

***SPRING SCHOOL ON SUPERSTRING THEORY  
AND RELATED TOPICS***

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**MIRROR SYMMETRY AND N=1 SUPERSYMMETRY**

**Lecture 3**

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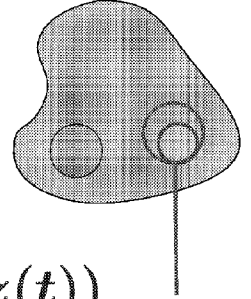


# Open/closed string mirror symmetry

Part 3

W.Lerche, Trieste Spring School 2003

- Recap: reduce SUSY from N=2 to N=1 in Type II compactifications on CY threefolds, by



- Switching on fluxes

$$\mathcal{W}(t) = \int \Omega^{(3,0)} \wedge H = \sum N^A \Pi_A(z(t))$$

flux numbers, periods

$$\int_{\gamma_A^3} \Omega(z) = (1, t_a, \partial^a \mathcal{F}, F^0)(t)$$

...superpotential depends only on “bulk” geometry

- Putting in extra D-branes

new ingredient: brane moduli  $\hat{t}, \hat{z}$

parametrizing open string (“boundary”) geometry

- How do these ingredients fit together ?

Seek: uniform description of open/closed string backgrounds labeled by

$$\{X, N^A; M^A\}$$

closed ; open string sector

and make use of mirror symmetry:

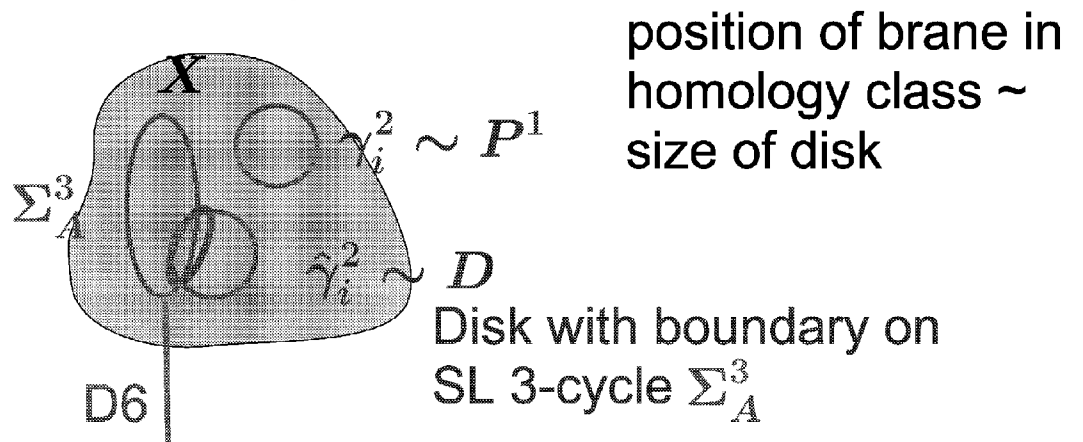
$$\{X, N^A; M^A\}(t, \hat{t}) \cong \{\widehat{X}, \widehat{N}^A; \widehat{M}^A\}(z, \hat{z})$$

## A-type branes in Type IIA compactification

● relevant moduli: Kahler deformations

closed sector:  $t_i = \int_{\gamma_i^2} J^{(1,1)}$ ,  $i = 1, \dots, h^{1,1}(X)$   
size of  $P^1$

open sector:  $\hat{t}_i = \int_{\hat{\gamma}_i^2} J^{(1,1)}$ ,  $i = 1, \dots, h^1(\Sigma_A)$



These volume integrals give contributions of the world-sheet instantons to the disk amplitude  $\mathcal{F}_{g,h} = \mathcal{F}_{0,1}$ ; (which coincides with the superpotential):

$$\mathcal{F}_{0,1}(t, \hat{t}) \equiv \mathcal{W}(t, \hat{t}) = 0 \cdot t \hat{t} + \sum_{\substack{n_1 \dots n_r; \\ m_1 \dots m_s}} N_{n_1 \dots n_r; m_1 \dots m_s} Li_2(q_1^{n_1} \dots q_r^{n_r}; \hat{q}_1^{n_1} \dots \hat{q}_s^{n_s})$$

↑  
math theorem:  
classically, deformations of  
SL cycles are unobstructed

↑  
superpotential is entirely  
non-perturbative ( $q \equiv e^{-t}$ )

( $\vec{n}, \vec{m}$ ) labels a “**relative**” homology  
class in  $(H_2(X), H_1(\Sigma_A))$

## B-type branes in Type IIB compactification

- relevant moduli: complex structure deformations

closed sector:  $\Pi_A(z) = \int_{\gamma_A^3} \Omega^{(3,0)}(z)$  volumes of 3-cycles in  $\widehat{X}$   
 open sector: (?)

- Consider holom. Chern-Simons action (describing open strings for D6-brane on  $\widehat{X}$ ):

$$S_{CS} = \int_{\widehat{X}} \Omega^{(3,0)} \wedge \text{Tr}[A \wedge \bar{\partial} A + \frac{2}{3} A \wedge A \wedge A]$$

We will be interested only in (complex) one dimensional cycles:  $\Sigma_B \sim \gamma^2$ ;

Dimensionally reducing  $A \rightarrow \phi$  yields

$$\mathcal{W} = \int_{\Sigma_B} \Omega_{ijz}^{(3,0)} \phi^i \bar{\partial}_z \phi^j dz d\bar{z}$$

Rewriting locally using  $\Omega_{ijz} = \partial_z \omega_{ij}$  gives:

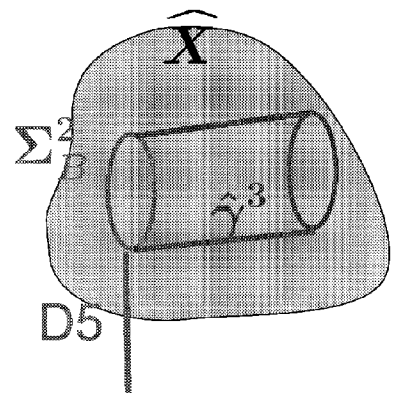
$$\mathcal{W}(z, \hat{z}) = \hat{\Pi} = \int_{\hat{\gamma}^3(\hat{z})} \Omega^{(3,0)}(z)$$

where the integral is over the

**3-chain**  $\partial \hat{\gamma}^3 : \partial \hat{\gamma}^3 \equiv \Sigma_B$

whose boundary is the holomorphic B-type cycle

So the relevant 3-volumes are that of 3-chains ending on D5-branes



## Mirror symmetry for D-brane configurations

- Recall N=2 decoupling property (similar for IIB):

$$\mathcal{M}_{IIA}(X) \cong \mathcal{M}_{KS}^{[t]}(X) \times \mathcal{M}_{CS}^{[z]}(X)$$

Open string sector:

$$\mathcal{M}(X, D6)_{A/IIA} \cong \mathcal{M}_{KS}^{[t, \hat{t}]}(X) \times \mathcal{M}_{CS}^{[z, \hat{z}]}(X)$$

Reflected in decoupling theorems:

B-branes	$\begin{cases} W(z, \hat{z}), \tau(z, \hat{z}) \\ D(t, t^*, \hat{t}, \hat{t}^*) \end{cases}$	holom. potentials FI D-term potential
A-branes	$\begin{cases} W(t, \hat{t}), \tau(t, \hat{t}) \\ D(z, z^*, \hat{z}, \hat{z}^*) \end{cases}$	holom. potentials FI D-term potential

- Invoke mirror symmetry:

$$\mathcal{W}_{A/IIA}(t, \hat{t}) = M^L \hat{\Pi}_L = \mathcal{W}_{B/IIB}(z(t), \hat{z}(t, \hat{t}))$$

A-branes in Type IIA/ $X$

B-branes in Type IIB/ $\widehat{X}$

$$\hat{\Pi}_L(t, \hat{t}) =$$

$$\hat{\Pi}_L(z, \hat{z}) = \int_{\hat{\gamma}_L^3(\hat{z})} \Omega^{(3,0)}(z)$$

$$\begin{cases} \hat{t} \\ \sum_{n,m} N_{n,m} Li_2(q^n \hat{q}^m) \end{cases}$$

... exact result !

...corrections by sphere and disk instantons

## Unifying flux and D-brane potentials

- Aim: obtain an uniform description of generic superpotentials

Recall fluxes:  $\mathcal{W}_{flux} = N^A \Pi_A$

Recall D-branes:  $\mathcal{W}_{D-brane} = M^A \hat{\Pi}_A$

Write general potential:

$$\mathcal{W} = M^A \Pi_A = M^A \int_{\Gamma_A^3} \Omega^{(3,0)}$$

where

$$\Gamma_A^3 = \{\gamma_A^3, \hat{\gamma}_L^3\} \in H_3(\widehat{X}, Y; \mathbb{Z})$$

are “**relative**” homology cycles on  $\widehat{X}$  which are closed only up to the boundary  $Y \equiv \partial \hat{\gamma}^3$

- The corresponding “relative” period vector

$$\Pi_A \equiv (\Pi_A, \Pi_L) = (1, t_\lambda, \mathcal{W}^\mu, \dots)$$

$\begin{matrix} \nearrow & \nwarrow \\ \{t_i, \hat{t}_k\} & \{\mathcal{F}^i, \mathcal{W}^k\} \end{matrix}$

contains the

“**holomorphic potentials of N=1 Special Geometry**”

for bulk (closed str) subsector:  $\mathcal{W}^i = \partial_i \mathcal{F}$

for boundary (open str) subsector:  $\mathcal{W}^k$  do not integrate!

The existence of many independent potentials reflects that N=1 SUSY theories are less constrained than their N=2 counterparts

## The Geometry of $\mathcal{W}$

- Just like for the N=2 prepotential  $\mathcal{F}$ , the N=1 superpotential  $\mathcal{W}$  (given by periods and semi-periods) can be interpreted from three inter-related viewpoints:
  - A) Space-time effective action:  
holom. superpotential  
(note: superpot has special features as compared to generic supergravity superpotentials, eg integral instanton expansion)
  - B) Correlation functions and ring structure constants of open string TFT
  - C) Boundary (open string) variation of Hodge structures, in relative cohomology

## Open string topological field theory (B-model)

● Recall observables in bulk B-model:

$$O_B^{(p,q)} = \omega_{\bar{j}_1 \dots \bar{j}_q}^{(p,q) i_1 \dots i_p} \lambda_{i_1} \dots \lambda_{i_p} \psi^{\bar{j}_1} \dots \psi^{\bar{j}_q} \in H_{\bar{\partial}}^{0,q}(\widehat{X}, \wedge^p T^{1,0})$$

Complex structure deformations are associated with

$$O_B^{(-1,1)} = \omega_{\bar{j}}^{(-1,1) i} \lambda_i \psi^{\bar{j}} \in H^{-1,1} \cong H^{2,1}$$

which generate the (a,c) chiral ring:

$$\mathcal{R}^{(a,c)} : O_{B,a}^{(-1,1)} \cdot O_{B,b}^{(-1,1)} = \sum_c c_{ab}^c O_{B,c}^{(-2,2)}$$

● Now in the open string B-model, we consider B-type (Dirichlet) boundary conditions along a sub-manifold Y:

$$\psi^{\bar{i}} = 0 \quad (D) \qquad \lambda_i = 0 \quad (N)$$

The observables are like above, however now elements of  $H^{0,q}(Y, \wedge^p N_Y)$  (normal bundle to Y)

The “boundary” moduli are associated with 1-forms:

$$\hat{O}_\alpha^{(1)} = \omega_\alpha^{(1),i} \lambda_i \in H^0(Y, N_Y)$$

which generate the boundary (open string) and bulk-boundary chiral rings:

$$\begin{aligned} \hat{O}_\alpha^{(1)} \cdot \hat{O}_\beta^{(1)} &= \sum_\gamma c_{\alpha\beta}^\gamma \hat{O}_\gamma^{(2)} \\ O_a^{(-1,1)} \cdot \hat{O}_\beta^{(1)} &= \sum_\gamma c_{a\beta}^\gamma \tilde{O}_\gamma^{(2)} \end{aligned}$$

## The “relative” (open string) cohomology ring

The upshot is that we can pull through program of N=2 Special Geometry, but for “relative cohomology”

- We get an extension of the chiral ring by boundary operators:

$$\vec{\mathcal{O}}_{\Lambda} = (O_a^{(-1,1)}, \hat{O}_{\alpha}^{(1)}) \in H^*(\widehat{X}, Y)$$

$$\mathcal{R}^{oc} : \vec{\mathcal{O}}_{\Lambda} \cdot \vec{\mathcal{O}}_{\Sigma} = \sum_{\Delta} c_{\Lambda\Sigma}^{\Delta} \vec{\mathcal{O}}_{\Delta}$$

where the relative cohomology group is defined as the dual to the relative homology  $H_*(\widehat{X}, Y)$  group discussed before.

This mirrors the structure of differentials in relative cohomology:

$$\vec{\Theta} = (\theta_X, \theta_Y), \theta_X \in H^*(\widehat{X}), \theta_Y \in H^*(Y)$$

equivalence rel:  $\vec{\Theta} \cong \vec{\Theta} + (d\omega, i^*\omega - d\eta)$

Thus a form that is exact on  $\widehat{X}$  and thus trivial in  $H^*(\widehat{X})$  may be non-trivial in relative cohomology, and equivalent to some form on the sub-manifold  $Y$ .

...loosely speaking: total derivatives can become non-trivial once we have boundaries:  $\int_{\gamma} d\lambda = \int_{\partial\gamma} \lambda$

- Physics interpretation:

Operators that are BRST exact in the bulk TFT, can become non-trivial in the open string sector !

## The relative period matrix

- The natural pairing between relative homology cycles and cohomology elements is:

$$\begin{aligned}\Pi_{\Lambda\Sigma} &\equiv \langle \Gamma_\Lambda, \Theta_\Sigma \rangle = \int_{\Gamma_\Lambda} \theta_X - \int_{\partial\Gamma_\Lambda} \theta_Y \\ &= \begin{pmatrix} 1 & (t_i, \hat{t}_i) & (\mathcal{F}^j, \mathcal{W}^j) & \dots \\ 0 & \delta_{\Lambda\Sigma} & \partial_\Sigma(\mathcal{F}^j, \mathcal{W}^j) & \dots \\ 0 & \dots & \dots & \dots \end{pmatrix}\end{aligned}$$

This relative period matrix contains all the building blocks of N=1 Special Geometry, and uniformly combines period and chain integrals; ie., closed (flux) and open string (D-brane) sectors.

Its first row is nothing but the rel. period vector we had before, which gives the total superpotential

$$\mathcal{W} = M^\Lambda \Pi_{\Lambda 1}$$

- Show: rel. period matrix satisfied a system of DEQs:  
... analogous to ordinary period matrix

## Variation of Hodge structures

- The variation of Hodge structures for the relative cohomology takes care of the boundary terms in a systematic way; schematically:

$$\begin{array}{ccccccc}
 (\Omega_X^{(3,0)}, 0) & \longrightarrow & (\omega_X^{(2,1)}, 0) & \longrightarrow & (\omega_X^{(1,2)}, 0) & \longrightarrow & (\Omega_X^{(0,3)}, 0) \\
 & \searrow & & \searrow & & \searrow & \searrow \\
 & & (0, \omega_Y^{(2,0)}) & \rightrightarrows & (0, \omega_Y^{(1,1)}) & \rightrightarrows & (0, \omega_Y^{(0,2)}) \\
 & & & & & & \nearrow \nearrow \nearrow \\
 & & & & & & 0
 \end{array}$$

$\longrightarrow \sim \partial/\partial z$  closed string deformation (N=2 bulk)

$\longrightarrow \sim \partial/\partial \hat{z}$  open string deformation (N=1 boundary)

(This picture applies to a particular brane configuration, and becomes more complicated for several branes.)

- In effect one obtains a linear matrix system

$$\nabla_I \Pi_{\Lambda\Sigma}(z, \hat{z}) \equiv (\partial_I - \Gamma_I - C_I) \cdot \Pi_{\Lambda\Sigma}(z, \hat{z}) = 0$$

...which equivalent to a system of coupled, higher order generalized Picard-Fuchs operators.

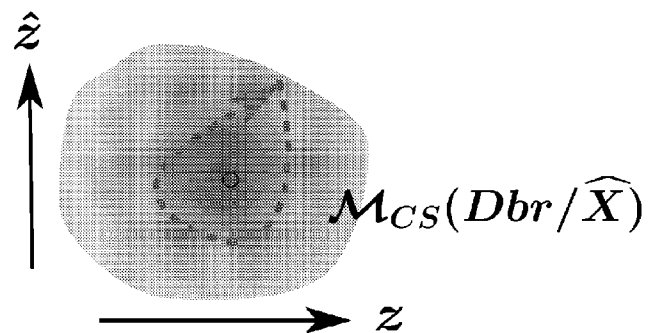
- Can show:

$$[\nabla_I, \nabla_J] = 0$$

Combined open/closed moduli space is flat.

... seems mathematically quite non-trivial !

Physics: open and closed string moduli fit consistently together in one combined moduli space.



- Thus there exist flat coordinates  $t_i, \hat{t}_j$  on the combined moduli space.

For these, the ring structure constants obey

$$c_{ij}^k(t, \hat{t}) = \partial_i \partial_j \mathcal{W}^k(t, \hat{t})$$

$$\sim \langle \mathcal{O}_i \mathcal{O}_j \rangle^{(k)}$$

↑  
k-th flux or D-brane sector

## N=1 “Special Geometry”

- Basic object: relative period vector

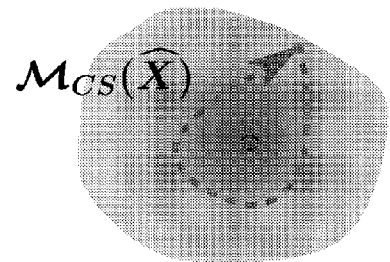
$$\hat{\Pi}_\Lambda = \int_{\Gamma_\Lambda} \Omega^{(3,0)} \sim (1, t_i, \hat{t}_k, \mathcal{F}^i, W^k, \dots)$$

gives general flux and brane-induced N=1 superpot:

$$\begin{aligned} \mathcal{W}_{tot}(z(t), \hat{z}(t, \hat{t})) &= \sum N^\Lambda \Pi_\Lambda \\ &= N^{(0)} + N_i^{(2)} t_i + N_i^{(4)} \mathcal{F}^i(t) + M^{(k)} \hat{t}_k + M^{(\ell)} W^\ell(t, \hat{t}) \end{aligned}$$

- Monodromy:  
mixes flux and brane numbers

(note: brane  $\rightarrow$  brane+flux, not v.v)



“Non-renormalization” property:

boundary (open string) quantities can get modified/ corrected by bulk (closed) string quantities, but not vice versa:  $z = z(t)$ ,  $\hat{z} = \hat{z}(t, \hat{t})$ .

- The bulk (flux) sector is secretly N=2: the  $\mathcal{F}^i = \partial_i \mathcal{F}$  integrate to the N=2 prepotential.

This is not so for the brane potentials,  $\mathcal{W}^k$ .

The ring coupling constants obey nevertheless:

$$c_{ij}{}^k(t, \hat{t}) = \partial_i \partial_j \mathcal{W}^k(t, \hat{t})$$

- The relative homology lattice  $H_3(\widehat{X}, Y; \mathbb{Z})$  is the BPS charge lattice of the domain walls in the N=1 theory

Example: on blackboard