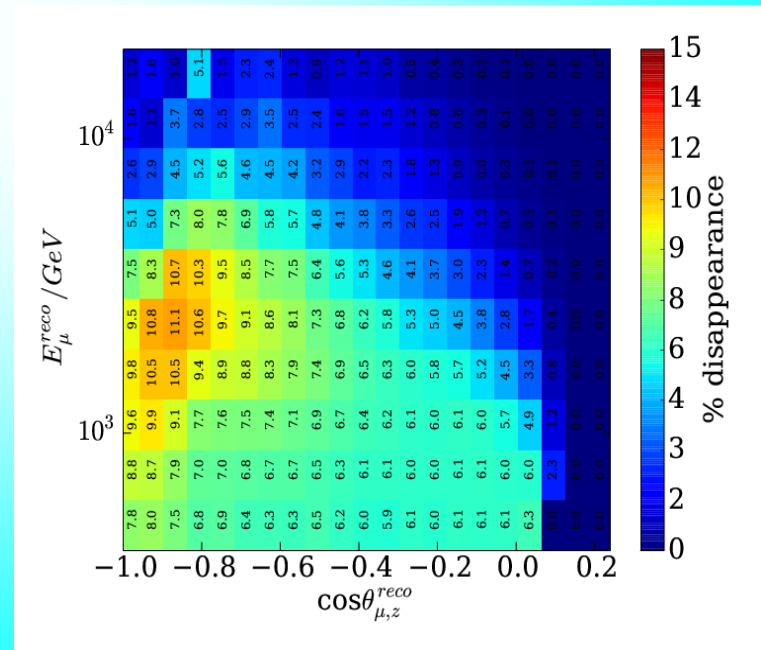
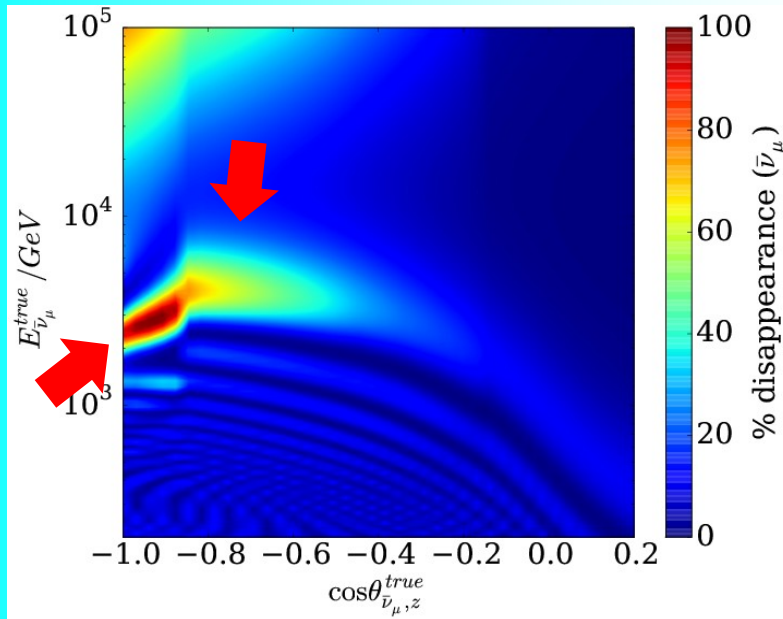
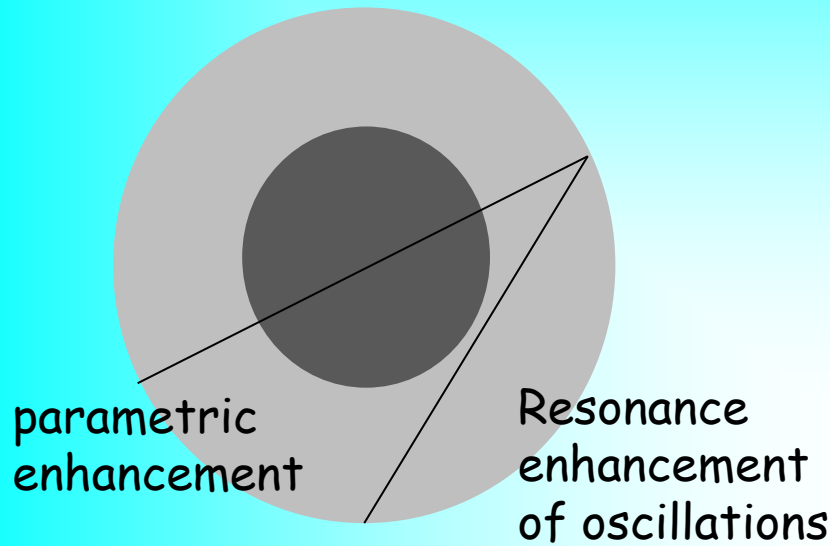
$\cos \theta_v$



# IceCube searches for sterile neutrinos

*M.G. Aartsen et al,  
(IceCube Collaboration)  
1605.01990 (hep-ex)*

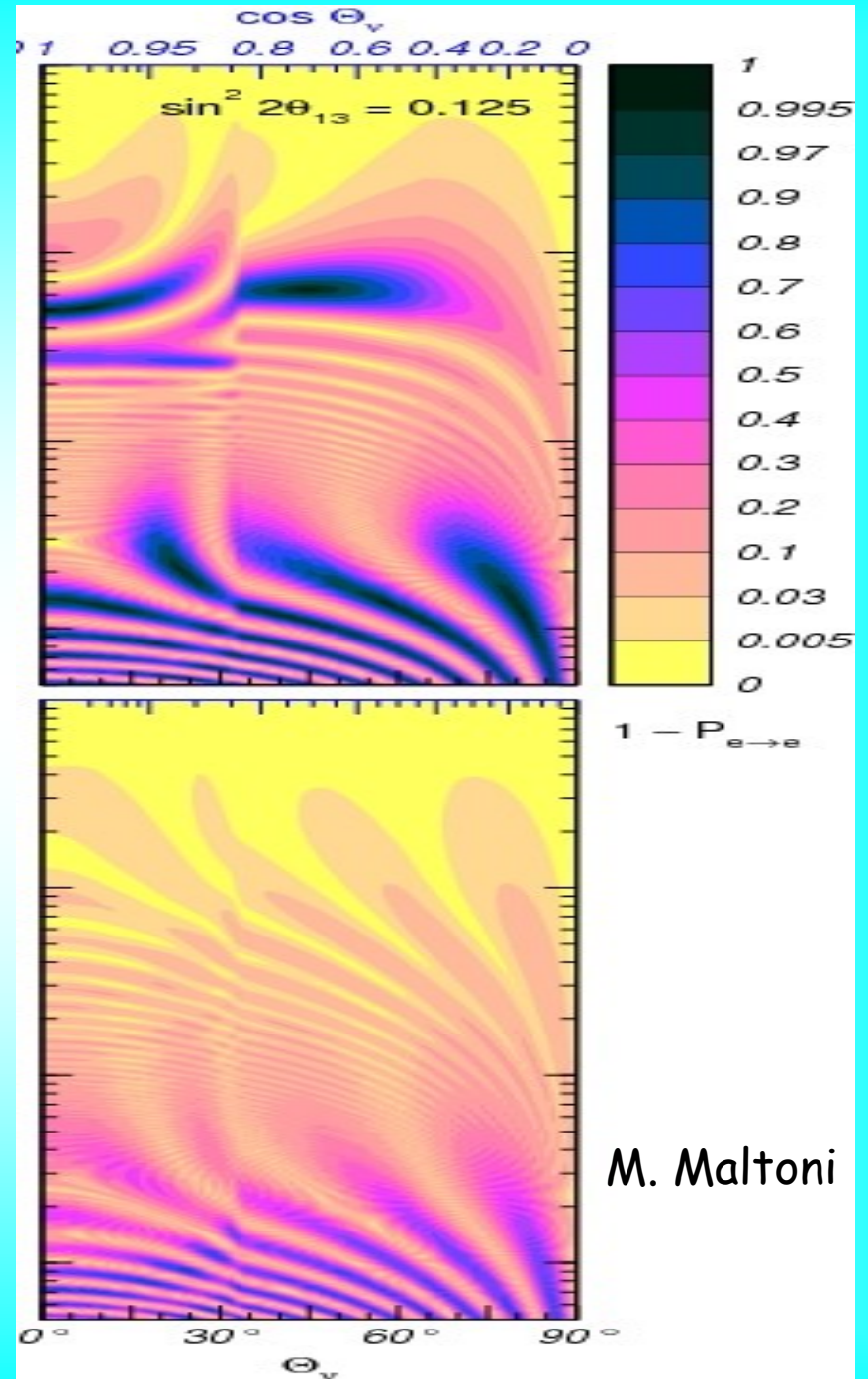
IC86, 2011 - 2012, 343,7 days,  
20,145 muon events  
(reconstructed tracks) with  
 $E = 320 \text{ GeV} - 20 \text{ TeV}$



# Neutrinos and antineutrinos

Normal mass hierarchy

No resonances



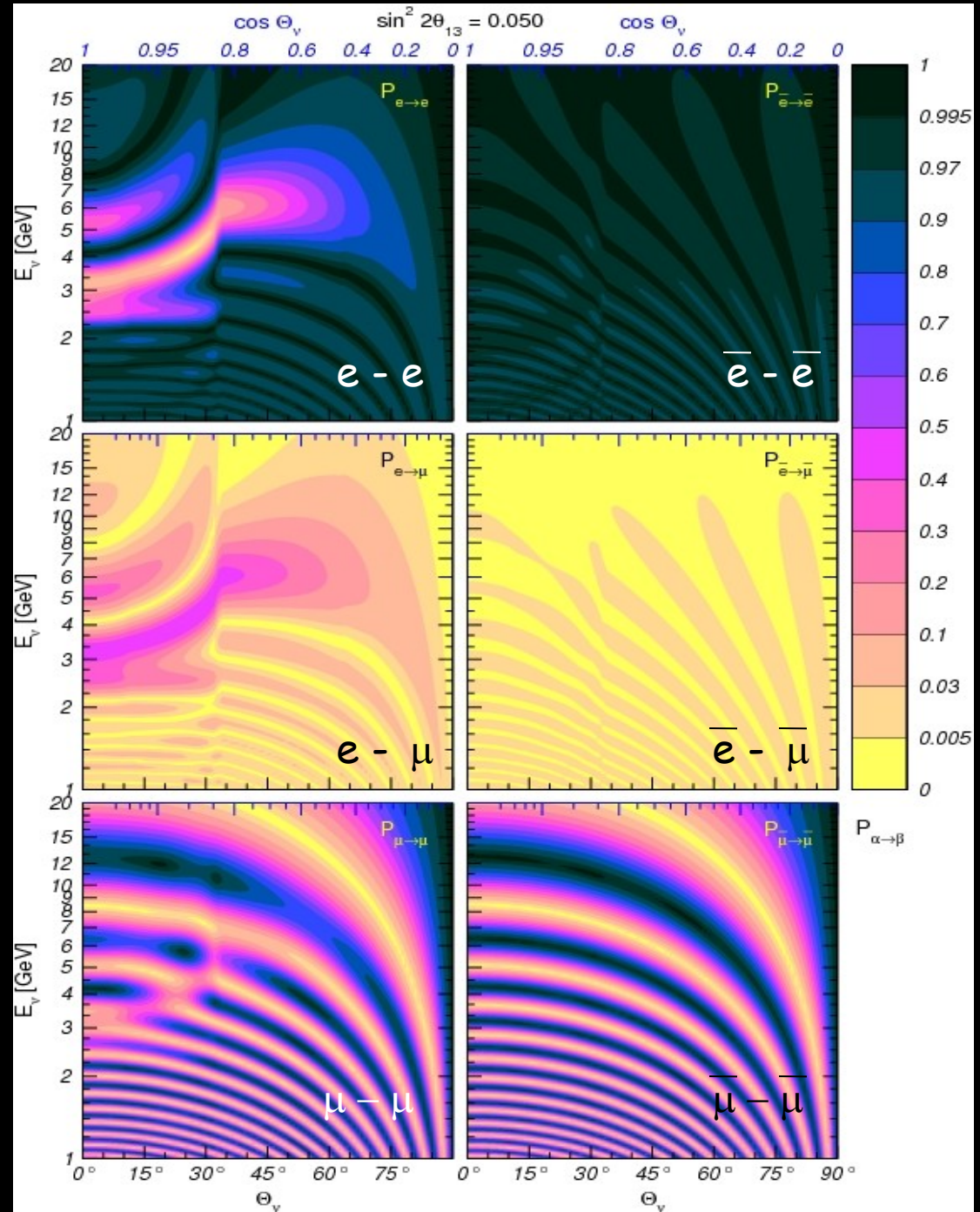
# Other channels

For  $2\nu$  system

normal  $\rightarrow$  inverted



neutrino  $\rightarrow$  antineutrino



# Interference CP-violation





# Dependence on CP-phase

*E.K. Akhmedov, M Maltoni,  
A Y S, arXiv: 0804.1466*

$\delta$ -dependent parts of probabilities - interference  
of amplitudes driven by solar and atmospheric frequencies

$$P_{\mu e}^{\delta} = \sin 2\theta_{23} |A_{e2}| |A_{e3}| \cos(\phi - \delta)$$

$$\phi = \arg(A_{e2} A_{e3}^*)$$

$$P_{\mu\mu}^{\delta} = -\sin 2\theta_{23} |A_{e2}| |A_{e3}| \cos \phi \cos \delta - D_{23}$$

$$P_{\mu\tau}^{\delta} = -\sin 2\theta_{23} |A_{e2}| |A_{e3}| \sin \phi \sin \delta + D_{23}$$

Dependences on  $\phi$   
and  $\delta$  factorize

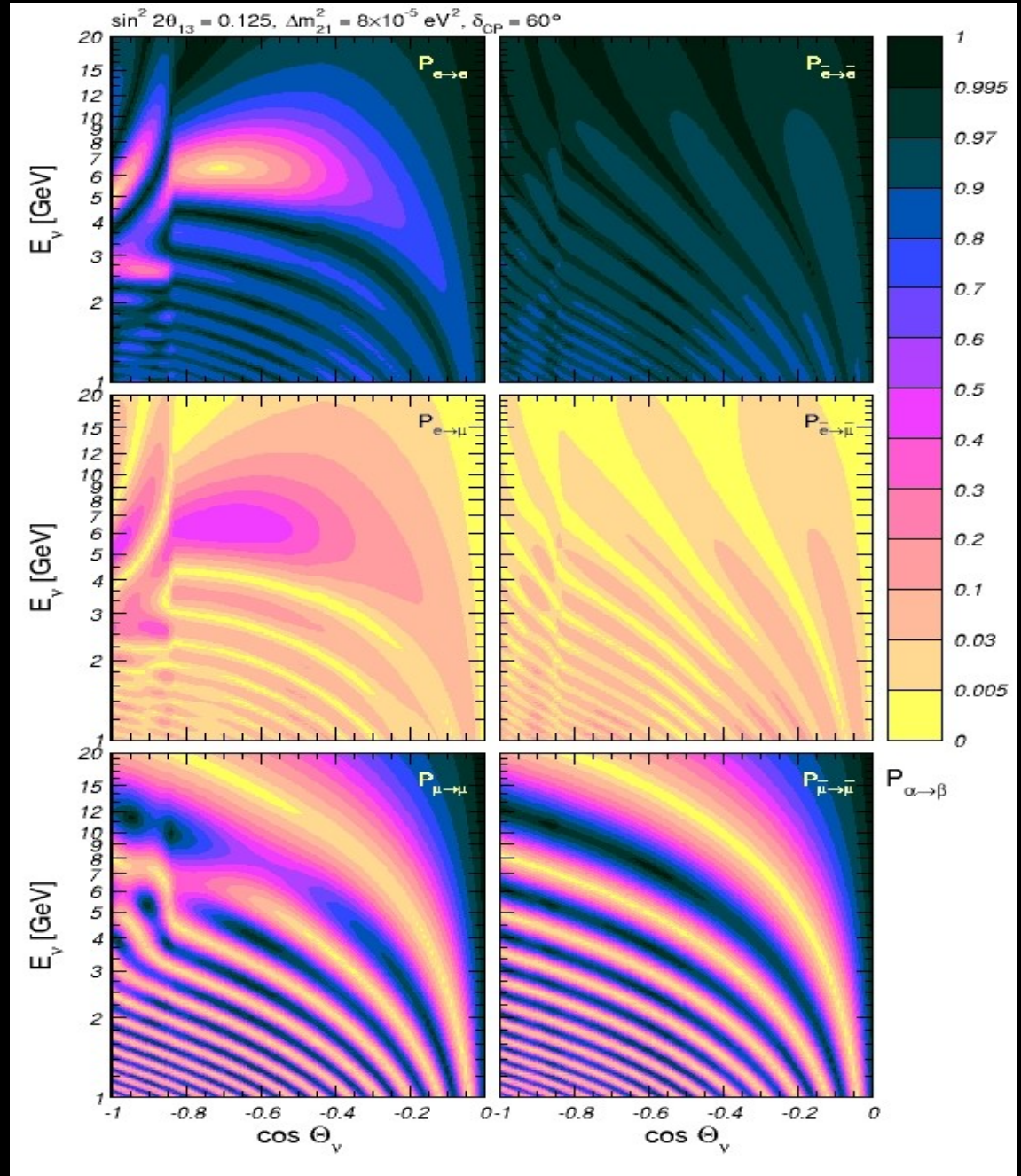
$$D_{23} = \frac{1}{2} \sin 4\theta_{23} \cos \delta [\operatorname{Re} A_{23}^* (A_{33} - A_{22})] \quad \leftarrow \text{small amplitude}$$

Sum:  $\sum_{\alpha} P_{\mu\alpha}^{\delta} = 0$

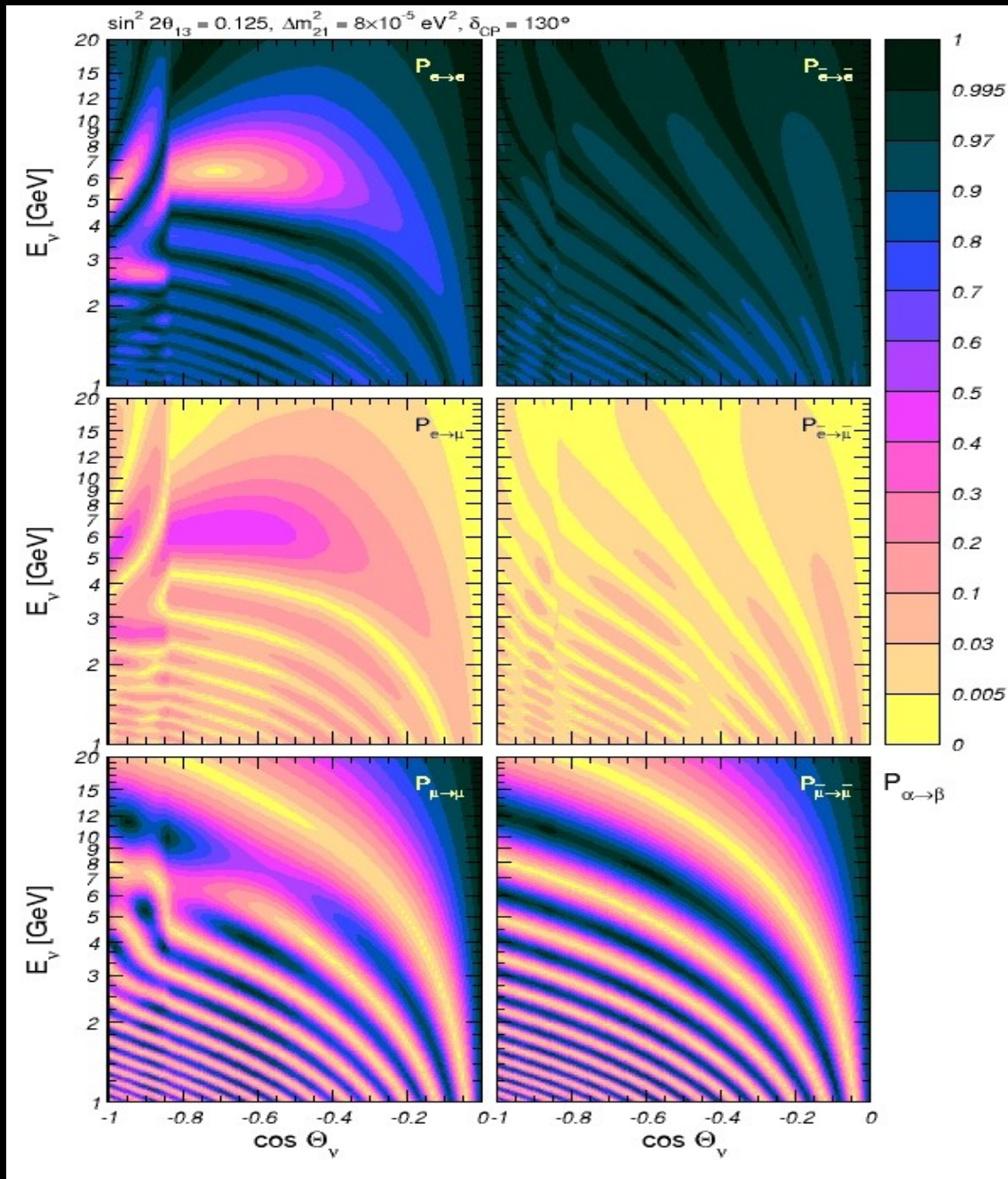
# CP-violation

$$\delta = 60^\circ$$

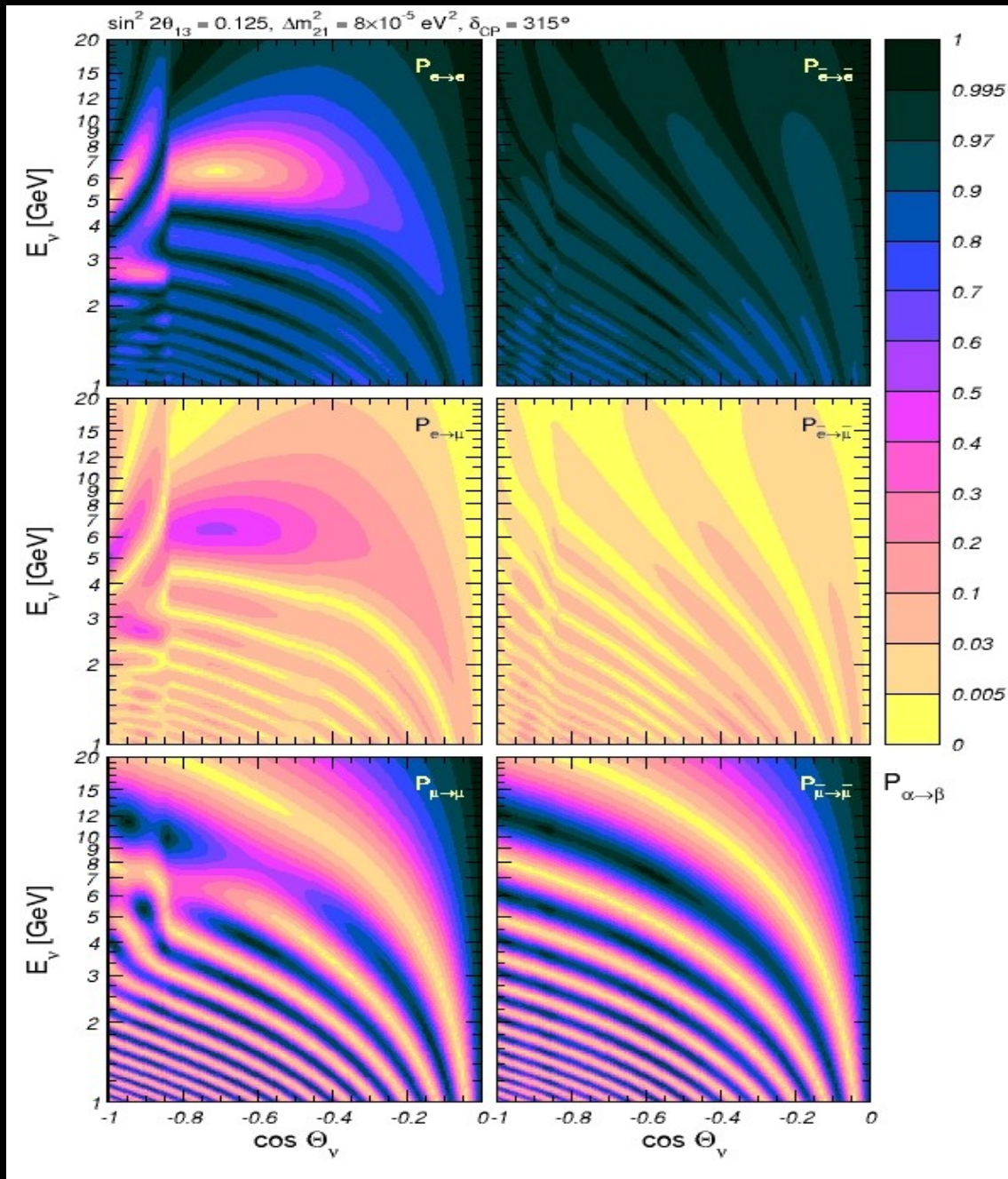
Standard  
parameterization



$$\delta = 130^\circ$$



$$\delta = 315^\circ$$



# "Magic lines"

V. Barger, D. Marfatia,  
K Whisnant  
P. Huber, W. Winter,  
A.S.

$$P(\nu_e \rightarrow \nu_\mu) = |\cos \theta_{23} A_{e2} + e^{i\delta} \sin \theta_{23} A_{e3}|^2$$

$$P_{\text{int}} = 2s_{23}c_{23}|A_{e2}||A_{e3}|\cos(\phi - \delta)$$

$$\phi = \arg(A_{e2} A_{e3}^*)$$

Dependence on  $\delta$  disappears, interference term is zero if

$$P_{\text{int}} = 0$$



$$A_{e2} = 0 \quad \text{- solar magic lines}$$



$$A_{e3} = 0 \quad \text{- atmospheric magic lines}$$



$$(\phi - \delta) = \pi/2 + 2\pi k \quad \text{- interference phase condition}$$



$$\phi(E, L) = \delta + \pi/2 + \pi k$$

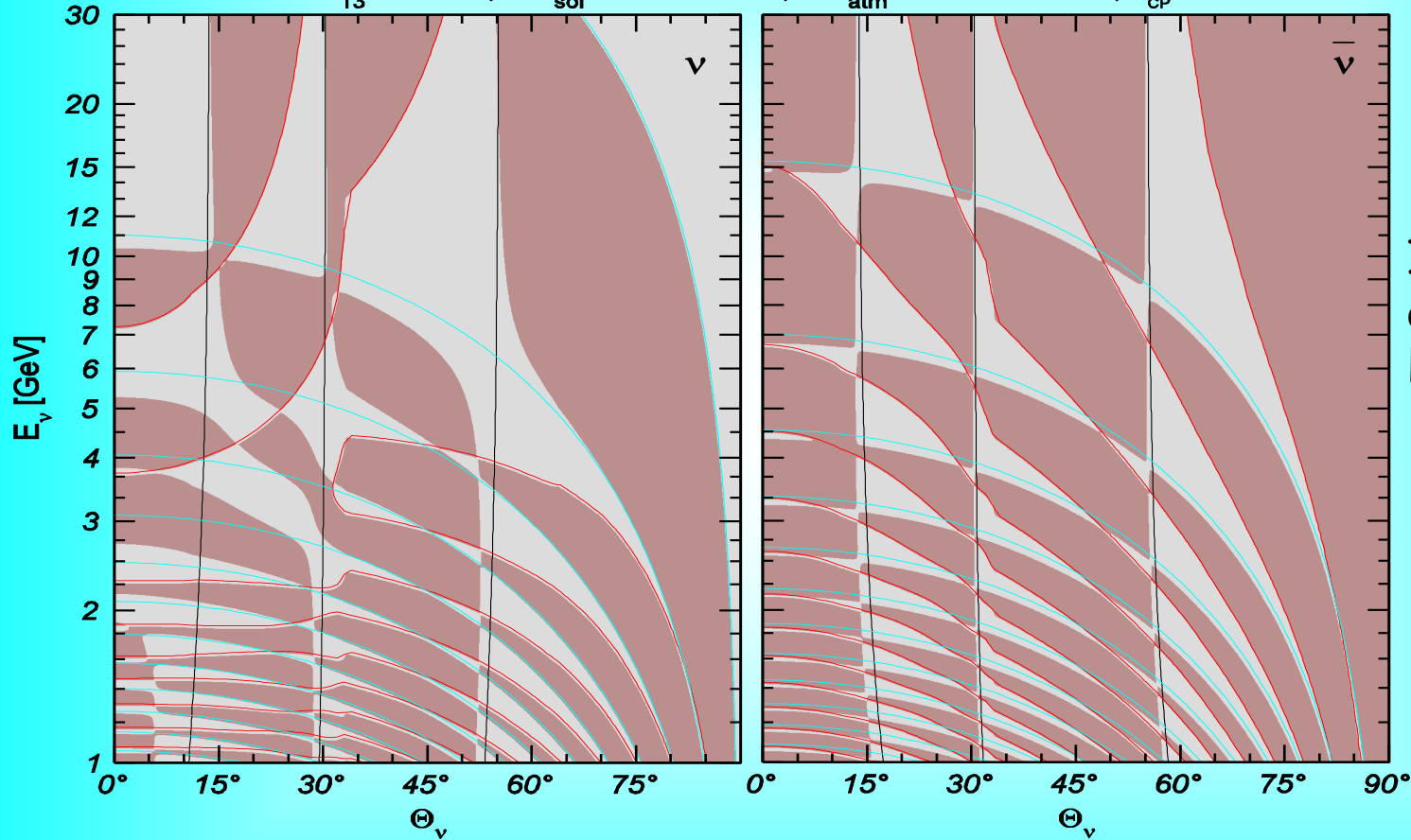
depends on  $\delta$

$$\phi = \pi/2 + 2\pi k$$

for  $\nu_\mu \rightarrow \nu_\mu$

# CP violation domains

$$\sin^2 2\theta_{13} = 0.125, \Delta m_{\text{sol}}^2 = 8 \times 10^{-5} \text{ eV}^2, \Delta m_{\text{atm}}^2 = 2.5 \times 10^{-3} \text{ eV}^2, \delta_{\text{CP}} = 60^\circ$$



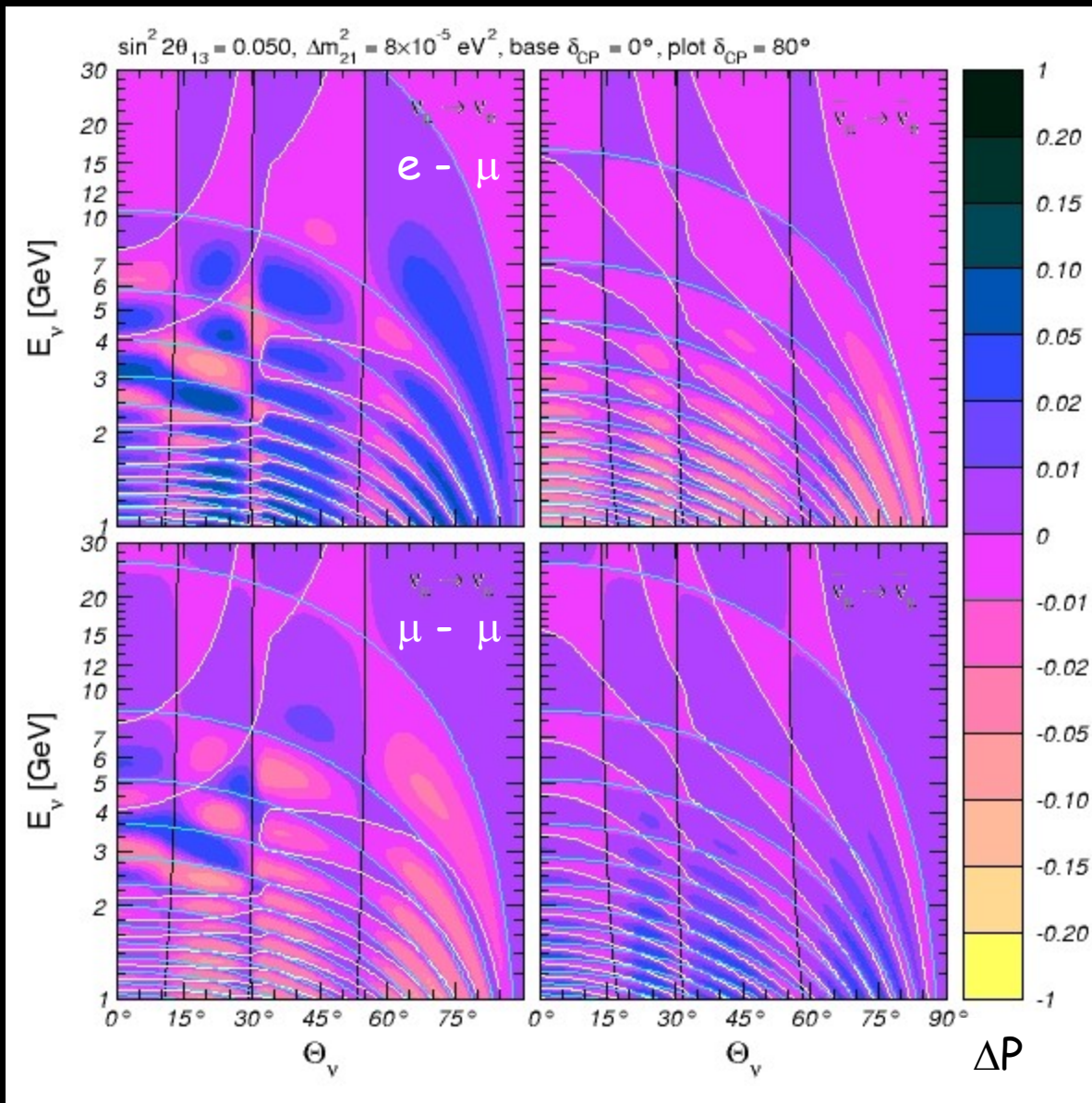
Interconnection  
of lines due to  
level crossing

factorization  
is not valid

Regions of different sign of  $\Delta P$

- solar magic lines
- atmospheric magic lines
- relative phase lines

$$\Delta P = P(\delta) - P(\delta_f) = \text{const}$$



Int. phase line (blue) moves with  $\delta$ -change



Grids do not change with  $\delta$

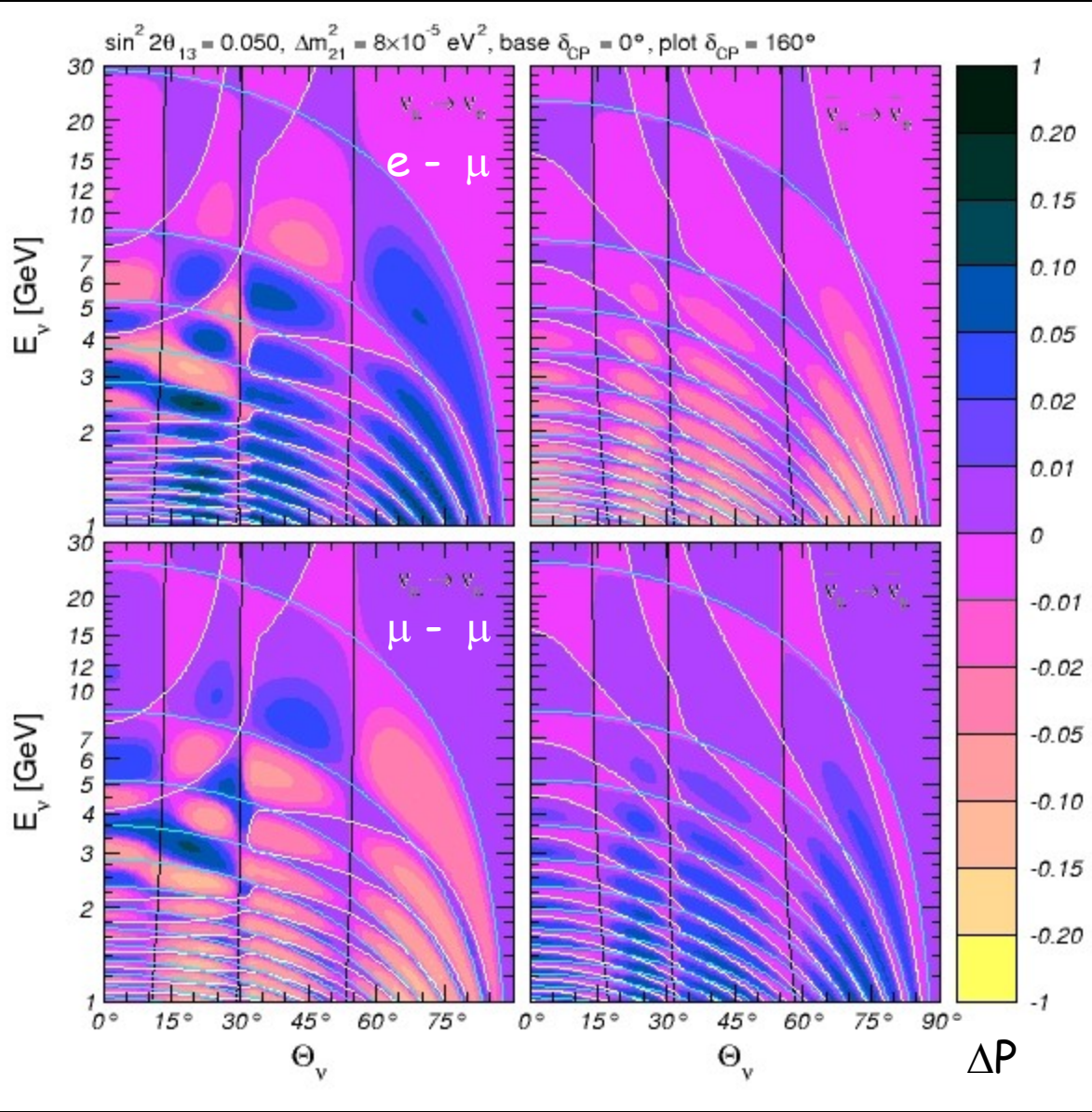
Black: solar

White: atmospheric

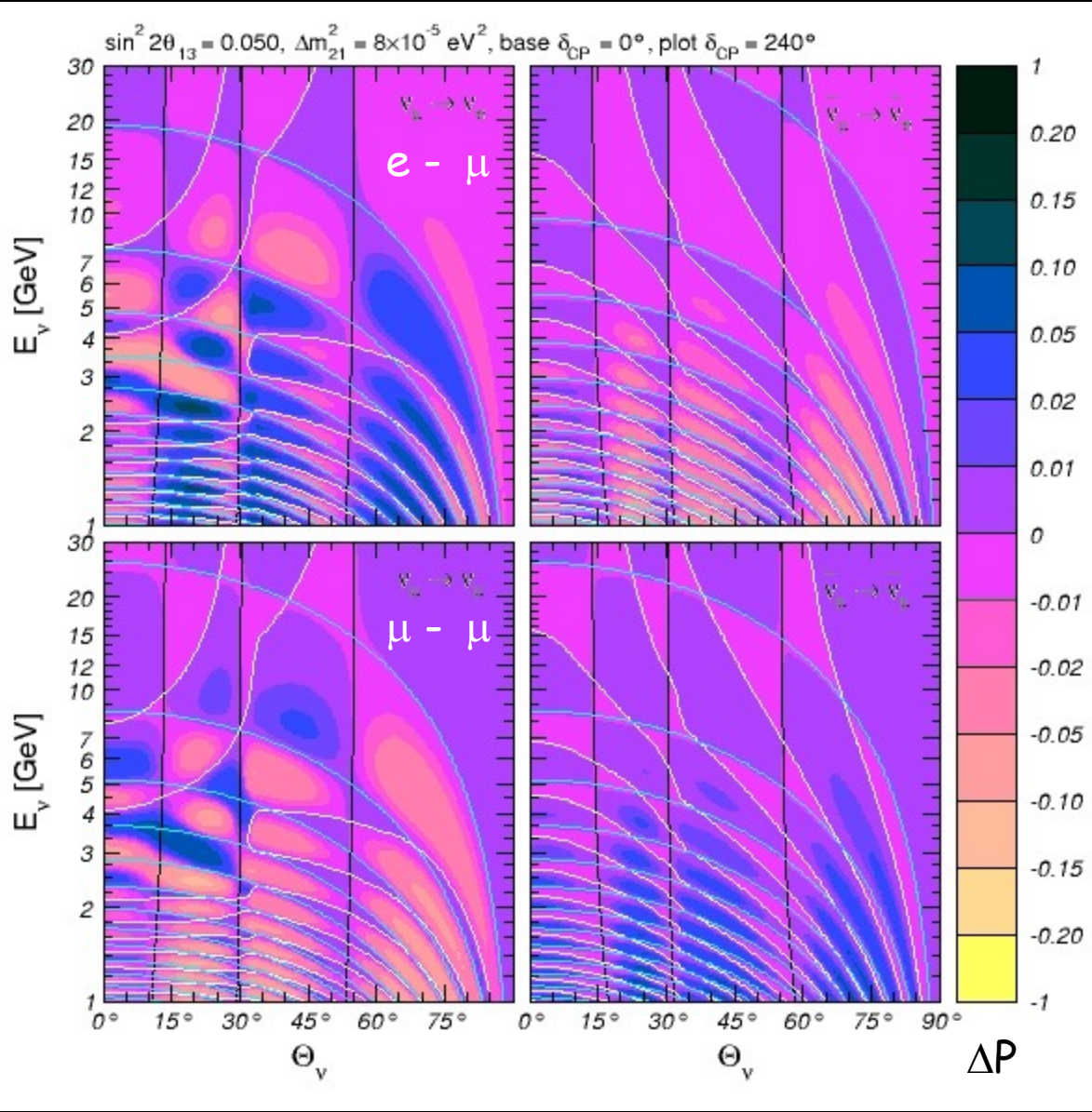
Neutrinos

Antineutrinos

# Magic grid







**Fluxes at  
a detector  
Screening  
Charge suppression**



# $\nu_e$ - flux at the detector

$$\Phi_\alpha = \Phi_e^0 P_{e\alpha} + \Phi_\mu^0 P_{\mu\alpha} = \Phi_e^0 (P_{e\alpha} + r P_{\mu\alpha})$$

$$r = \Phi_\mu^0 / \Phi_e^0$$

$$\frac{\Phi_e}{\Phi_e^0} = 1 + (r \sin^2 \theta_{23} - 1) P_{e3} + (r \cos^2 \theta_{23} - 1) P_{e2} + r P_{\mu e}^\delta$$



screening



$$P_{\mu e}^\delta = \sin 2\theta_{23} [P_{e2} P_{e3}]^{1/2} \cos(\phi - \delta)$$

$$\phi = \arg(A_{e2}^* A_{e3}),$$



$P_{e3}$  ( $P_{e2}$ ) appears in all the probabilities with the screening factor  $(r \sin^2 \theta_{23} - 1)$ ,  $((r \cos^2 \theta_{23} - 1))$

Reason why oscillations of  $\nu_e$  have not been observed from the beginning



# $\nu_{\mu}$ - flux at the detector

$$r = \Phi_{\mu}^0 / \Phi_e^0$$

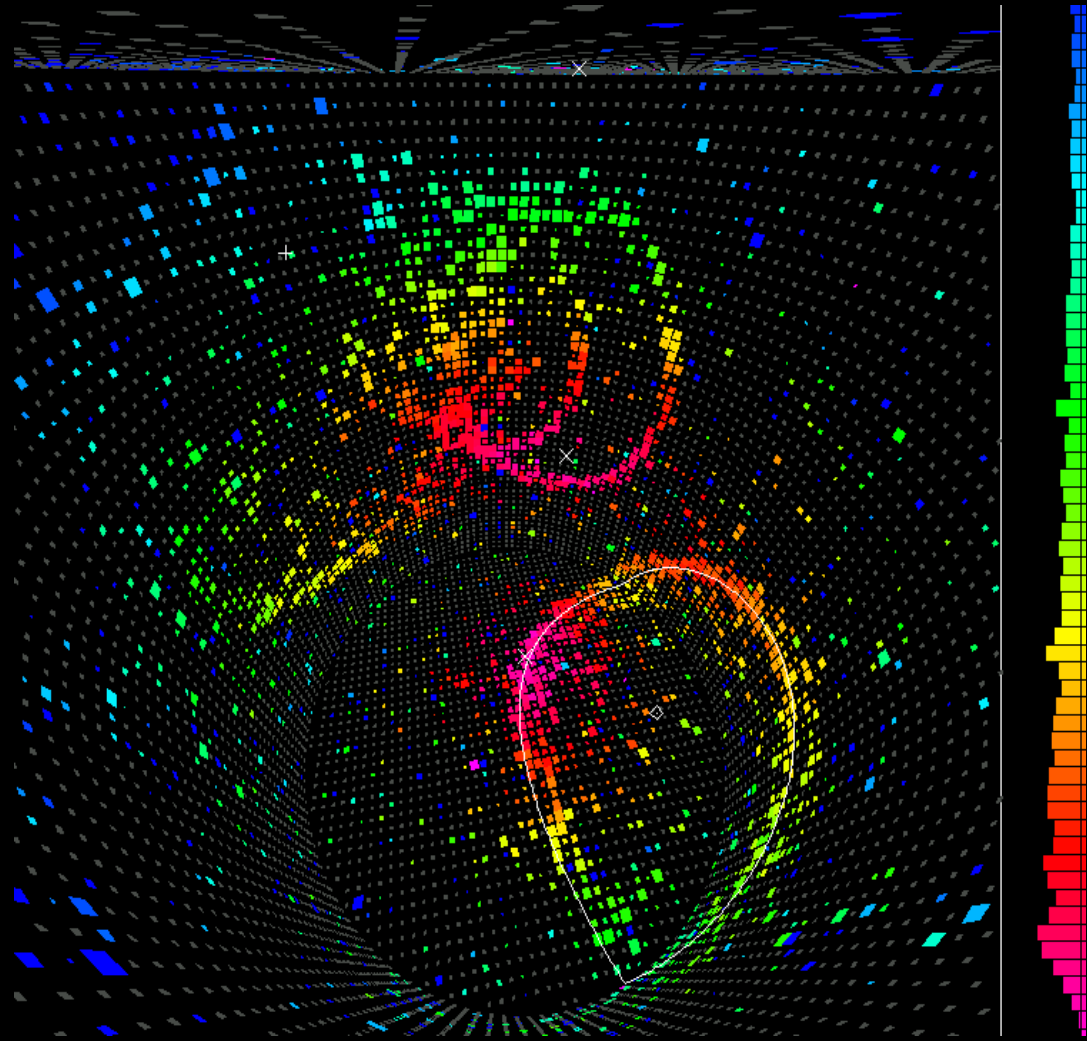
$$\begin{aligned} \frac{\Phi_{\mu}^{\sigma}}{\Phi_{\mu}^0} &= 1 - \frac{1}{2} \sin^2 2\theta_{23} [1 - \text{Re}(A_{33} A_{22}^*)] - \\ &\quad - \frac{s_{23}^2}{r} (r s_{23}^2 - 1) P_{e3} - \frac{c_{23}^2}{r} (r c_{23}^2 - 1) P_{e2} + \\ &\quad + P_{\mu\mu}^{\delta} + \frac{1}{r} P_{e\mu}^{\delta} \end{aligned}$$

 Screened  
 CP-violation

$$\begin{aligned} \frac{\Phi_{\tau}}{\Phi_{\mu}^0} &= \frac{1}{2} \sin^2 2\theta_{23} [1 - \text{Re}(A_{33} A_{22}^*)] - \\ &\quad - \frac{c_{23}^2}{r} (r s_{23}^2 - 1) P_{e3} - \frac{s_{23}^2}{r} (r c_{23}^2 - 1) P_{e2} + \\ &\quad + P_{\mu\tau}^{\delta} + \frac{1}{r} P_{e\tau}^{\delta} \end{aligned}$$

 Screened  


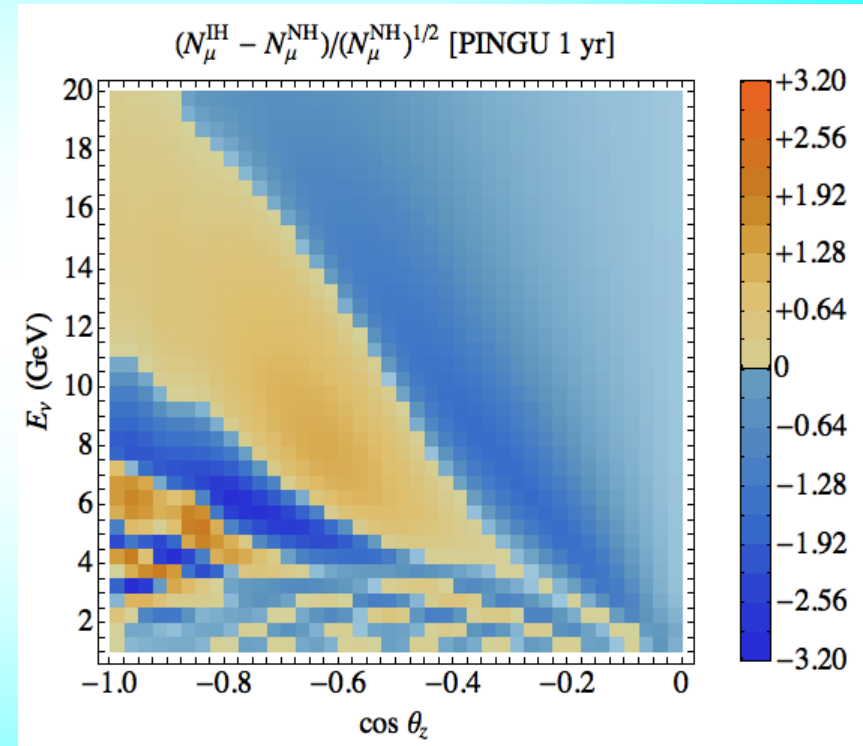
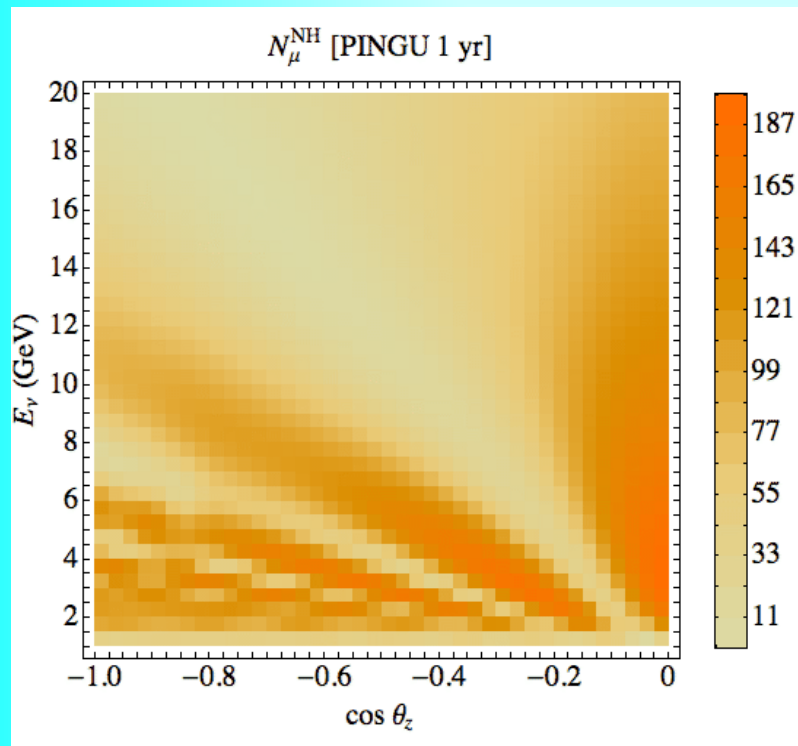
# Ordering Octant CP-violation.



# Track events

$\sim 10^5$  events/year

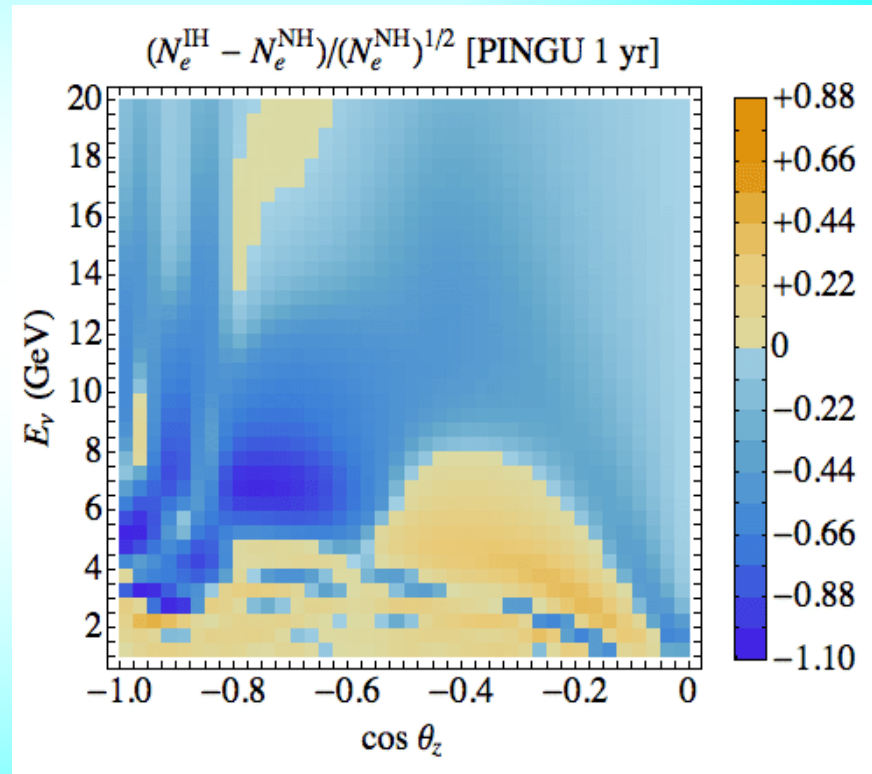
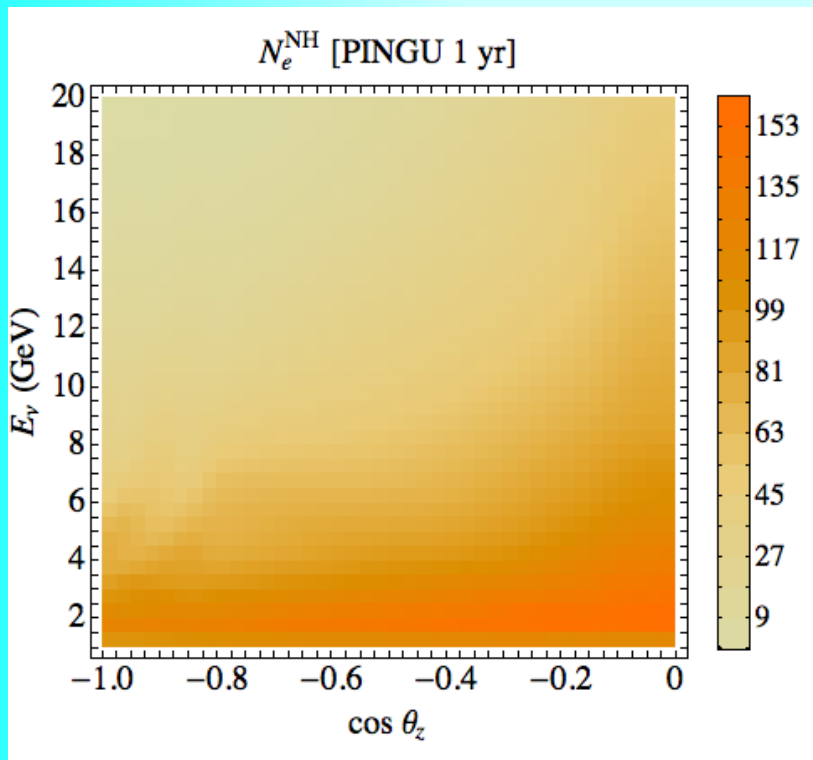
“Distinguishability”



Estimator of sensitivity  
S - asymmetry  
|S| - significance

# Cascade events

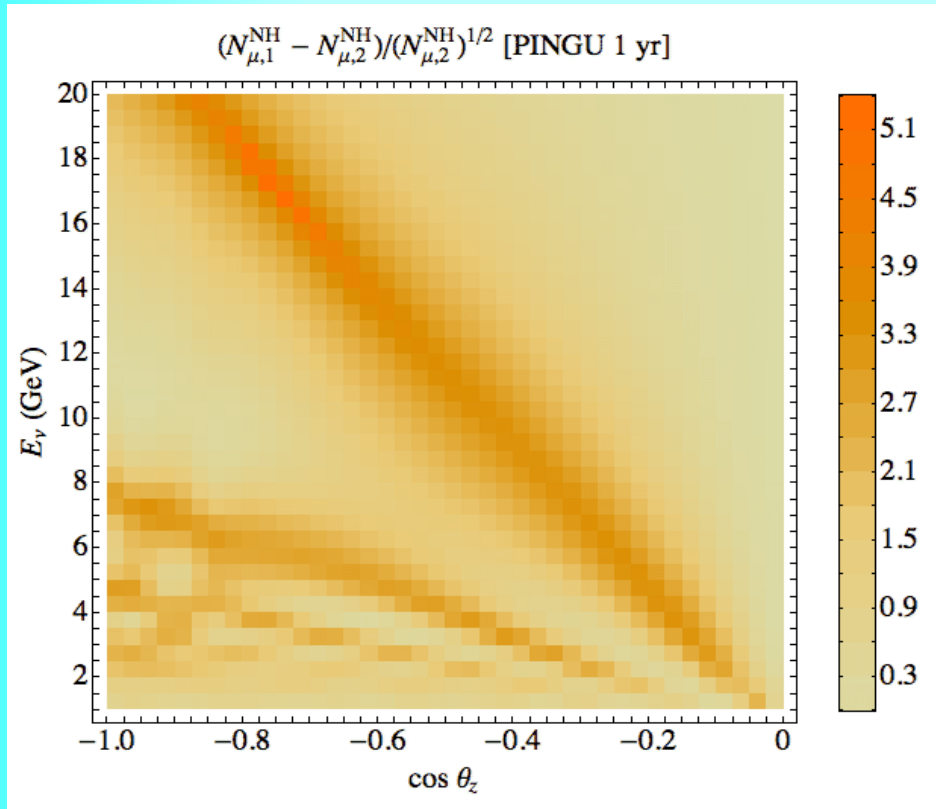
“Distinguishability”



Statistical significance

# Theta\_23

Deviation of 2-3 mixing  
from maximal quadrant



Large effect is in the region of  
small number of events

$$\sin^2 \theta_{32, \text{fit}} = 0.50$$

$$\sin^2 \theta_{32, \text{true}} = 0.42$$

Future measurements  
- improve accuracy of  
determination of the  
angle

Effect has the same sign

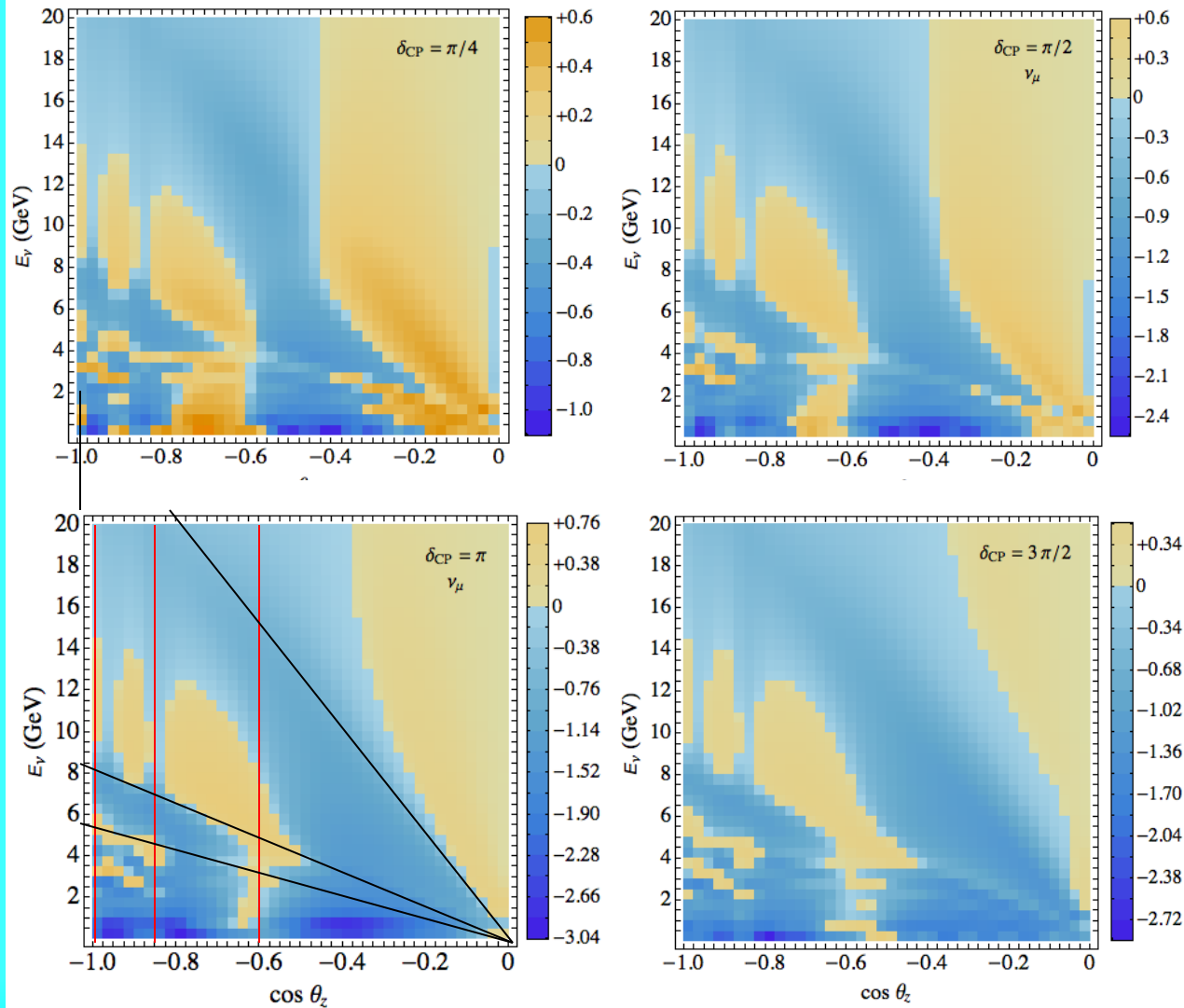
Regions of strong effects  
of the angle and hierarchy  
do not overlap significantly



# CP-domains

S-distributions  
for different  
values of  $\delta$

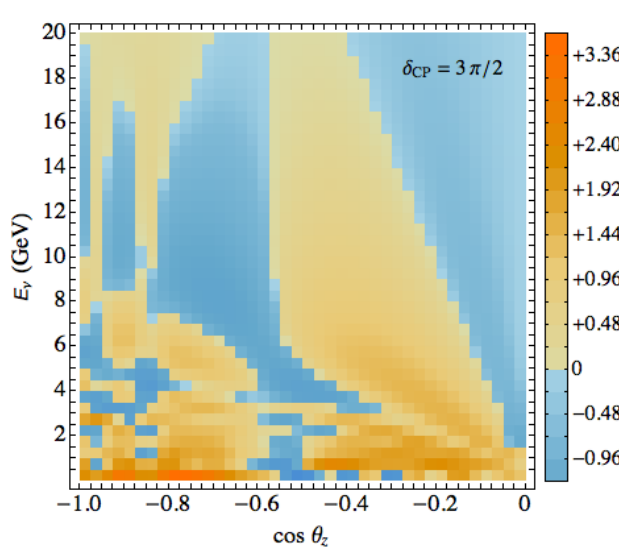
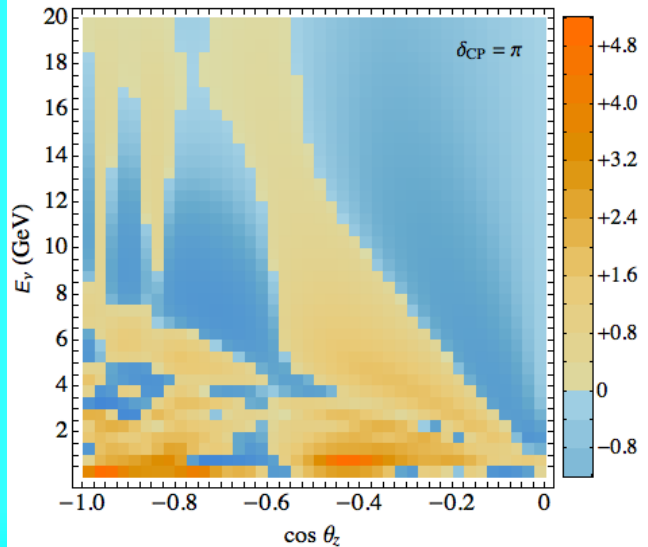
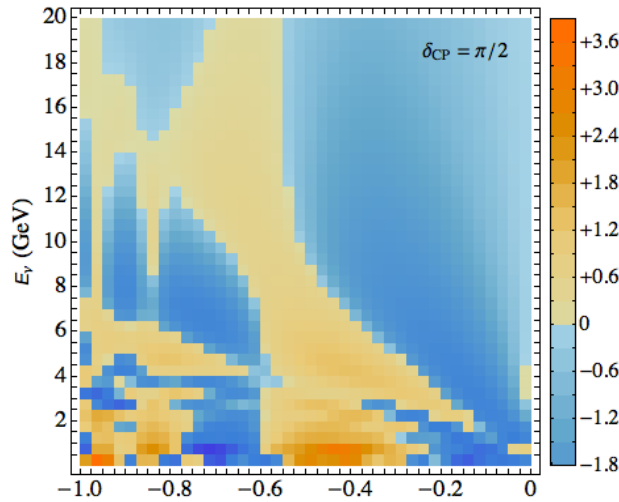
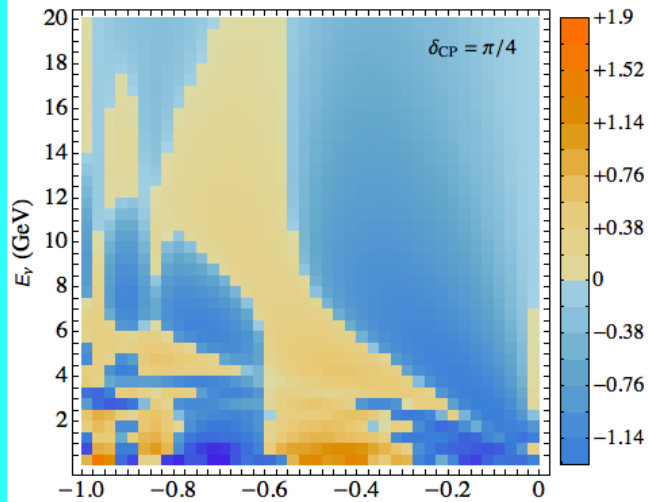
$\nu_\mu$ -events (track + cascade)



CP-effect:  
2 - 5 %  
 $\Delta N = 2 - 10$  events  
in each small bin

# CP-domains

Cascades ( $\nu_e$  - events)



S-distributions for different values of  $\delta$

Strong asymmetry of CP differences

Have opposite sign at low energies with respect to  $\nu_\mu$ -events

# Conclusions

Oscillations of the atmospheric neutrinos in the Earth in the standard  $3\nu$  framework are completely elaborated

Structure of the neutrino oscillograms is well understood

Physics includes

- Resonance enhancement of oscillations
- parametric effects
- interference of amplitudes with solar and atmospheric frequencies, CP violation, grid of magic lines, CP domains

With this one can address open issues:

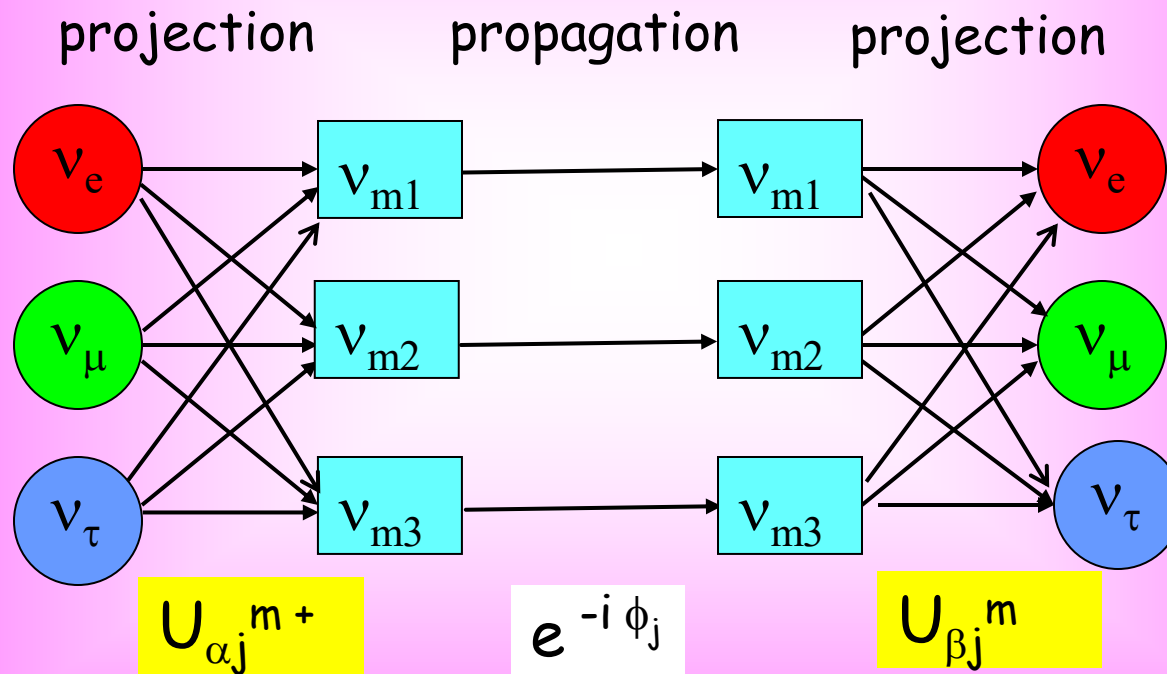
- Determination of the mass hierarchy
- Measurements of the CP-phase
- deviation of the 2-3 mixing from maximal
- searches new physics beyond the  $3\nu$  framework

**Backup slides**

# Vacuum and constant density cases

Propagation basis - eigenstates in vacuum (mass states) or in matter

$v_{mi}$  - eigenstates in matter



$$P(v_\alpha \rightarrow v_\beta) = |\sum_j U_{\beta j}^m e^{-i \phi_j} U_{\alpha j}^{m+}|^2$$

# A bit of history

*V. Ermilova, V. Tsarev, V. Chechin,  
Krat. Soob. Fiz. # 5, 26, (1986)*

- no large vacuum mixing,
- no matter enhancement of mixing,
- no resonance conversion

Another way of getting strong transition

Harmonic modulation of density

$$n(t) = \langle n \rangle + n_1 \cos \omega t$$

Parametric resonance:

$$k \omega = 2 \langle \omega \rangle, \quad k = 1, 2 \dots$$

$\langle \omega \rangle = \omega_m(\langle n \rangle)$  frequency of oscillations for the average density

$$\frac{\Delta m^2}{2E}$$

Solution from neutron-antineutron oscillations in magnetic field

*G D Push, Nuovo Cim 74A, 2, 149 (1983)*

Effect may play a role in astrophysical periodic structures

