

**SUMMER SCHOOL IN COSMOLOGY AND ASTROPARTICLE PHYSICS**

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**Non-perturbative phenomena in gauge theories (IV)**

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Please note: These are preliminary notes intended for internal distribution only.

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Baryon - number non-conservation  
in high-energy collisions.

Collision of two particles at  $E \gtrsim E_{\text{sph}}$ .

Energetically it is possible to overcome  
the barrier between neighboring vacua  
WITHOUT TUNNELING

Is this possible dynamically?

- Want to pass "near" sphaleron.  
Sphaleron: ~~thick~~ thick configuration,  
size  $r_{\text{sph}} \sim m_W^{-1}$

Wavelength of an incoming particle small:

$$\lambda \sim \frac{1}{E} \lesssim \frac{1}{E_{\text{sph}}} \sim \frac{\alpha_W}{m_W} \ll r_{\text{sph}}$$

Mismatch. Does it result in  
exponential suppression?

Also "technicality": semiclassical object, sphaleron;  
semiclassical transition process,

BUT two incoming particles ARE  
NOT semiclassical.

$$\boxed{\bar{W} - 2}$$

- Baryon-number violating cross section indeed grows with energy at low energies.

Perturbation theory about an instanton

- \* Forget about fermions (they are indeed subdominant).
- \* Omit all indices, etc.
- \* Consider process

~~WW to N W's~~

$$\begin{array}{ccc} WW & \longrightarrow & N \text{ W's} \\ \longrightarrow & & Q=1 \end{array}$$

from one vacuum to its neighbor.

The amplitude can be extracted from Green's function via LSZ procedure. Schematically

$$A(p_1, p_2 \rightarrow q_1, q_2, \dots, q_N) = (p_1^2 - m_W^2)(p_2^2 - m_W^2)(q_1^2 - m_W^2) \dots (q_N^2 - m_W^2) G(p_1, p_2, \dots, q_N)$$

ON SHELL

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Here

$$G(p_1, \dots, q_N) = \langle A(p_1) A(p_2) A(q_1) \dots A(q_N) \rangle$$

is the Green's function with  $(N+2)$  legs.

In other words, ~~amplitude~~ amplitude

is residue of  $G(p_1, \dots, q_N)$  at  
multiple pole  $p_1^2 = m_W^2, p_2^2 = m_W^2, \dots, q_N^2 = m_W^2$ .

Path integral for  $G(x_1, x_2; y_1, \dots, y_N)$

$$G = \int \mathcal{D}A \mathcal{D}\phi e^{-S[A, \phi]} A(x_1) A(x_2) A(y_1) \dots A(y_N)$$

NB: calculate Euclidean Green's function,  
then analytically continue to Minkowski.

Instanton = local minimum of  $S[A, \phi]$   
(modulo complications due to Higgs field)

Saddle-point calculation: take  $A(x_1) \dots A(y_N)$   
at saddle point.

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Instanton position  $X$  is arbitrary  $\Rightarrow$   
integrate ~~is~~ over  $X$

(ignore integration over instanton size for  
the sake of ~~precise~~ presentation).

$$\Downarrow$$
$$G^{inst}(x_1, x_2, \dots, y_N) = \int d^4 X A^{inst}(x_1 - X) A^{inst}(x_2 - X) \dots$$
$$\times A^{inst}(y_1 - X) \dots A^{inst}(y_N - X) \cdot \text{Det} \cdot e^{-S_{inst}}$$

Here  $S_{inst} = \frac{8\pi^2}{g^2} + \text{Higgs contribution}$

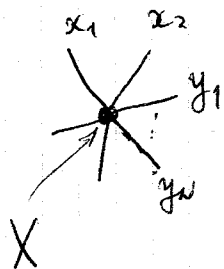
Det = integral over Gaussian fluctuations  
about instanton.

Immediate observation

$G$  has structure of point-like Green's  
function.

For point-like interaction  $\int \lambda A^{N+2}(X) d^4 X$

the Green's function (at tree level) is



$$\int d^4 X \lambda D_0(x_1 - X) D_0(x_2 - X) D_0(y_1 - X) \dots D_0(y_N - X)$$

Factorization up to integration over  
position of vertex (i.e. momentum  
conservation).

Compare with  $G^{inst}$

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Point-like interaction  $\Leftrightarrow$  ~~not~~ increasing cross-section.

\* Fourier transform  $A^{inst} \Rightarrow \tilde{A}^{inst}(p)$

\* Residue of  $\tilde{A}^{inst}(p)$  on shell

~~Res~~  $\text{Res } \tilde{A}^{inst}(p) \propto \vec{p}$

$\Downarrow$

Amplitude  $(2 \rightarrow N) = \text{const}(N) e^{-\frac{8\pi^2}{g^2}} |\vec{p}_1| |\vec{p}_2| |q_1| \dots |q_N|$

Point-like.

$\Downarrow$

Cross section, integrated over <sup>momenta of</sup>  $\sqrt{\text{final}}$  W's

~~$\sigma_{2 \rightarrow N}^{inst}(E) \propto e^{-\frac{16\pi^2}{g^2}} E^{4N}$~~

Increases fast with energy.

Inclusive instanton cross section (sum over  $N$ ) grows exponentially,

$\sigma_{tot}^{inst}(E) \propto e^{-\frac{16\pi^2}{g^2}} \cdot e^{\frac{16\pi^2}{g^2} \cdot \frac{g}{8} \left(\frac{E}{E_0}\right)^{4/3}}$

where  $E_0 = \sqrt{6} \frac{\pi M_W}{\alpha_W} \sim E_{sph}$ .

This is valid, only for  $E \ll E_0$ . Series in  $\left(\frac{E}{E_0}\right)^{2/3}$

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How to calculate  $\sigma^{inst}$  at  $E \gtrsim E_{sph}$ ?

-  $\sigma_{tot}^{inst}$  has semiclassically looking form

$$\sigma_{tot}^{inst} = e^{-\frac{16\pi^2}{g^2} F\left(\frac{E}{E_0}\right)} = e^{-\frac{4\pi}{\alpha_w} F\left(\frac{E}{E_0}\right)}$$

⌋ Perturbation theory about instanton:

$$F\left(\frac{E}{E_0}\right) = \left[ 1 - \frac{g}{8} \left(\frac{E}{E_0}\right)^{4/3} + \frac{g}{16} \left(\frac{E}{E_0}\right)^2 + \dots \right]$$

⌋

But incoming state is not semiclassical.

WAY TO DEAL WITH THIS:

- Consider initial state with number of particles  $N = \frac{\nu}{\alpha_w}$

At finite  $\nu$  it is semiclassical

$\nu \equiv \alpha_w N$ : free parameter as yet.

- Fix energy and number of incoming particles, sum over all such initial states, and sum over all final states

PROBLEM IS EXPLICITLY SEMICLASSICAL.

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Calculate, in semiclassical way, exponential factor

$$\sigma_{N \rightarrow ANY}^{inst} = ( ) e^{-\frac{4\pi}{\alpha w} F\left(\frac{E}{E_0}, \alpha w N\right)}$$

$\uparrow$   
 $v$

• Send  $v \equiv \alpha w N \rightarrow 0 \Rightarrow$  Get  $\sigma_{few \rightarrow ANY}^{inst} = ( ) e^{-\frac{4\pi}{\alpha w} F_{HG}^E}$

Claim: exponent for (few  $\rightarrow$  ~~any~~ ANY) is

a limit of exponent for multiparticle incoming state:

$$F_{HG}(E) = \lim_{v \equiv \alpha w N \rightarrow 0} F(E, v).$$

⊗ Procedure explicitly checked in quantum mechanics of two degrees of freedom.

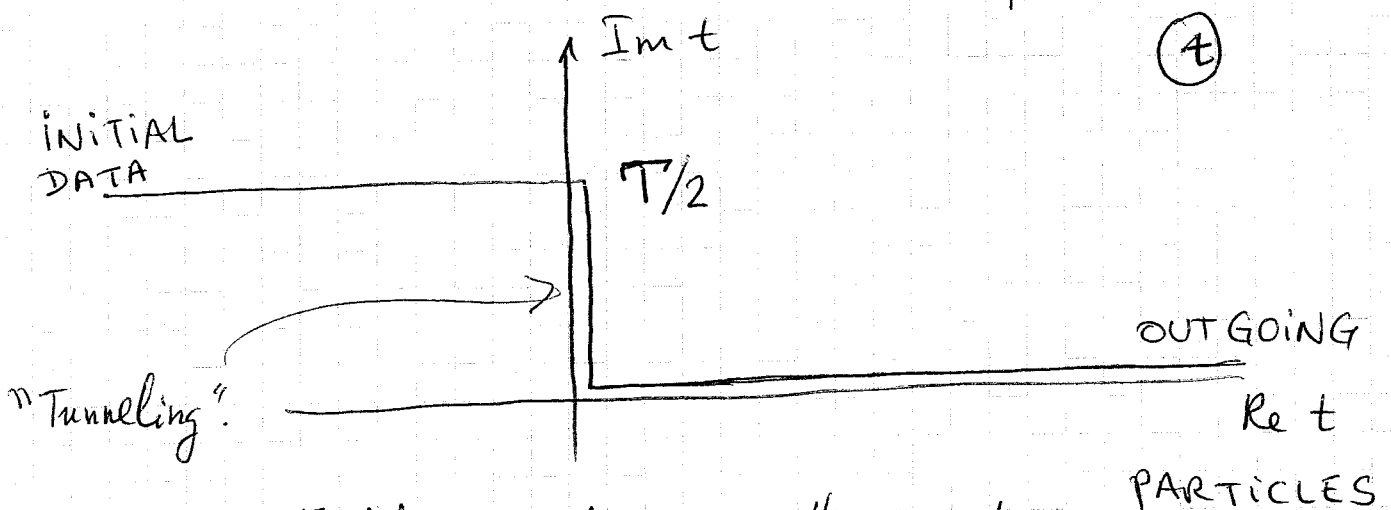
⊗ DIFFICULT BUT DUABLE Numerically in field theory (at least in  $O(3)$ -symmetric set up; s-wave scattering).



Ingredients of the calculation of  $F(E, N)$

in semiclassical manner:

\* Contour in time plane



\* Field equation on this contour

\* Boundary conditions:

- complex in the past
- Reality in the future

\* Solution is complexified!  
Every real field has to be treated as complex.

Still the problem is classical.

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Today: tractable in  $O(3)$ -symmetric case  
(s-wave scattering).

E.g. Higgs field

$$\phi = (\mu(r,t) + iv(r,t) \cdot \vec{r}\vec{n}) \begin{pmatrix} 0 \\ v \end{pmatrix}$$

Define  $\chi = (\mu + iv)(r,t)$

Phase of this field reflects topology.

Fig. 1.

Example of classical solution.

Imaginary time part of  
contour is inclined.

Fig. 2

Solutions at different  
energy (and hence  $T$ )  
and number of incoming  
particles

Fig. 3

Set of solutions in  $(E, N)$ -plane

New regime beyond the blue line:

Left of it: tunneling

Right: rearrangement, climb on the sphaleron.

~~that~~ Occurs in all systems studied so far (quantum mechanics, ~~facts~~ induced false vacuum decay, soliton pair creation by highly energetic particles).

Fig. 4.

Extrapolation down to  $N\alpha_w \rightarrow 0$ .

NB:  $\frac{E\alpha_w}{M_w} = 8 \Rightarrow E \approx 20 \text{ TeV}$   
(need 100 TeV hadron machine to reach)

Still suppression is very strong.

Fig. 5

Bound on exponent of suppression.

Pessimistic conclusion: EW baryon # non-conservation ~~not~~ unlikely to observe in high-energy collisions.

INTERESTING DYNAMICS

- BUT UNOBSERVABLE EXPERIMENTALLY.

Methods applicable to solid state/quantum chemistry/atomic

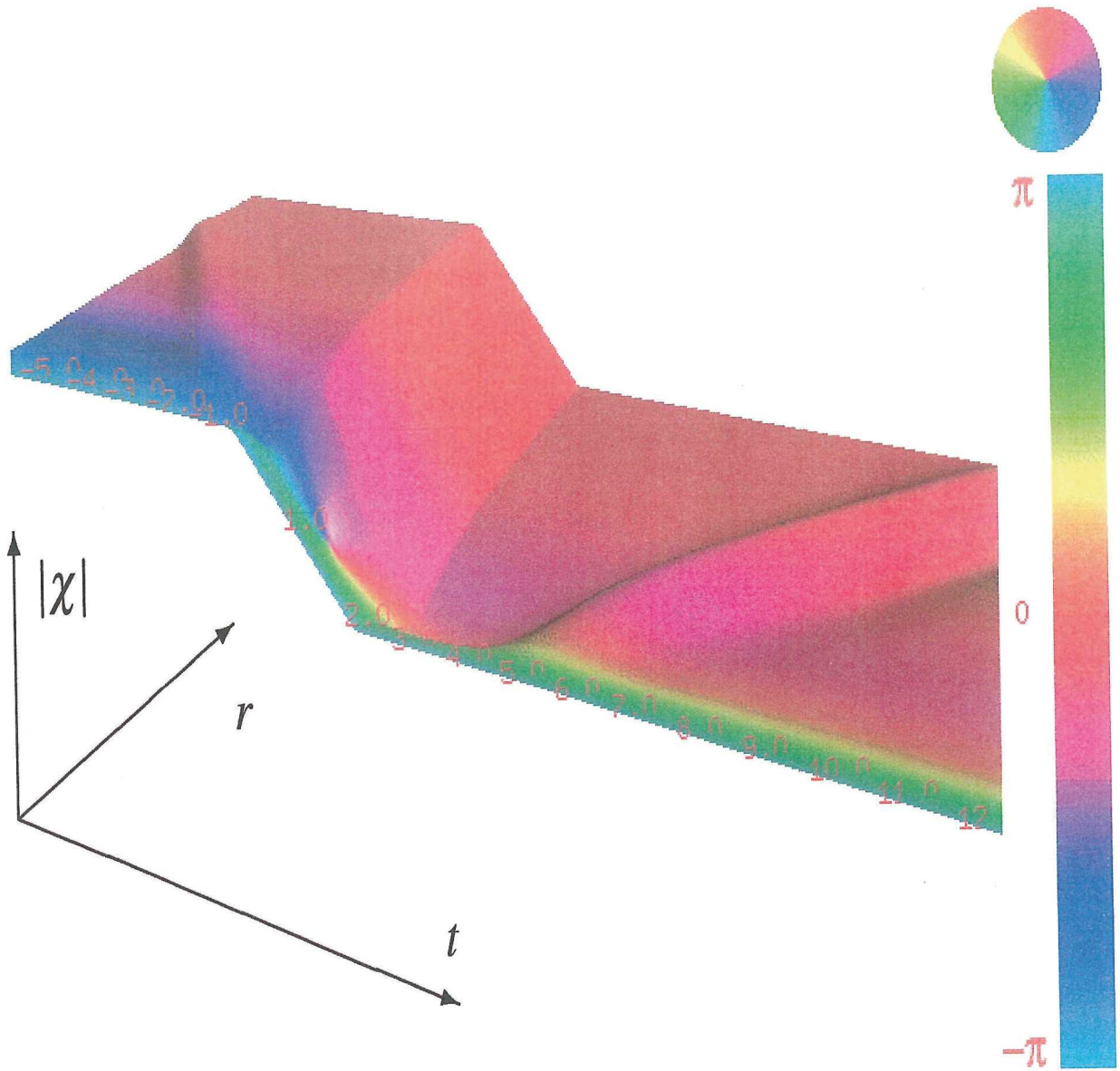


Fig. 1

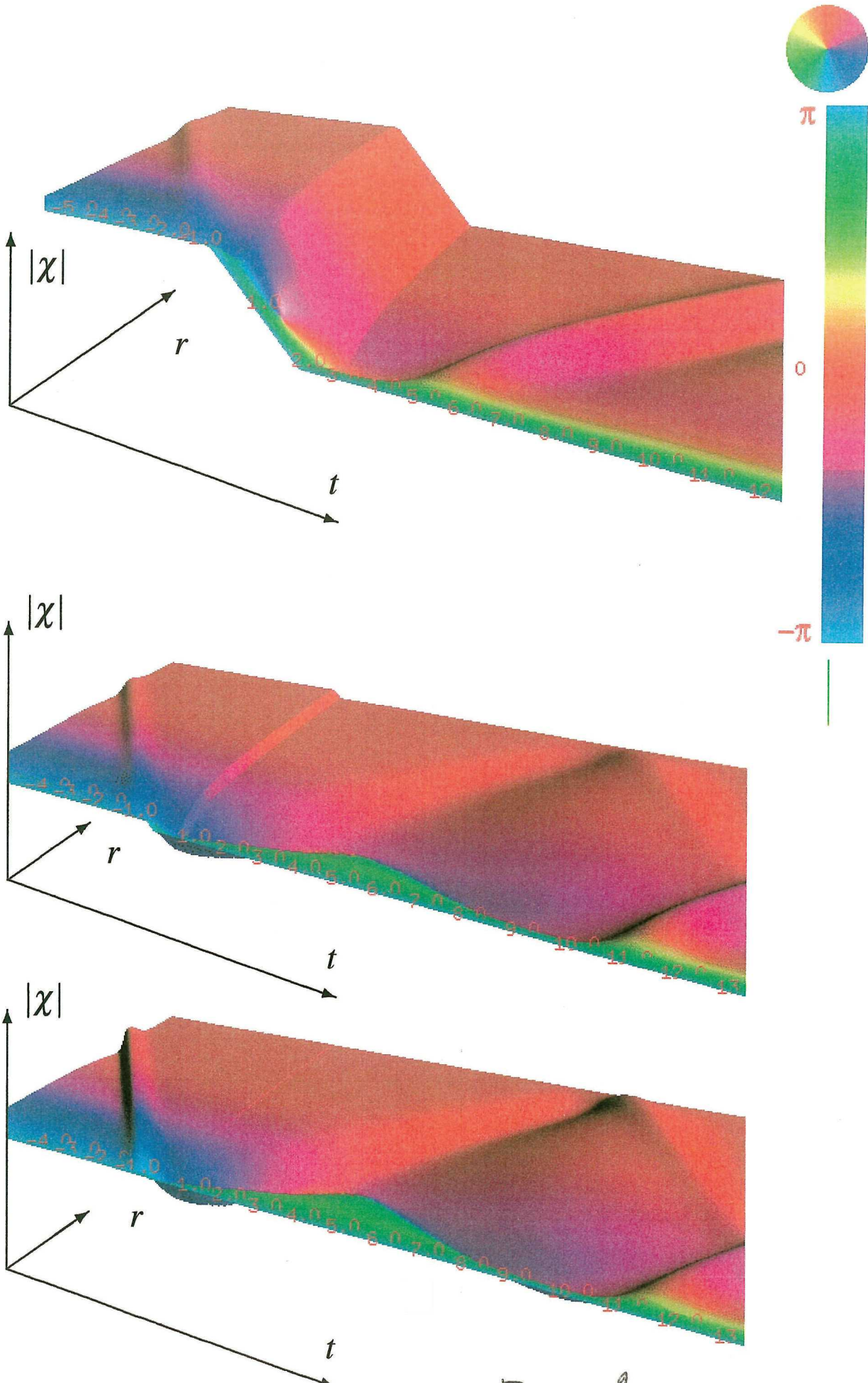


Fig. 2

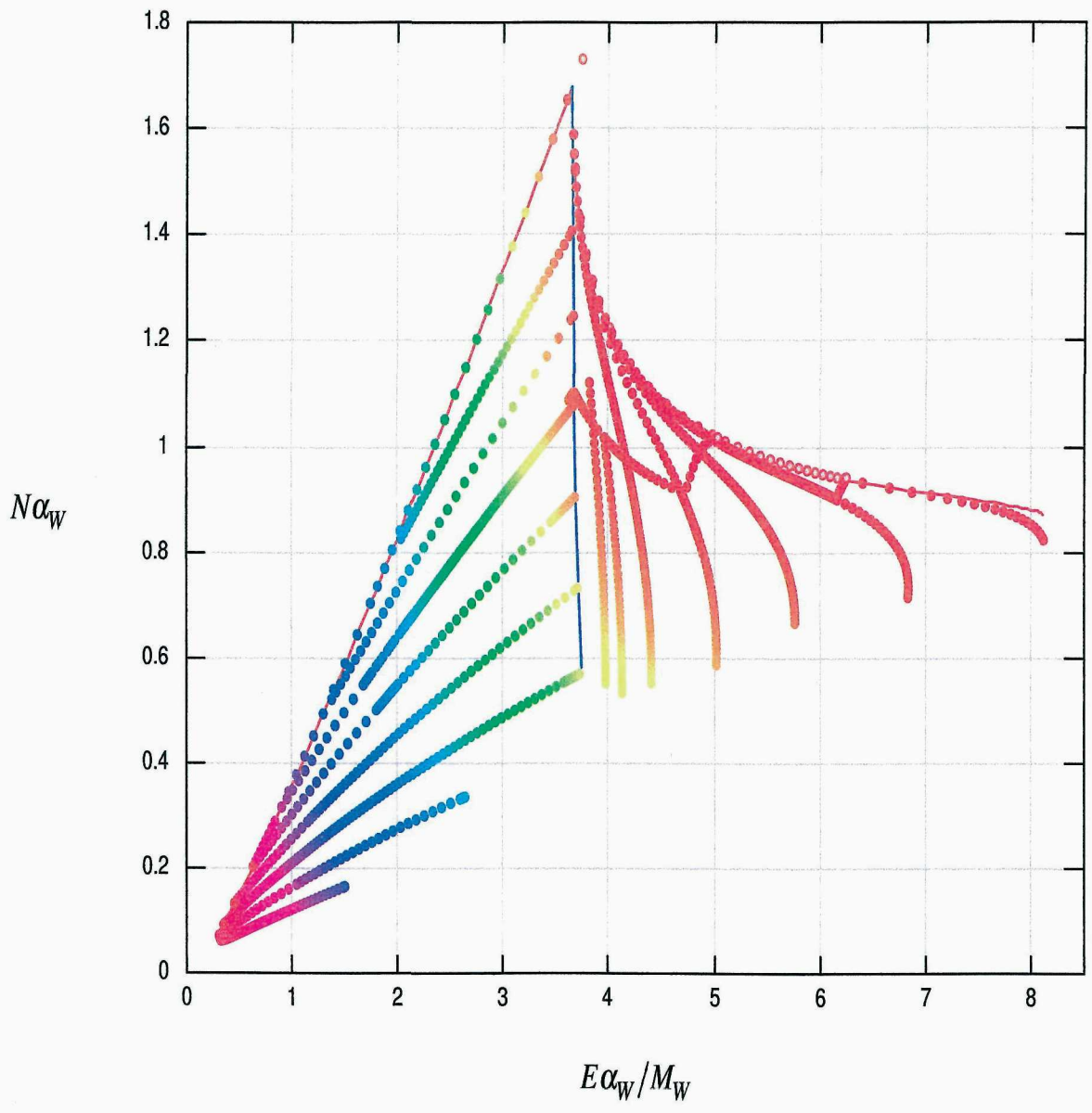


Fig. 3

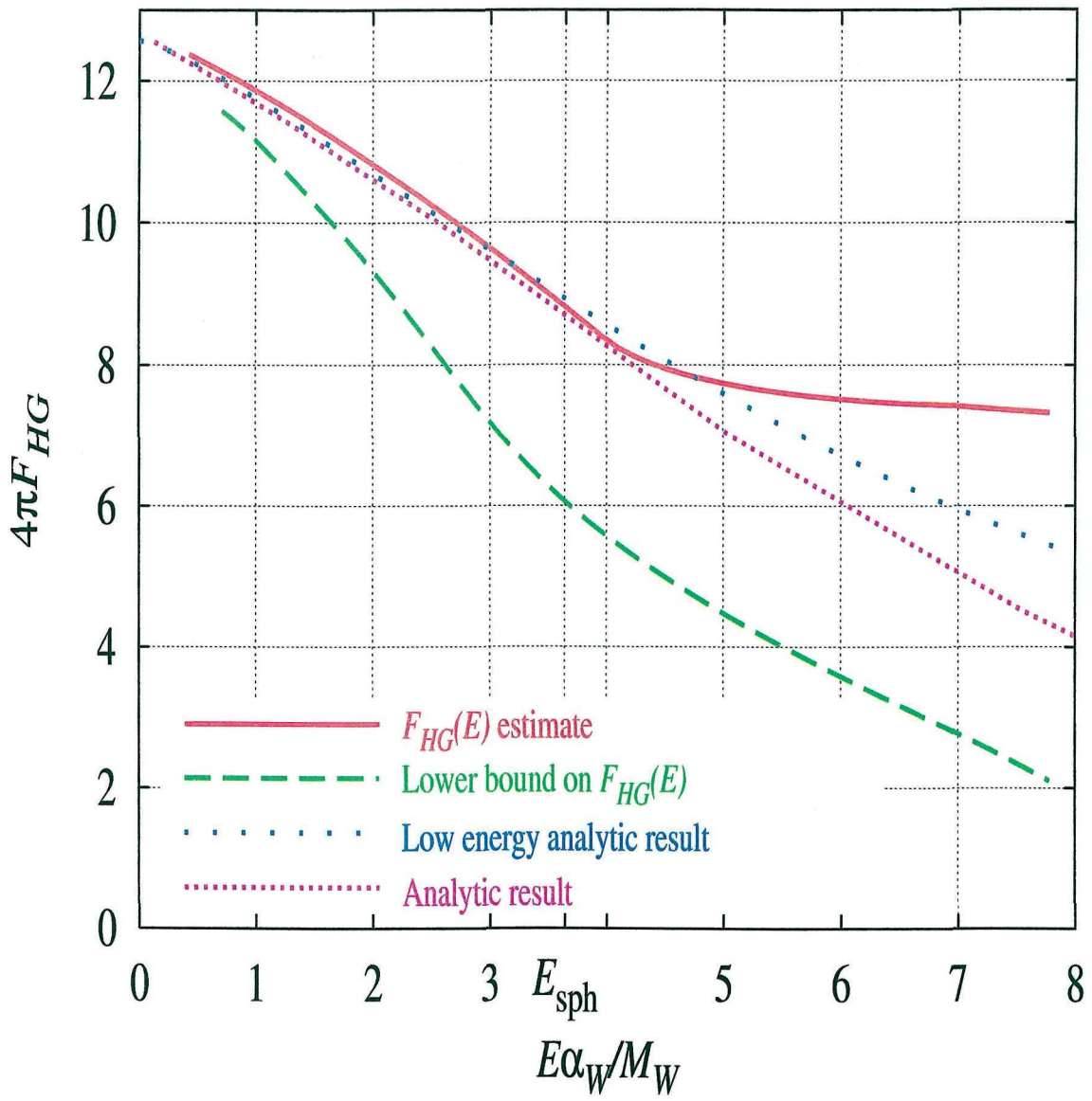


Fig. 4

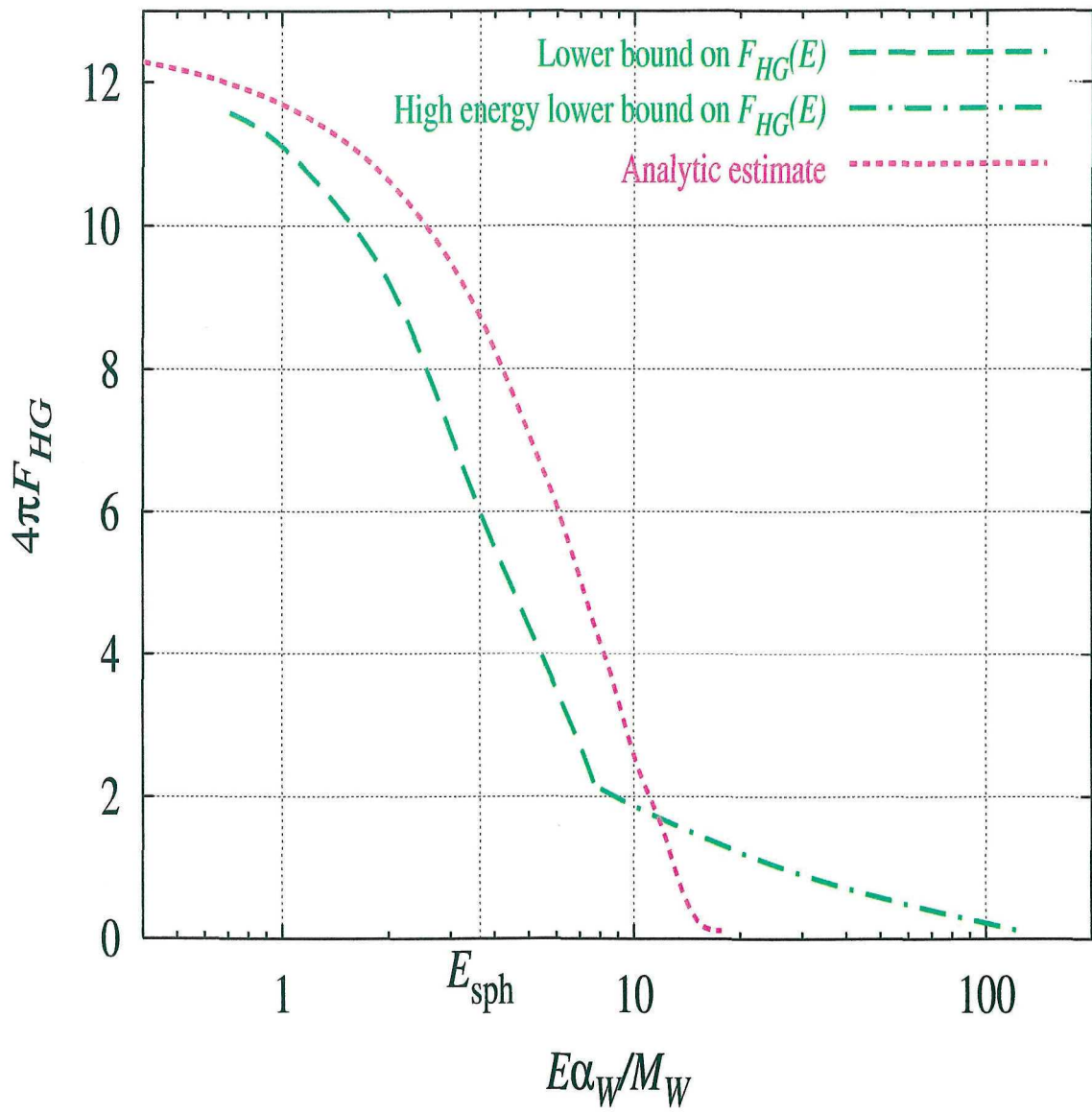


Fig. 5



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## Notes on literature.

- Instanton solution found by Belavin, Polyakov, Schwarz, Tyupkin 1975  
The story begins.
- Non-conservation of fermion quantum numbers, including baryon number in EW:  
't Hooft '76
- Interpretation in terms of gauge theory vacua and tunneling between them:  
Jackiw, Rebbi '76  
Callan, Dashen, Gross '76.
- Sphaleron and height of barrier in electroweak theory  
Manton '83  
Klinkhamer, Manton '84
- Baryon number non-conservation at high temperature and relevance to baryogenesis  
Kuzmin, V.R., Shaposhnikov '85
- Growth of instanton cross section with energy  
Ringwald '90  
Espinosa '90

(L2)

- Exponential behaviour of total instanton cross section  
McLerran, Vainshtein, Voloshin '90  
Zakharov '90  
Khlebnikov, V.R., Tinyakov '90  
Porrati '90  
Yaffe '90
- Semiclassical technique for calculating the exponent for instanton-like cross sections:  
V.R., Son, Tinyakov '92
- Actual calculation  
Bezrukov, Levkov, Rebbi, V.R., Tinyakov '2003.

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Books: R. Rajaraman Solitons and Instantons  
(North-Holland, 1982)

V.R. Classical theory of gauge  
fields (Princeton U., 2002)