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***SPRING SCHOOL ON SUPERSTRING THEORY  
AND RELATED TOPICS***

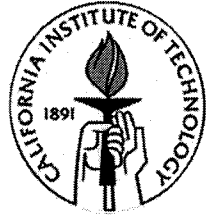
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**Topological Strings and Black Holes**

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# Topological Strings and Black Holes

Hirosi Ooguri (Caltech)

Introduction to topological strings

Compactification of type II string on a Calabi-Yau

BPS black holes from Calabi-Yau compactification

Entropy of black hole and its relation to topological string

Physical interpretation as a wave function

Example involving  $q$ -deformed 2d YM

Trieste, March 2005

# Topological String Theory

(1) Start with a Calabi-Yau 3-fold.

$\mathcal{N} = 2$  superconformal sigma-model

$$\Sigma_g \rightarrow CY_3$$

(2) Topologically twist the sigma-model.

(3) Couple it to the topological gravity on  $\Sigma_g$ .

$$F_g = \int_{\mathcal{M}_g} \left\langle \left| \prod_{i=1}^{3g-3} (\underset{\substack{\uparrow \\ \text{Beltrami differential}}}{\eta_i}, \underset{\substack{\uparrow \\ \text{supercurrent}}}{G^-}) \right|^2 \right\rangle$$

$$Z_{\text{pert.}}^{\text{top}} = \exp \left[ \sum_{g=0}^{\infty} \lambda^{2g-2} F_g \right]$$

The series is typically not summable.

# Topological String Partition Function = Wave Function

Consider the topological B-model

moduli space  $\mathcal{M}_B$  :  $z^i$  complex structure  
 $\lambda$  topological string coupling

tangent space to  $\mathcal{M}_B = H^3(CY_3, \mathbb{R})$

$$\dim_{\mathbb{C}} H^3 = h^{2,1} + 1$$

$\uparrow \quad \quad \quad \uparrow$   
 $\delta z^i \quad \quad \quad \lambda$

For a given background, we can define the B-model.

$F_g$  is also a holomorphic function of  $H^3(CY_3)$ .

$$F_g \sim \int_{\mathcal{M}_g} (G_{\bar{z}})^{3g-3} (G_z)^{3g-3} e^{x^I \int_{\Sigma_g} \mathcal{O}_I}$$

$x^I \in H^3, \quad I = 0, 1, \dots, h^{2,1}$

$$\psi_{top}(x^I; z^i, \bar{z}^i) = \exp\left(\sum_g F_g\right)$$

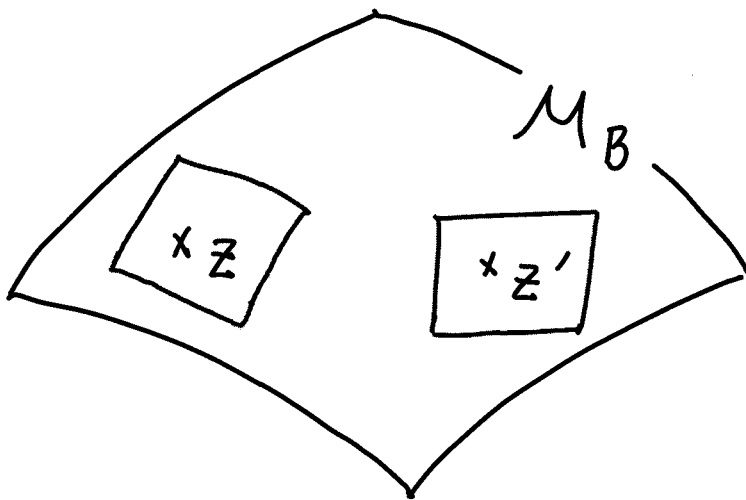
$\uparrow$   
 including  $\lambda$

# The holomorphic anomaly equations

BCOV / Witten / DVV

$$\frac{\partial}{\partial \bar{z}^I} \psi_{top}(x; z, \bar{z}) = \left( \frac{\partial}{\partial x^I} + \dots \right) \psi_{top}$$

$$\frac{\partial}{\partial \bar{z}^I} \psi_{top}(x; z, \bar{z}) = \left( \bar{C}_I{}^{JK} \frac{\partial^2}{\partial x^J \partial x^K} + \dots \right) \psi_{top}$$



Interpretation:

- (1) On each tangent space, there is a Hilbert space.
- (2) The holomorphic anomaly equation is describing the parallel transport between tangent spaces at different points.

More on (1):

- $H^3(CY_3, \mathbb{R})$  has a symplectic structure.
- Topological string uses a holomorphic polarization.

$$\underbrace{H^{3,0} \oplus H^{2,1} \oplus H^{2,1} \oplus H^{0,3}}_{x^I}$$

More on (2):

- The polarization depends on  $(z^i, \bar{z}^i)$

$\Rightarrow$  Wave-functions are related by Fourier

topological string partition function  $Z_{top} = \exp\left(\sum_g F_g\right)$

= wave function  $\psi_{top}(x; z, \bar{z})$

for quantization of  $H^3(CY_3, \mathbb{R})$

( $H^3$  = tangent space to the moduli space of CY3)

*On the other hand,*

*the topological string partition function gives  
superpotential terms for CY3 compactification  
of type II superstring.*

(BCOV)

(AGNT)

Can we interpret the topological string partition function  
as a physical wave function in type II superstring?

# Compactification of type II string theory on a Calabi-Yau 3-fold

$\mathcal{N}=2$  supersymmetry on  $\mathbb{R}^4$

( $\Rightarrow \mathcal{N}=1$  by branes and/or fluxes)

⊙ Supergravity multiplet

graviton,  $U(1)$  gauge field (gravi-photon)  
 $A_\mu^0$  + superpartners

⊙ vector multiplets  $i=1, \dots, m_V$

$Z^i$  : complex scalar field

$A_\mu^i$  : gauge field

+ superpartners

$Z^i$ 's parametrize the moduli space  $\mathcal{M}_V$ .

$\mathcal{M}_V = \left\{ \begin{array}{l} \cdot \text{complexified Kähler moduli space for IIA} \\ \cdot \text{complex structure moduli space for IIB} \end{array} \right.$

Sometimes we combine  $A_\mu^{i=1 \dots m_V}$  with the gravi-photon  $A_\mu^{i=0}$ , and consider

$(m_V + 1)$  vector multiplets :  $X^I = 0, 1, \dots, m_V$ .

But, the scalar  $X^{I=0}$  is not physical.

There is a scaling symmetry, which allows us to set  $X^0 = 1$ .

$X^I$  may be regarded as projective coordinates of  $M_V$

② hypermultiplets  $i = 1, \dots, n_H$

2 complex scalar fields in each multiplet.

- They are neutral with respect to  $A_\mu^I$ .
- The moduli space  $M_H$  is quaternionic Kähler.
- There is no neutral coupling between vector and hypermultiplets.



For a generic (smooth) CY3 compactification, the gauge fields  $A_\mu^I$  are abelian, and the moduli space is locally  $\mathcal{M}_V \times \mathcal{M}_H$ . This factorization breaks down at special points in the moduli space, e.g. a conifold --- a charged hypermultiplet becomes massless (e.g. D3 is IIB wrapping a collapsing 3 cycle)

In the following, we will consider generic compactifications.

• IIA

$$m_V = h^{1,1} \leftarrow \text{complexified Kähler moduli}$$

$$m_H = h^{2,1} + 1$$

↑  
each comes with 2 complex scalar  
(complex structure moduli + RR fields)

+ 1

= universal : dilaton, axion (dual of  $B_{\mu\nu}$ )  
hypermultiplet      RR fields

We will be interested in the vector multiplets  
 $\mathcal{M}_V$  : special Kähler manifold.

$$z^i \quad (i = 1, \dots, n_V)$$

$$X^I(z) \quad I = 0, 1, \dots, n_V : \text{projective coordinates}$$

They can be understood geometrically in IIB case.

(IIA case involves worldsheet instantons.)

$$\text{IIB} : n_V = h^{2,1} \quad \text{complex str moduli space}$$

$$\Omega : \text{holomorphic } (3, 0) - \text{form}$$

$$T\mathcal{M}_V \Leftrightarrow \Omega \rightarrow \Omega + \delta z^i \eta_i$$

$$\eta_i \in H^{2,1}$$

Choose a basis  $\{a_I, b^I\} \quad I = 0, 1, \dots, h^{2,1}$

$$\text{of } H_3 \quad (\dim H_3 = 2 + 2h^{2,1})$$

$$\text{s.t.} \quad a_I \wedge a_J = 0, \quad b^I \wedge b^J = 0$$

$$a_I \wedge b^J = \delta_I^J$$

Define  $X^I = \int_{A_I} \Omega$  as holomorphic functions of  $z^i$ .

As we change  $z^i \rightarrow z^i + \delta z^i$ , there is a possibility of rescaling  $\Omega$ .

$$\Rightarrow \frac{X^i(z)}{X^0(z)} \quad i = 1, 2, \dots, h^{2,1}$$

are well-defined functions of  $z$ .

( $\Rightarrow$  flat coordinates)

$$F_I = \int_{B^I} \Omega = \frac{\partial}{\partial X^I} F(X)$$

$F(X)$  : prepotential

- $X^I \partial_I F = 2F$  or  $F(CX) = C^2 F(X)$
- $\tau_{IJ} = \partial_I \partial_J F$  : period matrix
- $C_{IJK} = \partial_I \partial_J \partial_K F$  : Yukawa coupling

$$\chi^I C_{IJK} = \chi^I \partial_I \partial_J \partial_K F = 0$$

$$\Rightarrow \text{effectively } C_{ijk}(z).$$

$M_V$  is a Kähler manifold, but a special kind  
Kähler potential

$$\begin{aligned} K &= -\log [i (\bar{\chi}^I F_I(\chi) - \chi^I \bar{F}_I(\bar{\chi}))] \\ &= -\log [-2 \operatorname{Im} \tau_{IJ} \cdot \chi^I \bar{\chi}^J] \end{aligned}$$

If we change  $\chi^I(z) \rightarrow C(z) \chi^I(z)$ ,

$$K(z, \bar{z}) \rightarrow K(z, \bar{z}) - \log C(z) - \log \bar{C}(\bar{z}).$$

Thus  $G_{i\bar{j}} = \partial_i \partial_{\bar{j}} K(z, \bar{z})$  gives a metric on  $M_V$ .

$$\left( \begin{array}{l} \text{It satisfies the special geometry relation} \\ R_{i\bar{j}k\bar{l}} = G_{i\bar{j}} G_{k\bar{l}} + G_{i\bar{l}} G_{k\bar{j}} - e^{-2K} G^{m\bar{n}} C_{ikm} C_{j\bar{l}\bar{n}} \end{array} \right)$$

The low energy effective action for the vector multiplets is completely determined by  $F(X)$ .

... This is exact at the string tree level.

- In the IIB string,  $F(X)$  is computable by the classical geometric method.  $\left( \begin{array}{l} \text{Remember:} \\ \int_{B^2} \Omega = \frac{2}{\partial X^2} F \end{array} \right)$

This is because the Kähler moduli are in  $M_H$ . Thus, by the factorization, we can take  $\text{vol}(CY_3) \rightarrow \infty$ .

- In the IIA string,  $F(X)$  receives worldsheet instanton corrections

- Compute the Gromov-Witten invariants directly  
or
- Use the mirror manifold to reduce the computation to IIB.

## BPS states

$\mathcal{N} = 2$  supersymmetry  $i, j = 1, 2$   
 $\alpha, \beta = 1, 2$  spinor index

$$\{Q_\alpha^i, Q_\beta^j\} = \epsilon_{\alpha\beta} \epsilon^{ij} Z \leftarrow \text{central charge}$$

$$\{\bar{Q}_\alpha^i, \bar{Q}_\beta^j\} = \epsilon_{\alpha\beta} \epsilon^{ij} Z$$

$$\{Q_\alpha^i, \bar{Q}_\beta^j\} = \sigma_\mu^{\dot{i}j} P_\mu \delta_{\alpha\beta}$$

If  $P_\mu$  is timelike (massive),

we can go to its rest frame, where

$$\{Q_\alpha^i, \bar{Q}_\beta^j\} = 2M \delta_{\alpha\beta} \delta^{ij}$$

Define  $A_\alpha = \frac{1}{\sqrt{2}} (Q_\alpha^1 + \epsilon_{\alpha\beta} Q_\beta^2)$

$$B_\alpha = \frac{1}{\sqrt{2}} (Q_\alpha^1 - \epsilon_{\alpha\beta} Q_\beta^2)$$

$$\Rightarrow \{A_\alpha, A_\beta\} = \{B_\alpha, B_\beta\} = \{A_\alpha, B_\beta\} = 0$$

$$\{A_\alpha, A_\beta^\dagger\} = (2M + Z) \delta_{\alpha\beta}$$

$$\{B_\alpha, B_\beta^\dagger\} = (2M - Z) \delta_{\alpha\beta}$$

$N=2$  SUSY in 4d can be regarded as  
a dimensional reduction of minimal SUSY in 5d.

This view is particularly natural in IIA

since IIA on  $CY_3 \times \mathbb{R}^4 \Leftrightarrow M$  on  $CY_3 \times S^1 \times \mathbb{R}^4$

In this set-up, the central charge  $Z$

is a momentum  $p_5$  around the  $S^1$

$$\text{and } M^2 = m^2 + (p_5)^2,$$

where  $m$  is a 5d mass

$M$  is a 4d mass.

This also explains the bound  $M^2 \geq Z^2$ .

In the IIA case,  $p_5$  is the M theory momentum

= Kaluza-Klein charge

= gravi-photon charge.

The unitarity requires  $2M \geq \mathbb{Z}$ .

• long representations  $2M > \mathbb{Z}$   $SO(3)$  little group  
↓  
 $[j] \otimes ([1] + 4[1/2] + 5[0])$

• short representations  $2M = \mathbb{Z}$  ... BPS states

$$[j] \otimes (2[1/2] + 4[0])$$

We see  $\text{tr} (-1)^{2\lambda} = 0$  for both long / short

$$\text{tr} \lambda^2 (-1)^{2\lambda} = \begin{cases} 0 & \text{for long} \\ (-1)^{2j+1} d_j & \text{for short} \end{cases}$$

In  $CY_3$  compactifications of type II string,  
 such BPS states arise from D branes  
 wrapping on cycles in the  $CY_3$ ,



Again consider  $D_3$  brane in  $II_B$ .

$\gamma$  : 3 cycle in  $CY_3$

Wrap  $D_3$  brane on  $\gamma \rightarrow$  particles in  $\mathbb{R}^4$

BPS bound

$$M \geq |e^{+\frac{1}{2}K} \int_{\gamma} \Omega|.$$

Note :

$g_{i\bar{j}}$  : metric on  $CY_3$

$$\det g = c \Omega \bar{\Omega}$$

$$R_{i\bar{j}} = \partial_i \partial_{\bar{j}} \log \det g = 0$$

$$\int_{CY_3} k \wedge k \wedge k = c \int_{CY_3} \Omega \wedge \bar{\Omega} = c e^{-K}$$

Thus  $c \sim e^{K(z, \bar{z})}$  as far as  $\bar{z}$ -dep. concerned.

$\gamma$  : BPS  $\iff k|_{\gamma} = 0$  : Lagrangian

$$c^{1/2} \Omega|_{\gamma} = \text{volume form on } \gamma$$

$$M \sim \int_{\gamma} (\text{volume form})$$

Thus  $M \geq |e^{K/2} \int_{\gamma} \Omega|$  : minimum volume  
for a given homology.

If  $\gamma$  wraps  $\begin{matrix} a_I \\ b^I \end{matrix}$   $\begin{matrix} q_I \text{ times} \\ p^I \text{ times} \end{matrix}$

$$\begin{aligned} \int_{\gamma} \Omega &= \int_{a_I} q_I \int \Omega + \int_{b^I} p^I \int \Omega \\ &= q_I X^I + p^I F_I(X) \\ &= W_{p, q}(X) \end{aligned}$$

$$M_{\text{BPS}} = |e^{K/2} W_{p, q}(X)|$$

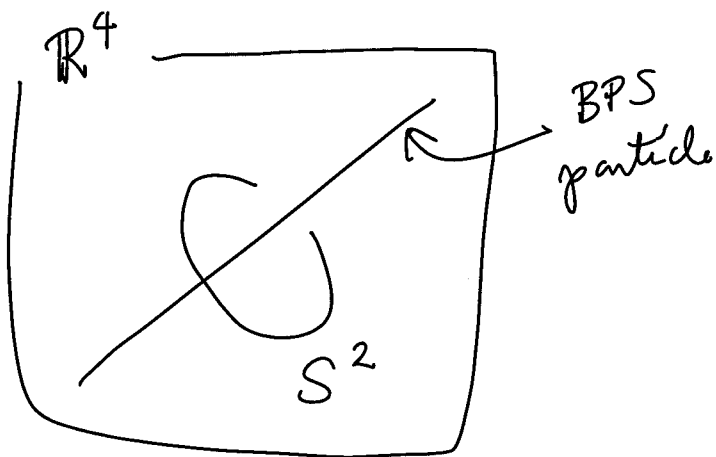
$q_I, p^I$  are electric / magnetic charges.

with respect to the gauge field  $A_\mu^I$

The D3 brane is charged with respect to the 4-form potential  $C_4$  :  $F_5 = dC_4$

The 4d gauge field  $A_\mu^I$  is defined

$$\text{as } F_2^I = \int_{a_I} F_5$$



The magnetic charge

is defined by

$$\int_{S^2} F_2^I = \int_{S^2 \times a_I} F_5$$

$a_I$  intersects once with  $b^I$ .

$$\text{Thus } \int_{S^2 \times a_I} F_5 = p^I$$

By using the self-duality  $F_5 = *F_5$ ,  
one finds that the electric charge of  
the  $D_3$  brane is  $\pm 1$ .

# Black hole attractor and entropy

Low energy effective action

$$\mathcal{L} = -R + G_{i\bar{j}} \partial z^i \partial \bar{z}^{\bar{j}} + \mathcal{N}_{IJ} F_{\mu\nu}^I F_{\mu\nu}^J + \mathcal{O}_{IJ} F_{\mu\nu}^I * F_{\mu\nu}^J$$

$$\mathcal{N}_{IJ} = \text{Im} \left( \bar{\tau}_{IJ} + 2i \frac{\text{Im}(\tau_{IK}) z^K \text{Im}(\tau_{JL}) z^L}{\text{Im}(\tau_{KL}) z^K z^L} \right)$$

$$\mathcal{O}_{IJ} = \text{Re} \left( \dots \dots \dots \right)$$

We want to find a classical solution that corresponds to the D3 brane on  $\mathcal{Y} \subset CY_3$ .

Static, stationary metric:

$$ds^2 = -e^{2g(r)} dt^2 + e^{2f(r)} (dr^2 + r^2 d\Omega^2)$$

$$\text{SUSY} \rightarrow f = -g$$

Given  $(q, p)$ , the gauge field  $F_{\mu\nu}^I$  are determined by  $f(r)$ .

For convenience, parametrize the metric as

$$ds^2 = - e^{2U(\rho)} e^{2\rho} dt^2 + e^{-2U(\rho)} d\rho^2 + e^{-2U(\rho)} d\Omega^2 + dS_{CY_3}^2.$$

where  $r = e^\rho$ ,  $U(\rho) = g(\rho) - \rho$

We also assume that  $CY_3$  moduli  $z^i$  are functions of  $\rho$ . The hypermultiplet moduli are constant.

BPS equations

$$\begin{cases} \frac{dU}{d\rho} = -1 + e^U M_{BPS}(z, \bar{z}) \\ \frac{dz^i}{d\rho} = 2 e^U G^{i\bar{j}} \bar{\partial}_{\bar{j}} M_{BPS}(z, \bar{z}) \end{cases}$$

We can write the BPS equation concisely:

Choose any holomorphic section  $X_0^I(z)$ .

Write 
$$X^I = 2i e^{-U} \left( e^{K(X_0, \bar{X}_0)} \frac{\bar{W}(\bar{X}_0)}{W(X_0)} \right)^{1/2} X_0^I$$

This combines the metric component  $e^{-U}$

with the complex moduli  $z^i$ .

The BPS equation becomes

$$\frac{dX^I}{d\rho} = X^I + \left( \frac{i}{\text{Im } \tau} \right)^{IJ} \bar{\partial}_J \bar{W}(\bar{X}).$$

We can also write it as

$$\begin{cases} \text{Re} \left( X^I - \frac{dX^I}{d\rho} \right) = p^I \\ \text{Re} \left( F_I - \frac{d}{d\rho} F_I \right) = q_I \end{cases}$$

with a general solution:

$$\begin{cases} \text{Re } X^I = p^I + c^I e^{\rho} \\ \text{Re } F_I = q_I + d_I e^{\rho} \end{cases}$$

c, d:  
integration  
constants

Whatever the boundary condition at

$$r = \infty \quad (p = \infty) ,$$

we have

$$\text{Re } X^I \rightarrow p^I$$

$$\text{Re } F_I \rightarrow q_I$$

This determines  $z^i$  and  $U$  uniquely.

"Black hole attractor"

The near horizon geometry is  $AdS_2 \times S^2$

(since  $U$  is constant)

and the complex moduli are fixed.



This is closely related to the flux compactifications

- $CY_3 \times \mathbb{R}^4$

3-form flux  $F_3 \Rightarrow$  GWW potential

$$W = \int_{CY_3} F_3 \wedge \Omega$$

This fixes the complex str uniquely

∴)

$$D_i W = e^{-K} \partial_i e^K W$$

$$= \int_{CY_3} F_3 \wedge \eta_i = 0$$

$$\eta_i \in H^{2,1}$$

$$\Rightarrow F_3 \in H^{3,0} \oplus H^{0,3}$$

i.e.  $F_3 = \text{Re}(c \Omega)$

for some const  $c$ .

$$\Rightarrow \text{Ad } S_4 \text{ as the rest.}$$

What we are considering is

$CY_3 \times S^2$  compactification with  $F_5$   
of the form

$$F_5 = F_3 \wedge \omega + \text{dual}$$

↙ volume form on  $S^2$

$$F_3 = p^I \alpha_I + g_I \beta^I$$

where  $\alpha_I, \beta^I \in H^3$  are dual

to  $A_I, B^I \in H_2$ .

The superpotential is

$$W = \int_{CY_3 \times S^2} F_5 \wedge \Omega = \int_{CY_3} F_3 \wedge \Omega$$

which is the same as before

$\Rightarrow DW = 0$  implies

$$\text{Re}(C\Omega) = F_3$$

$$\Rightarrow \text{Re}(CX^I) = p^I, \text{Re}(CF_I) = g_I$$

The condition  $DW=0$  is equivalent

$$\text{to } \partial_i M_{\text{BPS}} = 0 \quad . \quad (M_{\text{BPS}}^2 = e^k W \bar{W})$$

So the attractor condition minimizes the BPS mass.

The entropy of this black hole

is given by  $\frac{1}{4}$  of the area of  $S^2$  that is

$$S = \frac{1}{4} \pi M_{\text{BPS}}^2$$

This is in the semi-classical limit.

We can generalize this to all order

in string perturbation theory.

(Lopes Cardoso, de Wit and Mohaupt)

$\Rightarrow$  Topological String Theory

## More on topological strings

$F_g(z)$  :  $g$ -loop partition function

- A-model : depends (almost) holomorphically on the Kähler moduli
- B-model : depends (almost) holomorphically on the complex structure moduli

Type II in RNS formalism :

$N=2$  SCFT  $(\Sigma \rightarrow CY_3)$

$\otimes (X^\mu, \psi^\mu ; \mu = 0, 1, 2, 3) \otimes (\text{ghosts})$

focus on this part

$N=2$  SCA :  $T_L, G_L^\pm, J_L$   
 $T_R, G_R^\pm, J_R$

$\hat{c} = 3$  : complex dim of  $CY_3$

## Topological twisting

- $T_L \rightarrow T_L \pm \frac{1}{2} 2 J_L$

- add a coupling  $\int_{\Sigma} d^2z \pm \frac{1}{2} \omega_{\bar{z}} J_L$

$\omega_{\bar{z}}$  : spin connection

- $T_L : \dim (2, 0)$

$G_L^+ : \dim (1, 0) \quad G_L^- : \dim (2, 0)$

(or  $G_L^- : \dim (2, 0) \quad G_L^+ : \dim (1, 0)$ )

$J_L : \dim (1, 0)$

$\hat{C} = 3 \rightarrow \hat{C} = 0$ .

4 types of twisting :  $A, A^*, B, B^*$

Let us choose our notation s.t.

$G_L^+ : (1, 0) \quad , \quad G_R^+ : (0, 1)$

$G_L^- : (2, 0) \quad , \quad G_R^- : (0, 2)$

$Q_{BRST} = \oint G_L^+ + \oint G_R^+ : \text{topological BRST}$

$\{Q_{BRST}, G_L^-\} = T_L \quad , \quad \{Q_{BRST}, G_R^-\} = T_R$

$G_L^-$ ,  $G_R^-$  behave as ghosts of bosonic string,

moreover  $\langle (G_L^-)^{3g-3} (G_R^-)^{3g-3} \rangle \neq 0$

if the original  $\hat{c} = 3$ .

Thus we can define

$$F_g = \int \langle \prod_{i=1}^{3g-3} (\eta_i, G_L^-) (\bar{\eta}_i, G_R^-) \rangle$$

$\nearrow \mathcal{M}_g$   
moduli space  
of  $\Sigma_g$

$\eta_i$ : Beltrami-differential

$$(\eta_i, G^-) = \int_{\Sigma} \eta_i G^-$$

In the B-model, it depends only on the complex structure moduli.

$\mathcal{L}$ : line bundle over  $M_V$

with  $e^k$  as a metric

$F_g$ : section of  $\mathcal{L}^{2-2g}$

The fact that  $Fg$  is a section of  $\mathcal{L}^{1-g}$  and not a function is a consequence of the topological twisting.

$$\therefore) \quad \mathcal{T}_L = i \partial \phi$$

$$\frac{1}{2} \int_{\Sigma} \bar{\omega} \mathcal{T}_L + \omega \mathcal{T}_R = -\frac{1}{2} \int \mathcal{R} \phi$$

$$\text{we can choose } \mathcal{R} = - \sum_{i=1}^{2g-2} \delta(z - z_i)$$

Thus the twisting amounts to

$$(2g-2) \text{ insertions of } e^{\frac{i}{2} \phi}$$

On the other hand

$$\Omega_{ijk} \psi_L^i \psi_L^j \psi_L^k = e^{-i \phi_L}$$

rescaling of  $\Omega \leftrightarrow$  shift of  $\phi$



If  $F_g$  were holomorphic sections of  $L^{2-2g}$ ,  
we could express it as a weight  $(2-2g)$

function of  $X$ 's :

$$F_g(X) = (X^0)^{2-2g} F_g\left(\frac{X^i}{X^0}\right)$$

↙ functions of  $z^i$

But, there is a holomorphic anomaly :

$$\bar{\partial}_{\bar{i}} F_g = \frac{1}{2} \bar{G}_{\bar{i}\bar{j}\bar{k}} e^{2K} G^{j\bar{j}} G^{k\bar{k}} (D_j D_{\bar{k}} F_{g-1} + \sum_{g'=1}^{g-1} D_j F_{g'} D_{\bar{k}} F_{g-g'})$$

(BCOV '93)

What this is telling us is

that  $\Psi = \exp\left(\sum_g F_g \lambda^{2g-2}\right)$

should be regarded as a wave function  
on  $H_3(CY_3, \mathbb{R})$



Fix background  $(z^i, \bar{z}^i) \in \mathcal{M}_V$ .

Deform by  $x^i$ .

$$F_g = \int_{\mathcal{M}_g} \langle (G_L^-)^{3g-3} (G_R^-)^{3g-3} \times \exp \left[ \int_{\Sigma_g} x^i G_L^- G_R^- \mathcal{O}_i \right] \rangle$$

$\mathcal{O}_i$  : chiral primary  $\Leftrightarrow$

$$x^i \in H^{2,1}$$

This shifts  $\frac{z^i}{z^0} \rightarrow \frac{\bar{z}^i}{\bar{z}^0} + x^i$

keeping

$\bar{z}^I$  : fixed.

$$F \equiv \sum_{g=0}^{\infty} \lambda^{2g-2} F_g(x^i; z, \bar{z})$$

We can combine  $\lambda, x^i$  into

extended moduli  $x^I$ .

$F(x; z, \bar{z})$  thus defined

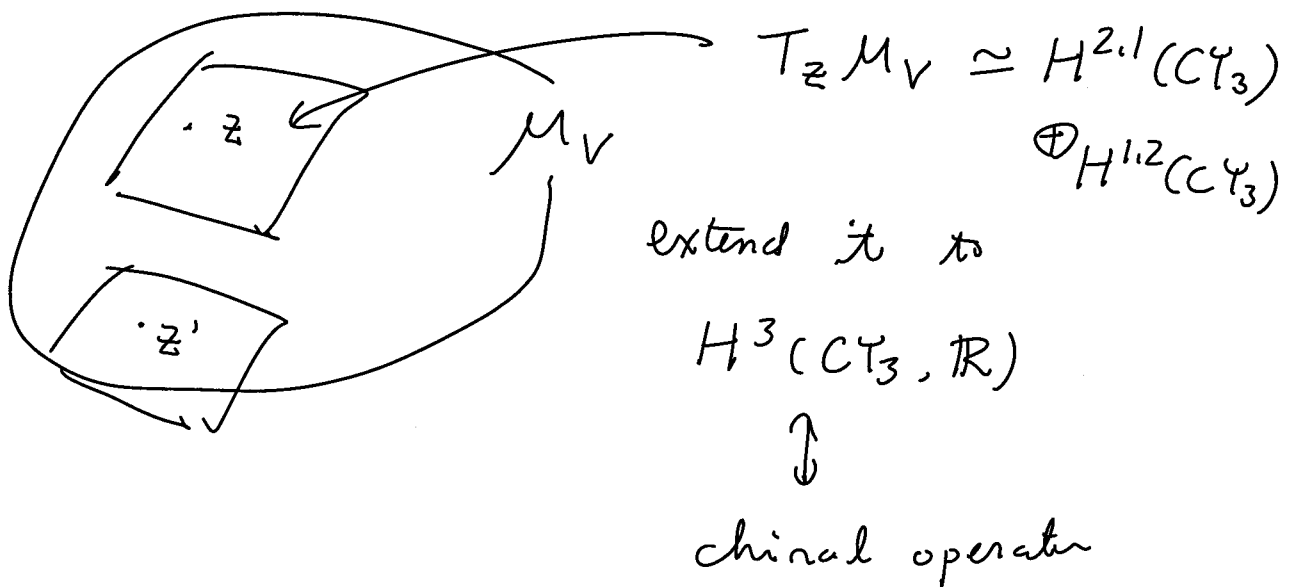
satisfies :

$$\psi_{top} = \exp ( F (x ; z, \bar{z}) )$$

$$\frac{\partial}{\partial \bar{z}^I} \psi_{top} = \left( \frac{1}{16\pi i} \bar{C}_I^{JK} \frac{\partial^2}{\partial x^J \partial x^K} + \frac{1}{4i} \bar{C}_{IJ}^J \right) \psi_{top}$$

$$\frac{\partial}{\partial \bar{z}^I} \psi_{top} = \left( \frac{\partial}{\partial x^I} - \frac{i}{2} C_{IJ}^K x^J \frac{\partial}{\partial x^K} - i\pi C_{IJK} x^J x^K \right) \psi_{top}$$

This can be interpreted as follows. (Witten)



At each  $z$ ,

we can quantize  $H^3$ .

$\Rightarrow$  parallel transport between different  $z$ ?

Holomorphic polarization :

$$H^3(CY_3, \mathbb{R}) = H^{3,0} \oplus H^{2,1} \oplus H^{1,2} \oplus H^{0,3}$$

w.r.t. complex structure at  $z$ .

Wave function is a holomorphic function

$$f \in H^{3,0} \oplus H^{2,1}$$

Going to different point  $z' \in M_V$

$\Rightarrow$  Canonical transformation

( $\sim$  Fourier transform)

$\Rightarrow$  holomorphic anomaly equation  
as a connection formula.

Physically :

$F(x; z, \bar{z})$  gives the prepotential  
for vector multiplets coupled to Weyl  
multiplet.

# Exact Black Hole Entropy

Consider type IIB superstring on  $CY_3 \times \mathbb{R}^{3,1}$

RR 4-form  $\Rightarrow$  gauge field  $A_\mu^I$  in 4d.

$$I = 0, 1, \dots, h^{2,1}$$

D branes wrapping on 3 cycles in  $CY_3$

$q_I$  times on  $A_I$

$$A_I, B^I \in H_3(CY_3)$$

$p^I$  times on  $B^I$

$$A_I \cap A_J = B^I \cap B^J = 0$$

$$A_I \cap B^J = \delta_I^J$$

= BPS black hole in four dimensions

with electric charges  $q_I$ , magnetic charges  $p^I$

Conjecture (Strominger, Vafa + H.O.)

$$Z_{BH} \equiv \sum_{\mathbf{q}} \Omega(p, \mathbf{q}) e^{-\mathbf{q} \cdot \boldsymbol{\phi}}$$

$$= |\psi_{top}(x)|^2$$

$$\text{where } \chi^I = p^I + \frac{i}{\pi} \phi^I$$

Black hole partition function:

$$Z_{BH} = \sum_{\mathbf{p}, \boldsymbol{\phi}} \Omega(\mathbf{p}, \boldsymbol{\phi}) e^{-\mathbf{p} \cdot \boldsymbol{\phi}}$$

$\mathbf{p}$  : magnetic charges of the black hole

$\boldsymbol{\phi}$  : chemical potentials for electric charges

Perturbative topological string partition function:

$$\psi_{top}^{(pert.)} = \exp \left[ \sum_g F_g(\lambda) \right]$$

$\lambda = 4\pi i / \chi^0$  : string coupling constant

$\chi^i / \chi^0$  : complex structure of  $CY_3$

Black Hole Charges  $\Leftrightarrow$  Calabi-Yau Moduli.

$$\chi^I = p^I + \frac{i}{\pi} \phi^I \quad (I = 0, 1, \dots, h^{2,1})$$

$$Z_{BH}(\mathbf{p}, \boldsymbol{\phi}) = |\psi_{top}(\chi)|^2$$

## Why?

The perturbative topological string amplitudes give low energy effective action terms.

BCOV/1994  
AGNT

It turns out that these are the terms that are relevant in computing perturbative string corrections to the Bekenstein-Hawking entropy formula.

Lopez-Cardoso, de Wit + Mohaupt/1998-99

Define  $\mathcal{F} = \log | \Psi_{top}^{(pert)}(X) |^2$   
( $X^I$ :  $\mathcal{N}=2$  chiral superfield)

Black Hole Attractor Equations:

$$X^I = p^I + \frac{i}{\pi} \phi^I, \quad g_I = \frac{\partial}{\partial \phi^I} \mathcal{F}(p, \phi)$$

Black Hole Entropy (all order in string perturbation):

$$S_{BH}(p, \phi) = g_I \phi^I + \mathcal{F}(p, \phi)$$

This is the Legendre transformation:

$$g \longleftrightarrow \phi$$

# Entropy and Wave Function

The OSV conjecture can be inverted as:

$$\Omega(p, q) = \int d\phi |\psi_{p,q}(\phi)|^2$$

where

$$\psi_{p,q}(\phi) \equiv e^{-\frac{1}{2} q_I \phi^I - \frac{1}{2} p^I \tilde{\phi}_I} \psi_{top}(\phi)$$

$$\left( \tilde{\phi}_I = -\frac{2i}{\pi} \frac{\partial}{\partial \phi^I} \right)$$

$$e^{-\frac{1}{2} q_I \phi^I - \frac{1}{2} p^I \tilde{\phi}_I} : \text{flux changing operator}$$

$$\psi_{top}(\phi) : \text{topological string partition function}$$

If the AdS2/CFT1 makes sense, one can interpret  $\Omega$  as

$$(1) \quad \Omega(p, q) = \text{Tr}_{H_{p,q}} (-1)^F \lambda^2 e^{-\beta H}$$

AND in CFT<sub>1</sub>

(2) type IIB string partition function on AdS2 x S2 x CY3,  
euclideanized & periodically identified.

*near horizon geometry*

# Near Horizon Geometry of the Black Hole

$$AdS_2 \times S^2 \times CY_3$$

$$RR \text{ 4-form} \Rightarrow 4d \text{ gauge field } A_\mu^I$$

$$\text{on } AdS_2 \times S^2$$

$$\text{electric charge } q_I, \text{ magnetic charge } p^I$$

$$\Rightarrow 5\text{-form flux } F_5$$

$$\text{Flux Compactification on } S^2 \times CY_3$$

GVW superpotential

$$W = \int_{S^2 \times CY_3} F_5 \wedge \Omega \quad \leftarrow \begin{array}{l} \text{holomorphic 3 form} \\ \text{on } CY_3 \end{array}$$

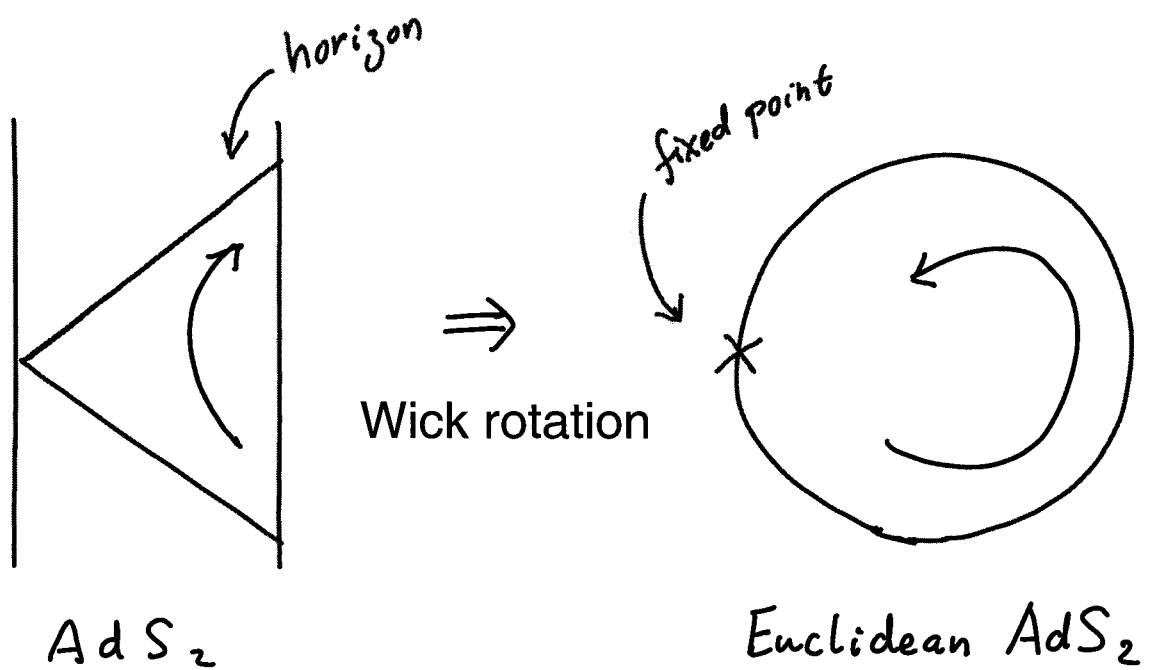
$$= q_I X^I - p^I \frac{\partial}{\partial X^I} F_0(X)$$

$$dW = 0 \Rightarrow \text{Re } X^I = p^I$$

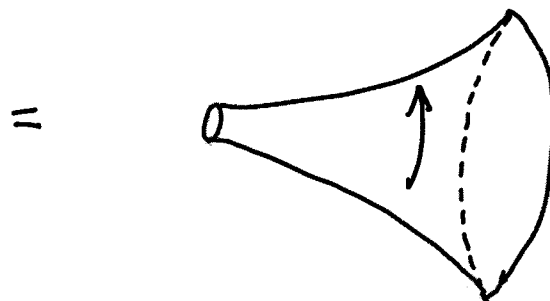
$$\text{Re } \partial_I F_0 = q_I$$

Classical  
Attractor



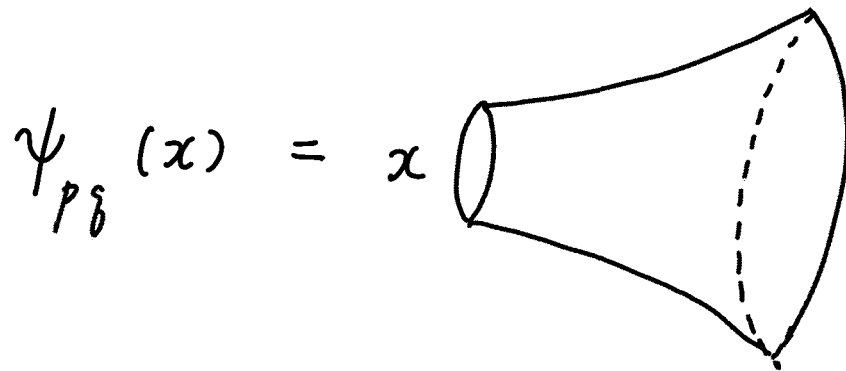


$$\Omega(p, g) = \text{Tr}_{H_{p, g}} (-1)^F \lambda^2 e^{-\beta H}$$



$$= \int d\phi |\psi_{p, g}(\phi)|^2$$

This suggests that the topological string partition function is the Hartle-Hawking type wave-function for  $\text{AdS}_2$ , Euclideanized and periodically identified.



This is similar in spirit to the earlier suggestion by de Boer, H. Verlinde, and V. Verlinde on the relation between the Wheeler-de Witt equation and the renormalization group equation in the context of the AdS/CFT correspondence.

One of the new features is that our wave-function takes into account quantum corrections to all orders in the string perturbation theory.

In the following, I will show how the OSV conjecture works in an explicit example.

The example is based on the A-model,  
so we will take the mirror of the story.

Consider D branes in type IIA string theory  
wrapping 0, 2, 4, and 6 cycles of a Calabi-Yau 3-fold.

$$g_0 = \# \text{ of } D_0 \text{ branes}$$

$$g_i = \# \text{ of } D_2 \text{ branes} \quad i = 1, 2, \dots, h^{1,1}$$

$$p^i = \# \text{ of } D_4 \text{ branes}$$

$$p^0 = \# \text{ of } D_6 \text{ branes}$$

Classical attractor equations:  $\text{Re } X^I = p^I$

$$\text{Re } 2\mathcal{I} F_0 = g_{\mathcal{I}}$$

$$\lambda = \frac{4\pi i}{X^0} : \text{topological string coupling}$$

$$t^i = \frac{X^i}{X^0} : \text{Kähler moduli}$$

$$CY_3 : \mathcal{O}(-p) \oplus \mathcal{O}(p+2g-2) \rightarrow \Sigma_g$$

Two line bundles of degrees  $-p$  and  $p+2g-2$  over a genus  $g$  Riemann surface

The total space is a Calabi-Yau manifold.

$\sum_{\text{pert.}}^{\text{top}}$

Topological string amplitudes on this CY was recently computed to all order in the perturbative expansion.

Bryan + Pandharipande/2004

$\sum_{BH}$

Consider  $N$  D4 branes on the total space of the degree  $-p$  bundle over the Riemann surface.

$$\mathcal{O}(-p) \rightarrow \Sigma_g$$

$$\chi^0 = \frac{4\pi i}{\lambda} \quad (\text{no } D_6 \text{ charge})$$

$$\chi^1 = \underbrace{(p+2g-2)N}_{D_4 \text{ charge}} + \frac{i}{\pi} \phi^1$$

We want to compute the partition function of the  $N=4$  super Yang-Mills on this 4-manifold.

$\mathcal{N}=4$  SYM on  $\mathcal{O}(-p) \rightarrow \Sigma_g$

$$S = \frac{i}{2\lambda} \int \text{tr} \left( F \wedge F + 2\theta F \wedge k \right)$$

$k$  : Kähler form on  $\Sigma_g$



Equivariant reduction on the fiber  
with respect to the  $U(1)$  rotation.

2d YM with  $q$ -deformation

$$S_{qYM} = \frac{i}{\lambda} \int_{\Sigma_g} \text{tr} \left( \bar{\Phi} F + \theta \bar{\Phi} + i \frac{p}{2} \bar{\Phi}^2 \right)$$

$q$ -deformed since  $\bar{\Phi}$  is periodic.

(  $e^{\bar{\Phi}} = e^{\oint A}$  is a good variable. )

$$q = e^{-\lambda}$$

2d  $q$ -YM partition function  $q = e^{-\lambda}$

$$Z_{BH} = S^{2-2g} \sum_{\mathcal{R}} (\dim_q \mathcal{R})^{2-2g} q^{\frac{P}{2} C_2} e^{i\theta C_1}$$

$\mathcal{R} = [\mathcal{R}_1, \dots, \mathcal{R}_N]$  : representation of  $U(N)$

$$C_2 = \sum_i \mathcal{R}_i (\mathcal{R}_i - 2i + 1) + N \mathcal{R}_i$$

$$C_1 = \sum_i \mathcal{R}_i$$

$$\dim_q \mathcal{R} = \prod_{i < j} \frac{[\mathcal{R}_i - \mathcal{R}_j - i + j]_q}{[i - j]_q}$$

$$\left( \text{where } [m]_q = q^{m/2} - q^{-m/2} \right)$$

$$S = q^{\vec{P}^2} \prod_{i < j} [i - j]_q, \quad \vec{P} : \text{Weyl vector}$$

The chemical potentials are given by

$$\phi^0 = \frac{4\pi^2}{\lambda}, \quad \phi^1 = \frac{2\pi\theta}{\lambda}$$

The above expansion is not in the form expected for  $Z_{BH}$

$Z_{BH}$  can be brought into the form expected from the black hole state counting as well as from the instanton expansion of the N=4 SYM:

$$Z_{BH} = \sum_g \Omega(p, g) e^{-g \cdot \phi}$$

by the S-duality transformation,  $\lambda \rightarrow \frac{1}{\lambda}$ .

The resulting expression reproduces mathematical facts about cohomologies on the moduli space of U(N) instantons on the 4-manifold:  $\mathcal{O}(-p) \rightarrow \Sigma_g$

For  $\mathcal{O}(-2) \rightarrow \mathbb{P}^1$ ,  
the cohomologies of the moduli space of  
of U(N) instantons make representations  
of the affine SU(2) Lie algebra of level N. (Nakajima)

Thus,  $Z_{BH}$  should be expressed in terms  
of the SU(2) affine Lie algebra characters  
and indeed it does.

$Z_{BH}$  also reproduce the blow-up formula  
in the case of  $\mathcal{O}(-1) \rightarrow \mathbb{P}^1$ . (Yoshioka)

## Large N Limit

$$Z_{BH} = S^{2-2g} \sum_{\mathcal{R}} (\dim_g \mathcal{R})^{2-2g} g^{\frac{p}{2}} c_2 e^{i\theta c_1}$$

$$\sim \sum_{m=-\infty}^{\infty} \sum_{\mathcal{R}_i} Z_{\mathcal{R}_1 \dots \mathcal{R}_{2g-2}}(\lambda; t + p\lambda m) \\ \times \bar{Z}_{\mathcal{R}_1 \dots \mathcal{R}_{2g-2}}(\lambda; \bar{t} - p\lambda m)$$

$$Z_{\mathcal{R}_1 \dots \mathcal{R}_{2g-2}}(\lambda; t) \quad g > 1 \text{ case}$$

$$= C(t) \sum_{\mathcal{R}} g^{\frac{1}{2}(p+2g-2)K_{\mathcal{R}}} e^{-t|\mathcal{R}|} \\ \times \frac{W_{\mathcal{R}\mathcal{R}_1} \dots W_{\mathcal{R}\mathcal{R}_{2g-2}}}{(W_{\mathcal{R}0})^{4g-4}}$$

where

$$W_{\mathcal{R}_1 \mathcal{R}_2}(g) = \lim_{N \rightarrow \infty} S_{\mathcal{R}_1 \mathcal{R}_2}(g, N)$$

↑  
modular S-matrix  
of the WZW model



The chiral components:

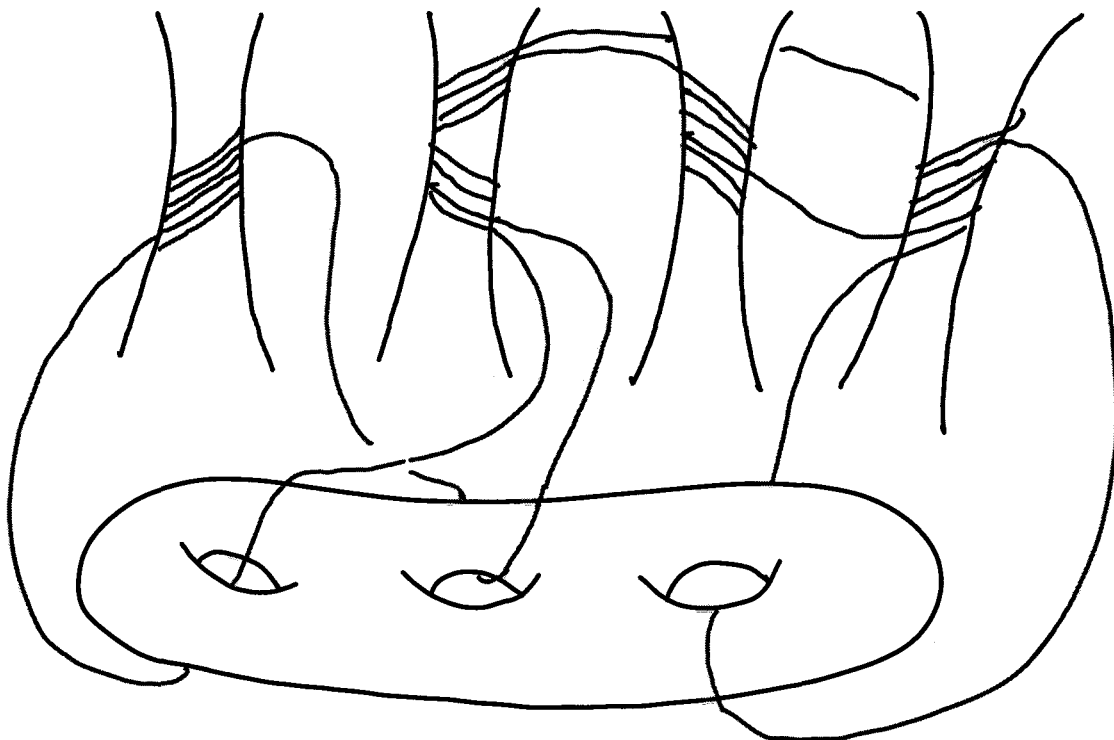
$$\mathcal{Z}(U_1, \dots, U_{2g-2})$$

$$= \sum_{R_1, \dots, R_{2g-2}} \mathcal{Z}_{R_1 \dots R_{2g-2}} \times \text{Tr}_{R_1} U_1 \dots \text{Tr}_{R_{2g-2}} U_{2g-2}$$

are topological string amplitudes for the Calabi-Yau with  $(2g-2)$  D branes wrapping Lagrangian 3-cycles.

$U_1, \dots, U_{2g-2}$  are holonomies on the D branes.

These D branes correspond to the Omega points in the ordinary (undeformed) 2d YM.



## Summary

The mixed ensemble of BPS black holes gives a non-perturbative definition of topological string theory.

This is a large  $N$  duality relating the worldvolume theory of D3 branes to topological string theory.

The topological string partition function has a mathematical interpretation as a quantization of the third cohomology of a Calabi-Yau 3-fold.

The relation to the black hole entropy shows that it has a physical interpretation as the Hartle-Hawking wave-function, including all string loop corrections.

We studied the case when the Calabi-Yau manifold is the total space of the rank 2 vector bundle. The worldvolume theory of D4 branes wrapping one of the line bundles is related to the  $q$ -deformed Yang-Mills theory on the base Riemann surface.

The large  $N$  limit of the gauge theory partition function is holomorphically factorized, and the chiral blocks are interpreted in terms of the perturbative topological string theory.

*Fin*