



The Abdus Salam
International Centre for Theoretical Physics



SMR 1666 - 11

**SCHOOL ON QUANTUM PHASE TRANSITIONS
AND
NON-EQUILIBRIUM PHENOMENA IN COLD ATOMIC GASES**

11 - 22 July 2005

Fermions and bosons in low dimensions

Presented by:

Thierry Giamarchi

University of Geneva, Switzerland

Fermions and bosons in low dimensions

T. Giamarchi [lectures I & II]

Collaborators on cold atoms :

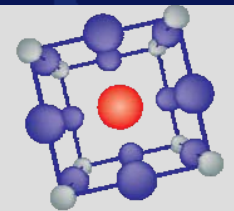
M.A. Cazalilla, A.F. Ho, A. Iucci



UNIVERSITÉ DE GENÈVE

FNSNF

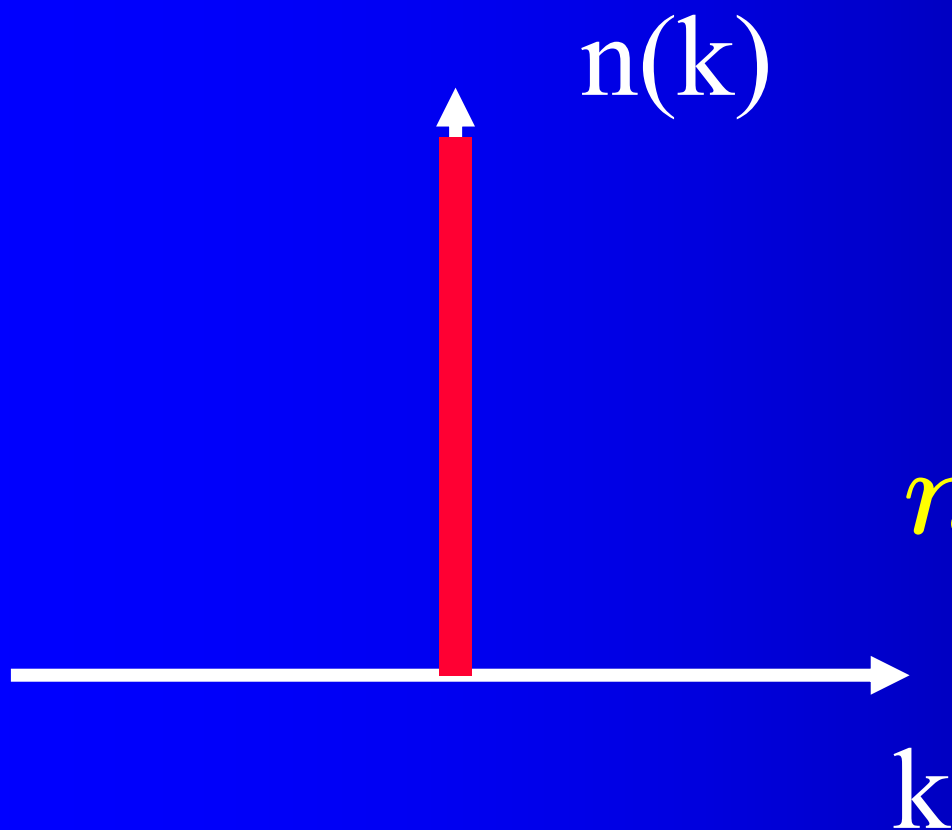
FONDS NATIONAL SUISSE
SCHWEIZERISCHER NATIONALFONDS
FONDO NAZIONALE SVIZZERO
SWISS NATIONAL SCIENCE FOUNDATION



MaNEP
SWITZERLAND

Free bosons : crash course

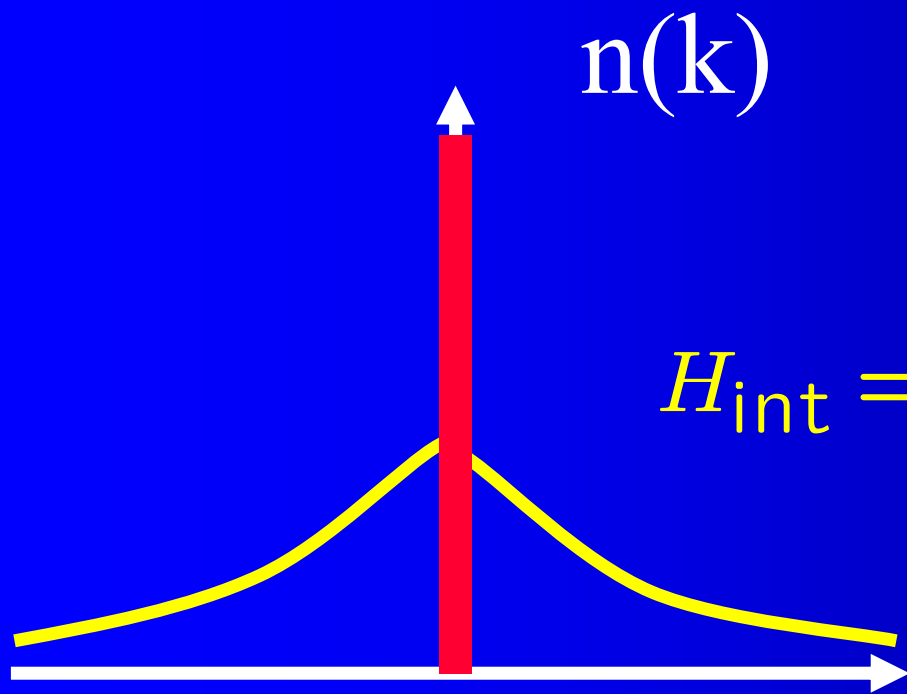
- Free particles: condensation in $k=0$ state



$$H_0 = \sum_k \xi_k b_k^\dagger b_k$$

$$n(k) = \langle 0 | b_k^\dagger b_k | 0 \rangle$$

- Excitations: single particles with momentum k
- Do interactions change this ?

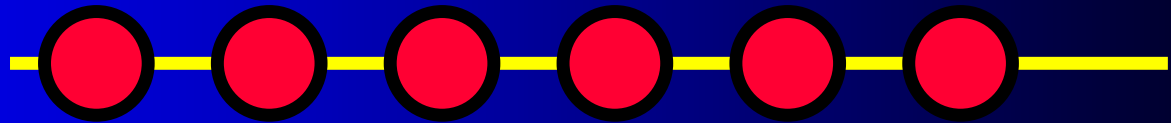
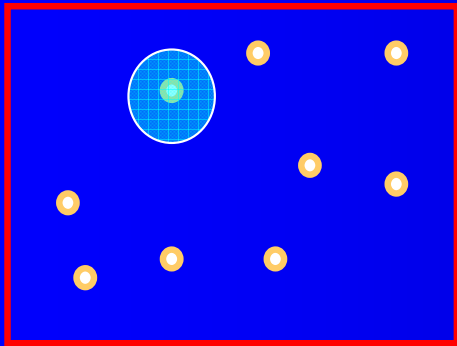


$$H_{\text{int}} = \frac{1}{\Omega} \sum_{k, k', q} V(q) b_{k+q}^\dagger b_{k'-q}^\dagger b_{k'} b_k$$

Not much !

One dimension is different

- No individual excitation can exist (only collective ones)



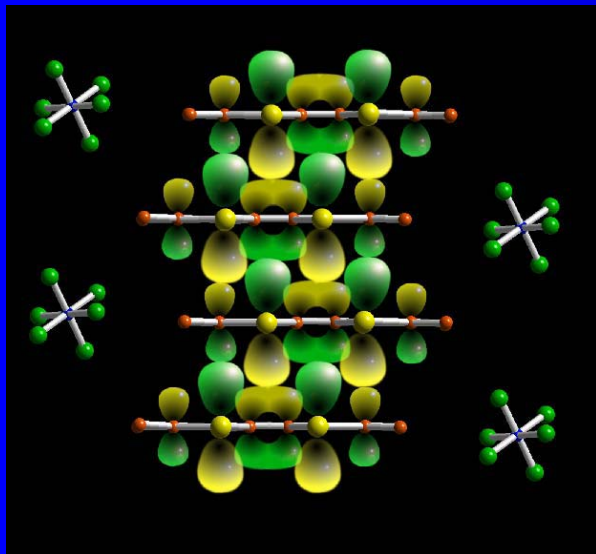
- Strong quantum fluctuations

$$\psi \rightarrow \psi e^{i\theta}$$

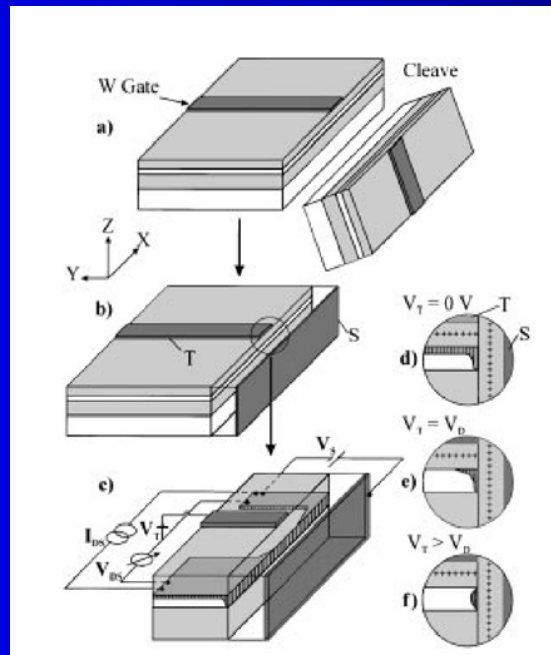
Continuous symmetry

Does one dimension exist ?

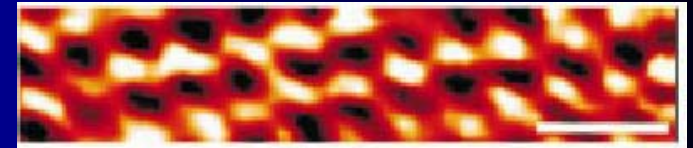
Hard to realize in condensed matter



Organic conductors



Quantum wires



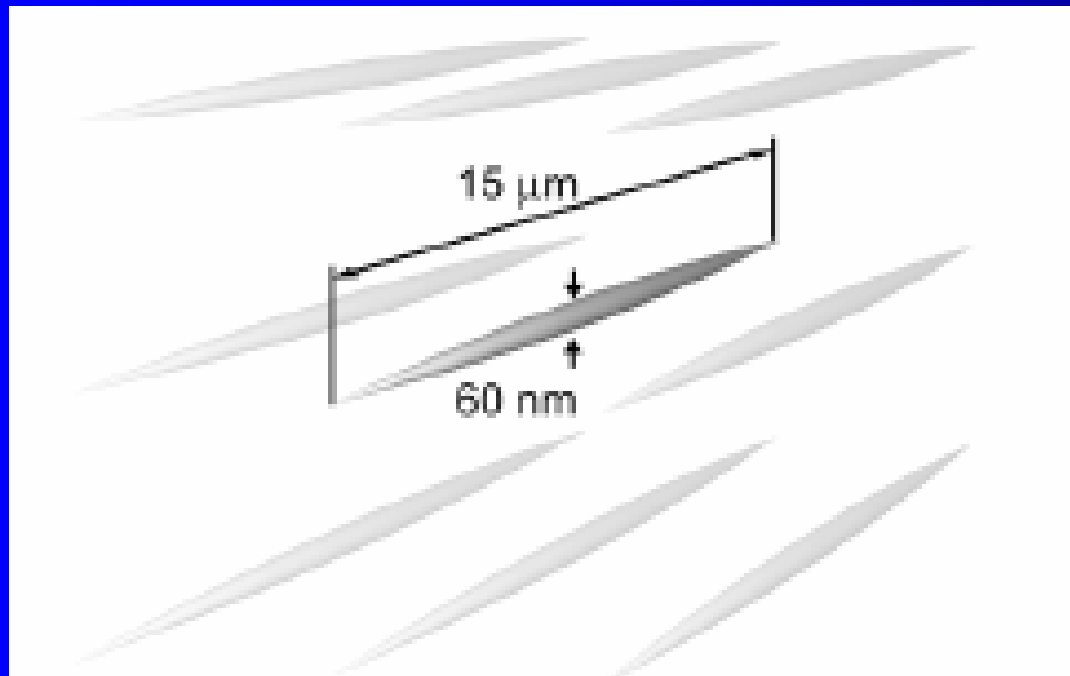
Nanotubes

- Josephson junctions
- Ladders
- Edge states in FQHE

Cold atoms: ideal systems

Optical lattices, Chips

$N_0 \sim 10$ to 10^3 atoms



M. Greiner et al. PRL (2001)

W. Haensel et al. Nature
(2002)

.....

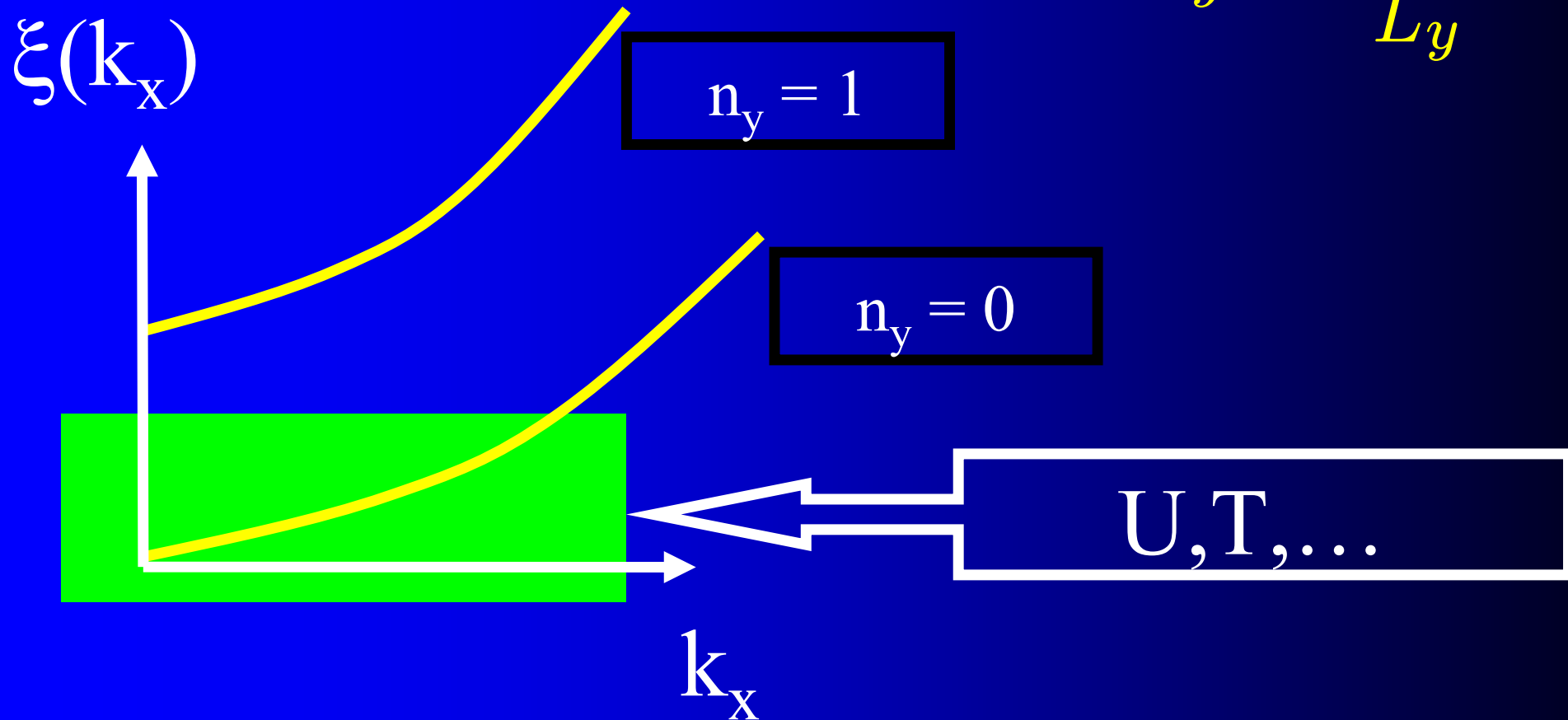
T. Stoferle *et al.* PRL **92** 130403 (2004)

What does 1D means ?

$$\xi(k) = \frac{k_x^2}{2m} + \frac{k_y^2}{2m}$$

$$k_x \sim \frac{2\pi n_x}{L_x}$$

$$k_y \sim \frac{2\pi n_y}{L_y}$$



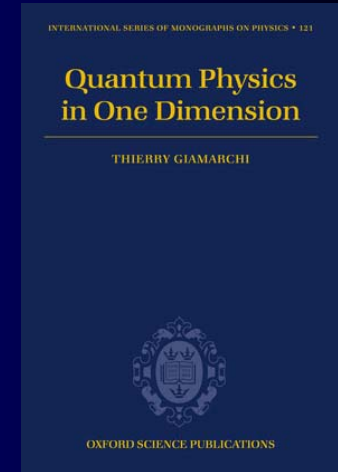
Questions

- How to deal with interactions/quantum fluctuations
- What is the new physics in 1D ?
 - Change of nature of the « particles »
 - New phases (Mott insulators)
- How to go from 1D to higher dimensions

General references on 1D

- Will follow closely:

TG, Quantum physics in one dimension, Oxford (2004)



- Emery, V. J. (1979). Highly conducting one dimensional solids, pp. 247. Plenum.
- Solyom, J. (1979). Adv. Phys., 28, 209.
- Schulz, H. J. (1995). *Les Houches LXI* pp. 533. Elsevier.
- Voit, J. (1995). Rep. Prog. Phys., 58, 977.
- von Delft, J. and Schoeller, H. (1998). Ann. Phys., 7, 225.
- Gogolin, A. O., Nersesyan, A. A., and Tsvetlik, A. M. (1999). Bosonization and Strongly Correlated Systems. Cambridge University Press, Cambridge.
- Schonhammer, K. (2002). J. Phys. C, 14, 12783.
- Senechal, D. (2003). CRM Series in Mathematical Physics, Springer. cond-mat/9908262.

How to study

- Exact methods (Bethe Ansatz)

Exact

spectrum; limited to very special models

- Numerics

“Exact”

special models, size limitations,
quantities specific to models

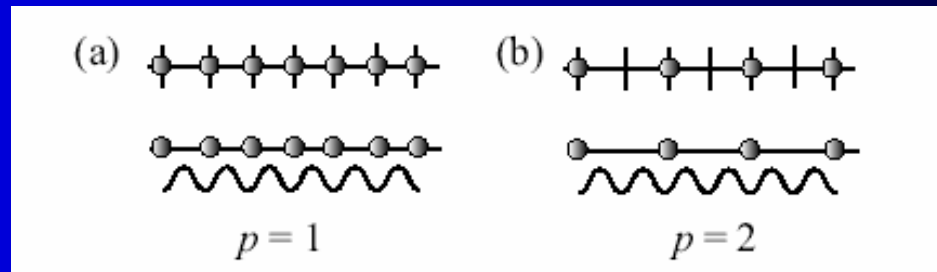
- Low energy methods methods

Models

- Continuum:

$$H = \int dx \frac{(\nabla\psi)^\dagger(\nabla\psi)}{2M} + \frac{1}{2} \int dx dx' V(x-x')\rho(x)\rho(x') - \mu \int dx \rho(x)$$

- Lattice:

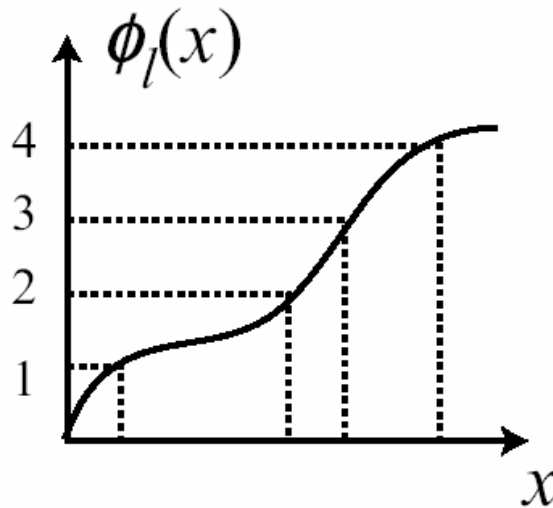
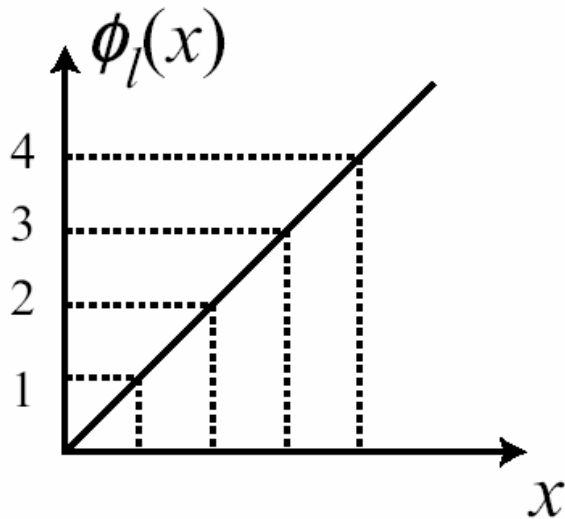


$$H = -J \sum_{\langle i,j \rangle} b_i^\dagger b_j + U \sum_i n_i(n_i - 1) - \mu \sum_i n_i$$

Labelling the particles

$$\begin{aligned}\rho(x) &= \sum_i \delta(x - x_i) \\ &= \sum_n |\nabla \phi_l(x)| \delta(\phi_l(x) - 2\pi n)\end{aligned}$$

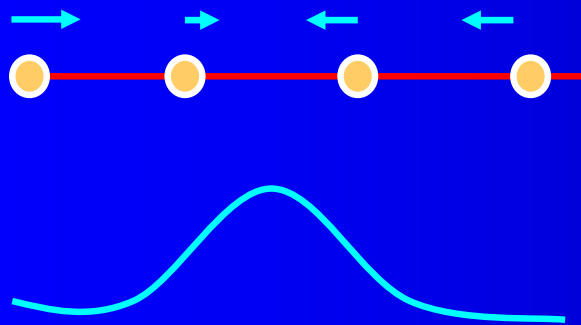
1D: unique
way of
labelling



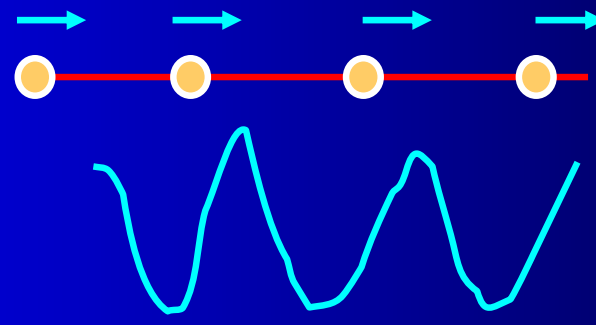
$$\phi_l(x) = 2\pi\rho_0x - 2\phi(x)$$

$$\rho(x) = \left[\rho_0 - \frac{1}{\pi} \nabla \phi(x) \right] \sum_p e^{i2p(\pi\rho_0x - \phi(x))}$$

$\phi(x)$ varies slowly



$$q \sim 0$$



$$q \sim 2\pi\rho_0$$

CDW

$$\psi^\dagger(x) = [\rho(x)]^{1/2} e^{-i\theta(x)}$$

θ : superfluid phase

$$\left[\frac{1}{\pi} \nabla \phi(x), \theta(x') \right] = -i\delta(x - x')$$

Quantum
fluctuations

$$\psi_B^\dagger(x) = \left[\rho_0 - \frac{1}{\pi} \nabla \phi(x) \right]^{1/2} \sum_p e^{i2p(\pi\rho_0 x - \phi(x))} e^{-i\theta(x)}$$

All short distance properties: form of the operators. ϕ, θ : smooth fields

Hamiltonian

$$\int dx \frac{(\nabla\psi)^\dagger(\nabla\psi)}{2M} \rightarrow \frac{\rho_0}{2M} \int dx (\nabla\theta(x))^2$$

$$\frac{1}{2} \int dx dx' V(x-x')\rho(x)\rho(x') \rightarrow \frac{U}{2\pi^2} \int dx (\nabla\phi(x))^2$$

$$H = \frac{\hbar}{2\pi} \int dx \left[\frac{uK}{\hbar^2} (\pi\Pi(x))^2 + \frac{u}{K} (\nabla\phi(x))^2 \right]$$

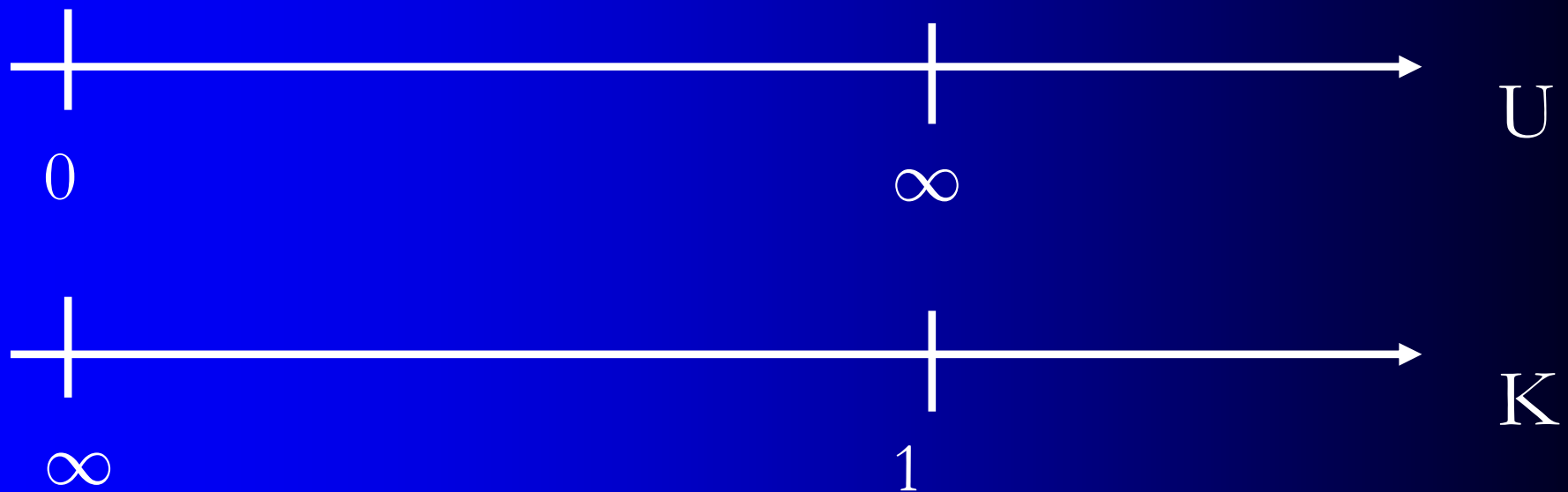
Luttinger liquid concept

- How much is perturbative
- Nothing provided the correct u, K are used (Haldane)
- Low energy properties: Luttinger liquid (fermions, bosons, spins...)

Luttinger parameters

u : velocity of collective excitations

K : dimensionless parameter

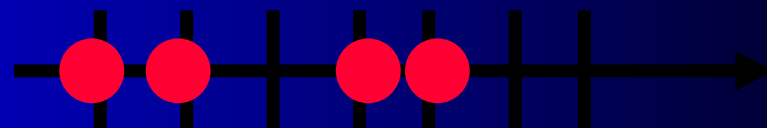
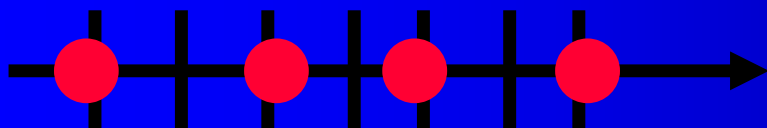
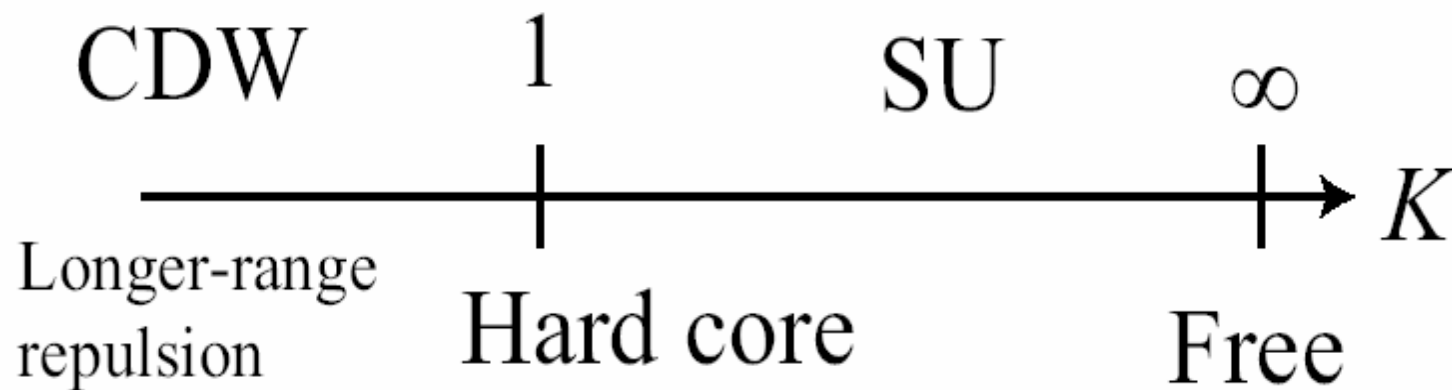


Tonks gas

Correlations

$$\langle \psi(r) \psi^\dagger(0) \rangle = A_1 \left(\frac{\alpha}{r} \right)^{\frac{1}{2K}} + \dots$$

$$\langle \rho(r) \rho(0) \rangle = \rho_0^2 + \frac{K}{2\pi^2} \frac{y_\alpha^2 - x^2}{(y_\alpha^2 + x^2)^2} + A_3 \cos(2\pi \rho_0 x) \left(\frac{1}{r} \right)^{2K} + \dots$$

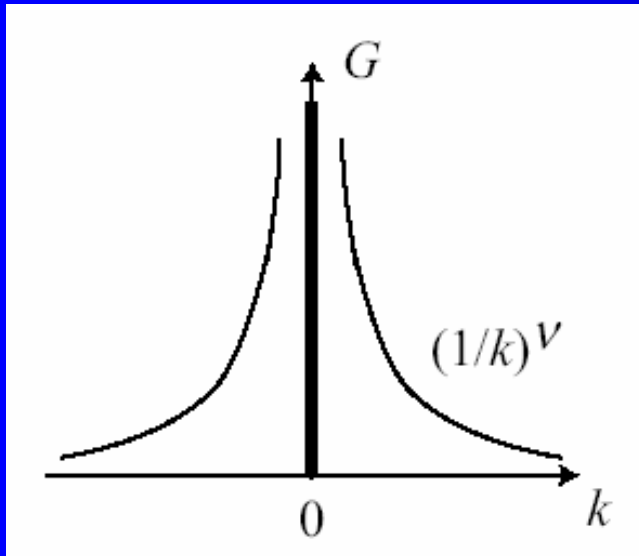


Condensate ?

$$G(x, \tau) = -\langle T_\tau \psi(x, \tau) \psi^\dagger(0, 0) \rangle$$

$$n(k) = -\int dx e^{ikx} G(x, \tau = 0^-)$$

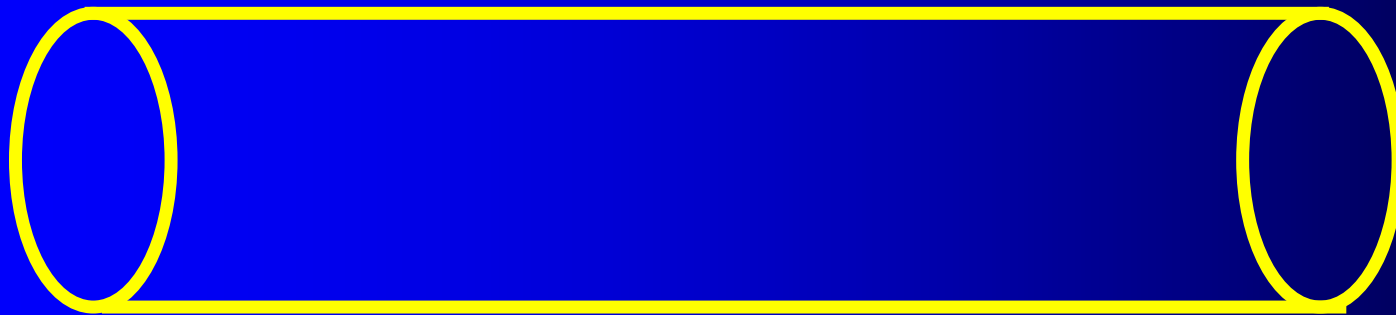
$$\nu = \frac{1}{2K} - 1$$



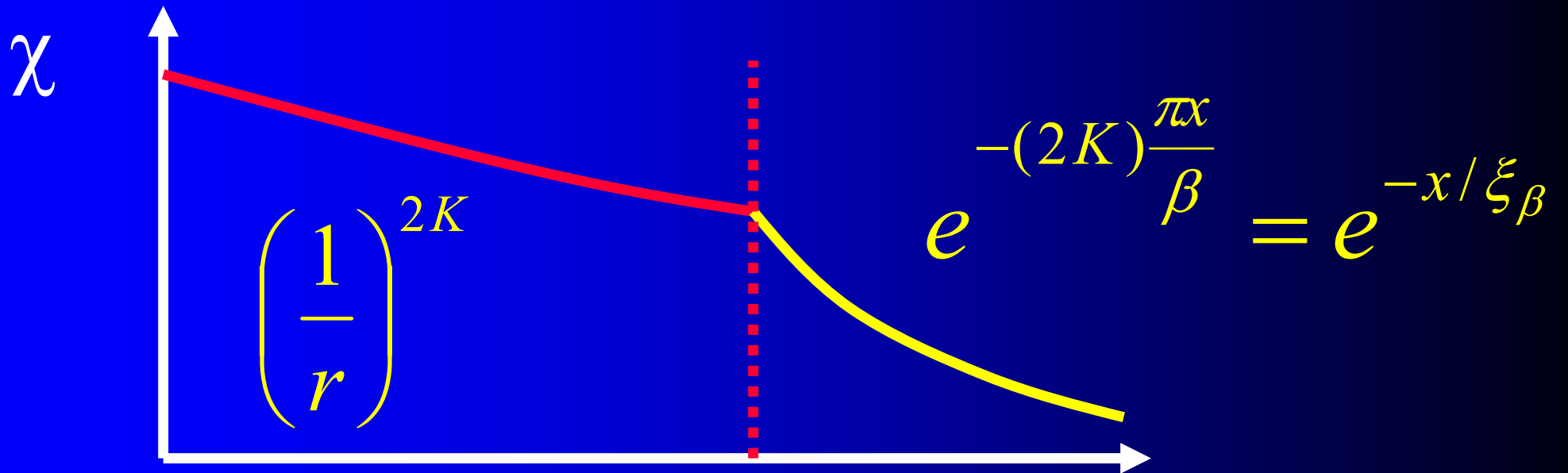
No true condensate
($K \neq 0$)

Finite temperature

Conformal theory



β

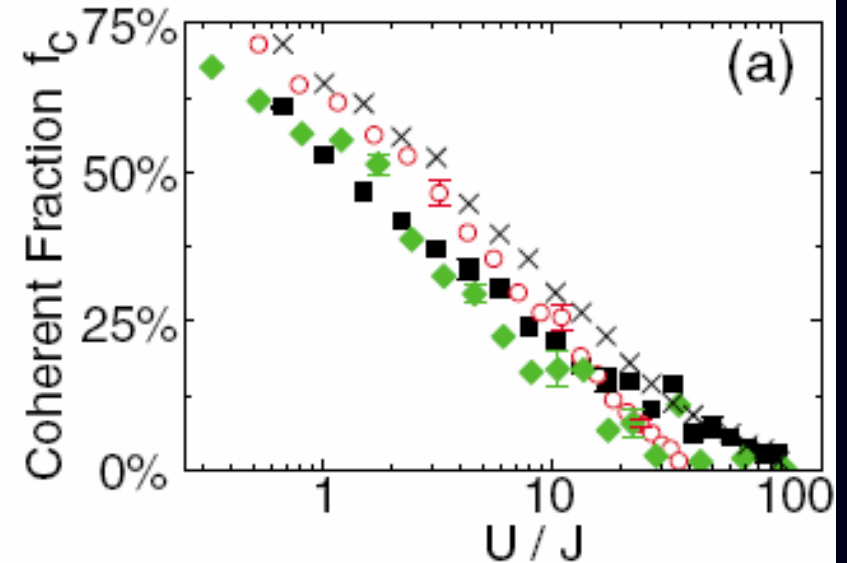
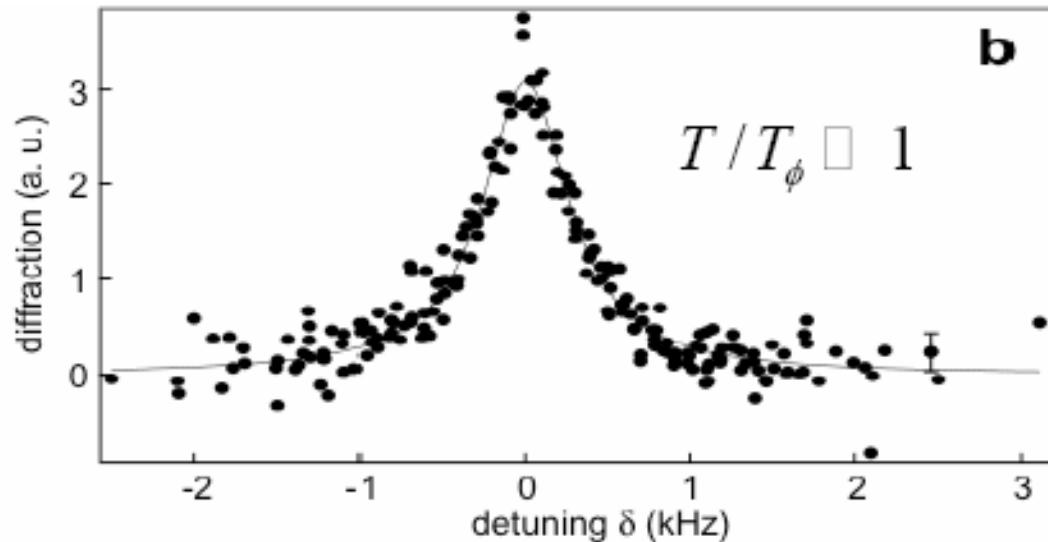


χ

$$\left(\frac{1}{r}\right)^{2K}$$

$$e^{-(2K)\frac{\pi x}{\beta}} = e^{-x/\xi\beta}$$

Quantum depletion of condensate

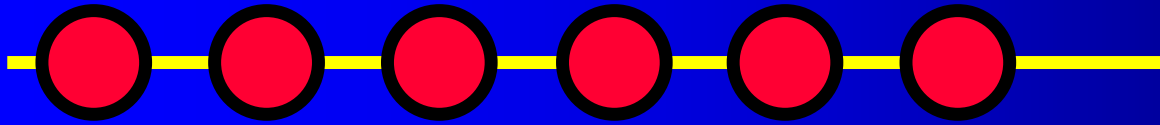


P. Bouyer *et al.* (2004)

T. Stoferle *et al.* (2004)

Good qualitative agreement

Tonks limit



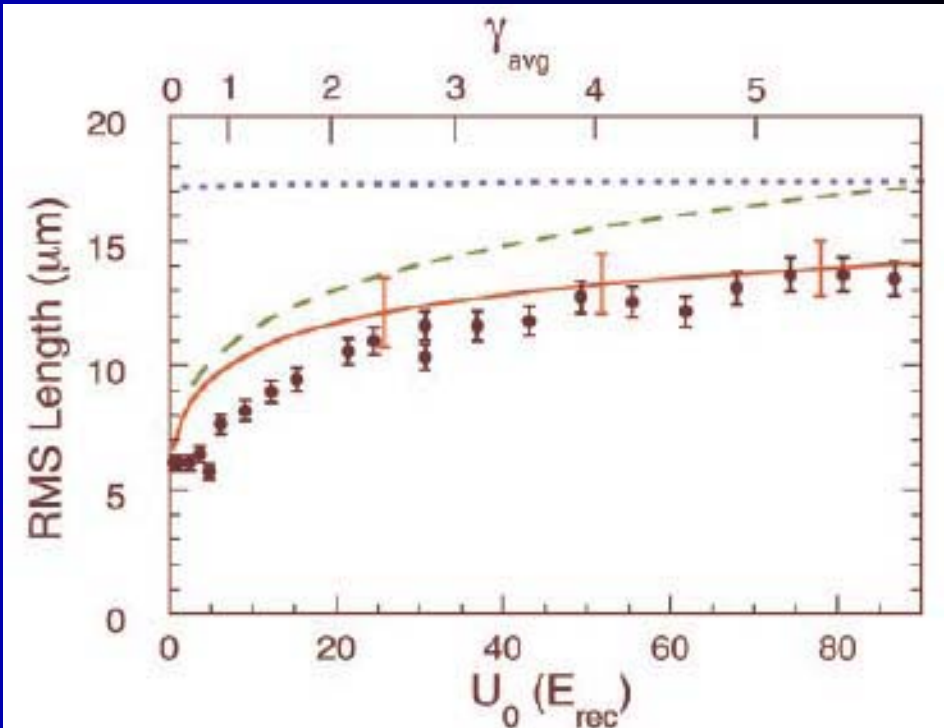
$U = \infty$: spinless fermions

Not for $n(k)$: $\psi_F \neq \psi_B$

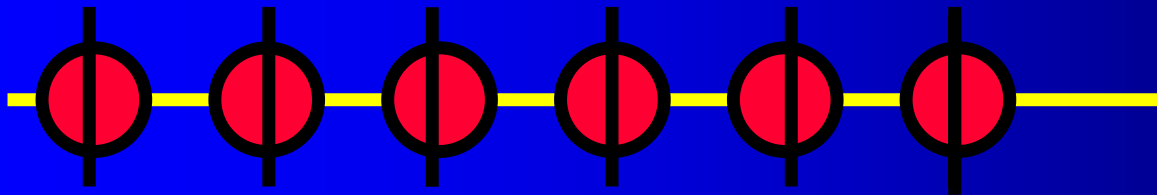
B. Paredes et al Nature (2004)

T. Kinoshita et al. Science (2004)

M. Kohl et al. cond-mat (2004)



Lattice: Mott transition

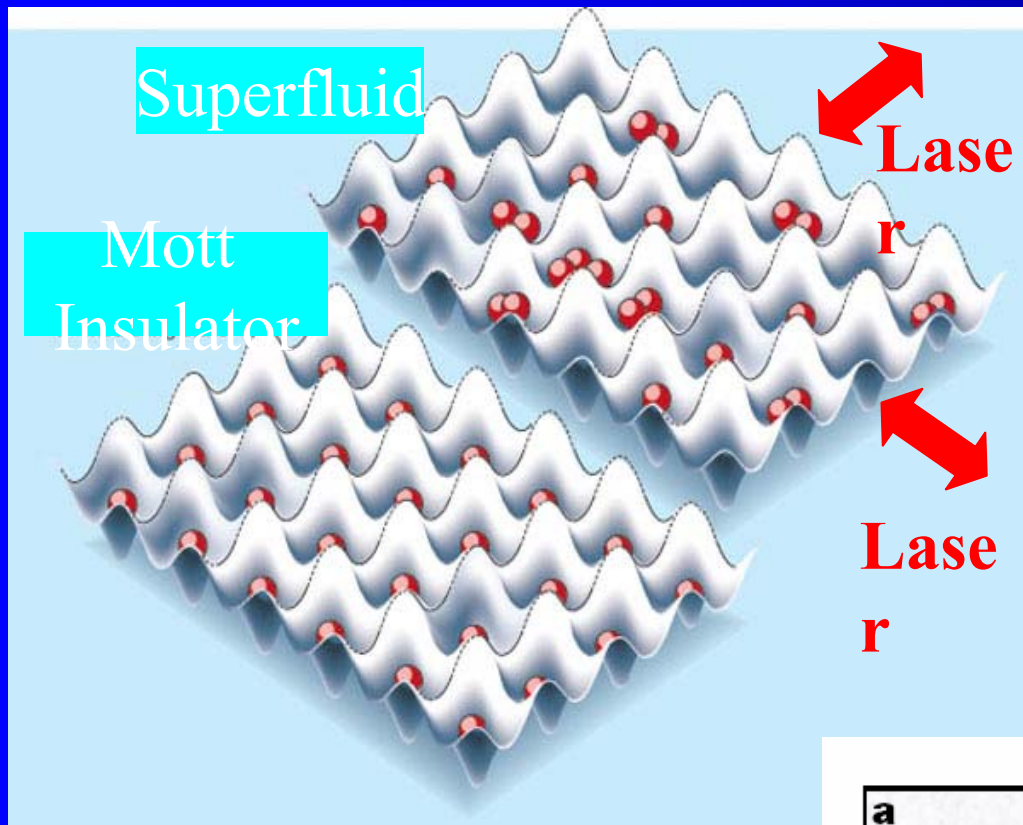


Costs U

Quantum phase transition

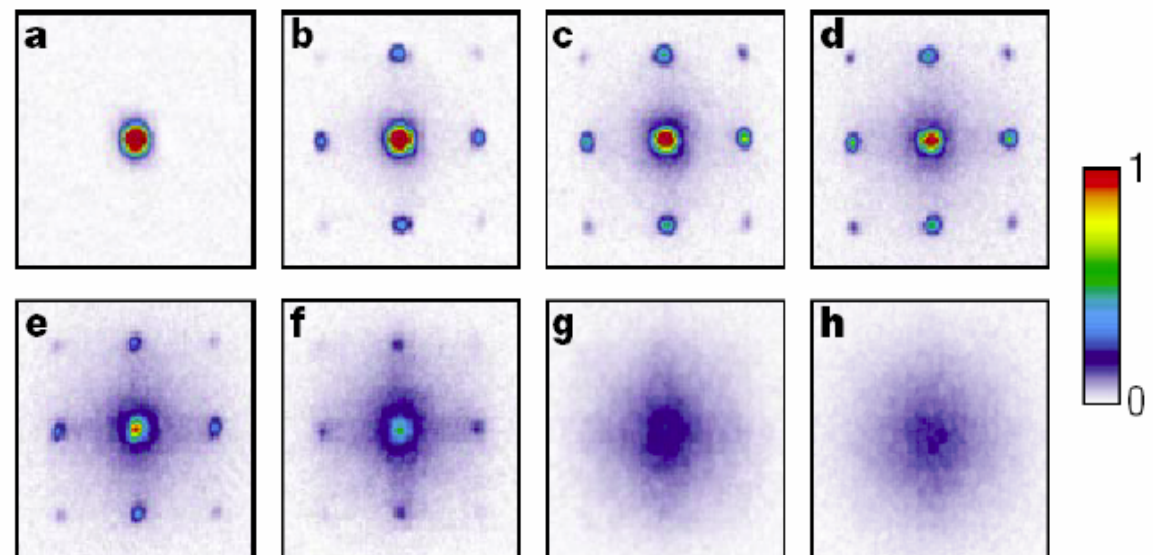


Mott transition and cold atoms

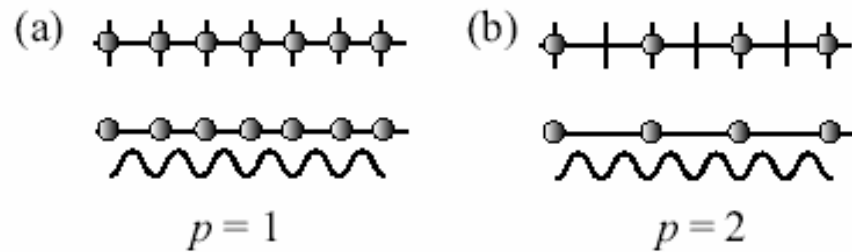


Superfluid to Mott insulator
transition in a 3D optical
lattice

[D. Jaksch et al. PRL 81 (1998)]
[M Greiner et al. Nature, 415 (2002)]



How to treat?



$$H_L = \int dx V(x) \rho(x)$$

$$= \int dx V(x) e^{i2p(\pi\rho_0 x - \phi(x))}$$

- Incommensurate: $Q \neq 2\pi\rho_0$

$$H_L = \int dx \cos(2\phi(x) + \delta x)$$

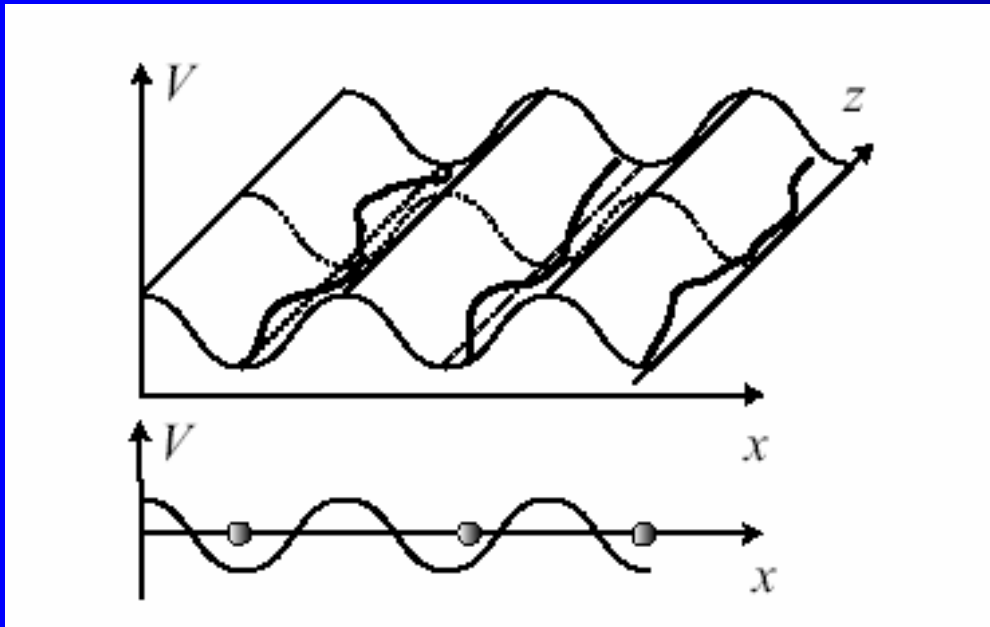
- Commensurate: $Q = 2\pi\rho_0$

$$H_L = \int dx \cos(2\phi(x))$$

Competition

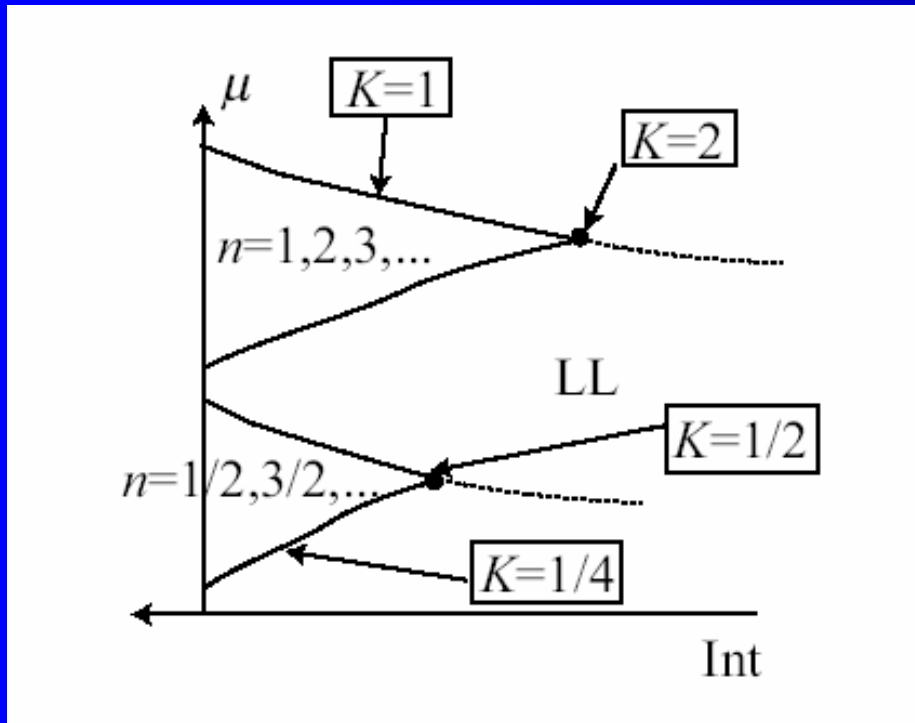
$$S = \int \frac{dx d\tau}{2\pi K} \left[\frac{1}{u} (\partial_\tau \phi(x, \tau))^2 + u (\partial_x \phi(x, \tau))^2 \right]$$

$$S_{\text{lat}} = \int dx d\tau V \cos(2\phi(x, \tau))$$



Kosterlitz-
Thouless
transition

$$K=2$$

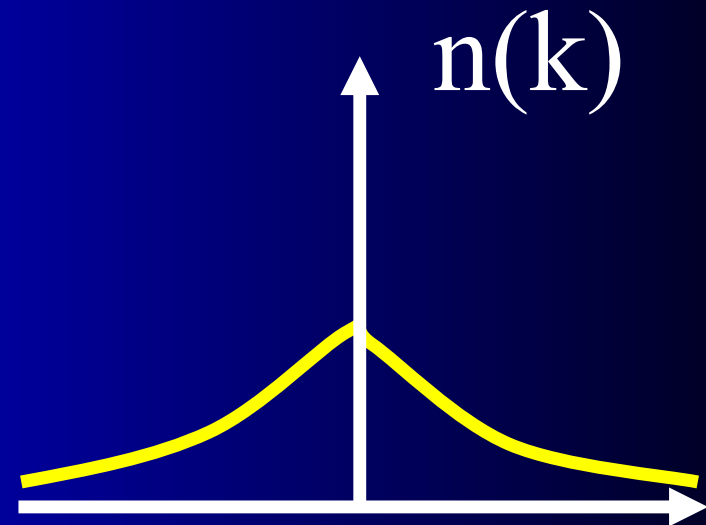


Mott insulator:
 ϕ is locked

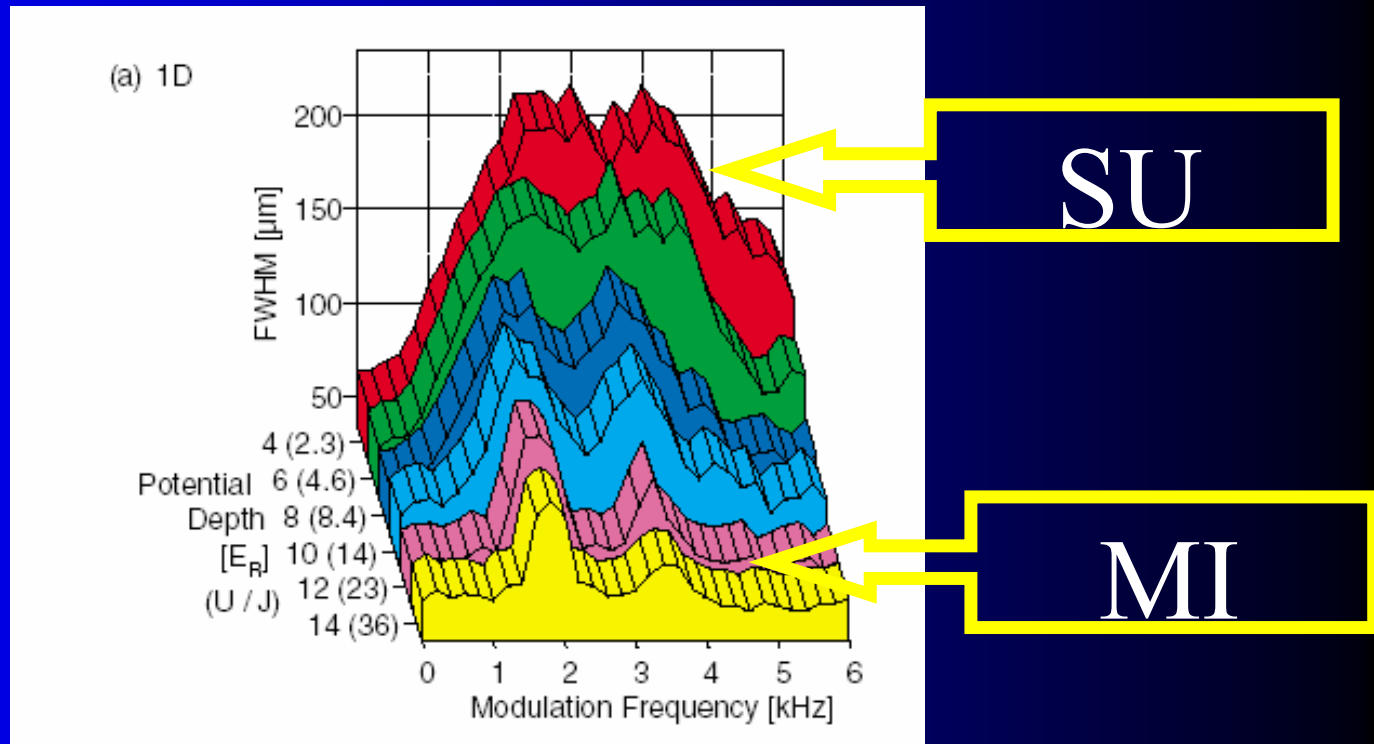
Density is fixed

Gap in the excitation spectrum

$$G(x) \propto \exp[-|x|/\xi]$$



Energy absorbed



T. Stoferle *et al.* PRL 92 130403 (2004)

$$V(x) = [V_0 + A \sin(\omega t)] \cos(Qx)$$

Bosons 1D

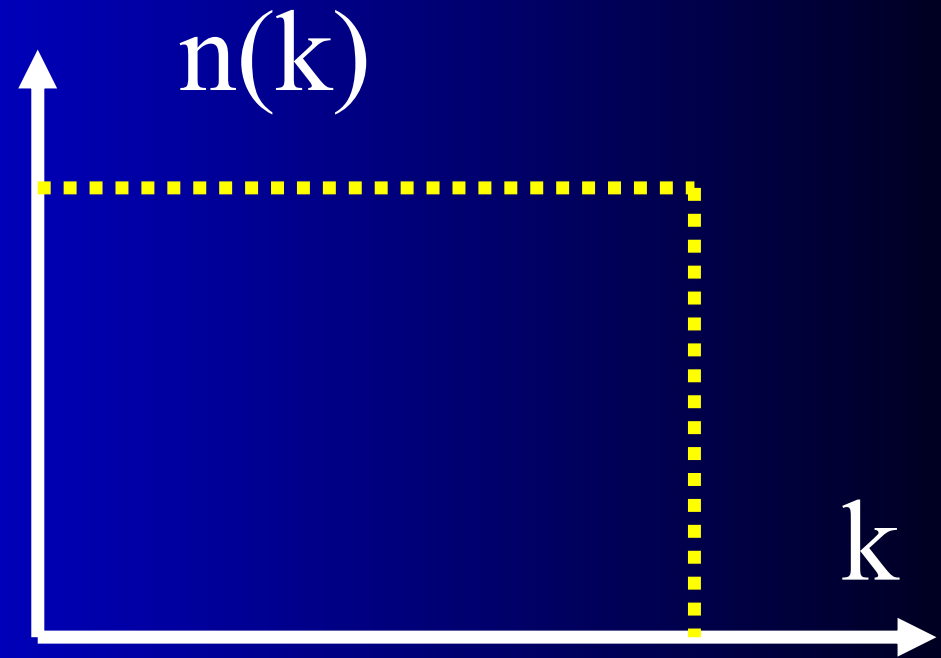
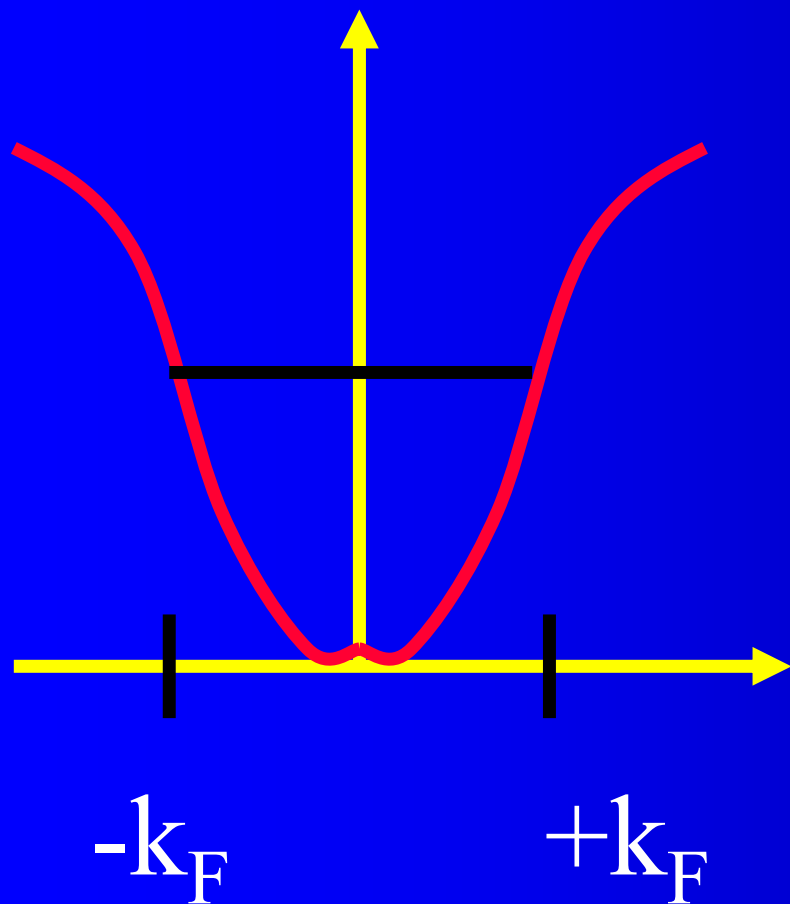
- Luttinger liquid physics
- New phases : Mott insulator
- Good qualitative agreement with exp.
- Correlation functions !

Many open points

- Confining potential
- Dynamics
- Disorder
- Etc.....

Fermions in low d

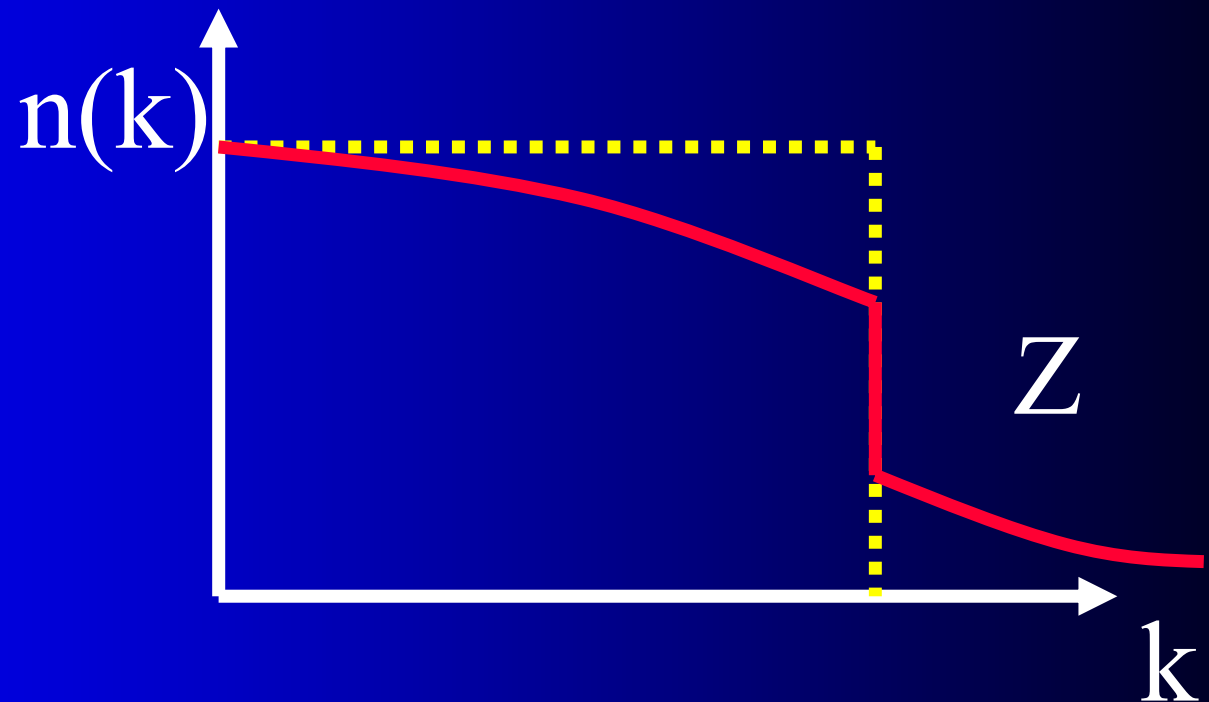
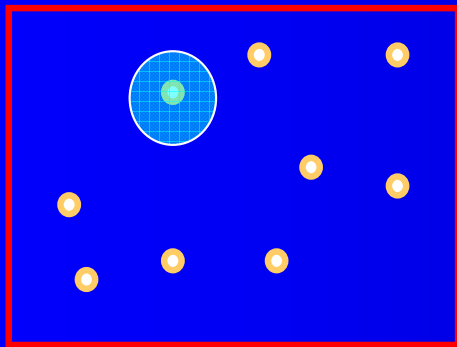
Free electrons : basics



Individual excitations:
fermions (particles or
holes)

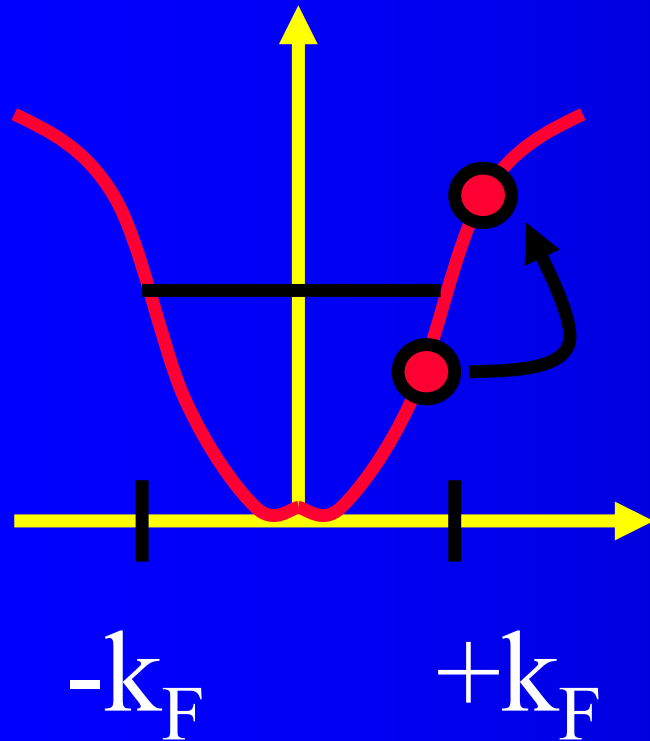
Fermi liquid : crash course

- Individual fermionic excitations exist (as for free electrons)

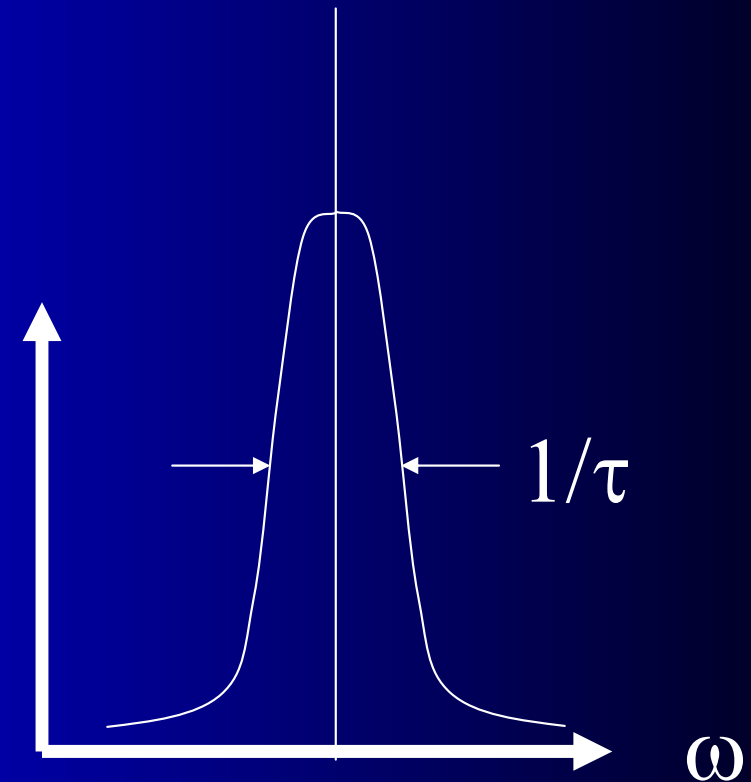


Excitations

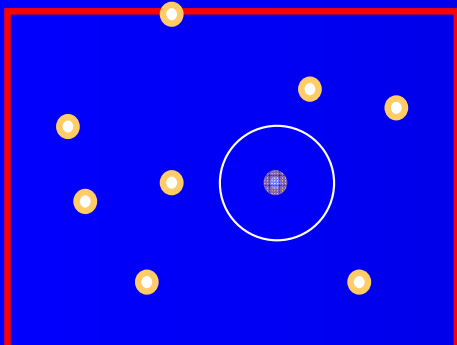
- dressed electron (quasiparticles)



$A(k, \omega)$



$E(k)$

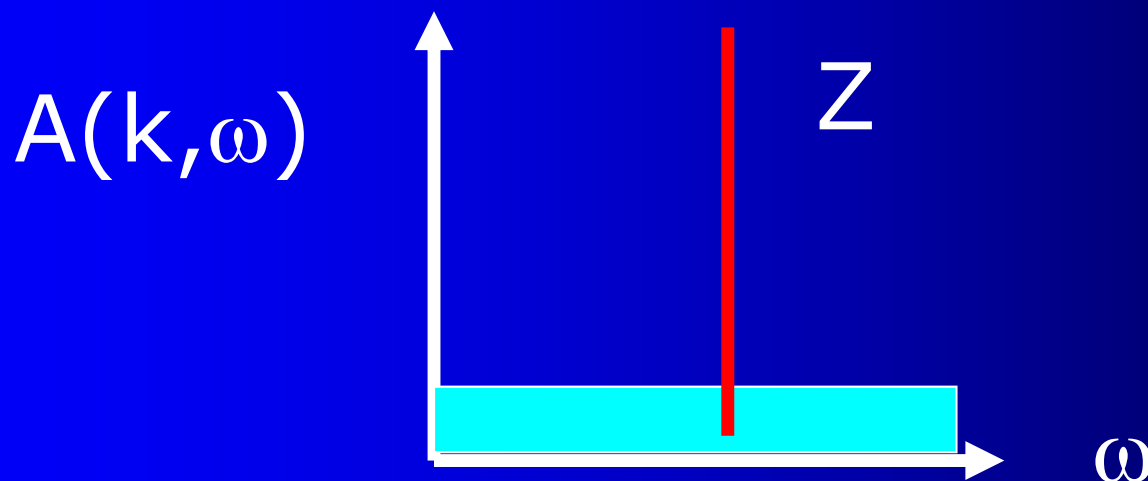


Properties

- Lifetime larger than average energy

$$\psi \propto e^{iE(k)t - t/\tau} \quad 1/\tau \propto \omega^2$$

- QP are sharp (nearly free) excitations close to the Fermi surface



Fermi liquid theory

- Shown perturbatively in U
- Much more general and robust

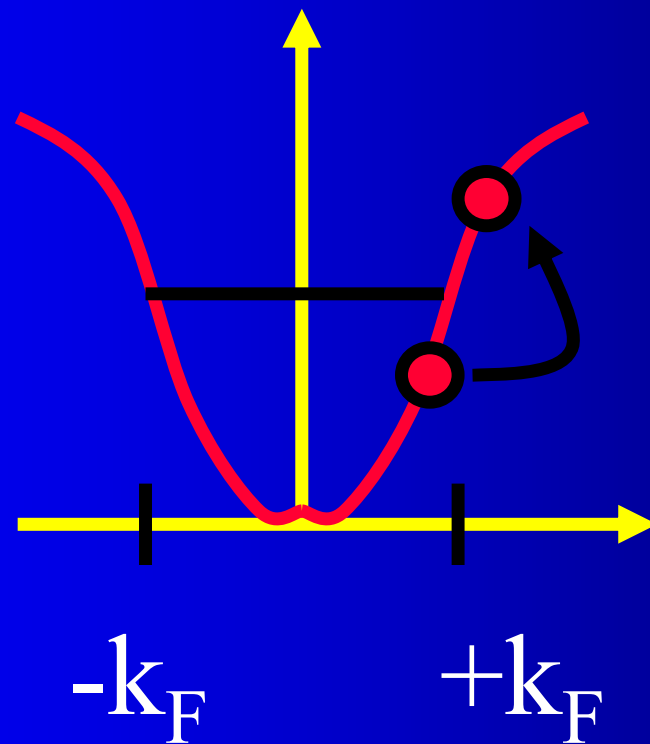
Element	m^*/m	χ/χ_0
Nb	2	1
3He	6	20
Heavy fermion	100	100

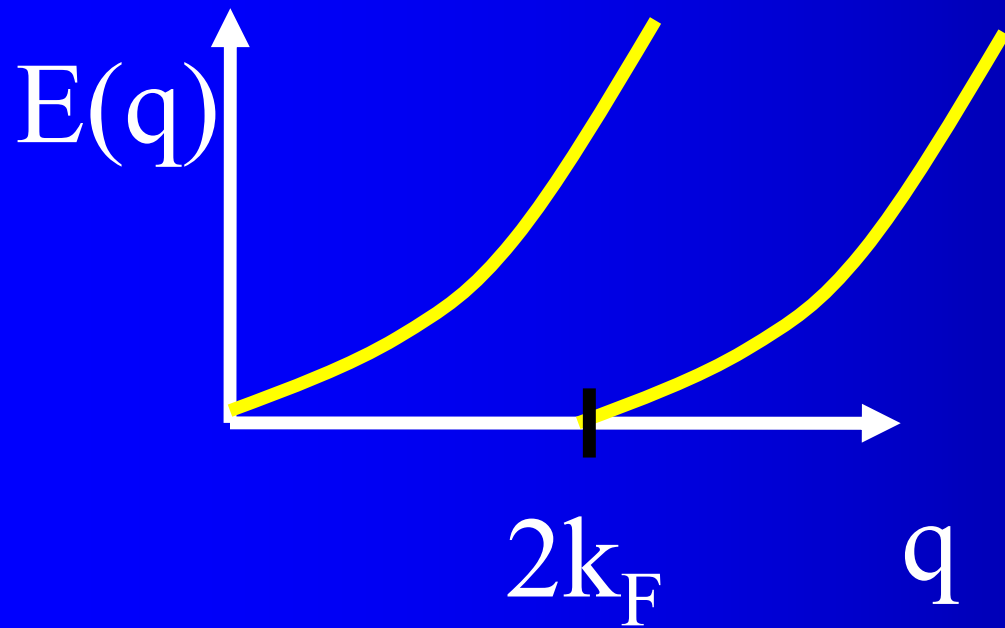
How to break this boring stuff

- Strong or unusual interactions (localized electrons, BCS, ...)
- Special fermi surfaces (nesting, singularities at E_F)
- Special dimensions ($d=1$, $d=2$ (?))

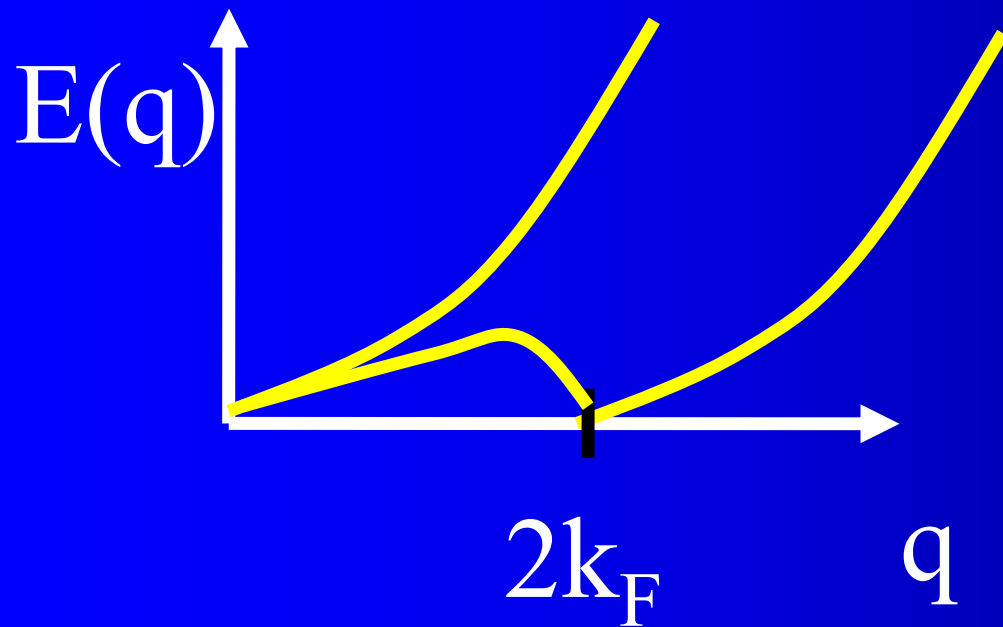
Particle hole excitations

$$E(k, q) = \varepsilon(k + q) - \varepsilon(k)$$





$D > 1$:
continuum



$D = 1$:
Well defined
excitations

$$E(q) = v_F q$$

Same method than for bosons

$$\rho(x) = \left[\rho_0 - \frac{1}{\pi} \nabla \phi(x) \right] \sum_p e^{i2p(\pi\rho_0 x - \phi(x))}$$

$$2k_F = 2\pi \rho_0$$

$$H = \frac{\hbar}{2\pi} \int dx \left[\frac{uK}{\hbar^2} (\pi\Pi(x))^2 + \frac{u}{K} (\nabla\phi(x))^2 \right]$$

$$\psi_F^\dagger(x) = \left[\rho_0 - \frac{1}{\pi} \nabla \phi(x) \right]^{1/2} \sum_p e^{i(2p+1)(\pi\rho_0 x - \phi(x))} e^{-i\theta(x)}$$

No interactions $K=1$

Interactions

$$\rho(x)\rho(x') \approx (\nabla\Phi(x))^2$$

$$H = \int \frac{dx}{2\pi} \left[uK (\pi\Pi(x))^2 + \frac{u}{K} (\nabla\Phi(x))^2 \right]$$

u velocity of sound

$K < 1$: repulsive

$K > 1$: attractive

$$O_{SU}(x) = \psi_R^*(x)\psi_L^*(x) \approx e^{i2\Theta(x)}$$

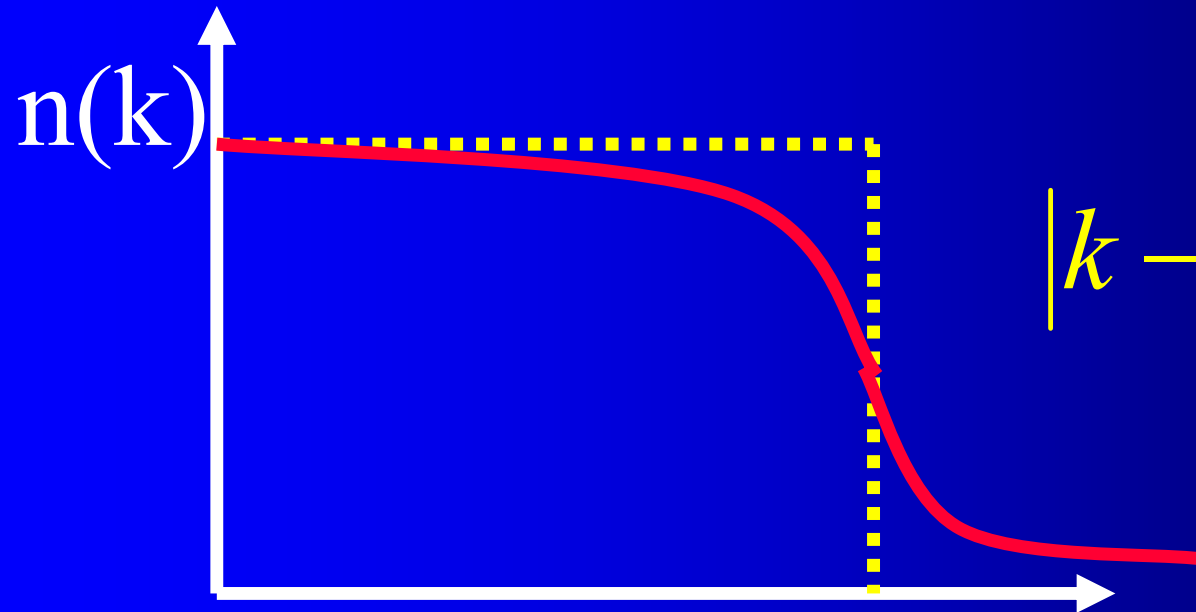
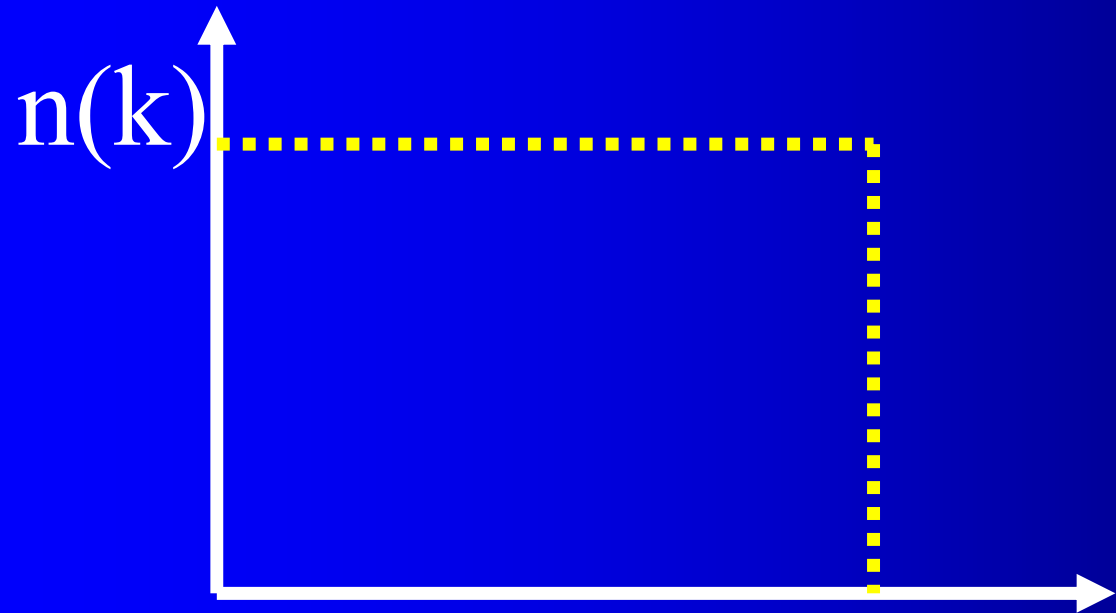
$$\langle O_{SU}(x)O_{SU}(0) \rangle = \left(\frac{1}{x}\right)^{1/2K}$$

Single particle excitations

$$\langle \psi_R(x)\psi_R^*(0) \rangle = \left(\frac{1}{x}\right)^{\frac{1}{2}[K+K^{-1}]} e^{i\text{Arg}(\tau/x)}$$

$$K=1 \quad \langle \psi_R(x)\psi_R^*(0) \rangle = \frac{1}{x - v_F\tau}$$

No Landau Quasiparticles

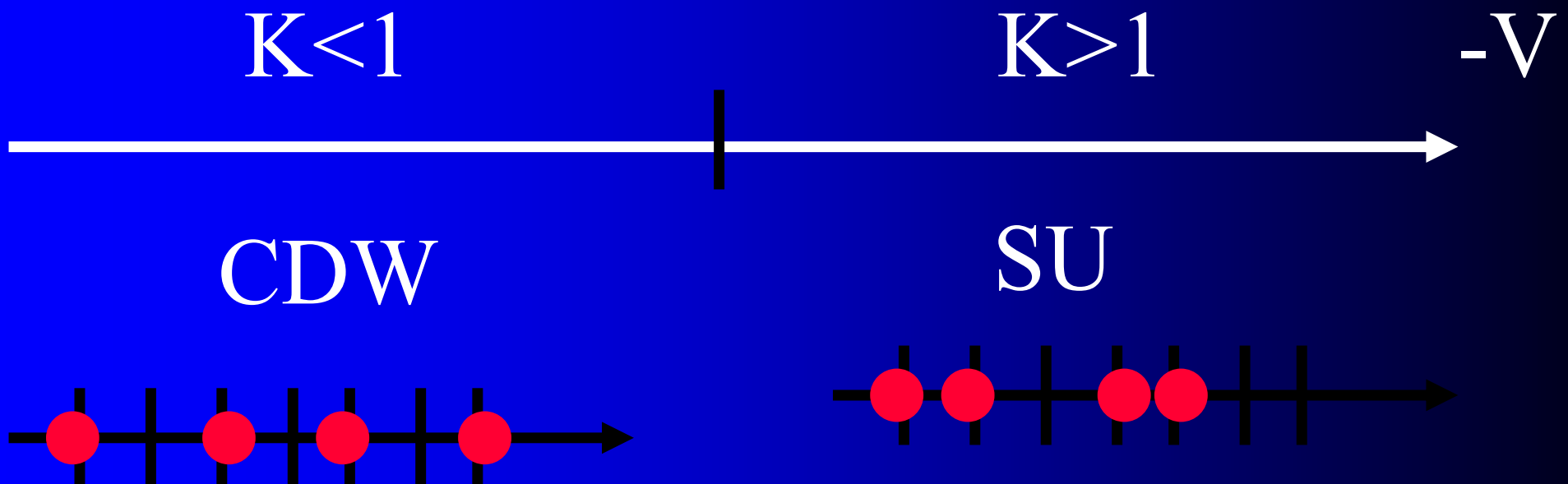


$$|k - k_F|^{\frac{1}{2}} [K + K^{-1}]^{-1}$$

Phase diagram

$$\chi(q, \omega) = \int dx d\tau e^{i(qx + \omega\tau)} \chi(x, \tau) \quad \chi \approx \omega^{\eta-2}$$

Most divergent fluctuations



System with spin

Same treatment

$$\rho_{\uparrow} \rightarrow \nabla\Phi_{\uparrow} \quad \rho_{\downarrow} \rightarrow \nabla\Phi_{\downarrow}$$

More convenient

$$\rho = \frac{1}{\sqrt{2}}(\rho_{\uparrow} + \rho_{\downarrow}) \quad \sigma = \frac{1}{\sqrt{2}}(\rho_{\uparrow} - \rho_{\downarrow})$$

$$H_{kin} = H_{\uparrow} + H_{\downarrow} = H_{\rho} + H_{\sigma}$$

$$H_{\text{int}} = U \sum_i \rho_{\uparrow} \rho_{\downarrow} = U(\rho + \sigma)(\rho - \sigma)$$
$$= U(\rho\rho - \sigma\sigma)$$

$$H = H_{\rho} + H_{\sigma}$$

(u_{ρ}, K_{ρ}) Charge excitations

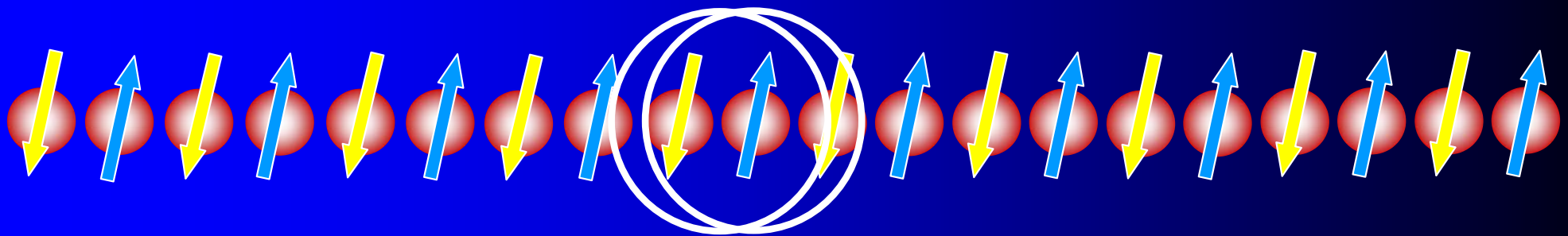
(u_{σ}, K_{σ}) Spin excitations

Charge-spin separation

Spin-Charge Separation

Spin

Charge



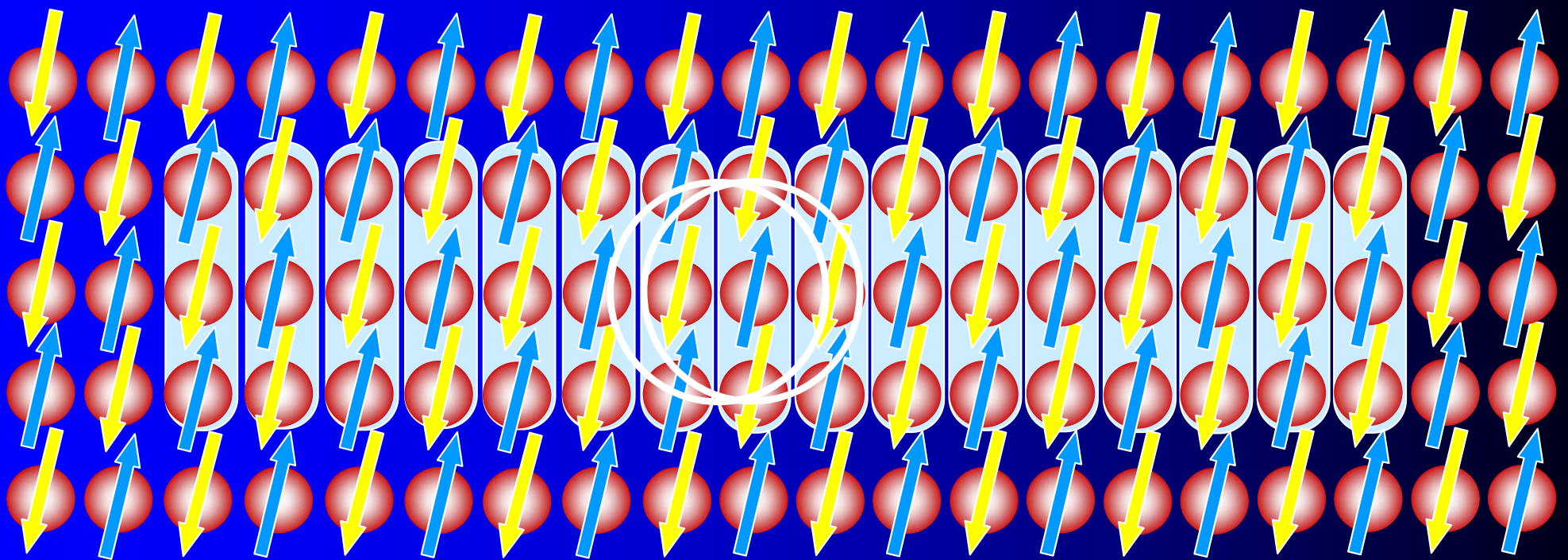
Spinon

Holon

Spin-Charge Separation - higher D ?

Spin

Charge



Loose order: Energy increases with spatial separation



Force that binds spin and charge

Correlation functions

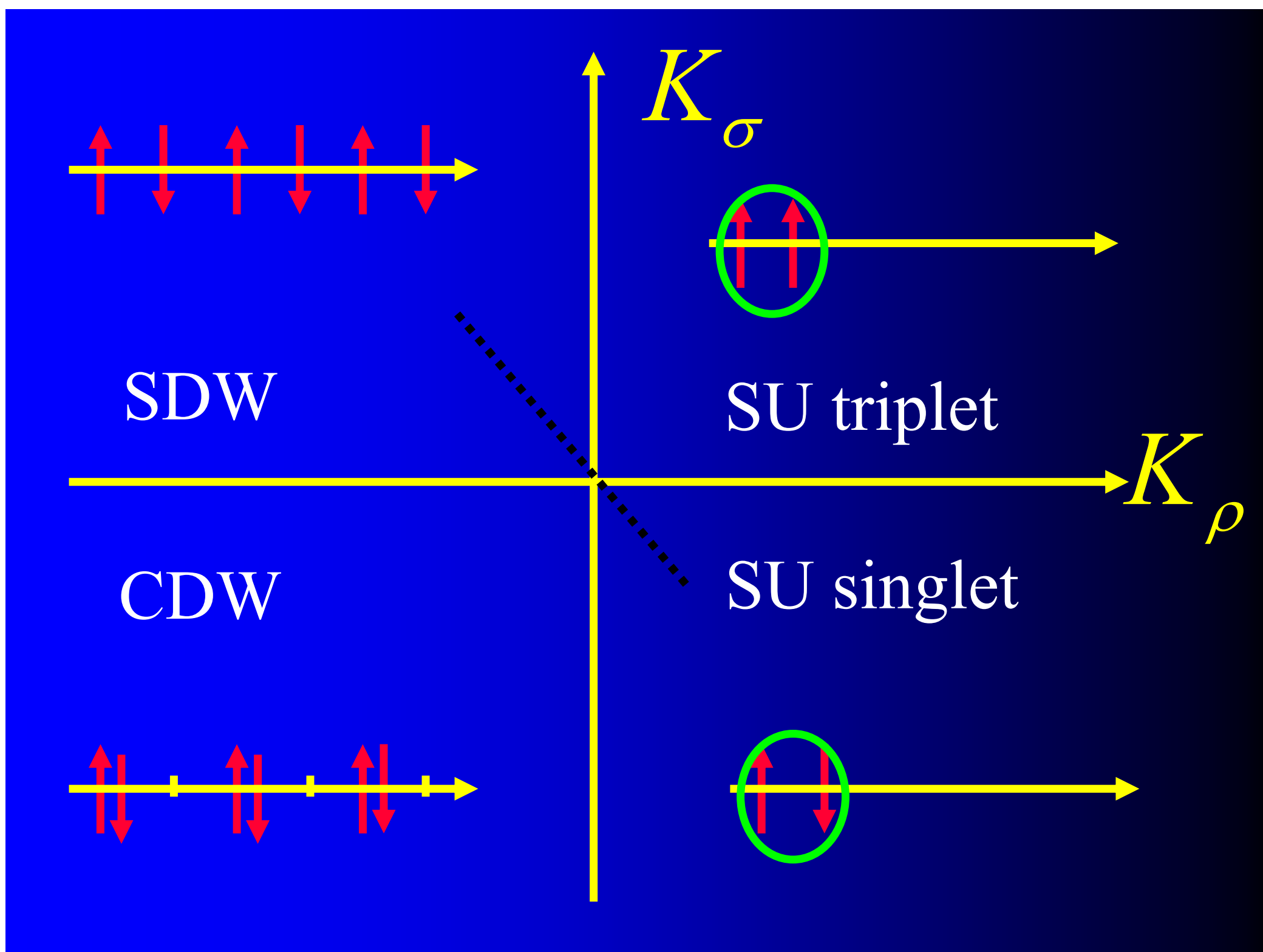
$$\langle S(x)S(0) \rangle = \frac{1}{x^2} + \cos(2k_F x) \left(\frac{1}{x}\right)^{K_\sigma + K_\rho}$$

• Perturbation (small U)

$$u_\rho K_\rho = u_\sigma K_\sigma = v_F$$

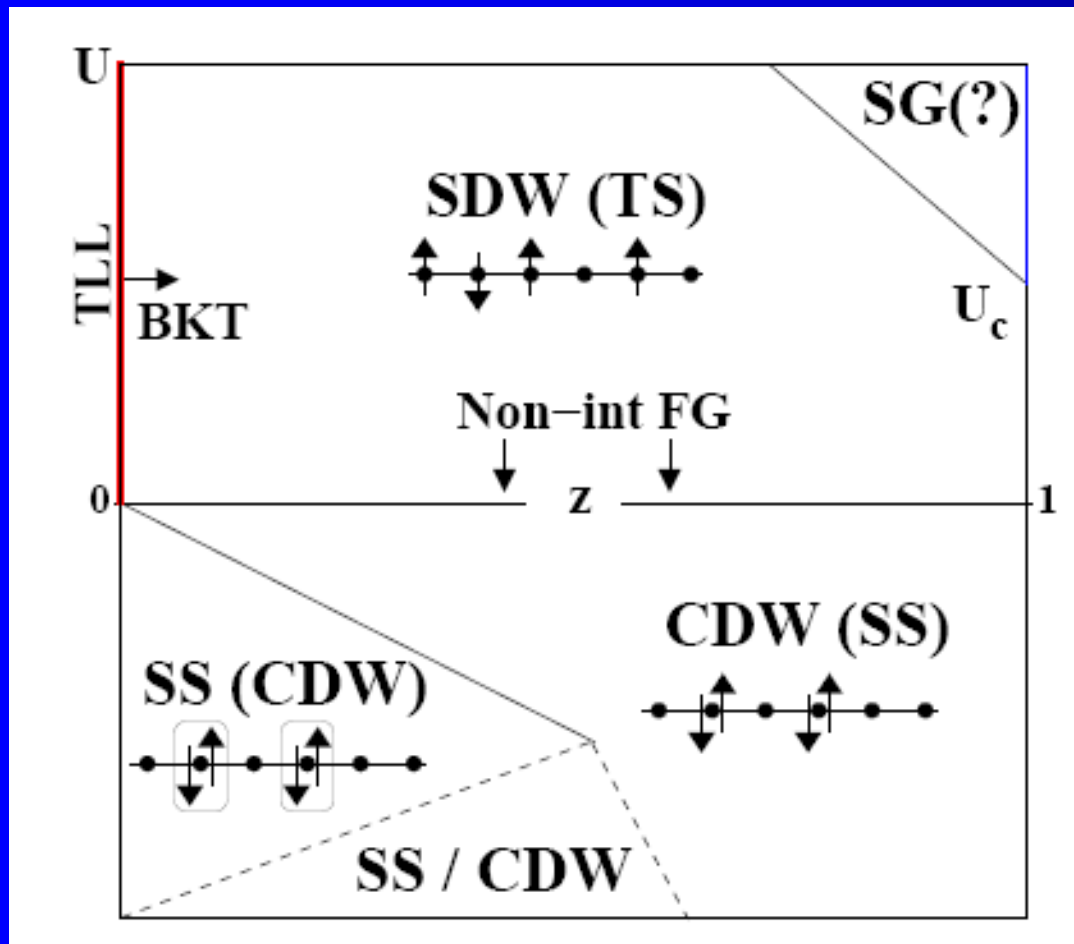
$$u_\rho / K_\rho = v_F + U / \pi$$

$$u_\sigma / K_\sigma = v_F - U / \pi$$



Different hoppings

M.A. Cazalilla, A.F. Ho, TG (2005)



Spin gap

$$\begin{aligned}
 S(q \sim 0, \omega) &= \langle S^+ S^- \rangle \\
 &= \sqrt{\omega^2 - \Delta^2}
 \end{aligned}$$

$$z = |v_\uparrow - v_\downarrow| / (v_\uparrow + v_\downarrow)$$

Fermions

- Luttinger liquid physics + Mott
- New physics ($v_{\uparrow} \neq v_{\downarrow}$)
- Possibility of pairing (singlet/triplet)
- $n(k)$ is useless !! Needs correlations