

# Trait-based models in plankton succession

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# What is it all about?



- Small (0.5 - 200  $\mu\text{m}$ ) but important
  - up to 50 % of global photosynthesis
- High diversity
  - shape, form and function
- Studied in detail
  - laboratory
  - field
  - remote sensing

# Toolbox

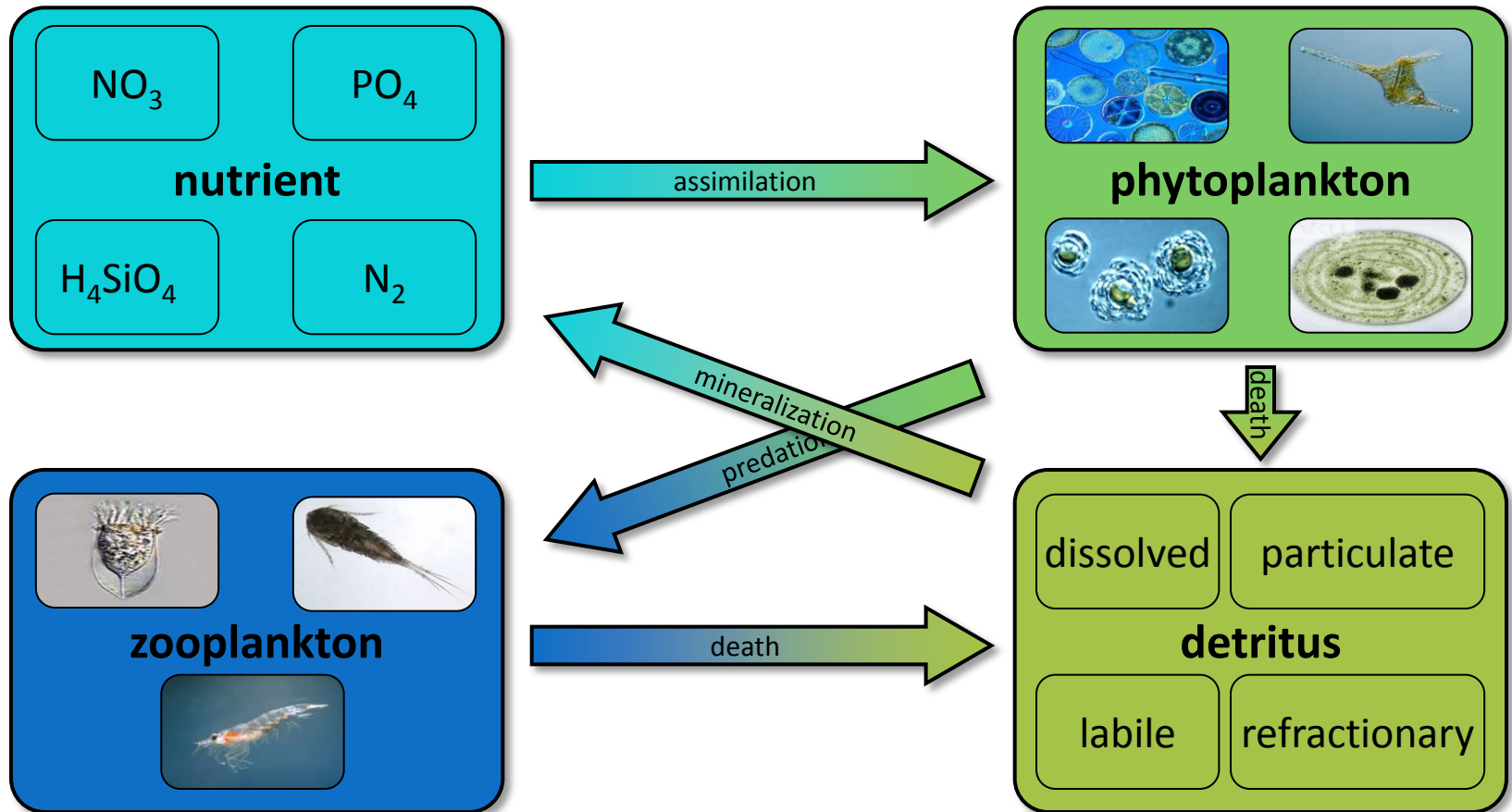
- **Modularity**

1. Model individual organism
2. Extrapolate to population
3. Combine populations to build ecosystem

- **Formulations**

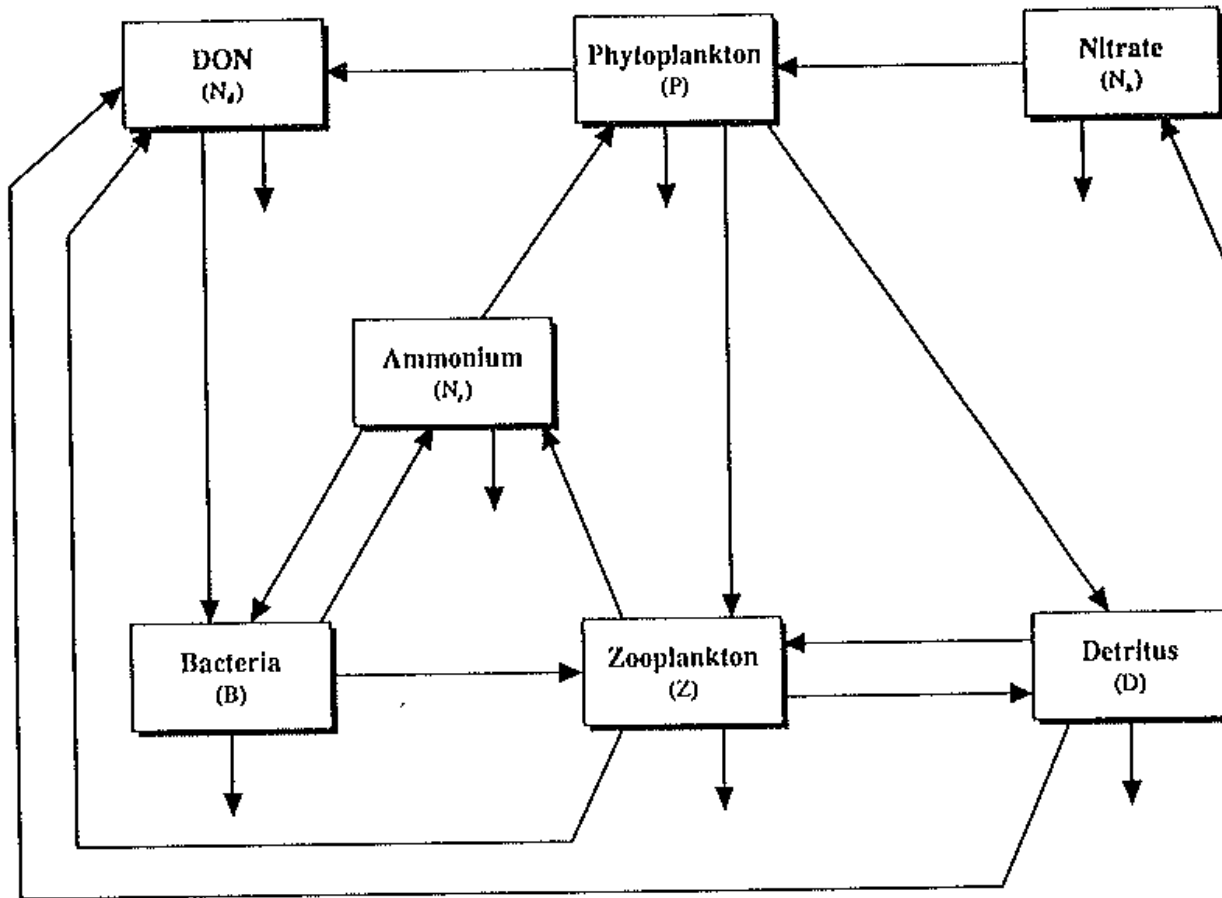
- chemical kinetics [law of mass action, Michaelis-Menten]
- co-limitation [multiplicative, law of the minimum]
- temperature sensitivity [ $Q_{10}$ , Arrhenius]
- storage [Droop]

# Confronting complexity





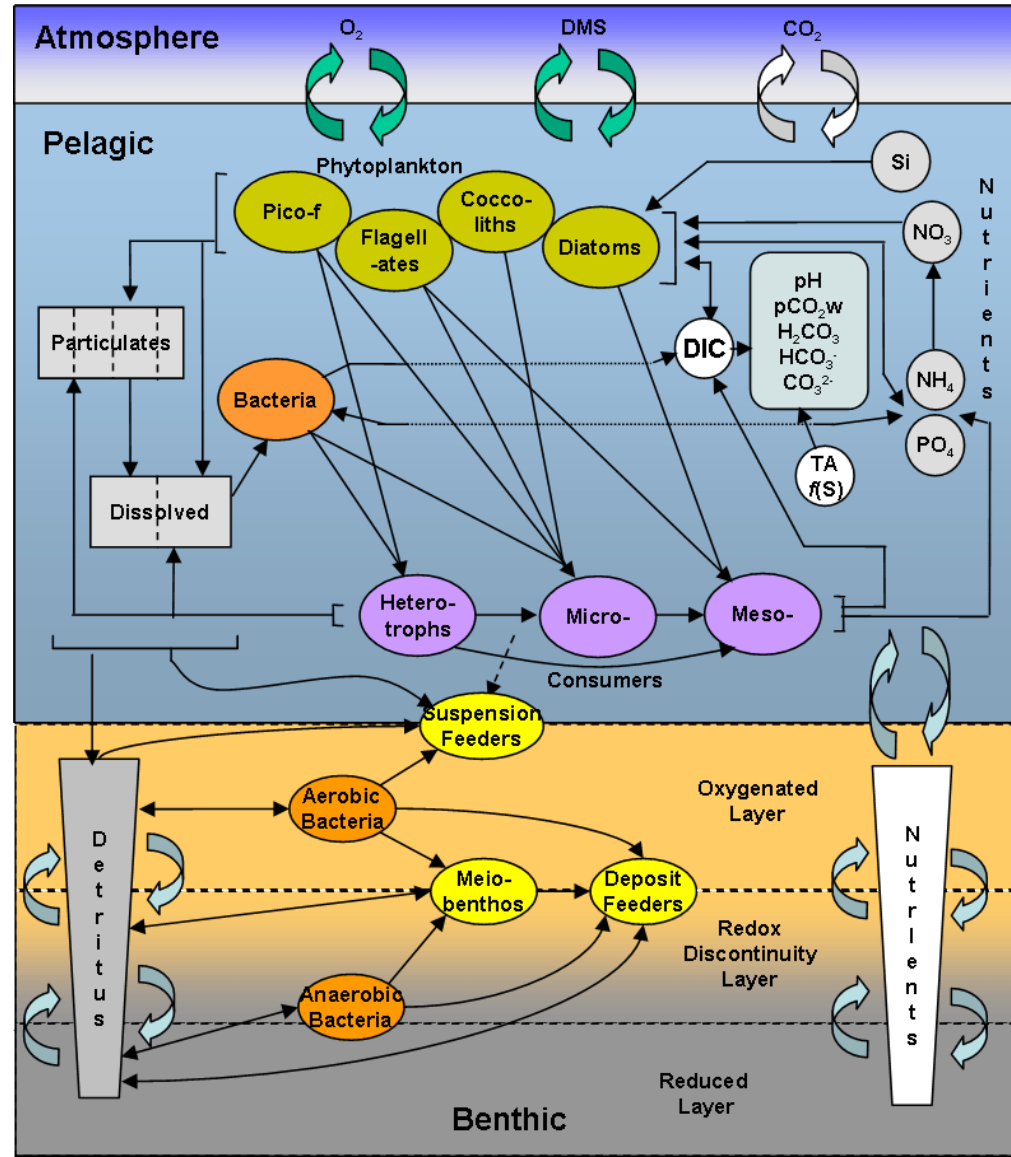
# Classic NPZD



- Evans & Parslow (1985), Fasham et al. (1990)

# “State of the art”

- ERSEM
- Green Ocean Model



# But...

- **Uncertainty**
  - 100s of parameters: insufficient observations to constrain model behavior
  - 10s of state variables: insufficient observations to constrain initial state
- **Complex behavior**
  - limit cycles
  - chaos
  - qualitative and quantitative behavior depend strongly on parameter values and initial state

... and yet large-scale processes can seem so simple!

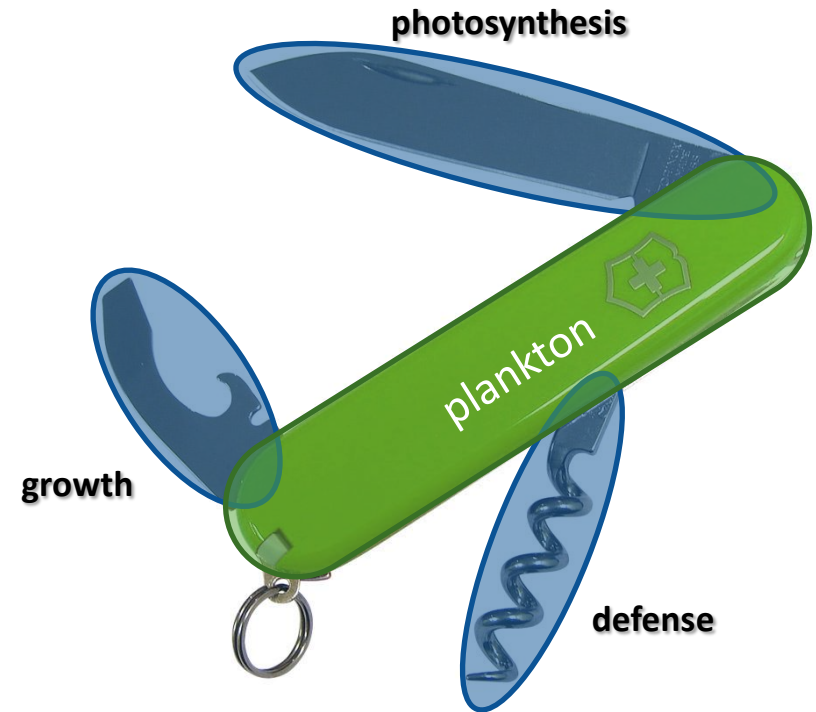
# Alternatives

## “Darwinian” approaches

- Replace modeller’s choices by random processes, and let competition do the rest
- Follows et al., Science, 2007
- Bruggeman & Kooijman, L&O, 2007

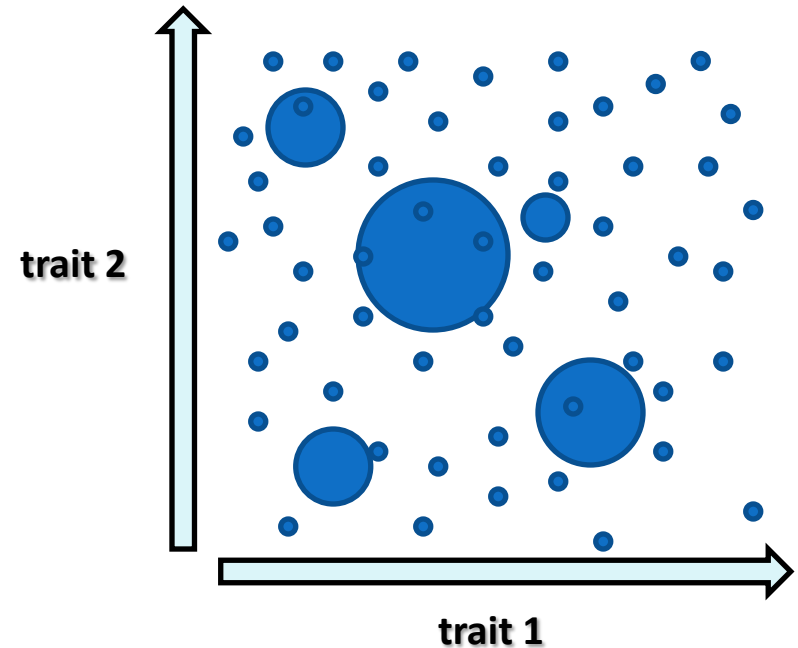
# Principles

1. **Standardize** species: one model, different trait values



# Principles

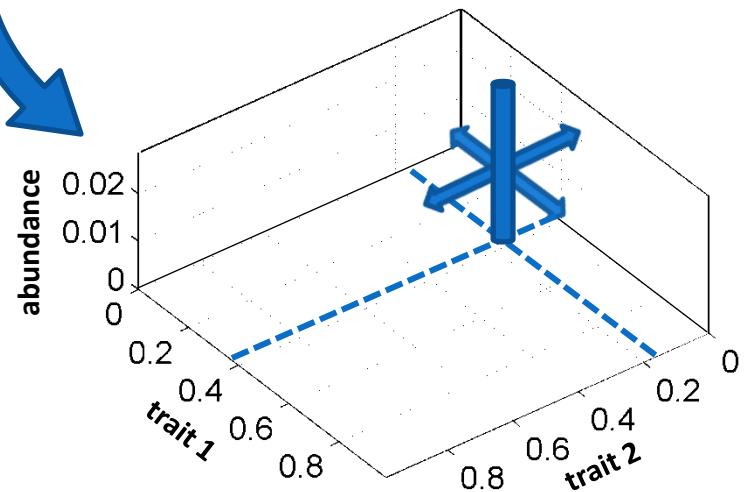
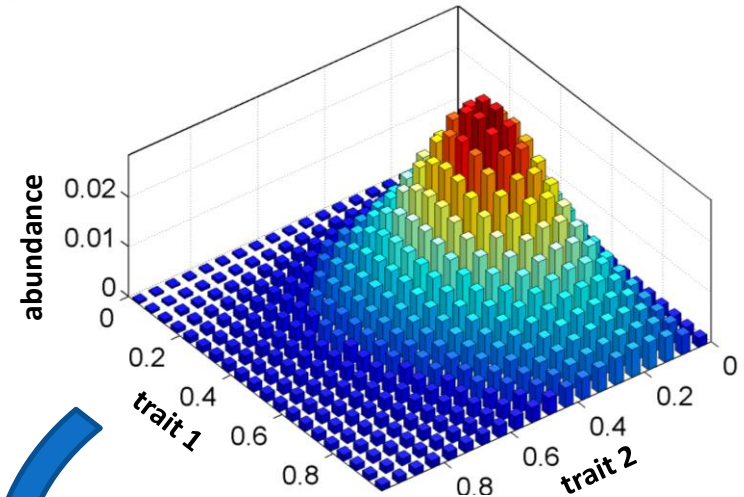
1. **Standardize** species: one model, different trait values
2. **Add diversity**: introduce all possible species



“Everything is everywhere, the environment selects”  
Beijerinck (1913) & Baas-Becking (1934)

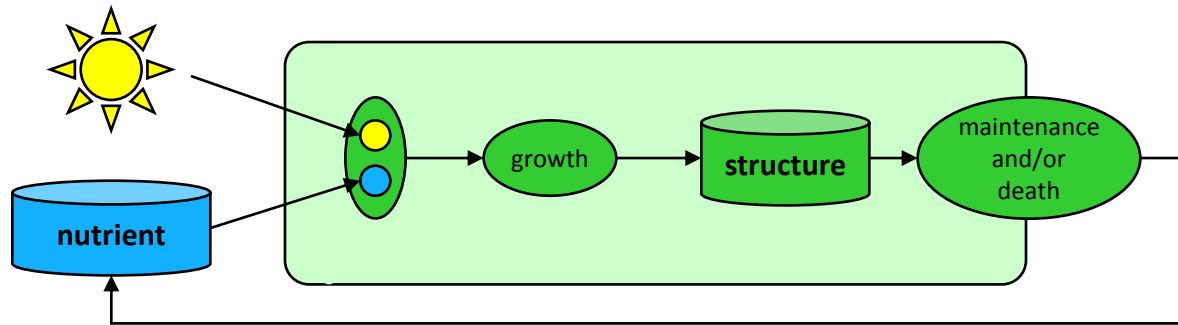
# Principles

1. **Standardize** species: one model, different trait values
2. **Add diversity**: introduce all possible species
3. **Approximate**: characterize the community by key statistics





# Example: phytoplankton



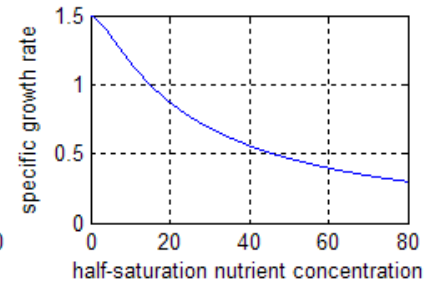
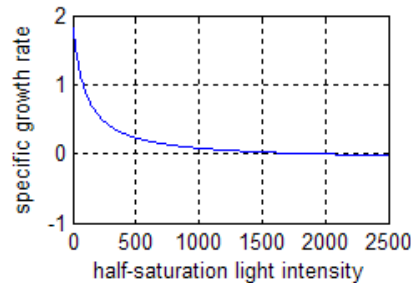
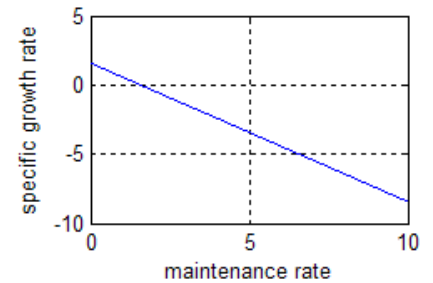
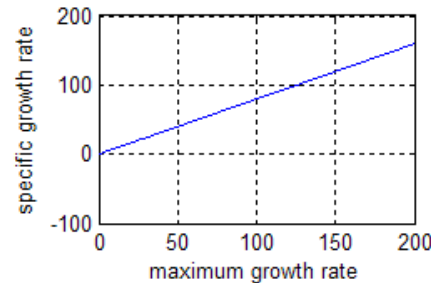
$$\frac{dX_V}{dt} = X_V \left( j_{V,Am} \frac{1}{1 + \left(\frac{X_L}{K_L}\right)^{-1} + \left(\frac{X_N}{K_N}\right)^{-1} - \left(\frac{X_L}{K_L} + \frac{X_N}{K_N}\right)^{-1}} - j_{V,M} \right)$$

$j_{V,Am}$	maximum growth rate	$\text{d}^{-1}$
$K_L$	half-saturation light intensity	$\text{W m}^{-2}$
$K_N$	half-saturation nutrient concentration	$\mu\text{M}$
$j_{V,M}$	maintenance rate	$\text{d}^{-1}$

# A thought-experiment

- What happens if we release all possible phytoplankton species in one environment?
- And the winner is...
  - highest maximum growth rate
  - lowest maintenance/death rate
  - lowest saturation coefficients

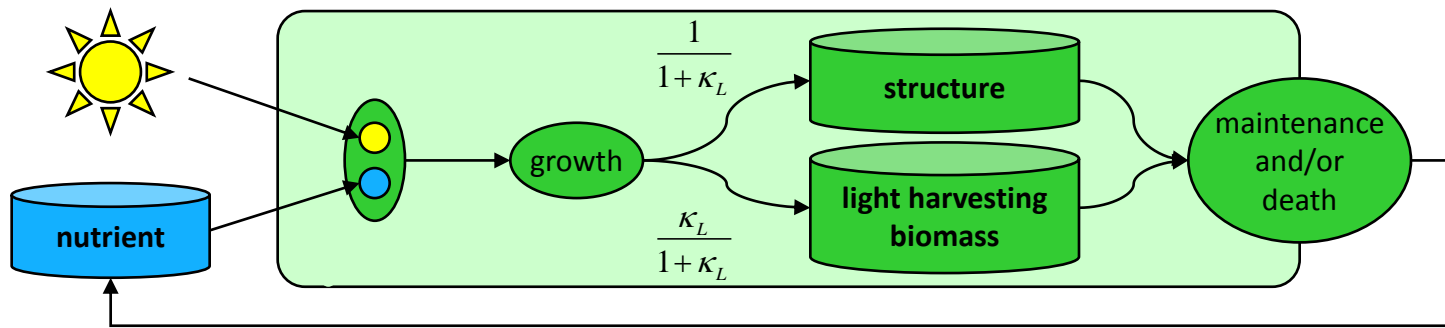
Unrealistic!



# Trade-offs

- In reality, differences between species are supported by **trade-offs**
  - improvement of one feature comes at the expense of another
- For instance: *r-K* strategies
  - *r*-species: colonizers, high growth rate, low nutrient affinity
  - *K*-species: final stage of succession, low growth rate, high nutrient affinity
- Fitness and dominance of any species is time- and space-dependent, because the environment changes in time- and space

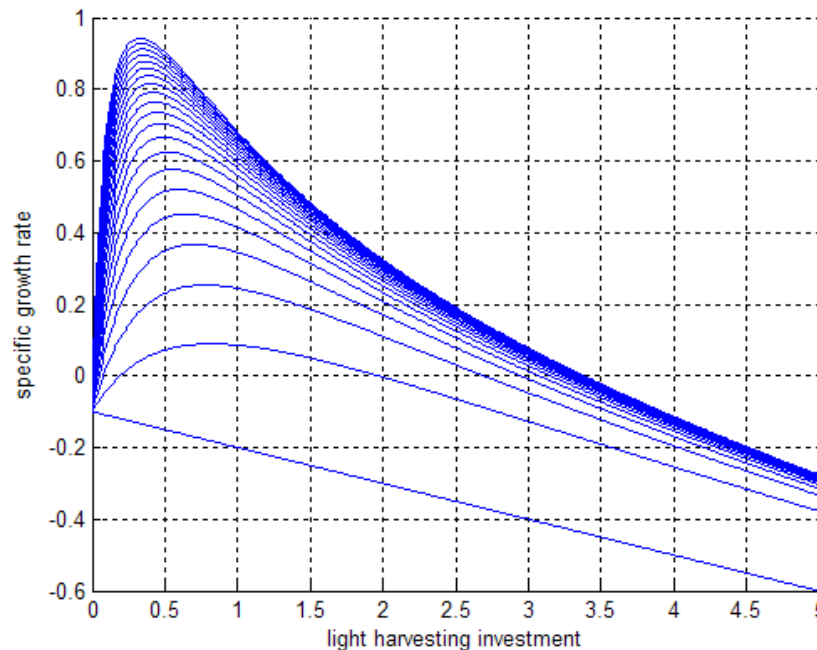
# Phytoplankton with trade-off



$$\frac{dX_V}{dt} = \frac{1}{1 + \kappa_L} X_V \left( j_{V,Am} \frac{1}{1 + \left( \kappa_L \frac{X_L}{K_L} \right)^{-1} + \left( \frac{X_N}{K_N} \right)^{-1} - \left( \kappa_L \frac{X_L}{K_L} + \frac{X_N}{K_N} \right)^{-1}} - (1 + \kappa_L) j_{V,M} \right)$$

$j_{V,Am}$	maximum growth rate	$d^{-1}$
$K_L$	half-saturation light intensity	$W\ m^{-2}$
$K_N$	half-saturation nutrient concentration	$\mu M$
$j_{V,M}$	maintenance rate	$d^{-1}$
$\kappa_L$	investment in light harvesting	-

# Phytoplankton trade-off curves

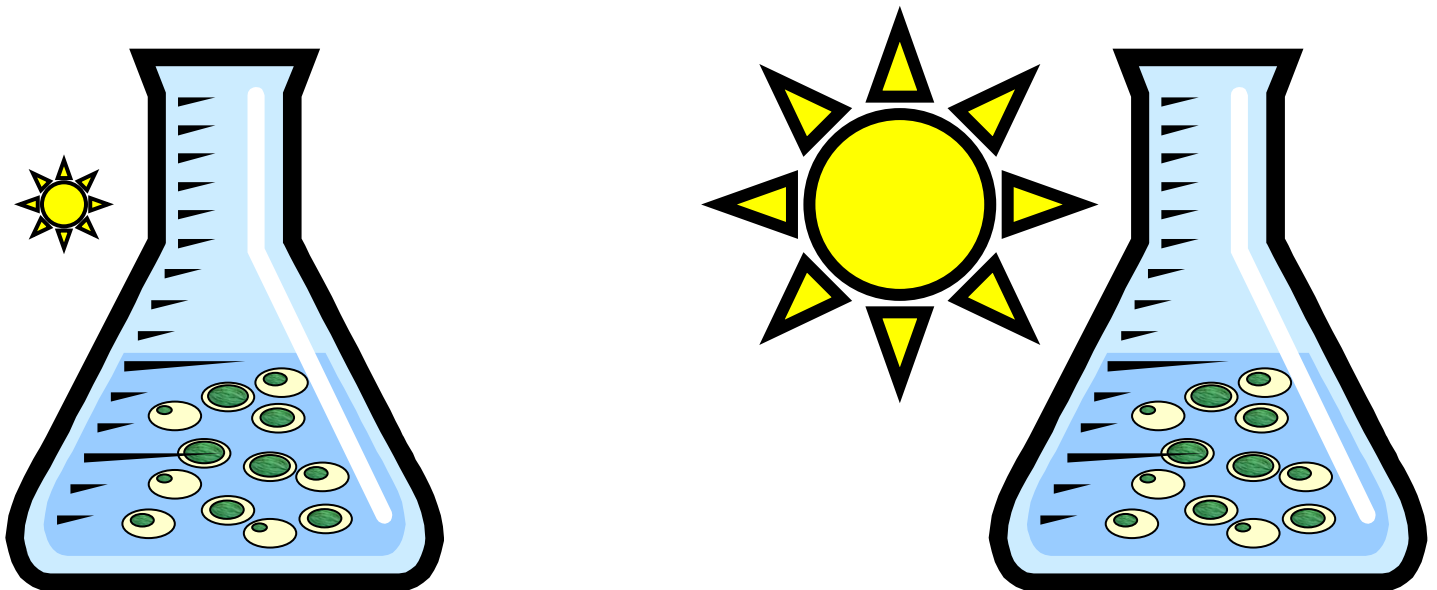


growth as function of light harvesting investment, for different light intensities

1. trade-off curve has optimum, prevents unrealistic drift to extremes
2. position of optimum depends on environment (here: light intensity)

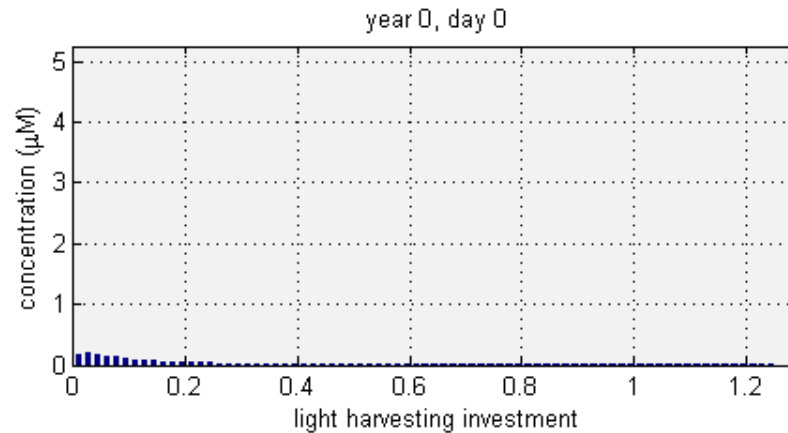
# Setup 1: constant environment

- Closed bottles with all possible species
  - low initial biomasses
  - high initial nutrient
  - constant light intensity (bottle 1: low, bottle 2: high)

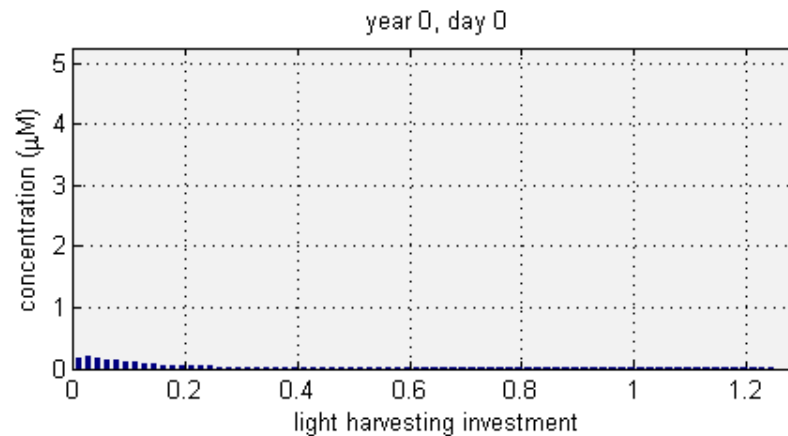


# Effect: “survival of the fittest”

**Low light**



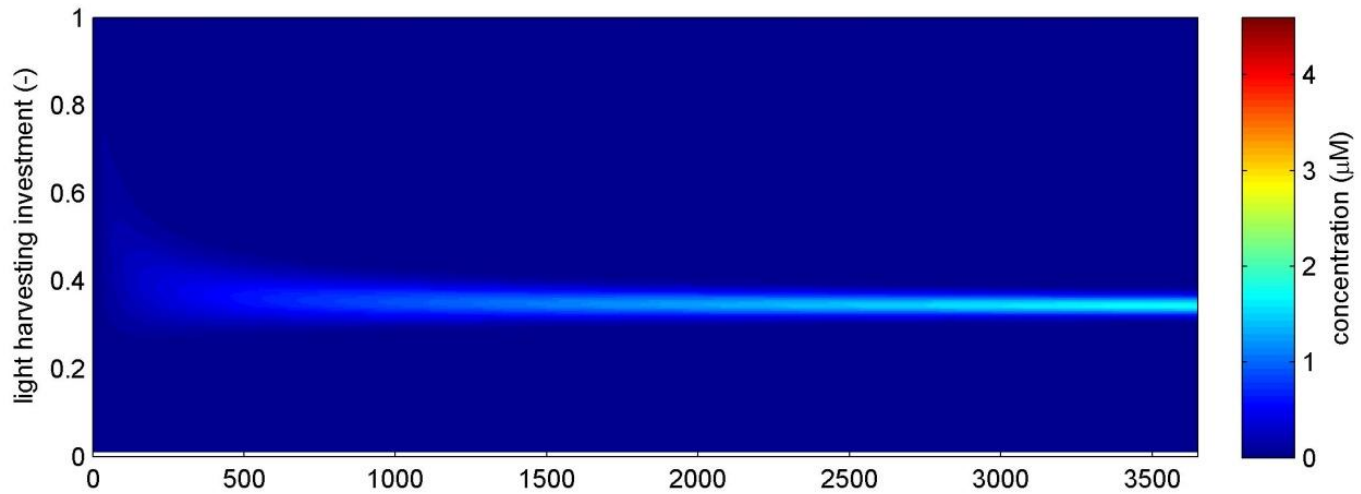
**High light**



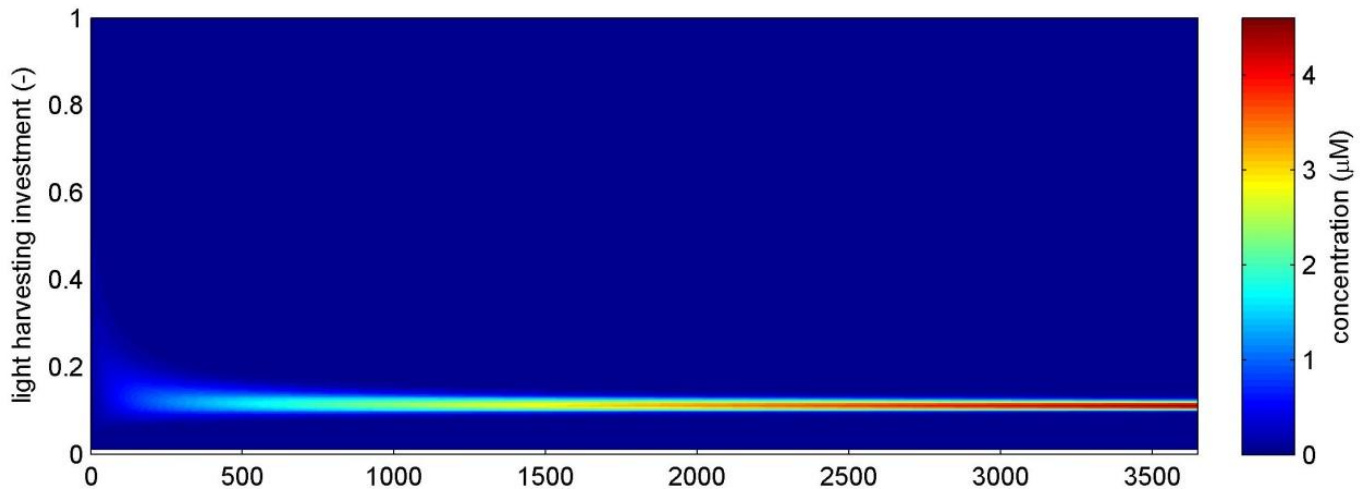


# Trait distributions in time

Low light

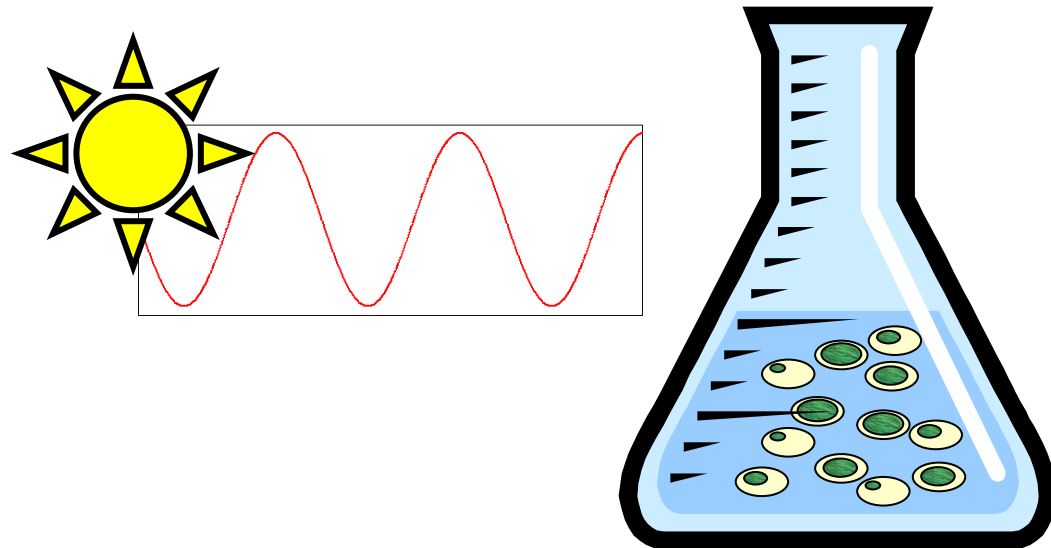


High light

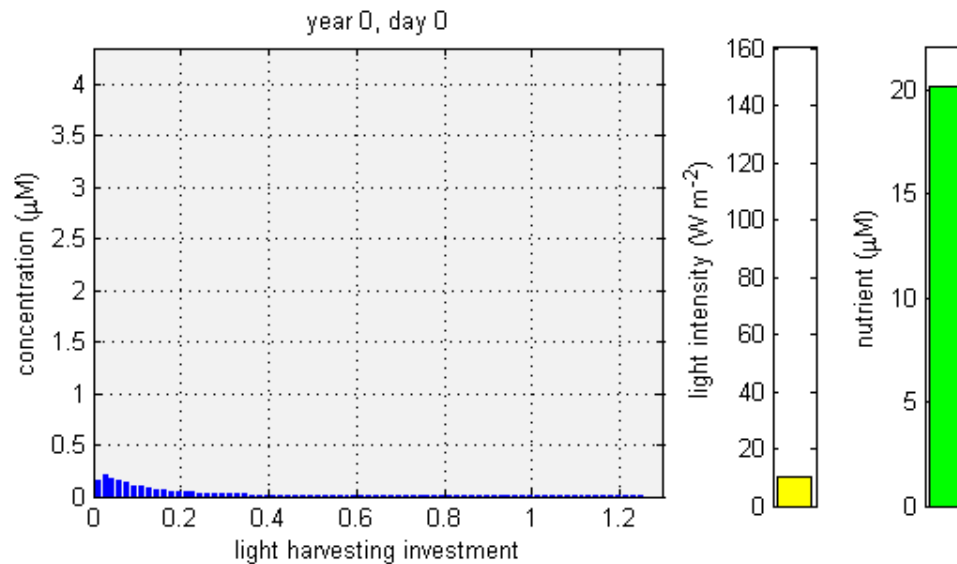


# Setup 2: fluctuating environment

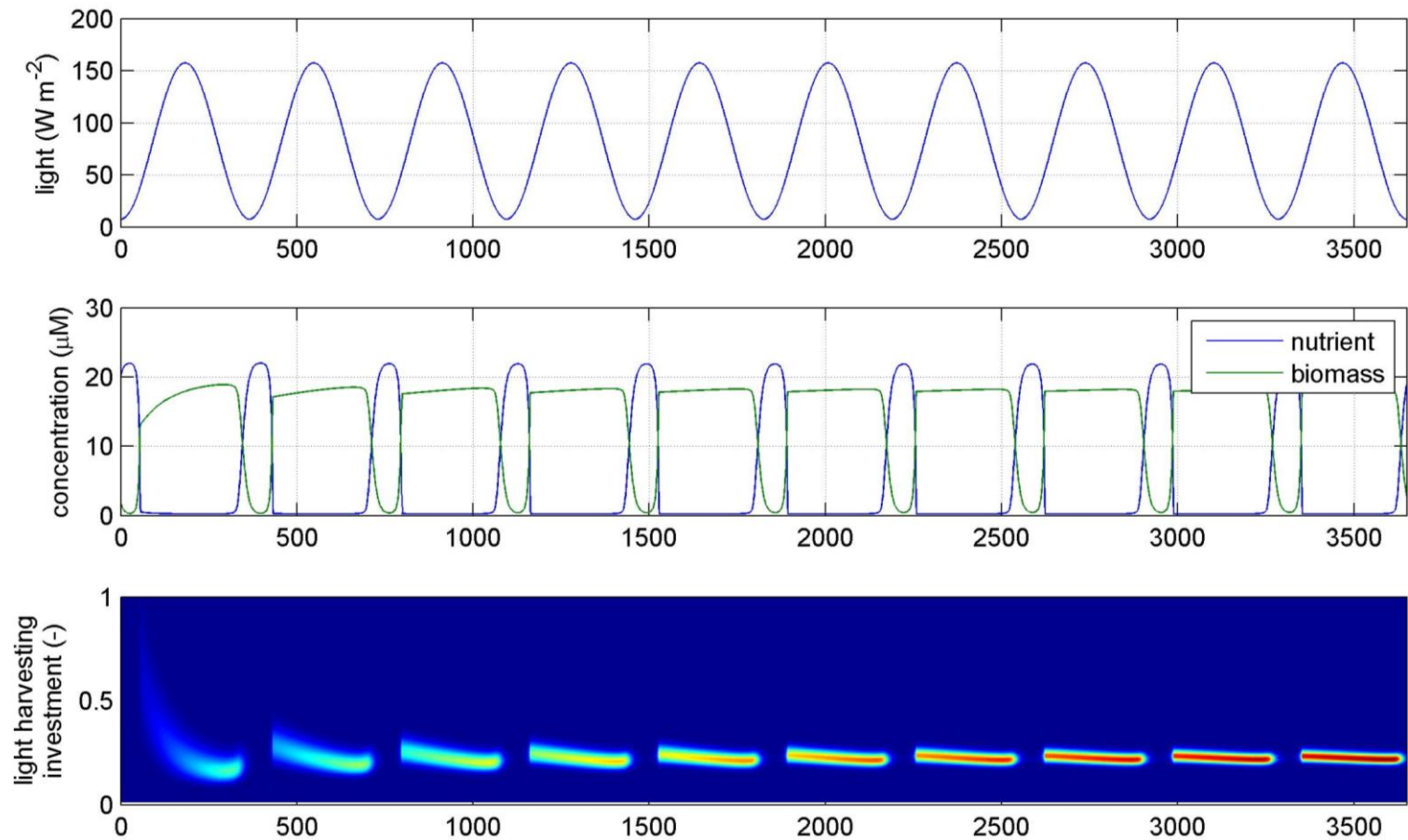
- Closed bottle with all possible species
  - low initial biomasses
  - high initial nutrient
  - **sinusoidal light intensity ('seasonal cycle')**



# Effect: moderate seasonal succession



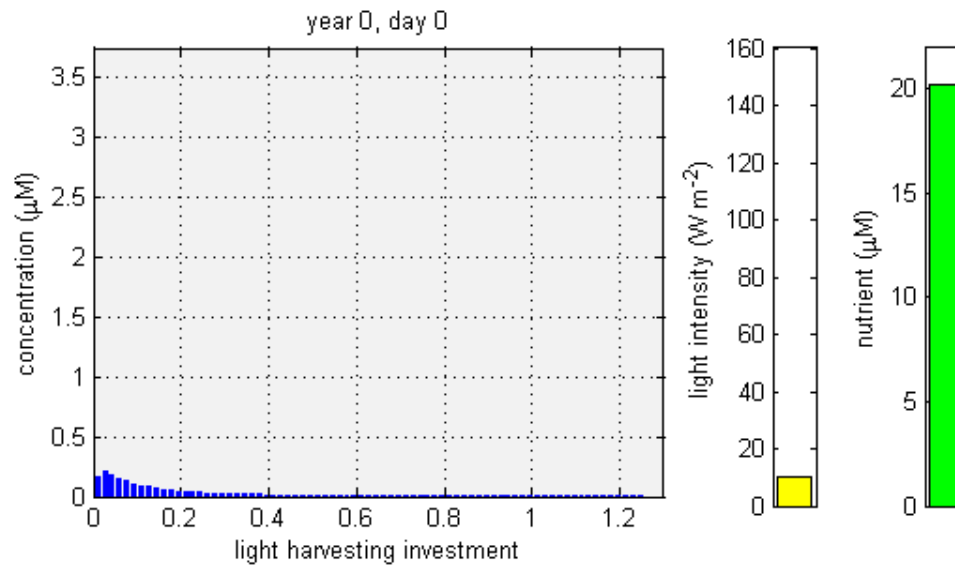
# System dynamics in time



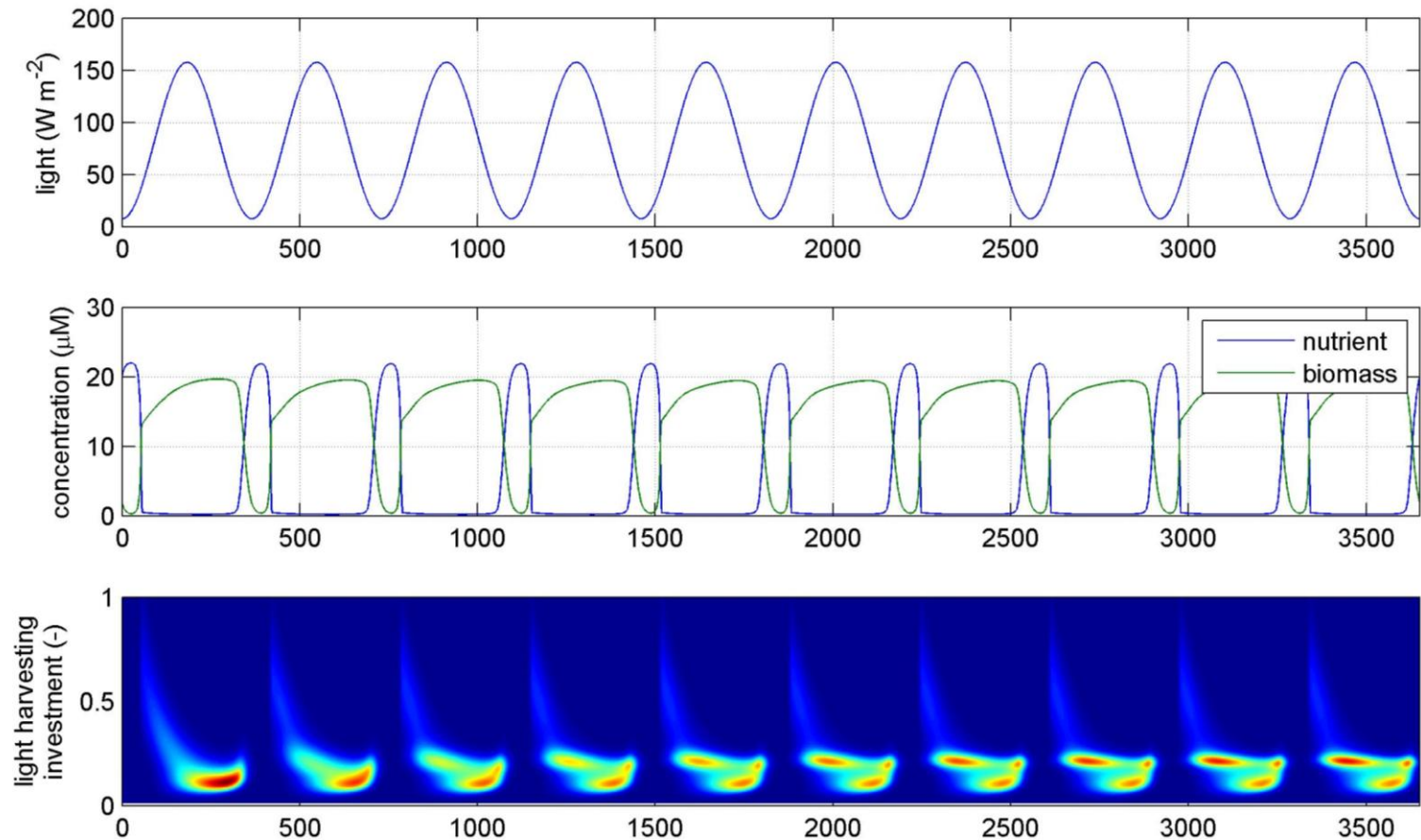
# Competitive exclusion

- In changing environments, species can go extinct because:
  - they **always** perform worse than other species
  - they cannot survive long periods of (relatively) unfavorable conditions, **even if these are preceded/followed by good times**
- How to sustain a realistic level of diversity?
- Remedy:
  - Persistence of trace amounts of all species
  - Implementation: continuous mixing with reference state of infinite diversity ( $\approx 1\%$  per day)

# Effect: sustained diversity



# System dynamics in time



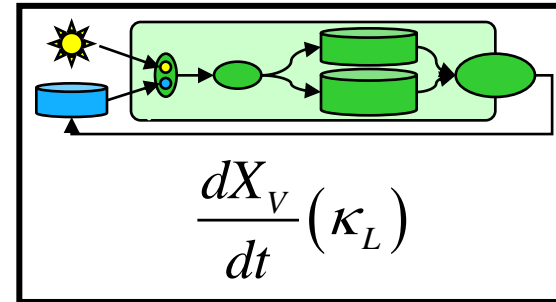
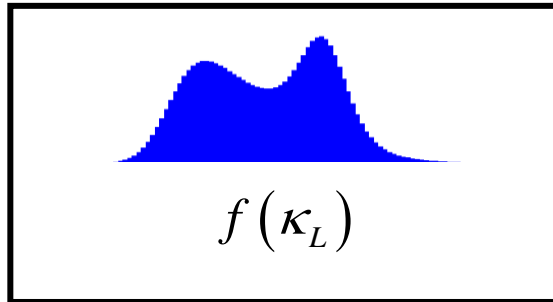


# Where does this leave us?

- Less constant [arbitrary] parameters
  - All original parameters are now generic, not species-specific
  - Trait is species-specific, but does not require arbitrary values through assumption of “infinite diversity”
- Many state variables to simulate
  - Previous figures: 75-300 species to capture biodiversity
  - Computationally expensive, difficult to model more than 1 trait

But we are interested in large-scale processes (e.g. biomass), not those many species...

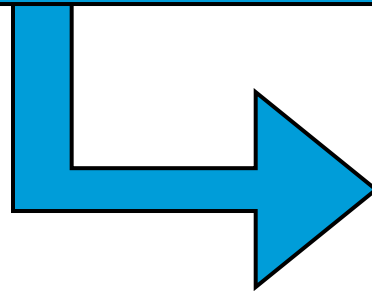
# Less variables through approximation



1. Taylor series approximation of growth rate
2. Neglect higher order terms ( $> 2^{\text{nd}}$  order)
3. Approximate higher moments of distribution (skewness, kurtosis) by assuming distribution shape (e.g. normal, lognormal)
4. Multi-trait models: neglect correlation between traits)

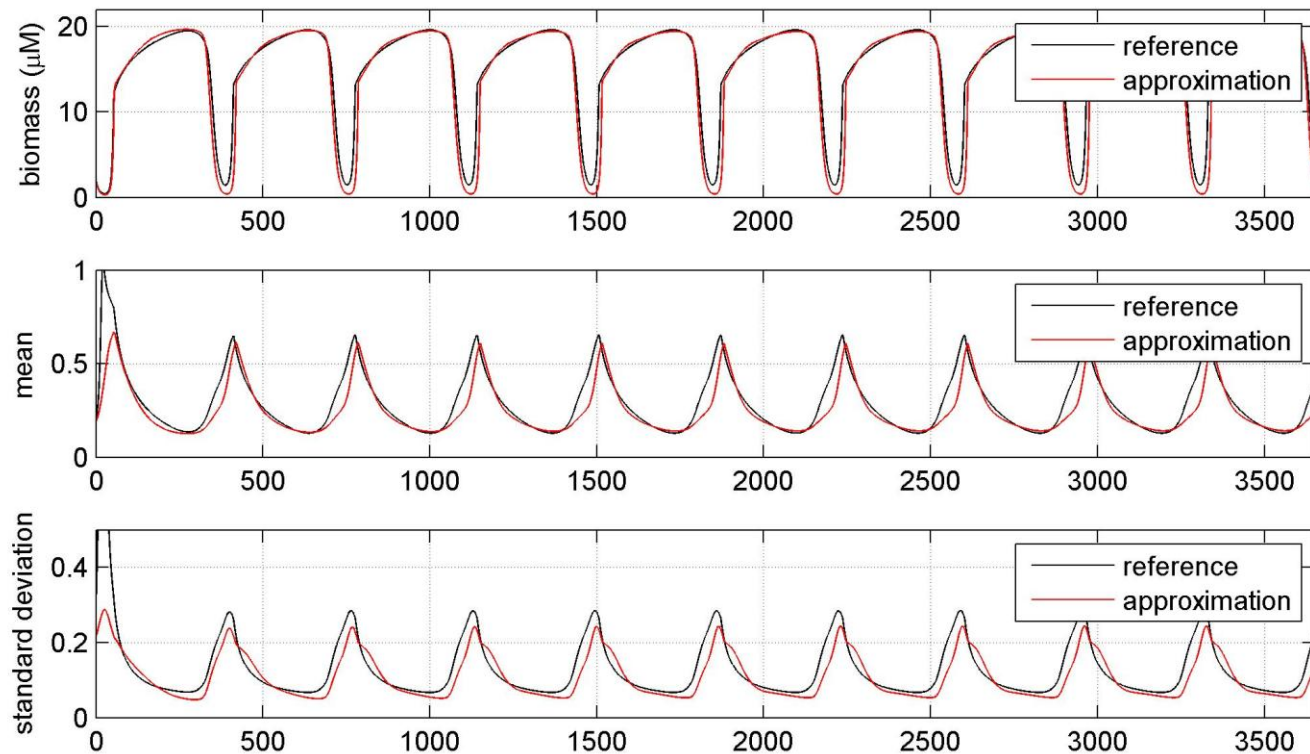
Wirtz & Eckhardt (1996)  
 Norberg et al. (2001)  
 Savage et al. (2007)

Lande (1976)



$$\begin{aligned} &\frac{d}{dt} X_{V,\text{tot}} \left( X_{V,\text{tot}}, \kappa_{L,\text{mean}}, \kappa_{L,\text{var}} \right) \\ &\frac{d}{dt} \kappa_{L,\text{mean}} \left( X_{V,\text{tot}}, \kappa_{L,\text{mean}}, \kappa_{L,\text{var}} \right) \\ &\frac{d}{dt} \kappa_{L,\text{var}} \left( X_{V,\text{tot}}, \kappa_{L,\text{mean}}, \kappa_{L,\text{var}} \right) \end{aligned}$$

# Accuracy of approximation



# But 1: What traits matter, and how are they constrained by trade-offs?

- Impossible to tell without data
  - Databases with species vs. trait value (Litchman, Klausmeier, Bruggeman)
- Which traits matter?
  - component analysis to identify dominant traits
- What trade-offs?
  - regression to reconstruct linear and power law (allometric) relationships between traits

# But 2: diversity

- Initial distribution
  - Follows et al. (2007): random, ensembles
  - Bruggeman & Kooijman (2007): continuous reference distributions
- Competitive exclusion
  - Hardin et al.
  - counteracted by spatiotemporal heterogeneity, self-repression (cannibalism, specific self-shading), interspecific dependencies
- Immigration and/or evolution

# Test case 1: Lake Constance



**Surface area**      536 km<sup>2</sup>

**Average depth**      90 m



# Data sources

## **meteo**

1979 – present  
hourly values for surface  
irradiance, temperature,  
wind, ...

## **vertical profiles**

1979 – present  
monthly profiles of  
temperature

## **laboratory**

database: > 1200  
observed trait values for  
> 200 species

## **biomass**

1979 – present  
weekly biomass of 12  
classes of plankton

## **species**

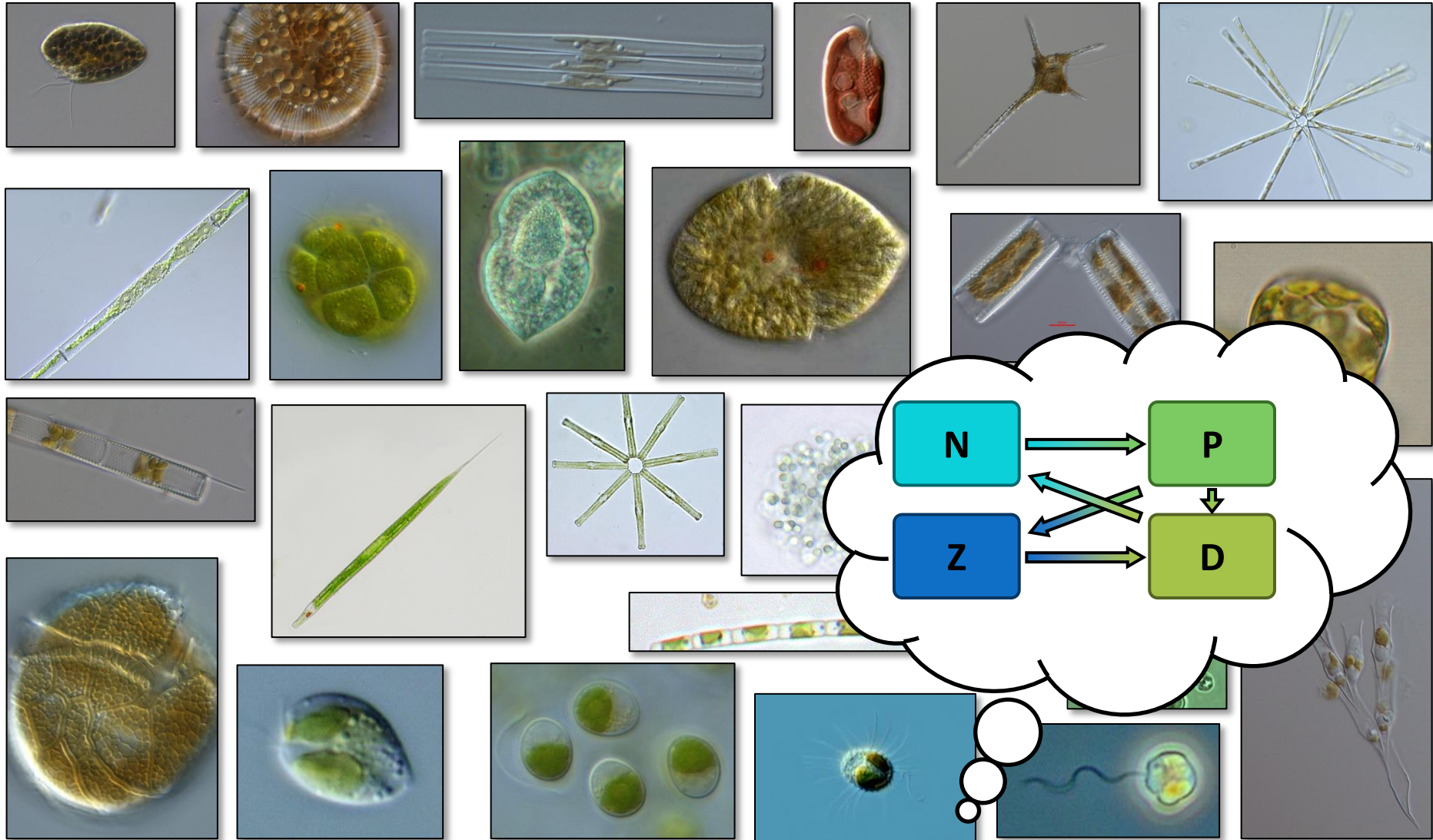
1979 – present  
weekly counts of >200  
phytoplankton species

## **evolution**

phylogenetic tree  
connecting all observed  
LC species

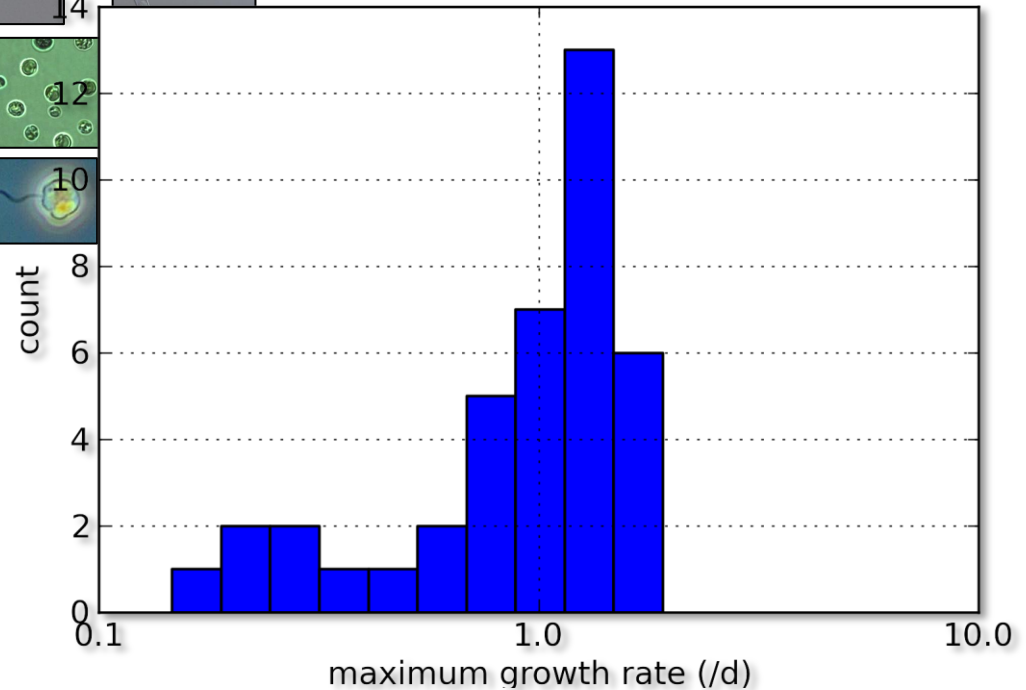
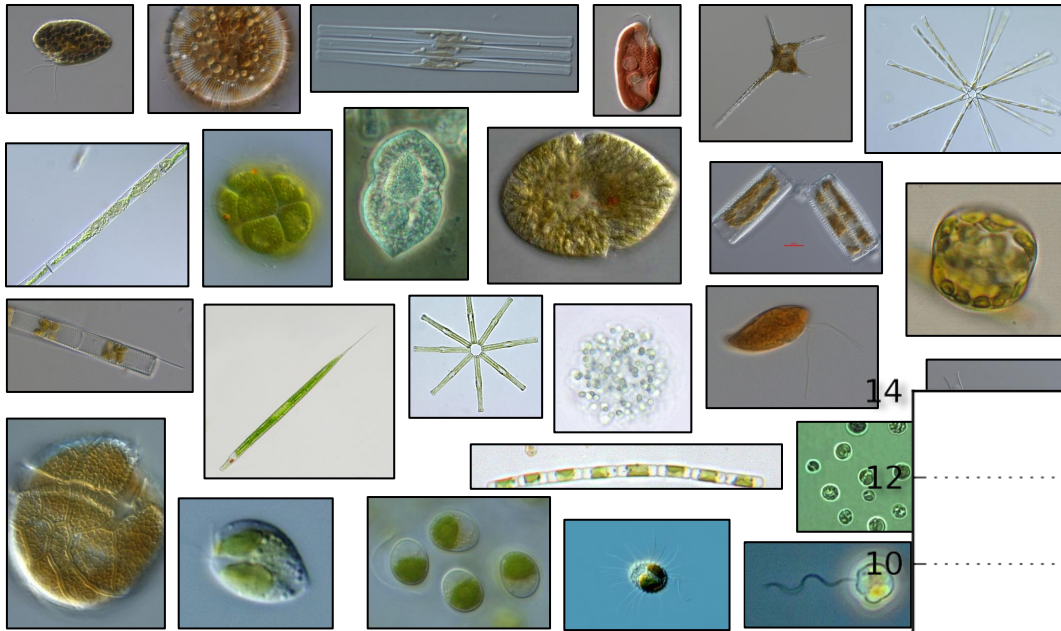


# Observed phytoplankton species

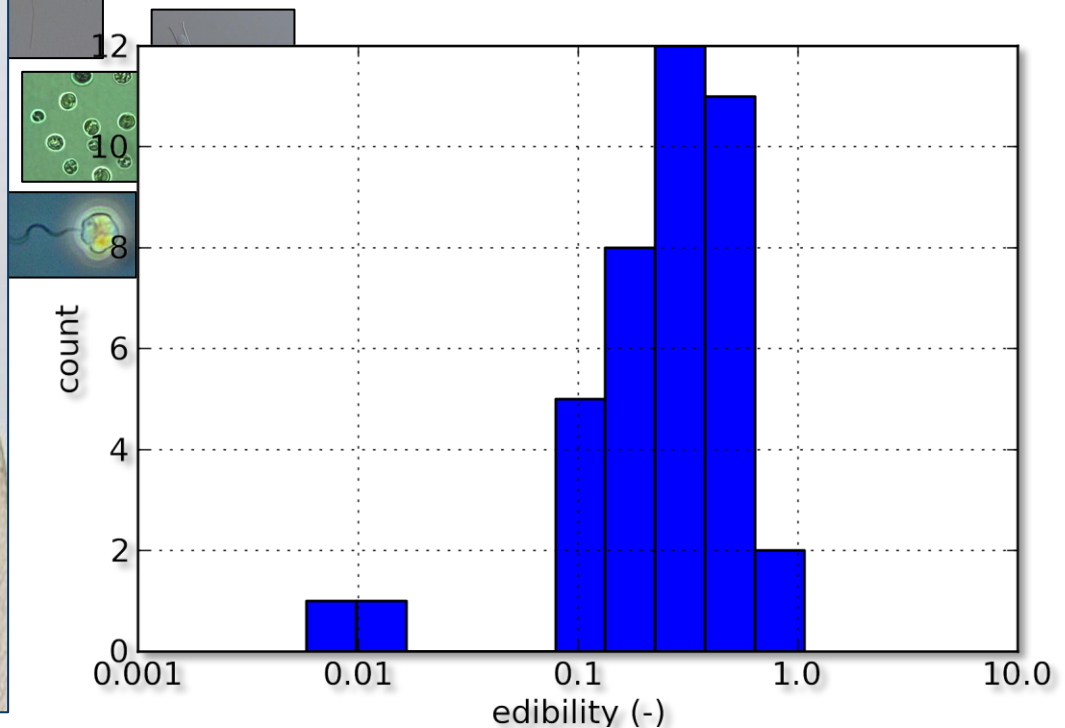


# Variability

## maximum growth rate



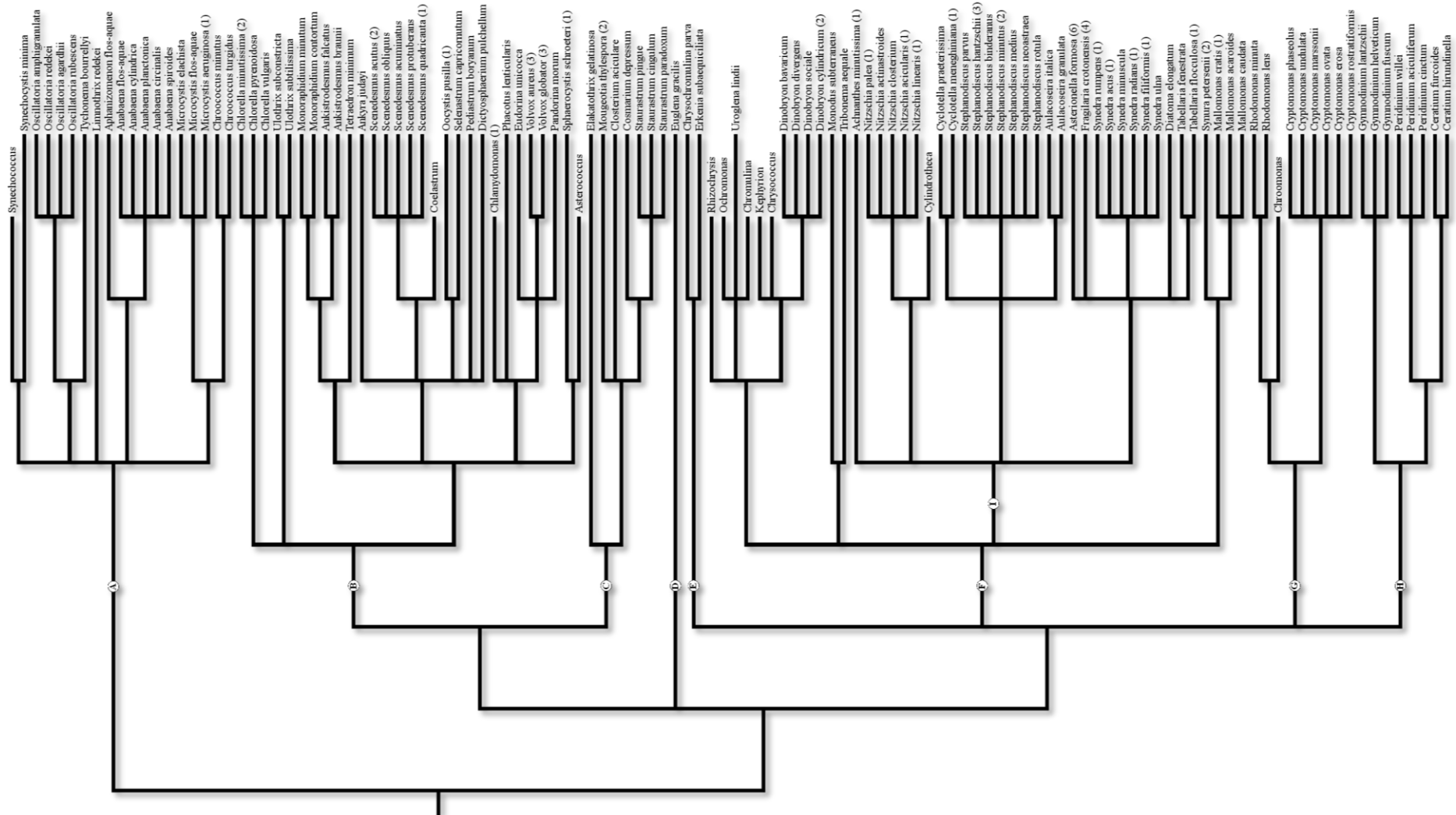
# Variability susceptibility to predation



# Questions

- Do we need to know all species?
  - Samples of present-day species are incomplete
  - Past and future species cannot be observed
- Do we need to know the value of every trait?
  - Measuring all traits of all known species is not feasible

# Supplementary information: evolution

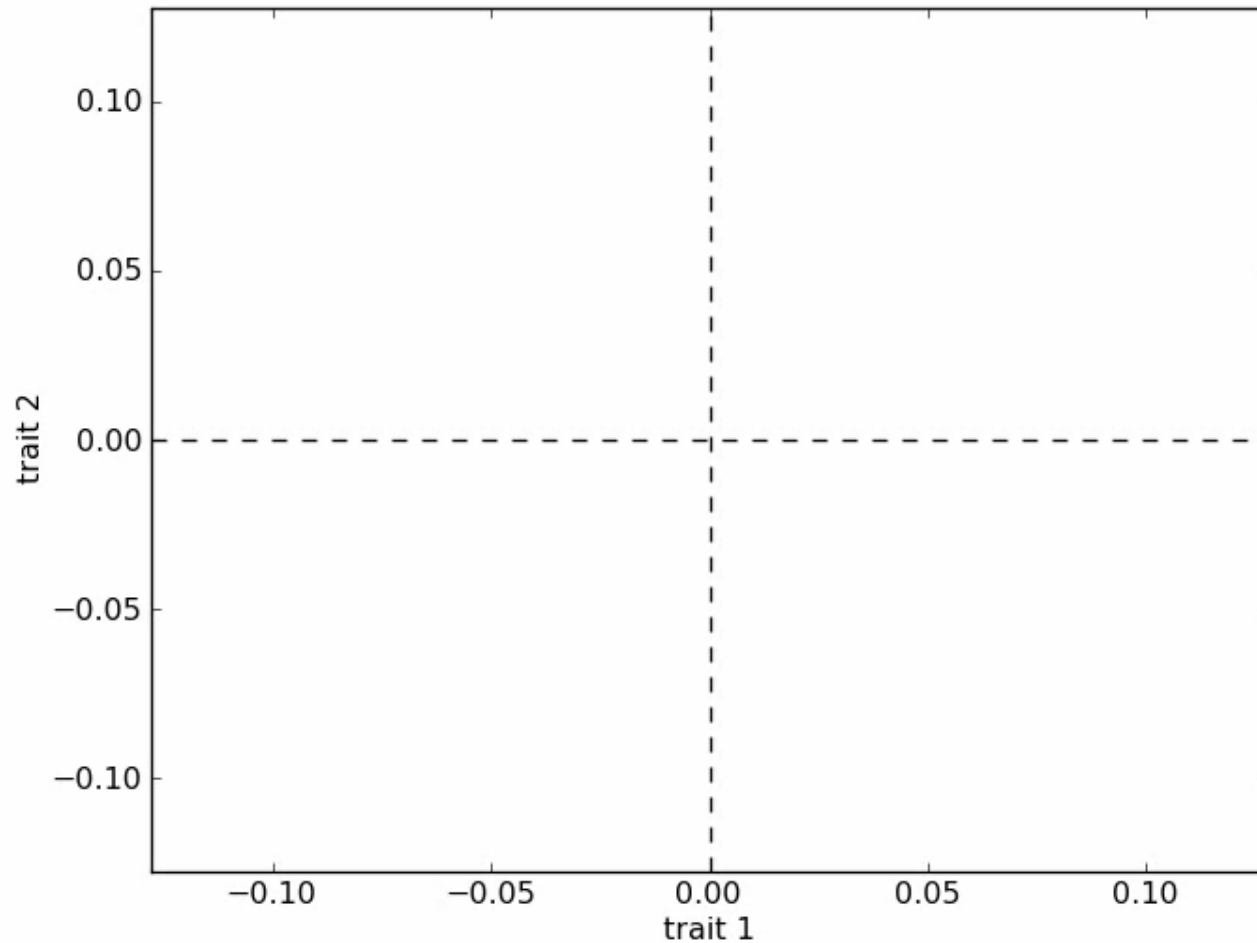


Bruggeman et al., Nucleic Acids Res., 2009

Bruggeman, J. Phycol., accepted

# Getting grip on variability

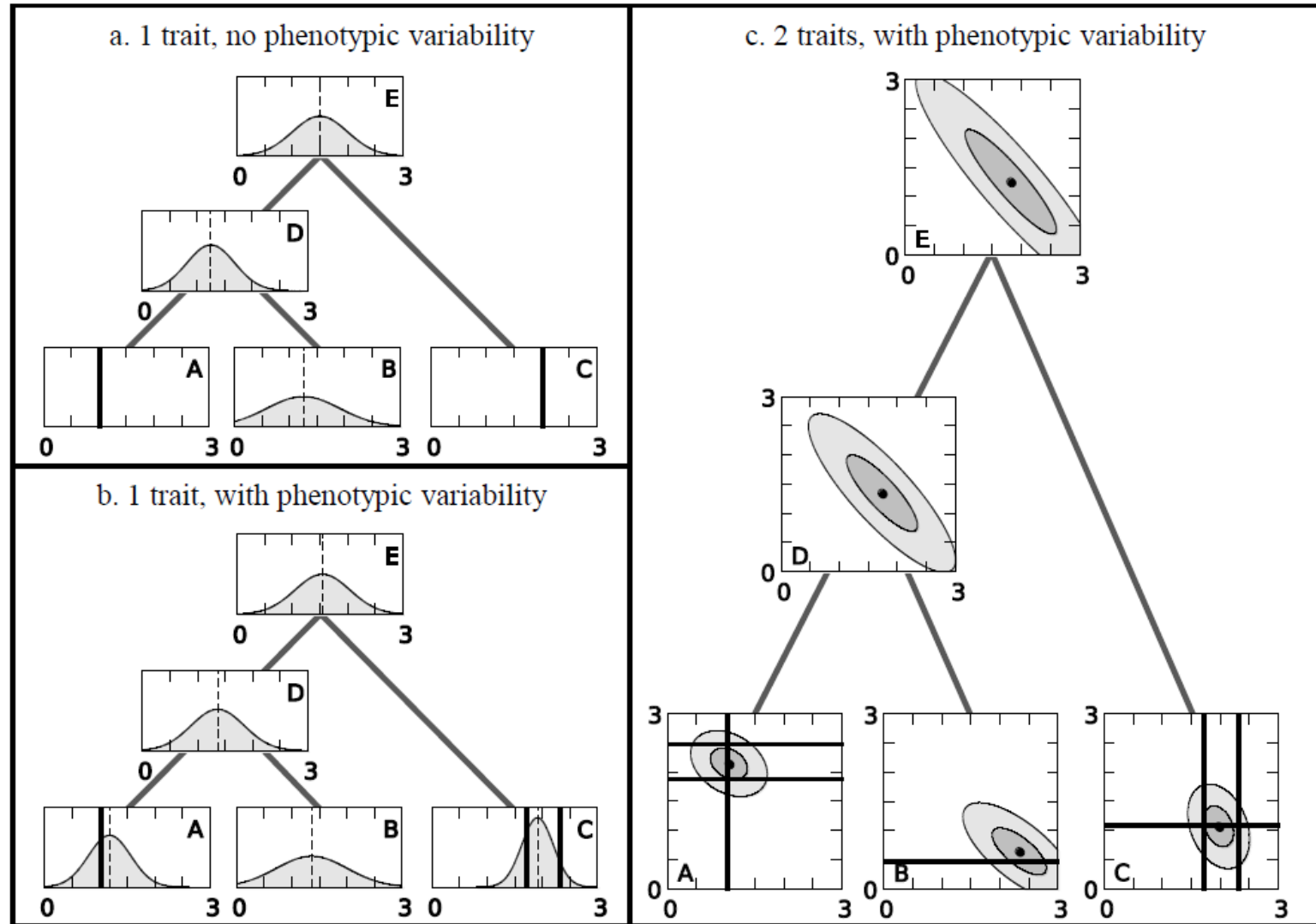
## Evolution as a random walk



Bruggeman et al., Nucleic Acids Res., 2009

Bruggeman, J. Phycol., accepted

# Reconstructing trait value variability



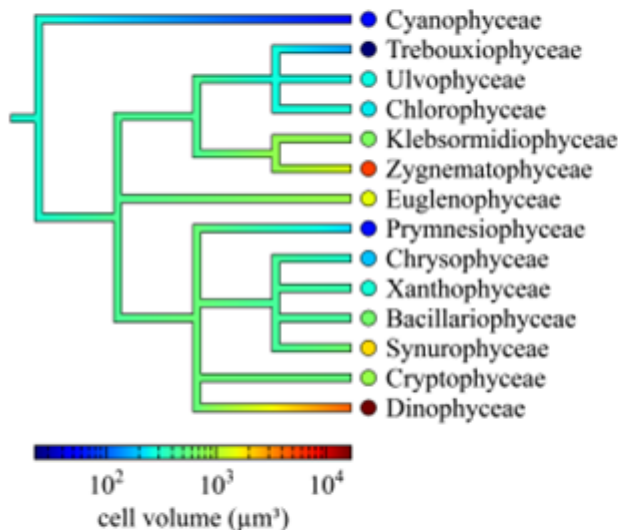


# Demo

- <http://www.ibi.vu.nl/programs/phylopars/phytoplankton>

## Phyto-PhyloPars

### Phylogeny-informed estimation of phytoplankton parameters



The accurate resolution of distinct phytoplankton taxa will be a key step towards improvement of current aquatic ecosystem models. With a growing interest in modeling specific taxa, however, it becomes increasingly difficult to obtain good estimates for the corresponding parameters. This web server takes an evolutionary perspective to the variability across phytoplankton taxa in order to estimate the size, maximum growth rate, phosphate affinity and susceptibility to predation of any phytoplankton taxon, based on over one thousand observations on freshwater species.

The server uses a recently introduced approach to the estimation of parameters with an evolutionary model (Bruggeman J, Heringa J and Brandt BW. 2009. PhyloPars: estimation of missing parameter values using phylogeny. *Nucleic Acids Research* 37: W179-184, [web server](#)). Results account simultaneously for phylogenetic relationships between species, and approximate power law relationships (e.g., allometric scaling laws) between different traits.

For which phytoplankton taxon do you want to estimate parameters?

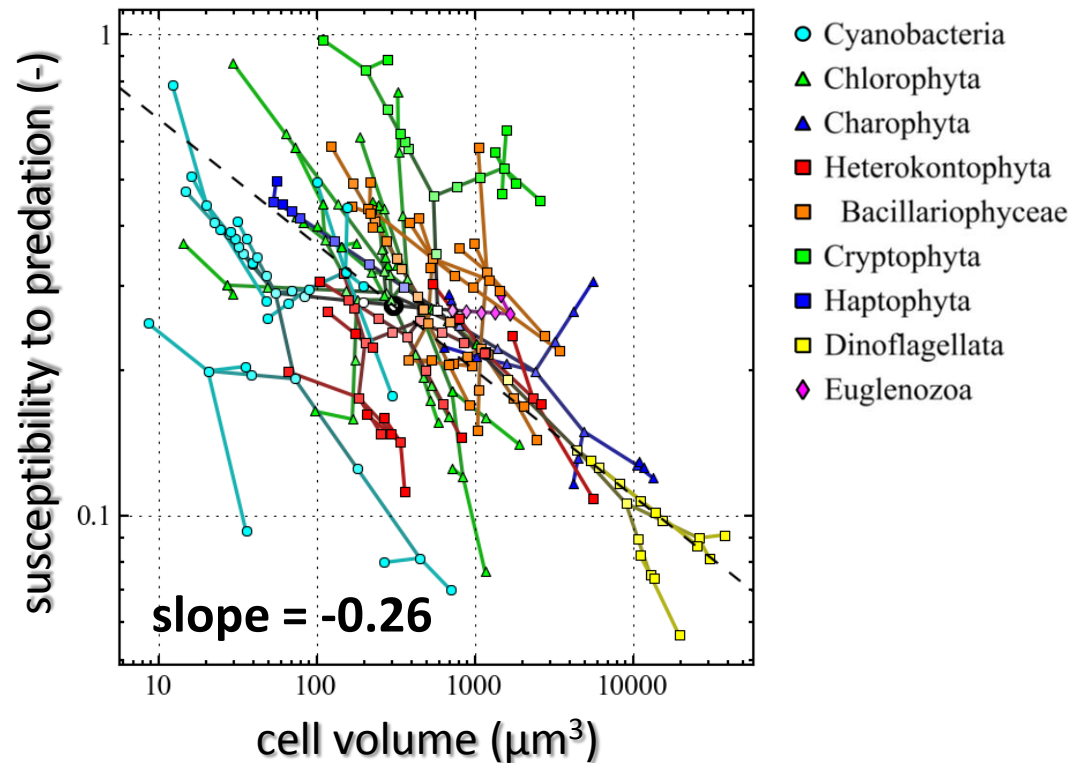
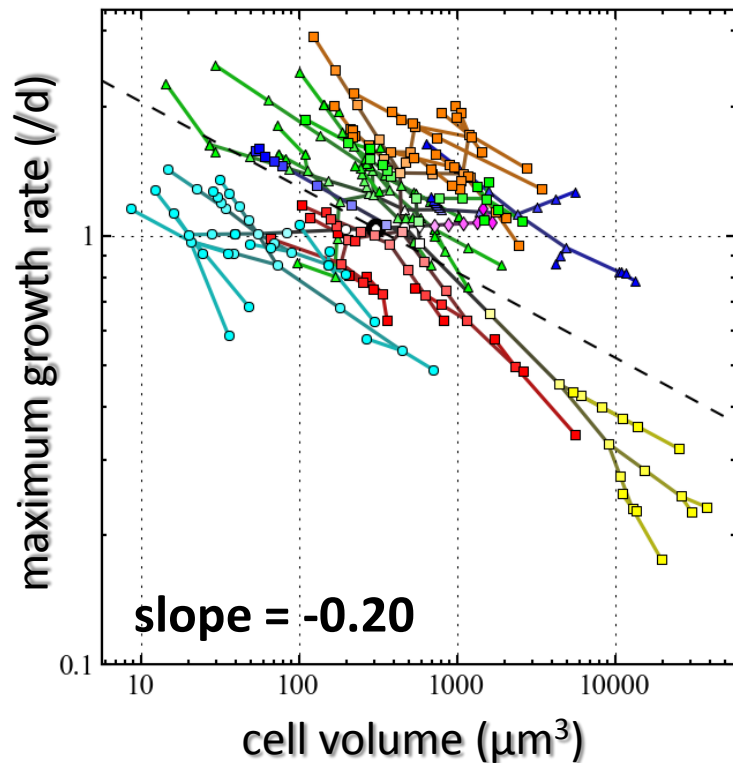
☒ Taxon present in the database: Achnanthaceae

☐ Other species

Retrieve parameter estimates



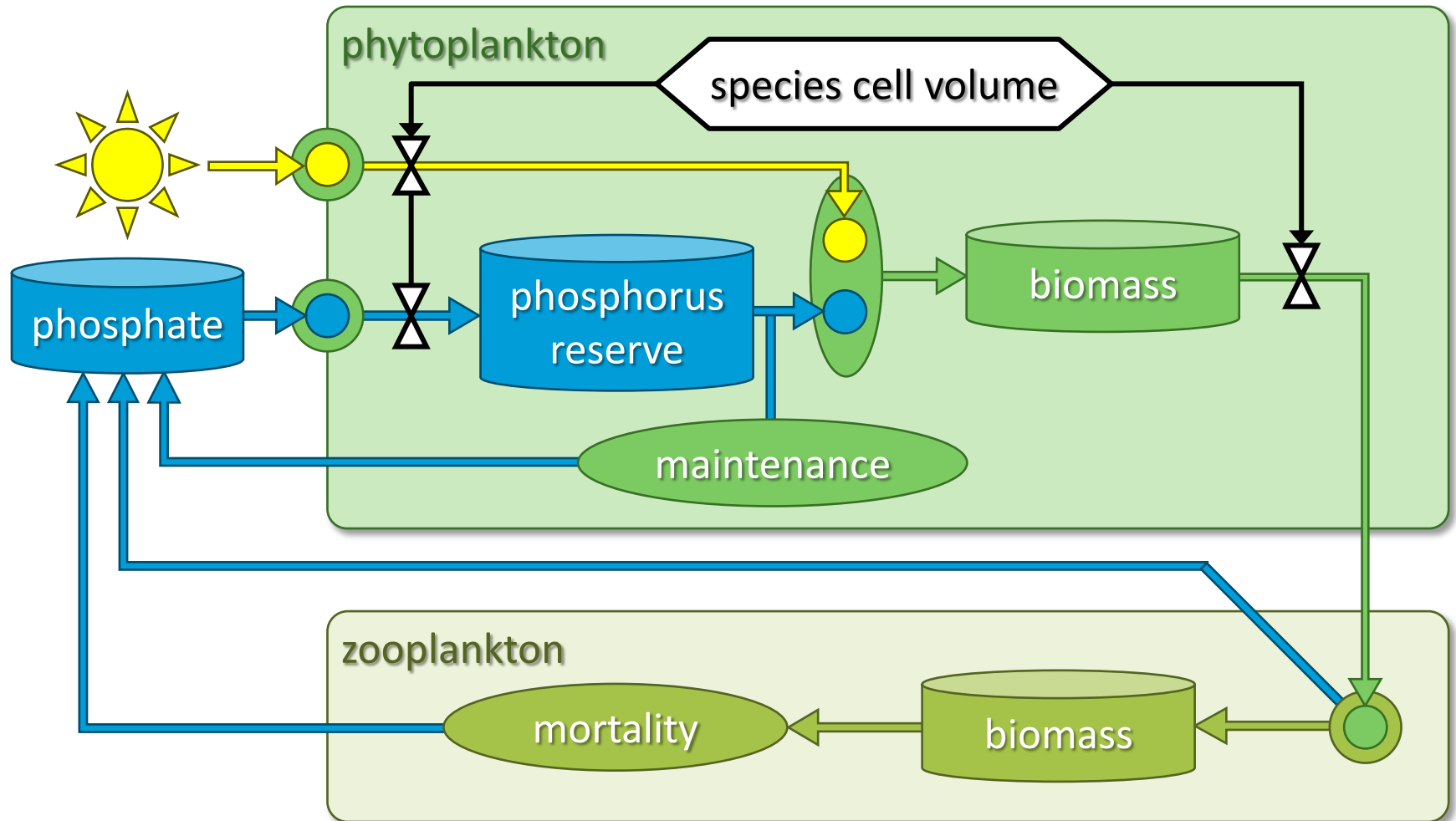
# Reconstructing trait value variability



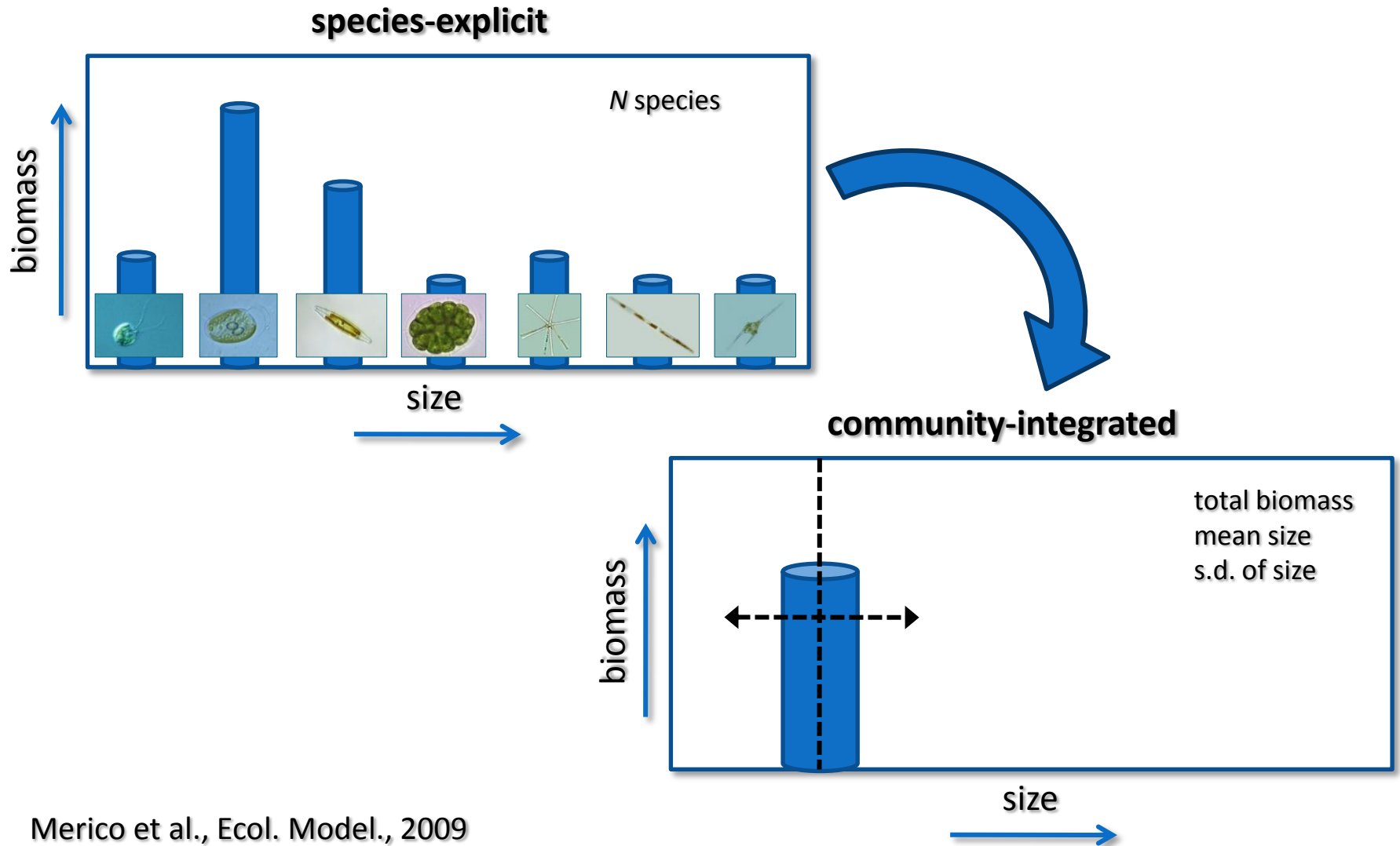
Bruggeman et al., Nucleic Acids Res., 2009

Bruggeman, J. Phycol., accepted

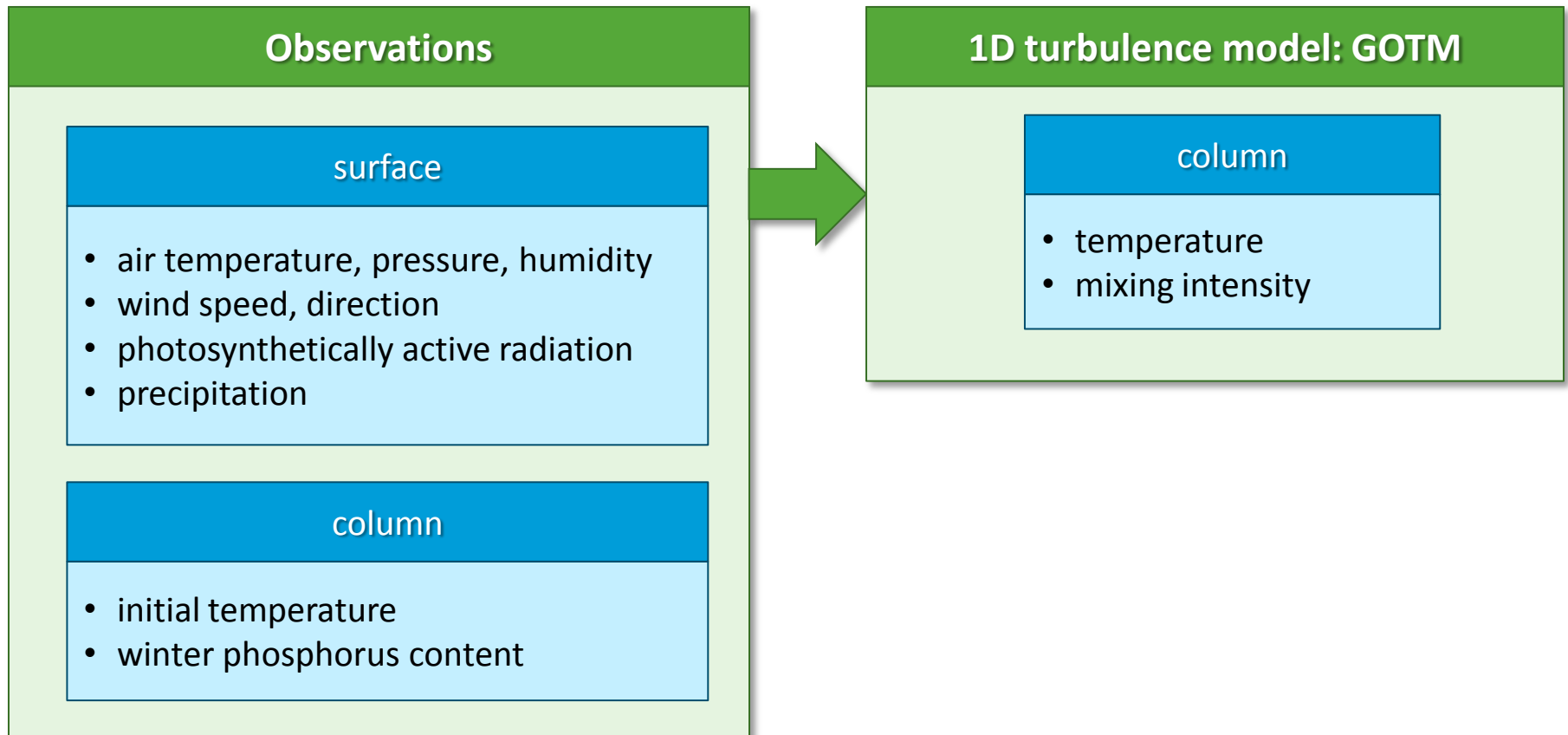
# Describing the population “size is everything”



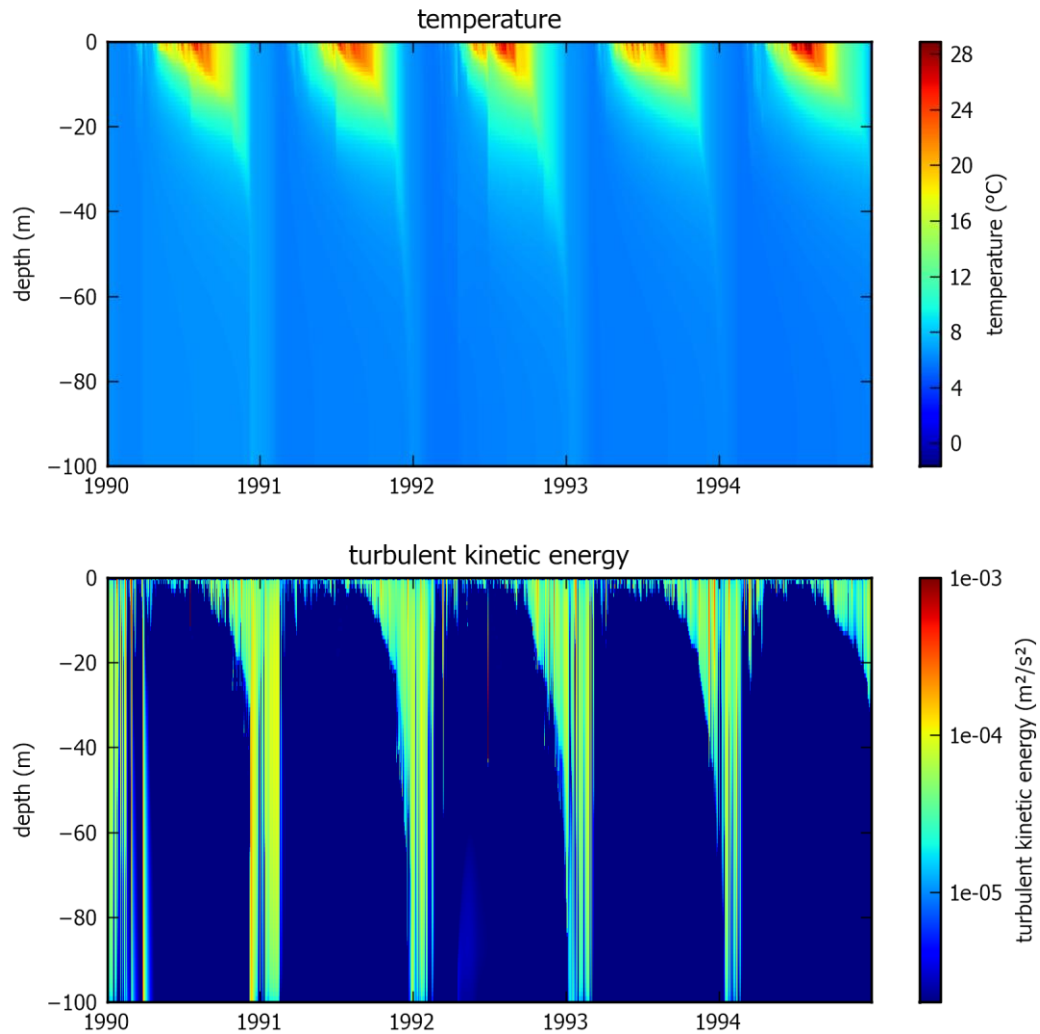
# Constructing a community



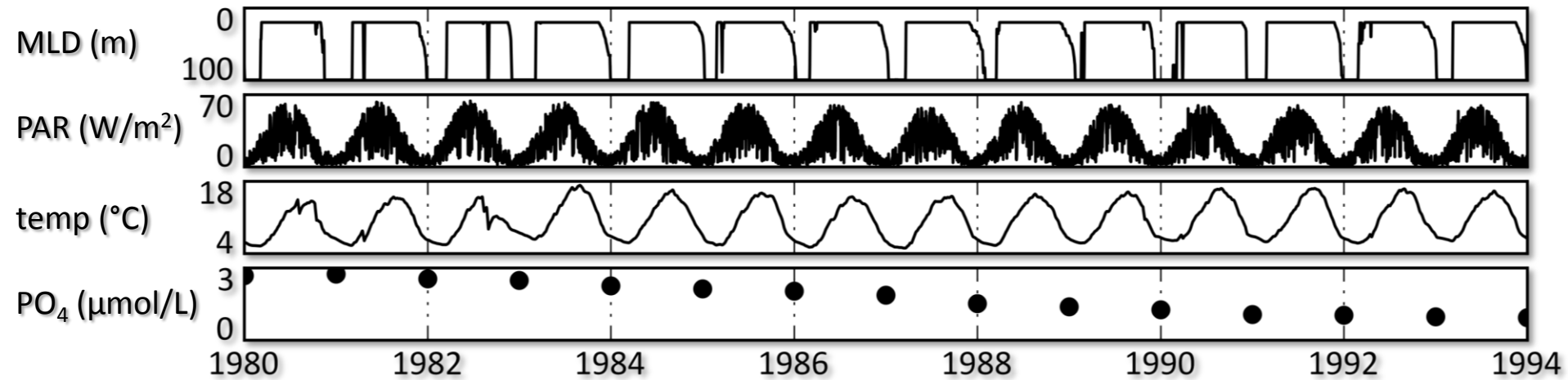
# Modelling the environment



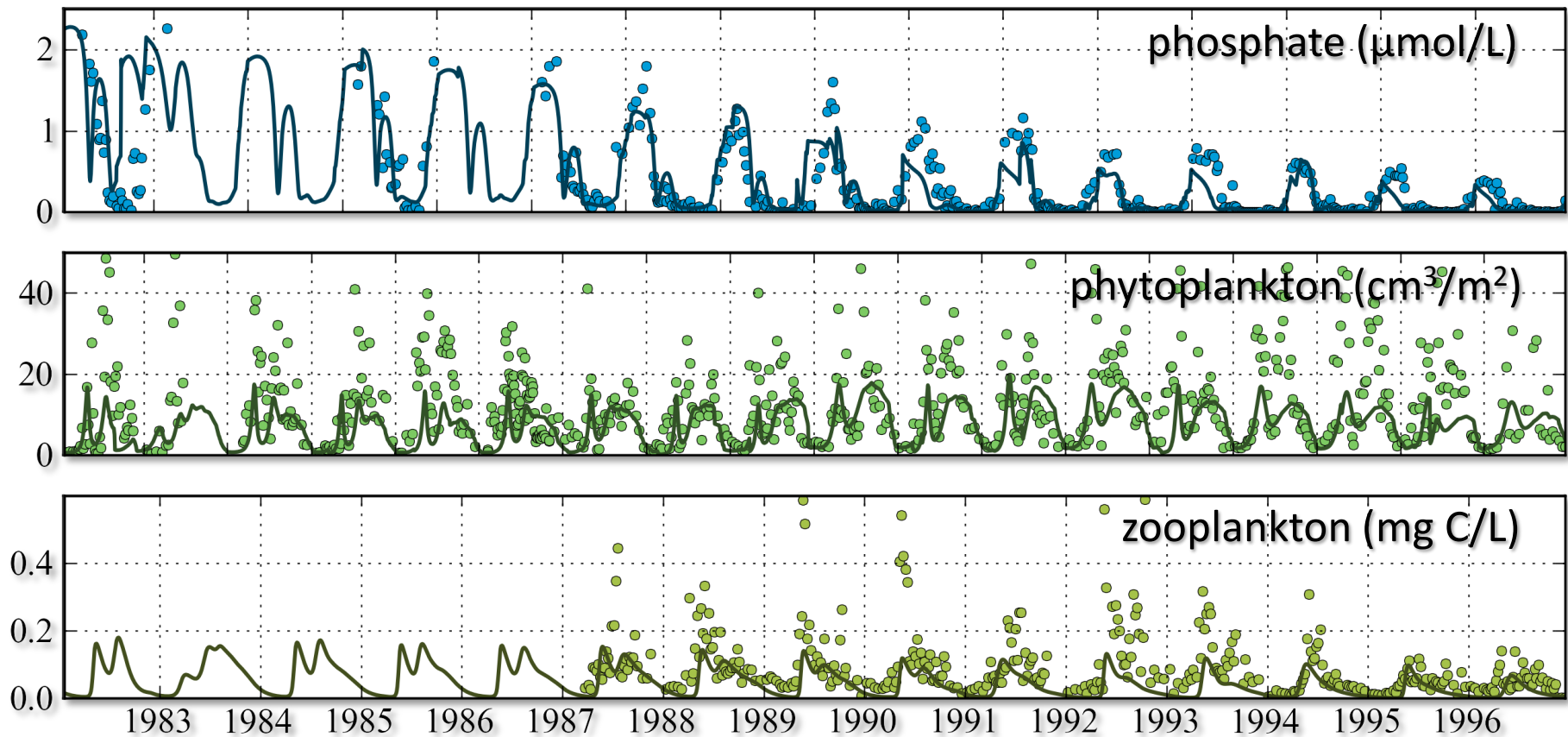
# 1D physics



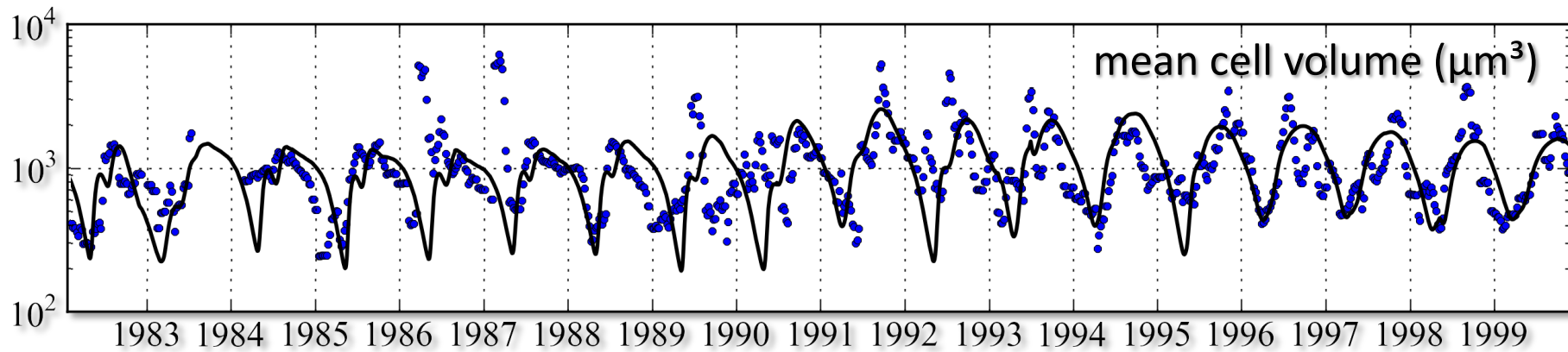
# A mixed layer model



# Calibration

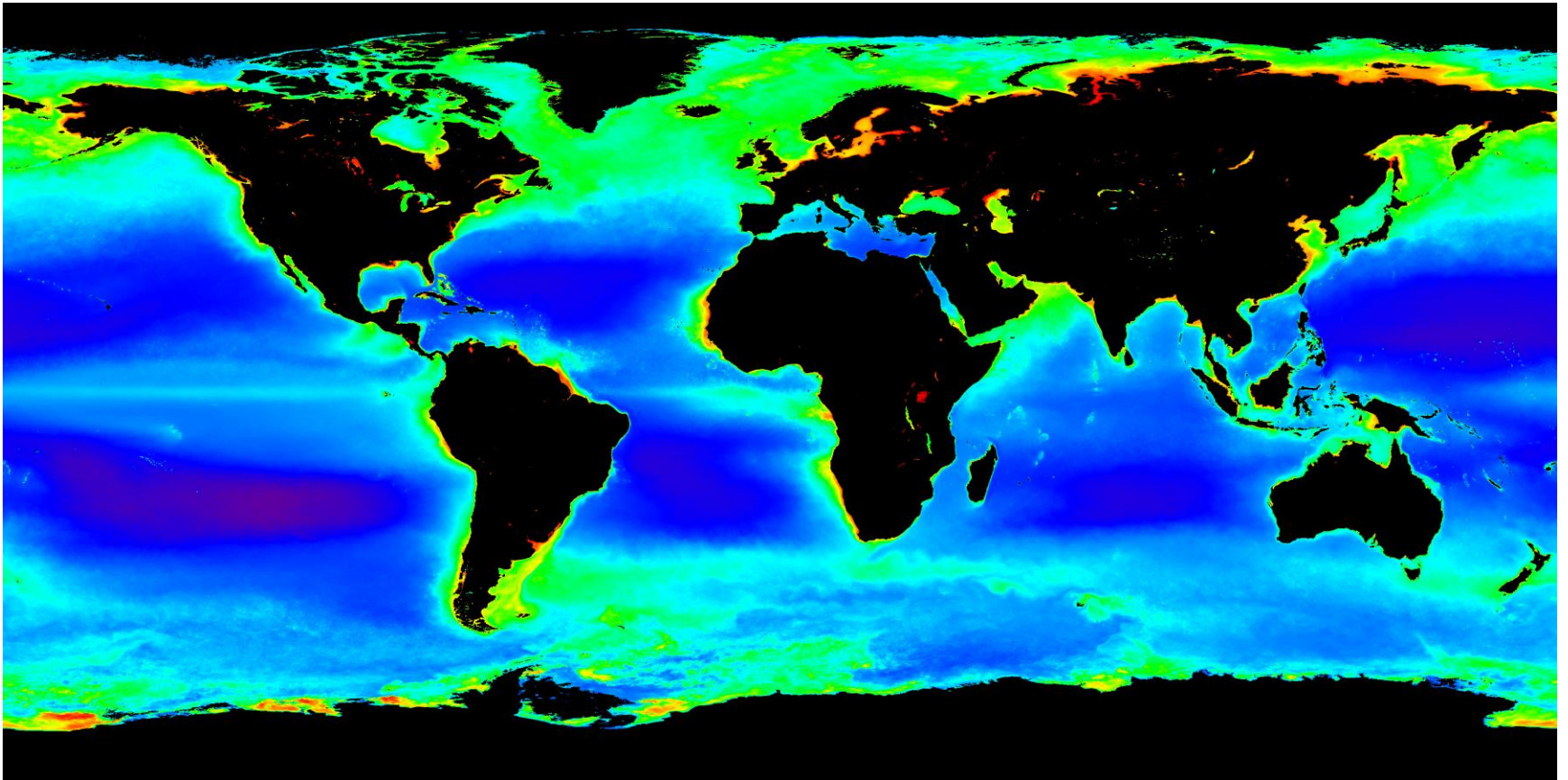


# Predictions mean of cell size



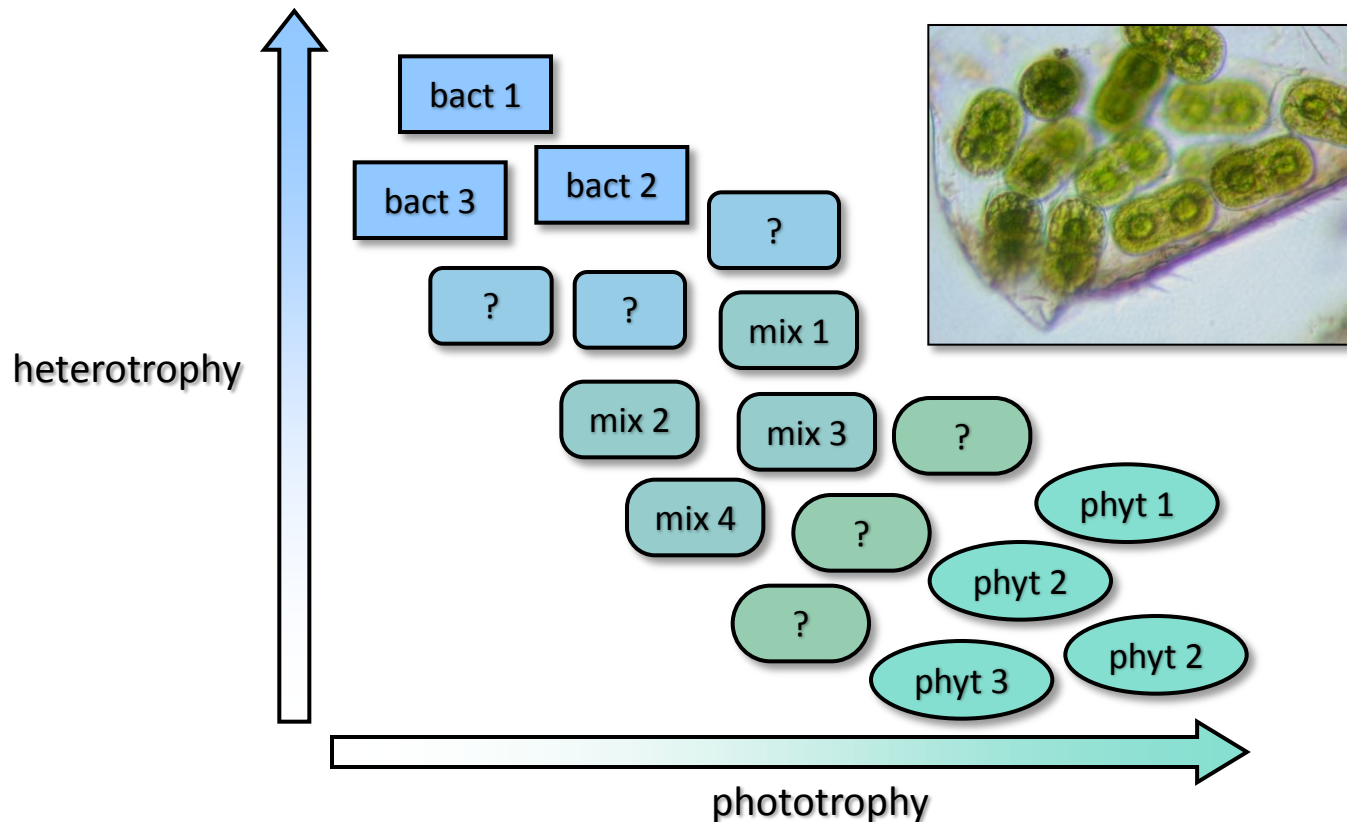


# Test case 2: the world ocean

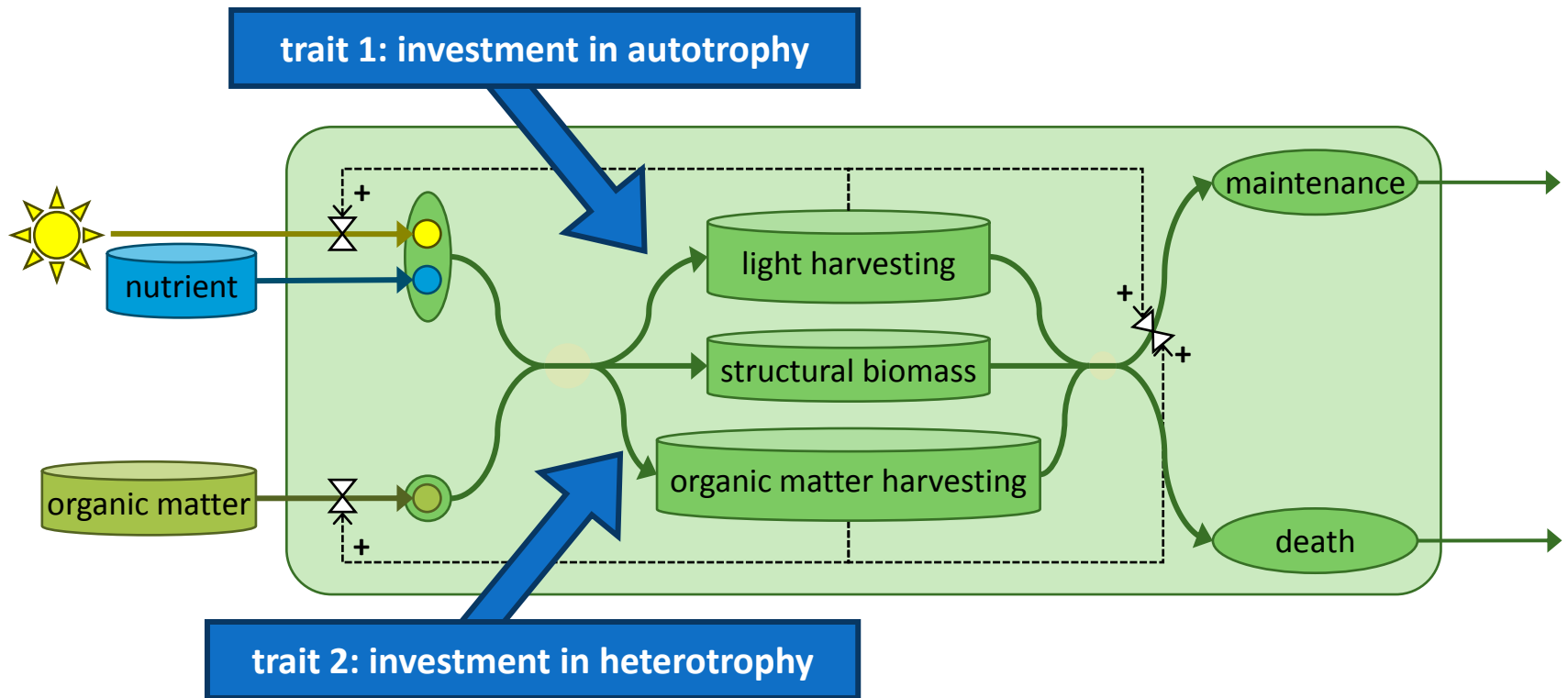


# Looking at plankton: mixotrophy

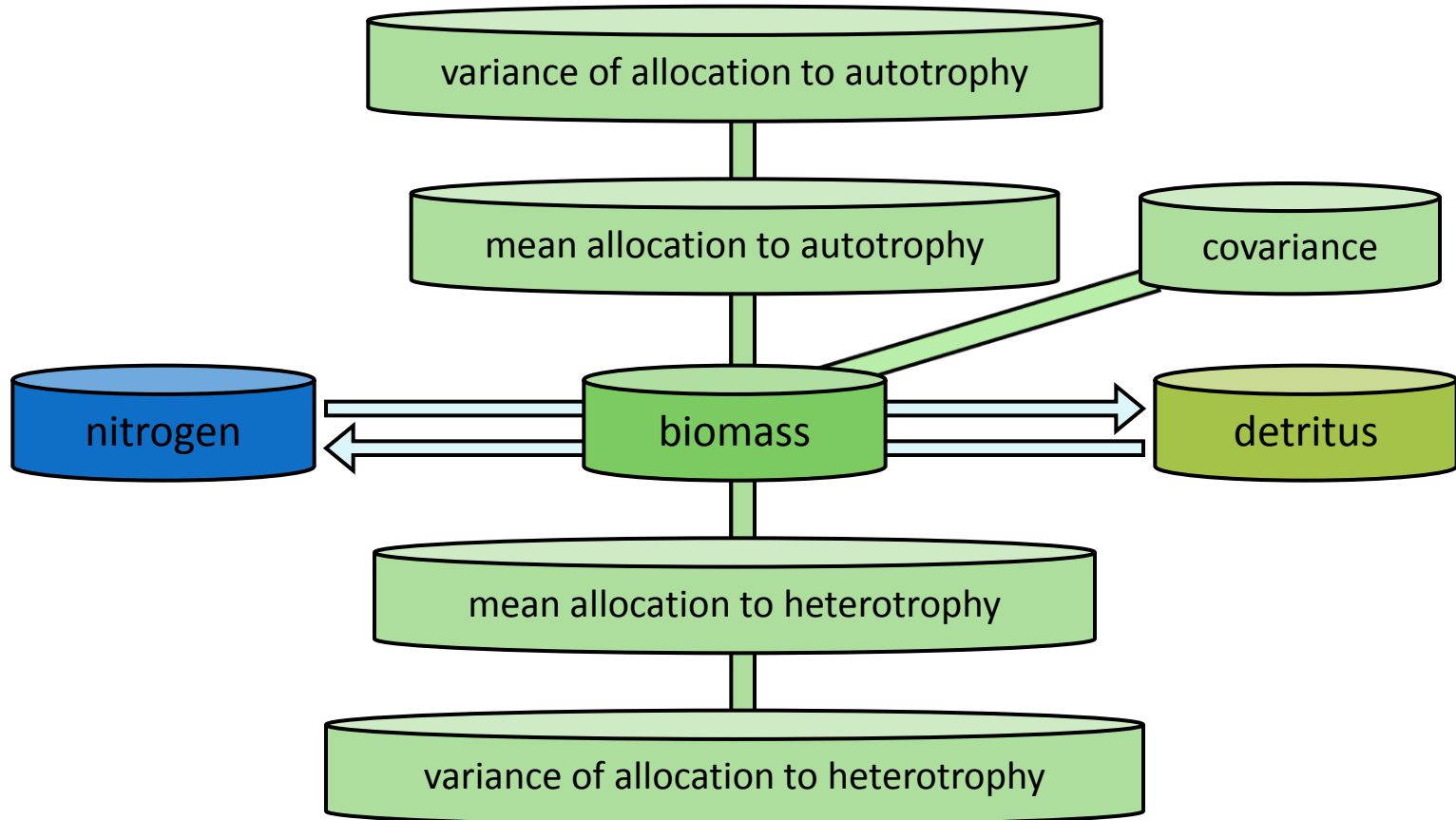
Phototrophs and heterotrophs: a section through diversity



# Generalizing the ecosystem: mixotrophy



# Model characteristics



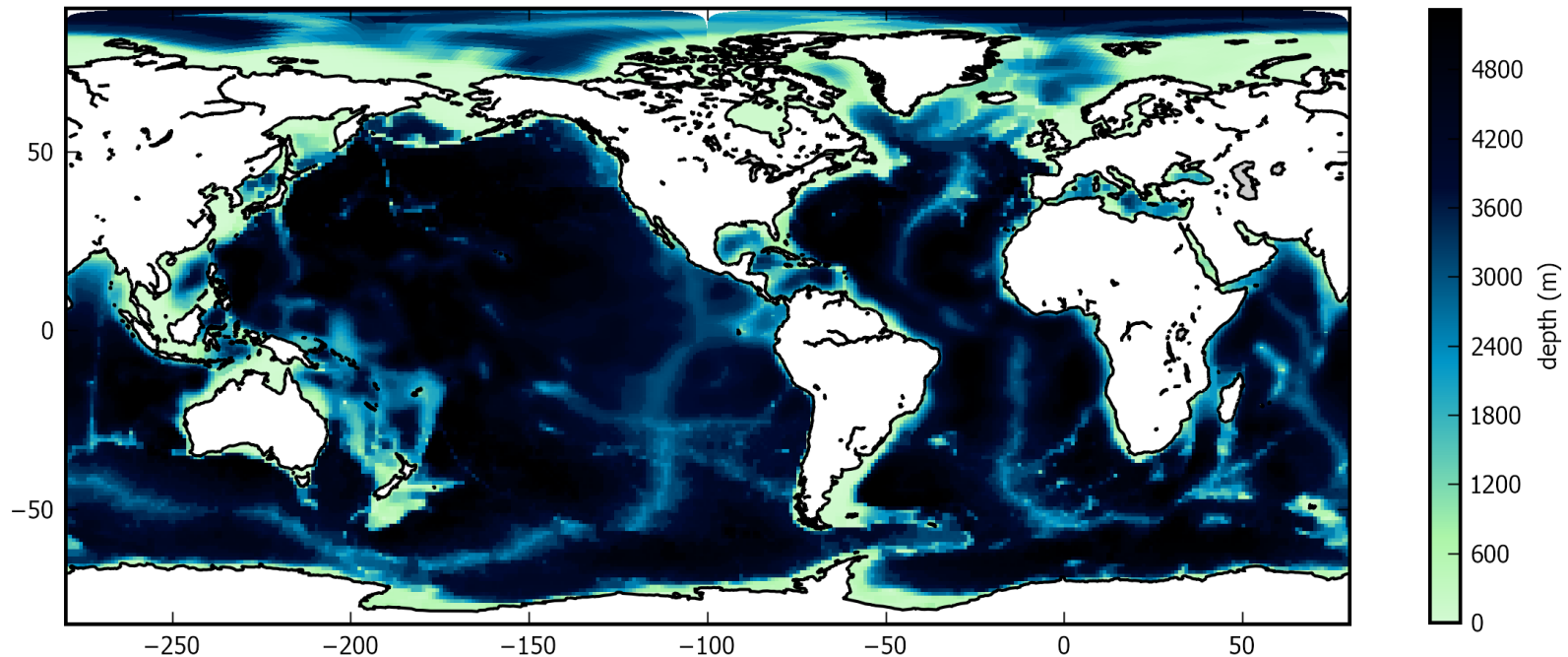
## 7 physiological parameters

- maximum autotrophic and heterotrophic production
- half-saturation constants for light, nutrient, organic matter
- maintenance rate, death rate

# Calibration: 1D Bermuda profiles

- Bermuda Atlantic Time-series Study
- Column model
  - General Ocean Turbulence Model
  - ERA-40 meteorological forcing
  - Observed initial temperature, salinity, Dissolved Inorganic Nitrogen
  - 5 year period: 1989 – 1993
- Observed variables
  - Dissolved Inorganic Nitrogen
  - Chlorophyll
  - Export of Particulate Organic Nitrogen
  - Primary Production
- Parameter estimation
  - algorithm: Differential Evolution
  - all parameters free
  - 4 replica's (combined 300,000 runs)

# Simulation of the 3D world ocean



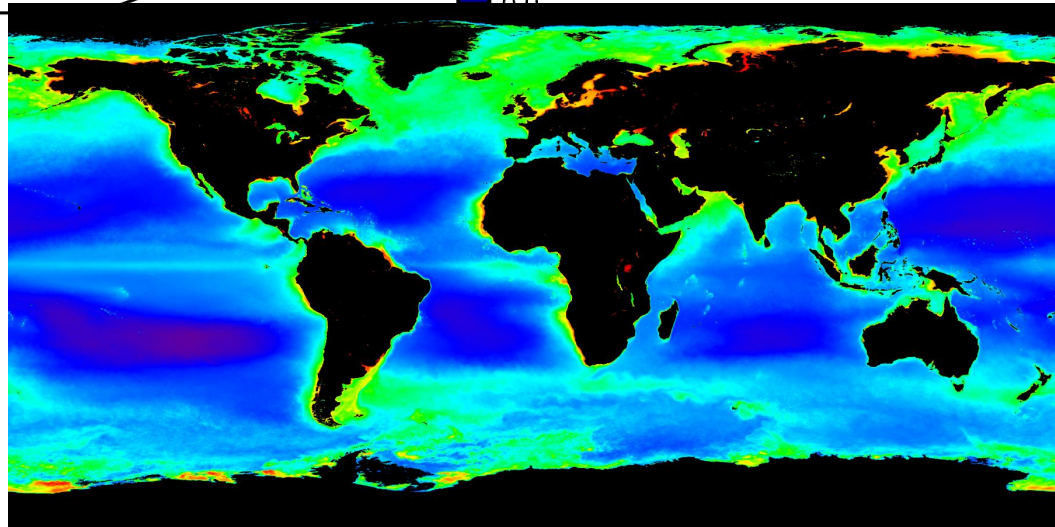
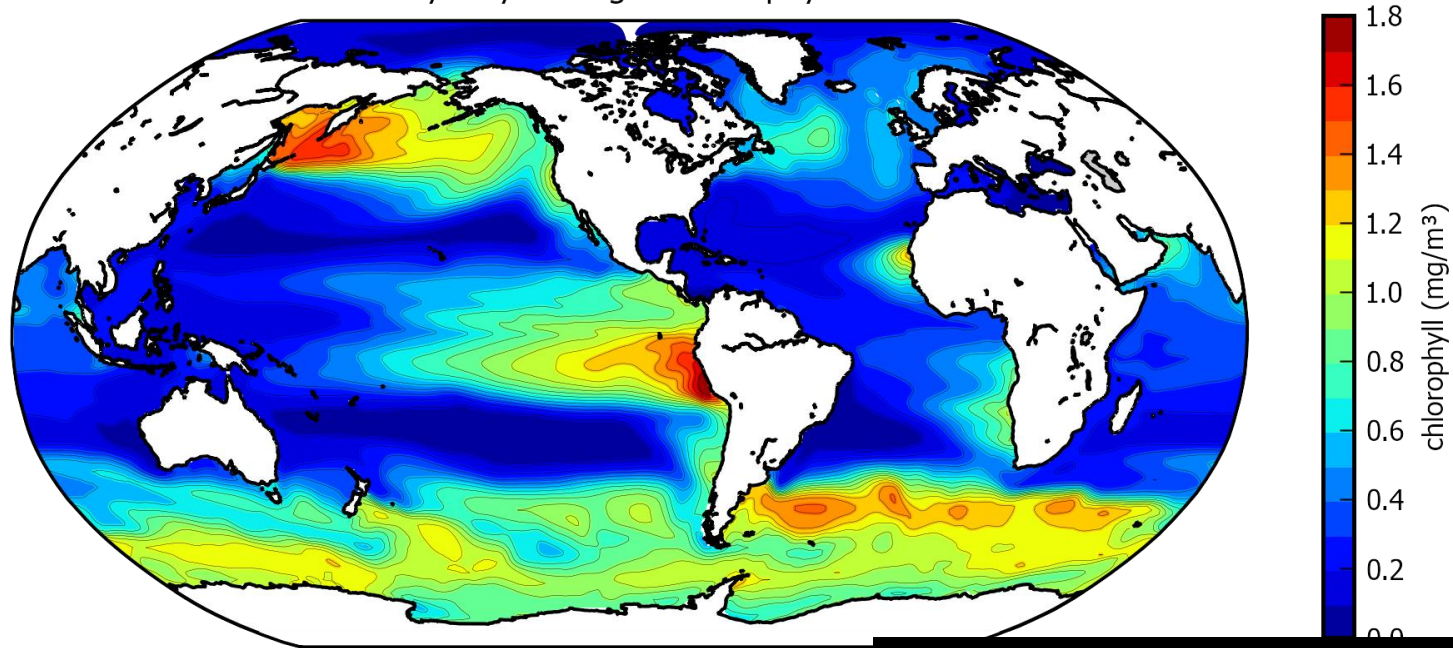
MOM 4, Griffies et al. (2005) setup

- 1° nominal horizontal resolution
- 50 depth levels, variable thickness (10 - 370 m)
- climatological forcing

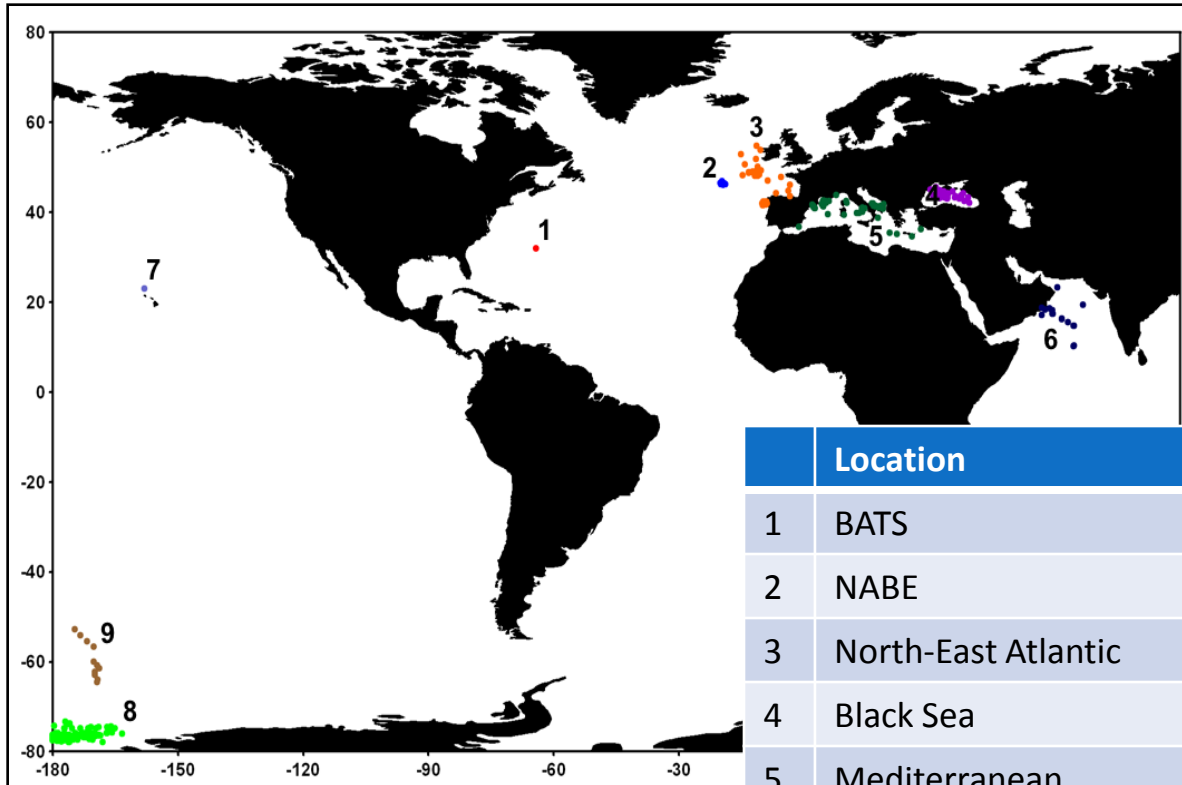


# Patterns: surface chlorophyll

yearly-averaged chlorophyll



# Patterns: productivity



Mean rank: 4/10

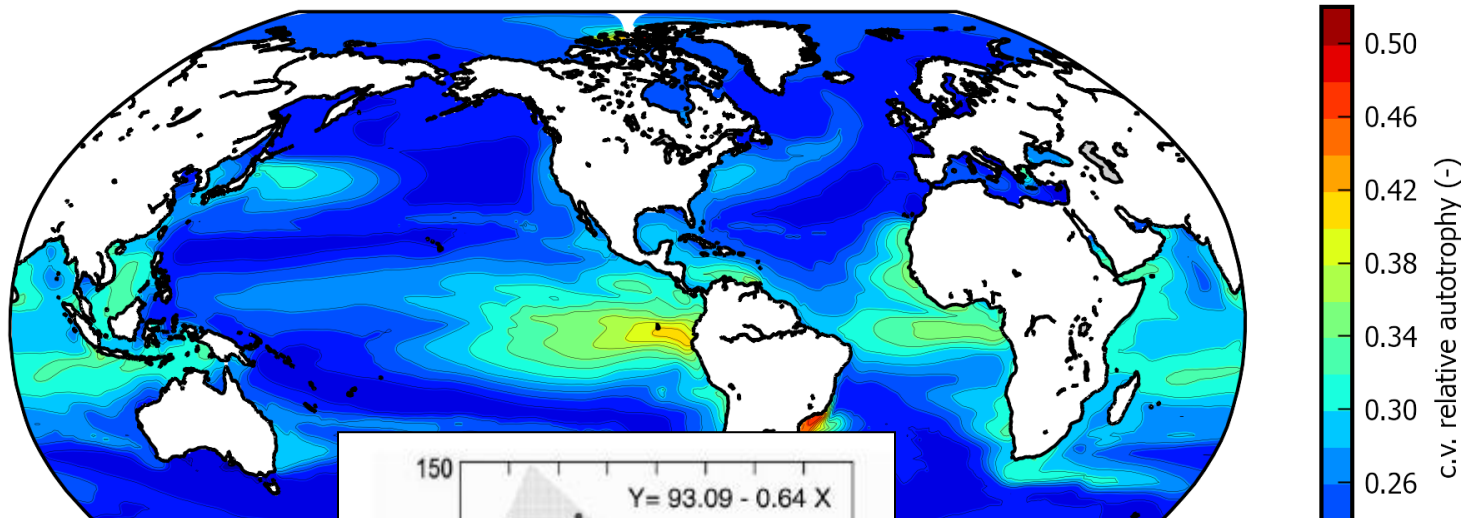
	Location	Rank	Percentile
1	BATS	6/13	42 %
2	NABE	7/13	50 %
3	North-East Atlantic	7/13	50 %
4	Black Sea	2/2	75 %
5	Mediterranean	1/5	10 %
6	Arabian Sea	2/12	13 %
7	HOT	5/12	38 %
8	Ross Sea	2/5	30 %
9	Antarctic Polar Frontal Zone	6/10	55 %

Saba et al. (Global Biogeochem. Cycles)  
Saba et al. (in prep.)

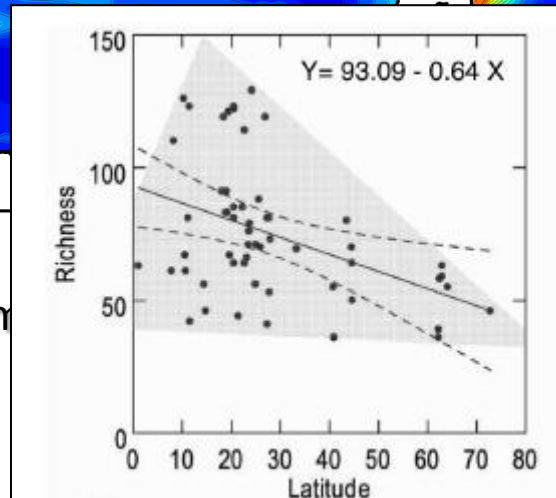


# Patterns: biodiversity

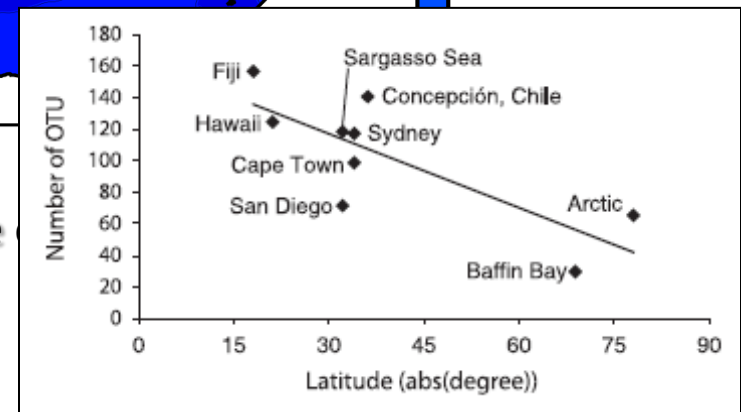
yearly-averaged coefficient of variation for autotrophy



Variance of investment



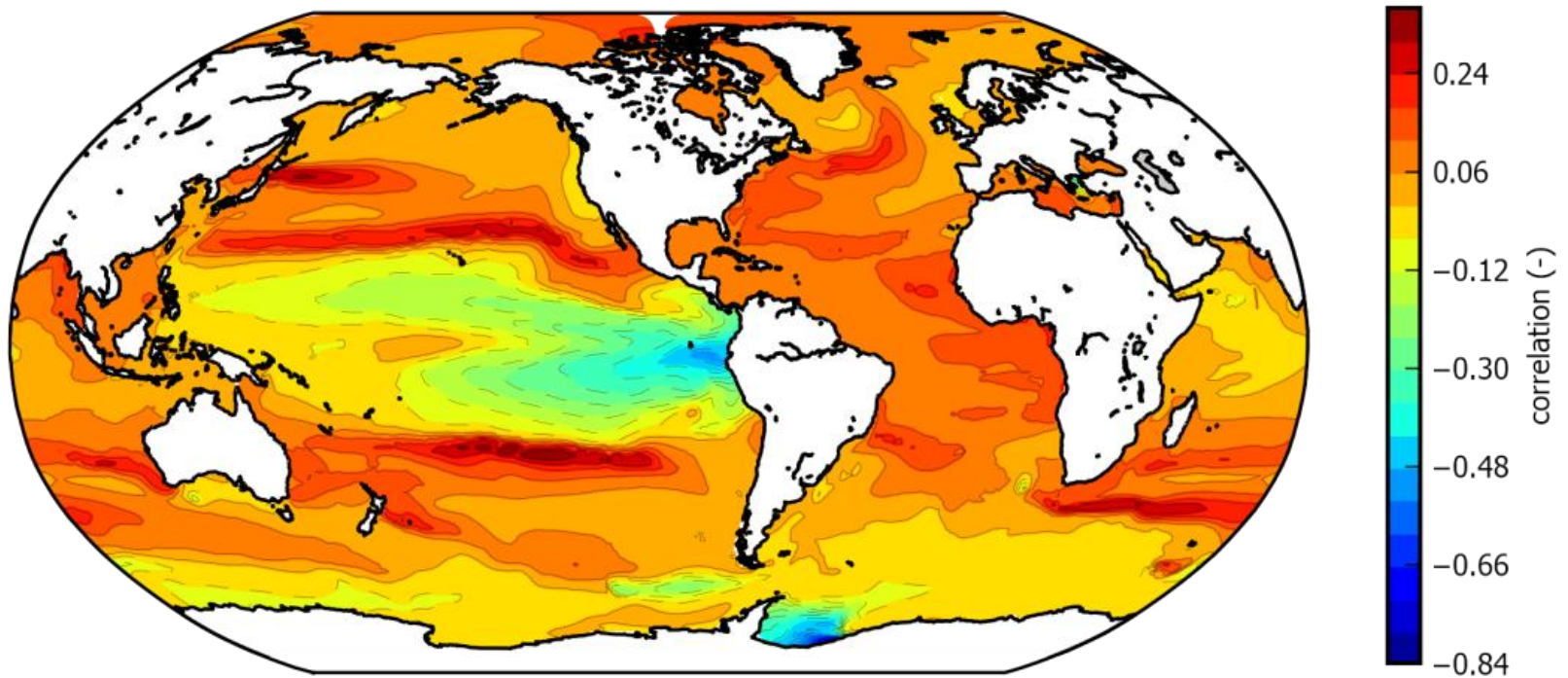
Fuhrman et al. (PNAS, 2008)



Pommier et al. (Molecular Ecology 2007)

# Patterns: mixotrophy

Correlation between autotrophic and heterotrophic investment



# Evaluation: costs and benefits

- Approximation = reduced accuracy
  - Errors: 1 % biomass, 10 % mean, 25 % variance
- No more distinct species
  - No harmful algae, invasive species, commercial targets
- Non-standard tracers
  - Requires transformations and custom clipping logic in GCMs
- Insightful
  - Direct measures of community strategy & functional diversity
- Well-constrained and fast
  - Minimal number of parameters and state variables
- Flexible
  - Accommodates succession, genotypic evolution

# Wrapping up

- Darwinian approaches provide no magic solution...
  - They do not replace solid empirical knowledge – if anything, they require more!
- But they do change the way we look at systems
  - Traits, trade-offs and biodiversity instead of distinct, constant functional groups



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