

**Workshop on
Novel States and Phase Transitions in Highly Correlated Matter**

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**Phase transitions and critical dimensions
in quantum impurity models**

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These are preliminary lecture notes, intended only for distribution to participants

Phase Transitions and Critical Dimensions in Quantum Impurity Models

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1. Impurity quantum phase transitions

Criticality in 0+1 dimensions

2. Pseudogap Kondo model

Phase diagram: NRG

RG around lower and upper critical dimensions

Impurities in cuprate superconductors

3. Spin-boson model

Phase diagram: Bosonic NRG

Spin dynamics and coherence - decoherence crossover

What is a quantum phase transition?

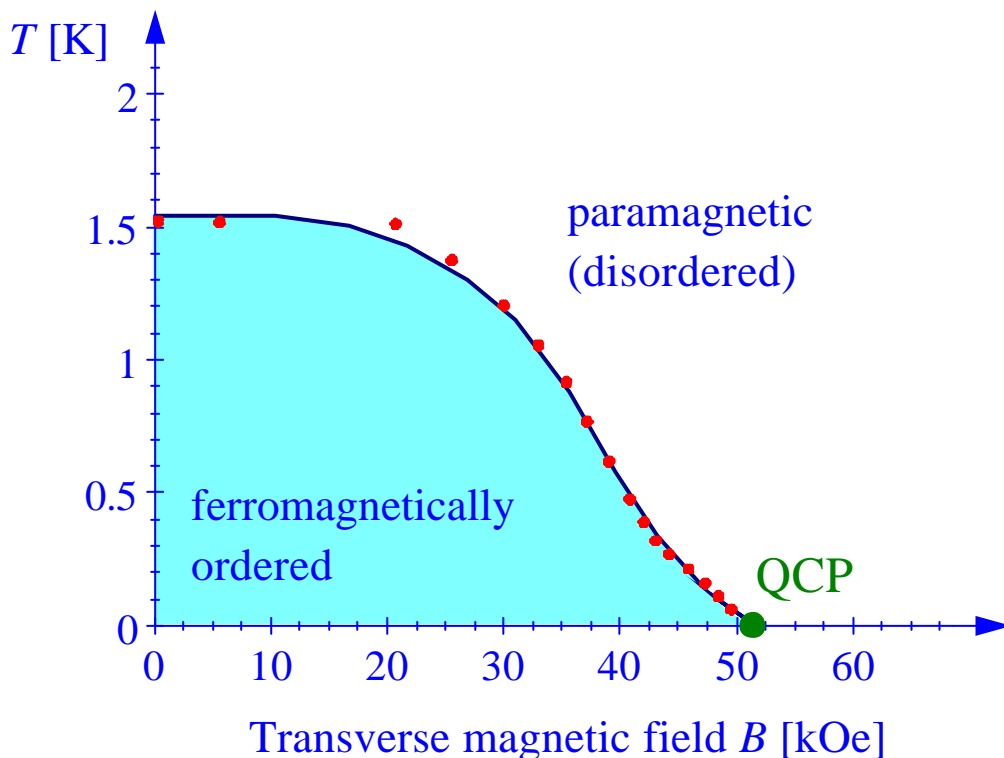
Phase transition at $T = 0$,
driven solely by quantum fluctuations,
occurs upon variation of a non-thermal control parameter r

More technically:

A quantum phase transition is a non-analyticity of the ground state properties as function of control parameter r

LiHoF₄ at 0 K and magnetic field ~ 50 kOe

Continuous transition ferromagnet - paramagnet;
described by Ising model in transverse field

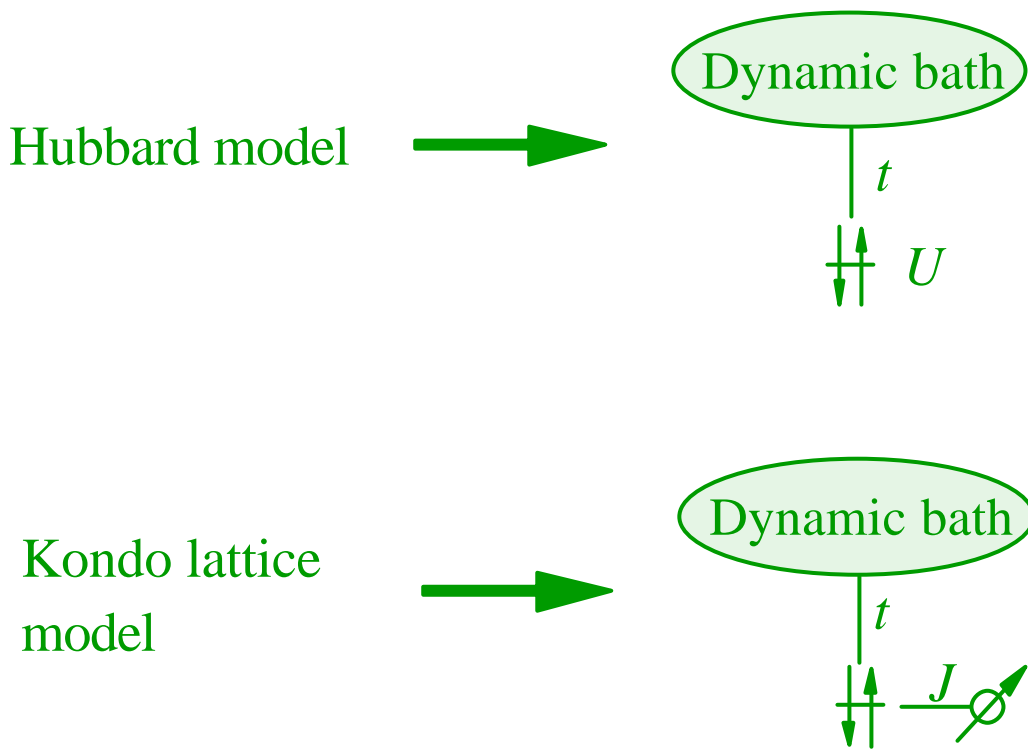


Dynamical mean-field theory

Models of interacting (itinerant) electrons with a purely local (momentum-independent) self-energy can be mapped to an effective single-impurity model.

Mapping is exact in $d \rightarrow \infty$.

(Metzner / Vollhardt 1989)



Critical dimensions

Asymptotic critical behavior near a continuous (bulk) phase transition crucially depends on dimensionality

d_c^+ : upper critical dimension

d_c^- : lower critical dimension

$$d > d_c^+$$

Transition shows mean-field behavior;
order parameter fluctuations are unimportant;
exponents do not depend on d

$$d_c^- < d < d_c^+$$

Fluctuations are important and strongly interacting;
exponents depend on d

$$d < d_c^-$$

No phase transition at all;
ordered phase does not exist due to strong fluctuations

Classical magnet: $d_c^+ = 4, d_c^- = 2$

Critical dimensions and renormalization group

d_c^+ : upper critical dimension

d_c^- : lower critical dimension

How to calculate critical properties for $d_c^- < d < d_c^+$?

Near d_c^+ :

Interactions between order parameter fluctuations are small.

Expand ϕ^4 theory in interactions.

$$S = \int d^d x [(\nabla_x \phi)^2 + m\phi^2 + u(\phi^2)^2]$$

Near d_c^- :

Transition temperature is small.

Expand non-linear sigma model around ordered state.

$$S = \frac{1}{T} \int d^d x (\nabla_x \mathbf{n})^2 \quad \text{with } \mathbf{n}^2(x, \tau) = 1$$

$$\mathbf{n} = (\pi_1, \pi_2, \sqrt{1 - \pi_1^2 - \pi_2^2})$$

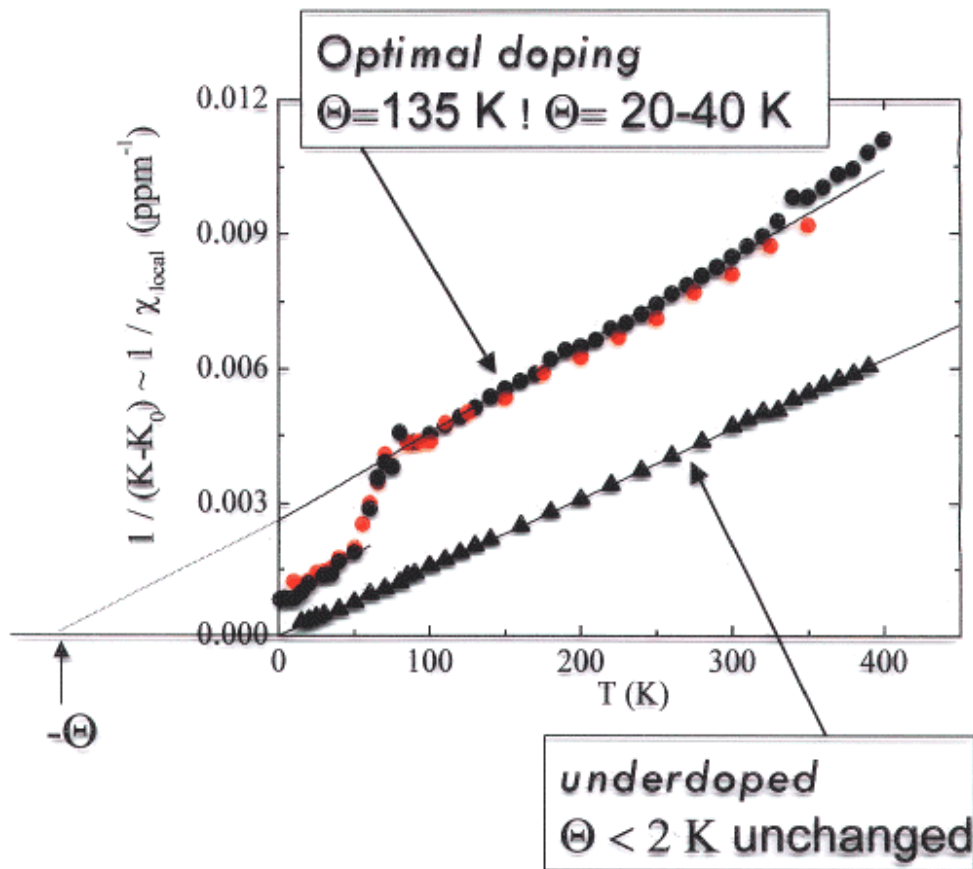
Both expansions describe same critical point!

Pseudogap Kondo model

NMR on Zn/Li impurities in YBCO

J. Bobroff, H. Alloul, W.A. MacFarlane, P. Mendels,
N. Blanchard, G. Collin, and J.-F. Marucco, PRL 86, 4116 (2001)

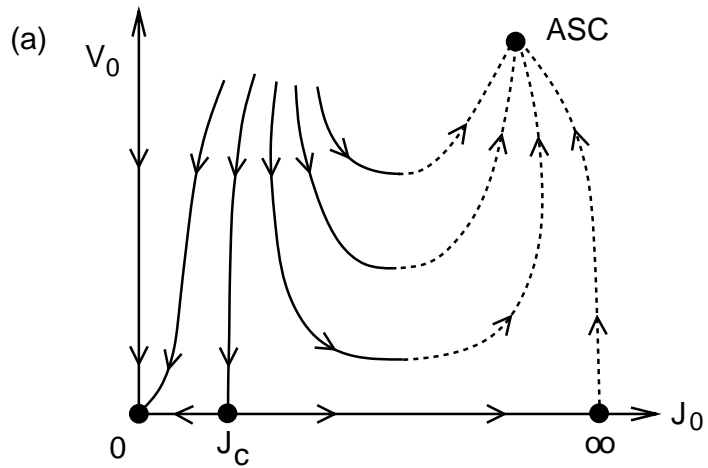
^7Li NMR below T_c



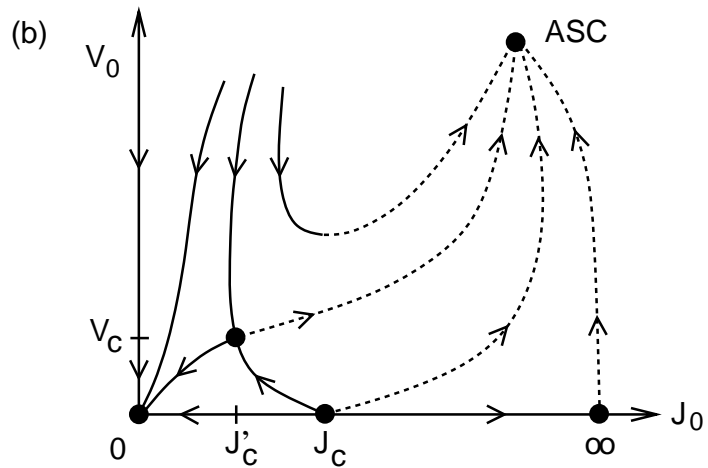
Inverse local susceptibility of
isolated Li impurities in YBCO

Pseudogap Kondo model: RG flow

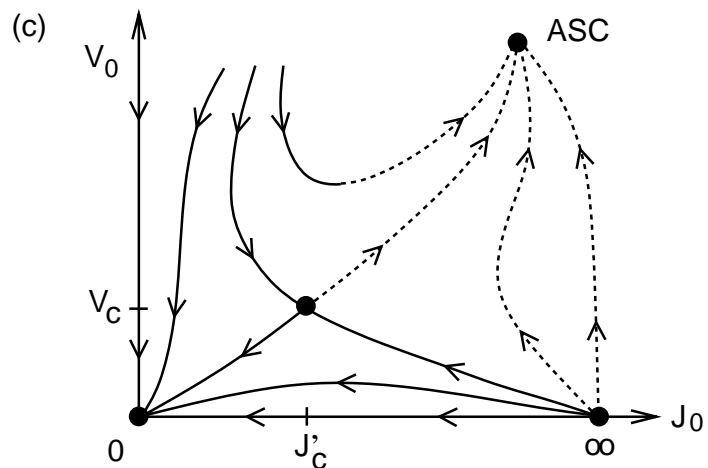
$$0 < r < r^* = 0.3748$$



$$r^* < r < 0.5$$



$$r > 0.5$$



Pseudogap Kondo model: Critical behavior

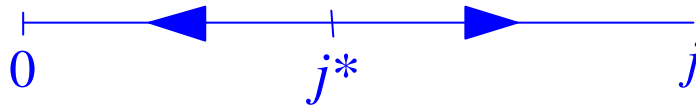
$$\rho(\varepsilon) = \rho_0 |\varepsilon/D|^r \text{ at small } \varepsilon$$

Small $r \ll 1$

Critical coupling J_c small \rightarrow Weak-coupling RG for $j = \rho J_K$

$$\beta(j) = j^2 - r j$$

Unstable fixed point at $j^* = r$.



Larger $r \gg 1$

No apparent small parameter ...

???

Pseudogap Kondo model: Critical behavior

Numerics: Hyperscaling is obeyed for $r < 1$, but not for $r > 1$

$r = 1$ is upper-critical "dimension"

$r = 0$ is lower-critical "dimension"

Q: What is the "gaussian" theory for $r > 1$?

A: Simple level crossing !

Level crossing between spin-1/2 doublet and screened singlet, weakly coupled to low-energy conduction electrons

Represent impurity levels by $|\sigma\rangle, |s\rangle$.

$$H_{imp} = s |\sigma\rangle\langle\sigma| + g (|\sigma\rangle\langle s| c_{\sigma}(0) + \text{h.c.})$$

Coupling g to conduction electrons (c) is marginal at $r = 1$!

$$\dim[g] = (1-r) / 2$$

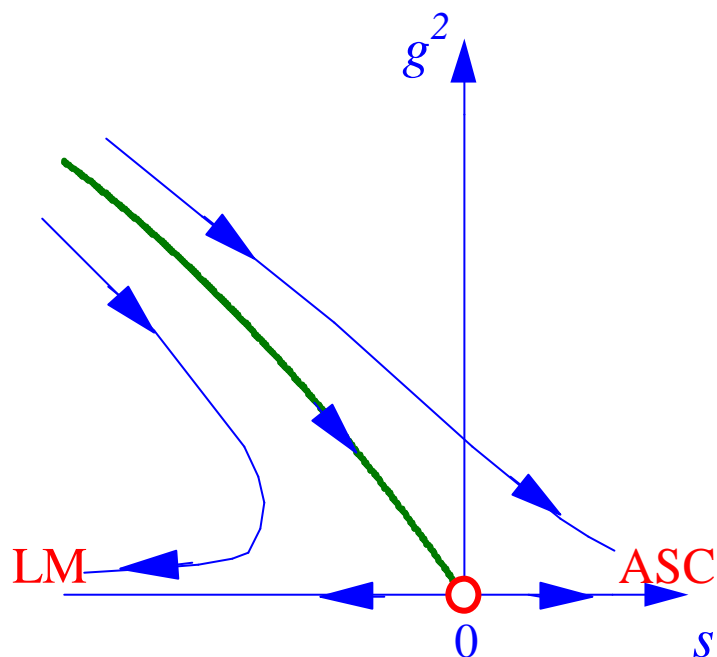
Pseudogap Kondo model: RG around $r = 1$

$$H_{imp} = (s + \lambda) f_{\sigma}^{\dagger} f_{\sigma} + \lambda b_s^{\dagger} b_s + g (f_{\sigma}^{\dagger} b_s c_{\sigma}(0) + \text{h.c.})$$

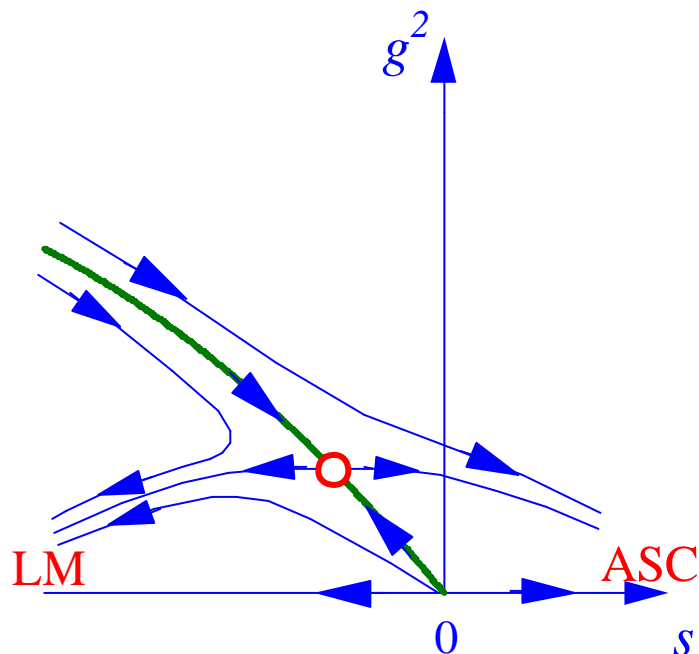
$\dim[g] = (1-r) / 2 \rightarrow g$ relevant below $r < 1$

RG in analogy to ϵ expansion in ϕ^4 theory

a) $r > 1$

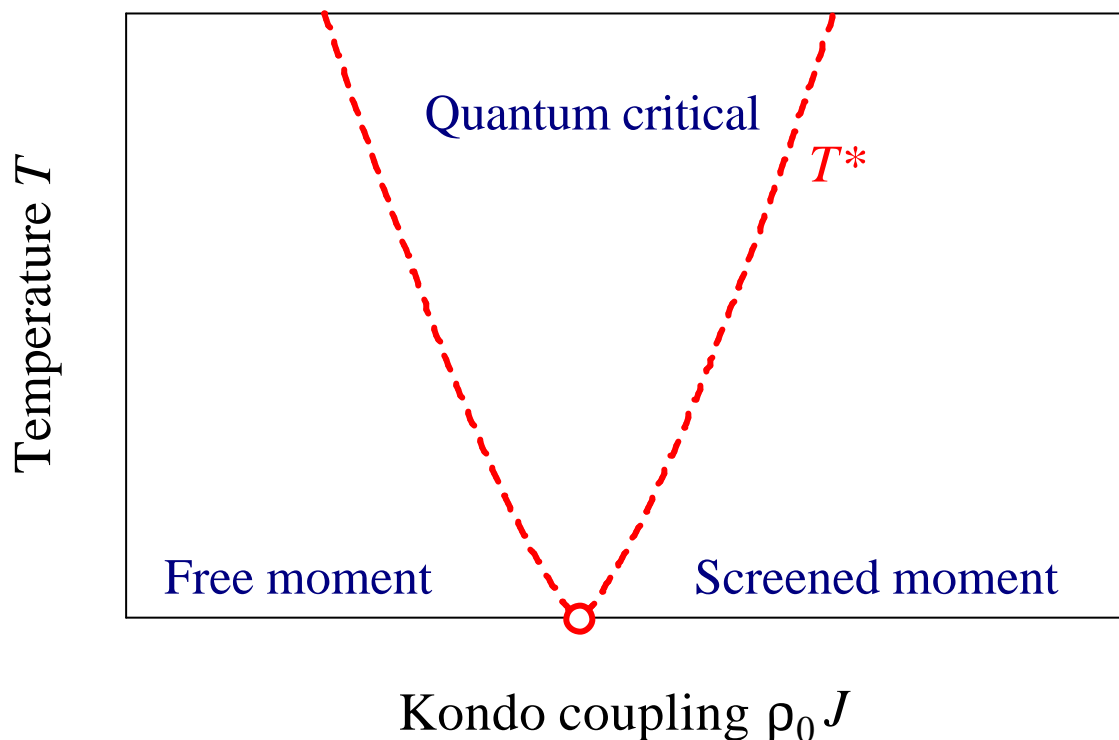


b) $r < 1$



Pseudogap Kondo model: Phase diagram

$$\rho(\varepsilon) = \rho_0 |\varepsilon/D|^r \text{ at small } \varepsilon$$



Quantum critical regime characterized by

- non-trivial value of effective moment
 $T\chi_{\text{imp}} = S(S+1)/3$ with **fractional** effective spin S
- anomalous power laws in local susceptibility, impurity Green's function etc.

Vojta, Phys. Rev. Lett. **87**, 097202 (2001)

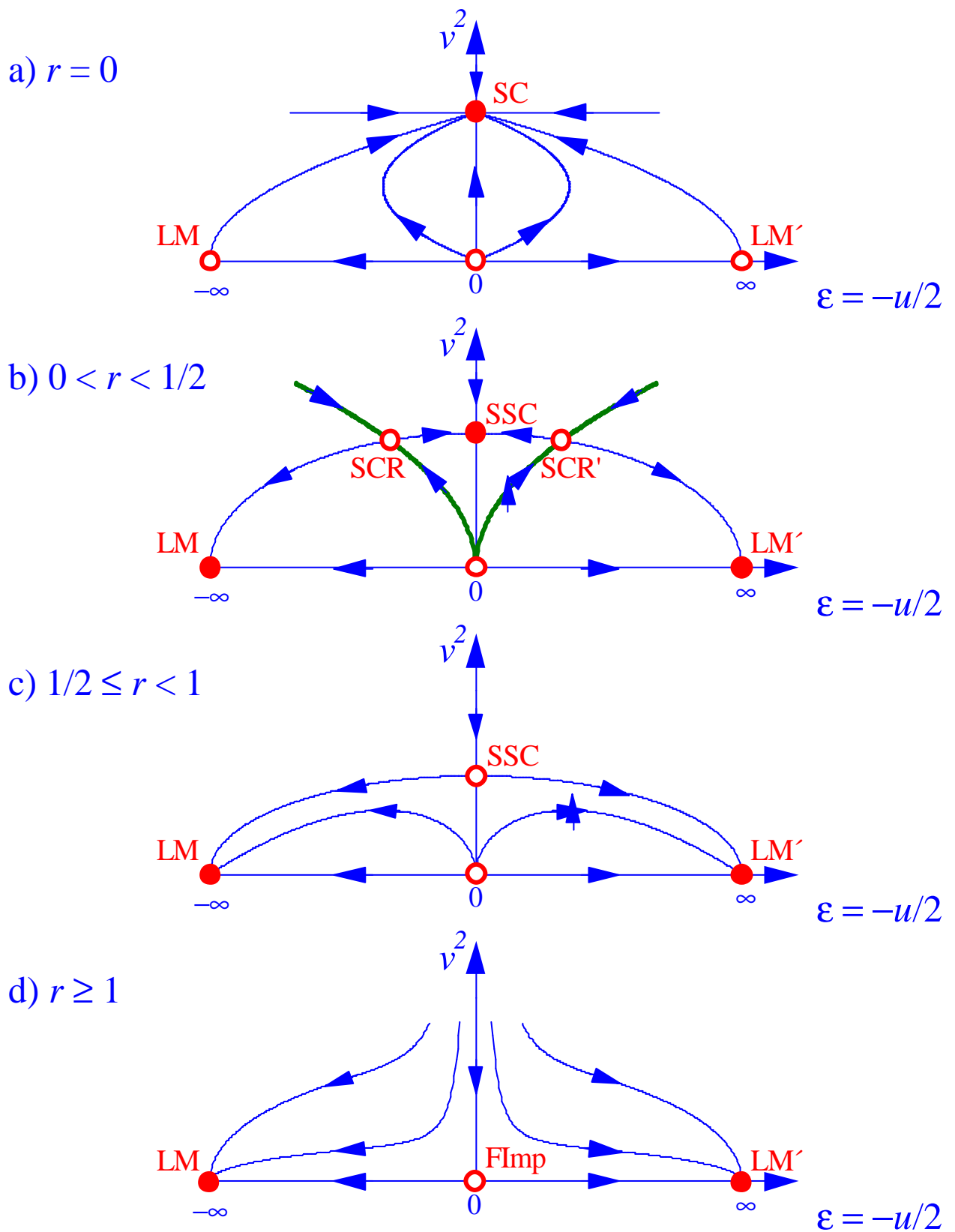
Vojta / Bulla, Phys. Rev. B **65**, 014511 (2002)

Vojta / Fritz, cond-mat/0309262

Pseudogap Kondo model: RG around $r = 1/2$

$$S_{imp} = \sum f_{\sigma} \text{sgn}(\omega_n) |i\omega_n|^r f_{\sigma} + \int d\tau u f_{\uparrow} f_{\uparrow} f_{\downarrow} f_{\downarrow}$$

$\dim[u] = (2r-1) \rightarrow u$ relevant for $r > 1/2$



Spin-boson model

Spin-boson model

$$H = \Delta \sigma_x / 2 + \sigma_z / 2 \sum_i \lambda_i (a_i^\dagger + a_i)$$

Bath spectral function

$$J(\omega) = 2\pi \alpha \omega^s K^{1-s}, \quad 0 < \omega < \omega_c$$

Ohmic bath $s = 1$

Kosterlitz-Thouless transition between

$\alpha < \alpha_c$: weak damping (delocalized)

$\alpha > \alpha_c$: strong damping (localized)

$\alpha_c \rightarrow 1$ as $\Delta \rightarrow 0$

Subohmic bath $s < 1$

System localized in the limit $\Delta \rightarrow 0$ for any α ;
behavior at finite Δ not studied systematically

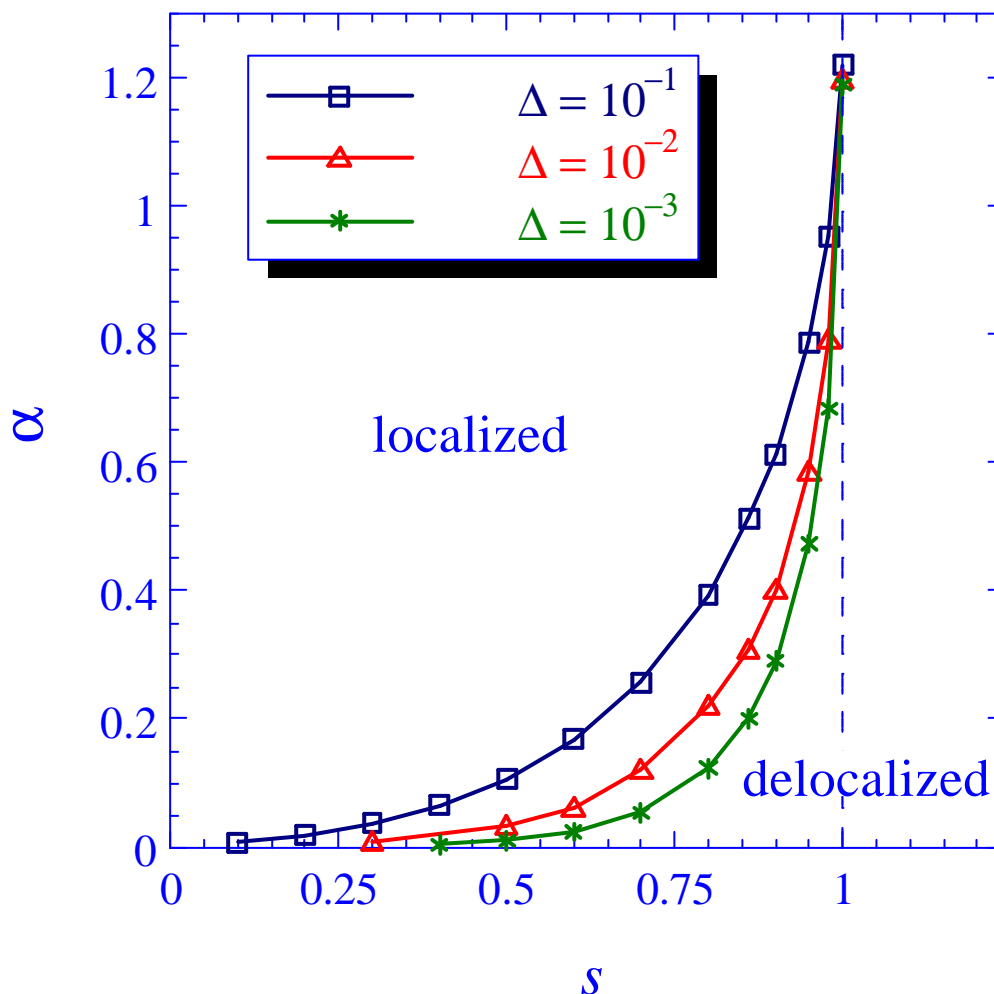
Subohmic spin-boson model: Phase diagram

Method:

Numerical Renormalization group (Wilson), generalized to bosonic systems, can access arbitrarily low energies/temperatures

Result:

Continuous quantum phase transition for all $0 < s < 1$ between localized and delocalized phase; exponents vary with s



Spin-boson model: Kink gas mapping

Spin-boson model with ω^s bath spectrum is equivalent to Ising model with long-range interaction falling off as $|r|^{-1-s}$.

Ising model can be analyzed in "kink gas" representation.
(kink = Ising domain wall / tunnel event)

Perturbative RG (Kosterlitz PRL 1976)

Tunnel splitting:	$\beta(\Delta) = \Delta (1-\alpha)$
Dissipation strength	$\beta(\alpha) = -\alpha (\Delta^2 + s - 1)$

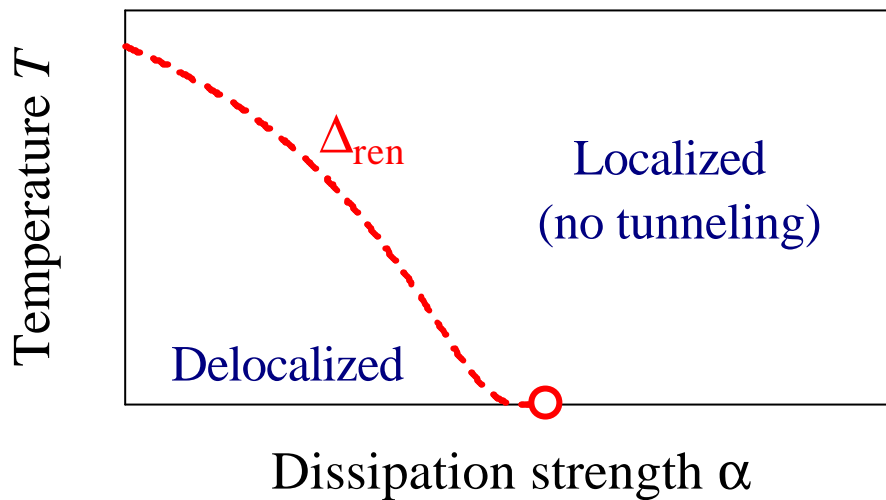
$s = 1$: Line of stable FP at $\Delta = 0, \alpha \geq 1$

$s < 1$: Critical FP at $\alpha^* = 1, \Delta^{*2} = 1 - s$

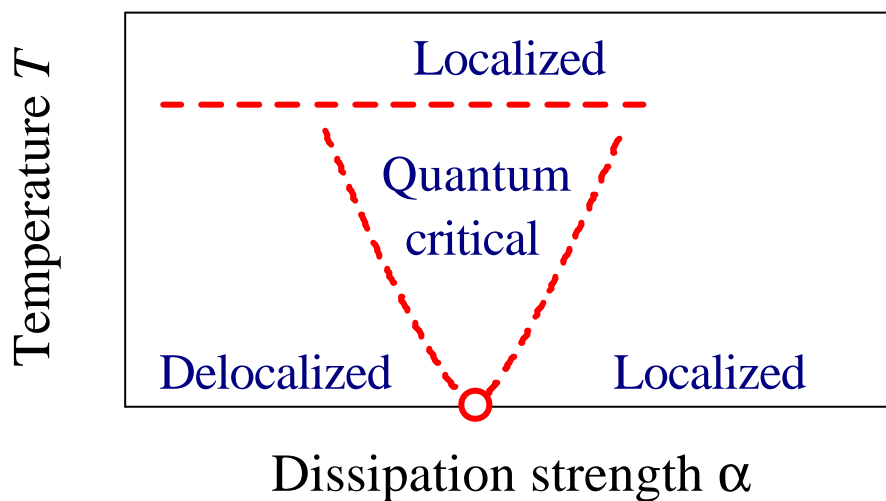
(Valid for $1 - s \ll 1$)

Spin boson model: Phase diagrams

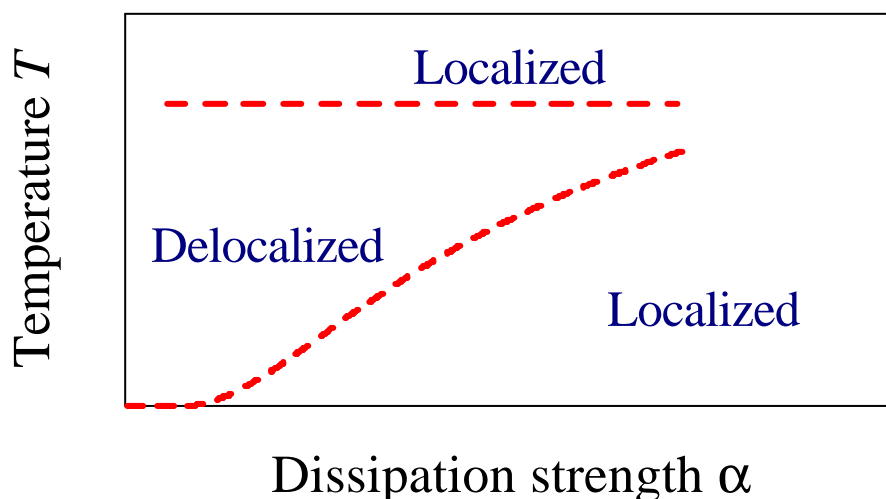
$s = 1$



$0 < s < 1$



$s = 0$



Conclusions

- Zero-temperature phase transitions in impurity systems can influence finite-temperature properties of system over a wide range of the phase diagram
- Pseudogap Kondo model allows for RG expansion around lower and upper-critical dimensions
- Subohmic spin-boson model shows continuous transition for $0 < s < 1$ with hyperscaling properties
- Applications in:
 - Impurities in *d*-wave superconductors (NMR, STM)
 - Multilevel or coupled quantum dots (transport)
 - Noisy qubits
 - Local criticality in bulk systems (cuprates, heavy fermions)