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International Centre for Theoretical Physics**



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**Advanced Conference on Seismic Risk Mitigation and Sustainable  
Development**

*10 - 14 May 2010*

**PSHA and NDSHA in Italy**

G.F. Panza  
*University of Trieste/ICTP  
Trieste*

# PSHA and NDSHA in Italy

by

Giuliano F. Panza



CHINA EARTHQUAKE ADMINISTRATION

Accademia Nazionale dei Lincei

Accademia Nazionale delle Scienze



Advanced Conference on Seismic Risk Mitigation & Sustainable Development  
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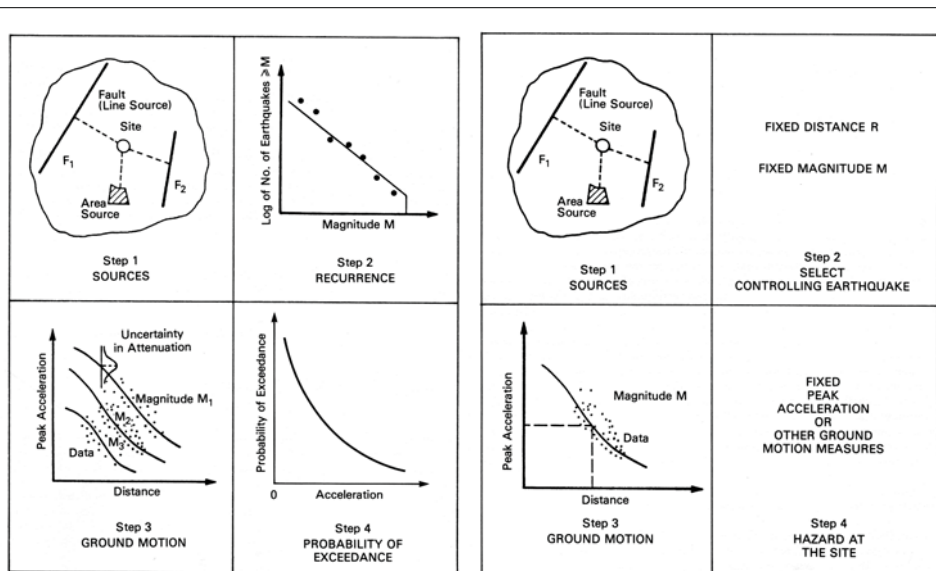


FIGURE 10.2 Basic steps of probabilistic seismic hazard analysis (after TERA Corporation 1978).

FIGURE 4.1 Basic steps of deterministic seismic hazard analysis (after TERA Corporation 1978).

Probabilistic and Deterministic  
procedures after Reiter (1990)

## Probabilistic

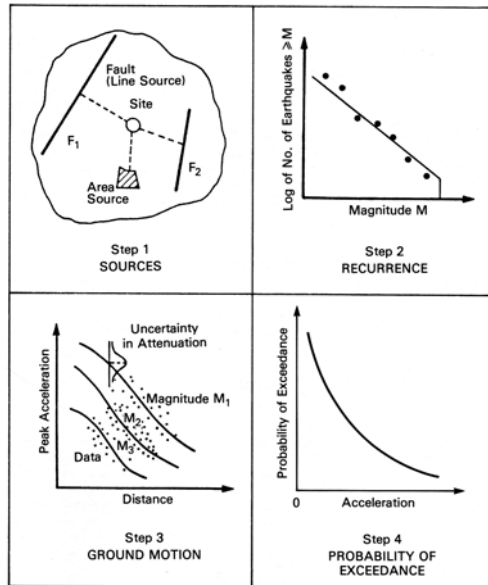
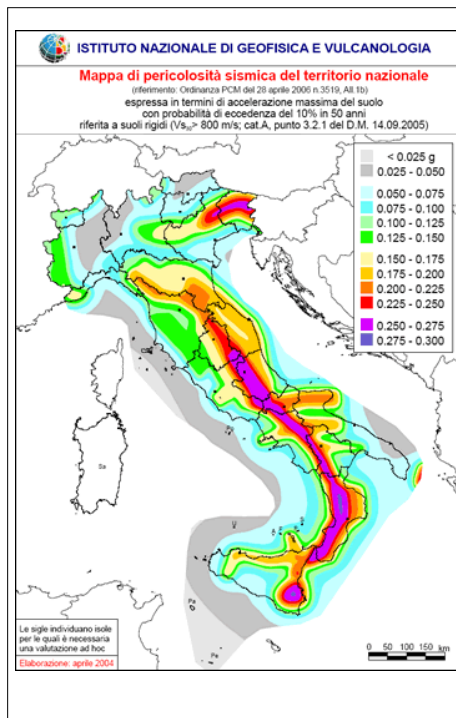


FIGURE 10.2 Basic steps of probabilistic seismic hazard analysis (after TERA Corporation 1978).

Step 2 - Recurrence can be represented by a linear relation only if the size of the study area is large with respect to linear dimensions of sources.  
 Step 3 - Attenuation relations are usually not translation invariant in the phase space (M, R, S), i.e. the relative decay is independent from (M, R, S). Even when translation invariant they are not a conditional probability density function, they represent the functional dependency of the random spectral acceleration on the random variates, magnitude, distance and measurement error (Klügel, ENGEO 90, 186-192, 2007) ↓

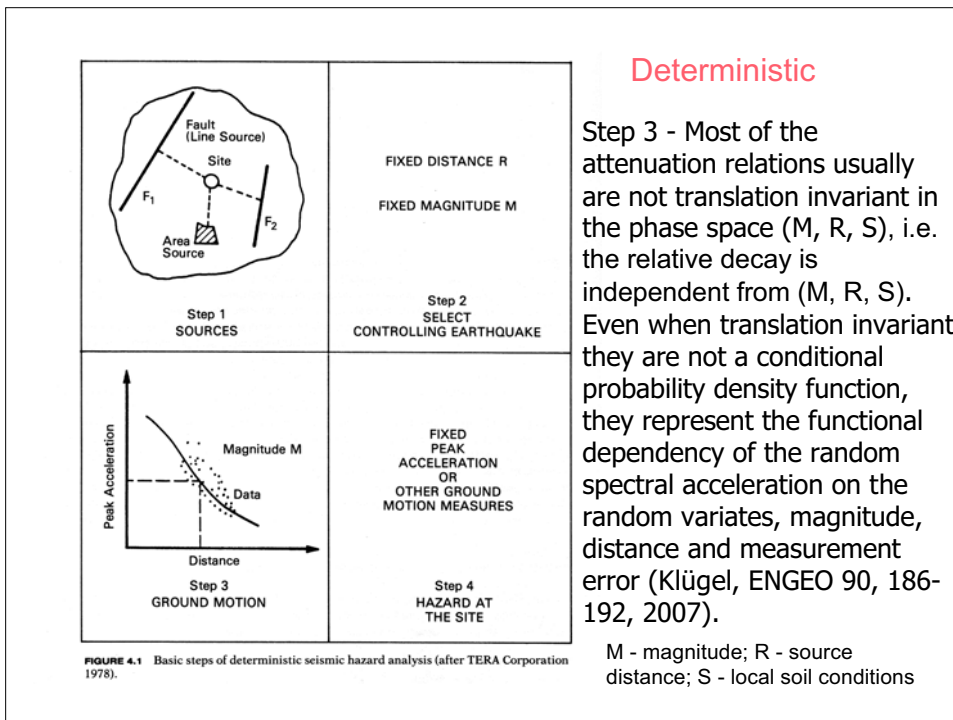
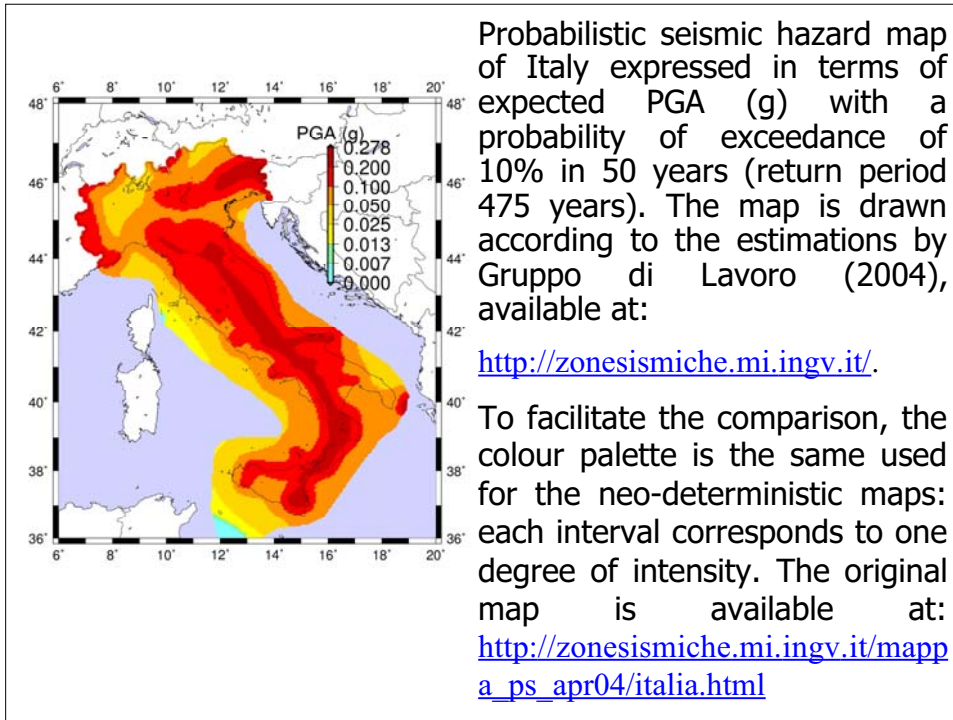
Step 4 - ???

M - magnitude; R - source distance; S - local soil conditions



Probabilistic seismic hazard map of Italy expressed in terms of expected PGA (g) with a probability of exceedance of 10% in 50 years (return period 475 years). From the web page [http://zonesismiche.mi.ingv.it/map\\_pa\\_ps\\_apr04/italia.html](http://zonesismiche.mi.ingv.it/map_pa_ps_apr04/italia.html)

The new national hazard map and related seismic code is based on methodologies and related computer codes that are more than 20 years old and thus cannot accommodate the major recent steps forward made in Seismology.



- The attenuation relationships of the ground motion parameters can differ in the assumed functional form, the number and definition of independent variables, the data selection criteria, and the statistical treatment of the data.
- Attenuation relations, often emphatically called laws, assume the same propagation model for all the events, but such a hypothesis is not very realistic.

Attenuation relations

- The most frequently used attenuation relations of ground motion parameters, like PGA, PGV, have the form:

$$\log y = a + b M + c \log r_f + d D_f + e S \quad (1)$$

- where  $y$  is the ground motion parameter,  $a$ ,  $b$ ,  $c$ ,  $d$ ,  $e$  coefficients empirically determined,  $r_f$  and  $D_f$  are different measures of the distance from the source and  $S$  is a binary variable (0, 1) which depends on the soil type.

Attenuation relations

$D_f$  is the closest distance from the intersection, with the free surface, of the fault plane or with its extension to the surface, for blind faults (the strike of the fault);

$$r_f^2 = D_f^2 + h_o^2$$

where  $h_o$  represents a reference depth. The value of  $h_o$  is different when dealing with PGA or PGV, and usually varies between 5 and 10 km for PGA and between 3 and 10 for PGV.

Attenuation relations

- The **coefficients** are determined empirically and turn out to be **quite sensitive to the data set utilized**.
- Usually **regional data** sets are **statistically not significant**, while the **national or global data** sets, even if statistically significant, they can **represent very different seismotectonic styles that are not mixable**. Quite often the coefficients are obtained in such a way that they turn out to be (almost) independent from magnitude, distance and soil type.

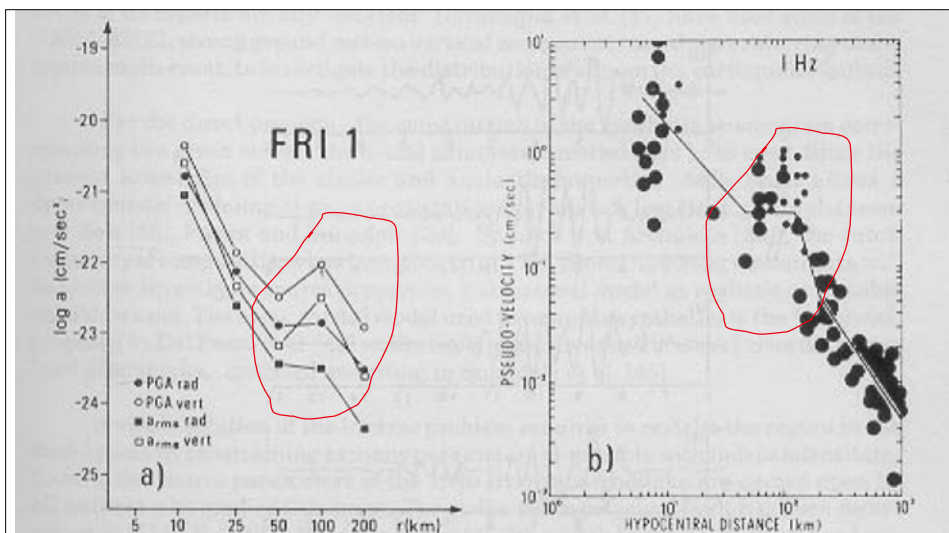
Attenuation relations

- If we consider the **relative decay**  $R_y = y_{r_f} / y_{source}$ , where  $r_f$  is the distance from the source and the suffix source indicates the values at the closest instrument to the source, typically  $D_{source}$  is about 2 km, we have

$$\log R_y = c(\log r_f - \log r_{source}) + d(D_f - D_{source}) \quad (2)$$

- i.e.  $R_y$  does not depend upon the **magnitude** (size of the event) and the **kind of soil** (local soil conditions).

Attenuation relations



a) Theoretical attenuation relation showing the effect of critical reflection

b) Observed attenuation relations (Burger et al., 1987, BSSA)

While the elevated ground motion amplitudes in this distance range are usually not large enough by themselves to cause damage, they may produce damage if combined with the amplifying effects of soft soils.

Therefore empirical relations like (1) are not capable to capture relevant aspects of the phenomenon of space attenuation of peak values. This is not surprising since the difference between (2) and (1) indicates that (1) is not translation invariant, i.e. it has not a general physical meaning.

## ? GSHAP ?

Kobe (17.1.1995), Gujarat (26.1.2001), Boumerdes (21.5.2003) Bam (26.12.2003), E-Sichuan (12.5.2008) and Haiti (12.1.2010) earthquakes PGA(g)

	Expected <small>with a probability of exceedence of 10% in 50 years (return period 475 years)</small>	Observed
• Kobe	0.40-0.48	0.7-0.8
• Gujarat	0.16-0.24	0.5-0.6
• Boumerdes	0.08-0.16	0.3-0.4*
• Bam	0.16-0.24	0.7-0.8
• E-Sichuan	0.16-0.24	0.6->0.8
• Haiti	0.08-0.16	0.3-0.6*

\*from I, if non linear effects (e.g. liquefaction) is considered the value may be smaller

Global Seismic Hazard Assessment Program (GSHAP) was launched in 1992 by the International Lithosphere Program (ILP) with the support of the International Council of Scientific Unions (ICSU), and endorsed as a demonstration program in the framework of the United Nations International Decade for Natural Disaster Reduction (UN/IDNDR). GSHAP terminated in 1999.



Bilham (2009) supplies a list of potentially fatal flaws in our present day application of earthquake risk reduction. All can be ascribed to ignorance in one form or another. The first four are intrinsic to the methodology of earthquake risk assessment.

*False Assumption #1. Seismic hazard maps or maps of seismic risk indicate the probability of future shaking intensity.*

*False Assumption #2. The most recent seismic hazard map is the most reliable available.*

*False Assumption #3. If sufficient funds and people are focussed on a local seismic risk problem, a reliable data base of historical data can be compiled to calculate probabilistic forecasts of future seismicity.*

*False Assumption #4 A global view of earthquake risk will improve our understanding of local seismic risk.*

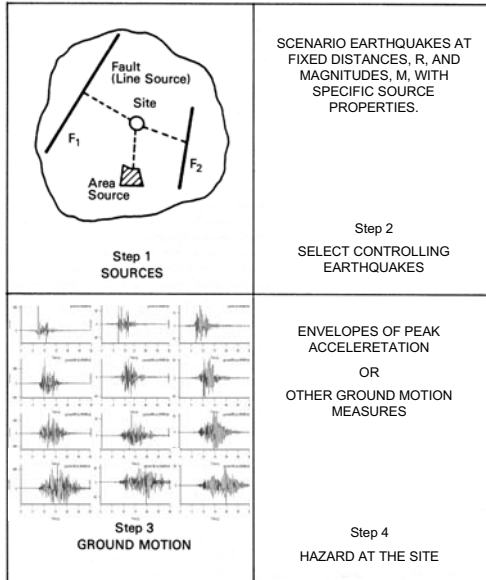
The remaining dozen items listed are issues that are rarely considered in risk estimation, but in the developing nations are responsible for current weaknesses in the implementation of safe housing.

Earthquake, Year, M*	Probability of exceedance 10% in 50 yrs (return period 475 yrs) PGA (g) (GSHAP)	Observed PGA (g)	Neo-DSHA PGA (g)
Kobe, Japan, 1995, M 7	0.40-0.48	0.7-0.8	
Gujarat, India, 2001, M 8	0.16-0.24	0.5-0.6	0.3-0.6
Boumerdes, Algeria, 2003, M 6-3/4	0.08-0.16	0.3-0.4	0.4-0.6
Bam, Iran, 2003, M 6-1/2	0.16-0.24	0.7-0.8	
E-Sichuan, China, 2008, M 8	0.16-0.24	0.6->0.8	
Haiti, 2010, M 7	0.08-0.16	0.3-0.6	
			DSHA PGA (g)
Landers, California, 1992, M 7-1/4	<0.2	**	0.6
Northridge, California, 1994, M 6-3/4	<0.4	**	0.6

\*\*No records in the near-field but observed effects indicated comparable DSHA and PGAs but much higher than probabilistic PGAs (Mualchin, 1996 - ENGEO, 42, 217-222).

EARTHQUAKE - Hazard, Risk, and Strong Ground Motion. Ed: Y.T. Chen, G.F. Panza and Z.L. Wu, Seismological Press, 323-349, 2004.

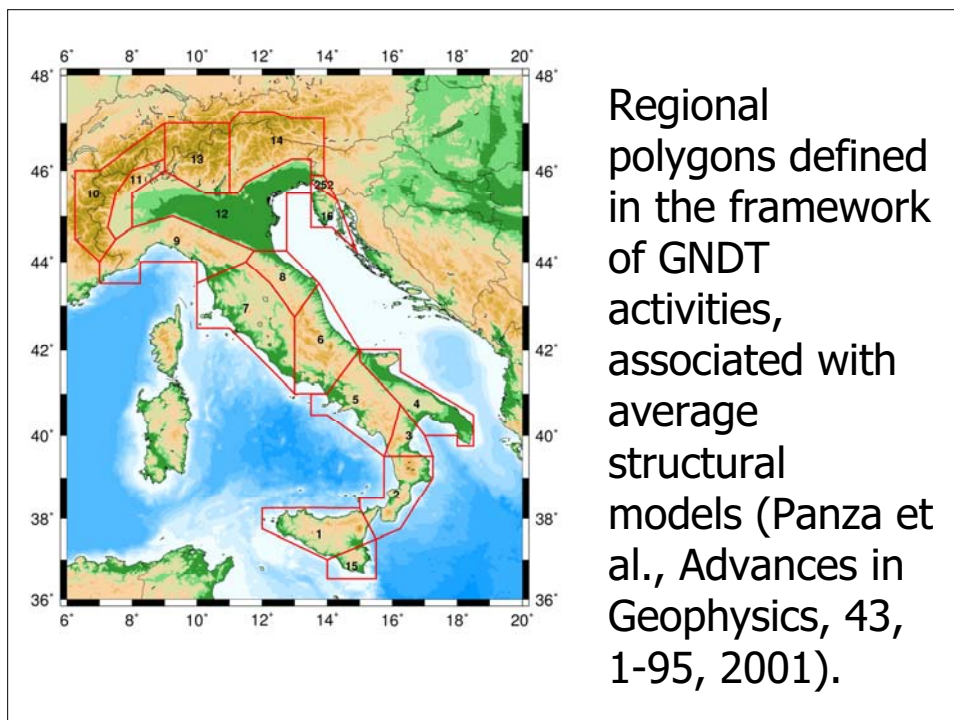
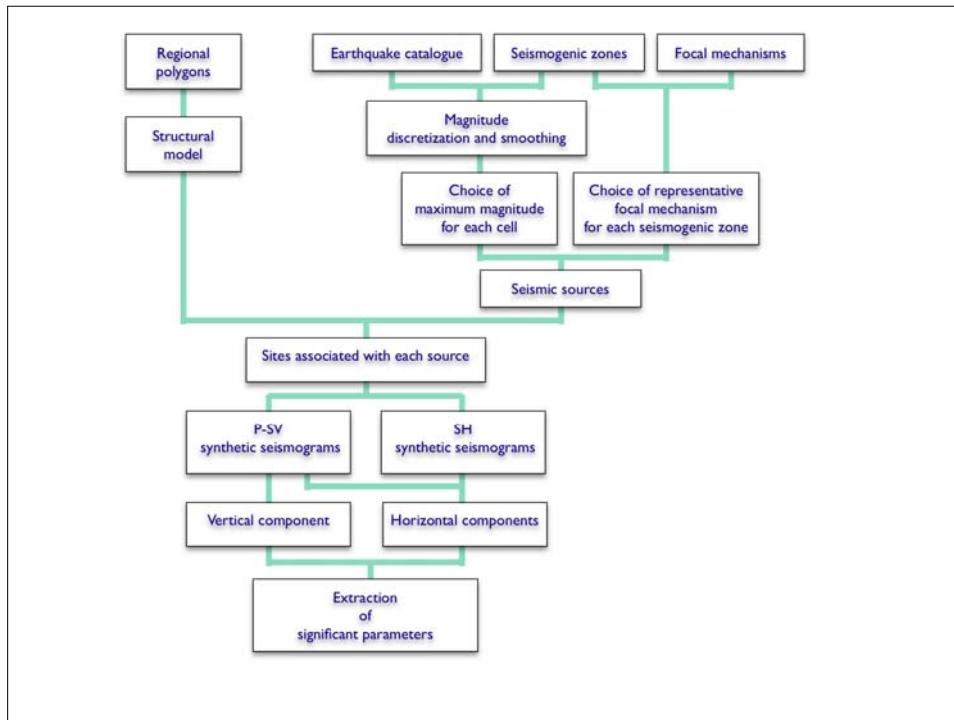
## NEO-DETERMINISTIC



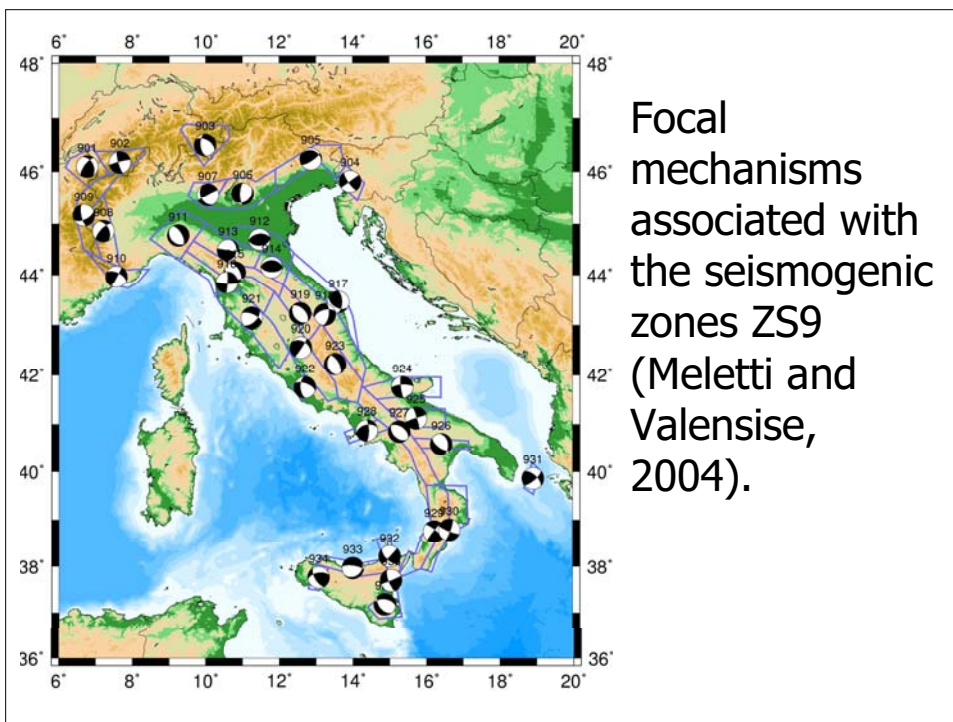
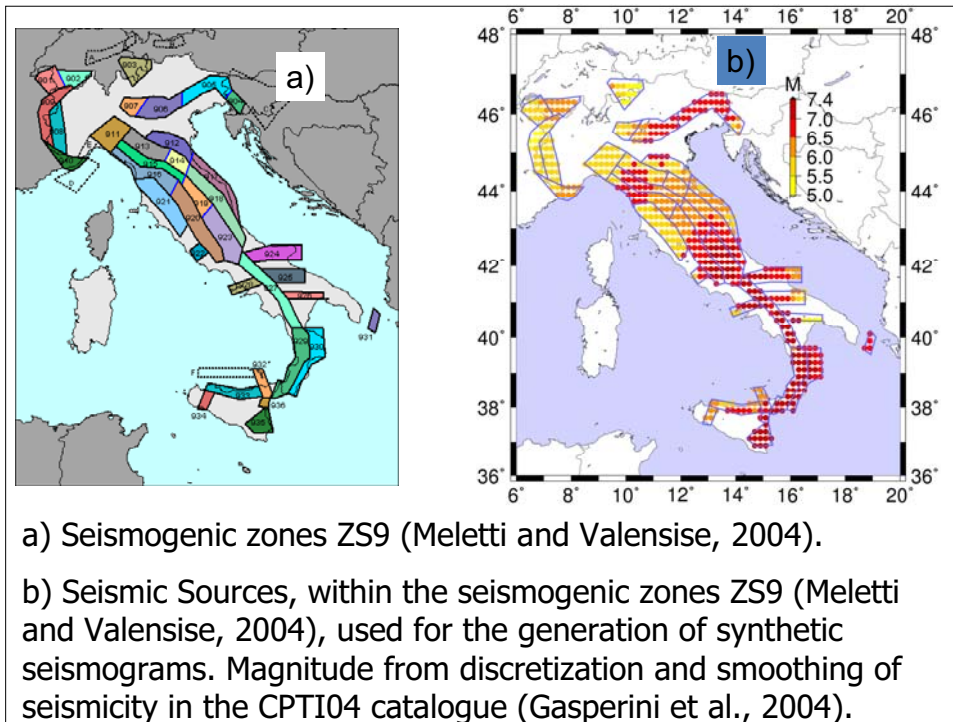
New approach based  
on synthetic signals  
computation

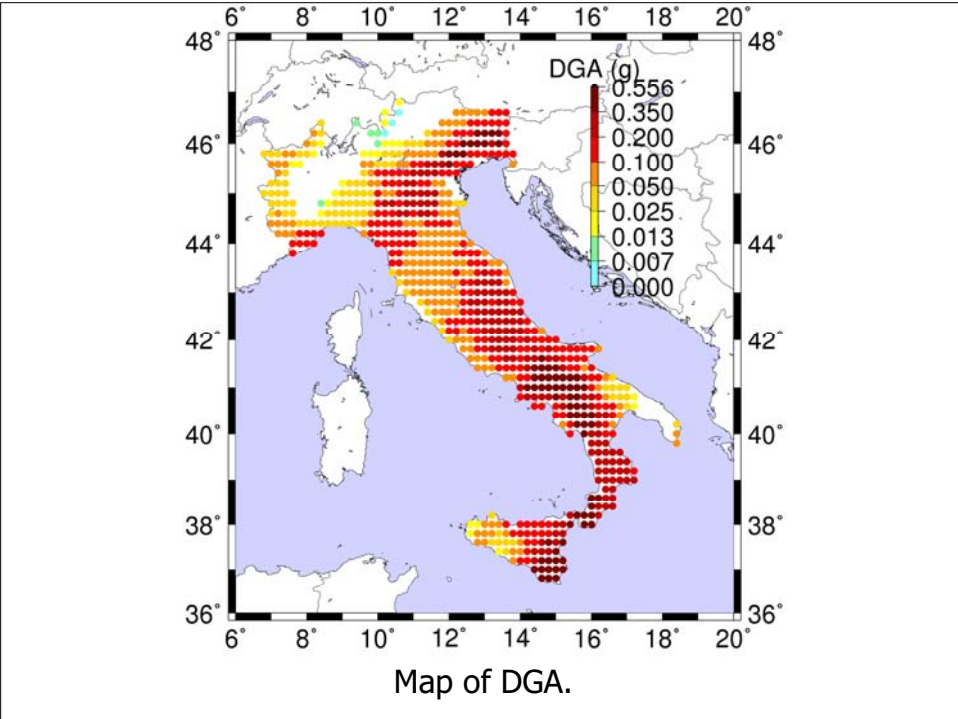
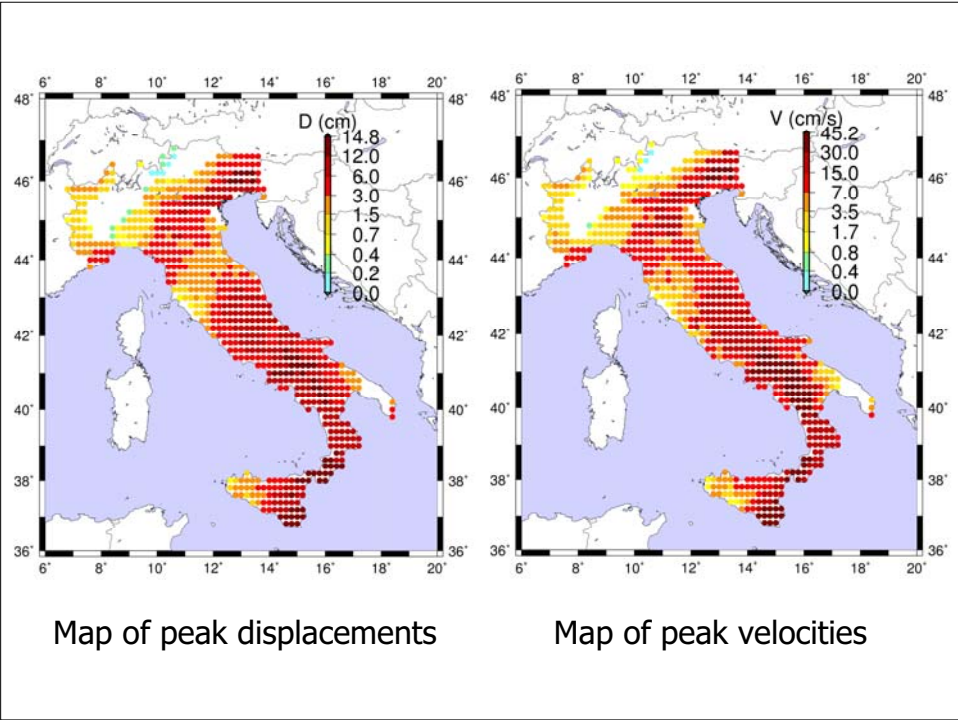
No need of  
attenuation  
relations

# Neo-deterministic procedure at national scale



Regional polygons defined in the framework of GNDT activities, associated with average structural models (Panza et al., Advances in Geophysics, 43, 1-95, 2001).





## **NDSHA has been applied in many countries, like:**

China (Ding Z.; Chen -Y.T)

India (Parvez I.; Mohanty W.)

Viet Nam (Cao D.T.)

Egypt (El-Sayed A.)

Algeria (Benouar D.; Harbi A.)

Bulgaria (Paskaleva I.; Kouteva M.)

Romania (Radulian M.; Marmureanu G.; Cioflan C.)

More info in Episodes, 2002, 25, 160-184.

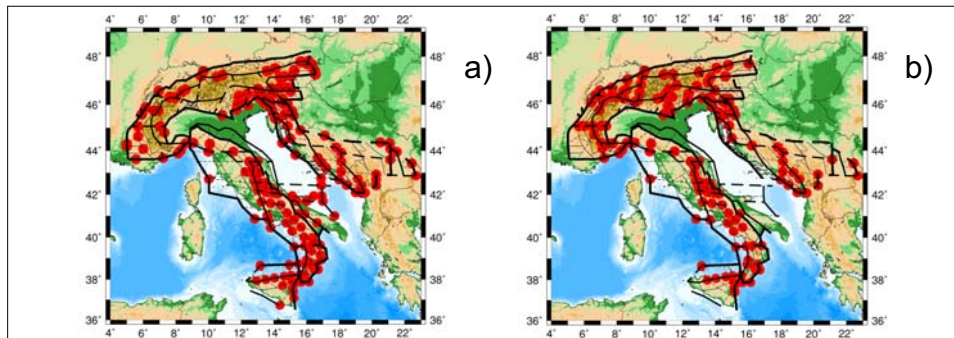
Stability tests show that the seismicity level, defined by earthquakes with  $M \geq 5.0$ , increased in the last 500 years with respect to that of the period [1000,1499]. A similar conclusion has been obtained by Vorobieva and Panza (Pure Appl. Geophys., 141, 25-41,1993).

This observation suggests that the available information from past events **may well not be representative of future earthquakes and that the use of independent indicators of the seismogenic potential of a given area is needed.**

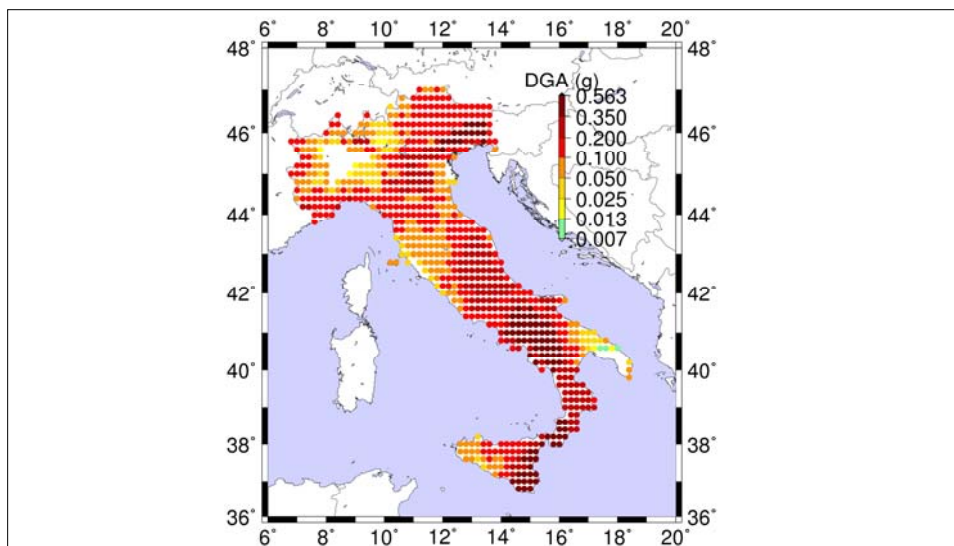
The flexibility of the neo-deterministic method permits, among others, to incorporate the additional information about the possible location of strong earthquakes provided by the morphostructural analysis.

**This is impossible with PSHA!**

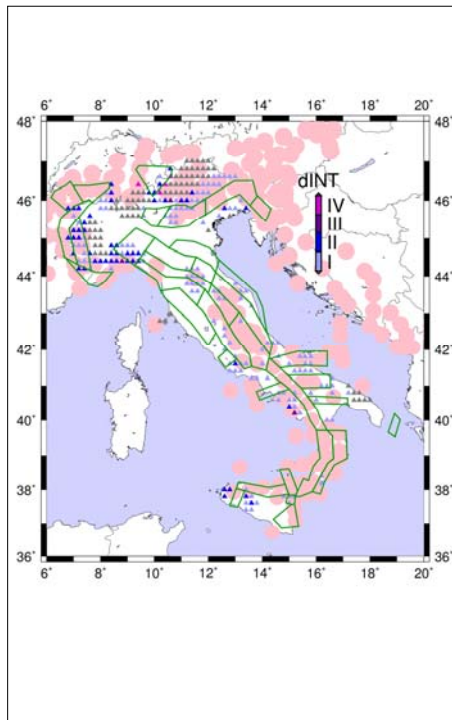




Morphostructural map of Italy and surrounding regions (Gorshkov et al., JSEE, 4, 1-24, 2002; Boll. Soc. Geol. It., 123, 3-18, 2004). Lines with different thicknesses indicate morphostructural lineaments of different rank: thick lines first order lineaments, medium lines to second order lineaments and thin lines to third order lineaments. Longitudinal lineaments are marked by continuous lines and transversal lineaments by dashed lines. Circles represent the nodes prone to earthquakes with magnitude a)  $M \geq 6.0$  and b)  $M \geq 6.5$ , respectively.



DGA map computed using **both the seismogenic zones** (Meletti and Valensise, 2004) and **the seismogenic nodes** (Gorshkov et al., 2002, 2004).



Differences in intensity between the DGA maps computed **with** ( $DGA_{nodes}$ ) and **without** seismogenic nodes. The upward triangles indicate a positive difference, while the downward triangles indicate a negative difference. The grey triangles are the points for which no value is given when not considering seismogenic nodes. The seismogenic zones ZS9 (polygons, Meletti and Valensise, 2004) and the distribution of seismogenic nodes for both  $M \geq 6.0$  and  $M \geq 6.5$  (filled circles, Gorshkov et al., 2002, 2004) are shown as well.

The stability analysis, made considering the time intervals [1000,1499] and [1500,1999], has been repeated **introducing the seismogenic nodes** into the neo-deterministic computation.

As expected, the differences are smaller than when seismogenic nodes are not considered, since the contribution of the seismogenic nodes somehow supplies the seismicity missing due to the limited (500 years) time windows considered in the stability experiment.



# MACROSEISMIC INTENSITY

Peak value (I-1)/Peak value (I)=2

ING (Boschi et al., 1995a)

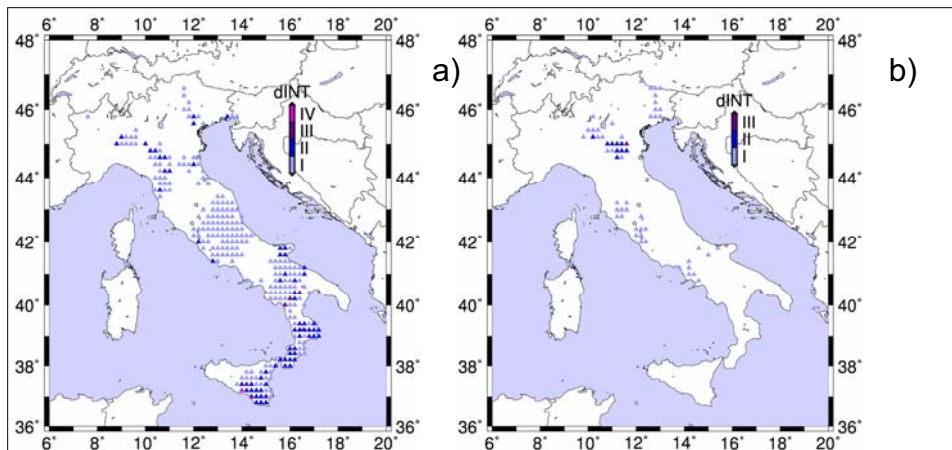
Intensity	D (cm)	V (cm/s)	DGA (g)
V	0.2 - 0.4	0.4 - 0.8	0.007 - 0.013
VI	0.4 - 0.7	0.8 - 1.7	0.013 - 0.025
VII	0.7 - 1.5	1.7 - 3.5	0.025 - 0.05
VIII	1.5 - 3.0	3.5 - 7.0	0.05 - 0.10
IX	3.0 - 6.0	7.0 - 15.0	0.10 - 0.20
X	6.0 - 12.0	15.0 - 30.0	0.20 - 0.35
XI	12.0 - 24.0	30.0 - 62.0	0.35 - 0.70

ISG (Molin et al., 1996)

Intensity	D (cm)	V (cm/s)	DGA (g)
VI	0.5 - 1.0	0.8 - 1.7	0.01 - 0.025
VII	1.0 - 2.0	1.7 - 4.0	0.025 - 0.05
VIII	2.0 - 4.0	4.0 - 9.0	0.05 - 0.10
IX	4.0 - 8.0	9.0 - 20.0	0.10 - 0.20
X	8.0 - 17.0	20.0 - 46.0	0.20 - 0.50

Cancani in 1904 modified Mercalli scale with the declared purpose to obtain the 2 factor.

С. П. КАНКАНИ  
ОБЪЕМ И ДОКАЗАТЕЛЬСТВА  
ПРЕДВАРИТЕЛЬНОГО ОЦЕНКИ  
НА ПОСЛЕДСТВИИ СЕЙСМИЧЕСКИХ  
ПОСЛЕДСТВИЙ  
EXPERIENCE AND LESSONS ON THE  
ORIGIN, PREVENTION AND ELIMINATION  
OF EARTHQUAKE DAMAGES



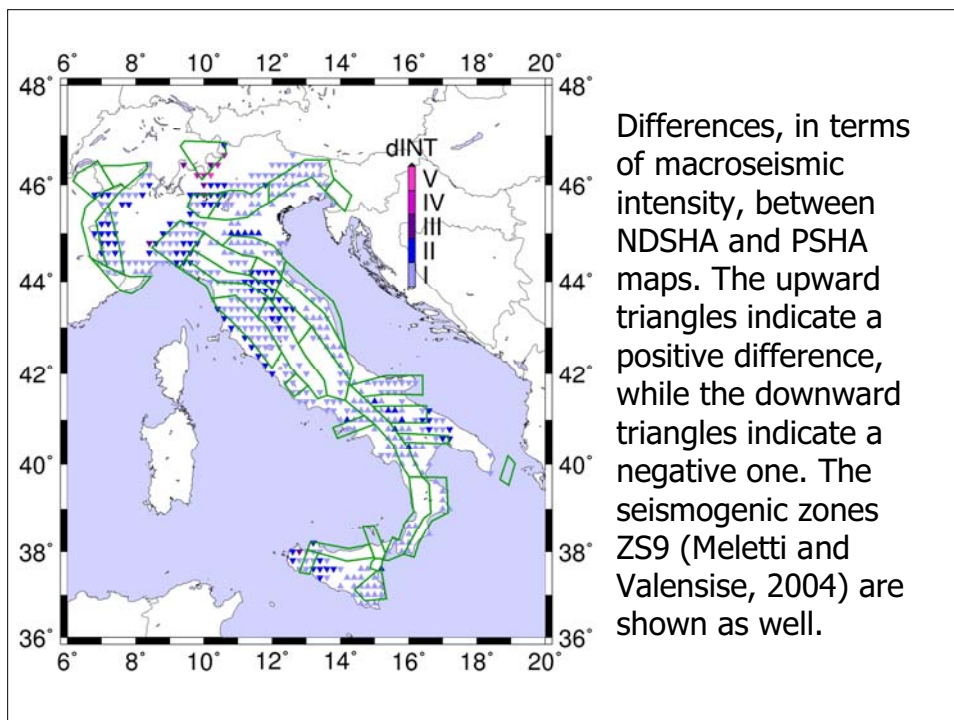
Differences in intensity between the NDSHA map computed considering the whole catalogue and the map computed including the seismogenic nodes (Gorshkov et al., 2002, 2004), for the period a) [1000,1499], b) [1500,1999]. The upward triangles indicate a positive difference, while the downward triangles indicate a negative difference.

DGA and PGA, parameters currently used in PSHA and NDSHA maps, respectively, although both expressed in units of g, represent different physical quantities. **PGA** is the horizontal peak ground acceleration with 10% probability of being exceeded in 50 years. This quantity is obtained treating probabilistically both the available information about the seismicity observed within each Seismogenic Zone and the propagation of seismic waves (attenuation relations).

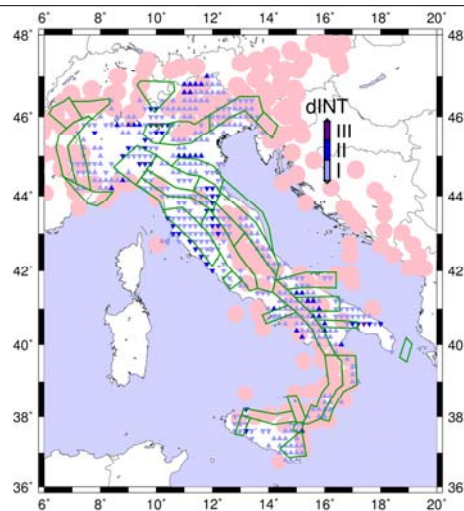
**DGA** is the horizontal acceleration anchoring the elastic response spectrum at the period  $T=0$  s, computed from the response spectrum obtained through the modelling of the ground motion caused by the strongest earthquakes observed in each cell falling in the Seismogenic Zones.

DGA is comparable to PGA, since an infinitely rigid structure (i.e. a structure having a natural period of 0 s) moves exactly like the ground (i.e. the maximum acceleration of the structure is the same as that of the ground, which is the PGA). This is why PGA has been used over the years to provide a convenient anchor point for the design spectra specified by various regulatory agencies. Moreover, DGA is practically equivalent to EPA (Effective Peak Acceleration), which is defined as the average of the maximum ordinates of elastic acceleration response spectra within the period range from 0.1 to 0.5 seconds, divided by a standard factor of 2.5, for the 5% damping (Panza et al., NEA/CSNI/R 18, 241-266, 2003).

Since the available earthquake catalogue (CPTI04 - Gruppo di Lavoro CPTI, 2004) appears to be complete for  $M \geq 5$ , therefore sufficient for DGA estimation, during at least 1000 years (Vorobieva and Panza, Pure Appl. Geophys., 141, 25-41,1993), it can be considered representative of a return period of about 500 years (sampling theorem); this also on account of the described stability tests, where we have shown that the analysis cannot be limited to 500 years of catalogue. Therefore the comparison between DGA and PGA (return period 475 years) is justified. **This comparison is possible only in Italy thanks to the uniqueness of the length of its earthquake catalogue.**



The points where the NDSHA is giving larger values than the PSHA are located in correspondence of the strongest observed earthquakes (e.g. Friuli, Central-Southern Apennines, Calabrian Arc and eastern part of Sicily), as it can be expected, since seismicity has been smoothed within the seismogenic zones. This fact supports the idea that PSHA underestimates the hazard in high-seismicity areas. As a natural consequence of its smoothing property PSHA overestimates hazard in low-seismicity areas.

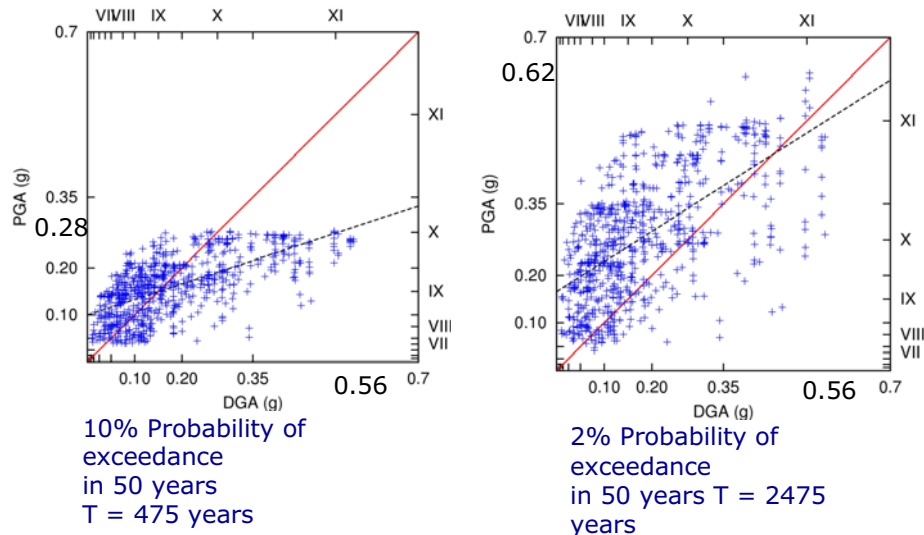


Differences in intensity between the NDSHA (**taking into account seismogenic nodes**) and PSHA maps. The seismicogenic zones ZS9 (Meletti and Valensise, 2004) and the distribution of seismogenic nodes for both  $M \geq 6.0$  and  $M \geq 6.5$  (filled circles, Gorshkov et al., 2002, 2004) are shown as well.

The differences, in the common points, between the NDSHA map and the PSHA one have been computed also with respect to the map in which the seismogenic nodes are included in the NDSHA computation. **The PSHA turns out to be lower than that provided by NDSHA not only in correspondence of the largest observed earthquakes but also in correspondence of the areas identified as prone to large earthquakes, where a strong earthquake has not yet occurred. In low-seismicity areas, PSHA supplies values that are higher compared with those given by NDSHA; this is a natural consequence of the smoothing property of PSHA.**

**The comparison of the seismic hazard maps produced for Italy by the PSHA and NDSHA approaches shows that, as a rule, NDSHA provides values larger than those given by the PSHA in high-seismicity areas and in areas identified as prone to large earthquakes, but where no strong earthquake has been recorded in the last 1000 years.**

## COMPARISON PSHA-NDSHA



## Conclusions

The limited historical seismic record makes PSHA inadequate for the effective protection from seismic hazard, in particular of NPP from seismic hazard.

A viable alternative is represented by the neo-deterministic approach (NDSHA), that requires only the classification of earthquakes into exceptional (catastrophic), rare (disastrous), sporadic (very strong), occasional (strong) and frequent.

## Conclusions

Therefore NDSHA may provide an upper bound for the ground motion levels to be expected, more appropriate than probabilities of exceedance in view of the long time scales required for the protection of NPP.

NDSHA naturally supplies realistic and reliable estimates of ground displacement readily applicable to seismic isolation techniques.

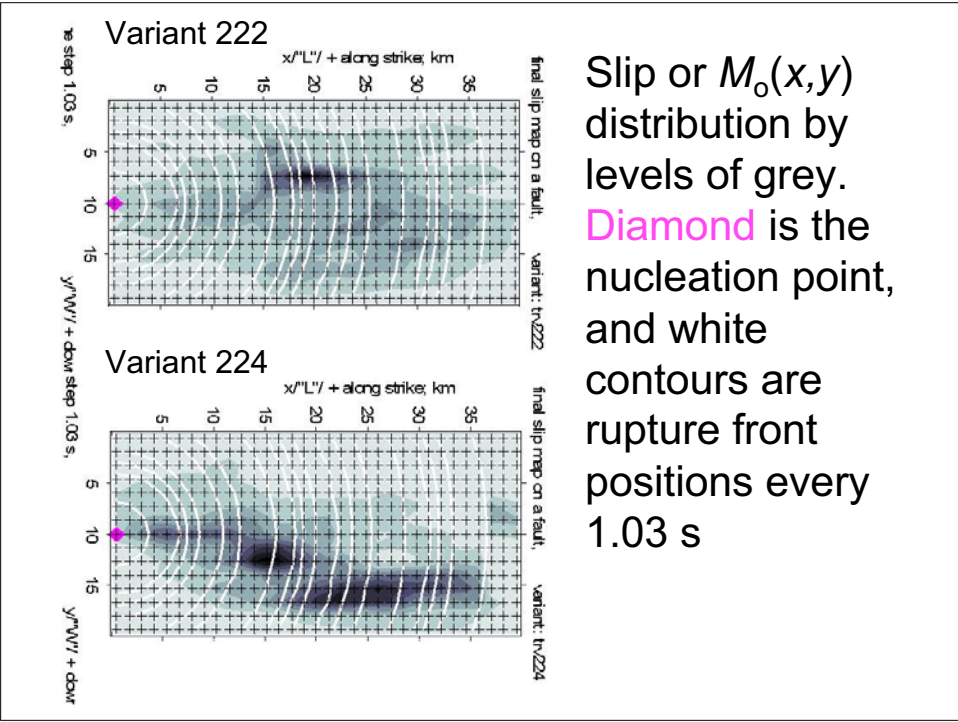
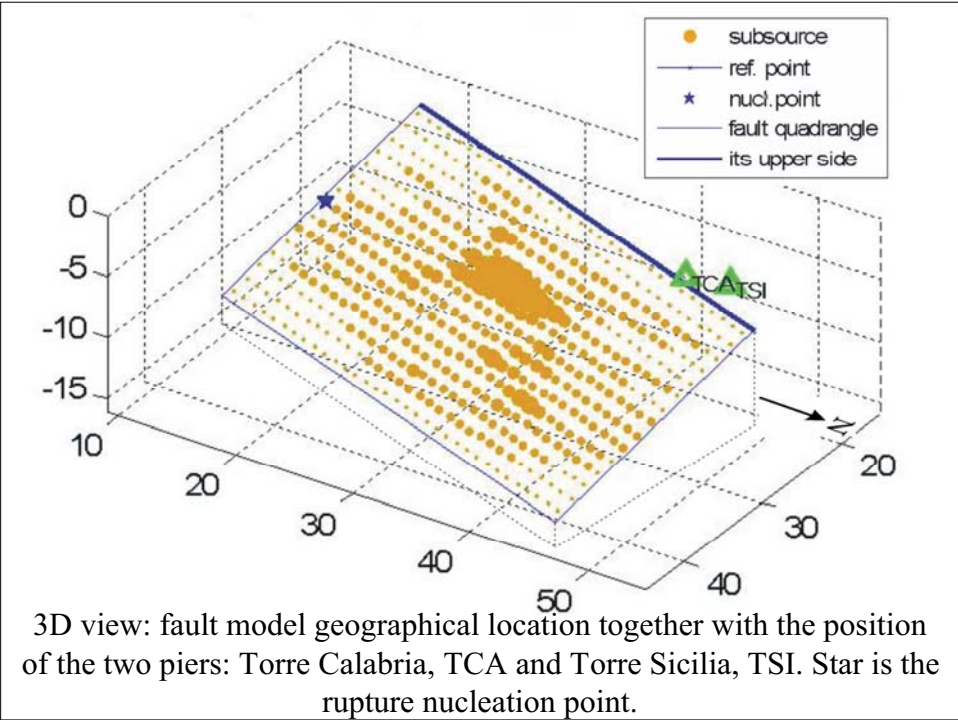


**MERCEA'08**  
2008 Seismic Engineering International Conference  
commemorating the 1908 Messina and Reggio Calabria Earthquake

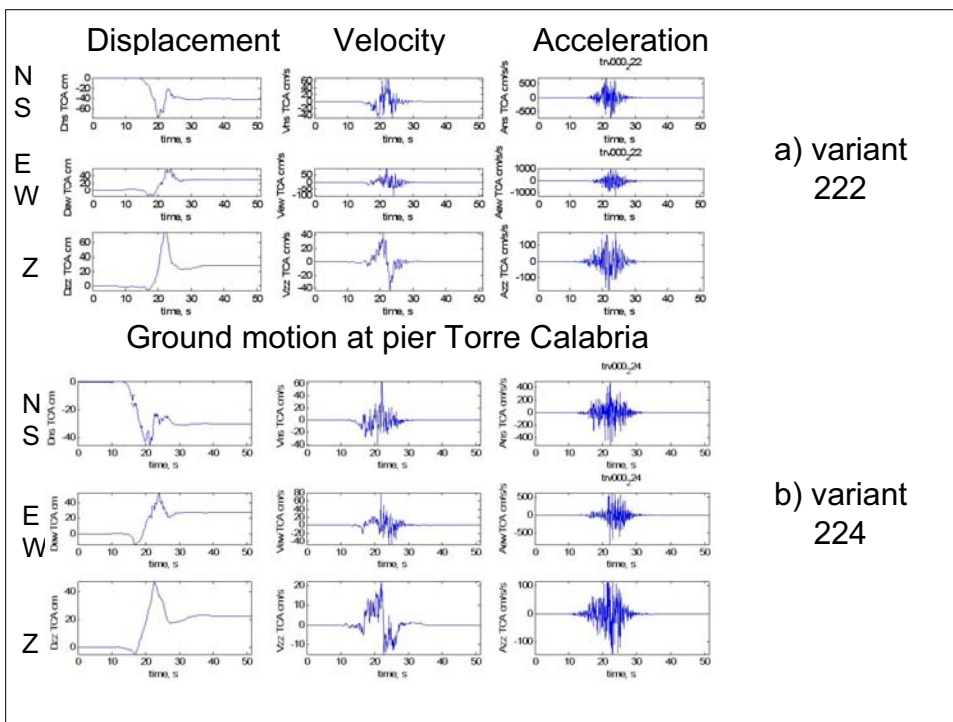
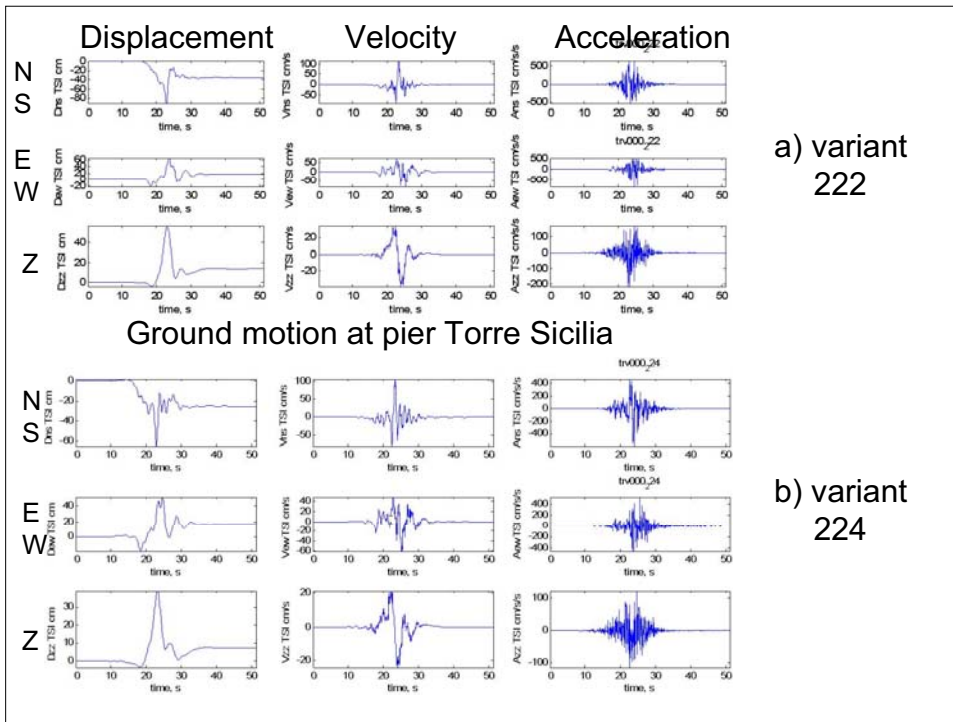
## **Low-Frequency Seismic Ground Motion at the Pier Positions of the Planned Messina Straits Bridge for a Realistic Earthquake Scenario**

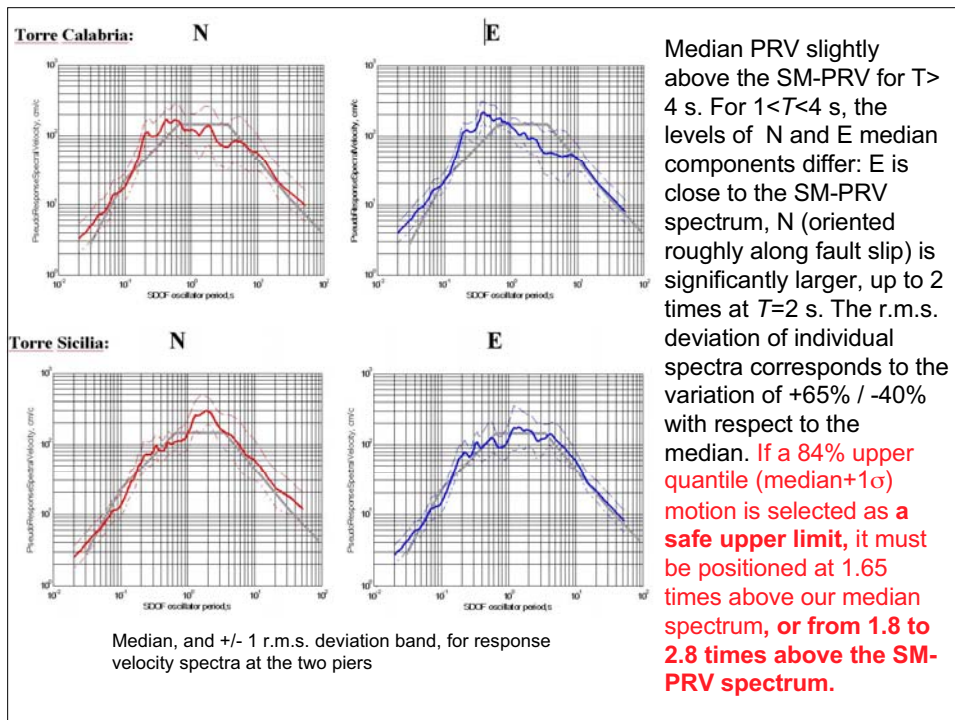
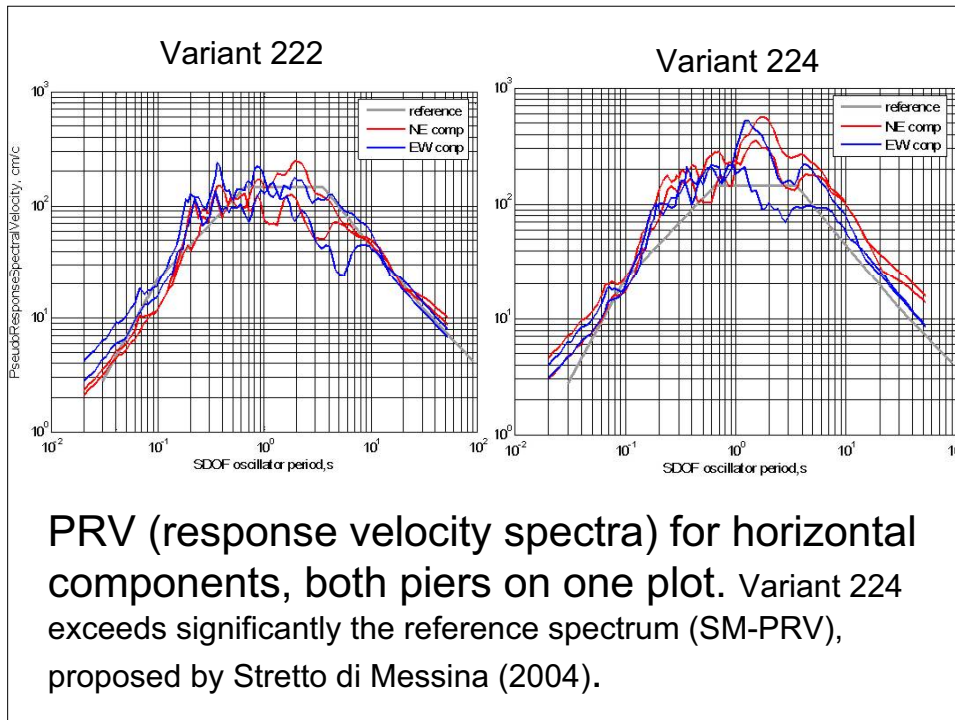
*Authors:* A.A. Gusev<sup>a,b</sup>, V. Pavlov<sup>b</sup>, F. Romanelli<sup>c</sup>, and G. Panza<sup>c,d</sup>

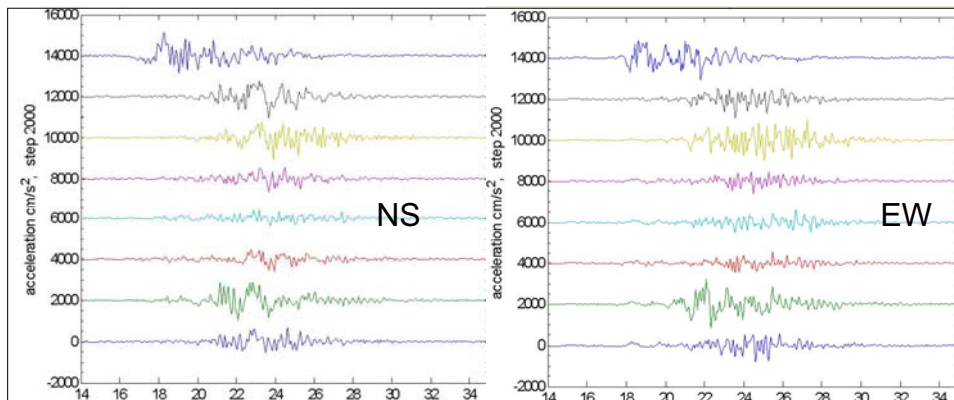












Examples of the considerable variability of the accelerograms in the individual simulations. Eight sample functions of the ground acceleration at Torre Sicilia for the horizontal components. Vertical interval between zero-lines of traces is 2000  $\text{cm/s}^2$ . The first trace is for the less usual source sample function, when a large asperity happened to coincide with the spot with the highest permitted propagation velocity

## CONCLUSIONS

We compare a set of response horizontal velocity spectra (PRV) obtained from our calculations with a reference PRV that is considered as a reasonable upper bound for the possible ground motion near the piers. Our results suggest that the seismic ground motion under Torre Sicilia dominates that under Torre Calabria and that the median PRV is **generally above the reference one**, about 1.1-1.3 times for  $T > 4$  s, and up to 2 times for  $1 < T \leq 4$  s.

## **CONCLUSIONS**

The efficiency of the computer codes, accounting for the statistical variation in individual spectra, makes it easy the prediction of earthquake ground motion for any suite of plausible source models. As an example, one can use a 84% upper quantile of the distribution of the spectral ordinates generated from a sufficiently large set of simulated accelerograms.

## **CONCLUSIONS**

The use of advanced fault and medium models, accounting also for the natural scatter of individual PRV spectra due to events with the same gross source parameters, provides a sound basis for the deterministic engineering estimates of future earthquake ground motion.



# Pure and Applied Geophysics Topical volume 2010

## *Advanced Seismic Hazard Assessment*

**Editors:** *G.F. Panza (Italy), K. Irikura (Japan), M. Kouteva (Bulgaria), A. Peresan (Italy), Z. Wang (USA), R. Saragoni (Chile)*

### **ASI Pilot Project - SISMA**

#### **"Seismic Information System for Monitoring and Alert"**

Development of a system, based on the neo-deterministic approach for the estimation of seismic ground motion, integrating the space and time dependent information provided by **real-time monitoring of seismic flow and EO data analysis, through geophysical forward modeling.**



## About convolutive/deconvolutive methods

In the far field (and in the point source approximation, i.e. in the simplest possible case) the displacement (the seismogram) is:

$$u_k(t) = M_{ij}(t) * G_{ki,j}(t)$$

$k$ ,  $i$  and  $j$  are indices and  $,j$  means derivative,  $*$  means convolution,  $G$  is the Green's function and  $M_{ij}$  are moment tensor rate functions.

If the  $M_{ij}$  are considered to be independent in the description of the source, the above equation is linear (it corresponds to a mechanism generally varying with time).

However, if we constrain the independence of  $M_{ij}$  and ask for a constant mechanism (even unconstrained one, i.e. the full moment tensor), i.e. if we impose the constraint  $M_{ij}(t) = M_{ij} \cdot m(t)$  the problem becomes non-linear because of the product on the right-hand side of:

$$u_k(t) = M_{ij} \cdot m(t) * G_{ki,j}(t)$$

both  $M_{ij}$  and  $m(t)$  are model parameters controlling source properties.

Thus, the problem in the time domain is non-linear even without the DC constraint (the DC constraint is an additional non-linearity here).

In the frequency domain it may seem simpler because the above convolution is converted to pure multiplication:

$$u_k(\omega) = M_{ij}(\omega) \cdot G_{ki,j}(\omega)$$

and the equation is solved for each frequency separately. Within linearity we get  $M_{ij}(\omega)$  but to split the source time function and the mechanism again a non-linear constraint is needed, so the advantage of the frequency domain is fictitious only.



The non-linearity consisting in the DC constraint is an additional one. It is based on the fact that the DC constraint needs non-linear combination of the components of the moment tensor, namely zero value of its determinant (contrary to the deviatoric constraint which needs zero trace of the moment tensor which is a linear combination).

$$A > 0$$

$$B > 0$$

$$(A+B)/(A-B) = x$$

$$A+B = x(A-B)$$

If  $A=B=1$  we have

$$2=0$$

## References

Sileny, J. and Panza, G.F. (1991). Inversion of seismograms to determine simultaneously the moment tensor components and source time function for a point source buried in a horizontally layered medium. *Studia Geophysica et Geodaetica*, 35, 166-183.

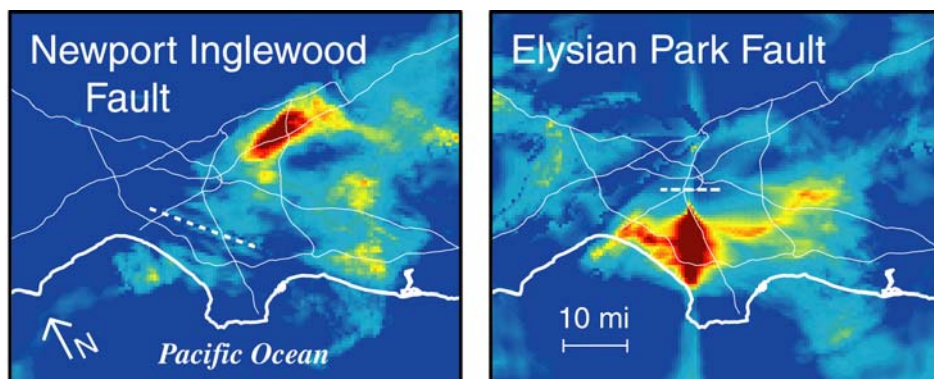
Sileny, J., Panza, G.F. and Campus, P. (1992). Waveform inversion for point source moment tensor retrieval with variable hypocentral depth and structural model. *Geophys. J. Int.*, 109, 259-274.

Paskaleva, I., Dimova, S., Panza, G.F. and Vaccari, F., (2007). An Earthquake scenario for the microzonation of Sofia and the vulnerability of structures designed by use of the Eurocodes. *Soil Dynamics and Earthquake Engineering*, 27, pp. 1028-1041.

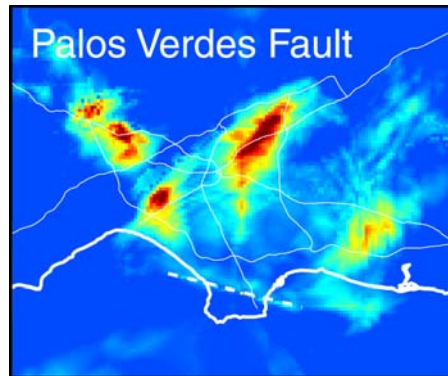
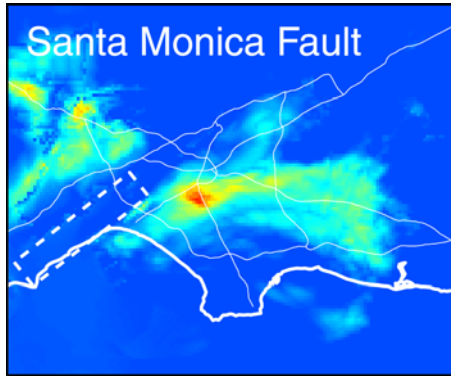
The use of synthetic seismograms is imposed by the necessity to bypass the problem arising from the fact that so called site effects, are rather a wishful thinking than a physical reality and the local response is strongly influenced by the source, as shown in what follows.

- **H/V** is the spectral ratio between horizontal and vertical components of motion.

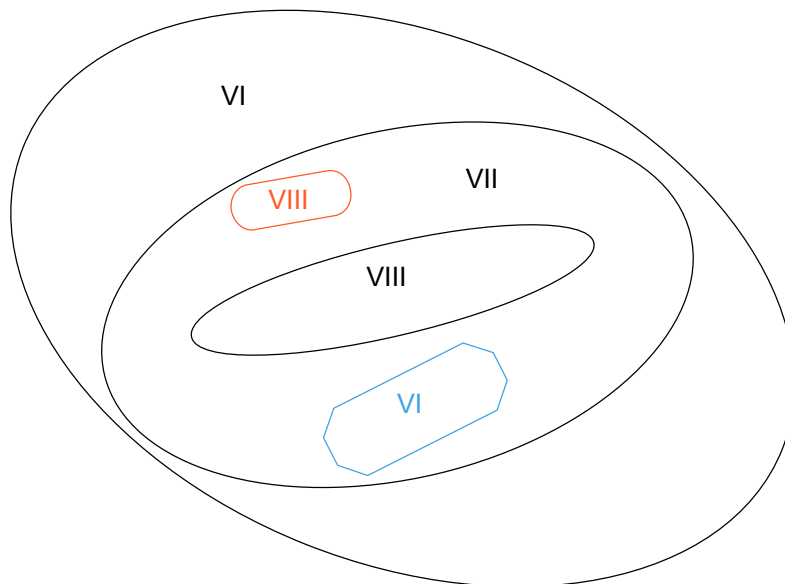
- **RSR** is the ratio between the response spectra amplitudes (5% damping) obtained with the local and the bedrock structures



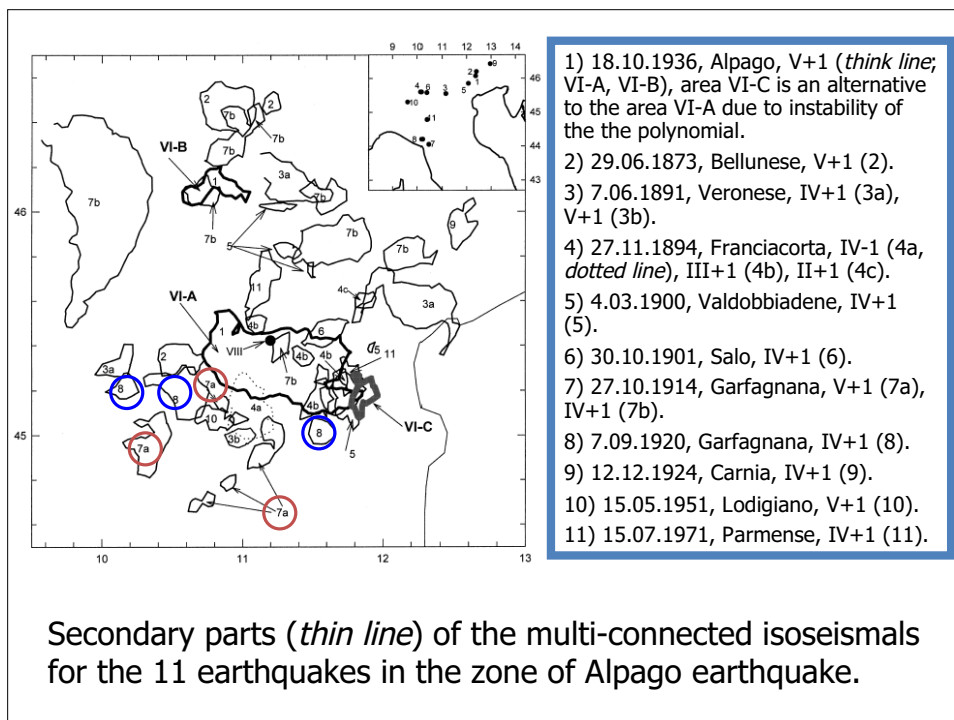
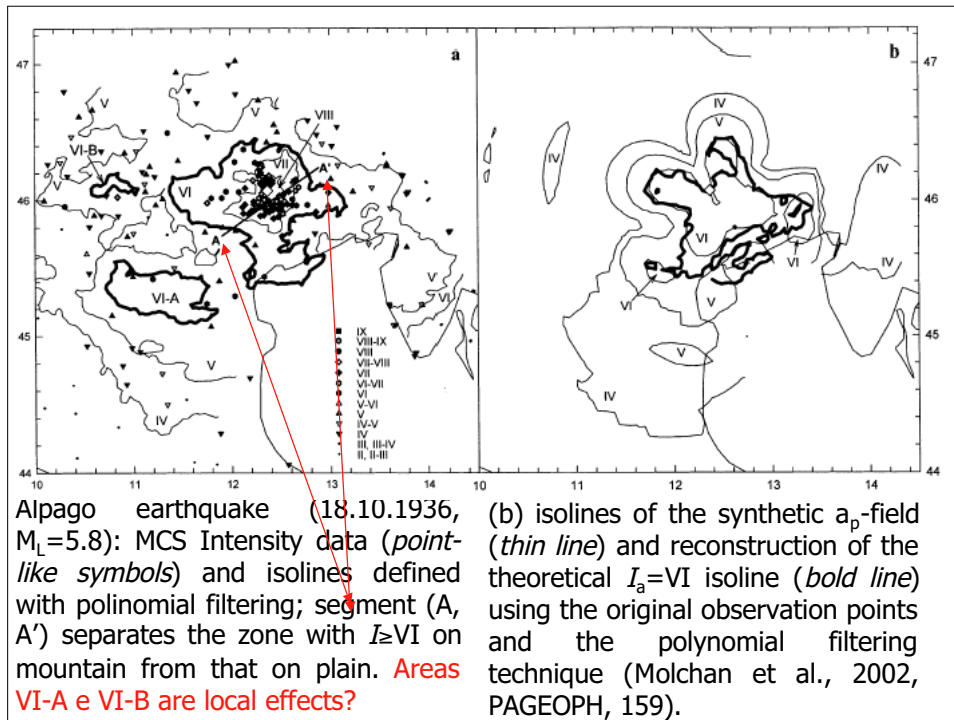
These images of the Los Angeles Basin show "hotspots" predicted from computer simulations of an earthquake on the Elysian Park Fault and an earthquake on the Newport-Inglewood Fault (represented by the white dashed lines). What is shown is **not** how much shaking was experienced at a particular site but rather how much more or less shaking (highest levels are shown in red) a site receives **relative to what is expected** from only the magnitude of the earthquake and the site's distance from the fault. These images consider only part of the total shaking (long-period motions) and were calculated by using a simplified geologic structure. (Data for images courtesy of Kim Olsen, University of California, Santa Barbara, SCEC Phase III report)

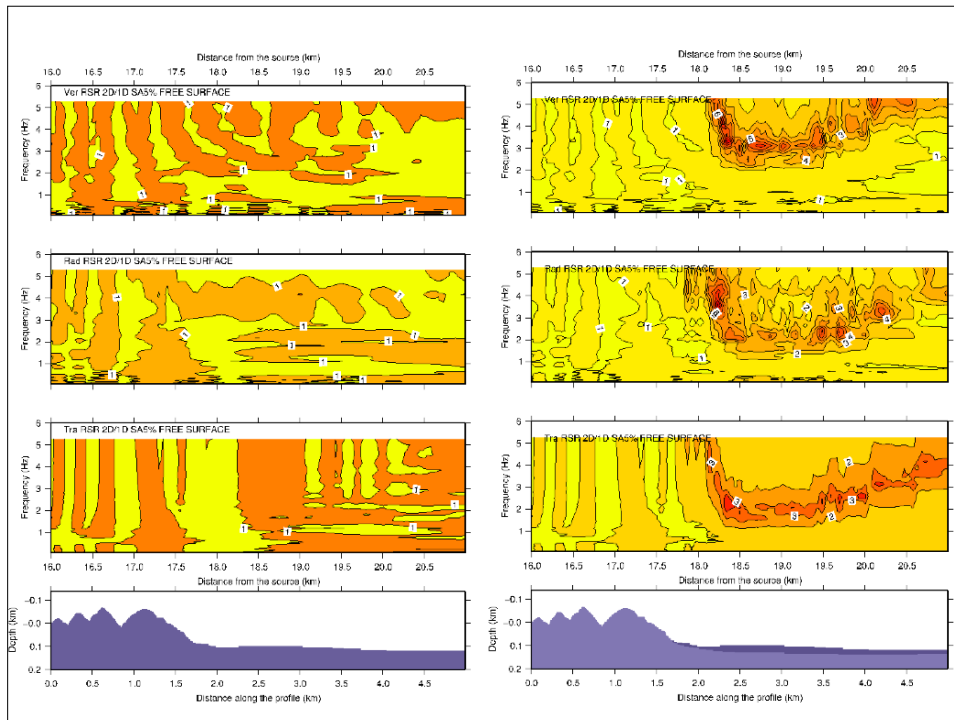


"hotspots" predicted from computer simulations of an earthquake on the Santa Monica Fault and an earthquake on the Palos Verdes Fault (represented by the white dashed lines). SCEC Phase III report, Field, 2000, BSSA, see also <http://www.scec.org/phase3/>



Schematic representation of multi-connected isoseismals





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