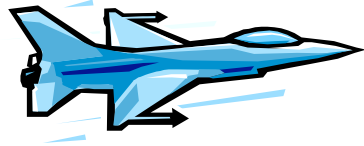


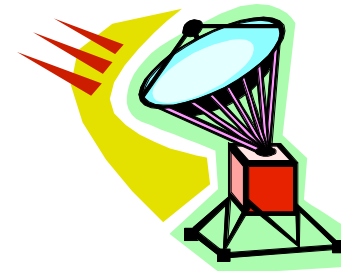
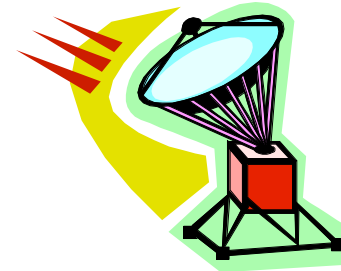
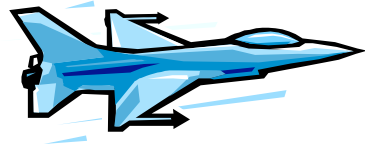
Nonlinear dynamics for signal identification

T. L. Carroll

Naval Research Lab

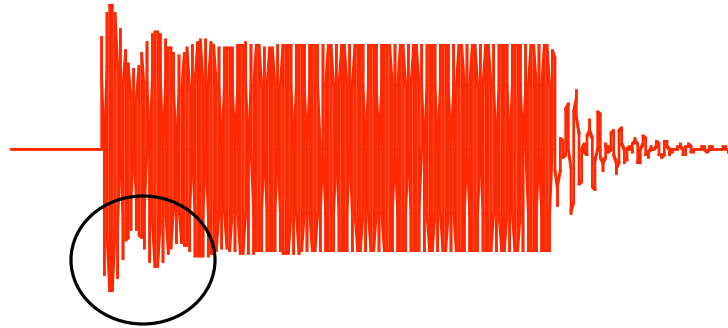


Multiple radars: how many transmitters are there?



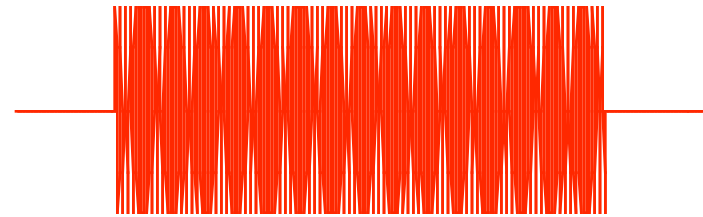
Specific Emitter Identification

Older transmitters



↑
Transients on leading and trailing edges: use linear signal processing to get signature

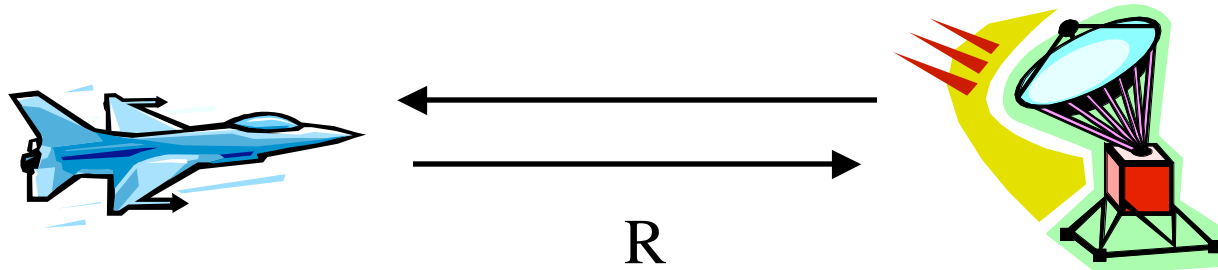
Modern transmitters



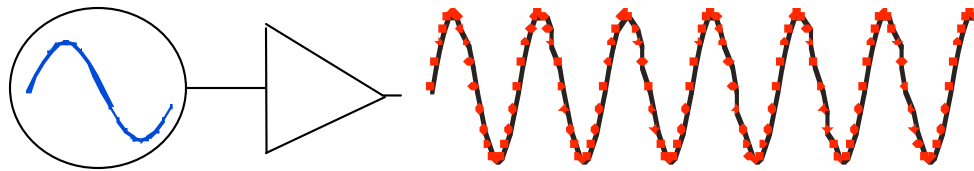
No transients: linear signal processing can't find signature

Good test problem for NLD approach:

- Radar reflection goes as $1/R^4$, so S/N large at target



Radar amplifier runs at high power- very nonlinear

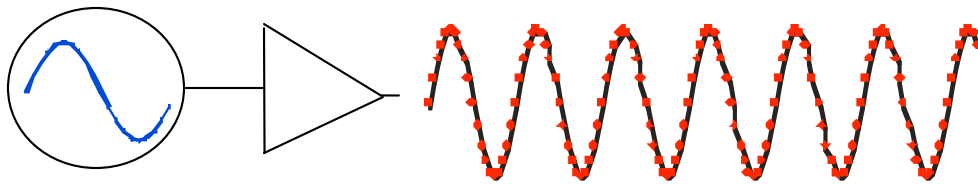


All amplifiers based on nonlinear devices

- consider problem as driven nonlinear system

Specific Emitter ID: Data Driven

Amplifier is driven nonlinear system

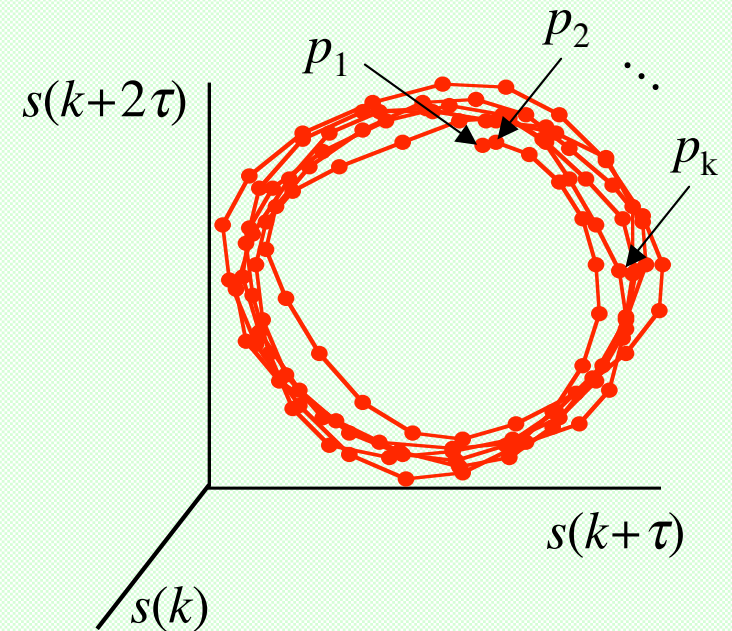


Digitize output signal

Create vectors:

$$p_1 = \begin{bmatrix} s(1) \\ s(1+\tau) \\ s(1+2\tau) \end{bmatrix}, \quad p_2 = \begin{bmatrix} s(2) \\ s(2+\tau) \\ s(2+2\tau) \end{bmatrix}, \quad \dots$$

“**Embedding**” of original signal

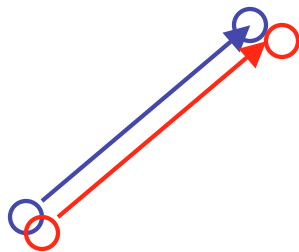


Has same geometrical properties as original attractor

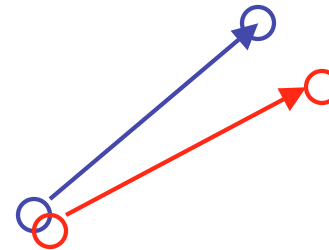
Specific Emitter ID: Data Driven

- If 2 dynamical systems identical, same point in phase space should have same derivatives

Identical systems



Non-identical systems



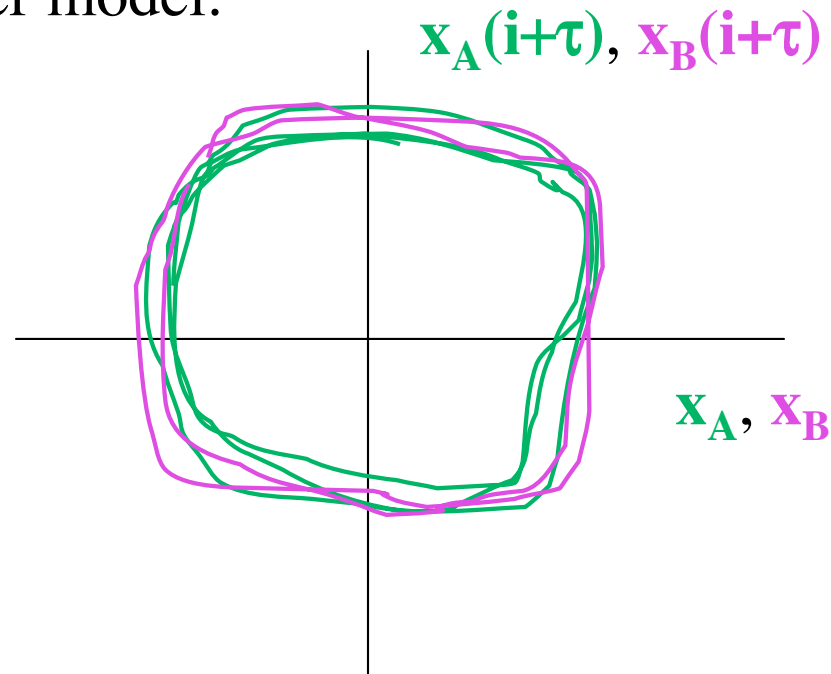
Quantify this difference: average over signal

$$\text{Average} = \langle \Delta \rangle$$

Simple numerical example

Amplifier model:

$$\begin{aligned}\xi(t) &= \sin(\omega t) \\ \frac{dx_A}{dt} &= \gamma_A \left(g(\xi) - x_A \right) \\ \frac{dy_A}{dt} &= \gamma_A \left(\frac{dx_A}{dt} - y_A \right) \\ g(x) &= x + \rho_A x^3\end{aligned}$$



$$\begin{aligned}\xi(t) &= \sin(\omega t) \\ \frac{dx_B}{dt} &= \gamma_B \left(g(\xi) - x_B \right) \\ \frac{dy_B}{dt} &= \gamma_B \left(\frac{dx_B}{dt} - y_B \right) \\ g(x) &= x + \rho_B x^3\end{aligned}$$

Set different parameters for A, B

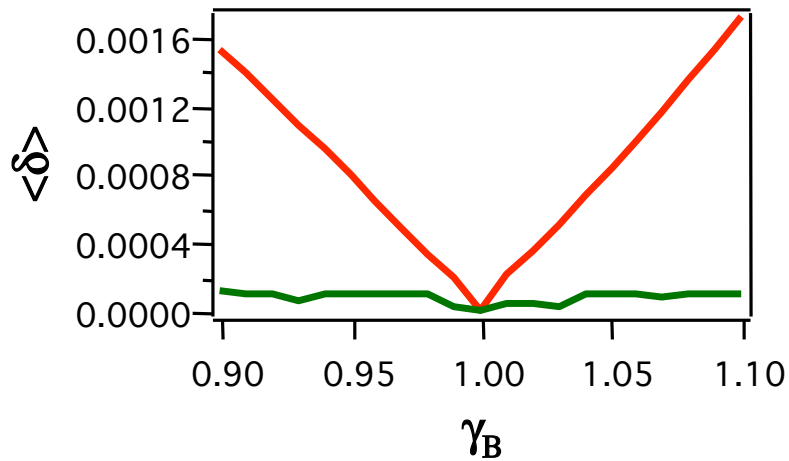
Embed x_A, x_B in 2-d phase space

Derivative difference $\delta = | [x_A(i+1) - x_A(i)] - [x_B(i+1) - x_B(i)] |$

Take average for many points

Simulation results:

Different time constant, linear or nonlinear

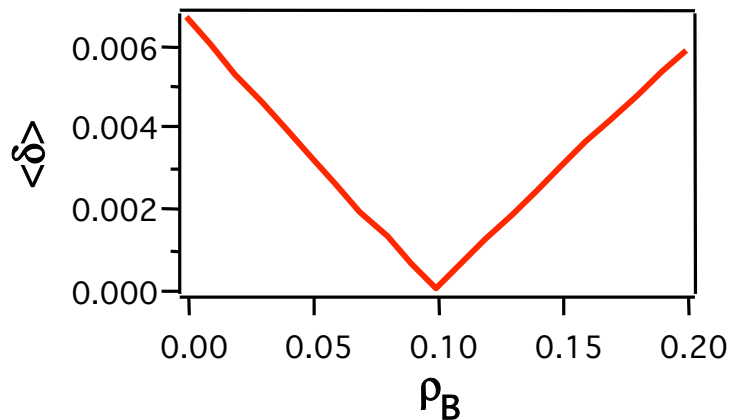


$$\gamma_A = 1$$

$$\rho_A = \rho_B = 0 \text{ (linear)}$$

$$\rho_A = \rho_B = 0.1 \text{ (nonlinear)}$$

Same time constant, different nonlinearity

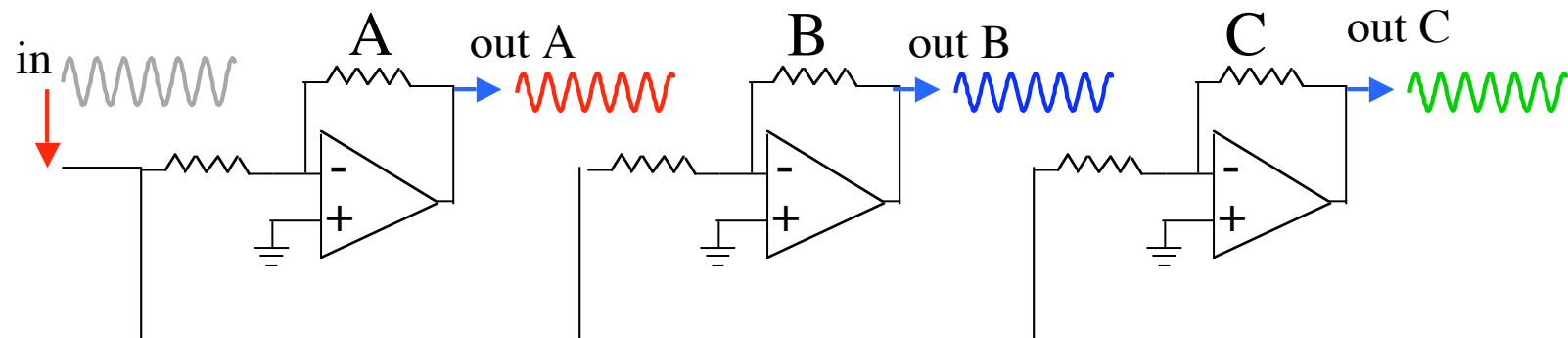


$$\gamma_A = \gamma_B = 1$$

$$\rho_A = 0.1$$

Specific Emitter ID: Data Driven Experiment

3 nominally identical op amps driven with same signal



- Save a signal from each amp as a reference
- Take an unknown signal - compare to each reference
- Which reference gives smallest phase space difference?

Experiment

Embed reference

Embed unknown

Pick index point u_j on unknown

Nearest reference point is v_k

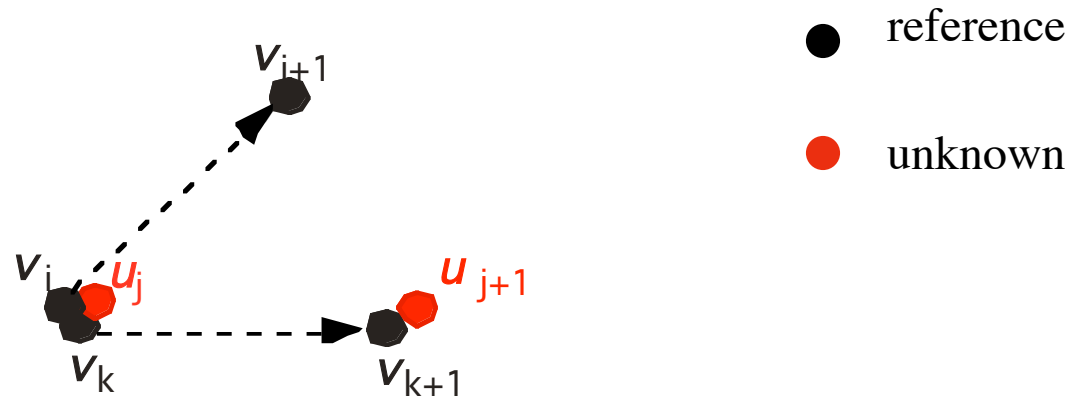
● reference

● unknown



Derivatives $u_{j+1} - u_j$, $v_{k+1} - v_k$

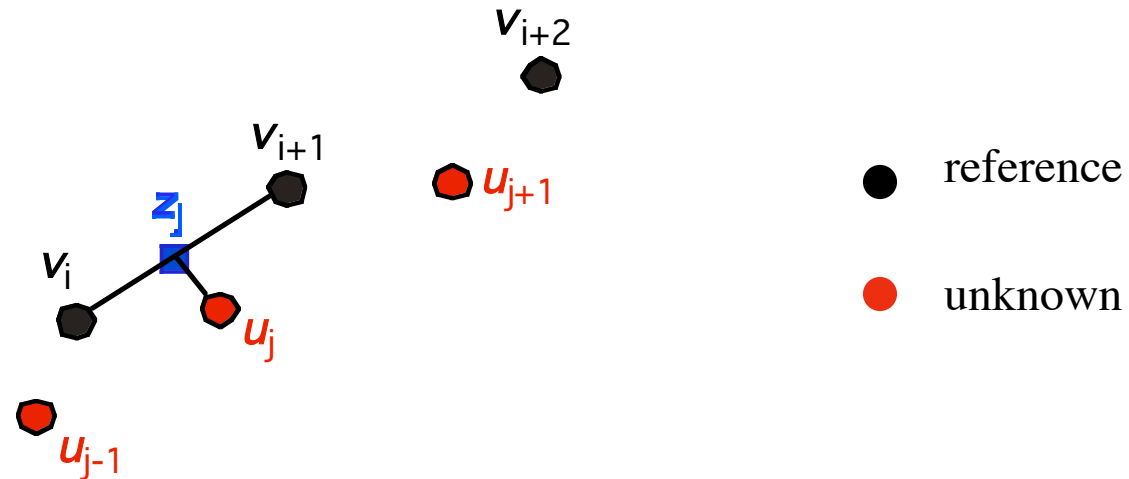
Real Data: complications



Frequency modulation: reference may have 2 very different derivatives

- search for pairs of points (v_i, v_{i+1})

Real Data: complications



Unknown signal sampled at different phase than reference

- Draw line between v 's
- z_j is closest approach of line to u_j
- z_j is estimate for reference point v_i
- difference in derivatives proportional to distance between u and z : normalize by this distance

Phase space statistic:
 k is vector component

$$\Delta = \frac{\sum_{k=1}^d \left| \overbrace{\left(z_{j+1}^k - z_j^k \right)}^{\text{Reference derivative}} - \overbrace{\left(u_{j+1}^k - u_j^k \right)}^{\text{Unknown derivative}} \right|}{\sqrt{\sum_{k=1}^d \left[\left(z_{j+1}^k - u_{j+1}^k \right)^2 + \left(z_j^k - u_j^k \right)^2 \right]}} \quad \leftarrow \text{distance}$$

Average Δ over signal: $\langle \Delta \rangle$

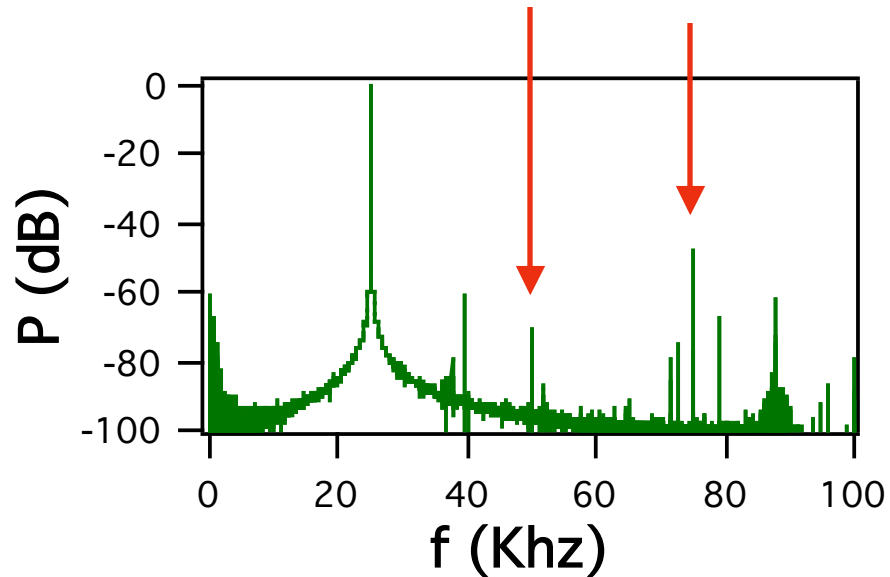
Summary of algorithm

1. Record reference signals from several amplifiers
2. Record unknown signal
3. Embed unknown and reference signals
4. For each reference:
 - A. Pick index pair on unknown: search for nearest reference pair.
 - B. Interpolate reference point to correct for phase error
 - C. Compute unknown and reference derivatives: take difference
 - D. Normalize difference by distance between unknown and reference pairs
 - E. Average over trajectory.

Experiment:

Drive 3 op amps with 25 kHz sine, amplitude 1V

harmonics



Divide signal into many segments

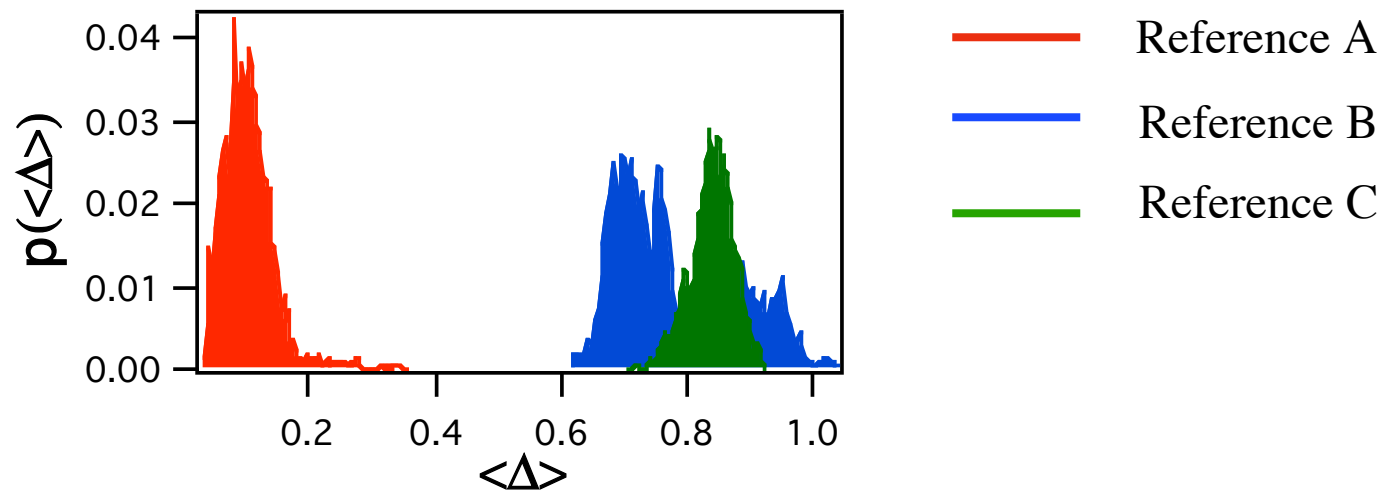
Measure $\langle \Delta \rangle$ for each

Compute histogram

Experiment

Histogram of phase space difference

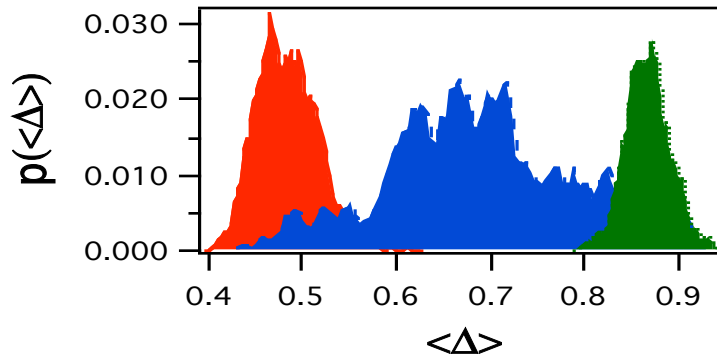
Compare unknown to:



(unknown came from amplifier A)

Experiment:
frequency modulated (FM) signals
Randomly shift signal between 22.5 kHz and 27.5 kHz

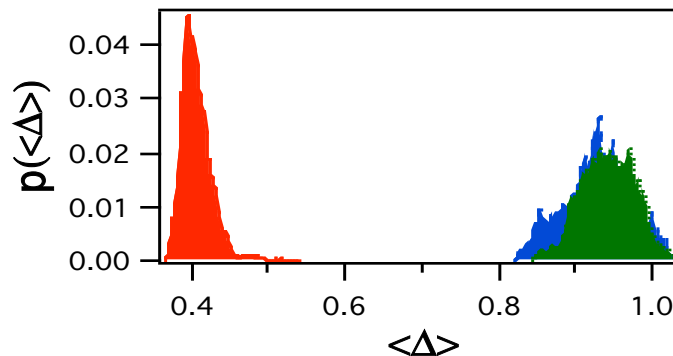
Compare unknown to: — Reference A — Reference B — Reference C



Drive amplitude:

1 V

(reference amplitude = 1V)



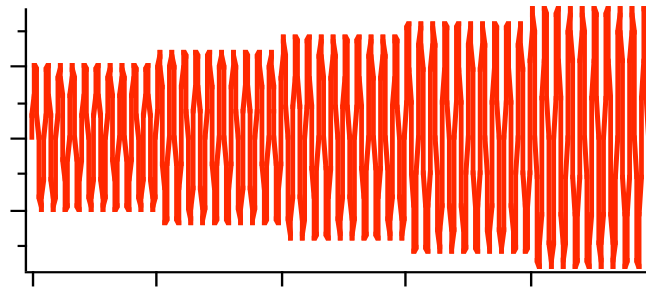
2 V (nonlinear effects larger)

(reference amplitude = 2V)

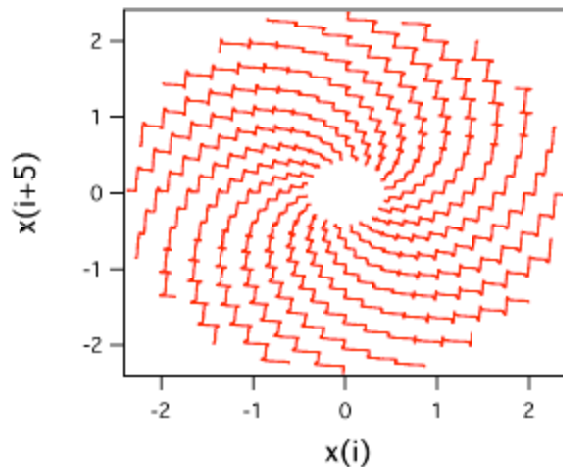
(unknown came from A)

What about signals with different amplitudes?

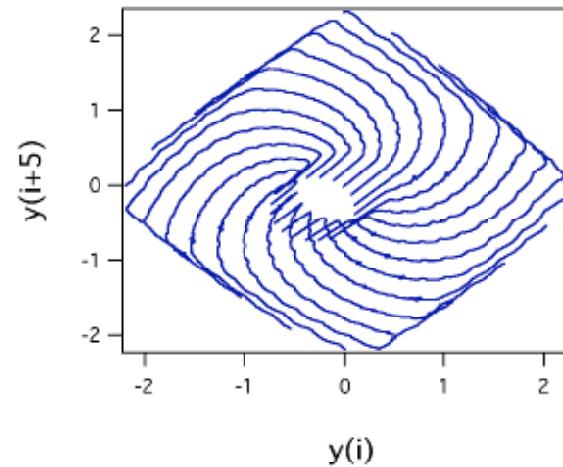
Need information from all parts of phase space
Step up amplitude of driving signal: sine wave



input signal



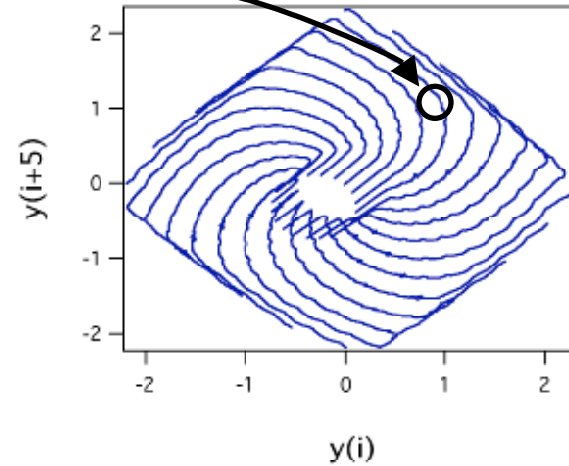
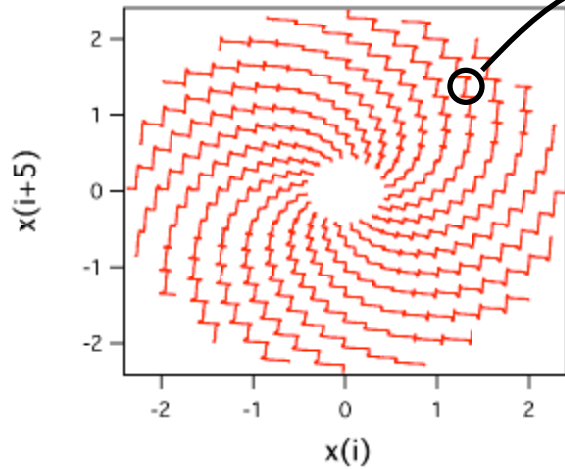
output signal



At each point in phase space, find output derivative as function of input derivative

$$\frac{d\vec{y}(\vec{x})}{dt} = a_1(\vec{x}) + a_2(\vec{x}) \left. \frac{d\vec{x}}{dt} \right|_1 + a_3(\vec{x}) \left. \frac{d\vec{x}}{dt} \right|_2 + \dots$$

(sine wave driving)



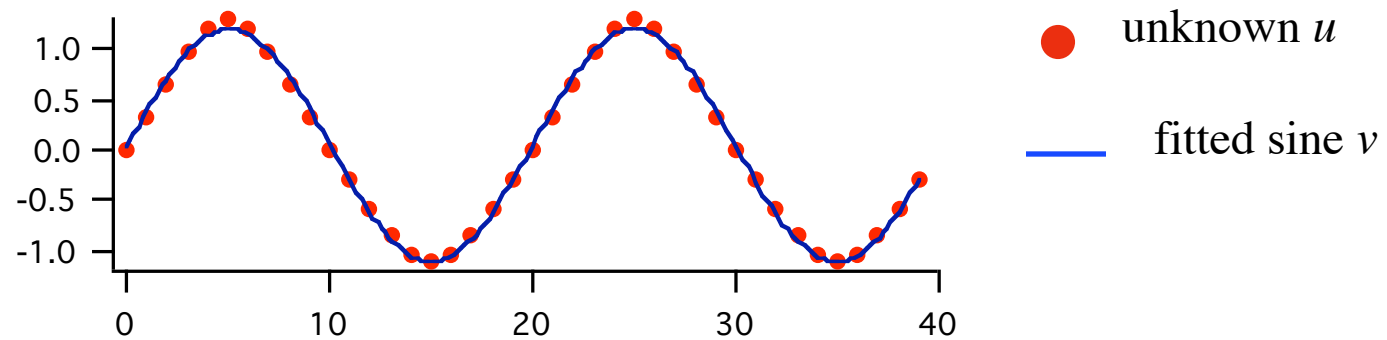
Store coefficients as function of x locations
This is the phase space model

Using phase space model

Record unknown signal output signal u

Assume unknown input signal was sine

Fit sine to u : fitted sine is assumed input signal v

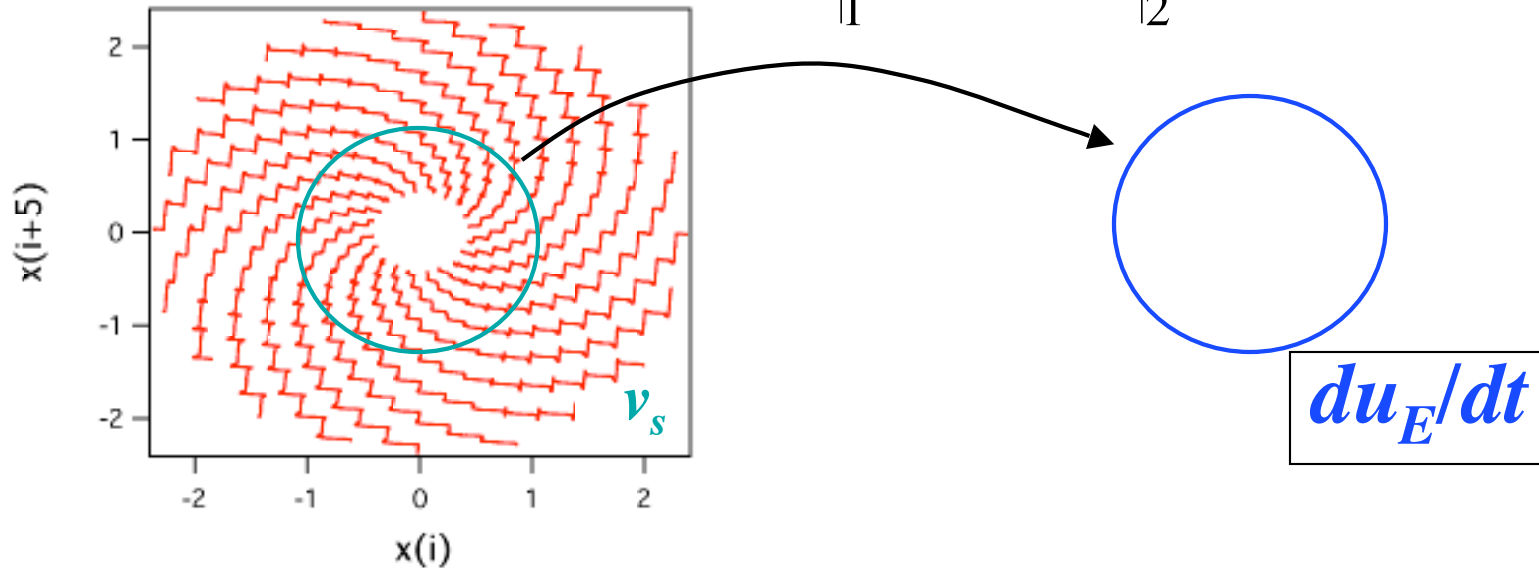


Amplitude of real driving signal not known

Rescale assumed drive signal $v_s = \alpha v$

Use phase space model: estimate unknown du/dt based on v_s

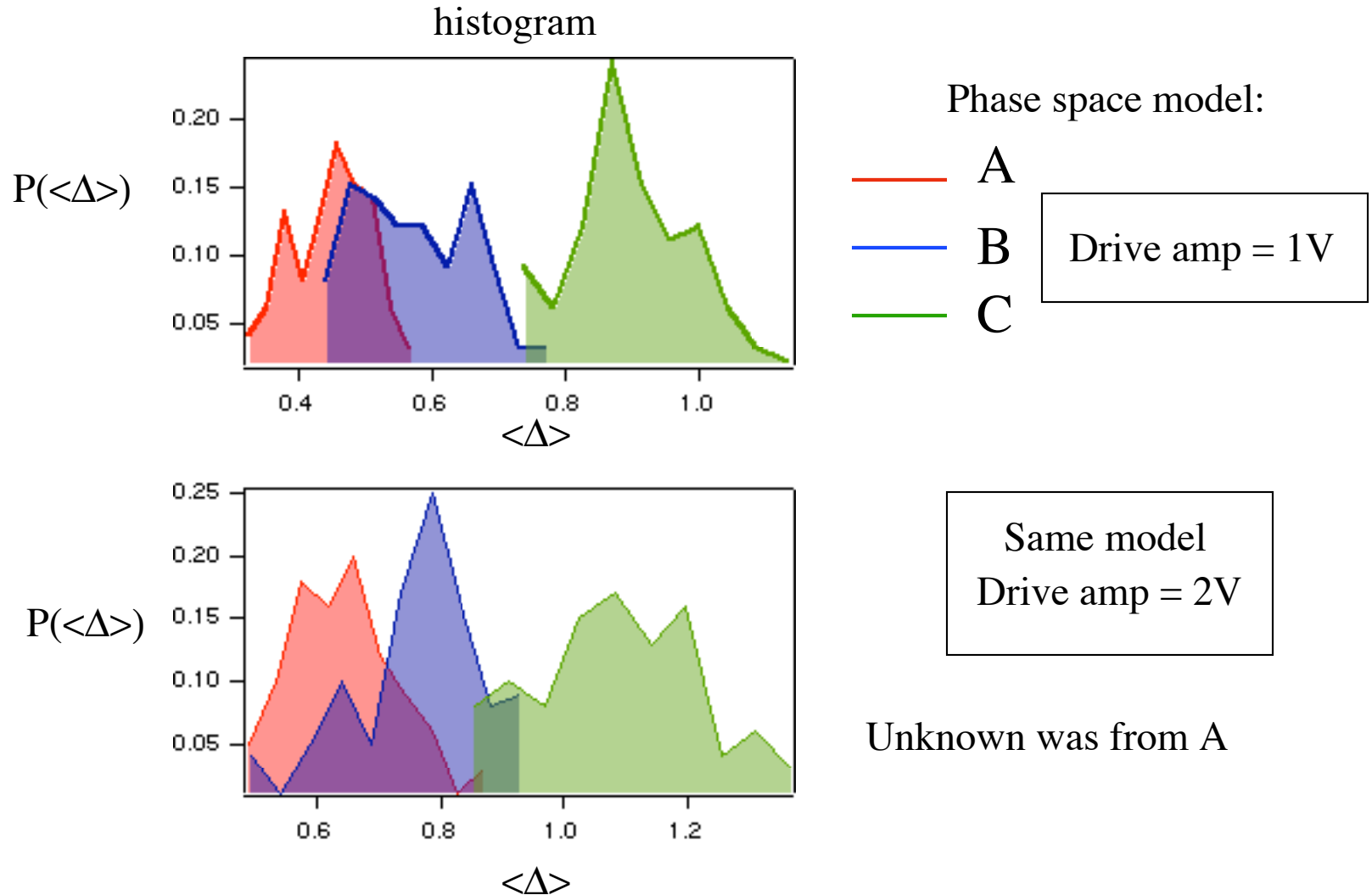
$$\frac{d\vec{u}_E(\vec{v}_s)}{dt} = a_1(\vec{v}_s) + a_2(\vec{v}_s) \left. \frac{d\vec{v}_s}{dt} \right|_1 + a_3(\vec{v}_s) \left. \frac{d\vec{v}_s}{dt} \right|_2 + \dots$$



Find value of α to minimize $\alpha du/dt - du_E/dt = \Delta$

Unknown amplitudes: results- sinusoidal driving

Use phase space model from **A**, **B**, or **C**



Amplifier ID based on phase space methods is possible

- larger nonlinearity- easier to ID

Phase space modeling:

- need better models- should reflect physics of problem
- need adjustable parameters for different amplifiers of same class.