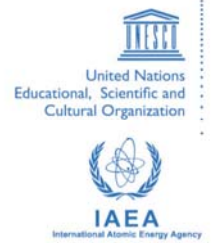




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A SOIL MECHANICS APPROACH TO STUDY SOIL COMPACTION

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ABSTRACT: One of the limitations for sustainable agriculture development to be reached is related to the traffic of machines and vehicles, which may cause soil structure degradation. The soil structure degradation can occur due to the fact that at the moment of accomplishment of the mechanized operations, there are no controls of the soil water content or of the soil load support (bearing) capacity. Thus, it becomes important to determine the soil moisture at which the soil can be submitted to mechanized operations, as well as, to quantify the damages caused to soil structure, when the applied pressures exceeds the soil load support (bearing) capacity. This chapter presents an application of the relationship between precompression stress and volumetric water content (Bearing Capacity Model), to evaluate: a) the soil compressive behavior under different soil management, b) the compressive behavior of the different soil classes and horizons and c) the compressive behavior of forest soils. In addition, a criterion to assess the natural alleviation of the soil compaction based on precompression stress is presented. The results of this study indicate that the use of the bearing capacity model, and the precompression stress determined after the traffic, allowed to quantify the compressive behavior of the soil and the effects of the

1 mechanized operations on the soil structure. Therefore, it is believed that the
2 precompression stress may be used as an auxiliary criterion in planning the
3 mechanized operations in order to avoid soil structure degradation.

4 **Key words:** Structure degradation, soil compaction, traffic, and precompression
5 stress.

6

7 **INTRODUCTION**

8 The external forces applied to the soil may cause soil deformation. The
9 components of soil deformation are: compaction defined by divergence or
10 volumetric strain (Horn and Rostek, 2000; Çarman, 2002), which results in
11 changes of soil mechanics characterization and in the soil air-water relationship
12 (Pytka, 2001) and soil shearing, a process of changing of shape at constant
13 volume (Horn and Rostek, 2000; Pytka, 2001).

14 Topsoil compaction is not considered to be a serious problem, because it
15 can be alleviated by tillage and natural process (Arvidsson, 2001; Gysi, 2001;
16 Radford et al., 2001). However, subsoil compaction is a major concern in
17 agriculture, mainly due to its persistence (Arvidsson, 2001). Thus, the intensive
18 use of the soil without moisture control is preoccupying, due to the possibility of
19 subsoil compaction dissemination (Pedrotti and Dias Junior, 1996) caused by the
20 traffic of agricultural machines through the year (Hill and Meza-Montalvo, 1990;
21 Muller et al., 1990).

22 Soil compaction has been identified as one of the leading problem causing
23 soil degradation (Canillas and Salokhe, 2002). Different soil uses has been altering

1 the physical and mechanical soil properties (Barnes et al., 1971; Gupta et al.,
2 1985; Larson et al., 1989; Soane and van Ouwerkerk, 1994; Dias Junior and
3 Pierce, 1996ab, Dias Junior and Miranda, 2000, Horn et al., 2000; Dias Junior,
4 2000), causing soil compaction and restricting root penetration due to the
5 insufficient root turgor pressure to overcome the mechanical resistance of the soil
6 (Gysi, 2001). Soil compaction increase bulk density and soil strength (Taylor,
7 1971; Lebert et al., 1989; Hill and Meza-Montalvo, 1990; Lebert and Horn, 1991;
8 Dias Junior et al., 1999, Arvidsson, 2001; Ishaq et al., 2001); decrease total
9 porosity, size and continuity of the pores (Hillel, 1982; Smucker and Erickson,
10 1989; Servadio et al., 2001) and limit nutrient uptake, water infiltration and
11 redistribution, gas exchange, seedling emergency and root development (Tardieu,
12 1988; Smucker and Erickson, 1989; Bicki and Siemens, 1991; Dürr and Aubertot,
13 2000, Arvidsson, 2001; Ishaq et al., 2001) resulting in decreased yields (Arvidsson,
14 2001; Radford et al., 2001; Dauda and Samari, 2002), increased erosion and
15 increased power requirement for tillage (Stone, 1987, Canillas and Salokhe, 2002).

16 The soil compression curves obtained from laboratory uniaxial compression
17 test are frequently used in compaction studies (Larson et al., 1980; Larson and
18 Gupta, 1980; Bingner and Wells, 1992; O'Sullivan, 1992; MacNabb and Boersma,
19 1993; Dias Junior, 1994; Dias Junior and Pierce, 1996ab; Canarache et al., 2000).
20 These curves describe the relationship between the logarithm of the applied
21 pressure and bulk density or void ratio (Casagrande, 1936; Leonards, 1962; Holtz
22 and Kovacs, 1981). The precompression stress divides the soil compression
23 curves into a region of small, elastic and recoverable deformation (secondary
24 compression curve) and a region of plastic and unrecoverable deformation (virgin

1 compression curve) (Holtz and Kovacs, 1981; Jamiolkowski et al., 1985; Dias
2 Junior and Pierce, 1995; Canarache et al., 2000).

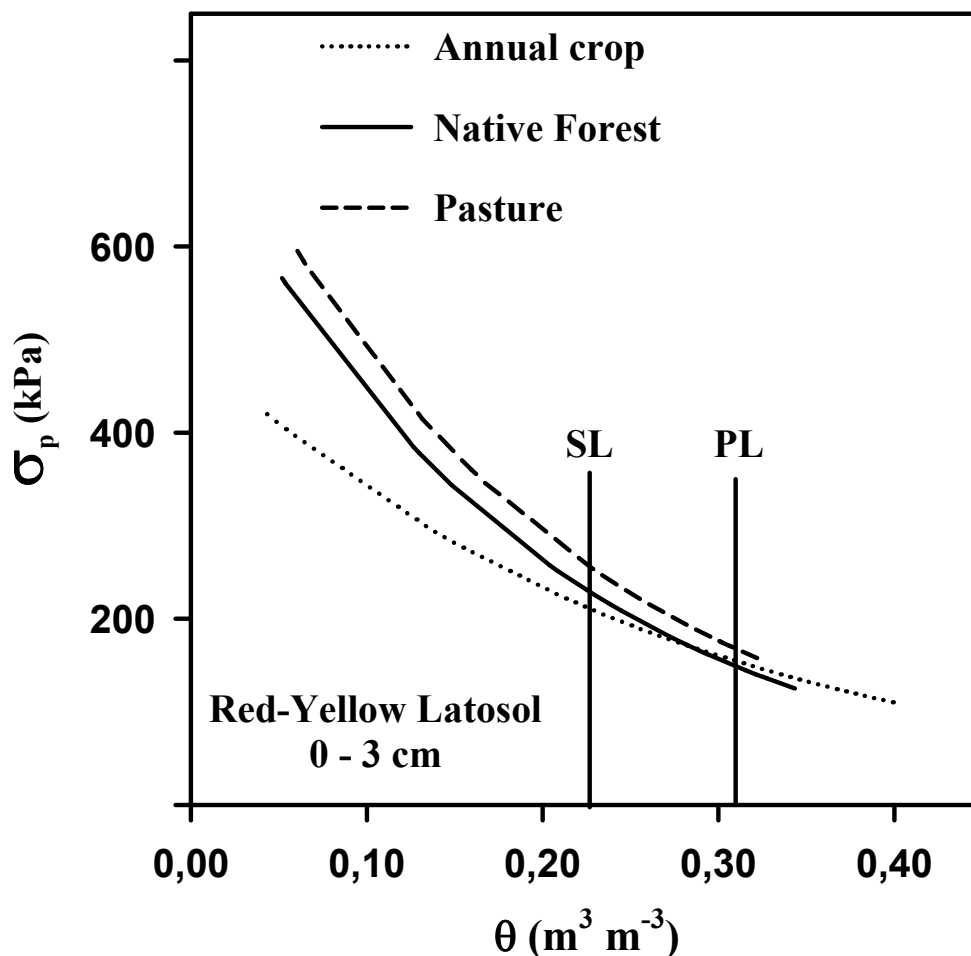
3 The precompression stress is an indication of soil strength (Arvidsson,
4 2001) and of the maximum previously applied stress sustained by a soil and
5 defines the limit of elastic deformation in the soil compression curves (Holtz and
6 Kovacs, 1981, Dias Junior and Pierce, 1995; Defosseze and Richard, 2002). Thus,
7 in agriculture, application of stress greater than the precompression stress should
8 be avoid in order to avoid unrecoverable soil deformation (Gupta et al., 1989;
9 Lebert and Horn, 1991; Defosseze and Richard, 2002). The precompression stress
10 depends on several factors such as: changes in the total stress due to erosion and
11 excavations, wetting and drying processes, texture, structures and soil bulk
12 density, soil management, organic matter, chemical alterations due to the
13 weathering, precipitations, pH, ions exchange, etc. (Casagrande, 1936;
14 Schmertmann, 1955; Crawford, 1964; Brumund et al., 1976; Holtz and Kovacs,
15 1981; Horn, 1988; Jose et al., 1989; Dias Junior and Pierce, 1995; McBride and
16 Jooose, 1996, Veenhof and McBride, 1996; Kondo and Dias Junior, 1999; Silva et
17 al., 1999 and other).

18 Methods for estimation of the precompression stress are available in the
19 literature (Casagrande, 1936; Burmister, 1951; Schmertmann, 1955; Sällfors,
20 1975; Anderson and Lukas, 1981; Culley and Larson, 1987; Jose et al., 1989;
21 Lebert and Horn, 1991; Dias Junior and Pierce, 1995; Veenhof and McBride, 1996
22 and McBride and Jooose, 1996). Thus, the use of precompression stress in the
23 agriculture studies is consolidated.

1 Considering that the precompression stress is an indication of soil strength
2 (Arvidsson, 2001) and of the maximum stress that should be applied to a soil in
3 order to avoid soil compaction (Gupta et al., 1989; Lebert and Horn, 1991;
4 Defossez and Richard, 2002) and also is an indicator of soil structure sustainability
5 (Dias Junior et al., 1999), in this chapter it is present an application of the
6 relationship between precompression stress and volumetric water content (Bearing
7 Capacity Model), in studies of the compressive behavior of some tropical soils.

8 9 **Evaluation of the compressive behavior of the soil under different soil** 10 **management**

11 To verify the soil management effect on the soil compressive behavior,
12 Kondo and Dias Junior (1997) and Kondo (1998) evaluated the precompression
13 stress as a function of volumetric water content for a Red-Yellow Latosol (Oxisol)
14 under annual crop, cultivated pasture and native forest. The undisturbed soil
15 samples were taken randomly at 0-3 cm depth. According to figure 1, it was
16 observed a shifting for the region of lower pressure of the bearing capacity model
17 for the annual crop in relation of the model of native forest, which is due to the
18 destruction of soil structure by the tillage tools, suggesting therefore, greater soil
19 susceptibility to compaction of the soil under annual crop. For the cultivated
20 pasture, the precompression stress was greater than for the annual crop and the
21 native forest, evidencing the influence of the cattle trampling on the compaction of
22 the soil surface.



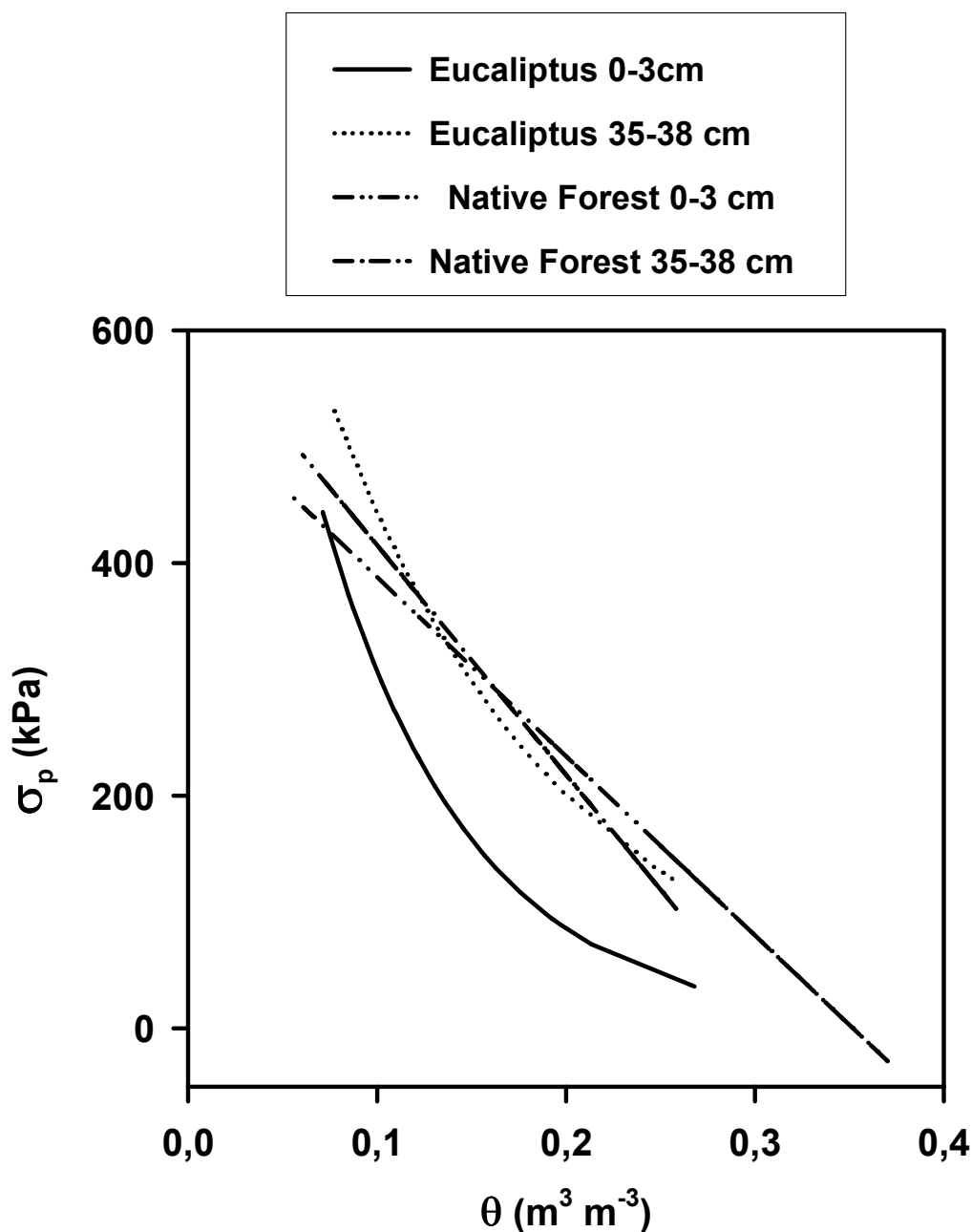
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2 **Figure 1.** Bearing Capacity Model for a Red-Yellow Latosol at 0-3 cm depth, for
 3 annual crop, native forest and cultivated pasture. SL = Shrinkage limit, PL
 4 = Plastic limit. Source: Kondo and Dias Junior (1997) and Kondo (1998).

5

6 In order to verify the alterations of the soil structure caused by tillage
 7 operations in a Yellow Podzolic (Acruoxic Kandudult) under eucalyptus plantation
 8 at the 0-3 cm and 35-38 cm depths, Dias Junior et al., (1999), compared the
 9 Bearing Capacity Model for the conditions of native forest and eucalyptus
 10 plantation (Figure 2). The Bearing Capacity Model at 0-3 cm depth were
 11 statistically different and showed smaller precompression stress than the native
 12 forest for any moisture condition. This fact evidenced an alleviation of the natural

1 soil resistance by the tillage operations. There were no statistically differences in
 2 the precompression stress at 35-38 cm depth for these two conditions, showing
 3 that the soil tillage operations did not alter the soil structure at this depth.

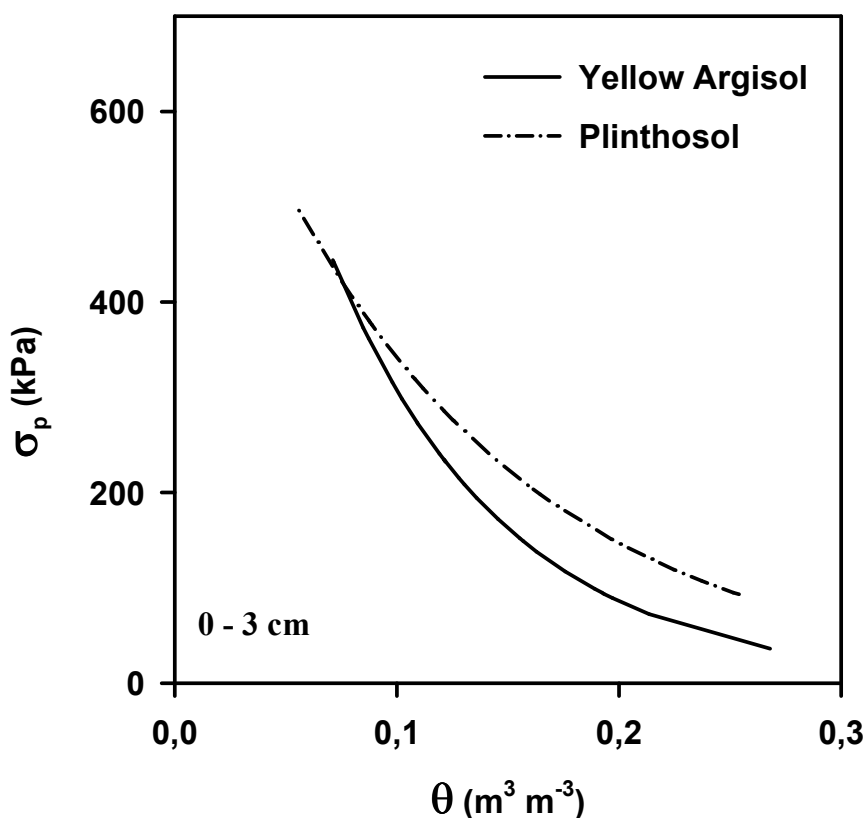


4

5 **Figure 2.** Bearing Capacity Model for a Yellow Podzolic at 0-3 and 35-38 cm
 6 depth, for native forest and eucalyptus plantation. Source: Dias Junior et
 7 al., (1999).

1 Evaluation of the compressive behavior of soil classes and horizons

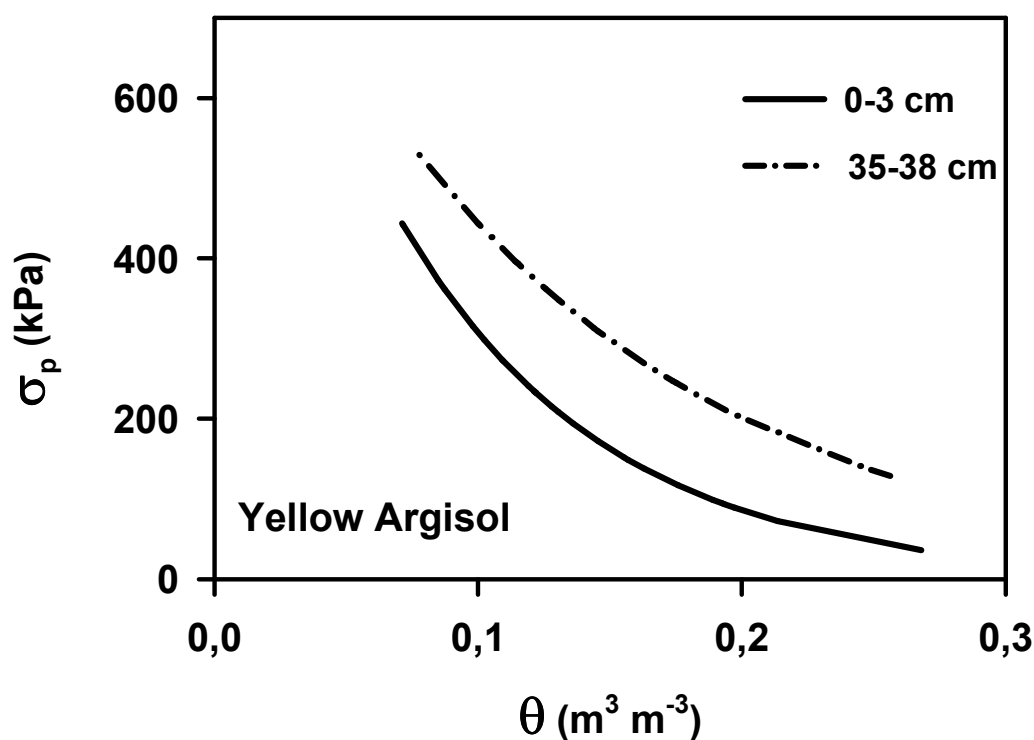
2 Figure 3, shows the Bearing Capacity Model for a Yellow Argisol (Acrudoxic
 3 Kandiudult) and for a Plinthosol (Acrudoxic Plintic Kandiudult) at 0-3 cm depth.
 4 The models of the two soils were statistically different and the Plinthosol presented
 5 precompression stress significantly greater than the Yellow Argisol, for any value
 6 of volumetric water content. Therefore, it is expect, that the Yellow Argisol should
 7 be more susceptible to soil compaction than Plinthosol (Dias Junior et al., 1999).
 8 The understanding of the compressive behavior of the surface layer is important
 9 for the soil erosion studies, due to the fact that compaction limit water infiltration
 10 and increases soil erosion.



11

12 **Figure 3.** Bearing Capacity Model for a Yellow Argisol (PA) and Plinthosol (PT) at
 13 0-3 cm depth. Source: Dias Junior et al., (1999).

1 The Bearing Capacity Model for the Yellow Argisol (Figure 4) at 0-3 cm and
 2 35-38 cm depth were statistically different. The Yellow Argisol, at 35-38 cm depth
 3 showed greater precompression stress than the 0-3 cm depth. These differences
 4 might be related with the soil formation processes. Considering those results, it is
 5 expected that at 0-3 cm depth, these soils should be more susceptible to soil
 6 compaction than at 35-38 cm depth (Dias Junior et al., 1999).



7

8 **Figure 4.** Bearing Capacity Model for the Yellow Argisol at 0-3 and 35-38 cm
 9 depth. Source: Dias Junior et al., (1999).

10

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14

In addition, one might expect that soil with larger values of precompression stress should have large values of load support capacity and therefore, larger resistance to soil compaction. However, one might consider that the root system

1 developing in a place with large precompression stress, should experiment higher
2 soil mechanics resistance than those, which are growing in place with lower
3 precompression stress. Thus, the understanding of changes in precompression
4 stress with the soil management is important for root growth.

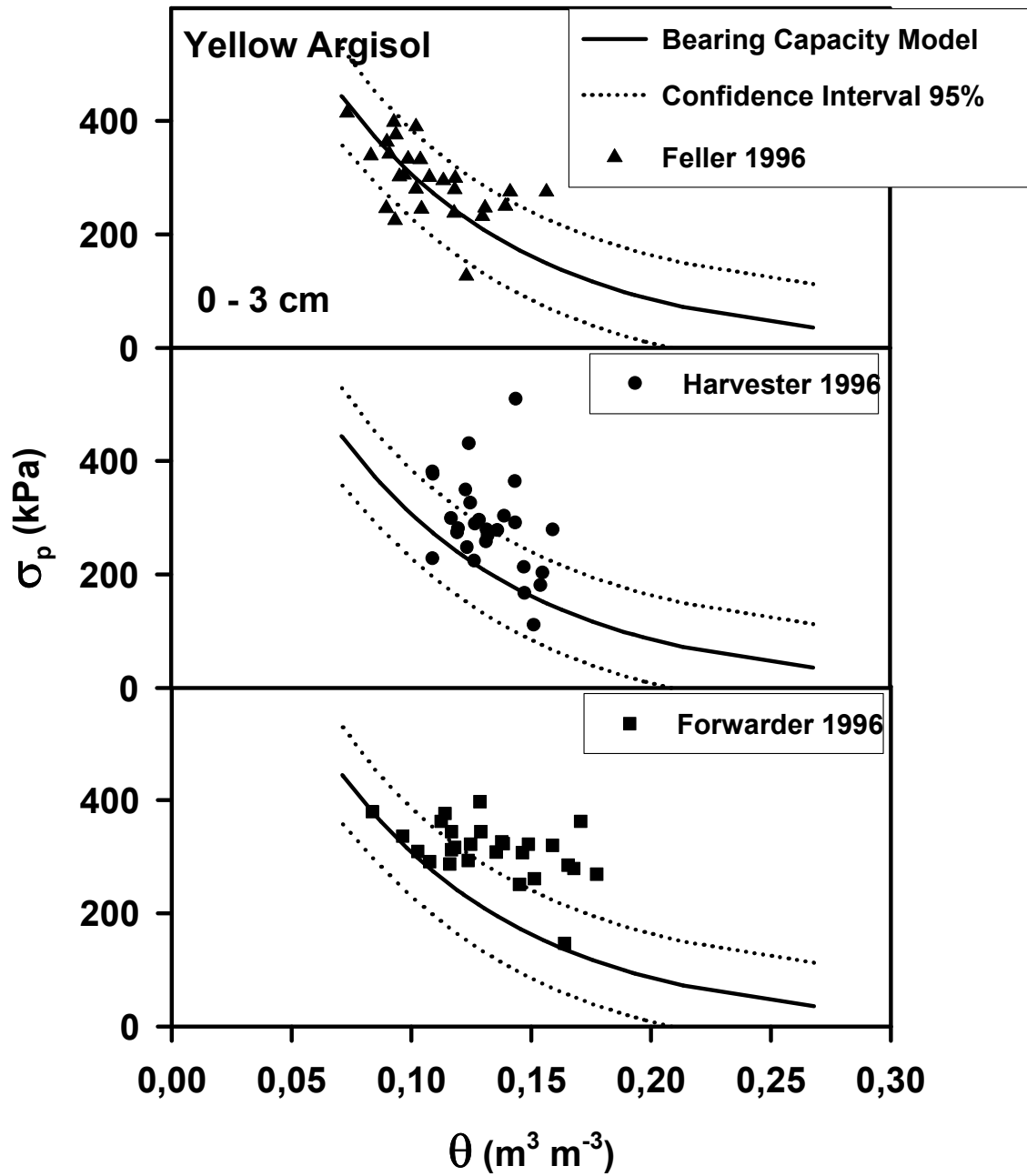
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6 **Evaluation of the soil compressive behavior of forest soils**

7 With the standardization of specific legislation regarding the exploration of
8 natural resources, the companies involved in this type of activity are alert about the
9 problems that their mechanical activities can cause to the soil structure. Therefore,
10 they are interested in research to identify the harvest machine that causes more
11 soil compaction and its influence on the top and subsoil layers.

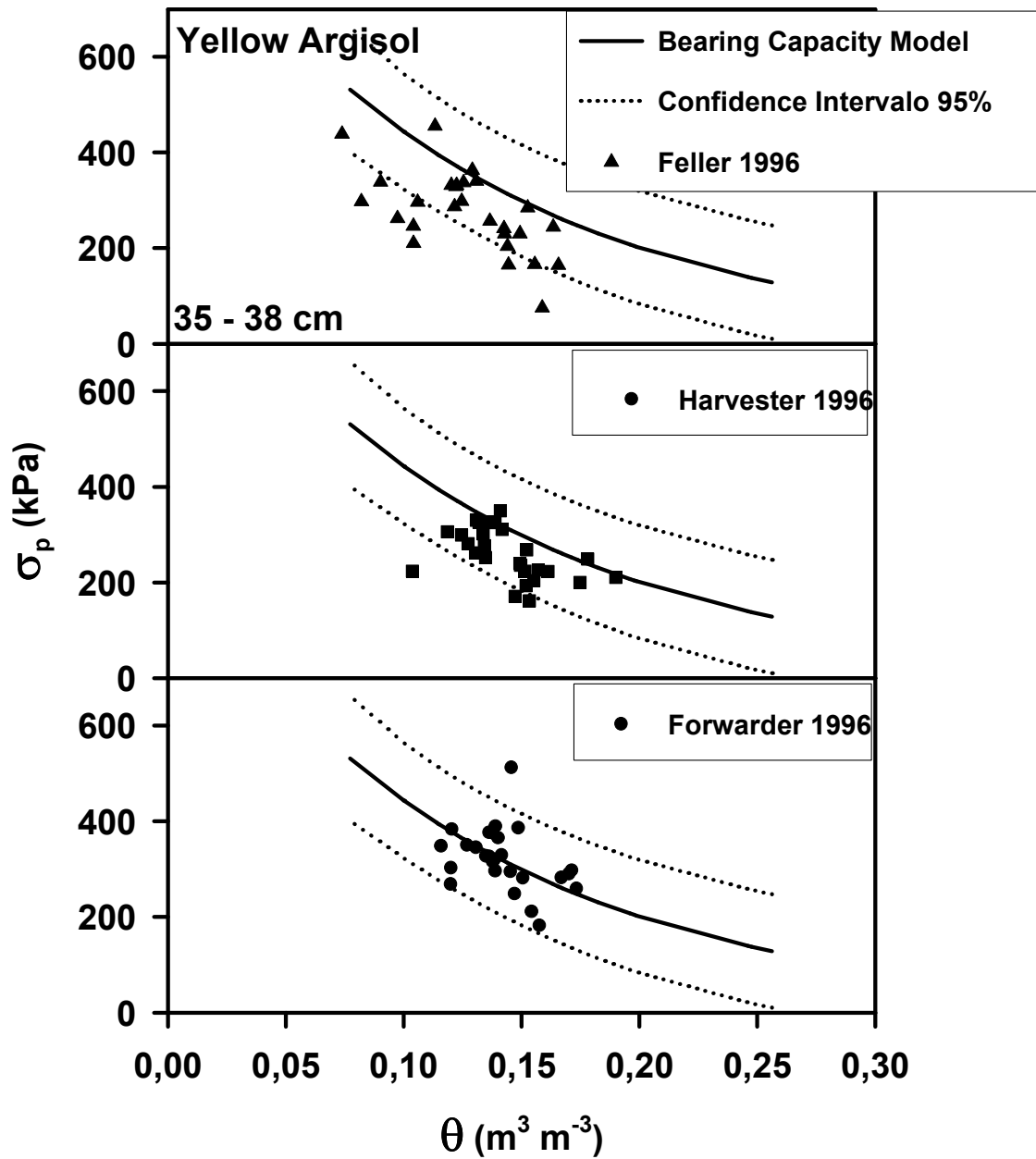
12 One of the studies conducted, as an attempting to identify the harvest
13 machine effects on the soil structure was done by Dias Junior et al., (1999). This
14 study was conducted in a Yellow Argisol (Acrudoxic Kandiudult) and in a Plinthosol
15 (Acrudoxic Plintic Kandiudult), under native forest and eucalyptus plantation. In
16 each soil class, the soil sampling was done before and after mechanized harvest
17 operations. The results of this study are showed in figures 5 to 8.

18



1
2

3 **Figure 5.** Bearing Capacity Model for a Yellow Argisol, after Feller-Büncher,
4 Harvester and Forwarder operations at 0-3 cm depth. Source: Dias Junior
5 et al., (1999).

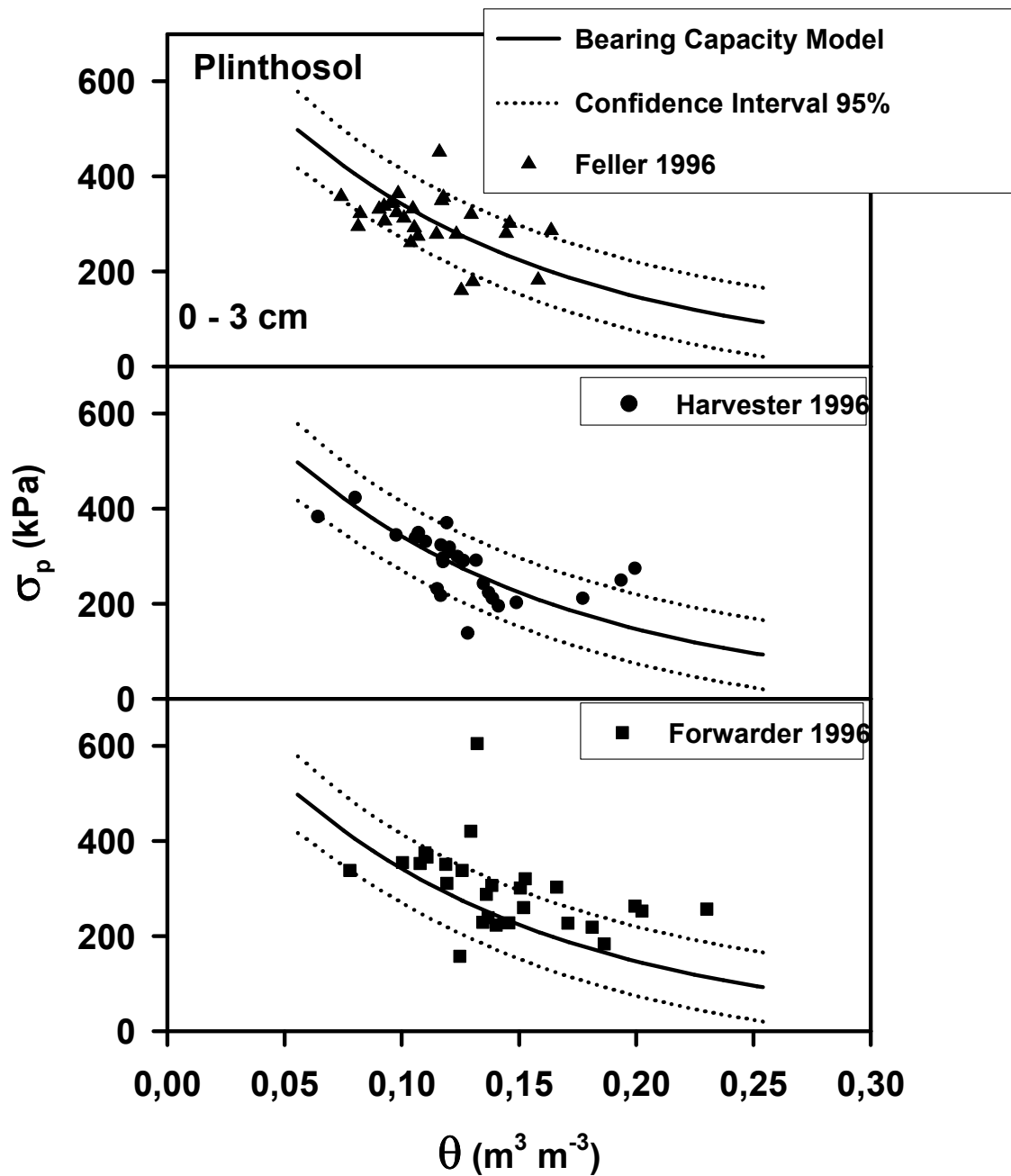


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3 **Figure 6.** Bearing Capacity Model for a Yellow Argisol, after Feller-Büncher,
 4 Harvester and Forwarder operations, at 35-38 cm depth. Source: Dias
 5 Junior et al., (1999).

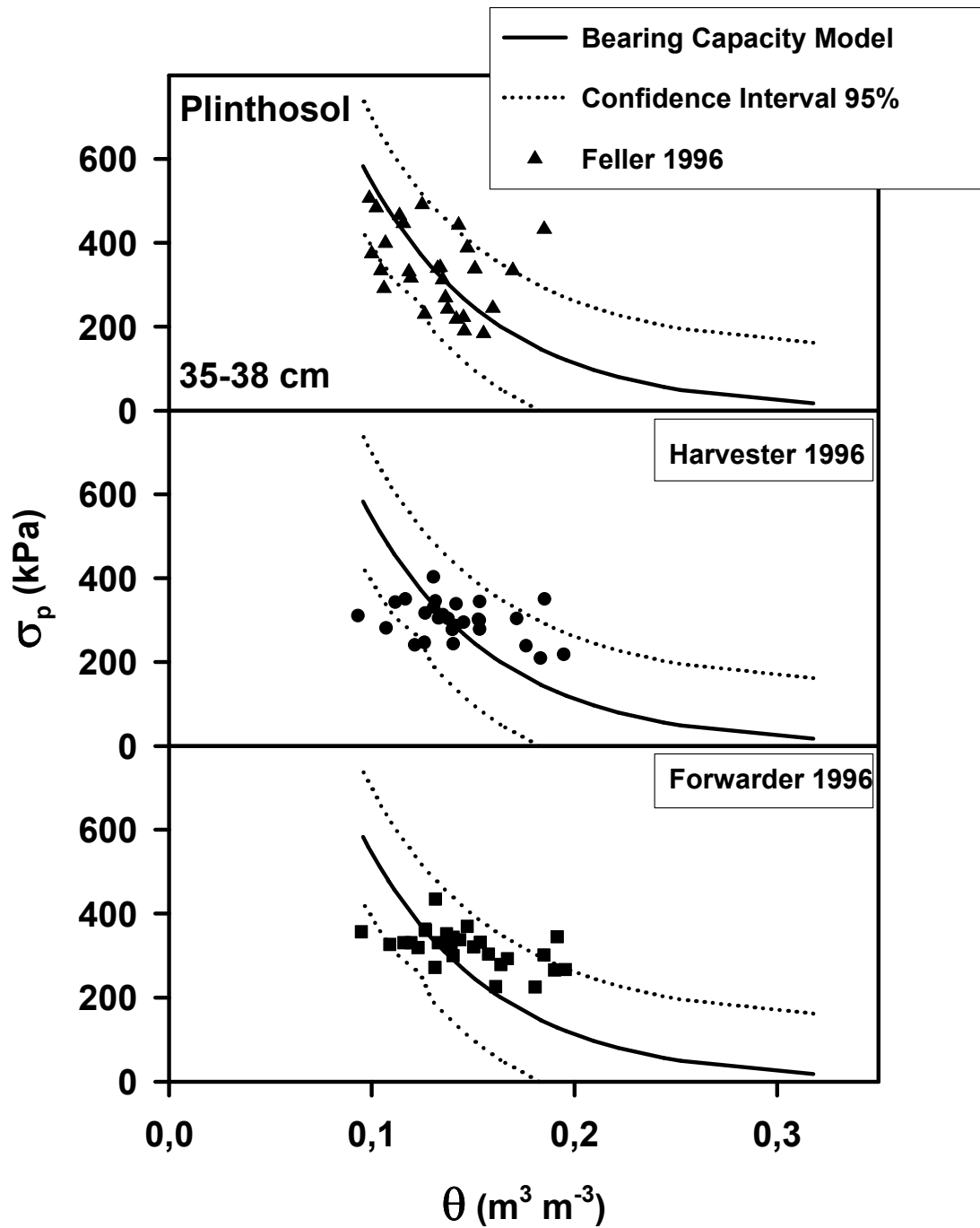
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3 **Figure 7.** Bearing Capacity Model for a Plinthosol, after Feller-Büncher, Harvester
 4 and Forwarder operations, at 0-3 cm depth. Source: Dias Junior et al.,
 5 (1999).
 6



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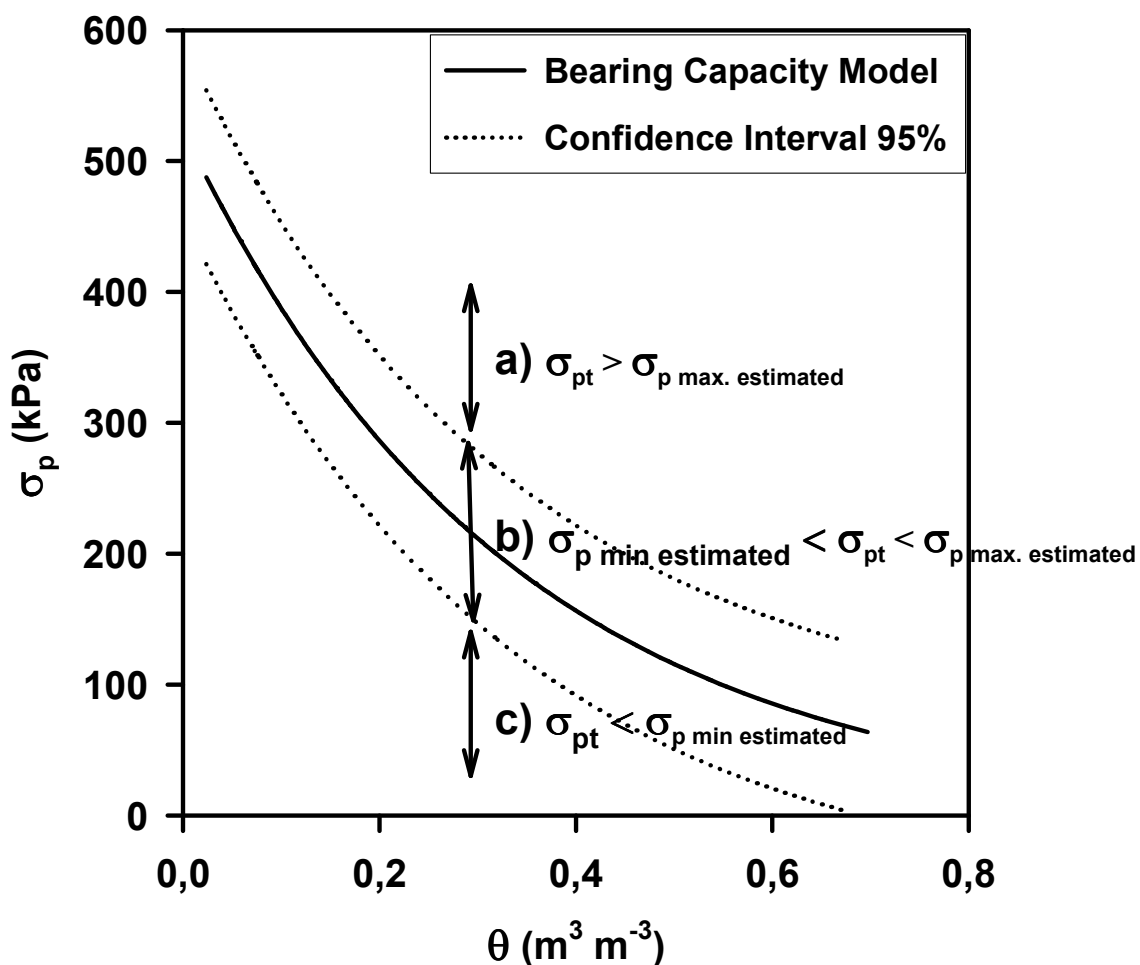
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3 **Figure 8.** Bearing Capacity Model for a Plinthosol after Feller-Büncher, Harvester
 4 and Forwarder operations, at 35-38 cm depth. Source: Dias Junior et al.,
 5 (1999).

6

1 To evaluate the harvest machinery effects on the soil structure, the
2 Bearing Capacity Model, was divided into three regions: a) a region where the
3 precompression stress determined after the traffic (σ_{pt}) are larger than the
4 maximum precompression stress estimated with the equation of the confidence
5 interval at 95% (σ_p maximum estimated), being considered as the region where
6 the soil structure degradation had already happened; b) a region where
7 precompression stress determined after the traffic (σ_{pt}) are larger than the
8 maximum precompression stress estimated with the equation of the confidence
9 interval at 95% (σ_p maximum estimated) and smaller than the minimum
10 precompression stress estimated with the equation of the confidence interval at
11 95% (σ_p minimum estimated), being considered as the region where there is a
12 tendency of soil structure degradation to happen and c) a region where the
13 precompression stress determined after the traffic (σ_{pt}) are smaller than the
14 minimum precompression stress estimated with the equation of the confidence
15 interval at 95% (σ_p minimum estimated) (Figure 9).

16



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3 **Figure 9.** Bearing Capacity Model with the criteria used to estimate soil structure
4 degradation. Source: Dias Junior et al., (2005).

5

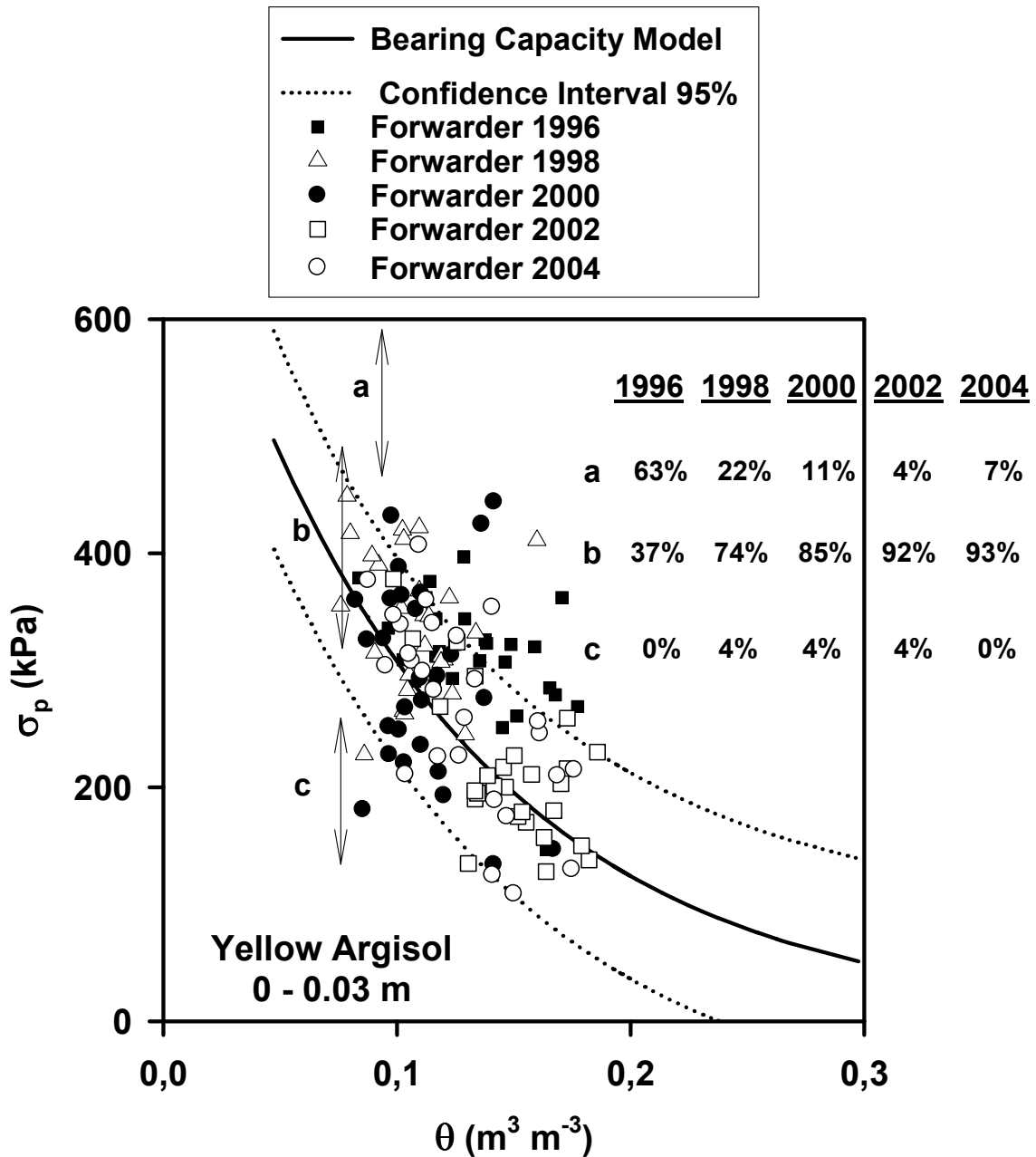
6 Considering the criteria suggested in figure 9, and the results presented in
7 figures 5 to 8, it was observed that the Feller-Büncher did not caused any soil
8 structure degradation in both depth and soil classes; the Harvester caused soil
9 structure degradation only in the Yellow Argisol at 0-3 cm depth (Figure 5) and the
10 Forwarder, however, caused soil structure degradation in both soil classes at 0-3

1 cm depth (Figures 5 and 7) and at 35-38 cm depth the Forwarder did not caused
2 any soil structure degradation (Figures 6 and 8). These results indicated that the
3 operations performed with Forwarder were the one that caused more soil
4 compaction.

5

6 **Assessment of the natural alleviation of the precompression stress**

7 To access the natural alleviation of the precompression stress due to the
8 drying and wetting cycle, as well as, due to the biological activity, the criteria
9 suggested in figure 9, was considered and the precompression stress as a
10 function of volumetric watercontent were determined in 1996, 1998, 2000, 2002
11 and 2004 in the traffic line of the Forwarder, and plotted in figures 10, 11, 12 and
12 13 for the Yellow Argisol at 0-3 cm and 35-38 cm depth. Figure 10 shows that at
13 0-3 cm depth, is occurring a decreasing in the percentage of soil samples in the
14 region where soil structure degradation had already happened (63%, 22%, 11%,
15 4% and 7%) and an increase in the percentage of soil samples in the region
16 where there is no soil structure degradation (37%, 74%, 85%, 92% and 93%)
17 indicating a natural recovered of the soil structure since no tillage operations was
18 done during those years.

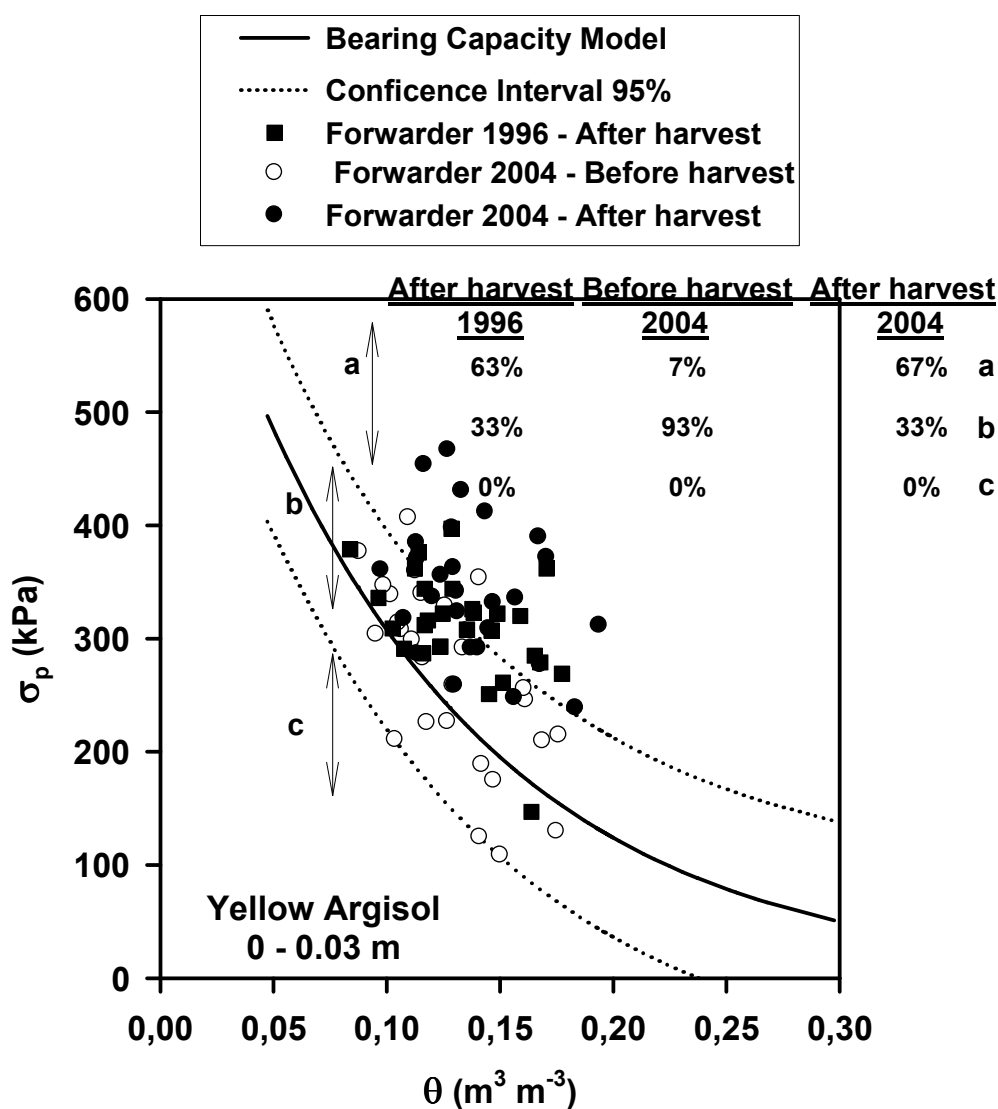


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3 **Figure 10.** Bearing Capacity Model for a Yellow Argisol at 0-0.03 m depth. The
 4 symbols represent the values of the precompression stress determined
 5 in soil samples collected in 1996, 1998, 2000, 2002 and 2004, in the
 6 area where the Forwader operations was done in 1996. (Source: Dias
 7 Junior, 2005).
 8

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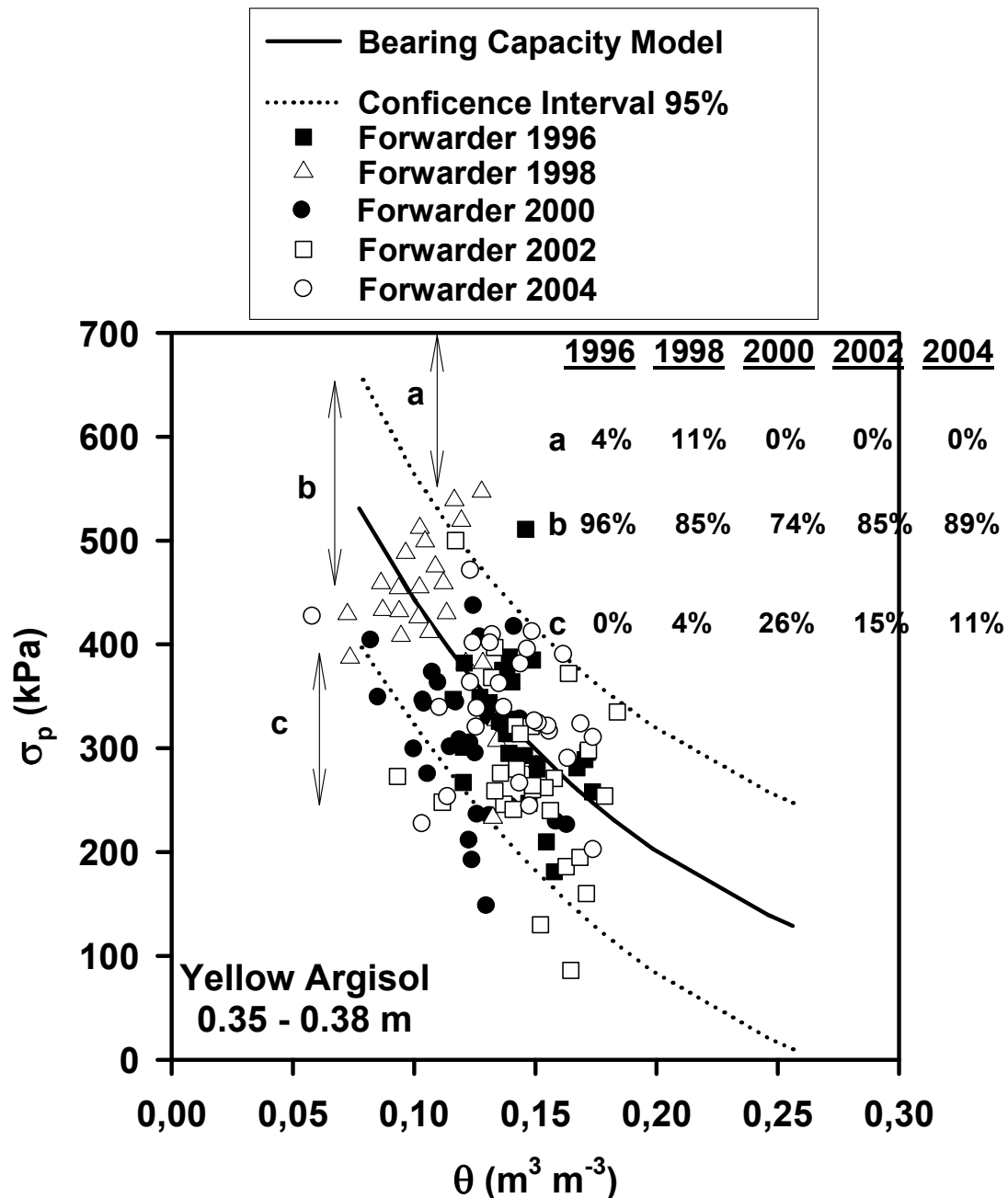
1 Figure 11 shows that at 0-3 cm depth, the percentage of soil samples in the
 2 region where soil structure degradation had already happened increased from 7%
 3 before harvest in 2004 to 67% after harvest in 2004 and this value is closed to
 4 63% after harvest in 1996, indicating a sustainable exploration of this area with
 5 eucalyptus plantation.



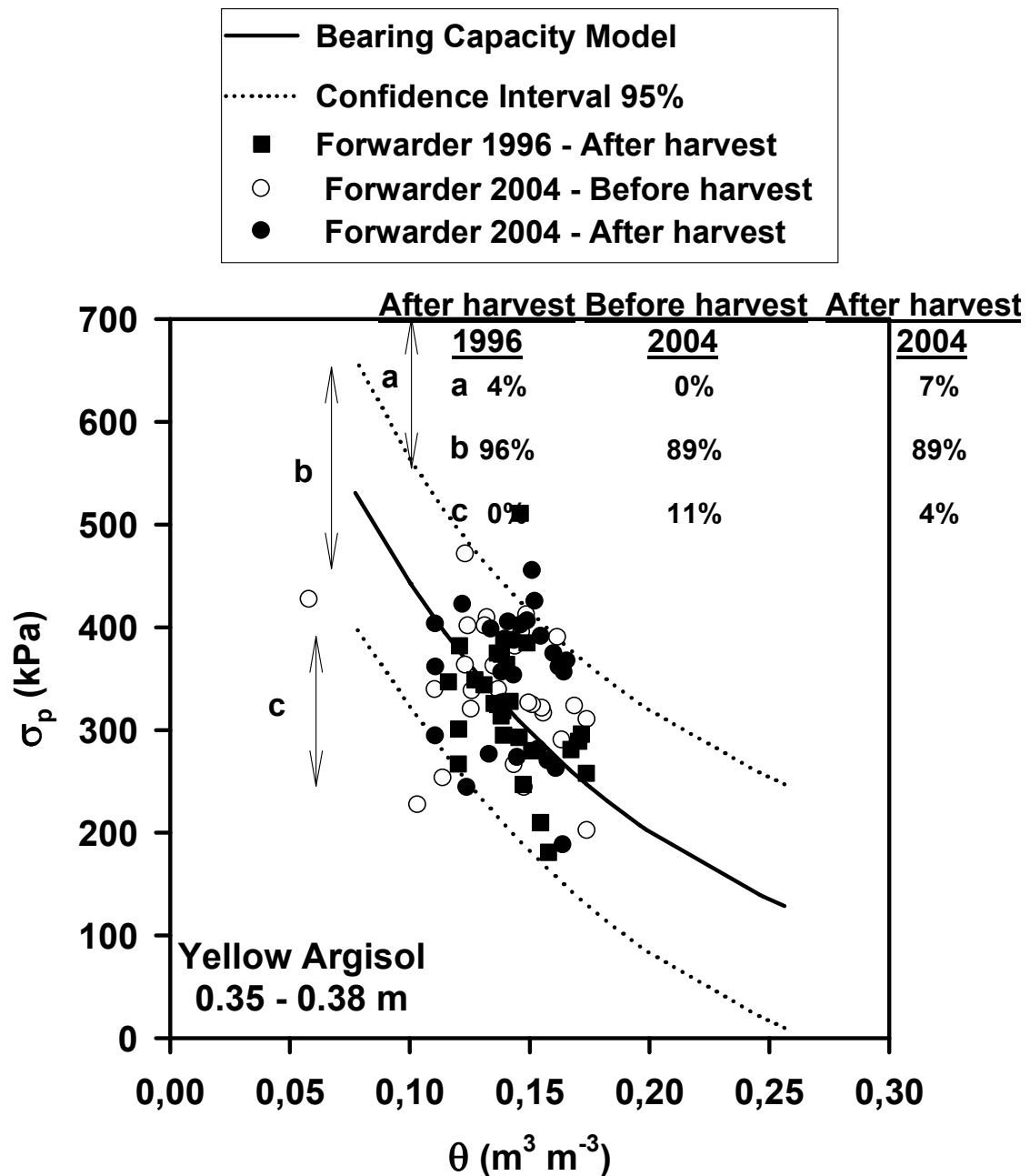
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7 **Figure 11.** Bearing Capacity Model for a Yellow Argisol at 0-0.03 m depth. The
 8 symbols represent the values of the precompression stress determined
 9 in soil samples collected in 1996 after harvest, 2004 before harvest and
 10 2004 after harvest, in the area where the Forwarder operations was
 11 done in 1996. (Source: Dias Junior, 2005).

1 In figure 12 and 13 it were observed the same behavior as it were observed
 2 in figures 10 and 11.



3
 4 **Figure 12.** Bearing Capacity Model for a Yellow Argisol at 0.35-0.38 m depth. The
 5 symbols represent the values of the precompression stress determined
 6 in soil samples collected in 1996, 1998, 2000, 2002 and 2004, in the
 7 area where the Forwarder operations was done in 1996. (Source: Dias
 8 Junior, 2005).



1

2 **Figure 13.** Bearing Capacity Model for a Yellow Argisol at 0.35-0.38 m depth. The
 3 symbols represent the values of the precompression stress determined
 4 in soil samples collected in 1996 after harvest, 2004 before harvest and
 5 2004 after harvest, in the area where the Forwarder operations was
 6 done in 1996. (Source: Dias Junior, 2005).
 7

8

Thus, it was concluded that: a) the soil compaction occurred only in the

1 topsoil layer and it was restricted to the Harvester traffic line; b) at the end of eight
 2 years, even without soil tillage, it was observed that there was a natural alleviation
 3 of the topsoil compaction due to the biological activity proportionate by the
 4 eucalyptus plantation and c) there were no indications of irreversible alterations in
 5 the soil structure at 35-38 cm depth.

6

7 To quantify the impact on the soil structure caused by the harvest
 8 operations of the eucalyptus plantation, done by two sets of machines, one Feller
 9 Büncher (2618 crawler) and Skidder (460 with tires 30.5L.32) and the other
 10 Harvester (1270 with tires 700 x 26.5) and Forwarder (1710 with tires 750 x 26.5)
 11 in the dry and rainy seasons, a experiment was conducted in a Red Yellow Latosol
 12 (Oxisol) at 0.10-0.125 m depth. The results of this experiment are showed in table
 13 1.

14 **Table 1.** Precompression stress induced by Feller Büncher (2618 de crawler) and
 15 Skidder (460 with tires 30.5L.32), and Harvester (1270 tires 700x26.5) and
 16 Forwarder (1710 with tires 750x26.5) in a Red Yellow Latosol, at 0.10-
 17 0.125 m depth. (Source: Dias Junior, 2002b)

Harvest machines	$\sigma_{pt}^1 > \sigma_{p \max est}^2$		Δ
	Dry season (%)	Rainy season	
Feller Büncher and Skidder	5	15	200
Harvester and Forwarder	8	31	287
Δ (%)	60	106	

18 1 – Pressure applied by the harvest machines, 2 – Precompression stress
 19 estimated with the equation of the confidence interval at 95%.
 20

21 Table 1, shows that the harvest operations performed with Harvester and
 22 Forwarder in the dry season increased the precompression stress values in 60% in
 23 relation to the precompression stress induced by Feller Büncher and Skidder and

1 in the rainy season this increase was 106%. In addition, the precompression stress
2 induced by Feller Büncher and Skidder, and Harvester and Forwarder increased in
3 200% and 287%, respectively, when the harvest operations were performed in the
4 rainy season. Although, the operations performed with Harvester and Forwarder
5 caused more soil structure degradation, one might consider that the traffic done
6 with Harvester and Forwarder is located, while the traffic done with Feller Büncher
7 and Skidder is random and could consequently, disseminate the compaction in the
8 whole area.

9

10 **GENERAL CONSIDERATIONS**

11 Several researchers have already demonstrated the causes and effects of
12 soil compaction. These studies showed that the soil compaction is a limiting factor
13 in agricultural production and a recovery of compacted areas, if possible, demands
14 long time and high cost, therefore, a way that seems the most appropriate to avoid
15 this problem, would be its prevention. Thus, the development of models that allow
16 to foresee soil compaction would be very useful in the agriculture.

17 The researches done in the soil compressive behavior of some tropical soils
18 indicate that the precompression stress may be used as an auxiliary criterion in
19 planning the mechanized operations and to quantify the traffic effect on the soil
20 structure.

21

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