



The Abdus Salam
International Centre for Theoretical Physics



SMR.1766 - 4

**Miniworkshop on
New States of Stable and Unstable Quantum Matter
(14 - 25 August 2006)**

**Much Ado about Zeros:
Mottness and High T_c**

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These are preliminary lecture notes, intended only for distribution to participants



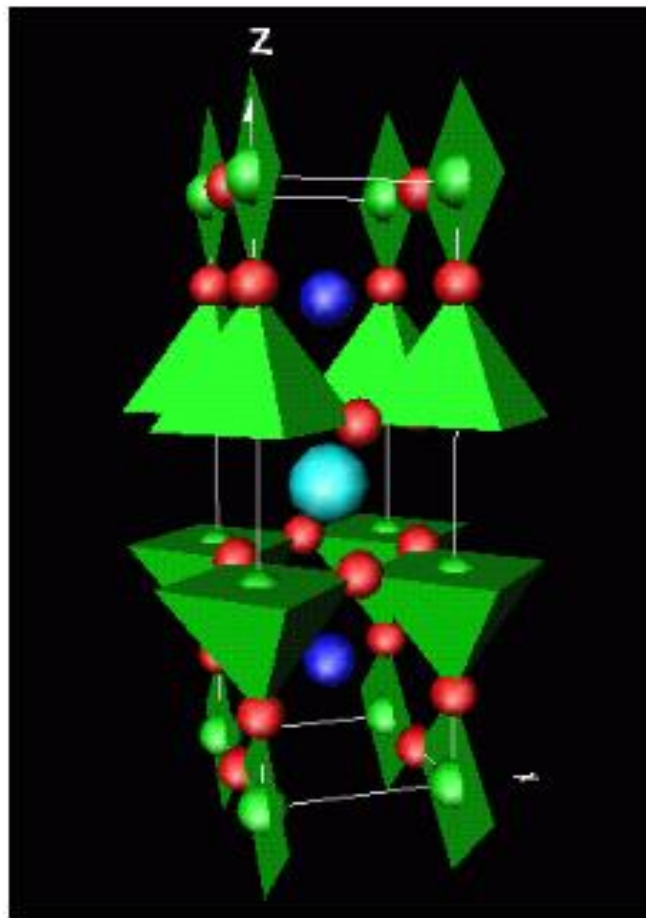
Much Ado about Zeros: Mottness and High T_c

Thanks to:

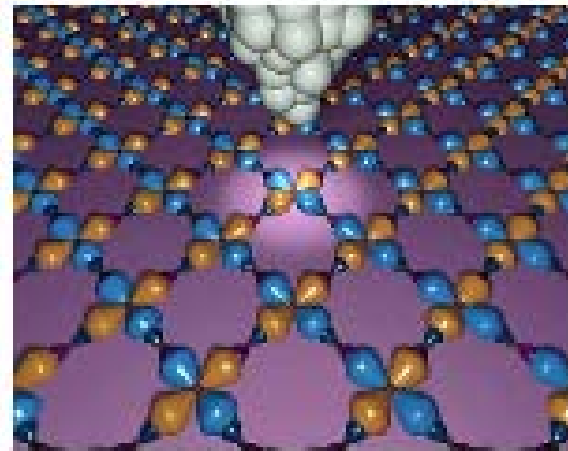
Former student: Tudor Stanescu, Virginia

Present students: D. Galanakis, T.-P. Choy,

Collaborator: C. Chamon, BU



Cu-O plane

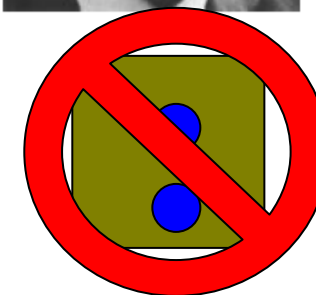
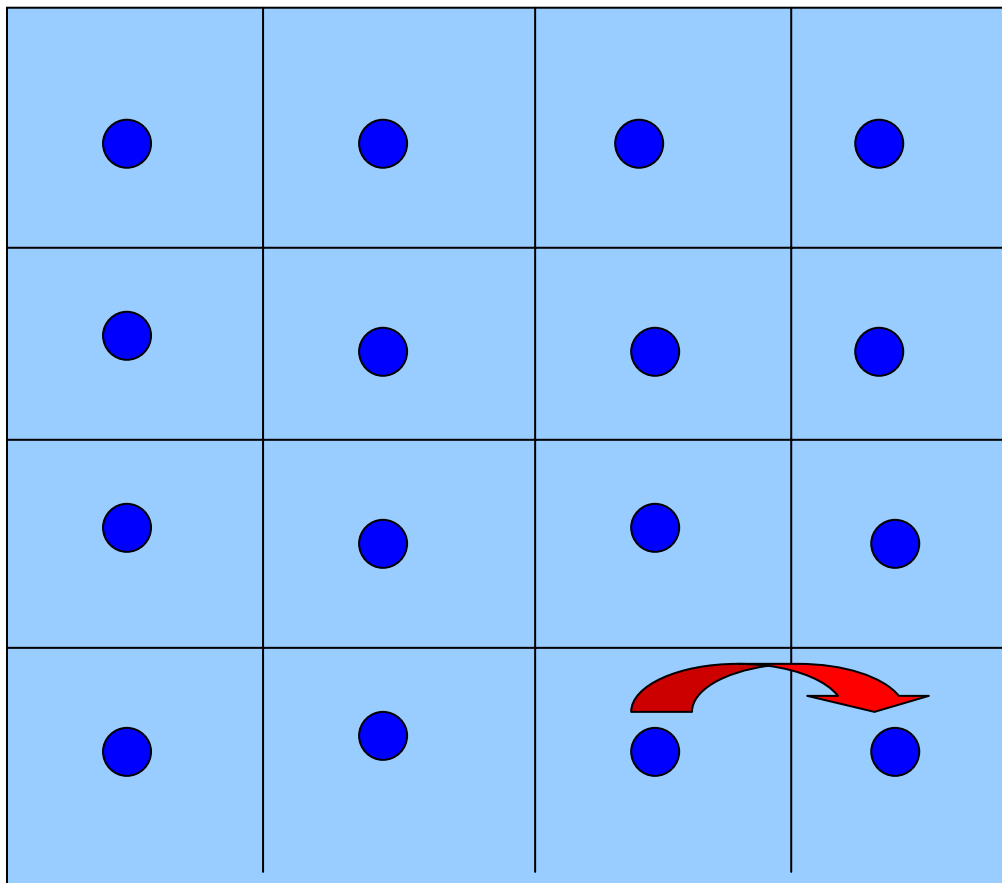


$\text{Y Ba}_2 \text{Cu}_3 \text{O}_7$
Cuprate Superconductors

Mott Problem (NiO)

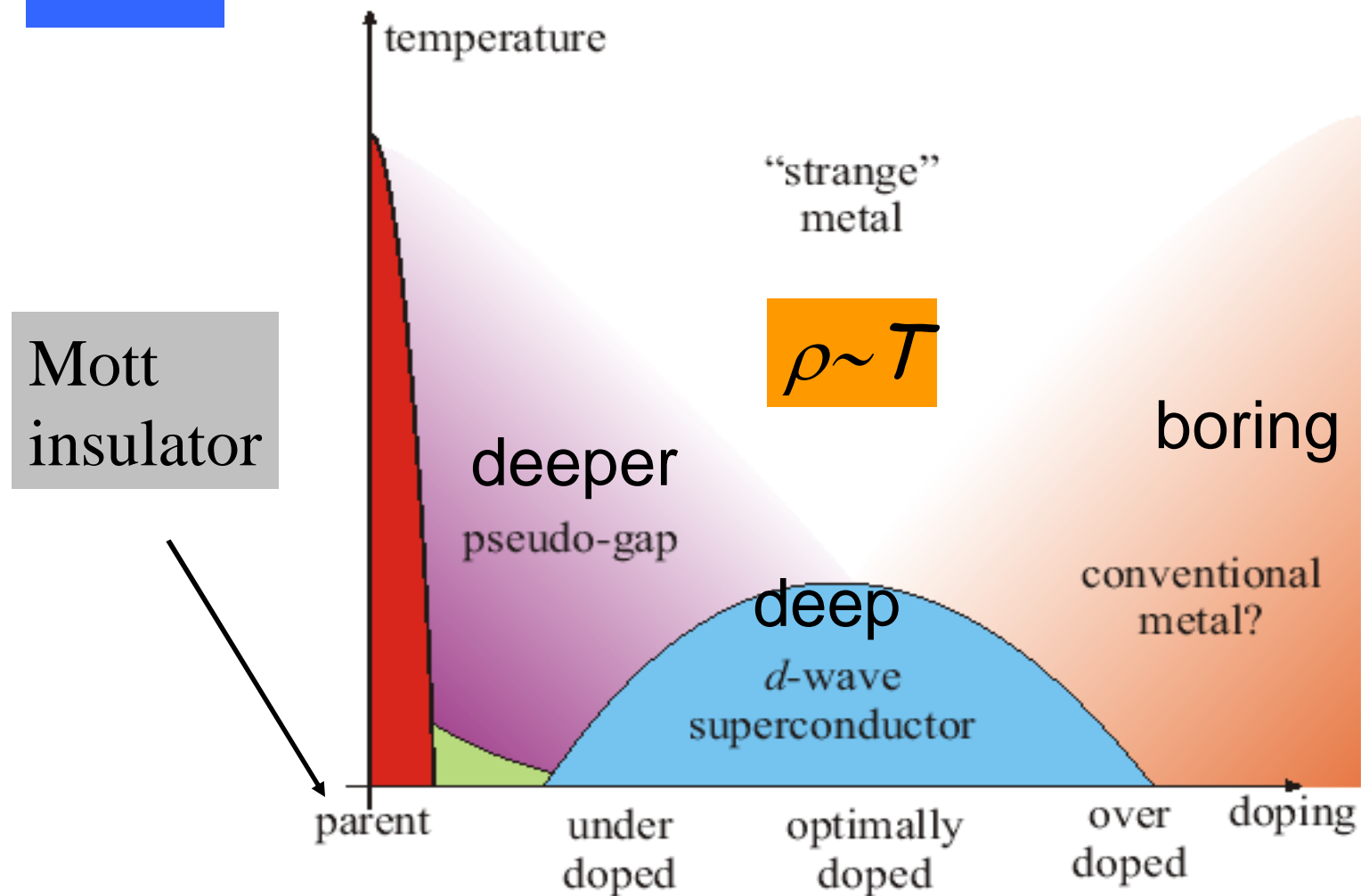
Hubbard Inn floor plan (N rooms N guests)

Insulator
???????



$$\Delta E = U \gg K.E.$$

Phase diagram

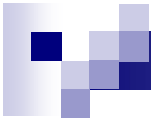


Why and How?



Organising principle for the Normal State

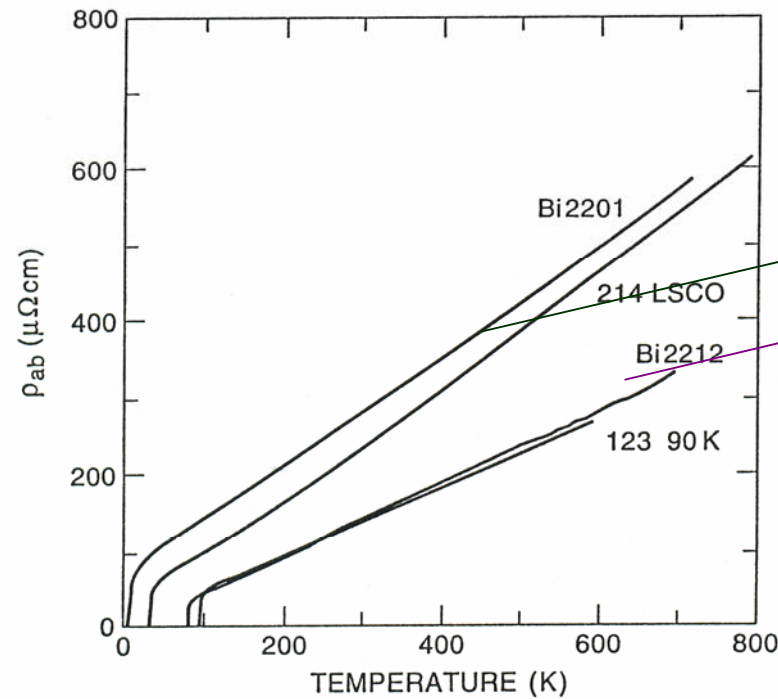
- Resistivity $\sim T$
- Pseudogap
- Absence of quasiparticles
- Spectral-weight transfer



zeros

T-linear Resistivity

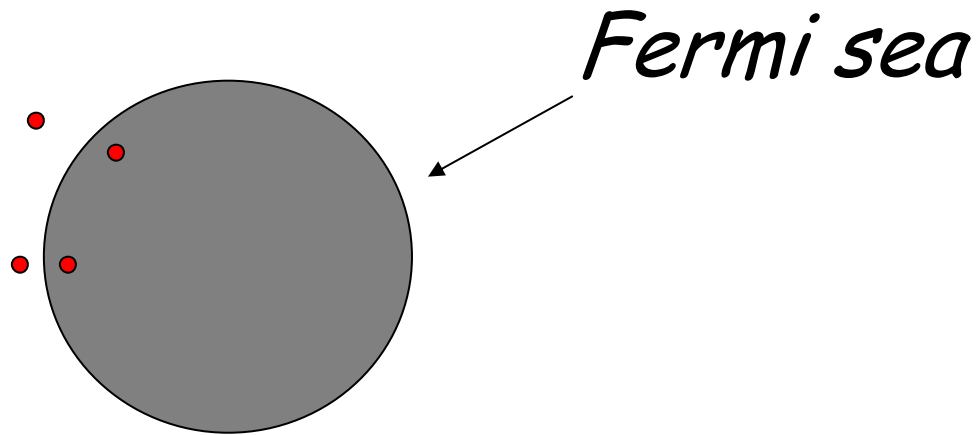
Batlogg, et. al., 1990



Straight
line

Fig. 1 Temperature dependence of the in-plane resistivity ρ_{ab} measured on crystals of various cuprate superconductors.

Metals: $\rho \approx T^2$



Two degrees of freedom

$$\frac{\hbar}{\tau} \approx \frac{\epsilon^2}{\epsilon_F} \propto \frac{T^2}{\epsilon_F}$$

T-linear Resistivity

$$\frac{\hbar}{\tau} \equiv \# k_B T$$

*Planckian limit of dissipation
(Zaanen)*

Why T-linear
Resistivity?

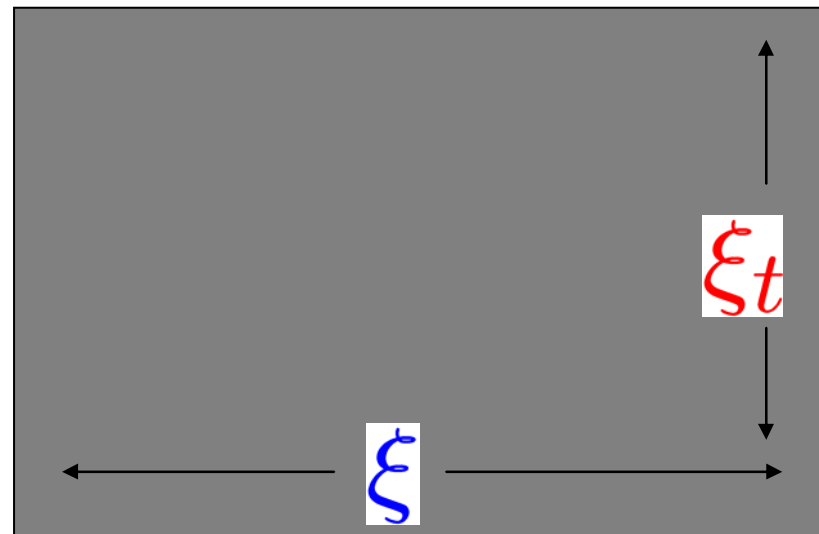
Leading explanation

Quantum criticality

Varma, Anderson, Abrahams, others

Quantum criticality

- Zero-temperature (quantum fluctuations)

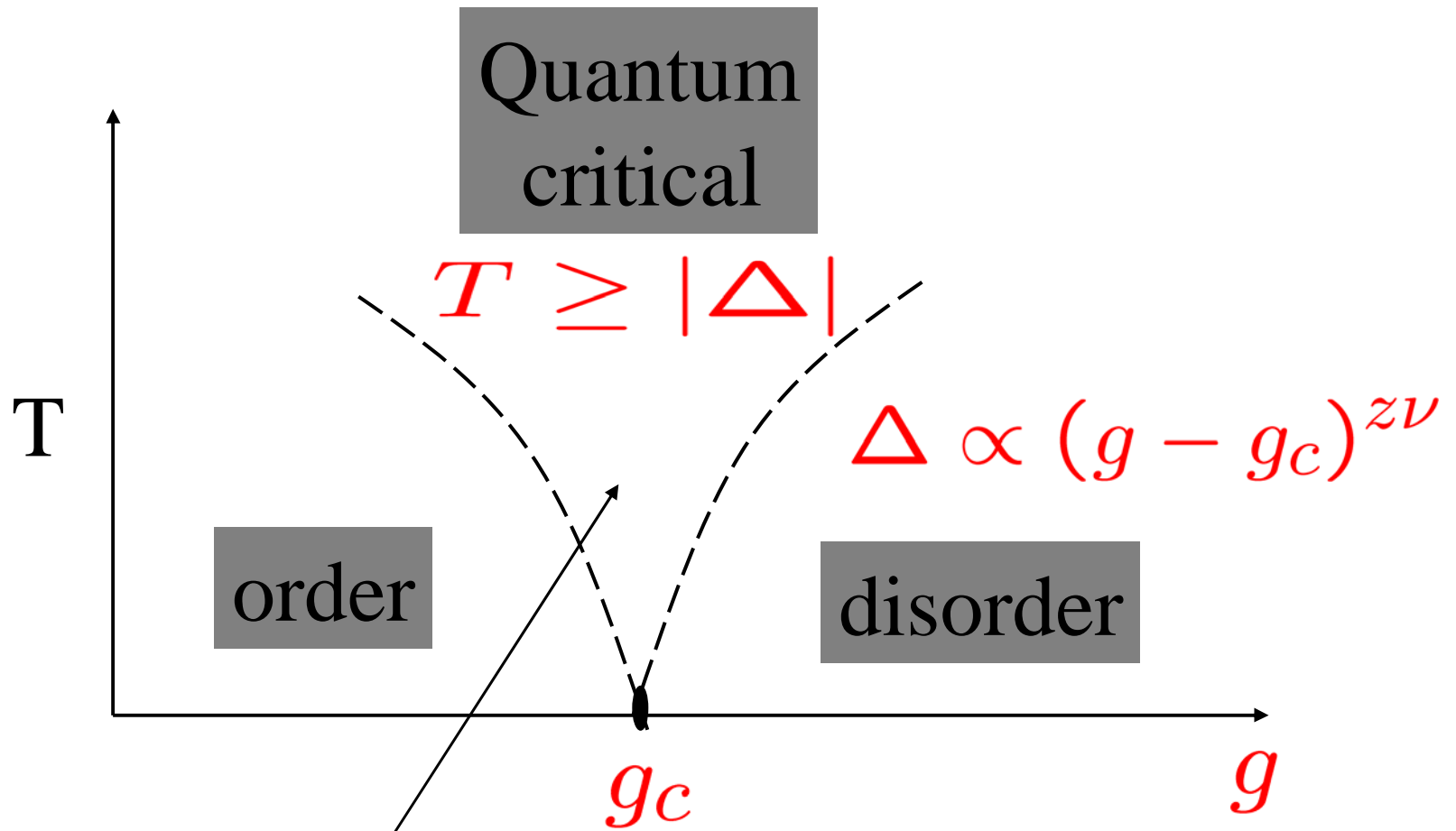


Spatial correlations
diverge

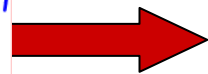
Temporal
Correlations
Diverge

$$\xi_t \propto \xi^z$$

dynamical
exponent



$1/\tau_{\text{scatt}} \propto T$



$\rho \propto T$

Quantum Critical modes

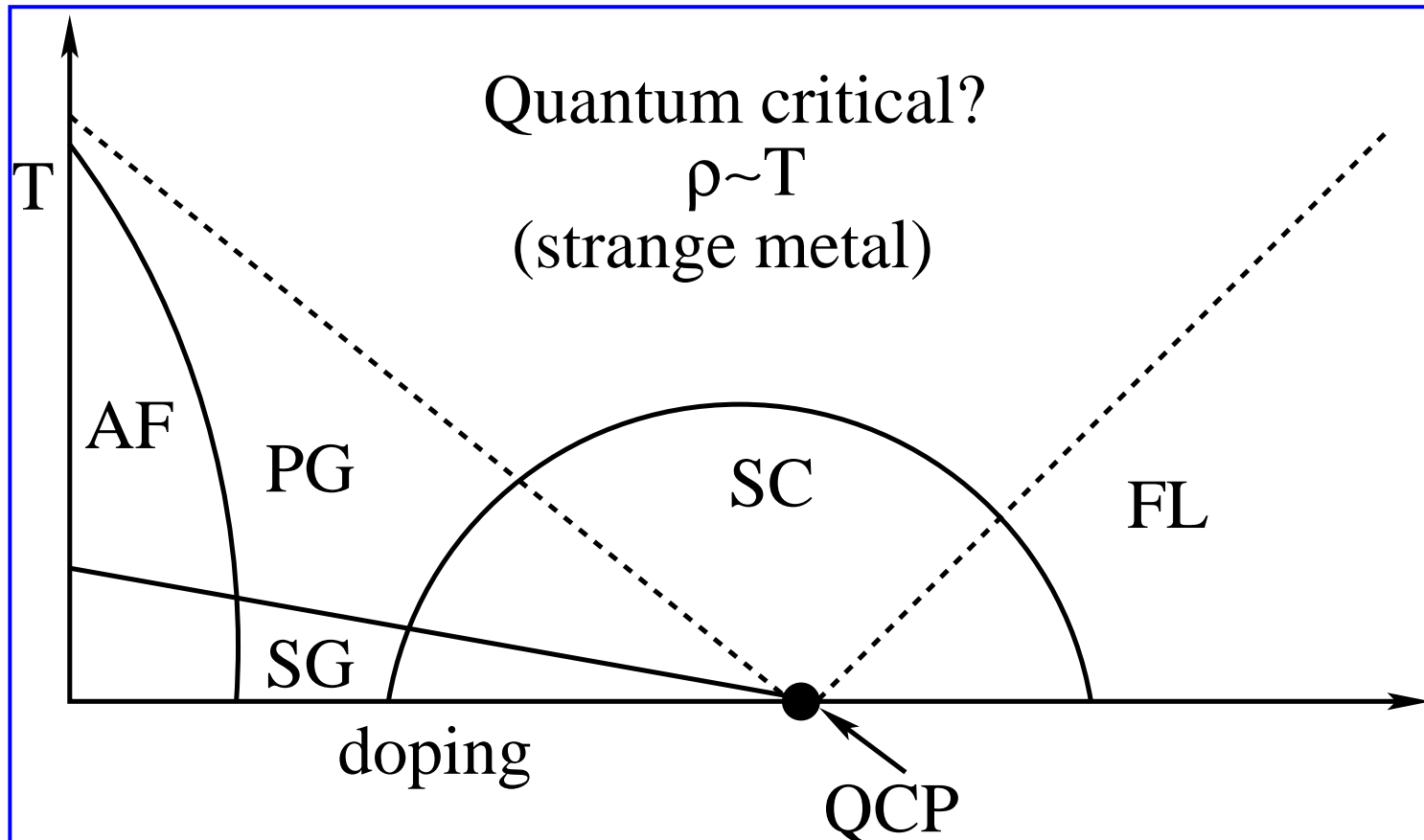


Carry the current



$$\rho \propto T$$

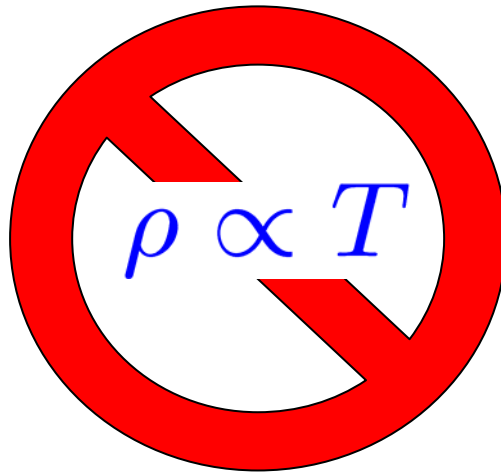
Phase diagram of High- T_c materials



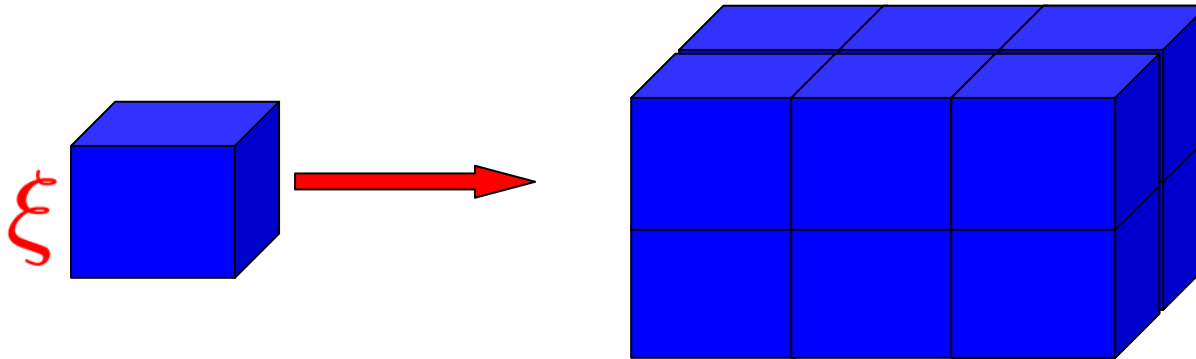
Is this correct?

General Result

- One critical length scale
- Charges are critical
- Charges are neither created nor annihilated (charge conservation)



Scaling hypothesis



$$L! L/\xi$$

$$\beta! \beta/\xi^+ = \beta/\xi^z$$

$$S \rightarrow S_{\text{whatever}} + \int d\tau d^d x A^\mu j_\mu$$



Vector potential

current

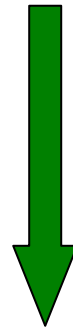
scaling

$$\ln Z = \frac{L^d \beta}{\xi^d \xi_t} F(\delta \xi^{d_\delta}, \{A_\lambda^i \xi^{d_A}\})$$

$$A_\lambda^i = A^i(\omega = \lambda \xi_t^{-1})$$

conductivity

$$\sigma_{ij} = \frac{1}{L^d} \frac{1}{\beta \omega} \frac{\delta^2 \ln Z}{\delta A^i(-\omega) \delta A^j(\omega)}$$



$$\sigma_{ij} = \frac{Q^2}{\hbar} \xi^{2d_A - d} \Sigma_{ij}(\omega \xi_t)$$

$$\sigma_{ij} = \frac{Q^2}{\hbar} \xi^{2d_A - d} \Sigma_{ij}(\omega \xi_t)$$

Charge
conservation



$$d_A \equiv 1$$

$$\xi \propto \xi_t^{1/z} \propto T^{-1/z}$$




$$\sigma(\omega, T) = \frac{Q^2}{\hbar} T^{(d-2)/z} \Sigma\left(\frac{\hbar\omega}{k_B T}\right)$$

General result

$$\sigma(\omega, T) = \frac{Q^2}{\hbar} T^{(d-2)/z} f\left(\frac{\hbar\omega}{k_B T}\right)$$

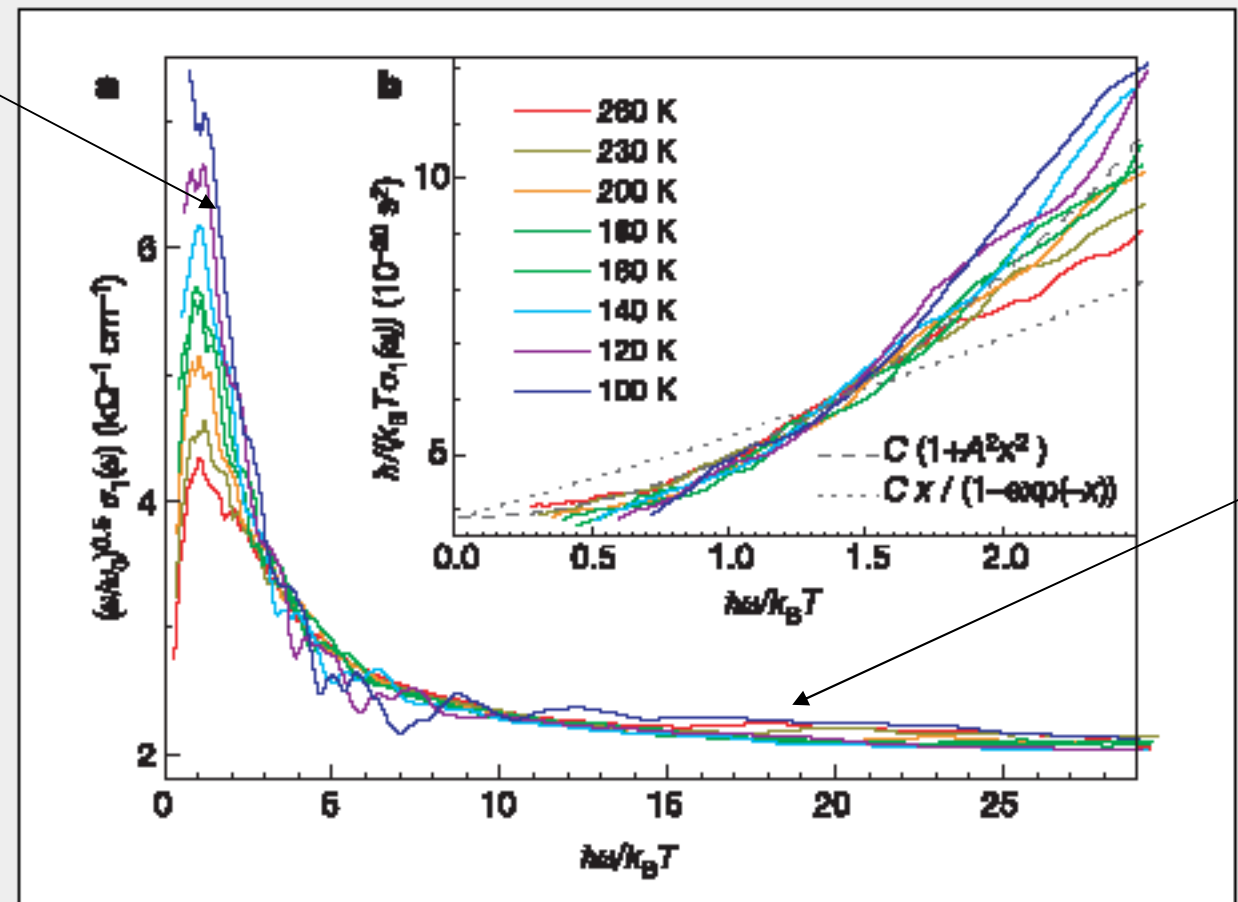
T-linear resistivity
(d=3 for cuprates)

$z = -1!$  Impossible?

PP, CC, PRL, vol. 95, 107002 (2005)

$$\sigma \neq T^{-z} f(\omega/T)$$

$z = 1.0$



$z = 0.5$

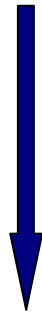
Van der Marel, et. al. Nature 2004

Drude Conductivity

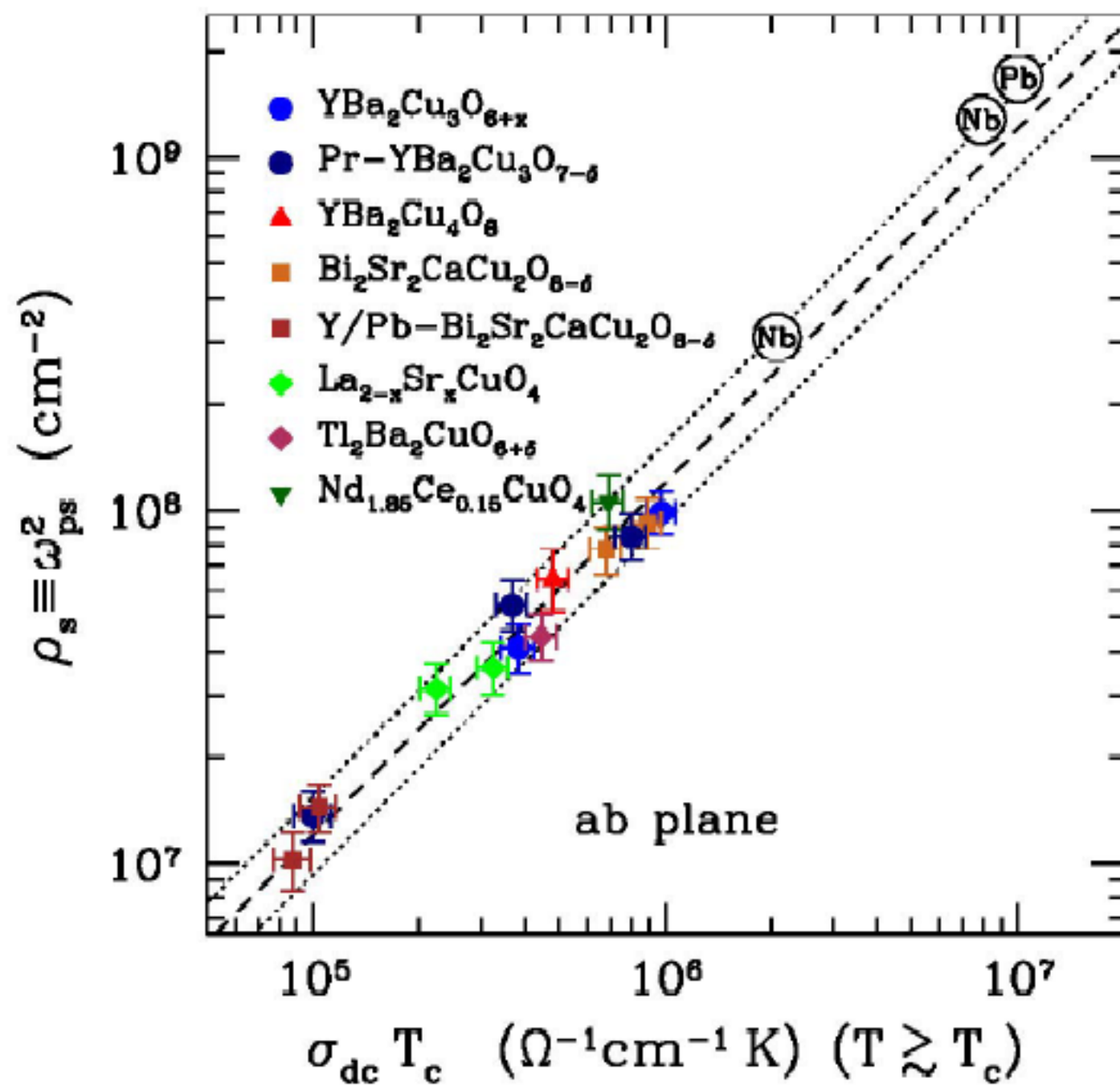


$$\sigma(\omega, T) = \frac{1}{4\pi} \frac{\omega_{pl}^2 \tau_{tr}}{1 + \omega^2 \tau_{tr}^2}$$

new
energy
scale



Not of scaling form:
Except for $z=-1$



What does Homes' Law Mean?

$$\rho_s = A \sigma_{dc}(T_c) T_c$$

Express in units of 1/time² (Zaanen)

$$\Omega_{p,s}^2 = 4\pi n_s e^2 / m_e$$

$$\Omega_{p,N}^2 \tau(T_c) / 8\pi^2$$

$$2\pi k_B T_c / \sim$$

(Drude)

$$\tau(T_c) = \sim / (2\pi k_B T_c)$$

Planckian Dissipation (QC)

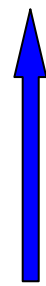
Is this true?

$$\rho_s = A\sigma(T_c)T_c$$



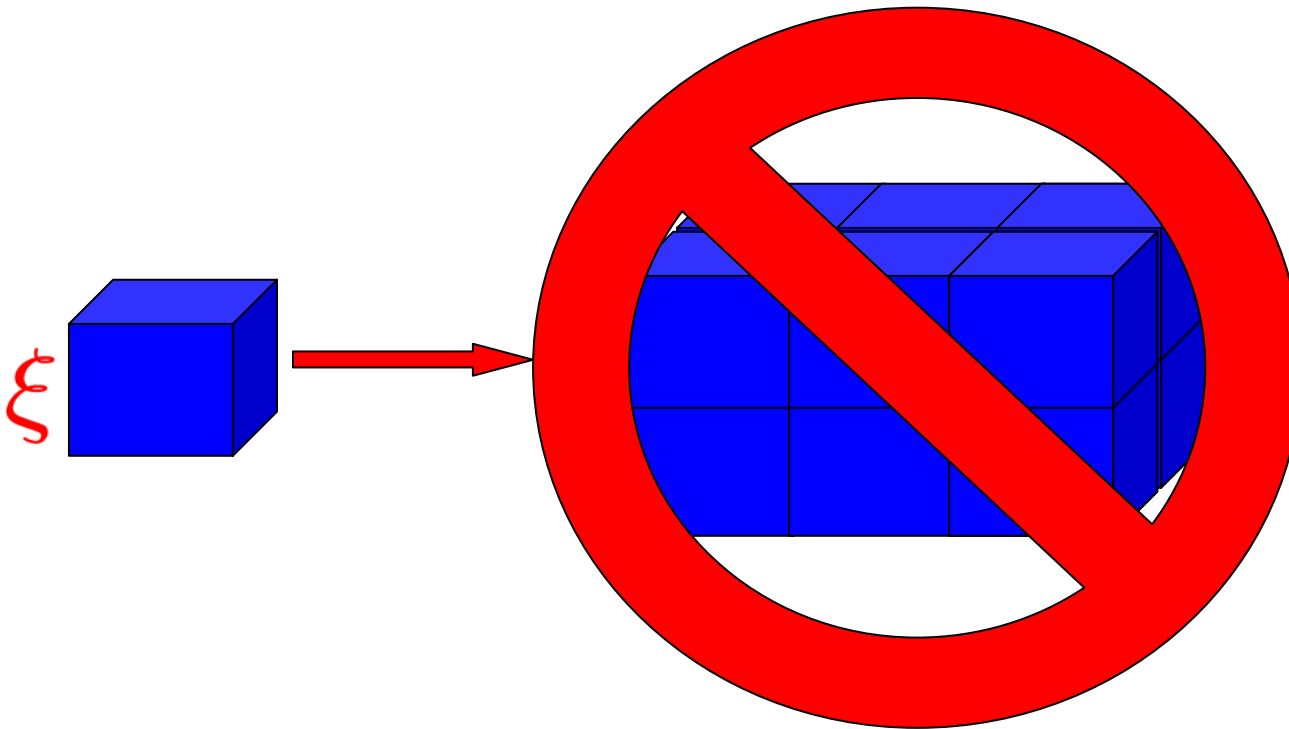
$T^{(d-2)/z_f(0)}$ (QC)

$$\rho_s / T_c^\alpha$$



Uemura Relationship \neq Homes Law

Scaling hypothesis



One-parameter
Scaling breaks down

QC and
T-linear
are unrelated

Critical modes
are
NOT
charge carriers

dangerously
irrelevant ops.
upper critical
dimension is
 $d+z=2!$

- One critical length scale (and hyperscaling)
- Charge degrees of freedom are critical
- Charge is conserved

System has
UV-IR mixing
Phillips *et al*
2004

Optimist:
mean-field
QC theory
may work!

QCP with more
than one scale
Senthil *et al*
2004

New direction

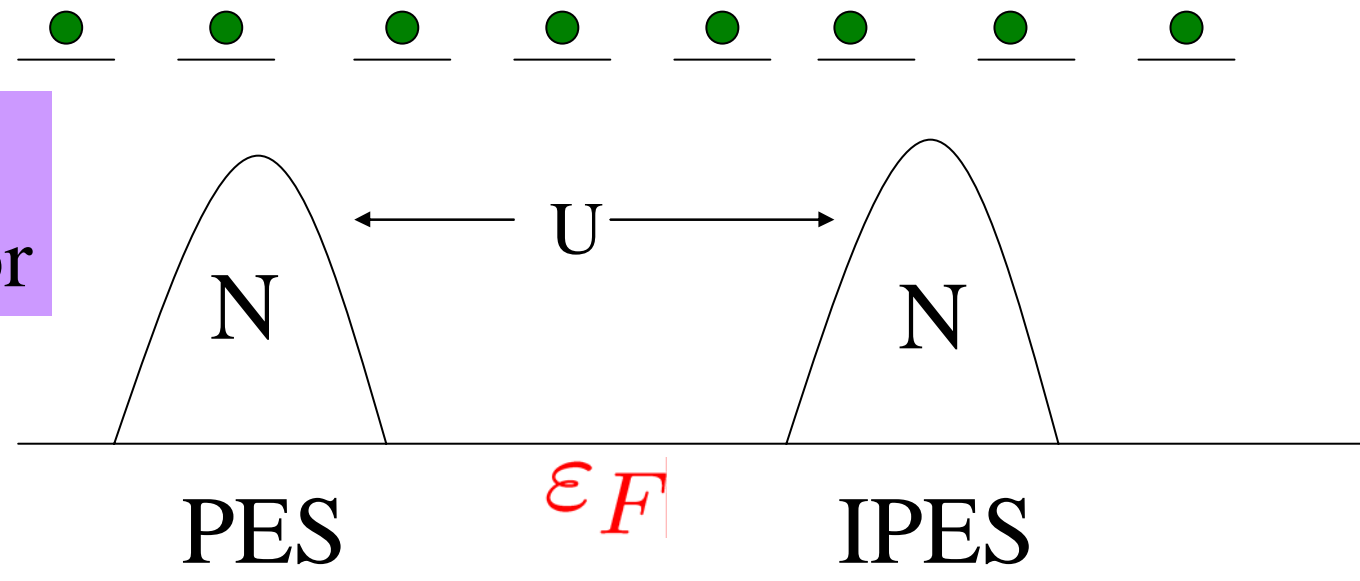
(UV-IR mixing)



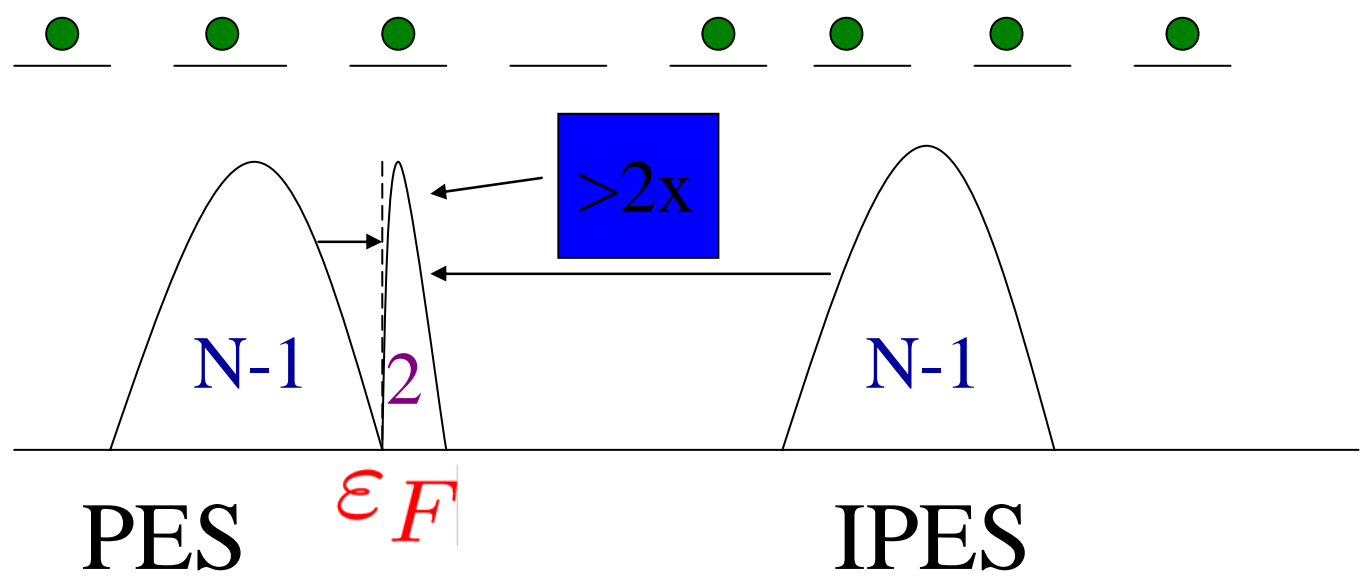
all energy scales
are mixed

Asymptotic slavery

Mott insulator



UV-IR Mixing

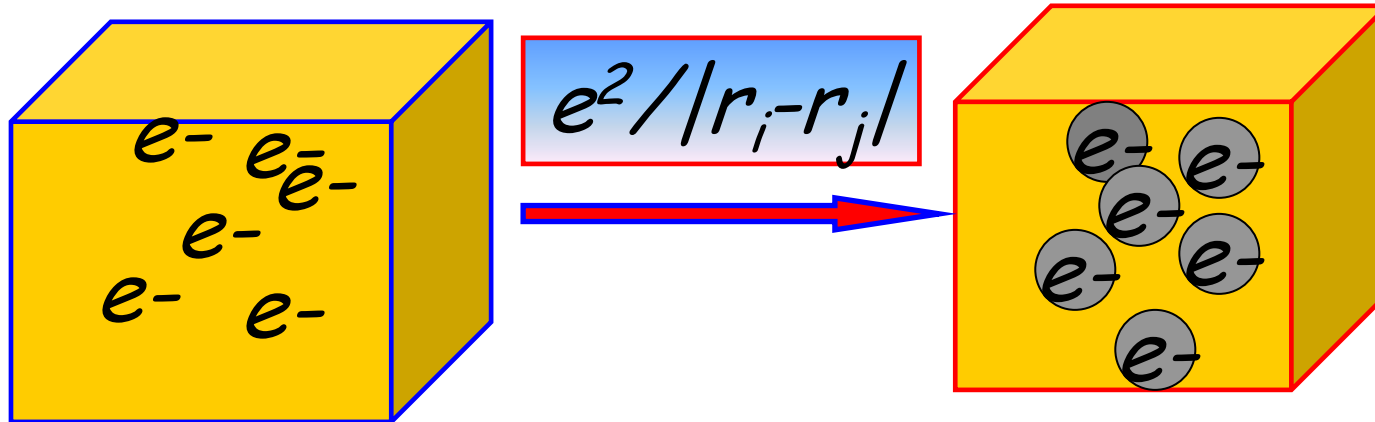
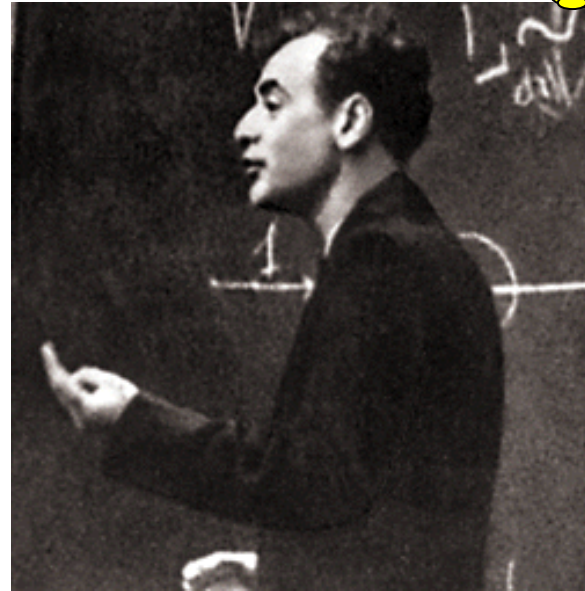


Sawatzky, et. al.

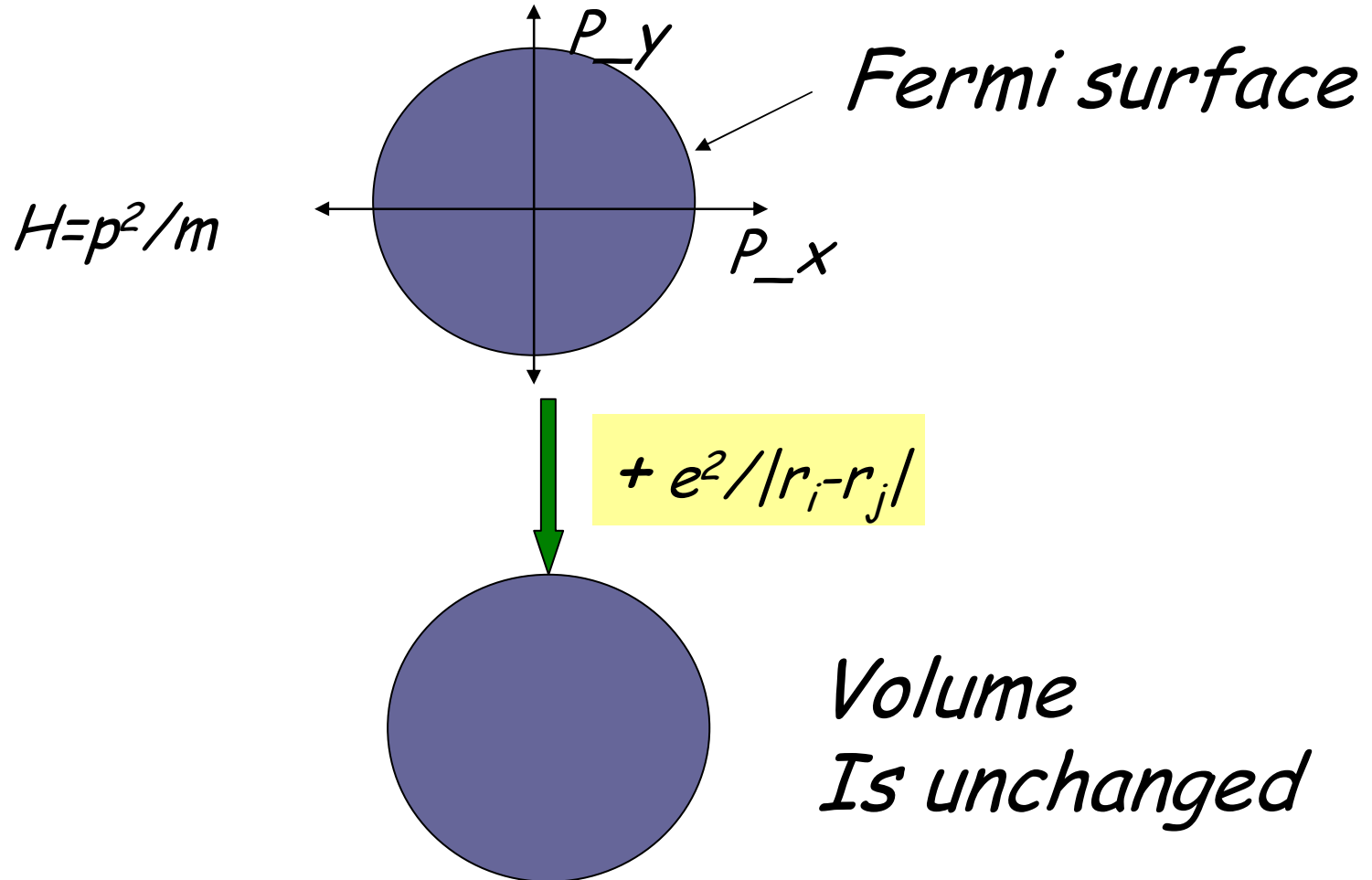
*What is lost when
high energy scale
is removed?*



Fermi
Liquid?



Luttinger's theorem



Luttinger's Theorem

$$\frac{N}{V} = 2 \int_{G(0,p) > 0} \frac{d^d p}{(2\pi)^d}$$

$$\text{Im}\Sigma = 0$$

*Poles:
Quasiparticles
Fermi surface*

*Zeros: Gaps
Luttinger surface
(Dzyaloshinskii)*

*Fermi liquid:
 $G(\varepsilon, p) = Z / (\varepsilon - \varepsilon(p))$*

*(Mott Insulator):
 $G(\varepsilon, p) \sim (\varepsilon - \varepsilon(p))$*

Closer look at zeros

$$G = \frac{1}{\omega - \epsilon(p) - \Sigma(p, \omega)}$$

$$\Sigma = G^{-1} - G_0^{-1}$$

If $G=0$

$$\Sigma=1$$

~~Perturbation theory~~

*Is the surface of
Zeros the Mott analogue
Of the Fermi surface?*

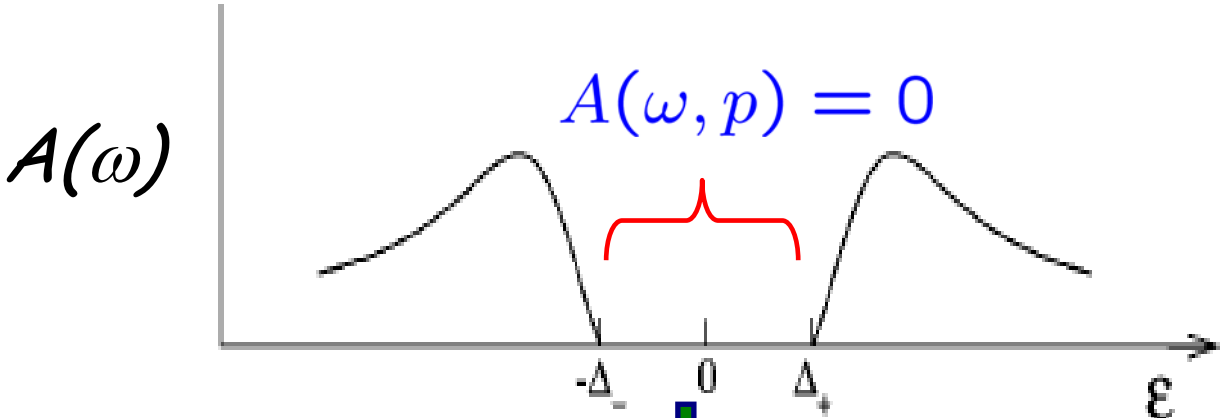
*Does its volume=
particle density?*

$$-\frac{1}{\pi} \text{Im}G$$

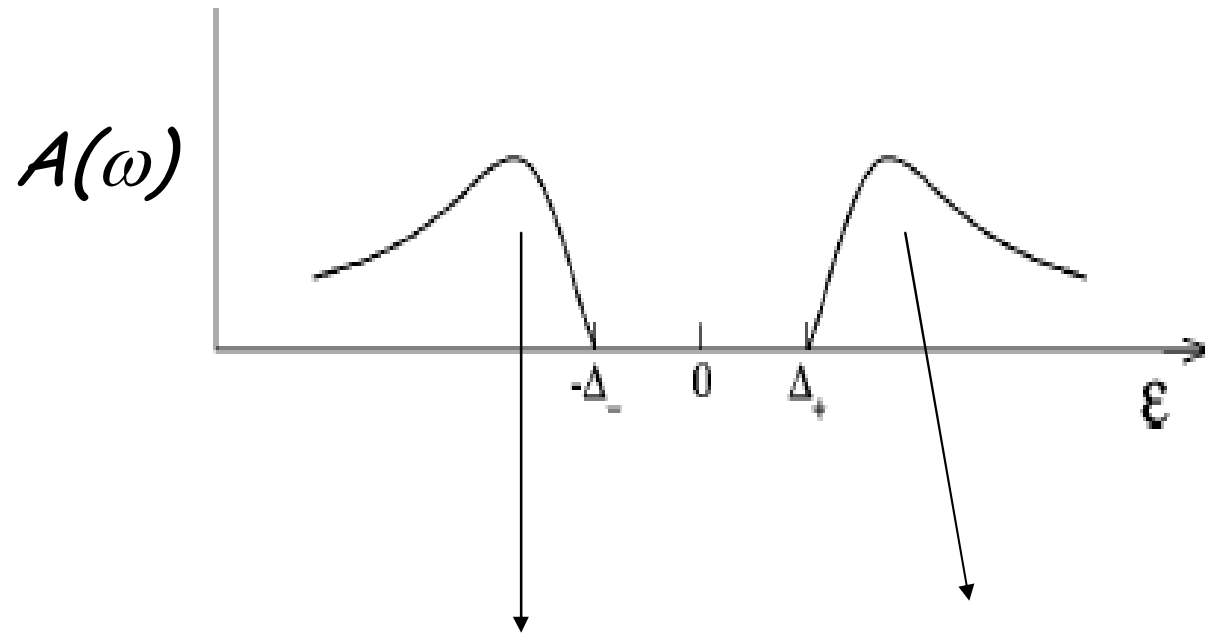
Lehman

$$G(\omega, p) = \int_{-\infty}^{\infty} \frac{A(\omega', p) d\omega'}{\omega - \omega' + i\eta}$$

Mott gap



$\text{Re}G(0, p) = \text{below gap} + \text{above gap}$

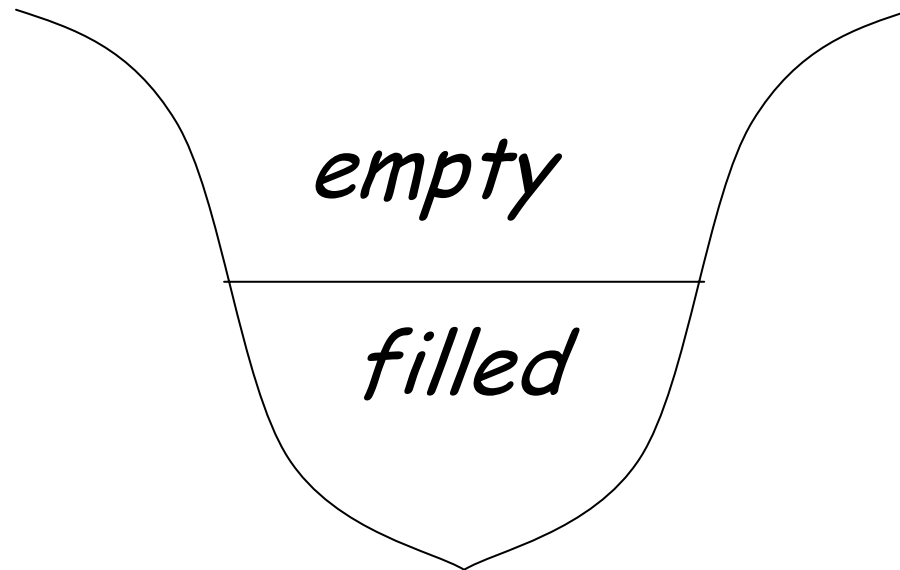


$$\text{Re}G(0, p) = \int_{-\infty}^{-\Delta_-} \frac{A(\omega', p) d\omega'}{-\omega'} + \int_{\Delta_+}^{\infty} \frac{A(\omega', p) d\omega'}{-\omega'}$$

Zero condition: $\text{Re} G(0, p) = 0$

$A(\omega, p)$ is an even function of ω

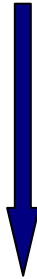
Symmetry of half-filling



$$n=1-n=1/2$$

Particle-hole symmetry

$$c_{i\sigma}^\dagger e^{iQ\phi} R\{i\} c_{i\sigma}^y$$



$$A(\omega, p) = A(-\omega, -p - Q + 2n\pi)$$

Interchange x, y

$$P = Q/2 + n\pi$$

$$\begin{aligned} p_x &= -p_y - q + 2n\pi \\ p_x &= p_y - q + 2n\pi \end{aligned}$$

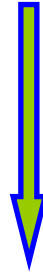
$$p_x = -p_y - q + 2n\pi$$
$$p_x = p_y - q + 2n\pi$$

$$Q = (\pi, \pi)$$

$$p_x \pm p_y = -\pi + 2n\pi$$

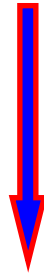
*Fermi surface
Of non-interacting
Electrons on a Square lattice*

Generalisation



*Asymmetric
Band structure*

$$M_n^\sigma(k) \equiv \int \frac{d\omega}{2\pi} \omega^n d\omega G_\sigma^{\text{ret}}(k, \omega)$$



*Only even moments
Survive when
 $\varepsilon(k)=0$*

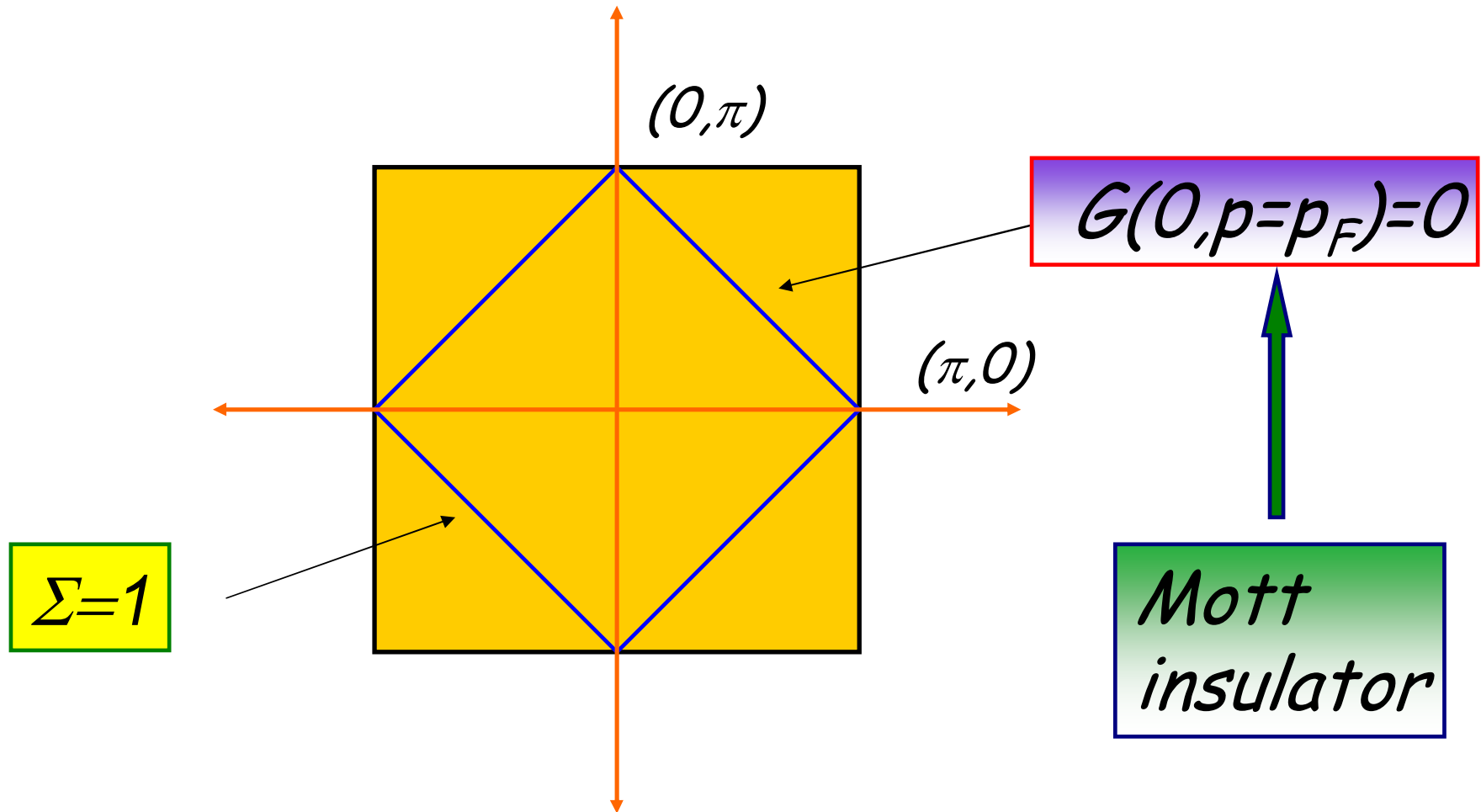
Particle-hole symmetry



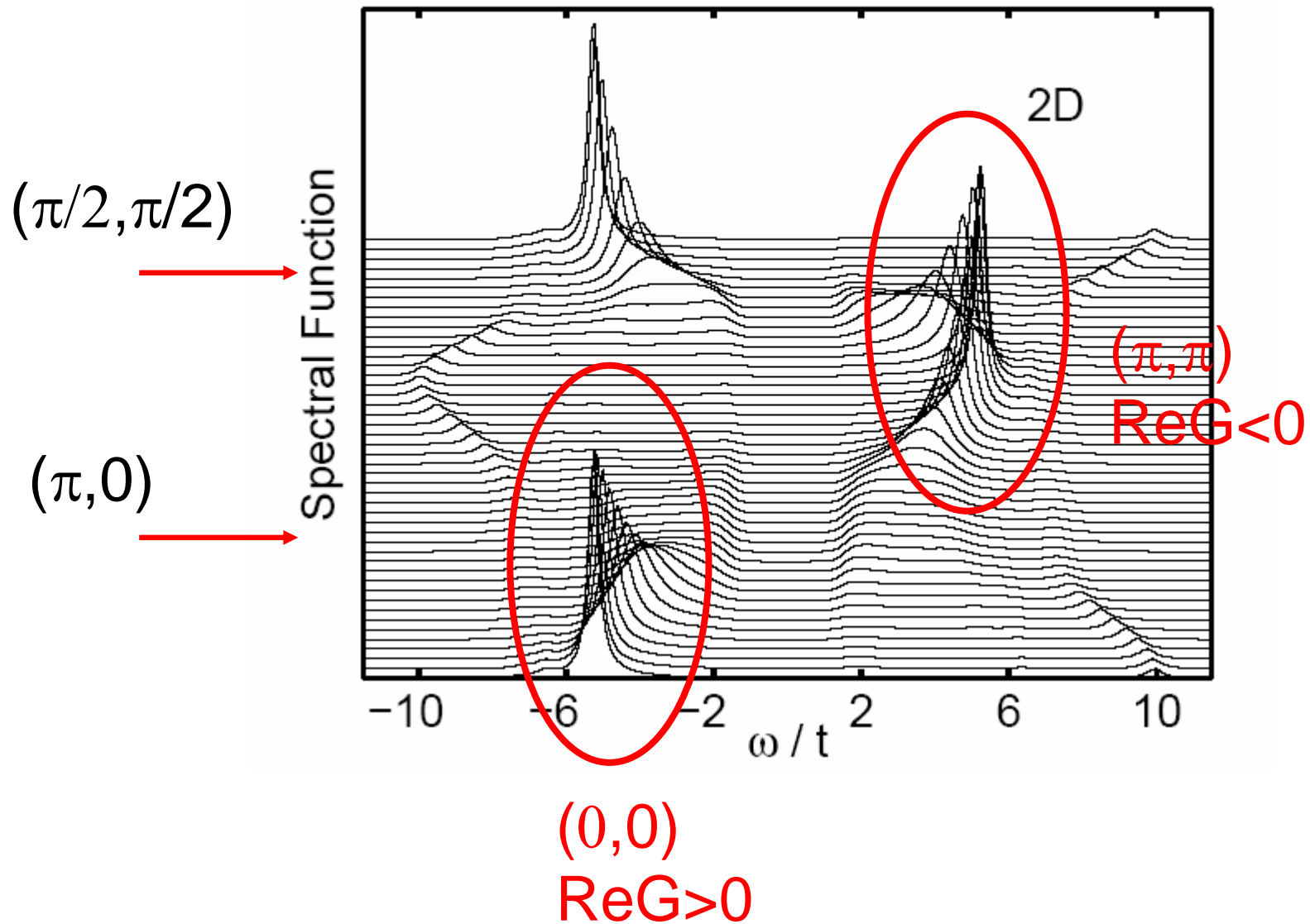
Mott insulator

$$G(0, p=p_F)=0$$

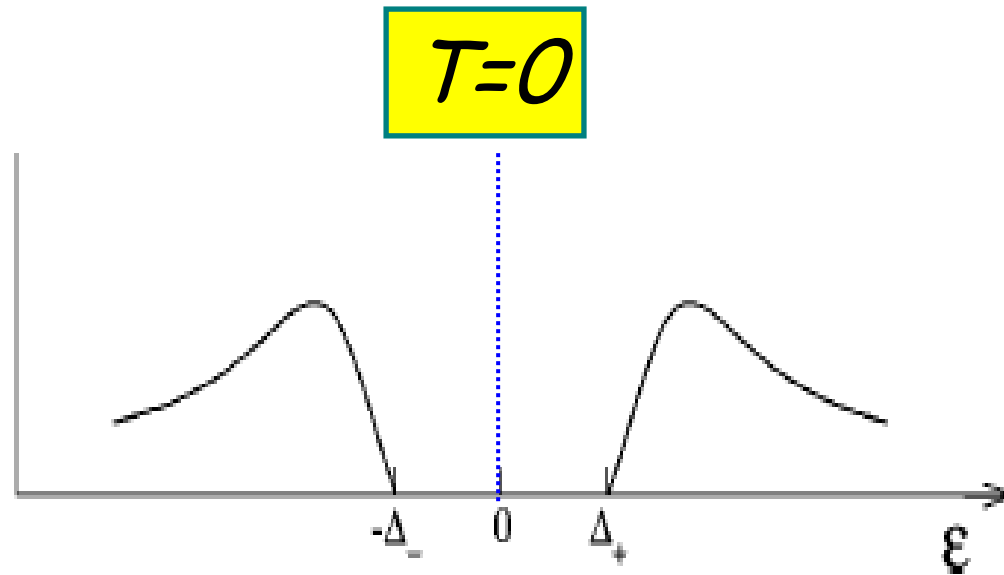
Surface of zeros



Spectral function



Robustness of zeros



What happens to the zeros?

$$\text{Re}G(0, p) = \int_{-\infty}^{-\Delta_-} \frac{A(\omega', p) d\omega'}{-\omega'} + \int_{\Delta_+}^{\infty} \frac{A(\omega', p) d\omega'}{-\omega' - E}$$

If E!1



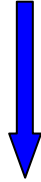
Zeros vanish

Mott Insulator

*Zeros along a momentum surface
for some range of energies
within the gap*

Mottness

Mott insulators

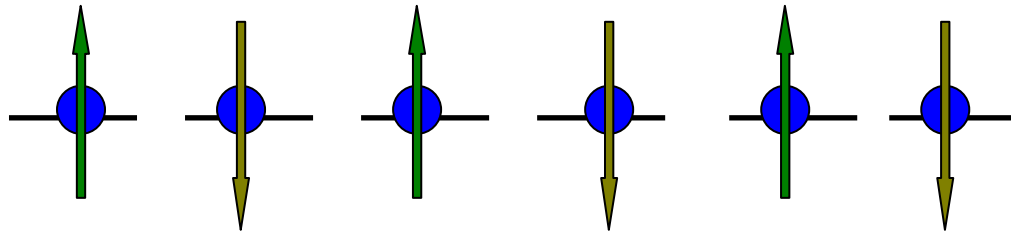


Strong electron correlations



Not considered by Mott

Ordering

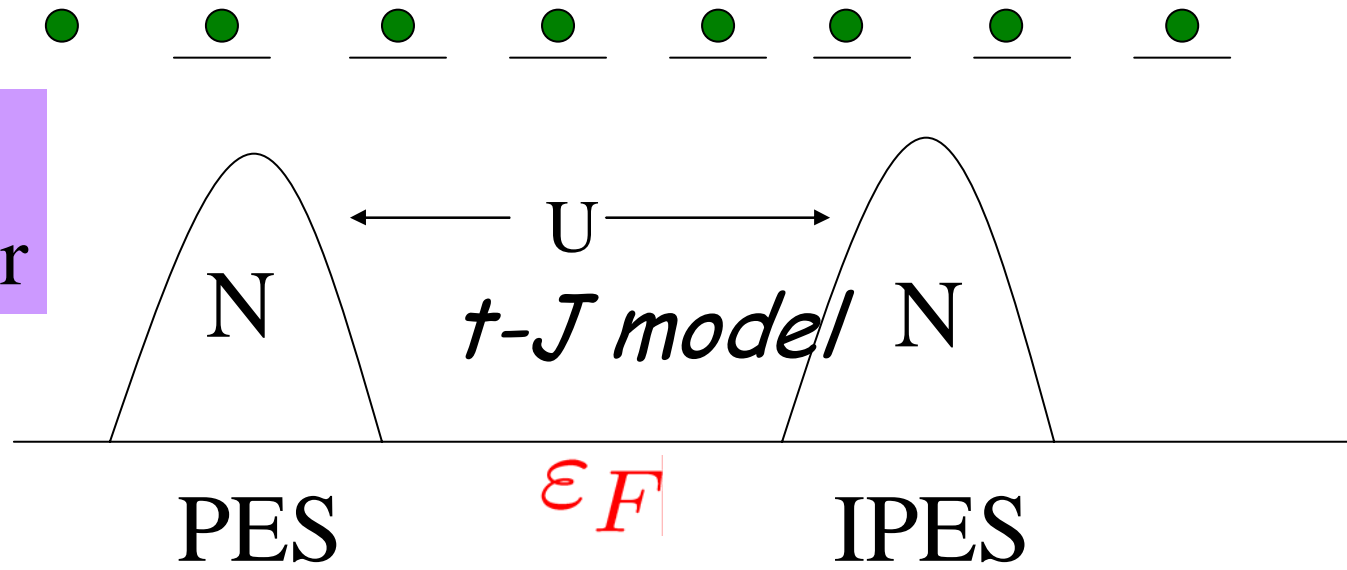


Anti-ferromagnet

Mottness=Mott insulator-ordering

*In what low-energy
reductions, do zeros
survive*

Mott insulator



What changes?

$$\text{Re}G(0, p) = \int_{-\infty}^{-\Delta_-} \frac{A(\omega', p) d\omega'}{-\omega'} + \int_{\Delta_+}^{\infty} \frac{A(\omega', p) d\omega'}{-\omega'}$$

Ze No Zeros: $\text{Re}G(0, p) \neq 0$ $p)=0$

*Zeros are an
Indication that
The UV and IR physics
Cannot be disentangled.*



*low-energy reductions
Fail (t - J model at $n=1$)*

Volume of zero surface?

$$\frac{N}{V} = \sum_{p,\omega} G(p,\omega) 1$$

trick

$$1 = \frac{\partial}{\partial \omega} (G^{-1} + \Sigma)$$

$$\frac{N}{V} = n_{\text{Lutt}} + \sum_{p,\omega} G \frac{\partial}{\partial \omega} \Sigma$$

I_2

*Fermi
liquid*

$$n = n_{\text{Lutt}}$$

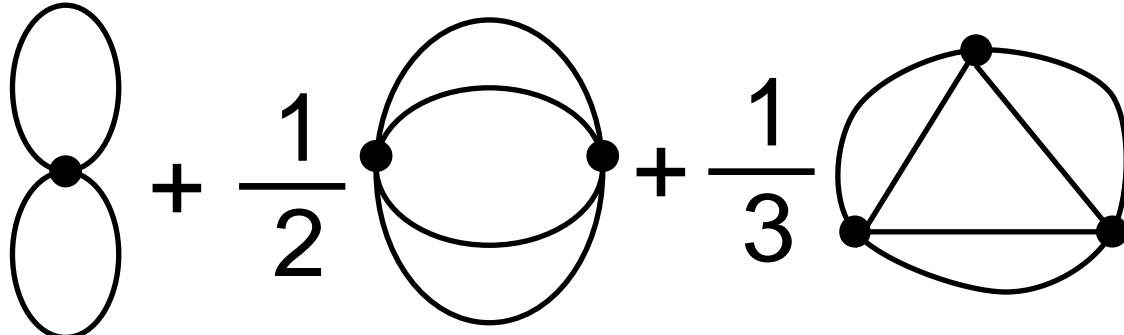
*Particle-hole
symmetry*

$$n = n_{\text{Lutt}}$$

Luttinger-Ward Functional, $\Phi[G]$

$$\delta \Phi[G] = \sum_{\mathbf{k}} \int d\omega \Sigma(\mathbf{k}, \omega) \delta G(\mathbf{k}, \omega)$$

Perturbation expansion in U

$$\Phi[G] = 2 \left[\frac{1}{1} \text{diagram}_1 + \frac{1}{2} \text{diagram}_2 + \frac{1}{3} \text{diagram}_3 + \dots \right]$$


$$\Rightarrow \frac{\partial \Phi[G]}{\partial \omega} = \sum_{\mathbf{k}} \int d\omega \Sigma(\mathbf{k}, \omega) \frac{\partial G(\mathbf{k}, \omega)}{\partial \omega} = 0$$

\Rightarrow Luttinger Theorem

Hubbard Model ($t=0$) $\Sigma_{loc}(k, \omega) = \frac{(U/2)^2}{\omega + \mu} \neq \Sigma_{pert} = U$

$$G(\Sigma - U/2)^2 + (\Sigma - U/2) - (U/2)^2 G = 0$$

$$\Sigma[G] = \frac{U}{2} + \frac{-1 \pm \sqrt{1 + U^2 G^2}}{2G}$$

Functional Integration

Frequency expansion: $\Phi[G] = \int \frac{d\omega}{2\pi} Y(i\omega)$

$$\begin{aligned} Y(i\omega) &= \frac{1}{2} \left(UG(i\omega) \pm \sqrt{1 + U^2 G^2(i\omega)} \mp \log [1 + \sqrt{1 + U^2 G^2(i\omega)}] \right) \\ &= \frac{1}{2} \left[-\log G(i\omega) \pm \sqrt{1 + U^2 G^2(i\omega)} \pm \frac{1}{2} \log \left(\frac{\sqrt{1 + U^2 G^2(i\omega)} - 1}{\sqrt{1 + U^2 G^2(i\omega)} + 1} \right) \right] \\ &= Y_{reg}(i\omega) \mp Y_{sing}(i\omega) \end{aligned}$$

Singular part

$$Y_{\text{sing}}(i\omega) = \frac{1}{2} \log \frac{G_0(i\omega)}{G(i\omega)}$$

$$\Rightarrow \frac{\partial \Phi(i\omega)}{\partial \omega} = \int \frac{d\omega}{2\pi} \frac{\partial Y_{\text{sing}}(i\omega)}{\partial \omega} \neq 0$$

- Singularity in self-energy
- Perturbation breaks down
- Self-energy can not be obtained perturbatively!!

$$\Sigma_{\text{loc}}(k, \omega) = \frac{(U/2)^2}{\omega + \mu}$$

Diverges!!

$$\begin{aligned} I_2 &= -\text{Tr} \sum_k \int \frac{d\omega}{2\pi} G(k, \omega) \frac{\partial}{\partial i\omega} \Sigma(k, i\omega) \\ &= \Theta(\mu - U/2) + \Theta(\mu + U/2) - 2\Theta(\mu) \neq 0 \end{aligned}$$

Agrees with Rosch

Luttinger Theorem is not applicable in Mott insulator!!

Small finite hopping, t

- Hubbard II approximation

$$I_2 = \sum_k \left[\Theta\left(-\mu + \frac{\sqrt{U^2 + \varepsilon^2(k)}}{2}\right) + \Theta\left(-\mu - \frac{\sqrt{U^2 + \varepsilon^2(k)}}{2}\right) - 2\Theta(\mu) \right] \neq 0$$

Finite temperature, T

$$I_2(T > 0) = f\left(-\mu + \frac{\sqrt{U^2 + \varepsilon^2(k)}}{2}\right) + f\left(-\mu - \frac{\sqrt{U^2 + \varepsilon^2(k)}}{2}\right) - 2f(-\mu)$$

$\xrightarrow{T \rightarrow 0} I_2(T = 0)$

Smoothly connected to $T > 0$

- No $T=0$ Transition: See ACM, EPL 41, 401 (1998).

Dzyaloshinskii (and Rosch) are wrong

Modified Luttinger Theorem

$$n = \int_{G(k,0)>0} \frac{d^2k}{(2\pi)^2} + \int_{G_0(k,0)>0} \frac{d^2k}{(2\pi)^2}$$

*If Σ does
Not have a perturbative
Expansion from the non-interacting
limit*



Mott insulator

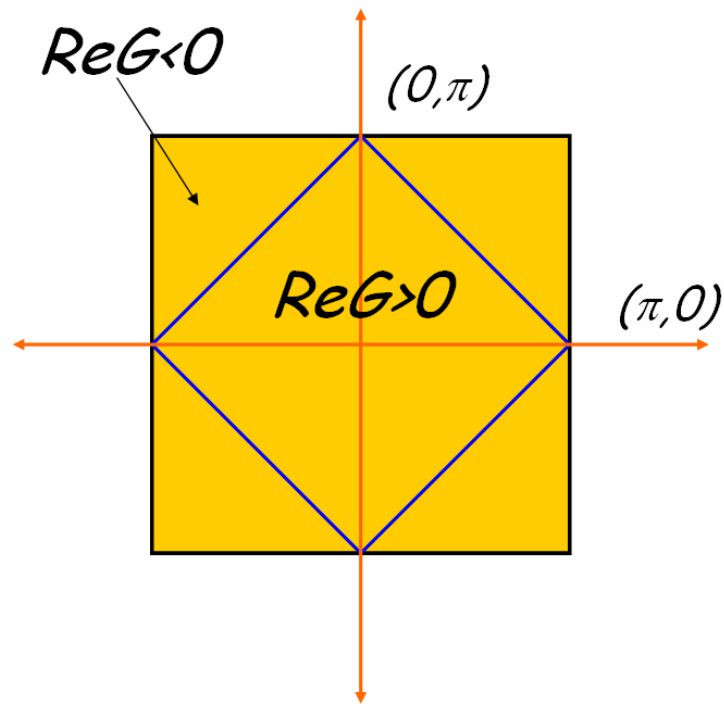
*No sum-rule on surface of
zeros (just get over it!)*

*Have zeros been
Seen experimentally?*

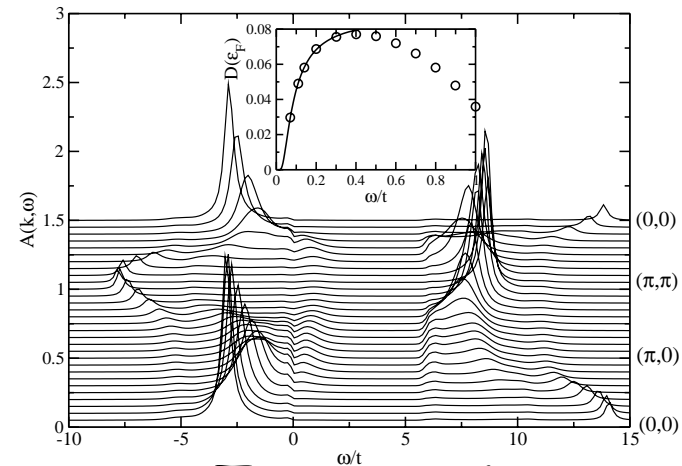
Are they important?

yes

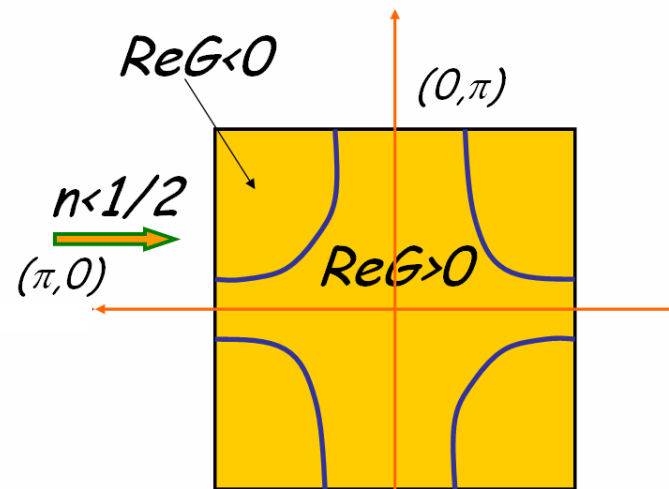
Away from half-filling



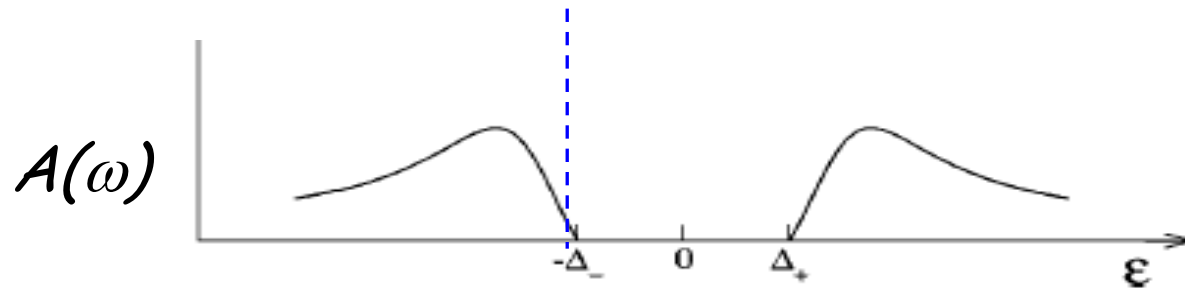
Mott insulator



Zeros exist



Surface changes

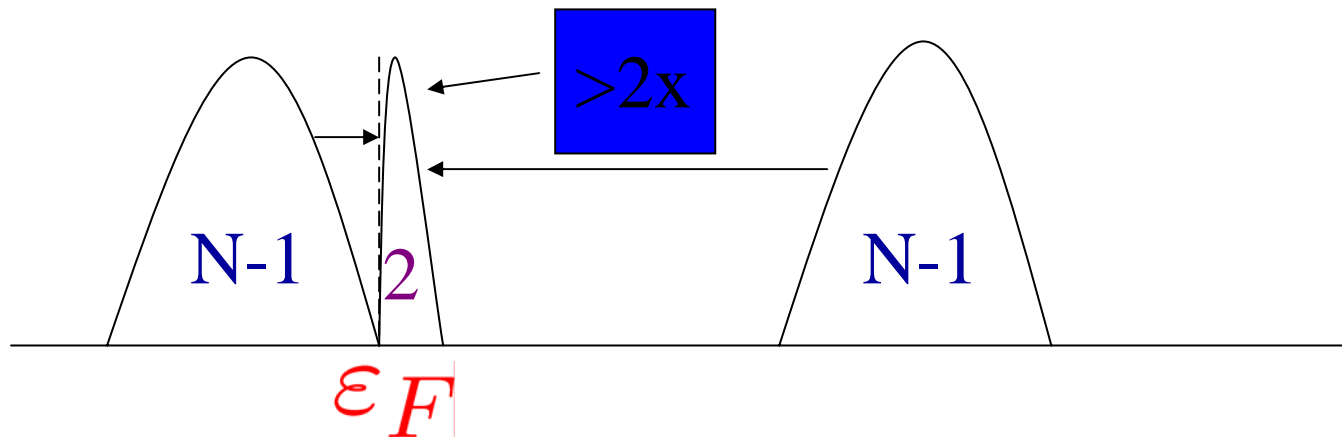


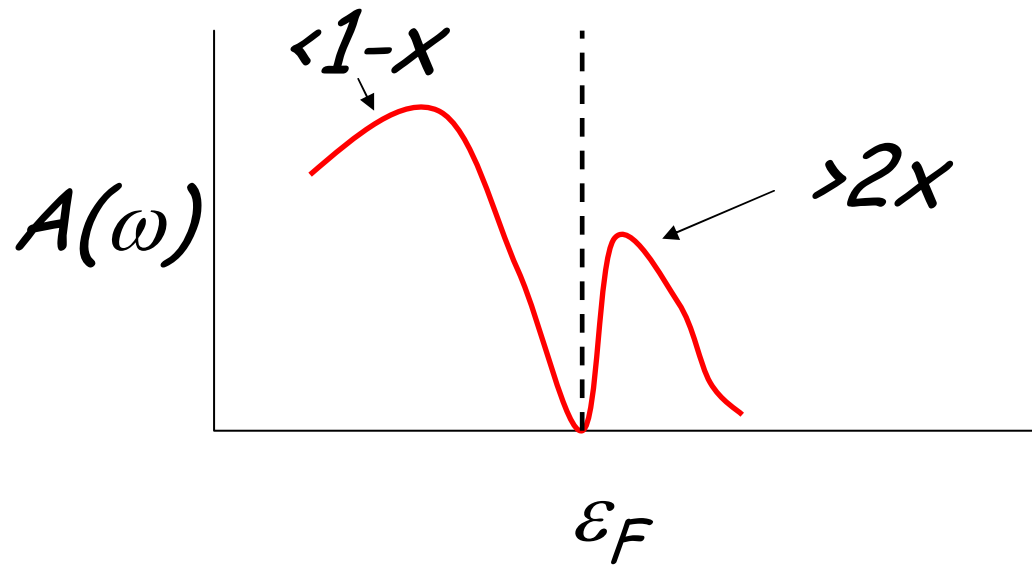
$$\text{Re}G(0, p) = \int_{-\infty}^{-\Delta_-} \frac{A(\omega', p) d\omega'}{-\omega'} + \int_{\Delta_+}^{\infty} \frac{A(\omega', p) d\omega'}{-\omega'}$$

Spectral weight transfer If $\text{Re}G=0$



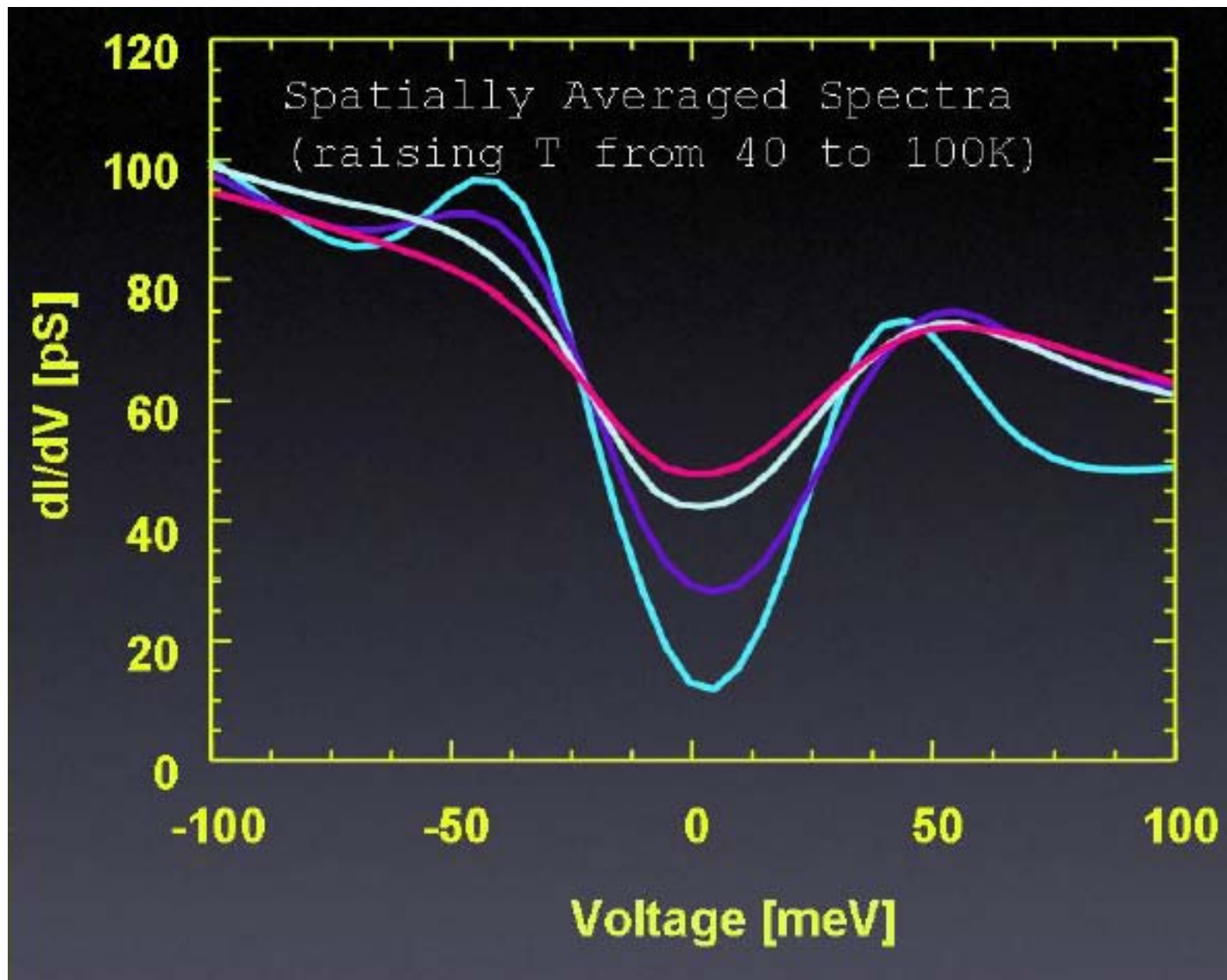
**UV-IR
Mixing**





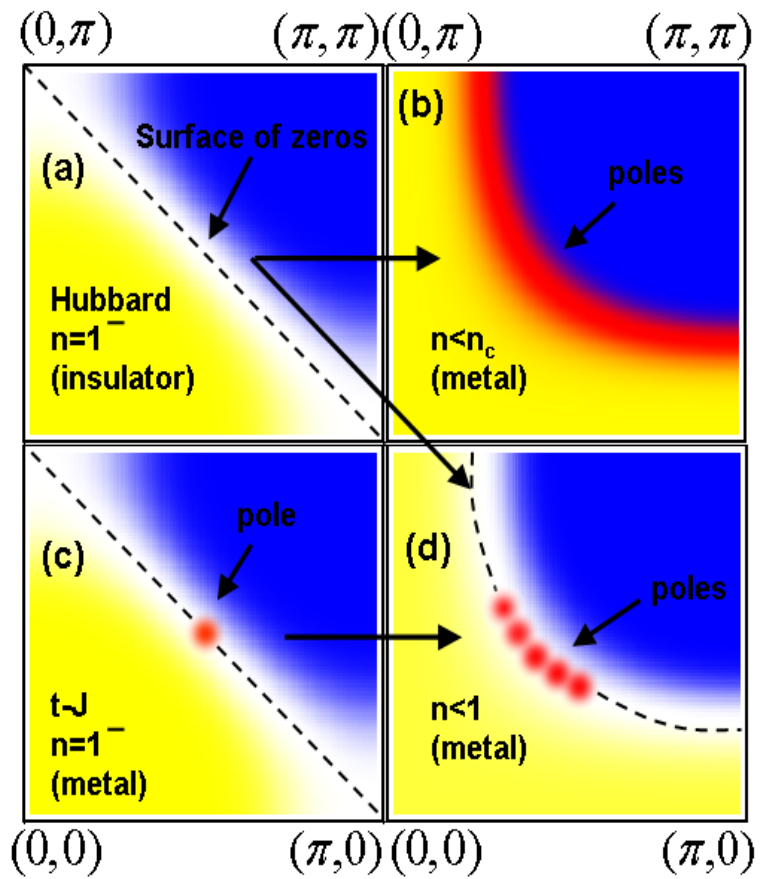
*Pseudogap:
Not symmetrical*

Spectral weight transfer



Yazdani, et. al.

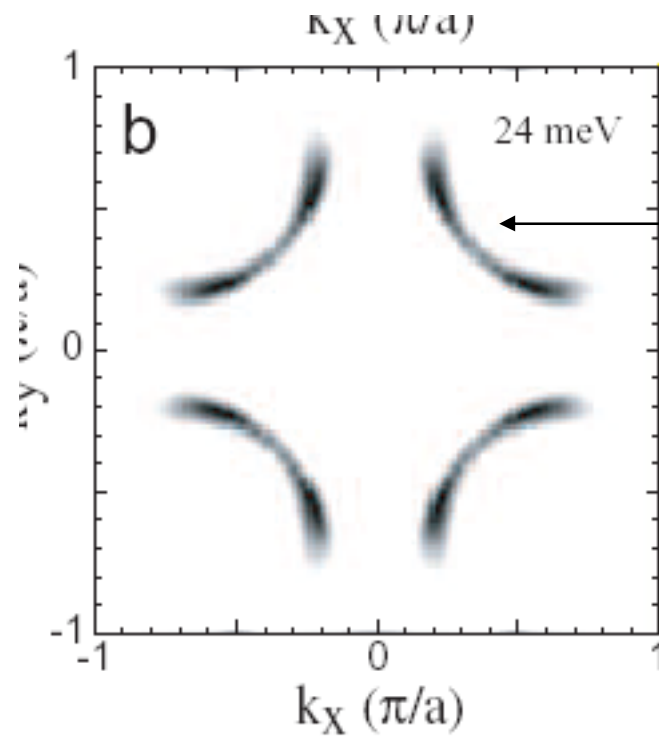
Evolution of surface of zeros



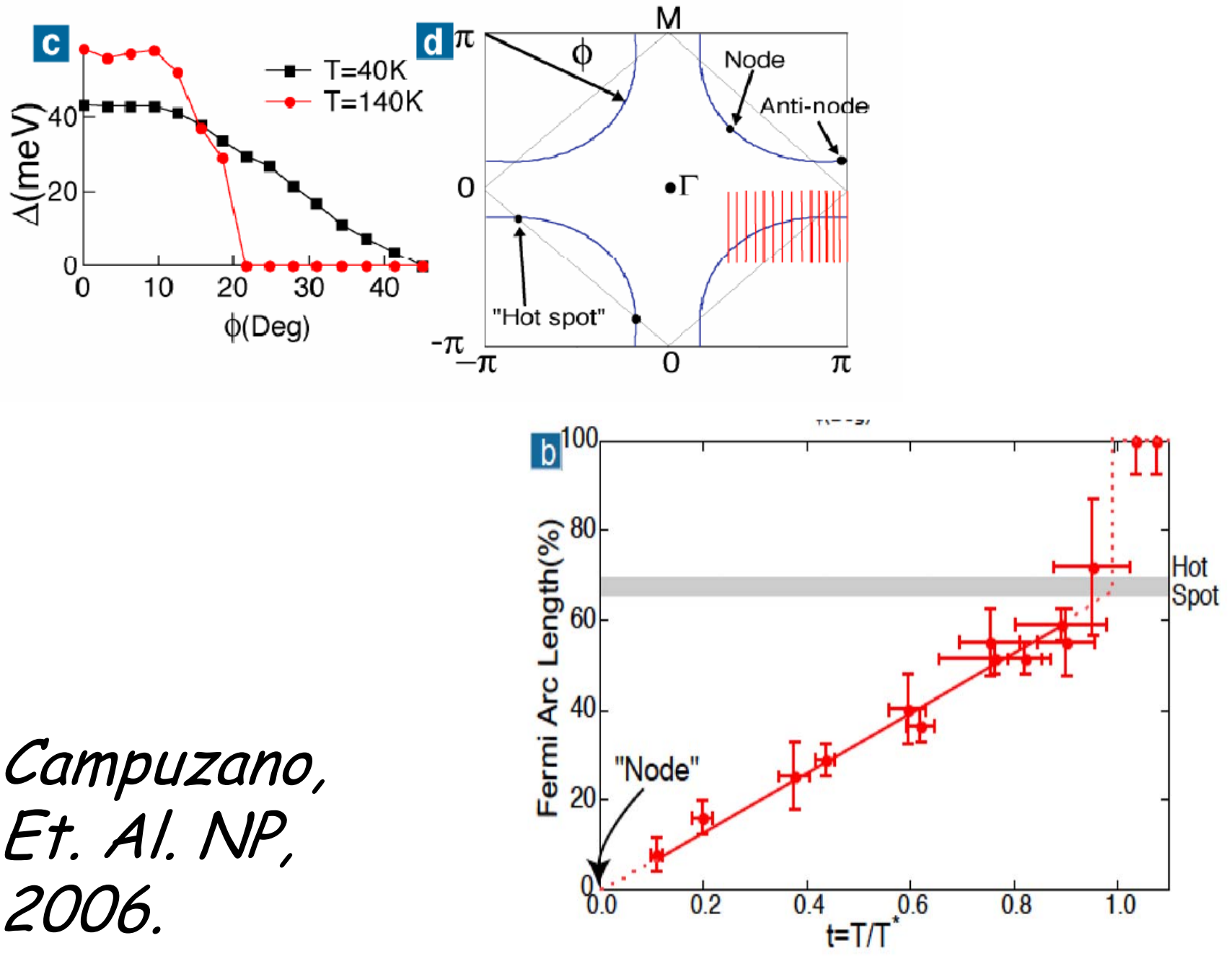
Perturbative schemes

$ReG \neq 0$

Fermi Arcs

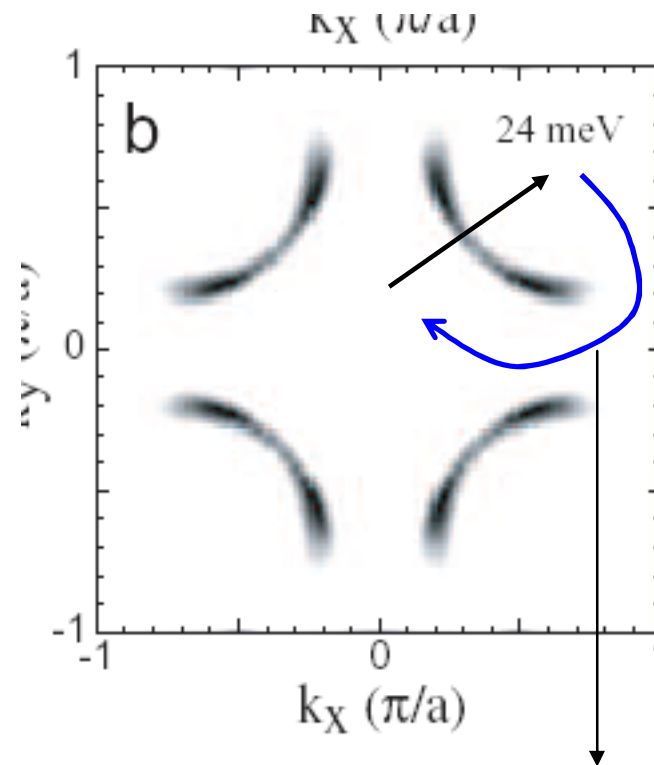


*Are these
Quasiparticles?*



*Campuzano,
Et. Al. NP,
2006.*

Fermi Arcs



*Re G
Changes
Sign across
An arc*

*Must cross
A zero line!!!*

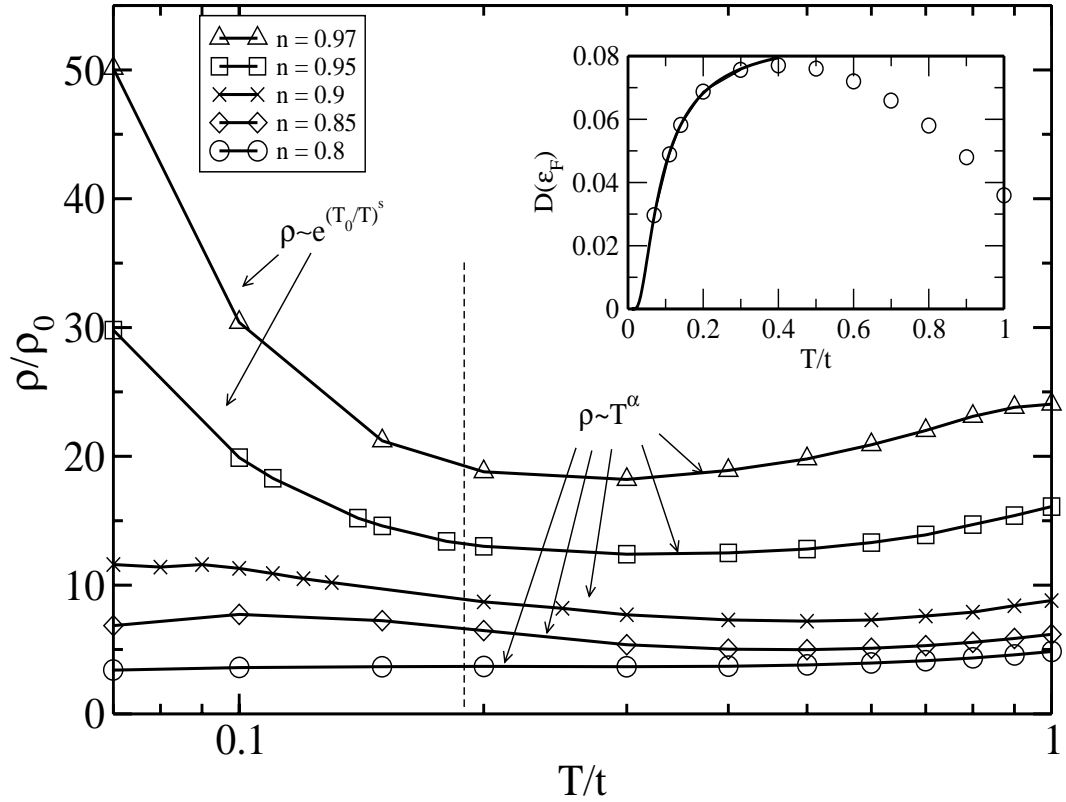
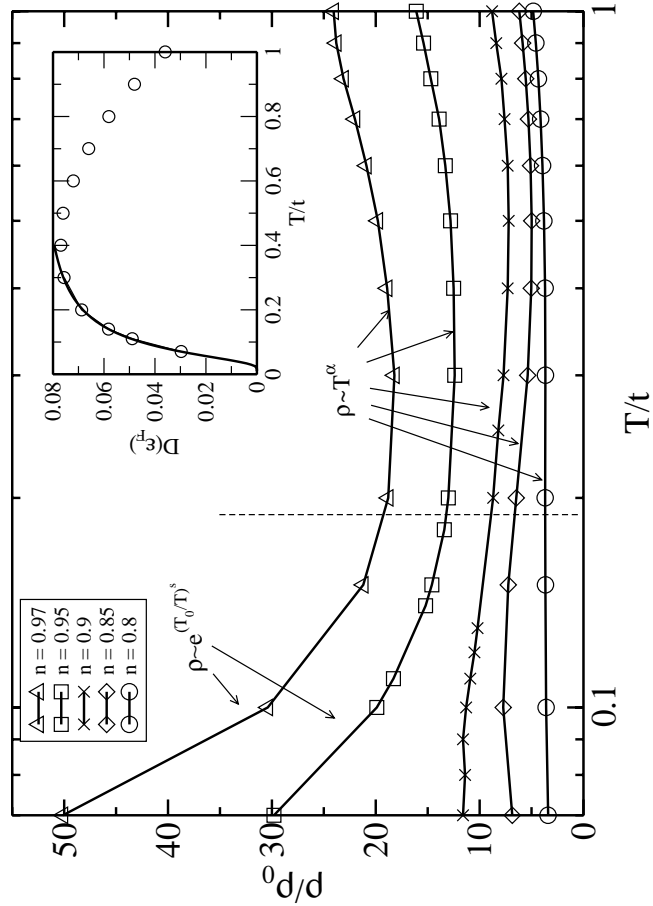
Fermi arcs and zeros are related

Zeros define the pseudogap



Pseudogap is insulating

Doped Mott insulators are insulators



TPC, PP, PRL, 95, 196405 (2005)

Ando,
et. al.

$$k_F \ell \approx 10$$

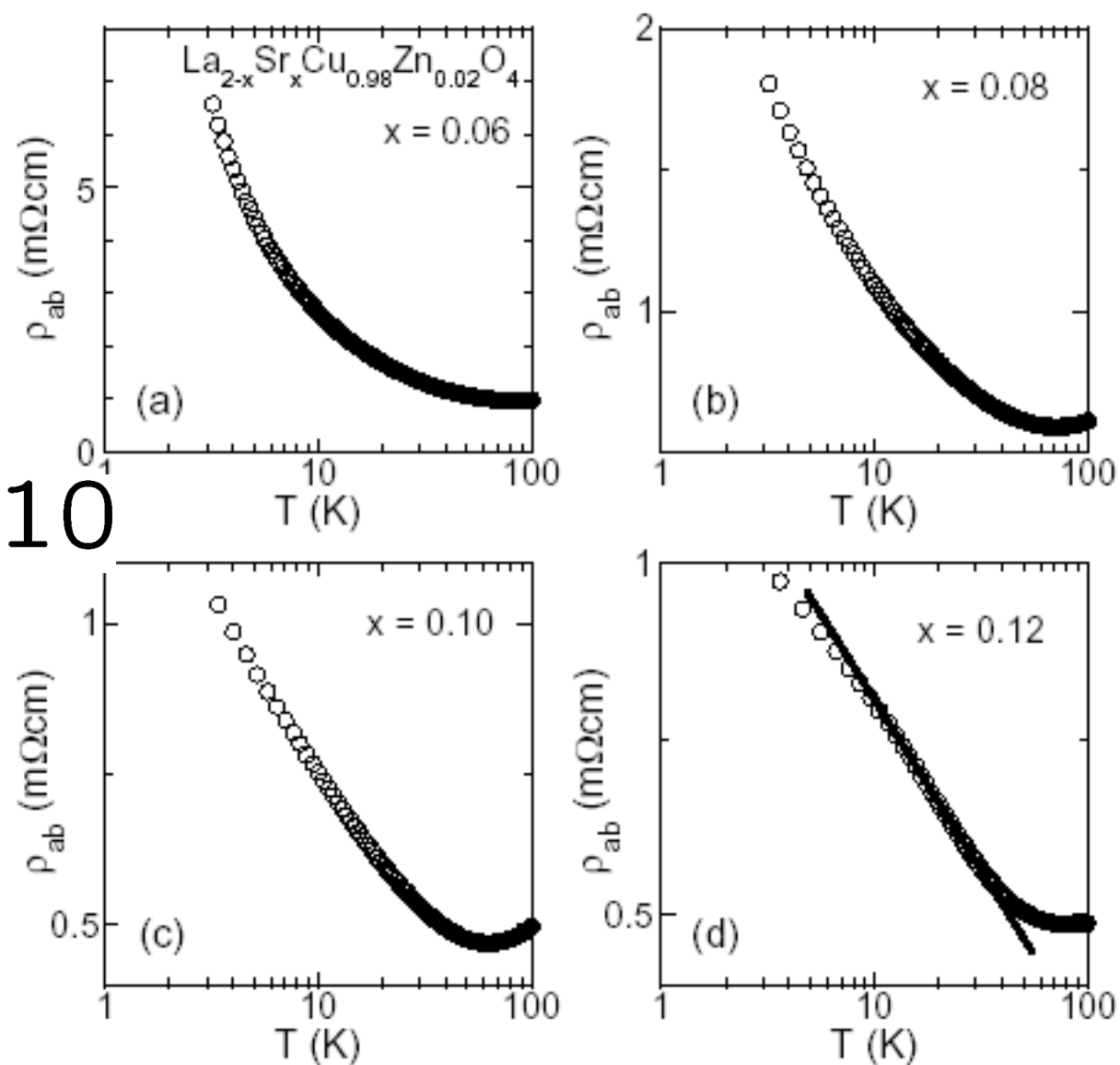
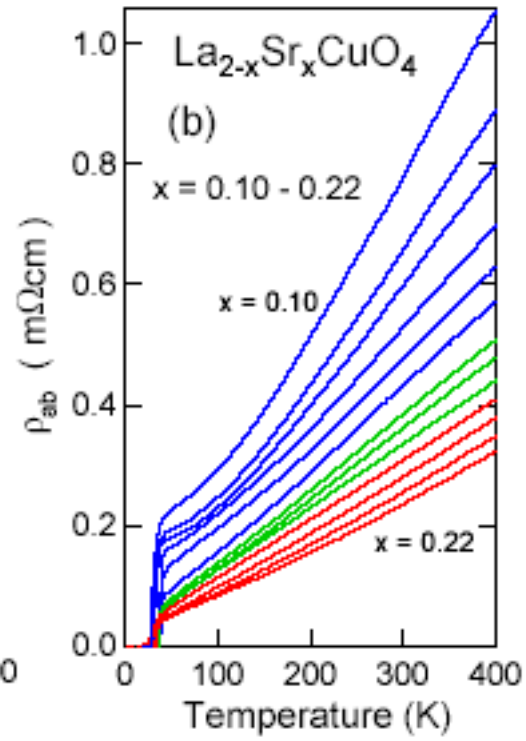
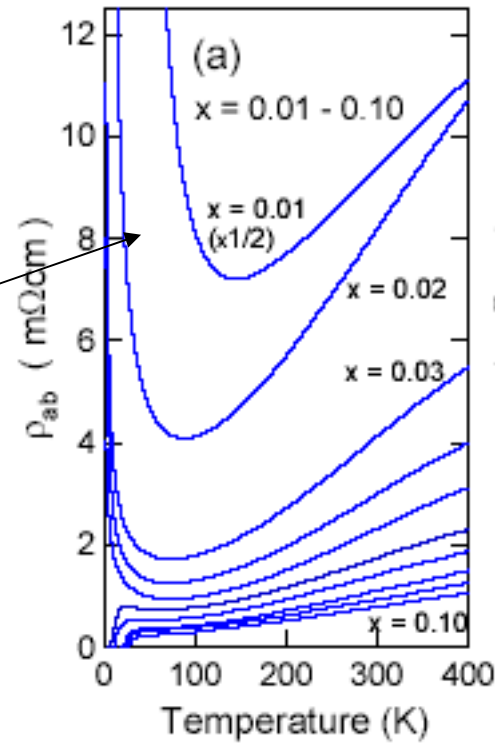


FIG. 4: $\log(T)$ plots of $\rho_{ab}(T)$ for Zn-doped LSCO with $x \leq 0.12$.

insulator



t-J model

Rosch,
Wolfle,
2004

Metallic
Not
insulator

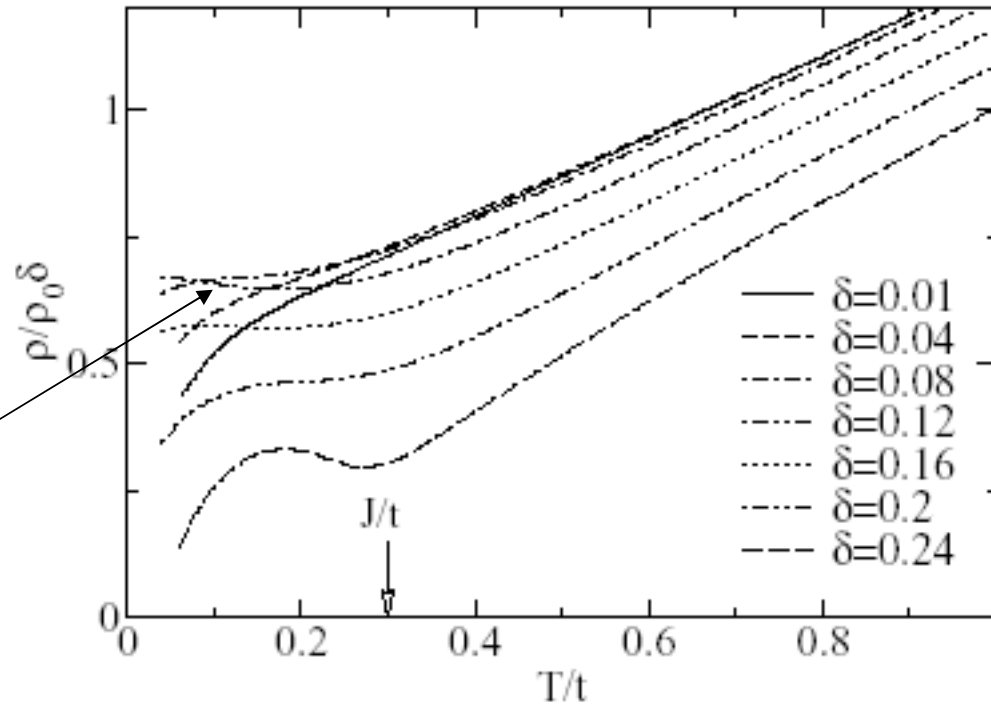


FIG. 19: T -dependence of the resistivity multiplied by doping δ . The linear T behavior for high T flattens for $\delta > 0.1$ at a temperature of the order of J . For $\delta < 0.1$ the resistivity drops in the regime where a pseudogap opens.

Kotliar, prelovsek

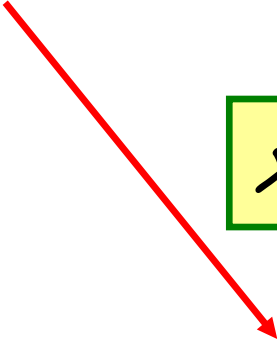
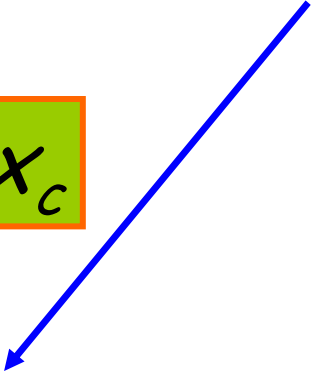
Anatomy of G

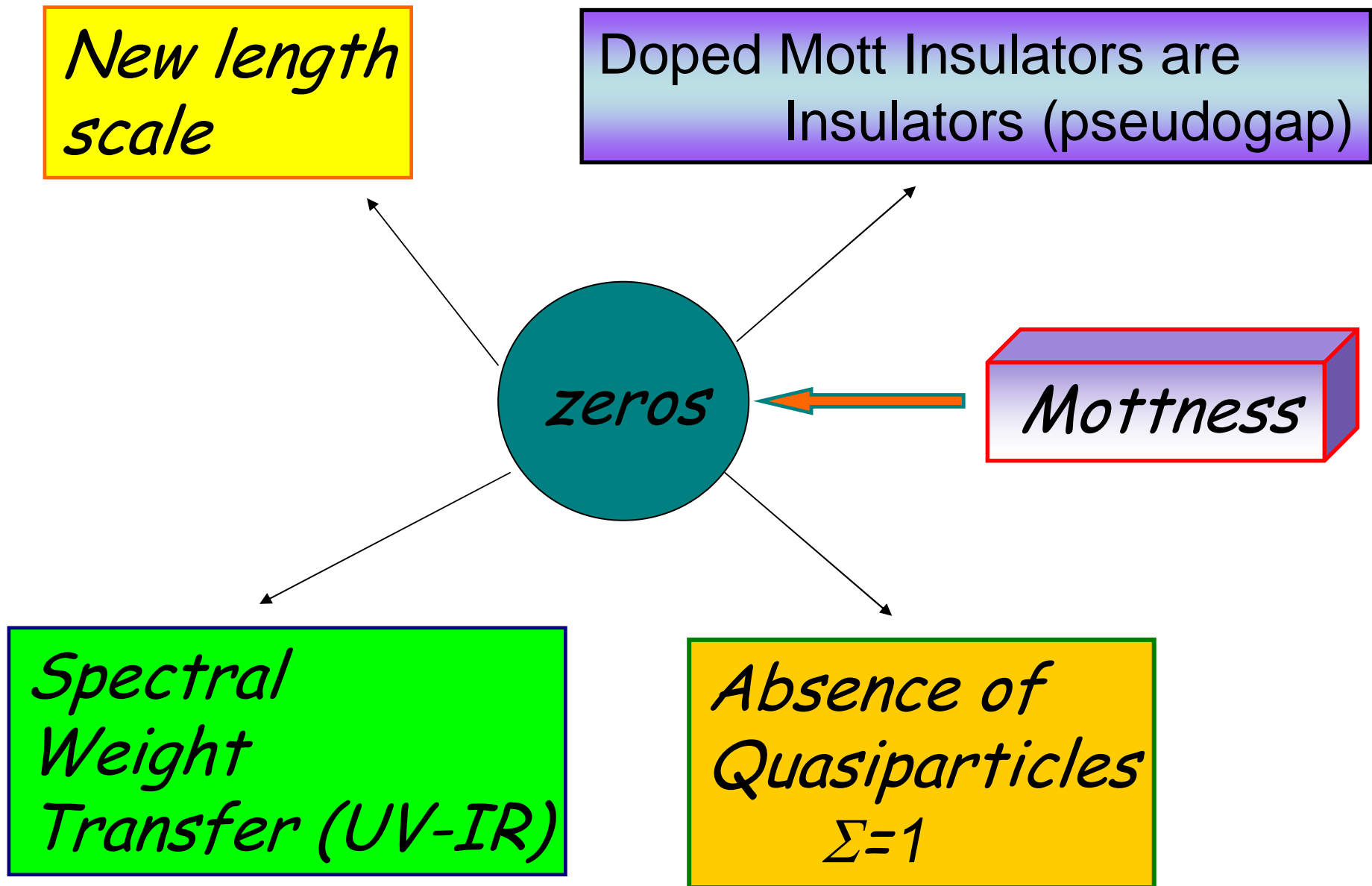
$$X \leftarrow X_c$$

zeros

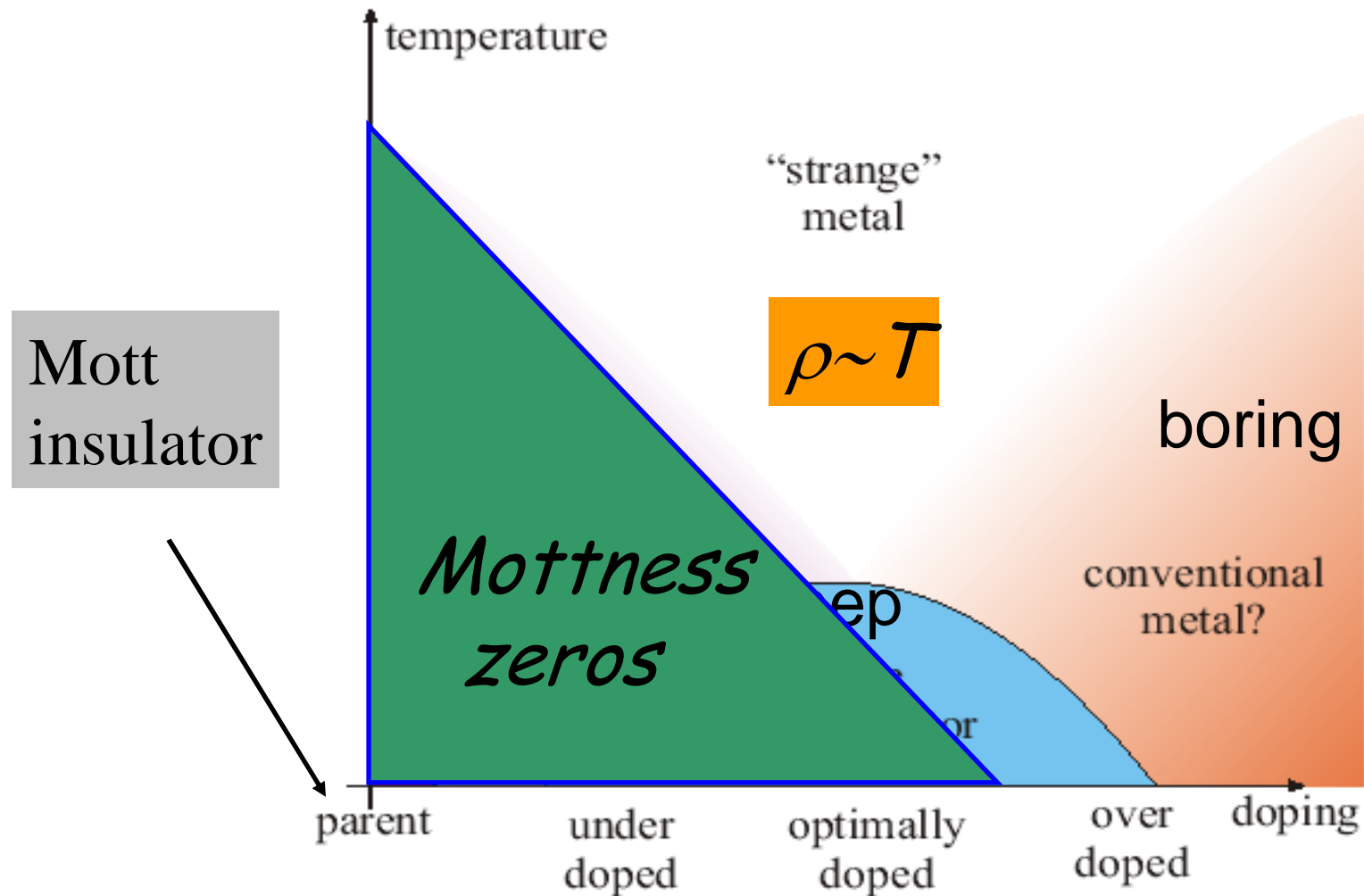
$$X \rightarrow X_c$$

poles





Phase diagram



Why
and
How?

Thanks to:

*T. Stanescu, TP Choy,
D. Galanakis, C. Chamon*

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