



The Abdus Salam
International Centre for Theoretical Physics



SMR.1766 - 8

**Miniworkshop on
New States of Stable and Unstable Quantum Matter
(14 - 25 August 2006)**

Charge Kondo Effect in Superconducting Tl-doped PbTe?

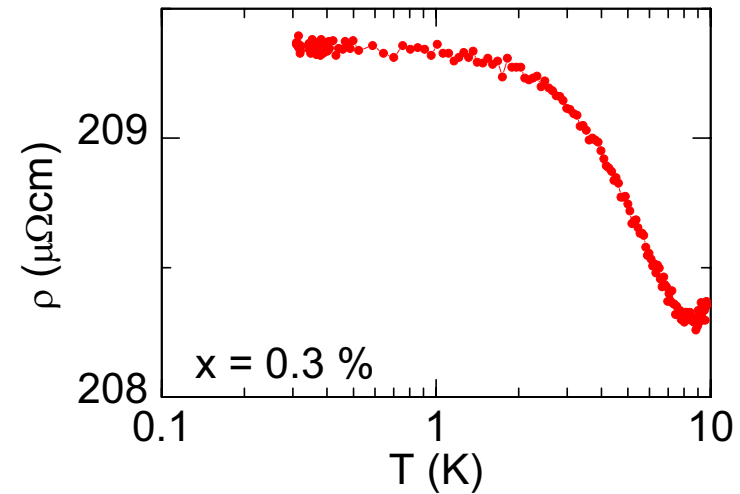
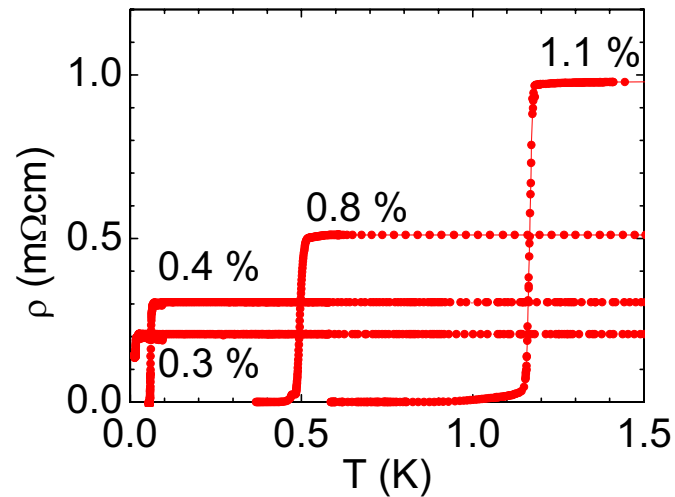
Ian R. FISHER
Geballe Laboratory for Advanced Materials
& Department of Applied Physics
Stanford University
CA 94305-4045
U.S.A.

These are preliminary lecture notes, intended only for distribution to participants

Charge Kondo Effect in Superconducting TI-doped PbTe?

I. R. Fisher

Geballe Laboratory for Advanced Materials and
Department of Applied Physics
Stanford University
CA 94305-4045



Acknowledgments

Stanford:

Y. Matsushita, A. S. Erickson
T. H. Geballe, W. Harrison, B. Ya. Moïzhes
P. Wiannecki, A. T. Sommer, M. Rosen
R. Jones, H. Bluhm, K. Moler

Birmingham:

R. Ormeno, P. Baker and C. E. Gough

UCSC:

D. Havice-Hull & F. Bridges

Ames Laboratory:

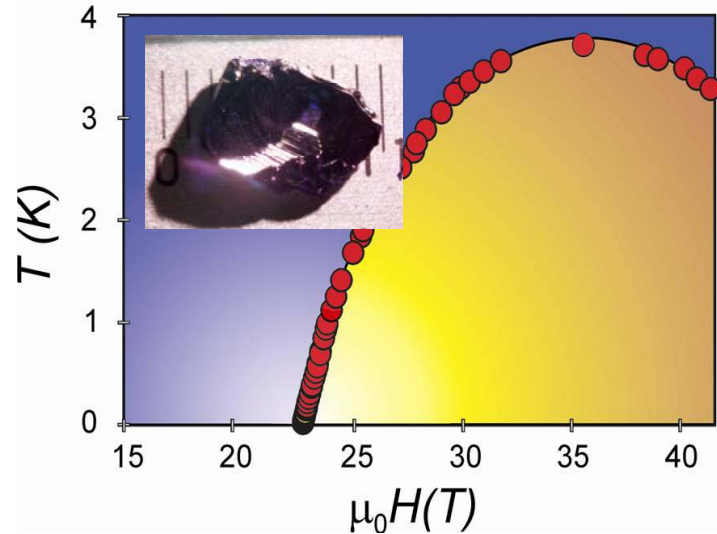
M. Dzero & J. Schmalian



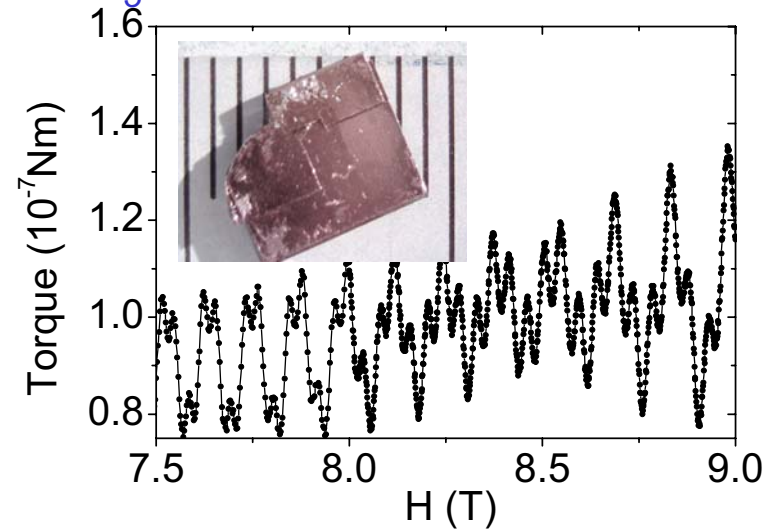
This work is supported by the Department of Energy, Office of Basic Energy Sciences under contract DE-AC03-76SF00515.

Materials advertisement: some other neat new materials for correlated electron physics

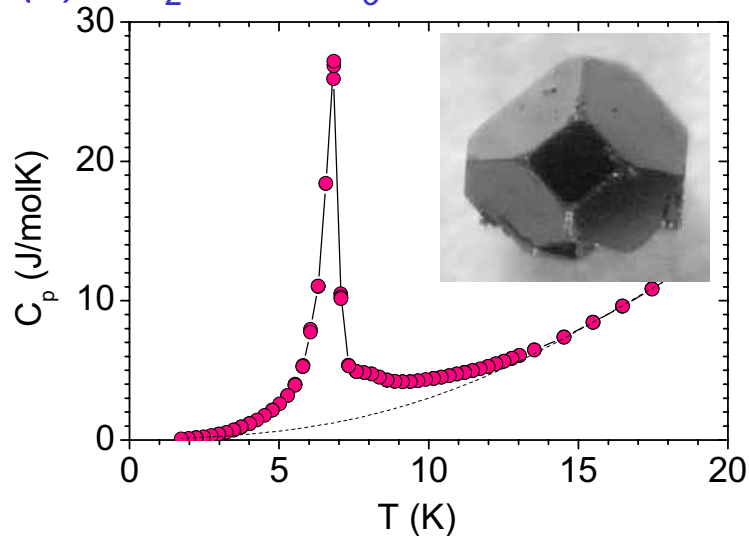
(1) $BaCuSi_2O_6$



(2) RTe_3



(3) Ba_2NaOsO_6



<http://www.stanford.edu/group/Fisher>

irfisher@stanford.edu

A. Erickson, J. Kim, Y. Matsushita, N. Ru,
E. Samulon, S. E. Sebastian, K. Y. Shin

Outline

- (1) Superconductivity in TI-doped PbTe
- (2) The chemistry of TI
- (3) Anomalous normal state properties
- (4) The charge Kondo effect

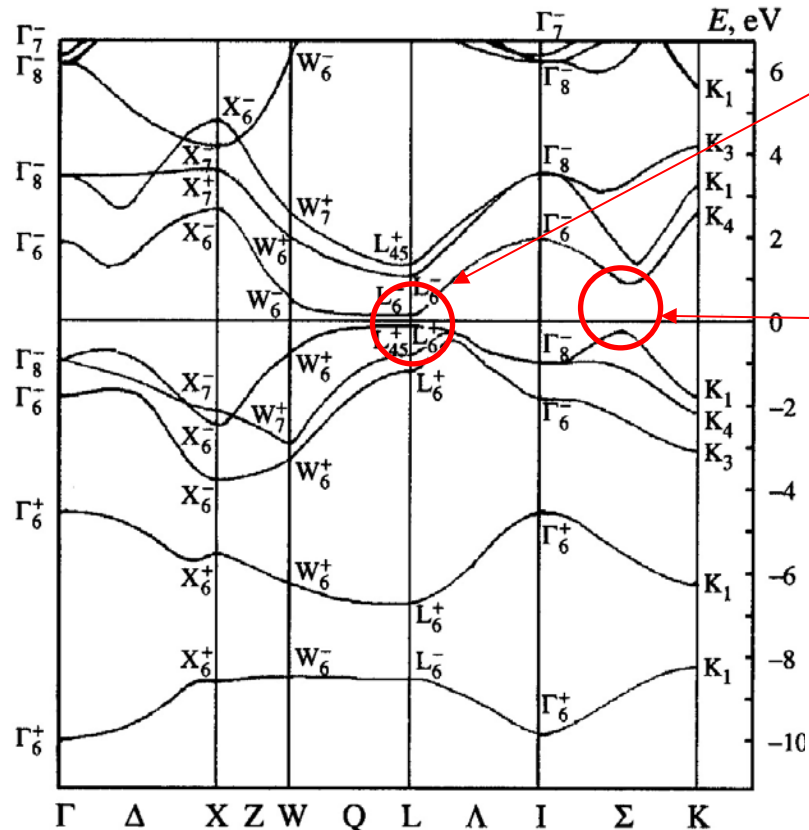
Y. Matsushita et al., PRL **94**, 157002 (2005).

Y. Matsushita et al., cond-mat/0605717 (2006).

M. Dzero & J. Schmalian, PRL **94**, 157003 (2005).

PbTe

- small gap semiconductor
- can be treated rather successfully using ionic models: $\text{Pb}^{2+}\text{Te}^{2-}$
 - (a) rock salt structure; cohesive energy; ionic separation
 - (b) pseudopotential calc: 75% of electrons on Te, 25% on Pb compared with 80% and 20% for a purely ionic model

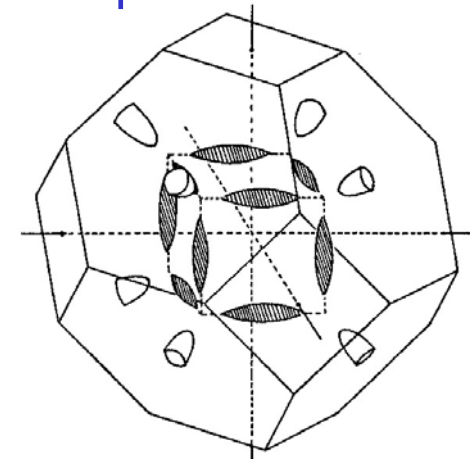


L-point:

- $m_{\perp} = 0.022 m_0$
- $m_{\parallel} = 0.31 m_0$

Σ -point:

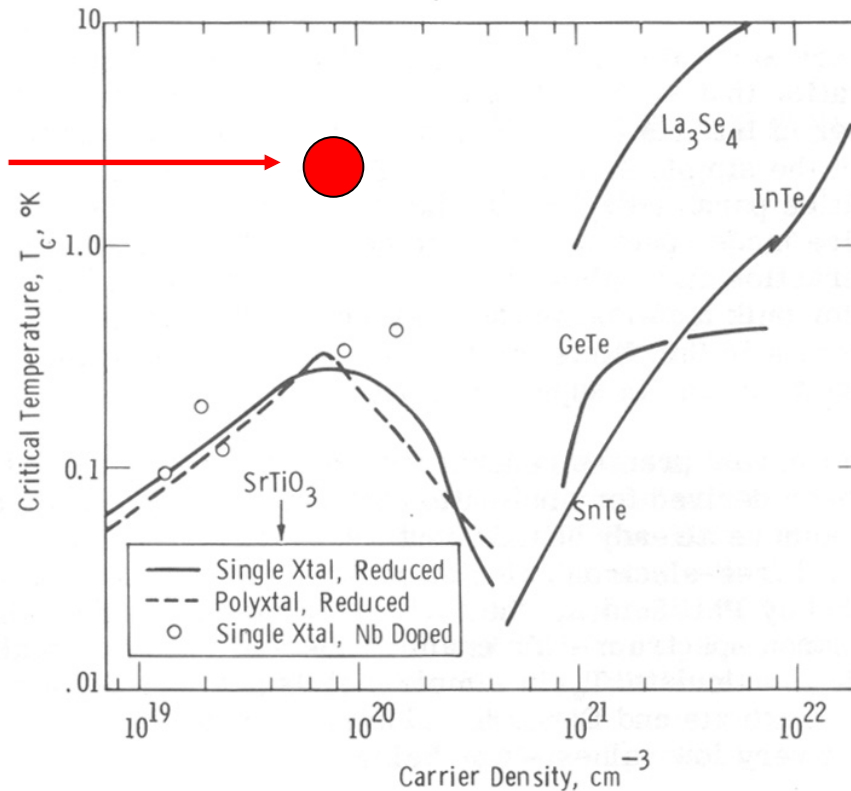
- 2nd valence band edge
- ~ 170 meV below top of valence band
- $m \sim 0.6 m_0$
- $\mu_{\Sigma} \sim \mu_L$



Pseudopotential calculation from "Lead chalcogenides", ed D. Khokhlov (2002).

Superconductivity in PbTe

Tl-doped PbTe



- anticipate T_c of PbTe < 0.01 K for carrier concentrations $\sim 10^{20}$ cm^{-3}
- 1981: Tl-doped PbTe found to superconduct, $T_c \sim 1.5$ K
- no superconductivity for any other impurities in PbTe...

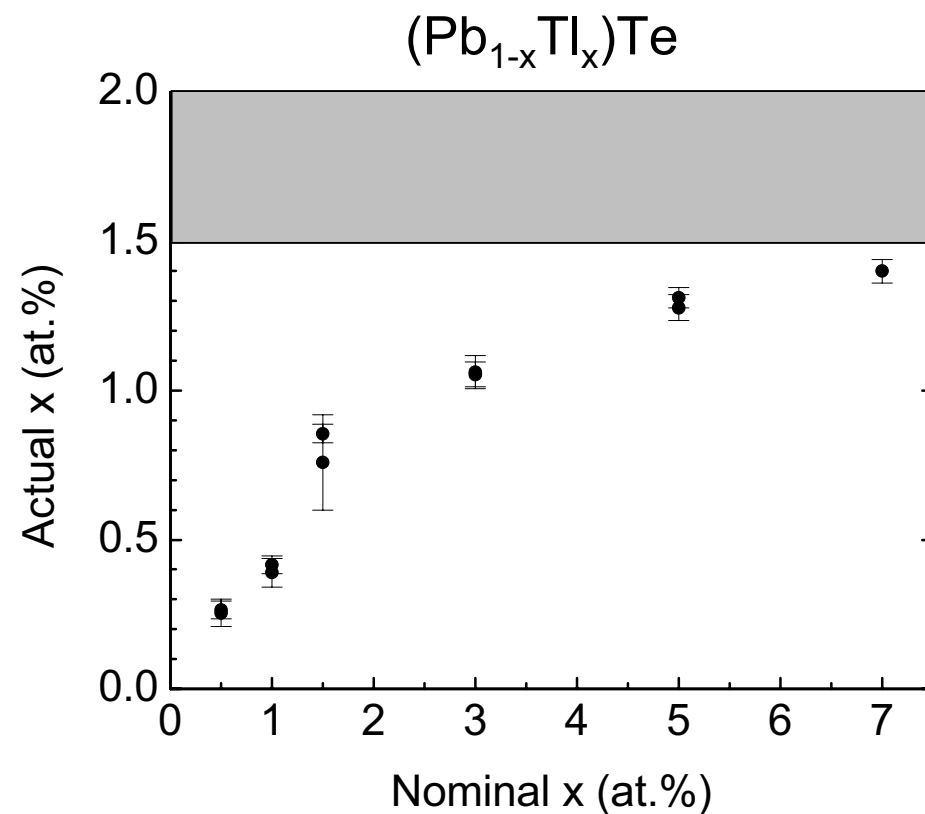
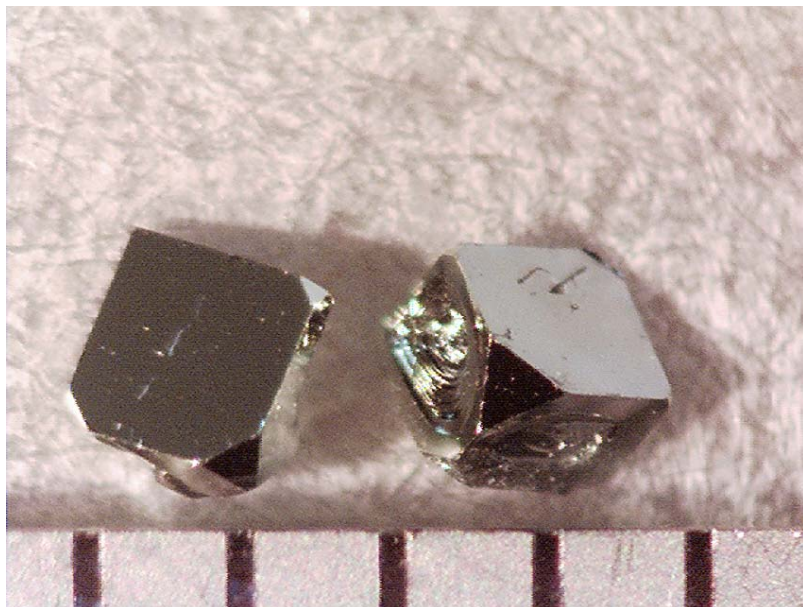
J. K. Hulm Spring Superconducting Symposia NRL Report 6972 (1969).

I. A. Chernik & S. N. Lykov, Sov. Phys. Solid State **23**, 817 (1981).

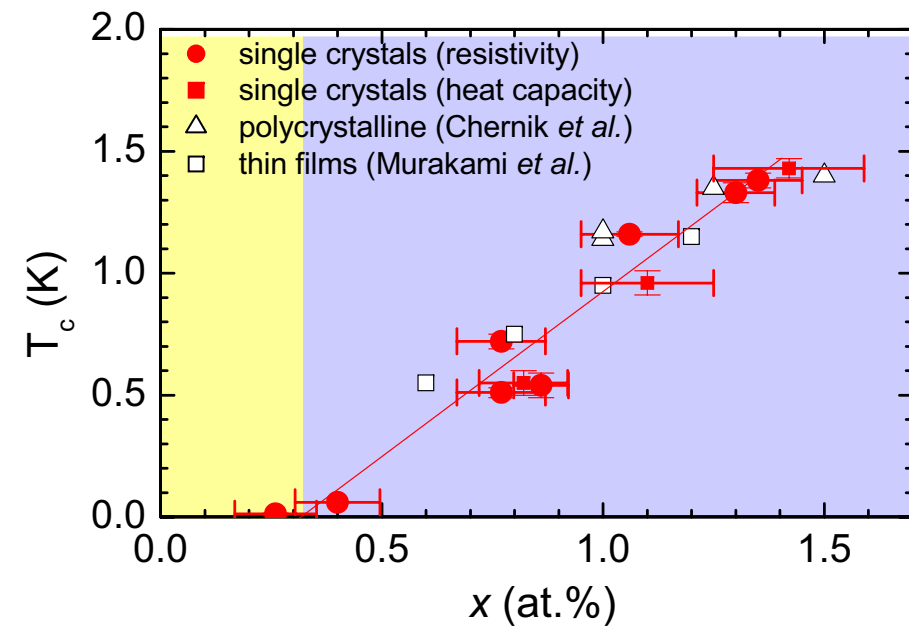
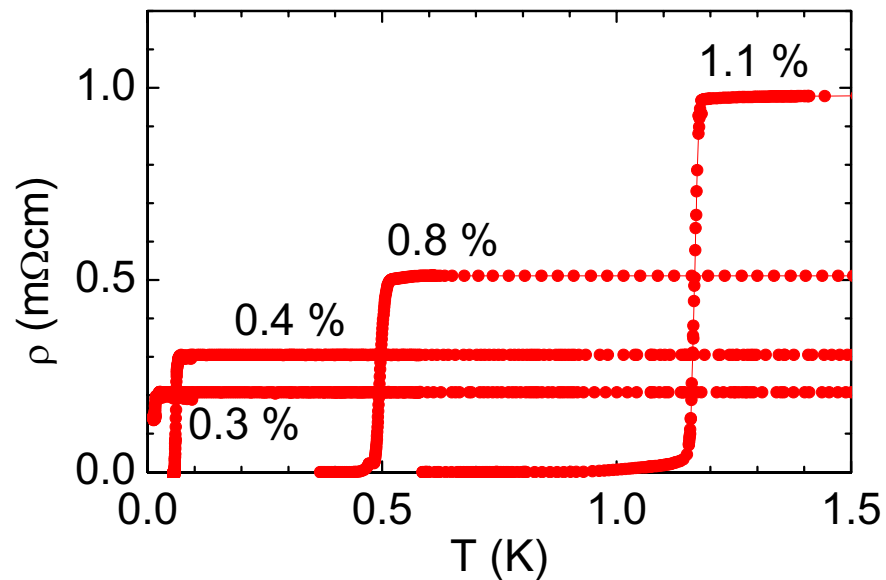
S.A. Némov & Y. I. Ravic, Phys. Usp. **41**, 735 (1998).

$(\text{Pb}_{1-x}\text{Ti}_x)\text{Te}$ crystal growth

- Single crystals via unseeded physical vapor transport
- TI content measured via EPMA
- Solubility limit $\sim 1.5\%$ TI
- EXAFS – no clustering



Superconductivity in $(\text{Pb}_{1-x}\text{Tl}_x)\text{Te}$



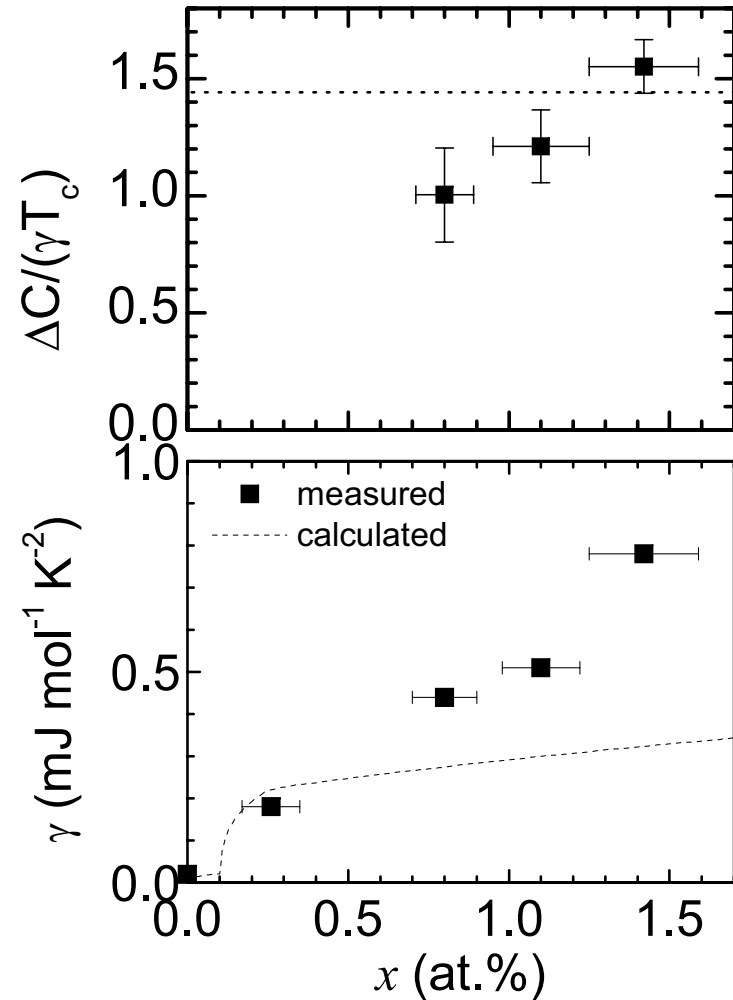
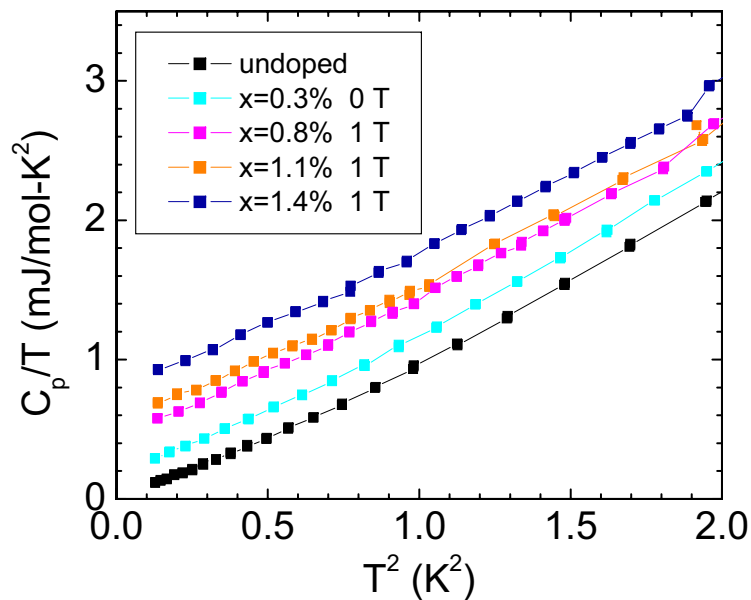
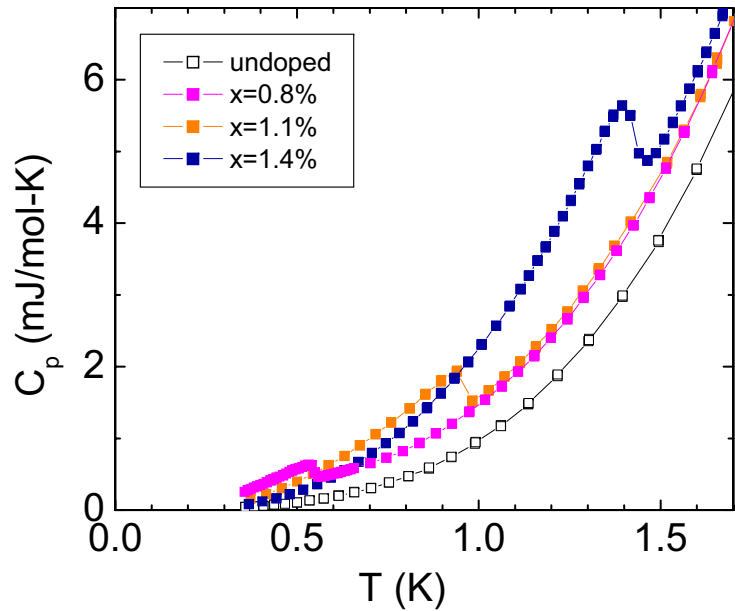
- $T_c(\text{max}) \sim 1.5$ K
- requires a critical concentration
- $x_c \sim 0.3\%$

Y. Matsushita *et al.*, PRL **94**, 157002 (2005).

I. A. Chernik & S. N. Lykov, Sov. Phys. Solid State **23**, 817 (1981).

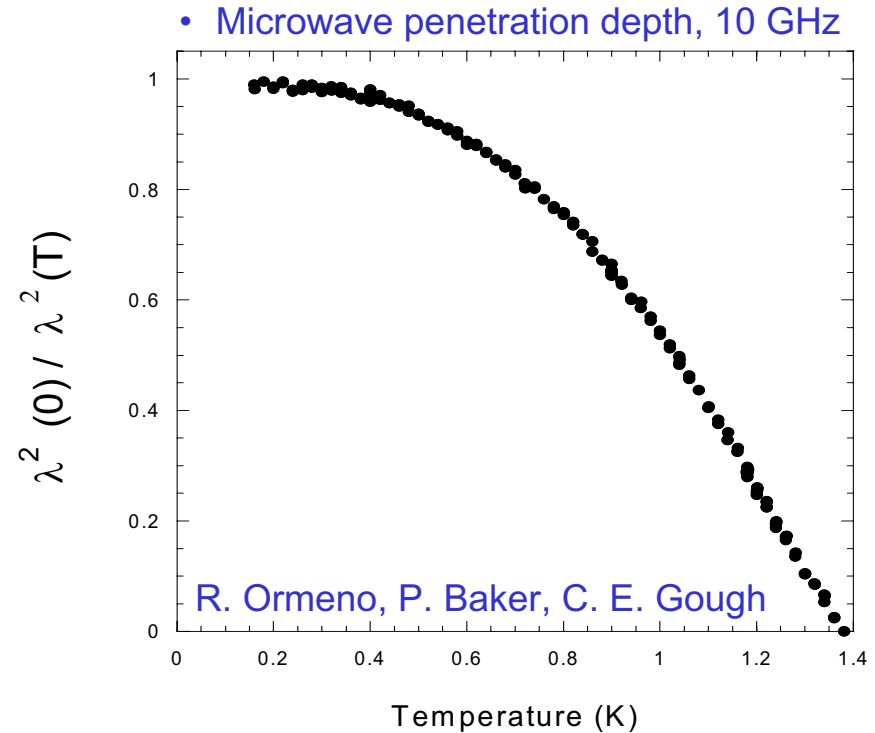
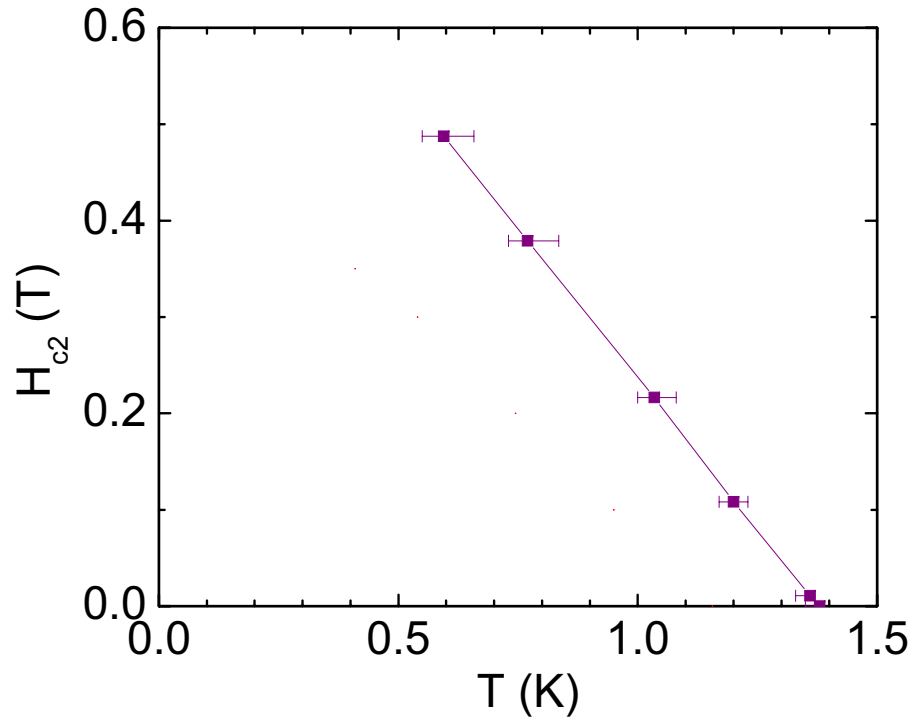
H. Murakami *et al.*, Physica C **269**, 83 (1996).

Heat capacity



- bulk effect
- $\Delta C/\gamma T_c$ close to BCS value
- additional contribution to γ

Superconducting length scales: $x = 1.4\%$



$$\left. \begin{array}{l} \xi(0) \approx 240 \text{ \AA} \\ l \approx 20 \text{ \AA} \end{array} \right\} \begin{array}{l} \text{Dirty limit: } \xi(0) \approx \sqrt{l \xi_0} \\ \xi_0 \approx 3000 \text{ \AA} = 0.18 \frac{\hbar v_F}{k_B T_c} \\ v_F \approx 3 \times 10^5 \text{ m s}^{-1} \end{array}$$

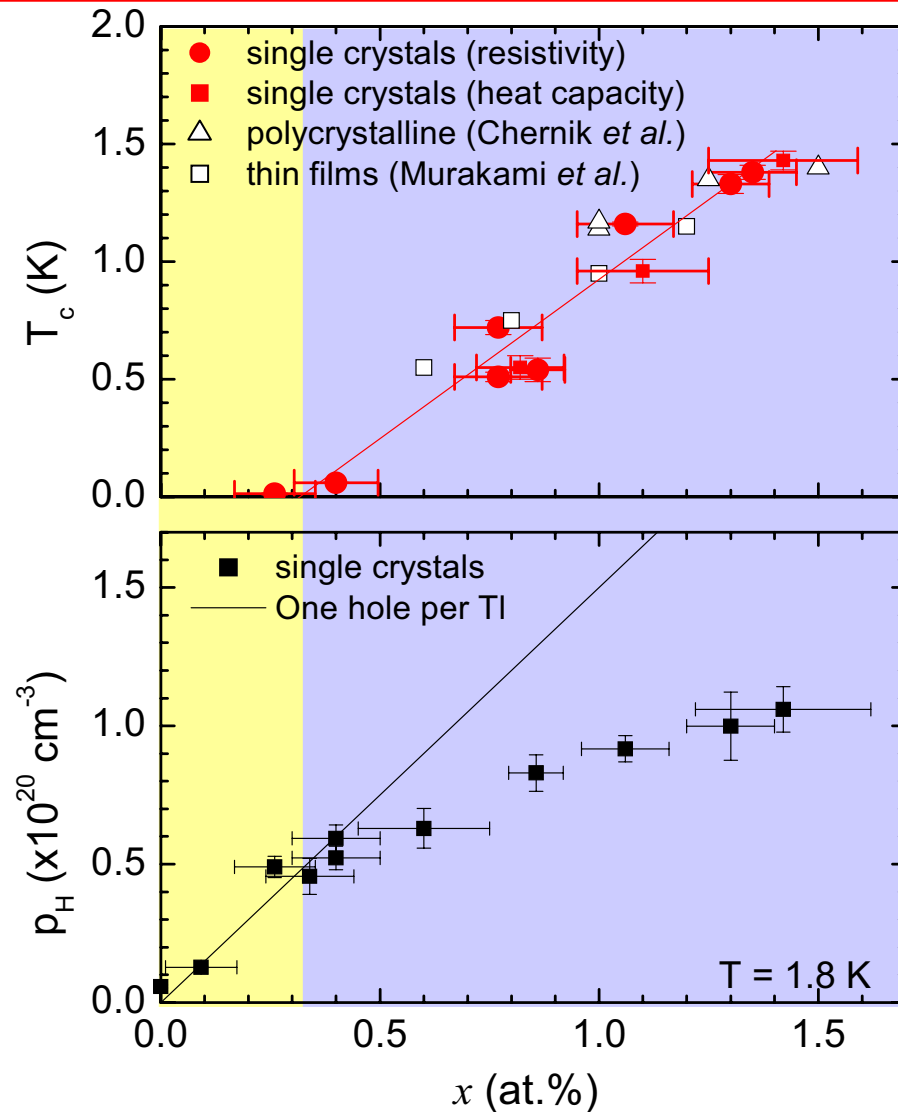
$$\frac{1}{\lambda_L^2} = \frac{\mu_0 n_L e^2}{m_L} + \frac{\mu_0 n_\Sigma e^2}{m_\Sigma} \Rightarrow \lambda_L \approx 1500 \text{ \AA}$$

$$\lambda_{eff} \approx \lambda_L \sqrt{\frac{\xi_0}{l}} \approx 2 \text{ \mu m}$$

$$\kappa = \lambda_{eff} / \xi(0) \approx 80$$

- type II BCS superconductor in the dirty limit

Critical TI concentration



Hall Coefficient:

- line calculated using 2-band model with appropriate FS anisotropy
- $\mu_L \sim \mu_\Sigma \rightarrow \rho_H = 1/R_H e \sim \rho$
- $x < x_c$: one hole per TI
- $x > x_c$: Hall number varies much less rapidly & TI impurities appear to be self-compensating.

Question:

- what is so special about TI impurities in PbTe?
- will make the case this behavior is intimately linked to presence of a mixed TI valence...

Y. Matsushita *et al.*, PRL **94**, 157002 (2005).

I. A. Chernik & S. N. Lykov, Sov. Phys. Solid State **23**, 817 (1981).

H. Murakami *et al.*, Physica C **269**, 83 (1996).

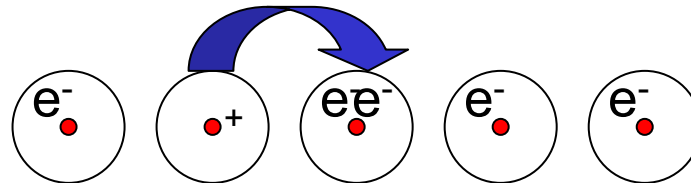
Outline

- (1) Superconductivity in TI-doped PbTe
- (2) The chemistry of TI
- (3) Anomalous normal state properties
- (4) The charge Kondo effect

Tl is a valence skipping element

In solids, Tl is found to have a formal valence of:

- 1+ : Tl_2Te
- 3+ : Tl_2O_3
- or a mixture of both: $\text{TlBr}_2 \equiv \text{Tl}^{\text{I}}\text{Tl}^{\text{III}}\text{Br}_4$
- i.e. single occupancy of 6s orbital not favored
- formally equivalent to a negative effective U

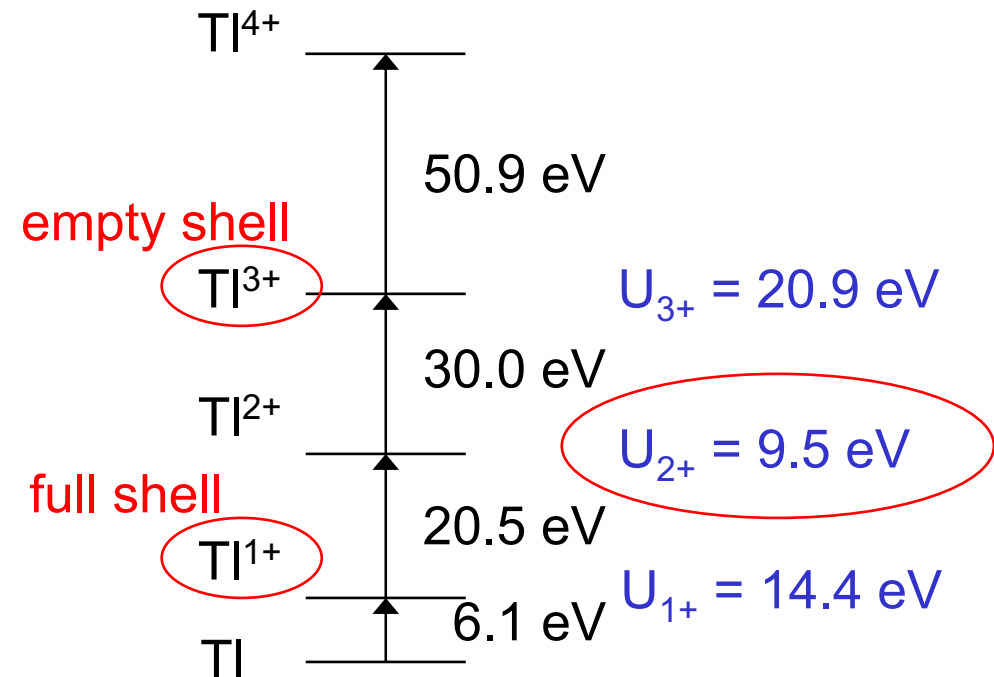
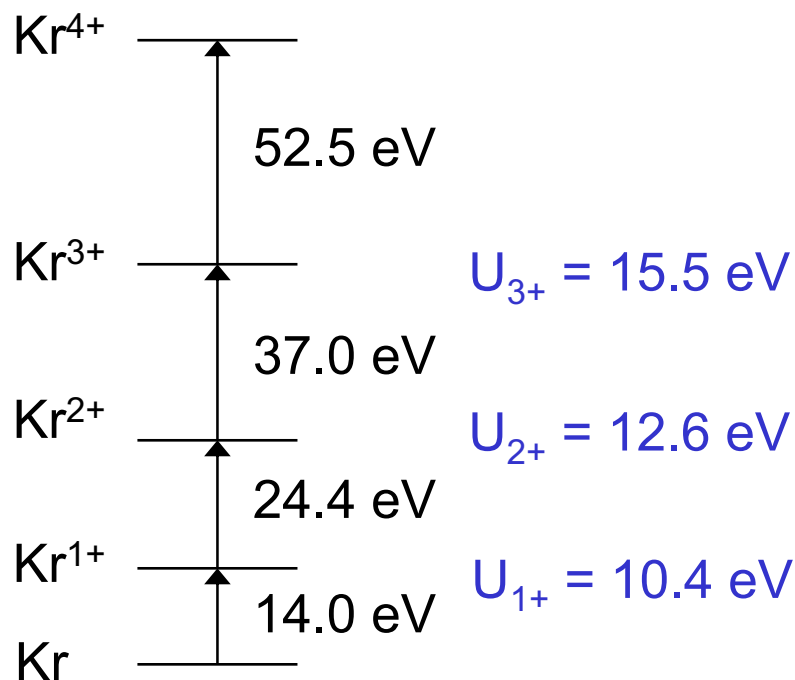


- U = ionization energy - electron affinity
- usually, $U > 0$ due to on site Coulomb repulsion
- negative- U : another effect overcomes Coulomb repulsion
- origin: stability of filled shells & lattice polarization

Valence skipping elements as negative- U centers

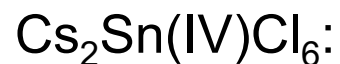
C. Varma (PRL **61**, 2713 (1988)):

- useful to characterize the valence states of elements in terms of the difference of successive ionization energies
- effective intra-atomic repulsion energy for the n^{th} valence state
- $U_{\text{eff}} = (E_{n+1} - E_n) - (E_n - E_{n-1})$

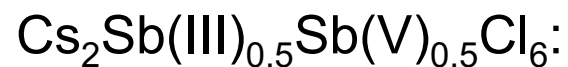
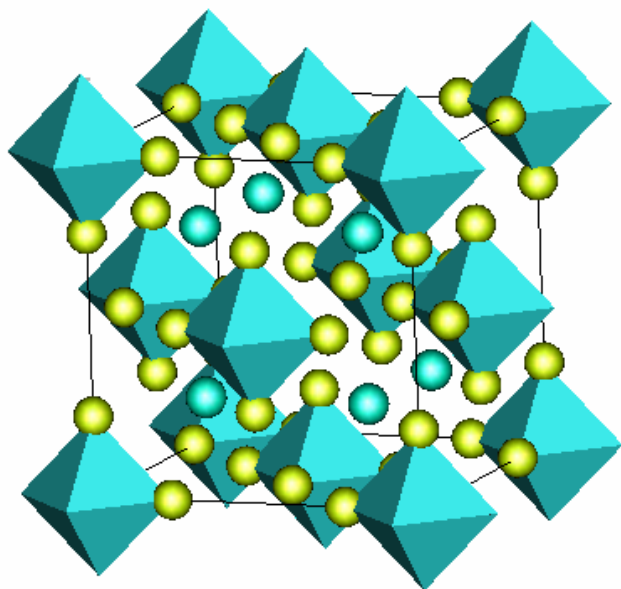


- polarization further reduces U_{eff}
- for valence skipping elements, $U_{\text{eff}} < 0$

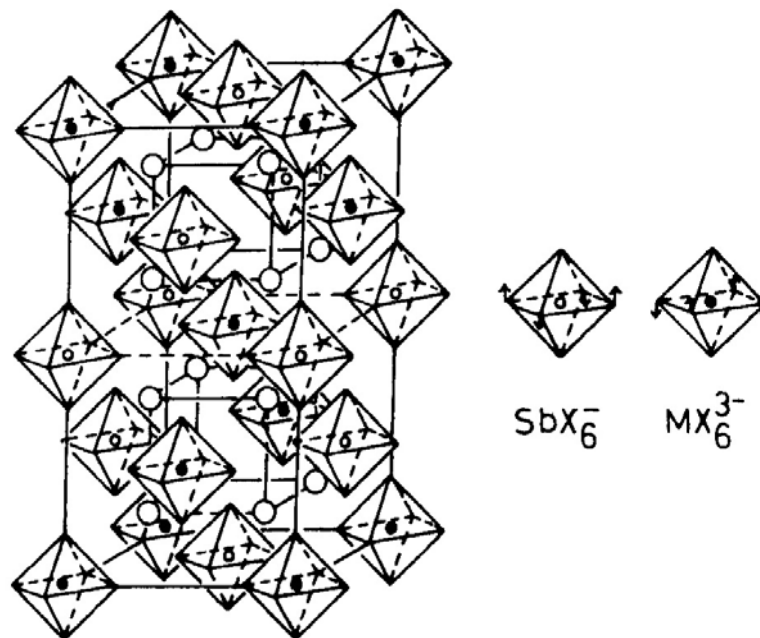
Example: mixed Sb valence in Cs_2SbCl_6



- semiconductor
- FCC structure



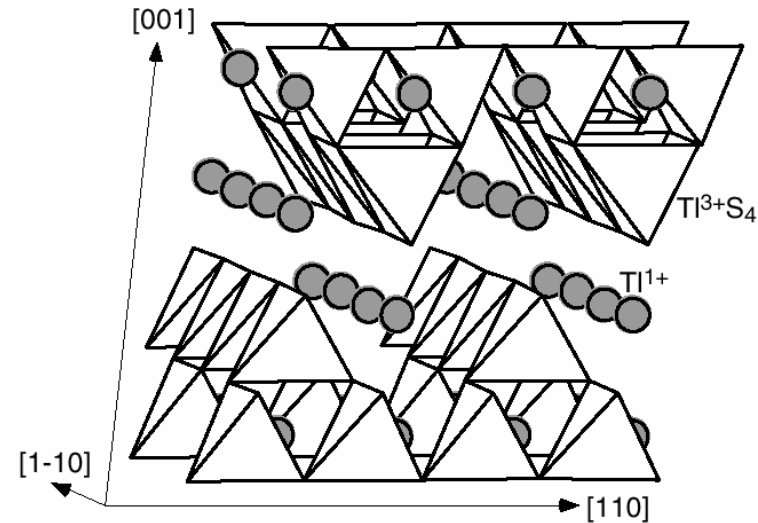
- two different Sb sites
- superlattice, doubles unit cell



Examples of TI mixed valence compounds

TIS:

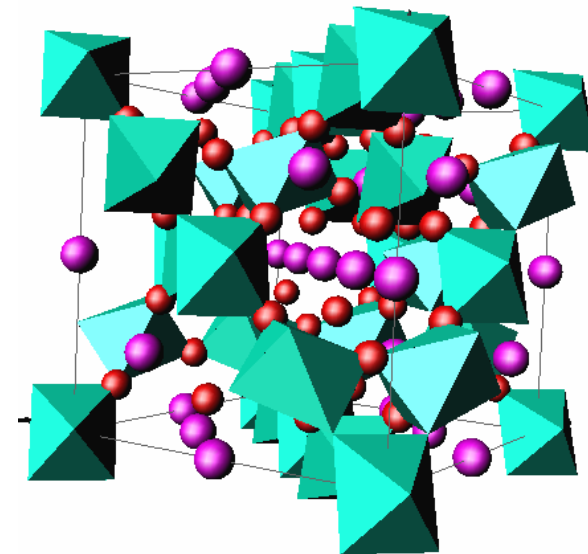
- monoclinic form: layered structure
- 2 distinct crystallographic sites
- TI(I)TI(III)S_2
- semiconductor



Panich & Kashida,
J. Phys.: Cond. Mat. **16**, 3071 (2004).

$\text{TI}_2\text{Nb}_2\text{O}_{6+x}$:

- $x=0$, all Ti^{1+}
- additional O up to $x = 0.64$
- $\text{TI(I)}_{1-x}\text{TI(III)}_x\text{Nb(V)}_2\text{O}_{6+x}$
- randomly positioned, static mixed valence
- semiconductor



Mizoguchi et al.,
Bull. Chem. Soc. Jap. **69**, 111 (1996).

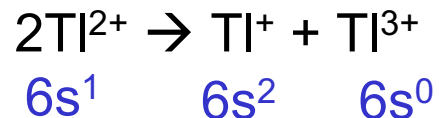
Tl substitution in PbTe

Tl -substitution:

- substitutes on Pb site
- solubility limit ~ 1.5 %
- no clustering (EXAFS)

Crude argument:

- Tl^{2+} is “neutral” impurity state to preserve Te^{2-} valence
- but Tl^{2+} is characterized by $U_{\text{eff}} < 0$
- \rightarrow expect spontaneous disproportionation to balance charge...



More careful argument:

- calculate relative energy of Tl^+ and Tl^{3+} impurities in PbTe lattice
- find that Tl^+ has a lower energy \rightarrow Tl impurities should act as *acceptors*
- but the difference is very small indeed
- small changes in the chemical potential affect this difference
& can in principle lead to a mixed Tl valence...

Model Hamiltonian for TI impurities in PbTe

$$H_{imp} = E_0 + (\varepsilon_0 - \mu) \sum_{\sigma} n_{s,\sigma} + U n_{s\uparrow} n_{s\downarrow}$$

- $E(\text{TI}^+) = E_0$
- $E(\text{TI}^{2+}) = E_0 + \varepsilon_0 - \mu$
- $E(\text{TI}^{3+}) = E_0 + 2(\varepsilon_0 - \mu) + U$

Condition for degenerate valence states:

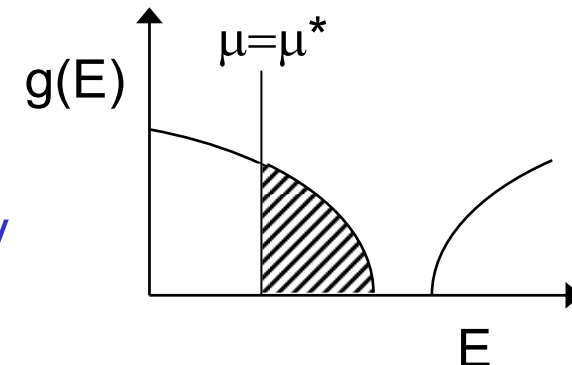
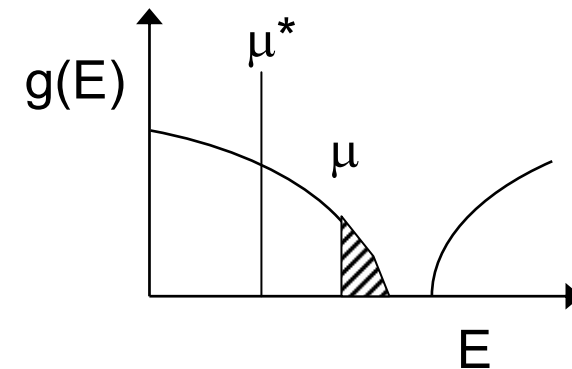
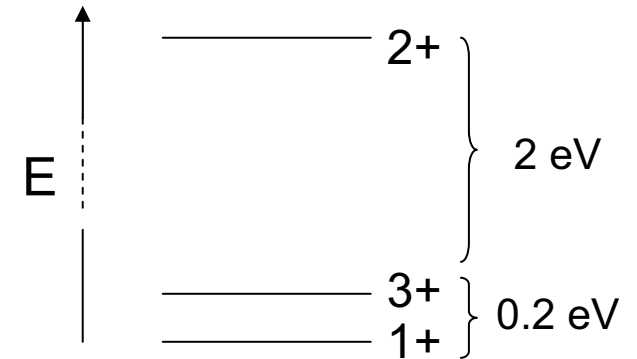
- $\delta E = E(\text{TI}^{3+}) - E(\text{TI}^{1+}) = 2(\varepsilon_0 - \mu) + U = 0$
- $\mu^* = \varepsilon_0 + \frac{1}{2}U$

Initial doping conditions:

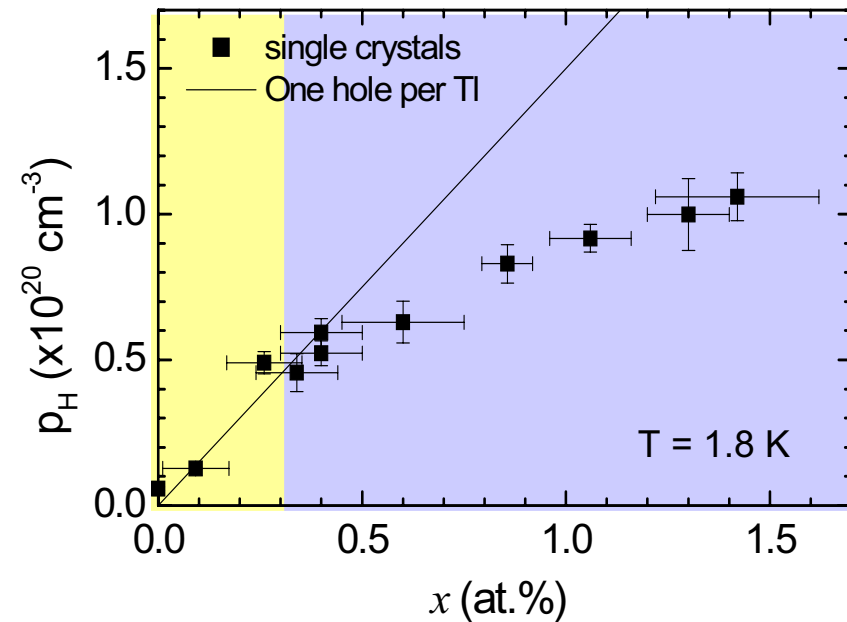
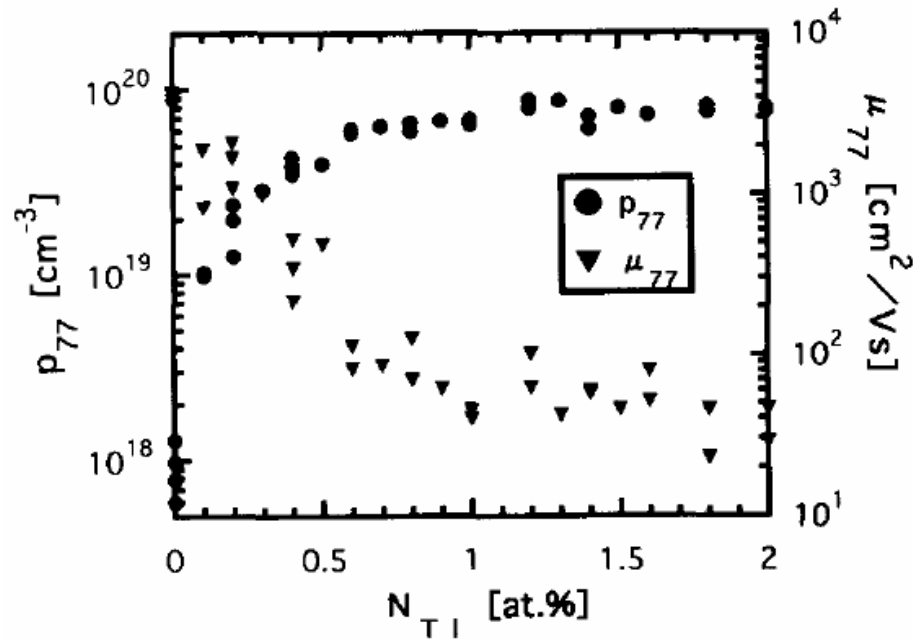
- $E(\text{TI}^+) < E(\text{TI}^{3+}); \mu < \mu^*$
- each TI impurity donates 1 hole, increasing μ

Condition $\mu = \mu^*$:

- μ *cannot* increase beyond this value
- ($\mu > \mu^*$ would imply TI^{3+} stable, i.e. all donors)
- i.e. chemical potential pinned and $\delta E = 0$ exactly



Evidence for mixed TI valence in $(\text{Pb}_{1-x}\text{Tl}_x)\text{Te}$: Hall effect



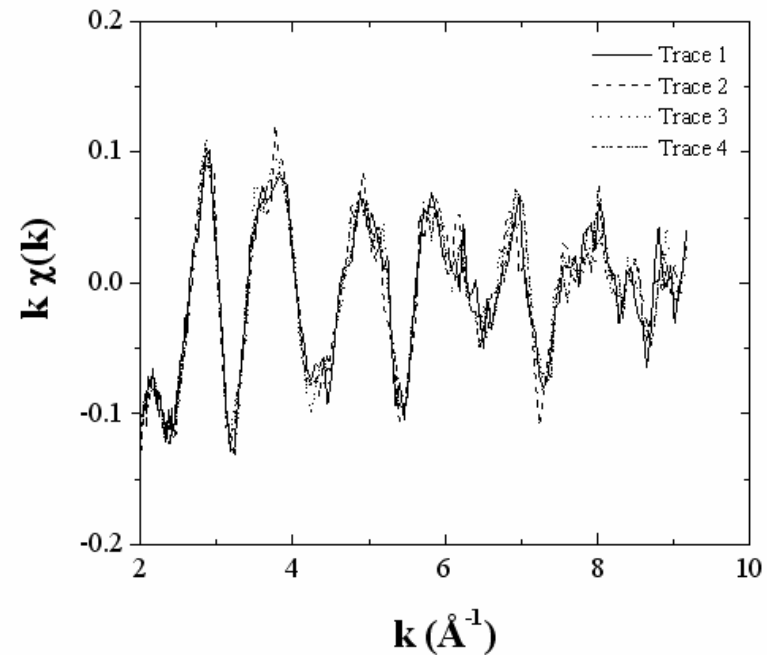
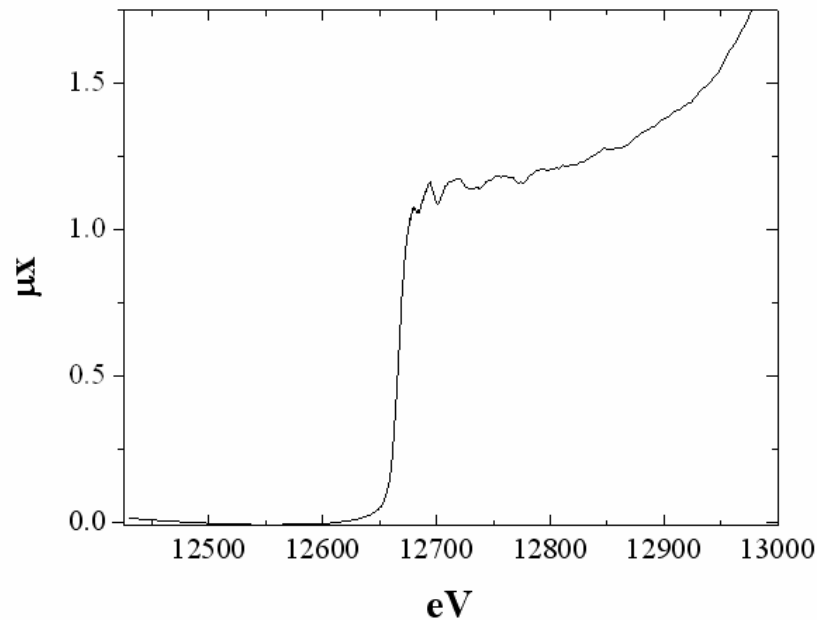
Estimates of μ^* and x_c :

- $x_c \sim 0.3$ %
- $\mu^* \sim 180$ meV
- comparable to δE

Murakami *et al.*, Physica C **269**, 83 (1996).
Matsushita *et al.*, cond-mat/0605717 (2006).

Evidence for mixed TI valence in $(\text{Pb}_{1-x}\text{Ti}_x)\text{Te}$: x-ray absorption

- F. Bridges (UCSC)
- TI L3 absorption edge at 3.1 K for $x = 1.0$ %



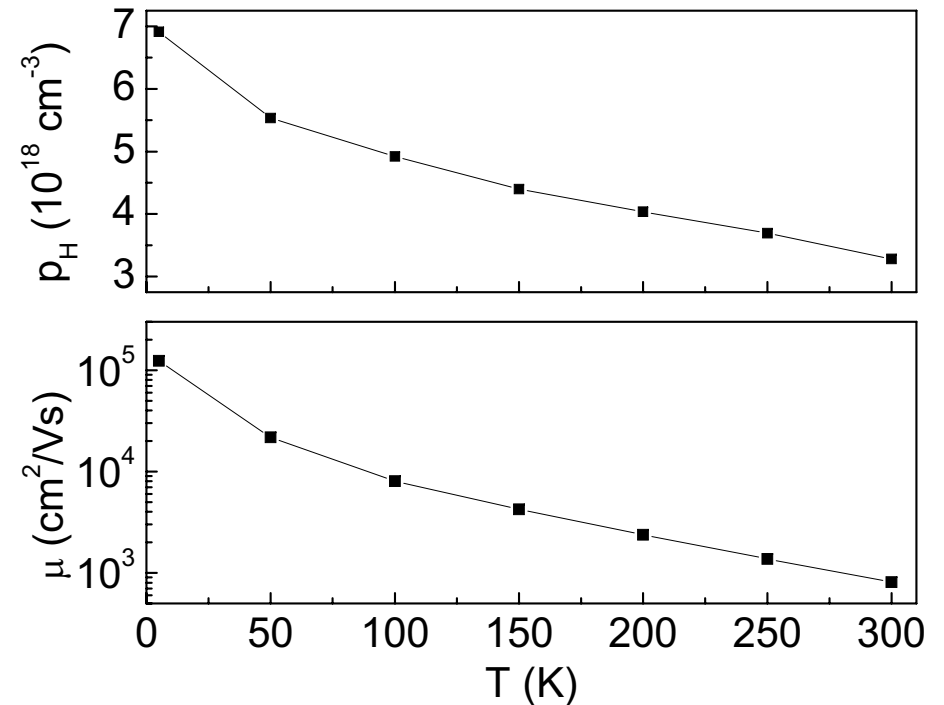
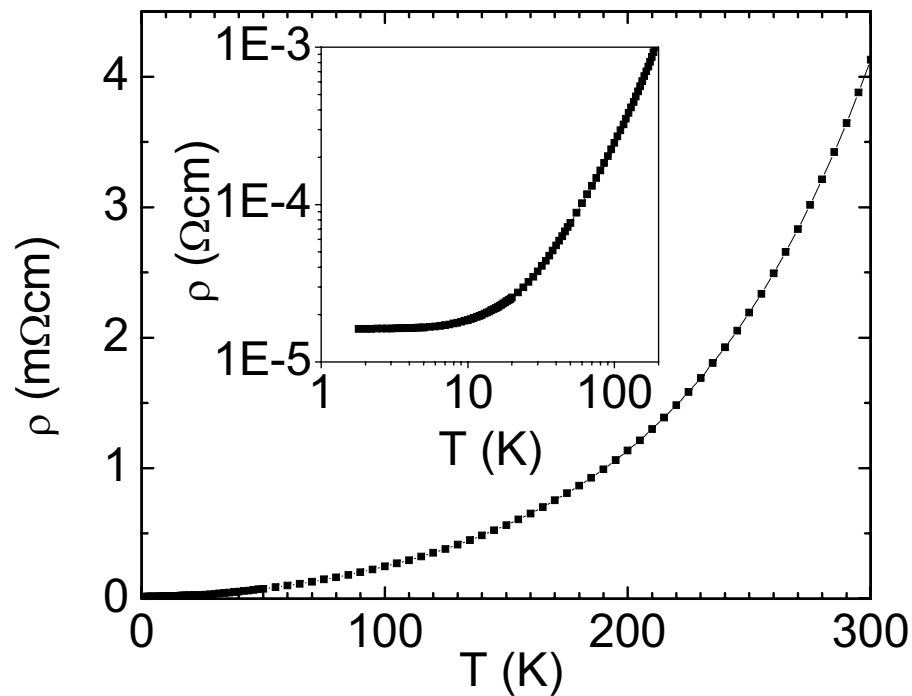
- no discernable chemical shift
- EXAFS best fit by *two* TI-Te distances: difference ~ 0.15 \AA
- however, Pb edge restricts range in k-space of oscillations
- suggestive of 2 TI valences, but not conclusive
- what extra evidence does the normal state offer?

Outline

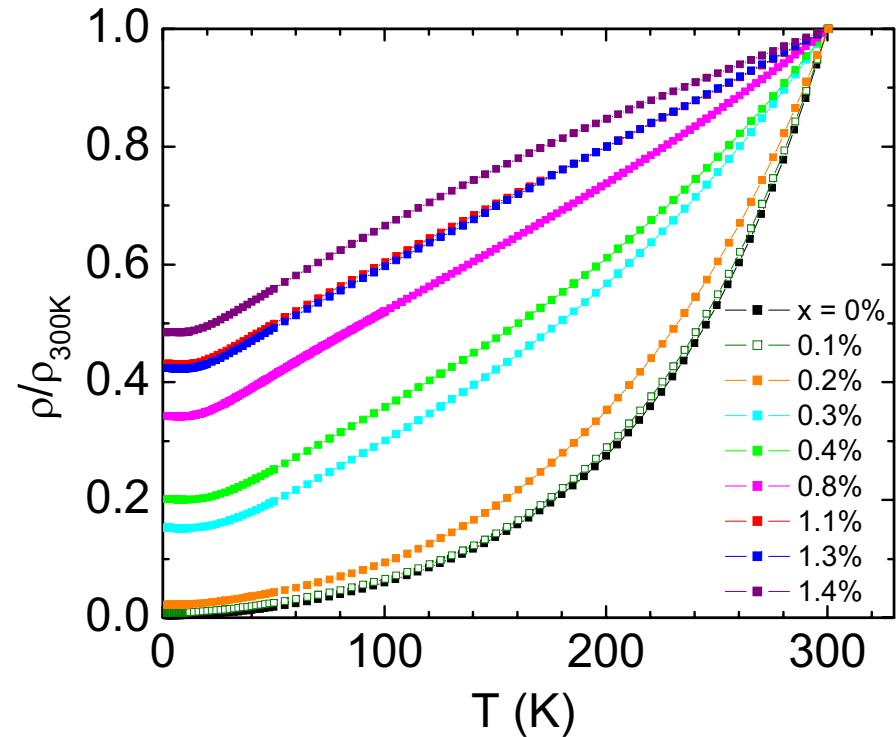
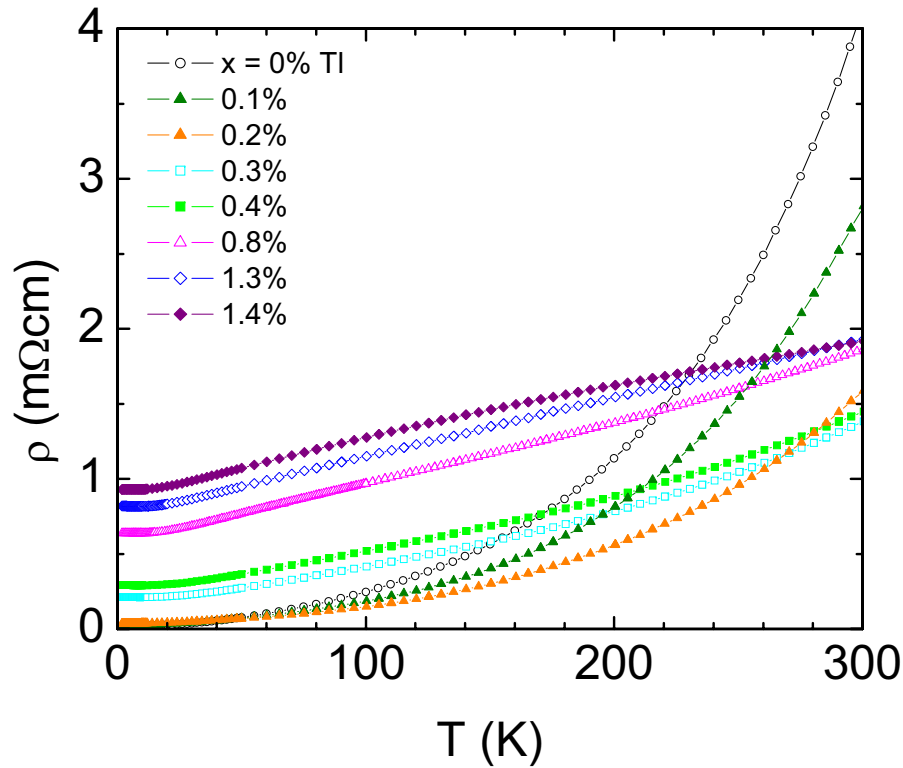
- (1) Superconductivity in TI-doped PbTe
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Undoped PbTe

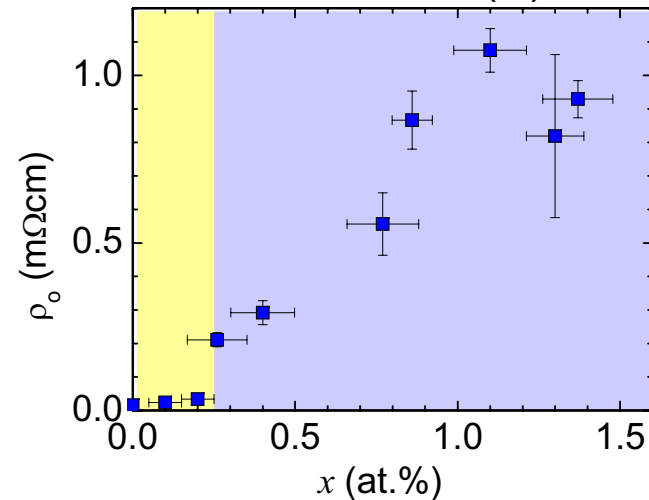
- Pb vacancies contribute a hole concentration $\sim 7 \times 10^{18} \text{ cm}^{-3}$
- $E_F \sim 100 \text{ meV}$ below top of valence band
- degenerate semiconductor, metallic resistivity
- mobility $\sim 10^5 \text{ cm}^2/\text{Vs}$
- mean free path, $l \sim 3 \mu\text{m}$



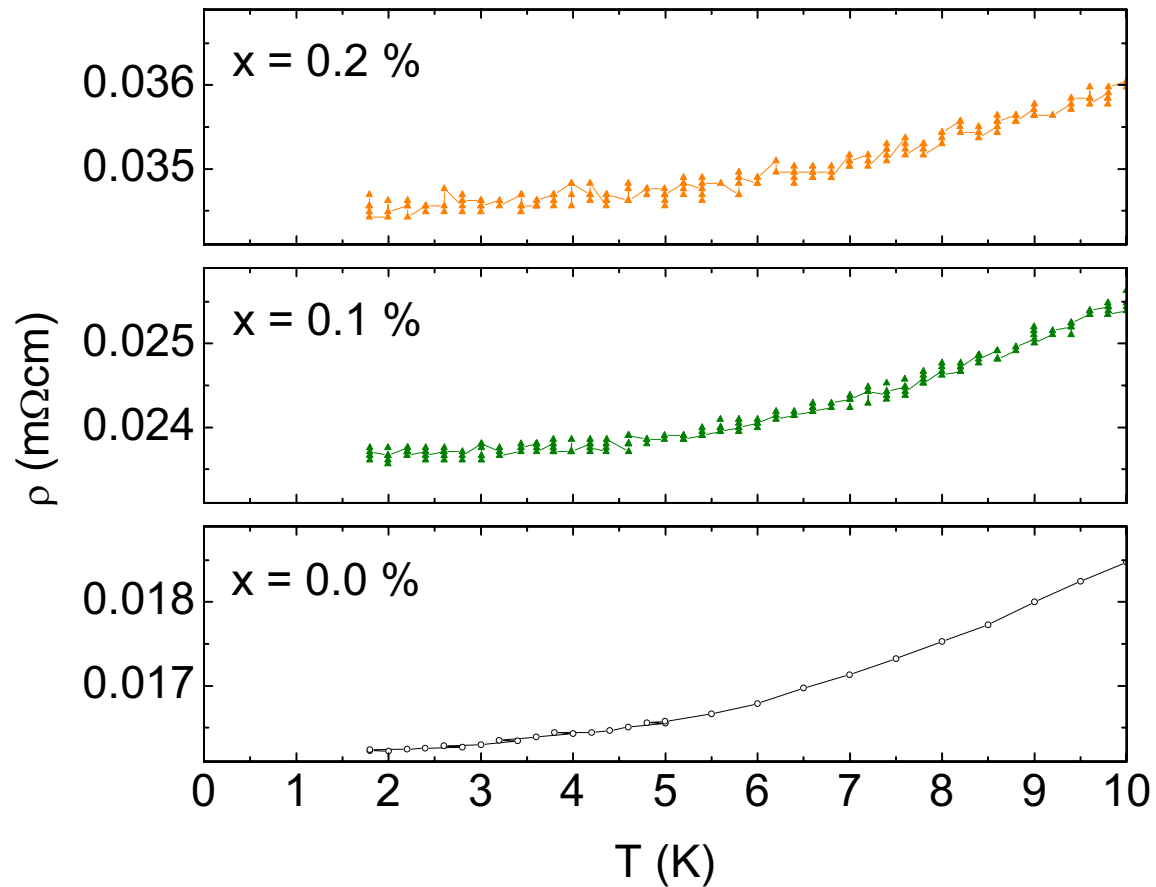
Evolution of normal state resistivity



- TI strongly affects the scattering
- substantial increase in ρ_0 at critical concentration $x_c \sim 0.3\%$
- T-dependence of resistivity evolves towards a more linear behavior

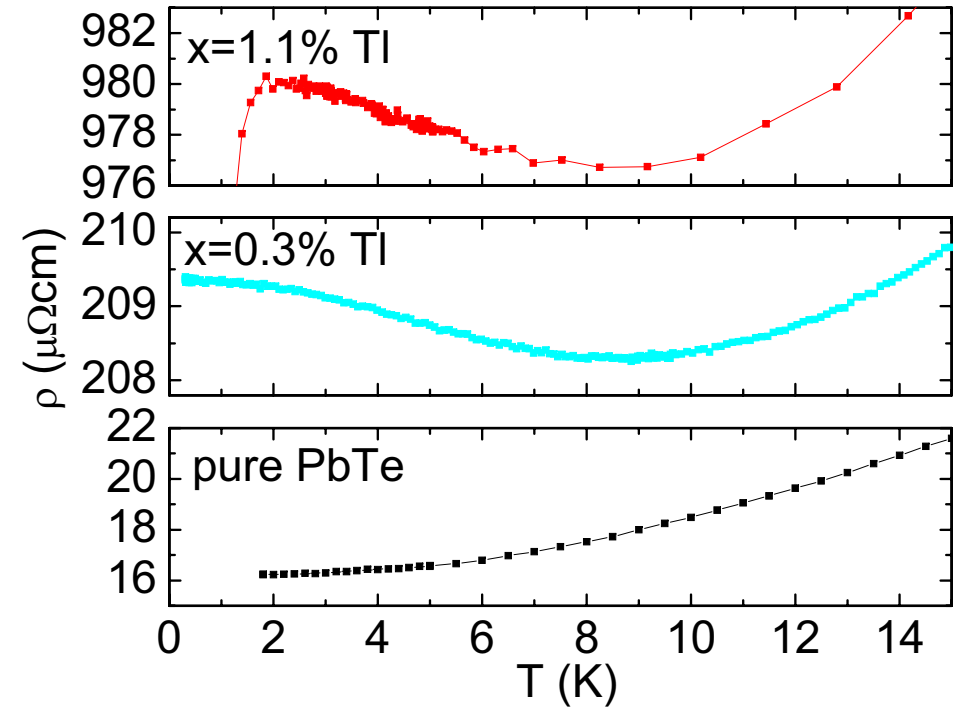
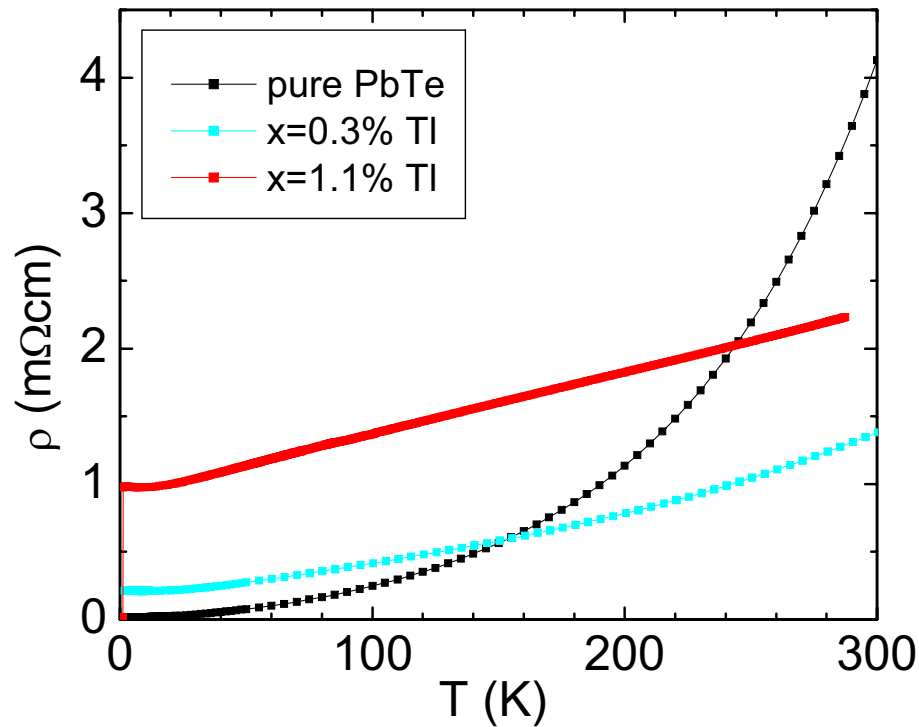


Low T resistivity: non-superconducting samples ($x < 0.3$ %)



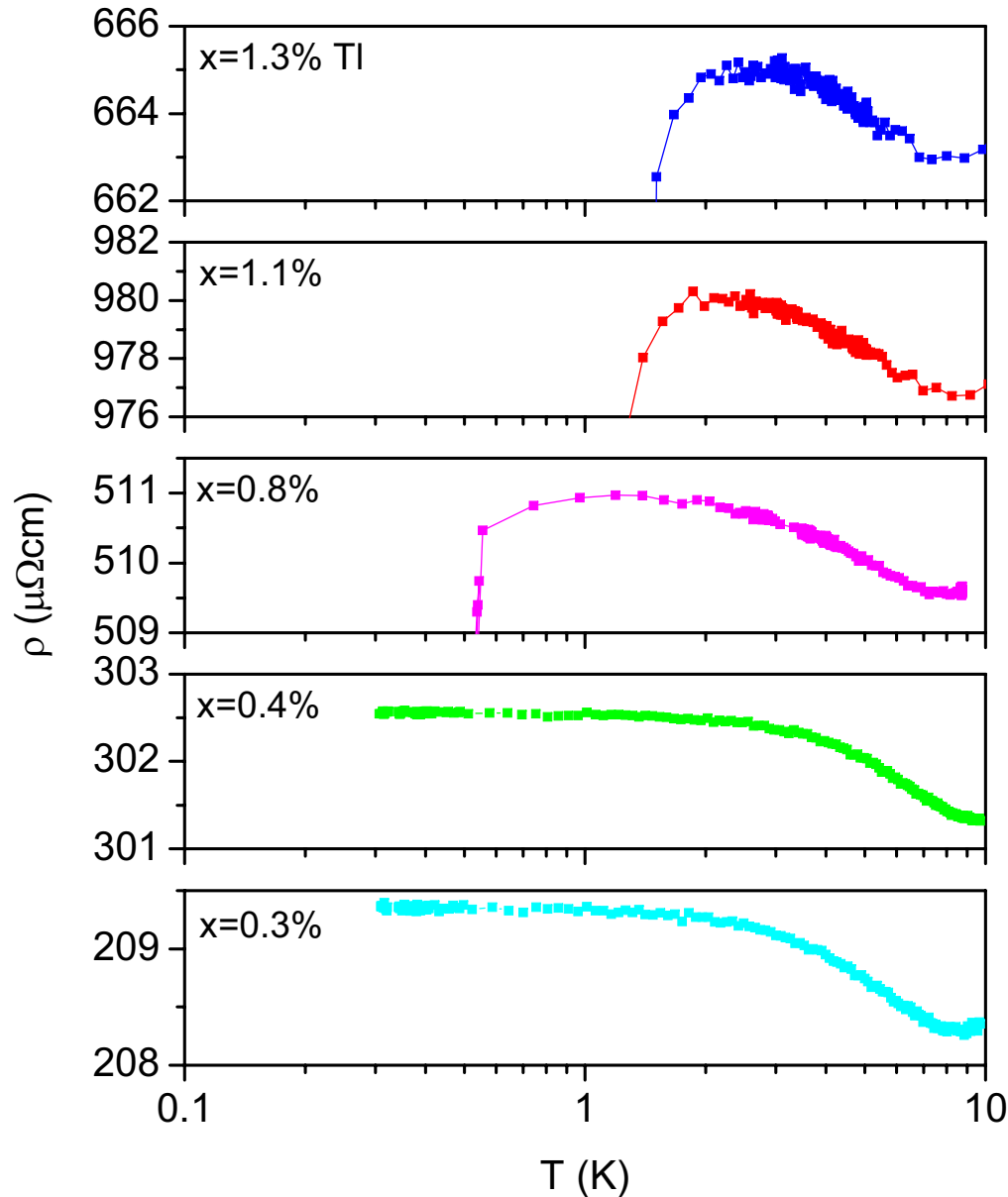
- Approach a T-independent residual resistance as expected
- TI content confirmed by EPMA and x-ray absorption

Low T resistivity: superconducting samples



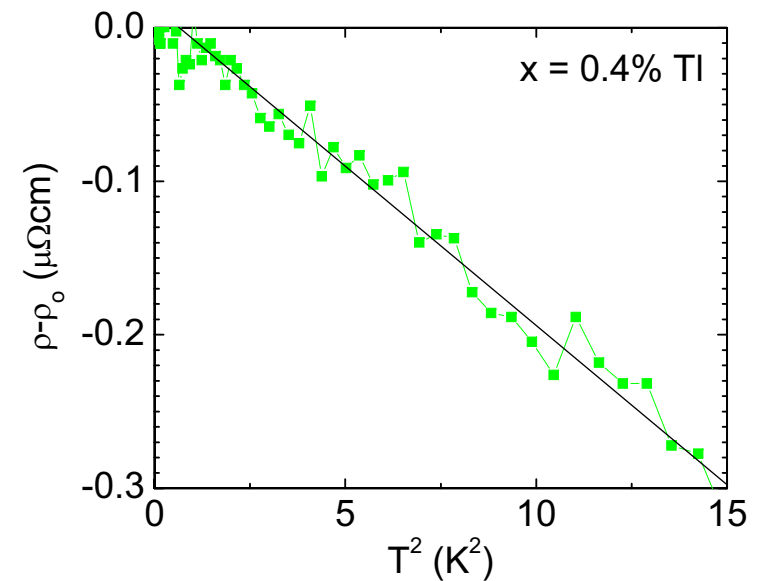
- anomalous upturn below 10 K for superconducting samples
- appears to saturate at low T
- larger effect for larger TI content, but cut off by T_c

Low T resistivity: superconducting samples



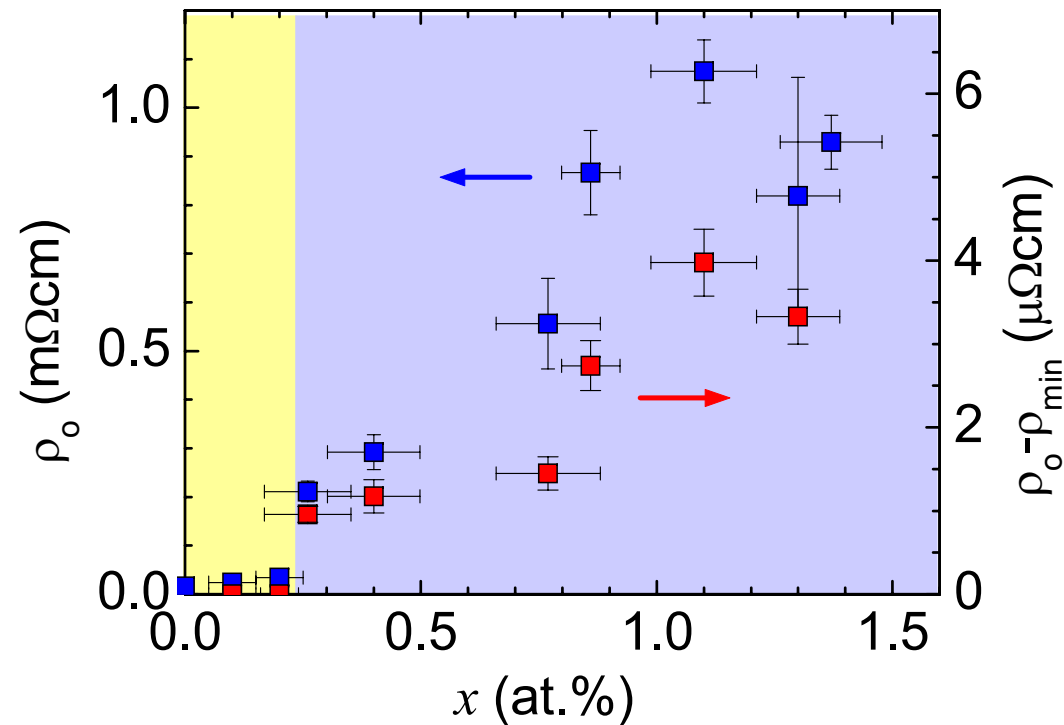
- reminiscent of Kondo effect...
- log upturn over restricted range?
- T^2 at low T for $x = 0.3$ & 0.4 %

$$\rho(T) \propto \left[1 - (T/T_K)^2 \right]$$



- estimate $T_K \sim 6$ K from fits

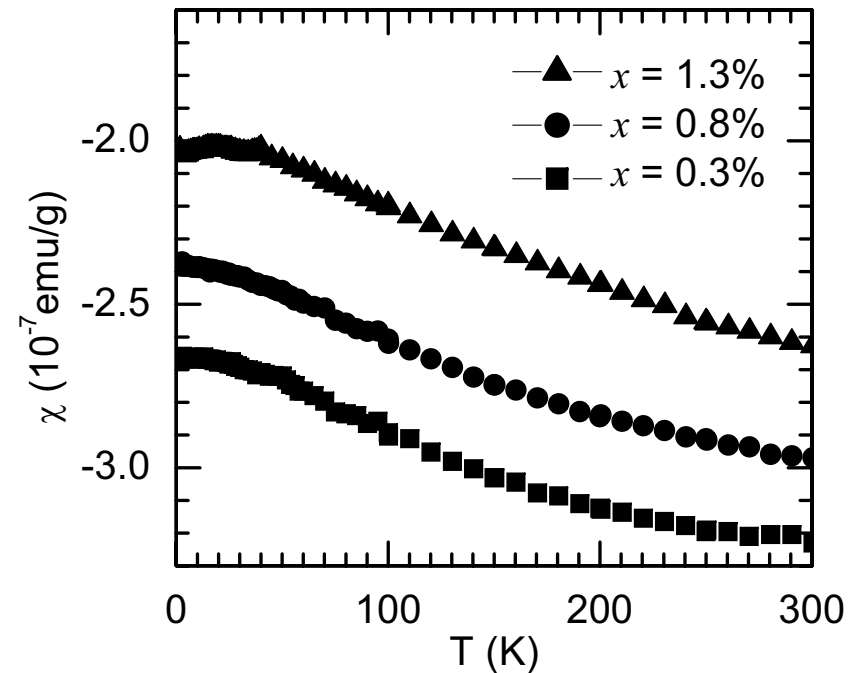
Scaling of low-T anomaly



- size of upturn scales approximately linearly with TI content for $x > 0.3\%$
- reproduced for different TI sources (elemental TI and TI_2Te)

Magnetic impurities?

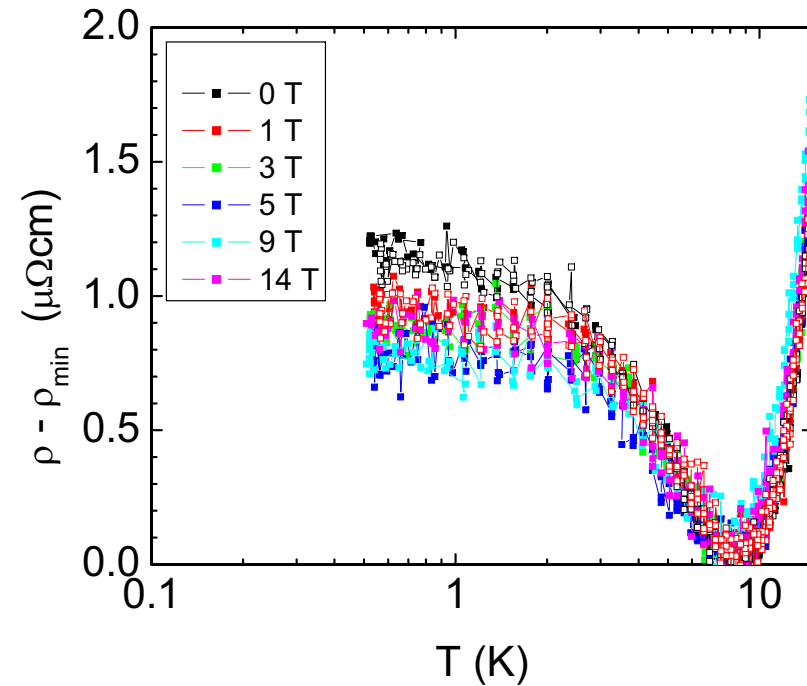
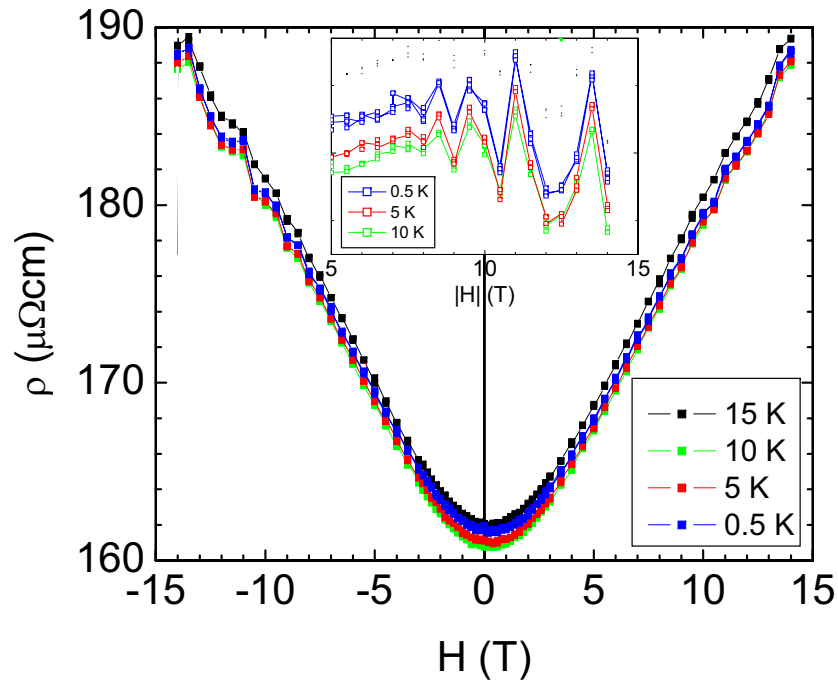
- no magnetic impurities detected (to 5ppm level)
- resistivity upturn scales with TI concentration, but results repeated for different TI sources
- no resistivity upturn for $x < 0.3\%$ (TI content confirmed via XAS and EPMA)



- i.e. resistivity upturn not associated with paramagnetic impurities (either from the TI or from extrinsic impurities)

Weak localization?

$x = 0.3\%$:



- linear MR & quantum oscillations $\rightarrow \omega_c \tau > 1$
 - $l \sim 210 \text{ \AA}$; $k_F l \sim 5 - 8$
- } resistance upturn not due to weak localization
- resistance upturn only weakly suppressed by large applied fields
 - identical relative suppression for larger TI-concentrations

Structural phase transition?

Ge doped PbTe:

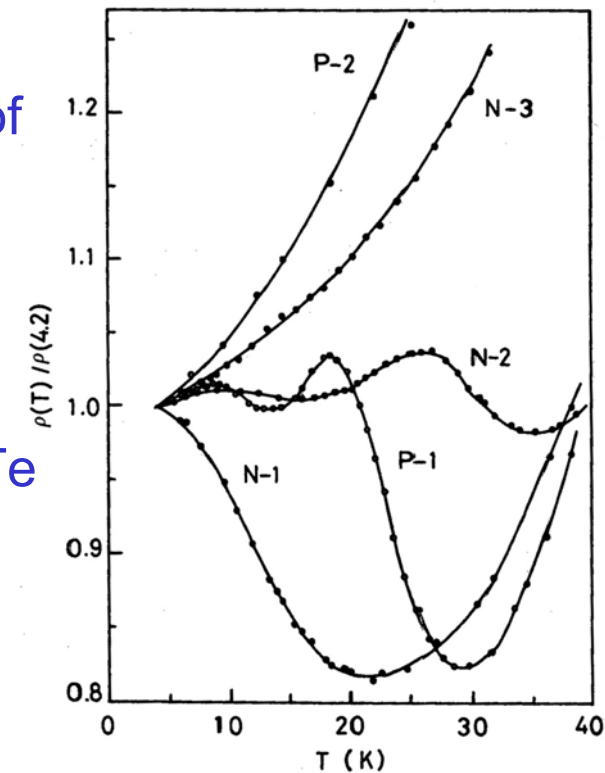
- ferroelectric for $x > 0.5 \%$
- various features in the electrical transport
- could a soft mode play a role in unusual properties of TI-doped PbTe?

(1) no structural phase transition in TI-doped PbTe:

- i.e. resistivity anomaly not associated with onset of ferroelectricity
- nor for In or Ga doped PbTe

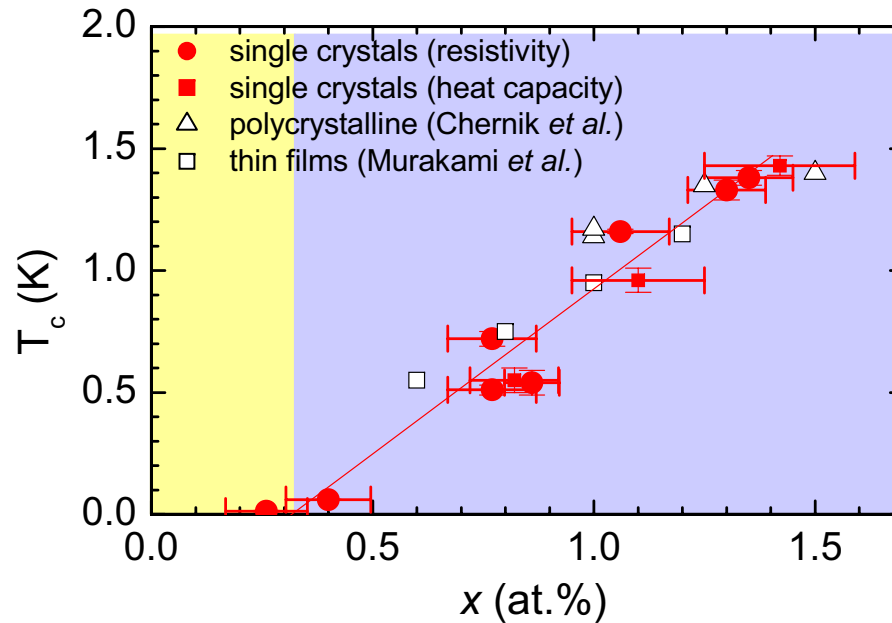
(2) Ge doped PbTe does not superconduct:

- small amounts of Ge *suppress* T_c in TI-doped PbTe
- i.e. high T_c unlikely due to soft mode



Takano et al., J. Phys. Soc. Japan **53**, 4309 (1984).

Summary of observations



$x < x_c$:

- non-superconducting
- perfectly normal metal

$x > x_c$:

- superconducting
- TI impurities are self-compensating
- something strongly affecting scattering
- Kondo-esque T-dependence below 10 K
- not magnetic impurities; not weak localization
- not a structural phase transition

Question: could the resistivity upturn be associated with degenerate valence states of the TI impurities – a charge Kondo effect?

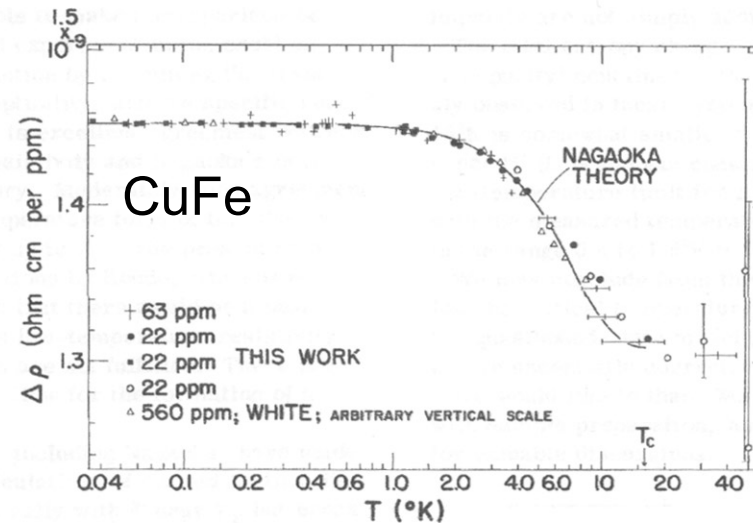
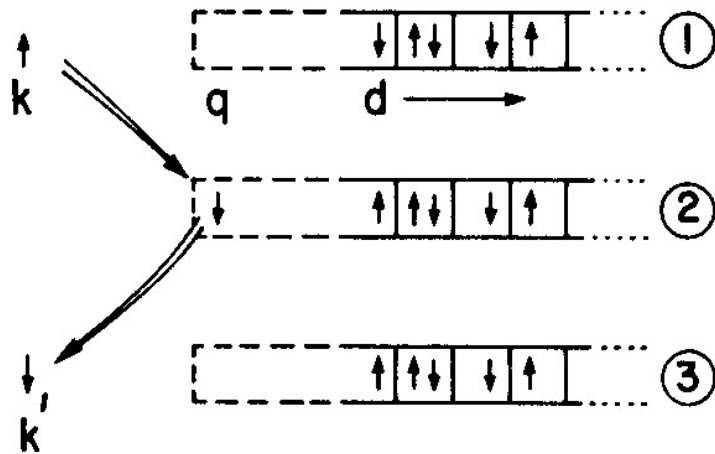
Outline

- (1) Superconductivity in TI-doped PbTe
- (2) The chemistry of TI
- (3) Anomalous normal state properties
- (4) The charge Kondo effect

The Kondo effect

Magnetic impurities in a non-magnetic host:

- virtual bound state
- degenerate “up” or “down” states for one spin
- second order spin-flip processes contribute to log term in resistivity
- at low T , $\rho \sim [1-(T/T_K)^2]$



- other degenerate two-level systems can also lead to Kondo-like behavior
- including degenerate valence states...

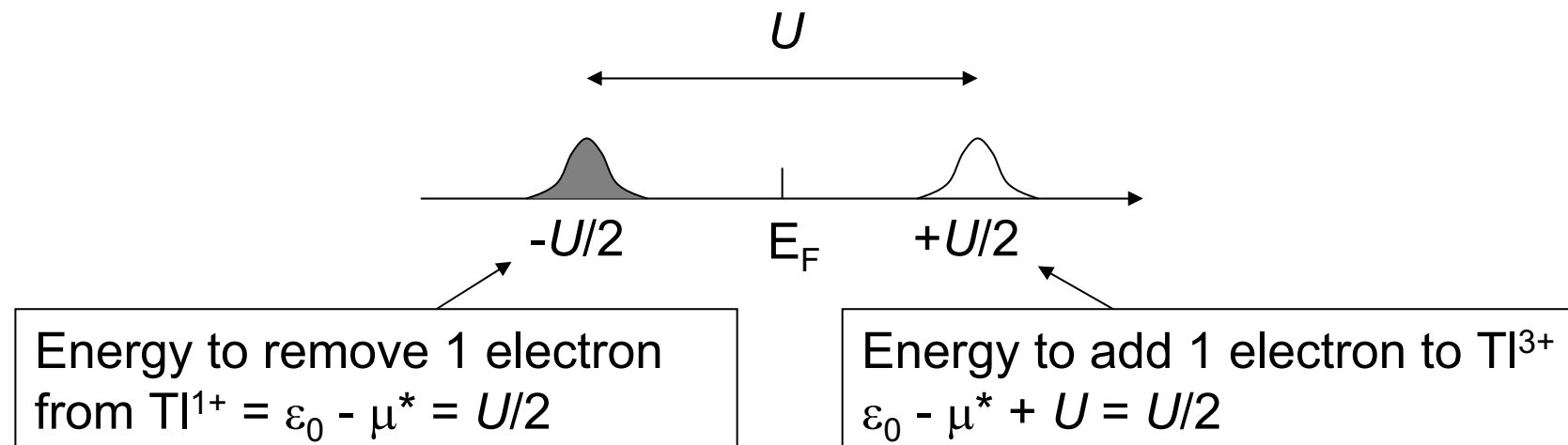
“Heavy Fermion Behavior in a Negative-U Anderson Model” Taraphder & Coleman, PRL **66**, 2814 (1991).

Charge Kondo effect from degenerate valence states

Negative- U impurities:

- $E(\text{TI}^+) = E_0$
- $E(\text{TI}^{2+}) = E_0 + \varepsilon_0 - \mu$
- $E(\text{TI}^{3+}) = E_0 + 2(\varepsilon_0 - \mu) + U$

Consider the case $\mu = \mu^* = \varepsilon_0 + \frac{1}{2}U \dots$



- same spectrum as for +ve U , but different underlying interactions
- hybridization with valence band \rightarrow Kondo effect
- pseudo-spin = pair occupancy; δE plays role of magnetic field

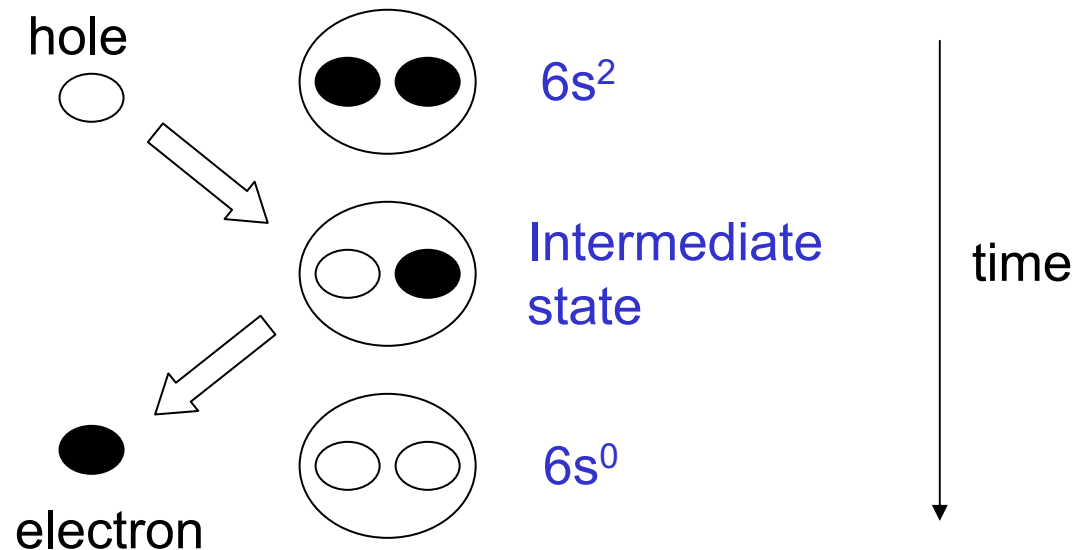
“Heavy Fermion Behavior in a Negative- U Anderson Model” Taraphder & Coleman, PRL **66**, 2814 (1991).

“Superconductivity in charge Kondo systems” Dzero and Schmalian, PRL **94**, 157003 (2005).

Pseudo-spin-flip in charge-Kondo effect

Dilute negative- U impurities:

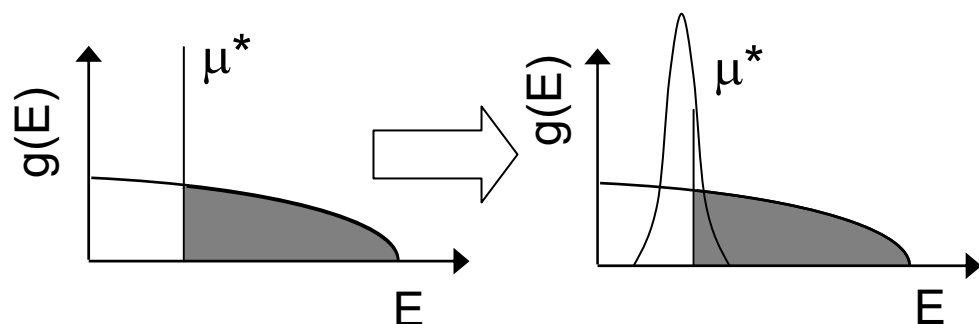
- pseudo-spins correspond to $6s^0$ and $6s^2$
- second order “spin flip” processes swap $0 \leftrightarrow 2$ electrons



- in absence of orbital degeneracy, single channel effect
- will contribute log term to resistivity & unitary scattering
- a unique property of the negative- U model
- does this account for observed behavior of TI-doped PbTe, with $T_K \sim 6$ K?

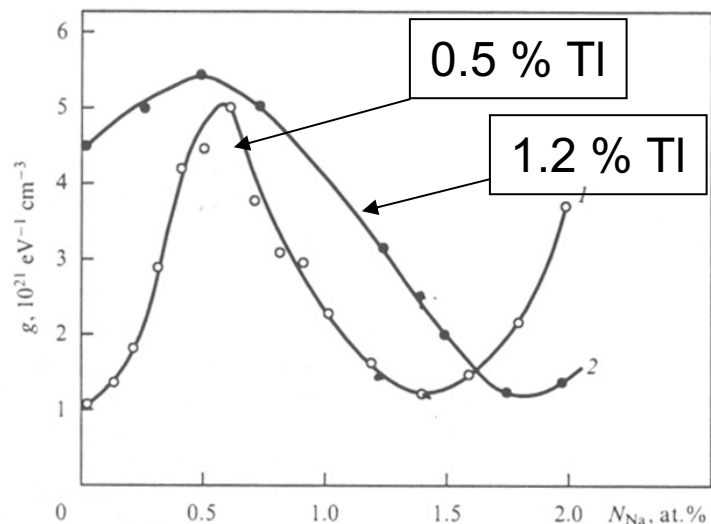
Concentration of degenerate TI impurities & unitary scattering

Different TI environments:

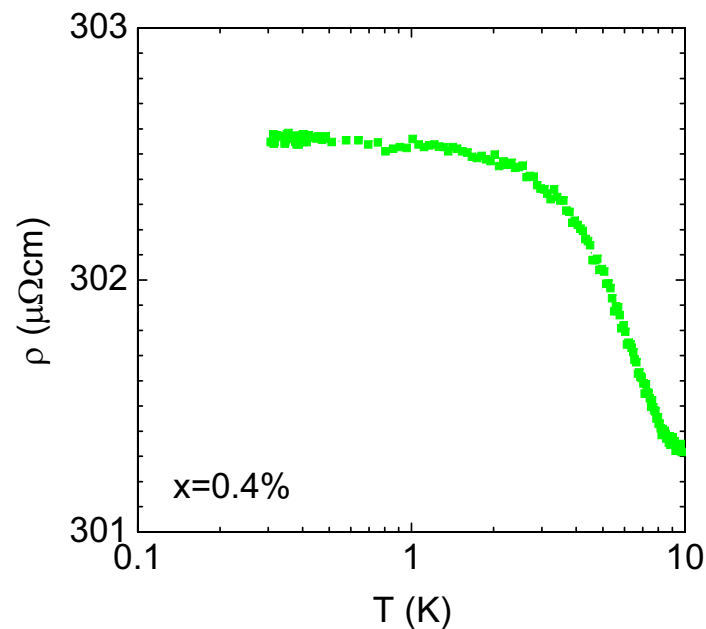


Na counter-doping:

Nemov, Physics-Uspekhi **41**, 735 (1998).



- $\Gamma \sim 30$ meV for $x = 0.5$ %
- $\sim 1\%$ of TI impurities degenerate



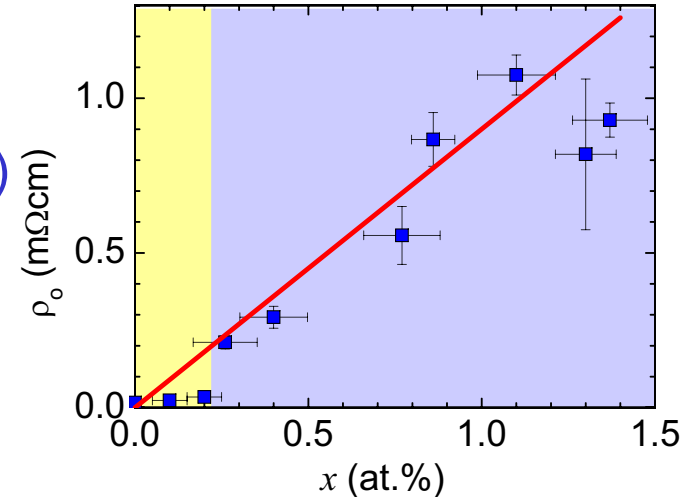
$$\rho_{imp} = \frac{2m}{ne^2 \pi \hbar g(E_F)} C_{imp}$$

- $C_{imp} \sim 10^{17} - 10^{18} \text{ cm}^{-3}$
- $x = 0.4\% \equiv 6 \times 10^{19} \text{ cm}^{-3}$
- $C_{imp} \sim 0.2 - 2\%$ of TI impurities
- consistent with γ enhancement

Comment on some alternative scenarios

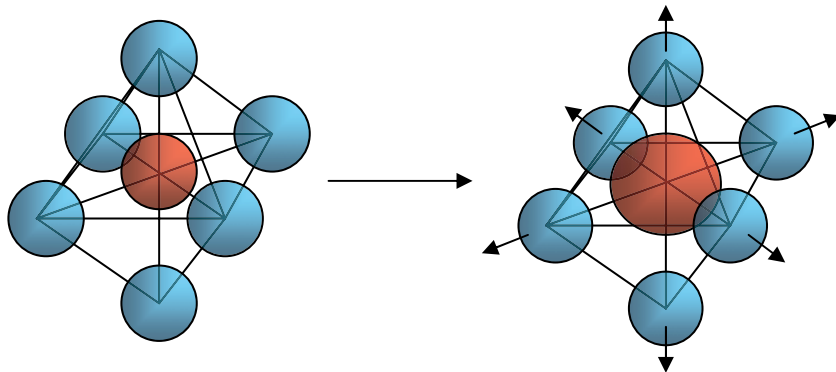
Alternative scenario #1:

- all TI impurities fluctuating?
- note: ρ_0 close to unitary scattering limit (red line)
- reduces size of resistivity upturn at low T?



Alternative scenario #2:

- mixed valence, but no valence fluctuations?
- ionic motion provides negative-U, stifles valence fluctuation



Coulomb cost:
$$U_c = \frac{U}{\epsilon_0}$$

Energy gain:
$$\Delta E \sim F_0 x - \frac{1}{2} k x^2$$

Alternative scenario #3:

- TI impurity band? (i.e. 3rd band with low mobility)
- what scattering mechanism causes the resistivity upturn?

Consequences for superconductivity in TI-doped PbTe

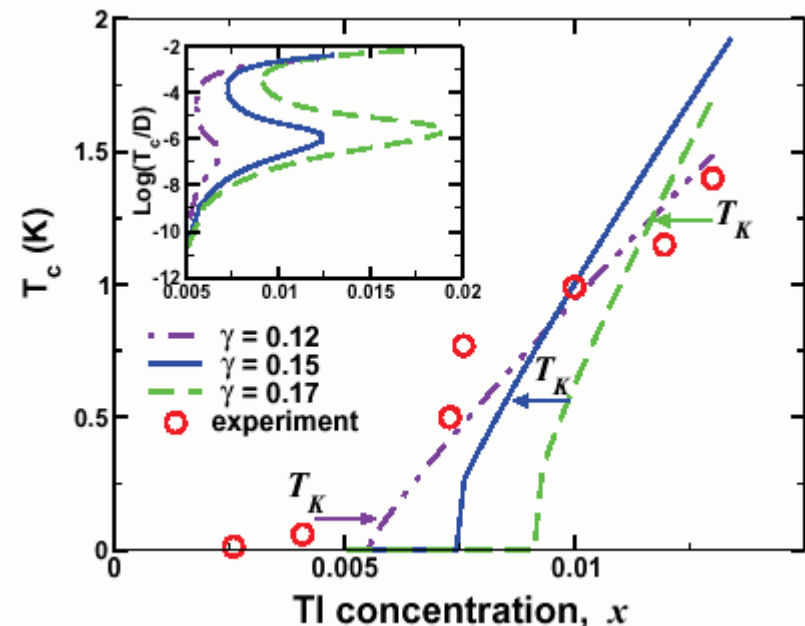
(1) Dynamical mixed valence:

- if this effect derives from a charge Kondo effect, this directly attests to quantum valence fluctuations in TI-doped PbTe
- it has been shown theoretically that such valence fluctuations can lead to superconductivity (i.e. an alternative pairing mechanism)
- corresponds to in-plane order in iso-spin space, mediated by RKKY

Schuttler, Jarrel & Scalapino, PRB **39**, 6501 (1989).

(2) Interaction of T_c and T_K :

- Dzero and Schmalian, PRL **94**, 157003.
- predict re-entrant behavior at very low T due to pair-breaking effects
- unclear whether effect observable for TI-doped PbTe (certainly < 20 mK)



Why PbTe?

(1) μ^* :

- value of μ^* is achievable
- likely due to charge balance requirements of substituting TI in $\text{Pb}^{2+}\text{Te}^{2-}$

(2) large high-frequency dielectric constant:

- $\epsilon_\infty \sim 30 - 40$
- enables rapid, adiabatic tunneling of electrons

(3) TI ions “fit”:

- average ionic radius of TI^+ and TI^{3+} equals that of Pb^{2+}
- i.e. average radius of fluctuating ion fits in lattice

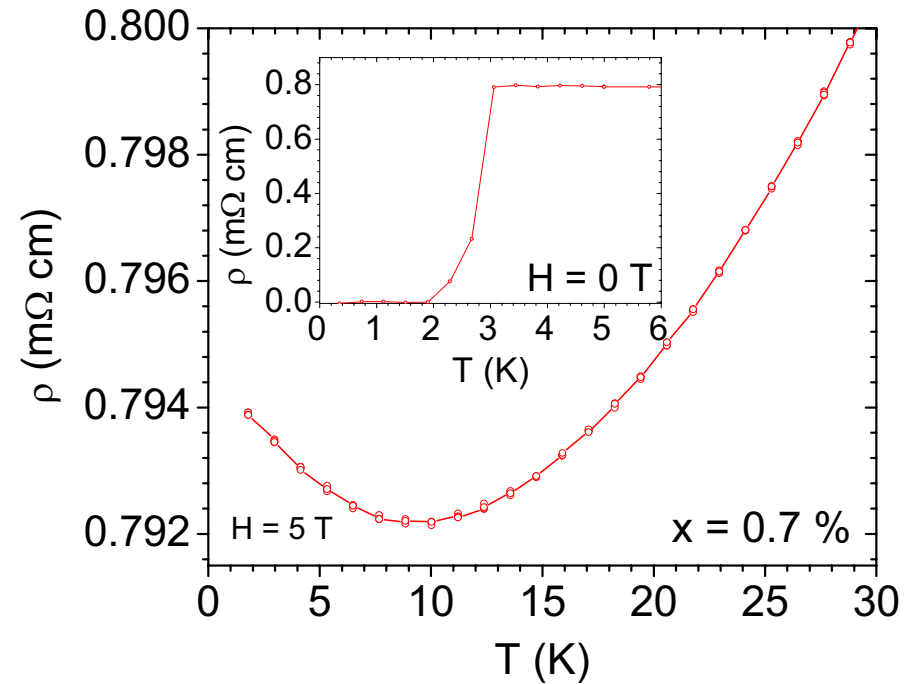
(4) ionicity of host:

- very low carrier concentration; very localized charge distribution
- TI impurities are not hybridized away to nothing
- i.e. meaningful to have a 6s virtual bound state

Outlook – related materials

In-doped SnTe:

- indium increases T_c of SnTe
- resistivity anomaly at low T
- appears to be a generic effect...



Summary

Tl-doped PbTe:

- superconducts with $T_c \sim 1.5$ K
- only impurity that causes superconductivity

Anomalous transport properties:

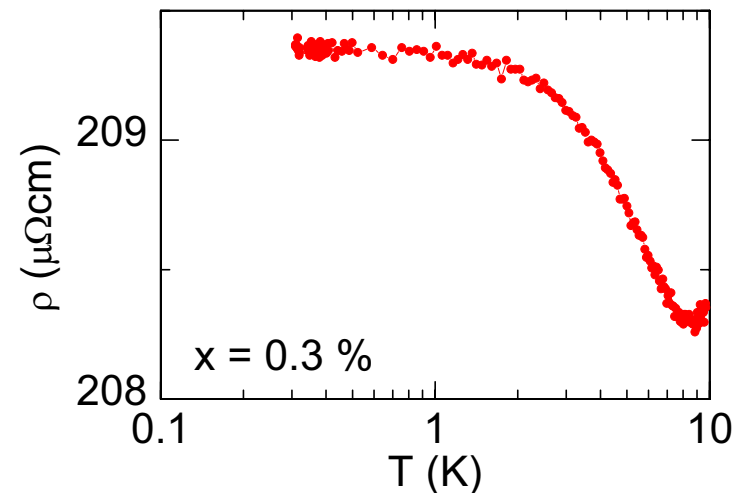
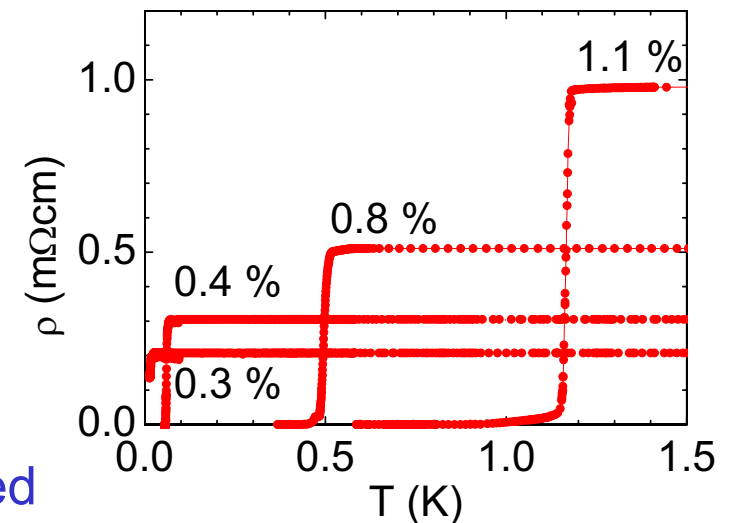
- upturn in resistivity associated with s/c
- does not appear to originate from magnetic impurities or localization effects
- first evidence for charge Kondo effect associated with degenerate valence states?

Consequences:

- supports notion of an alternative pairing mechanism for Tl-doped PbTe
- might account for anomalously high T_c value

Y. Matsushita et al., PRL **94**, 157002 (2005).

Y. Matsushita et al., cond-mat/0605717 (2006).



Mean free path estimates

x	0.3%	0.4%	0.8%	1.4%
E_F (meV)	180	182	188	192
k_F (\AA^{-1}) *	0.023 – 0.039	0.025 – 0.039	0.03 – 0.04	0.034 – 0.04
l (\AA)	209	130	50	20
$k_F l$ *	5 – 8	3 – 5	~ 2	~ 1

* values for the L and Σ bands

Superconducting parameters

	$x = 1.1$ at.%	$x = 1.4$ at.%
T_c	1.16 ± 0.01 K	1.38 ± 0.03 K
$H_{c2}(0)$	0.39 ± 0.04 T	0.60 ± 0.07 T
l	32 ± 8 Å	19 ± 5 Å
$\xi(0)$	290 ± 15 Å	240 ± 14 Å
ξ_0	2600 ± 700 Å	3000 ± 850 Å
v_F	$2.2 \pm 0.6 \times 10^5$ m/s	$3.0 \pm 0.8 \times 10^5$ m/s
λ_L	1600 ± 80 Å	1500 ± 120 Å
λ_{eff}	1.4 ± 0.4 μm	1.9 ± 0.5 μm
κ	48 ± 12	79 ± 20
H_c	57 ± 14 Oe	54 ± 13 Oe
H_{c1}	3 ± 0.8 Oe	2 ± 0.5 Oe