

The Abdus Salam International Centre for Theoretical Physics



International Atomic Energy Agency

SMR 1646 - 11

Conference on Higher Dimensional Quantum Hall Effect, Chern-Simons Theory and Non-Commutative Geometry in Condensed Matter Physics and Field Theory 1 - 4 March 2005

Intrinsic Spin Hall Effect

Shuichi MURAKAMI Department of Applied Physics, University of Tokyo Tokyo, Japan

These are preliminary lecture notes, intended only for distribution to participants.

March 3,2005, ICTP, Trieste, Italy

Intrinsic Spin Hall Effect

Shuichi Murakami (Department of Applied Physics, University of Tokyo)

Collaborators : Naoto Nagaosa (U.Tokyo) Shoucheng Zhang (Stanford) Masaru Onoda (AIST, Japan)

# Spin Hall effect (SHE) $\vec{E}$ Electric field induces a transverse spin current. • Extrinsic spin Hall effect D'yakonov and Perel' (1971) Hirsch (1999), Zhang (2000) impurity scattering = spin dependent (skew-scattering) Spin-orbit couping down-spin up-spin impurity Cf. Mott scattering

Intrinsic spin Hall effect

Berry phase in momentum space

Independent of impurities !

## Intrinsic spin Hall effect

• <u>p-type semiconductors</u> (SM, Nagaosa, Zhang, Science (2003))

Luttinger model

$$H = \frac{\hbar^2}{2m} \left[ \left( \gamma_1 + \frac{5}{2} \gamma_2 \right) k^2 - 2\gamma_2 \left( \vec{k} \cdot \vec{S} \right)^2 \right] \qquad (\vec{S} : \text{spin-3/2 matrix})$$



• <u>2D n-type semiconductors in heterostructure</u> (Sinova, Culcer, Niu, Sinitsyn, Jungwirth, MacDonald, PRL (2003))

Rashba model

$$H = \frac{k^2}{2m} + \lambda \left(\vec{\sigma} \times \vec{k}\right)_z$$









Helicity  $\lambda = \hat{k} \cdot \vec{S}$  is a good quantum number.

Helicity 
$$\lambda = \hat{k} \cdot \vec{S} = \pm \frac{3}{2} \implies E = \frac{\gamma_1 - 2\gamma_2}{2m} \hbar^2 k^2 \quad : \text{ heavy hole (HH)}$$
$$\lambda = \hat{k} \cdot \vec{S} = \pm \frac{1}{2} \implies E = \frac{\gamma_1 + 2\gamma_2}{2m} \hbar^2 k^2 : \text{ light hole (LH)}$$

#### Semiclassical Equation of motion









Spin current (spin//x, velocity//y)

$$j_{yx}^{H} = \frac{\hbar}{3} \sum_{\lambda = \pm \frac{3}{2}, \vec{k}} \dot{y} S_{x} n^{\lambda}(\vec{k}) = \frac{E_{z} k_{F}^{H}}{4\pi^{2}},$$

$$j_{yx}^{L} = \frac{\hbar}{3} \sum_{\lambda = \pm \frac{1}{2}, \vec{k}} \dot{y} S_{x} n^{\lambda}(\vec{k}) = -\frac{E_{z} k_{F}^{L}}{12\pi^{2}},$$

$$\sigma_{s} = \frac{e}{12\pi^{2}} (3k_{F}^{H} - k_{F}^{L})$$



Order estimate (at room temperature) : GaAs

$$j_{y}^{x} = \frac{eE_{z}}{12\pi^{2}} \left( 3k_{F}^{H} - k_{F}^{L} \right) \equiv \frac{\hbar}{2e} \sigma_{s} E_{z} \longrightarrow \sigma_{s} \left( \Omega^{-1} \text{cm}^{-1} \right) : \text{ Unit of conductivity}$$

| carrier<br>density  | mobility                    | Charge<br>conductivity                 | Spin (Hall)<br>conductivity               |
|---------------------|-----------------------------|--|---|
| $n ({\rm cm}^{-3})$ | $\mu$ (cm <sup>2</sup> /Vs) | $\sigma(\Omega^{-1} \mathrm{cm}^{-1})$ | $\sigma_{s}(\Omega^{-1}\mathrm{cm}^{-1})$ |
| 10 <sup>19</sup>    | 50                          | 80                                     | 73  |
| 10 <sup>18</sup>    | 150                         | 24                                     | 34  |
| 10 <sup>17</sup>    | 350                         | 5.6                                    | 16  |
| 10 <sup>16</sup>    | 400                         | 0.64                                   | 7.3                                       |

$$\sigma = en\mu$$
$$\sigma_{s} \propto k_{F} \propto n^{1/3}$$

As the hole density decreases, both  $a\sigma d$  decreases.  $\sigma$  decreases faster than  $\sigma_s$ 

### Intrinsic spin Hall effect for 2D n-type semiconductors in heterostructure (Sinova, Culcer, Niu, Sinitsyn,Jungwirth, MacDonald, PRL(2003))



spin Hall effect in the Rashba model

≈ Spin precession by "k-dependent Zeeman field"



• Semiclassical theory Culcer et al., PRL(2004)

- Rashba + Dresselhaus
  - Sinitsyn et al., PRB(2004)
  - Shen, PRB(2004)

## Disorder effect, edge effect

#### Green's function method



• Dimitrova (2004)

#### • Calculation by Keldysh formalism (Mishchenko, Shytov, Halperin (2004))



- $\sigma_s(\omega=0)=0$  in Rashba model in the clean limit
- (several papers incorrectly claiming  $\sigma_s(\omega=0) \pm n0$  general.)

## Question

Intrinsic spin Hall effect is so fragile to impurities that it vanishes at the bulk?

#### Answer

#### NO! Not in general.

- Rashba model is an exception. there are models with nonzero  $\sigma_s(\omega=0)$
- two experimental reports
  - 2D electron gas, spin accumulation at the edges Y.K.Kato et al., Science (2004)
  - 2D hole gas, spin LED
     J. Wunderlich et al., cond-mat (2004), to appear in PRL.



Intrinsic spin Hall effect is nonzero in general.

- If  $\hat{H}(\vec{k}) = \hat{H}(-\vec{k})$  e.g. Luttinger model (p-type semicond.)
  - → in the clean limit the vertex correction is ZERO. (SM (2004)) SHE is finite.
- For models with  $H(\vec{k}) = E_0(\vec{k}) + \sigma_x d_y(\vec{k}) \sigma_y d_x(\vec{k})$  : n-type semicond. in heterostructure

$$\left( \text{ e.g. Rashba model:} \qquad E_0(\vec{k}) = \frac{k^2}{2m}, \quad \vec{d} = \lambda \vec{k} \right)$$

(a) If  $\frac{\partial E_0}{\partial \vec{k}} = A\vec{d}$  for constant A SHE vanishes.

(b) Otherwise, SHE is finite in general.

Rashba model  $\lambda(\vec{\sigma} \times \vec{k})_z \rightarrow$  (a) Dresselhaus model  $\beta(\sigma_x k_x - \sigma_y k_y \rightarrow$  (b)

In real materials SHE is finite in general.

Tight-binding model on a square lattice



## Definition of spin current is not unique in the presence of spin-orbit coupling

- Spin-orbit coupling  $\rightarrow$  spin is not conserved  $\rightarrow$  no unique def. of spin current
  - Noether's theorem cannot be applied.

• 
$$\frac{\partial S_i}{\partial t} + \nabla \cdot J_i^{(\text{spin})} = 0 \iff 0 = \frac{\partial}{\partial t} \int S_i d^d r = i \Big[ H, \int S_i d^d r \Big]$$

Eq. of continuity requires conservation of spin, but the spin is not conserved in these models

• In some models, spin current is covariantly conserved. (Zhang)

 $H = \frac{1}{2m} (\vec{p} + \vec{A})^2, \quad \vec{A} = A_j^k t^k \quad \text{: gauge field associated with the spin-orbit coupling}$ (e.g.) Rashba model

$$\square \supset D_{j} = \partial_{j} + iA_{j} : \text{covariant derivative}$$

$$J_{j}^{i} = \frac{i}{2m} [(D_{j}\psi)^{+}t^{i}\psi - \psi^{+}t^{i}(D_{j}\psi)] : \text{spin current}$$

$$\partial_{t}S^{i} + (D_{j}J_{j})^{i} = 0$$

## Criterion for nonzero spin Hall conductivity

• Different filling for bands in the same multiplet of  $\vec{J} = \vec{L} + \vec{S} \langle c | H_{s.o.} | v \rangle \neq 0$ 



(Intrinsic) spin Hall effect should occur in wide range of materials.

## **Experiments on spin Hall effect**

- 2D electron gas, spin accumulation at the edges Y.K.Kato, R.C.Myers, A.C.Gossard, D.D. Awschalom, Science 306, 1910 (2004)
- 2D hole gas, spin LED
  - J. Wunderlich, B. Kästner, J. Sinova, T. Jungwirth, cond-mat/0410295, to appear in PRL

#### Experiment -- Spin Hall effect in a 2D electron gas --

Y.K.Kato, R.C.Myers, A.C.Gossard, D.D. Awschalom, Science 306, 1910 (2004)







Bernevig, Zhang, cond-mat (2004)• Dresselhaus term is relevant.It should be intrinsic!• Dresselhaus term is small, but induced SHE is not small.<br/>Rather, experimental value is  $10^{-3}$  times smaller than theory.• For Dresselhaus term the vertex correction is zero.• Dirty limit :  $\Delta \approx 0.025 meV$ ,  $\hbar/\tau \approx 1.6 meV$ <br/> $\rightarrow$  SHE suppressed by some factor, which is larger than $\left(\frac{\Delta}{\hbar/\tau}\right)^2 \approx 10^{-4}$ <br/>Consistent with<br/>experiments

Experiment -- Spin Hall effect in a 2D hole gas --

- J. Wunderlich, B. Kästner, J. Sinova, T. Jungwirth, PRL (2005)
  - LED geometry



- Circular polarization  $\approx 1\%$
- Clean limit :

 $\hbar / \tau \approx 1.2 meV$ 

much smaller than spin splitting

 vertex correction =0 (Bernevig, Zhang (2004))

```
It should be intrinsic!
```

Spin Hall insulator

• Nonzero spin Hall effect in band insulators

- SM, Nagaosa, Zhang, Phys. Rev. Lett.93, 156804 (2004)

## Nonzero spin Hall effect in band insulators

1) Zero-gap semiconductors :  $\alpha$ -Sn, HgSe, HgTe,  $\beta$ -HgS...



- Spin Hall effect is nonzero  $(\approx 0.1 e / in)$  band insulators
- Uniaxial strain  $\rightarrow$  finite gap at k=0

Spin Hall insulator

2) Narrow-gap semiconductors : PbS, PbSe, PbTe



• Spin Hall effect is nonzero  $(\approx 0.04 e ina)$  band insulators

**Spin Hall insulator** 

## **Conclusion**

In semiconductors (Si, Ge, GaAs,...), spin current is induced by the external electric field.

$$j_{y}^{x} = \frac{eE_{z}}{12\pi^{2}} \left( 3k_{F}^{H} - k_{F}^{L} \right)$$
  
: semiclassical result  
Topological origin  
Topological origin

- Dissipationless
- All occupied states contribute.
- Large even at room temperature



## Spin Hall effect can be nonzero in band insulators.

(examples)

- Zero-gap semiconductors (α-Sn, HgTe, HgSe, β-HgS)
- Narrow-gap semiconductors (PbS, PbTe, PbSe)

Hall effect of light

Anomalous velocity due to Berry phase

→ interference of waves Common for every wave phenomenon.





$$\dot{\vec{r}} = v(\vec{r})\hat{k} + \dot{\vec{k}} \times \langle z | \vec{\Omega}(\vec{k}) | z \rangle$$
$$\dot{\vec{k}} = -k\nabla v(\vec{r})$$
$$|\dot{z}\rangle = -i\vec{k} \cdot \vec{\Lambda}(\vec{k}) | z \rangle$$

equivalent

Onoda, SM, Nagaosa, PRL (2004)

$$\dot{\vec{r}} = \frac{c}{n} \left[ \vec{s} - \sigma \frac{c}{\omega n} \vec{s} \times \nabla \ln n \right]$$
$$\dot{\vec{s}} = \frac{c}{n} \left[ \nabla \ln n - \vec{s} (\vec{s} \cdot \nabla \ln n) \right]$$
$$\dot{\vec{e}} = -\frac{c}{n} \vec{s} (\vec{e} \cdot \nabla \ln n)$$
$$\vec{s} = \vec{k} / k \quad \vec{e} : \text{polarization}$$
$$\text{Zel'dovich, Liberman (1990)}$$
Bliokh (2004)

"Optical magnus effect"

Experiment

Dugin, Zel'dovich, Kundikova, Liberman (1991)



Hall effect of light in interface refraction/reflection

$$\dot{\vec{r}} = v(\vec{r})\hat{k} + \dot{\vec{k}} \times \langle z | \vec{\Omega}(\vec{k}) | z \rangle$$
  
$$\dot{\vec{k}} = -k\nabla v(\vec{r})$$
  
$$|\dot{z}\rangle = -i\dot{\vec{k}} \cdot \vec{\Lambda}(\vec{k}) | z \rangle$$
  
$$\vec{r} = v(\vec{r})\hat{k} + \dot{\vec{k}} \times \langle z | \vec{\Omega}(\vec{k}) | z \rangle$$

At the interface, the refractive index changes

→ the trajectory is transversely shifted due to Berry phase (to y-direction)



Cf. Conservation of total angular momentum  $J_z = S_z + L_z$ 

## Imbert shift

# Imbert shift for Left circular polarization





Magnitude of the shift  $\approx \lambda$ Width of the beam is much larger  $\rightarrow$  not easy to observe.

#### **Experimental measurement of the Imbert shift**

C. Imbert, PRD (1972)



Photonic crystals and Berry phase

#### **Electrons in condensed matter:**

Periodic lattice enhances the Hall effect by some orders of magnitude

Will the "Hall effect of light" enhanced in photonic crystals? → YES!

## (Example) 2D photonic crystals (PC)

Caution :

Berry curvature is zero for 2D PC with inversion symmetry.

We use a 2D PC without inversion symmetry.



## 2D photonic crystals





("Photonic Crystals", Joannopoulous et al.)

Simulation : Dielectric constant and its band structure







Large Berry curvature when the band approach other bands in energy



Enhancement of Berry curvature in photonic crystals

• vacuum : 
$$\left| \vec{\Omega}_{\vec{k}} \right| \approx \frac{1}{k^2}$$
  $\longrightarrow$  Shift  $\approx \lambda$  (e.g.: Imbert shift very small

• photonic crystals:



Shift of the light beam  $\approx$  Distance from a monopole in k space

