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*SPRING SCHOOL ON SUPERSTRING THEORY  
AND RELATED TOPICS*

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**Exact Results in SUSY Gauge Theories**

**PART I**

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**Please note: These are preliminary notes intended for internal distribution only.**

# Exact Results in SUSY Gauge Theories

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Rough breakdown: Lecture 1: Perturbative Non-renormalization  
Lecture 2: Non-perturbative Effects  
Lecture 3: SCFTs & a-maximization.

## Lecture 1

Motivation: SUSY is awesome! Maybe it's physical, maybe it's not. Either way it is a good testing ground for ideas in QFT.

Additional Motivation: Available for a fee.

### I. Brief Introduction/Review: $\mathcal{N}=1, d=4$ .

I'll use superfields. There are two kinds here, chiral & vector

$$\text{Chiral Superfield: } \underline{\Phi} = \phi + \sqrt{2} \theta^\alpha \psi_\alpha + \theta^2 F_{+-}$$

$\uparrow$                        $\uparrow$                        $\uparrow$   
cplx boson          Weyl fermion          Auxiliary field  
(scalar)

$$\text{Vector Superfield: } V = \theta^\mu \bar{\theta}^\nu A_{\mu\nu} + i \theta^2 \theta \bar{\lambda} - i \bar{\theta}^2 \bar{\theta} \lambda + \frac{1}{2} \theta^2 \bar{\theta}^2 D$$

$\uparrow$                        $\swarrow$                        $\searrow$                        $\uparrow$   
vector                      gaugino                      auxiliary field  
(e.g. gauge boson)

The auxiliary fields are not dynamical d.o.f. & may be integrated out

NB: The top component of these superfields is invariant under SUSY, up to a total derivative, e.g.  $\delta F \sim \not{\partial} \psi$ .

$\exists$  a  $U(1)$  that does not commute w/ SUSY, the R-charge.

if  $R(\phi) = r$ ,  $R(\psi) = r - 1$ , using normalization  $R(\theta) = 1$ .

SUSY action (not gauge theory yet):

$$\mathcal{L} = \int d^4x \left[ \underbrace{\mathcal{O}K(\bar{\Phi}^\dagger, \Phi)}_{\substack{\uparrow \\ \text{Kähler potential} \\ \text{e.g. } \Phi^\dagger \Phi}} + \left[ \int d^3x \underbrace{\mathcal{O}W(\Phi)}_{\uparrow \text{ Superpotential.}} + \text{h.c.} \right] \right] \quad \begin{array}{l} \text{for 1 } \bar{\Phi}, \text{ easy to} \\ \text{generalize for more} \end{array}$$

Note:  $R(W) = 2$  in our conventions.

The superpotential is manifestly only a function of  $\Phi$ , not  $\Phi^\dagger$ .

$\Rightarrow$  It is a holomorphic function of  $\Phi$ .

## II. Exact Result #1:

Theorem The superpotential is not renormalized to any order in perturbation theory !!

Classic Example:  $W = \frac{1}{2} m \Phi^2 + \frac{1}{2} g \Phi^3$ , the Wess-Zumino model.

PF of theorem: There are two  $U(1)$  symmetries,  $U(1)_R$  &  $U(1)_\Phi$ .

$\nearrow$   
 $R$

$\uparrow$   
 Phase of  $\Phi$ .

Assign charges:

	$U(1)_R$	$U(1)_\Phi$
$\phi$	0	1
$m$	2	-2
$g$	2	-3

Think of  $m, g$  as vevs.  
Assign charges as if fields,  
Thinking of spont. breaking.

But  $W$  must be a hol. function of  $\phi, m, g$  s.t.

$R(W) = 2$ ,  $U(1)_\Phi$  charge of  $W = 0$ .

$\Rightarrow W = \frac{1}{2} m \Phi^2 f\left(\frac{g\Phi}{m}\right)$  where  $f(t)$  is some function of  $t$  (not  $t^*$ !)

1st take  $g \rightarrow 0$ . Then  $f(t) \rightarrow f(0)$  must recover  $\frac{1}{2} m \Phi^2$ .

$$\Rightarrow f(t) = 1 + \sum_{n=1}^{\infty} c_n t^n$$

Now (at same time) take  $m \rightarrow 0$  s.t.  $\frac{m}{g} \rightarrow 0$ .

Can't have divergences, so no powers  $\geq 1$  are permitted

$$\Rightarrow f(t) = 1 + \frac{2}{3} t$$

↑  
match classically

$$W_{\text{eff}} = \frac{1}{2} m \Phi^2 + \frac{1}{3} g \Phi^3$$

And in fact here,  $W_{\text{exact}} = W_{\text{tree}} = \frac{1}{2} m \Phi^2 + \frac{1}{3} g \Phi^3$ .

↑ Not a gauge theory yet - that's later.

Convince yourself diagrammatically that e.g.  $\Phi^4$  corrections are not holomorphic.

II. Gauge Theories  $S = \int d^4x d^2\theta \tau \left[ \frac{\text{Tr} W_\mu W^\mu}{32\pi^2} \right]$  field strength chiral s.f.

$\tau = -\frac{8\pi^2}{g^2} + i\theta$  is the holomorphic gauge coupling;

this gives  $-\frac{1}{4g^2} F_{\mu\nu} F^{\mu\nu} + \frac{\theta}{32\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu} + \text{gauginos}$

There's a 1-loop  $\beta$  function (can see diagrammatically):

$$\mu \frac{\partial g}{\partial \mu} = -\frac{b g^3}{16\pi^2}, \quad b = \frac{11}{6} T(\text{adj}) - \frac{1}{3} \sum_a T(\mathbf{r}_a) - \frac{1}{6} \sum_{\hat{a}} T(\mathbf{r}_a)$$

↑ Weyl fermion      ↑ cplx scalar

$$\left( T(\mathbf{r}) = \text{Tr} T^a T^a = \frac{1}{2} T(\mathbf{r}) \delta^{ab} \quad T(\text{adj of } SU(N)) = 2N \right)$$

$$T(\mathbf{1}) = 1$$

SUSY

gauginos

$$\left(\frac{11}{6} - \frac{1}{3}\right) T(\text{adj}) = \frac{3}{2} T(\text{adj})$$

$$-\frac{1}{3} T(r_i) - \frac{1}{6} T(r_i) = -\frac{1}{2} T(r_i)$$

supermatter

$$b = \frac{3}{2} T(\text{adj}) - \frac{1}{2} \sum_i T(r_i)$$

But  $\tau$  is a cplx parameter, so can treat as K.s.f.  $\Rightarrow$  everything must be holomorphic in  $\tau$ !

$$\beta(\tau) = \frac{\partial \tau}{\partial \ln \mu} = f(\tau) \Rightarrow \frac{\partial}{\partial \ln \mu} \left[ \frac{-8\pi^2}{g^2} + i\Theta \right] = f(\tau).$$

But  $\Theta$  doesn't run, b/c we have a massless fermion - can shift into phase of gauginos.

$\Rightarrow f(\tau)$  indep. of imaginary part

$\Rightarrow f(\tau) = \text{real constant} = -b.$

$$\Rightarrow \left[ \frac{\partial}{\partial \ln \mu} \left[ \frac{-8\pi^2}{g^2} + i\Theta \right] = -b \right]$$

The holomorphic 1-loop  $\beta$  function is exact!

Integrate:  $-\frac{8\pi^2}{g^2} + i\Theta = b \ln\left(\frac{\Lambda}{\mu}\right), \text{ so } \Lambda = |\Lambda| e^{i\Theta/b}.$

Can usefully think of the strong coupling scale  $\Lambda$  as complex, with phase  $\Theta/b$ .

IV. Does anything run?

Yes, the physical couplings.

Kähler potential not under control!!

$$K_{cl} \rightarrow K_{\text{quantum}} = Z \Phi^\dagger \Phi.$$

Canonically normalizing means  $\Phi_{\text{can}} = Z^{-1/2} \Phi$

↑  
not holomorphic.

$$\text{Ex] } g \Phi^3 \xrightarrow{g_{\text{phys}}} g Z^{-3/2} \Phi_{\text{can}}^3$$

$$\text{So } \frac{\partial}{\partial \ln \mu} g_{\text{phys}} = g \cdot \left( -\frac{3}{2} Z^{-3/2} \frac{\partial Z}{\partial \ln \mu} \right)$$

$$= \frac{3}{2} g Z^{-3/2} \left( -\frac{\partial \ln Z}{\partial \ln \mu} \right) = \boxed{\frac{3}{2} g_{\text{phys}} \gamma_\Phi(g)}$$

The physical couplings do run!

A similar canonical renorm of gauge fields gives

$$\boxed{\beta_{\text{NSVZ}}(g) = \frac{-g^3}{16\pi^2} \frac{3T(G) - \sum T(R_i) (1 - \gamma_i(g))}{1 - \frac{g^2 T(\text{adj})}{8\pi^2}}$$

This is the running of the physical gauge coupling!

