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International Centre for Theoretical Physics**



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**Hydration lubrication: exploring a new paradigm**

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# Hydration Lubrication: exploring a new paradigm

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Ronit Goldberg

Gilad Silbert

Irit Goldian

Liraz Chai

Jasmine Seror (Weizmann)

Yael Dror

Raya Sorkin

Susan Perkin

Meng Chen

Wuge Briscoe

(Oxford)

S. Armes

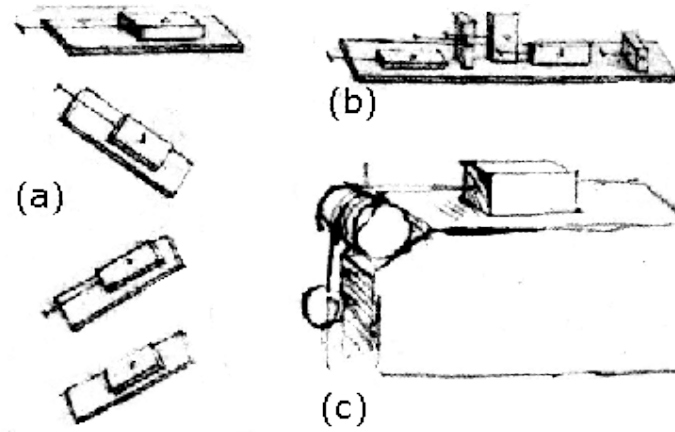
R.K. Thomas

Sheffield

Oxford

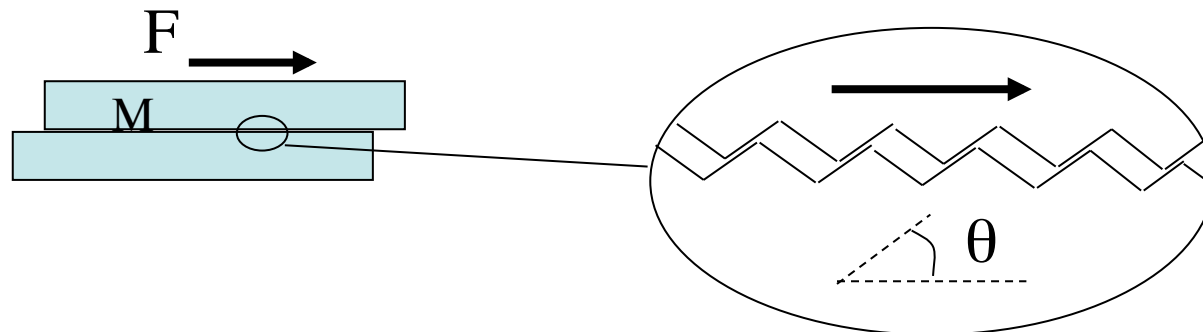
*Funding: EPSRC (UK), Israel Science Foundation, Minerva Foundation*

- Leonardo da Vinci, ca. 1500



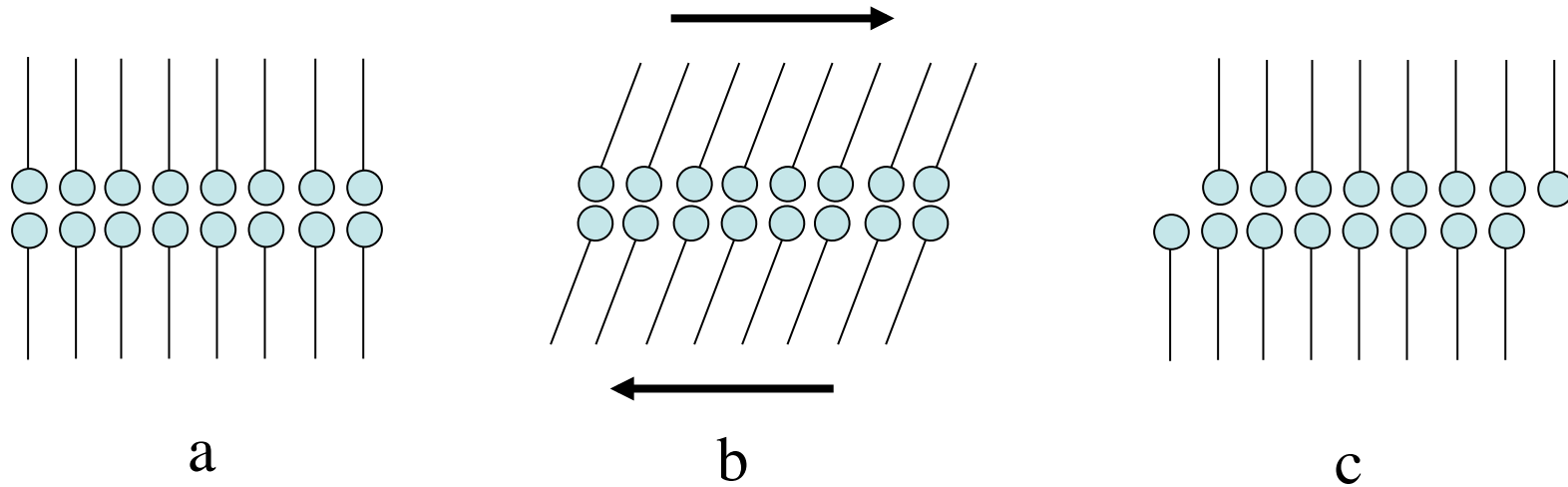
- Amontons, 1699 - classic laws of friction:  $\mu = (\text{Force to slide}) / \text{Load}$

- Euler, ca. 1750, and Coulomb, ca. 1780



$$\mu = F/Mg = \tan\theta$$

- Leslie, 1804 (pointed out that the Euler/Coulomb mechanism results in no energy dissipation)



### Basic Tomlinson model (1929)

- a - in equilibrium
- b - lattice sheared
- c - strain energy dissipated as surfaces jump to new equilibrium position (vibrations of released atoms lost as phonons - heat up lattice) - **related to adhesion hysteresis**

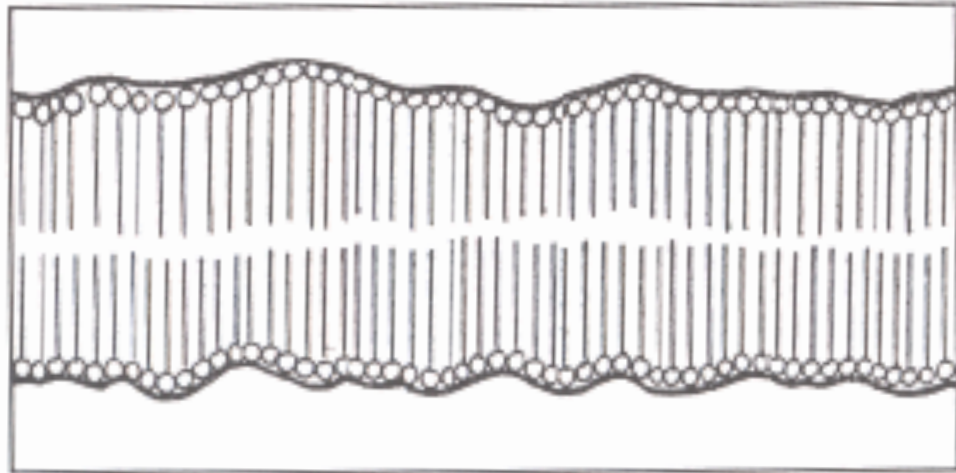
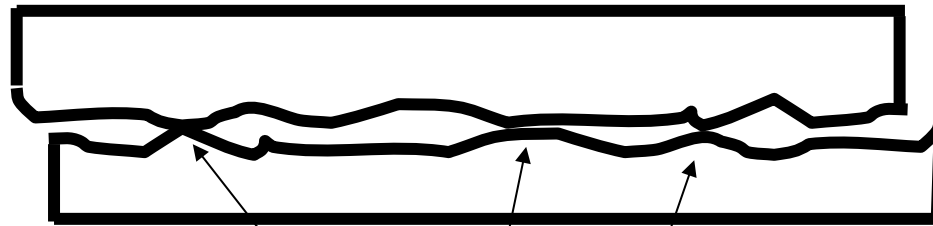


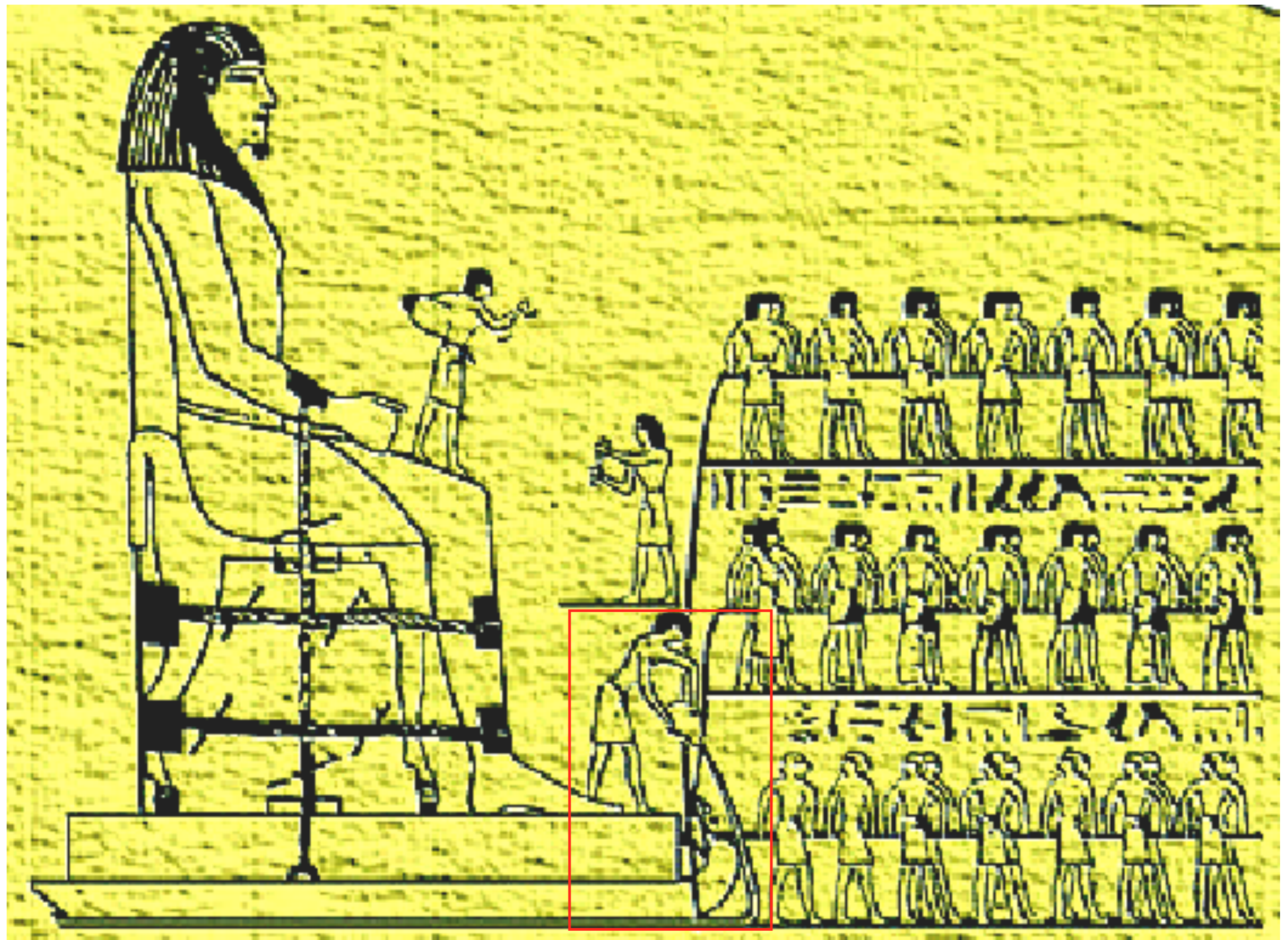
Figure 2 Sir William Bate Hardy: Concept of Boundary Lubrication

(1922)

Under strong compressions, or at asperities, the situation is less straightforward (e.g. plastic flow)



Asperity contact

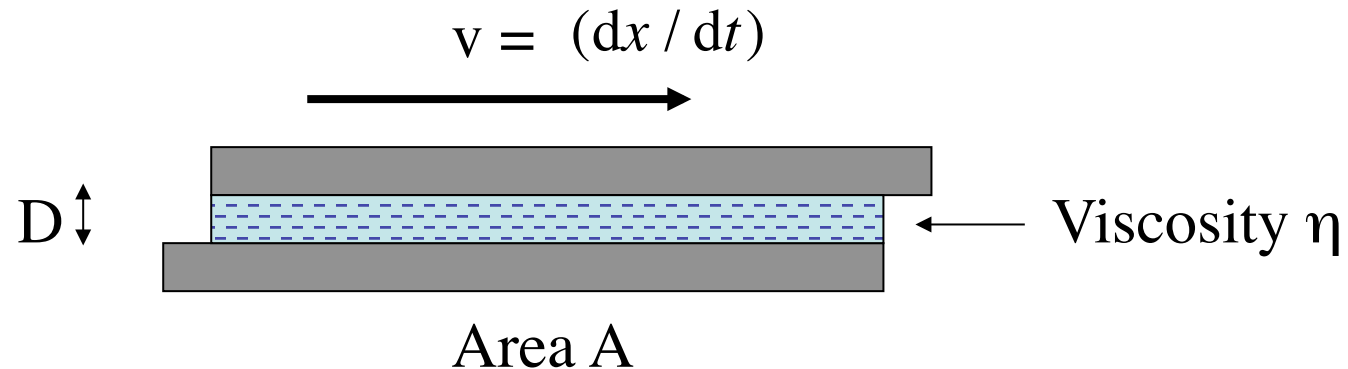








Liquids, such as oils - viscous dissipation in hydrodynamic lubrication



Shear stress = (friction force)/area =  $\sigma = \eta v/D$

$$\Delta E_{\text{viscous}} = \int \eta_{\text{eff}} \frac{(dx / dt)}{D} A \cdot dx$$

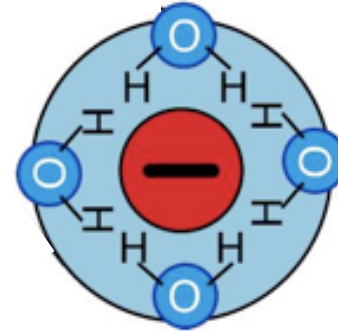
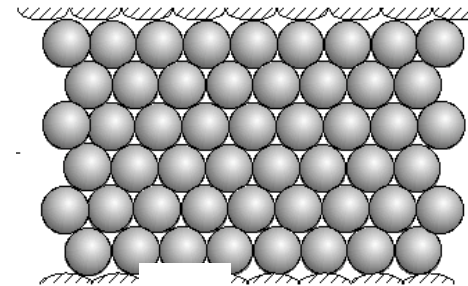
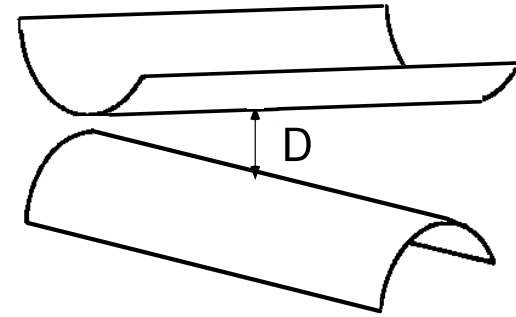
(assumes no slip of liquid at surface)

So what is the ‘new paradigm’?

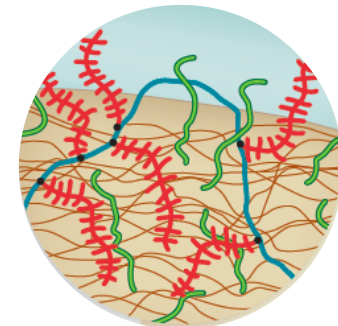
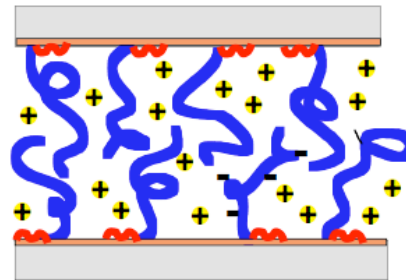
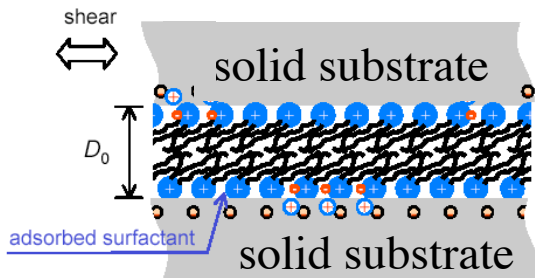
It is the realization that, in contrast to classic mechanisms involving oils or boundary lubricants, water can provide remarkable lubrication between molecules or surfaces, due to its dipolar nature and orientational entropy properties.

1. Raviv, U.; Laurat, P.; Klein, J. **Nature** 413, 51-54 (2001).
2. Raviv, U.; Klein, J. **Science** 297, 1540 (2002).
3. Raviv, U.; Giasson, S.; Kampf, N.; Gohy, J.-F.; Jerome, R.; Klein, J. **Nature** 425, 163 (2003).
4. Briscoe, W. H.; Titmuss, S.; Tiberg, F.; Thomas, R. K.; McGillivray, D. J.; Klein, J. **Nature** 444, 191 (2006).
5. Klein, J. **Science**, 323, 47 (2009)
6. Chen, M.; Briscoe, W. H.; Armes, S. P.; Klein, J. **Science**, 323, 1698 (2009).

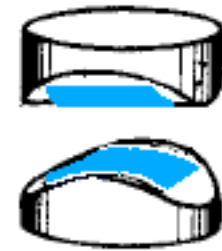
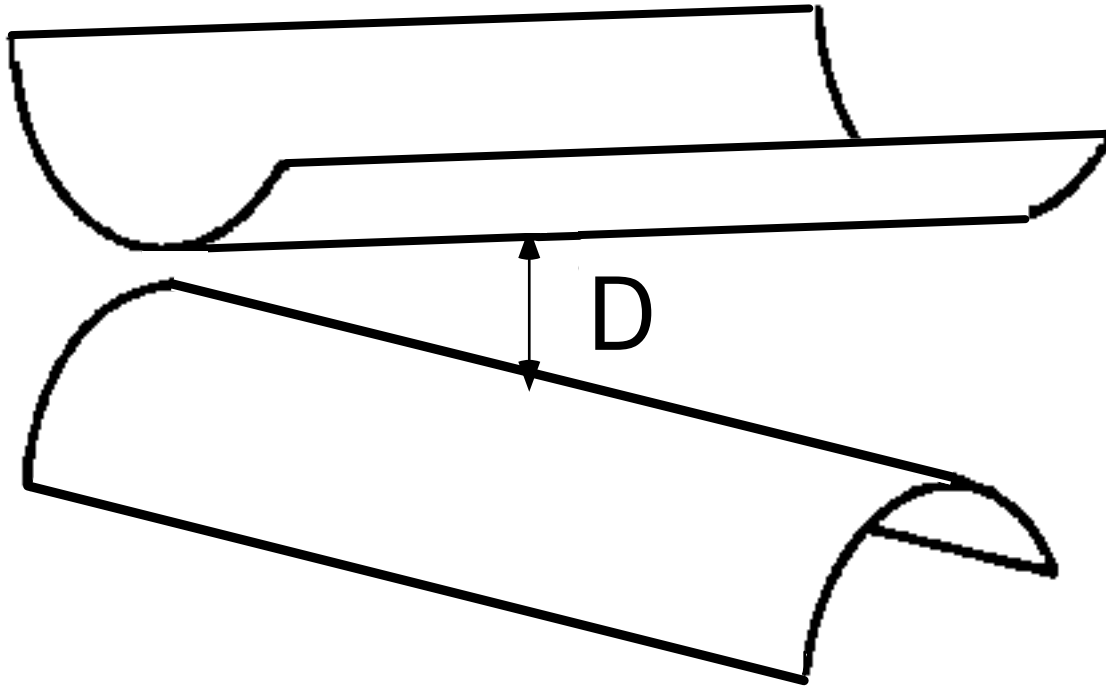
- Mechanical properties of liquids confined down to subnanometer levels
- Confined 'simple' liquids vs. confined water
- Hydration layers: the ultimate confinement



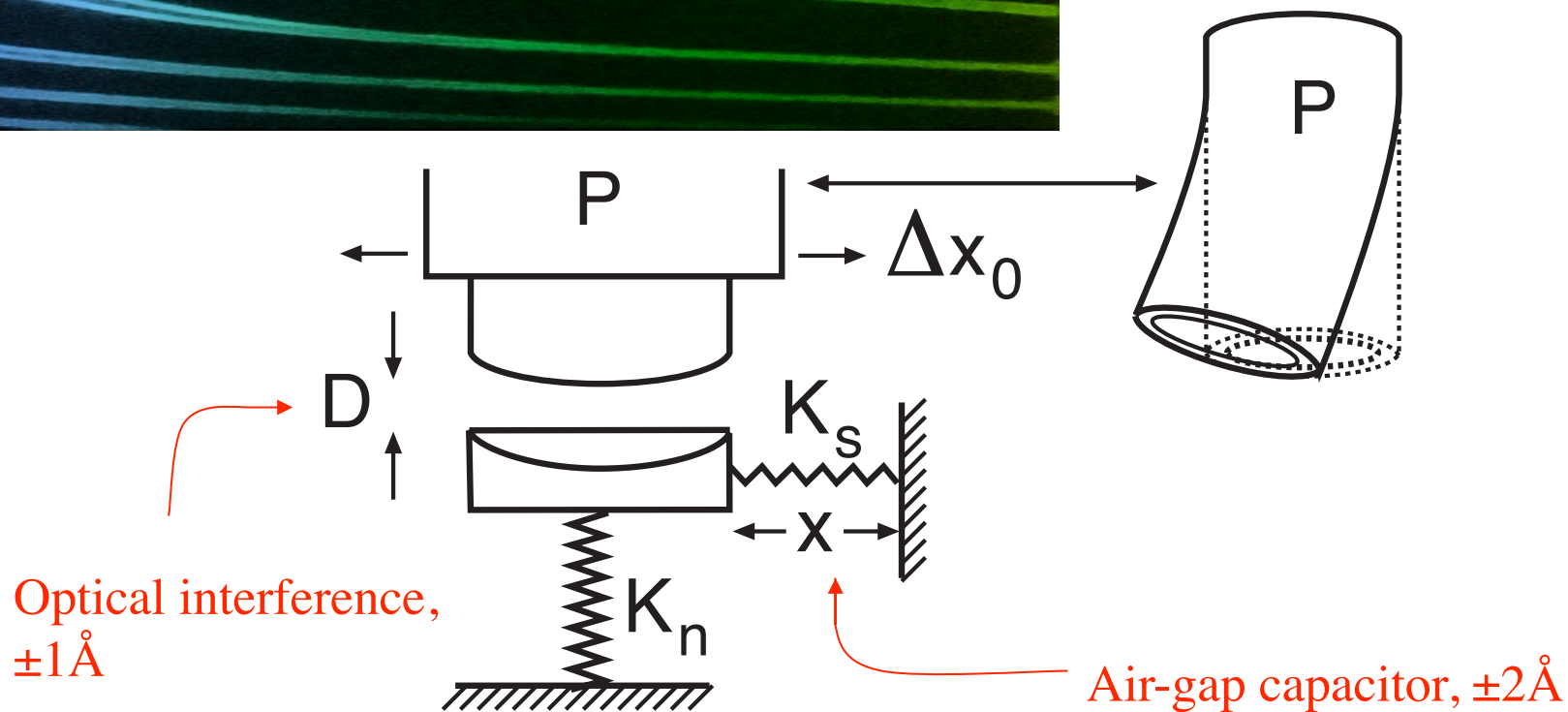
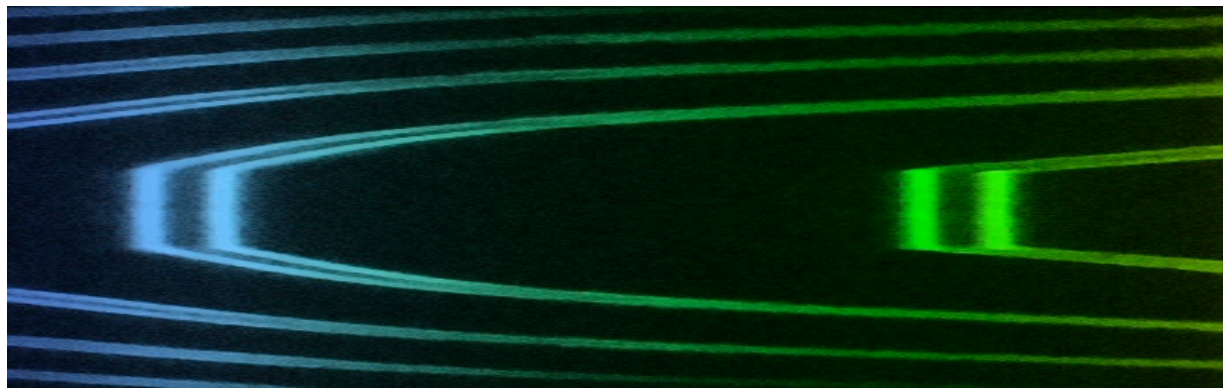
- Some implications



Forces  $F(D)$  between mica sheets are measured directly

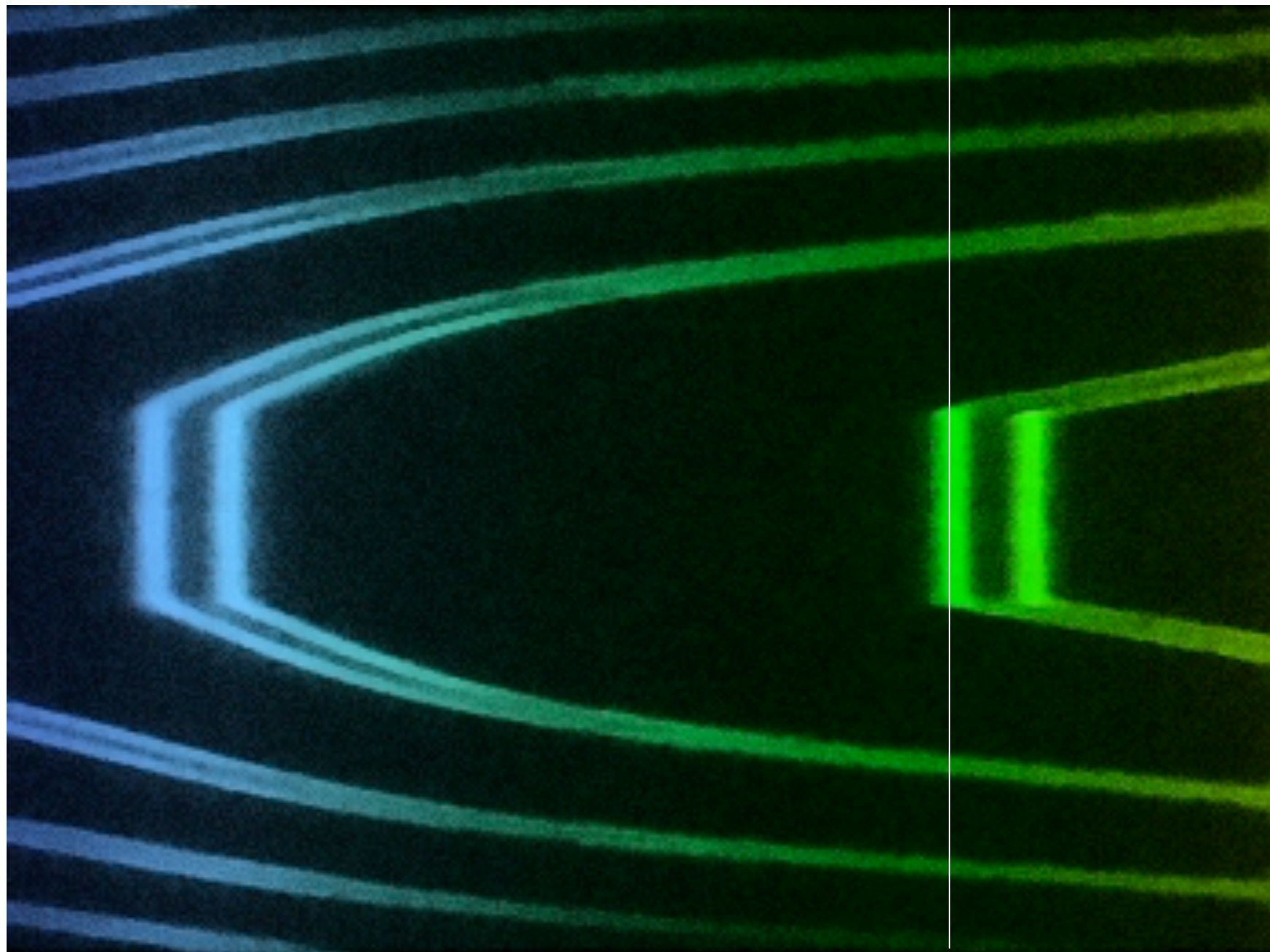


Crossed-cylindrical  
lenses



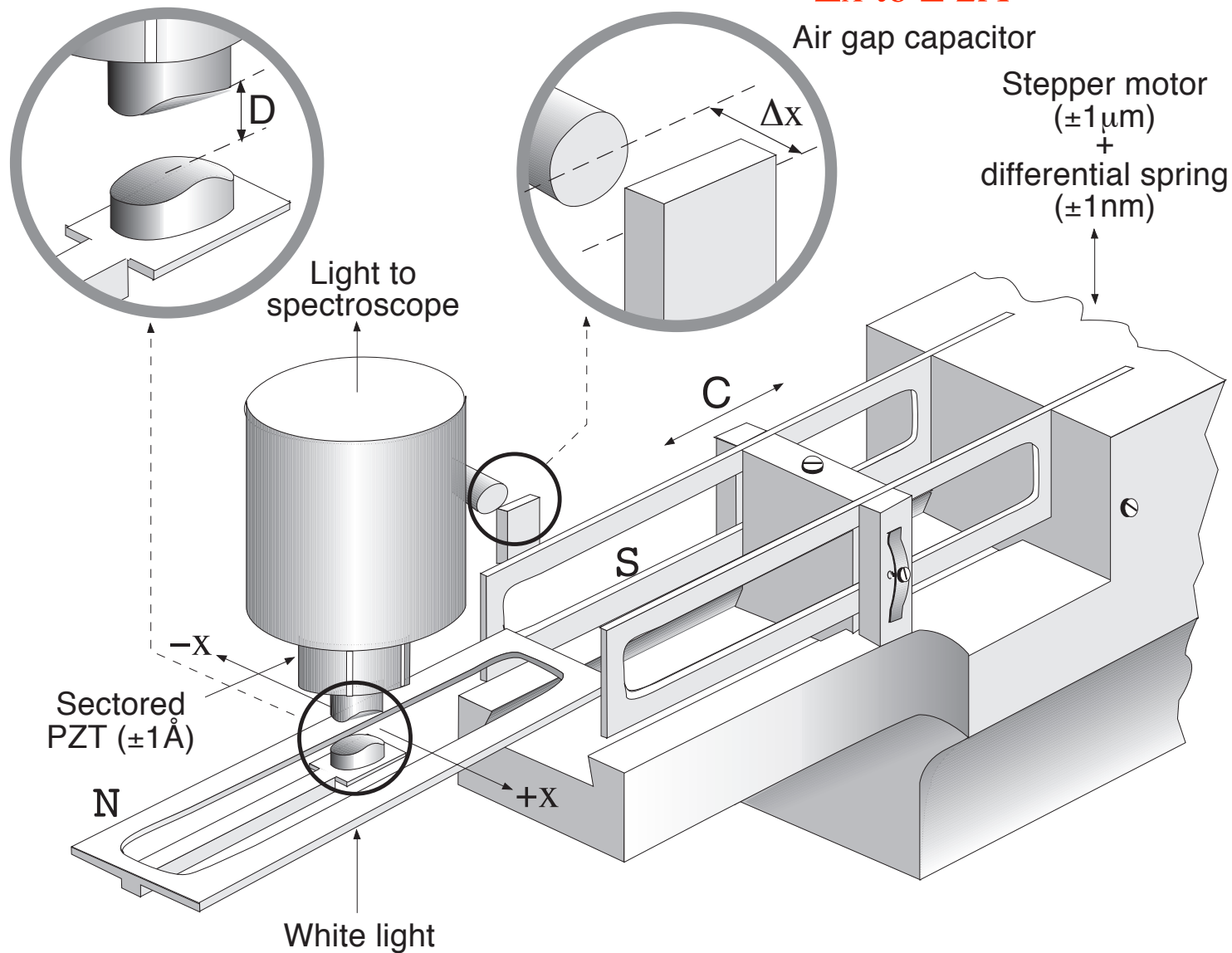
*Nature* **352**, 143 (1991)  
*Nature* **370**, 634 (1994)  
*Science* **269**, 816 (1995)  
*J. Chem. Phys.* **108**, 6996 (1998)

Sensitivity and resolution in shear and normal stress is ca. 5-10,000-fold that of tipped AFM, STM



$D$  &  $\Delta D$  to  $\pm 1-2\text{\AA}$

$\Delta x$  to  $\pm 2\text{\AA}$



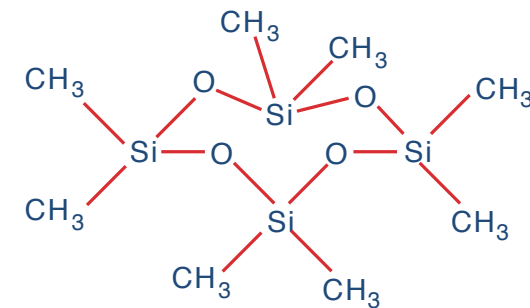
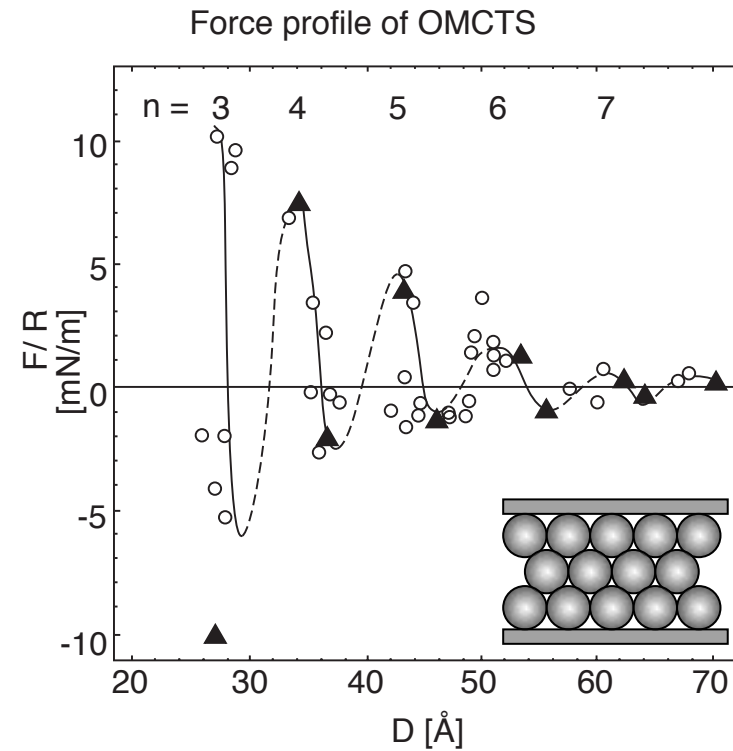
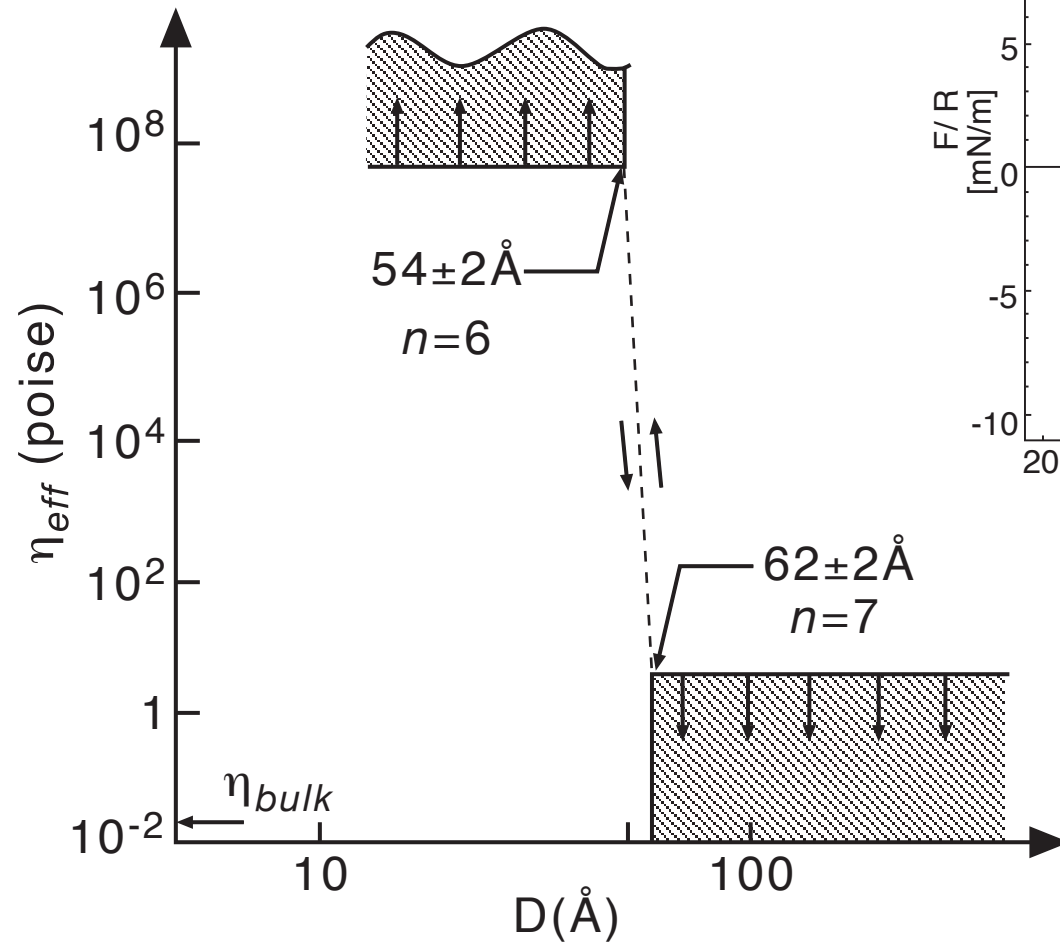
J. Phys. Chem. B  
105, 8125 (2001)

Sensitivity and resolution in shear and normal stress is ca. 5-10,000-fold that of tipped AFM, STM



- Confined ‘simple’ liquids vs. confined water

# Organic liquids become solid-like under confinement



OMCTS

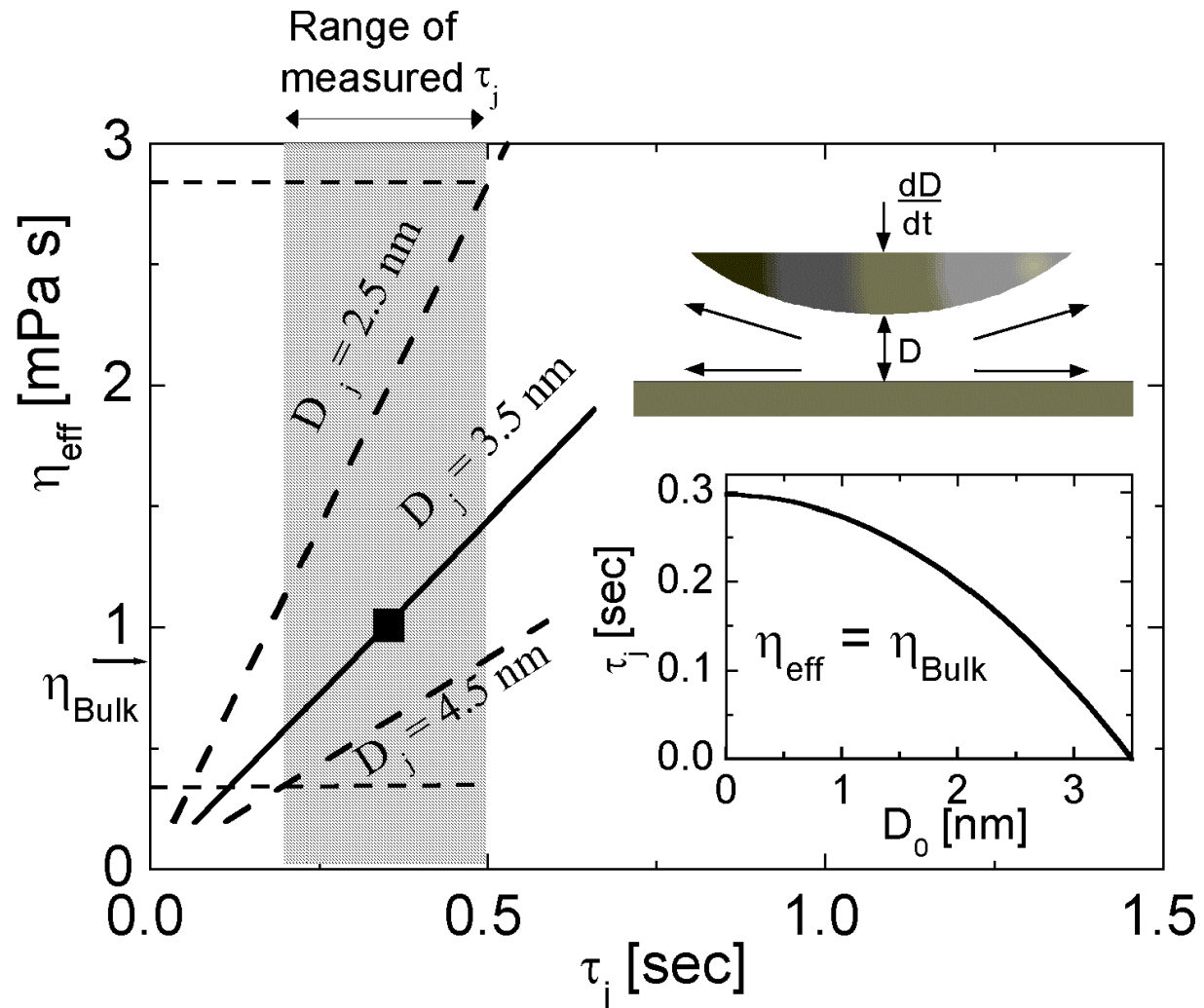
J.K. & E. Kumacheva *Science* **269**, 816 (1995)  
*J.Chem. Phys.* **108**, 6996 (1998)

# What happens in the case of confined water?

Raviv, Laurat, JK, *Nature*, **413**, 51-54 (2001); Raviv, Perkin, Laurat, JK *et al*, *Langmuir* **20**, 5322-5332 (2004); Goldberg, JK *et al*. *PCCP* **10**, (32), 4939-4945 (2008); Perkin *et al.*, *Langmuir* **22**, 6142-6152 (2006) & *Faraday Disc.*, **141**, 399 (2009);

Raviv, Laurat & JK,  
Raviv, Perkin, Laurat & JK  
Perkin, Chai, Kampf, JK et al

*Nature* **413**, 51-54 (2001)  
*Langmuir* **20**, 5322-5332 (2004)  
*Langmuir* **22**, 6142-6152, (2006)

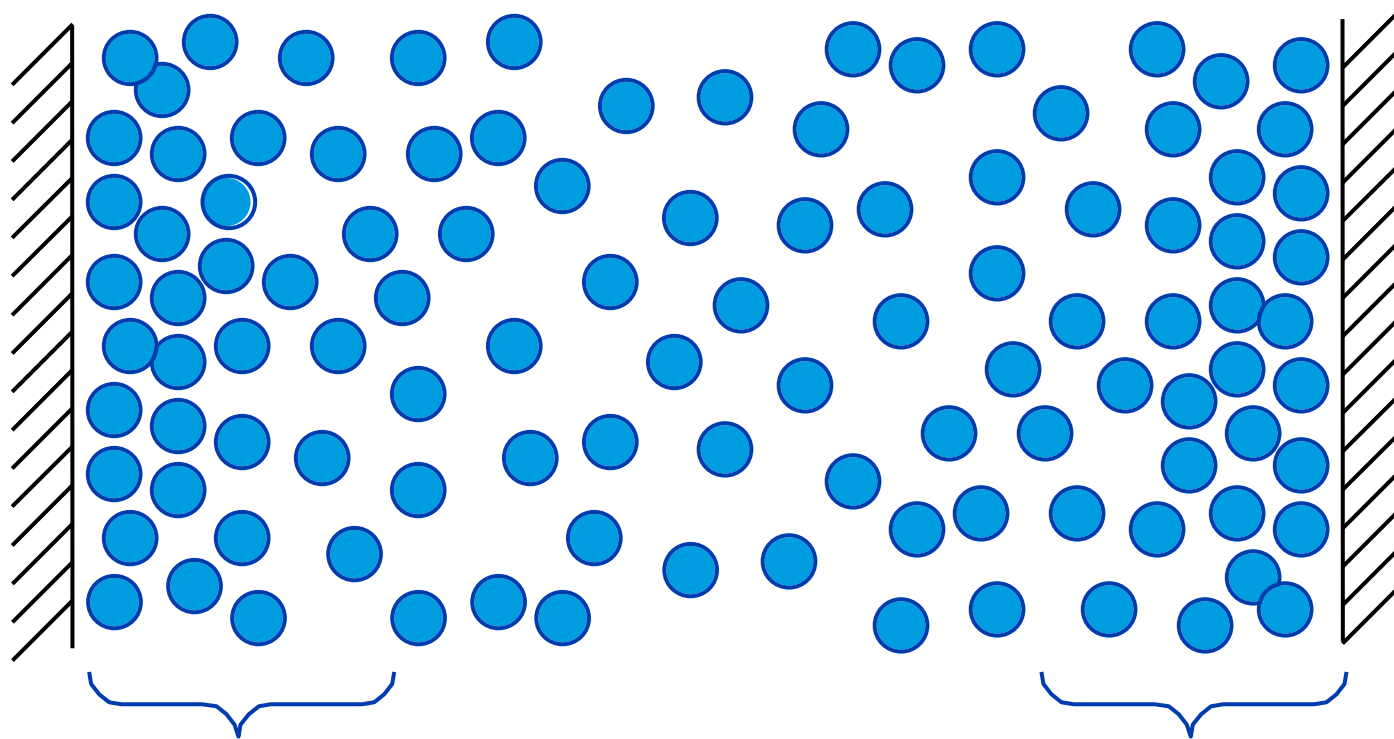


For non-associating liquids

Increase in  $P$  or  $\rho$   
→ solidification

For water

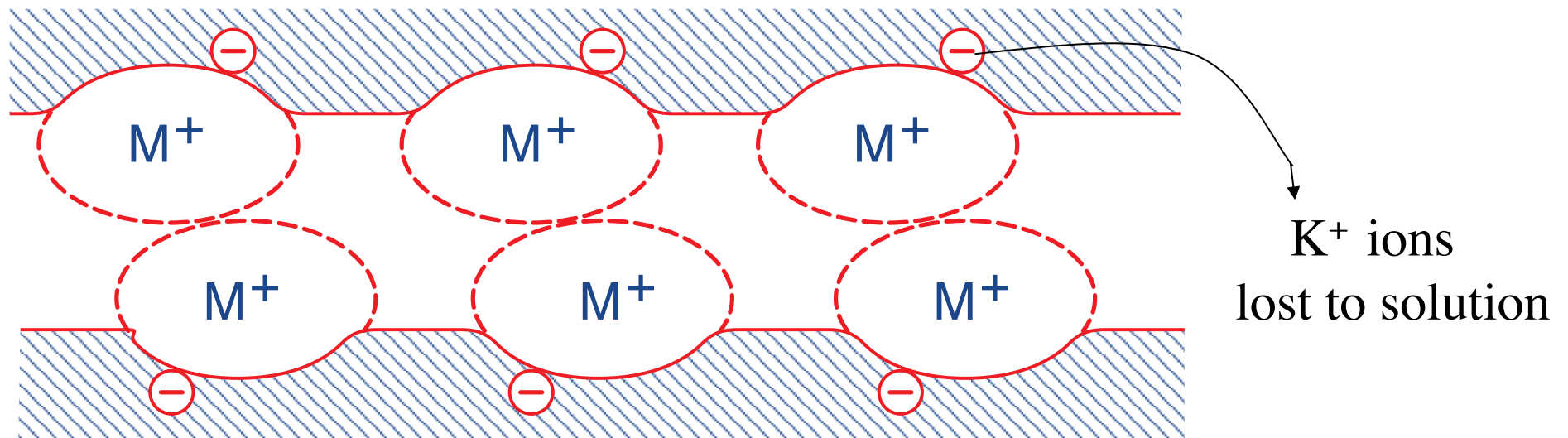
Increase in  $P$  or  $\rho^*$   
→ melting, i.e suppresses  
tendency for solidification



E.A. Jagla, *Phys. Rev. Lett.* **88**, 245504 (2002); Chandross & Grest 2009  
Leng & Cummings, *Phys. Rev. Lett.*, 94, 026101 (2005); JCP 124, 074711 (2006)  
K. Gubbins et al. JPCM (2006) [\*due to configurational entropy constraints]

## Interactions between mica surfaces in aqueous media at high salt concentrations

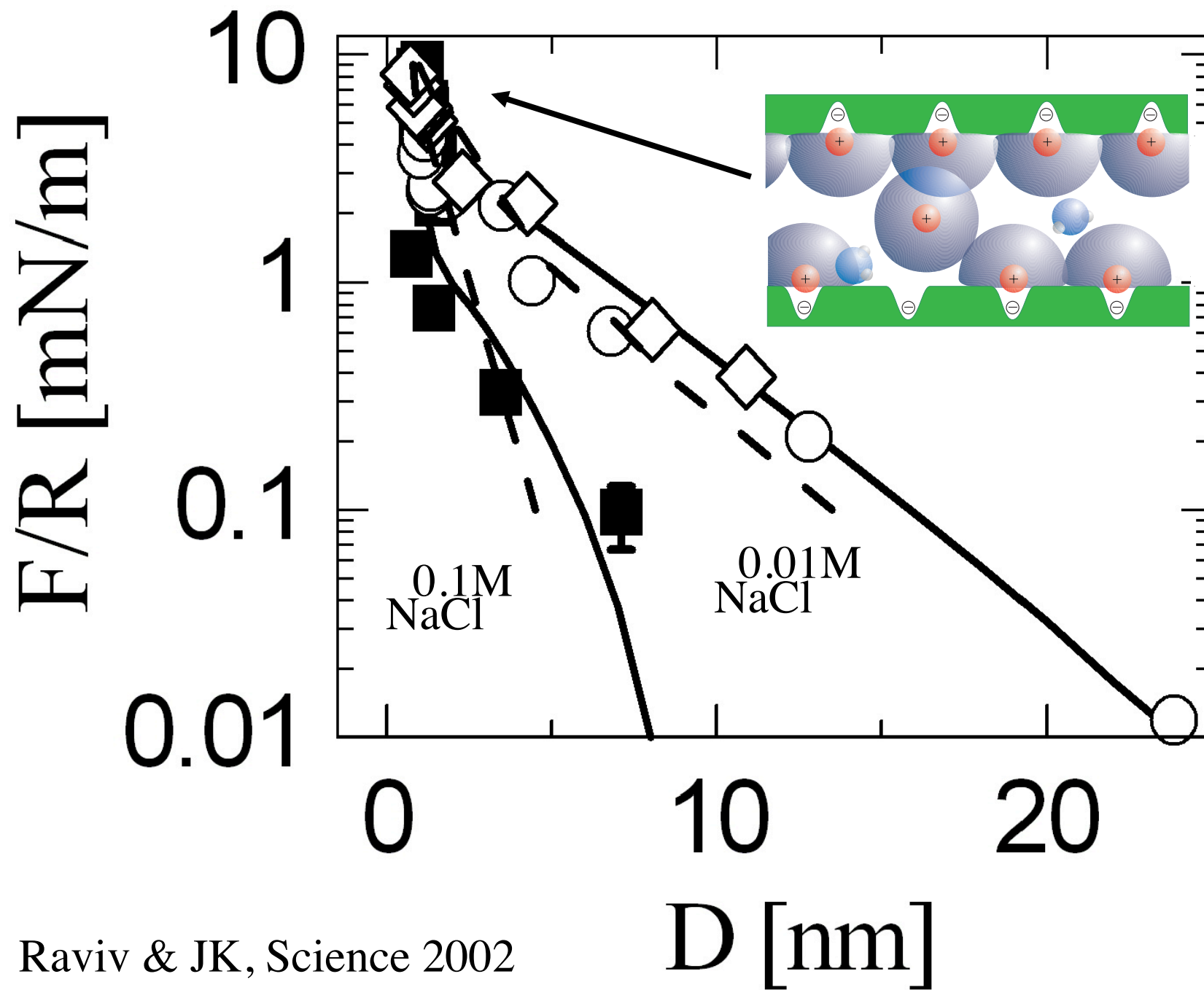
At high salt concentrations negatively-charged mica surface sites are compensated mainly by (trapped) salt ions,  $M^+$



Hydrated  $M^+$  very reluctant to shed their hydration sheaths - so do not readily condense

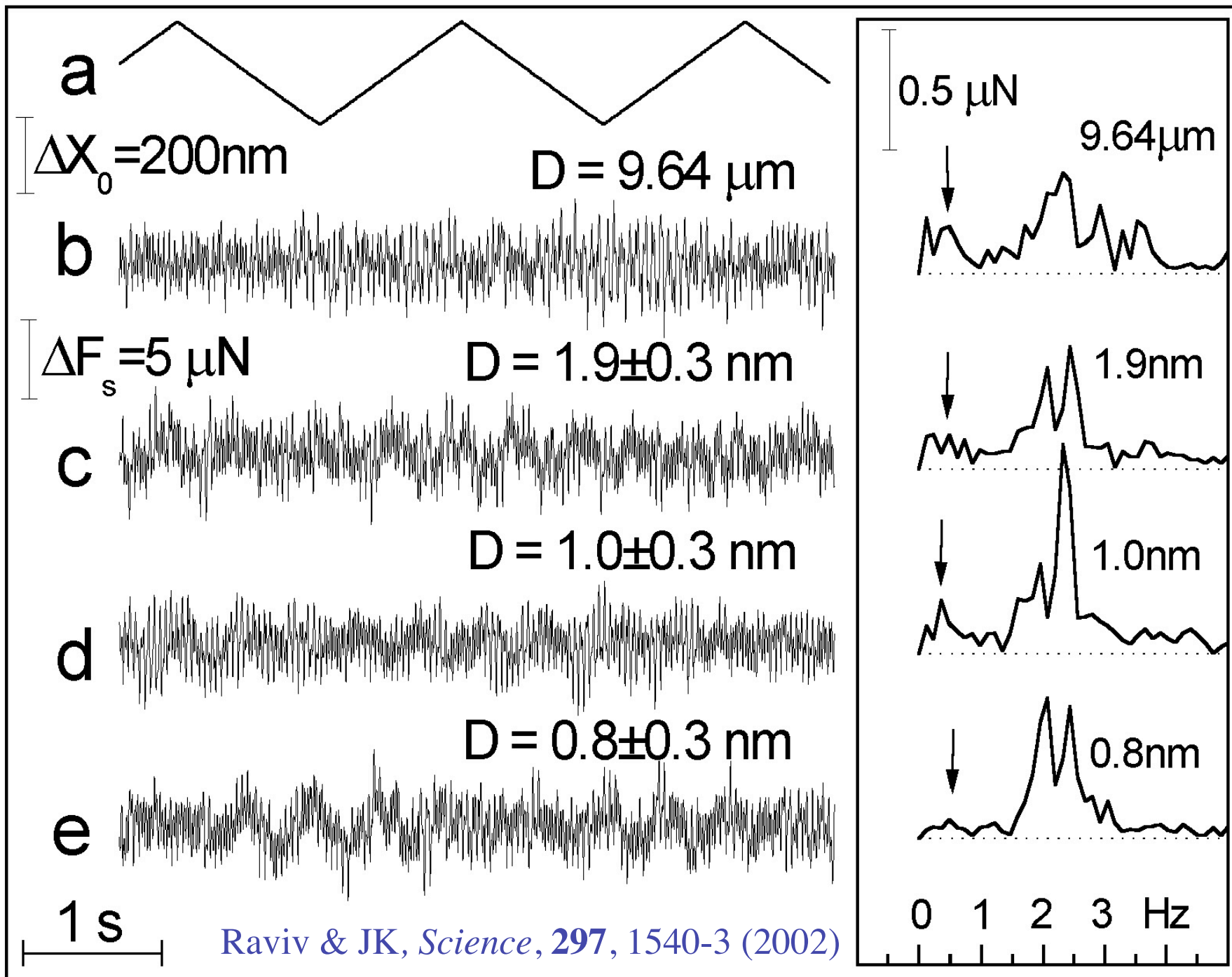
→ Dominance of hydration repulsion at  $D < \text{nm's}$

(JK et al., JPCM 16, S5437-S5448, (2004))

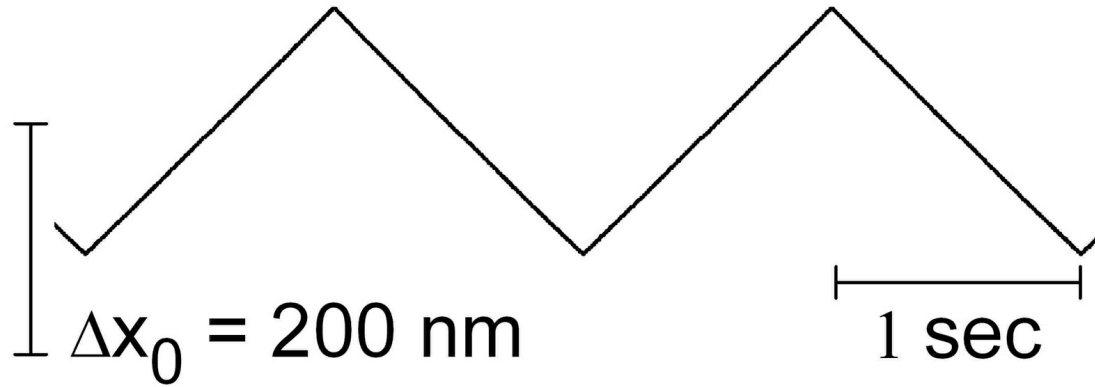


Raviv & JK, Science 2002

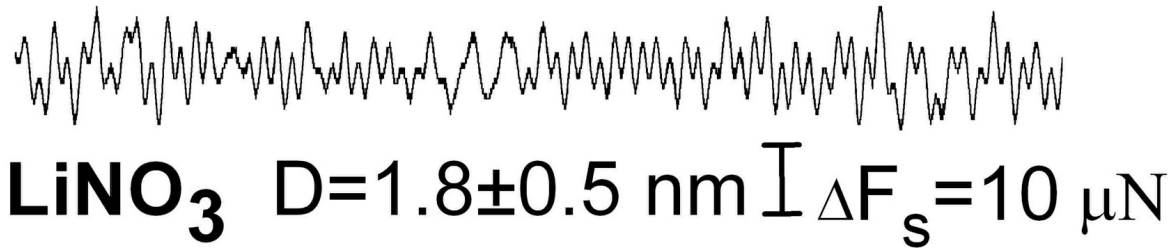




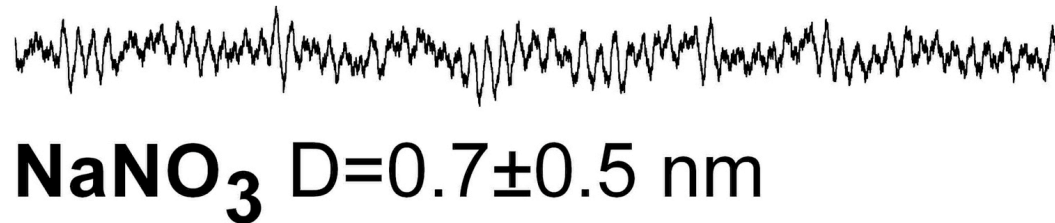
**a**



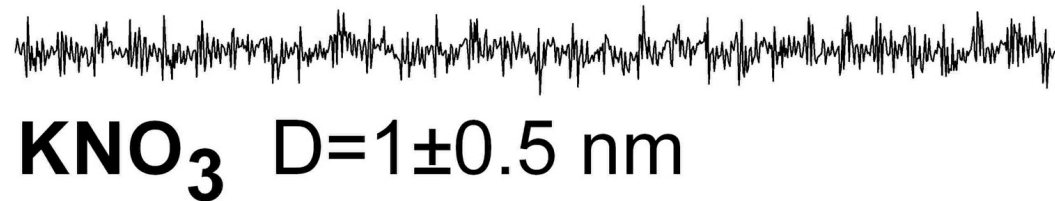
**b**



**c**



**d**

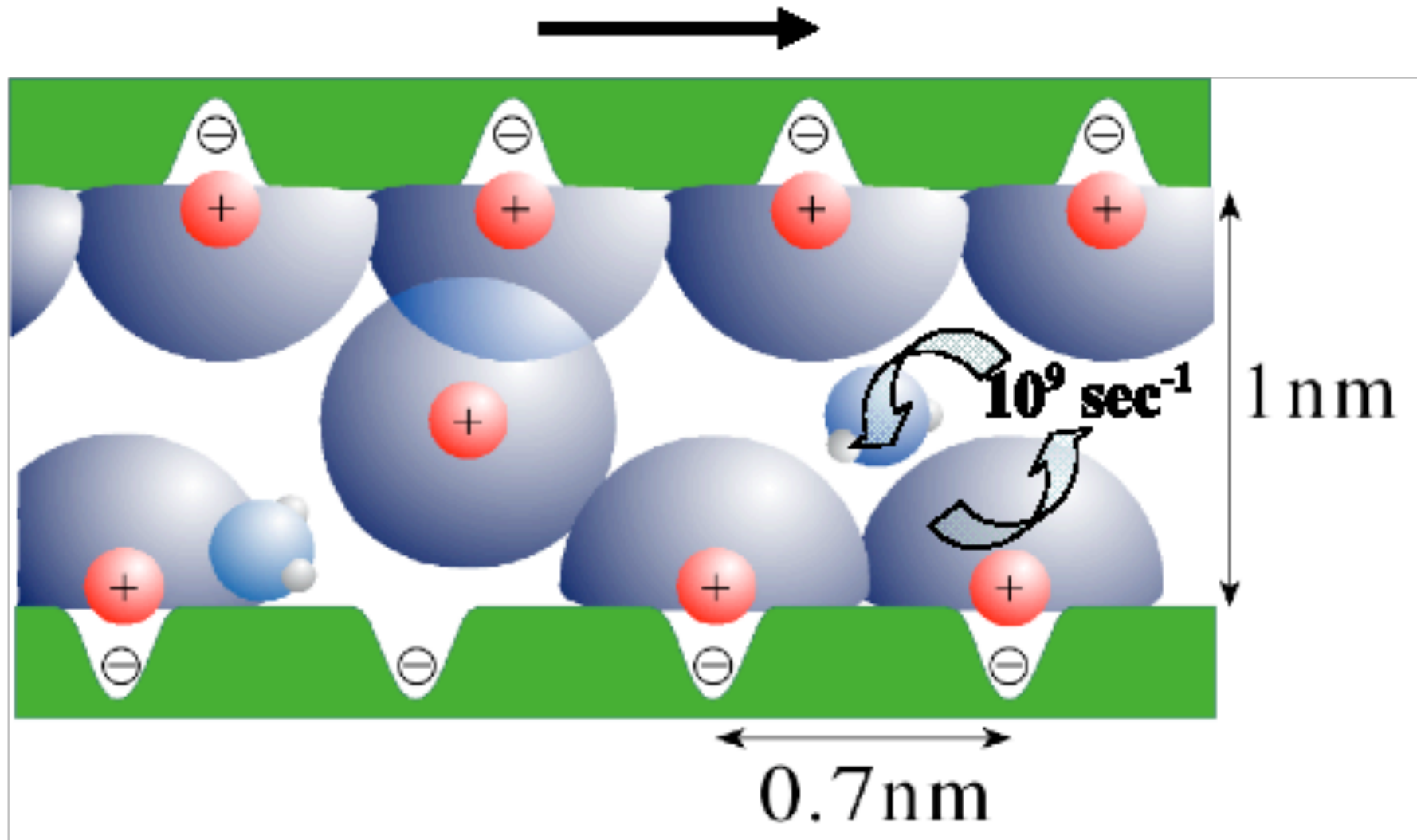


Chai, JK et al.,  
*Langmuir* **24**,  
1570-1576 (2008)

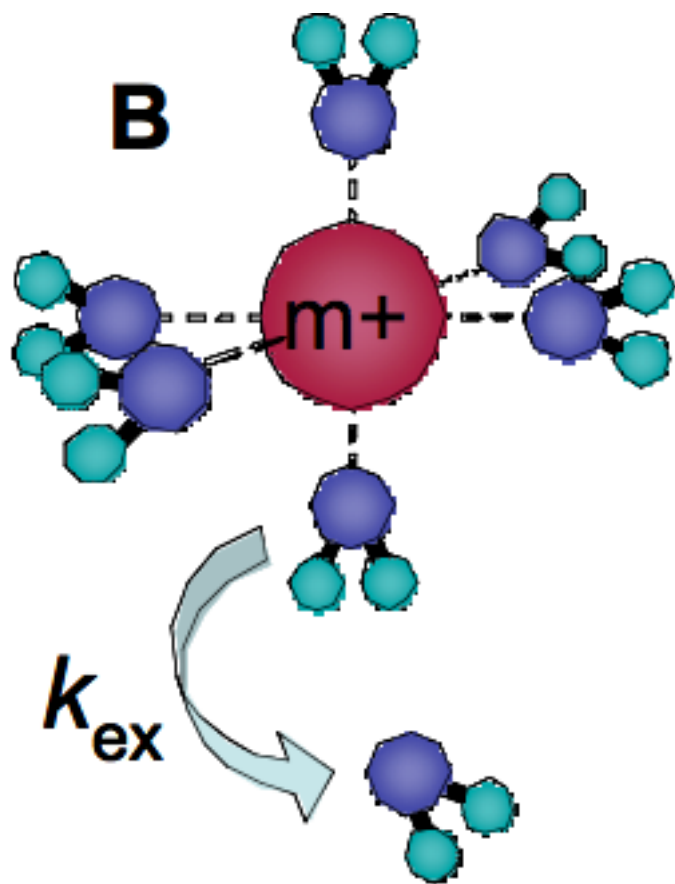
(but note Cs+  
behaves very  
differently, see  
Goldberg, Kampf,  
JK et al., *PCCP*  
**10**, 4939, (2008) )

For multivalent  
ions see Perkin,  
Goldberg, Kampf,  
JK et al., *Faraday  
Discussions* **141**,  
399 (2009)

$v_s$  up to 1200 nm/sec,  $\gamma$  up to 1500 sec<sup>-1</sup> •  
sssec<sup>-1</sup>

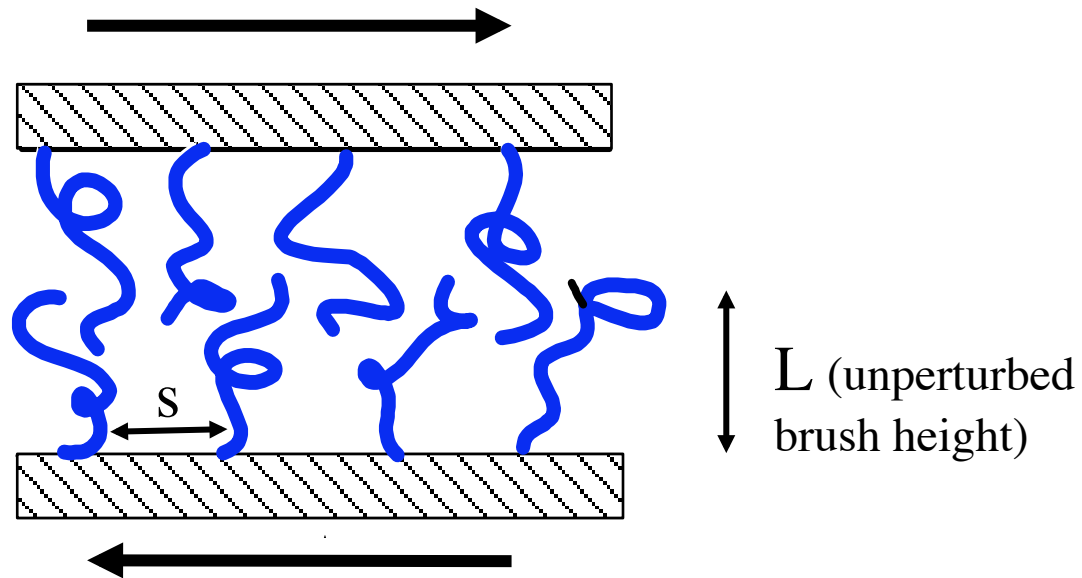


Raviv & JK, *Science*, **297**, 1540-3 (2002)



$k_{ex}$  ranges between  
 ca.  $10^9 \text{ sec}^{-1}$  (i.e.  $\tau \approx 1 \text{ ns}$ ) for the  
 alkali metal ions and  
 ca.  $10^{-5} \text{ sec}^{-1}$  (i.e.  $\tau \approx 1 \text{ day}$ ) for  $\text{Cr}^{3+}$

	Ion	$\Delta G_{\text{hydr}} / \text{crdn. } H_2O$ (kJ/mol)
Large dehydration energies	$\text{Cs}^+$	-35
	$\text{Na}^+$	-81
	$\text{Ni}^{2+}$	-345



**Polymer brushes can reduce friction 1000-fold**

(polystyrene in toluene)

$(L/s) \approx 7 - 8$

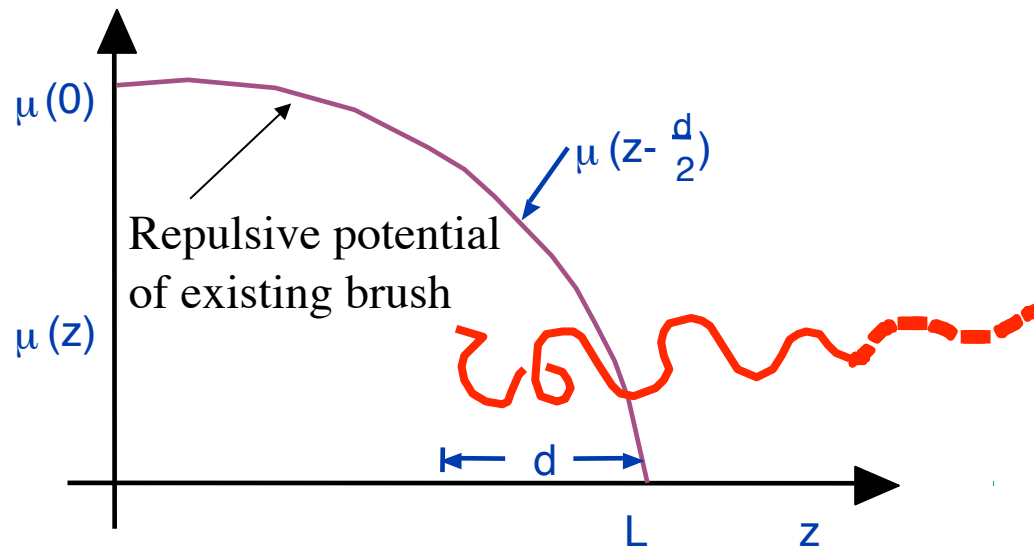
(JK et al., *Nature* **370**, 634 (1994))

(Tadmor, JK, et al. *Phys. Rev. Lett.* **91**, 115503 (2003);

Tsarkova, JK et al., *Macromolecules*, **40**, 2539-2547 (2007));

Eiser and JK, *Macromolecules*, **40**, 8455-8463 (December 2007))

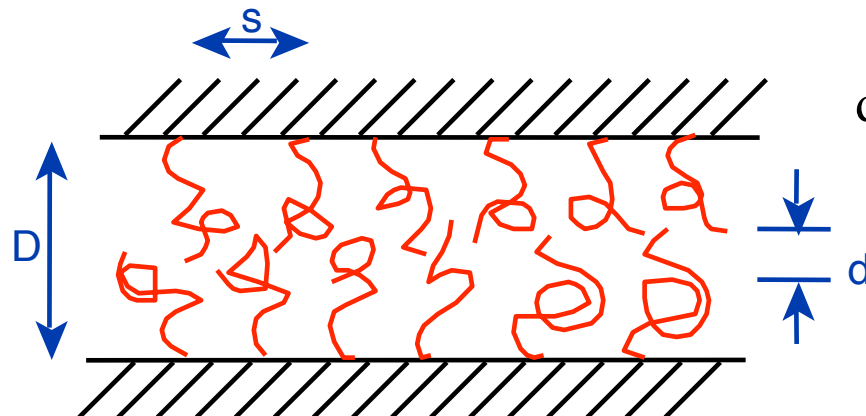
# For compressed, overlapping brushes



J.K., Annual Rev. Material Sci  
26, 581 (1996)  
 eqs. (17) - (22)

$$\Rightarrow d^3 \cong \frac{R_0^4}{L'}, \text{ i.e. } d \propto L'^{-1/3} \propto D^{-1/3}$$

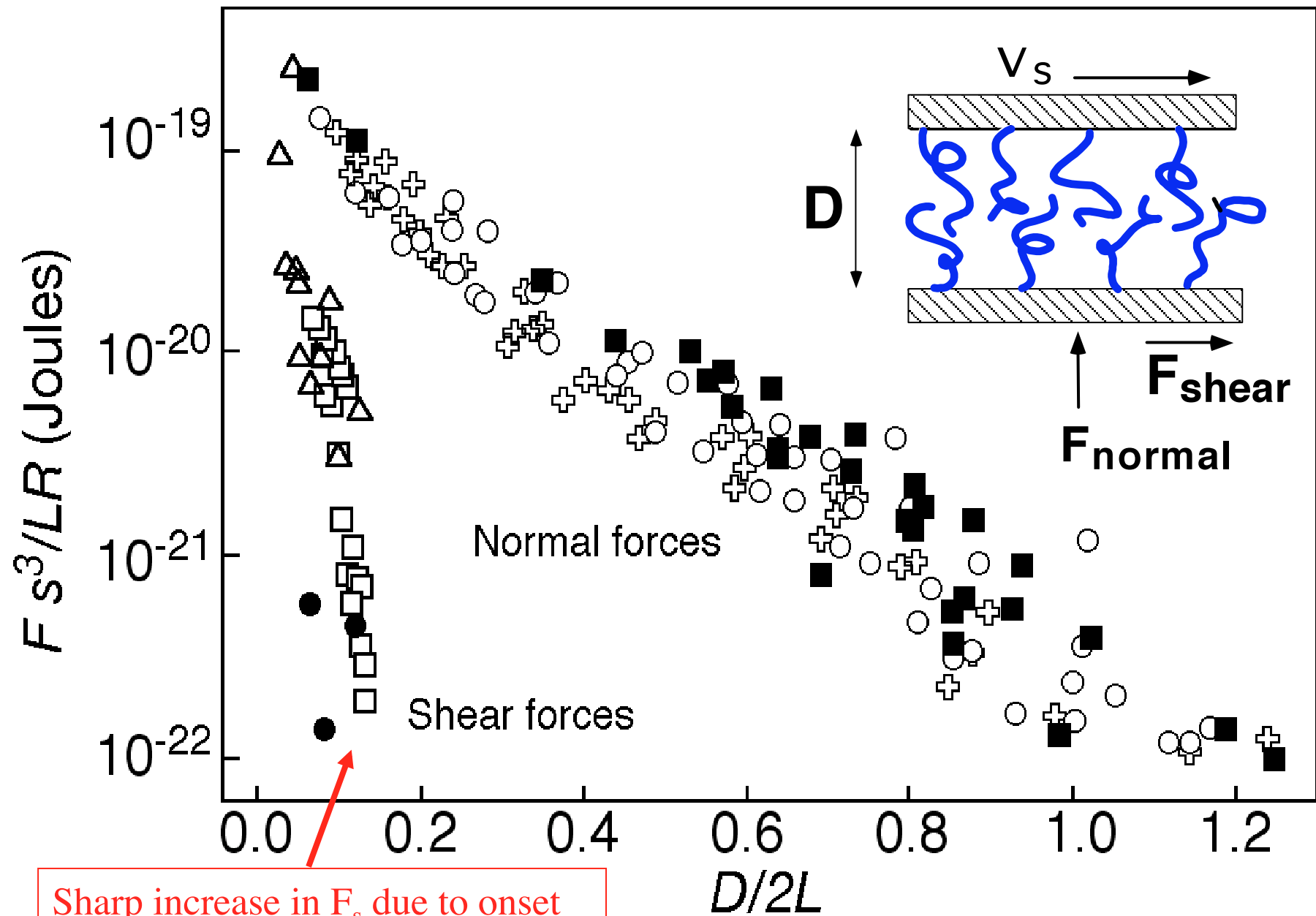
Witten et al, 1990  
 Wijmans et al, 1994  
 Grest, 1999  
 Sokoloff, 2006



d=interpenetration

E. Eiser & JK  
**Macromolecules**, **40**, 8455-8463 (2007)

L. Tsarkova & JK  
**Macromolecules**, **40**, 2539-2547 (2007)

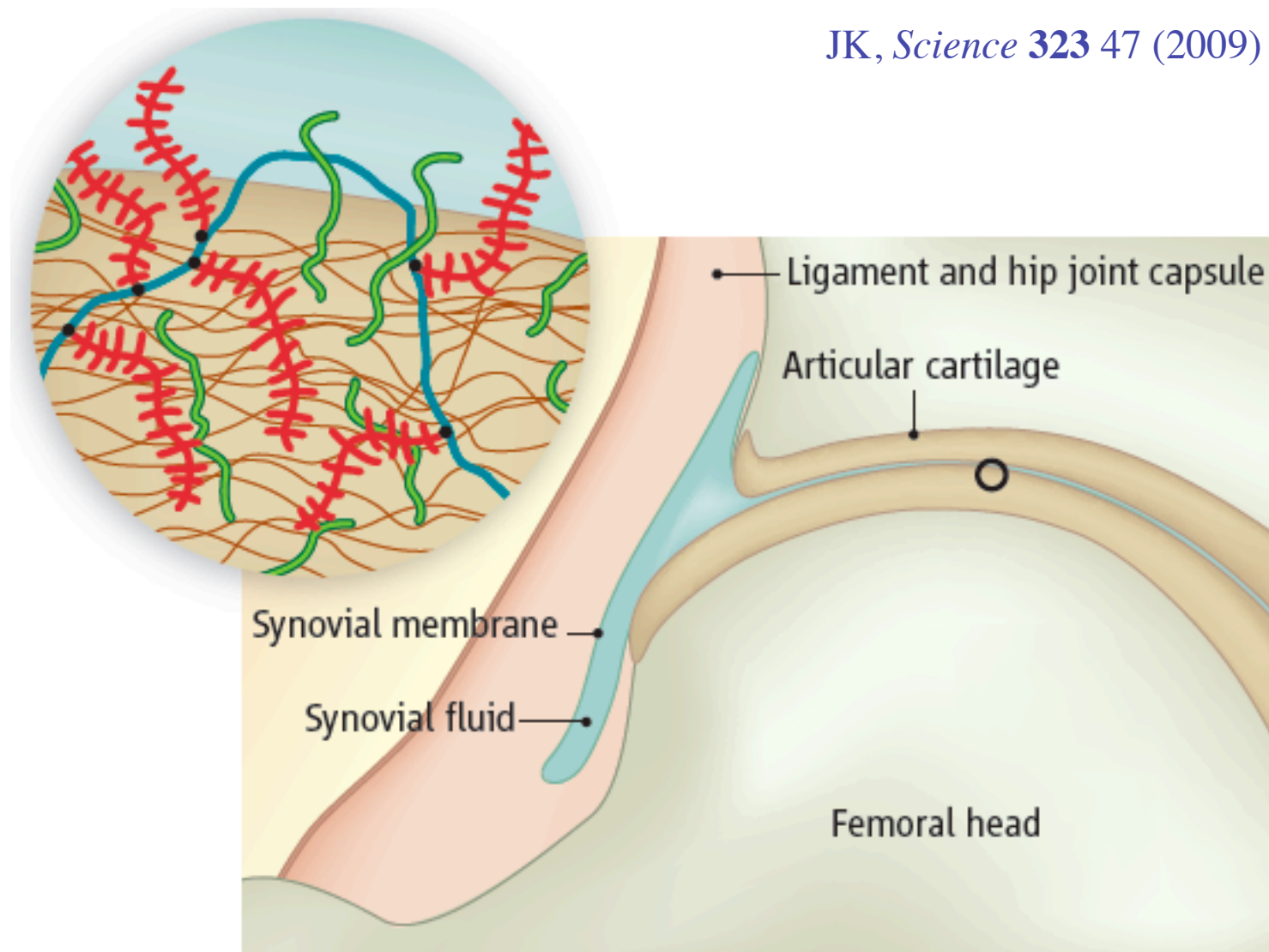


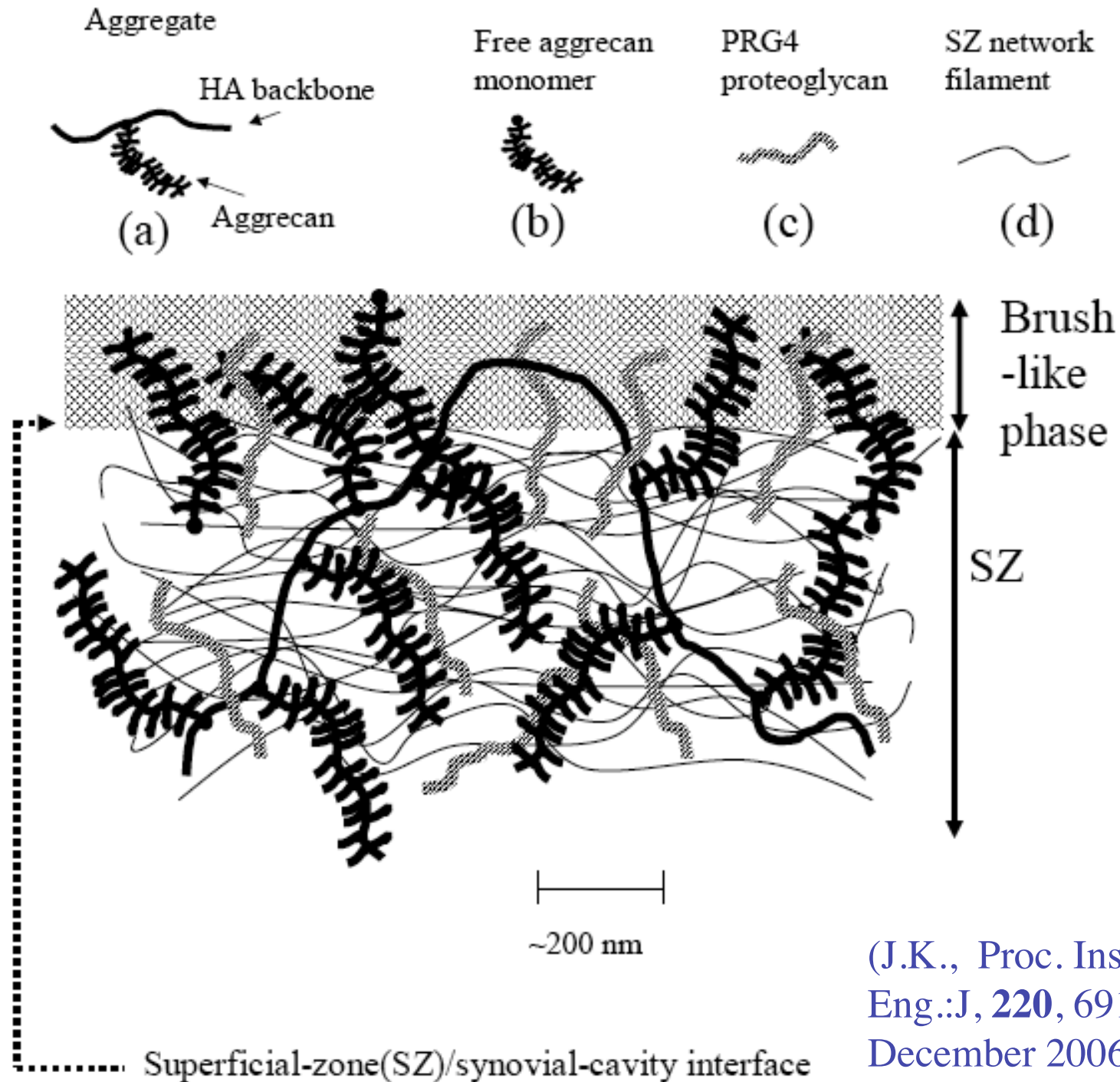
Sharp increase in  $F_s$  due to onset of glassy regime at mean pressure  $\approx 0.3$  MPa

(JK et al., *Nature* **370**, 634 (1994))

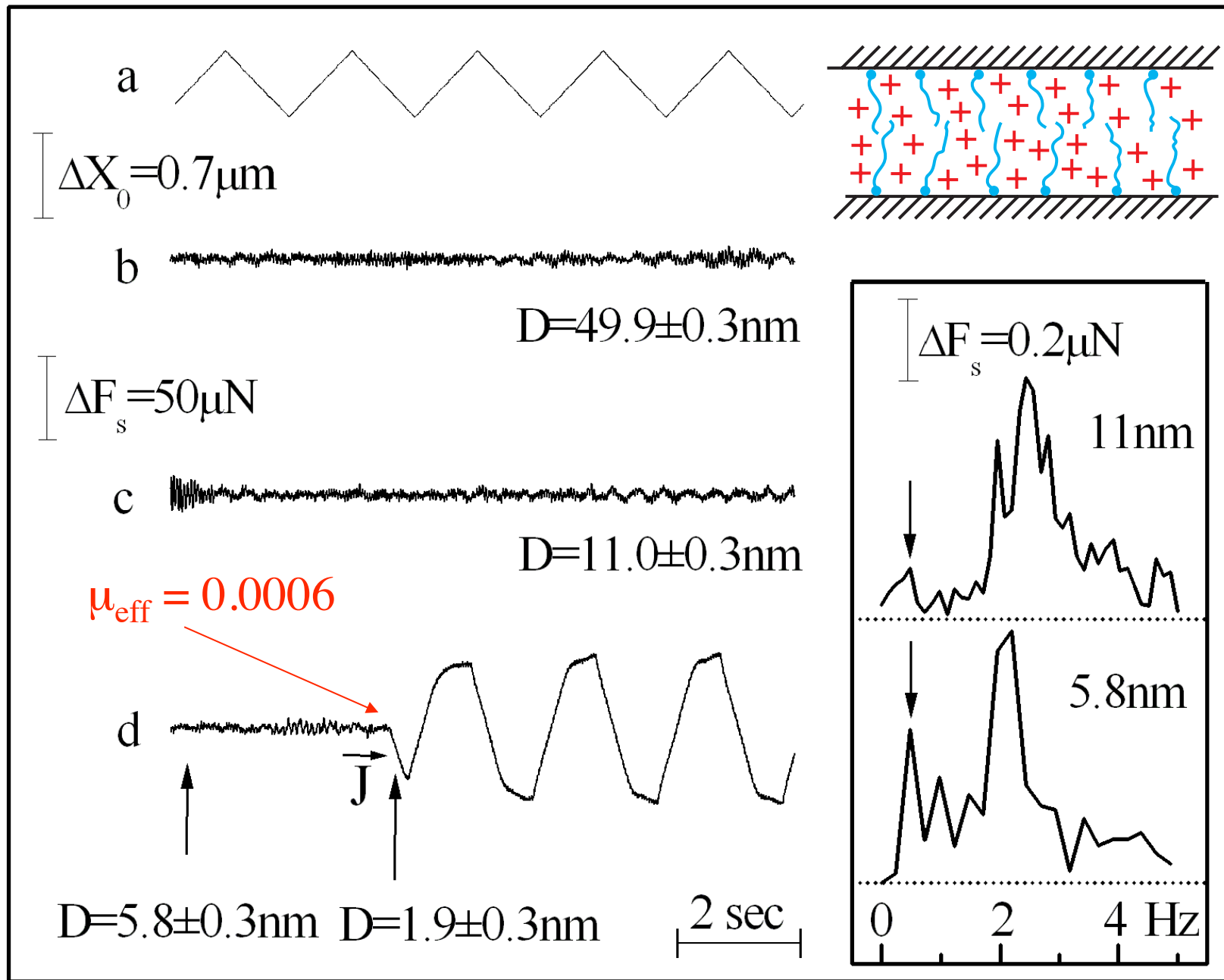


JK, *Science* **323** 47 (2009)

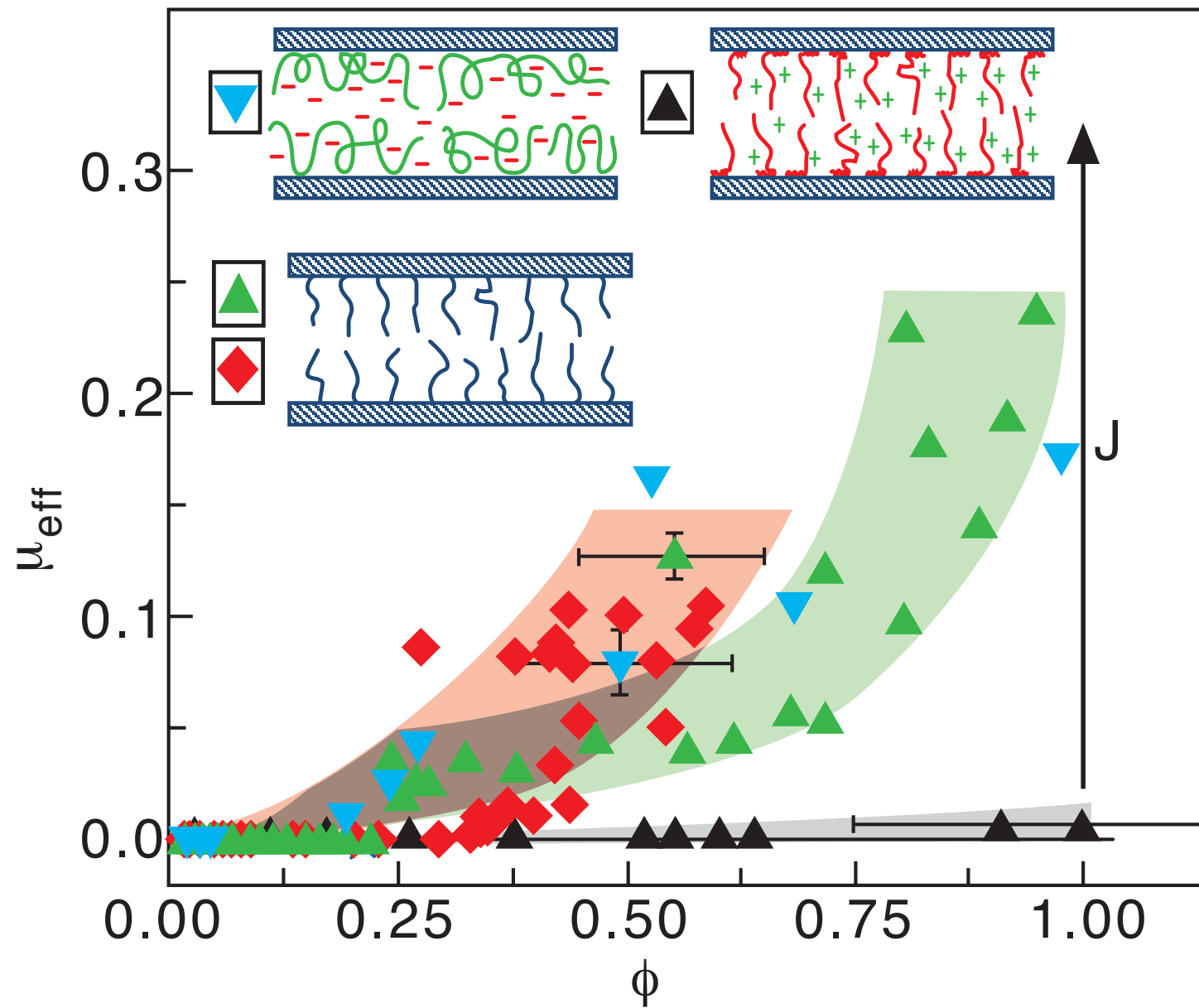




(J.K., Proc. Inst. Mech. Eng.:J, **220**, 691-710, December 2006)



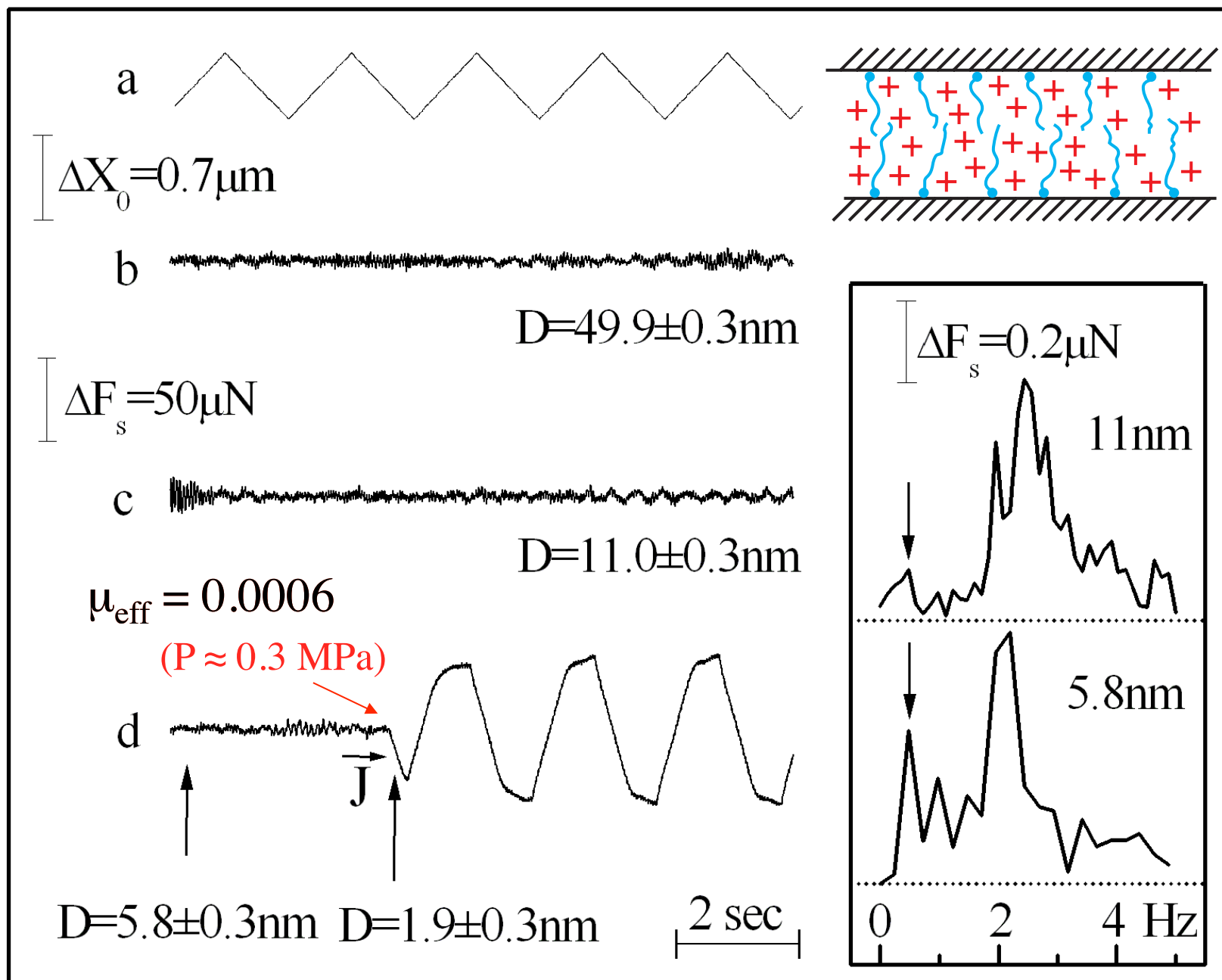
Raviv, JK et al., *Nature*, **425**, 163-165, (2003); *Langmuir* 2008



*Nature*, **425**, 163-165, (2003)

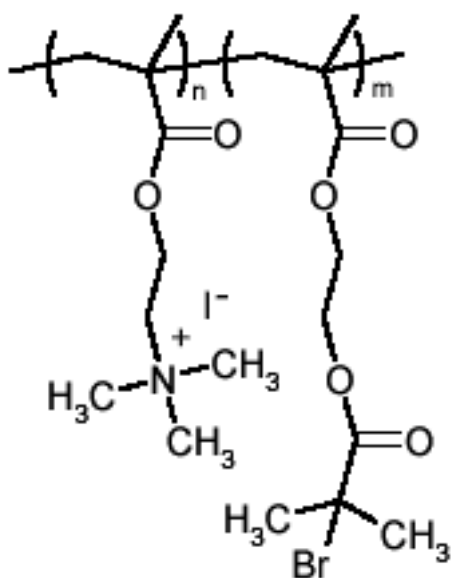
*Langmuir*, **24**, 8678-8687 (2008)

But there is a small problem...

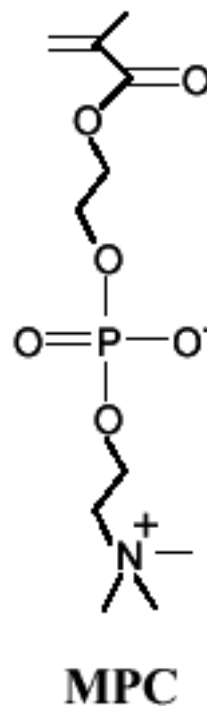


Raviv, JK et al., *Nature*, **425**, 163-165, (2003); *Langmuir*, 2008

Meng Chen, Hagai Cohen, Wuge Briscoe,  
Steven Armes (Sheffield), JK



Macro-initiator



MPC



Emulating  
phospholipid  
headgroups at  
cell-membrane  
surface (Ishihara)

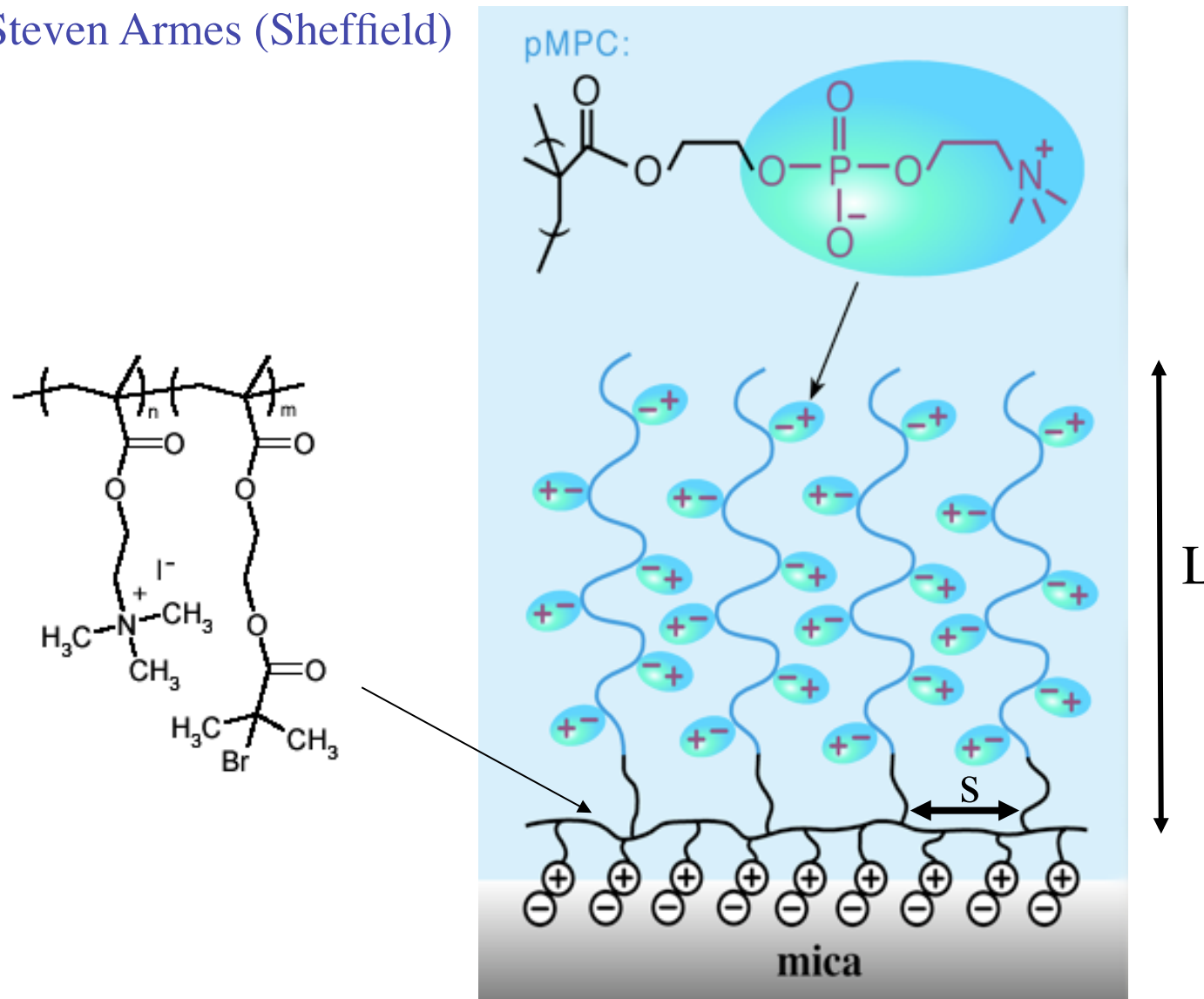
Chen, JK et al, *ChemPhysChem*, **8**, 1303-1306 (2007)



Meng Chen, Hagai Cohen  
Wuge Briscoe  
Steven Armes (Sheffield)

## 2-Methacryloyloxyethyl phosphorylcholine

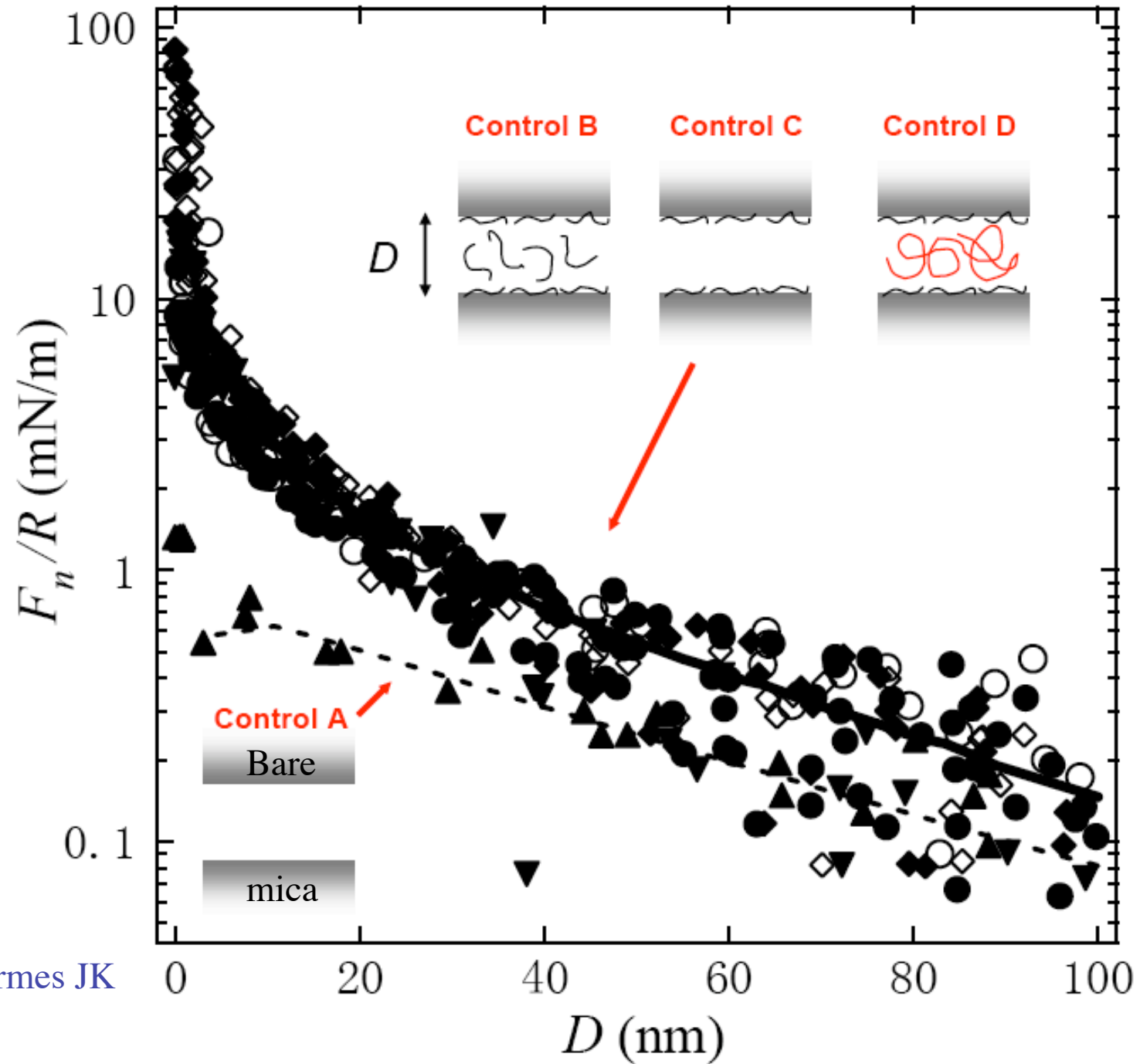
Very highly hydrated - ca. 17 - 21 water molecules/monomer (Ishihara)



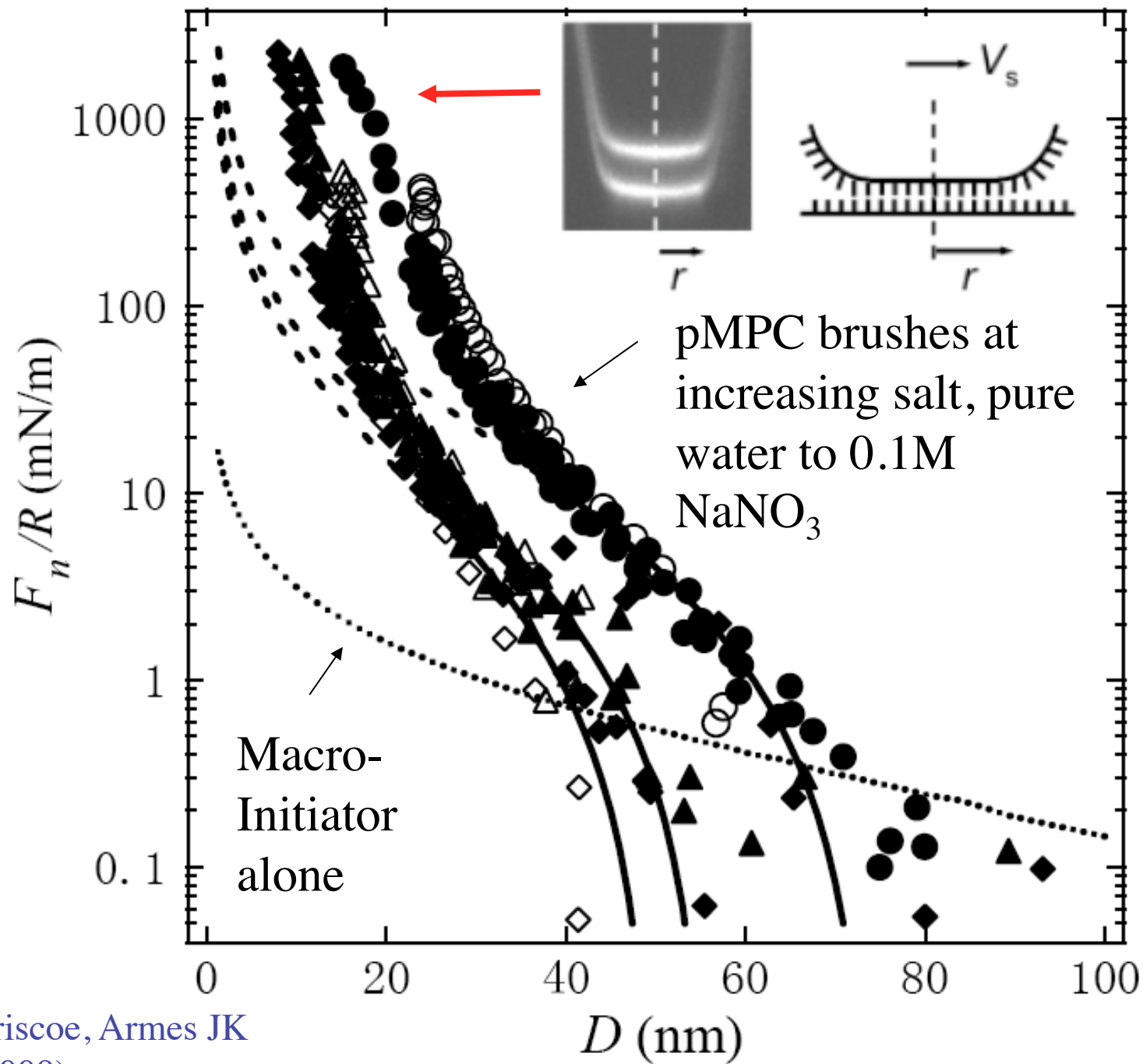
High brush density

$$(L/s) \approx 15$$

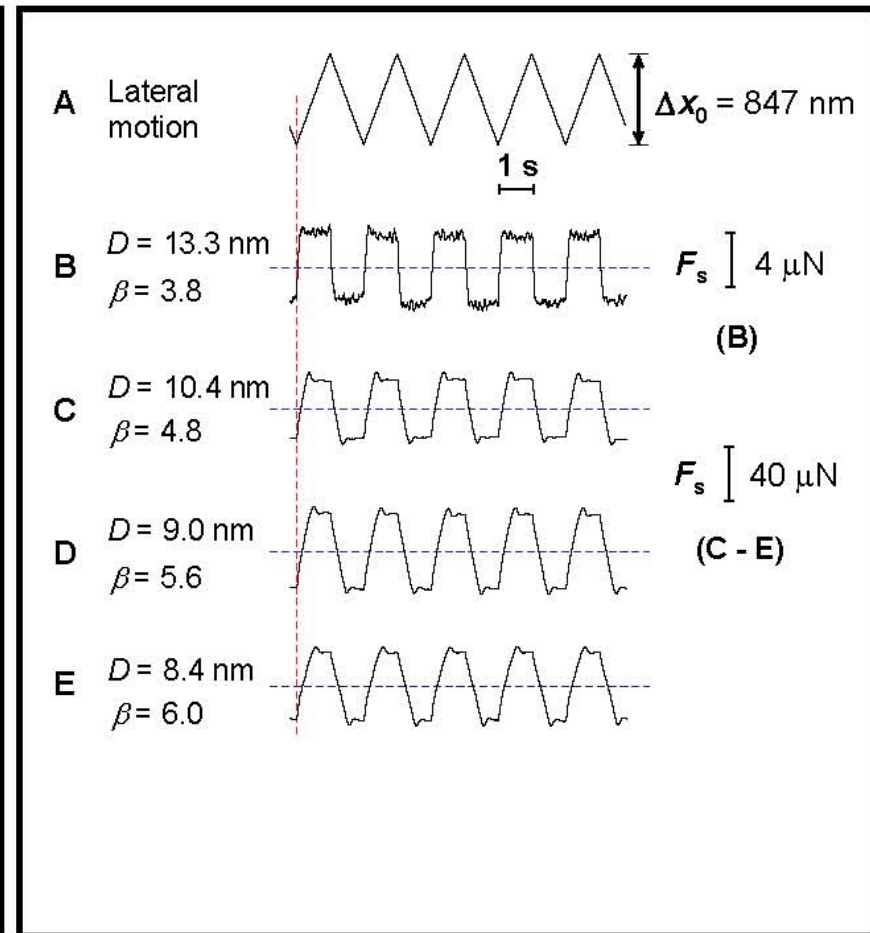
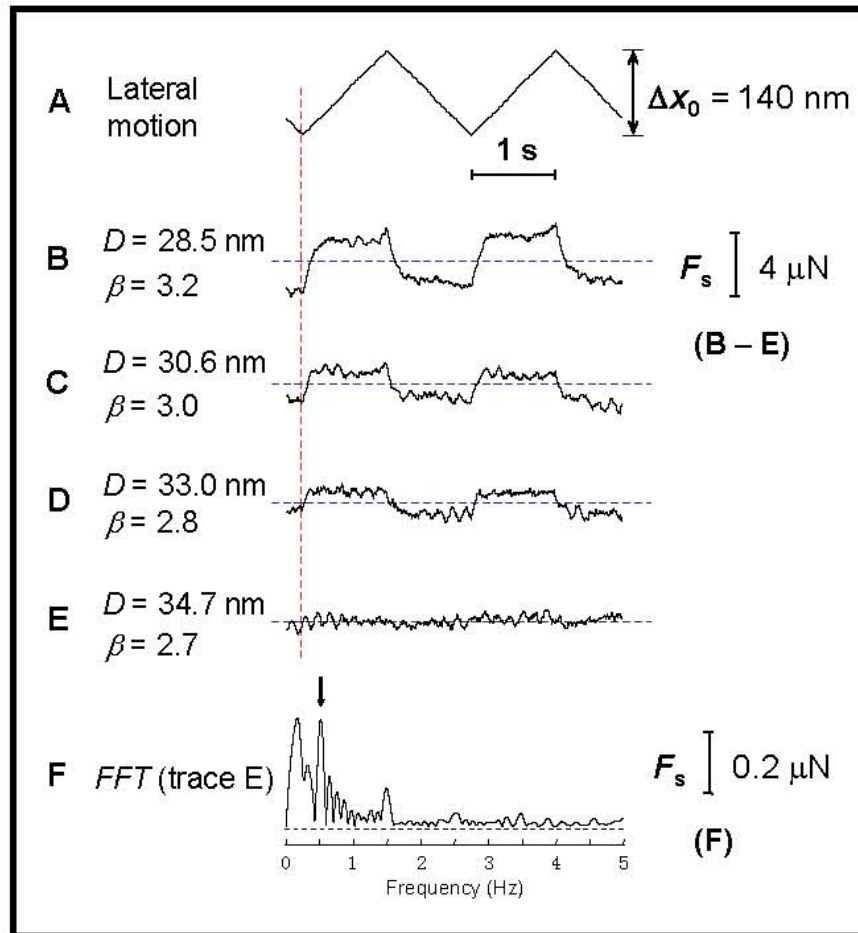
Interactions  
between  
macroinitiator  
coated mica  
surfaces



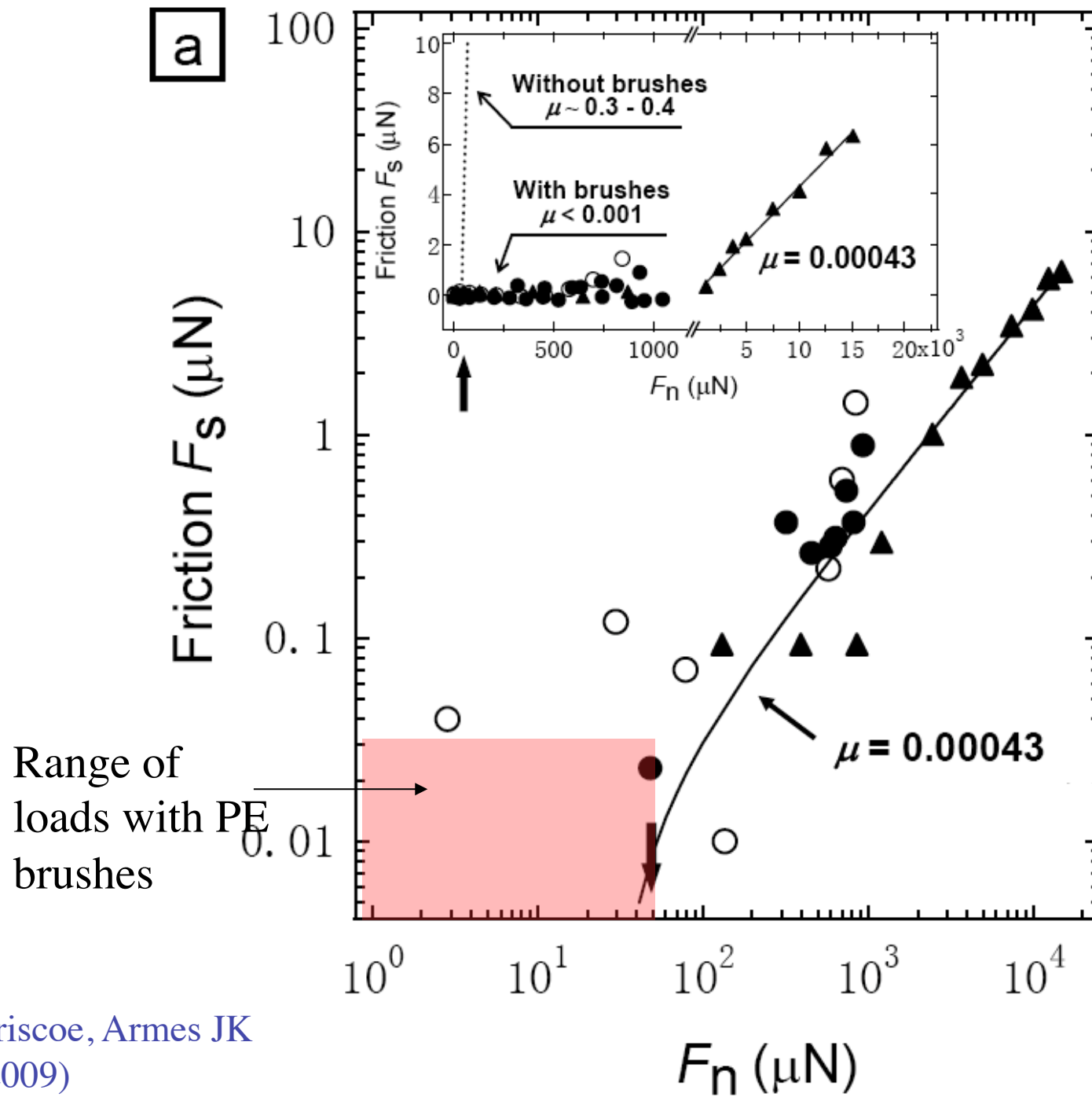
(Chen, Briscoe, Armes JK  
*Science* 2009)



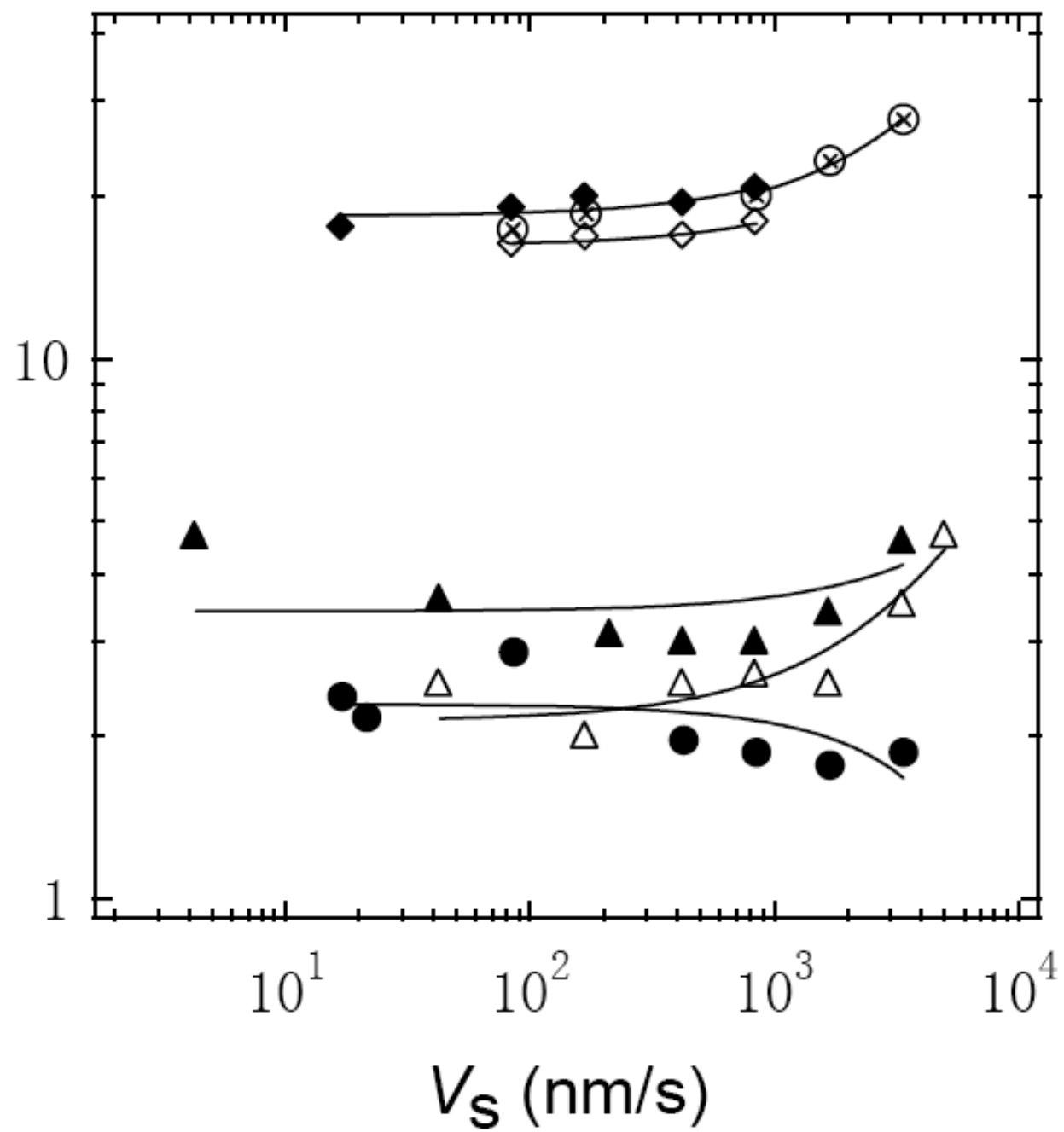
(Chen, Briscoe, Armes JK  
*Science* 2009)



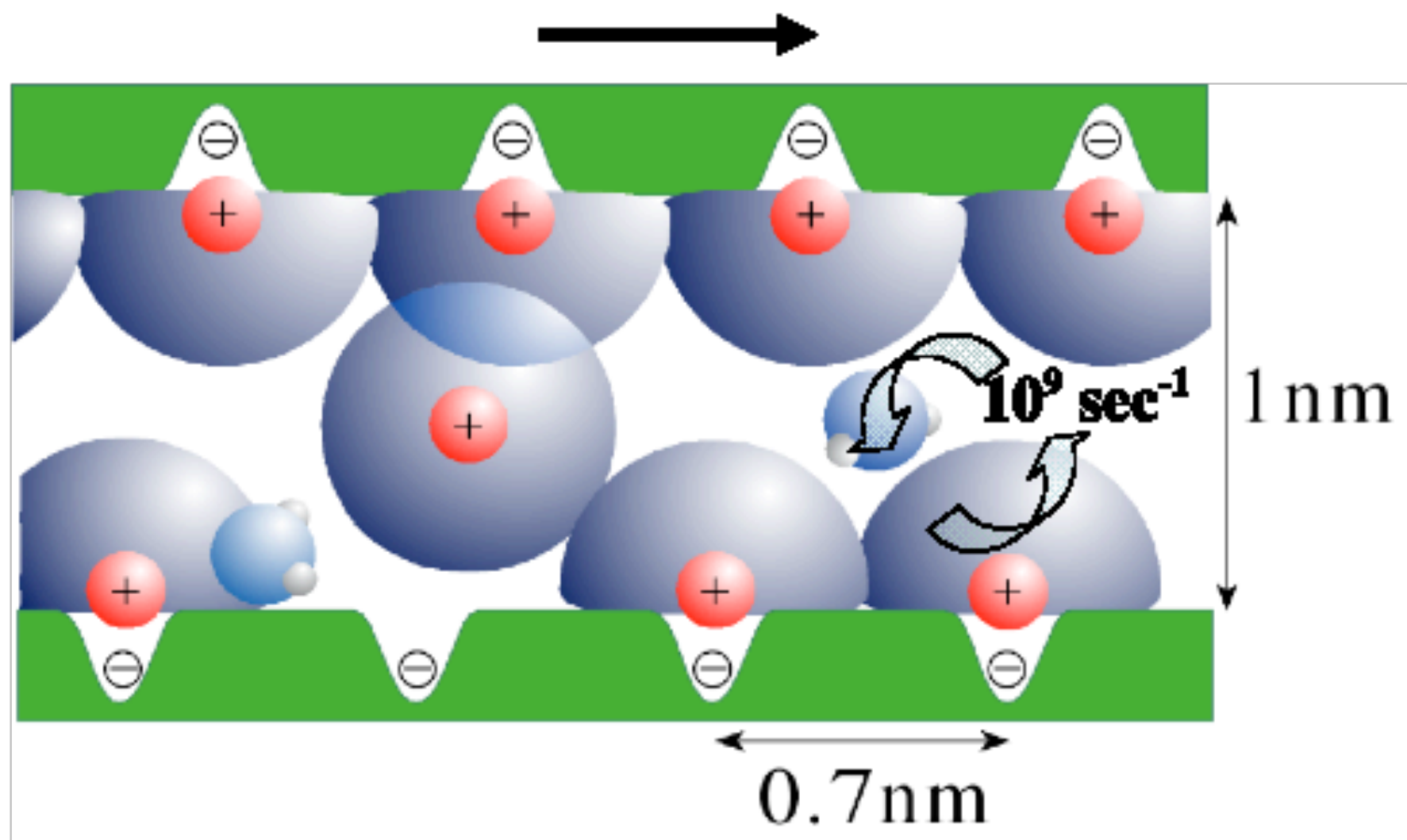
Frictional response with compressed pMPC brushes



(Chen, Briscoe, Armes JK  
*Science* 2009)

**b**Friction  $F_S$  ( $\mu\text{N}$ )

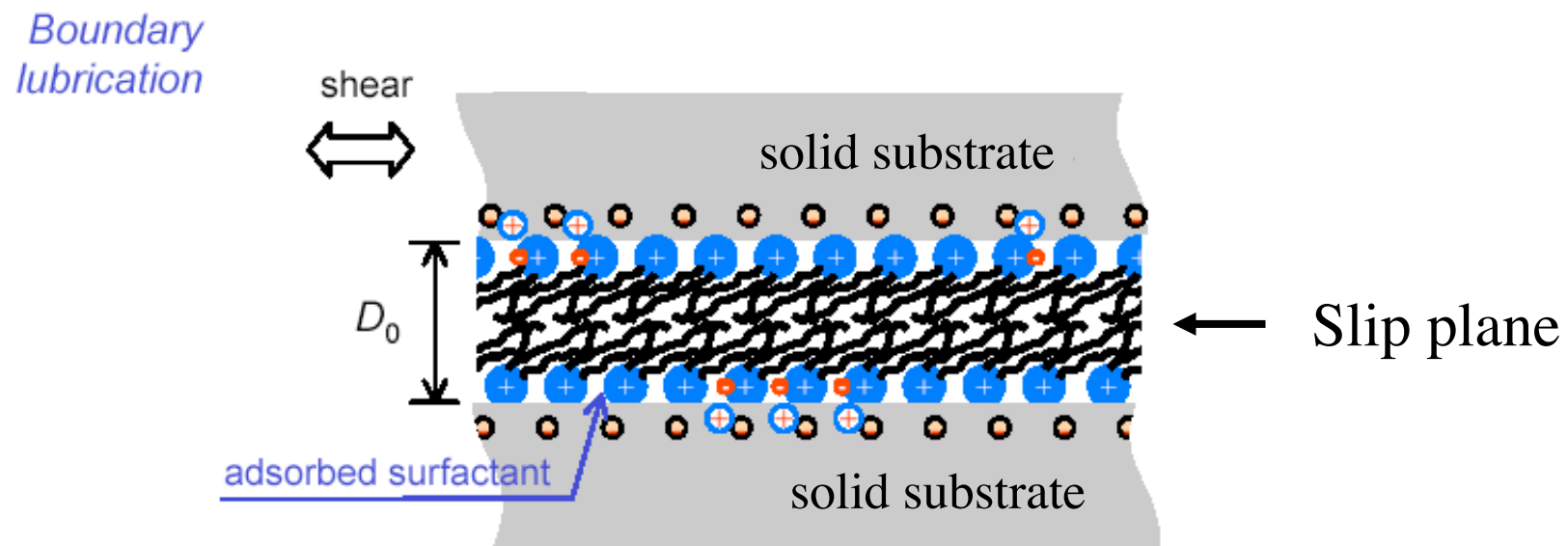
$v_s$  up to 1200 nm/sec,  $\gamma$  up to  $1500 \text{ sec}^{-1}$  •  
 $\text{sssec}^{-1}$



In **air** or oil, boundary lubrication provided classically (engineering tribology) by surfactants coating the rubbing surface, so that friction takes place between surfactant tails, preventing wear of substrate (Hardy 1922, Bowden & Tabor. 1954)

Characteristic friction rather large:  $\mu = \text{ca. } 0.05 - 0.1$

2 orders of magnitude larger than in mammalian joints

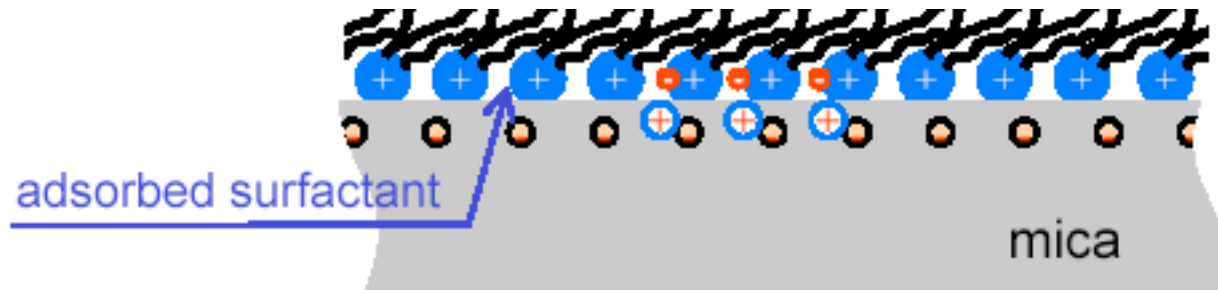
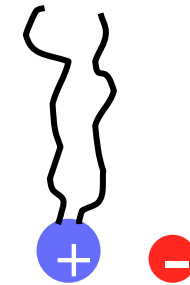


Wuge Briscoe, JK et al., *Nature* **444**, 191-194 (2006)

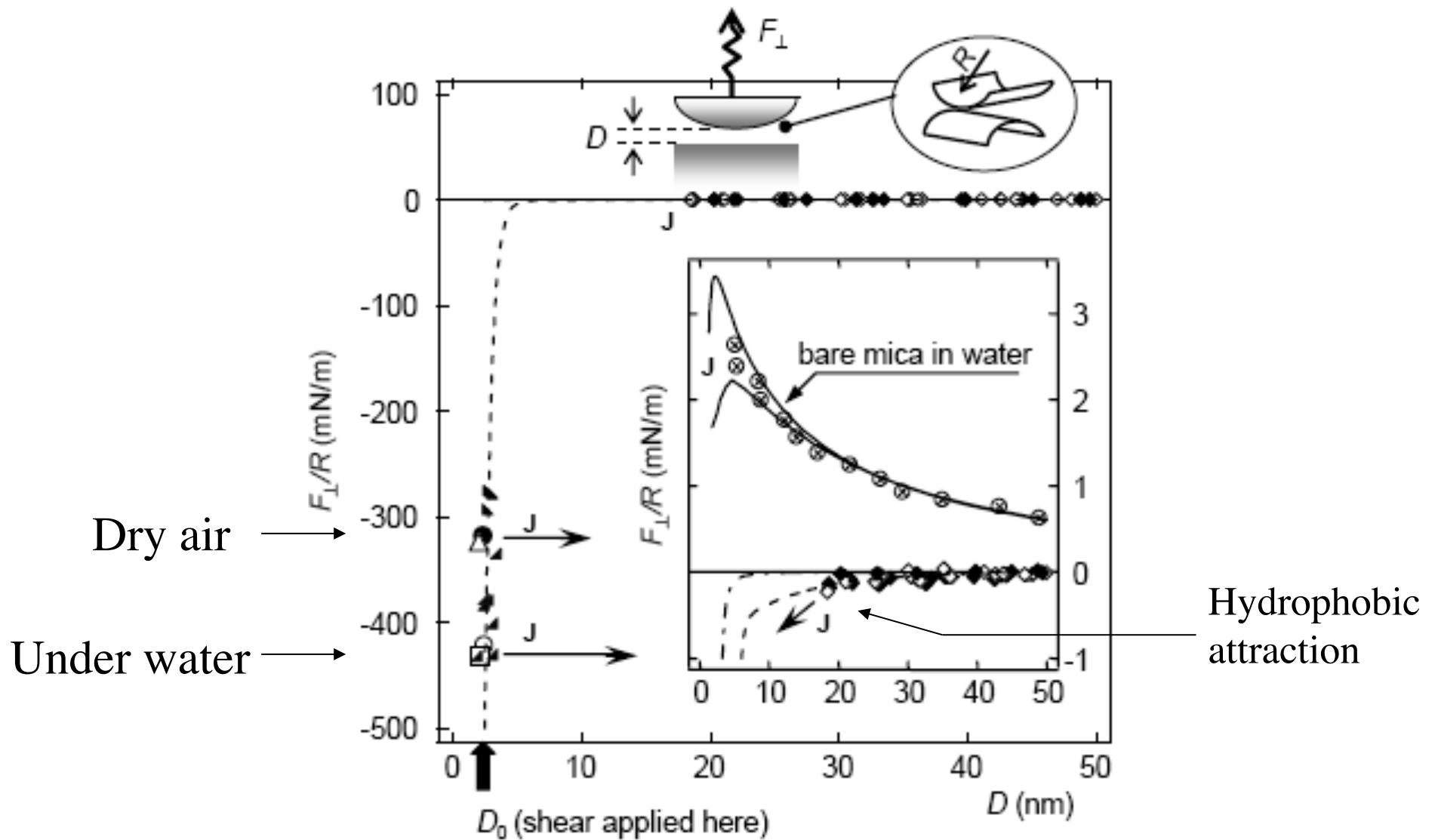


Incubate mica surfaces in DDunAB  
(DimethyDi-undecaneAmmonium Bromide  
 $(C_{11}H_{12})_2N^+(CH_3)_2Br^-$  (Bob Thomas)

Then rinse to remove excess surfactant.



Wuge Briscoe, JK et al., *Nature* **444**, 191-194 (2006)



Wuge Briscoe, JK et al., *Nature* **444**, 191-194 (2006)

Wuge Briscoe, JK et al., *Nature* **444**, 191-194 (2006)

Back and forth lateral motion



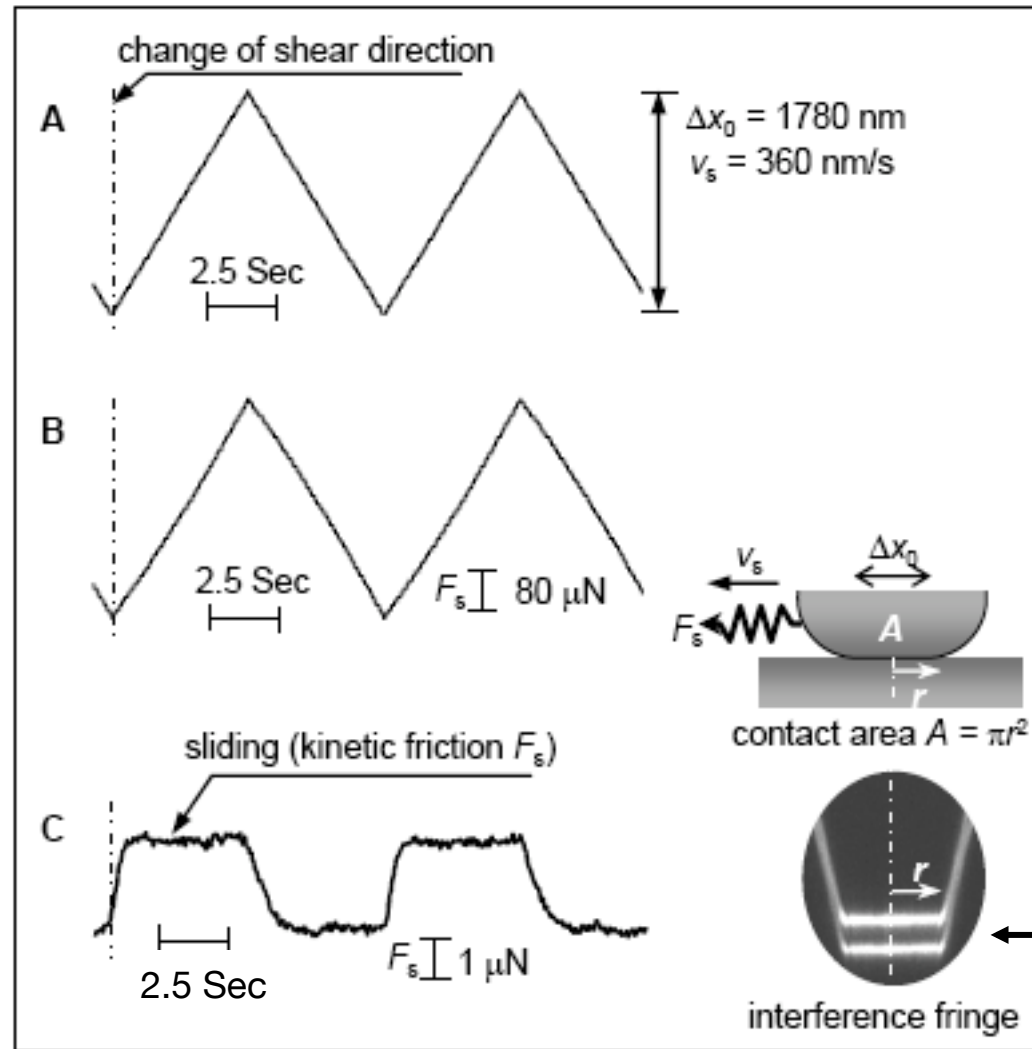
Response in air



Response under water



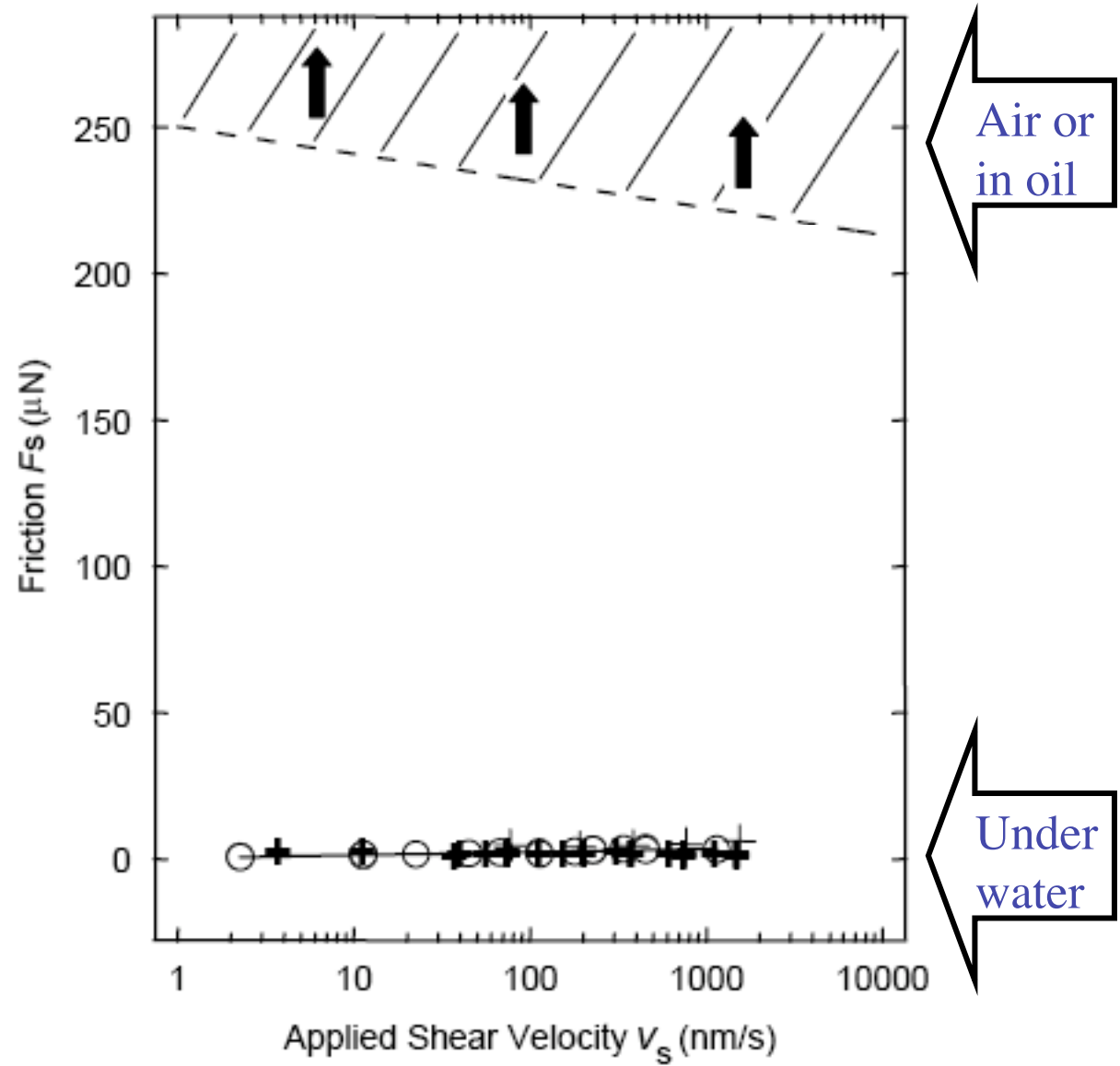
(note factor of x80 in shear force scale)



(No applied load, but 'equivalent' mean normal stress  $P \approx 4 \times 10^6 \text{ N/m}^2 = 40 \text{ atm}$ )

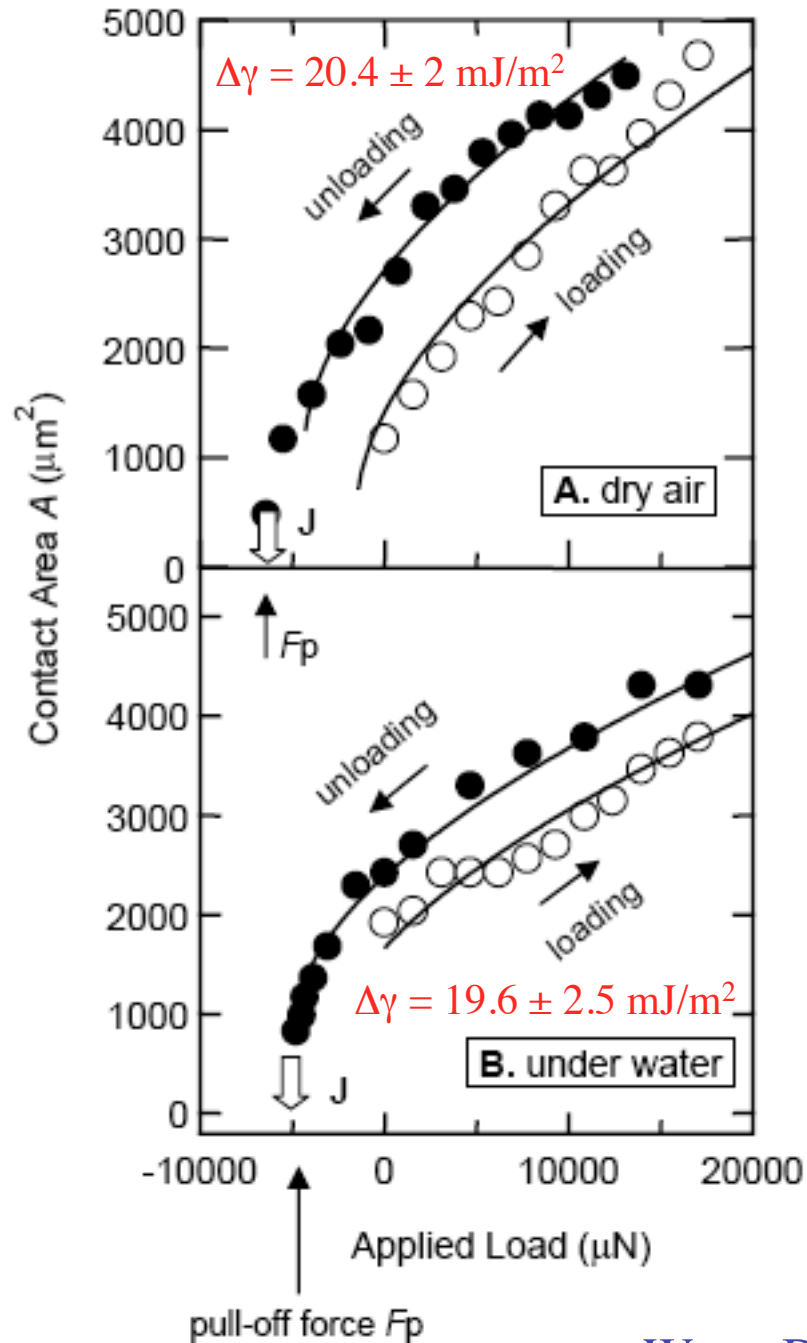
Fringe shift shows swelling of  $2.6 \pm 2 \text{ \AA}$  per layer on adding water

Briscoe, Klein et al.  
*Nature*, 444, 191 (2006)

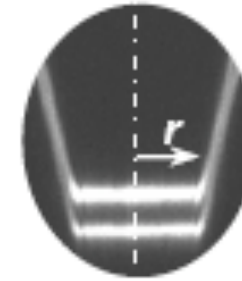


Friction under water drops to ca. 1% of its air/oil values

Why is boundary lubrication under water so much more efficient than in air?



From Johnson-Kendall-Roberts (JKR):



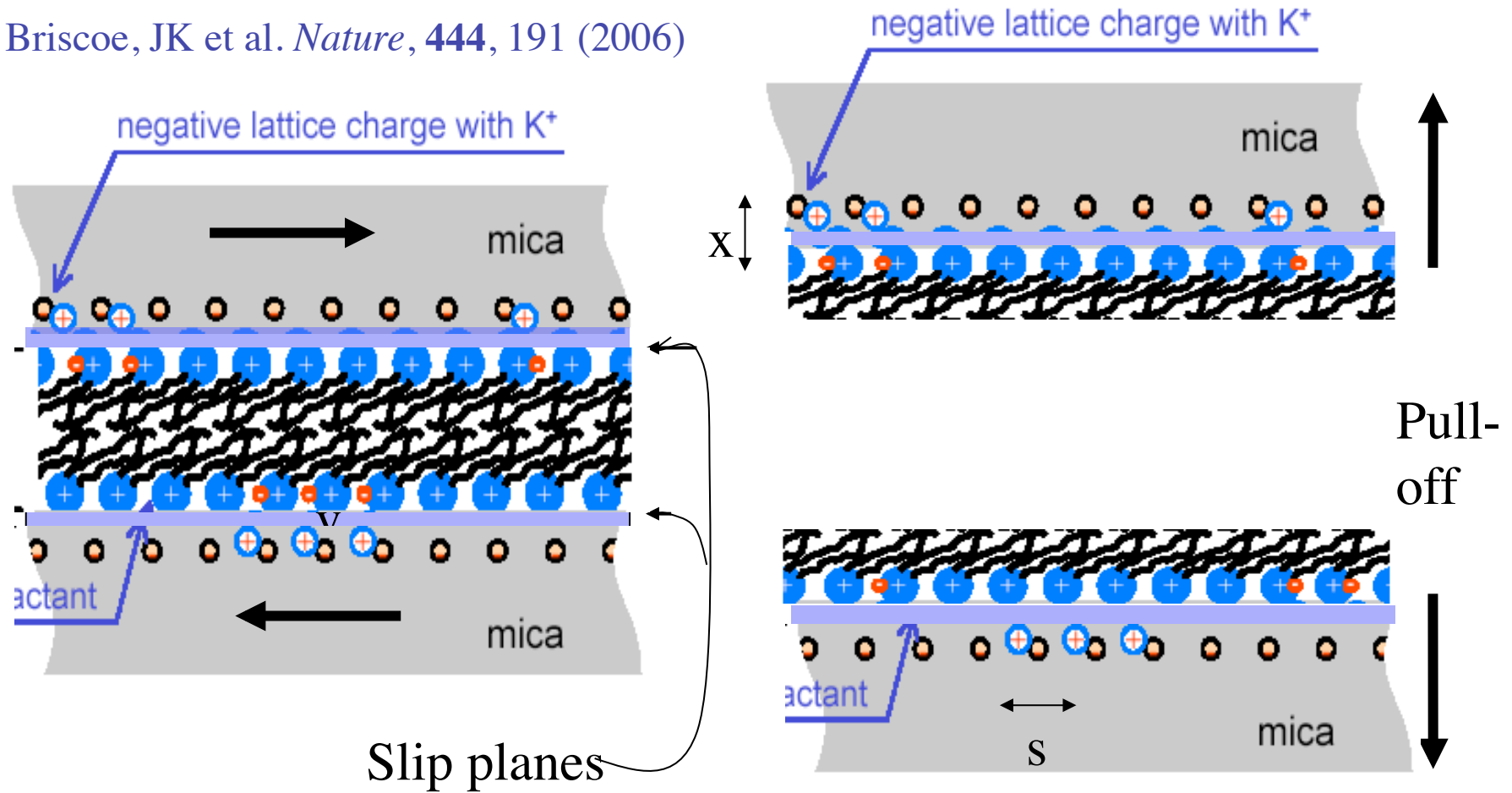
interference fringe

$$\text{Contact area} = \pi r^2 = \pi \left\{ \left( \frac{R}{K} \right) \left[ L + 6\pi R\gamma + \left( 12\pi R\gamma L + (6\pi R\gamma)^2 \right)^{1/2} \right] \right\}^{2/3}$$

Fit gives  $\gamma$ .

Adhesion hysteresis is  $\Delta\gamma = (\gamma_{\text{unloading}} - \gamma_{\text{loading}}) \approx 20 \pm 2 \text{ mJ/m}^2$  BOTH in dry air and under water

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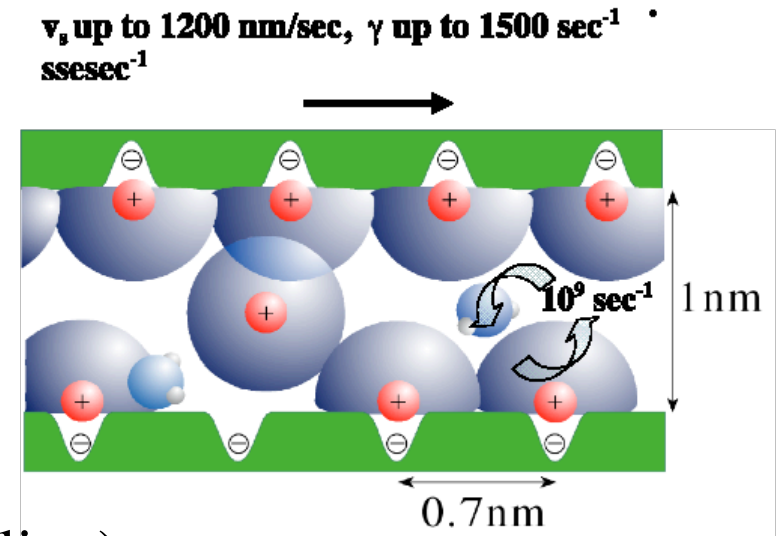


Under water, indication is that **slip plane shifts to substrate, while adhesive interface remains at midplane**  
 This is consistent with adhesive forces:

$$F_{\text{substrate}}/\text{molecule} = e^2/4\pi\epsilon\epsilon_0x^2 > s^2\gamma/h \approx F_{\text{midplane}}/\text{molecule}$$

Evidence for shift of slip plane for surfactant lubrication under water from midplane to substrate:

- a) Magnitude of frictional stress reduced by  $> 1 - 2$  orders of magnitude relative to air: suggests hydration-sheath lubrication in analogy with hydrated ions (recall  $\sim 2.5\text{\AA}$  swelling)



- b) Adhesion hysteresis is 3-4 orders of magnitude too large to account for frictional stress: suggests midplane adhesion
- d) Immersing in water while adhered - results in similar or lower frictional stress (and similar  $\sim 2.5\text{\AA}$  swelling)
- e) Analogous surfactants with less hydrated interface lead to much higher frictional stress

*Nature*, **444**, 191 (2006)

*J. Adhesion*, **83**, 705 (2007)



# Summary

- Water - by virtue of rapid relaxations and strong dipolar interactions - can act as remarkable lubricant, via a very different mechanism to classical systems
- Hydrated ions can act as molecular ball-bearings
- Charged or poly(zwitterionic) macromolecules: steric (+counterions) + **fluid hydration layers**
- Boundary lubricants under water slide at **hydrated-**headgroup/substrate interface

- **Nature** 413, 51-54 (2001).
- **Science** 297, 1540 (2002).
- **Nature** 425, 163 (2003).

- **Nature** 444, 191 (2006).
- **Science**, 323, 47 (2009)
- **Science**, 323, 1698 (2009).