

School on  
Synchrotron Light Sources  
and their Applications



6 - 17 December 2021  
An ICTP Virtual Meeting  
Trieste, Italy

Further information:  
<http://indico.ictp.it/event/9445/>  
sm2019@ictp.it



**SESAME**

**S**ynchrotron-light for  
**E**xperimental **S**cience and  
**A**pplications in the **M**iddle **E**ast



**School on Synchrotron Light Sources and their Applications, 6 - 17 Dec. 2021**

# **EXAFS data fitting**

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# Acknowledgment

**Some slides are copied from other presentations by**

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# Goals

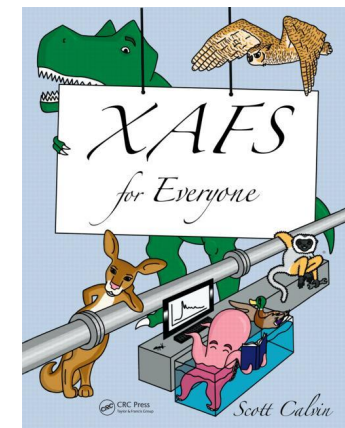
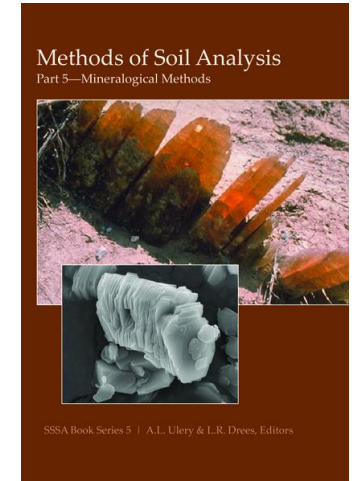
- ❑ Main goal is to learn the basics of how to perform shell fitting of EXAFS data using Artemis (*if time allows we can try WinXAS also*)
  
- ❑ Present basic techniques to fit “shells” of a Fourier transformed EXAFS spectrum
  - Briefly illustrate background subtraction & normalization
  - Provide a basic “protocol” for getting data loaded and starting a fit
  
- ❑ A “short course” to give you the skills to learn how to fit and provide learning resources
  - **Cannot be learned in one day, or few days**
  - Each system is distinct (crystalline, amorphous, sorption ...)

# Resources

- Software help with Demeter (Athena, Artemis)
  - <http://bruceravel.github.io/demeter>
- Video tutorials on Artemis
  - <https://vimeo.com/channels/exafs>
- IFEFFIT Mailing list
  - <https://www.mail-archive.com/ifeffit@millenia.cars.aps.anl.gov>
- \*Shelly Kelly et al., 2008. Soil Science Society of America, Methods of Soil Analysis. Part 5. Mineralogical Methods. Analysis of Soils and Minerals Using X-ray Absorption Spectroscopy, **Chapter 14**
- \*XAFS for Everyone (2013) by Scott Calvin
- **Many more resources listed at end of presentation**

<https://matthewsiebecker.com/xas-videos/>

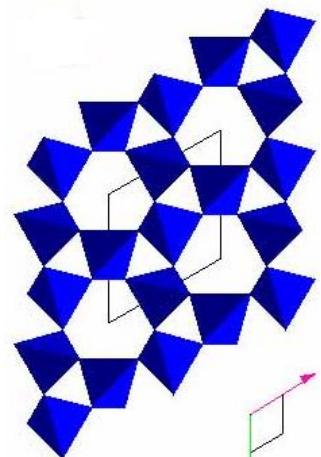
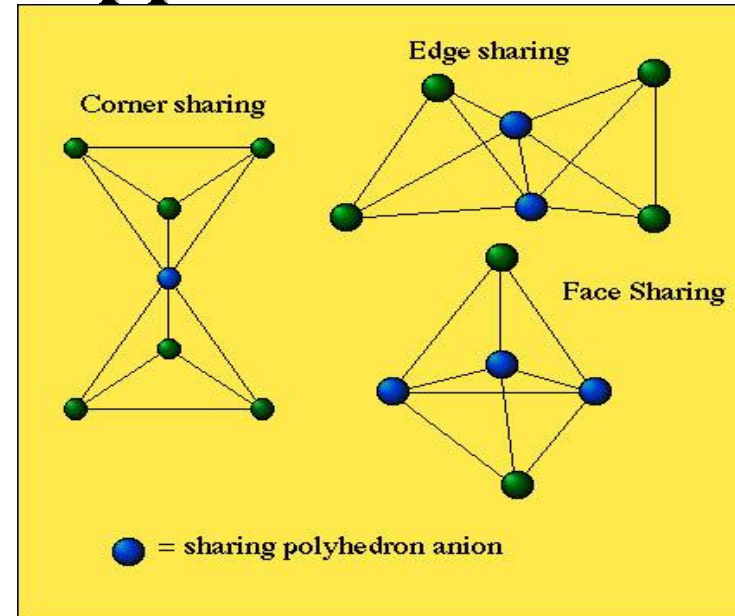
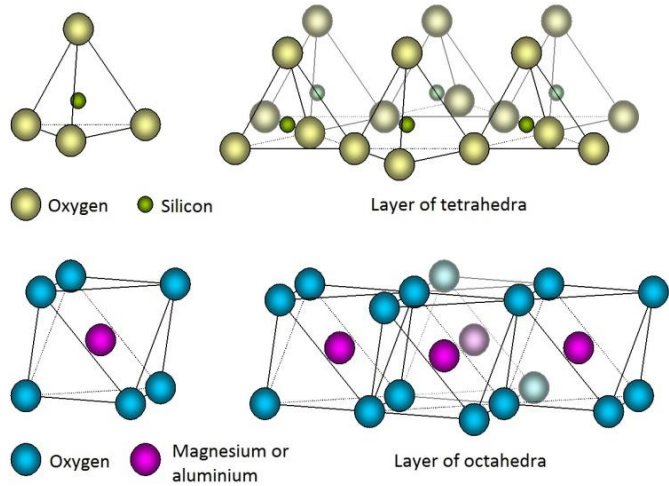
\*many figures in this presentation are copied straight from these resources



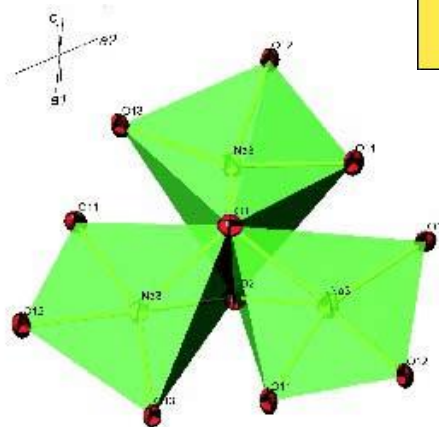
# Purpose of EXAFS Shell Fitting

- What is the purpose of EXAFS shell fitting?
  - determine inter atomic distances (distances between neighboring atoms)
  - determine coordination number (number of atoms at a specific distance)
  
- What can we do with this information?
  - confirm or disprove our hypotheses about the chemical species in which we are interested
  
- Generally the polyhedral approach is employed to understanding sorption, desorption, molecular bonding on mineral surfaces, atomic substitutions and defects in the structure, ....

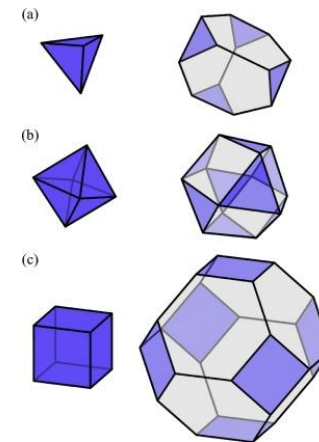
# The Polyhedral Approach



**Corner sharing**



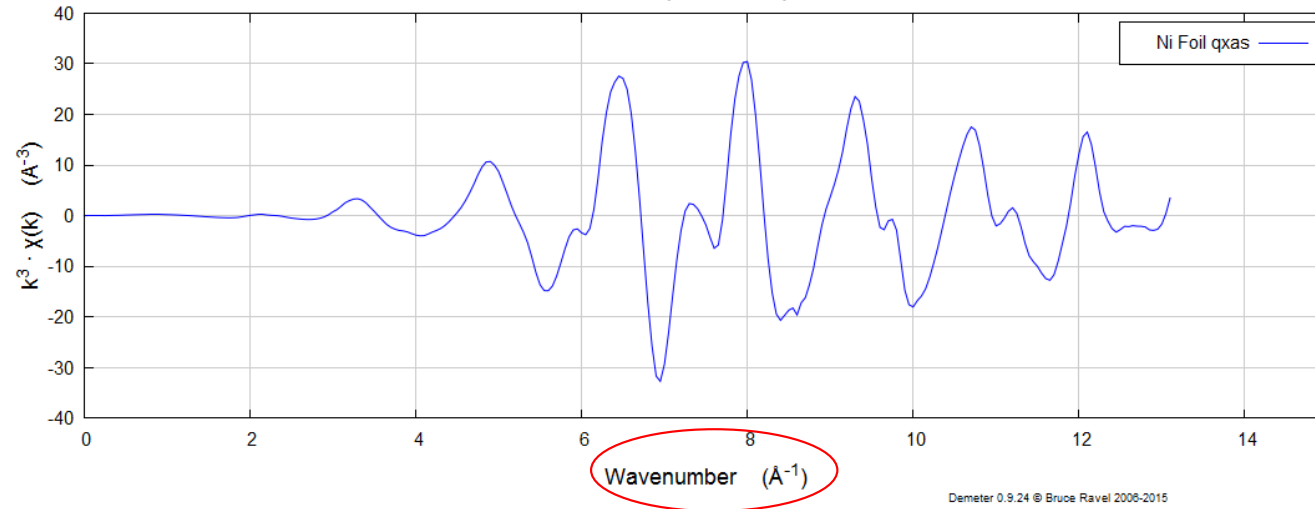
**Edge sharing**



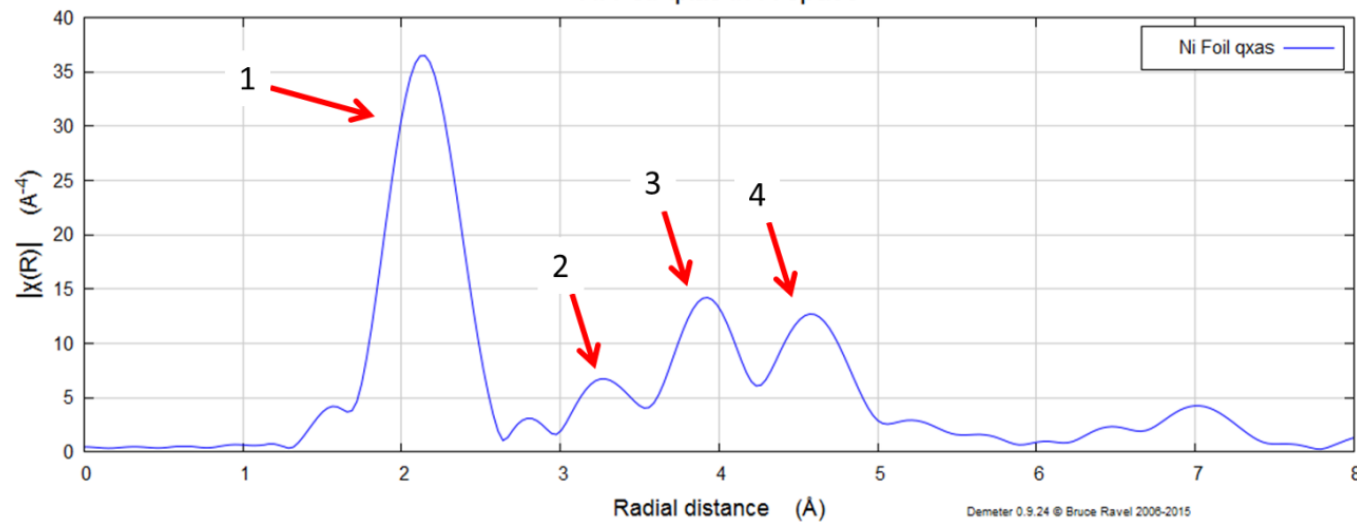
**surface sharing**

# What is a “shell” ?

Ni Foil qxas in k space



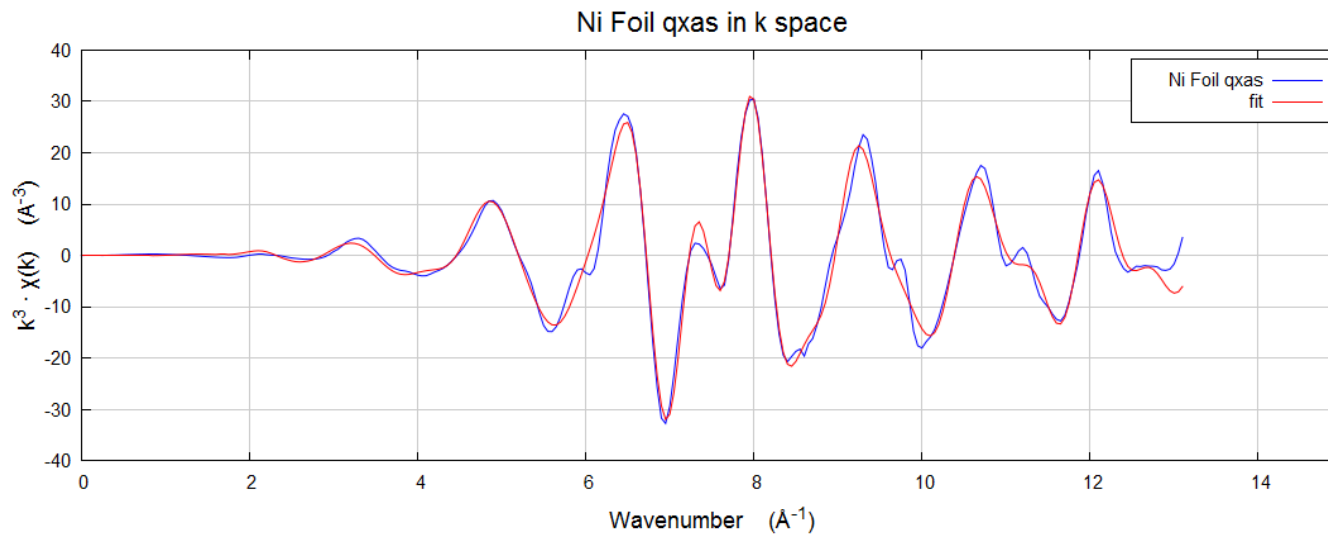
Ni Foil qxas in R space





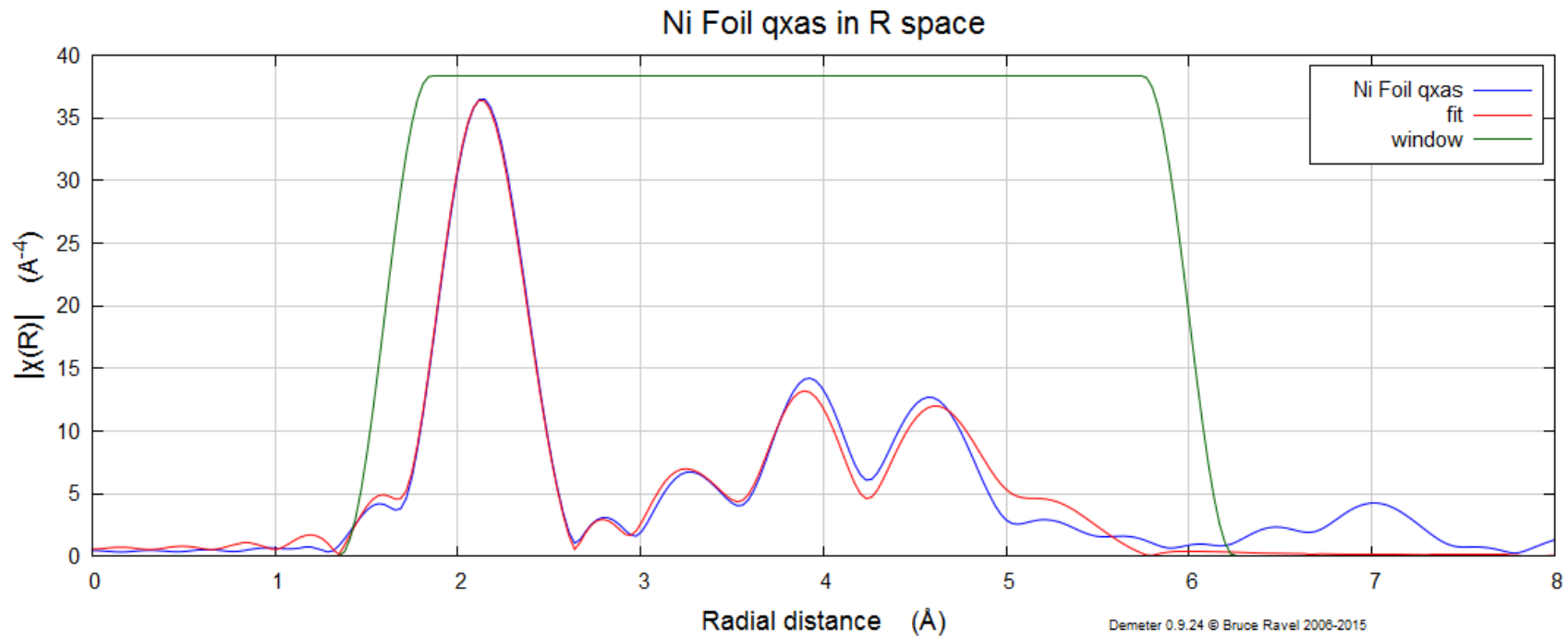
# How do we do EXAFS shell fitting?

- ❑ Try to get the squiggly lines to lie on top of each other
- ❑ Get the red line [the fit] follow the blue line [the data]
- ❑ Create a model that “fits” the data



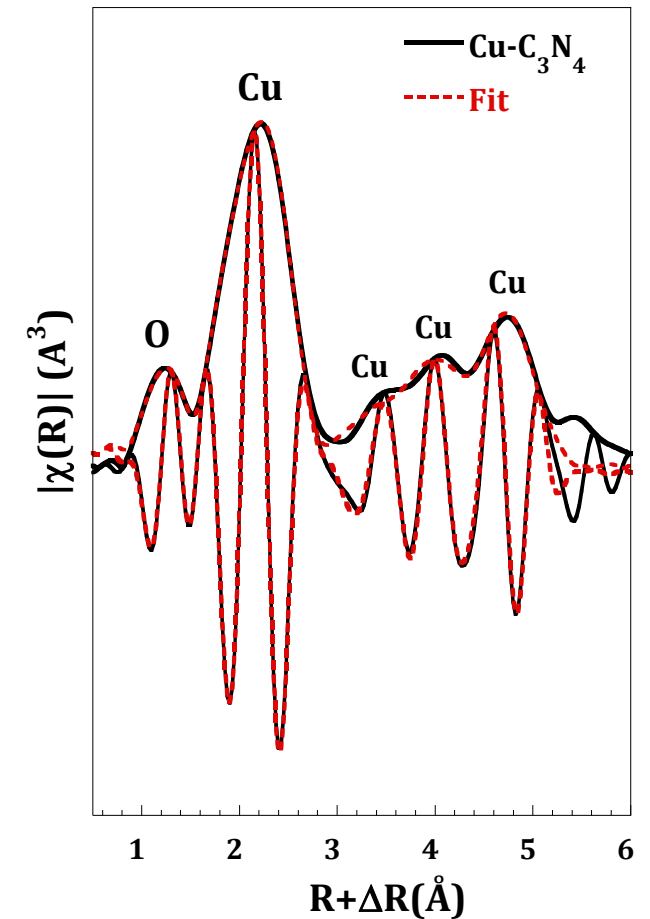
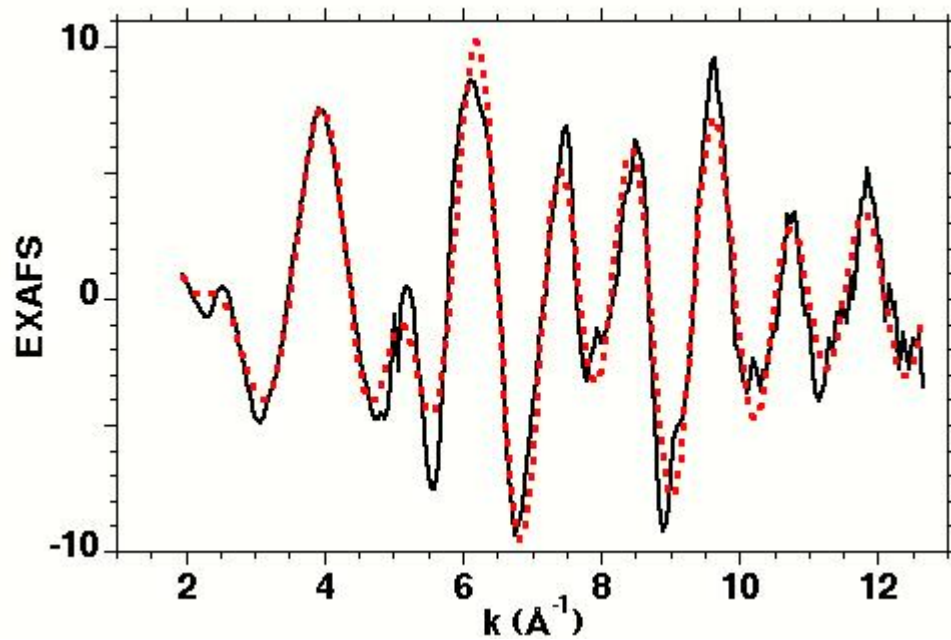
# EXAFS shell fitting

***A graphically (visually) “good” fit is not definitive. The statistical results and calculated values tell the real story of the fit (quantitative results).***



# EXAFS shell fitting

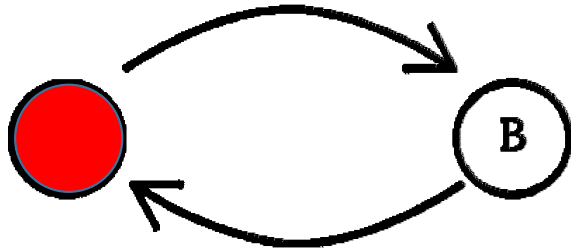
- EXAFS are modelled to derive structural parameters



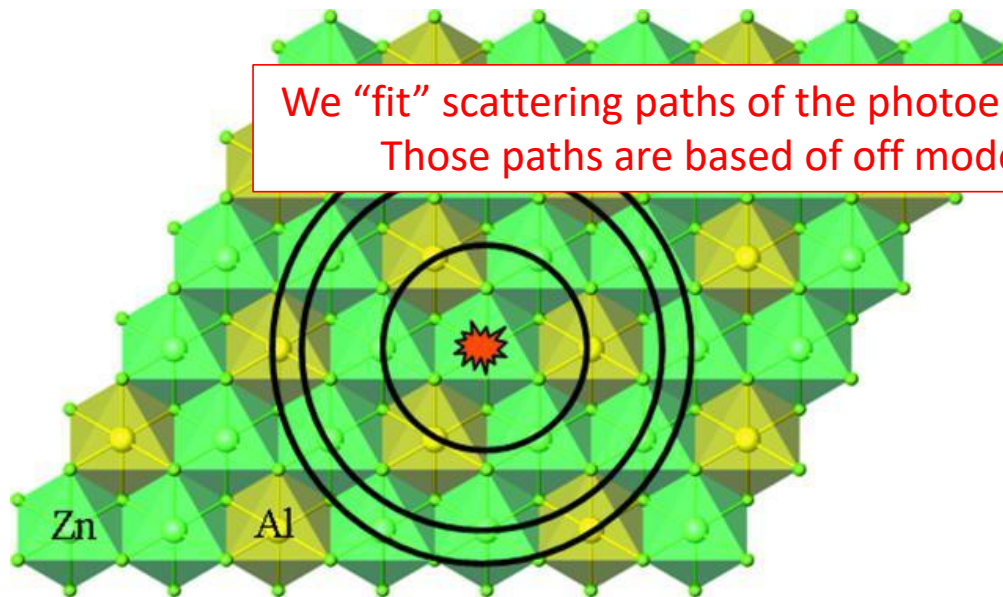
# What statistical results and calculated values?

- There are in general five (5) variables that you fit. These variables are part of the EXAFS equation.
- The EXAFS equation describes the features seen in EXAFS data (e.g., amplitude and frequency of oscillations)
  - to determine interatomic distances and coordination number we fit five variables that are part of the EXAFS equation.
- Create the best fit possible with the least number of fitted variables
  - “BEST FIT” = physically realistic values with lowest amount of misfit using least amount of fitted variables
  - R-factor, “chi square” ( $\chi^2$ ), “reduced chi square” ( $\chi^2_\nu$ )
  - Using constraints based on prior knowledge about the sample
    - Crystal structure, predicted interatomic distances, disorder (amorphous vs, crystalline)

# How do we fit?



A single scattering path (back and forth)



We "fit" scattering paths of the photoelectrons.  
Those paths are based of off models.

Funke et al 2007, doi:10.1107/S0909049507031901

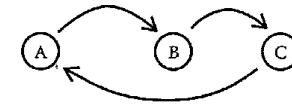


Figure 14.5 A focused path.

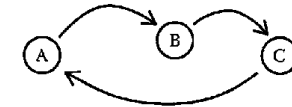


Figure 14.6 A partially focused path.



Figure 14.7 A double path.

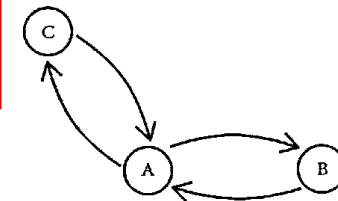


Figure 14.8 A conjoined path.

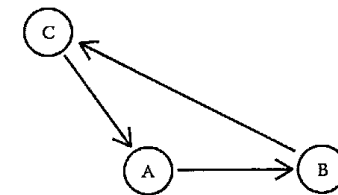


Figure 14.9 A triangle path.  
Calvin, 2013



# What do we fit?

$$\chi_i(k) \equiv \frac{(N_i S_0^2) F_{\text{eff}_i}(k)}{k R_i^2} \sin[2k R_i + \varphi_i(k)] e^{-2\sigma_i^2 k^2} e^{\frac{-2R_i}{\lambda(k)}}$$

Once a path has been selected for possible inclusion in a structural model, mathematical expressions for the EXAFS parameters (Eq. [5]) are defined. The parameters that are often determined from a fit to the EXAFS spectrum affect either the amplitude of the EXAFS oscillations ( $N$ ,  $S_0^2$ ,  $\sigma^2$ ) or the phase of the oscillations ( $\Delta E_0$  and  $\Delta R$ ).



- (1)  $N$  – coordination number (CN),
- (2)  $S_0^2$  – Amplitude reduction factor
- (3)  $\sigma^2$  – the disorder term
- (4)  $\Delta E$  – the change in energy from theoretical data
- (5)  $\Delta R$  – the change in interatomic distance

# Fitting variable “N”

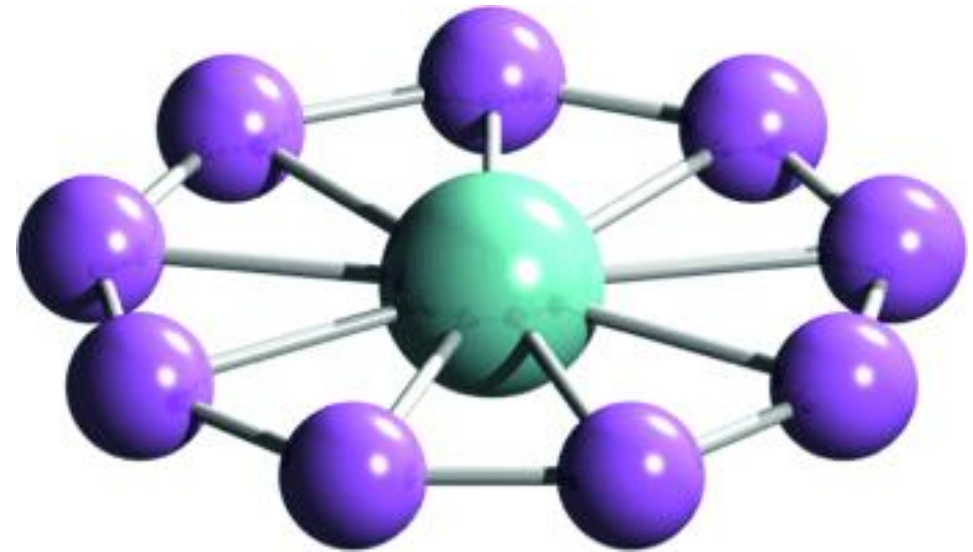
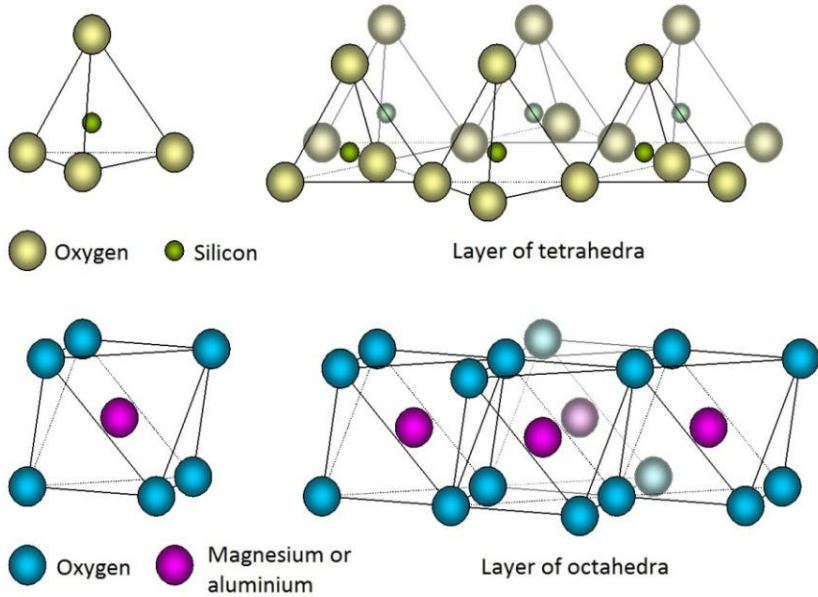
## N - coordination number (CN)

- Degeneracy (the number of equal path lengths)
- Typical values – never negative, generally less than 8 for oxides for single scattering
- When fitting a “standard” this value can be constrained to equal the CN of the model to determine  $S_o^2$
- Generally for the first shell, we have an idea about its value
  - For the next shells, It is guessed when fitting an unknown CN (e.g., sorption sample or precipitate)



# Fitting variable "N"

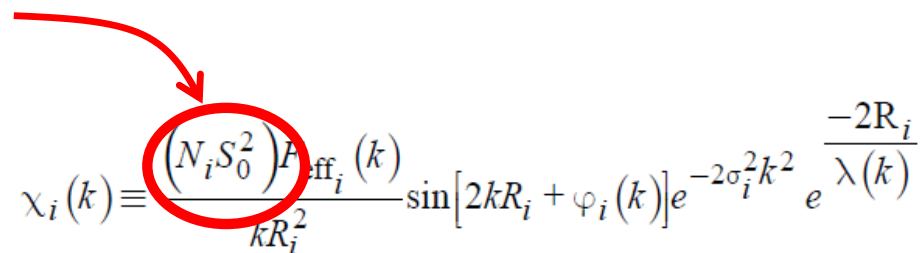
N - coordination number (CN)



# Fitting variable “ $S_0^2$ ”

## $S_0^2$ - Amplitude reduction factor

- Accounts for the slight relaxation of the remaining electrons in the presence of the core hole vacated by the photoelectron
- Is different for different elements, but the value is generally transferable between different species from the same element and the same edge (chemically transferable)
- Acceptable values
  - Should be “a bit less than 1”
  - 0.7 to 1.05 are reasonable, normal values commonly seen between 0.8 and 1
- Constraint: it should be the **same** value for every scattering path (all paths use the same value)
- Can be determined from the fit of a “standard” (e.g., well defined mineral or aqueous ion)
- Can be fixed to 0.9 but you **must** account for the added uncertainty to the CN (see how to do this in Calvin, 2013)
- Directly correlated to CN!

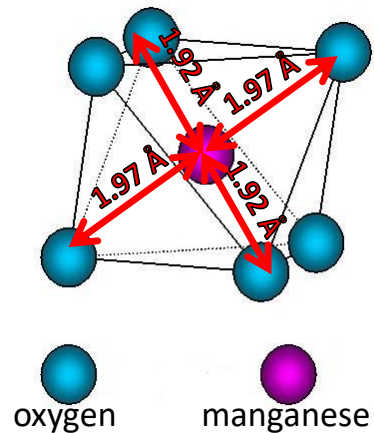

$$\chi_i(k) \equiv \frac{(N_i S_0^2) F_{\text{eff}_i}(k)}{k R_i^2} \sin[2k R_i + \varphi_i(k)] e^{-2\sigma_i^2 k^2} e^{\frac{-2R_i}{\lambda(k)}}$$

# Fitting variable “ $\sigma^2$ ”

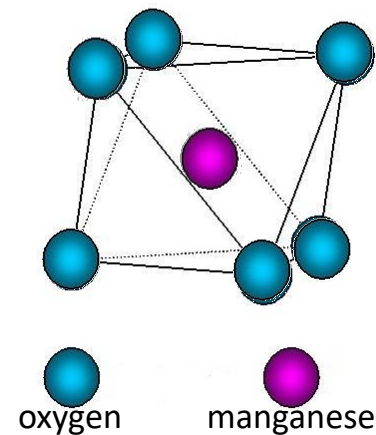
$\sigma^2$  - Sigma squared, MSRD

- ❑ The Mean Square Relative Displacement (MSRD)
  - Debye-Waller factor
- ❑ It is the variance in the half path length
  - the square of the standard deviation of the half path length
- ❑ Describes static and/or thermal disorder term

STATIC DISORDER



THERMAL DISORDER



# Fitting variable “ $\sigma^2$ ”

## $\sigma^2$ - Sigma squared, MSRD

- ❑ In general, the values for  $\sigma^2$  become bigger with increasing bond length or shell number (*Kelly et al., 2008*)
  - E.g., 0.006 for first shell, 0.01 for second shell
- ❑ Typical values: 0.002 to 0.03 Å<sup>2</sup>, if any larger, the path is so disordered that it is contributing little to the fit which can be a sign the model is wrong in some way (Calvin, 2013)
- ❑ There are many, many ways to constrain this variable:
  - Use one value “constrain” for atoms of similar type (Z) and similar distance
  - Constrain values for atoms overlapping in their error bars
  - Use one value for the first shell and one value for the second shell
  - See Calvin, 2013 for more discussion

## Fitting variable “ $\Delta E$ ”

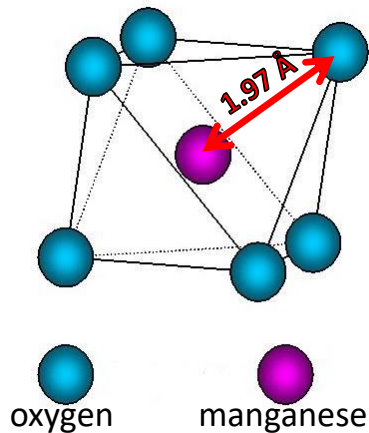
### $\Delta E$ – “delta E”

- ❑ This term relates to a change in the photoelectron energy and is used to align the energy scale of the theoretical spectrum to match the measured spectrum (Kelly et al., 2008)
  - [https://www.researchgate.net/publication/237626147\\_EXAFS\\_Energy\\_Shift\\_and\\_Structural\\_Parameters](https://www.researchgate.net/publication/237626147_EXAFS_Energy_Shift_and_Structural_Parameters)
- ❑ In general, these shifts should be less than 2 to 3 eV
  - See “Aligning Energy Scales of Experimental and Theoretical Spectra” in Kelly et al., 2008 for adjust for shifts larger than this
  - Generally, for "decent data" and a good fit  $E_0$  has uncertainty of 0.5 to 1.0 eV (IFEFFIT mail list)
- ❑  $E_0$  should always be on or near the rising portion of the edge, never on the smooth pre-edge or in the EXAFS region (Calvin, 2013)
- ❑ Constraint: Use one  $\Delta E$  for all scattering paths

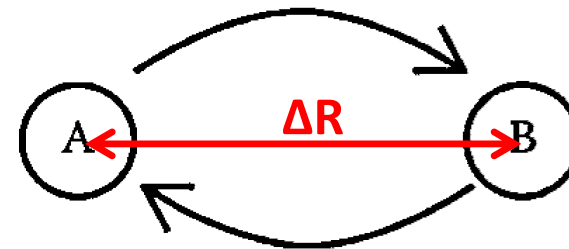
## $\Delta R$ – “delta R”

## Fitting variable “ $\Delta R$ ”

- This term represents a change to the interatomic distance relative to the initial path length
- Interatomic distance is the same thing as half path length, where path length is the length of the complete scattering path
- **Constraints:** isotropic *expansion–contraction* term applied to all paths (good for cubic systems) *or* values can be grouped depending on path length or direction (Kelly et al 2008)
- Generally, for "decent data" and a good fit, R has uncertainty of 0.01 or 0.02 Å (IFEFFIT mail list)

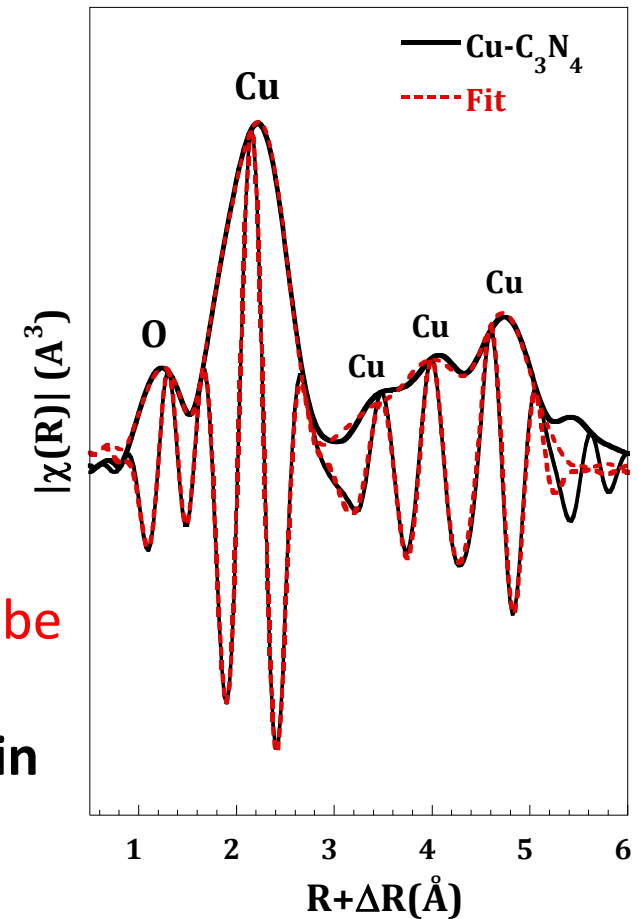


For example:  
if  $R_i = 1.97$   
and  $R = 2.04$   
then  $\Delta R = 0.07$



# Fit statistics – Goodness of the fit

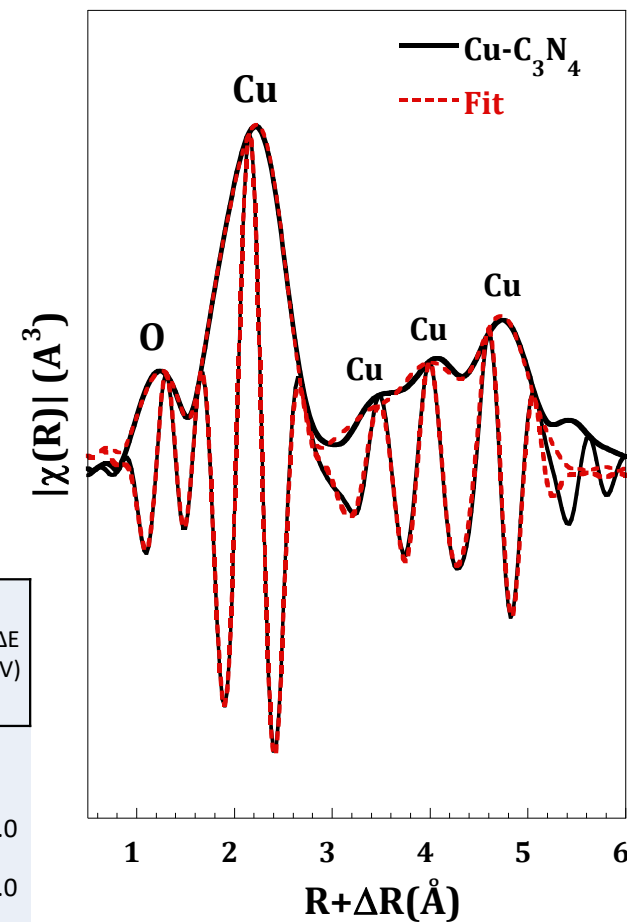
- Statistical parameters (the standard goodness-of fit parameters) include:
  - the number of independent points,  $N_{\text{idp}}$
  - the number of variables,  $N_{\text{var}}$ 
    - must be less than  $N_{\text{idp}}$
  - “chi square” ( $\chi^2$ )
  - “reduced chi square” ( $\chi^2_{\text{v}}$ )
  - R-factor
  - All these values are calculated by the software (except  $N_{\text{var}}$ )
- The isolated EXAFS signal is denoted as  $\chi(k)$  and should not be confused with the goodness-of-fit parameter  $\chi^2$
- The  $R$  factor is a major fit parameter that can be included in the published table



# What parameters to publish?

Bond	N (atom)	R (Å)	$\sigma^2$ (Å <sup>2</sup> )	$\Delta E$ (eV)
Cu-O1	2.2(02)	1.785(2)	0.00332(5)	2.6(1)
Cu-O2	6.7(05)	1.934(3)	0.01109(3)	-8.6(1)
Cu-Cu1	3.4(07)	2.534(1)	0.01193(1)	4.6(2)
Cu-Cu2	7.1(1)	3.638(5)	0.01305(2)	8.9(8)
Cu-Cu3	5.4 (05)	4.337(4)	0.01332(8)	-3.4(4)
Cu-Cu4	6.6(03)	5.163(2)	0.017875(3)	-7.3(2)

Sample & CIF reference	R-factor & # in Table 5	N <sub>idp</sub> & k-range fit	N <sub>var</sub> & R-range fit	$\chi^2$ & $\chi^2_n$	Path	CN	R (Å)	$\sigma^2$ (Å <sup>2</sup> )	$\Delta E$ (eV)	$\pm CN$	$\pm R$ (Å)	$\pm \sigma^2$ (Å <sup>2</sup> ) $\times 10^{-3}$	$\pm \Delta E$ (eV)
Ni-Acid birn.	0.007	13.5	9	3674	Ni-O	6.4	2.055	0.006	0.9	1.1	0.010	2	1.0
(Post and Veblen, 1990)	8	2.5 - 11.5	1.2 - 3.6	823	Ni-Mn	1.2	2.886	0.008	0.9	0.5	0.030	3	1.0
					Ni-Mn	5.5	3.494	0.008	0.9	1.7	0.014	3	1.0

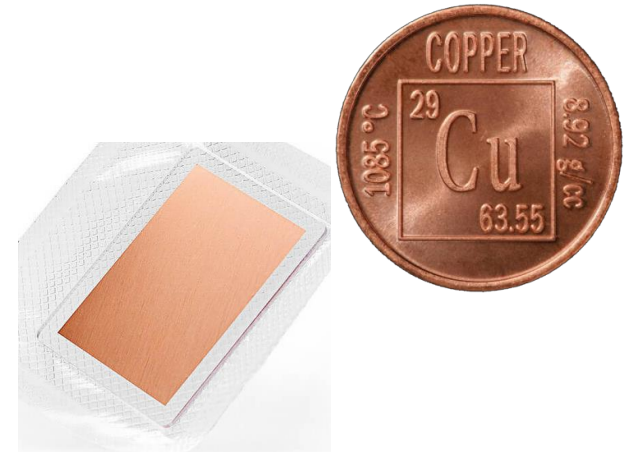




# Let's Start Fitting - Cu foil

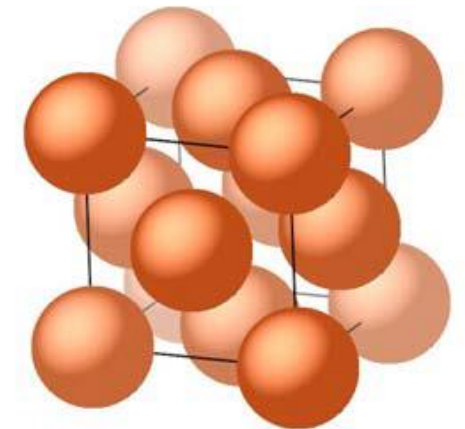
- Athena

- Data normalization
- Illustrate back FT in Athena
  - Expanding R- range changes the back-FT reproduce smaller feature from higher shells and MS paths



- Artemis

- Shell Fitting
- For a clear explanation of the windows and buttons used in Artemis, see videos by its author (Bruce Ravel):
  - <https://vimeo.com/channels/exafs>



by Latif Ullah Khan (beamline scientist of XAFS/XRF beamline at SESAME)