

Introductory School on
RECENT DEVELOPMENTS
IN SUPERSYMMETRIC GAUGE THEORIES

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NON-RENORMALIZATION THEOREMS
IN SUSY GAUGE THEORIES
(Part IV)

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4. Anomalies

In computing quantum corrections, we need to regulate in UV. Some symmetries of classical theory are always broken by the regularization, & it can happen that, even in the limit when the regulator is removed, the classical symmetry remains broken. This is called an anomaly. Furthermore, we'll show that a class of these anomalies, the chiral anomalies, are not just UV artefacts, but persist & have effects at all scales.

Trace Anomalies

Most important anomaly is scale invariance anomaly. Recall dilatation current D^μ :

$$\partial^\mu D_\mu = T^\mu{}_\mu$$

Even when $T^\mu{}_\mu$ vanishes classically, it is a composite operator (product of fields at same point), so must be regulated quantumly.

Example: QCD w/ dimensional regularization (dim $n = d$) \Rightarrow

$$T^\mu{}_\mu = \frac{d-4}{4} \text{tr}(F_{\mu\nu} F^{\mu\nu}) + (1-d) i \bar{\psi} \not{D} \psi$$

Classically: $d=4$ & ψ e.o.m $\Rightarrow T^\mu{}_\mu = 0$. \checkmark

Quantumly: compute in background field

\Rightarrow $T^\mu{}_\mu = \frac{b}{32\pi^2} \text{tr}(F^2) + \mathcal{O}(g^2)$

$\frac{d-4}{4}$ $\frac{1}{d-4}$ \rightarrow finite

• On other hand, under scaling

$$x \rightarrow e^\epsilon x \Rightarrow (\text{Noether...}) \quad T^\mu{}_\mu = \left. \left(\frac{\partial \mathcal{L}}{\partial \epsilon} - 4\mathcal{L} \right) \right|_{\epsilon=0}$$

$$= \frac{\partial \left(\frac{-1}{4g^2} \right)}{\partial \epsilon} + \text{tr}(F^2)$$

$$\therefore \text{learn } \boxed{\frac{\partial g^{-2}}{\partial \epsilon} = -\frac{b}{8\pi^2} + \mathcal{O}(g^2)}$$

$$\Downarrow \quad T^\mu{}_\mu = \frac{1}{4} \mu^2 \frac{\partial}{\partial \mu} \left(\frac{1}{g^2} \right) + \text{tr}(F^2)$$

$$\text{Under } x \rightarrow e^\epsilon x \Rightarrow \mu_0 \rightarrow e^{-\epsilon} \mu_0 \equiv \mu \Rightarrow \epsilon = \ln\left(\frac{\mu_0}{\mu}\right)$$

$$\therefore \frac{1}{g^2(\epsilon)} - \frac{1}{g^2(\epsilon_0)} = -\frac{b\epsilon}{8\pi^2} \Leftrightarrow \boxed{\frac{1}{g^2(\mu)} - \frac{1}{g^2(\mu_0)} = \frac{+b}{8\pi^2} \ln\left(\frac{\mu}{\mu_0}\right)}$$

$$\therefore \text{if } \begin{cases} b < 0 \Rightarrow g \rightarrow 0 \text{ in IR} \\ b > 0 \Rightarrow g \rightarrow 0 \text{ in UV} \end{cases}$$

Also implies that combination

$$\boxed{W} \equiv \mu e^{-\frac{8\pi^2}{bg^2(\mu)}} \quad \text{is } \mu\text{-independent (1-loop)}$$

Strong-coupling
scale

"dimensional transmutation"

• Computation of b coefficient gives

$$\boxed{b = \frac{11}{6} T(\text{adj}) - \frac{1}{3} \sum_i T(R_i) - \frac{1}{6} \sum_a T(R_a)}$$

$$\begin{cases} i = \text{Weyl fermions in rep'n } R_i \text{ of gauge group} \\ a = \text{Complex scalars " " } R_a \text{ " " " "} \end{cases}$$

$$T(R) \equiv \frac{C(R)}{C(\text{fund.})} \quad \text{index of representation}$$

$$\& \text{ define Casimir } C_R \quad \text{tr}_R(T^a T^b) = C(R) \delta^{ab}$$

For classical gauge groups:

dynkin	G	dim'n fund.	C(fund.)	C(adj)	dim'n adj = dim'n G	rank G
C_N	$Sp(2N)$	$2N$	$1/2$	$N+1$	$N(2N+1)$	N
A_{N-1}	$SU(N)$	N	$1/2$	N	N^2-1	$N-1$
D_N or $D_{N/2}$	$SO(N)$	N	1	$N-2$	$N(N-1)/2$	$[N/2]$

o Note $\mathcal{L}(\text{fund})$ defines standard normalizations for gauge generators, if couplings defined by

$$\mathcal{L} = -\frac{1}{2g^2} \text{tr}_f(F^2) + \frac{\theta}{16\pi^2} \text{tr}_f(F\tilde{F})$$

[tr_f = trace in fundamental.]

o Thus, for $SU(N_c)$ QCD w/ N_f flavors in fundamental (N_c)

$\Rightarrow 2N_f$ Weyl fermions + 0 bosons } in fundamental

$$\& T(\text{adj}) = 2N_c \quad T(\text{fund.}) = 1$$

$$\therefore b = \frac{11}{6} 2N_c - \frac{2N_f}{3} = \frac{11N_c - 2N_f}{3}$$

o With many gauge groups: $G_1 \times G_2 \times \dots \times G_n$

\Rightarrow have n separate scales $\Lambda_1, \Lambda_2, \dots, \Lambda_n$.

o Works for $U(1)$ factors as well: representations all 1-dim'l,

denoted by $T=q$ charge. Adjoint rep $\Leftrightarrow q=0$.

$$\& T(R_2) = q^2. \Rightarrow \text{All } U(1)\text{'s are IR free.}$$

o Other dimensionless couplings can also run: $\lambda\phi^4, g\phi\psi^2$ etc.

$$\text{E.g. Wv. fun. renorm } Z(\phi)^2 \Leftrightarrow \frac{d \ln Z}{d \ln \mu} = \gamma \text{ anom. dimension.}$$

o One other gauge coupling: theta angle $\sim \frac{\theta}{16\pi^2} \text{tr}(F\tilde{F})$.

- Covered in Dorey's lectures:

$$\circ \frac{1}{16\pi^2} \int d^4x \text{tr}_f(F\tilde{F}) = n \text{ instanton \#.}$$

$$\therefore \text{in path integral } \int D\phi \dots e^{iS} \sim \int \dots e^{i\theta n}$$

$$\Rightarrow \boxed{\theta \simeq \theta + 2\pi}, \text{ hence angle.}$$

o Since $\text{tr}(F\tilde{F}) = \text{total derivative} \Rightarrow \theta$ does not run perturbatively.

$$\circ \text{Recall } \tau(\mu) = \frac{\theta}{2\pi} + i \frac{4\pi}{g^2(\mu)}, \quad (\tau \simeq \tau + 1)$$

then can define complex RG inv't scale:

$$\Lambda \equiv |\Lambda| e^{i\theta/b} = \mu e^{2\pi i \tau(\mu)/b}$$

or $\tau(\mu) = \frac{b}{2\pi i} \ln\left(\frac{\Lambda}{\mu}\right)$

- So dimensional transmutation trade complex coupling $\tau(\mu) \leftrightarrow$ complex scale Λ .
- At 1-loop, write (SUSY) gauge kinetic terms as

$$\mathcal{L}_m = \int d^2\theta \frac{+1}{32\pi^2} \ln\left(\frac{\Lambda^2}{\mu^2}\right) \text{tr}_f(W_\alpha W^\alpha) + c.c.$$

~~Selection rule. think of Λ as μ and Anomaly now manifest $\frac{2}{\mu^2}$~~

Chiral Anomalies

o The other kind of symmetry always broken by regulators are chiral symmetries. Chiral symmetries are those in which the left-handed fermions (Ψ_L) transform differently than the right-handed fermions (Ψ_R). Since (in 4-dim) they are complex conjugates, if the Ψ_L transform in rep'n R , the Ψ_R transform in rep'n \bar{R}

o In terms of hermitian generators, if

$$g \equiv e^{iT} \quad g^\dagger \equiv e^{i\bar{T}}$$

$$\hookrightarrow \Rightarrow g^\dagger = e^{-iT^\dagger} = e^{i(-T^\dagger)}$$

Thus if $\bar{T}^a \equiv -T^{a\dagger} \Rightarrow$ rep'n is real (or pseudoreal)
(up to change of basis)

o otherwise is complex. (Only $U(1), SU(n), SO(2n), E_6$ have complex reps.)

o E.g., in Pauli-Villars regularization you give mass to all fields. But if Ψ is in complex rep'n, then the $\Psi\Psi$ mass term cannot be invariant under chiral symmetry.

• So, want to compute quantum corrections to

$$\partial_\mu J^\mu = 0 \quad \text{w/} \quad J^\mu = \bar{\psi} \sigma^{\mu\nu} \psi \dots$$

Strategy: think of this symmetry as a gauge symmetry $\sim A_\mu^a J^{\mu a}$

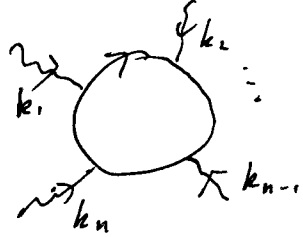
(localize transf. param.). Then we just need

to check gauge invariance: @ 1-loop, look

at n gauge boson amplitude: send in n on-shell

gauge bosons w/ momenta k_i^μ & polarizations ξ_i^μ

$$k_i^2 = \xi_i \cdot k_i = 0, \quad \sum_i k_i^\mu = 0$$



Gauge invariance \Rightarrow longitudinal $(\xi^\mu \propto k^\mu)$ gauge bosons should decouple

$$A_n \sim \text{Tr}(T^{a_1} \dots T^{a_n}) \int d^4 p \text{tr} \left(\frac{\not{p}_1}{p_1^2} \not{\xi}_1 \frac{\not{p}_2}{p_2^2} \dots \not{\xi}_n \right)$$

Put $(n-1)$ lines as background fields

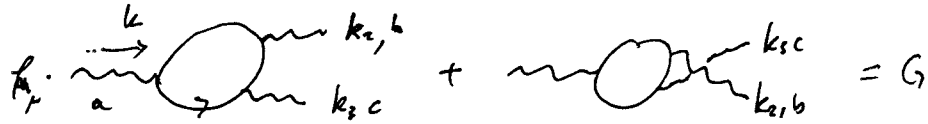
+ Longitudinal line $\sim \partial_\mu J_a^\mu$

So compute $\partial_\mu J_a^\mu = (\#) \dots F_2^{\mu\nu} F_3^{\rho\sigma} \dots F_n^{\dots}$

$(\#) \dots \propto \epsilon_{\mu\nu\rho\sigma}$ since if not, then can regularize.

So in d dim's, smallest $N \approx \frac{d+2}{2}$ to see an effect.

In 4-dim's: look at 3-point diagram



$$G = \# \sum_i \text{Tr}_{R_i} (T^a \{ T^b, T^c \}) \epsilon_{\mu\nu\rho\sigma} k_2^\mu k_3^\nu \xi_2^\rho \xi_3^\sigma$$

$$\therefore \partial_\mu J_a^\mu = (\#) \sum_i \text{tr}_{R_i} (T_a \{ T_b, T_c \}) \epsilon_{\mu\nu\rho\sigma} F_b^{\mu\nu} F_c^{\rho\sigma} \quad \star$$

• Note: Only need to trace over massless fermions in loop, since massive ones (as saw above) don't contribute. (Massive in real rep'n.)

• Adler-Bordeas: higher loops don't contribute. (Higher-point 1-loop do contribute, but just related by gauge invariance.)

• So (*) is (perturbatively) exact. What does it mean?

— if $\sum_r \text{tr}_r(T_a \{T_b, T_c\}) = 0$, no chiral anomalies.

$$(SO(6) = SU(4))$$

{ Only simple groups w/ $\text{tr}(T_a \{T_b, T_c\}) \neq 0$ are $SU(N)$, $N \geq 3$.

{ But when also $U(1)$ factors, can get non-zero.

— Now: we "localized" our global chiral symmetries to make them gauge symmetries, say with group G .

There could also be a ^{chiral} gauge symmetry of group H .

(E.g. $SU(2) \times U(1)$ EW; not $SU(3)$ QCD, though.)

So chiral symmetries $G \times H$
 $\uparrow \quad \uparrow$
 global gauge.

& $\{T^a\}$ all generators, split into $\{T_G^a, T_H^b\}$

But note that the global symmetries don't have associated field strengths (their gauging was a fake).

\Rightarrow There are 2 cases.

(1.) Gauge anomalies: All $T^a \in H$

$\Rightarrow \partial^\mu J_{\mu H} \neq 0 \Rightarrow$ Gauge invariance is broken.

\Rightarrow The theory is inconsistent (non-unitary).

E.g. Standard model: check that cancel, \checkmark (Weinberg.)

(Note: in 4-dim: $U(1)$ gauge theories also can have mixed gauge-gravitational anomalies. Also must cancel.)

(2.) Chiral anomalies: 1 $T^a \in G$, 2 $T^a \in H$

$$\Rightarrow \partial_\mu J_G^\mu = \frac{1}{32\pi^2} \sum_i \text{tr}_i(T_G \{T_H^a, T_H^b\}) F_a^{\mu\nu} \tilde{F}_{b\mu\nu}$$

\Rightarrow Anomaly in (global) chiral symmetry: it is broken.

$$\begin{aligned} \text{Evaluate r.h.s.: } \text{tr}_{R_G} (T_G \{T_H^a, T_H^b\}) &= 2 \text{tr}_{R_G}(T_G) \text{tr}_{R_H}(T_H^a T_H^b) \\ &= 2 \text{tr}_{R_G}(T_G) \delta^{ab} C(R_H) \end{aligned}$$

Now, for ^{semi-}simple Lie algebras $\text{tr}_R(T) = 0$.

∴ Only anomalies in U(1) chiral symmetries

$\psi \Rightarrow \text{Tr}_{H_i}(T_G) = q_i$ ← charge of i^{th} fermion under $U(1)_G$

$\therefore \partial^\mu J_\mu = \frac{1}{16\pi^2} \sum_i q_i C(R_i) F_a^{\mu\nu} \tilde{F}_{a\mu\nu}$

$$\partial^\mu J_\mu = \frac{1}{16\pi^2} \sum_i q_i T(R_i) \text{tr}_f(F\tilde{F})$$

Chiral Anomaly.

↑ ↑ ↑
sum over L-weak fermions

$\psi_i \rightarrow e^{iq_i \epsilon} \psi_i$

← ← U(1) charges group reps.

Notes: • Also anom $\propto (\sum_i q_i)$ for gravitational theories.

- If 2 or 3 lines have global T_G 's \Rightarrow no anomaly. But will see has interesting consequence as low-energy selection rule.

Selection rule

Since anomaly $\propto \text{tr}_f(F\tilde{F})$ which is

θ term in action, can restore chiral invariance if treat θ parameter as fixed a lot it transform as

$$\begin{cases} \psi_i \rightarrow e^{iq_i \epsilon} \psi_i \\ \theta \rightarrow \theta + \epsilon \sum_i q_i T(R_i) \end{cases}$$

For θ term $\Rightarrow \partial^\mu J_\mu = \frac{\delta \mathcal{L}}{\delta \epsilon} = \frac{\delta}{\delta \epsilon} \left(\frac{\theta}{16\pi^2} \text{tr}_f(F\tilde{F}) \right) = \text{r.h.s of anomaly.}$

- In this way chiral anomaly is now seen in classical action as explicit breakings.
- Since anomaly appears only through θ terms, \Rightarrow at most 1 anomalous U(1) per simple gauge factor (by making appropriate linear combos of all U(1) generators).

• Other implications: anomalous U(1) charge violation

$$\begin{aligned} \Delta Q &= \int_{-\infty}^{\infty} dt \partial_0 Q = \int dt d^3x \partial_0 J_0 \\ &= \int d^4x \left(\underbrace{\vec{\nabla} \cdot \vec{J}}_{\text{surface}} + \frac{\sum_i q_i T(R_i)}{16\pi^2} \text{tr}(FF) \right) \\ &= \left[\sum_i q_i T(R_i) \right] n \leftarrow \text{instanton number.} \end{aligned}$$

But (Dorey's lectures), instanton-changing processes suppressed at weak coupling by $e^{-\frac{8\pi^2}{g^2} |n|}$.

So though U(1) broken, at weak gauge coupling ~~the~~ the magnitude of breaking effects is tiny. (eg. Baryon # violation in SU(2) EW.)

- If \exists massless ~~weak~~ chiral fermion, \Rightarrow \exists chiral symmetry broken by anomaly. Can use to shift $\theta \rightarrow 0$.
 \therefore In such a theory, θ angle not observable.
 (e.g. if u-quark massless (no Yukawa) \Rightarrow no strong CP problem in QCD. Of course, naturalness for ν Yukawa...)

• 't Hooft anomaly matching: skip.

SUMMARY:
 Scale & chiral anomalies in gauge theories summarized in classical action by

$$S = \int d^4x \left[\frac{2}{16\pi^2} \text{tr}(F^2) + c.c. \right]$$

$$w/ \quad \epsilon_{ij} = \frac{\theta}{2\pi} + \frac{4\pi i}{g^2 \mu^4} = \frac{1}{2\pi i} \ln \left(\frac{\Lambda^b}{\mu^4} \right) \quad \Lambda^b = \mu^b e^{2\pi i \epsilon}$$

$$w/ \quad \theta \rightarrow \theta + \epsilon \left(\sum_i q_i T(R_i) \right) \Rightarrow \Lambda^b \rightarrow e^{i\epsilon \left(\sum_i q_i T(R_i) \right)} \Lambda^b$$

$$S = \int d^4x \left[\frac{1}{32\pi^2} \ln \left(\frac{\Lambda^b}{\mu^4} \right) + \text{tr}(F^2) + c.c. \right]$$

w/ $b = \frac{11}{6} T(\text{adj}) - \frac{1}{3} \sum_i T(R_i) - \frac{1}{6} \sum_2 T(R_a)$
 $\& \Lambda^b$ has charge $\sum_i q_i T(R_i)$ under U(1) chiral.

So scale & chiral breaking explicit.

o In N=1 superspace language this becomes:

$$S = \int d^4x \left[\int d^2\theta \frac{1}{32\pi^2} \ln \left(\frac{\Lambda^6}{\mu^6} \right) \text{tr}(W^2) \right] + \text{c.c.} + \text{supot'le a Kahler}$$

- Evaluate b, change Λ^6 :

o Say have Φ_i in R_i rep \Rightarrow gauge group

$$\Rightarrow \Phi_i \supset \{ \phi_i, \psi_i \}$$

↑ ↑
complex Weyl
scalar spinor

Also, have $W_\alpha \supset \{ \lambda_\alpha, \mathcal{F}_{mn} \}$

↑ ↑
adjoint gauge boson
Weyl

$$\therefore b = \frac{11}{6} T(\text{adj}) - \frac{1}{3} \left(T(\text{adj}) + \sum_i T(R_i) \right) - \frac{1}{6} \left(\sum_i T(R_i) \right)$$

↑ ↑ ↑ ↑
 \mathcal{F} λ ψ_i ϕ_i

$$b = \frac{1}{2} \left(3 T(\text{adj}) - \sum_i T(R_i) \right)$$

↑ ↑
 W_α Φ_i

o What is Λ^6 change: $\sum_{\forall W_\alpha} \hat{c}_i T(R_i) \stackrel{?}{=}$

\Rightarrow depends on q_i j.i.e. on definition of chiral $U(1)$.
 \rightarrow Since there are many $U(1)$'s in free (massless) theory, there are many choices...

(1.) Axial flavor $U(1)$'s :

Symmetry under which only one chiral field rotates: (class. symm if no super's)

$$U(1)_i \equiv \begin{cases} \bar{\Phi}_i \rightarrow e^{i\varepsilon} \bar{\Phi}_i & \Rightarrow \psi_i \text{ has charge } 1 \\ \bar{\Phi}_j \rightarrow \bar{\Phi}_j \quad j \neq i & \Rightarrow \psi_j (j \neq i) \text{ has charge } 0 \\ W_\alpha \rightarrow W_\alpha & \Rightarrow \lambda_\alpha \text{ has charge } 0 \end{cases}$$

$$\Rightarrow \sum_{\text{fermi}} q T(R) = T(R_i)$$

So Λ^b has $U(1)_i$ charge $T(R_i)$

(2.) R $U(1)_R$ anomaly:

- Under $U(1)_R$ $\theta \rightarrow e^{i\varepsilon} \theta$ (i.e. $R[\theta] = +1$, $\therefore R[d\theta] = -1$)

\Rightarrow For symmetry, need $R[\tau + W^2] = +2$.

- An especially convenient such R symmetry is:

	$U(1)_R$	
$\bar{\Phi}_i$	$\frac{2}{3}$	$\Rightarrow R[\psi_i] = -1/3$ ($R[\phi_i] = 2/3$)
W_α	1	$\Rightarrow R[\lambda_\alpha] = +1$ ($R[\mathcal{F}] = 0$) \checkmark

This is classical symmetry because $R[\text{tr}(W^2)] = +2 \checkmark$

and $R[\bar{\Phi}\Phi] = 0$. Kahler form invariant. \checkmark

$$\Rightarrow \sum_{\text{fermi}} q T(R) = T(\text{adj}) - \frac{1}{3} \sum_i T(R_i) = \frac{2}{3} b$$

$\therefore \Lambda^b$ has $U(1)_R$ charge $\frac{2}{3} b$, $\sim R[1] = \frac{2}{3}$.

- Many other R symms possible: (e.g. $U(1)_R + \sum_i \alpha_i U(1)_i$)

but will call this one the $U(1)_R$.

Superspace form of anomalies:

(1.) Konishi anomaly $U(1)_i: \Phi_i \rightarrow e^{i\epsilon} \Phi_i$, rest don't change

$$\partial_\mu J_i^\mu = \frac{T(R_i)}{16\pi^2} \text{tr}(F\tilde{F})$$

Can compute J_i^μ from Noether's theorem & supersymmetrize...
(Clark, Piquet, Sibold; Konishi)

Or: note that classically, $\partial^\mu J_\mu = 0$ follows from equations of motion:

$\phi \rightarrow \phi + \epsilon f(\phi)$ is symmetry \Rightarrow

$$\left[-\partial_\mu \left(\frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} \right) + \frac{\partial \mathcal{L}}{\partial \phi} \right] \cdot f = 0$$

e.o.m.

Copy this for superspace. Superspace e.o.m.:

$$\frac{\delta S}{\delta \Phi(y, \theta)} = 0 \quad S = \int d^4x \left[\int d^4\theta \bar{\Phi} e^V \Phi + \int d^2\theta (W(\Phi) + \tau W^2) + \text{c.c.} \right]$$

$$= \int d^4y d^2\theta \left[\bar{D}^2 (\bar{\Phi} e^V \Phi) + \mathcal{W}(\Phi) + \tau W^2 \right] +$$

$$\int d^4x d^2\bar{\theta} \left[\mathcal{W}(\bar{\Phi}) + \tau \bar{W}^2 \right]$$

Treat Φ , & $\bar{\Phi}$ independently

\Rightarrow Superficial e.o.m

$$0 = \frac{\delta S}{\delta \Phi_i(y, \theta)} = \bar{D}^2 (\bar{\Phi}_i e^V) + \frac{\partial \mathcal{W}}{\partial \Phi_i}$$

For $U(1)_i: \Phi_i \rightarrow e^{i\epsilon} \Phi_i \cong \Phi_i + i\epsilon \Phi_i \xleftarrow{f} \Rightarrow$

$$0 = \underbrace{\bar{D}^2 (\bar{\Phi}_i e^V)}_{\partial_\mu J_i^\mu} \Phi_i + \underbrace{\frac{\partial \mathcal{W}}{\partial \Phi_i}}_{\text{explicit breaking terms in super}} \Phi_i \quad (\text{no sum on } i)$$

Take θ^2 component: $\rightarrow \partial_\mu J_i^\mu$ \rightarrow explicit breaking terms in super

\therefore Superspace version of $\partial_\mu J_i^\mu = \dots \Rightarrow$

$$-\bar{D}^2 (\bar{\Phi}_i e^V \Phi_i) = + \Phi_i \frac{\partial \mathcal{W}}{\partial \Phi_i}$$

But, quantumly, has anomaly on r.h.s. $\cong \frac{T(R_i)}{32\pi^2} \underbrace{\text{tr}(F\tilde{F})}_{\text{tr}(W^2)} \cdot \theta^2$

$$\therefore \boxed{-\bar{D}^2 (\bar{\Phi}_i e^V \Phi_i) = \Phi_i \frac{\partial \mathcal{W}}{\partial \Phi_i} + \frac{T(R_i)}{32\pi^2} \text{tr}(W^2)} \quad \text{Konishi Anom.}$$

(2.) U(1)_R anomaly: Can't use same trick as above to super-covariantize, because U(1)_R does not commute w/ susy generators.

Recall: U(1)_R:
$$\begin{cases} \Phi_i \rightarrow e^{i\epsilon \frac{2}{3}} \Phi_i \\ W_\alpha \rightarrow e^{i\epsilon} W_\alpha \end{cases} \quad \forall i$$

& got anomaly:
$$\partial_\mu J_R^\mu = \frac{\frac{2}{3}b}{32\pi^2} \text{tr}(F\tilde{F}) + \text{explicit breaking}$$

But: scale:
$$\begin{cases} \Phi_i \rightarrow e^{\epsilon\delta} \Phi_i \\ W_\alpha \rightarrow e^{\frac{3}{2}\delta} W_\alpha \end{cases} \quad \forall i \quad \mathcal{N} \sim \Phi^n \quad n \neq 3$$

& got anomaly:
$$T_\mu^\mu = \partial_\mu D^\mu = \frac{b}{32\pi^2} \text{tr}(FF) + \text{explicit breaking}$$

$$\Rightarrow \frac{2}{3} T_\mu^\mu + i \partial_\mu J_R^\mu = \frac{2}{3} \cdot \frac{b}{32\pi^2} \text{tr}(F^2) + \dots$$

$$\downarrow \text{super-symmetrize to } \theta^2 \text{ comp. of}$$

$$= \frac{2}{3} \cdot \frac{b}{32\pi^2} \text{tr}(W^2) + 3\mathcal{N} - \sum_i \Phi_i \frac{\partial \mathcal{N}}{\partial \Phi_i}$$

This indicates that scale + U(1)_R currents should ~~be~~ appear together in some superfield as $\frac{2}{3} D^\mu + i J_R^\mu$.

Turns out: real gen superfield $V_\mu \sim$ "current multiplet"

$$V_\mu = \left(\frac{2}{3} D_\mu + i J_R^\mu \right) + \theta^\alpha S_{\mu\alpha} + \text{c.c.} + \bar{\theta} \sigma^{\nu\rho} \theta \left\{ \frac{2}{3} T_{\mu\nu} + \partial_{[\mu} J_{\nu]}^R \right\} + \dots$$

Defining $V_{\alpha\dot{\alpha}} = \sigma_{\alpha\dot{\alpha}}^\mu V_\mu$, then compute ...

$$\bar{D}^{\dot{\alpha}} V_{\alpha\dot{\alpha}}|_\theta = \frac{1}{2} \left(\frac{2}{3} T_{\mu\nu} + i \partial^\mu J_R^\mu \right) \theta_\alpha$$

General computation:

$$\bar{D}^{\dot{\alpha}} V_{\alpha\dot{\alpha}} = \frac{2}{3} D_\alpha \left(\underbrace{3\mathcal{N} - \sum_i \Phi_i \frac{\partial \mathcal{N}}{\partial \Phi_i}}_{\text{explicit breaking}} + \frac{b}{32\pi^2} \text{tr}(W^2) - \frac{1}{2} \bar{D}^2 \left[\sum_i \gamma_i \Phi_i \bar{\psi} \psi \right] \right)$$

This looks like a mess, but \leftarrow U(1)_R - scale anomaly
 plugging Konishi anom. into this expression gives:

$$\bar{D}^{\dot{\alpha}} V_{\alpha\dot{\alpha}} = \frac{2}{3} D_\alpha \left(\left[3\mathcal{N} - \sum_i (1 - \frac{1}{2} \gamma_i) \Phi_i \frac{\partial \mathcal{N}}{\partial \Phi_i} \right] + \left[b + \sum_i \frac{1}{2} \gamma_i T(R_i) \right] \frac{\text{tr}(W^2)}{32\pi^2} \right)$$

If $\mathcal{N} = \lambda \mathcal{O}$, $\mathcal{O} = \prod_i \phi_i^{r_i} \Rightarrow 3\mathcal{N} - \sum_i (1 - \frac{1}{2} \gamma_i) \Phi_i \frac{\partial \mathcal{N}}{\partial \Phi_i} = (3 - \Delta + \frac{1}{2} \sum_i r_i \gamma_i) \mathcal{O}$

$$\therefore \bar{D}^{\dot{\alpha}} V_{\alpha\dot{\alpha}} = \frac{2}{3} D_\alpha \left(\sum_a (3 - \Delta_a + \frac{1}{2} \sum_i r_{ai} \gamma_{ai}) \mathcal{O}_a + \left(b + \sum_i \frac{1}{2} \gamma_i T(R_i) \right) \frac{\text{tr}(W^2)}{32\pi^2} \right)$$

Therefore, conditions for scale-invariance are:

$$\begin{cases}
 0 = \beta_{\lambda_a}^{CN} \propto \Delta_a - 3 - \frac{1}{2} \sum_i r_{ai} \gamma_i & \checkmark \text{supot'l non-renorm.} \\
 0 = \beta_g^{CN} \propto b + \frac{1}{2} \sum_i \gamma_i T(R_i) = \frac{3}{2} T(\text{adj}) - \frac{1}{2} \sum_i (1 - \gamma_i) T(R_i)
 \end{cases}$$

where $(\gamma_i \equiv \frac{d \ln Z_i}{d \ln \mu})$.

Note: expression for $U(1)_R$ anomaly was exact.

But trace anomaly was only 1-loop.

Since supersymmetry relates them, must be that, in fact, the β -functions computed above is exact.

Just from \star can deduce ~~the~~ existence of scale-invariant field theories when can eliminate or reduce γ_i 's using symmetries [Leigh & Strassler NPB447(1995)95.]

These scale invariance conditions have alot of the same information as ^a non-renormalization theorem.

We will see how to recover them ~~using~~ from non-renormalization arguments for vector superfields.

5. Non-Renormalization theorems for Vector Superfields (40)

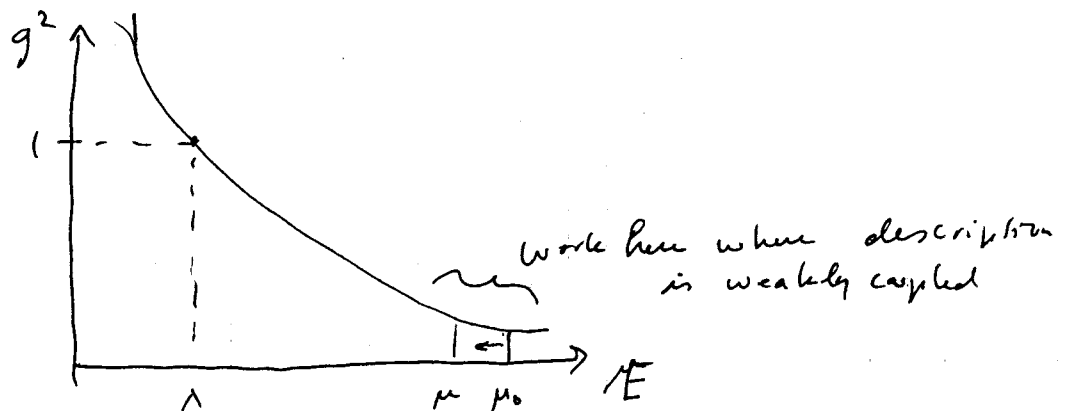
• UV action: $\mathcal{L}_{\mu_0} = \int d^4\theta \bar{\Phi}_i e^{V_0} \Phi_i + \int d^2\theta \tilde{\mathcal{W}}_{\mu_0}$

w/ gen. superpot'l: $\tilde{\mathcal{W}}_{\mu_0} = \frac{i\tau_0}{16\pi} \text{tr}(W^2) + \mathcal{W}_{\mu_0}(\Phi_i, \lambda_0)$

• Want to find dependence of $\tilde{\mathcal{W}}_{\mu}$ on τ_0, λ_0 in effective action at scales $\mu < \mu_0$.

• Let's consider the interesting case where \mathcal{L}_{μ_0} describes an asymptotically free theory; i.e. one that is weakly coupled if μ_0 is large enough. In general, we do not know what the relation is between the (free) UV field content, and the effective IR field content. (See next week's lectures.--)

But we can do something more modest: let's try to derive non-renormalization theorems for the effective action at a scale $\mu < \mu_0$, but only slightly less: $\frac{\mu_0 - \mu}{\mu_0} \ll 1$. Then, if μ_0 is large enough, the theory is weakly coupled, so we can be confident that if we run only slightly down in scale, the theory will remain weakly coupled, and the same field content will be good:



So the effective generalized superpotential:

$$\tilde{W}_\mu = \frac{i}{16\pi} \tau_\mu(\Phi_i, \lambda_0, \tau_0) \text{tr}(W^2) + \mathcal{W}_\mu(\Phi_i, \lambda_0, \tau_0)$$

• Now, $\tau_0 \equiv \frac{b}{2\pi i} \ln\left(\frac{\Lambda}{\mu_0}\right)$. Under rotation of the θ angle,

$$\theta \rightarrow \theta + 2\pi \Rightarrow \Lambda^b \rightarrow e^{2\pi i} \Lambda^b \Rightarrow \tau_0 \rightarrow \tau_0 + 1.$$

• The angularity of the θ angle should remain true as we flow down to μ , since it just depends on the topological quantization of the $\text{tr}(F\tilde{F})$ term. Thus, writing

$$\tau_\mu = \tau_\mu(\Phi_i, \lambda_0, \Lambda^b),$$

we have selection rule:

$$\tau_\mu(\Phi_i, \lambda_0, e^{2\pi i} \Lambda^b) = \tau_\mu(\Phi_i, \lambda_0, \Lambda^b) + 1$$

(i.e., as rotate Λ^b , τ_μ shifts).

Since τ_μ is a holomorphic function of its arguments, it must have the form

$$\tau_\mu = \frac{b}{2\pi i} \ln\left(\frac{\Lambda}{\mu}\right) + h(\Lambda^b, \Phi_i, \lambda_0)$$

where h is an arbitrary single-valued holomorphic function of its arguments.

• Since we are dealing with an AF theory, the weak-coupling limit is $\Lambda \rightarrow 0$. For this limit to have smooth physics, only positive powers of Λ^b should appear. Thus:

$$\tau_\mu = \frac{b}{2\pi i} \ln\left(\frac{\Lambda}{\mu}\right) + \sum_{n=0}^{\infty} \Lambda^{nb} h_n(\Phi_i, \lambda_0)$$

• Recalling: $\ln \Lambda \sim \frac{1}{g^2} \Rightarrow \Lambda^{nb} \sim e^{-\frac{8\pi^2 n}{g^2}}$
↑ 1-loop running ↻ n-instanton (non-pert.) effect.

So we learn:

The holomorphic coupling does not get any corrections in perturbation theory beyond 1-loop, though it may get non-perturbative corrections.

The superpotential satisfies a similar "non-renormalization" theorem:

If we turned off the gauge coupling ($\Lambda \rightarrow 0$) then we would just have our previous superpotential non-renormalization theorem: $\mathcal{W}_\mu = \mathcal{W}_{\mu 0}(\Phi_i, \lambda_{0i}, \mu)$.

Turning on Λ (the gauge interactions) can only add new terms holomorphic in Λ^b and vanishing as $\Lambda \rightarrow 0$, so

$$\mathcal{W}_\mu = \mathcal{W}_{\mu 0} + \sum_{n=1}^{\infty} \Lambda^{nb} g_n(\Phi_i, \lambda_0)$$

again implying that \mathcal{W} gets no perturbative corrections, but may get non-perturbative ones.

We can tighten these results considerably using selection rules from global symmetries:

Say: $\mathcal{W}_{\mu 0} = \sum_r \lambda_r \mathcal{O}_r$, $\mathcal{O}_r = \prod_i \Phi_i^{n_{ir}}$ $\Rightarrow \Delta_r = \sum_i n_{ir}$ ↖ gauge invariant.

Consider $U(1)_\theta$ "symmetry"

$$U(1)_\theta: \begin{cases} \Phi_i \rightarrow e^{i\epsilon} \Phi_i \\ W_\alpha \rightarrow W_\alpha \end{cases}$$

Broken by $\mathcal{W}_{\mu 0}$, + anomalies:

$$\mathcal{O}_r \rightarrow e^{i\epsilon \sum_i n_{ir}} \mathcal{O}_r \Rightarrow \text{anomaly: } \lambda_r \rightarrow e^{-i\epsilon \sum_i n_{ir}} \lambda_r = e^{-i\Delta_r \epsilon} \lambda_r$$

Anomaly \Rightarrow anign $\theta \rightarrow \theta + \epsilon \sum_i T(R_i) \Rightarrow \Lambda^b \rightarrow e^{i\epsilon \sum_i T(R_i)} \Lambda^b$

Similarly, $U(1)_R$ symmetry:

$$\theta \rightarrow e^{i\epsilon}\theta \quad \& \quad \begin{cases} \Phi_i \rightarrow \Phi_i \\ W_\alpha \rightarrow e^{i\epsilon} W_\alpha \end{cases} \Rightarrow \begin{cases} \psi_i \rightarrow e^{-i\epsilon} \psi_i \\ \lambda_\alpha \rightarrow e^{i\epsilon} \lambda_\alpha \end{cases}$$

Need: $W_{\mu 0} \rightarrow e^{2i\epsilon} W_{\mu 0}$ (R-charge +2)

\Rightarrow since $\mathcal{O}_r \rightarrow \mathcal{O}_r \quad \therefore \lambda_r \rightarrow e^{2i\epsilon} \lambda_r$

Anomaly: $\theta \rightarrow \theta + \epsilon (T(\text{adj}) - \sum_i T(R_i))$

$\Rightarrow \Lambda^b \rightarrow e^{i\epsilon [T(\text{adj}) - \sum_i T(R_i)]} \Lambda^b$

Summarize changes:

	$U(1)_B$	$\times U(1)_R$	
Φ_i	1	0	
W_α	0	1	
λ_r	1 Δ_r	2	
Λ^b	$\sum_j T(R_j)$	$T(\text{adj}) - \sum_i T(R_i)$	(gauge inv.)

Now say we are interested in a non-perturbative contribution to $\tau_\mu \text{tr}(W^2) \sim \Lambda^{6\alpha} \prod_r \lambda_r^{\alpha_r} \prod_i \Phi_i^{\beta_i} \text{tr}(W^2)$

Weak coupling limit $\Lambda, \lambda_r \rightarrow 0 \Rightarrow \alpha, \alpha_r > 0$.
 Also, τ_μ single valued in this limit $\Rightarrow \alpha, \alpha_r \in \mathbb{Z}$.

Selection rule from "symmetries": Some charges must vanish: $U(1)_B = 2 \quad U(1)_R$

$U(1)_B \Rightarrow 0 = \alpha \sum_i T(R_i) - \sum_r \alpha_r \Delta_r + \sum_i \beta_i$

$U(1)_R \Rightarrow 2 = \alpha [T(\text{adj}) - \sum_i T(R_i)] + 2 \sum_r \alpha_r + 2$

Then $\frac{3}{2}U(1)_R + U(1)_B \Rightarrow 0 = \alpha [\frac{3}{2}T(\text{adj}) - \frac{1}{2}\sum_i T(R_i)] + \sum_r (3 - \Delta_r)\alpha_r + \sum_i \beta_i$

or, $0 = \alpha b + \sum_r (3 - \Delta_r)\alpha_r + \sum_i \beta_i$

We were interested in AF theories:

$\Rightarrow b > 0 \quad + \quad 3 - \Delta_r \geq 0$ (i.e. all microscopic couplings relevant or marginal)

Since $\alpha, \alpha_r > 0 \Rightarrow \sum_i \beta_i < 0$

- Only corrections involve Φ_i 's to negative powers
- Furthermore, non-perturbative corrections to gauge running:

$\sim (\Lambda^{b\alpha} \prod_r \lambda_r^{\alpha_r}) \text{tr}(W^2) \Rightarrow \beta_i = 0$

$\Rightarrow 0 = \alpha b + \sum_r (3 - \Delta_r) \alpha_r$

\Rightarrow no solution.

\therefore The χ gauge coupling is 1-loop exact. (holomorphic).

- Can analyze contributions to superpotential similarly: it is a bit more complicated...

Consider renormalization of an operator \mathcal{O}_S in microscopic \mathcal{N}_{flavor} :

$\sim \Lambda^{b\alpha} \prod_r \lambda_r^{\alpha_r} \mathcal{O}_S$

$U(1) \Rightarrow 0 = \alpha \sum_i T(R_i) - \sum_r \alpha_r \Delta_r + \Delta_S$

$U(1)_R \Rightarrow 2 = \alpha [T(adj) - \sum_i T(R_i)] + 2 \sum_r \alpha_r$

$\frac{3}{2}U(1)_R + U(1) \Rightarrow 3 - \Delta_S = \alpha b + \sum_r (3 - \Delta_r) \alpha_r$

Again, for AF theories, $b > 0, 3 - \Delta_r > 0, \alpha, \alpha_r \in \mathbb{Z}^+, \Rightarrow \Delta_S \in \mathbb{Z}$
only solution is $\alpha = 0, \alpha_S = 1, \alpha_r = 0 \quad r \neq S$.

$\sim \lambda_S \mathcal{O}_S =$ tree level term.

So tree-level superpotential is not renormalized.

(But non-perturbative corrections w/ inverse powers of Φ_i are allowed.) [See Intriligator lecture.]

• Let's interpret these non-renormalization theorems:

- they applied to couplings in the holomorphic generalized superpotential only.
- the Kahler term is not protected.
- so there will be wave function renormalizations as well:

$$\mathcal{L}_\mu = \int d^4x \left[\int d^4\theta Z_i (\bar{\Phi}_i e^V \Phi_i) + \int d^2\theta \left\{ \frac{1}{16\pi^2} \ln\left(\frac{\Lambda}{\mu}\right)^6 \text{tr} W^2 + \sum_r \lambda_r \mathcal{O}_r \right\} + \text{c.c.} \right]$$

(with $(\frac{\Lambda}{\mu})^{3-2r}$)
(+ $\frac{1}{\mu^{2n}}$ corrections)

rewrite as

$$\mathcal{L}_\mu = \int d^4x \left[\int d^4\theta Z_i \bar{\Phi}_i e^V \Phi_i + \int d^2\theta \left\{ \left(\frac{1}{g^2(\mu)} - \frac{i\theta}{8\pi^2} \right) \text{tr} W^2 + \left(\lambda_0 \prod_i \Phi_i^{n_i} \right) + \text{c.c.} \right\} \right]$$

(with $(\frac{\Lambda}{\mu})^{3-2r}$)

Need to rescale fields to canonically normalize:

Want coefficient of $\bar{\Phi}_i e^V \Phi_i = 1$ $\bar{\Phi}_i e^{\hat{g}V} \Phi_i$
 " " " $\text{tr} W^2 = 1$ \Rightarrow (put $V \rightarrow \hat{g}V$)

Wave function:

$$\Phi_i = \frac{1}{\sqrt{Z_i}} \Phi_i^{CN}$$

but this change of variables rescales the fermion as

$$\psi_i \rightarrow \frac{1}{\sqrt{Z_i}} \psi_i$$

In our supersymmetric scheme, this rescaling may have an anomaly (rather, a non-trivial Jacobian in path integral).

Can see as follows: think of $\frac{1}{\sqrt{Z_i}}$ as χSF ; then is complex & includes phase rot'n as well as scaling.

The anomaly in the phase rot'n then must also apply to rescaling:

$$\psi_i \rightarrow e^\epsilon \psi_i = e^{i\epsilon_i(-i)} \psi_i \quad \epsilon_i = \ln\left(\frac{1}{\sqrt{Z_i}}\right)$$

$$\Rightarrow \theta \rightarrow \theta + (-i\epsilon_i) \int T(R_i)$$

Since couplings appear as $\tau = \frac{\theta}{2\pi} + \frac{4\pi i}{g^2}$,

$$\Rightarrow \text{shifts } \frac{4\pi}{g^2} \rightarrow \frac{4\pi}{g^2} - \frac{\epsilon_i \int T(R_i)}{2\pi}, \text{ gauge coupling } \propto \text{tr}(W^2)$$

(compare w/ Konishi anomaly).

• So, wv-function $\Rightarrow \mathcal{O} \rightarrow \mathcal{O} + i \frac{1}{2} \ln Z_i T(R_i)$
renormalization.

• Similarly $W_x \rightarrow W_x^{CN} = \frac{1}{g_{CN}} W_x$ ($V^{CN} = \frac{1}{g_{CN}} V$)
 $\approx e^{\frac{1}{2} \ln(\frac{1}{g_{CN}^2})} W_x$ so that
 $e^V \rightarrow e^{g_{CN} V^{CN}}$
 $\Rightarrow \mathcal{O} \rightarrow \mathcal{O} + \frac{i}{2} \ln(\frac{1}{g_{CN}^2}) T(ad_i)$.

• Net result: $\mathcal{O} \rightarrow \hat{\mathcal{O}} = \mathcal{O} - \frac{i}{2} \left[T(ad_i) \ln(\frac{1}{g_{CN}^2}) - \sum_i \ln Z_i T(R_i) \right]$

$$\mathcal{L}_\mu \rightarrow \mathcal{L}_\mu^{CN} = \int d^4\theta \bar{\Phi}_i^{CN} e^{g_{CN} V^{CN}} \Phi_i^{CN} - \frac{1}{4} \int d^2\theta \left[\frac{g_{CN}^2}{g^2(\mu)} - \frac{i \hat{\mathcal{O}} g_{CN}^2}{8\pi^2} \right] \text{tr} W_{CN}^2 + \lambda_0 \left(\frac{\mu_0}{\mu} \right)^{3-\Delta} \prod_i Z_i^{-n_i/2} \mathcal{O} \} + c.c.$$

$$\therefore \lambda^{CN}(\mu) = \lambda_0 \left(\frac{\mu_0}{\mu} \right)^{3-\Delta} \prod_i Z_i^{-n_i/2} \quad (Z_i^{n_i} = \Delta) \quad \star$$

& g_{CN}^2 s.t. real coefficient in front of $\text{tr} W_{CN}^2 = \left(1 - \frac{i \hat{\mathcal{O}} g_{CN}^2}{8\pi^2} \right)$

$$\Rightarrow \frac{g_{CN}^2}{g^2(\mu)} - \frac{g_{CN}^2}{16\pi^2} \left(T(ad_i) \ln(\frac{1}{g_{CN}^2}) - \sum_i \ln Z_i T(R_i) \right) = 1$$

$$\Rightarrow \frac{1}{g_{CN}^2} = \frac{1}{g^2(\mu)} + \sum_i \frac{\ln Z_i T(R_i)}{16\pi^2} - \frac{T(ad_i)}{16\pi^2} \ln(\frac{1}{g_{CN}^2})$$

Take $\frac{d}{d \ln \mu}$ both sides; use $\frac{d}{d \ln \mu} \left(\frac{1}{g^2(\mu)} \right) = \frac{b}{8\pi^2}$ (exact 1-loop running)

$$\Rightarrow \dots \quad \boxed{\frac{d \left(\frac{1}{g_{CN}^2} \right)}{d \ln \mu} = \frac{b + \frac{1}{2} \sum_i T(R_i) \gamma_i}{8\pi^2 - \frac{1}{2} T(ad_i) g_{CN}^2}} \quad \begin{array}{l} \text{NSVZ} \\ \text{exact} \\ \beta\text{-func.} \end{array}$$

$$\left(\gamma_i \equiv \frac{d \ln Z_i}{d \ln \mu} \right)$$

$$\text{Also } \frac{d}{d \ln \mu} \text{ of } (\star) = \boxed{\frac{d \lambda^{CN}(\mu)}{d \ln \mu} = \left(\Delta - 3 - \frac{1}{2} \sum_i n_i \gamma_i \right) \lambda^{CN}(\mu)}$$

Exact RG
eqn for
superf. λ

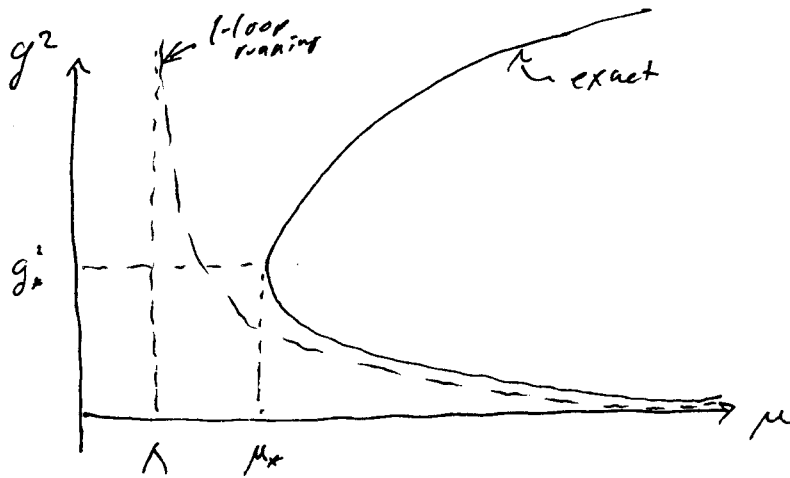
Since can't compute γ_i non-pert, limited use.
 (cf. Leigh + Strassler NPB, 1995).

But in one case of interest, can solve for g_{CN} exactly:

$\gamma_i = 0$ if there are n Φ_i 's \Rightarrow Pure superYM:

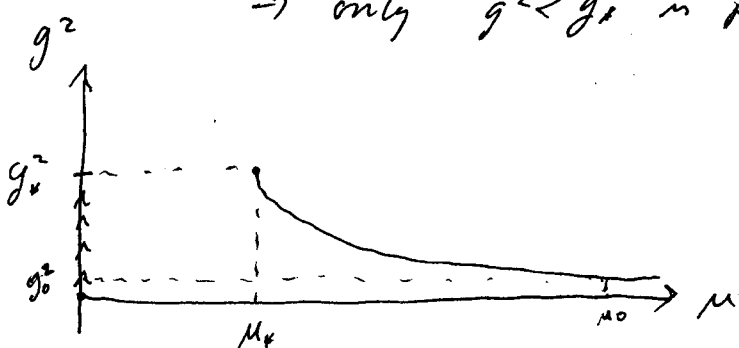
$$\frac{d(\frac{1}{g_{CN}^2})}{d \ln \mu} = \frac{\frac{3}{2} T(\text{adj})}{8\pi^2 - \frac{1}{2} T(\text{adj}) g_{CN}^2}$$

Easy to solve this differential equation. Get



What does this mean?

- 1) Can only flow down in μ , so 2 branches:
 $g^2 > g_*^2$ & $g^2 < g_*^2$.
- 2) Made an assumption that as $\mu \rightarrow \infty$ $g^2 \rightarrow 0$ (AF)
 \Rightarrow only $g^2 < g_*^2$ is physical:



What does flow stopping mean?

- Not fixed point, since doesn't go to $\mu = 0$.
- Means operator $\text{tr}(\Phi^2)$ decouples \rightarrow get integrated out

\Rightarrow glue ball gets mass in μ^*

$$\left[\mu_* = \left(\frac{16\pi^2 e}{T_{\text{adj}} g_0^2} \right)^{1/3} \underbrace{\mu_0 e^{-\frac{8\pi^2}{4g_0^2}}}_{\Lambda} \right] = \Lambda \left[e \ln \left(\frac{\mu_0}{\Lambda} \right)^3 \right]^{1/3}$$

Lesson: Non-renormalization theorems, by themselves, do not solve strong coupling problem: they can't tell you what the new effective low energy degrees of freedom will be.

Next weeks lectures will concern cases where program can be made by assuming certain low energy field content (based on some other physical inputs), and then applying the non-renormalization style arguments to find unique results.