

Charge and energy transport in time-dependently driven electron systems

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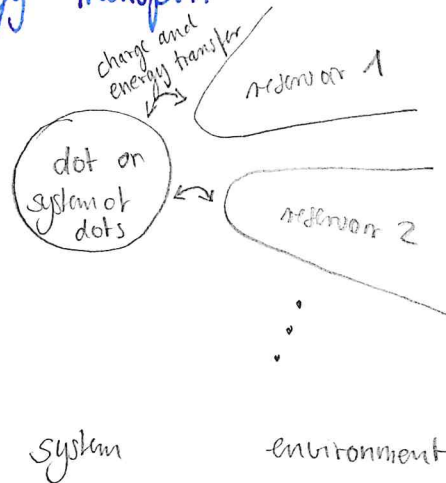
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0. Introductory remarks

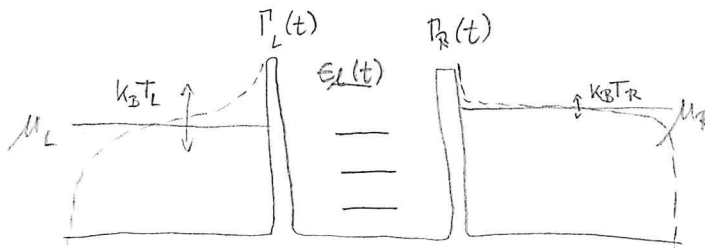
- energy transport (main topic of the college)
- charge transport
 - constitutes a relevant means to transform heat to electrical work
or to use electrical work for cooling
- what is the interest in time-dependently driven systems?
 - * heat engines relying on cycles
 - * absorb, store, release energy
 - * possibly break Onsager relations (which require time-reversal symmetry)
 - * no energy conservation anymore
 - * use energy-transport as a tool to do spectroscopy on time-dependent systems
(or vice versa: use time-dependent current source to sample energy-dependent properties of a device)

- electron system \rightarrow example quantum dot devices

Take quantum dots as an example (simple and fundamental), which can be used in the context of heat engines or - more generally - charge/heat/energy transport

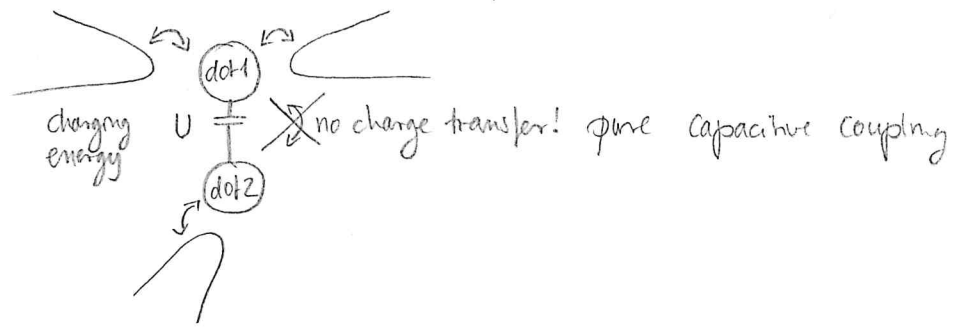


- * discrete levels - constitute single-electron control and an ideal spectrum for thermoelectrics
- See also: Hicks & Dresselhaus '1993
Mahan & Sofo '1996



- * control over coupling/decoupling from/to electronic (heat) baths.
- * control via gates - do electric work by moving up/down electrons in energy space

- * Coulomb (capacitive) coupling to other device parts as a means to transfer energy (without charge)



- * quantization and quantum open system effects can be observed in quantum dot systems (via their transport properties) and can be exploited (e.g. in heat engines)

1. Quantum dot devices - Model and dynamics

1.1. Model for the quantum dot system

Describe the system by a Hamiltonian (operator)

$$\begin{aligned} H_{\text{tot}} &= H + H_{\text{res}} + H_{\text{tun}} \\ &= H + \sum_{\alpha} (H_{\text{res},\alpha} + H_{\text{tun},\alpha}) \end{aligned}$$

↑
sum over all reservoirs

* RESERVOIRS are large and effectively non-interacting:

$$H_{\text{res},\alpha} = \sum_{k,\sigma} E_{\alpha k\sigma} c_{\alpha k\sigma}^{\dagger} c_{\alpha k\sigma}$$

the occupation of these reservoirs is determined by a Fermi function

$$f_{\alpha}(E) = \frac{1}{1 + e^{(E - \mu_{\alpha})/k_B T_{\alpha}}} \quad \begin{array}{l} \text{with electrochemical potential } \mu_{\alpha} \\ \text{and temperature } T_{\alpha} \end{array}$$

their density matrix is the one of a grandcanonical ensemble:

$$\rho_{\alpha} = \frac{1}{Z_{\alpha}} e^{- (H_{\text{res},\alpha} - \mu_{\alpha} \hat{N}_{\alpha}) / k_B T_{\alpha}}$$

with partition function Z_{α}

$$\text{and } \hat{N}_{\alpha} = \sum_{k,\sigma} c_{\alpha k\sigma}^{\dagger} c_{\alpha k\sigma}$$

* the system couples to these reservoirs via tunneling of particles:

$$H_{\text{tun}, \alpha} = H_{\text{tun}, \alpha}^+ + H_{\text{tun}, \alpha}^-$$

$$\text{with } H_{\text{tun}, \alpha}^+ = \sum_{k, \sigma, \ell} t_{k\sigma\ell}^{\alpha*} d_{\ell\sigma}^+ c_{\alpha k\sigma}$$

$$H_{\text{tun}, \alpha}^- = \sum_{k, \sigma, \ell} t_{k\sigma\ell}^{\alpha} c_{\alpha k\sigma}^+ d_{\ell\sigma}$$

here we took tunneling to be spin-conserving

↑
some orbital of the quantum dot system

this sets the "coupling strength" $\Gamma \sim \nu |t|^2$ between system + bath
↑
reservoir density of states

* quantum dot system:

$$H = \sum_s E_s |s\rangle \langle s|$$

with eigenenergies and eigenstates of the (isolated) system:

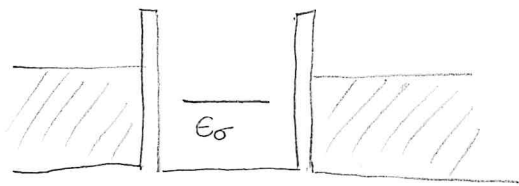
$$H|s\rangle = E_s |s\rangle$$

eigenstates are in general not given by single-particle states (Slater determinant) due to strong onsite interaction

for example: onsite Coulomb interaction, spin-spin interaction ...

1.2. Example systems of this course

A: Single-level quantum dot (single impurity Anderson model (SIAM))



$$H = \sum_{\sigma} \epsilon_{\sigma} d_{\sigma}^{\dagger} d_{\sigma} + U \hat{n}_{\uparrow} \hat{n}_{\downarrow}$$

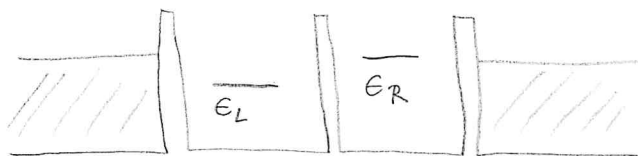
$$\text{with } \hat{n}_{\sigma} = d_{\sigma}^{\dagger} d_{\sigma}$$

Eigenstates + Eigenenergies.

$$|S\rangle \in \{ |0\rangle, |\uparrow\rangle, |\downarrow\rangle, |d\rangle \}$$

$$E_S \in \{ 0, \epsilon_{\uparrow}, \epsilon_{\downarrow}, \epsilon_{\uparrow} + \epsilon_{\downarrow} + U \}$$

B: double dot



$$H = \sum_{\alpha=L,R} \epsilon_{\alpha} \hat{n}_{\alpha} + U \hat{n}_L \hat{n}_R + U' \sum_{\alpha} \hat{n}_{\alpha\uparrow} \hat{n}_{\alpha\downarrow}$$

$$- \frac{t_c}{2} \sum_{\sigma=\uparrow,\downarrow} (d_{L\sigma}^{\dagger} d_{R\sigma} + \text{h.c.})$$

$$\text{with } \hat{n}_{\alpha} = \sum_{\sigma} \hat{n}_{\alpha\sigma}$$

$$\hat{n}_{\alpha\sigma} = d_{\alpha\sigma}^{\dagger} d_{\alpha\sigma}$$

We now assume that U' is the largest energy scale and exclude states of local double occupation.

Eigenstates: $|S\rangle \in \{ |0\rangle, |b,\uparrow\rangle, |b,\downarrow\rangle, |a,\uparrow\rangle, |a,\downarrow\rangle, |1\uparrow\uparrow\rangle, |1\uparrow\downarrow\rangle, |1\downarrow\uparrow\rangle, |1\downarrow\downarrow\rangle \}$

with (molecular) bonding and antibonding states

$$|b/a, \sigma\rangle = \frac{1}{\sqrt{2}} \left[\sqrt{1 \pm \frac{E}{\sqrt{E^2 + t_c^2}}} |R, \sigma\rangle \pm \sqrt{1 \mp \frac{E}{\sqrt{E^2 + t_c^2}}} |L, \sigma\rangle \right]$$

↑ local single-dot states
(here no eigenstates anymore)

with $E = E_L - E_R$

Eigenenergies: $E_S \in \{ 0, E_b, E_a, E_L + E_R + U \}$

with $E_{b/a} = E \mp \frac{1}{2} \sqrt{E^2 + t_c^2}$

and $E = \frac{1}{2} (E_L + E_R)$

these states are obtained from diagonalizing this local Hamiltonian!

1.3. Master equation for quantum dot dynamics

We are interested in the time-evolution of the dot-system states (in the presence of weakly coupled reservoirs) and measurable transport quantities / observables like charge and energy or heat currents flowing through the driven dot system.

Here, we will use a Master equation approach, which is particularly useful for the description of

- strongly interacting [→ prevents using a noninteracting approach]
- small [allows to easily diagonalize the local system (see examples)]
- weakly coupled [justifies an approximation based on perturbation theory in system-bath coupling]

systems.

We start with a short overview over the equations that will be used for the study of the examples. (1st order in the tunnel coupling).

Reduced density matrix of the system:

$$\text{Tr}_{\text{res}} \{ \rho^{\text{tot}} \} = \rho$$

Diagonal elements of ρ are the occupation probabilities of the dot-system energy eigenstates: $P = \{ P_s \}$ (vector)

It's time evolution fulfills a Master equation

$$\frac{d}{dt} P_s(t) = \sum_{s'} W_{ss'} P_{s'}(t)$$

with the transition matrix (kernel) introducing dissipation due to tunneling processes to the reservoirs:

$$W_{ss'} = \sum_{\alpha} W_{ss'}^{\alpha} \quad [\text{tunneling wrt different reservoirs}]$$

with the Stückelberg condition (imposed by probability conservation)

$$W_{ss} = - \sum_{s'} W_{s's}$$

Rewrite the Master equation in a different (well-known) form:

$$\boxed{\frac{d}{dt} P_s(t) = \sum_{s'} \left[\underset{\substack{\uparrow \\ \text{gain}}}{W_{ss'} P_{s'}} - \underset{\substack{\uparrow \\ \text{loss}}}{W_{s's} P_s} \right]}$$

with $\sum_s P_s(t) = 1$ and $\sum_s \dot{P}_s = 0$ (conserved probabilities)

* For the stationary state (absence of driving), we have

$$\dot{P} = 0 \quad \boxed{0 = \sum_{s'} W_{ss'} P_{s'}}$$

* For slow, continuous driving, for example with a driving frequency $\Omega = 2\pi/\tau$, it can be useful to expand the Master equation in orders of the driving frequency:

$$\boxed{\dot{P}_s^{(k-1)}(t) = \sum_{s'} W_{ss'} P_{s'}^{(k)}(t)}$$

$k > 0$: delayed response of the system to the time-dependent modulation

To calculate the resulting probabilities and their dynamics, we need an explicit form for W

→ given by Fermi's golden rule.

For transitions $|s'\rangle \rightarrow |s\rangle$ with $N_s = N_{s'} + 1$

(and hence $N_{rs,\alpha} \rightarrow N_{rs,\alpha} - 1$)

$$W_{ss'}^{\alpha+} = \frac{2\pi}{\hbar} \sum_{\chi, \chi'} |\langle s\chi | H_{\text{cun},\alpha}^+ | s'\chi' \rangle|^2 \nu_{\alpha}(\chi') \delta(E_s + E_{\chi} - E_{s'} - E_{\chi'})$$

\uparrow
 initial + final reservoir states

$\underbrace{\hspace{10em}}$
 energy of final state - energy of initial state

and equivalently for transitions $|s'\rangle \rightarrow |s\rangle$ with $N_s = N_{s'} - 1$ described by $W_{ss'}^{\alpha-}$.

Leads to

$$W_{ss'}^{\alpha+} = \frac{1}{\hbar} \Gamma_{\alpha} \left| \langle s | \sum_{\ell} x_{\ell} d_{\ell\alpha}^{\dagger} | s' \rangle \right|^2 f_{\alpha}(E_s - E_{s'})$$

$$W_{s's}^{\alpha-} = \frac{1}{\hbar} \Gamma_{\alpha} \left| \langle s | \sum_{\ell} x_{\ell} d_{\ell}^{\dagger} | s' \rangle \right|^2 (1 - f_{\alpha}(E_s - E_{s'}))$$

coupling strength

selection rules

Pauli principle + energy conservation

with $\Gamma_{\alpha} = \sum_{\mathbf{k}} 2\pi \nu_{\alpha} |t_{\alpha}|^2$

and assuming $t_{k\ell\alpha}^{\alpha} \sim t_{\alpha} x_{\ell}$

Charge, energy, and heat currents

Similar equations can be found for the relevant transport observables. We have

$$I_{\alpha}^N = - \frac{d}{dt} \langle \hat{N}_{\alpha} \rangle$$

particle current out of the reservoirs into the system.

$$I_{\alpha}^N = + \sum_{s,s'} (n_s - n_{s'}) W_{ss'}^{\alpha} P_{s'}$$

From this, obtain the charge current as

$$I_{\alpha} = -e I_{\alpha}^N$$

with the electron charge $-e$

$$I_{\alpha}^E = - \frac{d}{dt} \langle H_{res,\alpha} \rangle$$

energy current out of the reservoirs, into the system

we assume $H_{res,\alpha}$ not to be explicitly time-dependent

$$I_{\alpha}^E = \sum_{s,s'} (E_s - E_{s'}) W_{ss'}^{\alpha} P_{s'}$$

From this, obtain the heat current

$$J_{\alpha} = I_{\alpha}^E - \mu_{\alpha} I_{\alpha}^N$$

$\hat{=}$ current of excess energy wrt the reservoir's electrochemical potential \rightarrow is dissipated as heat.

All these currents are time-dependent (via time-dependent parameters entering W and via $P(t)$) if we do not only consider the stationary state.

1.4. Derivation of the time-dependent Master equation

and transport observables - rough overview and selected aspects

We want to calculate objects of the type $\langle \hat{A}(t) \rangle$

where \hat{A} could be a current operator or a projector on a certain dot state (to calculate elements of the reduced density matrix)

$$\langle \hat{A}(t) \rangle = \text{Tr} \underset{\substack{\uparrow \\ \text{system trace}}}{\text{Tr}_{\text{res}}} \left\{ \rho^{\text{tot}}(t) \hat{A} \right\}$$

Schrödinger picture with

$$\begin{aligned} \partial_t \rho^{\text{tot}}(t) &= -\frac{i}{\hbar} [H_{\text{tot}}, \rho^{\text{tot}}(t)] \\ &=: -\frac{i}{\hbar} L_{\text{tot}} \rho^{\text{tot}}(t) \end{aligned}$$

At an initial time t_0 , we assume reservoirs and system to be decoupled

$$\rho^{\text{tot}}(t_0) = \rho_0^{\text{tot}} = \rho(t_0) \prod_{\alpha} \rho_{\alpha,0} \quad \text{with } \rho_{\alpha,0} = \frac{1}{Z_{\alpha,0}} e^{-(H_{\text{res},\alpha} - \mu_{\alpha} \hat{N}_{\alpha}) / k_B T_{\alpha}}$$

with μ_{α}, T_{α} at $t = t_0$

the system has some arbitrary density matrix $\rho(t_0) = \rho_0$ at t_0

time-evolution of the reduced density matrix

Remember that we are not interested in reservoir states ...

$$\begin{aligned} \rho(t) &= \text{Tr}_{\text{res}} \left\{ \rho^{\text{tot}}(t) \right\} = \text{Tr}_{\text{res}} \left\{ e^{-iL_{\text{tot}} t} \rho_{\text{res},0} \right\} \rho_0 \\ &\equiv \underbrace{\Pi(t, t_0)}_{\text{propagator}} \rho_0 \end{aligned}$$

perceiving the coupling between system and reservoirs as a "perturbation", it makes sense to go to the interaction picture

with $H_{\text{res}} + H$

uncoupled system

H_{tun}

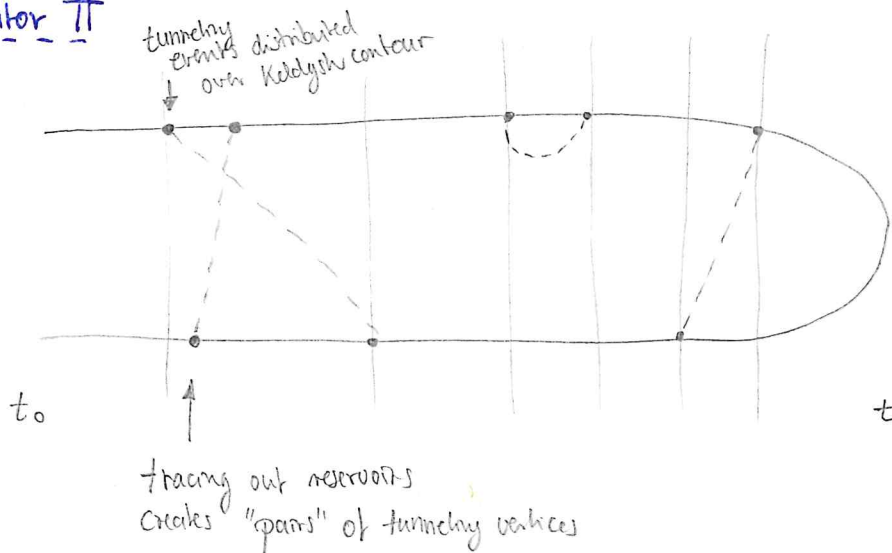
coupling (the "interaction")

$$\rightarrow \langle \hat{A}(t) \rangle = \text{Tr} \rho_0 \text{Tr}_{\text{res}} \left\{ \rho_{\text{res},0} T_K e^{-i \int_K H_{\text{tun}}(t')_I} \hat{A}(t)_I \right\}$$

↑
Keldysh time-ordering

time-integral over Keldysh contour

⇒ propagator Π



The propagator $\Pi(t, t_0)$ fulfills a Dyson equation

$$\Pi(t, t_0) = \Pi_0(t, t_0) + \int dt_1 \int dt_2 \Pi_0(t, t_1) W(t_1, t_2) \Pi(t_2, t_0)$$

$W(t_1, t_2)$ is a self energy (sum over all irreducible diagrams) related to the kernel of the Master equation W , considered before [Here, for weakly coupled systems, we consider only 1-line diagrams $\sim \Gamma$]

From this one can derive:

$$\frac{d}{dt} P_S(t) = \sum_{S'} \int_{t_0}^t dt' W_{SS'}(t, t') P_{S'}(t')$$

Here, we can observe a number of important facts and issues!

(i) in general, $P_S(t)$ depends on all occupation probabilities at all earlier times t' .

(ii) in general, W depends on 2 times

(A possibly time-dependent Hamiltonian is crucial here)

(iii) macroscopic properties, like μ_α, T_α enter only with their values at t_0 !

To discuss these issues (in particular i,ii) we introduce some relevant time scales

τ_{res} : memory time or decay time of the kernel

for $|t-t'| \gg \tau_{\text{res}}$ we have $W(t,t') = 0$

typically given by $1/k_B T$ in our case

τ_{drive} : time scale of a (continuous) driving

For periodically driven systems $\sim \frac{1}{\Omega}$ (but also amplitude of driven parameters can play a role !!)

τ_{tun} : time scale on which occupation probabilities

change due to tunneling events $\sim \frac{1}{\Gamma} \left(\frac{1}{W} \right)$

(i) $\frac{d}{dt} P(t) = \int_{t_0}^t dt' W(t, t') P(t')$

↑
expand around the final time t

$$= \int_{t_0}^t dt' W(t, t') [P(t) + (t-t')\dot{P}(t) + \dots]$$

These terms can be neglected in the so-called Markov approximation

justified if $\tau_{\text{cun}}, \tau_{\text{drive}} \gg \tau_{\text{res}}$

namely for weakly coupled, slowly driven systems

⇒ in Markov approximation

$$\frac{d}{dt} P \approx \left[\int_{t_0}^t dt' W(t, t') \right] \cdot P(t)$$

see PRB 74, 085305 (2006)
for an extension of this

(ii) $W(t, t')$ does not only depend on a time difference
if the Hamiltonian H_{tot} is time-dependent on a time-scale of the kernel decay time

However, if $\tau_{\text{drive}} \gg \tau_{\text{res}}$, we can write

$$W(t, t') = W_t(t-t')$$

↑
all parameters taken at time t

in lowest order $\tau_{\text{res}}/\tau_{\text{drive}}$

see PRB 74, 085305 (2006)
for an extension of this

$$\Rightarrow \frac{d}{dt} P(t) \approx W_t P(t)$$

↑
golden-rule kernel
calculated previously

with $W_t = \int_{t_0}^t dt' W_t(t-t')$

Zero-frequency Laplace transform
of the kernel

A further expansion of this equation in orders in the driving frequency is justified if $\tau_{\text{drive}} \gg \tau_{\text{tan}}$

$$\rightarrow \frac{d}{dt} P^{(k-1)}(t) = W_k P^{(k)}(t)$$

(k) : order in the driving frequency

(iii) How to model time-dependent temperature or electrochemical potential?

\rightarrow see e.g. Luttinger, Phys. Rev. 135, A 1505 (1964)
Hasegawa & Kato J. Phys. Soc. Jpn 86, 024710 (2017)
"thermo-mechanical model"

idea: start with a time-dependent reservoir Hamiltonian

$$H_{\text{res},\alpha}(t) = c(t) H_{\text{res},\alpha}^{\circ} + v(t) \hat{N}_{\alpha}$$

$$\Rightarrow E_{\alpha k \sigma}(t) = c(t) E_{\alpha k \sigma}^{\circ} + v(t)$$

time-dependence should be adiabatic \rightarrow no entropy change:

$$\frac{dS_{\alpha}}{dt} = -k_B \sum_{k\sigma} \dot{P}_{\alpha k \sigma} \ln P_{\alpha k \sigma} = 0$$

implying $f_{\alpha}(E_{\alpha k \sigma}(t) - \mu_{\alpha}(t)) \equiv \sigma$ to have $\dot{P}_{\alpha k \sigma} = 0$

$$\Rightarrow \frac{1}{T_{\alpha}^{\circ}} (E_{\alpha k \sigma}^{\circ} - \mu_{\alpha}^{\circ}) = \frac{1}{T_{\alpha}(t)} (E_{\alpha k \sigma}(t) - \mu_{\alpha}(t)) = \frac{1}{T_{\alpha}(t)} (c(t) E_{\alpha k \sigma}^{\circ} + v(t) - \mu_{\alpha}(t))$$

fulfilled if

$$\begin{aligned} T_{\alpha}(t) &= c(t) T_{\alpha}^{\circ} \\ \mu_{\alpha}(t) &= c(t) \mu_{\alpha}^{\circ} + v(t) \end{aligned}$$

is intuitively clear, since lifting all energies by $v(t)$ corresponds to changing μ when stretching/squeezing the spectrum via $c(t)$, populations can only be kept constant if the temperature changes.

Charge and energy currents

particle current flowing out of reservoir α

$$\begin{aligned} I_{\alpha}^N &= -\frac{d}{dt} \langle \hat{N}_{\alpha} \rangle \\ &= \frac{i}{\hbar} \langle [\hat{N}_{\alpha}, H_{\text{tot}}] \rangle \\ &= \frac{i}{\hbar} \langle [\hat{N}_{\alpha}, H_{\text{tun},\alpha}] \rangle \end{aligned}$$

change/particle currents are conserved!! This can easily be shown exploiting

$$[\hat{N}_{\alpha}, H_{\text{tun},\alpha}] = -[\hat{n}, H_{\text{tun},\alpha}]$$

$$\Rightarrow \sum_{\alpha} I_{\alpha}^N = \frac{i}{\hbar} \sum_{\alpha} \langle [\hat{N}_{\alpha}, H_{\text{tun},\alpha}] \rangle = -\frac{i}{\hbar} \langle [\hat{n}, H_{\text{tun}}] \rangle = \frac{d}{dt} \langle \hat{n} \rangle$$

\Rightarrow sum over all particles tunneling out of the reservoirs is equal to the amount of particles tunneling into the system!

Note that $\frac{d}{dt} \langle \hat{n} \rangle$ can only be finite on short times (and not in the stationary case) since the total charge that can be stored in the system is bounded!

concrete evaluation of I_{α}^N

$$\begin{aligned} I_{\alpha}^N &= -\frac{d}{dt} \langle \hat{N}_{\alpha} \rangle = \frac{i}{\hbar} \langle [\hat{N}_{\alpha}, H_{\text{tun},\alpha}] \rangle = \frac{i}{\hbar} \text{Tr} \text{Tr}_{\text{res}} \{ [\hat{N}_{\alpha}, H_{\text{tun},\alpha}] \rho^{\text{tot}}(t) \} \\ &= -\frac{i}{\hbar} \text{Tr} \text{Tr}_{\text{res}} \{ [\hat{n}, H_{\text{tun},\alpha}] \rho^{\text{tot}}(t) \} \\ &= \text{Tr} \left\{ \hat{n} \underbrace{\text{Tr}_{\text{res}} \left\{ -\frac{i}{\hbar} [H_{\text{tun},\alpha}, \rho^{\text{tot}}(t)] \right\}} \right\} \end{aligned}$$

This is what leads to the Master equation (here wrot H_{α} only)

$$\Rightarrow I_{\alpha}^N = \text{Tr} \{ \hat{n} W_{\alpha} S \}$$

Small exercise: show that this leads to the expression given in 1.3.
take the simple STAT and use the Stückelberg relation.

We will later write this in the more compact way

$$I_{\alpha}^N = (n | W_{\alpha} | S)$$

energy current flowing out of reservoir α

$$I_{\alpha}^E = - \frac{d}{dt} \langle H_{\alpha} \rangle = \frac{i}{\hbar} \langle [H_{\alpha}, H_{\text{tot}}] \rangle - \langle \dot{H}_{\alpha} \rangle$$

↑ power due to external driving

We now assume $\dot{H}_{\alpha} = 0$

(while H, H_{tun} can still be time-dep.)

$$\rightarrow I_{\alpha}^E = \frac{i}{\hbar} \langle [H_{\alpha}, H_{\text{tun}, \alpha}] \rangle$$

Note that the situation is very different from the charge current

since in general $[\sum_{\alpha'} H_{\alpha'} + H, H_{\text{tun}, \alpha}] \neq 0$

\Rightarrow energy currents out of reservoirs do not equal energy currents into the system!

We rather have:

$$\sum_{\alpha} I_{\alpha}^E + \sum_{\alpha} I_{\text{tun}, \alpha}^E = \frac{d}{dt} \langle H \rangle$$

↑
energy current into barriers

The important question arises, whether the tunneling barriers are part of the system or of the environment

→ relevant for thermodynamics considerations of time-dependent systems!!

see e.g. Ludovico et al. PRB 94, 035436 (2016)
Esposito...

Compact evaluation of I_E^α

$$I_E^\alpha = - \frac{d}{dt} \langle H_\alpha \rangle = \frac{i}{\hbar} \langle [H_\alpha, H_{\text{tun},\alpha}] \rangle$$

$$= \frac{i}{\hbar} \text{Tr} \text{Tr}_{\text{res}} \left\{ [H_\alpha, H_{\text{tun},\alpha}] \rho^{\text{tot}}(t) \right\}$$

We now assume that tunneling to reservoir α conserves the energy of the total system.

This means that we do not consider photon-emission due to tunneling etc.

namely: $[H_{\text{tot}}, H_{\text{tun},\alpha}] = 0 = \left[\sum_{\alpha'} H_{\alpha'} + H + \sum_{\alpha' \neq \alpha} H_{\text{tun},\alpha'}, H_{\text{tun},\alpha} \right]$

and also $\left[H_\alpha + H + \sum_{\alpha' \neq \alpha} H_{\text{tun},\alpha'}, H_{\text{tun},\alpha} \right] = 0$

$$\Rightarrow I_E^\alpha = - \frac{i}{\hbar} \text{Tr} \text{Tr}_{\text{res}} \left\{ [H, H_{\text{tun},\alpha}] \rho^{\text{tot}}(t) \right\} - \frac{i}{\hbar} \text{Tr} \text{Tr}_{\text{res}} \left\{ \left[\sum_{\alpha' \neq \alpha} H_{\text{tun},\alpha'}, H_{\text{tun},\alpha} \right] \rho^{\text{tot}}(t) \right\}$$

$$= - \frac{i}{\hbar} \text{Tr} \left\{ H \text{Tr}_{\text{res}} \left\{ [H_{\text{tun},\alpha}, \rho^{\text{tot}}(t)] \right\} \right\} - \frac{i}{\hbar} \text{Tr} \text{Tr}_{\text{res}} \left\{ \left[\sum_{\alpha' \neq \alpha} H_{\text{tun},\alpha'}, H_{\text{tun},\alpha} \right] \rho^{\text{tot}}(t) \right\}$$

↑
at least second-order
in Γ !!

$$\Rightarrow I_E^{\omega} \approx \text{Tr} \{ H W_{\omega} \rho(t) \}$$

$$\rightarrow (H | W_{\omega} | \rho)$$

\Rightarrow this can again be shown to coincide with the expression shown in 1.3.