



**The Abdus Salam
International Centre for Theoretical Physics**



2031-2

**Joint ICTP/IAEA School on Novel Synchrotron Radiation
Applications**

16 - 20 March 2009

Magnetic and resonant x-ray scattering

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Magnetic and resonant x-ray scattering

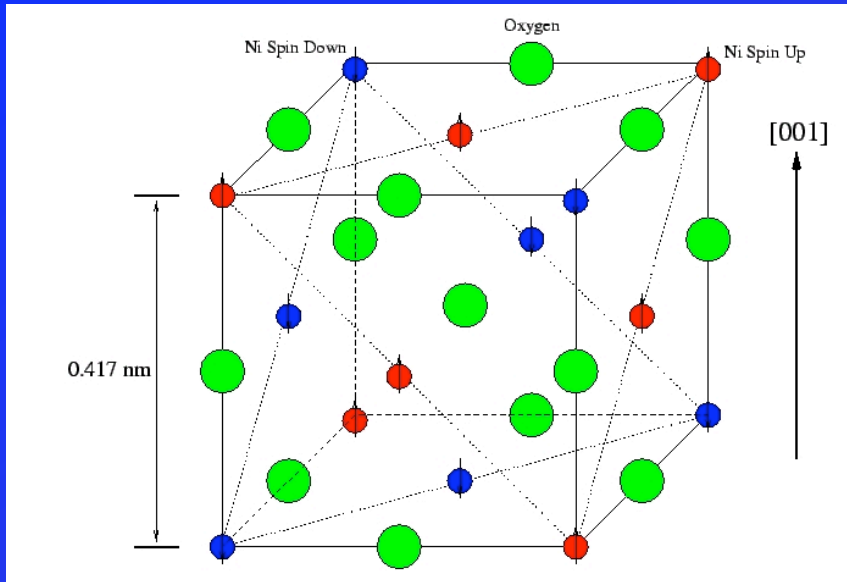
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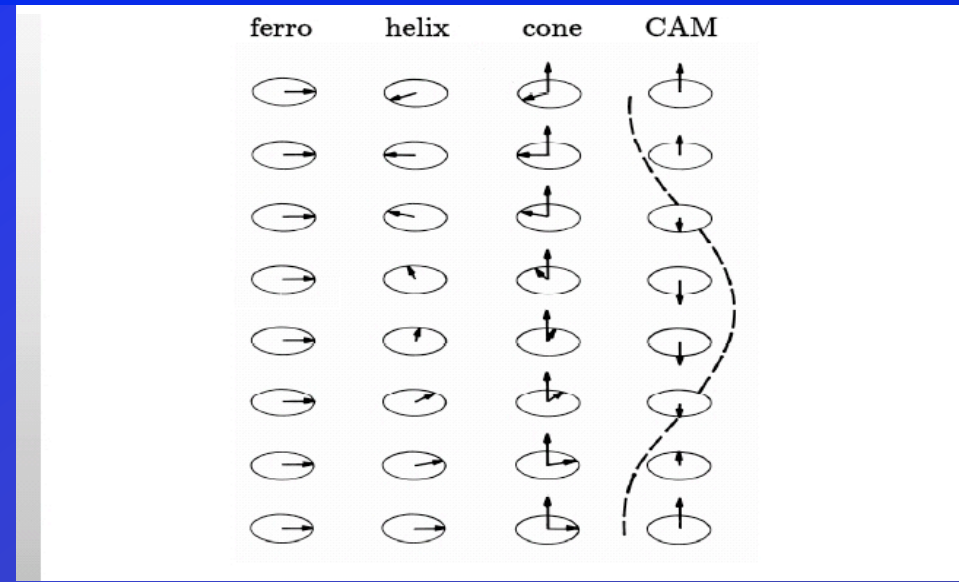
- Interest and a bit of history
- Theoretical outline: non-resonant and resonant scattering
- Some examples

Large variety of magnetic structures

NiO



Rare Earths

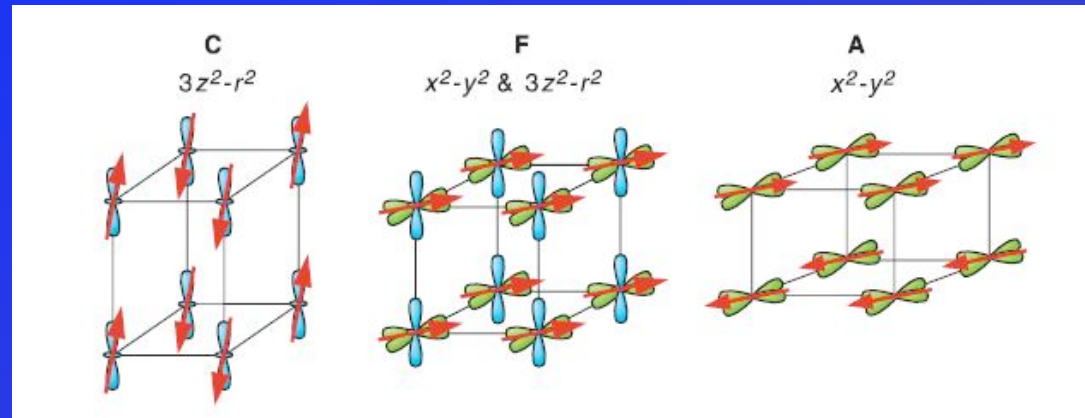


Gd,Tb,Dy Tb,Dy,Ho Ho,Er Er,Tm

Electronic orbital- (and spin-) ordered structures

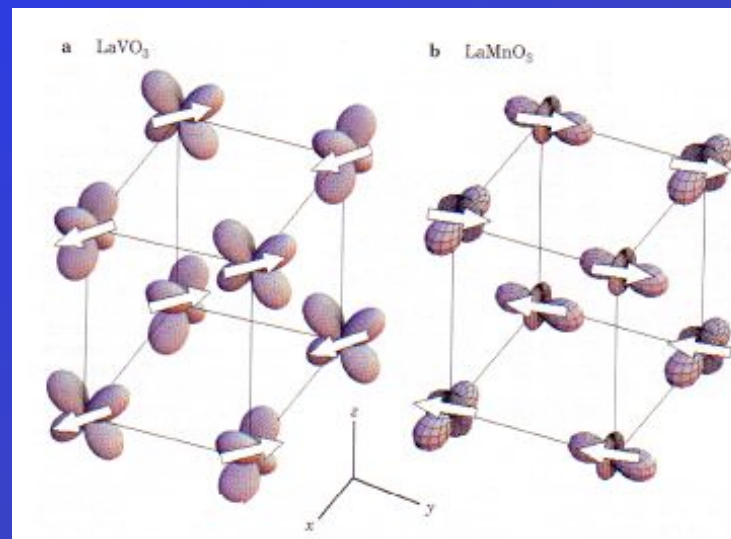
$\text{La}_{0.5}\text{Sr}_{0.5}\text{MnO}_3$
(Coherently strained)

Mn (3d)



LaVO_3

V (3d)



LaMnO_3

Mn (3d)

Determination of magnetic structures

- Standard probe: **neutron scattering**
- However **x-ray scattering** has some advantages:
 - is useful in the case of small samples
 - very *high momentum resolution* (period of incommensurate structures)
 - *element sensitive* (resonant)
 - possibility of *separate determination of spin and orbital contributions* to the magnetic moment (by different polarization dependences, non-resonant)

Orbital structure: determination ?

- Orbital order: is often an experimentally hidden degree of freedom in correlated transition-metal oxides
- *Resonant x-ray scattering: is a promising technique to probe orbital ordering*

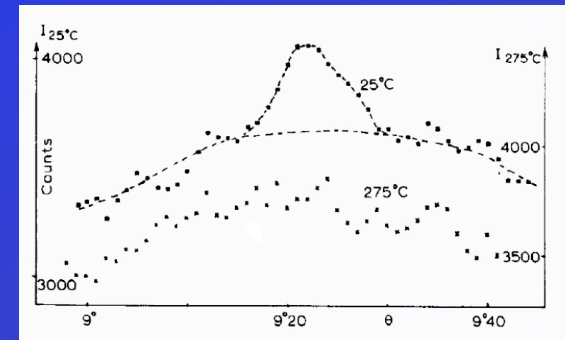
A bit of history

1972) First observation of x-ray magnetic scattering

Antiferromagnetic order in NiO by Bergevin and Brunel,

Phys. Lett. A39, 141 (1972)

Tube source: Counts per 4 hours!

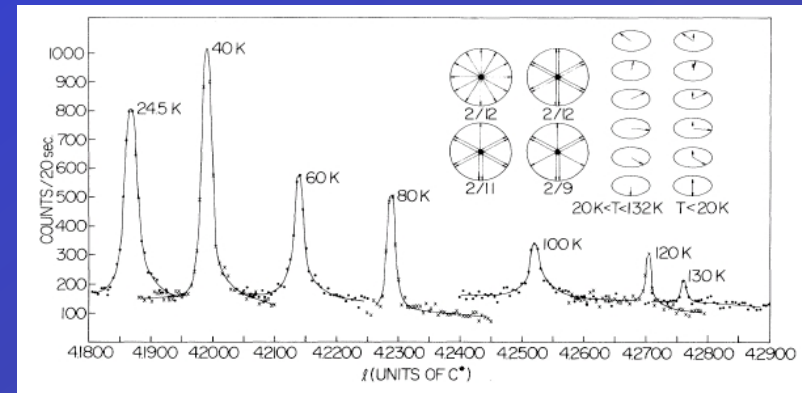


(1985) First Synchrotron radiation studies of magnetism

Magnetic x-ray scattering from Holmium,

Gibbs et al., Phys. Rev. Lett. 55, 234 (1985)

Synchrotron source: Counts per 20s



More history

(1985) Start of the resonant time

Prediction of resonant effect by Bume, J. Appl. Phys. 57, 3615 (1985)

(1985) First resonant scattering from a ferromagnet

X-ray resonant magnetic scattering from Nickel by Namakawa (1985)

(1988) First resonant scattering from an antiferromagnet

*Resonant x-ray scattering from Holmium by Gibbs et al., Phys. Rev. Lett.
61, 1241 (1988)*

*Since then magnetic x-ray scattering evolved from a scientific curiosity to a widely used
technique*

Electromagnetic radiation - electron interaction

- Hamiltonian for electrons in an electromagnetic field (Blume 1985):

Kinetic term modified in the presence of the field

$$\vec{\mu}_j \cdot \vec{B}$$

s_j spin 1/2

$$\vec{\mu}_j = \frac{e\hbar}{mc} \vec{s}_j$$

$$H = \sum_j \frac{1}{2m} \left[\vec{p}_j - \frac{e}{c} \vec{A}(\vec{r}_j) \right]^2 + \sum_{i,j} V(r_{ij}) - \sum_j \frac{e\hbar}{mc} \vec{s}_j \cdot \vec{B}(\vec{r}_j) - \frac{e\hbar}{2(mc)^2} \sum_j \vec{s}_j \cdot \left(\vec{E}(\vec{r}_j) \times \left[\vec{p}_j - \frac{e}{c} \vec{A}(\vec{r}_j) \right] \right) + H_{photon}$$

Spin-orbit term modified in the presence of the field

Hamiltonian of the radiation

With the fields \mathbf{E} and \mathbf{B} deriving from the vector and scalar potential \mathbf{A} and ϕ :

$$\vec{B}(\vec{r}_j) = \vec{\nabla} \times \vec{A}(\vec{r}_j) \quad \text{and} \quad \vec{E}(\vec{r}_j) = -\vec{\nabla}\Phi(\vec{r}_j) - \frac{1}{c} \frac{\partial \vec{A}}{\partial t}(\vec{r}_j), \quad \vec{\nabla} = \left(\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right)$$

- Electromagnetic waves described by the vector potential:

$$\vec{A}(\vec{r}, t) = \sum_{\vec{k}, \lambda} \left(\frac{hc^2}{\Omega \omega_k} \right)^{1/2} [\vec{\epsilon}_\lambda a(\vec{k}, \lambda) e^{i(\vec{k} \cdot \vec{r} - \omega_k t)} + c.c.]$$

$\omega_k = ck$

Normalization box volume Polarization vector $\lambda=1,2$ $(\vec{k} \cdot \vec{\epsilon} = 0)$

Note: in the second quantization formalism, H_{photon} takes the simple form (quantized radiation field):

$$H_{\text{photon}} = \sum_{\vec{k}, \lambda} \hbar \omega_k \left(a^\dagger(\vec{k}, \lambda) a(\vec{k}, \lambda) + 1/2 \right), \quad a^\dagger \text{ (a): photon creation (annihilation) operator}$$

- Developing the Hamiltonian:

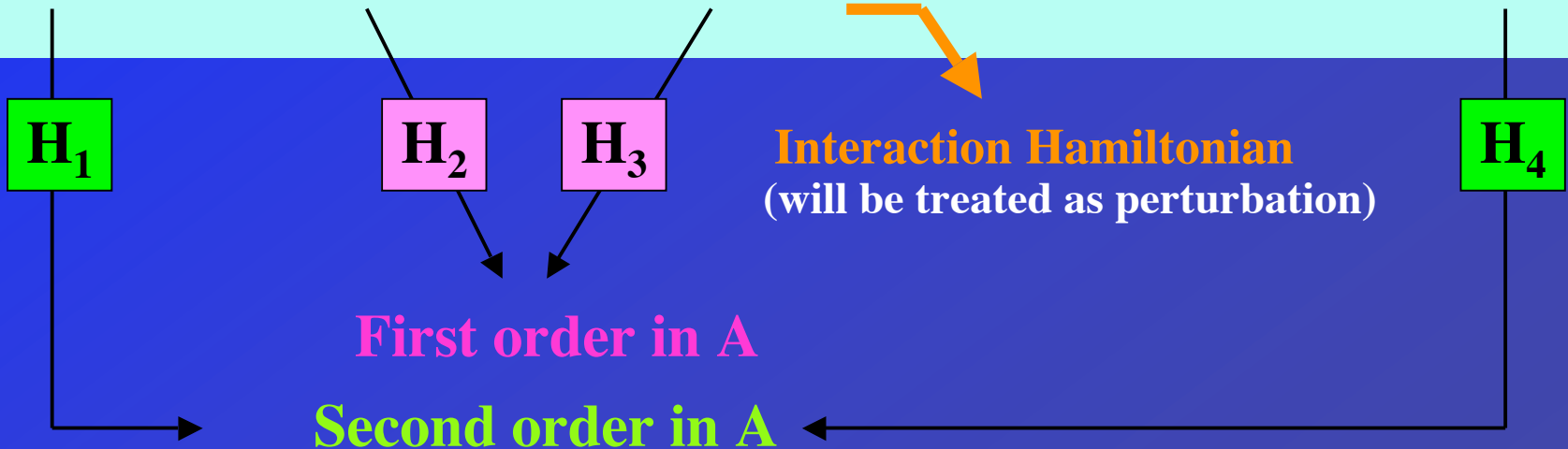
Hamiltonian for the electrons

$$H \approx \sum_j \frac{\vec{p}_j^2}{2m} + \sum_{i,j} V(r_{ij}) - \frac{e\hbar}{2(mc)^2} \sum_j \vec{s}_j \cdot (\vec{\nabla}\Phi_j \times \vec{p}_j)$$

Hamiltonian for the radiation

+ H_{photon}

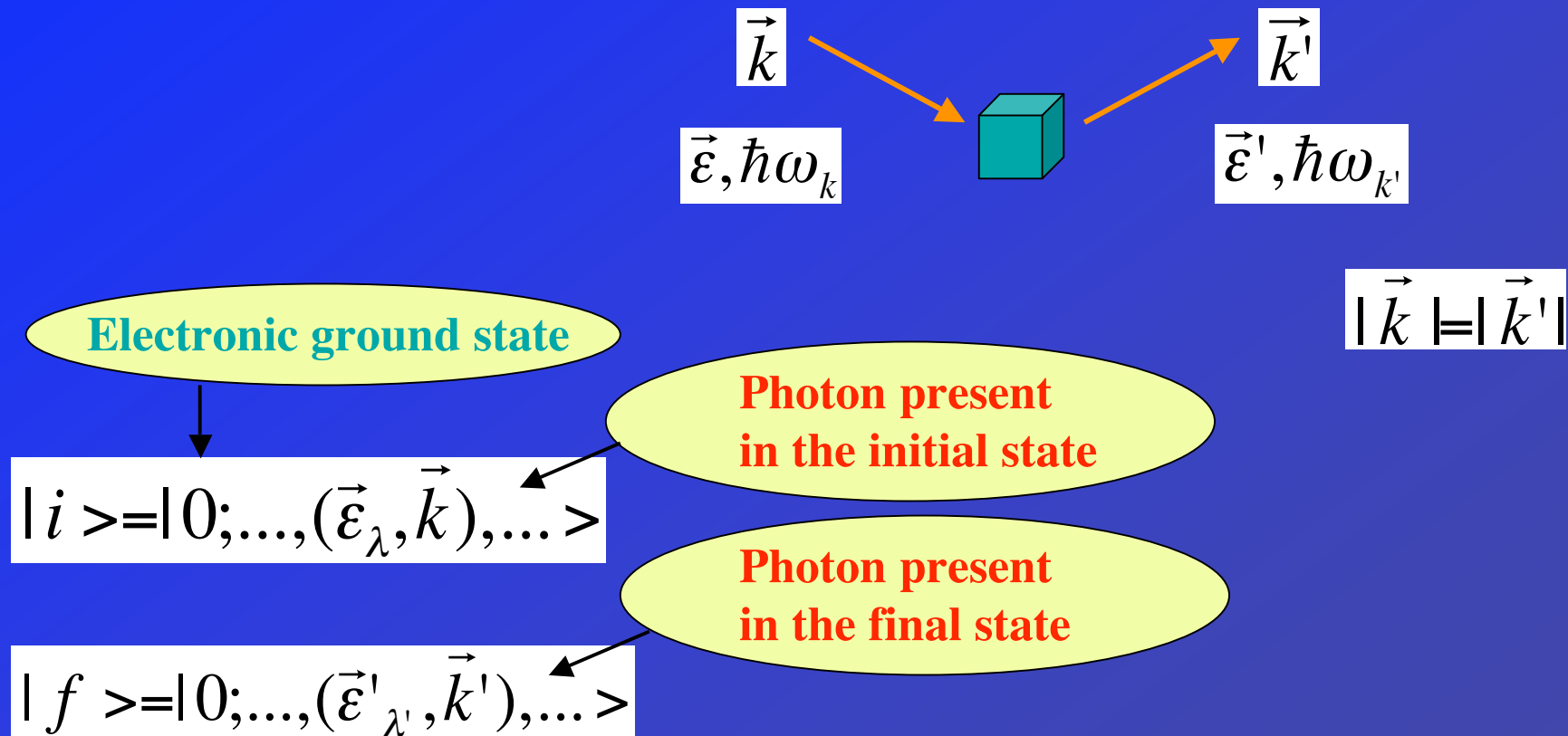
$$+ \frac{e^2}{2mc^2} \sum_j \vec{A}^2(\vec{r}_j) - \frac{e}{mc} \sum_j \vec{A}(\vec{r}_j) \cdot \vec{p}_j - \frac{e\hbar}{mc} \sum_j \vec{s}_j \cdot (\vec{\nabla} \times \vec{A}(\vec{r}_j)) - \frac{e\hbar}{2(mc)^2} \frac{e}{c^2} \sum_j \vec{s}_j \cdot \left(\frac{\partial \vec{A}(\vec{r}_j)}{\partial t} \times \vec{A}(\vec{r}_j) \right)$$



H_3 and H_4 are related to the electron spin (linear dependence)

- We will here focus on elastic scattering

Elastic scattering processes:



- Probability of transition (per unit time) from state $|i\rangle$ [electronic state $|0\rangle$, photon (ϵ, \mathbf{k})] to state $|f\rangle$ [electronic state $|0\rangle$, photon (ϵ', \mathbf{k}')] :

(Fermi's "Golden rule")

Second order in A

First order in A

$$W = \frac{2\pi}{\hbar} \left| \langle f | H_1 + H_4 | i \rangle + \sum_n \frac{\langle f | H_2 + H_3 | n \rangle \langle n | H_2 + H_3 | i \rangle}{E_i - E_n} \right|^2 \delta(E_i - E_f)$$

$$= \frac{2\pi}{\hbar} |F(\vec{k}, \vec{k}', \vec{\epsilon}, \vec{\epsilon}')|^2 \delta(E_i - E_f)$$

F: scattering amplitude

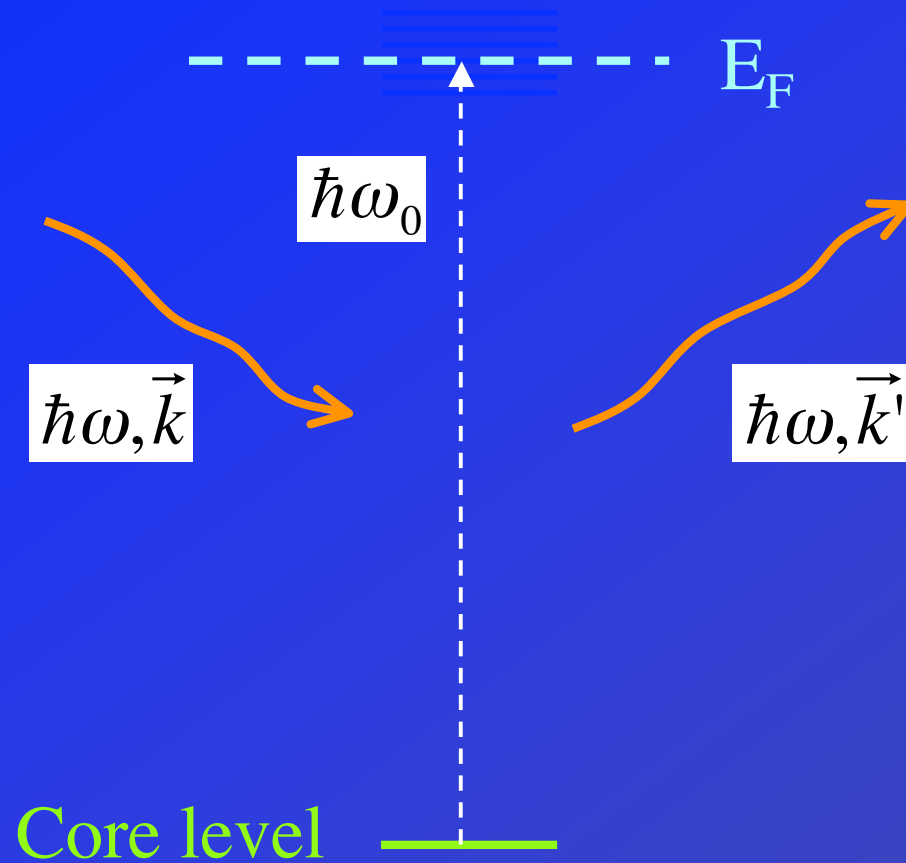
$H_1 \rightarrow$ Charge or Thompson scattering
(crystallography)

$$E_i = E_0 + \hbar\omega_{\vec{k}}$$

A) $\hbar\omega_{\vec{k}} \gg E_n - E_0 \rightarrow$ Non-resonant diffraction

B) $\hbar\omega_{\vec{k}} \approx E_n - E_0 \rightarrow$ Resonant diffraction

Non-resonant and resonant scattering



A) Non resonant:

$$\hbar\omega \gg \hbar\omega_0$$

B) Resonant

$$\hbar\omega \approx \hbar\omega_0$$

Non-resonant and resonant scattering

A) Non-resonant case:

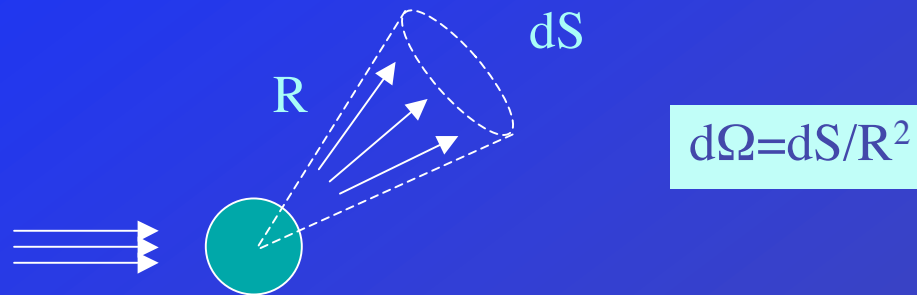
all four H_i contribute

B) Resonant case:

the contribution from $H_2 \sim \sum A(\mathbf{r}_j) \mathbf{p}_j$ dominates

- The quantity used to describe the intensity of the elastic scattering is the differential cross section:

$$\frac{d\sigma}{d\Omega} = \frac{\text{Number of photons per unit time scattered within } d\Omega}{\text{Number of incident photons per unit time per unit surface}}$$



- Elastic scattering cross section for an assembly of N atoms:

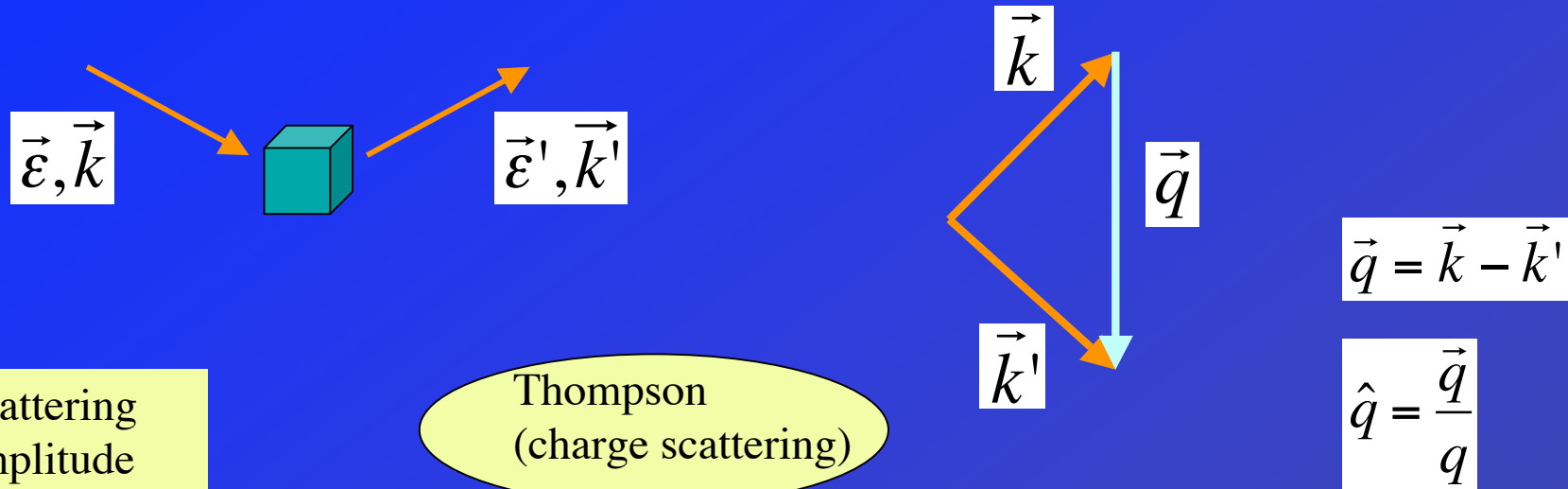
$$\frac{d\sigma}{d\Omega} = \left(\frac{e^2}{mc^2} \right)^2 \left| \sum_N e^{i\vec{q} \cdot \vec{R}_n} F_N(\vec{k}, \vec{k}', \vec{\epsilon}, \vec{\epsilon}') \right|^2,$$

$$\vec{q} = \vec{k} - \vec{k}'$$

Periodic system: $\vec{q} \equiv \vec{G}_{hkl}$

F_N : atomic scattering amplitude

A) Non-resonant scattering amplitude



$$F^{non-res.} \propto \sum_j \langle 0 | e^{i\vec{q} \cdot \vec{r}_j} | 0 \rangle (\vec{\epsilon}'^* \cdot \vec{\epsilon})$$

$$-i \frac{\hbar \omega_k}{mc^2} \left[\frac{mc}{e\hbar} \langle 0 | \hat{q} \times (\vec{M}_L(\vec{q}) \times \hat{q}) | 0 \rangle \cdot \vec{P}_L + \frac{mc}{e\hbar} \langle 0 | \vec{M}_S(\vec{q}) | 0 \rangle \cdot \vec{P}_S \right]$$

Small factor

Fourier transform of **orbital** moment density

Fourier transform of **spin** moment density

A) Non-resonant scattering

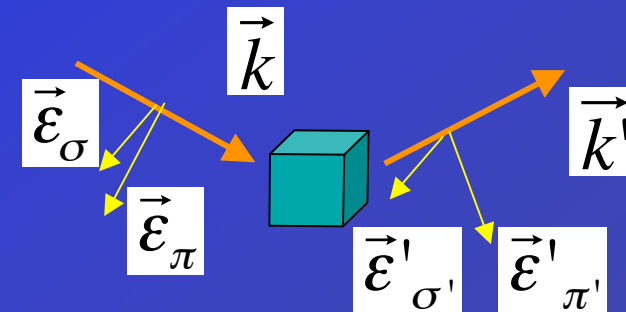
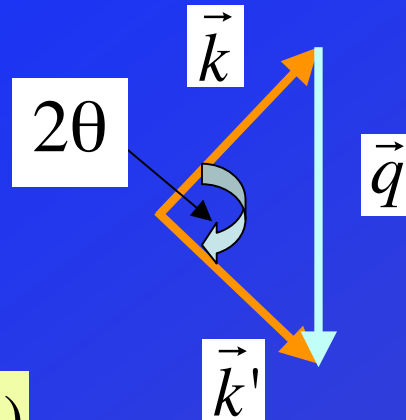
With:

$$\vec{M}_L(\vec{q}) = \sum_j e^{i\vec{q}\cdot\vec{r}_j} \vec{M}_L(\vec{r}_j)$$

$$\vec{M}_S(\vec{q}) = \sum_j e^{i\vec{q}\cdot\vec{r}_j} \vec{s}_j$$

$$\vec{P}_L = (\vec{\varepsilon}'^* \times \vec{\varepsilon}) 4 \sin^2 \theta$$

$$\vec{P}_S = \left[\vec{\varepsilon} \times \vec{\varepsilon}' + (\hat{k}' \times \vec{\varepsilon}'^*)(\hat{k}' \cdot \vec{\varepsilon}) - (\hat{k} \times \vec{\varepsilon})(\hat{k} \cdot \vec{\varepsilon}'^*) - (\hat{k}' \times \vec{\varepsilon}'^*) \times (\hat{k} \times \vec{\varepsilon}) \right]$$



A) Non-resonant scattering

1) Has a small intensity compared to Thompson scattering:

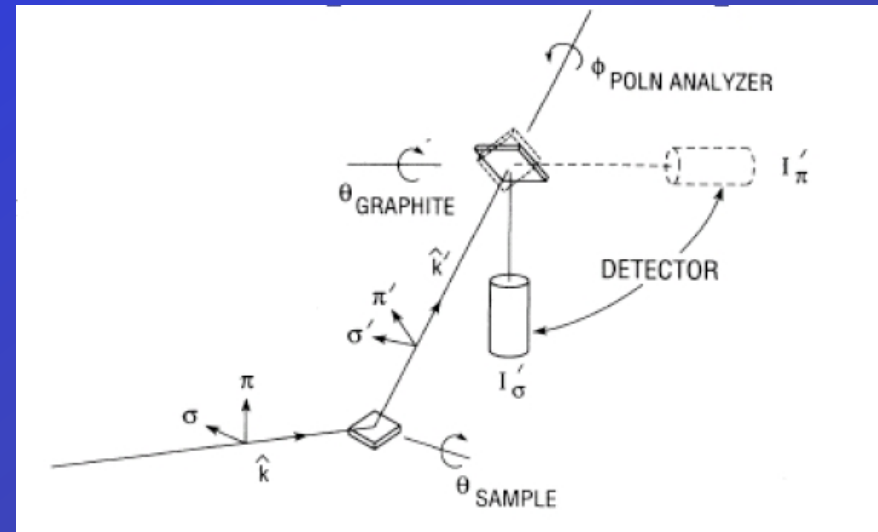
$$\left(\frac{\hbar\omega}{mc^2}\right)^2 \approx \left(\frac{\sim 10\text{keV}}{511\text{keV}}\right)^2$$

of the order 10^{-4}

2) Has a very different polarization factors for the orbital M_L and spin M_S contributions to the magnetic moment

→ **L and S separation**

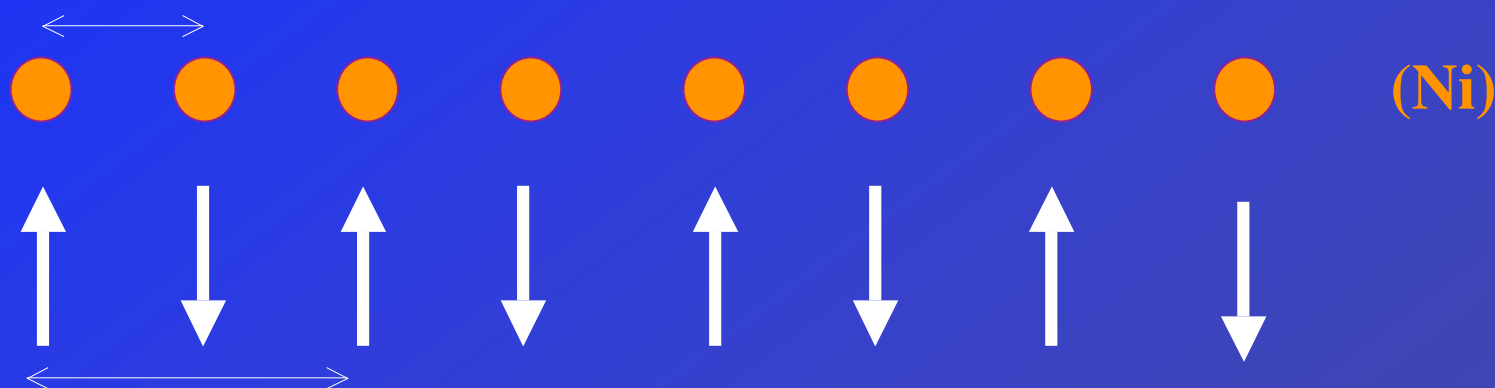
By selecting the incoming polarization and analyzing the outgoing polarization one can determine the orbital and spin moments



Magnetic scattering for an antiferromagnet

such as NiO

a: charge periodicity



2a: magnetic periodicity → additional reciprocal vectors
(superstructure) compared to the charge scattering

First observation of x-ray magnetic scattering

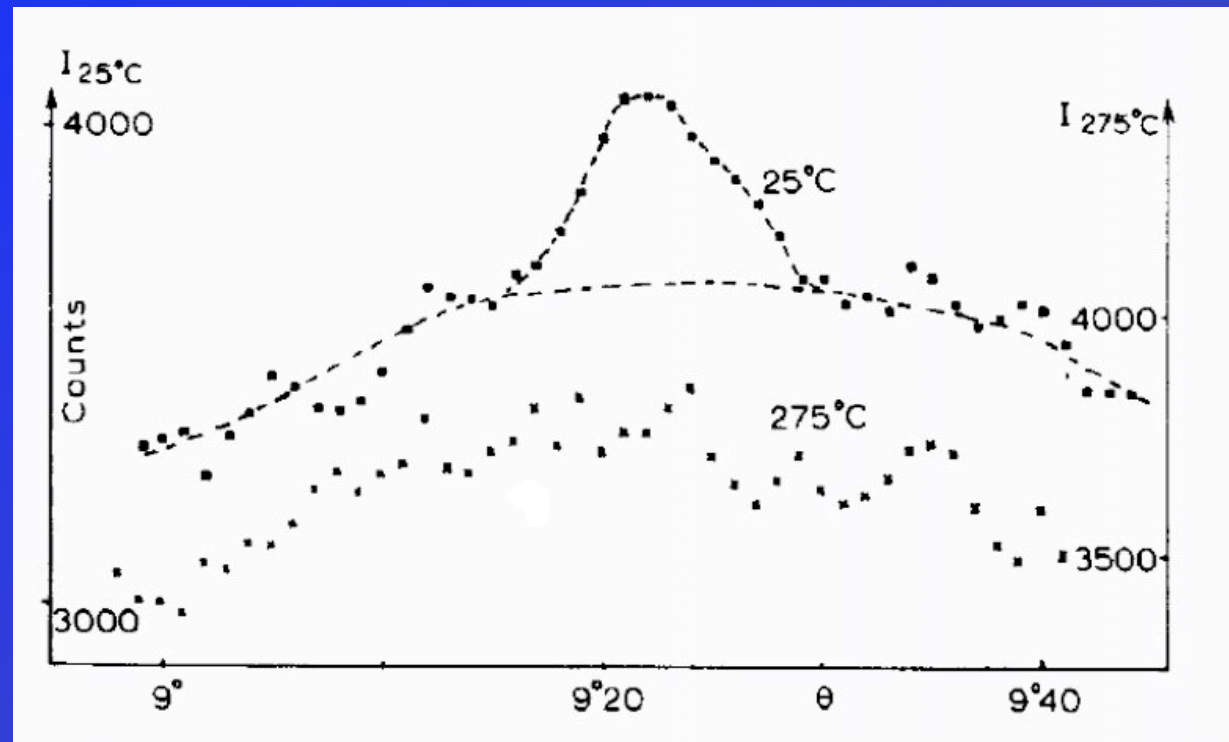
De Bergevin and Brunel, Phys. Lett. A39, 141 (1972)

Antiferromagnetic order in NiO

Laboratory x-ray tube

NiO (3/2.3/2.3/2) reflection

Counts per ~ 4 hours



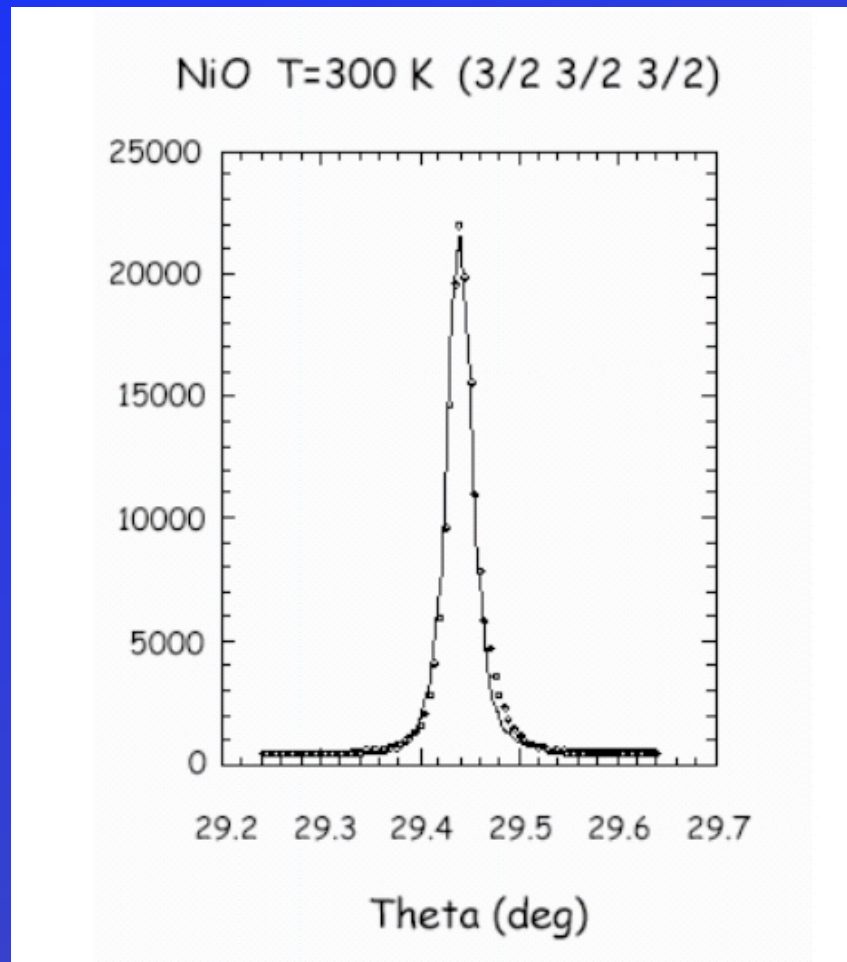
Theta (deg.)

X-ray magnetic scattering in NiO with synchrotron radiation

V. Fernandez et al., Phys. Rev. B57, 7870 (1998)

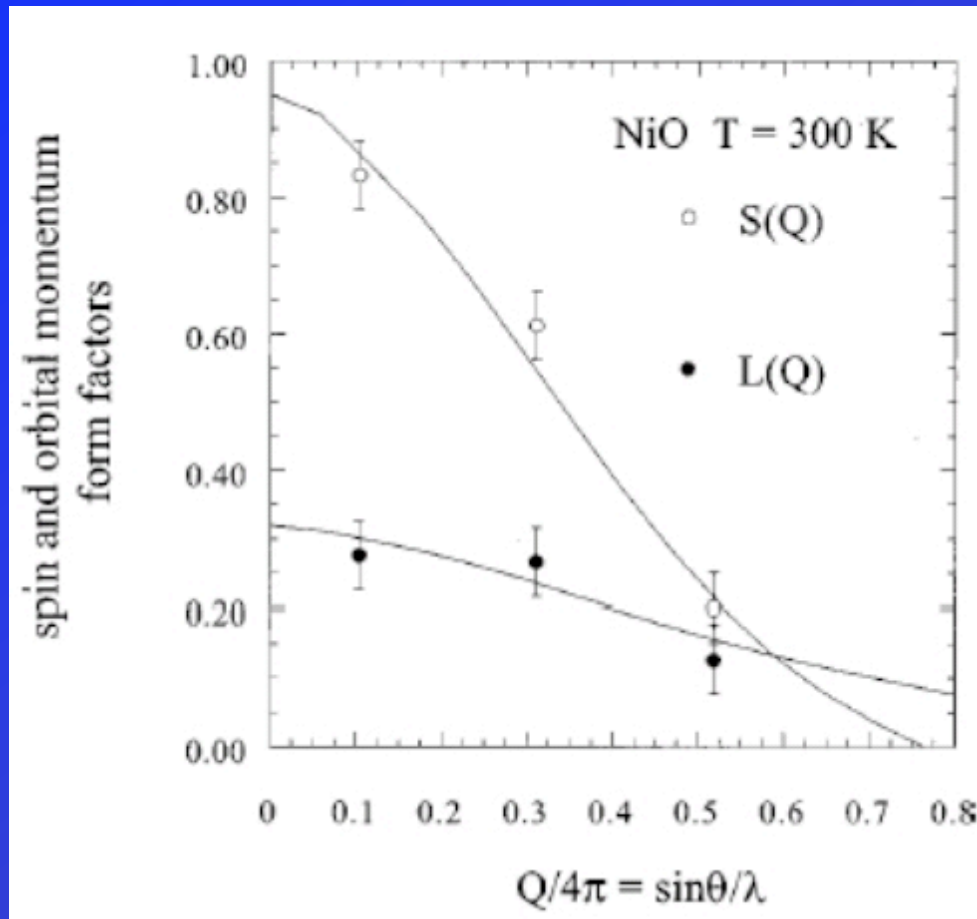
ESRF ID20 Beamline

(counts/s)



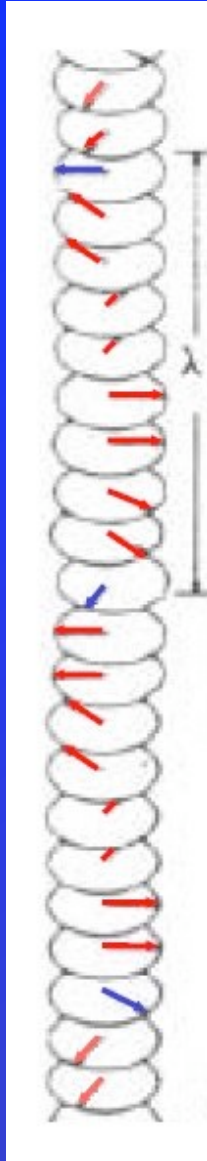
L and S separation for NiO

V. Fernandez et al., Phys. Rev. B57, 7870



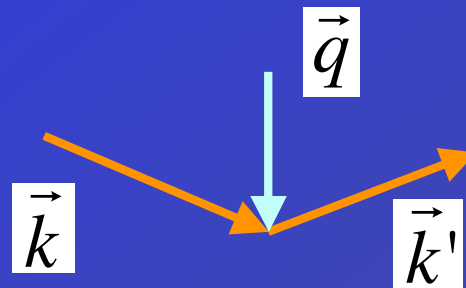
-> L/S=0.34

Application to Holmium magnetic structures



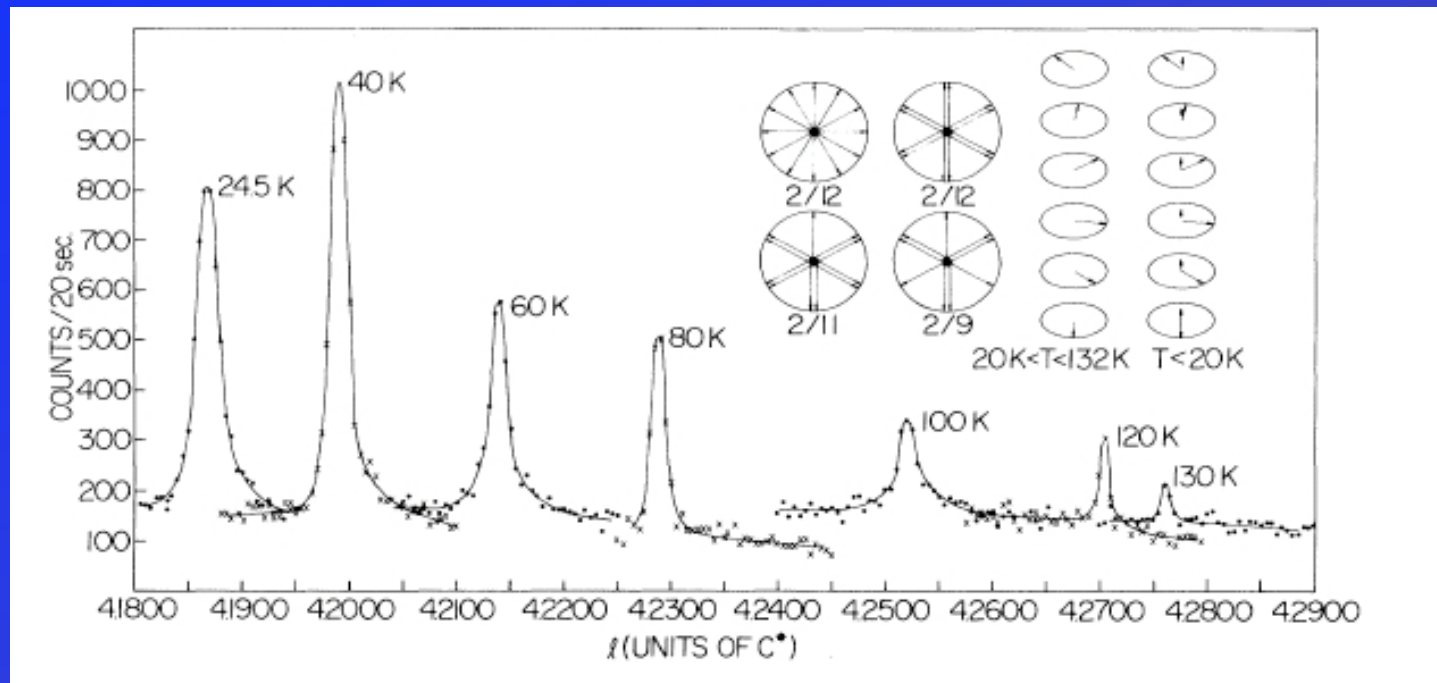
Helical phase ($20 < T < 130\text{K}$)
s rotate from plane to plane with turn angle that depends on T (incommensurate magnetic spirales; reciprocal vectors: $\tau_m // c$)
(for $T < 20\text{K}$ cone structure)

Scattering geometry:



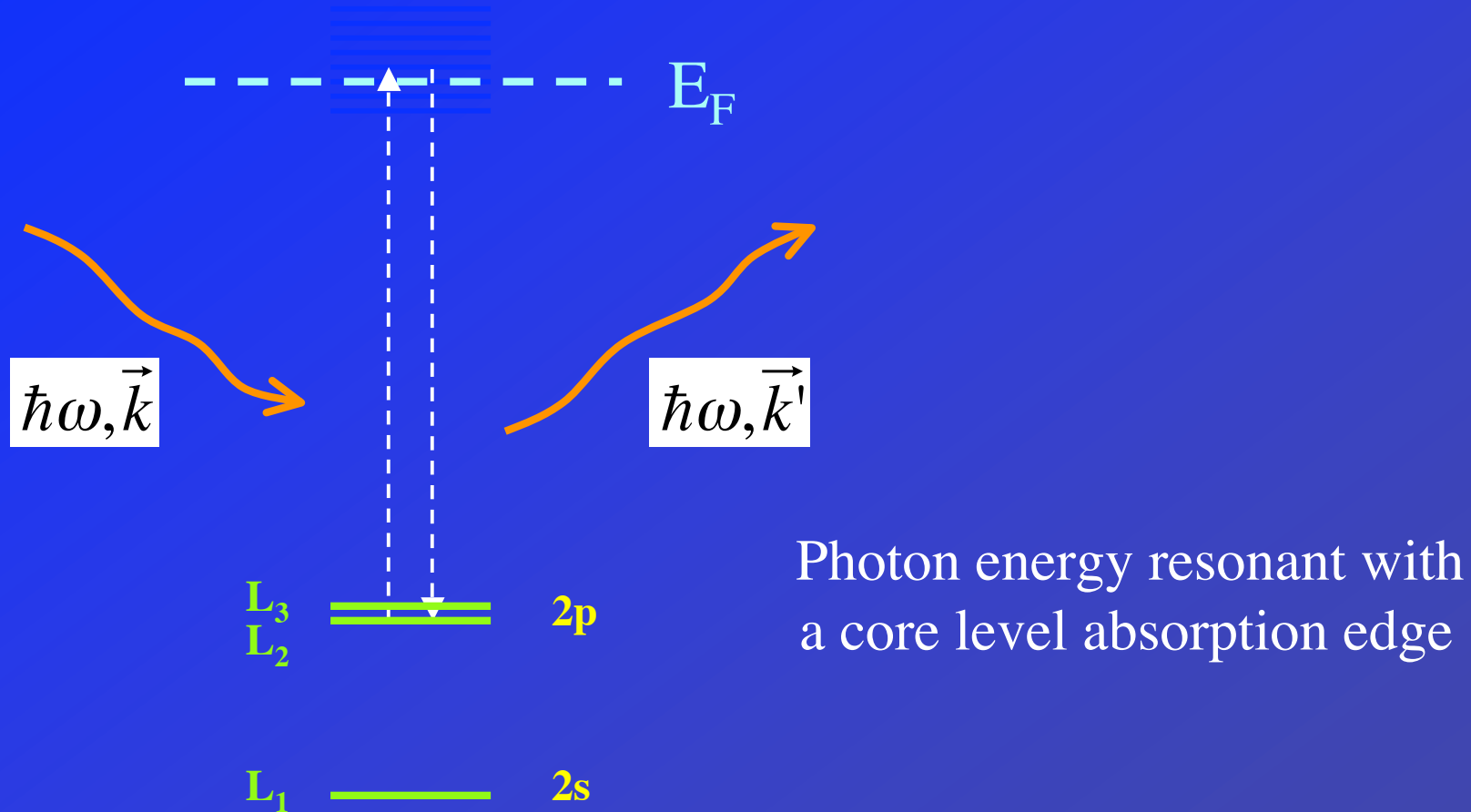
X-ray magnetic scattering in holmium with synchrotron radiation

D. Gibbs et al., Phys. Lett. 55, 234 (1985)



Excellent momentum resolution

B) Resonant scattering



Resonant elastic x-ray scattering is a second order process in which a core electron is virtually promoted to some intermediate states above the Fermi energy, and subsequently decays to the same core level

B) Resonant scattering amplitude

Scattering amplitude

$$F^{res.} \propto \sum_n \frac{\langle 0 | \vec{\varepsilon}^* \cdot \vec{p} e^{i\vec{k} \cdot \vec{r}} | n \rangle \langle n | \vec{\varepsilon}' \cdot \vec{p} e^{-i\vec{k}' \cdot \vec{r}} | 0 \rangle}{E_n - E_0 - \hbar\omega + i\Gamma/2}$$

Multipole expansion:

$$e^{i\vec{k} \cdot \vec{r}} \approx 1 + i\vec{k} \cdot \vec{r} + \dots$$

Strength of the transition depends on:

- overlap integrals
- transition order

In transition metals: $L_{2,3}$ edge **2p** \rightarrow **3d** (dipolar) 0.4-1keV **strong**

B) Resonant magnetic scattering

- 1) **Has a large intensity** (10^2 - 10^4 times larger than non-resonant)
- 2) **Is element sensitive** (from the core level binding energy)
- 3) **Is less directly related to the magnetic moments** (but is energy dependent -> spectrum)

Dipole-dipole scattering: Hannon-Trammel formula

Hannon et al., Phys. Rev. Lett. 61, 1245 (1988)

$$F^{res.} = -\frac{e^2}{mc^2} \left[(\vec{\epsilon}' \cdot \vec{\epsilon}) f^{(0)} - i(\vec{\epsilon}' \times \vec{\epsilon}) \cdot \hat{z}_n f^{(1)} + (\vec{\epsilon}' \cdot \hat{z}_n)(\vec{\epsilon} \cdot \hat{z}_n) f^{(2)} \right]$$

\hat{z}_n is a unit vector parallel to the magnetic moment of the nth ion

$f^{(0)}$ are linear combination of the components of the atomic scattering tensor $f_{m,m}$,

Note: the Hannon-Trammel formula is valid for local atomic site symmetry C_{4h} or higher - see, e.g., Stojic et al., Phys. Rev. B 72, 104108 (2005)

$L_{2,3}$ edge scattering in 3d transition-metal compounds

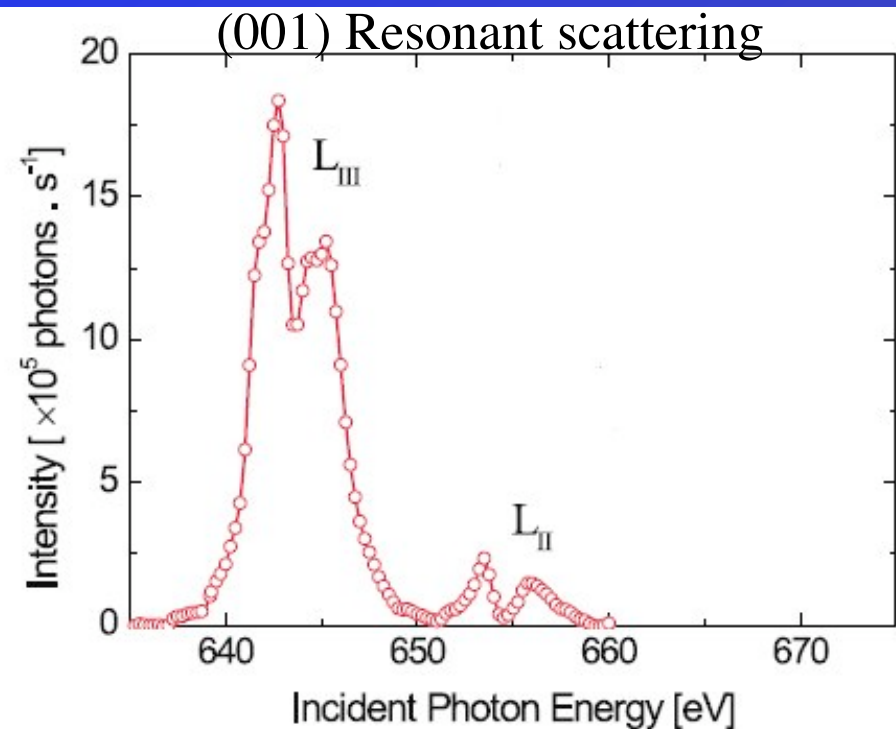
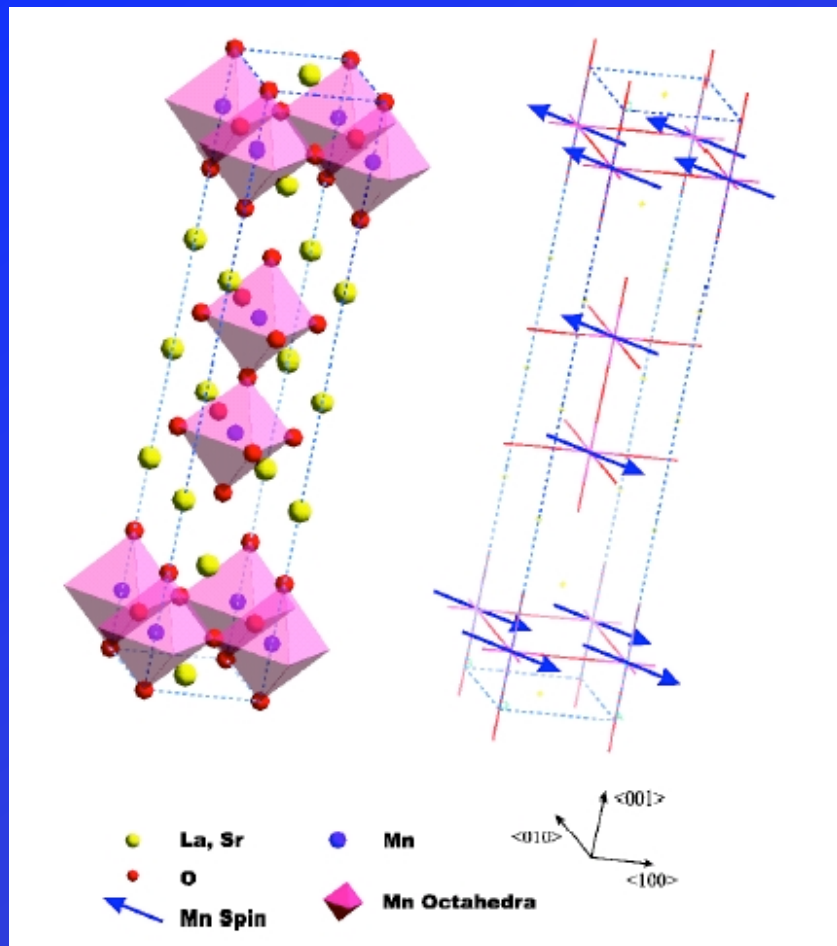
2p \rightarrow 3d: directly probes the magnetic electronic states

Soft x-ray magnetic scattering probes structures with long periods:

- Artificial superstructures/multilayers
- Complex crystals with large lattice or magnetic unit cells

Soft x-ray resonant magnetic scattering at the Mn $L_{2,3}$ edges in $\text{La}_{2-2x}\text{Sr}_{1+2x}\text{Mn}_2\text{O}_7$

Wilkins et al., *Phys. Rev. Lett.* 90, (2003)

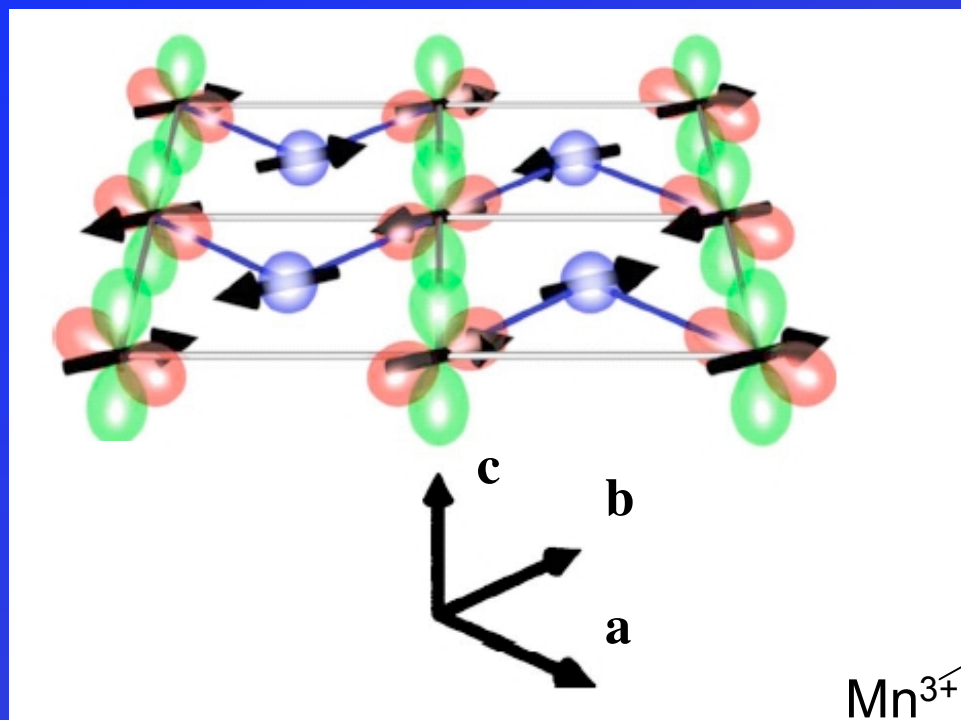


(001) scattering due to AFM magnetic scattering (charge scattering -non-resonant- found to be much weaker)

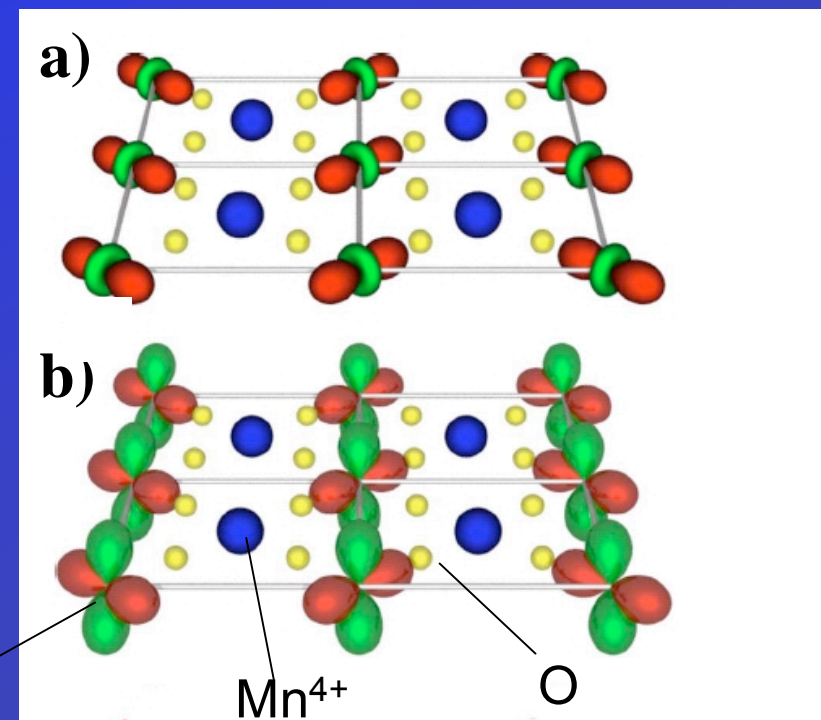
Soft x-ray resonant scattering at the Mn $L_{2,3}$ edges in $\text{La}_{0.5}\text{Sr}_{1.5}\text{MnO}_4$

Wilkins et al., Phys. Rev. B 71, 245102 (2005)

Magnetic order



Mn 3d-orbital order

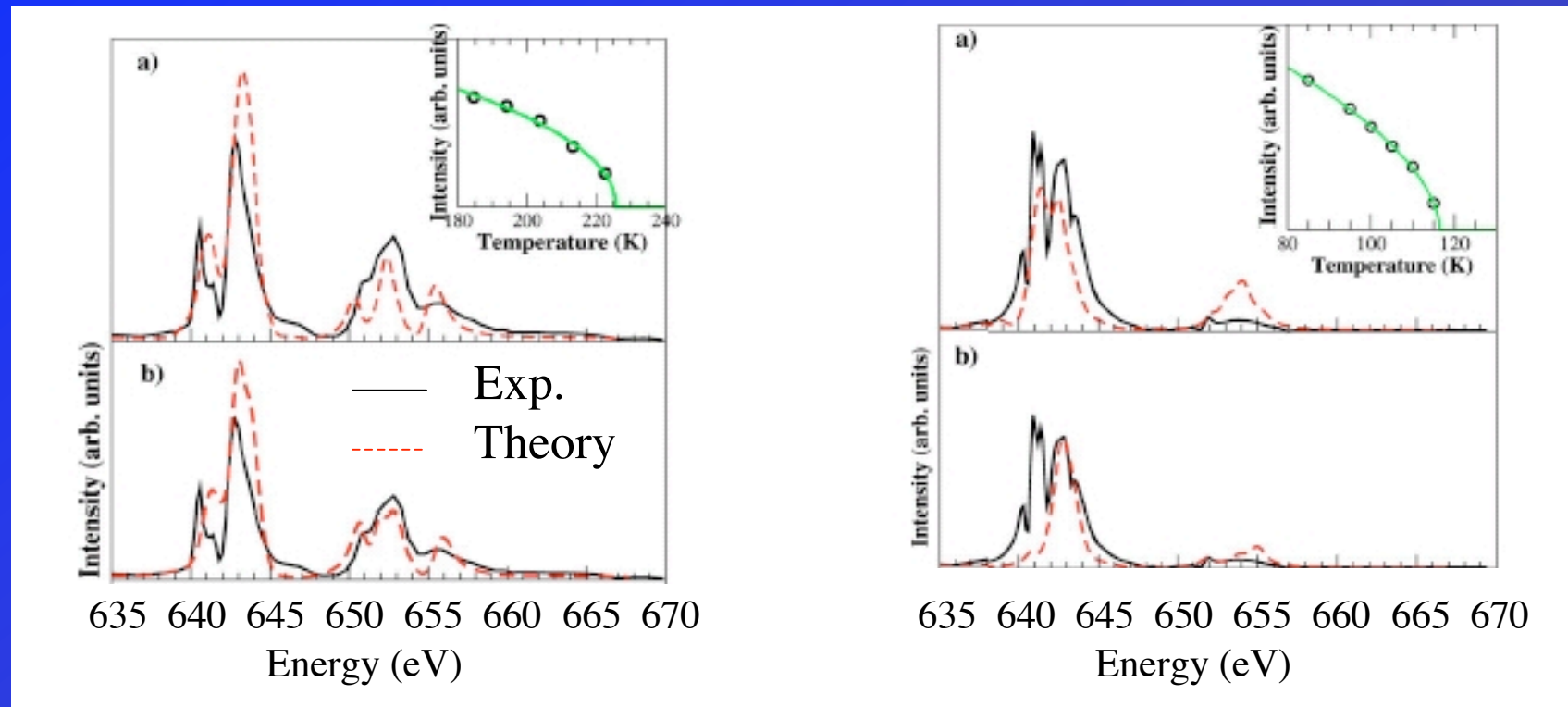


Soft x-ray resonant scattering at the Mn $L_{2,3}$ edges in $\text{La}_{0.5}\text{Sr}_{1.5}\text{MnO}_4$

Wilkins et al., *Phys. Rev. B* 71, 245102 (2005)

Orbital scattering ($1/4, 1/4, 0$)

Magnetic scattering ($1/4, -1/4, 1/2$)



By comparison with atomic multiplet calculations in a crystal field:
determination of magnetic & orbital structure; here \rightarrow a) x^2-z^2 / y^2-z^2