

**Introductory School on**  
**RECENT DEVELOPMENTS**  
**IN SUPERSYMMETRIC GAUGE THEORIES**

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**NON-RENORMALIZATION THEOREMS**  
**IN SUSY GAUGE THEORIES**  
**(Part III)**

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### 3. Non-Renormalization Theorem for Chiral Superfields (21)

o Turn off vectors. Then susy selection rule gives:

$$\text{if } \mathcal{L}_{UV} = \int d^2\theta \mathcal{W}_0(\Phi; \lambda) + \text{c.c.} + \int d^4\theta \text{ ~~fields~~ } \Phi, \bar{\Phi}$$

$$\text{then } \mathcal{L}_{\text{eff}} = \int d^2\theta \mathcal{W}_{\text{eff}}(\tilde{\Phi}; \lambda) + \text{c.c.} + \int d^2\theta \mathcal{K}_{\text{eff}}(\tilde{\Phi}, \tilde{\bar{\Phi}}; \lambda, \bar{\lambda}) \\ + \text{higher derivatives}$$

$$\text{where in particular } \frac{\partial}{\partial \lambda} \mathcal{W}_{\text{eff}} = 0.$$

- o Note that don't have to assume  $\{\tilde{\Phi}\}$  are same set of fields as UV fields  $\{\Phi\}$ .
- o To get a non-renormalization theorem, we need to make some guess about the low-energy field content  $\{\tilde{\Phi}\}$ .

Since this is just a theory of scalars + spinors, which are IR free for weak enough coupling, guess that

$$\{\tilde{\Phi}\} = \{\Phi\}$$

I.e. that IR field content is the same as the UV field content.

o Now we can see the "power of holomorphy":

- Say  $\mathcal{W}_0 = \lambda \Phi^n$ . Then can say that there is a  $U(1)$  global symmetry  $\Phi \rightarrow e^{i\epsilon} \Phi$  (under which  $\Phi$  has charge +1), broken by  $\lambda$ . Thus, thinking of  $\lambda$  as a superfield, can restore this symmetry by assigning charge -1 to  $\lambda$ .

- Without holomorphy, we could write  $\infty$ -ly many possible contributions to the effective superpotential:

$$W_{\text{eff}} = \dots + \frac{\bar{\lambda}^2}{\Phi^2} + \frac{\bar{\lambda}}{\Phi} + \lambda \Phi + \lambda^2 \bar{\lambda} \Phi + \dots + \lambda e^{-1/2\alpha\Phi} \dots$$

- But with holomorphy, the only terms allowed are of the form

$$W_{\text{eff}} = \sum_{\alpha} (A\Phi)^{\alpha} \quad \alpha > 0$$

- This already rules out non-perturbative contributions,
- $\alpha > 0$  since as  $\lambda \rightarrow 0$ , theory is free, so  $W_{\text{eff}} \rightarrow 0$ .

- Note, however, that Kahler pot'l is not holomorphic, so don't get these restrictions.

- Seiberg summarized this argument prescriptively:

Constrain the effective superpot'l by

- holomorphy in UV couplings
- selection rules from global symmetries broken by the couplings,
- smoothness of the physics in weak coupling limits.

Non-renormalization of the superpotential

• Start w/ Wess-Zumino model of 1  $\Phi$ :

$$W_{\mu_0} = \frac{1}{2} \lambda_2 \Phi^2 + \frac{1}{3} \lambda_3 \Phi^3$$

∴ Eff. Supot'l:

•  $W_{\mu} = W_{\mu}(\Phi, \lambda_2, \lambda_3)$  (holomorphy)

• UV Kahler term invariant under  $U(1) \times U(1)_R$  symmetry

under which  $\Phi$  has charge:  $\begin{pmatrix} +1 & +1 \end{pmatrix}$

Recall  $U(1)_R$ : rotates  $\theta$ :  $\begin{cases} \theta : 0 \\ d\theta : 0 \end{cases} \begin{pmatrix} +1 \\ -1 \end{pmatrix}$

∴ Supot'l inv't if  $W_{\mu_0} : \begin{pmatrix} 0 & +2 \end{pmatrix}$  ( $S^2 \theta W_{\mu_0}$ )

⇒ Assign charges to  $\begin{pmatrix} \lambda_2 : -2 & 0 \\ \lambda_3 : -3 & -1 \end{pmatrix}$

$$\therefore \mathcal{W}_\mu = \lambda_2 \bar{\Phi}^2 \cdot g \left( \frac{\lambda_3 \Phi}{\lambda_2} \right) \quad (\text{selection rules}),$$

Since under  $U(1)$   $\mathcal{W}_\mu$  neutral;  $U(1)_\mu$   $\mathcal{W}_\mu$  charge +2.

- In  $\lambda_3 \rightarrow 0$  limit (w/  $\lambda_2$  fixed) theory is free,
  - $\therefore$  only terms w/ non-negative powers of  $\lambda_3$  can appear

$$\mathcal{W}_\mu = \sum_{n \geq 0} g_n \lambda_2^{1-n} \lambda_3^n \Phi^{n+2} \quad (\text{weak cplg}).$$

- Can also take  $\lambda_2 \rightarrow 0$  limit at same time  $\Rightarrow n > 1$  not allowed.

$$\Rightarrow \mathcal{W}_\mu = g_0 \lambda_2 \Phi^2 + g_1 \lambda_3 \Phi^3 \quad (\text{weak cplg})$$

- At  $\lambda_3 = 0$ , theory free  $\therefore$  ~~ZET (w/ free renorm)~~ <sup>runs canonically</sup> ~~mass does not run~~  
 $\therefore$  ~~must have  $g_0 \lambda_2 = \text{UV value} = \frac{1}{2} \lambda_2 \Rightarrow g_0 = \frac{1}{2}$ .~~

↳ Really: in our conventions, mass runs as  $(\frac{\mu_0}{\mu})^{\epsilon}$  in free theory  
 So get  $g_0 \lambda_2 = \frac{1}{2} (\frac{\mu_0}{\mu})^{\epsilon} \lambda_2 \Rightarrow g_0 = \frac{1}{2} (\frac{\mu_0}{\mu})^{\epsilon}$  (weak cplg)

- Take  $\lambda_3$  small; use perturbation theory to match  $\mathcal{W}_\mu \Leftrightarrow \mathcal{W}_{\mu_0}$ .  
 Since the  $\Phi^3$  vertex appears in both proportional to the same coupling  $\lambda_3$ , they must match at tree level (classically)  $\Rightarrow g_1 = \frac{1}{3} (\frac{\mu_0}{\mu})^{\epsilon}$  (canonical running).

$$\therefore \boxed{\mathcal{W}_\mu = \frac{1}{2} (\frac{\mu_0}{\mu})^{\epsilon} \lambda_2 \Phi^2 + \frac{1}{3} \lambda_3 \Phi^3}$$

I.e. couplings are not renormalized:  
 no quantum corrections enter. (Only classical scaling).

• Exercise: generalize this argument to:  
 $\mathcal{W}_{\mu_0} = \lambda_1 \Phi^1 + \lambda_2 \Phi^2 + \dots + \lambda_r \Phi^r + \dots$

A show all couplings not renormalized.

\*  $\lambda_2 \rightarrow 0$  limit: If keep  $\lambda_3$  finite, not free. But take both  $\lambda_2, \lambda_3 \rightarrow 0$  s.t.  $\lambda_2/\lambda_3 \rightarrow 0$ , achieve desired result. (Since Wilsonian eff. 'act', don't need to worry about possible IR divergences as  $\lambda_2 \rightarrow 0$ .)

◦ Clever general proof (Weinberg)

$$\mathcal{W}_{\mu_0} = \mathcal{W}_{\mu_0}(\Phi_n) \quad \text{arbitrary superpotential with arbitrary \# chiral superfields}$$

Write as  $\mathcal{W}_{\mu} \leftrightarrow Y \cdot \mathcal{W}_{\mu_0}(\Phi_n)$

where  $Y$  is a new superfield. When  $\langle Y \rangle = 1$ , recover original theory. This enlarged theory is invariant under  $U(1)_R$  where  $R(Y) = +2 + R(\Phi_n) = 0 \quad \forall n$ .

This symmetry + holomorphy  $\Rightarrow \mathcal{W}_{\mu} = Y \cdot g(\Phi_n)$ .

Then  $Y \rightarrow 0$  limit  $\Rightarrow$  theory is free. Match UV + IR effective actions in pert. theory  $\Rightarrow$

$$g(\Phi_n) = \mathcal{W}_{\mu_0}(\Phi_n) \quad [\text{w/ canonical scalings}]$$

$\therefore \mathcal{W}_{\mu} = Y \mathcal{W}_{\mu_0}$ . Set  $Y=1$  to get original theory  $\Rightarrow$

$$\mathcal{W}_{\mu} = \mathcal{W}_{\mu_0} \quad : \text{ not renormalized.}$$

◦ This non-renorm. result shows no contradiction w/ our original assumption that the IR d.o.f. = the UV d.o.f.

In fact, holomorphy now proves it:

For  $\mathcal{W}_{\mu_0}$  couplings small (no 2-loops  $\ll$  1-loops)

Coleman-Gross  $\Rightarrow \mathcal{W}_{\mu}$  IR-free.  $\Rightarrow \mathcal{W}_{\mu} = \mathcal{W}_{\mu_0}$  by

above. But then analytic continuation in the couplings shows this for all couplings.

$\therefore$  WZ model has no non-trivial fixed points in RG flow at strong coupling.

◦ NB: This argument does not preclude the quantum generation of higher-derivative generalized superpotential terms.

## Kahler term renormalization

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- Since Kahler term not protected by this argument, it will get wave function renorm (+ higher derivs). So to see how the canonical couplings renormalize, define canonical  $\chi$ st by

$$\Phi_n \rightarrow \bar{\Phi}_n^{CN} \equiv \sqrt{Z_n(\mu)} \Phi_n$$

Then if super't is

$$W_\mu = \left(\frac{\mu_0}{\mu}\right)^{3-\Delta_r} \lambda_r \mathcal{O}_r$$

$$\text{wt } \mathcal{O}_r = \sum_n r_n \bar{\Phi}_n^{r_n} \Rightarrow \Delta_r = \sum_n r_n$$

Then

$$W_\mu = \underbrace{\left(\frac{\mu_0}{\mu}\right)^{3-\Delta_r} \left(\prod_n Z_n^{-r_n/2}\right)}_{\lambda_r^{CN}(\mu)} \lambda_r \mathcal{O}_r$$

$$\therefore \boxed{\mu \frac{d\lambda_r^{CN}(\mu)}{d\mu} = \lambda_r^{CN}(\mu) \left( \Delta_r - 3 - \frac{1}{2} \sum_n r_n \gamma_n(\mu) \right)} \quad \star$$

where we defined the anomalous dimension of  $\Phi_n$  as

$$\gamma_n(\mu) \equiv \frac{d \ln Z_n}{d \ln \mu}$$

- ( $\star$ ) is an exact RG equation — but we don't know how to compute the  $\gamma_n(\mu)$ , so not much use.  
(exactly)

Some physics (an example):

- Theory w/ 2 chfs:  $\Phi_1, \Phi_2$  &  $\mathcal{W}_{\mu_0} = \lambda \Phi_1 \Phi_2^2$ .
- Find, by extremizing  $\mathcal{W}_{\mu_0}$  susy vacua when  $\Phi_2 = 0$  &  $\Phi_1 = \text{arbitrary}$ .

⇒ Whole moduli space (C-plane) of vacua  $\mathcal{M}$ :  
degenerate in energy, but inequivalent classical  
ground states.

- By non-renormalization theorem of superpot'l, this conclusion does not change once quantum effects are taken into account.
- But quant. effects can renormalize Kahler pot'l & thus change metric on  $\mathcal{M}$  from its classical value.

- Since  $\mathcal{K}_{\mu_0} = \bar{\Phi}_1 \Phi_2 + \bar{\Phi}_2 \Phi_2$

⇒ Kahler metric induced on  $\mathcal{M}$  is  $ds_{\text{class}}^2 = d\bar{\Phi}_1 d\Phi_1$  (flat)  $\mathbb{C}$

- Spectrum at any  $\langle \Phi_1 \rangle$  is: 1 massless  $\bar{\Phi}_1$   
& 1 massive chf  $\Phi_2$  w/ mass  $\sim |\lambda \langle \Phi_1 \rangle|$ .

∴ At scales  $>$  mass  $\Phi_2$ , virtual  $\Phi_2$  loops contribute to  $\bar{\Phi}_1$  propagator ⇒ (1-loop p.t.)

$$\mathcal{K} = \bar{\Phi}_1 \Phi_1 - (\#) \bar{\Phi}_1 \Phi_1 (|\lambda|^2 \ln |\frac{\Phi_1}{\mu_0}|^2 + \dots)$$

(for IR ~~relevant~~ effective action scale  $\mu <$  mass  $\Phi_2$ .)

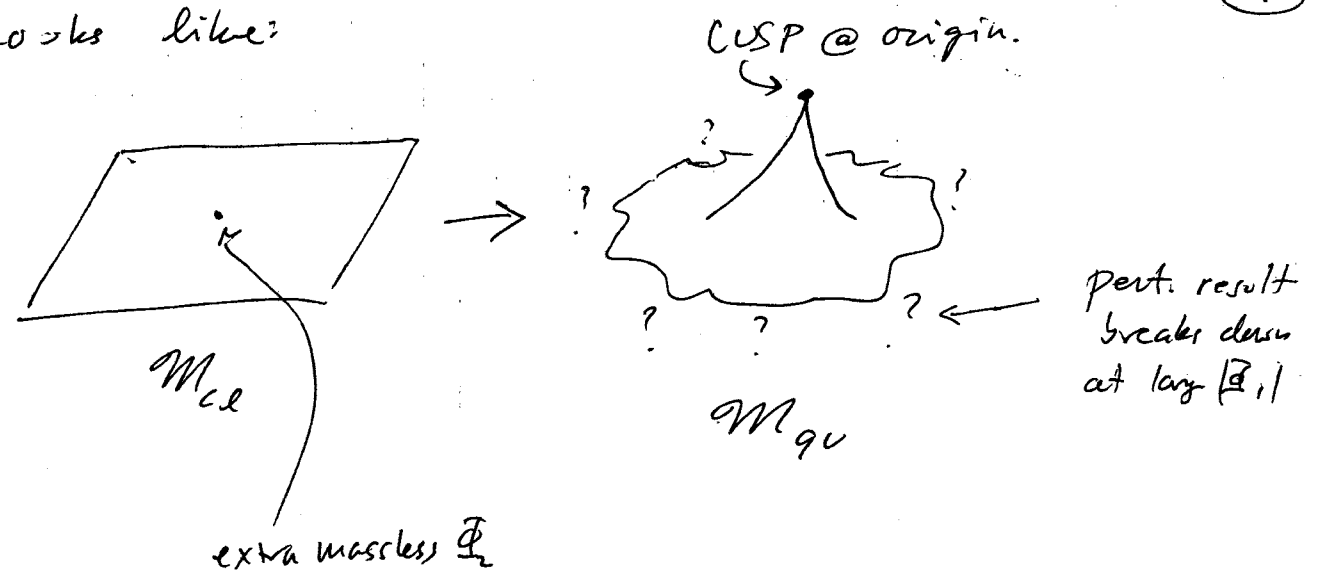
- As  $\Phi_1 \rightarrow 0$ ,  $\mathcal{K} \rightarrow \infty$ , ⇒ canonical couplings  $\rightarrow 0$   
⇒ 1-loop becomes better near origin of  $\mathcal{M}$

- Kahler metric

$$ds_{\mu}^2 = \partial_i \partial_{\bar{j}} \mathcal{K} d\Phi_i d\bar{\Phi}_{\bar{j}} \simeq (-|\lambda|^2 \log \Phi_1 \bar{\Phi}_1 + \text{const}) d\Phi_1 d\bar{\Phi}_1$$

⇒ metric singularity @  $\Phi_1 = 0$ .

Looks like:



What does the cusp singularity mean? Just that the assumption under which we computed the IR eff. action broke down: we assumed  $\mu < \text{mass } \Phi_2$ . But as  $\Phi_2 \rightarrow 0$  this can't remain true for finite  $\mu$ .

General lesson: singularities in IR eff. actions are sign of new massless degrees of freedom. To get a smooth description of the physics there, you need to include them.