

# Protein folding: some simple models

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# Outline

- **What is a protein:** chemistry, structure, interactions, energy scales, time scales, etc.
- **The Hydrophobic effect:** collapse vs. folding, entropy,  $\theta$ -point
- **Sequence diversity:** heteropolymer models: the random bond model, the Hydrophobic-Hydrophilic model

- **Dominant Folding Paths:** Langevin dynamics, path integrals, dominant paths, Hamilton-Jacobi representation.

## 1. What is a Protein

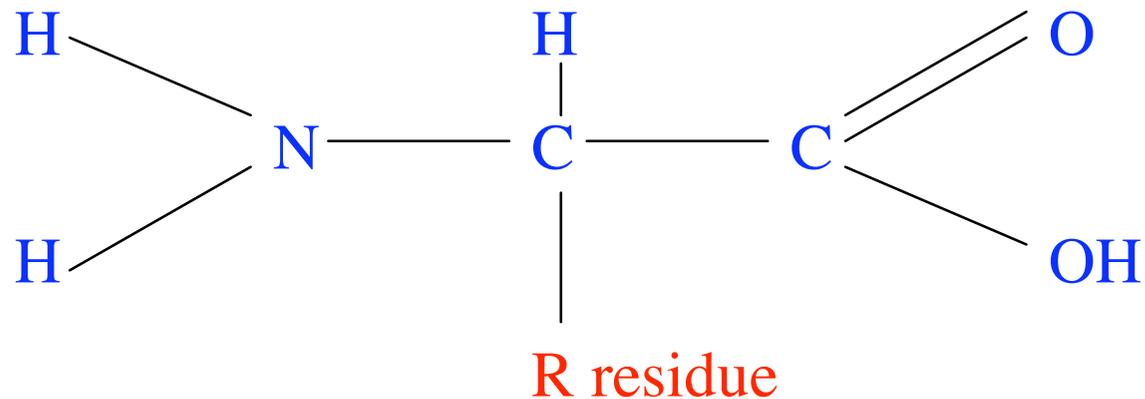
Biological Polymers (biopolymers): Proteins, Nucleic Acids  
(DNA and RNA), Polysaccharides

- catalytic activity: enzymes
- transport of ions: hemoglobin (O<sub>2</sub>), ion channels
- motor protein
- shell of viruses (influenza, HIV, etc...)
- prions
- food, etc...

**Proteins have an active site: biological activity**

## Polymers built with amino-acids

- 20 types of amino acids
- all left-handed
- **Ala, Ile, Leu, Met, Phe, Pro, Trp, Val, Asn, Cys, Gln, Gly, Ser, Thr, Tyr, Arg, His, Lys, Asp, Glu**
- $10 \leq \text{Number of Monomers} \leq 500$



Among the 20 amino-acids:

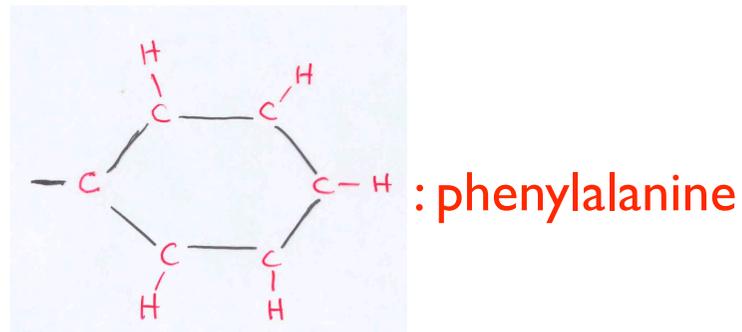
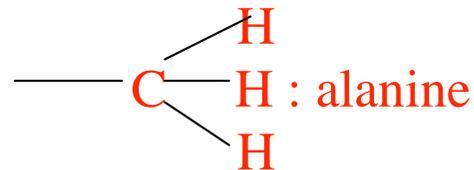
- 12 hydrophilic (polar) →
- 8 hydrophobic (non polar)

8 uncharged  
4 charged

In a typical protein:

½ polar – ½ hydrophobic

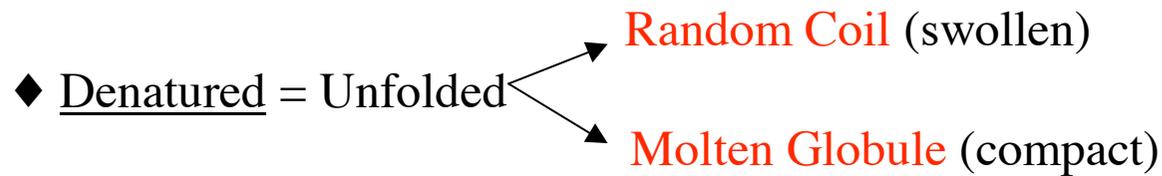
Examples of residues:







Proteins exist under two states:



No biological activity

◆ Native = Folded = **Unique compact structure**

Biologically active

Number of compact structures of a polymer :

$$\sim \mu^N$$

**Puzzle:** below folding transition temperature, the protein seems to exist under a unique conformation (zero conformational entropy).

**Folding transition:** depends on temperature, pH, denaturant agent, salt, etc...

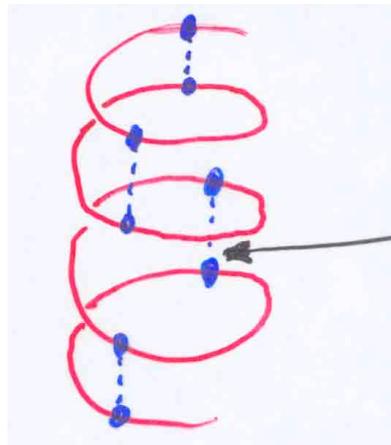
**Time scales:** Microscopic time :  $10^{-15}$  s  
Folding time:  $10^{-2}$  to 1 s

## Questions:

- Nature of the transition
  - crystallization (liquid-solid)
  - glass
  - purely dynamical
- How can one understand the uniqueness of the native state?
- Why is there so much secondary structure?
- What is the dynamics like? Exponential, stretched exponential, power law?

In all proteins, there is local order in the compact native state. These are the **Secondary Structures**.

- One dimensional:  $\alpha$ -helix (mainly R)

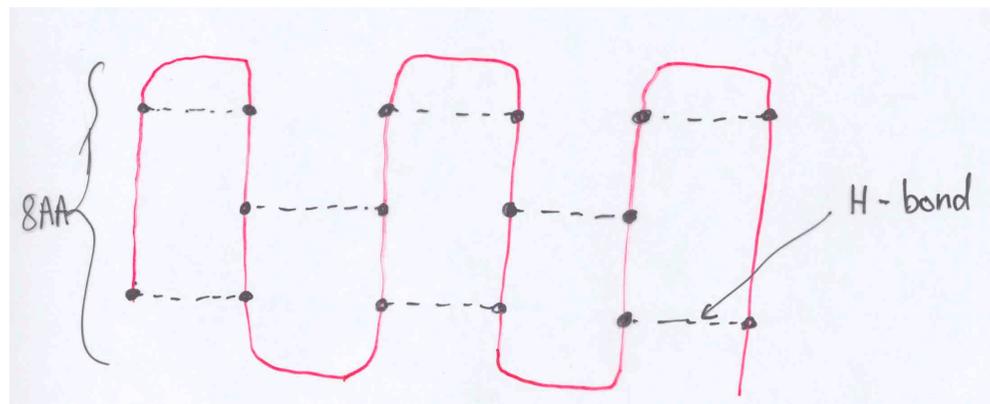


Hydrogen bonds

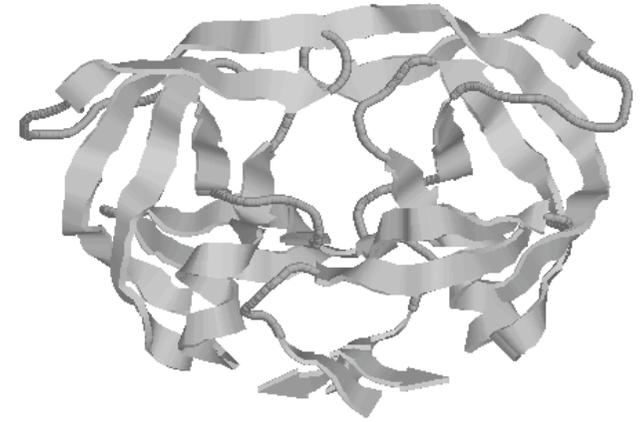
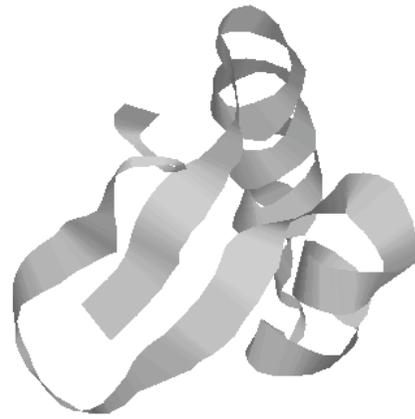
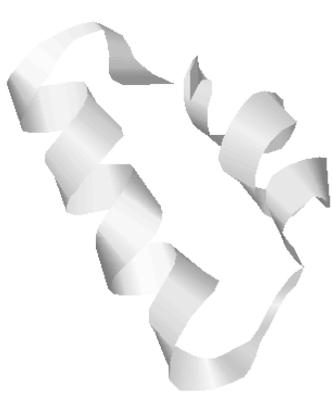
3.6 AA / turn

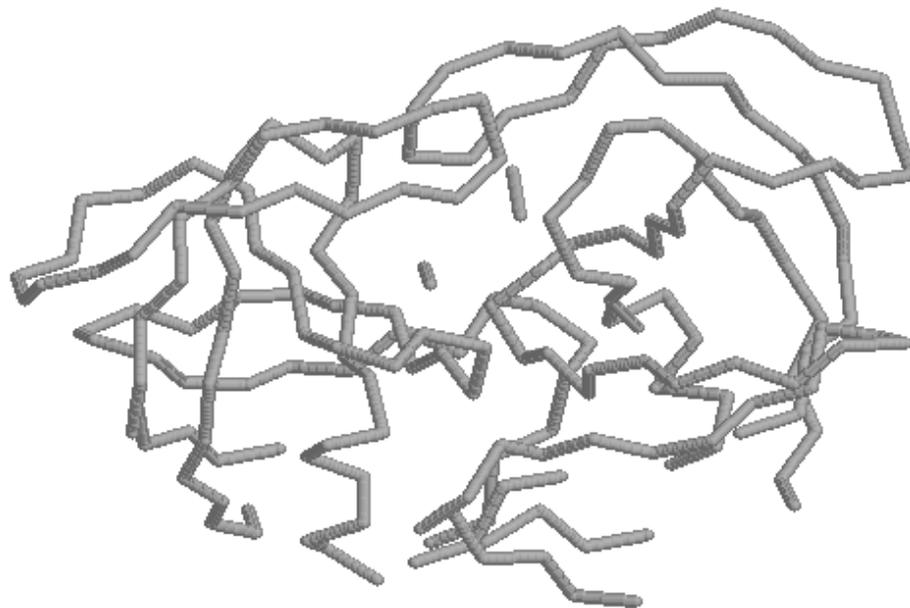
5-7 turns / helix

- Two dimensional:  $\beta$ -sheet

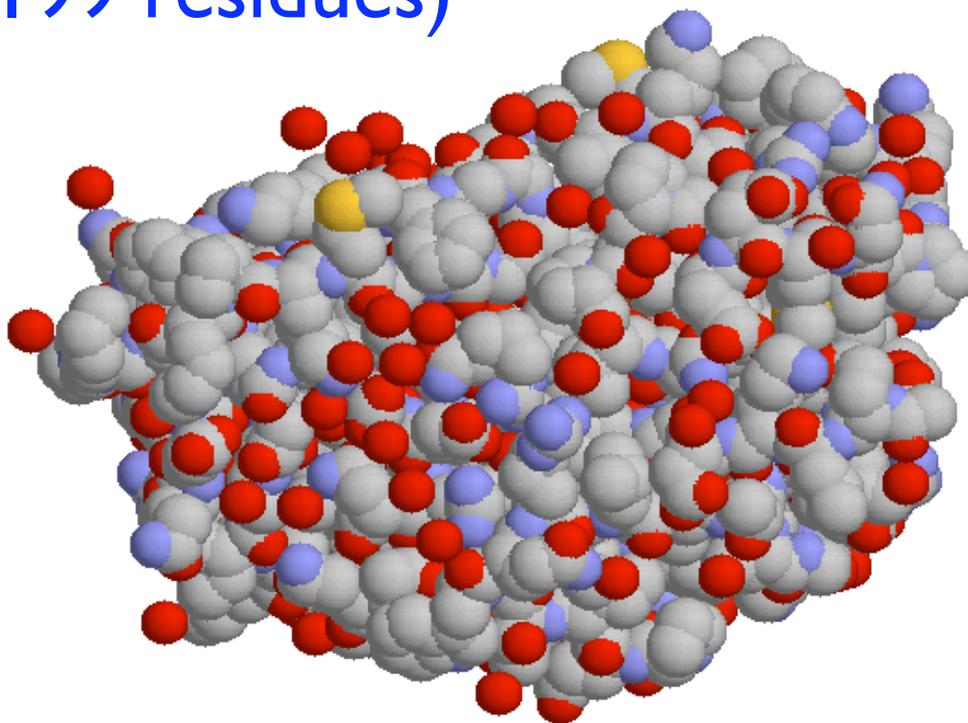


**Tertiary structure:** 3d structure of the folded protein → compact packing of secondary structures.





HIV protease (199 residues)



## How can one see the folding transition?

1. Measure the Gyration radius: by X-rays or neutron scattering
2. Biological Activity
3. NMR
4. C.D (circular dichroism)

Renaturation time:  $10^{-3} - 1$  s

## How can one see the 3d structure?

- X-ray Crystallography : but need to make a crystal first!
- NMR-NOE : measure nearby H-H pairs.

## The Chemist's Approach

1. Look for effective atom-atom interactions → semi-empirical Hamiltonian
2. Molecular dynamics or Monte Carlo.

What interactions are present?

- bonded
  - covalent bond
  - sulfur bridges (cysteins)
- non bonded
  - Coulomb (with partial charges)
  - Van der Waals (steric repulsion)
  - Hydrogen bonds : intra-molecular or with the solvent.

The solvent is polar (Water) and induces hydrophobic interactions which might be responsible for the collapse transition.

## Energy Scales

$$1 \text{ eV} = 23 \text{ kCal/mole} = 10000^\circ \text{ K}$$

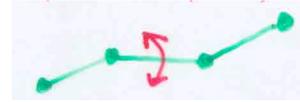
$$300^\circ \text{ K} = 0.6 \text{ kCal /mole}$$

- Covalent bond: 50-150 kCal /mole
- Sulfur Bridge: 51 kCal/mole
- Hydrogen bonds: 5-8 kCal/mole (non polar solvent)  
1-2 kCal/mole (polar solvent)
- Van der Waals: 1 kCal/mole
- Coulomb: 1-2 kCal/mole

Denaturation temperature  $\approx$  1 kCal/mole

Chemical sequence is frozen and only non-covalent interactions drive the folding.

## Parametrization (CHARMM, AMBER, OPLS, ...)



$$\begin{aligned}
 E = & \sum_{\text{bonds}} k_b (b - b_0)^2 + \sum_{\text{valence angles}} k_\theta (\theta - \theta_0)^2 + \sum_{\text{dihedrals}} k_\varphi (1 + \cos(n\varphi - \delta)) + \sum_{\text{impropers}} k_v (v - v_0)^2 \\
 & + \sum_{i < j} 4\epsilon_{ij} \left( \left( \frac{\sigma_{ij}}{r_{ij}} \right)^{12} - \left( \frac{\sigma_{ij}}{r_{ij}} \right)^6 \right) + \sum_{i < j} \frac{332}{\epsilon} \frac{q_i q_j}{r_{ij}}
 \end{aligned}$$

Use Newton or Langevin dynamics

$$m_i \ddot{r}_i + \gamma_i \dot{r}_i + \frac{\partial E}{\partial r_i} = \eta_i(t)$$

where  $\eta_i(t)$  is a Gaussian noise satisfying the fluctuation-dissipation theorem:

$$\langle \eta_i(t) \eta_j(t') \rangle = 2\gamma_i k_B T \delta_{ij} \delta(t - t')$$

Then, it is well known that

$$P(\{r_i\}, t) \xrightarrow[t \rightarrow \infty]{} \exp\left(-\frac{E(\{r_i\})}{k_B T}\right)$$

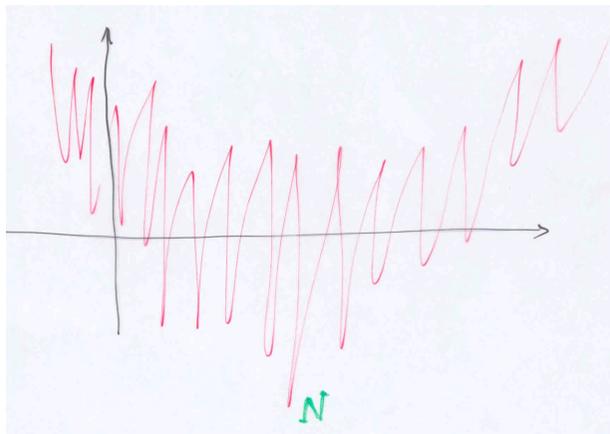
To discretize, one must use  $\delta t \sim 10^{-15} - 10^{-13}$  s

Number of degrees of freedom:  $N \geq 1000$

Longest available runs (with water)  $t \sim 10^{-8}$  s

We see that  $t \ll$  folding time.

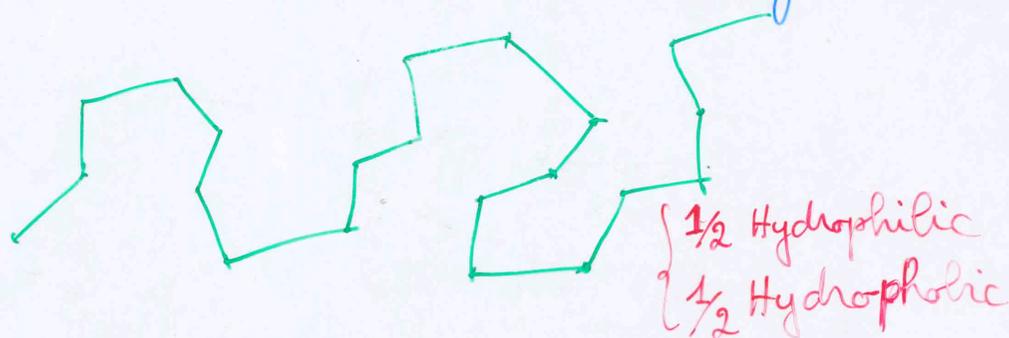
**Reason:** system is trapped in an exponential number of metastable traps.



# The hydrophobic effect: Collapse transition <sup>(11)</sup>

## Simplified model:

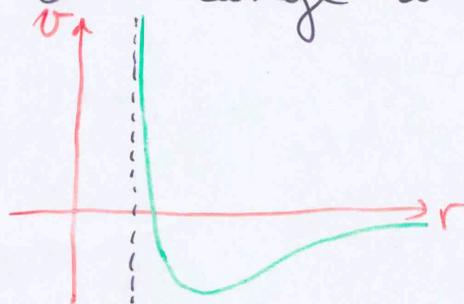
- one amino-acid = 1 monomer  
= 1 segment.



⇒ identical amino-acids, on the average hydrophobic.

Water induces **attractive** interaction between monomers: Polymer in a bad solvent (Flory)

Short range interactions



Lennard-Jones: 
$$v(r) = \epsilon \left[ \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^6 \right]$$

Partition function:

$$Z = \sum_{\left\{ \begin{array}{l} \text{chains} \\ \vec{r}_i \end{array} \right\}} e^{-\beta \sum_{i,j} v(\vec{r}_i - \vec{r}_j)}$$

Results:  $\Theta$ -point (competition  
entropy  $\leftrightarrow$  attraction)

Phase transition at  $T = \Theta$ ,

between:

- swollen phase:  $T > \Theta$

$$R_G \sim a N^{\nu}, \quad \nu = \frac{3}{5}$$

- collapsed phase:  $T < \Theta$

$$R_G \sim a N^{\nu}, \quad \nu = \frac{1}{3}$$

At the  $\Theta$  point,  $R_G \sim a \sqrt{N}$

{ 2<sup>nd</sup> order transition

{ tricritical point ( $d_c = 3$ )

- Can the collapsed state represent the folded state of the protein?
- No because collapsed state has finite conformational entropy (Hamiltonian walks)
- No because no secondary structure
- OK to describe the first stages of the folding transition ( $\tau < 1 \mu\text{s}$ )

# Sequence diversity: Heteropolymer models

- Very coarse model for the polymer

$$Z(\{v_{ij}\}) = \int \prod_i d\vec{r}_i \prod_i g(\vec{r}_i, \vec{r}_{i+1}) \exp(-\beta\mathcal{H}(\{v_{ij}\}))$$

- where  $g(\vec{r}_i, \vec{r}_{i+1}) = \delta(|\vec{r}_i - \vec{r}_{i+1}| - a)$  or

- $g(\vec{r}_i, \vec{r}_{i+1}) \rightarrow \exp\left(-\frac{d}{2a^2} \left(\frac{d\vec{r}(s)}{ds}\right)^2\right)$

- Reduced **Hamiltonian**

$$\beta\mathcal{H}(\{v_{ij}\}) = \frac{1}{2} \sum_{i \neq j} v_{ij}(\vec{r}_i, \vec{r}_j) + \frac{1}{6} \sum_{i \neq j \neq k} w_0(\vec{r}_i, \vec{r}_j, \vec{r}_k) + \dots$$

- The **free energy** is given by

$$F(\{v_{ij}\}) = -T \log Z(\{v_{ij}\})$$

- Assume **3-body term** is not sequence dependent: excluded volume term

$$w_0(\vec{r}_i, \vec{r}_j, \vec{r}_k) = w \delta(\vec{r}_i - \vec{r}_j) \delta(\vec{r}_j - \vec{r}_k)$$

- Assume **short-range sequence dependent** two-body interaction:

$$v_{ij}(\vec{r}_i, \vec{r}_j) = v_{ij} \delta(\vec{r}_i - \vec{r}_j)$$

- To get an idea of the behavior of the system, assume **quenched disorder**:

$$F = \bar{F} = \int \prod P(\{v_{ij}\}) F(\{v_{ij}\}) d(\{v_{ij}\})$$

- **“Average” heteropolymer**

# The random-bond heteropolymer

- It is defined by

$$v_{ij} = v_0 + w_{ij}$$

with  $w_{ij}$  **random independent variables**  
with distribution

$$h(w_{ij}) = \frac{1}{\sqrt{2\pi w^2}} \exp\left(-\frac{w_{ij}^2}{2w^2}\right)$$

- Average over **Replicas**

$$\overline{Z^n} = \int \prod_a \mathcal{D}\vec{r}_i^a \prod_{i,a} g(\vec{r}_i^a, \vec{r}_{i+1}^a) \exp \left( -\tilde{v}_0 \sum_{i<j} \sum_a \delta(\vec{r}_i^a - \vec{r}_j^a) + \frac{\beta^2 w^2}{2} \sum_{a \neq b} \sum_{i<j} \delta(\vec{r}_i^a - \vec{r}_j^a) \delta(\vec{r}_i^b - \vec{r}_j^b) \right) \\ \times \exp \left( -\frac{w_0}{6} \sum_{i \neq j \neq k} \sum_a \delta(\vec{r}_i^a - \vec{r}_j^a) \delta(\vec{r}_j^a - \vec{r}_k^a) \right)$$

with  $\tilde{v}_0 = v_0 - \beta^2 \frac{w^2}{2}$ .

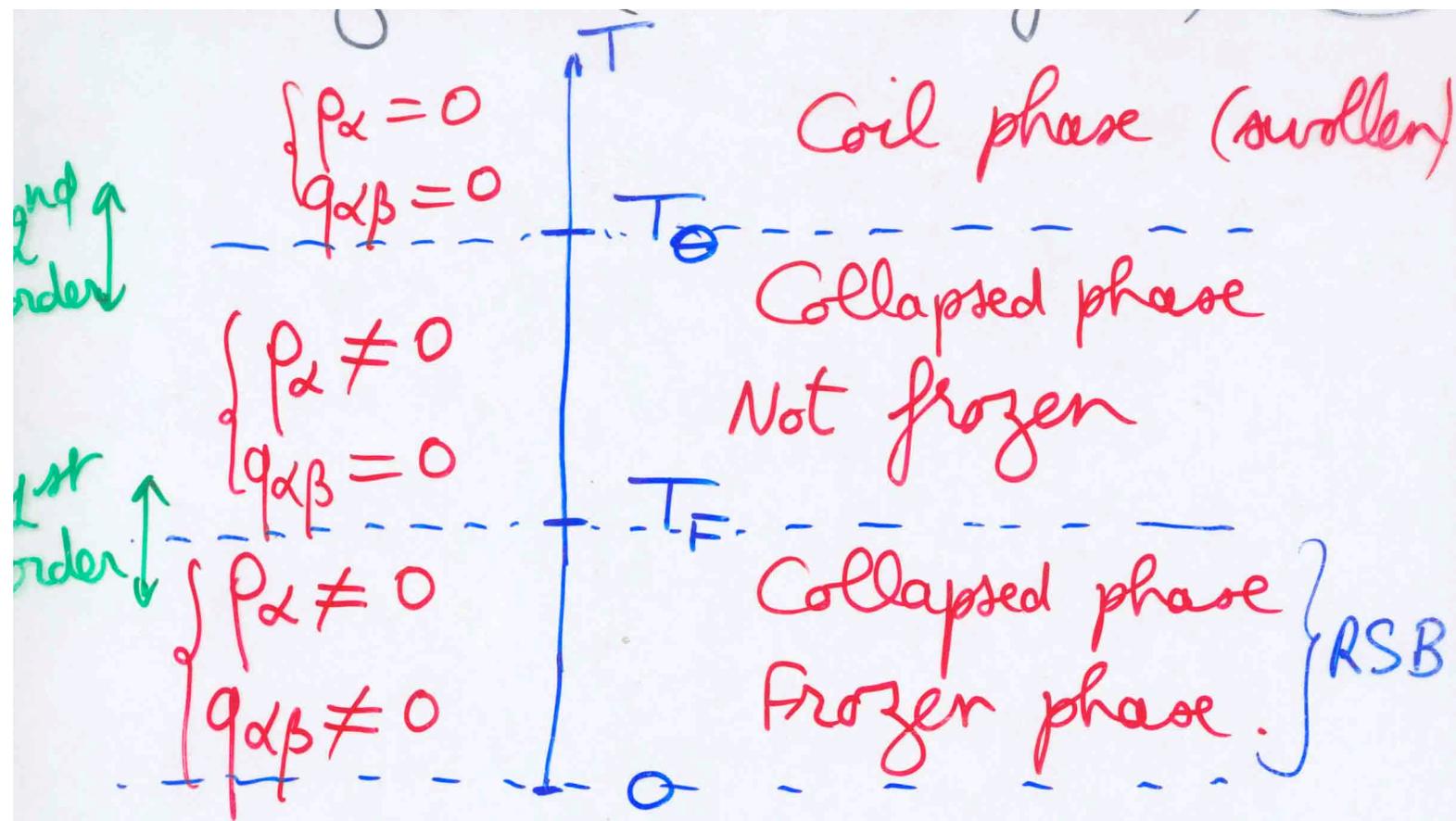
- Introduce **density** order parameters and **overlap** order parameters (and conjugate)

$$\overline{Z^n} = \int \mathcal{D}q_{ab}(\vec{r}, \vec{r}') \mathcal{D}\hat{q}_{ab}(\vec{r}, \vec{r}') \mathcal{D}\rho_a(\vec{r}) \mathcal{D}\phi_a(\vec{r}) \exp (G(q_{ab}, \hat{q}_{ab}, \rho_a, \phi_a) + \log \zeta(\hat{q}_{ab}, \phi_a)) \\ \rho_\alpha(\vec{r}) = \sum_{i=1}^N \delta(\vec{r}_i^{(\alpha)} - \vec{r}) \\ q_{\alpha,\beta}(\vec{r}, \vec{r}') = \sum_{i=1}^N \delta(\vec{r}_i^{(\alpha)} - \vec{r}) \delta(\vec{r}_i^{(\beta)} - \vec{r}')$$

$$G(q_{ab}, \hat{q}_{ab}, \rho_a, \phi_a) = \int d^d r \sum_a \left( i \rho_a(\vec{r}) \phi_a(\vec{r}) - (\tilde{v}_0) \frac{\rho_a^2(\vec{r})}{2} - \frac{w_0}{6} \rho_a^3(\vec{r}) \right) \\ + \int d^d r \int d^d r' \sum_{a < b} \left( i q_{ab}(\vec{r}, \vec{r}') \hat{q}_{ab}(\vec{r}, \vec{r}') + \frac{\beta^2 w^2}{2} q_{ab}^2(\vec{r}, \vec{r}') \right)$$

$$\zeta(\hat{q}_{ab}, \phi_a) = \int \mathcal{D}\vec{r}_a(s) \exp \left( -\frac{d}{2a^2} \int_0^N ds \dot{\vec{r}}_a^2 \right) \\ \times \exp \left( -i \int_0^N ds \sum_a \phi_a(\vec{r}_a(s)) - i \int_0^N ds \sum_{a < b} \hat{q}_{ab}(\vec{r}_a(s), \vec{r}_b(s)) \right)$$

- at **high temperature**,  $\rho_\alpha = 0$  and  $q_{\alpha,\beta} = 0$   
It is the **Denatured phase (SAW)**
- at **lower temperature**,  $T_\theta$ , **collapsed phase**  
with  $\rho_\alpha \neq 0$  and  $q_{\alpha,\beta} = 0$  **Molten Globule**
- at **lower temperature**,  $T_F$ , **freezing transition**  $\rho_\alpha \neq 0$  and  $q_{\alpha,\beta} \neq 0$  **Native?**



Frozen phase is similar to low  $T$  phase of a spin glass (Parisi-like RSB)  $\Rightarrow$  existence of few dominant states. Native states?

# The Hydrophobic-Hydrophilic chain

$$V_{ij}(r_{ij}) = \lambda_0 \delta(r_i - r_j) + (\lambda_i + \lambda_j) \delta(r_i - r_j)$$

Steric Repulsion  
+ overall Hydrophobicity

Hydrophobic  
character of AA

$$\left\{ \begin{array}{l} \lambda_i > 0 \rightarrow \text{Hydrophilic} \quad P \\ \lambda_i < 0 \rightarrow \text{Hydrophobic} \quad H \end{array} \right.$$

P - P : repulsive  $\lambda_i + \lambda_j > 0$

H - H : attractive  $\lambda_i + \lambda_j < 0$

H - P : depends on  $\lambda_i + \lambda_j$

Take  $\lambda_i$  random independent

$$P(\lambda_i) = \frac{1}{\sqrt{2\pi\lambda^2}} e^{-\frac{\lambda_i^2}{2\lambda^2}}$$

$$Z = \sum_{\{\text{chains}\}} e^{-\frac{\beta}{2} \sum_{ij} [\lambda_i \delta(\vec{r}_i - \vec{r}_j) + (\lambda_i + \lambda_j) \delta(\vec{r}_i - \vec{r}_j)]}$$
$$e^{-\frac{\beta}{16} \omega_3 \sum_{i,j,k} \delta(\vec{r}_i - \vec{r}_j) \delta(\vec{r}_j - \vec{r}_k)}$$
$$e^{-\frac{\beta}{24} \omega_4 \sum_{i,j,k,l} \delta(\vec{r}_i - \vec{r}_j) \delta(\vec{r}_j - \vec{r}_k) \delta(\vec{r}_k - \vec{r}_l)}$$

Do **Quenched Average** over the  $\lambda_i$

$$\left\langle e^{-\beta \sum_{i=1}^N \lambda_i \sum_{\alpha=1}^n \sum_{j=1}^N \delta(\vec{r}_i^{(\alpha)} - \vec{r}_j^{(\alpha)})} \right\rangle$$
$$= e^{\beta \frac{\lambda^2}{2} \sum_{\alpha, \beta=1}^n \sum_{i=1}^N \sum_{j=1}^N \sum_{k=1}^N \delta(\vec{r}_i^{(\alpha)} - \vec{r}_j^{(\alpha)}) \delta(\vec{r}_i^{(\beta)} - \vec{r}_k^{(\beta)})}$$

↓  
attractive 3-body term

↳ need a repulsive 4<sup>th</sup> virial

Effective 3<sup>rd</sup> virial:

$$w_3' = w_3 - 3\beta\lambda^2 = w_3 - \frac{3\lambda^2}{T}$$

By introducing order parameters:

$$\begin{cases} \rho_\alpha(\vec{r}) = \sum_{i=1}^N \delta(\vec{r} - \vec{r}_i^{(\alpha)}) & , \alpha = 1, \dots, m \\ q_{\alpha\beta}(\vec{r}, \vec{r}') = \sum_{i=1}^N \delta(\vec{r} - \vec{r}_i^{(\alpha)}) \delta(\vec{r}' - \vec{r}_i^{(\beta)}) \end{cases}$$

$$\bar{Z}^N = \int \mathcal{D}\rho_\alpha(r) \mathcal{D}\hat{\rho}_\alpha(r) \mathcal{D}q_{\alpha\beta}(r, r') \mathcal{D}\hat{q}_{\alpha\beta}(r, r')$$

(176)

$$e^{i \sum_\alpha \int dr \hat{\rho}_\alpha(r) \rho_\alpha(r) + i \sum_{\alpha, \beta} \int dr dr' \hat{q}_{\alpha\beta}(r, r') q_{\alpha\beta}(r, r')}$$

$$e^{-\frac{\lambda_0}{2} \sum_\alpha \int dr \rho_\alpha^2(r) - \frac{w_3}{6} \sum_\alpha \int dr \rho_\alpha^3(r) - \frac{w_4}{24} \sum_\alpha \int dr \rho_\alpha^4(r)}$$

$$e^{\frac{\beta \lambda^2}{2} \sum_{\alpha, \beta} \int dr dr' \rho_\alpha(r) q_{\alpha\beta}(r, r') \rho_\beta(r') + \text{Log } \mathcal{L}(\hat{\rho}_\alpha, \hat{q}_{\alpha\beta})}$$

with

$$\begin{aligned} \mathcal{L}(\hat{\rho}_\alpha, \hat{q}_{\alpha\beta}) = & \int \mathcal{D}r_\alpha(s) e^{-\frac{3}{2a^2} \sum_\alpha \int_0^N ds \left( \frac{dr_\alpha}{ds} \right)^2 - i \sum_\alpha \int_0^N ds \hat{\rho}_\alpha^1(\vec{r}_\alpha(s))} \\ & \times e^{-i \sum_{\alpha, \beta} \int_0^N ds \hat{q}_{\alpha\beta}^1(\vec{r}_\alpha(s), \vec{r}_\beta(s))} \end{aligned}$$

Because of the structure of overlap terms, no replica symmetry breaking  
 $\Rightarrow$  no glass transition

$$i \hat{q}_{\alpha\beta}(r, r') = - \frac{\beta^2 \lambda^2}{2} \rho_{\alpha}(r) \rho_{\beta}(r')$$

## Approximations

- \* Mean Field theory
- \* Ground State Dominance

## Results

\* for any  $\lambda_0$  (overall hydrophobicity)  
→ "collapse transition"

\* no Replica Symmetry Breaking →  
Not a [freezing glass] transition.

$\lambda_0 < 0$

Strongly Hydrophobic case

Second order transition, driven by  
the strong  $\lambda_0 < 0$  term

Ordinary  $\ominus$  point

## Weakly Hydrophobic or Hydrophilic case

(19)

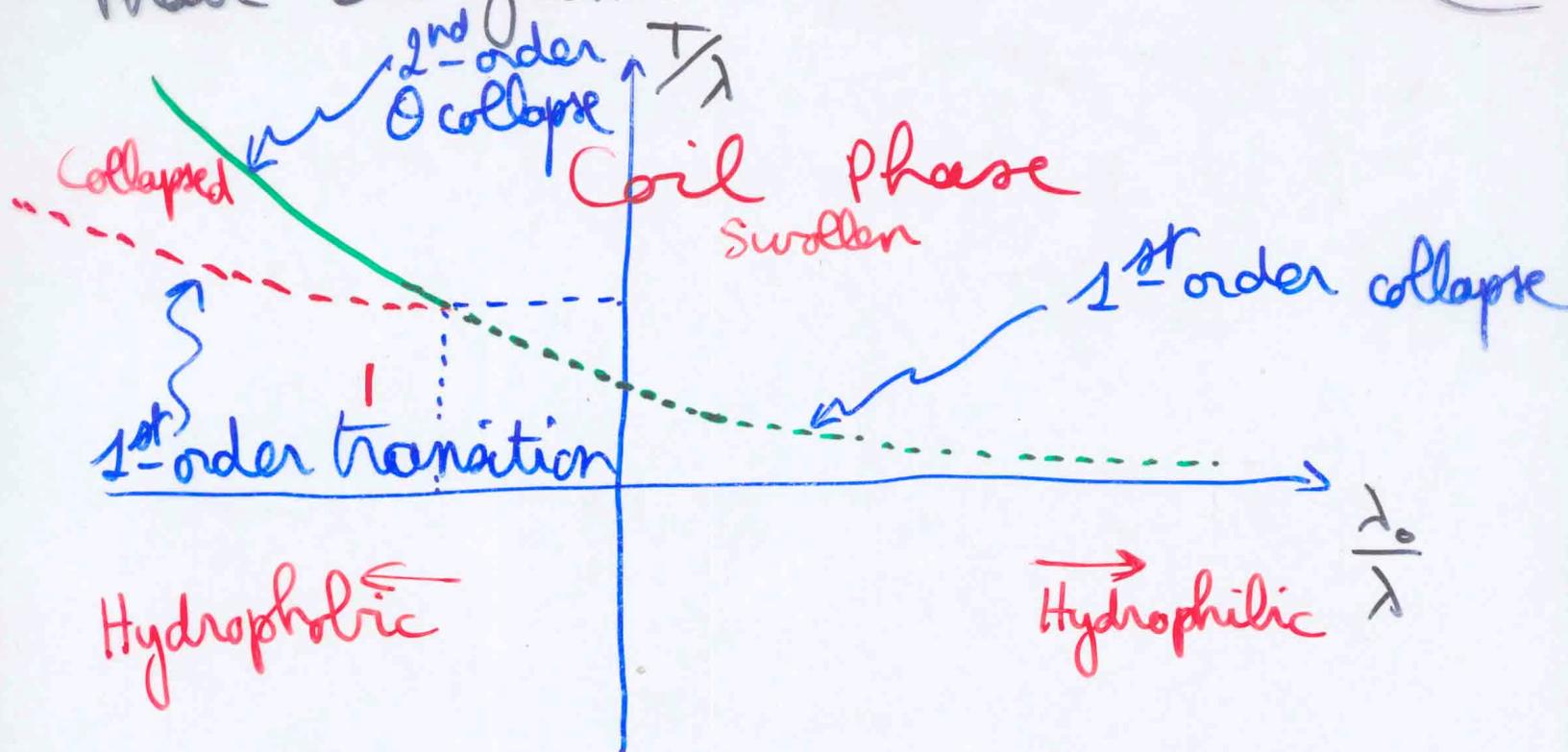
First order "collapse" transition,  
induced by the disorder fluctuations  
of the 3-body term.

{ Not an ordinary  $\Theta$ -point  
{ Not a glass transition.

1<sup>st</sup>-order  $\Rightarrow$  { \* metastability and retarda-  
tion effects  
\* latent heat  $\Rightarrow$  strong  
entropy reduction

# Phase diagram

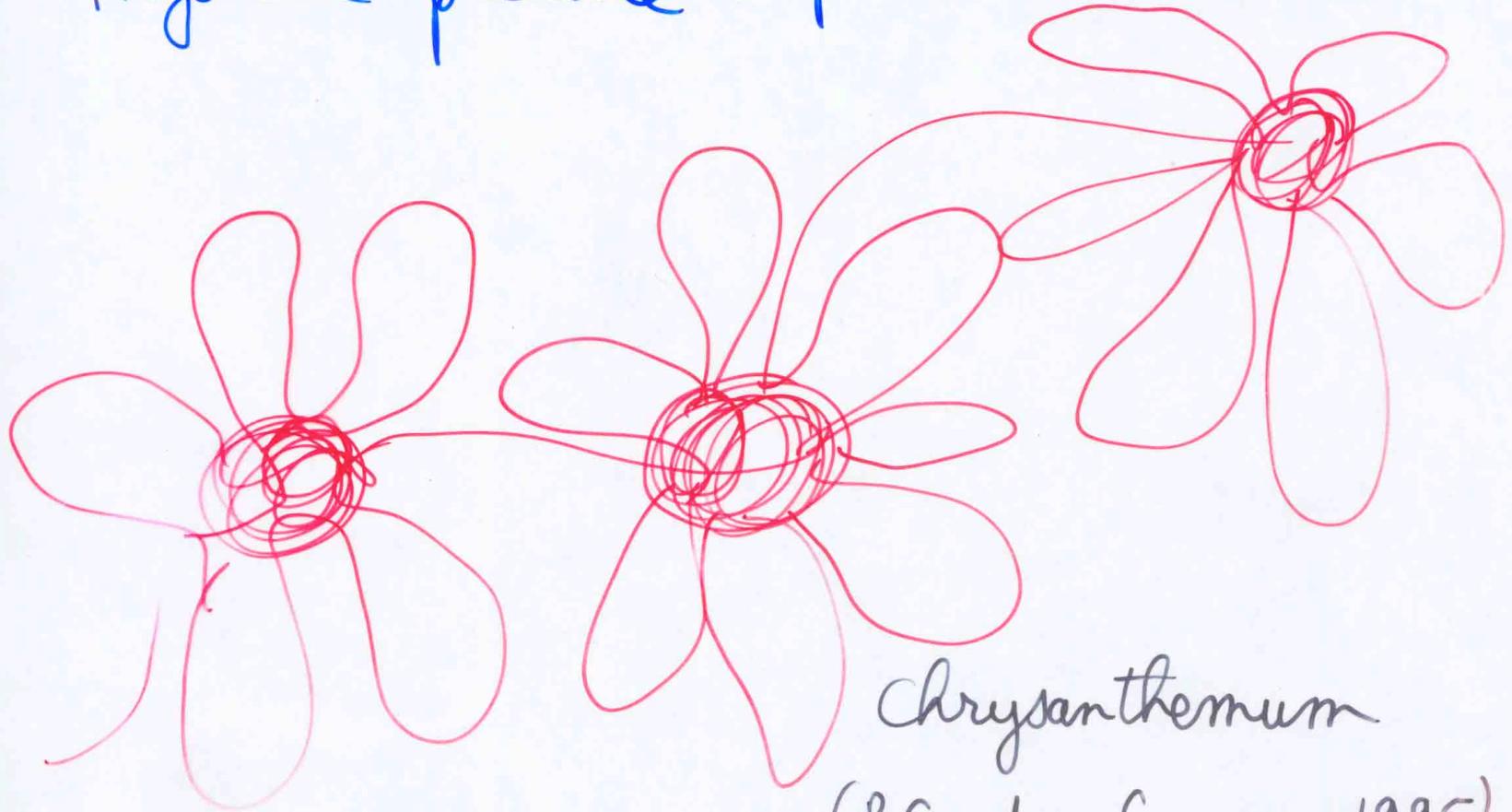
(IV 8)



1<sup>st</sup> order transition  $\rightarrow$  latent heat  
 $\Rightarrow$  reduction of entropy.

However, no unique ground state!

Physical picture : phase coexistence



Chrysanthemum  
(P.G de Gennes, 1995)

# Dominant Folding Pathways

- **The problem:** Assume a protein can go from state **A** to state **B**. Which **pathway (or family of pathways)** does the protein take?
- **Examples:**
  - from **denatured** to **native** in native conditions
  - **Allosteric transition** between **A** and **B**

with P. Faccioli, F. Pederiva and M. Sega

# The case of one particle

- Take **Langevin (Brownian) dynamics**

$$\frac{\partial x}{\partial t} = -\frac{D}{k_B T} \frac{\partial U}{\partial x} + \eta(t)$$

- with **Gaussian noise:**  $\langle \eta(t)\eta(t') \rangle = 2D\delta(t-t')$

- The **Probability** to find the particle at  $x$  at time  $t$  is given by a **Fokker-Planck** equation

$$\frac{\partial}{\partial t} P(x,t) = D \frac{\partial}{\partial x} \left( \frac{1}{k_B T} \frac{\partial U(x)}{\partial x} P(x,t) \right) + D \frac{\partial^2}{\partial x^2} P(x,t)$$

- Stationary distribution: **the Boltzmann distribution**

$$P(x) \sim \exp(-U(x)/k_B T)$$

- General form:

$$P(x_f, t_f | x_i, t_i) = e^{-\frac{U(x_f) - U(x_i)}{2k_B T}} \int_{x_i}^{x_f} \mathcal{D}x(\tau) e^{-S_{eff}[x]/2D}$$

- Boundary conditions:

$$x(t_i) = x_i \quad x(t_f) = x_f$$

- The **effective action** is given by

$$S_{eff}[x] = \int_{t_i}^t d\tau \left( \frac{\dot{x}^2(\tau)}{2} + V_{eff}[x(\tau)] \right)$$

- and the **effective potential** is given by

$$V_{eff}(x) = \frac{D^2}{2} \left( \frac{1}{k_B T} \frac{\partial U(x)}{\partial x} \right)^2 - \frac{D^2}{k_B T} \frac{\partial^2 U(x)}{\partial x^2}$$

- **Dominant trajectories:** classical trajectories

$$\frac{d^2 x}{dt^2} = - \frac{\partial(-V_{eff}[x])}{\partial x}$$

- with correct boundary conditions.
- **Problem:** one does not know the transition time.
- **Solution:** go from time-dependent Newtonian dynamics to energy-dependent Hamilton-Jacobi description.

- The method: minimize the **Hamilton-Jacobi action**

$$S_{HJ} = \int_{x_i}^{x_f} dl \sqrt{2(E_{eff} + V_{eff}[x(l)])}$$

- over all paths joining  $x_i$  to  $x_f$

$dl$  is an infinitesimal displacement along the path

$E_{eff}$  is a free parameter

- The total time of passage is determined by

- $$t_f - t_i = \int_{x_i}^{x_f} dl \sqrt{\frac{1}{2(E_{eff} + V_{eff}[x(l)])}}.$$

- $E_{eff}$  is not the true energy of the system
- If the final state is an **equilibrium state**, then

$$E_{eff} = -V_{eff}(x_f)$$



- the Villin Headpiece Subdomain

- The **HJ** method is much more efficient than **Newtonian mechanics** because proteins spend most of their time trying to overcome free-energy barriers.
- No **waiting-times** in **HJ**: work with fixed interval length  $dl$

- For a **Protein**, minimize

$$S_{HJ} = \sum_n^{N-1} \sqrt{2(E_{eff} + V_{eff}(n))\Delta l_{n,n+1}} + \lambda P,$$

- where  $P = \sum_i^{N-1} (\Delta l_{i,i+1} - \langle \Delta l \rangle)^2$  and  $\lambda$  is a **Lagrange multiplier** to fix the interval length

$$V_{eff}(n) = \sum_i \left[ \frac{D^2}{2(k_B T)^2} \left( \sum_j \nabla_j u(\mathbf{x}_i(n), \mathbf{x}_j(n)) \right)^2 - \frac{D^2}{k_B T} \sum_j \nabla_j^2 u(\mathbf{x}_i(n), \mathbf{x}_j(n)) \right]$$

$$(\Delta l)_{n,n+1}^2 = \sum_i (\mathbf{x}_i(n+1) - \mathbf{x}_i(n))^2,$$

# Results for the Villin Headpiece

Go Model

