

SMR.1587 - 10

*SCHOOL AND WORKSHOP ON
QUANTUM ENTANGLEMENT, DECOHERENCE, INFORMATION, AND
GEOMETRICAL PHASES IN COMPLEX SYSTEMS
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Off-diagonal geometric phases

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These are preliminary lecture notes, intended only for distribution to participants

Off-diagonal geometric phases

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Phys. Rev. Lett. **85**, 1585 (2000)

→ Phys. Rev. Lett. **85**, 3067 (2000) ←

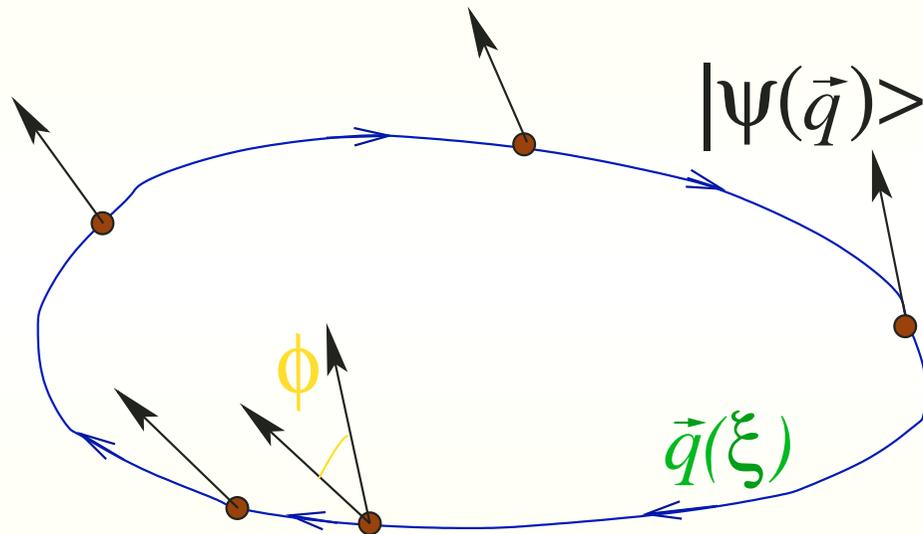
Phys. Rev. A **65**, 052111 (2002)

OUTLINE

- Parallel transport & geometric phases
- **Off-diagonal** phases
 - Definition
 - Generalizations
 - Applications
 - Further work
 - Examples
- **Experimental evidence**
 - Neutron spin interferometry
 - Conical intersections in “quantum billiards”
- Conclusions

Parallel transport and **geometric phase**

A vector field $|\psi\rangle$ depending on a multidimensional parameter \vec{q}



$$\text{ex.: } H_{\vec{q}} |\psi^j(\vec{q})\rangle = E^j(\vec{q}) |\psi^j(\vec{q})\rangle$$

$|\psi(\vec{q})\rangle$ is parallel-transported along a path $\vec{q}(\xi)$ if $\langle \psi(\vec{q}(\xi)) | \frac{d}{d\xi} |\psi(\vec{q}(\xi))\rangle = 0$

$|\psi(\vec{q})\rangle$ acquires a **geometric phase factor** $\langle \psi(\vec{q}_{\text{in}}) | \psi(\vec{q}_{\text{fin}}) \rangle / |\langle \psi(\vec{q}_{\text{in}}) | \psi(\vec{q}_{\text{fin}}) \rangle|$

Original formulation [Berry 1984]

The path $\vec{q} = \vec{q}(s)$ is time-parameterized and closes to an adiabatic loop.

The vectors involved are *single-valued* eigenstates of $H_{\vec{q}} |\psi_{\vec{q}}^j\rangle = E^j(q) |\psi_{\vec{q}}^j\rangle$.

The Berry phase associated to the loop is

$$\phi_j = \int_{s_{\text{in}}}^{s_{\text{fin}}} i \langle \psi^j(\vec{q}) | \nabla_{\vec{q}} \psi^j(\vec{q}) \rangle \cdot \dot{\vec{q}} ds = \int_{\Gamma} i \langle \psi^j(\vec{q}) | \nabla_{\vec{q}} \psi^j(\vec{q}) \rangle \cdot d\vec{q}$$

If $|\psi_{\vec{q}}^j\rangle$ is parallel transported then $\phi_j = 0$, but then generally $|\psi_{\vec{q}}^j\rangle$ is not single valued, and the BP is precisely $\phi_j = \text{Im} \log \langle \psi(\vec{q}_{\text{in}}) | \psi(\vec{q}_{\text{fin}}) \rangle$

The circuit integral of the 1-form (connection) can be recast into a surface integral of the 2-form (curvature) [Simon 1983]:

$$\phi_j = -\text{Im} \int_{S(\Gamma)} \langle \nabla_{\vec{q}} \psi^j(\vec{q}) | \wedge | \nabla_{\vec{q}} \psi^j(\vec{q}) \rangle \cdot dS = \int_{S(\Gamma)} -\text{Im} \sum_{a < b} \langle \partial_{q_a} \psi^j | \partial_{q_b} \psi^j \rangle dq_a \wedge dq_b$$

Formulation in terms of Bargmann invariants

[Simon Mukunda 1993]

The continuous adiabatic evolution could be replaced by a discrete sequence of nonorthogonal states.

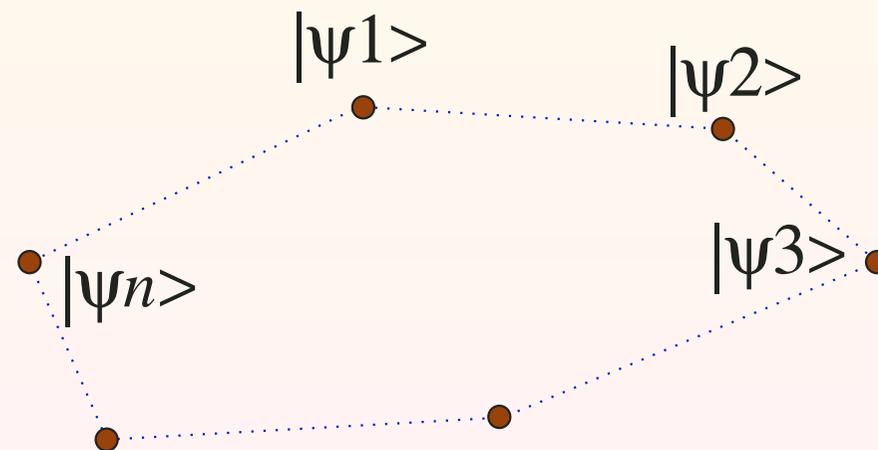
The evolution $|\psi_k\rangle \longrightarrow |\psi_{k+1}\rangle$ need not even be unitary.

The geometric phase factor associated to this sequence of n states is:

$$e^{i\phi} = \gamma = \Phi(\langle\psi_1|\psi_2\rangle\langle\psi_2|\psi_3\rangle\dots\langle\psi_{n-1}|\psi_n\rangle\langle\psi_n|\psi_1\rangle)$$

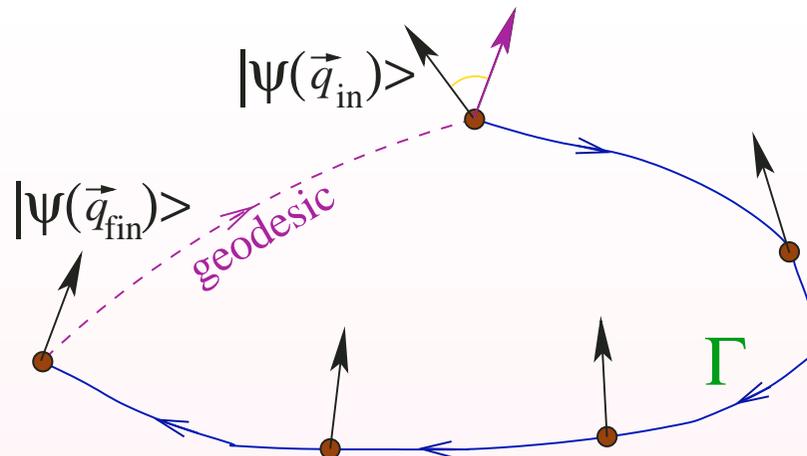
with $\Phi(z) = z/|z|$
for complex $z \neq 0$.

Phase tracking algorithms



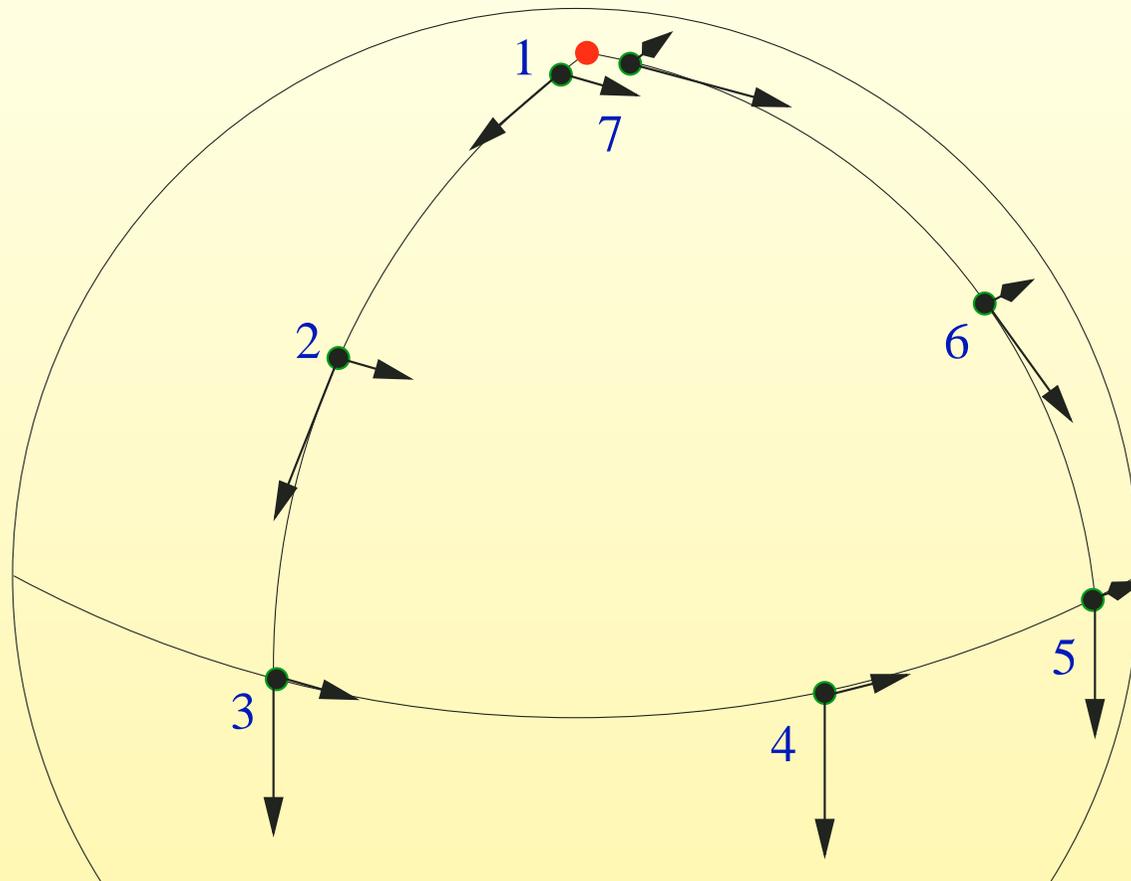
Extensions

- The single-state $|\psi^j\rangle$ may be replaced by a degenerate n -dimensional space: the “phase” relation becomes a whole unitary matrix in $SU(n)$, an element of a **non abelian** group [Wilczek Zee 1984].
- The path Γ need not be closed (Pancharathnam 1956).



the open-path phase can be reduced to a closed-path phase by closing it with a geodesic [Samuel Bhandari 1988] provided that $\langle \psi(\vec{q}_{\text{in}}) | \psi(\vec{q}_{\text{fin}}) \rangle \neq 0$

What about the relative phases of several vectors $|\psi_1(\vec{q})\rangle, |\psi_2(\vec{q})\rangle, \dots$ in a **nondegenerate** context? Anything measurable there?



Another generalization!?!

Take states $|\psi_j^\parallel(\vec{q})\rangle$ parallel-transported from \vec{q}_{in} to \vec{q}_{fin} along path Γ : their Berry-Pancharatnam phase factor are

$$e^{i\phi_j^\Gamma} = \gamma_j^\Gamma \equiv \Phi\left(\langle\psi_j^\parallel(\vec{q}_{\text{in}})|\psi_j^\parallel(\vec{q}_{\text{fin}})\rangle\right) \quad \text{with } \Phi(z) = z/|z|$$

For n states, consider the **parallel-evolution matrix**

$$U_{jk}^\Gamma = \langle\psi_j^\parallel(\vec{q}_{\text{in}})|\psi_k^\parallel(\vec{q}_{\text{fin}})\rangle, \quad \begin{pmatrix} U_{11}^\Gamma & U_{12}^\Gamma & \cdots \\ U_{21}^\Gamma & U_{22}^\Gamma & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix}$$

the traditional Berry phase factor is just the diagonal element $\gamma_j^\Gamma \equiv \Phi(U_{jj}^\Gamma)$.

This is all there for **cyclic** evolutions (matrix U^Γ is diagonal).

What about the information contents of the **off-diagonal** elements U_{jk}^Γ ?

Is the phase factor $\sigma_{jk}^\Gamma \equiv \Phi(U_{jk}^\Gamma) = \Phi(\langle \psi_j^\parallel(\vec{q}_{\text{in}}) | \psi_k^\parallel(\vec{q}_{\text{fin}}) \rangle)$ measurable?

NO!

It depends on arbitrary choices of the initial phases of two different eigenstates $|\psi_j^\parallel(\vec{q}_{\text{in}})\rangle$ and $|\psi_k^\parallel(\vec{q}_{\text{in}})\rangle$.

σ_{jk}^Γ is **not gauge-invariant** \longrightarrow it is arbitrary, thus non-measurable.



Idea: combine two σ 's in the product:

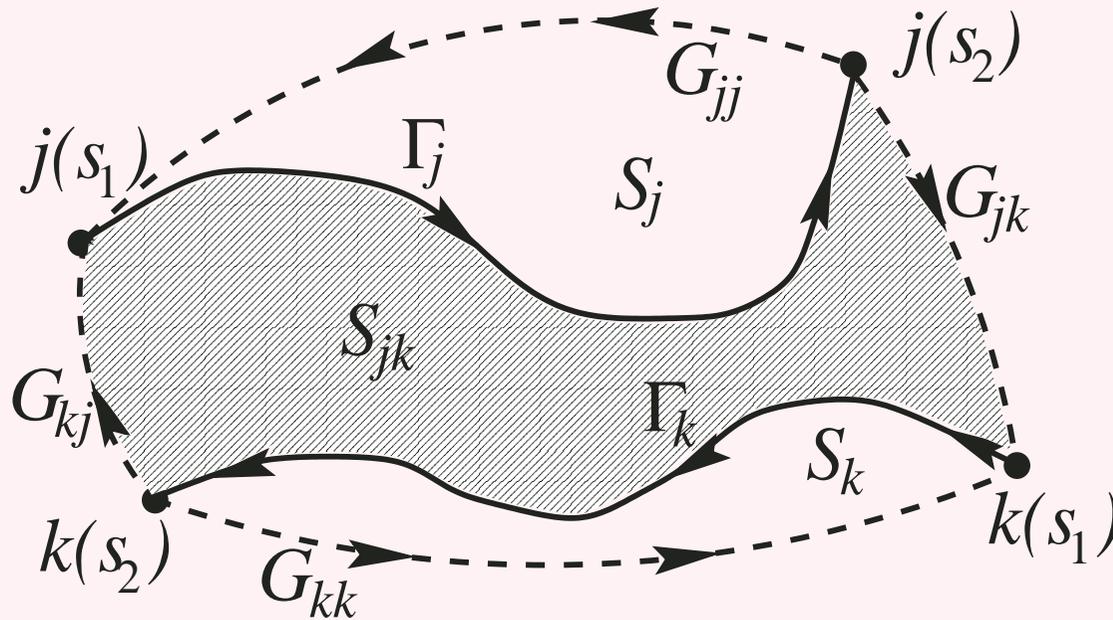
$$\gamma_{jk}^\Gamma = \sigma_{jk}^\Gamma \sigma_{kj}^\Gamma = \Phi(\langle \psi_j^\parallel(\vec{q}_{\text{in}}) | \psi_k^\parallel(\vec{q}_{\text{fin}}) \rangle \langle \psi_k^\parallel(\vec{q}_{\text{in}}) | \psi_j^\parallel(\vec{q}_{\text{fin}}) \rangle)$$

γ_{jk}^Γ is clearly gauge invariant.

MAIN FINDING:

γ_{jk}^Γ is a measurable geometric quantity!

Geometric interpretation [in projective Hilbert space]



dashed curves
 G = geodesics

$$\gamma_j = \exp \left(-i \operatorname{Im} \iint_{S_j} dS \langle \nabla_1 \psi_j | \times | \nabla_2 \psi_j \rangle \right) \quad (\text{diagonal})$$

$$\gamma_{jk} = \exp \left(-i \operatorname{Im} \iint_{S_{jk}} dS \langle \nabla_1 \psi_j | \times | \nabla_2 \psi_j \rangle \right) \quad (\text{off-diagonal})$$

Like standard single-state open-path geometric phase is reduced to a loop with the help of geodesics

More measurable phases, general expression

$$\gamma_{j_1 j_2 j_3 \dots j_l}^{(l)\Gamma} = \sigma_{j_1 j_2}^\Gamma \sigma_{j_2 j_3}^\Gamma \cdots \sigma_{j_{l-1} j_l}^\Gamma \sigma_{j_l j_1}^\Gamma$$

$l = 1$: one-state “diagonal” phase

$l = 2$: two-states off-diagonal as above $\sigma_{j_1 j_2} \sigma_{j_2 j_1}$

$l > 2$: more intricate phase relations among off-diagonal components

Notes:

- any cyclic permutation of the indexes $j_1 j_2 j_3 \dots j_l$ is immaterial
- if one index is repeated, the associated $\gamma^{(l)}$ can be decomposed into a product $\gamma^{(l')} \gamma^{(l-l')} \longrightarrow l \leq n$
- n^2 real numbers fix the unitary matrix U^Γ : only a finite number of $\gamma^{(l)}$'s are algebraically independent

Crucial example: Permutational case

$$\begin{cases} H(\vec{q}_1^P) &= \sum_j E_j |\psi_j\rangle\langle\psi_j| \\ H(\vec{q}_2^P) &= \sum_j E'_j |\psi_{P_j}\rangle\langle\psi_{P_j}| \end{cases}$$

P = permutation of the n eigenstates

The only meaningful σ_{jk}^Γ 's are the n phase factors $\sigma_{j P_j}^\Gamma$.

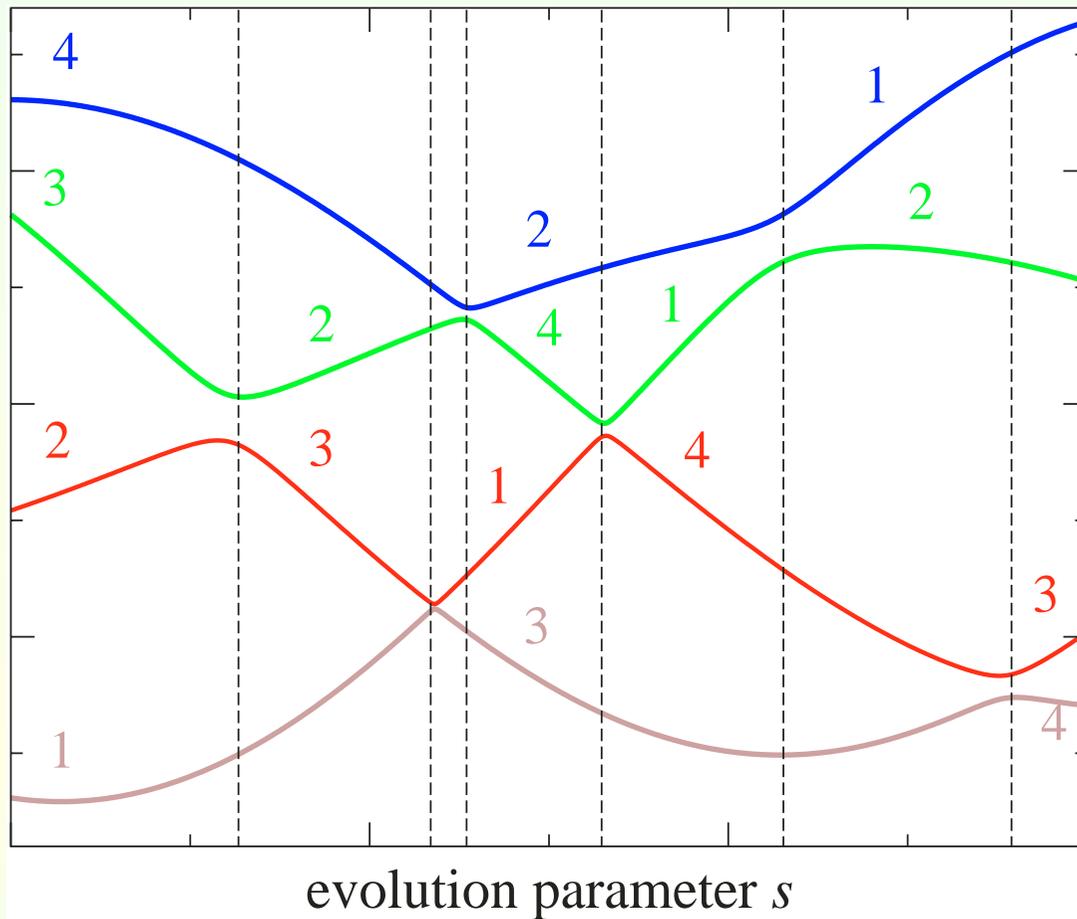
For example:

$$P_1 = 2; P_2 = 3; P_3 = 1 \quad \longrightarrow \quad U^\Gamma = \begin{pmatrix} 0 & e^{i\alpha_1} & 0 \\ 0 & 0 & e^{i\alpha_2} \\ e^{i\alpha_3} & 0 & 0 \end{pmatrix}$$

Only well-defined $\gamma^{(l)}$: $\gamma_{123}^{(3)} = \sigma_{12}\sigma_{23}\sigma_{31} = e^{i(\alpha_1+\alpha_2+\alpha_3)}$

n	P	geometric phase factors	condition $\det U^\Gamma = 1$	# of Re cases
1	1	γ_1	$\gamma_1 = 1$	1
2	1 2	$\gamma_1 \gamma_2$	$\gamma_1 \gamma_2 = 1$	2
	2 1	γ_{12}	$\gamma_{12} = -1$	1
3	1 2 3	$\gamma_1 \gamma_2 \gamma_3$	$\gamma_1 \gamma_2 \gamma_3 = 1$	4
	2 1 3	$\gamma_{12} \gamma_3$	$\gamma_{12} \gamma_3 = -1$	2
	3 2 1	$\gamma_{13} \gamma_2$	$\gamma_{13} \gamma_2 = -1$	2
	1 3 2	$\gamma_{23} \gamma_1$	$\gamma_{23} \gamma_1 = -1$	2
	2 3 1	γ_{123}	$\gamma_{123} = 1$	1
	3 1 2	γ_{132}	$\gamma_{132} = 1$	1
4	1 2 3 4	$\gamma_1 \gamma_2 \gamma_3 \gamma_4$	$\gamma_1 \gamma_2 \gamma_3 \gamma_4 = 1$	8
	2 1 3 4	$\gamma_{12} \gamma_3 \gamma_4$	$\gamma_{12} \gamma_3 \gamma_4 = -1$	4
			
	2 3 4 1	γ_{1234}	$\gamma_{1234} = -1$	1
			

Application 1: Approximate permutational case



$$U^\Gamma \simeq \begin{pmatrix} \epsilon_{11} & \epsilon_{12} & \epsilon_{13} & e^{i\alpha_1} \\ \epsilon_{21} & \epsilon_{22} & e^{i\alpha_2} & \epsilon_{24} \\ \epsilon_{31} & e^{i\alpha_3} & \epsilon_{32} & \epsilon_{34} \\ e^{i\alpha_4} & \epsilon_{41} & \epsilon_{42} & \epsilon_{44} \end{pmatrix}$$

Application 2: two-state system (qubit)

$$U = \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix} = \begin{pmatrix} e^{i\beta} \cos \alpha & e^{i\chi} \sin \alpha \\ -e^{-i\chi} \sin \alpha & e^{-i\beta} \cos \alpha \end{pmatrix}$$

Thus:

$$\gamma_1 = \Phi(U_{11}) = \text{sgn}(\cos \alpha) e^{i\beta} \qquad \gamma_2 = \Phi(U_{22}) = \text{sgn}(\cos \alpha) e^{-i\beta}$$

$$\gamma_{12} = \Phi(U_{12}U_{21}) = -\text{sgn}(\sin^2 \alpha) e^{i\chi} e^{-i\chi} = -1$$

“trivial” case, like diagonal phase of single state

Application 3: $H(\vec{q}_2) \longrightarrow -H(\vec{q}_1)$

A special permutational case:

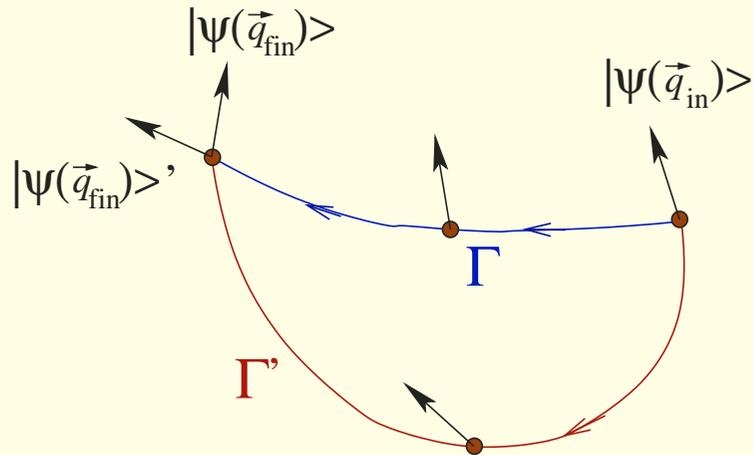
$$U = \begin{pmatrix} 0 & 0 & 0 & 0 & e^{i\alpha_1} \\ 0 & 0 & 0 & e^{i\alpha_2} & 0 \\ 0 & 0 & e^{i\alpha_3} & 0 & 0 \\ 0 & e^{i\alpha_4} & 0 & 0 & 0 \\ e^{i\alpha_5} & 0 & 0 & 0 & 0 \end{pmatrix}$$

Exact because of symmetry (ex. spin systems, $\vec{q} = \vec{B}$)

Approximate in perturbative expansion $H(\vec{q}) = \vec{q} \cdot H^{(1)} + \dots$ when for $\vec{q} = 0$
 n states are degenerate (ex. quantum billiards...)

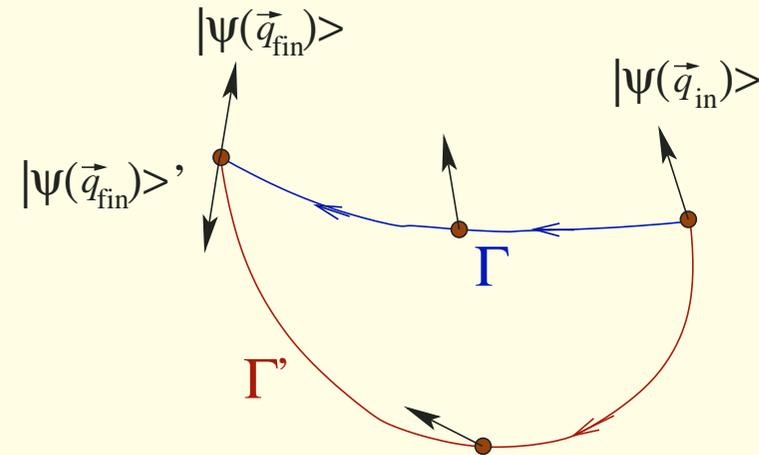
Comparison with nonabelian phases

Nonabelian



n vectors remain *degenerate* along the evolution. The states can recombine within the n -dimensional subspace. Following a different path Γ' from \vec{q}_{in} to \vec{q}_{fin} one obtains a different mix of the final states \vec{q}_{fin} : a completely different $U_{jk}^\Gamma = \langle \psi_j^\parallel(\vec{q}_{in}) | \psi_k^\parallel(\vec{q}_{fin}) \rangle$ could be realized (invariance group $SU(n)$).

Abelian



nondegenerate evolution. The final states $|\psi_k^\parallel(\vec{q}_{fin})\rangle$ are fixed **up to a phase** for any path leading to $\vec{q}_{fin} \rightarrow U^\Gamma$ is essentially fixed, except for some phase information captured by the diagonal and off-diagonal phases $\gamma_{j_1 j_2 j_3 \dots j_l}^{(l)\Gamma}$. Invariance group: $U(1) \times U(1) \times U(1) \times U(1) \times \dots$.

Further theoretical work

- **Relation with Bargmann invariants** [Mukunda *et al.*, PRA 2001]:

The structure of $\gamma_{j_1 j_2 j_3 \dots j_l}^{(l)\Gamma} = \Phi(\langle \psi_{j_1}^{\text{in}} | \psi_{j_2}^{\text{fin}} \rangle \langle \psi_{j_2}^{\text{in}} | \psi_{j_3}^{\text{fin}} \rangle \dots \langle \psi_{j_l}^{\text{in}} | \psi_{j_1}^{\text{fin}} \rangle)$ is that of a Bargmann invariant!

All off-diag phases can be expressed in terms of the 4-vertex invariants

$\Delta_{jk} = \langle \psi_j^{\text{in}} | \psi_k^{\text{fin}} \rangle \langle \psi_k^{\text{in}} | \psi_k^{\text{fin}} \rangle \langle \psi_k^{\text{in}} | \psi_j^{\text{fin}} \rangle \langle \psi_j^{\text{in}} | \psi_j^{\text{fin}} \rangle$ + the diagonal phases.

Only $j < k < n$ needed $\longrightarrow \frac{1}{2}(n-1)(n-2)$ independent off-diag phases.

- **Generalization to mixed states** [Filipp Siöqvist PRL 2003] Define an density matrix ρ^\perp as orthogonal as possible to ρ . The corresponding off-diagonal phase factor is $\gamma_{\rho\rho^\perp} = \Phi[\text{Tr}(U^\parallel \sqrt{\rho} U^\parallel \sqrt{\rho^\perp})]$ and similar definition for $\gamma^{(l)}$

EXPERIMENTAL EVIDENCE 1 – neutron spin

2-state system: the off-diagonal phase factor $\gamma_{12} \equiv e^{i\pi} = -1$ is trivial.

Interferometry: split a beam and insert a controlled phase χ , recombine the beam $|\psi\rangle = e^{i\chi} |\psi_I\rangle + |\psi_{II}\rangle$, producing an intensity:

$$I = \langle\psi|\psi\rangle = \langle\psi_I|\psi_I\rangle + \langle\psi_{II}|\psi_{II}\rangle + 2|\langle\psi_I|\psi_{II}\rangle| \cos(\chi - \phi)$$

The offset of the oscillation measures the phase ϕ in $e^{i\phi} = \Phi(\langle\psi_I||\psi_{II}\rangle)$

Start with a pure spinor state

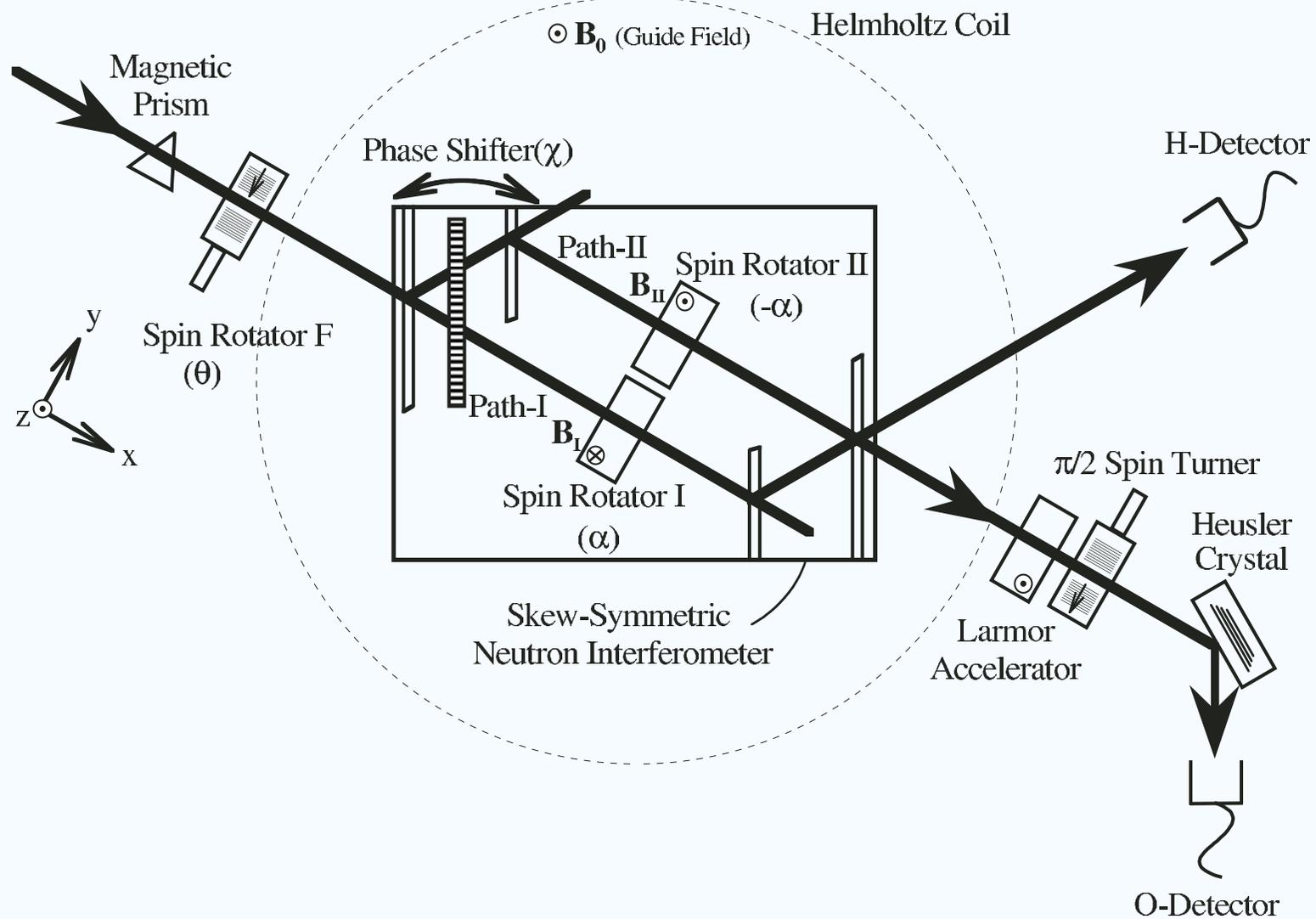
$$|\psi^+\rangle = \begin{pmatrix} \cos(\theta/2) \\ \sin(\theta/2) \end{pmatrix} \rightarrow U\text{-evolve} \rightarrow \text{compare with } |\psi^-\rangle = \begin{pmatrix} -\sin(\theta/2) \\ \cos(\theta/2) \end{pmatrix}$$

Trick: take $|\psi_I\rangle = |\psi^-\rangle\langle\psi^-|U^{-1}|\psi^+\rangle$ and $|\psi_{II}\rangle = |\psi^-\rangle\langle\psi^-|U|\psi^+\rangle$, with $U = \alpha$ -rotation along \hat{z} .

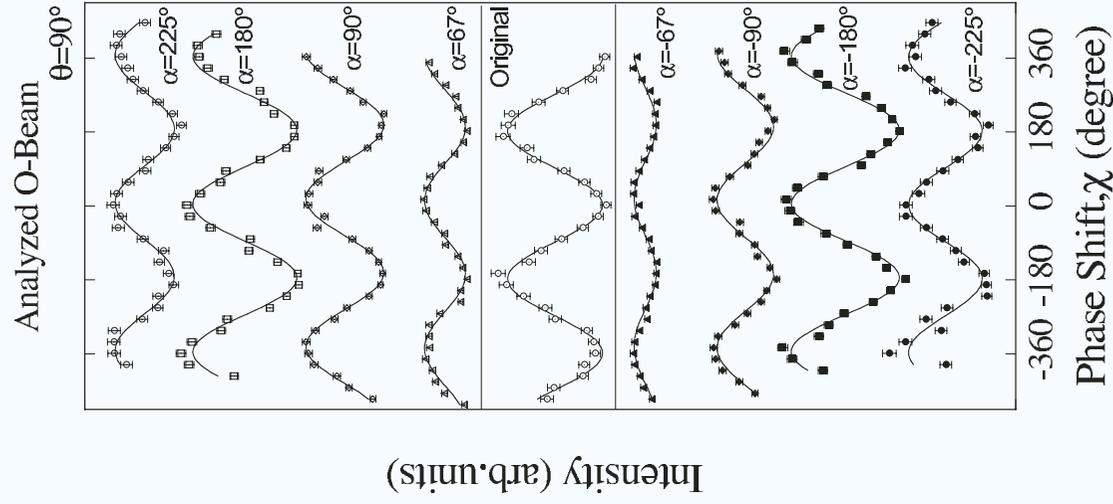
$$\text{Result: } I = 2 \sin^2(\theta) \sin^2(\alpha/2) [1 + \cos(\chi - \pi)]$$

The off-diagonal phase of $\gamma_{12} = \pi$ should appear as complete anti-phase of the recombined intensity I , independent of α -rotation.

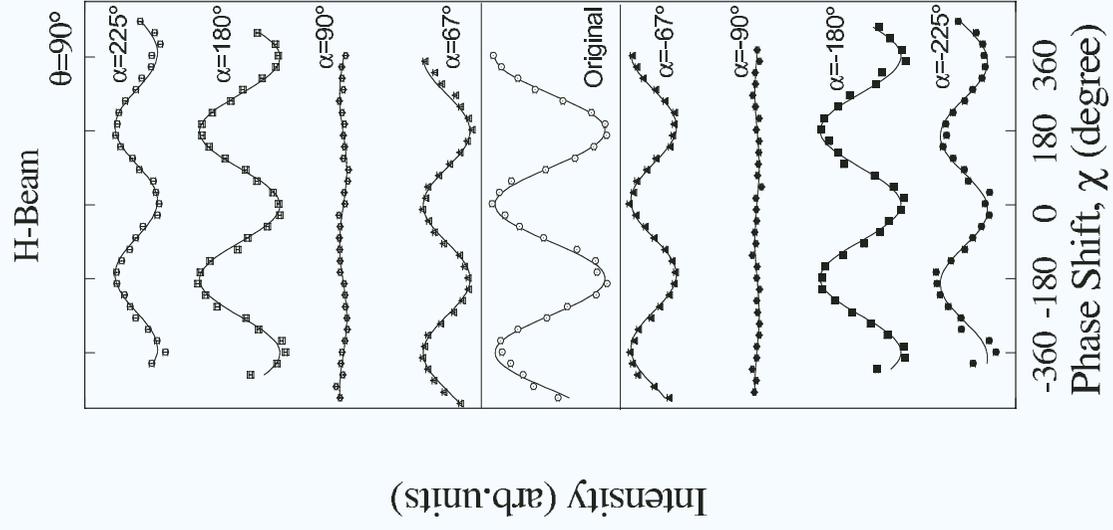
The setup for neutron interferometry



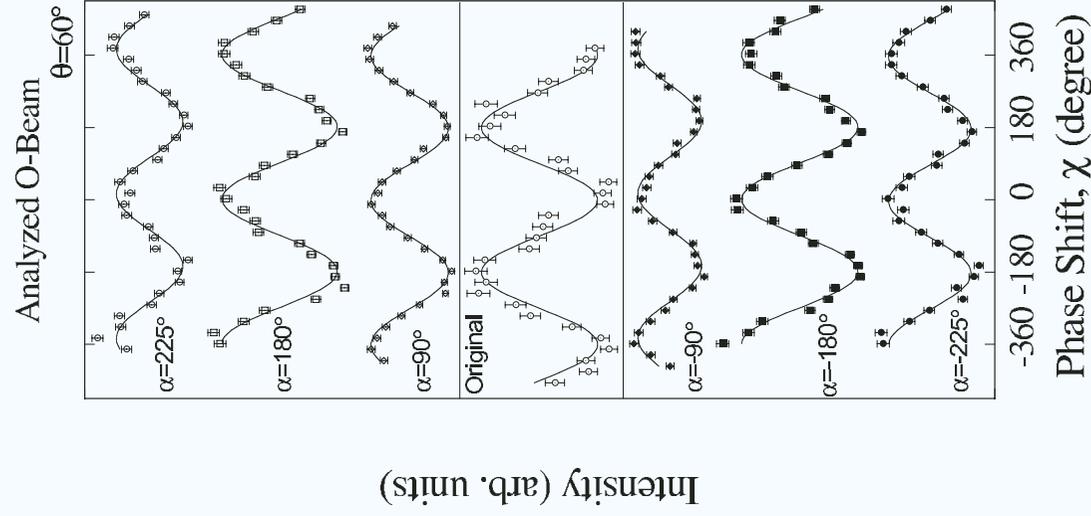
(a)



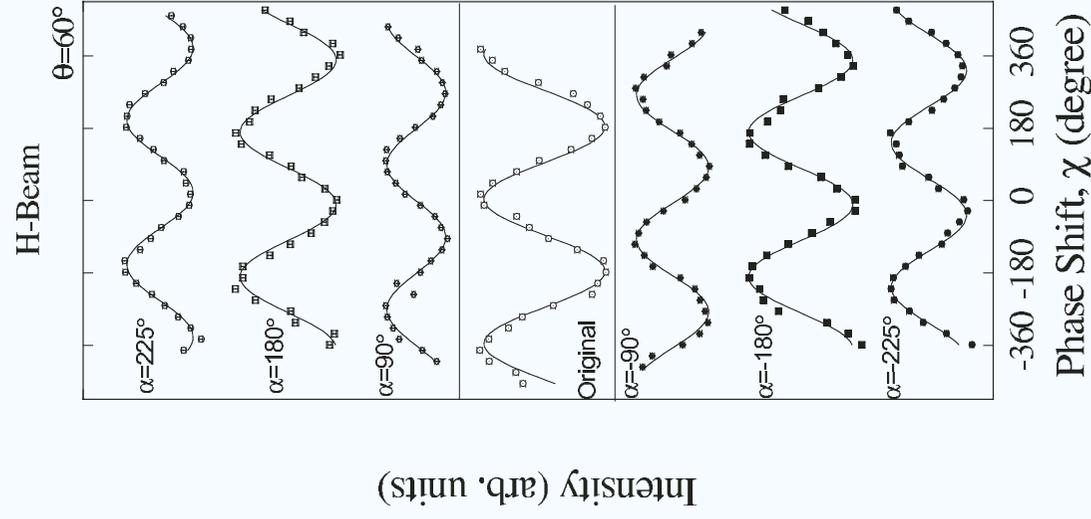
(b)



(a)

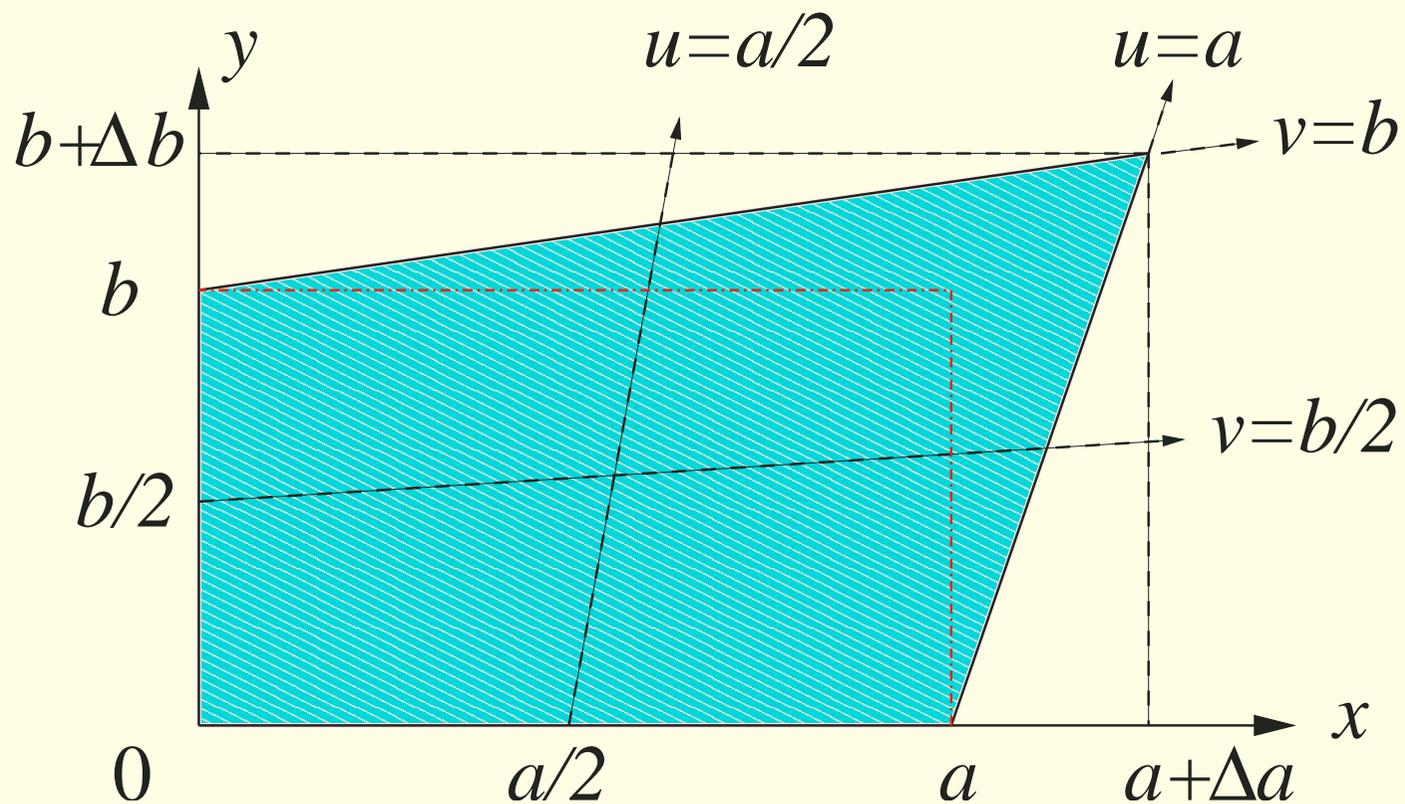


(b)



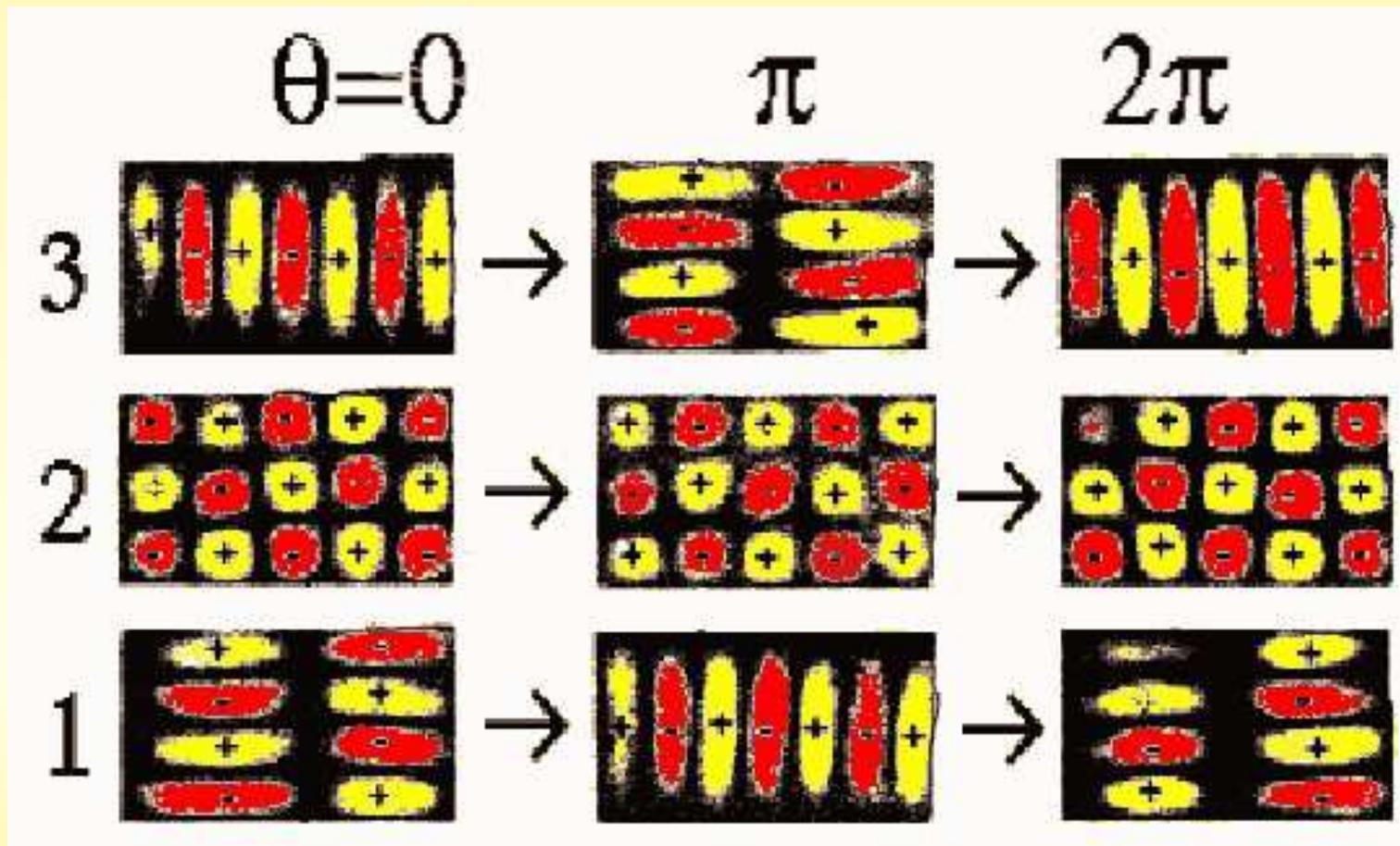
EXPERIMENTAL EVIDENCE 2 – quantum billiard

2D deformable rectangular microwave cavity

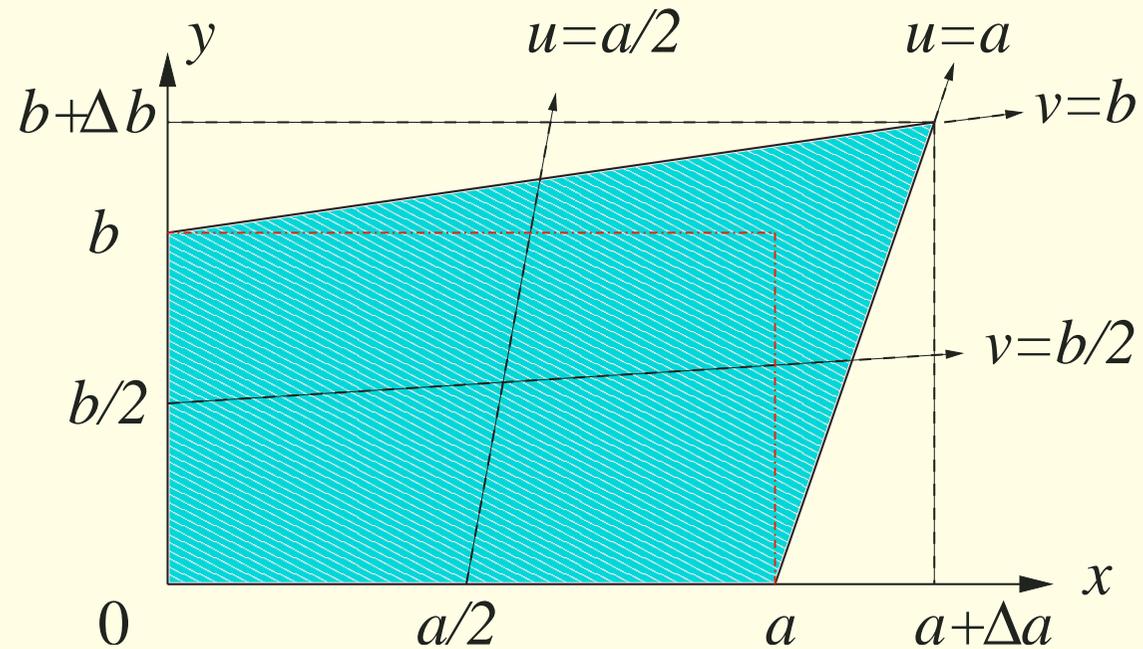


[Lauber Wiedenhammer Dubbers PRL 1990]

Parallel transport in quantum billiard: follow nodal structure adiabatically along the distortion path, and keep phase **real**.
Open-path result: at $\theta = \pi$, $\psi_1 \longleftrightarrow \psi_3$, state 2 changes sign.



Coordinate transformation for the deformed domain



$$x = u \left(1 + v \frac{\Delta a}{ab} \right)$$

$$y = v \left(1 + u \frac{\Delta b}{ab} \right)$$

rectangular domain for u and v

$$0 \leq u \leq a \quad 0 \leq v \leq b$$

Laplace operator in (u, v) coordinates

$$\nabla^2 = \partial_x^2 + \partial_y^2 \longrightarrow \nabla^2 = \overline{(\partial_u, \partial_v)} \begin{pmatrix} A & B \\ B & C \end{pmatrix} \begin{pmatrix} \partial_u \\ \partial_v \end{pmatrix} + D$$

where A, B, C, D are complicate functions of $u, v, a, b, \Delta a, \Delta b$

[see D.E. Manolopoulos and M.S. Child, Phys. Rev. Lett. **82**, 2223 (1999)]

Approximate treatment:

degenerate perturbation theory in $\vec{q} = (\Delta a, \Delta b) = q(\cos \theta, \sin \theta)$:

$$H(\vec{q}) = -\text{Laplacian} = H^{(0)} + q H^{(1)}(\theta) + q^2 H^{(2)}(\theta) + \dots$$

unperturbed basis: $\psi_{(n_x, n_y)}(u, v) = \frac{2}{\sqrt{ab}} \sin\left(\frac{n_x u}{a}\right) \sin\left(\frac{n_y v}{b}\right)$

Interesting case: degenerate multiplets

example: if $a/b = \sqrt{3}$ “geometrical degeneracies” appear, for $(n_x, n_y) = (2, 4), (5, 3),$ and $(7, 1)$:

$$H^{(0)} \rightarrow \text{const} = 52\pi^2/3$$

$$H^{(1)} \rightarrow \text{a } 3 \times 3 \text{ matrix} = \cos \theta F + \sin \theta F'$$

$$H^{(2)} \rightarrow \langle \psi_i | H^{(2)} | \psi_j \rangle + \sum_{k \neq 1, 2, 3} \frac{\langle \psi_i | H^{(1)} | \psi_k \rangle \langle \psi_k | H^{(1)} | \psi_j \rangle}{E_i - E_k}$$

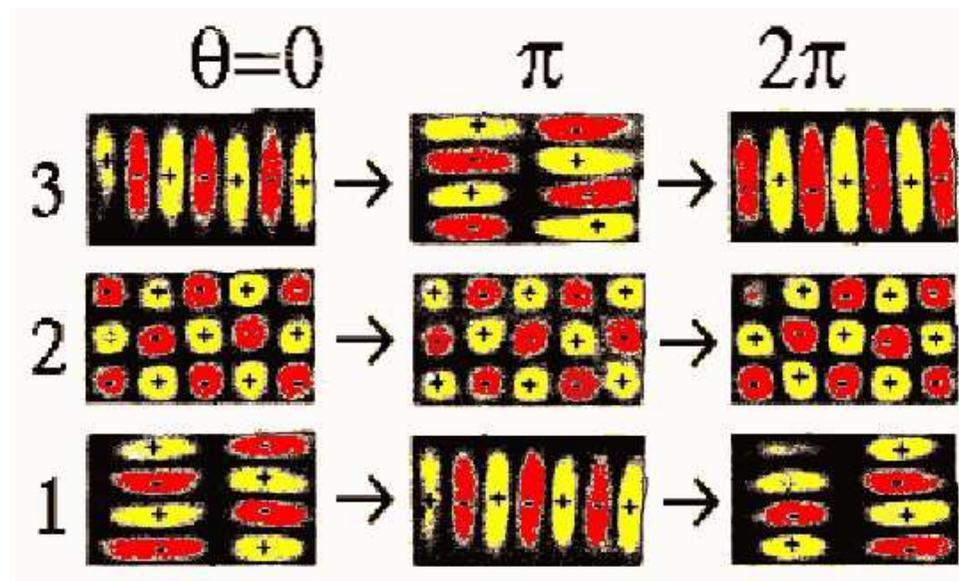
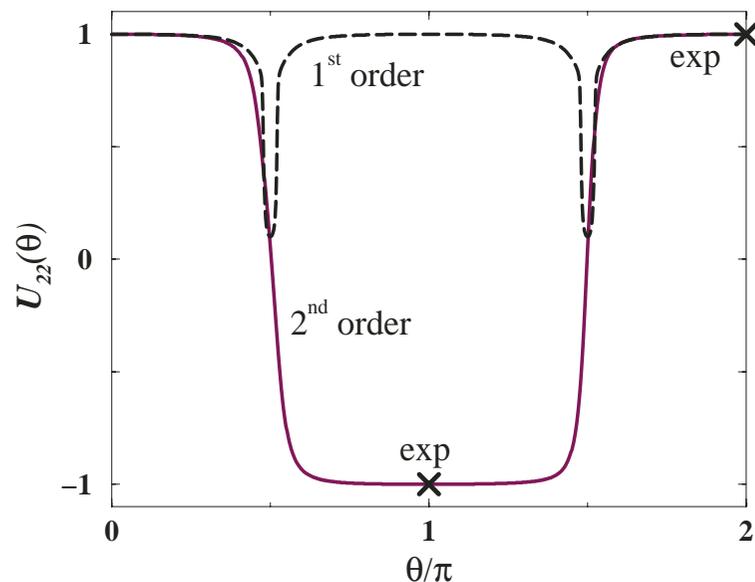
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⋮

Perturbation theory

vs.

Observed



for the path $\theta = 0 \longrightarrow \pi$

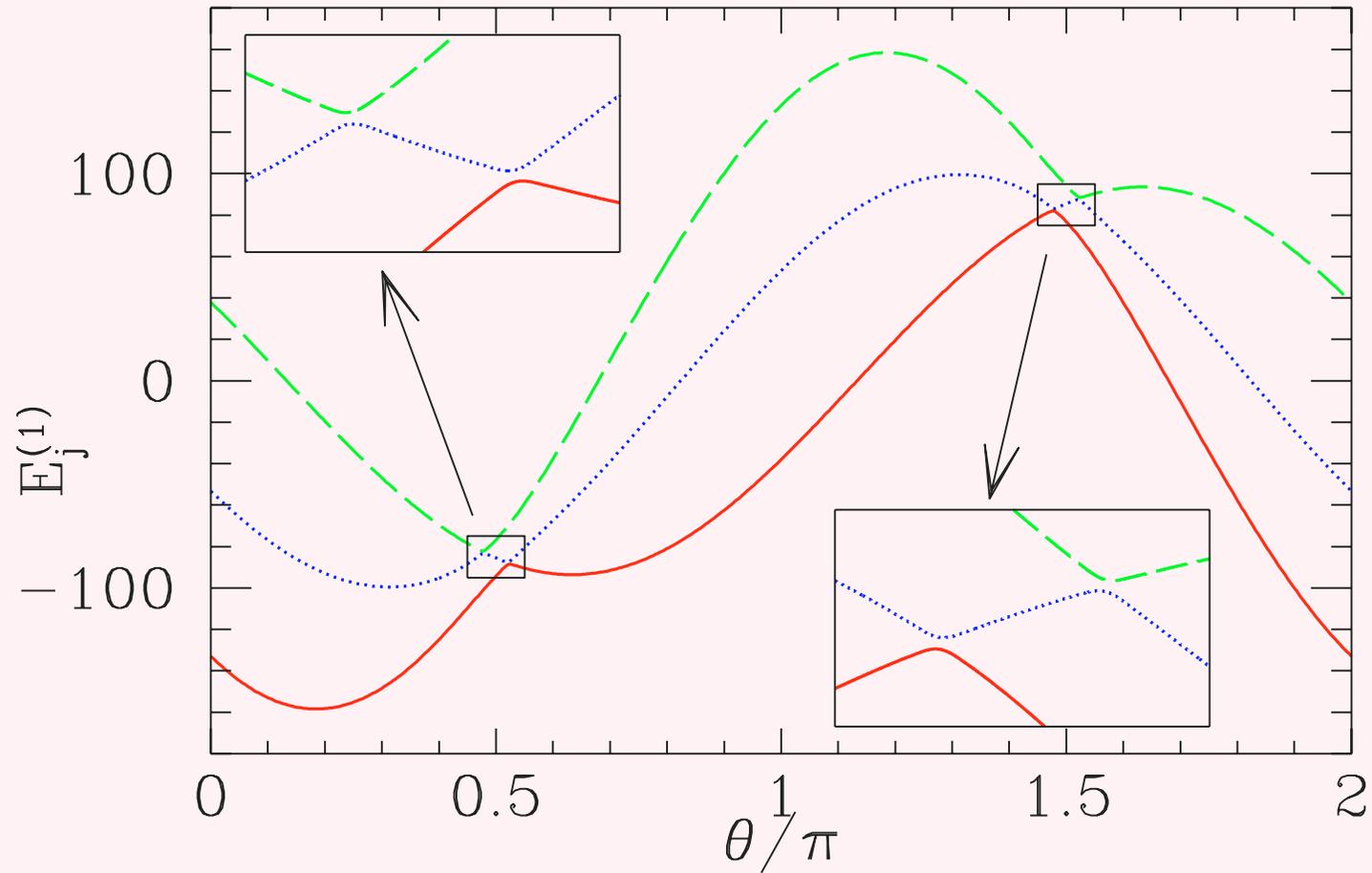
observed $\gamma_2 = -1$,

observed $\gamma_{13} = 1$,

while 1st order gives $\gamma_2 = 1$

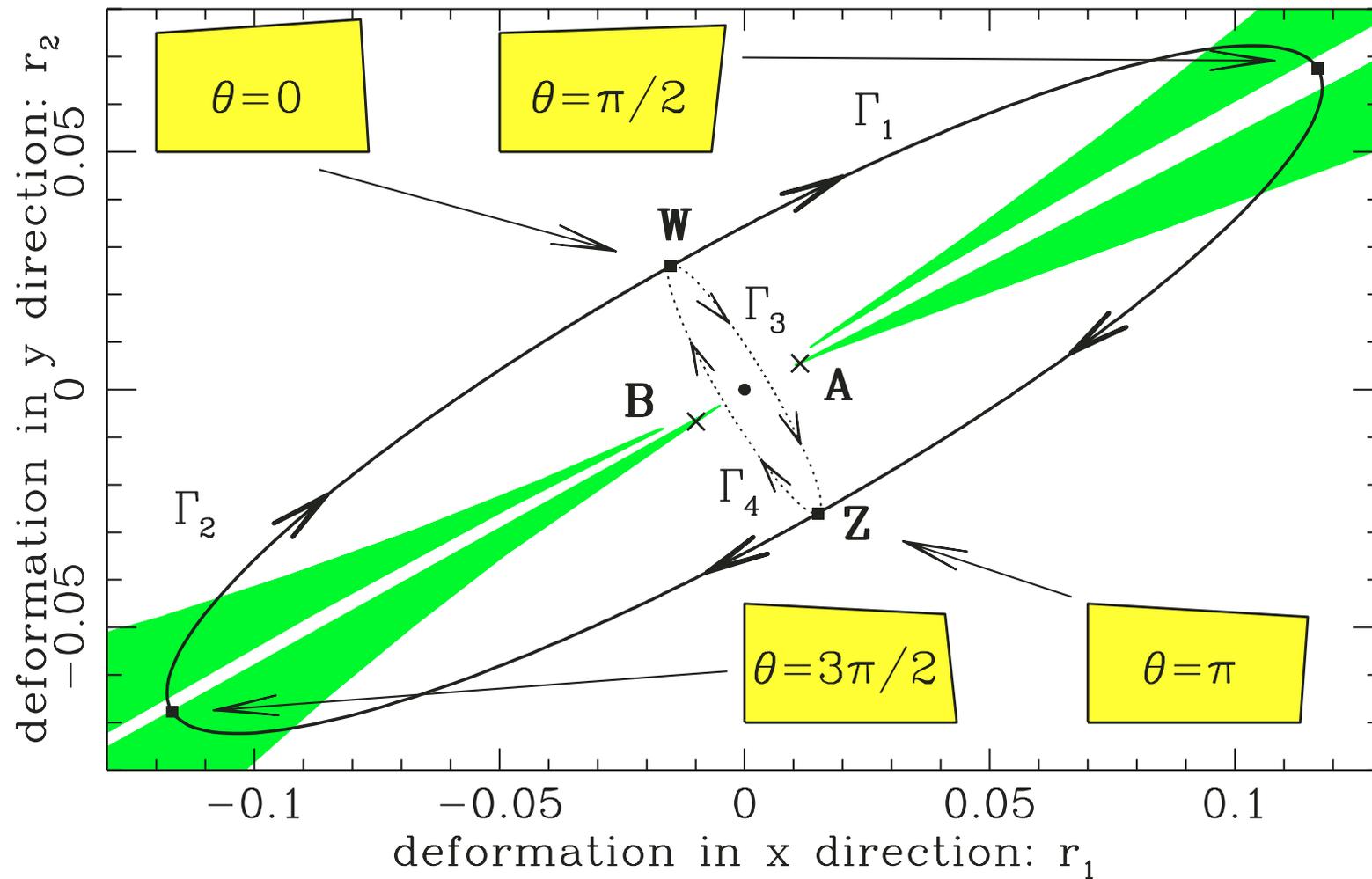
while 1st order gives $\gamma_{13} = -1$

Why?



eigenvalues of first-order term $H^{(1)}(\theta)$: almost degeneracies in 4 directions

First order fails completely in green region in figure



General observations on quantum billiard experiments

- Satellite degeneracies (degeneracies within the range of validity of perturbation theory, involving minor components on states outside the multiplet) do often appear
- Whenever in a degenerate multiplet one state is *near* some states [so that second-order coupling is large] for which selection rule $(-1)^{n_x+n'_x} = (-1)^{n_y+n'_y} = 1$ makes first-order coupling vanish, and at the same time it is far from all remaining states [so that $\Delta E^{(1)}$ is small], one is likely to find satellite degeneracies.
- Wide scope: Laplacian

SUMMARY

Off-diagonal geometric phases: [PRL 85, 3067 (2000)]

- only appear in open-path evolution
- complete the set of phase infos of diagonal phases
- in the case of permutations are the only available info
- seen in **neutron-spin interferometry** [PRA 65, 052111 (2002)]
 - trick of forward-backward evolution
 - trivial case: $\gamma_{12} \equiv -1$
- seen in “**quantum billiards**” [PRL 85, 1585 (2000)]
 - discovered previously overlooked *satellite* degeneracies
 - through higher-order expansion + exact numerical solution
- to be seen & used in **quantum computers** [??? ??, ??? (???)]

<http://www.mi.infm.it/manini>