

SMR.1587 - 2

*SCHOOL AND WORKSHOP ON
QUANTUM ENTANGLEMENT, DECOHERENCE, INFORMATION, AND
GEOMETRICAL PHASES IN COMPLEX SYSTEMS
(1 November - 12 November 2004)*

Entropy/information bounds and gravitation

Jacob D. Bekenstein
Racah Institute of Physics
The Hebrew University of Jerusalem
Givat Ram, Jerusalem 91904
ISRAEL

These are preliminary lecture notes, intended only for distribution to participants

QUANTUM ENTANGLEMENT, DECOHERENCE, INFORMATION, AND
GEOMETRICAL PHASES IN COMPLEX SYSTEMS

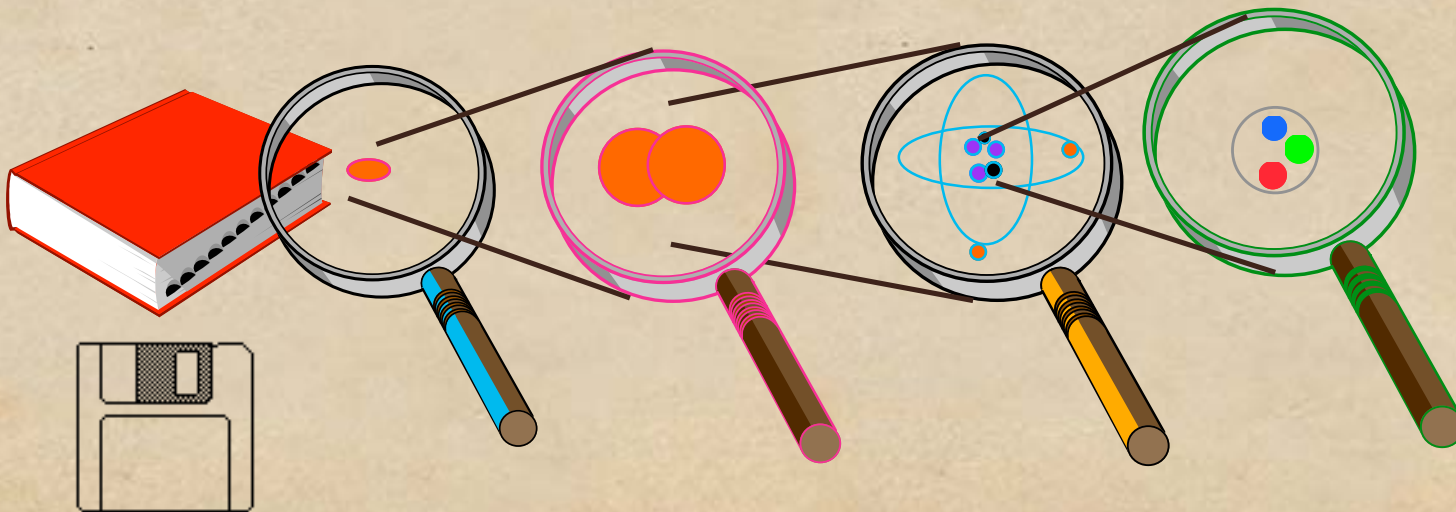
ICTP, Trieste
1 November 2004

Entropy/information bounds and
gravitation

Jacob Bekenstein
The Hebrew University, Jerusalem

The problem

- ◆ What is the ultimate information storage capacity of a physical system ?
 - ◆ emphasis on storage, not communication
 - ◆ emphasis on physically significant parameters
 - ◆ emphasis on “ultimate”



Information storage capacity

Holevo's theorem for quantum communication channel

$$\text{symbol } i \iff \rho_i \quad S(\rho_i) = -\text{Tr} \rho_i \ln \rho_i$$

$$= \sum_i p_i \rho_i \quad S(\rho) = -\text{Tr} \rho \ln \rho$$

$$I \leq S(\rho) - \sum_i p_i S(\rho_i)$$

also bounds stored information

$$I \leq S(\rho) \quad \text{any } \rho \text{ subject to constraints}$$

Black holes in natural settings

- ◆ Collapse of star at end of its active life
 - ◆ stellar mass black hole; $2-20 M_{\odot}$
 - ◆ powerhouses of some of the galactic X-ray sources
 - ◆
- ◆ Aggregation of smaller black holes in galaxy's core
 - ◆ supermassive black hole; $10^6-10^9 M_{\odot}$
 - ◆ powerhouses of quasars
- ◆ Collapse of overdense regions early in universe
 - ◆ primordial black holes $10^{12}-10^{30}$ Kg
 - ◆ hypothetical; may lurk everywhere

Black hole varieties

- ◆ Mathematically black holes are peculiar solutions of Einstein's gravity equations - (Einstein did not accept such objects)
 - ◆ they behave like particles
 - ◆ also show up in competing theories
- ◆ Simple objects - few parameters
 - ◆ Schwarzschild (1916) - mass M
 - ◆ Reissner-Nordstrom (1918) - mass and charge Q
 - ◆ Kerr (1962) - mass and angular momentum J
 - ◆ Newman (1965) - M, Q, J

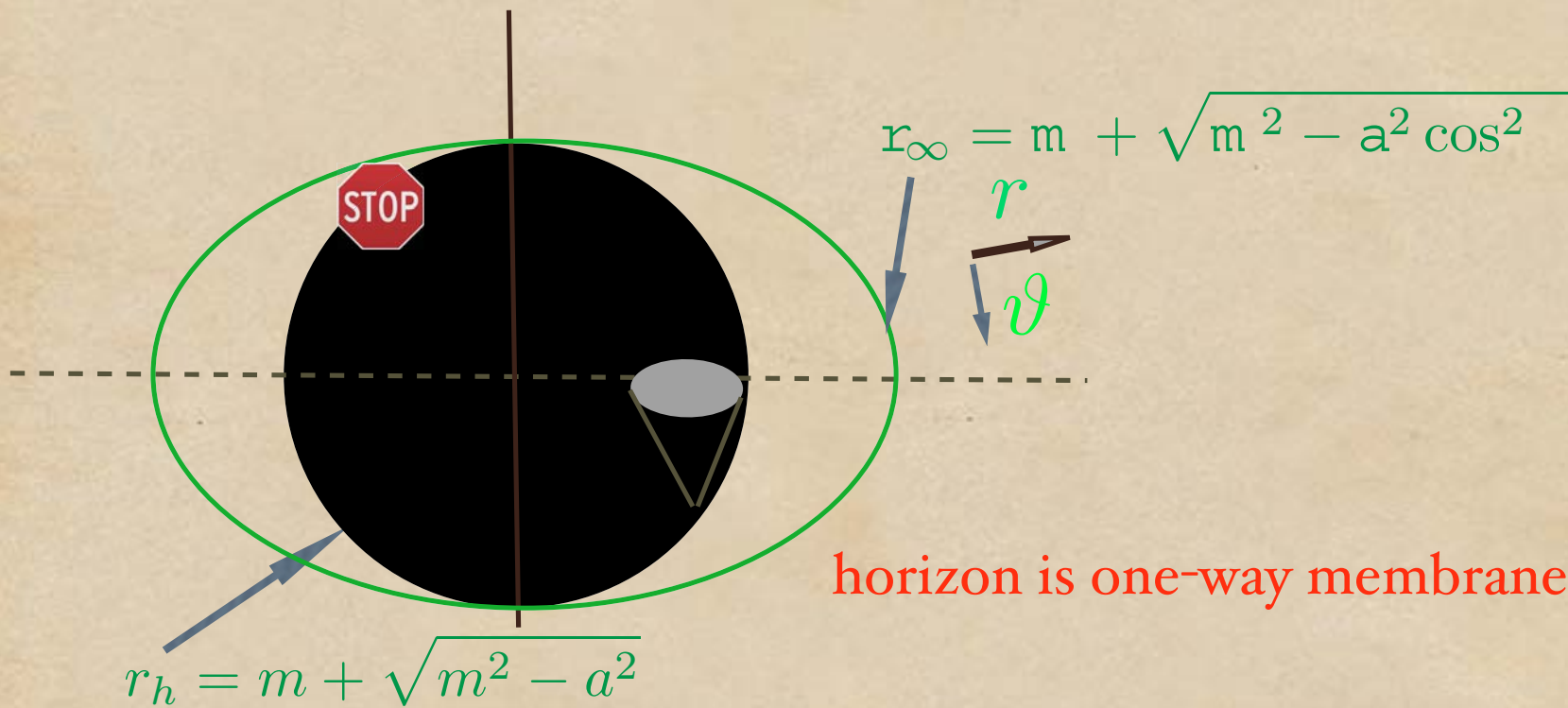


The black hole horizon

$$m = GM/c^2$$

$$q = \sqrt{GQ}/c^2$$

$$a = J/mc$$

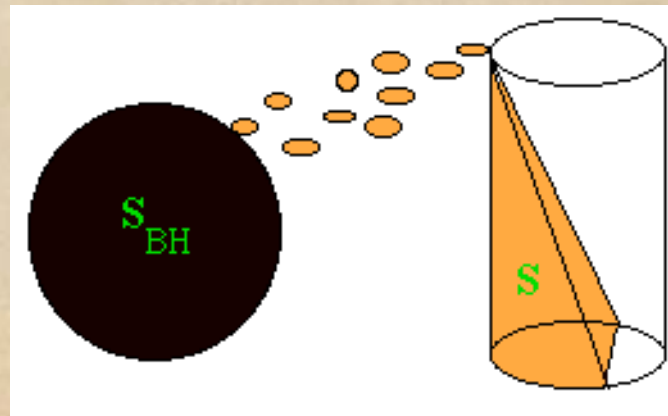
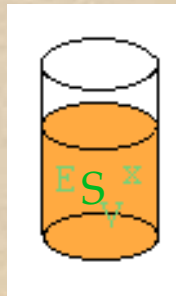


“Black holes have no hair” (Wheeler 1969)

- ◆ The Kerr-Newman family - the totality of equilibrium black holes
- ◆ On condition that only allow gravity and electromagnetism - no more parameters
- ◆ True in competing theories
- ◆ In presence of extra interactions exceptions occur
 - ❖ black holes with scalar fields
 - ❖ colored black holes
 - ❖ black holes with magnetic monopole

Why black hole thermodynamics ?

- ◆ Analogy - few parameters
 - ◆ ordinary systems: E, V, N
 - ◆ black hole: M, Q, J
- ◆ Contradictions
 - ◆ ordinary systems: thermodynamical - second law
 - ◆ black hole: mechanical
 - ◆ combined system: violates second law



Formula for black hole entropy (1972)

$$A = 4 \left[(m + \sqrt{m^2 - q^2 - a^2})^2 + a^2 \right]$$

$$d(Mc^2) = dA + dQ + dJ \iff dE = TdS + dQ + dJ$$

$$\equiv c^4 (2GA)^{-1} \sqrt{m^2 - q^2 - a^2}$$

$$\equiv 4 Q (m + \sqrt{m^2 - q^2 - a^2}) A^{-1}$$

$$\equiv 4 JM^{-1} A^{-1}$$

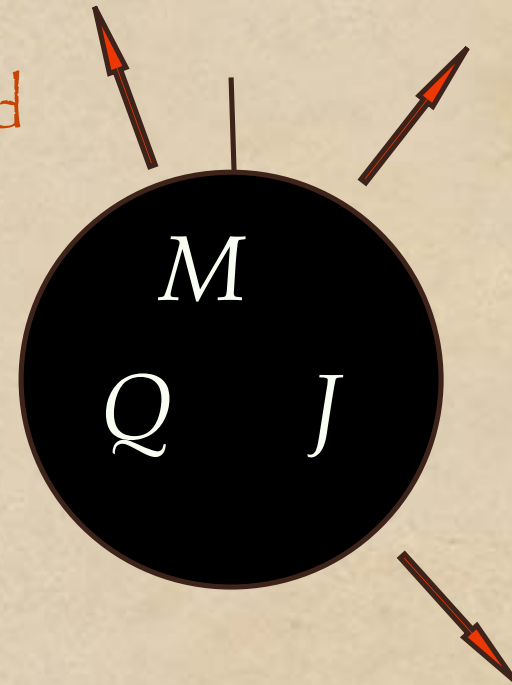
Conclusion: $S_{BH} = f(A)$

Choice $f(A) \propto \sqrt{A}$ ruled out $\implies S_{BH} = A c^3 / \hbar G$

$$T_{BH} = (S_{BH} / A)^{-1} = (c^3 / \hbar G)$$

Hawking radiance (1974)

- ◆ Quantum fields in black hole grav. field
- ◆ Spontaneous emission
- ◆ Thermal character
 - spectrum - Planckian
 - modes - uncorrelated
 - statistics - black body radiation



$$T_{BH} = \frac{c^3 \hbar}{8 G M} \longrightarrow = 1/4$$

$$S_{BH} = A c^3 / 4 \hbar G$$

The laws of black hole thermodynamics

0 th : In equilibrium a suitable local temperature is constant all over horizon (Carter 1970)

1 st: $d(Mc^2) = T_{BH}dS_{BH} + dQ + dJ$

2 nd: $S'_{BH} + S'_m + S'_r > S_{BH} + S_m + S_r$ (GSL, 1972)

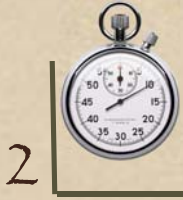
3 rd: $T_{BH} \rightarrow 0$ is hard to achieve

~~$S_{BH} \rightarrow 0$ as $T_{BH} \rightarrow 0$~~

Evidence for generalized second law (GSL)

- Black hole mergers
 - area theorem (Christodoulou, Penrose & Floyd, Hawking 1970)
 - total black hole entropy increases
 - some (gravitational) radiation entropy produced
- Infall into black hole
 - matter entropy decreases
 - black hole entropy increases
 - sum of the two increases (1972-74)
- Hawking radiation
 - radiation entropy increases
 - black hole entropy decreases
 - sum of the two increases (1975)

Gravitational redshift



ν_2 τ_2 cycles



ν_1 τ_1 cycles

$$c^2 d s^2 = c^2 dt^2 - dx^2 - dy^2 - dz^2$$

$$c^2 d s^2 = -g_{tt} dt^2 - \dots$$

$$\frac{\nu_2}{\nu_1} = \frac{\sqrt{-g_{tt}(\mathbf{x}_1)}}{\sqrt{-g_{tt}(\mathbf{x}_2)}}$$

$$g_{tt} = -c^2 \left(1 - \frac{2GM}{rc^2}\right)$$

$$\frac{\nu_2}{\nu_1} = \left(1 - \frac{2GM}{r_1 c^2}\right)^{1/2}$$

$$\frac{\nu_2}{\nu_1} \approx \left(\frac{r}{2GM/c^2}\right)^{1/2}$$

A Gedanken experiment

$$ds^2 = - (1 - 2GM/rc^2) dt^2 + (1 - 2GM/rc^2)^{-1} dr^2 + \dots$$

$$= \int \frac{dr}{(1 - 2GM/rc^2)^{1/2}} \approx 2 (2GM/c^2)^{1/2} (r)^{1/2}$$

$$\infty \approx \left(\frac{r}{2GM/c^2} \right)^{1/2} - 1$$

$$E_\infty \approx \frac{1}{4GM/c^2} E$$

$$(M)c^2 \approx \frac{R}{4GM/c^2} E$$



First try at an entropy bound (1981)

$$A = 4 [(m + \sqrt{m^2 - \cancel{q^2} - \cancel{a^2}})^2 + \cancel{a^2}] = 16 (GM/c^2)^2$$

$$A \approx 32 (G/c^2)^2 M \quad M \approx 8 (G/c^2) ER$$

$$S_{BH} = (c^3/4\hbar G) A \approx 2 ER/\hbar c$$

$$S_{BH} + S_{\text{world}} = 2 ER/\hbar c - S \geq 0$$

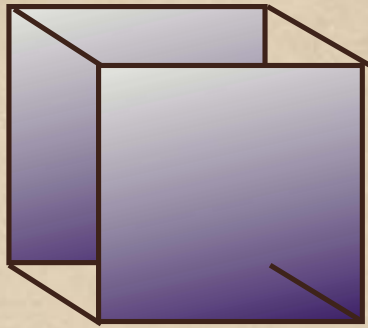
$$S \leq 2 ER/\hbar c$$

$$S \leq 2 E R / \hbar c$$

Observations

- Bound applicable to isolated object
 - don't use for pieces of a system !
- E means object's total proper energy
 - don't forget rest mass energy !
- Object not strongly self-gravitating
 - nevertheless bound works for black hole itself !
- Bound independent of gravitation
 - should apply to flat spacetime physics !

Rest mass quandary



R

N massive nonrelativistic bosons

lowest lying states have energies of $\mathcal{O}(\hbar^2/\mu R^2)$

total energy $E = \mathcal{O}(N\hbar^2/\mu R^2)$

make $2 E R / \hbar c$ as small as you want.

Hence can violate the bound!

Wrong: forgot the rest mass part of E !

$$W = \frac{(\nu + N - 1)!}{(\nu - 1)! N!}$$

$$S = (\nu + N) \ln(\nu + N) - N \ln N - \nu \ln \nu + \dots$$

$$S = \nu \ln(1 + N/\nu) + N \ln(1 + \nu/N) + \dots$$

$$= (\mu c R / 2 \hbar)^3$$

$$\frac{S}{N \mu c R / \hbar} < \frac{S}{N \Omega^{1/3}} = \frac{1}{N^{1/3}} \underbrace{\frac{\ln(1 + \bar{n}) + \bar{n} \ln(1 + 1/\bar{n})}{\bar{n}^{2/3}}}_{< 1.581}$$

$$S < \frac{1.581 N \mu c^2 R}{N^{1/3} \hbar c} < \frac{2 N \mu c^2 R}{\hbar c}$$

The low temperature quandary

D. Deutsch (1982): canonical ensemble

simple case: black body radiation

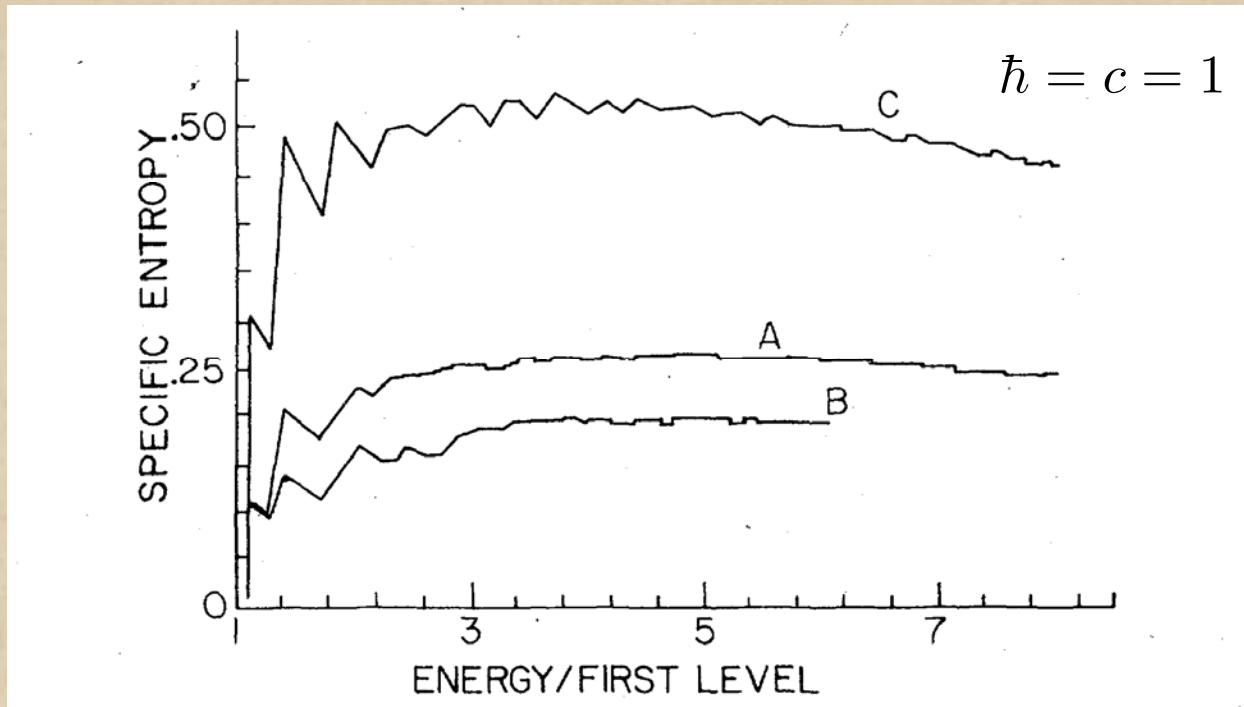
$$E \sim T^4 V \quad \text{and} \quad S \sim T^3 V$$

specific entropy $S/E \sim T^{-1} =$

to violate bound take $> 2 R / \hbar c$

Wrong: forgot effect of boundary

Microcanonical ensemble calculations



A - scalar radiation in a unit cube

B - photons in box $1 \times 2/3 \times 1/5$

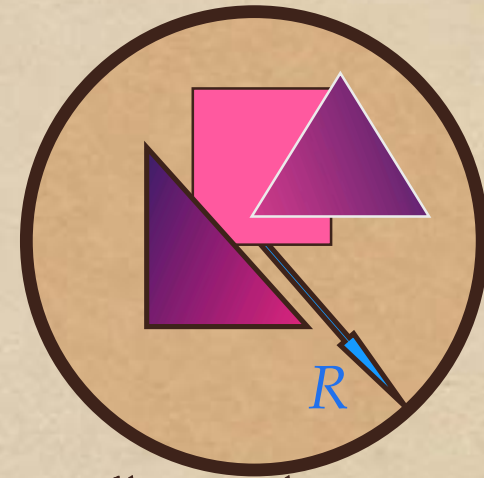
C - neutrinos in unit ball

thermal

Universal entropy bound - summary

$$S \leq 2 E R / \hbar c$$

- originated from black hole physics
- E is **gravitating** energy
- system must be **complete, isolated**
- is example tested
- may fail for strongly gravitating or rapidly evolving systems
- **tightest of known bounds**

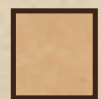
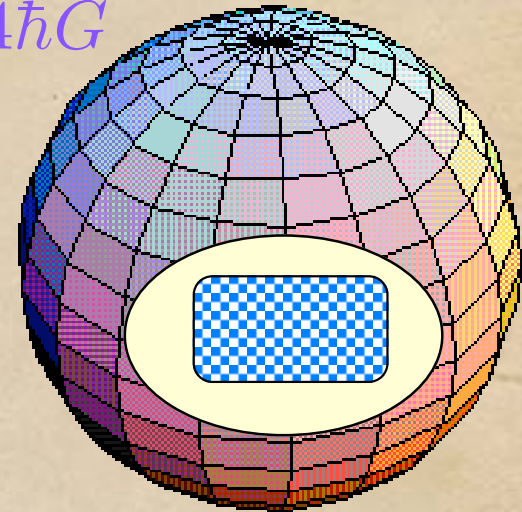


Holographic entropy bound

('t Hooft 1993; Gonzales-Díaz 1983)

- A area of any closed circumscribing surface
- is example tested
- includes G
- valid for weak and strong self-gravity
- fails for rapidly evolving systems
- is *overly generous*

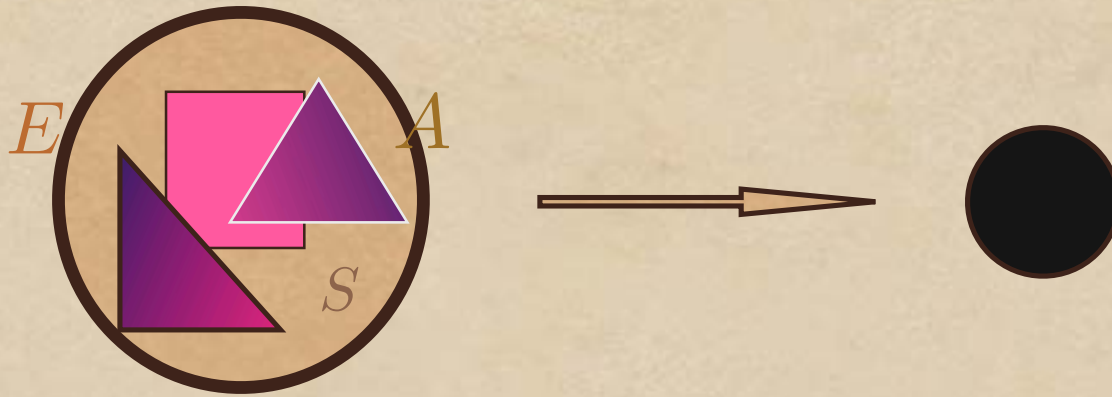
$$S \leq c^3 A / 4\hbar G$$



$$R = 1 \text{ cm}; \quad \mu = 1 \text{ g}$$

$$S \leq \begin{cases} 2 \times 10^{38}; & \text{universal} \\ 1 \times 10^{66}; & \text{holographic} \end{cases}$$

How do we know? (Susskind 1995)



$$S < S_{BH} = c^3 A_{BH} / 4\hbar G < c^3 A / 4\hbar G$$

$$S_{BH} = (4 GM^2 / c\hbar) \approx 8 GM (E / c^2) / c\hbar = c^3 A / 4\hbar G$$

$$2GM / c^2 > \sqrt{A / 4} \equiv R$$

$$M = \frac{c^6 A}{32 G^2 E}$$



M

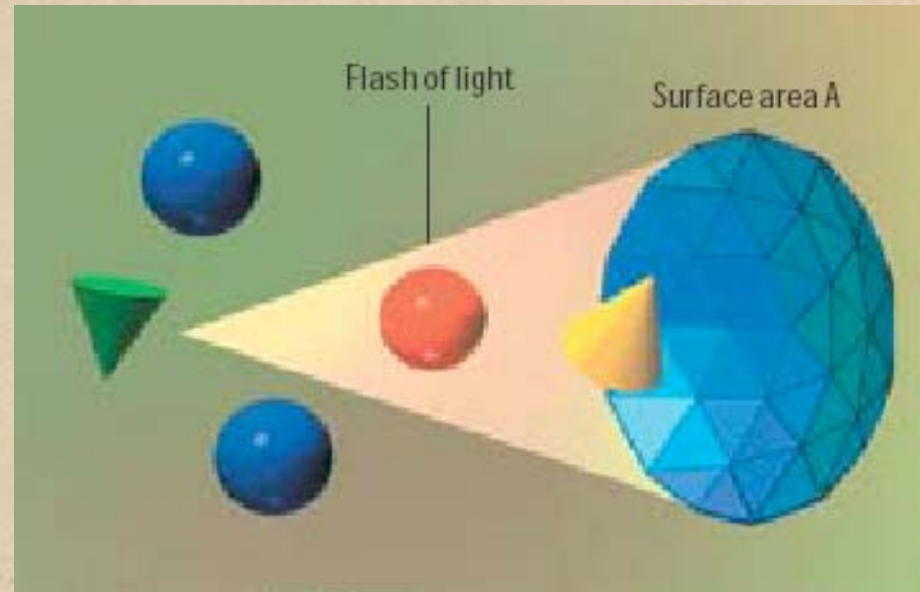
Bousso covariant entropy bound

$$S \leq c^3 A / 4\hbar G$$

A - area of surface with fixed sign of Gaussian curvature

S - entropy that is illuminated by "light rays" up to caustics

but still overly generous



- covariant
- example tested
- valid for strong gravity
- valid for rapidly evolving systems

Quantum buoyancy

Unruh effect (1976) $T_U = \frac{\hbar a}{2c}$

$$a = c^2 \frac{d}{dr} (1 - 2GM/rc^2)^{1/2}$$

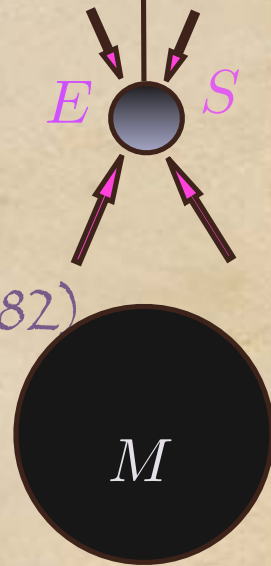
$$+ p = T s \quad (\text{Gibbs-Duhem relation})$$

W reaches its maximum at $E = E_{\text{rad}}$

$$E - W = T_{BH} S_{\text{rad}}$$

$$S_{BH} = (E - W) / T_{BH}$$

$$S_{BH} + S_{\text{world}} = S_{\text{rad}} - S$$



Unruh and Wald (1982)

Not everything is so simple

$$3p = \frac{T^4}{15\hbar^3 c^3} \quad R \gg \frac{\hbar c}{E}$$

For $r - 2GM/c^2 \ll 2GM/c^2$,

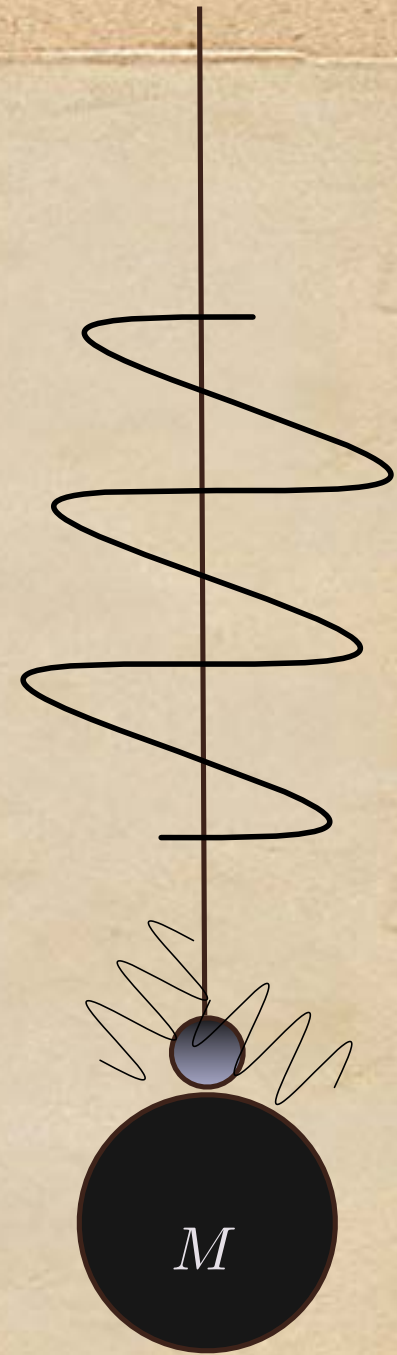
$$T \approx \frac{\hbar}{2} \implies \lambda_{\text{typical}} \approx \ell$$

Buoyant force drops rapidly with ℓ

1. Drop sphere from a few radii out

- buoyancy makes little difference

Weak version of universal bound



More precisely

b) Account for buoyant force in detail

- fluid model of radiation a poor one (1999)
- wave scattering problem
- the important parameter

$$\sqrt{\frac{N \hbar}{180 E R}}$$

take $\sigma \gg 1$

at floating $E \neq E_{\text{rad}}$ and $\Delta S_{BH} < S_{\text{rad}}$

$$S_{BH} > 2 E R / \hbar c$$

now consider $\sigma \ll 1$

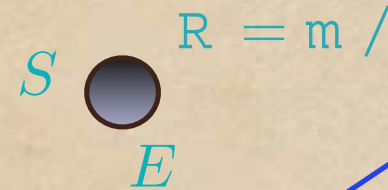
$$S_{BH} = 2 E R / \hbar c \left[(1 + \frac{1}{2}) \sqrt{1 + \frac{1}{2}} - \dots \right]$$



Alternative way

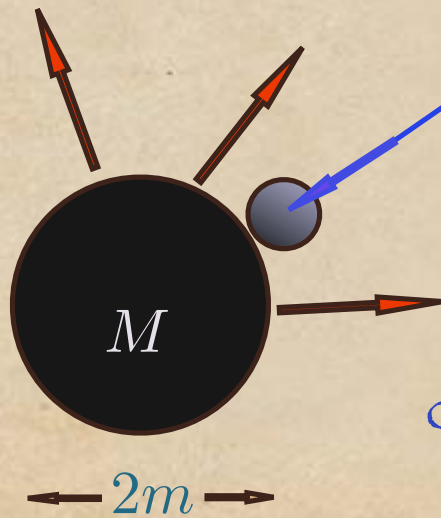
$$F(r) = \frac{\mathcal{N} \hbar c^2}{61,440 (m r)^2}$$

$$t = 5 \times 10^4 \frac{E(m/c)^2}{\hbar \mathcal{N}}$$



$$d \approx 2(c^2 t^2 m / \mathcal{N})^{1/3}$$


$$d = 1.2 \times 10^3 \left(\frac{R}{\mathcal{N} \hbar c / E} \right)^{2/3} m$$



$$\frac{f_{\text{rad}}(r)}{f_{\text{grav}}(r)} = \frac{\mathcal{N}_e}{61,440} \frac{(\hbar c / E) R^2}{m^3}$$

choose parameters so that M is unchanged overall

Entropy accounting

$$S \quad R = m /$$



E

$$S_{\text{rad}} = 8 \quad ER / \hbar c$$

$$S_{\text{rad}} - S > 0$$

$$S_{\text{rad}} = \frac{E}{T_{BH}}$$

$$S < 8 \quad ER / \hbar c$$


$$T_{BH} = \frac{c \hbar}{8 m}$$

$$S \leq 2 \quad ER / \hbar c$$