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**Critical Scaling at the Anderson Localization Transition in the Strong Multifractality
Regime**

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Outline of the talk

1. Introduction:

- **Disordered systems with fractal wavefunctions**
- **Spatial correlations of fractal wavefunctions and the dynamical scaling hypothesis**
- **How dynamical scaling shows up in the return probability**

2. Strong multifractality regime:

- **Model (the Critical RMT) and method (the Virial Expansion)**

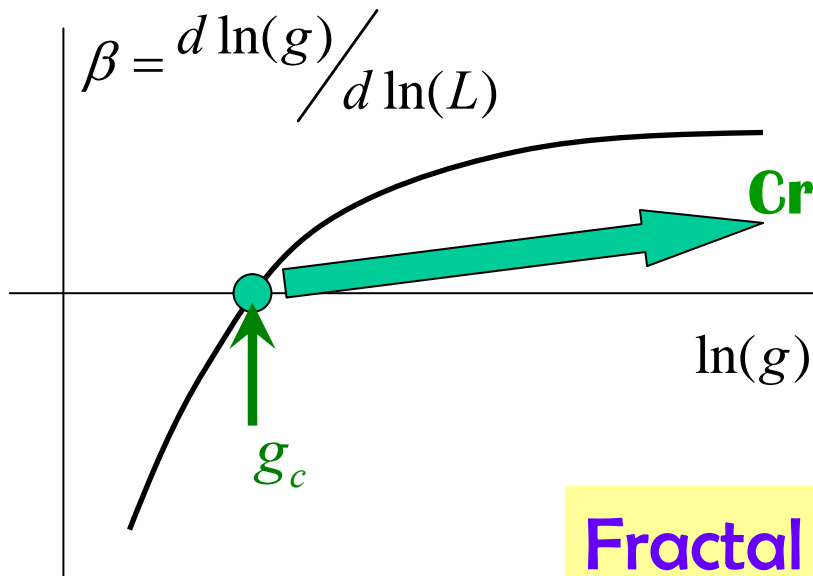
3. Scaling exponents:

- **Leading logarithmic terms**
- **When dynamical scaling exists?**

4. Conclusions

Localization transition in disordered systems

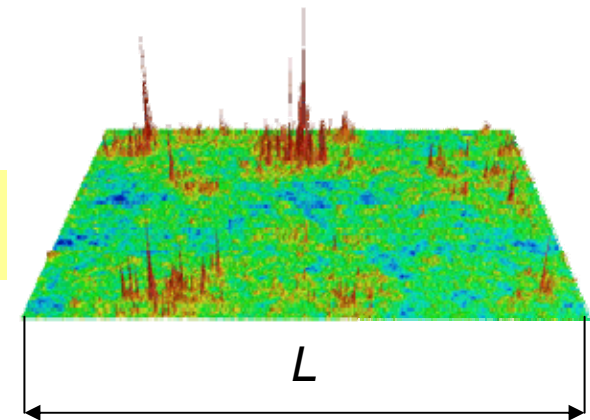
Anderson, 1958
the Gang of four, 1979



Criticality at the localization transition

Fractal wave-functions

(Wegner, 1980)



Inverse Participation Ratio

$$\mathcal{P}_q = V^{-1} \left\langle \sum_{n, \vec{r}} |\psi_n(\vec{r})|^{2q} \delta(E - \varepsilon_n) \right\rangle$$

$$\lim_{L \rightarrow \infty} (\mathcal{P}_q) \propto \frac{1}{L^{(q-1)d_q}}$$

fractal dimension: $0 < d_q < d$

Wavefunction occupies a fraction of space

Correlations of the fractal wavefunctions

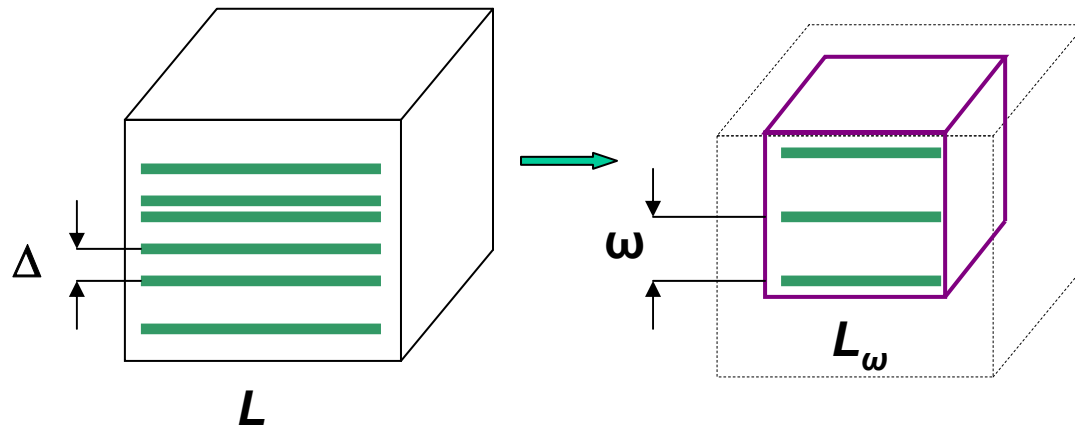
Two point correlation function:

$$C_2(\omega, \mathbf{R}) \equiv \nu^{-1} \left\langle \sum_{\mathbf{p}} \sum_{m,n} \delta(\omega/2 - \xi_n) \delta(\omega/2 + \xi_m) |\psi_{\xi_n}(\mathbf{p})|^2 |\psi_{\xi_m}(\mathbf{p} + \mathbf{R})|^2 \right\rangle$$

- For a disordered system at the critical point (fractal wavefunctions)

$$C_2(\omega = 0, \mathbf{R}) \propto (L/|\mathbf{R}|)^{d-d_2}, \quad |\mathbf{R}| \leq L \quad (\text{Wegner, 1985})$$

- If $\omega > \Delta$ then $L_\omega = L(\Delta/\omega)^{1/d}$ must play a role of L:



Correlations of the fractal wavefunctions

Two point correlation function:

$$C_2(\omega, \mathbf{R}) \equiv \nu^{-1} \langle \sum_{\mathbf{p}} \sum_{m,n} \delta(\omega/2 - \xi_n) \delta(\omega/2 + \xi_m) |\psi_{\xi_n}(\mathbf{p})|^2 |\psi_{\xi_m}(\mathbf{p} + \mathbf{R})|^2 \rangle$$

For a disordered system at the critical point (fractal wavefunctions)

$$C_2(\omega = 0, \mathbf{R}) \propto (L/|\mathbf{R}|)^{d-d_2}, \quad |\mathbf{R}| \leq L \quad (\text{Wegner, 1985})$$

Dynamical scaling hypothesis: $L^{d-d_2} \rightarrow (L_\omega)^{d-d_2}$

$$\Rightarrow C_2(\omega > \Delta, \mathbf{R}) \propto (L_\omega/|\mathbf{R}|)^{d-d_2}, \quad l \leq |\mathbf{R}| \leq L_\omega < L$$

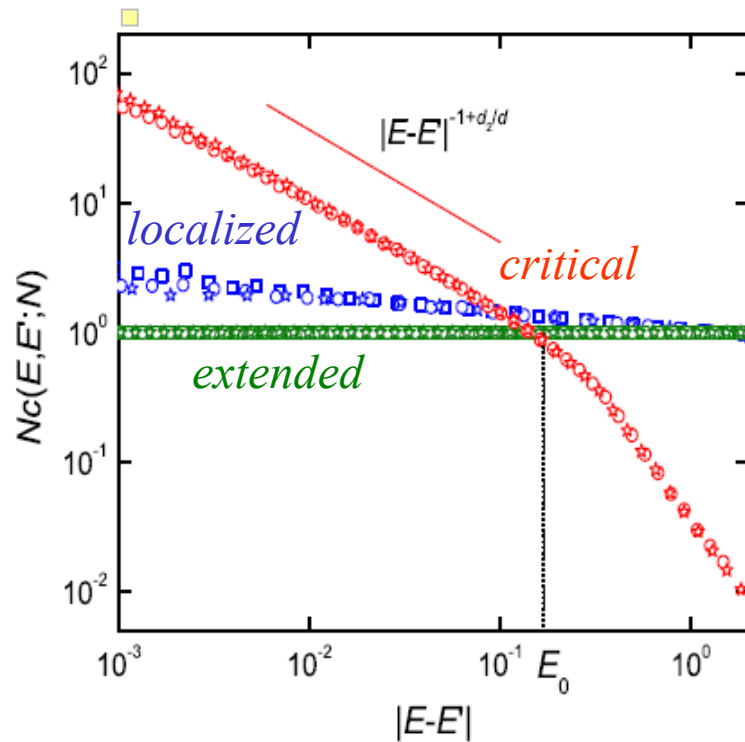
(Chalker, Daniel, 1988; Chalker, 1990)

d – space dimension, Δ – mean level spacing, l – mean free path, $\langle \dots \rangle$ – disorder averaging

Fractal enhancement of correlations

Dynamical scaling: $C_2(\Delta < \omega < E_0, |\mathbf{R}| \ll l) \propto \left(\frac{E_0}{\omega}\right)^{1-d_2/d}$

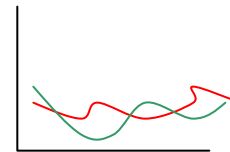
$E_0/\omega > 1, 1 - d_2/d > 0$ - Enhancement of correlations



(Cuevas, Kravtsov, 2007)

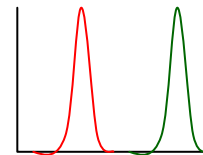
(The Anderson model: tight binding Hamiltonian)

Extended WF:



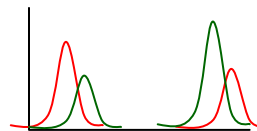
small amplitude
substantial overlap in space

Localized WF:



high amplitude
small overlap in space

Fractal WF:

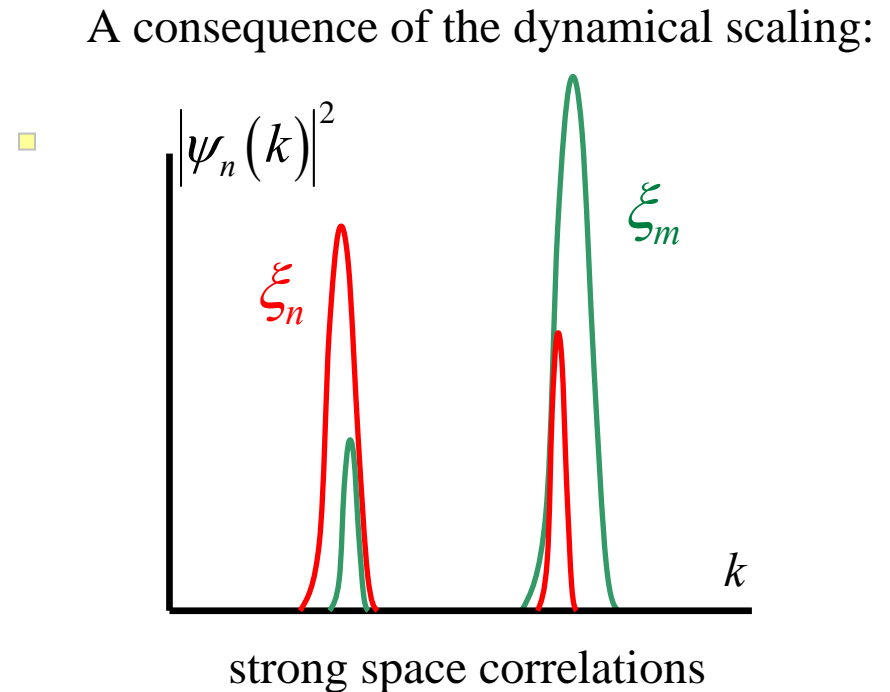
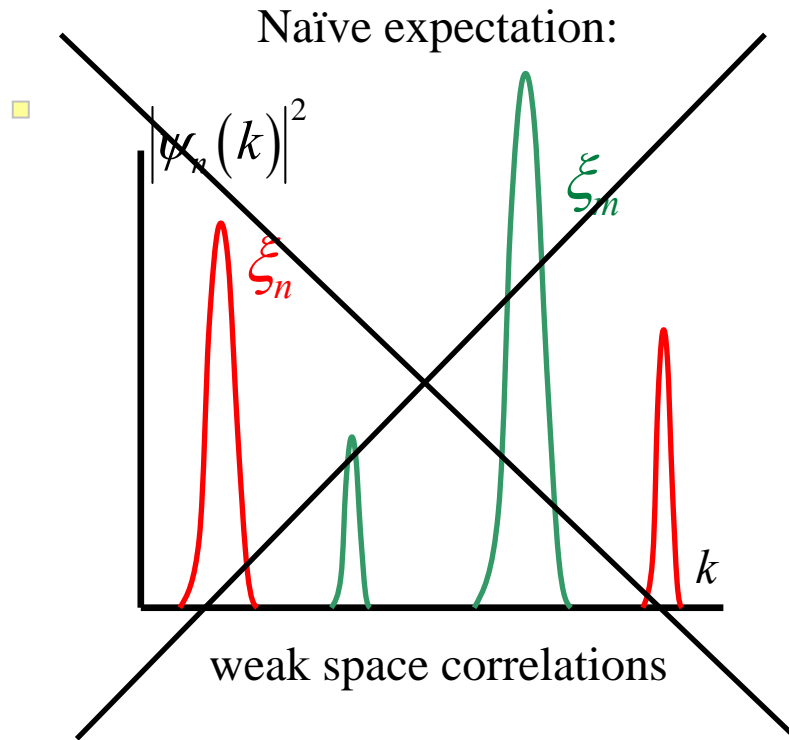


relatively high amplitude and

*the fractal wavefunctions
strongly overlap in space*

Strong fractality regime: do WFs really overlap in space?

$$0 < d_2 \ll d - \text{sparse fractals}, \quad \Delta \ll |\xi_m - \xi_n| \ll E_0$$



So far, no analytical check of the dynamical scaling; just a numerical evidence

IQH WF: Chalker, Daniel (1988),

Huckestein, Schweitzer (1994), Prack, Janssen, Freche (1996)

Anderson transition in 3d: Brandes, Huckestein, Schweitzer (1996)

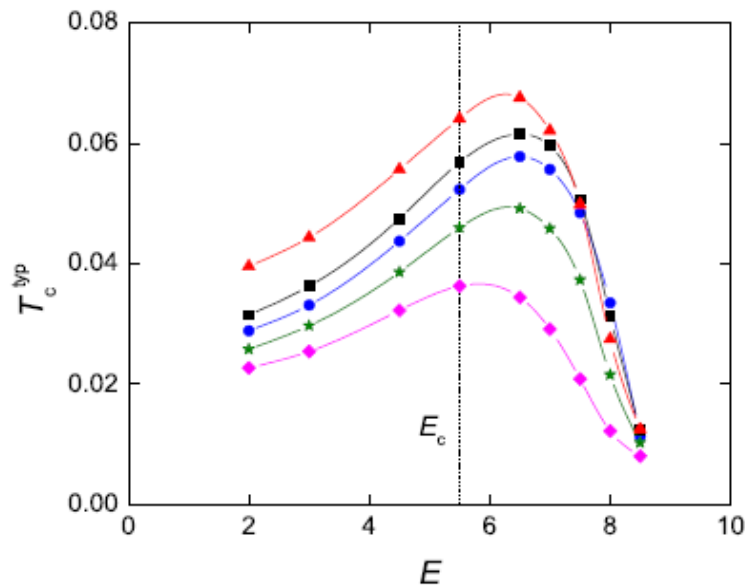
WF of critical RMTs: Cuevas, Kravtsov (2007)

Why dynamical scaling is important

$C_2(\omega, \mathbf{R})$ governs the interaction matrix elements \implies

Critical correlations are important for many body systems with interactions:

“Multifractal superconductivity” (Feigel'man, Kravtsov, et.al., 2007-2010)



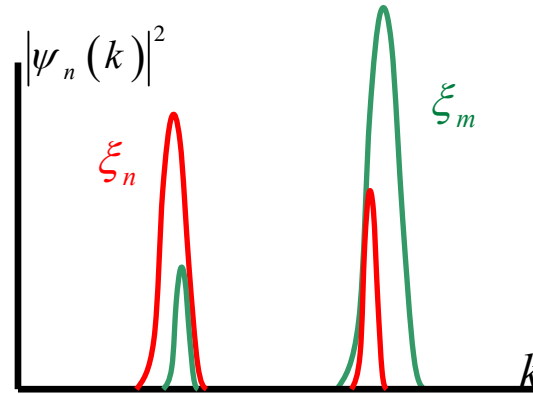
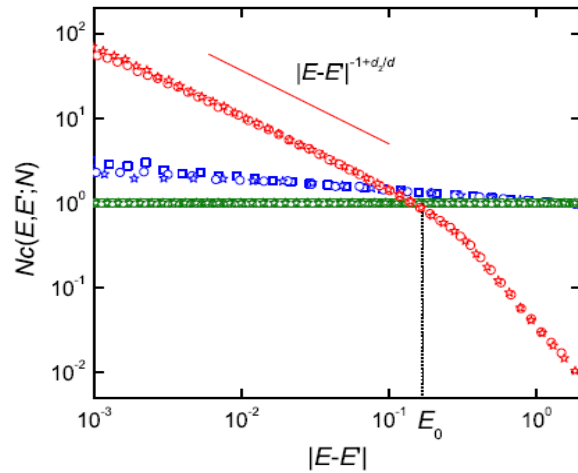
T_c – critical temperature

E_c – mobility edge

E – Fermi-level position

“Multifractal Stoner instability” (Kiselev, OY, Kravtsov, in progress)

Statement of problem



We address two questions:

- Does the dynamical scaling hypothesis hold true in the strong fractality regime?
- Which conditions are necessary for the existence of dynamical scaling?

Coinciding space point: scaling in energy-domain

$\mathbf{R} = 0$, energy representation

$$C_2(\omega, 0) \equiv \nu^{-1} \left\langle \sum_{\mathbf{p}} \sum_{m,n} \delta\left(\frac{\omega}{2} - \xi_n\right) \delta\left(\frac{\omega}{2} + \xi_m\right) |\psi_{\xi_n}(\mathbf{p})|^2 |\psi_{\xi_m}(\mathbf{p})|^2 \right\rangle = C_{2,\text{diag}} + C_{2,\text{off-diag}}$$

Diagonal part

$$C_{2,\text{diag}} = \delta(\omega) \underbrace{\left\langle \nu^{-1} \sum_{\mathbf{p}} \sum_m \delta(\xi_m) |\psi_{\xi_m}(\mathbf{p})|^4 \right\rangle}_{\text{IPR, } \mathcal{P}_2} \propto \delta(\omega) V^{-d_2/d}, \quad V = L^d$$

(space scaling)

Off-diagonal part

$$C_{2,\text{off-diag}} |_{\Delta \ll \omega \ll E_0} \propto \omega^{-\mu} \quad \text{(dynamical scaling)}$$

$$\boxed{1 - \mu = d_2/d}$$

Coinciding space point: scaling in time-domain

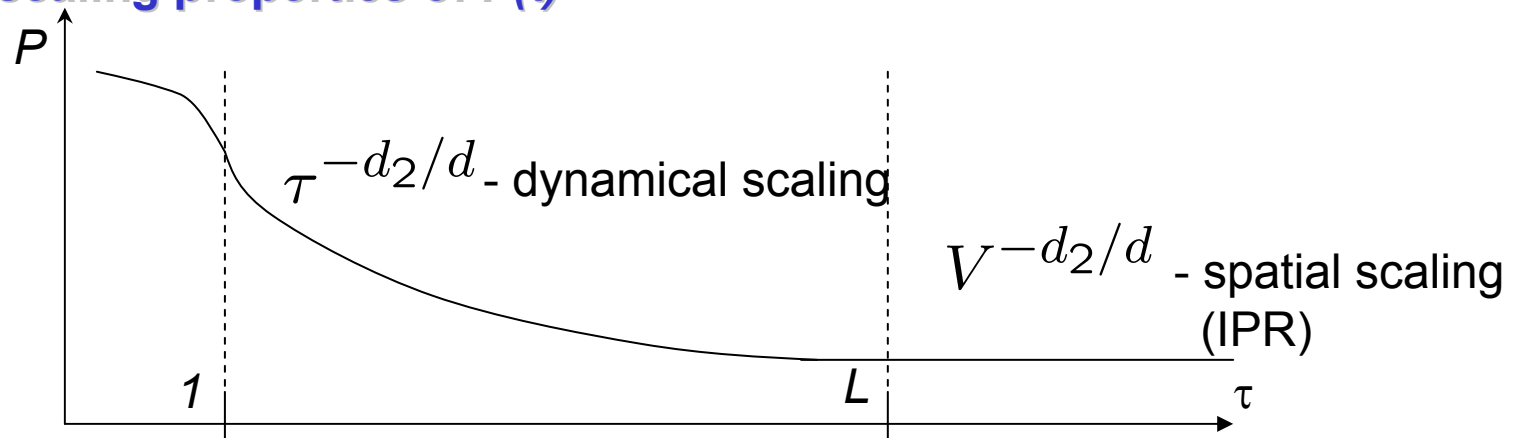
$\mathbf{R} = 0$, time representation

$$\text{Fourier transform of } C_2(\omega, 0): P(t) = \int C_2(\omega, 0) e^{-i\omega t} \frac{d\omega}{2\pi}$$

- averaged return probability for a wave packet

■

Expected scaling properties of $P(t)$



■

$P(t)$ is more convenient for the further analysis

(τ - scaled time)

Scaling of the return probability

$\Upsilon = \min(\tau, L)$ - IR cutoff of the theory

Expected behaviour of $P(t)$ in the long time limit

$$P(t) = A(\Upsilon) \left(\frac{B(\Upsilon)}{\Upsilon} \right)^{\kappa(\Upsilon)} \leftarrow \text{Universal exponent}$$

$$\log(P) = \frac{\log(A)}{\quad} + \kappa \left(\frac{\log(B)}{\quad} - \log(\Upsilon) \right)$$

can be non-universal \rightarrow let's eliminate them

Scaling of the return probability

$\Upsilon = \min(\tau, L)$ - IR cutoff of the theory

Expected behaviour of $P(t)$ in the long time limit

$$P(t) = A(\Upsilon) \left(\frac{B(\Upsilon)}{\Upsilon} \right)^{\kappa(\Upsilon)}$$

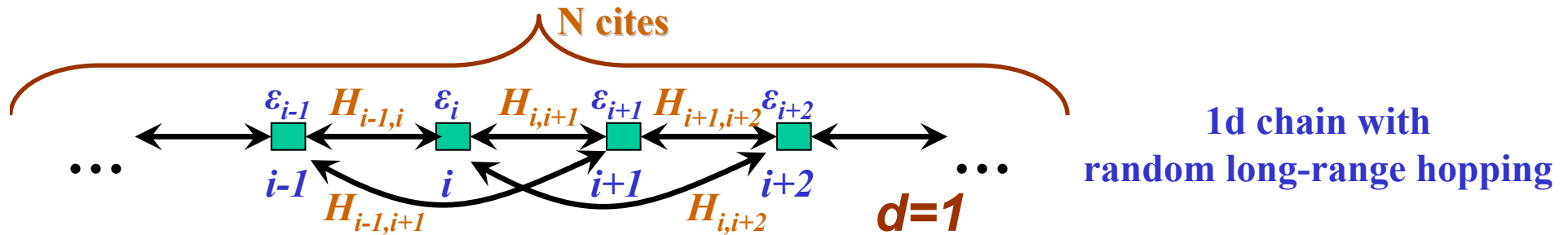
$$\partial_{\log(\Upsilon)} \log(P) = \cancel{\log(A)} + \kappa \cancel{\log(B)} - \partial_{\log(\Upsilon)} [\kappa \log(\Upsilon)]$$

IF the dynamical scaling hypothesis holds true then

1) $\partial_{\log(\Upsilon)} \log(P) = -\kappa(\Upsilon)$ - **Equation for κ**

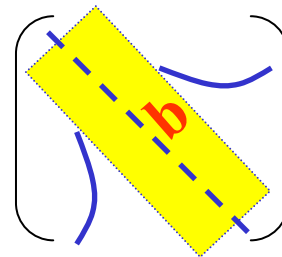
2) $\kappa|_{V \gg \tau} = \kappa|_{\tau \gg V} = d_2/d$ - **Universality of κ**

Model: MF RMT (Power-Law-Banded Random Matrices)



\hat{H} - $N \times N$ Hermitian BRM

b is the bandwidth



$$\langle |H_{i,j}|^2 \rangle \sim \begin{cases} 1, & |i-j| < b \\ \left(\frac{1}{|i-j|}\right)^{2\alpha}, & |i-j| > b \end{cases}$$

$\alpha=1$: RMT with multifractal eigenstates at any band-width

(Mirlin, Fyodorov et. al., 1996, Mirlin, Evers, 2000)

$$2\pi b \gg 1$$

$$d_2 \approx 1 - \text{const} / 2\pi b$$

$1-d_2 \ll 1$ – regime of **weak multifractality**

$$b < 1$$

$$d_2 \approx \text{const } b$$

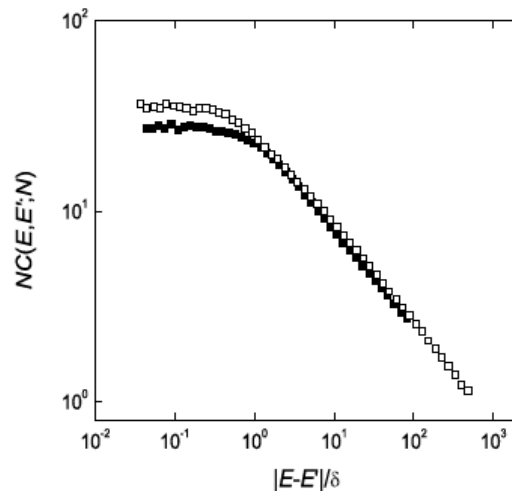
$d_2 \ll 1$ – regime of **strong multifractality**

Universality of critical correlations: MF RMT vs. the Anderson model

MF (critical) RMT,
bandwidth b



Anderson model at criticality (MF eigenstates),
dimension d



“□” – MF PLBRMT, $\beta=1$, $b = 0.42$

“■” – 3d Anderson model from orthogonal class
with MF eigenstates (at the mobility edge, $E=3.5$)

$$C_2(\Delta \ll \omega, 0) \propto 1/\omega^\mu,$$

(Cuevas, Kravtsov, 2007)

FIG. 5: Two-eigenfunction correlation function for the 3D Anderson model (orthogonal symmetry class) with a triangular distribution of random on-site energies (solid symbols) and the critical PLBRM Eq.(3) with $\beta = 1$ and $b = 0.42$ (open symbols). The energy difference $\omega = |E - E'|$ is measured in units of mean level spacing. The insert shows the mean density of states; the mobility edge corresponds to $\varepsilon = \pm 3.5$. The

Variance of matrix elements for almost diagonal MF RMT

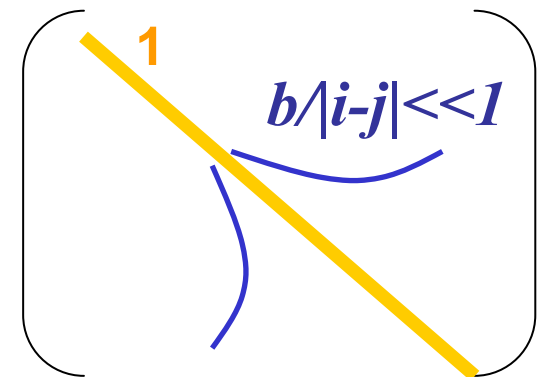
Almost diagonal MF RMT from the GUE symmetry class

$$\langle \epsilon_i^2 \rangle = \frac{1}{2},$$

$$\langle |H_{i \neq j}|^2 \rangle = \frac{1}{2} \frac{1}{1 + |i - j|^{2(1-\epsilon)}/b^2} \simeq \frac{b^2/2}{|i - j|^{2(1-\epsilon)}}$$

$b \ll 1$ - small band width \rightarrow **almost diagonal MF RMT**

$\epsilon \rightarrow +0$ - UV regularizing parameter



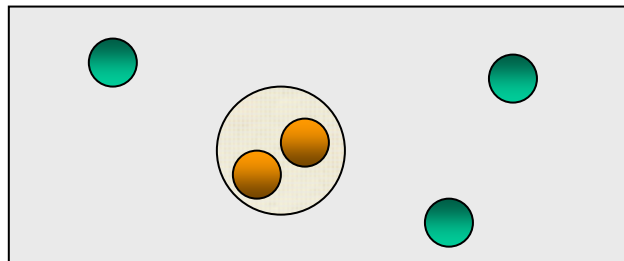
Method: The virial expansion

As an alternative to the σ -model, we use

- Note: a field theoretical machinery of the σ -model cannot be used in the case of the almost diagonal RMT
- the virial expansion in the number of interacting energy levels.*

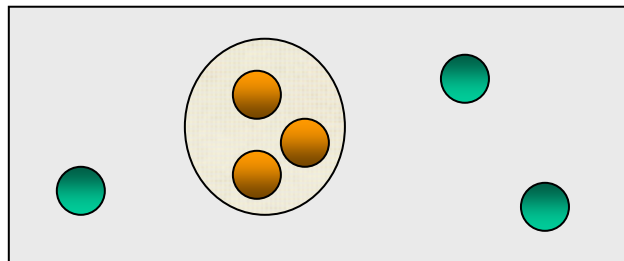
Gas of low density ρ

ρ^1



2-particle collision

ρ^2



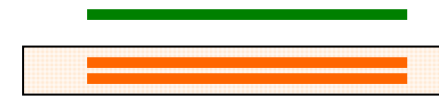
3-particle collision

Almost diagonal RMT

$$\Delta \gg b\Delta$$



$b\Delta$



b^1

Δ



2-level interaction



b^2



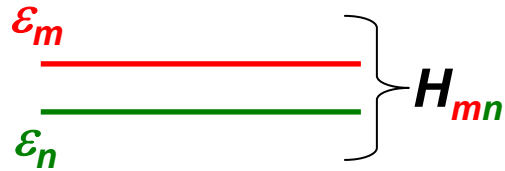
3-level interaction



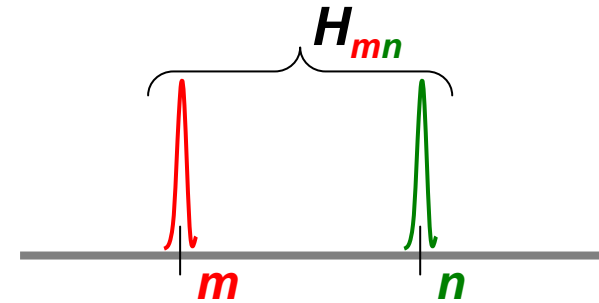
VE allows one to expand correlations functions in powers of $b \ll 1$

SuSy virial expansion

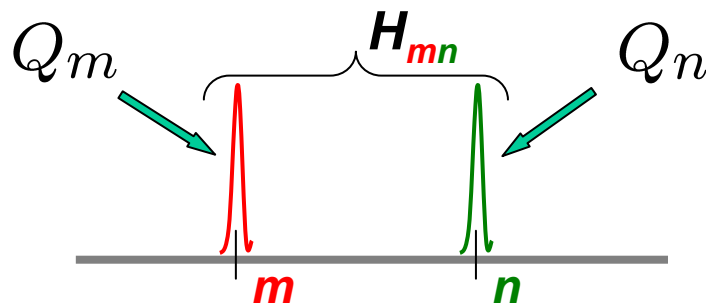
Interaction of energy levels



Hybridization of localized states



The **SuSy** trick is used to average over disorder (OY, Ossipov, Kronmüller, 2007-2009)



Coupling of supermatrices

$$\rightarrow \exp\left(-\langle |H_{mn}|^2 \rangle \text{Str}(Q_m Q_n)\right)$$

Summation over all possible configurations

$$\sim \int dx \exp\left(-\langle |H_{mn}|^2 \rangle \text{Str}(Q_m Q_n)\right) \underbrace{\mathcal{F}[Q]}_{\text{SuSy breaking factor}}, \quad x \equiv m-n$$

Application of the virial expansion

Expected behavior: $P \propto \Upsilon^{-\kappa}$; $\Upsilon = \min(\tau, N)$, $\kappa \sim b \ll 1$

VE for the return probability: $P = 1 + \sum_{j=2} P_j$, $P_j \sim O((b \log(\Upsilon))^{j-1})$

Perturbation theory for the scaling exponent

$$\kappa = -\partial_{\log(\Upsilon)} \log(1 + P_2 + P_3 + \dots) = \kappa_2 + \kappa_3 + \dots$$

■

$$\underbrace{\kappa_2 \equiv -\partial_{\log(\Upsilon)} P_2}_{\text{2 level contribution } \sim O(b^1)} \longleftarrow \begin{array}{c} \text{---} \\ \boxed{\text{---}} \\ \text{---} \\ \text{---} \end{array}$$
$$\underbrace{\kappa_3 \equiv -\partial_{\log(\Upsilon)} \left(P_3 - \frac{1}{2} P_2^2 \right)}_{\text{3 level contribution } \sim O(b^2)} \longleftarrow \begin{array}{c} \text{---} \\ \boxed{\text{---}} \\ \text{---} \\ \text{---} \end{array}$$

What shall we calculate and check?

1) *Dynamical scaling:*

$$P \propto \Upsilon^\kappa; \quad \kappa_2(\Upsilon) \equiv -\partial_{\log(\Upsilon)} P_2, \quad \kappa_3(\Upsilon) \equiv -\partial_{\log(\Upsilon)} \left(P_3 - \frac{1}{2} P_2^2 \right)$$

■

a) *Log-behavior of P_j :*

$$P_2 \sim b \log(\Upsilon), \quad P_3 \sim (b \log(\Upsilon))^2$$

■

b) *Smallness of corrections $\kappa_{2,3}(\Upsilon)$*

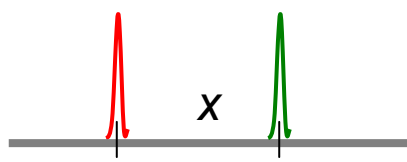
$$\Rightarrow \log^2(\Upsilon) \text{ must cancel out in } P_3 - (P_2)^2/2$$

■

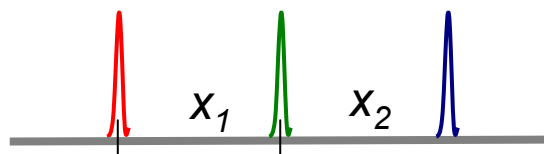
2) *Universality: scaling exponent is cut-off independent*

$$\kappa_j|_{\Upsilon=N} = \kappa_j|_{\Upsilon=\tau}, \quad j = 2, 3$$

Regular contributions to the scaling exponents



$$P_2|_{\tau, N \gg 1} = \int_0^\tau \frac{d\beta}{\beta} \int_0^N \frac{dx}{\beta} \mathcal{F}_2 \left(\frac{\beta}{x^{1-\epsilon}} \right), \quad \tau \equiv bt$$



$$|x_1| \sim |x_2| \sim |x_1 - x_2|$$

$$P_3^{(reg)}|_{\tau, N \gg 1} \simeq \int_0^\tau \frac{d\beta}{\beta} \iint_0^N \frac{dx_1}{\beta} \frac{dx_2}{\beta} \mathcal{F}_3^{(reg)} \left(\frac{\beta}{x_1^{1-\epsilon}}, \frac{\beta}{x_2^{1-\epsilon}}, \frac{\beta}{|x_1 - x_2|^{1-\epsilon}} \right)$$

■

Homogeneity at $\epsilon \rightarrow 0$

Measure $d\beta/\beta, dx/\beta$ - general property

Argument $\beta/x^{1-\epsilon}|_{\epsilon \rightarrow +0} = \beta/x$ - property of the **critical** RMT

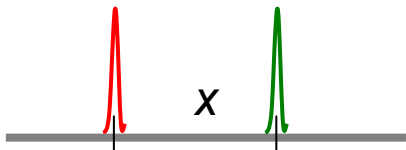
Role of criticality

Homogeneity results in equivalence of time/space coordinates at criticality

$$\Rightarrow \partial_{\log(\tau)} P_j^{(reg)} \Big|_{\substack{N \rightarrow \infty \\ \epsilon \rightarrow 0}} = \partial_{\log(N)} P_j^{(reg)} \Big|_{\substack{\tau \rightarrow \infty \\ \epsilon \rightarrow 0}} = \int_0^\infty d\{x_m\} \mathcal{F}_j^{(reg)} \left(\left\{ \frac{1}{x_m} \right\} \right)$$

$$j = 2, 3; \quad m = 1 \dots j - 1$$

■

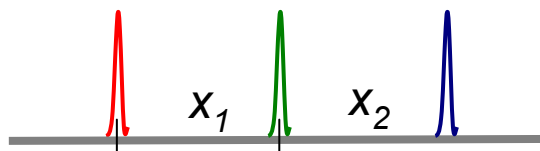
$j = 2$  $\kappa_2(\gamma) = \frac{b\pi}{\sqrt{2}}$ - universal

Assumptions about

1) dynamical scaling and 2) universality of κ

hold true up to $O(b)$

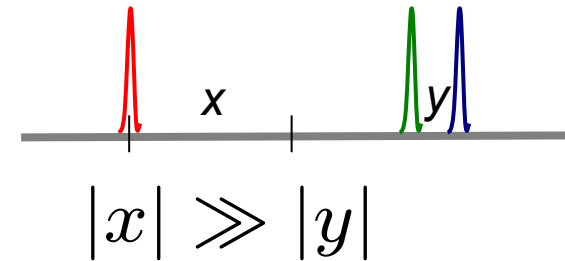
■

$j = 3$  $\kappa_3^{(1)}(\gamma) = 0.141 \times \frac{(b\pi)^2}{2}$ - universal

Singular contributions

$$(P_2)^2 \left[\begin{array}{c} \text{red peak } x \\ \text{green peak } y \\ \text{purple peak } x \\ \text{blue peak } y \end{array} \right]$$

$$P_3^{(sing)}$$



Contribution to κ : $\kappa_3^{(2)}(\gamma) \equiv - \lim_{\epsilon \rightarrow 0} \left(\partial_{\log(\gamma)} \left(P_3^{(sing)} - \frac{1}{2} P_2^2 \right) \right)$

$$\left\{ \begin{array}{l} \frac{1}{2} \partial_{\log \gamma} P_2^2 = \frac{\gamma^{2\epsilon}}{\epsilon} (A_2 + B_2 \epsilon) \\ \partial_{\log \gamma} P_3^{(sing)} = \frac{\gamma^{2\epsilon}}{\epsilon} (A_3 + B_3 \epsilon) \end{array} \right. \quad \text{- } 1/\epsilon \text{ singularities}$$

■

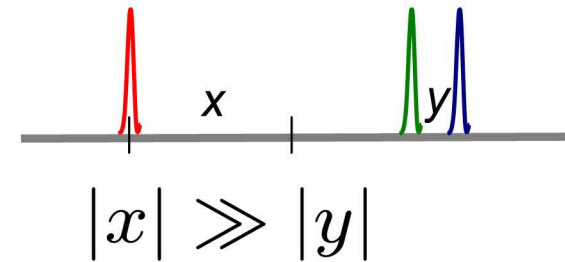
We cannot put $\epsilon \rightarrow 0$ and use homogeneity property before solving uncertainties

$$\kappa_3^{(2)}(\gamma) \equiv \lim_{\epsilon \rightarrow 0} \left(\frac{\gamma^{2\epsilon}}{\epsilon} ([A_2 - A_3] + \epsilon [B_2 - B_3]) \right)$$

Singular contributions

$$(P_2)^2 \left[\begin{array}{c} \text{red peak } x \\ \text{green peak } y \\ \text{purple peak } x \\ \text{blue peak } y \end{array} \right]$$

$$P_3^{(sing)}$$



$$\kappa_3^{(2)} = \frac{(\pi b)^2}{2} [\log(2) - 1]$$

Assumptions about

1) dynamical scaling and 2) universality of κ

hold true up to $O(b^2)$ IF

1) $1/\epsilon$ terms cancel out:

$$A_2 = A_3; \quad \checkmark$$

2) subleading terms are universal

$$B_2 - B_3 = \text{const.} \quad \checkmark$$

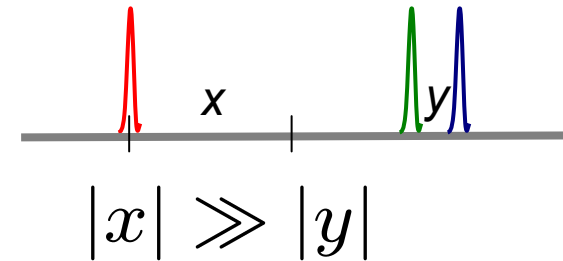
■ Results of $1/\epsilon$ -expansion: $A_2 = A_3; \quad B_3 - B_2 = \frac{(\pi b)^2}{2} [\log(2) - 1]$

■
$$\kappa_3^{(2)}(\gamma) \equiv \lim_{\epsilon \rightarrow 0} \left(\frac{1}{\epsilon} ([A_2 - A_3] + \epsilon [B_2 - B_3]) \right)$$

Singular contributions

$$(P_2)^2 \left[\begin{array}{c} \text{red peak } x \\ \text{green peak } y \\ \text{purple peak } x \\ \text{blue peak } y \end{array} \right]$$

$$P_3^{(sing)}$$



Assumptions about

1) dynamical scaling and 2) universality of κ

hold true up to $O(b^2)$ IF

1) $1/\varepsilon$ terms cancel out:

$$A_2 = A_3; \quad \checkmark$$

2) subleading terms are universal

$$B_2 - B_3 = \text{const.} \quad \checkmark$$

$$\kappa_3^{(2)} = \frac{(\pi b)^2}{2} [\log(2) - 1]$$

- small and universal

Conclusions

$$1 - \mu = d_2 \simeq \kappa_2 + \kappa_3^{(1)} + \kappa_3^{(2)} = \frac{\pi b}{\sqrt{2}} + 0.818b^2$$

- Using the model of the **of the almost diagonal RMT with multifractal eigenstates in the strong fractality regime** we have shown that:
 - assumptions about **the dynamical scaling** and **the relation $\mu=1-d_2$** **hold true** up to the leading and the subleading terms of the VE IF:
 - the system is **critical**
 - anomalous part of the scaling exponent is **regular and universal**

Open question

- are anomalous contributions always regular and universal at criticality?