

CPT and Lorentz Symmetry Violation in Atmospheric Neutrino Experiments

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PANE Triest, Italy 2018



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Thanks to the organizers for inviting me!

Old and new windows to search for new neutrino physics



What we have explored so far...



Adding LV/CPT violation

If one extends the standard model to include LV/CPT violating terms using the SME:

$$H = H_{std} + \frac{p_{\lambda}}{E} \begin{pmatrix} a_{ee}^{\lambda} & a_{e\mu}^{\lambda} & a_{e\tau}^{\lambda} \\ a_{e\mu}^{\lambda^*} & a_{\mu\mu}^{\lambda} & a_{\mu\tau}^{\lambda} \end{pmatrix} + \frac{p_{\lambda}p_{\sigma}}{E} \begin{pmatrix} c_{ee}^{\lambda\sigma} & c_{e\mu}^{\lambda\sigma} & c_{e\tau}^{\lambda\sigma} \\ c_{e\mu}^{\lambda\sigma^*} & c_{\mu\mu}^{\lambda\sigma} & c_{\mu\tau}^{\lambda\sigma} \\ c_{e\tau}^{\lambda\sigma^*} & c_{\mu\tau}^{\lambda\sigma^*} & c_{\tau\tau}^{\lambda\sigma} \end{pmatrix}$$

here $p_{\lambda} = (E, \vec{p})$

Simplifying assumption: lets assume that "a" and "c" only have a time component.

$$H = H_{std} + \tilde{a}^{\mathsf{T}} + E\tilde{c}^{\mathsf{TT}}$$

Kostelecky Phys.Rev. D69 (2004) 016005

Hamiltonian dominance

$$H = H_{vac} + H_{matter} + \tilde{a}' + E\tilde{c}'$$

$$\sim 10^{-24} \text{GeV}\left(\frac{TeV}{E}\right) \quad (\sim 10^{-23} \text{GeV}) \quad ? \quad E^*?$$

note that the matter potential only affects the ee component

back of the envelope sensitivity

$$\tilde{a}^{\mathsf{T}} \sim 10^{-24} \text{GeV} \rightarrow 10^{-27} \text{GeV}$$

 $\tilde{c}^{\mathsf{TT}} \sim 10^{-27} \rightarrow 10^{-32}$

arXiv:1410.4267



LV	Parameter	Limit at 95% C.L	. Best Fit	No LV $\Delta \chi^2$	Previous Lim	it
$e\mu$	$\operatorname{Re}\left(a^{T} ight)$	$1.8\times 10^{-23}~{\rm GeV}$	$1.0\times 10^{-23}~{\rm GeV}$	1.4	$4.2 \times 10^{-20} \text{ GeV}$	[58]
	$\operatorname{Im}\left(a^{T} ight)$	$1.8\times 10^{-23}~{\rm GeV}$	$4.6\times 10^{-24}~{\rm GeV}$		4.2 × 10 Gev	[00]
	$\operatorname{Re}\left(c^{TT} ight)$	8.0×10^{-27}	1.0×10^{-28}	0.0	9.6×10^{-20}	[58]
	$\operatorname{Im}\left(c^{TT} ight)$	8.0×10^{-27}	1.0×10^{-28}			
e au	$\operatorname{Re}\left(a^{T} ight)$	$4.1\times 10^{-23}~{\rm GeV}$	$2.2\times 10^{-24}~{\rm GeV}$	0.0	7.8×10^{-20} GeV	[59]
	$\operatorname{Im}\left(a^{T} ight)$	$2.8\times 10^{-23}~{\rm GeV}$	$1.0\times 10^{-28}~{\rm GeV}$	0.0	1.0 × 10 GCV	[00]
	$\operatorname{Re}\left(c^{TT} ight)$	9.3×10^{-25}	1.0×10^{-28}	0.3	1.3×10^{-17}	[59]
	$\operatorname{Im}\left(c^{TT} ight)$	1.0×10^{-24}	3.5×10^{-25}			
$\mu \tau$	$\operatorname{Re}\left(a^{T} ight)$	$6.5\times 10^{-24}~{\rm GeV}$	$3.2\times 10^{-24}~{\rm GeV}$	0.9	_	
	$\mathrm{Im}\left(a^{T} ight)$	$5.1\times 10^{-24}~{\rm GeV}$	$1.0\times 10^{-28}~{\rm GeV}$	0.5		
	$\operatorname{Re}\left(c^{TT} ight)$	4.4×10^{-27}	1.0×10^{-28}	0.1	_	
	$\operatorname{Im}\left(c^{TT} ight)$	4.2×10^{-27}	7.5×10^{-28}	0.1		

Current bounds from SK



factor

6



Through-going nu-mu energy distribution



Astrophysical neutrino dominate at highest energies!



IceCube observes a lot of atmospheric neutrinos!



More information about the sample and systematics!

Use two years of IceCube data ~ 35 000 atmospheric muon neutrino events.

Restrict ourselves from -1<\cos\theta<0.2 and E < 20 TeV
Non-\nu_\mu CC contamination (NC, \nue, atm. mu) < 0.1%.

Systematic uncertainties considered in the analysis:

✤Overall conventional normalization (40%),

✤Uncertainty in CR accounted model by a change in tilt (2%),

✤Relative contribution of pion to kaon neutrino components (10%),

Overall prompt contribution (unconstrained),

Astrophysical component: normalization (unconstrained) spectral index (-1.5,-2.5).

Sub-leading systematics we checked:

Uncertainties in the detector efficiency is controlled by the energy distribution peak,
 impact of light propagation model uncertainties on the horizontal to vertical ratio is less than 5% at few TeV



Signal kicks in at high energies

The analysis sensitivity, specially for high-dimension operators, is dominated by the highest energy events.
We are very much statistically limited.



Gray region is irrelevant for the analysis. Removing it changes it marginally.

Anatomy of the dim-6 operator constraint

1.00

X No oscillation Allowed X marks the best-fit 0.75 point: no significance 0.50evidence for LV. We use Wilk's theorem $0.25 \cdot 0.00 = 0.00$ No stats with 3 dof. Excluded $^{\circ}$ -0.25 \cdot -0.50 \cdot $\mathring{c}^{(6)} = \begin{pmatrix} \mathring{c}^{(6)}_{\mu\mu} & \mathring{c}^{(6)}_{\mu\tau} \\ \mathring{c}^{(6)*}_{\mu\tau} & -\mathring{c}^{(6)}_{\mu\mu} \end{pmatrix}$ -0.75 $P(\nu_{\mu} \to \nu_{\tau}) \sim \left(\frac{\mathring{a}_{\mu\tau}^{(d)} - \mathring{c}_{\mu\tau}^{(d)}}{\rho_{d}}\right)^{2} \sin^{2}(L\rho_{d} \cdot E^{d} - \frac{1.00}{10^{-37}} + \frac{10^{-36}}{10^{-35}} + \frac{10^{-34}}{10^{-34}} + \frac{10^{-32}}{10^{-31}} + \frac{10^{-30}}{10^{-31}} + \frac{10^{-30}}{10^{-39}} + \frac{10^{-30}$ $\rho_6 \,({\rm GeV}^{-2})$ $\rho_d \equiv \sqrt{(\mathring{c}_{\mu\mu}^{(d)})^2 + \operatorname{Re}(\mathring{c}_{\mu\tau}^{(d)})^2 + \operatorname{Im}(\mathring{c}_{\mu\tau}^{(d)})^2} \quad \begin{array}{l} \text{IceCube Collaboration,} \\ \text{arXiv:1709.03434} \end{array}$

Fast oscillations: only normalization matters

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Our results in the maximum-flavor violating assumption

Maximum flavor violation = set diagonal terms to zero. (same assumption as SK)



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White: allowed, red: 90% CL, blue: 99% CL.

Comparison with other sectors

dim.	method	type	sector	limits	ref.	
3	CMB polarization	astrophysical	photon	$\sim 10^{-43} { m GeV}$	[6]	
	He-Xe comagnetometer	tabletop	neutron	$\sim 10^{-34}~{ m GeV}$	[10]	
	torsion pendulum	tabletop	electron	$\sim 10^{-31}~{ m GeV}$	[12]	
	muon g-2	accelerator	muon	$\sim 10^{-24}~{ m GeV}$	[13]	
	neutrino oscillation	atmospheric	neutrino	$\begin{aligned} \text{Re}(\mathring{a}^{(3)}_{\mu\tau}) , \text{Im}(\mathring{a}^{(3)}_{\mu\tau}) &< 2.9 \times 10^{-24} \text{ GeV (99\% C.L.)} \\ &< 2.0 \times 10^{-24} \text{ GeV (90\% C.L.)} \end{aligned}$	this work	
4	GRB vacuum birefringence	astrophysical	photon	$\sim 10^{-38}$	[7]	
	Laser interferometer	LIGO	photon	$\sim 10^{-22}$	[8]	
	Sapphire cavity oscillator	tabletop	photon	$\sim 10^{-18}$	[5]	
	Ne-Rb-K comagnetometer	tabletop	neutron	$\sim 10^{-29}$	[11]	
	trapped Ca^+ ion	tabletop	electron	$\sim 10^{-19}$	[14]	
	neutrino oscillation	atmospheric	neutrino	$ \operatorname{Re}(\overset{\circ}{c}{}^{(4)}_{\mu\tau}) , \operatorname{Im}(\overset{\circ}{c}{}^{(4)}_{\mu\tau}) < 3.9 \times 10^{-28} (99\% \text{ C.L.}) < 2.7 \times 10^{-28} (90\% \text{ C.L.})$	this work	
5	GRB vacuum birefringence	astrophysical	photon	$\sim 10^{-34} { m GeV^{-1}}$	[7]	
	ultra-high-energy cosmic ray	astrophysical	proton	$\sim 10^{-22}$ to 10^{-18} GeV ⁻¹	[9]	
	neutrino oscillation	atmospheric	neutrino	$\frac{ \operatorname{Re}(\mathring{a}_{\mu\tau}^{(5)}) , \operatorname{Im}(\mathring{a}_{\mu\tau}^{(5)}) }{< 1.5 \times 10^{-32} \text{ GeV}^{-1} (99\% \text{ C.L.})} $	this work	
6	GRB vacuum birefringene	astrophysical	photon	$\sim 10^{-31} \text{ GeV}^{-2}$	[7]	
	ultra-high-energy cosmic ray	astrophysical	proton	$\sim 10^{-42}$ to 10^{-35} GeV ⁻²	[9]	
	gravitational Cherenkov radiation	astrophysical	gravity	$\sim 10^{-31} \text{ GeV}^{-2}$	[15]	
	neutrino oscillation	atmospheric	neutrino	$ \operatorname{Re}(\mathring{c}_{\mu\tau}^{(6)}) , \operatorname{Im}(\mathring{c}_{\mu\tau}^{(6)}) < 1.5 \times 10^{-36} \text{ GeV}^{-2} (99\% \text{ C.L.}) < 9.1 \times 10^{-37} \text{ GeV}^{-2} (90\% \text{ C.L.})$	this work	
7	GRB vacuum birefringence	astrophysical	photon	$\sim 10^{-28} { m GeV^{-3}}$	[7]	
	neutrino oscillation	$\operatorname{atmospheric}$	neutrino	$ \operatorname{Re}(\mathring{a}_{\mu\tau}^{(7)}) , \operatorname{Im}(\mathring{a}_{\mu\tau}^{(7)}) < 8.3 \times 10^{-41} \text{ GeV}^{-3} (99\% \text{ C.L.}) < 3.6 \times 10^{-41} \text{ GeV}^{-3} (90\% \text{ C.L.})$	this work	
8	gravitational Cherenkov radiation	astrophysical	gravity	$\sim 10^{-46} { m GeV^{-4}}$	[15]	
	neutrino oscillation	atmospheric	neutrino	$ \operatorname{Re}(\mathring{c}_{\mu\tau}^{(8)}) , \operatorname{Im}(\mathring{c}_{\mu\tau}^{(8)}) \leq 5.2 \times 10^{-45} \text{ GeV}^{-4} (99\% \text{ C.L.}) \\ < 1.4 \times 10^{-45} \text{ GeV}^{-4} (90\% \text{ C.L.})$	this work	

Very strong limits on Lorentz Violation induced by dimension-6 operators!



Aside: note on upcoming 7-year high-energy sterile analysis

1 year analysis systematic treatment



Atmosphe	eric flux				
ν flux template	discrete (7)				
$\nu \ / \ \overline{\nu} \ ratio$	continuous	0.025			
π / K ratio	continuous	0.1			
Normalization	continuous	$none^1$			
Cosmic ray spectral index	continuous	0.05			
Atmospheric temperature	continuous	model tuned			
Detector and ice model					
DOM efficiency	continuous				
Ice properties	discrete (4)				
Hole ice effect on angular respo	onse discrete (2)				
Neutrino propagatio	Atmospheric fluxatediscrete (7) continuousatediscrete (7) continuousoncontinuousoncontinuousoncontinuousoncontinuousoncontinuousoncontinuousoncontinuousoncontinuousoncontinuousoncontinuousoncontinuousoncontinuousoncontinuousoncontinuousesdiscrete (4)ct on angular response discrete (2)Neutrino propagation and interactionctiondiscrete (6)cydiscrete (9)				
DIS cross section	discrete (6)				
Earth density	discrete (9)				
	. ,				

7 year analysis systematic treatment



New continuous and more precise detector systematic treatment!

See talks by Thomas Stuttard, Jordi Salvado, Anatoli Fedynitch 16

People working in flux and detector systematics are the superheroes of our times!

"With great statistical power comes great **systematic** responsibility"

Many, many talks this week on this! Awesome talks/work by Fedynitch, Honda, Gaisser, Garzelli, and many others! Thank you guys!

Astrophysical neutrino dominate at highest energies!



Cosmic neutrinos frontier



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Initial flavor





Flavor composition @ source



Calculating $\bar{P}_{\nu_{\alpha} \to \nu_{\beta}}(E)$

The oscillation probability depends on the neutrino propagation hamiltonian

$$H(E) = V(E)^{\dagger} \left(egin{array}{ccc} \Delta_{1}(E) & 0 & 0 \ 0 & \Delta_{2}(E) & 0 \ 0 & 0 & \Delta_{3}(E) \end{array}
ight) V(E)$$

Since the oscillation length is much smaller than the distance of the sources

$$\bar{P}_{\nu_{\alpha} \to \nu_{\beta}}(E) = \sum_{i} |V_{\alpha i}|^{2} |V_{\beta i}|^{2}$$

Oscillation probabilities depend only on the mixing elements!



Possible flavor triangles





Due to unitarity the possible Earth flavor ratios for a given initial flavor composition is confined. 23

Astrophysical neutrino flavor



C.A., T. Katori, J. Salvado (Phys. Rev. Lett. **115**, 161303) M. Bustamante, J. Beacom, W. Winter (Phys. Rev. Lett. **115**, 161302)

+ New physics: effective operators

$$H = \frac{1}{2E} U M^2 U^{\dagger} + \sum_{n} \left(\frac{E}{\Lambda_n}\right)^n \tilde{U}_n O_n \tilde{U}_n^{\dagger}$$

$$\sim 10^{-24} \mathrm{GeV}\left(\frac{TeV}{E}\right)$$

$$O_0 < O(10^{-23}) \text{ GeV}$$

 $O_1/\Lambda_1 < O(10^{-27})$

1111

Current best terrestrial limits on the new terms from **IceCube**+SK.

Phys.Rev. D91 (5) (2015) 052003, Phys.Rev. D82 (2010) 112003.

IceCube Collaboration arXiv:1709.03434

+ New physics: effective operators

$$H = \frac{1}{2E} U M^2 U^{\dagger} + \sum_{n} \left(\frac{E}{\Lambda_n}\right)^n \tilde{U}_n O_n \tilde{U}_n^{\dagger}$$

(setting operators scales to current SK bounds)



Since the new physics flavor structure is unknown we sample randomly:

$$d\, ilde{U}_n = d\, ilde{s}_{12}^2 \wedge d\, ilde{c}_{13}^4 \wedge d\, ilde{s}_{23}^2 \wedge d\, ilde{\delta}$$

New physics term dominates (given current bounds). But more confined in pion case

C.A., T. Katori, J. Salvado (Phys. Rev. Lett. **115**, 161303) M. Bustamante, J. Beacom, W. Winter (Phys. Rev. Lett. **115**, 161302)

+ New physics: effective operators

$$H = \frac{1}{2E} U M^2 U^{\dagger} + \sum_{n} \left(\frac{E}{\Lambda_n}\right)^n \tilde{U}_n O_n \tilde{U}_n^{\dagger}$$

(setting operators scales to current SK bounds)





Note recent compilation on effects of BSM astrophysical neutrino flavor triangle



14117

Rasmussen et al Phys. Rev. D 96, 083018 (2017) arXiv:1707.07684

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Analysis@HESE-7 years



M. Usner ICRC 2017

Latest iteration of the original **HESE** analysis. To be presented at Neutrino2018!

Fraction of v_e sensitivity

- More statistics,
- Improved systematic treatment,
- New self-veto! (see talk by T. Yuan),
- Updated ice model and reconstructions,
- Now includes not only astrophysical characterization, but also BSM! Among



Technical detail: finite Monte Carlo (MC) statistics

Monte Carlo generation in IceCube typically is done by generating according to an E^-\gamma distribution:

- Larger MC statistical errors at the high-energy region,
- Often different MC statistics for different flavors,
- Atmospheric muon background, generated with CORSIKA, has large MC stat. errors. $(n = u_i)^2$
- errors. Small signal statistics: not in the gaussian regime! $\chi^2_{\text{mod}} \equiv \sum \frac{(n_i - \mu_i)^2}{\mu_i + \sum_i \sigma_{ii}^2}$



How can we account for this in our analyses?

What do we expect to do with HESE?

Simple back of the envelope "sensitivity reach" calculation:

$$H_{d} = \boxed{\frac{1}{2E}UM^{2}U^{\dagger}} + \boxed{\frac{E^{d-3}}{\Lambda_{d}}\tilde{U}_{d}O_{d}\tilde{U}_{d}^{\dagger}} = V_{d}(E)\Delta V_{d}^{\dagger}(E)$$

$$\boxed{\text{DIM 3}} \qquad \boxed{\text{DIM 6}}$$

$$\boxed{\frac{1}{E} \cdot 10^{-21}\text{GeV}} \sim \boxed{\frac{1}{\Lambda}} \qquad \boxed{\text{DIM 6}}$$

$$\boxed{\frac{1}{E} \cdot 10^{-21}\text{GeV}} \sim \boxed{\frac{E^{3}}{\Lambda}}$$

$$\boxed{\text{60 TeV} \sim 1E4 \text{ GeV}} \qquad 10 \text{ PeV = 1E7 GeV}$$

$$\Lambda_{3}^{-1} \sim 10^{-25}\text{GeV} \qquad \boxed{\Lambda_{3}^{-1} \sim 10^{-28}\text{GeV}} \qquad \boxed{\text{60 TeV} \sim 1E4 \text{ GeV}} \qquad 10 \text{ PeV = 1E7 GeV}$$

$$10^{-25}\text{GeV} < \Lambda_{3}^{-1} < 10^{-28}\text{GeV} \qquad \boxed{10^{-37}\text{GeV}^{-2}} \qquad \boxed{\Lambda_{6}^{-1} \sim 10^{-49}\text{GeV}^{-2}}$$

Additional assumptions

- We will fix the initial flavor ratio to one of the usual scenarios.
- We will also study maximum flavor violating operators, a la SK.



How do some these look in the triangle?



Summary of IceCube sensitive + limits



dashed: atmospheric neutrinos, solid cosmic neutrinos

Plii

Summary and outlook

- Atmospheric neutrinos in IceCube provide robust and strongest bounds on LV operators. And other things we have discussed in this workshop: sterile (jordi salvado), NSI (thomas ehrhardt), decoherence (tom studdard), …
- Astrophysical neutrinos have great potential, but results are conditional on the astrophysical source initial composition. If its pion dominated and we observe 1:1:1 things don't look good for LV limits/discovery.
- Only two years of high-energy muon neutrino data studied! Expect update with ~ 7 years soon. Of course, with greater statistics, comes greater systematic responsibility!
- We also have the high-energy atmospheric cascades (MESE)! We will be looking onto that too!
- Expect exciting results on LV@Neutrino2018! Keep tuned!

Check out our public data releases: https://icecube.wisc.edu/science/data

For questions on our public data don't hesitate to ask: <u>analysis@icecube.wisc.edu</u> If you have ideas and/or want to discuss shoot me an email too: <u>caad@mit.edu</u>

We are friendly and curious penguins :)





BONUS SLIDES!





(arXiv:1007:0006)

arXiv:1410.4267



LV	Parameter	Limit at 95% C.L	. Best Fit	No LV $\Delta \chi^2$	Previous Limi	t
	$\operatorname{Re}\left(a^{T}\right)$	$1.8 \times 10^{-23} \text{ GeV}$	$1.0\times 10^{-23}~{\rm GeV}$	1.4	$4.2 \times 10^{-20} \text{ CeV}$	[58]
011	$\operatorname{Im}\left(a^{T} ight)$	$1.8\times 10^{-23}~{\rm GeV}$	$4.6\times 10^{-24}~{\rm GeV}$		4.2 X 10 GeV	[00]
$e\mu$	$\operatorname{Re}\left(c^{TT} ight)$	8.0×10^{-27}	1.0×10^{-28}	0.0	9.6×10^{-20}	[58]
	$\operatorname{Im}\left(c^{TT} ight)$	8.0×10^{-27}	1.0×10^{-28}			
	$\operatorname{Re}\left(a^{T} ight)$	$4.1\times 10^{-23}~{\rm GeV}$	$2.2\times 10^{-24}~{\rm GeV}$	0.0	$7.8\times 10^{-20}~{\rm GeV}$	[59]
oт	$\mathrm{Im}\left(a^{T} ight)$	$2.8\times 10^{-23}~{\rm GeV}$	$1.0\times 10^{-28}~{\rm GeV}$	0.0		
C1	$\operatorname{Re}\left(c^{TT} ight)$	9.3×10^{-25}	1.0×10^{-28}	0.3	1.3×10^{-17}	[59]
	$\operatorname{Im}\left(c^{TT} ight)$	1.0×10^{-24}	3.5×10^{-25}			
	$\operatorname{Re}\left(a^{T} ight)$	$6.5\times 10^{-24}~{\rm GeV}$	$3.2\times 10^{-24}~{\rm GeV}$	0.0		
uπ	$\operatorname{Im}\left(a^{T} ight)$	$5.1 \times 10^{-24} \text{ GeV}$	$1.0\times 10^{-28}~{\rm GeV}$	0.5	_	
μ	$\operatorname{Re}\left(c^{TT} ight)$	4.4×10^{-27}	1.0×10^{-28}	0.1		
	$\operatorname{Im}\left(c^{TT}\right)$	4.2×10^{-27}	7.5×10^{-28}	0.1	_	

Current bounds from SK



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+ New physics mixing



+Neutrino decay





+ NSI@Earth



In the pion scenario NSI effects are small. This is not the case for other initial flavor ratios.



+ (eV) sterile neutrino



- Sterile neutrinos effect is small on propagation.
- Large change only if the sources are shooting sterile neutrinos

Brdar et al. JCAP 1701 (2017) no.01, 026

HESE 6 year unfolded







Atmospheric flux decomposed



Atmospheric neutrino flux uncertainties



$$\phi_{atm} = N_0 \left(\phi_K + R_{\pi/K} \phi_\pi \right) \times E_{\nu}^{-\Delta \gamma}$$

[Fedynitch et al. arXiv:1504.06639] [Collins et al. URL: http://dspace.mit.edu/handle/1721.1/98078]

Cosmic ray models:

Zatsepin-Sokolskaya

Κ

μ

- Polygonato
- Gaisser+Honda

Hadronic models:

- Sibyll 2.3
- QGSJET II



 $Cos(\theta_2) = -0.2$

 $Cos(\theta_z) =$

Detector Systematics: DOM efficiency!

Effect of changing the DOM efficiency in the parameter space:



Ice uncertainties

Fit for ice properties as a function of depth.



+10% absorption



20

16

12

8

 $\mathbf{4}$

0

-4

-8

-12

-16

-20

shift

%

Hole Ice

Refrozen ice in the hole have **air bubbles** that produce **extra scattering**.





