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SCHOOL ON ION BEAM ANALYSIS AND ACCELERATOR APPLICATIONS

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Low energy scattering

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Low Energy Ion Scattering

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Overview:

- Low energy ion scattering:
 - RBS, MEIS (high energies)
 - LEIS (low energies)





- Quantitative analysis surface
 - structure
 - composition

Quantitative analysis of nanometer layers

- composition, depth profiles
- depth resolution
- mass resolution



Contents:

ion scattering: RBS \leftrightarrow LEIS

- \succ scattering by nuclei \rightarrow scattered yield
- > interaction with target electrons \rightarrow slowing down

Low energy ion scattering (LEIS)

- Electrostatic analyzer: ESA-LEIS
- Time-Of-Flight: TOF-LEIS

ESA-LEIS:

- \succ instrumentation \rightarrow static ESA-LEIS
- \succ ions detected \rightarrow ion fraction P+
- \succ noble gas ions:
 - > neutralisation \rightarrow surface sensitivity
- \succ applications: quantitative surface analysis \rightarrow examples

TOF-LEIS (Time-of-flight)

- \succ instrumentation \rightarrow static TOF-LEIS
- ➢ ions and neutrals detected
 - surface structure analysis
 - neutral spectrum: shape, depth information
 - depth resolution \rightarrow depth analysis \rightarrow applications

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Ion scattering:

Target: Z_{i2}, M_{i2}



Scattering by target nuclei



$$V(r) = \frac{Z_1 Z_2 e^2}{r} \Phi(r/a)$$

 $\Phi(r/a)$... screening function (electronic screening of nucleus)

Impact parameter b $\leftrightarrow \theta \rightarrow$ Scattering cross section d σ /d $\Omega \rightarrow$ Scattering probability dp

 $dp = ndx (d\sigma/d\Omega)$

Scattering potential

Scattering point charge by point charge: $V_C(r) = Z_1 Z_2 e^2/r$ (Coulomb)

Scattering dressed charge by neutral atom:



Screening function $\Phi(r/a)$ (Thomas-Fermi-Molière)

$$V(\mathbf{r}) = V_{C}(\mathbf{r}) \cdot \Phi(\mathbf{r}/\mathbf{a})$$

$$\Phi\left(\frac{\mathbf{r}}{\mathbf{a}}\right) = \sum_{i=1}^{3} b_{i} \exp(-c_{i} \frac{\mathbf{r}}{\mathbf{a}}) \text{ with } \mathbf{a} = \frac{0.8852 \cdot \mathbf{a}_{0}}{\sqrt[3]{\left(\sqrt{Z_{1}} + \sqrt{Z_{2}}\right)^{2}}}$$
screening length $\mathbf{a} \approx 0.2 \text{ Å}$
Limiting cases:

$$\Phi(\mathbf{r}/\mathbf{a} \rightarrow 0) = 1$$

$$\Phi(\mathbf{r}/\mathbf{a} \rightarrow \infty) = 0.$$
in any case: $\Phi(\mathbf{r}/\mathbf{a}) \leq 1$

Scattering cross section $d\sigma/d\Omega$

 $V(r) = V_{C}(r) \rightarrow Rutherford cross section$



$$\frac{d\sigma_{R}}{d\Omega} = \left(\frac{Z_{1}Z_{2}e^{2}}{4\operatorname{Esin}^{2}\frac{\theta}{2}}\right)^{2}$$

Scattering cross section $d\sigma/d\Omega$



Scattering cross section $d\sigma/d\Omega$



db/d
$$\vartheta$$
 is obtained from $\theta(b) = \pi - 2 \int_{R_{min}}^{\infty} \frac{bdr}{r^2 \sqrt{1 - \frac{b^2}{r^2} - \frac{V(r)}{E}}}$ (scattering integral)

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Scattering cross section



There are different models for $\Phi(r/a)$: Thomas-Fermi-Molière universal potential etc.

Integral scattering probability:



Collisional energy loss (binary collision)

kinematic factor:
$$k = \frac{E_1}{E_0} = \left\{ \frac{\left[1 - (M_1 / M_2)^2 \sin^2 \theta\right]^{1/2} + (M_1 / M_2) \cos \theta}{1 + (M_1 / M_2)} \right\}^2 \text{ for } M_1 \le M_2$$



Interaction with target electrons

• kinematics: electron's mass M₂ << M₁

Interaction with target electrons

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Interaction with target electrons

- kinematics: electron's mass M₂ << M₁
- \rightarrow scattering angle negligible
- → small energy losses per collision BUT with high probability
- → Continuous slowing down ("friction force")
- \rightarrow Stopping Power:

$$\mathbf{S} = \lim_{\Delta x \to 0} \Delta \mathbf{E} / \Delta \mathbf{x} \equiv \mathbf{d} \mathbf{E} / \mathbf{d} \mathbf{x}$$

Stopping Power

- Energy loss dE per path length dx: S = dE/dx
- Stopping cross section: $\varepsilon = S / n = dE / ndx$

RBS:
$$S \propto \frac{Z_2}{v^2} \ln\left(\frac{2 m_e v^2}{I}\right)$$
 He / Au LEIS: $S \propto v$ (like friction force) $I = 10^{-100}$

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ESA-LEIS (electrostatic analyser used)



 $\mathsf{ESA} \to \mathsf{detection}$ of scattered ions

→ sensitive for outermost atomic layer <u>usually:</u> binary collisions dominiate double collisions negligible

TOF-LEIS (time-of-flight analyser used)

 \rightarrow detection of scattered neutrals + ions

- → no surface sensitivity like RBS at low energies
- \rightarrow <u>but</u>: no single scattering!
- \rightarrow information about nm-layers



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ESA-LEIS Instrumentation:

Cylindrical Mirror Analyzer (CMA):

only ions with $E_f \in [E, E + dE]$ are transmitted, with $dE \propto E$



Double Toroïdal Analyser (DTA):

ions with $E_f \in [E_{min}, E_{max}]$ are transmitted simultaneously



ESA-LEIS Instrumentation (static LEIS):

CALIPSO



⁴He⁺ ion spectra (ESA)



$$A^{+} = \frac{N_{i}}{\cos \alpha} \cdot \frac{d\sigma}{d\Omega} \cdot (nd)_{0} \cdot \Omega \cdot P^{+} \cdot \eta_{+}$$

ion yield A⁺ depends on:

- + N_i ... number of ions
- + α ... angle of incidence
- $0 \quad d\sigma/d\Omega$... scattering cross section
- ? P^+ ... ion fraction
- + Ω ... solid angle
- + η_+ ... detection efficiency for ions

Neutralization in LEIS:

3



- : Auger neutralization or resonant neutralization
- : collision induced neutralization V reversed path: collision induced ionization



Ion fraction P⁺ : neutralisation mechanisms important for He⁺!

• Auger neutralisation (AN)



Ion fraction P⁺ : neutralisation mechanisms important for He⁺! AN He+ Auger neutralisation (AN) RN Resonant neutralisation RN (ionization RI) qRN collision induced charge exchange in He⁺ important for He⁺ general not RI otal energy (arb.u.) for $He^{+}!$ $He^+ + M^-$ He* \rightarrow He⁰ + M⁰ internuclear distance (arb.u.) 30/89





 \rightarrow fraction of surviving ions:

 $\mathsf{P}^{+} = \exp\{-\int dt \Gamma_{\mathsf{A}}(z)\} = \exp\{-\int dz \Gamma_{\mathsf{A}}(z) dt/dz\} \approx \exp(-\mathsf{v}_{\mathsf{c}}/\mathsf{v}_{\perp})$

$$v_{c} = \int dz \Gamma_{A}(z)$$

 $v_{\perp} = 1/v_{0\perp}$

 $P^+ \approx \exp(-v_c/v_{0\perp})$

Auger neutralization on in- and outgoing path

survival probability on the way in: P_{in}^+



Auger neutralization on in- and outgoing path

survival probability on the way in: P_{in}^+ on the way out: P_{out}^+



Probability to survive in charge state He⁺

premise: no resonant neutralization (He⁺!) no collision induced charge exchange (E < E_{th})



 $P^+ = \exp[-v_c(1/v_{0\perp} + 1/v_{f\perp})]$... pure AN
Example: ${}^{4}\text{He} \rightarrow \text{Cu}$

 $E < E_{th}$: P⁺(v₁)! ... ion fraction depends only on v₁



Trajectory effects

$$P^+ = e^{-\int dt \Gamma_A(z)} \approx e^{-\Delta t \Gamma_A} \qquad \Delta t < 2z_j / v_\perp$$



Trajectory effects



 $1/v_{\perp,0}$

Consequences?

interaction time shorter, $\langle v_{\perp} \rangle$ smaller

$$P^{+} = \exp\left[-\int_{Z_{min}}^{Z_{jellium}} \Gamma(z)/v_{\perp}(z)\right] = \exp\left[-\langle 1/v_{\perp} \rangle \cdot v_{c, eff}\right]$$

 P^+ from experiment: a priori correct (hopefully) $1/v_{\perp}$ is larger than estimated by $1/v_{\perp,0}$ v_c results too large

$$P^+ = exp[-f_{corr} \cdot v_c/v_{\perp}]$$

$$\mathbf{f}_{\rm corr} = \frac{\langle 1/\mathbf{v}_{\perp} \rangle}{1/\mathbf{v}_{\perp}} \cdot \frac{\mathbf{v}_{\rm c,eff}}{\mathbf{v}_{\rm c}}$$

f_{corr} small correction?

Auger neutr. ↔ collision induced processes

• projectiles: noble gas ions (e.g., He⁺)

Auger neutralization AN collision induced neutralization CIN collision induced reionization CIR



Comparison Eindhoven – Linz:

Eindhoven group: variation of energy



De Ridder & Linz: good quantitative agreement!

Collision induced processes

 $r < r_{min} \rightarrow$ overlap of orbitals \rightarrow electron promotion



Collision induced processes

 $r < r_{min} \rightarrow overlap of orbitals \rightarrow electron promotion$



Collision induced processes

 $r < r_{min} \rightarrow overlap of orbitals \rightarrow electron promotion$



Neutralisation ↔ ion fraction P⁺

ion fraction
$$P^+ = N_+/(N_+ + N_0)$$

{ survivals
reionised projectiles

AN: $P^+(-v_c/v_{\perp})$ collision: $P_{CIN}(E, \theta), P_{CIR}(E, \theta)$

Neutralisation ↔ ion fraction P⁺



Neutralisation ↔ ion fraction P⁺



 $P^+ = P^+(E, \theta, \mathbf{V}_{\perp}) \rightarrow P^+(\alpha) @ given E, \theta$

$^{4}\text{He} \rightarrow \text{Cu}$

 $E > E_{th}$: P⁺(V₁, α)



$^{4}\text{He} \rightarrow \text{Cu}$



 $E < E_{th}: P^+(v_{\perp})$ $E > E_{th}: P^+(v_{\perp}, \alpha)$

$^{4}\text{He} \rightarrow \text{Cu}$

High energy regime in more detail:



P_{CIN}(E), P_{CIR}(E)



 $P_{CIN} > P_{CIR}$ P_{CIN}^{\uparrow} , P_{CIR}^{\uparrow} with E \uparrow P_{CIN} , P_{CIR} at higher E?

(M. Draxler 2002)

Shape of ion spectra

Cu: threshold for reionization is high (E_{th} = 2.1 keV) → no reionization background for E < 3 keV



Mo: threshold for reionization is low ($E_{th} = 0.4 \text{ keV}$) \rightarrow reionization background down to E < 1 keV



Applications: surface composition analysis

(concentrations c_i of j atoms, j = "dark blue", "light blue" and "red")



Quantitative surface composition analysis

Surface concentration of element j

basis:

$$A_{j}^{+} = \frac{N_{0}}{\cos \alpha} \cdot \frac{d\sigma_{j}}{d\Omega} \cdot (nd)_{j,0} \cdot \Omega \cdot P_{j}^{+} \cdot \eta_{+} = c_{j}S_{j}$$

sensitivity factor for element j

Main question: does P⁺ depend on surface composition? ↔ are there "matrix effects"?

No matrix effects \leftrightarrow S_i does not depend on other elements present in the surface.

How to prove the absence of matrix effects?

Quantitative LEIS: Usually NO Matrixeffects (↔ P⁺ does not depend on chemical environment)

.... not even in oxides $(SiO_2, Al_2O_3)!$



...but sometimes P⁺ does depend on chemical state

... for instance: Carbon (graphitic - carbidic)

 \rightarrow yield is dependent on binding partner.



(L.C.A. van den Oetelaar, 1994)

Summary charge exchange processes

- model system to study P⁺
- \checkmark "local" \leftrightarrow "non-local" neutralisation in LEIS
- \checkmark P⁺ is a rather complex quantity
- ? Is P⁺ well behaved?

What about quantitative surface composition analysis?

He⁺ \rightarrow bicrystal W(110), W(211)



surface atomes/cm²: $n_{211}/n_{110} = 0.58$ signal ratio : $n_{211}/n_{110} = 0.88$ \rightarrow different neutralisation or deeper layers contributing



Cortenraad (2000)

Two elements (O, W) in the surface

 $C_{O} + C_{Ta} = 1$ $A_0^+ = C_0 S_0$ $A_{Ta}^+ = C_{Ta} S_{Ta}$ 400 1.5 KeV 4He 40 mA 300 Nb, Ta signai [kc/s] 400 200 100 Nb 0 15 ٥ 10 20 Oxygen signal [kc/s]



 \rightarrow indeed no matrix effects!

Application: surface composition of a spinel

 $ZnAI_2O_4$ zinc aluminate spinel*)

LEIS is sensitive to Zn (see ZnO)

no Zn visible in spinel surface!



*) as bulk ceramic: used as a structural and high-temperature material. with high-surface area: useful as catalysts and catalytic supports

Influence of surface roughness?



 γAl_2O_3



LEIS analysis for surface composition:



Analysis of a real Cu/ZnO/SiO₂ catalyst

ceramic sponges are easily destroyed by ion bombardment \rightarrow static LEIS: quantitative surface composition is possible!



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Static TOF-LEIS - ACOLISSA

Advantages: - fluence < 10¹² ions/cm²

- ions and/or neutrals









with post-acceleration \rightarrow ions / neutrals separated

(M. Draxler, 2002)

Conversion TOF spectrum → **energy spectrum**

Classical mechanics: $E_f = (M/2)v_f^2 = (M/2) \cdot (L_1/\tau_f)^2$



particle conservation: $N(E_f)dE_f = N(\tau_f)d\tau_f$

Conversion TOF spectrum → **energy spectrum**



ions: surface peak (As in ESA spectra)

neutrals: much higher intensity than in ion spectrum neutral spectrum has a surface peak, contains also information from deeper layers

Energy spectrum



MARLOWE-simulation (Monte-Carlo)



Explanation of surface peak:



Surface peak due to (1) single scattering \rightarrow multiple scattering (2) shift of deeper layers due to stopping power
Experiment vs. simulation



Experiment vs. simulation



Surface structure analysis: ⁴He+ \rightarrow Cu crystal

Channeling \rightarrow flux enhancement:



5 kV Helium+ auf Nickel

Focussing collisions at certain angles \rightarrow intensity peaks:



Surface structure analysis: ${}^{4}\text{He} \rightarrow \text{Cu crystal}$

experiment: 179° scattering @ 3 keV



(Draxler 2005)

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Surface structure analysis: ${}^{4}\text{He} \rightarrow \text{Cu crystal}$

Comparison experiment - Monte-Carlo simulations:



 \rightarrow channeling is sensitive to the scattering potential (screening length a = C_f·a_{TFM}

Surface structure analysis: ⁴He+ \rightarrow Cu crystal

Comparison experiment - Monte-Carlo simulations:



 \rightarrow information on scattering potential (screening length a $\approx a_{TFM}/2$) \rightarrow information on the scattering cross section

Time resolution ↔ depth resolution

time resolution $\delta \tau \leftrightarrow$ energy resolution $\delta E \leftrightarrow$ depth resolution δx

 $\delta E = 2E \delta \tau / \tau$ (similarly as in RBS)

typ. example: dt = 10ns, L₁ = 0.67 m
1 keV He⁺:
$$\tau_f$$
 = 3.4 µs \rightarrow dt/ τ_f = 0.3%!

Depth resolution in LEIS

 $\delta \tau = 10 \text{ ns}, \alpha = \beta = 25^{\circ}, \text{ SRIM stopping:}$



LEIS: spectrum width ↔ depth

single scattering model: $\Delta E_{\text{LEIS}} \propto \Delta x$ 1.5 keV $H^+ \rightarrow Au$ 800 N(E) (arb.u.) 600 12 Å Au 22 Å Au 400 32 Å Au 200 0 – 600 1000 1200 1400 800 1600 multiple scattering ??? final energy (eV)

TRBS simulation of LEIS:



TRBS:

- ✓ Monte-Carlo
- ✓ amorphous target
- ✓ dE/dx (non-local)
- ✓ multiple scattering

multiple scattering

- \rightarrow low energy edge
- \rightarrow plateau height

Application to nm layers

how to keep multiple scattering low?

use protons as projectiles (low Z₁) use ,high' energies (rather 5 keV than 500 eV)

how to optimize depth resolution?

use low energies ($\delta x \propto E$)

 \rightarrow compromise resolution \leftrightarrow multiple scattering

2.6nm Au/B – thickness inhomogeneity

Au evaporated onto B substrate RBS for thickness (SIM-NRA): $26\text{\AA} \pm 5\%$



atomic force microscopy for surface inhomogeneity: ± 5Å





3 keV H⁺ \rightarrow 2.6nm Au/B



LEIS quantitative for nm layers !?

Ga/Si(111) (evaporated in situ, quartz reading: 6Å)

2 keV He⁺ for structure analysis: ~ 20Å (comparison to TRBS)

 \rightarrow annealing \rightarrow broadening of Ga peak (change in topography):

- either thickening of clusters or

- diffusion into Si

 \rightarrow sharp Si edge with and w.o. annealing \rightarrow major part of Si is free of Ga



Technical application: Cu/PET



Summary:

- ESA-LEIS & TOF-LEIS:
 - single crystals surface structure surface composition neutralization
 - thin films

surface composition neutralization growth modes

.

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Quantitative LEIS

 $\leftrightarrow \text{Matrixeffects in P+?}$ Is $Y_A^+ = c_A \cdot \eta_A$?
Element A Concentration c_A Sensitivity factor η_A

Test: Adsorption of Br on W $\theta_{Br} + \theta_{W} = 1$ $c_{Br} + c_{W} = 1$ $Y_{W}^{+}/\eta_{W} + Y_{Br}^{+}/\eta_{Br} = 1$

