



*The Abdus Salam
International Centre for Theoretical Physics*



1855-12

**School and Workshop on Highly Frustrated Magnets and Strongly
Correlated Systems: From Non-Perturbative Approaches to
Experiments**

30 July - 17 August, 2007

**2D quantum magnetism
and spin liquids**

Grégoire Misguich
*Service de Physique Théorique, CEA
Saclay, France*



The Abdus Salam
International Centre for Theoretical Physics



EUROPEAN
SCIENCE
FOUNDATION



Co-sponsored by:
European Science Foundation

School and Workshop on
Highly Frustrated Magnets and
Strongly Correlated Systems:
From Non-Perturbative Approaches to
Experiments

30 July - 17 August 2007

Miramare-Trieste, Italy



2D quantum magnetism and spin liquids

Grégoire Misguich

Service de Physique Théorique
Commissariat à l'Énergie Atomique (CEA)
Centre d'étude de Saclay, France

www-spht.cea.fr/pisp/misguich

Outline

- What are the possible ground-states of 2D Heisenberg models, when magnetic long-range order has been destroyed by the zero-point fluctuations ?
- Propose/discuss 3 three definitions of “spin liquids”:
 - A spin liquid is a state without mag. long range order
 - A spin liquid is a state without any spontaneously broken symmetry
 - A spin liquid is a state which sustains spin- $\frac{1}{2}$ excitations (spinon)
- Some basic ideas about spinon fractionalization
- Introduce a (fermionic) formalism to discuss some mean-field theories for spin liquids, and investigate fluctuations effects (gauge fields, confinement/deconfinement, etc).

What is specific to $D=2$?

□ $D=1$: Mermin-Wagner \Rightarrow no magnetic LRO.

Powerful results and methods. LSM theorem: a spin chain is either i) gapped and ordered, or ii) critical. Bethe Ansatz. Bosonization. Tomonaga-Luttinger liquids. Conformal field theory. DMRG.

□ $D \geq 3$: Spin liquids are theoretically possible (and interesting!), but a priori more difficult to find in real systems, mostly because Néel ordered states are more stable in higher dimensions.

□ $D=2$: Many phases are possible: different kinds of ordered states, different kinds of **spin liquids** (gapped & gapless). There is no *unique* method which is efficient to attack *all* problems/models.

Which spin models are we talking about ?

- T=0
- Spin-1/2
- SU(2) symmetric models: Heisenberg, competing interactions (J_1 - J_2), ring exchange and other multiple-spin interactions.

$$H = J_1 \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j + J_2 \sum_{\langle\langle ij \rangle\rangle} \vec{S}_i \cdot \vec{S}_j + \dots$$

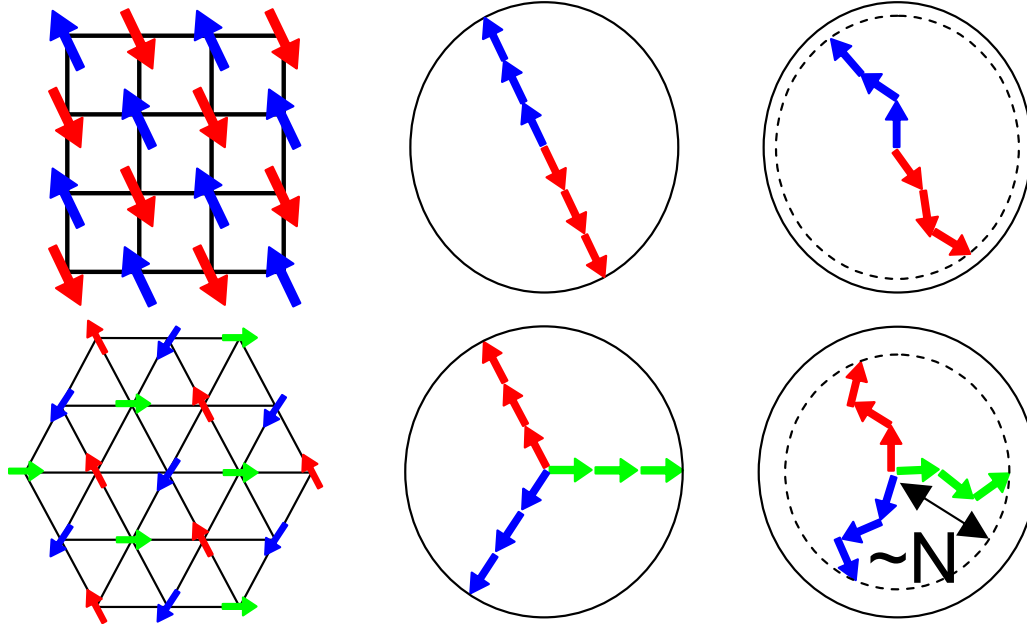
$$H = J_1 \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j + K \sum_{\langle ijkl \rangle} (P_{ijkl} + H.c.) + \dots$$

“Moderate” quantum fluctuations \Rightarrow Néel states

$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j$$

Classical

Quantum



- The lattice breaks up in sub-lattices
- Spontaneously broken SU(2) symmetry

Goldstone theorem

\Rightarrow Gapless spin waves ($\Delta S^z=1$)

- The classical ground-state is “dressed” by **zero-point fluctuations**. But each sub-lattice keeps an **extensive magnetization**

- Possible description using a “1/S” expansion

Anderson, PR 1953

Bernu *et al.*, [PRL 1992](#), [PRB 1994](#)

Lhuillier, [cond-mat/0502464](#)

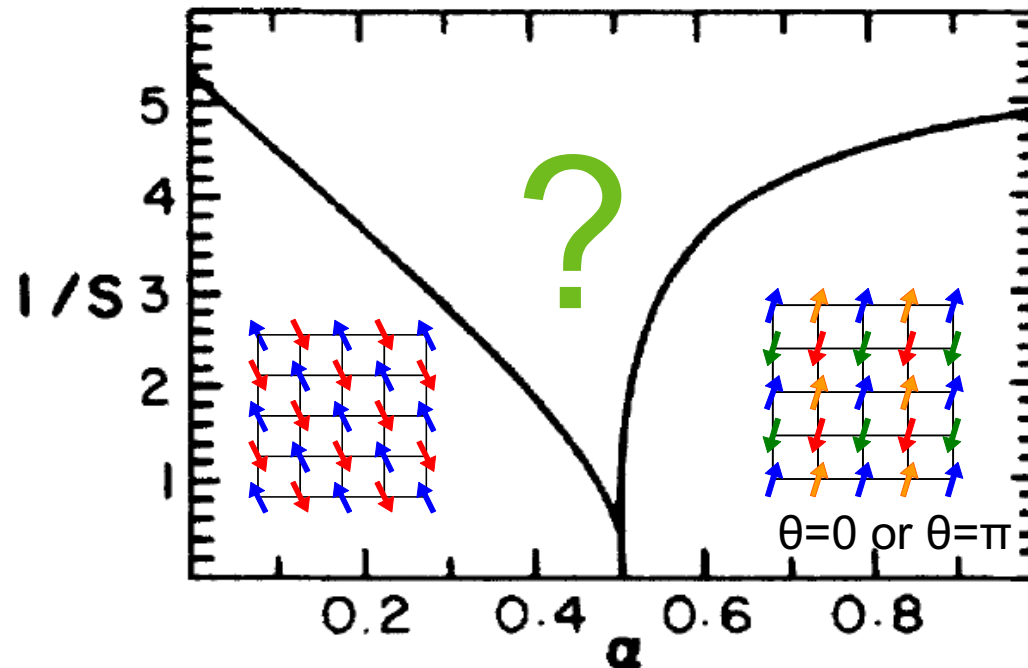
What happens if quantum fluctuations are strong enough to destroy the magnetic order ?

Mechanisms to destroy the mag. long range order

- Small spin S
- High density of low-energy classical modes:
 - Low space dimension
 - Low coordination
 - Frustration
 - Big (continuous) rotation symmetry group ($SU(2)$, $U(1)$, $U(N)$, $Sp(2N)$).

Spin wave theory for the J_1 - J_2 model
Chandra & Douçot, Phys. Rev. B **38**, 9335 (1988)

$$H = \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j + \alpha \sum_{\langle\langle ij \rangle\rangle} \vec{S}_i \cdot \vec{S}_j$$



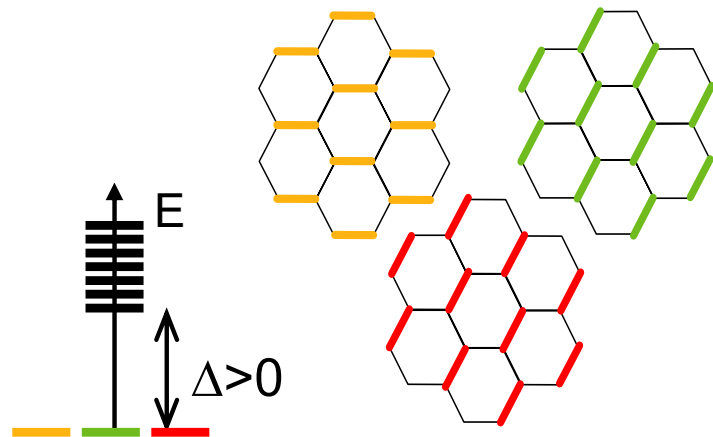
A spin liquid is a state without magnetic long-range order

- More precisely, the structure factor $S(\mathbf{q})$ never diverges, whatever \mathbf{q} .

$$\begin{aligned} S(\mathbf{q}) &= \frac{1}{N} \langle 0 | \left| \sum_i \vec{S}_i \exp(i\mathbf{q} \cdot \mathbf{r}_i) \right|^2 | 0 \rangle \\ &= \frac{1}{N} \sum_{ij} \langle 0 | \vec{S}_i \cdot \vec{S}_j | 0 \rangle \exp(i\mathbf{q} \cdot (\mathbf{r}_i - \mathbf{r}_j)) \\ &= \begin{cases} \approx O(1) \forall \mathbf{q} \Leftrightarrow \text{short - range mag. order} \\ \exists \mathbf{q}_0 / S(\mathbf{q}_0) \approx O(N) \Leftrightarrow \text{long - range mag. order} \end{cases} \end{aligned}$$

- Can be checked using neutron scattering. But also, μ -SR, NMR, ...
- Mermin-Wagner theorem \Rightarrow *any* 2D Heisenberg model at $T > 0$ is a S.L. according to this def. ☹

Valence-bond crystals



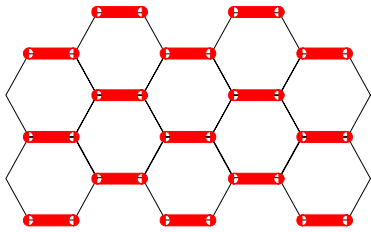
J_1 - J_2 Heisenberg model
(hexagonal lattice)
Fouet *et al.* EPJB 2001

$$\text{---} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) \quad \text{Singlet, total spin } S=0$$

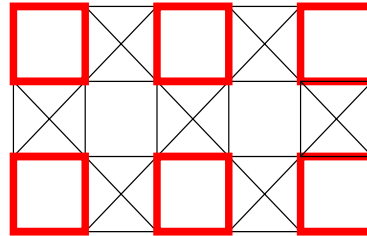
Properties:

- Short-ranged spin-spin correlations
- Spontaneous breakdown of some lattice symmetries
⇒ Ground-state degeneracy
- Gapped $\Delta S=1$ excitations (“magnons” or “triplons”)

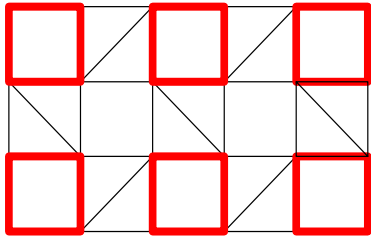
Valence-bond crystals (examples in 2D, from numerical studies)



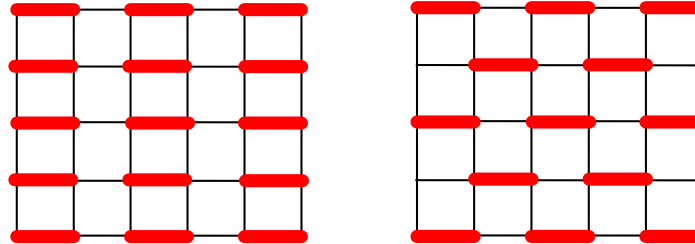
J_1 - J_2 - J_3 model
Fouet *et al.* [EPJB 2001](#)



Fouet *et al.* [PRB 2003](#)

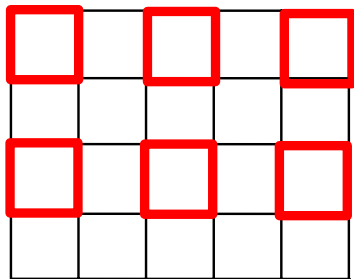


Shastry-Sutherland lattice
Koga & Kawakami, PRL 2000
Läuchli, Wessel & Sigrist [PRB 2002](#)

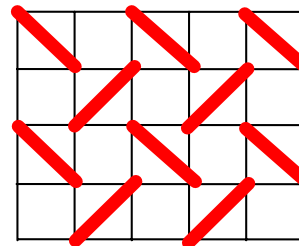


Heisenberg model & 4-spin “ring” exchange
Läuchli *et al.* [PRL 2005](#)

+ others...

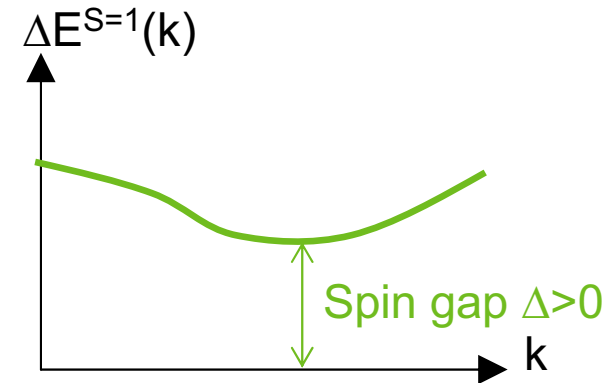
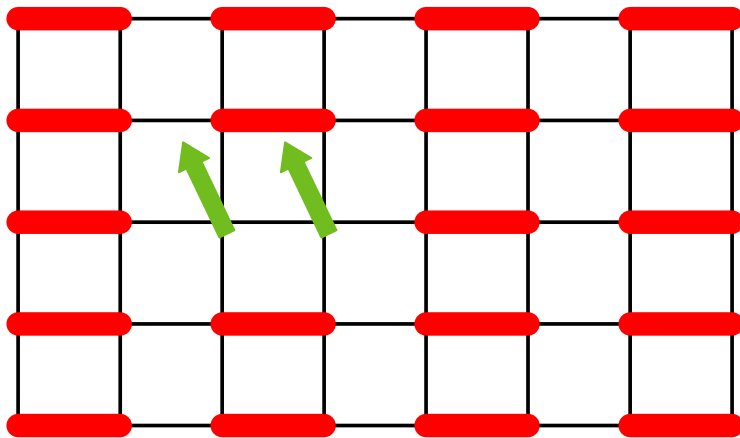


J_1 - J_2 - J_3 model
Mambrini *et al.*, [cond-mat/0606776](#)

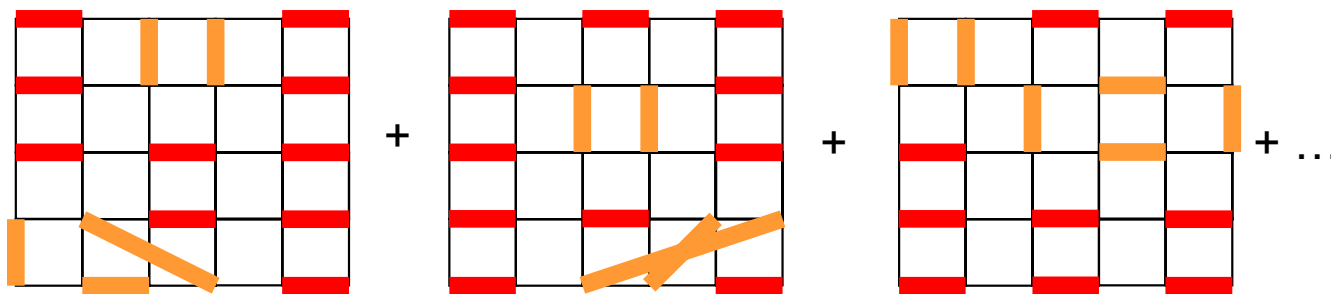


Gellé *et al.* [arxiv:0704.2352](#)
(\Rightarrow tutorial)

Magnetic excitations in a valence-bond crystal



A simple (tensor) product of singlet is usually not an exact eigenstate for realistic Hamiltonians. The true VBC ground-state is a regular singlet arrangement « dressed » by **fluctuations** :



Remark: Comparing a typical v.-bond configuration with the appropriate “parent” columnar state, one gets a collection of *small loops* (length of order one).

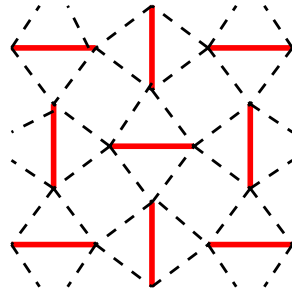
A spin liquid is a state without any spontaneously broken symmetry

- This def. excludes Néel ordered states, which break the $SU(2)$ sym. (also spin nematics)
- This def. excludes valence-bond crystals, which break some lattice sym.

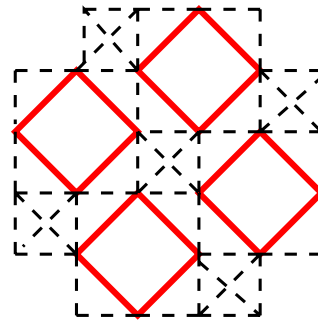
Quantum paramagnets

- Some magnetic insulators without any broken sym.

$$\text{---} = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) \quad S=0 \text{ spin singlet, or dimer}$$

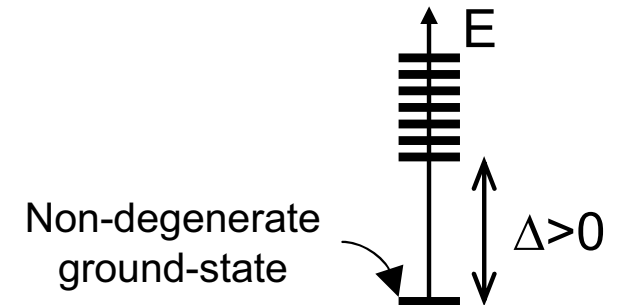


$\text{SrCu}_2(\text{BO}_3)_2$ Kageyama *et al.* (1999)



CaV_4O_9 Taniguchi *et al.* J. Phys. Soc. Jpn (1995)

$\Delta \approx 100 \text{ K}$ - 1st 2D spin-gap system



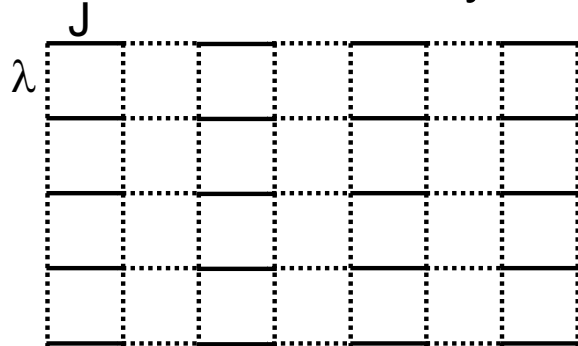
Other examples: coupled dimer systems: TlCuCl_3 , etc.

Properties:

- Even number of spin- $\frac{1}{2}$ in the crystal unit cell
- No broken symmetry
- Adiabatically connected to the (trivial) limit of *decoupled* blocks
- No phase transition between $T=0$ and $T=\infty$
 - \Rightarrow “simple” quantum paramagnet at $T=0$

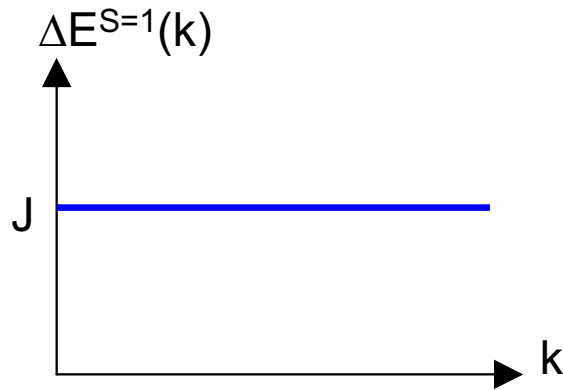
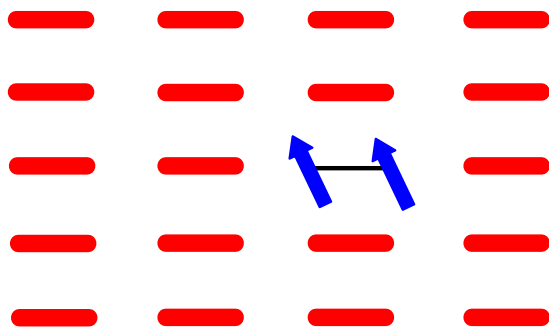
Quantum paramagnets – perturbation theory

Perturbation theory about a decoupled limit

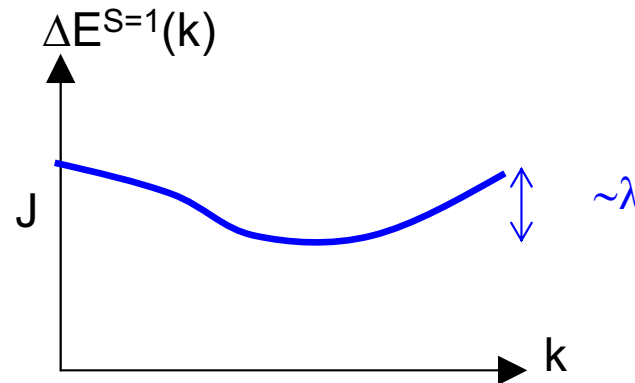
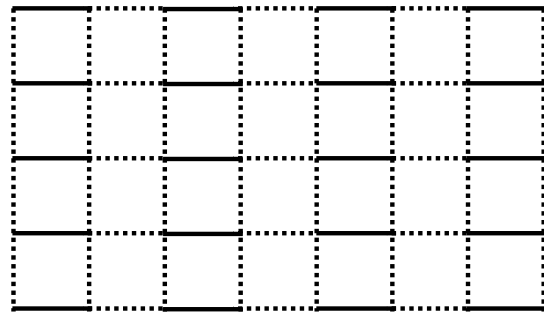


$$\text{red line} = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

$\lambda=0$



$\lambda \ll J$



Exercise:
compute $\Delta E(k)$ to first order in λ for a two-leg ladder. What about a three-leg ladder?



Quantum paramagnets – magnetic excitations in TlCuCl_3

PHYSICAL REVIEW B, VOLUME 63, 172414

Magnetic excitations in the quantum spin system TlCuCl_3

N. Cavadini,¹ G. Heigold,¹ W. Henggeler,¹ A. Furrer,¹ H.-U. Güdel,² K. Krämer,²
and H. Mutka³

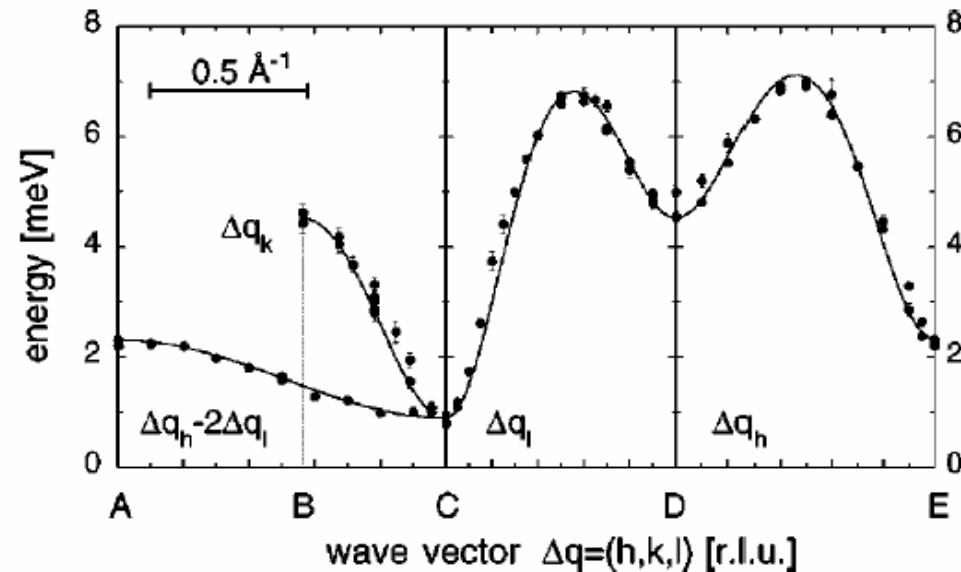
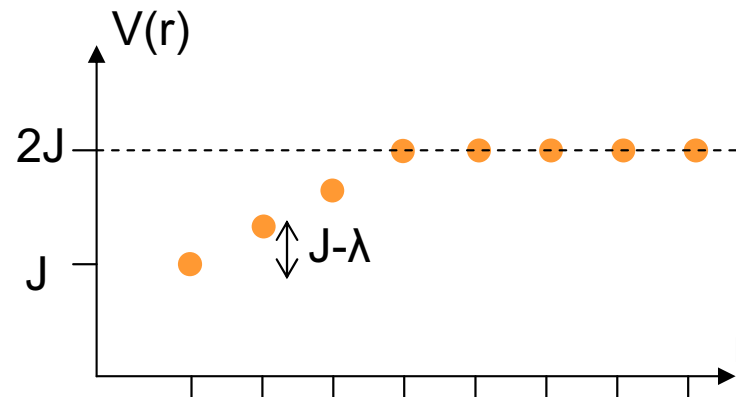
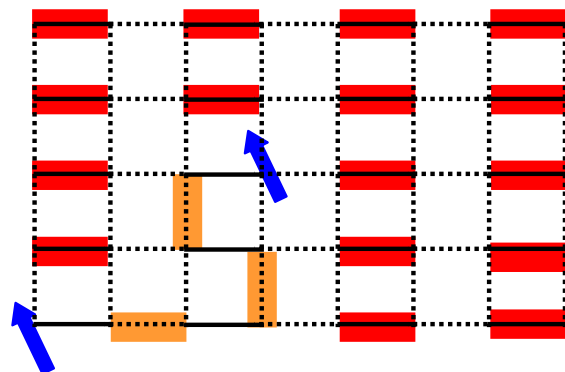
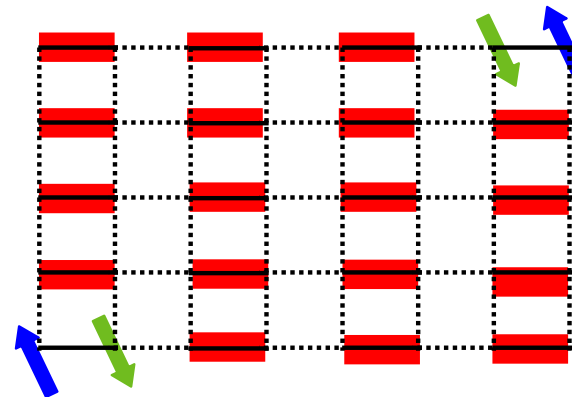
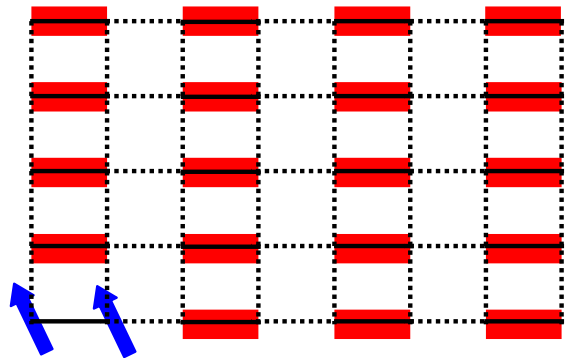
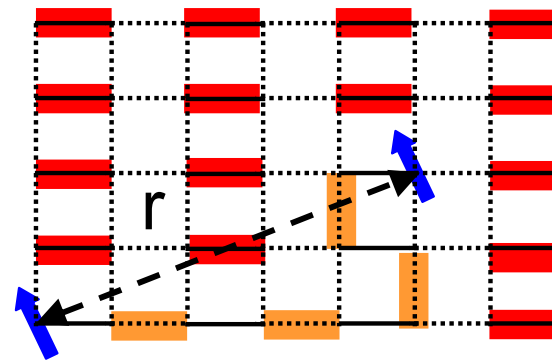
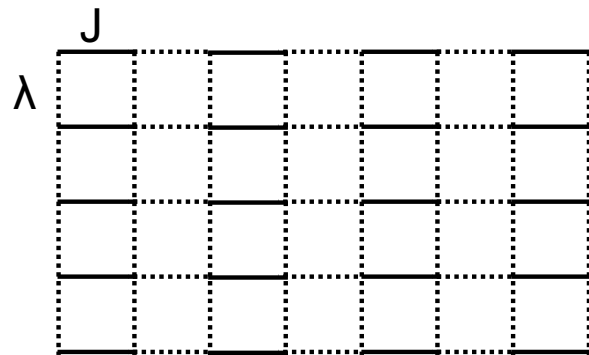


FIG. 2. Observed energy dispersion of the magnetic excitation modes in TlCuCl_3 at $T=1.5$ K. Full circles from the relevant directions of reciprocal space are arranged in a reduced scheme representation, with $A=E=(1/2,0,0)$, $B=(0,1,0)$, $D(0,0,0)$ [r.l.u.]. Zone centering corresponds to $C=(0,0,1)$ for $\Delta q=(h,0,l)$, $C=(0,0,0)$ for $\Delta q=(0,k,0)$. Lines are fits to the model expectations explained in the text with the parameters reported in Table I.

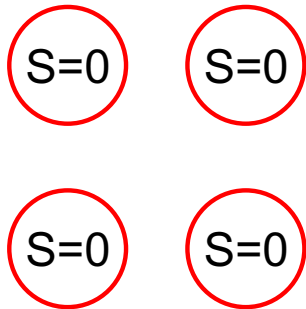
Quantum paramagnets (and VBC) are *not* fractionalized

Sachdev & Vojta, [cond-mat/0009202](https://arxiv.org/abs/cond-mat/0009202)



Remark: the same picture applies to valence-bond crystals.

VBC, paramagnets, and what else ?



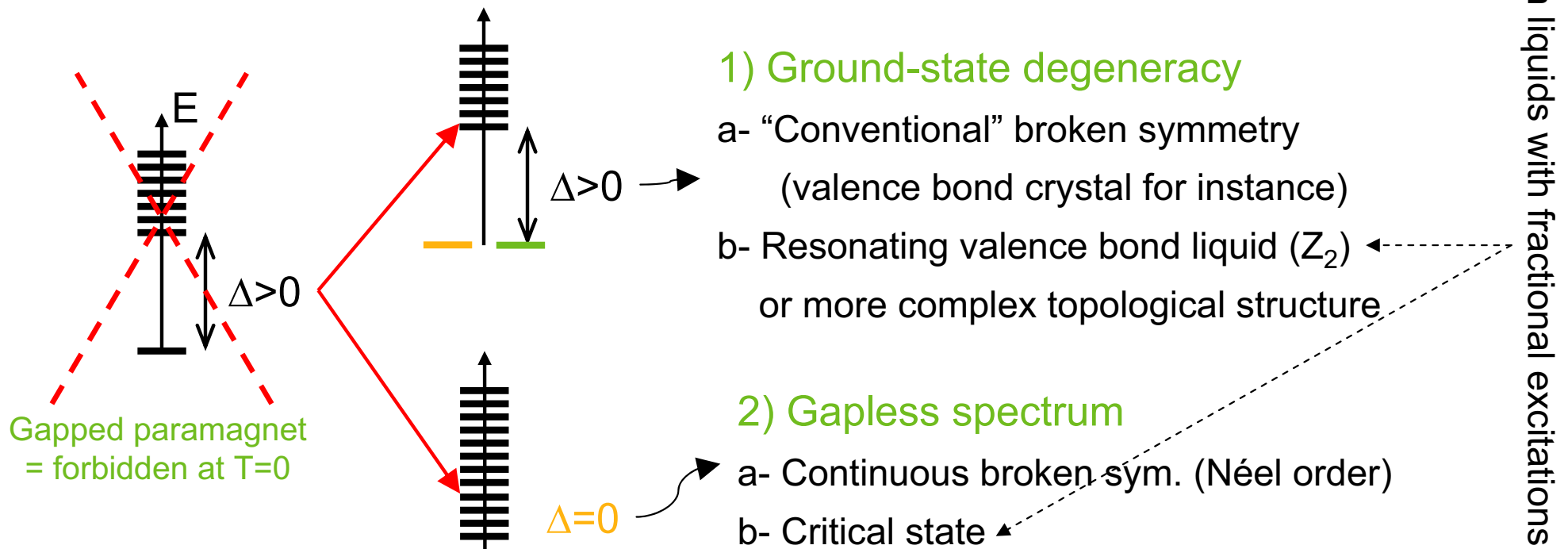
- In a quantum paramagnet, the unit cell contains an **even** number of spin- $\frac{1}{2}$
- In a VBC, the unit cell is spontaneously enlarged to enclose a **even** number of spin- $\frac{1}{2}$
- Are there other types of wave-functions with short-range spin-spin correlations ?
(with just *one* spin $\frac{1}{2}$ per unit cell in particular?)

Lieb-Schultz-Mattis-Hastings theorem

□ Lieb-Schultz-Mattis (D=1: [1961](#)) Hastings (D>1: Phys. Rev. B 2004; Europhys. Lett. 2005)

[See also: Affleck 1988; Bonesteel 1989; Oshikawa 2000; GM *et al.* 2002]

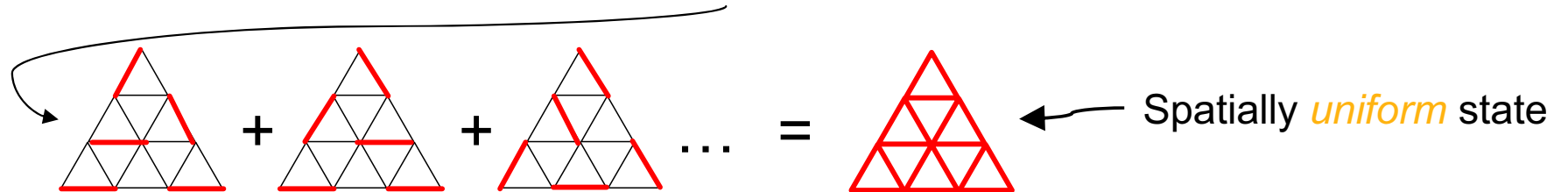
“A system with a *half-odd-integer spin in the unit cell*
 (+ periodic boundary conditions, + dimensions $L_1 \times L_2 \times \dots \times L_D$ with $L_2 \times \dots \times L_D = \text{odd}$)
 cannot have a gap and a unique ground-state
 (in the thermodynamic limit). ”



Short-range RVB picture

- P. W. Anderson's idea (1973) : (short-ranged) **resonating valence-bond** (RVB)

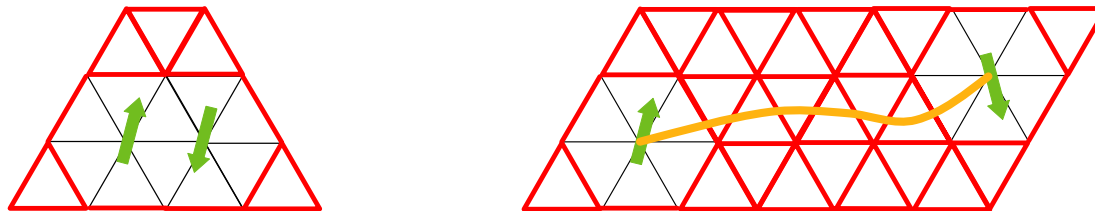
Linear superposition of many (exponential) low-energy short-range valence-bond configurations



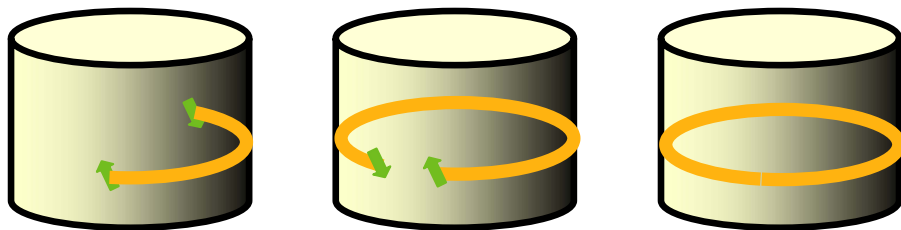
- Spin- $\frac{1}{2}$ excitations ?

VBC \Rightarrow linear potential between spinons

no dimer order \Rightarrow we *may expect* deconfined spinons



- Topological degeneracy & spinon fractionalization



adiabatic process \rightarrow New ground-state

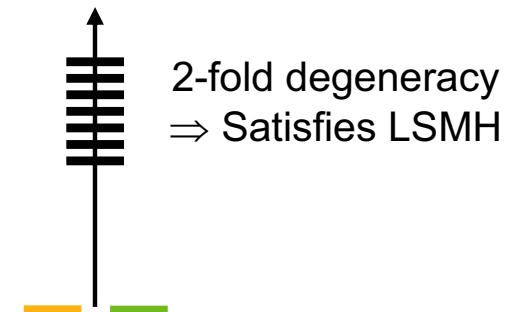
Topological degeneracy

(X. G. Wen 1991) \leftrightarrow

fractionalization

See also Oshikawa & Senthil

[PRL 96, 060601 \(2006\)](#)



2-fold degeneracy
 \Rightarrow Satisfies LSMH

Definition 3:

A spin liquid is a state which sustains fractional (spin- $\frac{1}{2}$) excitations

□ What is **fractionalization** ?

□ Existence of (finite energy) excitations with quantum number(s) which are fraction of the elementary degrees of freedom.

Most famous example: charges $q=e/3$ in the fractional quantum hall effect.

□ In magnetic systems:

A spinon is a neutral spin- $\frac{1}{2}$ excitation, “one half” of a $\Delta S^z=1$ spin flip.

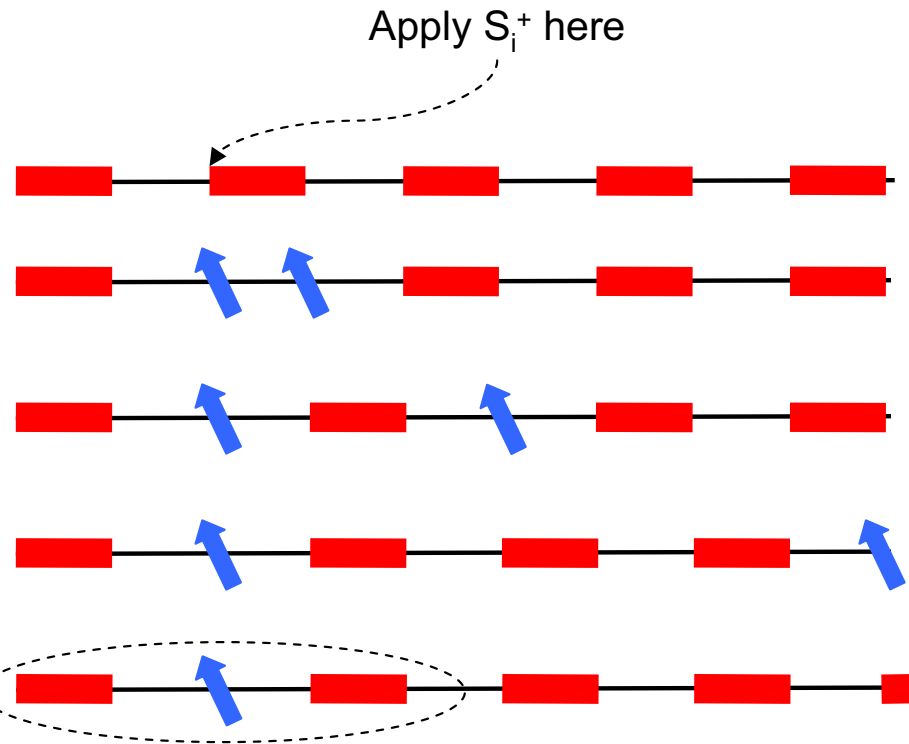
(it has the same spin as an electron, but it has no *charge*)

□ Spinons can only be created by pairs in finite systems (combining S^+ and S^- operators can only change S^z by some integer) The question is to understand if they then can propagate at large distances from each other, as two elementary particles.

What is a fractional excitation ? (very) simple example in 1D

□ Majumdar-Gosh chain $H = \sum_i \vec{S}_i \cdot \vec{S}_{i+1} + \frac{1}{2} \sum_j \vec{S}_j \cdot \vec{S}_{j+2}$

The initial $S^z=+1$ excitation can *decay* into *two* spatially separated spin- $1/2$ excitations (spinons)



Finite-energy state with an *isolated* spinon (the other is far apart)

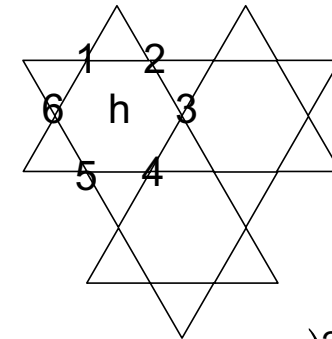
A few examples of fractionalized systems in $D > 1$

□ Easy-axis kagome model

Balents, Fisher & Girvin, [Phys. Rev. B **65**, 224412 \(2002\)](#)

Sheng & Balents, [Phys. Rev. Lett. **94**, 146805 \(2005\)](#)

$$H = J_z \sum_{h \text{ hexagon}} (S_{h1}^z + \dots + S_{h6}^z)^2$$
$$+ J_{\perp} \sum_{h \text{ hexagon}} \left((S_{h1}^x + \dots + S_{h6}^x)^2 + (S_{h1}^y + \dots + S_{h6}^y)^2 \right)$$
$$J_{\perp} \ll J_z$$



□ Kitaev's "toric code" model \Rightarrow tutorial

□ SU(2) symmetric spin models

GM *et al.*, [1999](#)

Raman-Moessner-Sondhi, [Phys. Rev. B **72**, 064413 \(2005\)](#)

□ Experiments ? Some candidates:

□ Cs_2CuCl_4 [Anisotropic $S=1/2$ triangular lattice, Coldea *et al.* [2003](#)]

□ $\kappa\text{-(BEDT-TTF)}_2\text{Cu}_2(\text{CN})_3$ [Shimizu *et al.* [2003](#)]

□ NiGa_2S_4 [Spin-1 on a triangular lattice, Nakatsuji *et al.*, [2005](#)]

□ $\text{ZnCu}_3(\text{OH})_6\text{Cl}_2$ [Helton *et al.* [2007](#), Mendels *et al.* [2007](#), Ofer *et al.* [2007](#), Imai *et al.* [2007](#)]

□ $\text{Na}_4\text{Ir}_3\text{O}_8$ [3D lattice of corner sharing triangles, "hyper kagome", Okamoto *et al.* [2007](#)]

□ He^3 films [Nuclear magnetism on a triangular lattice, Masutomi *et al.* [2004](#)]

How to detect deconfined spinons ?

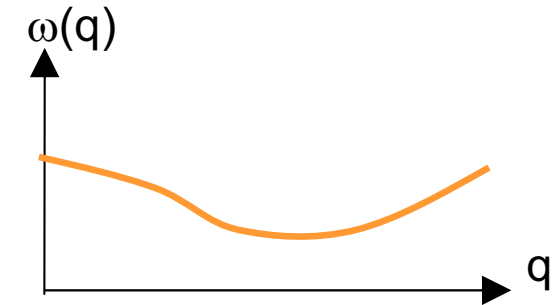
- ❑ Neutron scattering
- ❑ Non magnetic impurities \Rightarrow tutorial

Inelastic neutron scattering – spinon continuum

Inelastic neutron scattering : probe for the dynamical structure factor $S(\mathbf{q}, \omega)$.

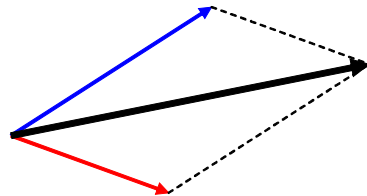
$$S(\mathbf{q}, \omega) = \int dt \langle 0 | S_{-\mathbf{q}}^-(t) S_{\mathbf{q}}^+(0) | 0 \rangle e^{-i\omega t}$$

- If the elementary excitations are spin-1 magnons : $S(\mathbf{q}, \omega)$ has single-particle **pole** at $\omega = \omega(\mathbf{q})$



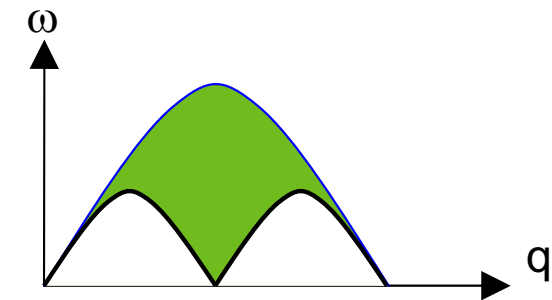
- If the spin flip decays into two spin- $1/2$ excitations $S(\mathbf{q}, \omega)$ exhibits a two-particle **continuum**

$\mathbf{q}_1, \omega(\mathbf{q}_1), S=1/2$

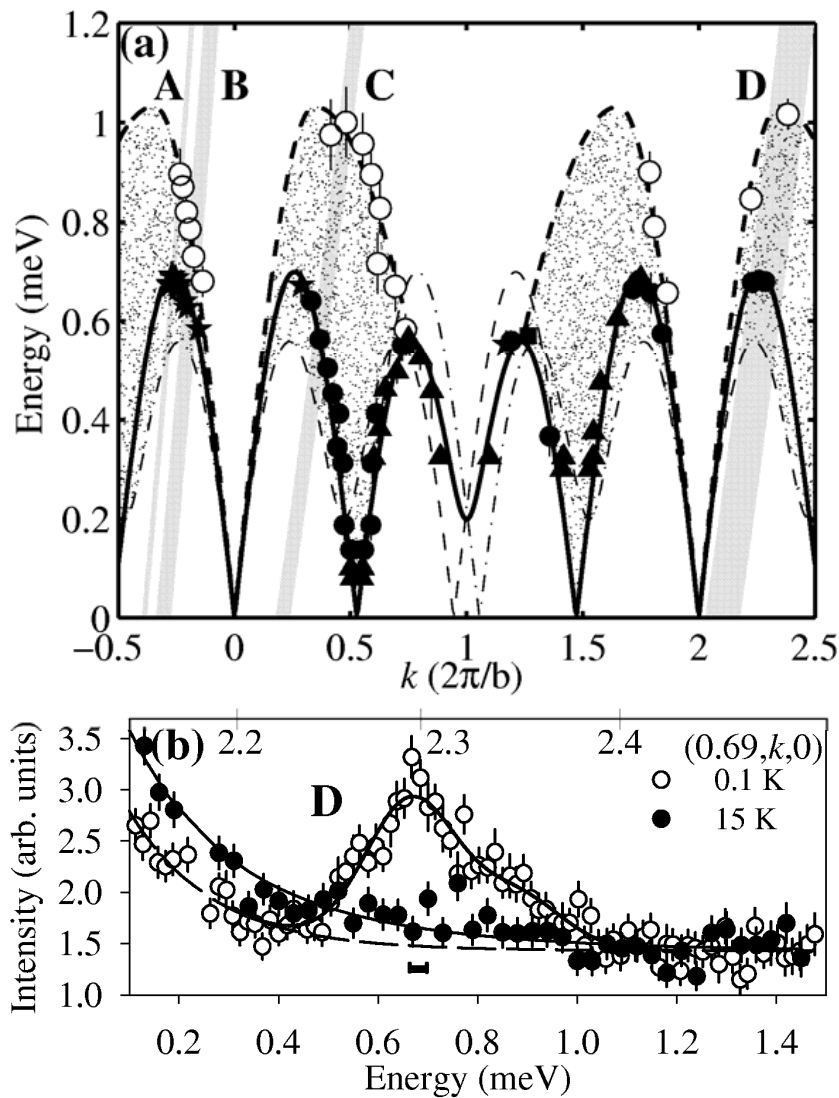


$\mathbf{q} = \mathbf{q}_1 + \mathbf{q}_2$
 $\omega = \omega(\mathbf{q}_1) + \omega(\mathbf{q}_2)$
 $S = 0 \text{ or } 1$

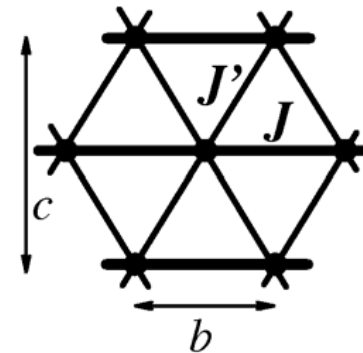
$\mathbf{q}_2, \omega(\mathbf{q}_2), S=1/2$



Inelastic neutron scattering – spinon continuum



Neutron scattering on Cs_2CuCl_4
R. Coldea *et al.* (2000)



Formalisms and methods to investigate fractionalized spin liquids ?

- ❑ Numerics (exact diag., quantum Monte-Carlo, ...)
- ❑ Effective models (dimer models)
- ❑ Large-N/slave particle approaches:
 - Bosonic mean-field (Schwinger bosons) + fluctuation effects
 - Fermionic mean-field (Abrikosov fermions) + fluctuation effectsSee Lee, Nagaosa & Wen, [Rev. Mod. Phys. 78, 17 \(2006\)](#)

Fermionic representation of a spin-1/2

$$S^z = \frac{1}{2} (c_{\uparrow}^{\dagger} c_{\uparrow} - c_{\downarrow}^{\dagger} c_{\downarrow}) \quad c_{\uparrow}^{\dagger} \text{ (or } c_{\downarrow}^{\dagger}) \text{ changes } S^z \text{ by } +\frac{1}{2} \text{ (}-\frac{1}{2})$$

$$S^+ = c_{\uparrow}^{\dagger} c_{\downarrow} \quad S^- = c_{\downarrow}^{\dagger} c_{\uparrow} \quad \text{creates a "spinon"}$$

$$c_{\uparrow}^{\dagger} c_{\uparrow} + c_{\downarrow}^{\dagger} c_{\downarrow} = 1$$

$$S^a = c_{\mu}^{\dagger} \sigma_{\mu\nu}^a c_{\nu} \quad a = x, y, z \quad \mu, \nu = \uparrow, \downarrow$$

□ Compact notations using a 2x2 matrix

$$\psi_i = \begin{bmatrix} c_{i\uparrow} & c_{i\downarrow} \\ c_{i\uparrow}^{\dagger} & -c_{i\downarrow}^{\dagger} \end{bmatrix}$$

$$S^a = \frac{1}{2} \text{Tr} [\psi_i^{\dagger} \psi_i (\sigma^a)^T]$$

$$\vec{S}_i \cdot \vec{S}_j = \frac{1}{4} \sum_A \text{Tr} [\psi_i^{\dagger} \psi_i (\sigma^A)^T] \text{Tr} [\psi_j^{\dagger} \psi_j (\sigma^A)^T]$$

$$= \frac{1}{8} \text{Tr} [\psi_i \psi_j^{\dagger} \psi_j \psi_i^{\dagger}]$$

Mean-field decoupling

$$\vec{S}_i \cdot \vec{S}_j = \frac{1}{8} \text{Tr}[\psi_i \psi_j^\dagger \psi_j \psi_i^\dagger]$$

$$\psi_i \psi_j^\dagger \psi_j \psi_i^\dagger \rightarrow \langle \psi_i \psi_j^\dagger \rangle \psi_j \psi_i^\dagger + \psi_i \psi_j^\dagger \langle \psi_j \psi_i^\dagger \rangle - \langle \psi_i \psi_j^\dagger \rangle \langle \psi_j \psi_i^\dagger \rangle$$

Mean-field approx.

$$J_{ij} \vec{S}_i \cdot \vec{S}_j \rightarrow \text{Tr} \left[U_{ij}^0 \psi_j \psi_i^\dagger + \psi_i \psi_j^\dagger (U_{ij}^0)^\dagger - U_{ij}^0 U_{ij}^{0\dagger} \right]$$

$$U_{ij}^0 = \frac{J_{ij}}{8} \langle \psi_i \psi_j^\dagger \rangle = \frac{J_{ij}}{8} \begin{bmatrix} \langle c_{i\uparrow} c_{j\uparrow} + c_{i\downarrow} c_{j\downarrow} \rangle & \langle c_{i\uparrow} c_{j\downarrow} - c_{i\downarrow} c_{j\uparrow} \rangle \\ \langle c_{i\downarrow} c_{j\uparrow} - c_{i\uparrow} c_{j\downarrow} \rangle & \langle c_{i\downarrow} c_{j\downarrow} + c_{i\uparrow} c_{j\uparrow} \rangle \end{bmatrix} = \begin{bmatrix} -\chi_{ij}^+ & \eta_{ij} \\ \eta_{ij}^+ & \chi_{ij} \end{bmatrix}$$

↑
Mean-field parameters

$$H_{MF} = \sum_{\langle ij \rangle} \chi_{ij} (c_{i\downarrow}^\dagger c_{j\downarrow} + c_{i\uparrow}^\dagger c_{j\uparrow}) + \eta_{ij} (c_{i\uparrow}^\dagger c_{j\downarrow} - c_{i\downarrow}^\dagger c_{j\uparrow}) + H.c$$

↑
Spinon "hopping"

↑
Spinon "pairing"

Spin rotation symmetry

$V \in \text{SU}(2)$, global spin rotation

$$\psi_i = \begin{bmatrix} c_{i\uparrow} & c_{i\downarrow} \\ c_{i\uparrow}^+ & -c_{i\downarrow}^+ \end{bmatrix} \rightarrow \psi_i V$$

$$\vec{S}_i \cdot \vec{S}_j = \frac{1}{8} \text{Tr}[\psi_i \psi_j^+ \psi_j \psi_i^+] \rightarrow \vec{S}_i \cdot \vec{S}_j$$

$$U_{ij}^0 = \frac{J_{ij}}{8} \langle \psi_i \psi_j^+ \rangle \rightarrow U_{ij}^0$$

$$H_{MF} \rightarrow H_{MF}$$

} rotation invariant

\Rightarrow Mean-field Hamiltonian and its ground-state are rotation invariant (can describe a “spin liquid”)

Beyond mean-field

- ❑ **Is the mean field state *stable* under the inclusions of fluctuations ?**
- ❑ Spinon are deconfined (free fermions!) at the mean-field level, but is this a robust property ? Will the inclusion of fluctuations confine the spinons ?
- ❑ This is usually a difficult question...
 - ❑ If yes: fluctuations are strong, they induce long-range interactions between spinons and this mean-field is not a very useful starting point.
 - ❑ If no (fluctuations do not confine the spinon), the mean-field approximation is a good starting point to describe the spin liquid.
- ❑ One way to address these questions: numerical Gutzwiller projection
Example of recent study on the kagome lattice: Ran, *et al.*, PRL (2007).
- ❑ Other point of view: analyze the qualitative structure of the (potentially important) low-energy mode/fluctuations about the mean-field state. Some important modes for the long-distance physics are *gauge* modes.

Redundancy – gauge transformations

W_i arbitrary $SU(2)$ matrix at each site i :

$$\left. \begin{aligned} \psi_i &\rightarrow W_i \psi_i \\ \vec{S}_r &\rightarrow \vec{S}_r \end{aligned} \right\} \text{local redundancy}$$

$$U_{ij}^0 = \frac{J_{ij}}{8} \langle \psi_i \psi_j^+ \rangle \rightarrow W_i U_{ij}^0 W_j^+$$

$$\psi_i = \begin{bmatrix} c_{i\uparrow} & c_{i\downarrow} \\ c_{i\uparrow}^+ & -c_{i\downarrow}^+ \end{bmatrix}$$

$$S^a = \frac{1}{2} \text{Tr} \left[\psi_i^+ \psi_i (\sigma^a)^T \right]$$

$$\vec{S}_i \cdot \vec{S}_j = \frac{1}{8} \text{Tr} \left[\psi_i \psi_j^+ \psi_j \psi_i^+ \right]$$

Remark: can be extended to *time-dependent* gauge transformations – see the Appendix and Affleck, Zou, Hsu & Anderson, Phys. Rev. B 38, 745 (1988)

- The same physical mean-field state can be represented by many different sets of parameters U^0 (differing from each-other by some gauge-transformation).
- The bond parameters U are a *redundant* way of labeling physical states. This redundancy is unavoidable if we want to use a formalism which include spinon operators.
- **This redundancy has important consequences on the structure of the fluctuation modes around a given mean-field state \Rightarrow gauge modes.**

Projective symmetry group (PSG)

X.-G Wen, Phys. Rev. B 65, 165113 2002

□ Two sets of U_{ij} which differ by a gauge transformation describe the *same* physical spin wave-function.

□ Definition of PSG

T : lattice symmetry

W : gauge transformation

$$U_{ij}^0 \xrightarrow{\text{Lattice sym.}} U_{T(i)T(j)}^0 \xrightarrow{\text{gauge transf.}} W_i U_{T(i)T(j)}^0 W_j^+ = U_{ij}^0$$

$$(T, W) \in \text{PSG}$$

$$\Leftrightarrow W_i U_{T(i)T(j)}^0 W_j^+ = U_{ij}^0$$

□ We have defined the PSG of the *mean-field* state, but the PSG is in fact a universal property of the whole *phase*. Including fluctuations should not affect the PSG (unless an instability or phase transition occurs).

□ Even in the absence of any spontaneously broken symmetry, the PSG is generally non-trivial. It characterizes ‘how’ the lattice symmetries are realized in the wave-functions. Distinct spin-liquid phase can have the same lattice symmetries (they can be completely symmetric for instance), but different PSG. The PSG of a fractionalized phase plays a role analog to that of the symmetry group for usual ordered phases.

Invariant gauge group (IGG) - definition

X.-G Wen, Phys. Rev. B 65, 165113 (2002)

□ The invariant gauge group of a mean-field state is defined as the set of all gauge transformations which leaves the mean-field parameter U^0 invariant.

$$W : i \mapsto W_i \in SU(2)$$

$$W \in \text{IGG}$$

$$\Leftrightarrow W_i U_{ij}^0 W_j^\dagger = U_{ij}^0 \quad \forall ij$$

□ Why is this useful ?

The IGG of a mean-field state is the gauge group associated to the fluctuations around this mean-field state.

Invariant gauge group & and gauge fluctuations

- Consider some mean-field state defined by the bond parameters U_{ij}^0
- Assume, for simplicity, that the associated IGG is $\sim U(1)$ and that its elements can be parameterized in the following way (but the final result is in fact general):

$$W^\theta \in \text{IGG} : W_j^\theta = \underbrace{\exp(i\theta \vec{\sigma} \cdot \vec{n})}_{\text{global, indep of } j}, \quad \theta \in]-\pi, \pi], \quad \vec{n}^2 = 1$$

$$W_i^{\theta} U_{ij}^0 W_j^{\theta+} = U_{ij}^0 \quad \forall ij, \forall \theta \quad (\text{by definition of the IGG})$$

- We will now show that some fluctuations about this mean field state are described by a **U(1) gauge field**.

- Consider the following fluctuations: $\psi_i \psi_j^+ = \underbrace{U_{ij}^0}_{\langle \psi_i \psi_j^+ \rangle} \exp(i \underbrace{A_{ij}}_{\text{fluctuation field}} \vec{\sigma} \cdot \vec{n})$
 Remark: A_{ij} is rotation invariant \Rightarrow describes $S=0$ modes

- Now we perform the following U(1) gauge transformation : $\psi_i \rightarrow \exp(i \underbrace{\theta_i}_{\text{local angle}} \vec{\sigma} \cdot \vec{n}) \psi_i$ and see how the field A_{ij} transforms:

$$\begin{aligned} \psi_i \psi_j^+ &\rightarrow \exp(i\theta_i \vec{\sigma} \cdot \vec{n}) \psi_i \psi_j^+ \exp(-i\theta_j \vec{\sigma} \cdot \vec{n}) \\ &= \exp(i\theta_i \vec{\sigma} \cdot \vec{n}) U_{ij}^0 \exp(iA_{ij} \vec{\sigma} \cdot \vec{n}) \exp(-i\theta_j \vec{\sigma} \cdot \vec{n}) \\ &= U_{ij}^0 \exp[i(A_{ij} + \theta_i - \theta_j) \vec{\sigma} \cdot \vec{n}] \end{aligned}$$

$$\boxed{A_{ij} \rightarrow A_{ij} + \theta_i - \theta_j} \quad \Rightarrow \mathbf{A} \text{ is a gauge field}$$

Fluctuations (about mean-field) and gauge fields

- The IGG gives the gauge structure of the fluctuations about a given mean-field state.
- The associated gauge field(s) may or may not provide gapless excitations, may or may not confine the spinon.
- Non-trivial (non-perturbative) results about gauge theories coupled to matter may be ‘imported’ to discuss the stability/instability of a given mean-field state.
- For instance ($D=2$):
 - Z_2 gauge field + gapped spinons may be in a stable deconfined phase.
=short-range RVB physics, Read & Sachdev PRL [1991](#)
 - $U(1)$ gauge field + gapped spinon: instability
usually toward confinement and VBC, Read & Sachdev PRL [1989](#)
 - $U(1)$ gauge field + Dirac gapless spinons: may be stable
(so-called “algebraic spin liquids”) Hermele, Senthil, Fisher, Lee, Nagaosa, Wen, PRB [2004](#)
- Remark: gauge modes are not the only source of instability: interactions between fermions should also be investigated. The projective symmetry group (PSG) constrains the possible interaction terms.

Summary

- ❑ There are several possible definitions for “spin liquids”
 - ❑ A spin liquid is a state without mag. long range order
 - ❑ A spin liquid is a state without any spontaneously broken symmetry
 - ❑ A spin liquid is a state which sustains spin- $\frac{1}{2}$ excitations (spinon)
- ❑ From the theoretical point of view, the richest structures are found in “fractionalized” spin liquids.
- ❑ Gauge theories are the natural language to describe these fractionalized phases.
- ❑ There are many kinds of fractionalized spin liquids, they are characterized by different gauge groups, and different PSG.
- ❑ In the recent years, many spin models are be shown to be fractionalized.
- ❑ Several compounds have emerged as ‘candidates’ but there is not yet any definitive experimental evidence for a fractionalized spin liquid in $D > 1$.