

SMR.1587 - 22

*SCHOOL AND WORKSHOP ON  
QUANTUM ENTANGLEMENT, DECOHERENCE, INFORMATION, AND  
GEOMETRICAL PHASES IN COMPLEX SYSTEMS  
(1 November - 12 November 2004)*

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**Spin control and relaxation in mesoscopic  
quantum transport**

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These are preliminary lecture notes, intended only for distribution to participants



# Spin Control and Relaxation in Mesoscopic Quantum Transport

Oleg Zaitsev, Diego Frustaglia, Markus Popp, KR

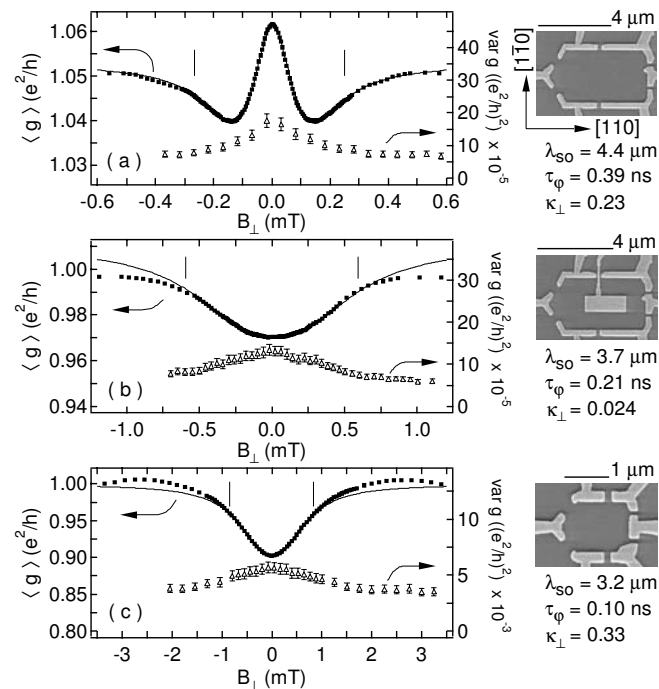
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Scuola Normale Superiore, Pisa

## Spin dynamics of confined electrons in non-uniform magnetic fields

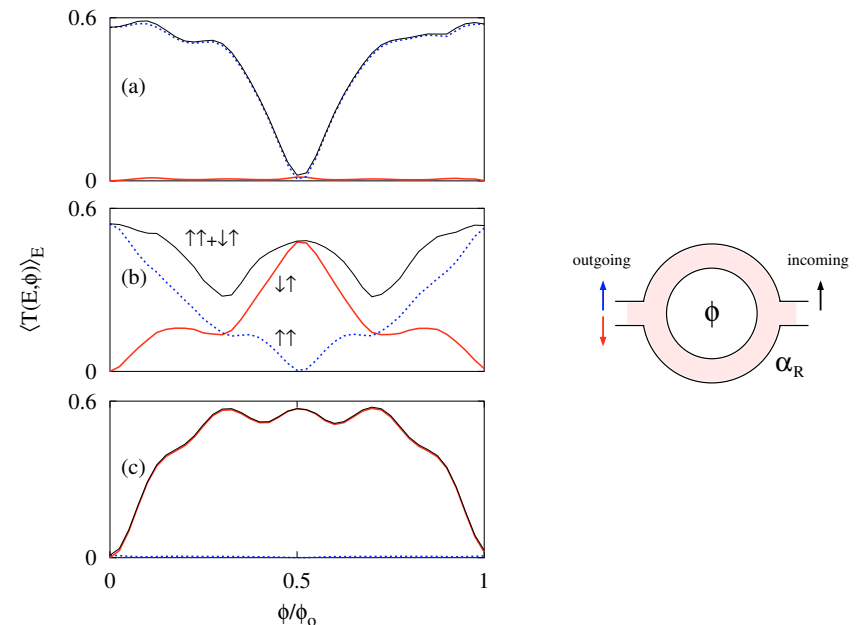
- **intrinsically:** spin-orbit interaction  $\Rightarrow$  effective  $B$ -field  $\Rightarrow$  **spin relaxation**
- **externally:** imposed inhomogeneous  $B$ -fields  $\Rightarrow$  **spin control**

### Topics:

#### Conductance of quantum dots with spin-orbit interaction



#### Aharonov-Bohm physics with spin: mesoscopic rings as spin switches



#### Berry-phases in quantum transport in ballistic and disordered conductors



revival of spin-orbit (SO) effects owing to their role for:

- spin interference devices
- spin rotators, filters, pumps, . . .
- spin-based quantum computation

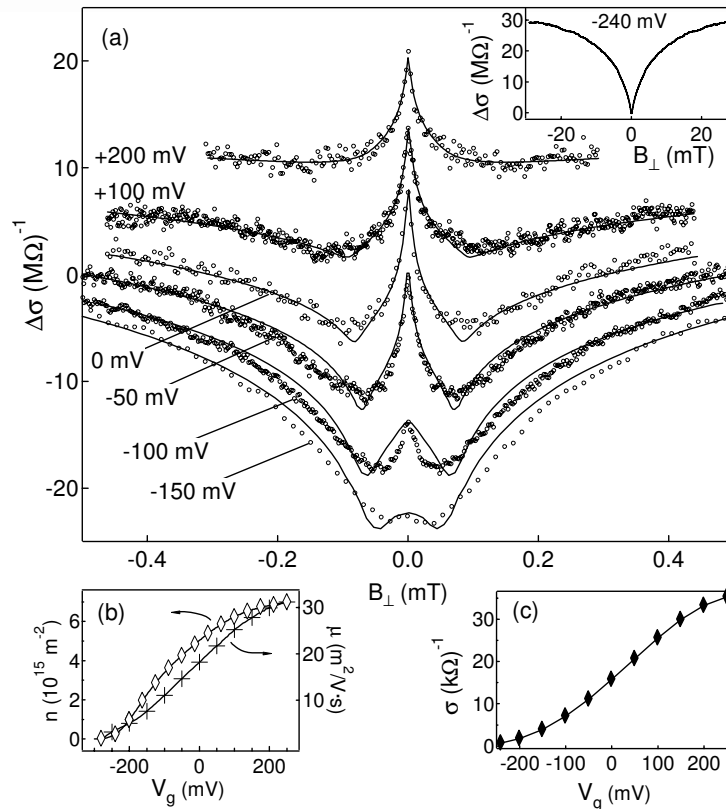
here:

- SO-induced spin relaxation in confined nanosystems
- effect of orbital motion on spin evolution
- SO-controlled spin switching

experimental probes for SO interaction in quantum transport:

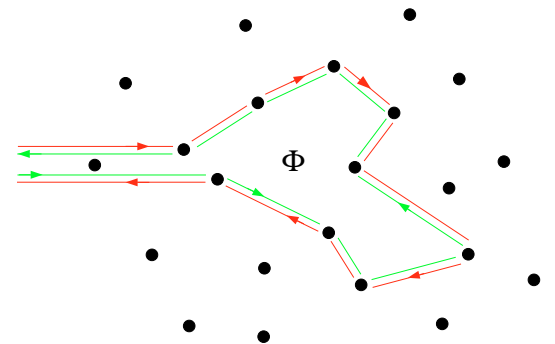
- beating patterns in Shubnikov-de Haas oscillations in 2DEGs with tunable SO coupling
- weak (anti-)localization

gate-tunable crossover from weak localization (WL) to antilocalization (AL)



experiments (bulk):

- J.B. Miller et al., PRL (2003)
- Ch. Schierholz et al., phys. stat. sol. (2002)
- F.E. Meijer et al., cond-mat (2004)



early theory on WL and AL in disordered systems:

S. Hikami, A.L. Larkin, Y. Nagaoka, Prog. Theor. Phys. (1980)



interplay between SO coupling  
and confinement?

- role of orbital dynamics:  
diffusive vs. chaotic  
chaotic vs. regular
- effect of additional  
Zeeman interaction

related theoretical work:

- A.V. Khaetskii, Y.V. Nazarov,  
PRB (2000, 2001)
- I.L. Aleiner, V.I. Falko, PRL (2001)
- J.-H. Creemers et al., PRB (2003)

interplay between SO coupling  
and confinement?

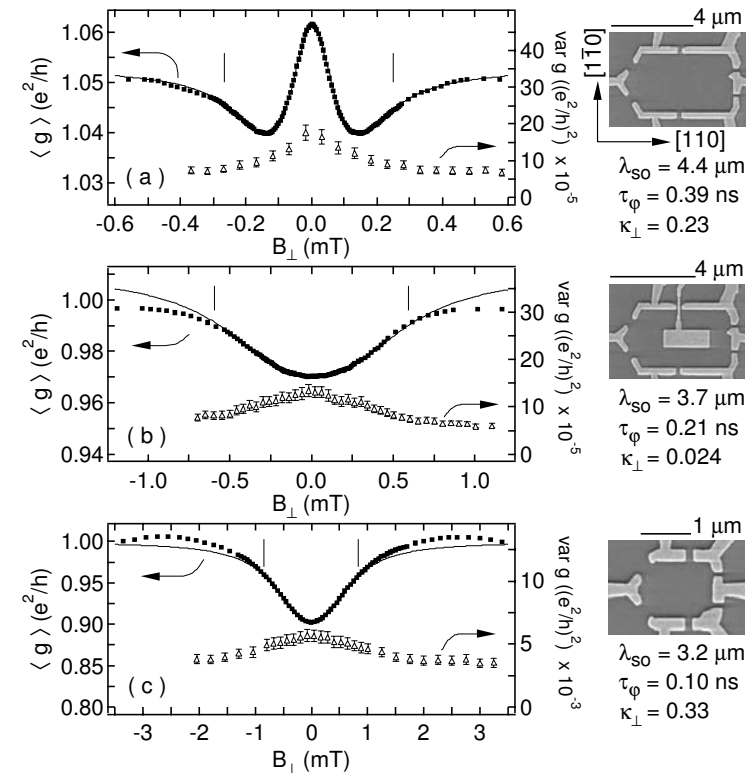
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suppression of AL in small dots !

(  $8.0 \mu\text{m}^2 - 5.8 \mu\text{m}^2 - 1.2 \mu\text{m}^2$  )



Zumbühl et al., PRL (2002)

- $\hat{H} = \hat{H}_0(\hat{\mathbf{p}}, \hat{\mathbf{q}}) + \hbar \hat{\mathbf{s}} \cdot \hat{\mathbf{C}}(\hat{\mathbf{p}}, \hat{\mathbf{q}})$

- ▶ includes: Zeeman term  
SO interaction

- Example: **Rashba SO coupling:**

$$\hat{\mathbf{C}} = \frac{2\alpha_R}{\hbar^2} (\hat{\mathbf{p}} - \frac{e}{c} \mathbf{A}(\hat{\mathbf{q}})) \times \mathbf{e}_z$$

- ▶  $\hat{\mathbf{C}}$  acts as an effective magnetic field
- ▶ measure for SO-strength  $\alpha_R$ :

Rashba spin precession length:  $L_R = 2\pi|\mathbf{v}|/C$

- devise quantum theory accounting for different types of orbital dynamics:

→ **Semiclassics with spin**



- Assumptions:

- ▶ semiclassical approach to Feynman path integral

for density of states:

Bolte, Keppeler (1998), Brack, Pletyukhov, Zaitsev (2002,2003)

- ▶ semiclassics for orbital part  $\hat{H}_0$ :

classical action  $S_0 \gg \hbar$  i.e.  $k_F L_b \gg 1$  ( $L_b$ : system size)

- ▶ moderate SO coupling:

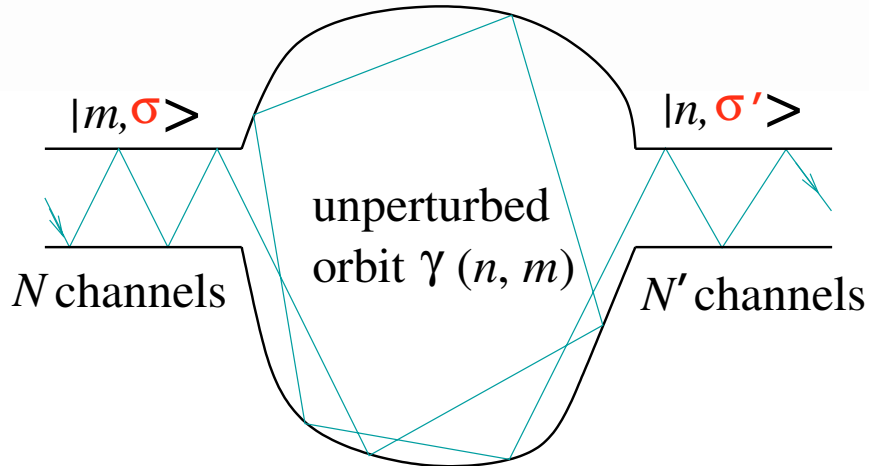
$$\hbar s |\mathbf{C}| \ll H_0 \quad \text{and} \quad L_b/L_R \lesssim 1$$

- Consequences:

- ▶ orbital motion is not affected by spin

- ▶ paths of  $H_0$  generate local field acting on spin:

$$\hat{H}_{\text{spin}}(t) = \hbar \hat{\mathbf{s}} \cdot \mathbf{C}(\mathbf{p}_0(t), \mathbf{q}_0(t))$$



quantum transport:

$$G = (e^2/h)\mathcal{T} \text{ with}$$

$$\mathcal{T} = \sum_{n=1}^{N'} \sum_{m=1}^N \sum_{\sigma, \sigma' = -s}^s |t_{n\sigma', m\sigma}|^2$$

$$t_{n\sigma', m\sigma} \simeq \sum_{\gamma(n, m)} (\hat{K}_\gamma)_{\sigma'\sigma} A_\gamma \exp \left[ \frac{i}{\hbar} S_\gamma \right] ; \quad \hat{K}_\gamma = T \left\{ \exp \left[ -\frac{i}{\hbar} \int_0^{T_\gamma} dt' \hat{H}_{\text{spin}}(t') \right] \right\}$$

$$\mathcal{T} \simeq \sum_{nm} \sum_{\substack{\gamma(n, m) \\ \gamma'(n, m)}} \mathcal{M}_{\gamma, \gamma'} A_\gamma A_{\gamma'}^* \exp \left[ \frac{i}{\hbar} (S_\gamma - S_{\gamma'}) \right]$$

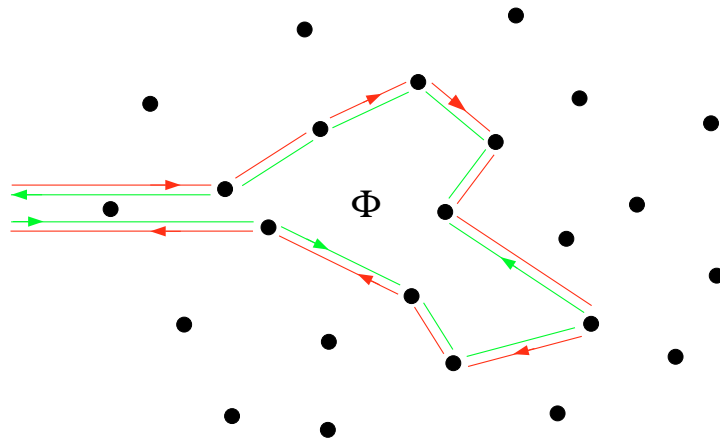
- semiclassical Landauer formula for spin-dependent magneto transport
- spin modulation factor  $\mathcal{M}_{\gamma, \gamma'} = \text{Tr}(\hat{K}_\gamma \hat{K}_{\gamma'}^\dagger)$

# Coherent backscattering

## diffusive systems:

disorder average

→ diagonal contribution:

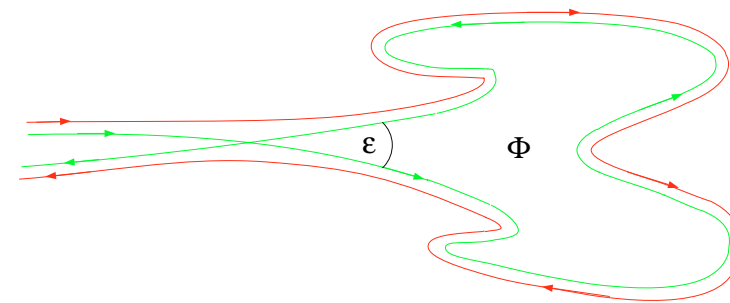


time reversed paths

## ballistic systems:

energy average

→ diagonal + loop contribution:



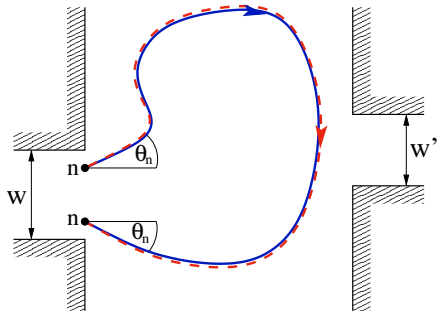
“entangled or-bits”

KR and M Sieber, PRL (2002)

contributions to (energy-)averaged reflection:

classical

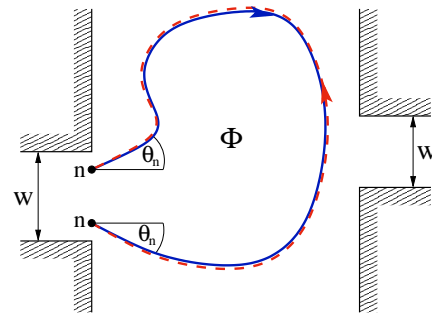
$$\gamma' = \gamma$$



$$\mathcal{M}_{\gamma, \gamma'} = 2s + 1$$

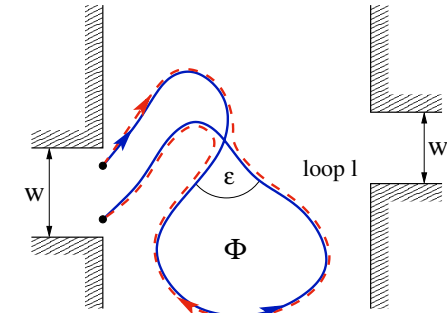
quantum

diagonal  $\gamma' = \gamma^{-1}$



$$\mathcal{M}_{\gamma, \gamma'} = \text{Tr}(\hat{K}_{\gamma}^2)$$

loop  $l' = l^{-1}$



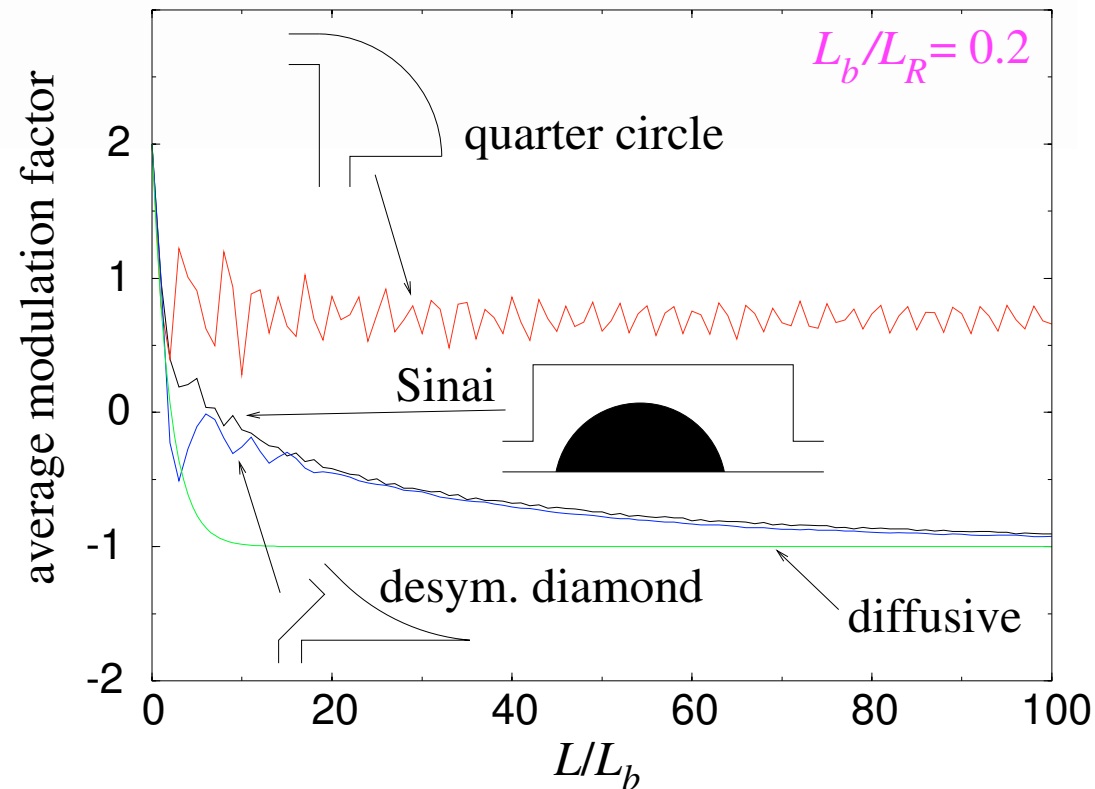
$$\mathcal{M}_{\gamma, \gamma'} = \text{Tr}(\hat{K}_l^2)$$

give rise to weak (anti-)localization

$$\frac{\delta\mathcal{R}}{\delta\mathcal{R}^{(0)}} = \int_0^\infty dL P(L) \langle \mathcal{M}_\varphi \rangle(L; \mathbf{B})$$

- $\delta\mathcal{R}^{(0)}$ : weak-localization correction without spin,  $\mathbf{B} = 0$ .
  - (initial) polarization:  $\langle \mathcal{M} \rangle = 2s + 1 = 2$  for spin 1/2  
complete relaxation:  $\langle \mathcal{M} \rangle = (-1)^{2s} = -1$  for spin 1/2
  - Aharonov-Bohm phase factor  $\varphi = \exp(4\pi i A B_z / \Phi_0)$
  - orbit-length distribution  $P(L)$ :
    - ▶  $P(L) = L_{\text{esc}}^{-1} \exp(-L/L_{\text{esc}})$  for **chaotic** systems.
    - ▶ **power law** for **integrable** systems.
- **quantum spin evolution** determined from **classical** numerical simulations !

# Spin-relaxation $\langle \mathcal{M} = \text{Tr}(\hat{K}_\gamma^2) \rangle$

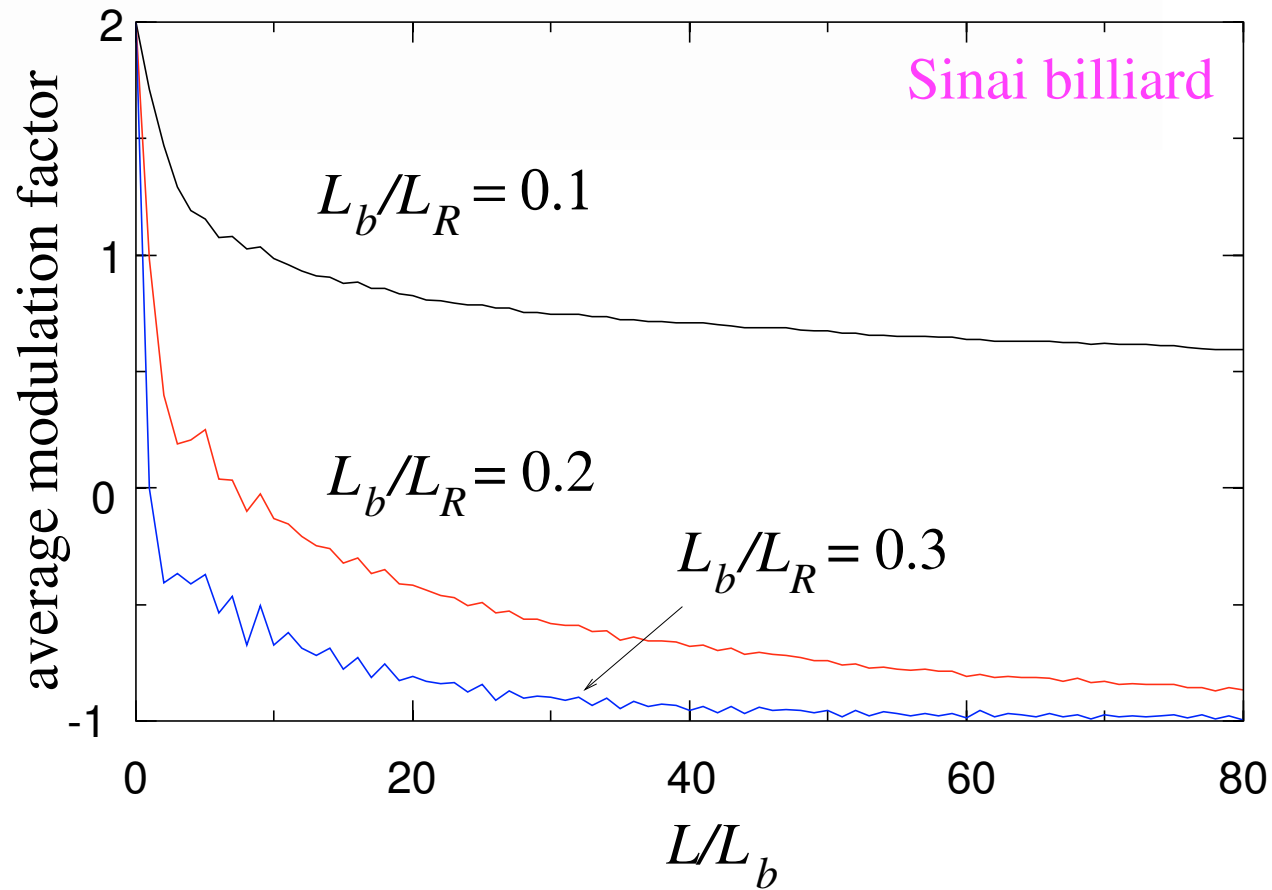


$L_b$ : bounce length in quantum dot, mean free path for diffusive systems

⇒ fast relaxation in diffusive systems:  $\langle \mathcal{M} \rangle(L) \simeq 3 \exp \left[ -\frac{1}{3} \left( 2\pi \frac{L_b}{L_R} \right)^2 \frac{L}{L_b} \right] - 1$

⇒ universal features for chaotic systems !

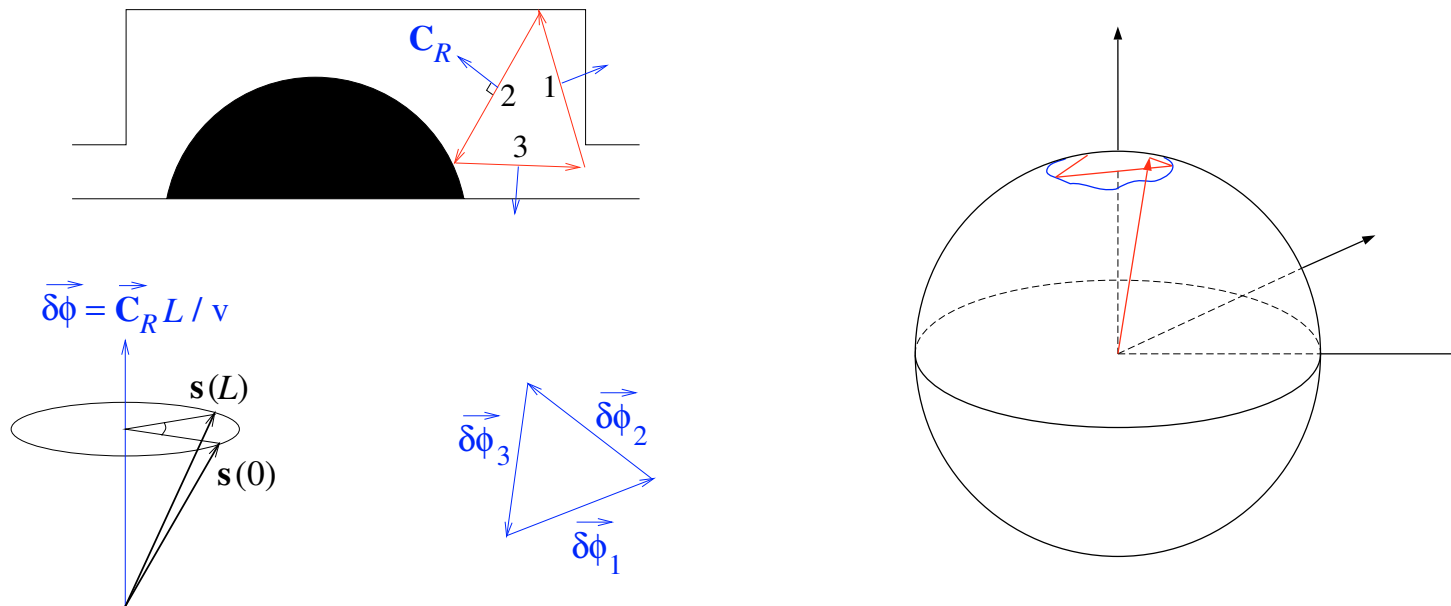
⇒ saturation for integrable systems !



⇒ confinement suppresses spin relaxation !

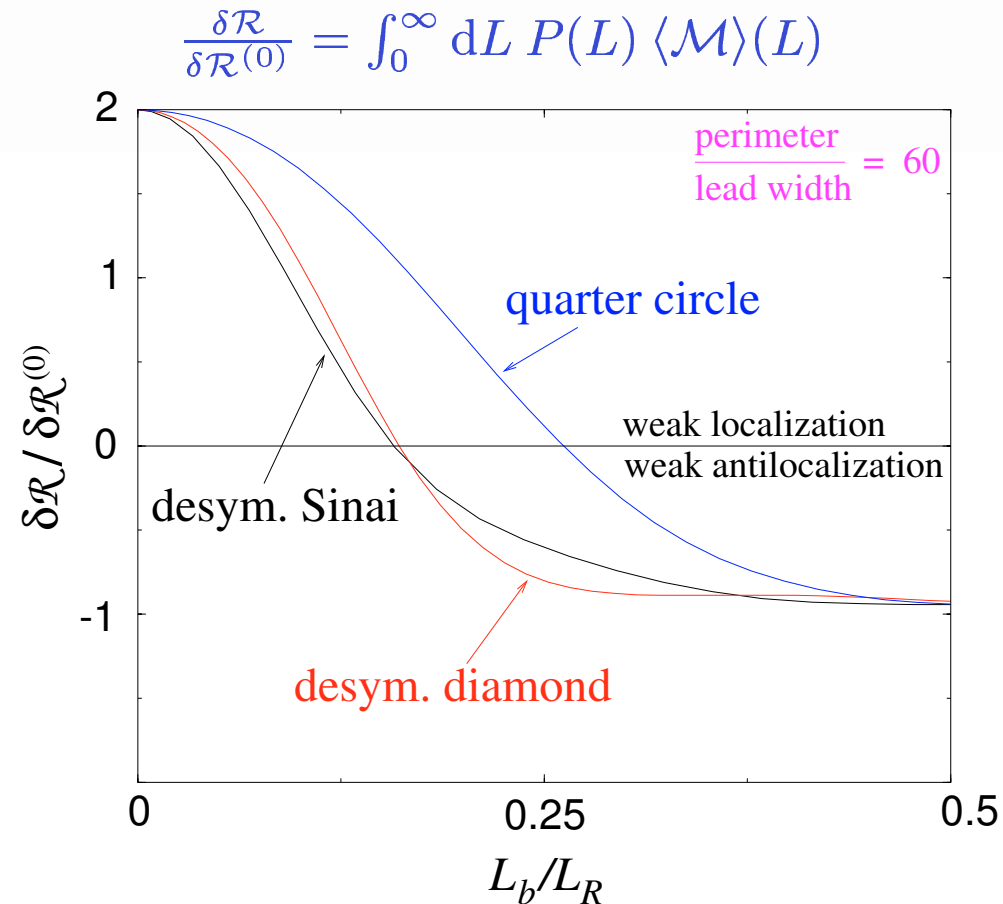
for small SO coupling see also: Khaetskii, Nazarov (2000)

- for  $L_b/L_R \ll 1$ :
- spin evolution mimics orbital dynamics:



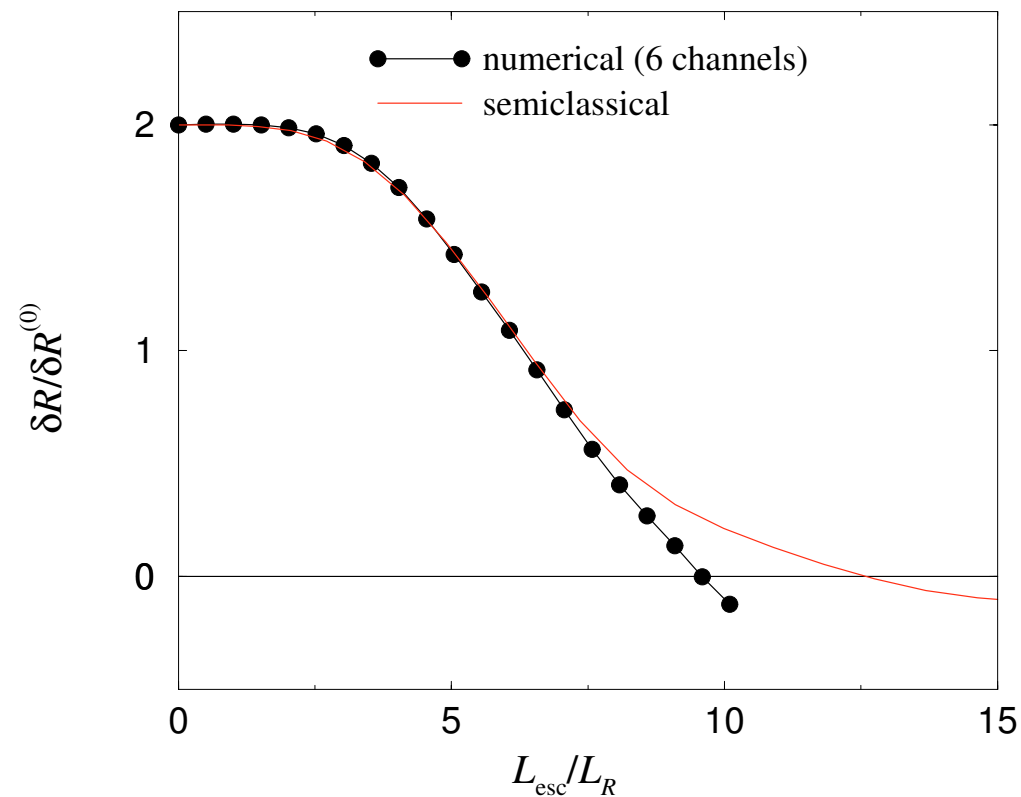


# Weak (anti-)localization



- ⇒ Suppression of AL in small dots (as in experiments)
- ⇒ Similarity among **chaotic** quantum dots
- ⇒ Suppression of AL in **integrable** systems

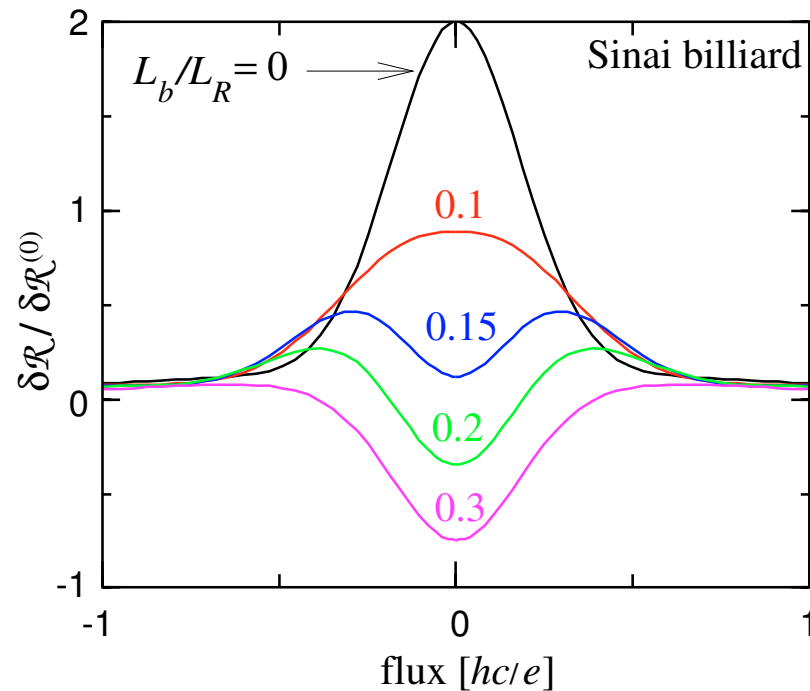
- numerical quantum mechanical calculations for spin-dependent conductance (recursive Green functions technique)
- compare with **semiclassical results** for Sinai-billiard confinement



⇒ excellent agreement

⇒ no free parameters, in contrast to random matrix approaches

$$\frac{\delta \mathcal{R}}{\delta \mathcal{R}^{(0)}} = \int_0^\infty dL P(L) \langle \mathcal{M}_\varphi \rangle(L)$$



with

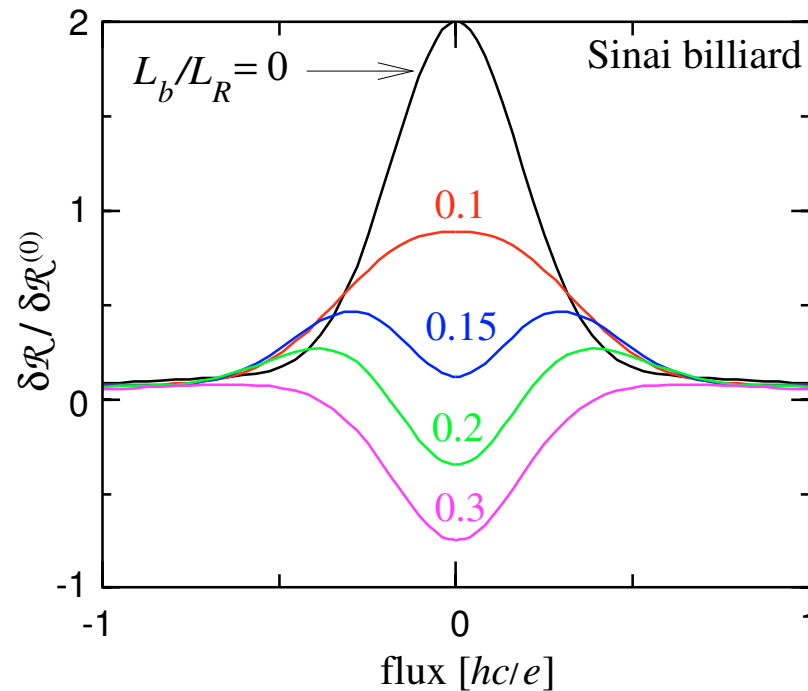
$$\langle \mathcal{M}_\varphi \rangle(L) \simeq \sum_{\pm} \exp\left(-\gamma_{\pm} \frac{L}{L_b}\right)$$

and

$$\gamma_{\pm} = \left[ \alpha B \pm \beta (L_b/L_R)^2 \right]^2$$

# Magnetic flux dependence

$$\frac{\delta R}{\delta R^{(0)}} = \int_0^\infty dL P(L) \langle \mathcal{M}_\varphi \rangle(L)$$



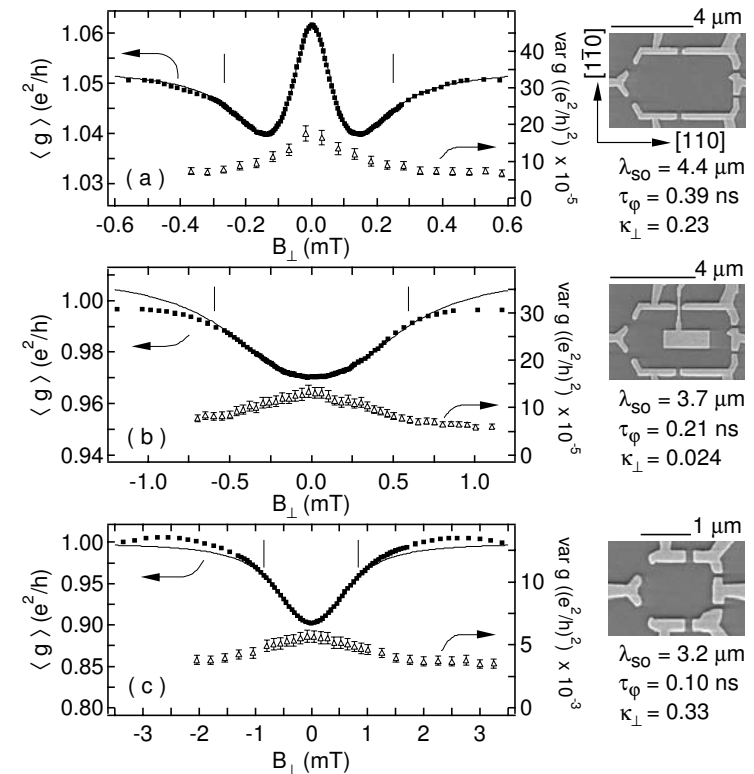
with

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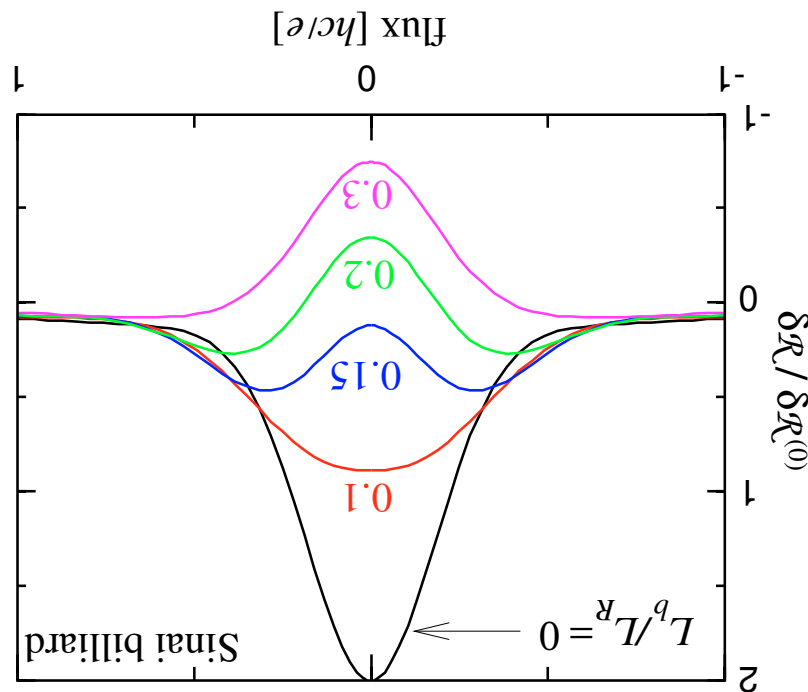
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## Experiment:



# Magnetic flux dependence

$$\frac{\delta R}{\delta R^{(0)}} = \int_0^\infty dL P(L) \langle \mathcal{M}_\varphi \rangle(L)$$



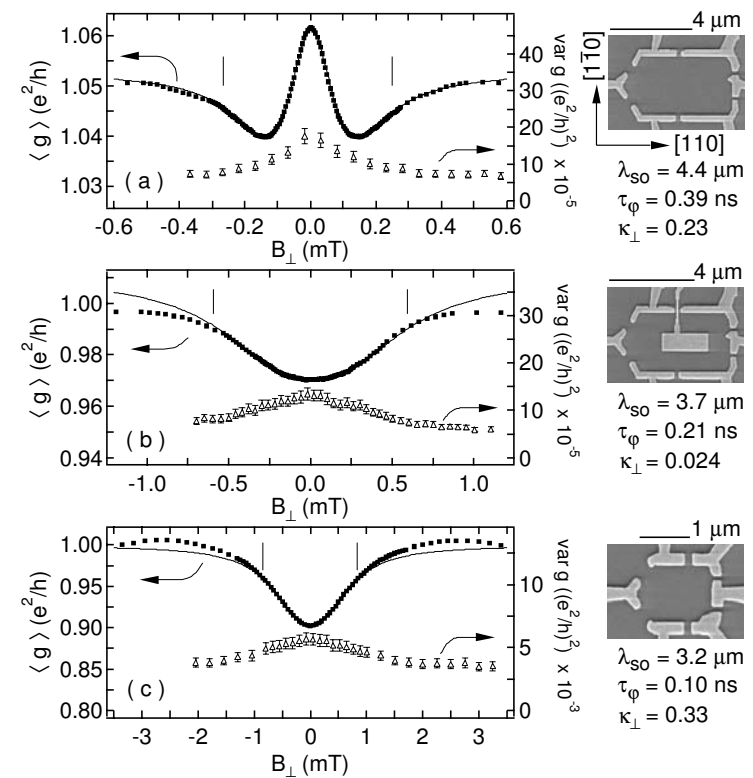
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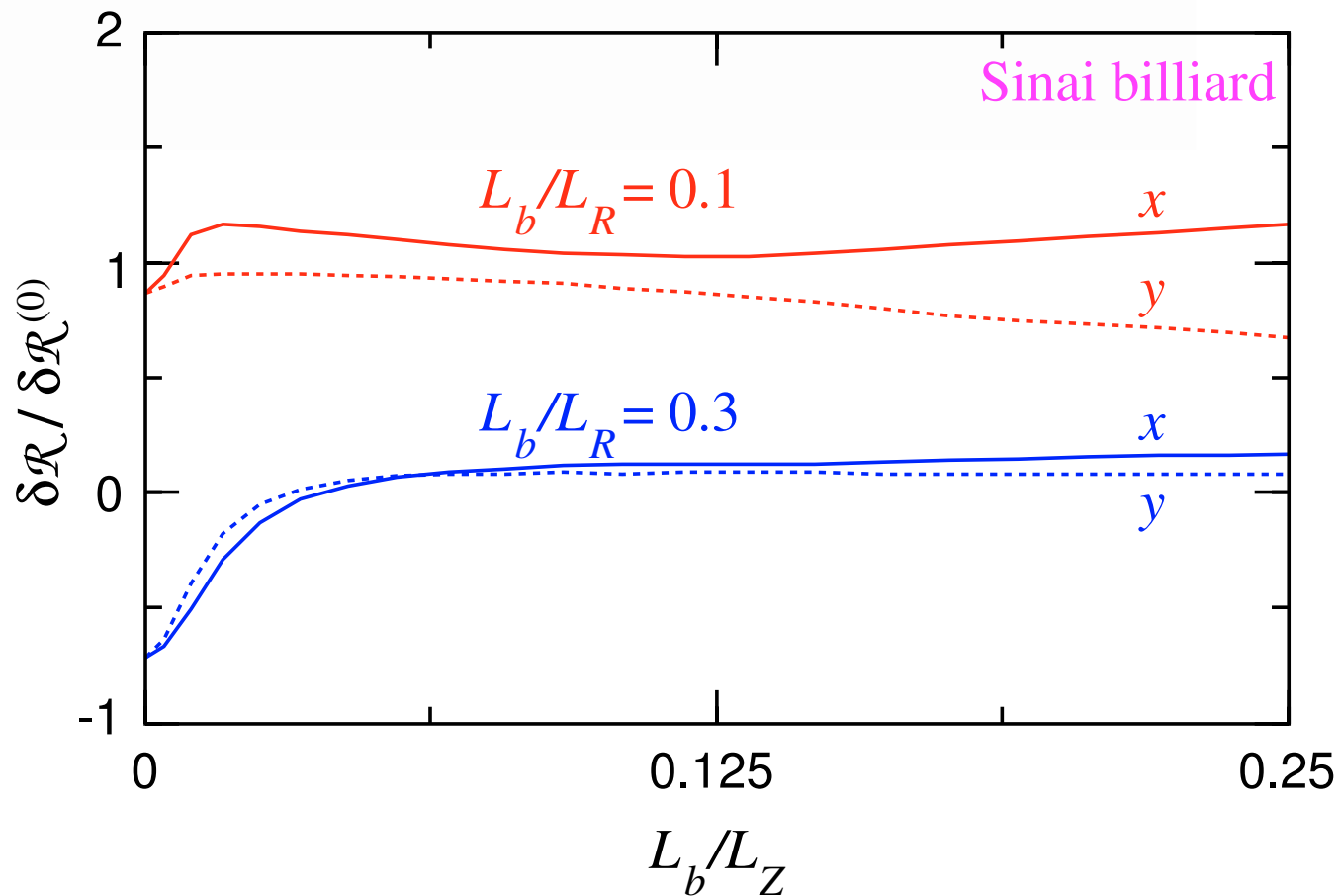
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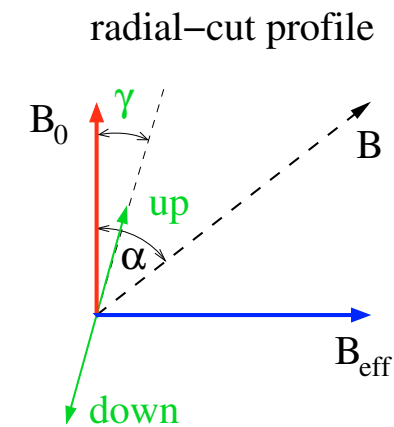
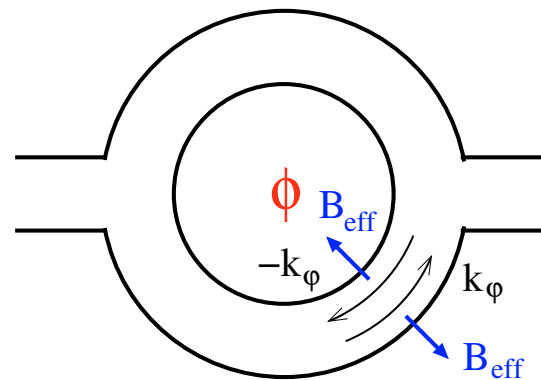
## Experiment:





Zeeman interaction suppresses antilocalization

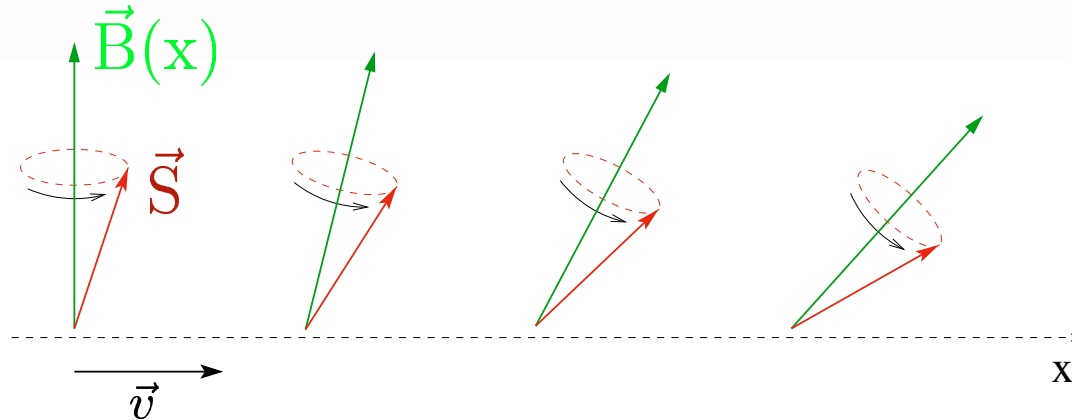
- how to use SO interaction to tune spin (directions) in a **controlled** way?
- consider ballistic AB rings with Rashba interaction  
(+ additional perpendicular field  $B_0$ )



- radial field:  $B_{\text{eff}} \sim \alpha_R k_\varphi$
- experiments:  
Morpurgo et al., PRL (1998), Yau et al. PRL (2002)  
→ signatures of spin-orbit induced **Berry phases**

# Adiabatic spin transport

spin in an orientationally inhomogeneous  $B$ -field:



**adiabatic spin transport**  $\Leftrightarrow$  spin stays aligned with changing  $B$ -field direction

Relevant timescales:

- Larmor frequency  $\omega_L = 2\mu B/\hbar$
- characteristic time  $t_c = l_c/v$  on which  $B$ -field direction changes significantly

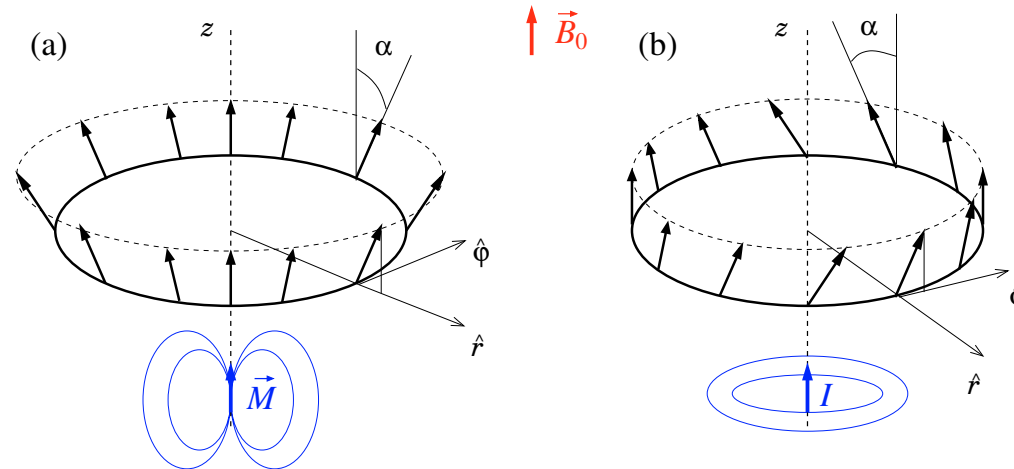
adiabaticity parameter:  $Q \equiv \frac{\omega_L}{\omega_c} = \frac{\omega_L}{\frac{2\pi}{t_c}} \sim \omega_L t_c$

$Q \leq 1$   $\Leftrightarrow$  spin flips

$Q \gg 1$   $\Leftrightarrow$  **adiabatic regime**



$$H = \frac{1}{2m^*} \left( \vec{P} - \frac{e}{c} \vec{A}_{\text{em}} \right)^2 + V(\vec{r}) + \mu \vec{B}(\vec{r}) \cdot \vec{\sigma}$$



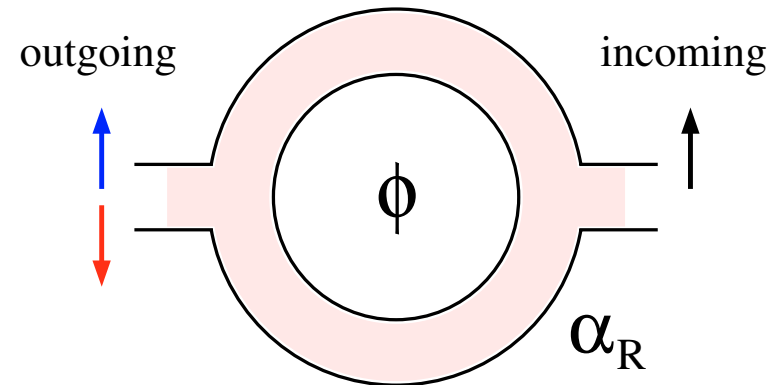
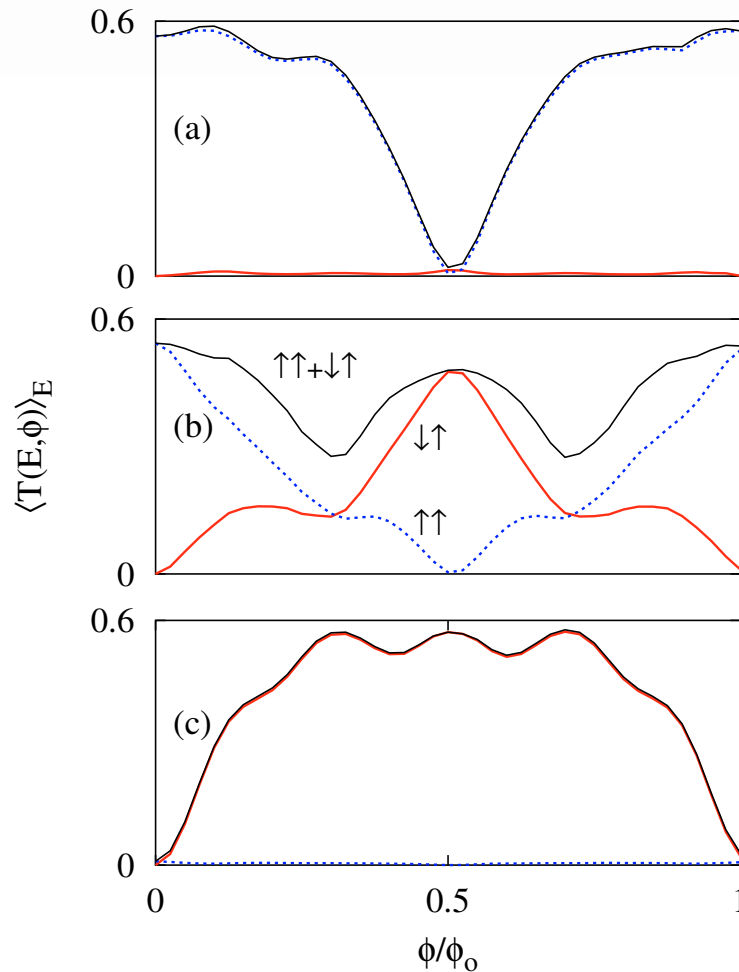
Stern (1992), Loss, Schöller, Goldbart (1993)

adiabatic regime:  $Q \gg 1$ : 
$$H = \frac{1}{2m^*} \left( \vec{P} - \frac{e}{c} \vec{A}_{\text{em}} - \vec{A}_{\text{g}}^{\uparrow\downarrow} \right)^2 + V(\vec{r})$$

→ Berry phase: 
$$\gamma^{\uparrow\downarrow} = \frac{1}{\hbar} \oint_{\Gamma} \vec{A}_{\text{g}}^{\uparrow\downarrow} \cdot d\vec{\ell}$$

→ effective flux: 
$$\phi^{\uparrow\downarrow} = \phi_{\text{em}} - [1 \pm \cos \alpha(B)] \phi_0 / 2$$

Magneto conductance of spin-polarized ballistic currents:



a  $Q_R \ll 1$ : weak Rashba coupling

b,c  $Q_R = 1, Q_R = 1.7$ : moderate Rashba

D. Frustaglia, K.R., PRB (2004)

D. Frustaglia, M. Hentschel, K.R., PRL (2001)

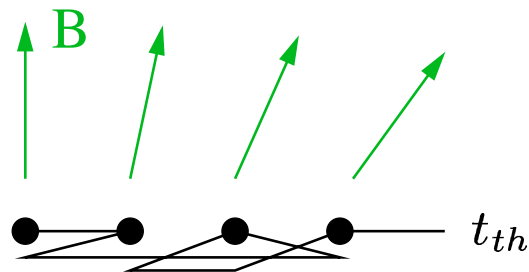
- Control of the outgoing spin polarization by means of a small flux!
- Adiabatic spin transport is not required.

# Berry phases in disordered systems?

What is the characteristic time  $t_c$  ?  $(Q \sim \omega_L t_c)$

- **Ballistic systems:**  $t_c \sim L/v$  ✓
- **Disordered systems:** two candidates:

➤ Thouless time  $t_{th} = L^2/D \rightarrow Q > \ell/L$



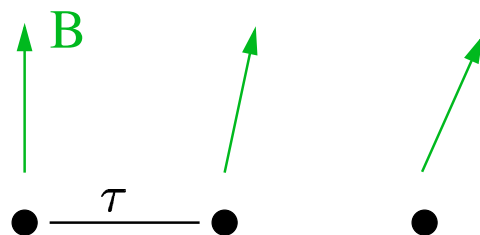
adiabaticity in metals:

$$B \gtrsim 100 \text{ mT}$$

for quantum corrections to the conductance

(Loss, Schöller, Goldbart (1999), Engel, Loss, (2000))

➤ Mean elastic scattering time  $\tau \rightarrow Q > L/\ell$

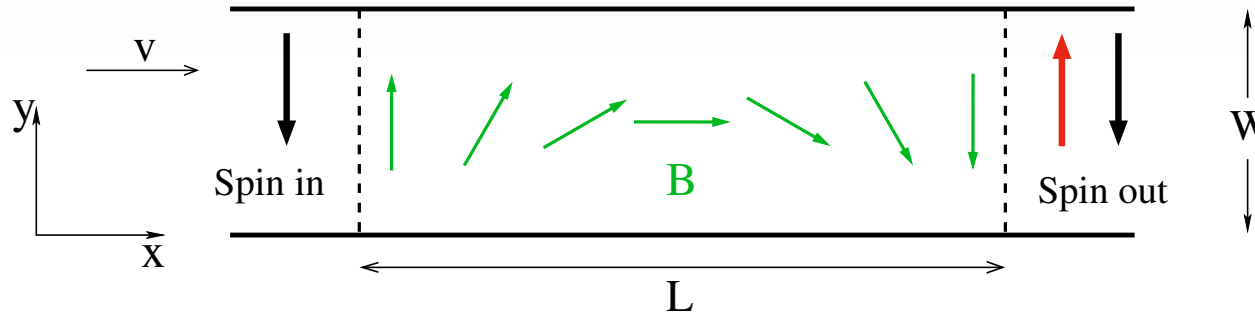


adiabaticity in metals:

$$B \gtrsim 1000 \text{ T}$$

(Stern (1992), van Langen, Knops, Paaschens, Beenakker (1999))

ballistic strip:



Adiabaticity parameter for each transverse mode:  $Q_i = \left( \frac{LWB}{\phi_0} \right) \left( \frac{m^*}{m_0} \right) \frac{g^*}{k_i W}$

Transmission  $|\downarrow\rangle \rightarrow |\downarrow\rangle$  (transfer matrix method):

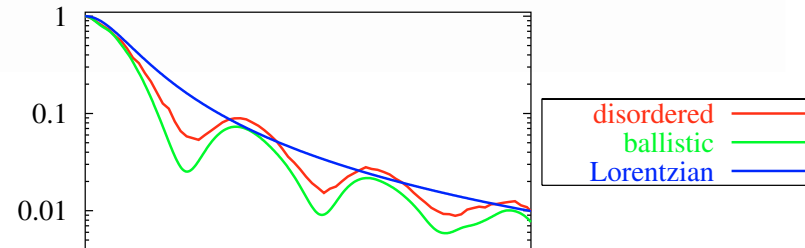
$$T_{\downarrow\downarrow} = \sum_{i=1}^M \frac{1}{1 + Q_i^2} \sin^2 \left( \frac{\pi}{2} \sqrt{1 + Q_i^2} \right) \quad (E_F \gg \mu B)$$

$$\Rightarrow T_{\downarrow\downarrow} \simeq \frac{1}{1 + Q_{\text{bal}}^2} \quad Q_1 \leq Q_{\text{bal}} \leq Q_M$$

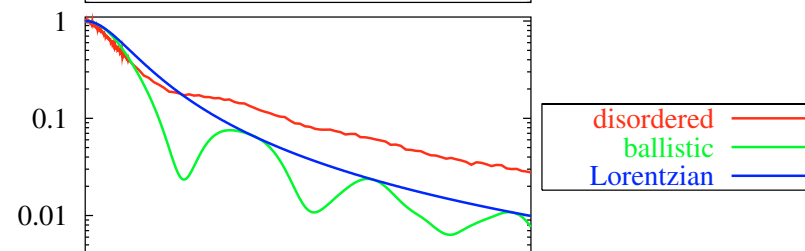
Introduce **effective parameter**  $Q_{\text{bal}} \simeq 1.4 Q(k_F)$  (independent of M !)

Numerical quantum mechanical results for averaged transmission  $\langle T_{\downarrow\downarrow} \rangle$ :

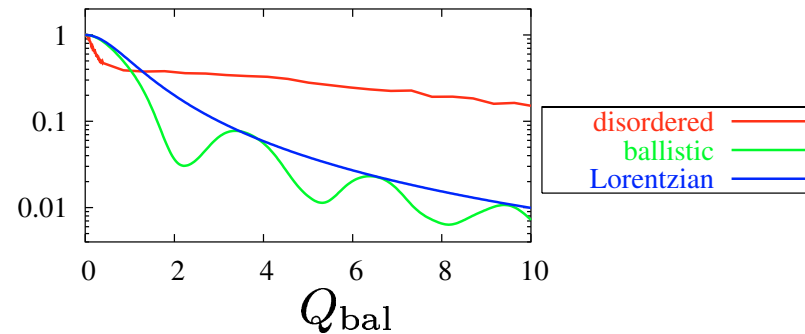
$$L/\ell = 0.5$$



$$L/\ell = 3$$



$$L/\ell = 10$$

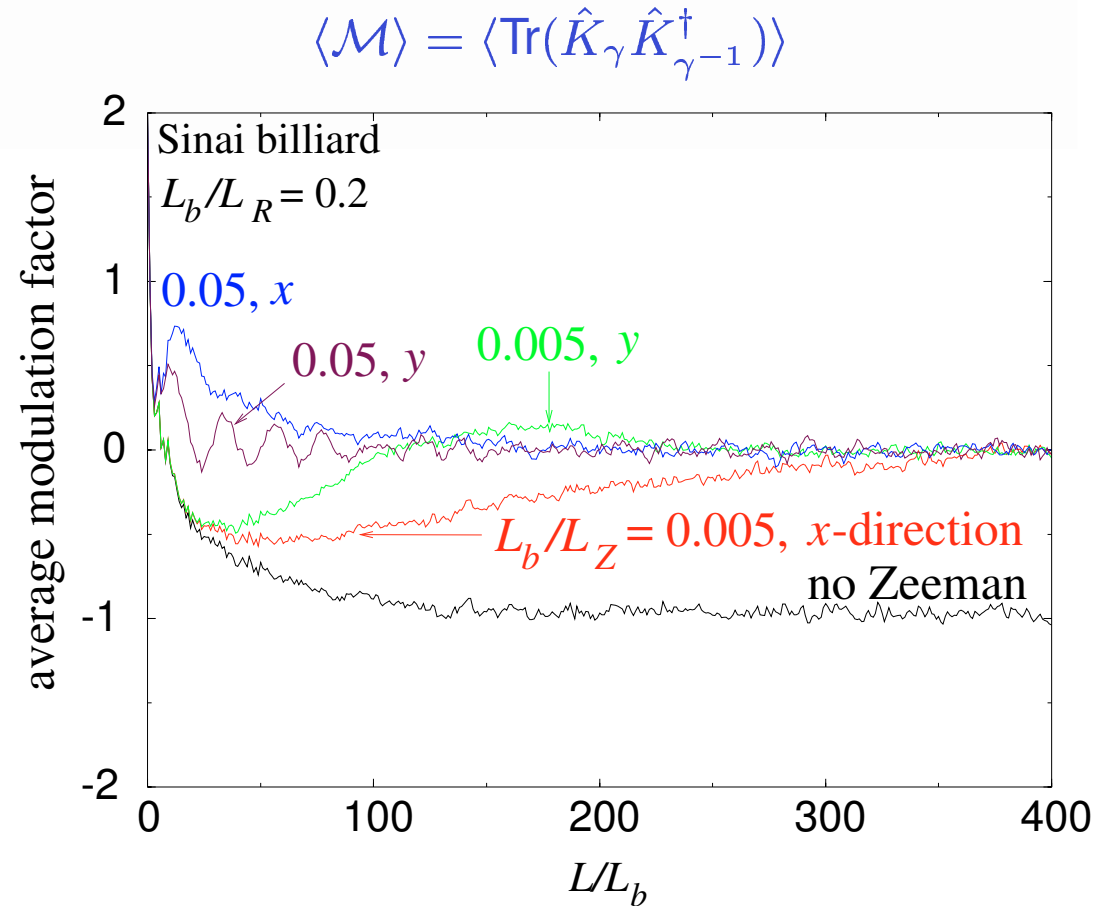


Regimes:

- Transmission plateau  $\langle T_{\downarrow\downarrow} \rangle \approx \langle T_{\uparrow\downarrow} \rangle$  for  $\ell/L \lesssim Q_{\text{bal}} \lesssim L/\ell$
- $\langle T_{\downarrow\downarrow} \rangle > T_{\downarrow\downarrow}^{\text{bal}}$  for  $Q_{\text{bal}} > L/\ell$   $\rightarrow$  larger B-fields for adiabatic limit
- same adiabaticity condition for quantum corrections



- **SO interaction in quantum dots:**
  - ▶ confinement reduces spin relaxation
  - ▶ orbital dynamics determines spin evolution:
    - diffusive: fast exponential relaxation
    - chaotic: universal decay features
    - regular: saturation of relaxation
  - ▶ WL  $\rightarrow$  AL transition upon deforming an integrable to a chaotic dot
- **spin control:** Aharonov-Bohm ring with SO interaction
- **Berry phases:** observation in diffusive conductors is unlikely
- **References:**
  - ▶ O. Zeitsev, D. Frustaglia, K.R., cond-mat/0405266 (2004)
  - ▶ D. Frustaglia and K.R. Phys. Rev. B **69**, 235310 (2004)
  - ▶ D. Frustaglia, M. Hentschel, and K.R., Phys. Rev. Lett. **87**, 256602 (2001)



- without Rashba:  $\mathcal{M}(\mathbf{B}) \equiv 2$  in uniform field
- $\langle \mathcal{M} \rangle(L = \infty) = 0$ : decrease of antilocalization
- **Anisotropy** in  $\mathbf{B}$ -direction

# Very weak spin-orbit coupling

$$L_{\text{sys}}/L_R \ll 1$$

- Position-dependent spin-frame rotation:

$$H \mapsto U^\dagger H U, U = \exp\left[\beta \frac{\pi}{L_R} (x\sigma_y - y\sigma_x)\right]$$

⇒ Renormalized spin-orbit coupling:

$$\frac{\hbar}{2} \boldsymbol{\sigma} \cdot \frac{2\pi}{L_R} \mathbf{v} \times \mathbf{e}_z \mapsto \frac{\hbar}{2} \sigma_z \frac{2\pi^2}{L_R^2} (\mathbf{e}_z \times \mathbf{r}) \cdot \mathbf{v} + \mathcal{O}(L_R^{-3})$$

Similar to uniform field  $\frac{2\pi^2 c \hbar}{e L_R^2}$  times  $\sigma_z$  !

⇒ New modulation factor (chaotic):

$$\mathcal{M}_{\text{new}}^- \varphi \simeq e^{-\left[\alpha B + \beta \left(\frac{L_b}{L_R}\right)^2\right]^2 \frac{L}{L_b}} + e^{-\left[\alpha B - \beta \left(\frac{L_b}{L_R}\right)^2\right]^2 \frac{L}{L_b}}$$

- Double-peak structure in  $\delta\mathcal{R}/\delta\mathcal{R}^{(0)}$ ;
- no antilocalization



$$\begin{aligned}\mathcal{M}(t) &\equiv \text{Tr} \left[ \hat{K}_\gamma(t) \right]^2 \\ &= \text{Tr} \left[ U(\mathbf{r}_\gamma(t)) \hat{K}_\gamma^{\text{new}}(t) U^\dagger(\mathbf{r}_\gamma(0)) \right]^2 \\ &\neq \text{Tr} \left[ \hat{K}_\gamma^{\text{new}}(t) \right]^2 \equiv \mathcal{M}_{\text{new}}(t)\end{aligned}$$

In the limit  $\frac{L_b}{L_R}, \left(\frac{L_b}{L_R}\right)^4 \frac{L}{L_b} \ll 1$

$$\begin{aligned}\bar{\mathcal{M}}(L) &\simeq 2 - \left(\frac{2\pi}{L_R}\right)^2 \langle (\mathbf{r}(L) - \mathbf{r}(0))^2 \rangle \\ &\quad - 2\beta^2 \left(\frac{L_b}{L_R}\right)^4 \frac{L}{L_b} + \mathcal{O} \left[ \left(\frac{L_b}{L_R}\right)^4, \left(\frac{L_b}{L_R}\right)^6 \frac{L}{L_b} \right]\end{aligned}$$