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**Workshop on  
Novel States and Phase Transitions in Highly Correlated Matter  
12 - 23 July 2004**

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**New quantum phase transitions in a  
noisy mesoscopic world**

**Karyn LE HUR  
Universite de Sherbrooke  
Departement de Physique  
Cite Universitaire  
Quebec  
J1K 2R1 Sherbrooke  
CANADA**

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These are preliminary lecture notes, intended only for distribution to participants

# New quantum phase transitions in a noisy mesoscopic world

Karyn Le Hur



“Trieste 2004: Novel phase transitions in highly correlated matter”

*Fonds de recherche  
sur la nature  
et les technologies*

Québec 



# In Brief

## Target: Large quantum dot (single electron box)

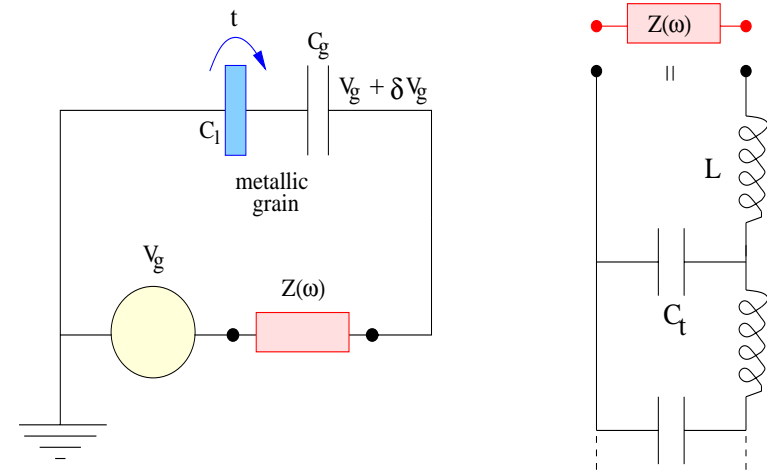
Charge fluctuations on a large dot coupled to a 2DEG:  
Mapping on Fermi-Kondo models

Dissipative environment: Bosonic bath?

Zero-point fluctuations?

Bose-Fermi Kondo models?

Quantum critical points?

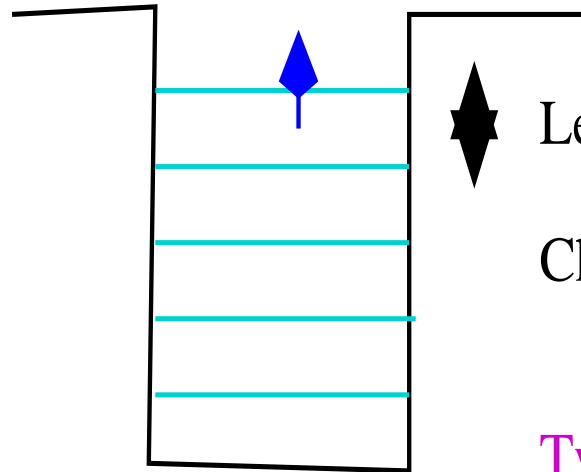


Josephson junction array

K. Le Hur, PRL 92, 196804, 2004

# small/large dot?

Energy spectrum in dot

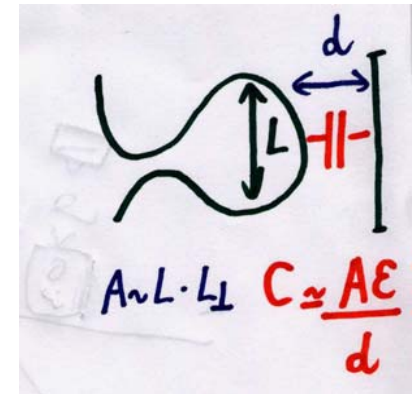


Level spacing  $\Delta \sim L^{-d}$

Charging energy  $E_c \sim L^{-1}$

$$\frac{\Delta}{E_c} \sim \left( \frac{\lambda_F}{L} \right)^{d-1}$$

Two-dimensional dot:  $\Delta \ll E_c$

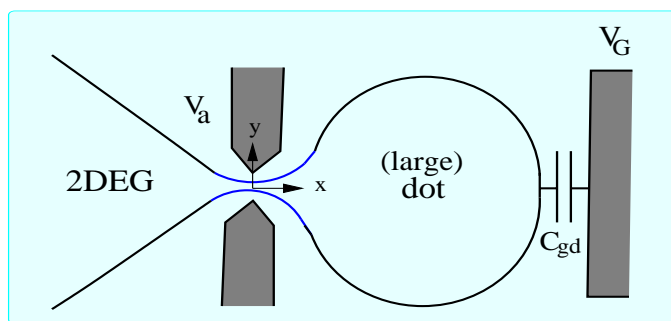


Small dot (Nano): Spin-1/2

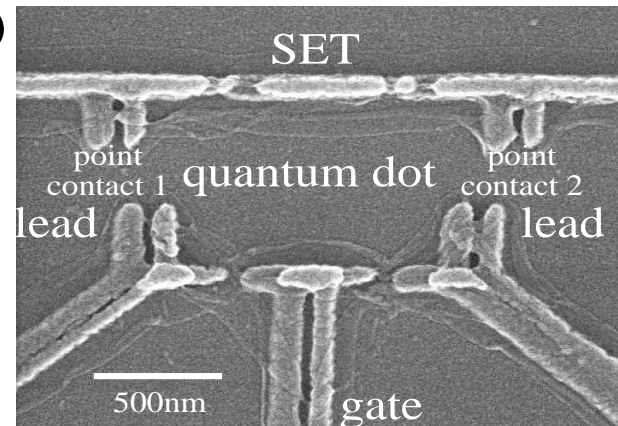
Large dot (Micron): “Many-body”

# Coulomb Blockade

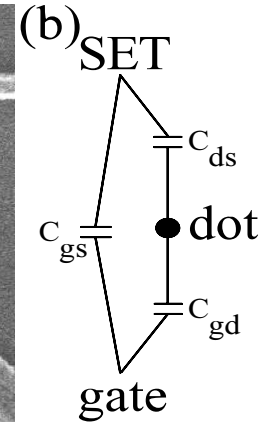
Glazman and Matveev, JETP 71, 1031 (1990)



(a)



(b)

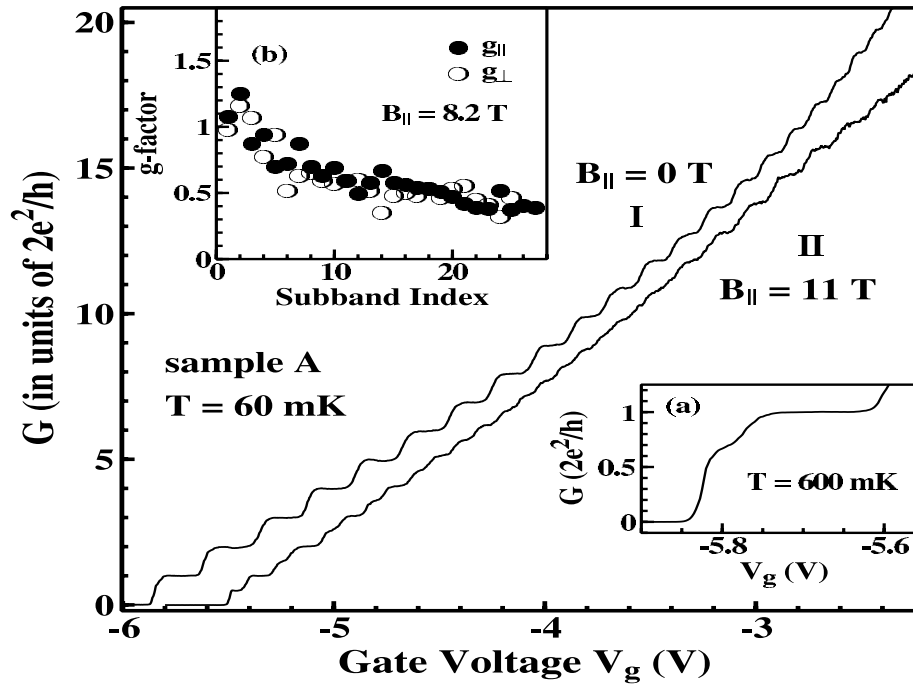


Ashoori sample in GaAs

Single-mode QPC with 2 spin channels

In-plane magnetic field: Zeeman  $t_{\uparrow} > t_{\downarrow}$

**Strong-field: Spin filter!**



## Pepper's group

Zero field: extra plateau 0.7?

Strong field: plateau  $0.5(2e^2/h)$

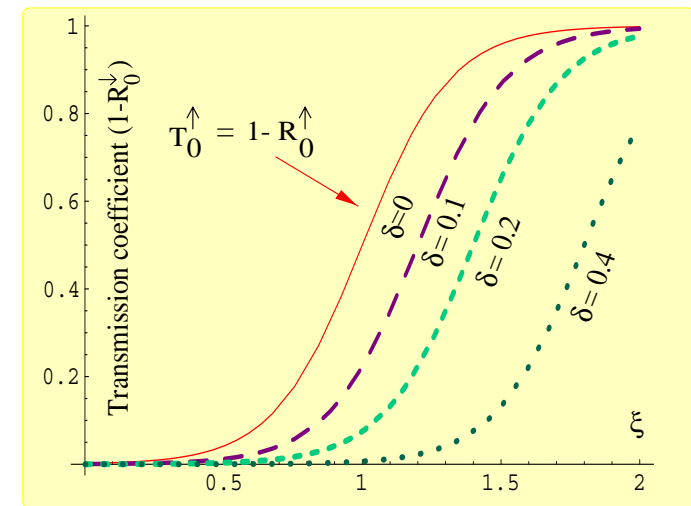
QPC; harmonic potential  
 $\xi$  linear in  $V_g$

K. Le Hur, PRB 161302R (2001)

Connor (1968)

Glazman, Lesovik, Khmel'nitskii, Shekter (1988)

Cyclotron effects: Fertig and Halperin (1987), Büttiker (1990)



# COULOMB BLOCKADE

Large dot, low T:  $\Delta \rightarrow 0, T \ll E_C$

one-terminal geometry:  
study equilibrium properties

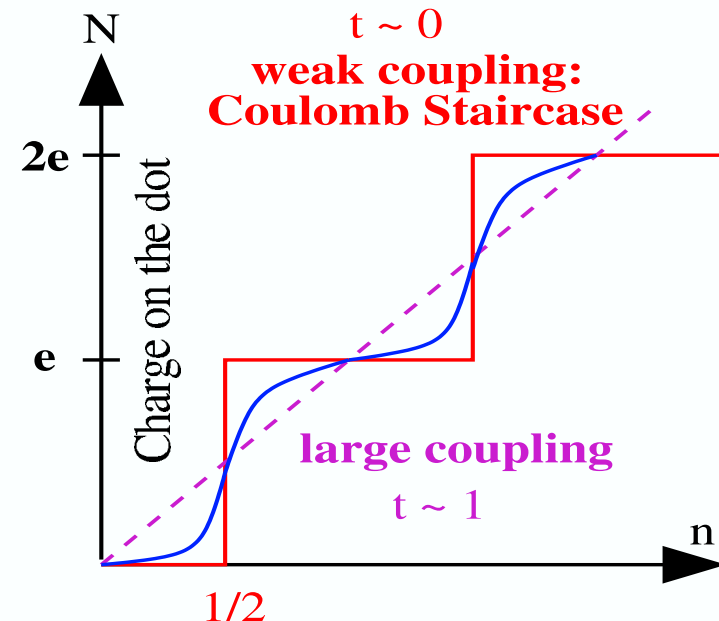
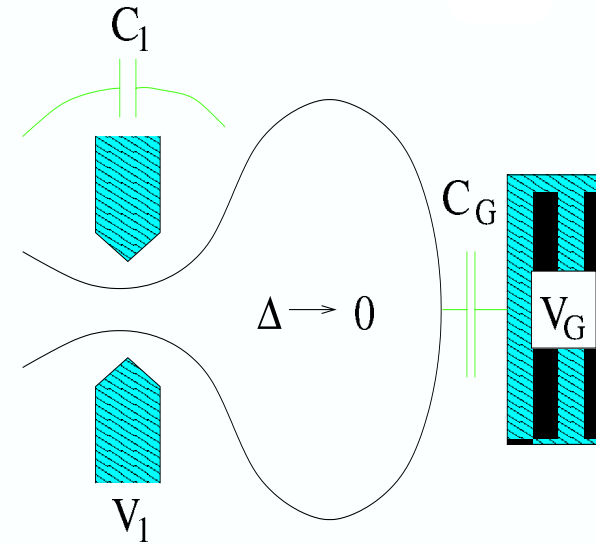
$$\langle Q \rangle, C = \partial \langle Q \rangle / \partial V_G$$

two limits:  $t \rightarrow 0, r \rightarrow 0$

Hamiltonian:

$$H = H_{2DEG} + H_{QD} + H_T + H_C$$

map on Kondo!



# MAPPING ON KONDO

mapping of the tunneling Hamiltonian:

$$\psi_{\sigma D}^+ \psi_{\sigma L} P_0 \Rightarrow \psi_{\sigma D}^+ \psi_{\sigma L} S^+ \Rightarrow s_{\sigma}^- S^+$$

$$\psi_{\sigma L}^+ \psi_{\sigma D} P_1 \Rightarrow \psi_{\sigma L}^+ \psi_{\sigma D} S^- \Rightarrow s_{\sigma}^+ S^-$$

$$|Q=0\rangle = |\downarrow\rangle$$

$$|Q=1\rangle = |\uparrow\rangle$$

the Coulomb Hamiltonian becomes

$$H_C \approx 0 \cdot \hat{P}_0 - eU \hat{P}_1 = \frac{eU}{2} (\hat{P}_1 - \hat{P}_0) - \frac{eU}{2} (\hat{P}_0 + \hat{P}_1) = \frac{eU}{2} (2S_z - 1)$$

charge on the dot:

$$\langle Q \rangle = e \langle \hat{P}_1 \rangle = e \left( \frac{1}{2} - \langle S_z \rangle \right)$$

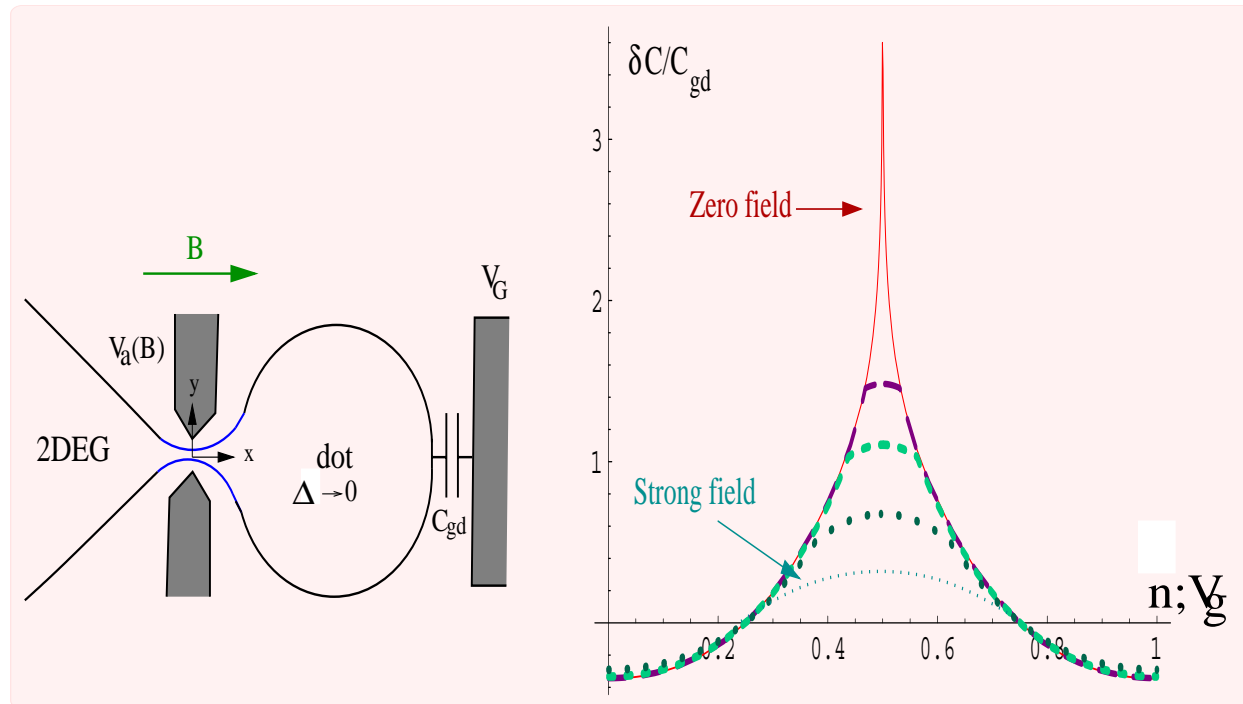
**K.A. Matveev**

**JETP 72, 892 (1991)**

**PRB 51, 1734 (1995)**



# Capacitance versus field



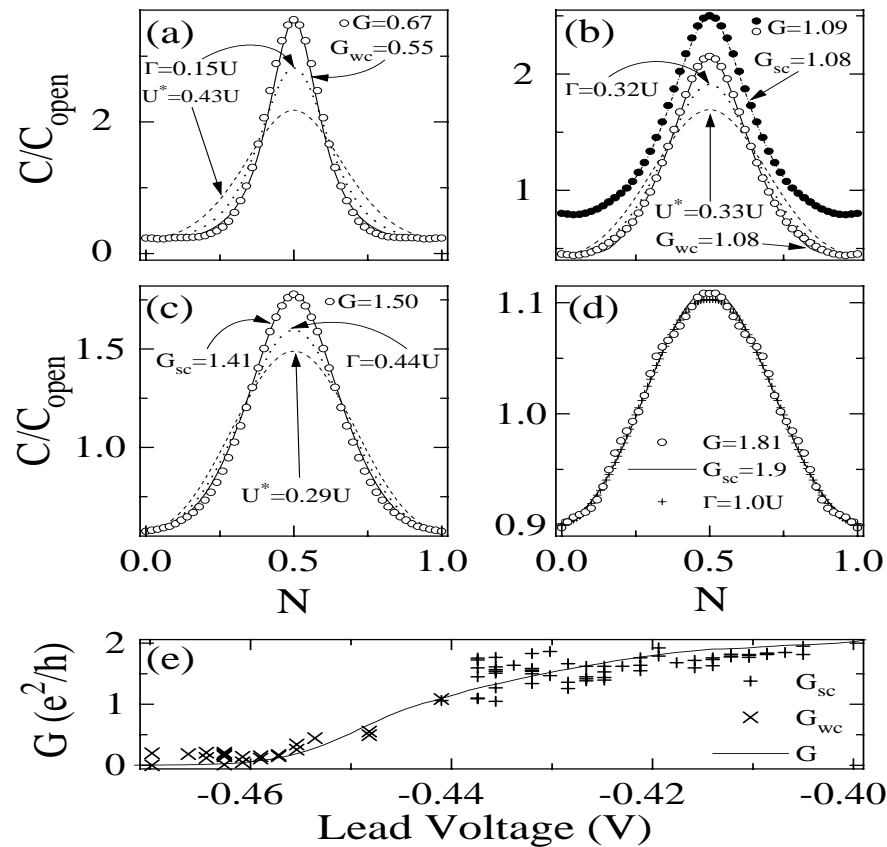
*Magnetic field = anisotropic two-channel Kondo model!*

*Strong magnetic field = one-channel Kondo model (Fermi liquid)*

**K. Le Hur and G. Seelig, PRB 65, 165338 (2002)**

**Coulomb peaks subsist until  $t_{\uparrow} \rightarrow 1$**

# Observat<sup>o</sup> of 2-channel Kondo realm?



PRL 82, 161 1999  
MIT group - Ashoori

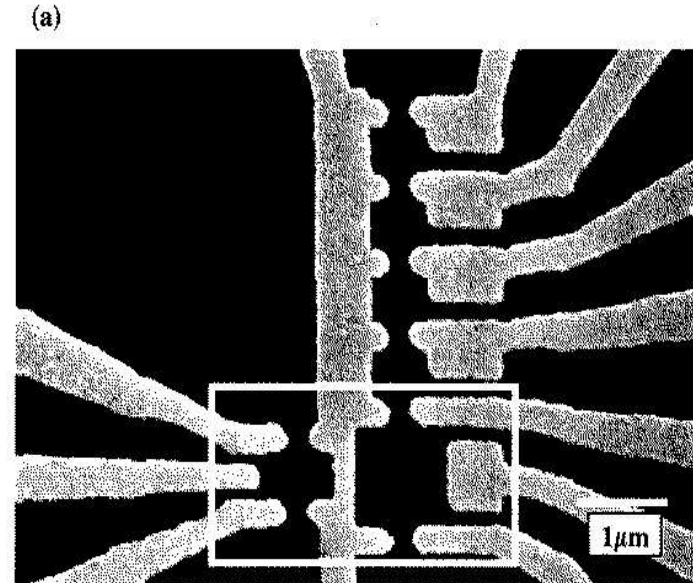
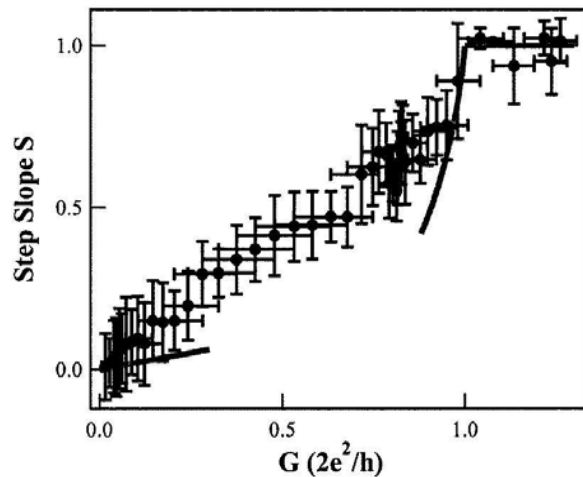
Datas from Berman et al.: No Magnetic field!  
Problem: To find a reasonable  $T_K$ !

*dots: perturbative results of Kostya Matveev and Hermann Grabert*

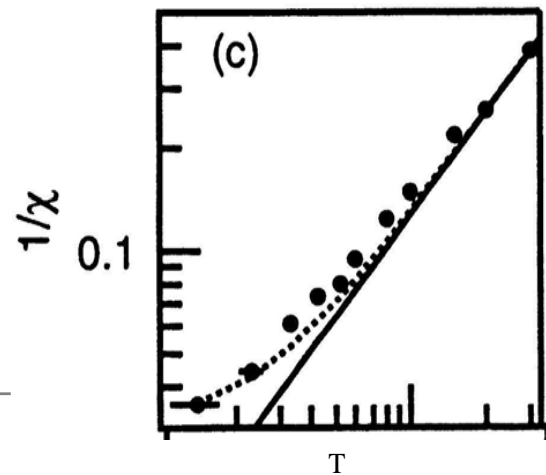
# More experiments

Westervelt et al. (Harvard)

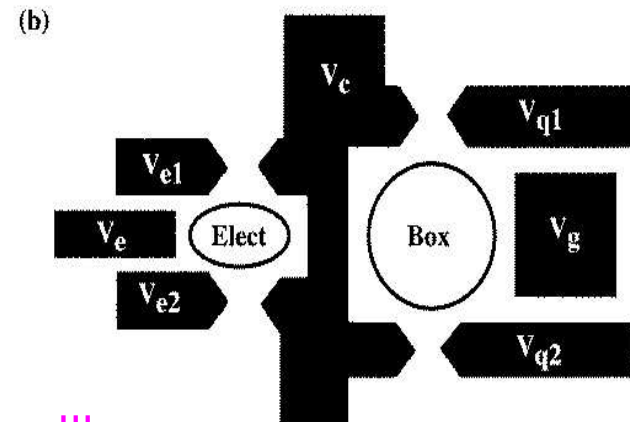
staircase still close to perfect transmission



Lehnert-Schoelkopf (SC qubit in field)  
(many modes)



Log-deviations from Curie law!!!



# “Quantum noise”: A brief detour

Identifications:

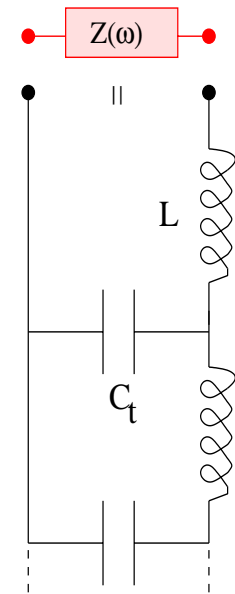
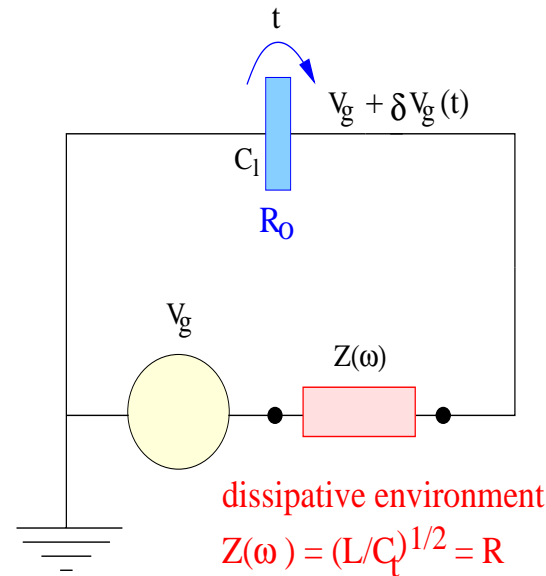
After diagonalization,

$$\delta V_g(t) = \gamma \sum_j \lambda_j X_j(t)$$

$$\varphi(t) = (e/\hbar) \int_{-\infty}^t \delta V_g(t') dt'$$

sum of the momenta  $P_j$

Important:  $\delta V_g$  &  $\varphi$  conjugate!



e.g. Josephson junction array

Quantum Hamiltonian for the transmission line:

$$H_B = \sum_{j=1}^{+\infty} \left( \frac{P_j^2}{2M} + \frac{M\omega_j^2}{2} X_j^2 \right)$$

Ohmic bath:  $J(\omega) = \gamma^2 \sum_j \lambda_j^2 \pi \delta(\omega - \omega_j) / (2M\omega_j) = \hbar R \omega$

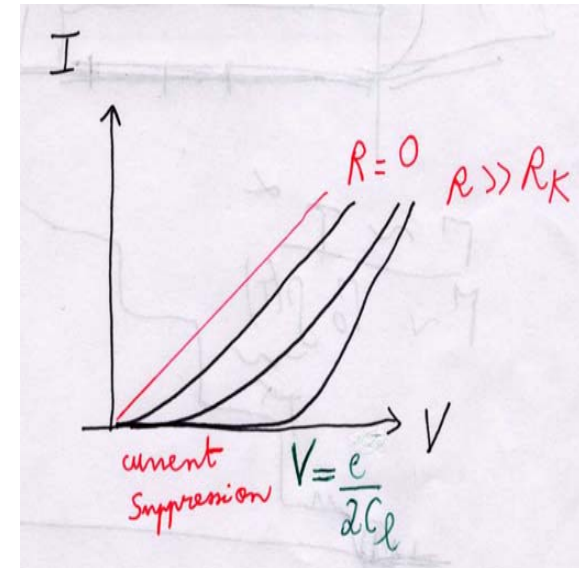
# Charge accumulation at the junction

$$\hat{H}_t = t \sum_{k,q} c_k^\dagger c_q e^{i\varphi} + h.c.$$

Important:

$$P(E) = \frac{1}{2\pi\hbar} \int dt e^{i\frac{E}{\hbar}t} \langle e^{i\varphi(t)} e^{-i\varphi(0)} \rangle$$

probability that an inelastic tunnel event occurs or “photon” of energy  $E$  is emitted to the bath



$$P(E) \approx \frac{\exp -(\gamma/\alpha)}{\Gamma(\alpha)} \frac{1}{E} \left| \frac{2\pi}{\alpha} E \right|^{1/\alpha}$$

$$I(V \rightarrow 0) \sim |V|^{1+1/\alpha} \text{ and } \alpha = R_K / (2R)$$

$$R_K = h/e^2 = 26k\Omega \text{ quantum of resistance}$$

Clear observation in Cleland et al. PRL (1990) using thin film resistors  
Devoret, Esteve, Grabert et al. (1990,1998); Nazarov and Ingold (1992)

## Charge Fluctuations in Small-Capacitance Junctions

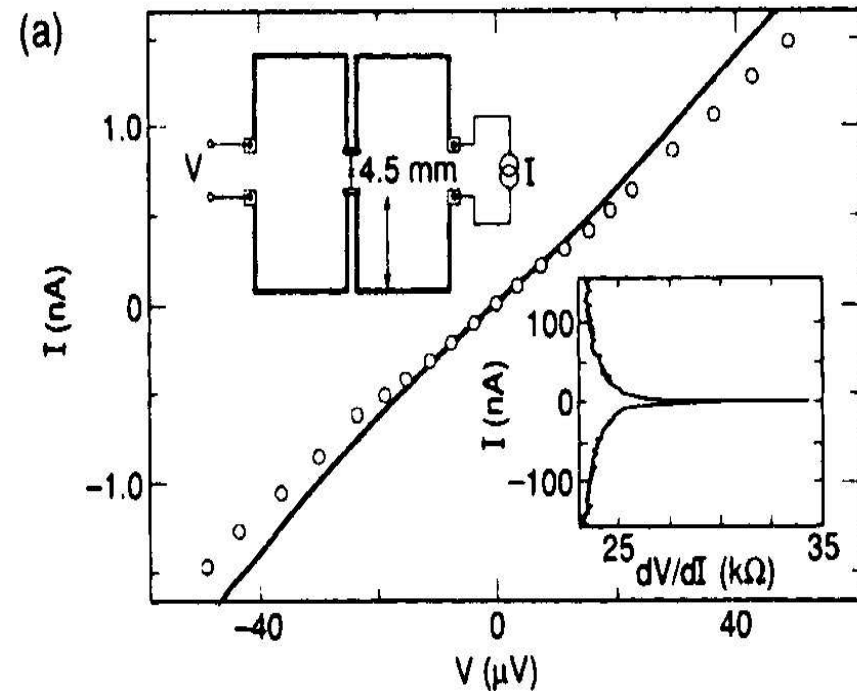
A. N. Cleland, J. M. Schmidt, and John Clarke

*Department of Physics, University of California, Berkeley, California 94720*  
*and Materials and Chemical Sciences Division, Lawrence Berkeley Laboratories, Berkeley, California 94720*  
 (Received 18 December 1989)

The current-voltage characteristics of submicron normal-metal tunnel junctions at millikelvin temperatures are observed to exhibit a sharp Coulomb blockade with high-resistance thin-film leads, but to be heavily smeared for low-resistance leads. As the temperature is lowered, the zero-bias differential resistance tends asymptotically to a limit that is greater for junctions with high-resistance leads. Both observations are explained in terms of a model in which quantum fluctuations in the external circuit enhance the low-temperature tunneling rate. The predictions are in reasonable agreement with the data.

It is predicted theoretically<sup>1-4</sup> and well established experimentally<sup>5-14</sup> that submicron tunneling junctions at low temperatures  $T$  can, under appropriate conditions, exhibit charging effects due to the discreteness of the electronic charge. In particular, when the charging energy  $E_C = e^2/2C$  associated with the tunneling of a single electron across a capacitance  $C$  becomes large compared with  $k_B T$ , one may observe suppression of the tunnel current  $I$  at voltages  $V < e/2C$ . As a result, the  $I$ - $V$  characteristic at higher voltages is offset by the Coulomb gap,  $e/2C$ . However, observation of these effects depends strongly on the nature of the environment coupled to the junction. For example, Delsing *et al.*<sup>12</sup> varied the environment by studying two kinds of circuits. In the first, metallic leads were coupled directly to the junction while in the second, linear arrays of submicron junctions were placed in each lead to the junction under study.

## Zero-bias anomaly!



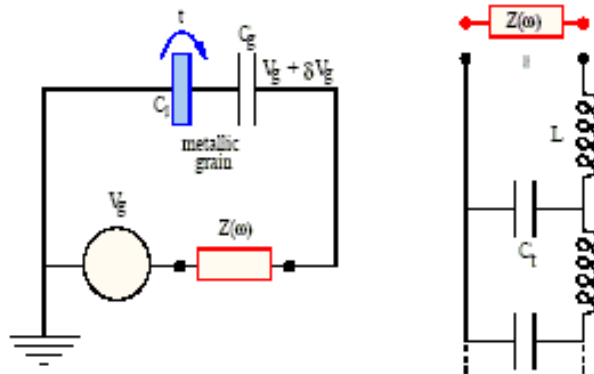
# Noise in the single-electron box...

What's happening when combining "noise-induced" (resistor) and double-junction Coulomb effects? *amplification of Coulomb blockade?*

## Interesting points

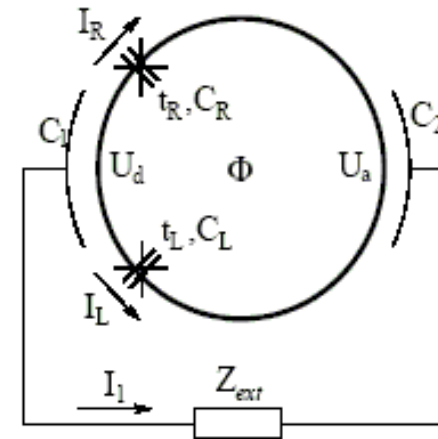
How to include noise effect in the Matveev scheme?

Close to perfect transmission?



very dense spectrum  
and semi- $\infty$  lead

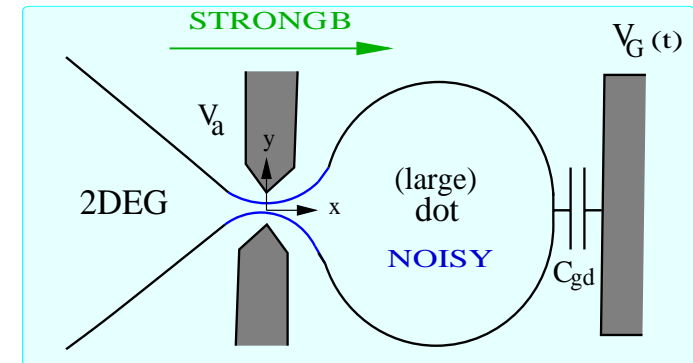
*P. Cedraschi and M. Büttiker*  
*Annals of Physics 289, 1-23 (2001)*



# Here is a useful mapping

*Ohmic dissipative bath:*

- $\gamma^2 = R/R_K$  and  $R_K = 26K\Omega$
- $\delta V_g(t) = \gamma \sum_j \lambda_j X_j(t) = \gamma \Phi(t)/e$
- $J(\omega) = \gamma^2 \sum_j \lambda_j^2 \pi \delta(\omega - \omega_j) / (2M\omega_j) = \hbar R \omega$



$$\mathbf{H} = \left( \sum_{\alpha=L,D} H_{Kin}^{\alpha} - hS^z \right) + H_B + \frac{J_{\perp}}{2} (s^+ S^- + h.c.) - \gamma \Phi S^z$$

*Map on anisotropic Bose-Fermi Kondo model*

Zarand & Demler, PRB 66, 024427 (2002)

Zhu & Si, PRB 66, 024426 (2002)

Kircan & M. Vojta, cond-mat/0312150

*K. Le Hur, cond-mat/0312292 and PRL 2004*



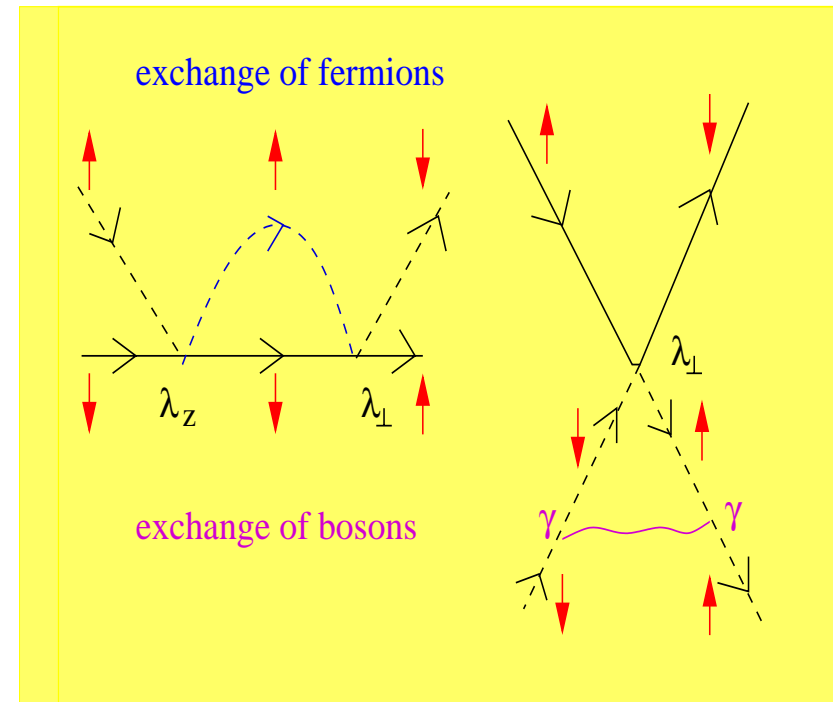
# RG analysis

Pedestrian RG equations:

$$\frac{d\lambda_{\perp}}{dl} = \lambda_{\perp} \lambda_z - \frac{\nu}{2} \lambda_{\perp} g_z$$

$$\frac{d\lambda_z}{dl} = \lambda_{\perp}^2$$

$$\frac{dg_z}{dl} = -g_z \lambda_{\perp}^2$$

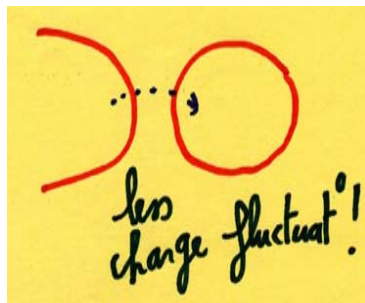
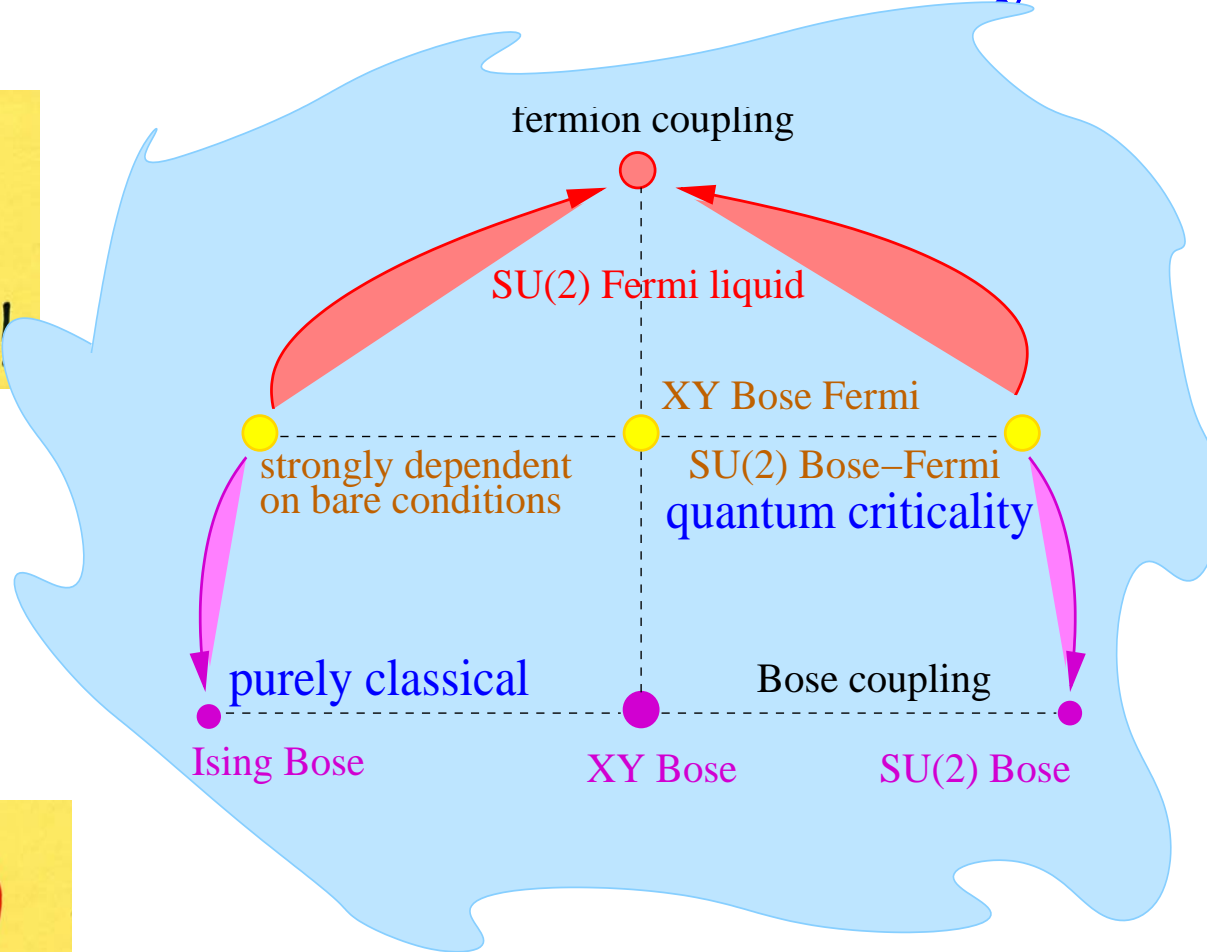


$$l = \ln(D_o/T), \quad \mathbf{g}_z = \gamma^2 = \frac{\mathbf{R}}{\mathbf{R}_K}, \quad \text{and} \quad \lambda_{\perp} = 2|t|N_{dot}$$

No transverse coupling between orbital spin and bosons

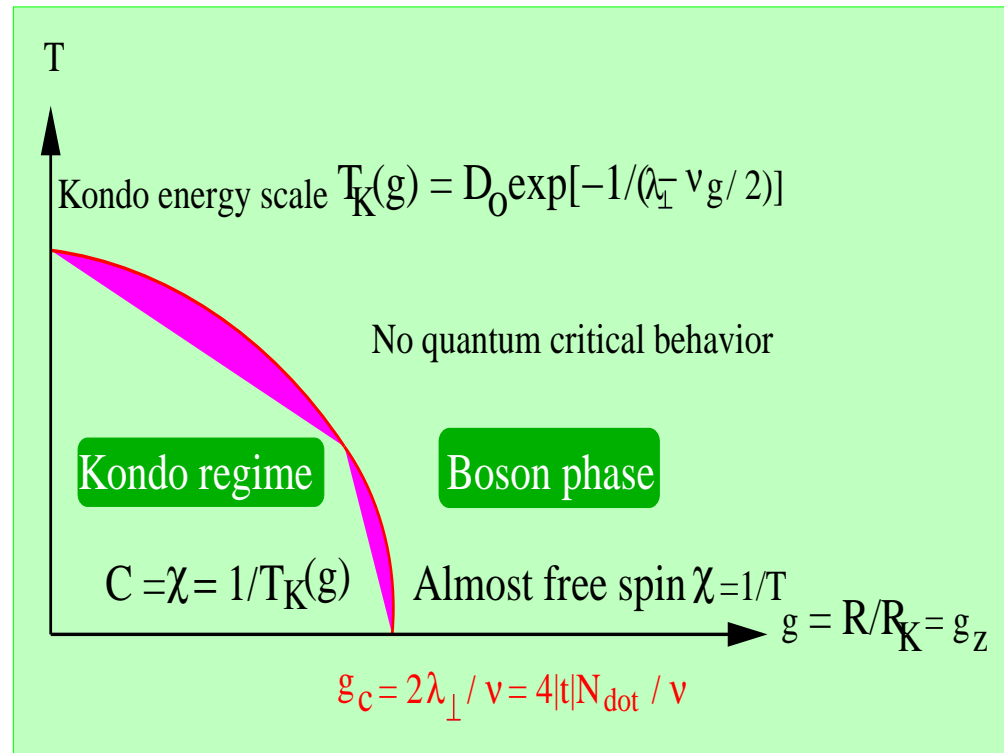
Kosterlitz-Thouless flow in terms of  $\lambda_{\perp}$  and  $\hat{\lambda}_z = \lambda_z - (\nu/2)\gamma^2$

# Sketch of the story

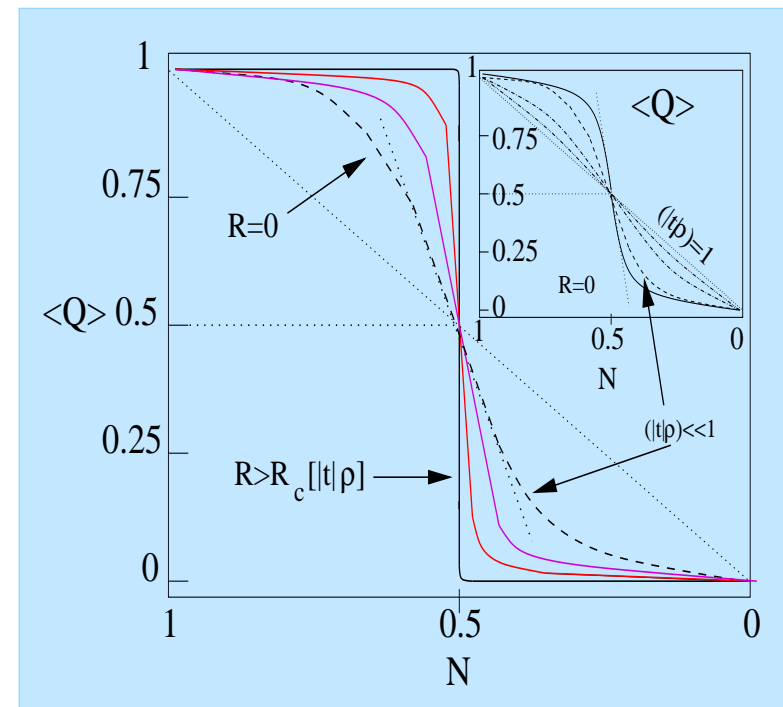


$g < g_c = 2\lambda_{\perp}/\nu$ : **SU(2) Fermi liquid**  $\lambda_{\perp}(l) = \lambda_z(l)$  large and  $g_z(l) = 0$   
 $g > g_c = 2\lambda_{\perp}/\nu$ : **Ising Bose liquid**  $\lambda_{\perp}(l) = \lambda_z(l) = 0$  and  $g_z(l)$  bare

# Phase diagram / Results



$$H = -hS^z - \gamma \langle \Phi \rangle S^z$$



**Already visible at accessible  $T$ :**  
 Indeed suppression of the log  
 corrections in  $\chi = C$ !

# Another approach for mesoscopists

Absorb noise in the tunneling (Kondo) term

$$\hat{H}_t = J_{\perp} e^{i\varphi} s^+ S^- + h.c.$$

$J_z$  will be renormalized by  $J_{\perp}^2 \int d\tau \operatorname{sgn}(\tau) G(\tau) e^{K(\tau)}$

$G(\tau) = 1/\tau$  fermionic imaginary time propagator

$$K(\tau) = \langle \varphi(\tau) \varphi(0) - \varphi(0)^2 \rangle = -2(R/R_K) \ln(\omega_c |\tau|)$$

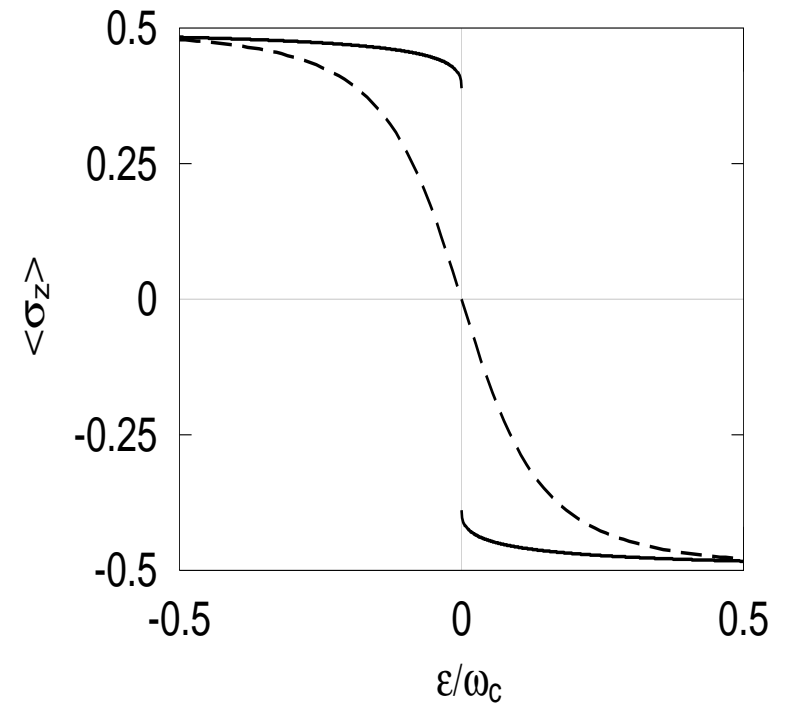
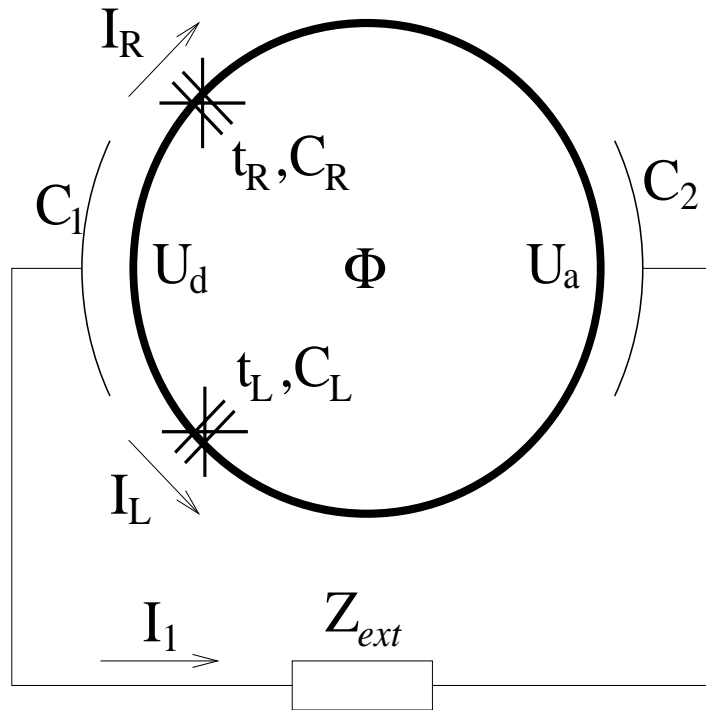
Scaling dimension  $\delta = 1 + 2R/R_K$

Irrelevant for sure when  $R/R_K$  is  $\mathcal{O}(1)$

**Restoration of a nice staircase by increasing  $R$**

# Universality in the results

Grain with dense spectrum  $\leftrightarrow$  Small dot with 1 level  
Semi- $\infty$  lead  $\leftrightarrow$  mesoscopic ring with 1 level



*P. Cedraschi and M. Büttiker, Annals of Physics* **289**, 1-23 (2001)

*P. Cedraschi, V. Ponomarenko, and M. Büttiker, PRL* **84**, 346 (2000)



$$H = \frac{\hbar\epsilon}{2}S^z - \hbar\Delta_0 2S^x + eS^z\delta V_g(t) + H_B$$

*Analogy with a superconducting qubit*

Time-evolution of the off-diagonal element

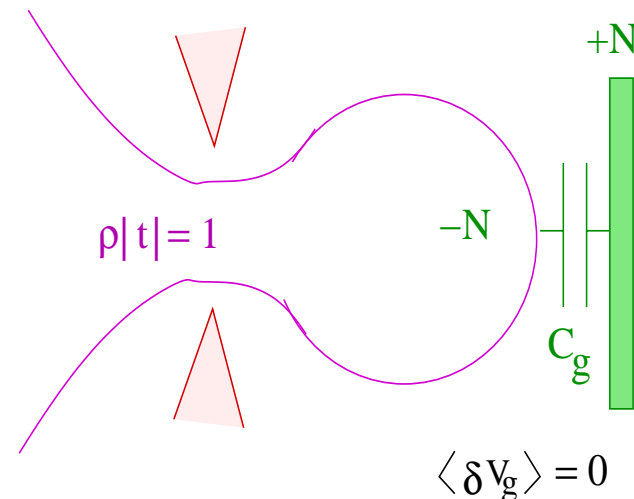
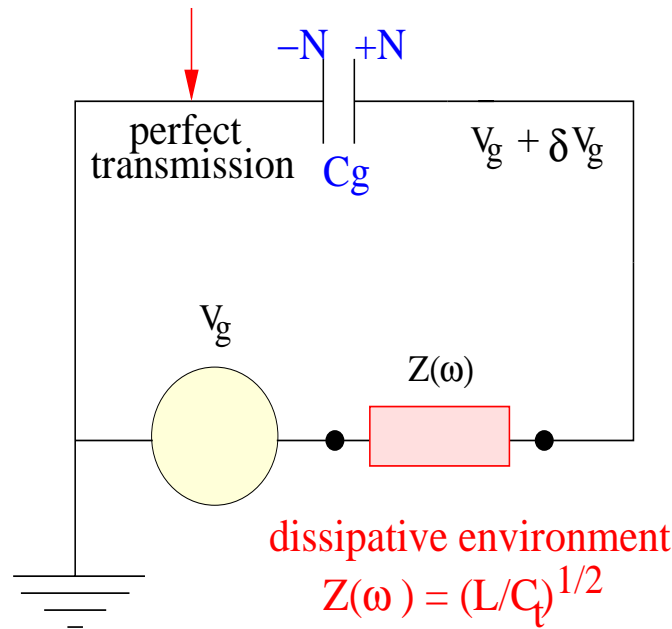
$$\langle S^+(t) \rangle = e^{i\frac{\epsilon}{2}t} G(t) \langle S^+(0) \rangle \text{ where}$$
$$G(t) = \langle \exp\left(-\frac{i}{\hbar} \int^t \delta V_g(t') dt'\right) \rangle \approx \langle \exp i\varphi(t) \rangle$$

decays like a power-law in time at zero temperature  
at finite T, dephasing rate proportional to  $T$

*Makhlin, G. Schön, and Shnirman, cond-mat/0309049*

*Schoelkopf, Clerk, Girvin, Lehnert, and Devoret, cond-mat/0210247*

# Close to perfect transmission



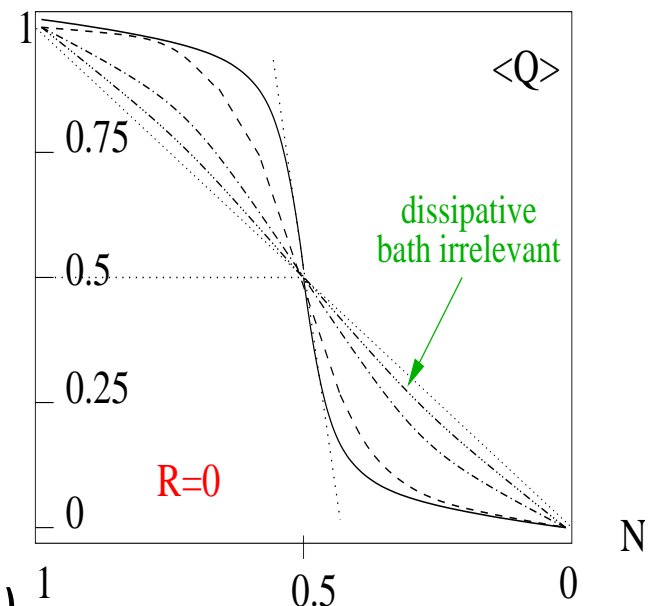
Friedel sum rule  $\delta = \pi \langle Q \rangle / e = \pi N$   
 $\rho(x) \approx E_c / (\hbar v_F) \cos(2k_F|x| - 2\delta)$

## Shift in energy

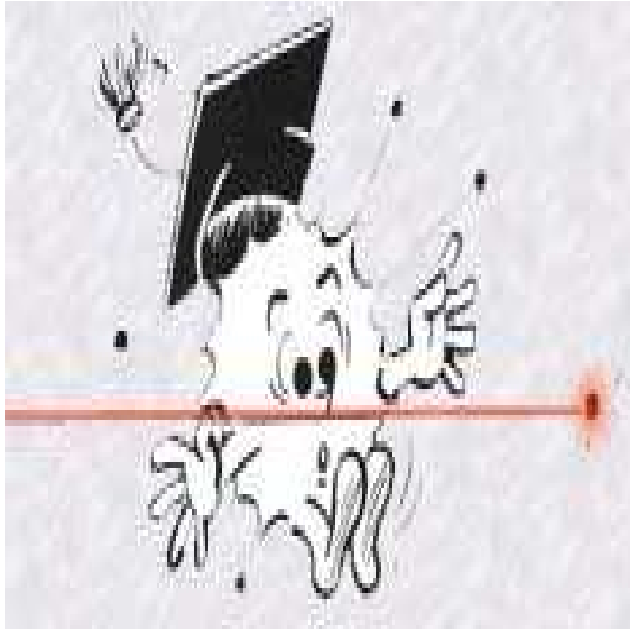
$$-\int dx \rho(x) V(x) \delta(x) \approx -|r| E_c \cos(2\pi N)$$

with  $|r|^2 = 1 - (\rho|t|)^2$

I. Aleiner and L. Glazman, PRB 57 9608 (1998)



# In closing



**New effects in small tunneling regime!**

*Effect visible already at finite  $T$*

*No log-corrections for capacitance  
(free spin at zero temperature)*

*Sub-Ohmic bath? Q. Si et al.*

*Two-bath problem? Meirong Li and K. Le Hur [cond-mat/0405039](https://arxiv.org/abs/cond-mat/0405039)*

*Atomic system (dot) coupled to 2 baths:  
Photons (Bosons) and Matter (Electrons)*

**Zero-point fluctuations of “photons”  
affect the ground state of the dot**

