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Optical and Electronic Properties of Impurities in Quantum Dot

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OPTICAL AND ELECTRONIC PROPERTIES OF IMPURITIES IN QUANTUM DOT

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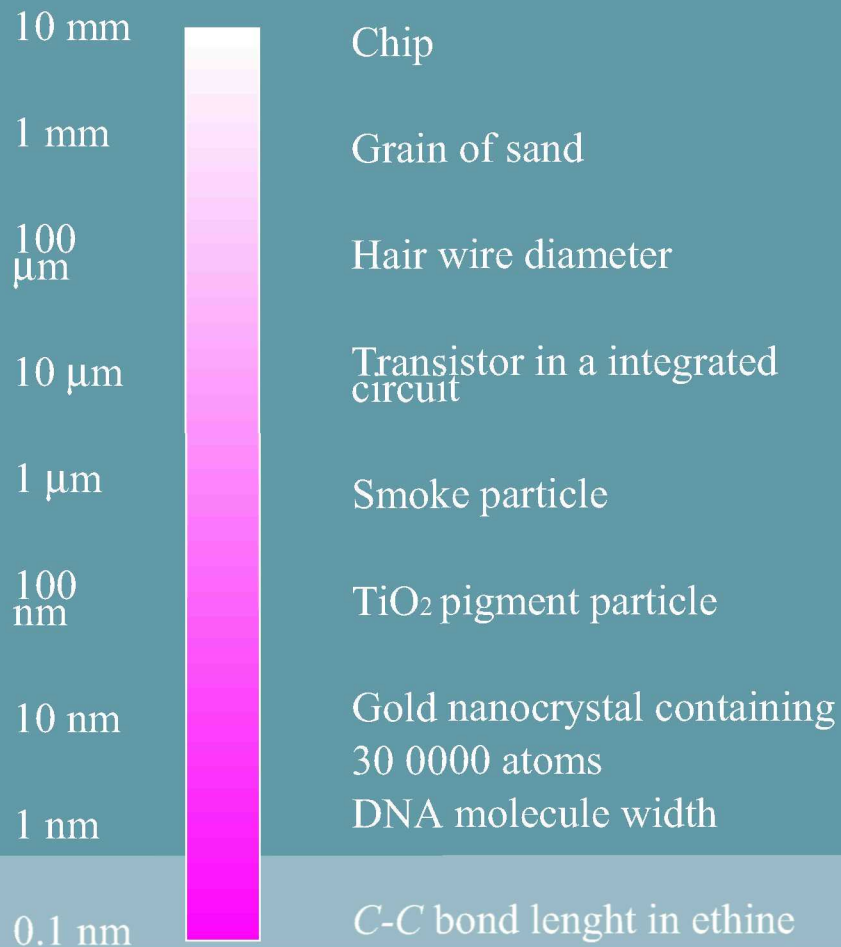
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OUTLINE

- INTRODUCTION to Nanotechnology, MOTIVATION FOR: QD
- Impurity State (HEMT)
- From Quantum Well to Quantum Dots
- Effects of a Magnetic field:
 - Absorption Coefficient of *Magneto-Donor in a QD*
 - Polarizability of *Magneto-Donor in a QD*
 - Polaronic effects
 - Absorption Coefficient of *Magneto-Polaron in a QWWire*
- CONCLUSION



By Tito Trindade, University of Aveiro, 2002 , Portugal

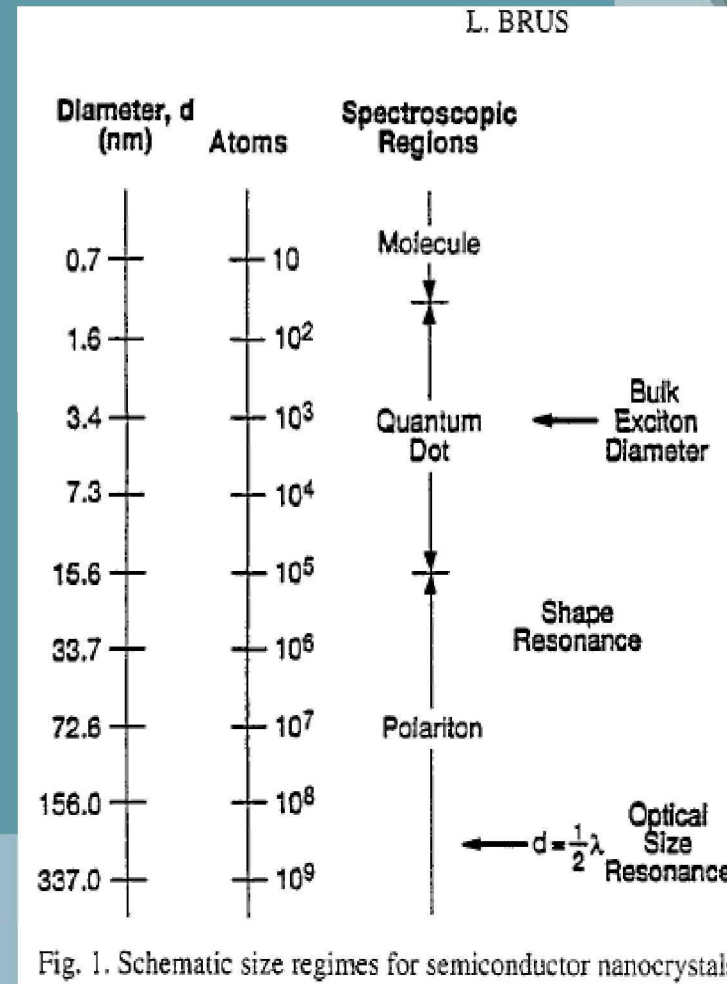
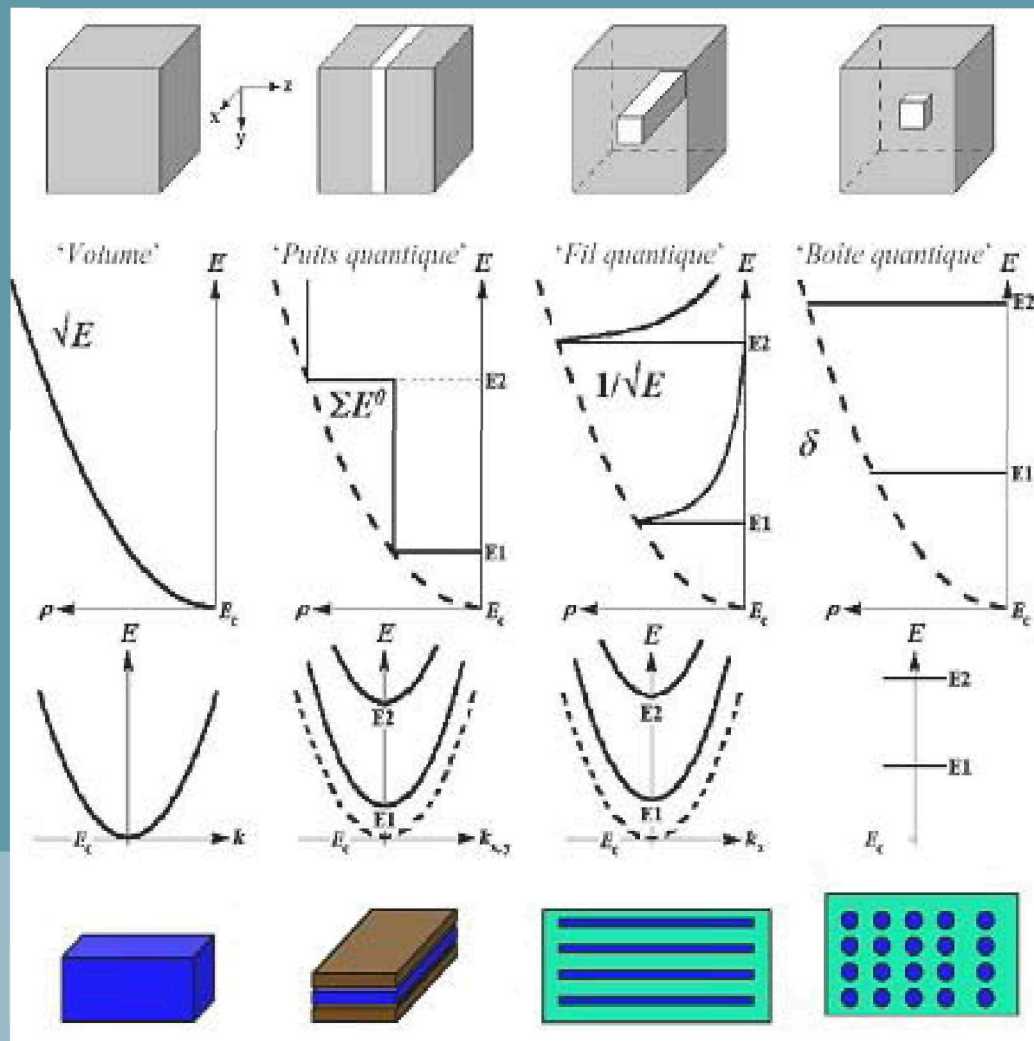


Fig. 1. Schematic size regimes for semiconductor nanocrystals

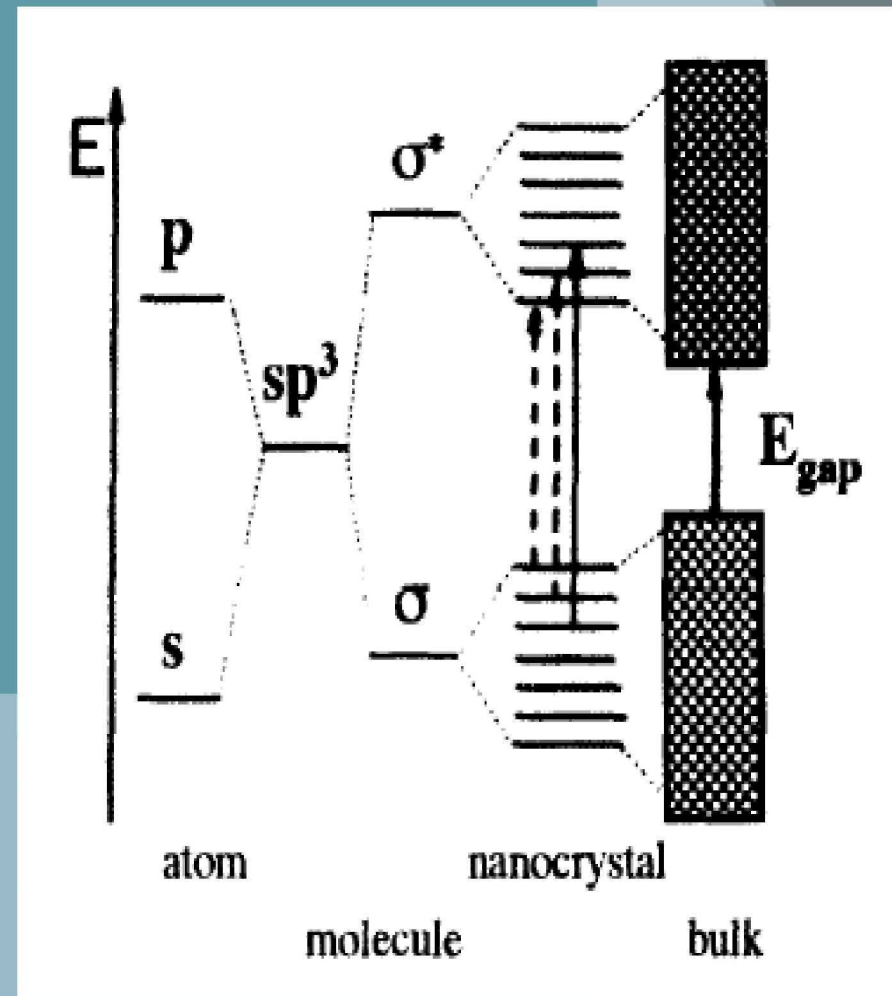
From: L.Brus, J.Phys.Chem.Solids (1998)



- ⦿ **Density of states as the dimensionality of the structure reduces from 3D (bulk) to 0D (quantum dot).**

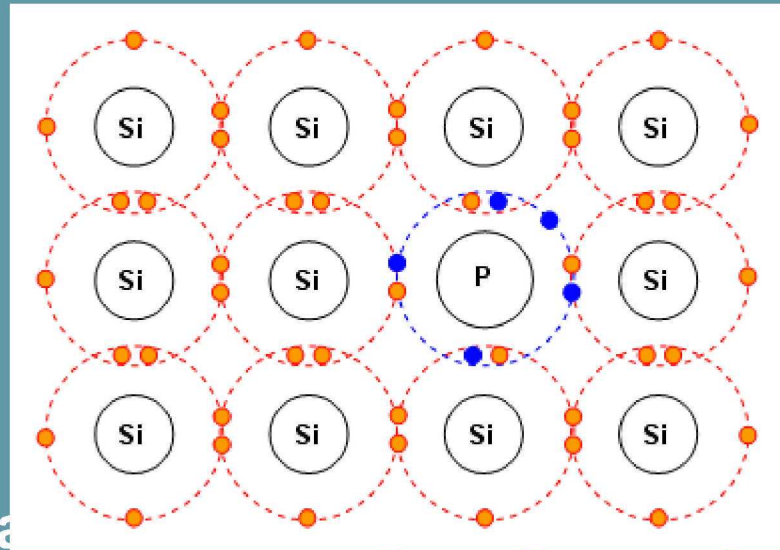
SIZE EVOLUTION OF THE DENSITY OF ELECTRONIC STATES IN NANOCRYSTALS

- ◆ A defining characteristic of the modern view of atoms is that electronic energy levels are discrete and well separated.
- ◆ In contrast, the electronic levels in crystalline solids are diffuse bands of states.
- ◆ In nanometer-size crystals, the density of electronic energy levels varies smoothly between the atomic and bulk limits



from A.P. Alivisatos, 1997

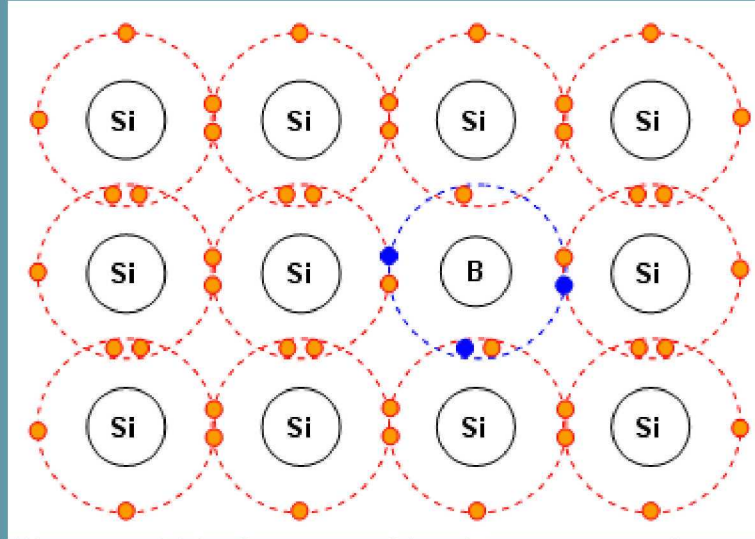
DOPING: DONOR IMPURITIES



Silicon lattice with Phosphorus atom added:

- ◆ Compared to Si, Phosphorus has one extra valence electron
- ◆ The extra valence electron is weakly bonded to the P atom: an energy $E_i = E_c - E_d \ll E_g$ is required to create a free electron from an impurity atom
- ◆ This type of impurity is called **donor impurity**

DOPING: ACCEPTOR IMPURITIES



Silicon lattice with impurity Boron atom added:

- ◆ Boron has one valence electron less than the Si atom.
- ◆ An energy $E_i = E_a - E_v \ll E_g$ is required for an electron in the valence band to fill the excess hole induced by the B atom. This transition creates a hole in the valence band.
- ◆ This type of impurity is called acceptor impurity.

Hydrogenic Effective Mass Theory (HEMT)

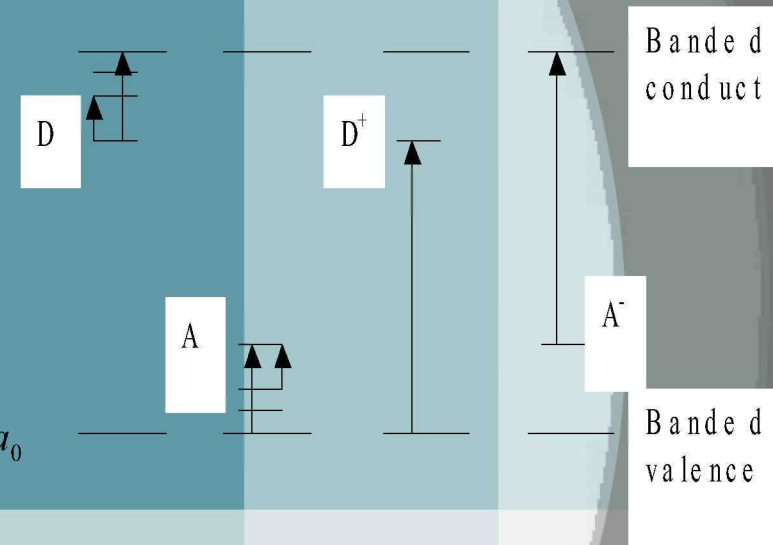
L'équation de Schrödinger régissant le mvt du Donneur dans le potentiel Coulombien s'écrit:

$$\left(-\frac{\hbar^2}{2m^*} \nabla^2 - \frac{e^2}{\epsilon r} \right) F(\vec{r}) = EF(\vec{r})$$

$$E_n = -\frac{R^*}{n^2}$$

$$R^* = -\frac{m^* e^4}{2\hbar^2 \epsilon^2}$$

$$a^* = \frac{\hbar^2 \epsilon}{m^* e^2} = \epsilon \frac{m}{m^*} a_0$$



$$F_{1s}(\vec{r}) = \frac{1}{\sqrt{\pi a^3}} \exp\left(-r/a^*\right)$$

L'énergie d'ionisation dépend de la nature chimique du semiconducteur mais pas celle de l'impureté

III

ZnSe : Al
ZnSe : Ga
ZnSe : In

expériences !

	th.	Al	Ga	In
ZnSe	24.522	26.3	27.9	28.9

E_D
 m^*

	th.	Sn	Si	O	
GaP Donneur	37.0	65.5	82.1	89.5	Donneurs dans GaP.
GaP Accepteur	42.5	48.0	64.0	258	Accept. dans GaP.

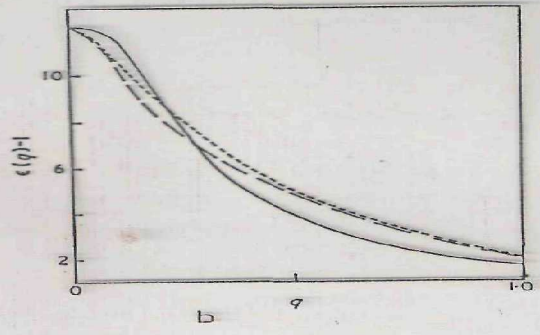
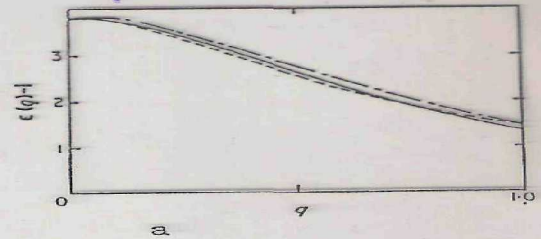
Tableau 2.2. : Energies d'ionisation dans le ZnSe et de donneurs et accepteurs dans le GaP. Les valeurs expér. sont comparées aux valeurs th. L'unité est le mev. Cfr réf. 8 et 9.

TME (même des versions plus évoluées) : incapable de rendre compte du "Déplacement Chimique"

REMEDE: Modèle simple (sans calcul de bande)

$V_I(r) = -\frac{e^2}{\epsilon_{\infty} r}$ ϵ_{∞} : Constante diélectrique (facteur d'écran)

Tenir compte de la relaxation de la densité de charge autour de l'impureté $\epsilon_{\infty} \rightarrow \epsilon_{\infty}(q)$



ZnS

Fig. 2.6.: Courbes théoriques de $\epsilon_{\infty}(\vec{q}) - 1$ en fonction de \vec{q} , pour ZnS (a) et InAs (b) dans les directions de symétrie
 111 (—),
 200 (---),
 220 (-.-).

Nous ajustons la forme analytique (2.14) à ces courbes théoriques déterminées par Vindsome et Richardson¹².

* Lorentzienne (Forme Analytique): $\frac{1}{\epsilon_{\infty}(q)} = \frac{1}{\epsilon_{\infty}} \left[1 + \frac{Aq^2}{B+q^2} \right]$
 lim $\epsilon_{\infty}(q)$
 $q \rightarrow 0 = \epsilon_{\infty}$
 $q \rightarrow \infty = 1$

On pose: $B = K^2$

$$\epsilon_{\infty}(q) = \epsilon_{\infty} \frac{q^2 + K^2}{\epsilon_{\infty} q^2 + K^2}$$

↓ T.F $(V(r) = -4\pi e^2 \int \frac{d\vec{q}}{\epsilon(q) \cdot q^2} e^{i\vec{q} \cdot \vec{r}})$

$$V_I(r) = -\frac{e^2}{\epsilon_{\infty} r} \left[1 + (\epsilon_{\infty} - 1) e^{-Kr} \right]$$

* Tenir compte de la différence entre l'im de l'impureté et celui qu'il remplace:
 $\frac{\delta}{2} r e^{-\delta r}$

$K(\text{Si}) = 1.755 \text{ \AA}^{-1}$

$$V_I(r) = -\frac{e^2}{\epsilon_{\infty} r} \left[1 + (\epsilon_{\infty} - 1) \left(e^{-Kr} + \frac{\delta}{2} r e^{-\delta r} \right) \right]$$

Exciton: bound electron-hole pair (EHP)

Dans un cristal massif, l'énergie et la fonction d'onde enveloppe de l'exciton sont solutions de l'équation suivante

$$\left(-\frac{\hbar^2}{2m_e^*} \nabla_e^2 - \frac{\hbar^2}{2m_h^*} \nabla_h^2 - \frac{e^2}{\epsilon |\vec{r}_e - \vec{r}_h|} \right) F(\vec{r}_e, \vec{r}_h) = EF(\vec{r}_e, \vec{r}_h)$$

$$R = \frac{m_e^* \vec{r}_e + m_h^* \vec{r}_h}{m_e^* + m_h^*} \quad \vec{r} = \vec{r}_e - \vec{r}_h$$

$$\left(-\frac{\hbar^2}{2M} \nabla_R^2 - \frac{\hbar^2}{2\mu} \nabla_r^2 - \frac{e^2}{\epsilon r} \right) F(\vec{R}, \vec{r}) = EF(\vec{R}, \vec{r})$$

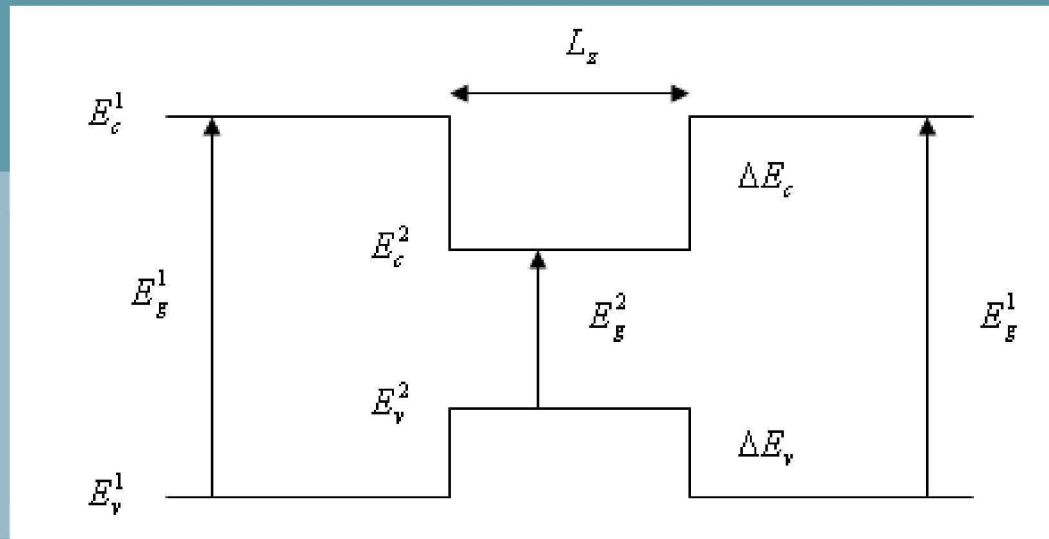
Aux différents valeurs de n correspondent les niveaux d'exciton:

$$E_n = -\frac{\mu e^4}{2\epsilon^2 \hbar^2} \frac{1}{n^2}$$

$$E_{ex} = -\frac{\mu e^4}{2\epsilon^2 \hbar^2}$$

Quantum Wells

- QWs are formed from multiple heterojunctions. If a thin layer of a narrower-bandgap material 'B' is sandwiched between two layers of wider-bandgap material 'A', then they form a double heterojunction.



Particle in a QW

$$\left(-\frac{\hbar^2}{2m^*} \nabla^2 + V_w(z) \right) F(\vec{r}) = EF(\vec{r}) \quad V_w(z) = \begin{cases} 0 & \text{pour } |z| < \frac{L_z}{2} \\ V_0 & \text{pour } |z| > \frac{L_z}{2} \end{cases}$$

For the even solutions we have

$$f_n(z) = \begin{cases} A \cos(kz) & \text{pour } |z| < \frac{L_z}{2} \\ B \exp(-\rho|z|) & \text{pour } |z| > \frac{L_z}{2} \end{cases}$$

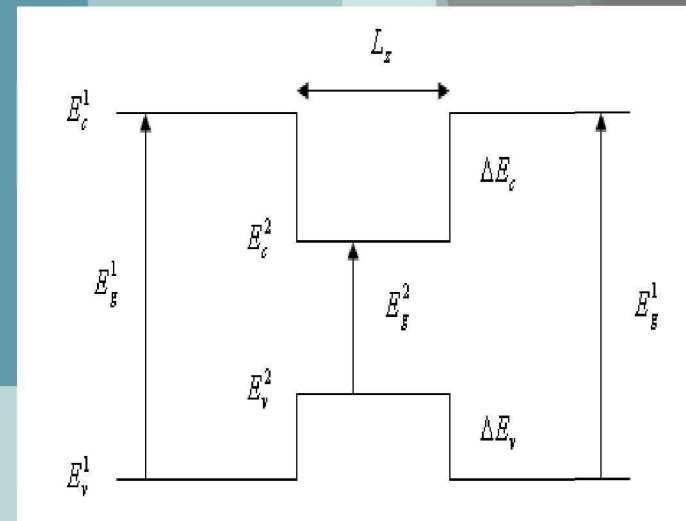
$$k = \sqrt{\frac{2m^* E_z}{\hbar^2}} \quad \rho = \sqrt{\frac{2m^* (V_0 - E_z)}{\hbar^2}}$$

$$k \tan\left(k \frac{L}{2}\right) = \rho$$

$$\left| \cos\left(\frac{ka}{2}\right) \right| = \frac{k}{k_0}$$

$$\text{tg}\left(\frac{ka}{2}\right) > 0$$

For the odd solutions we will have $\sin(kz)$

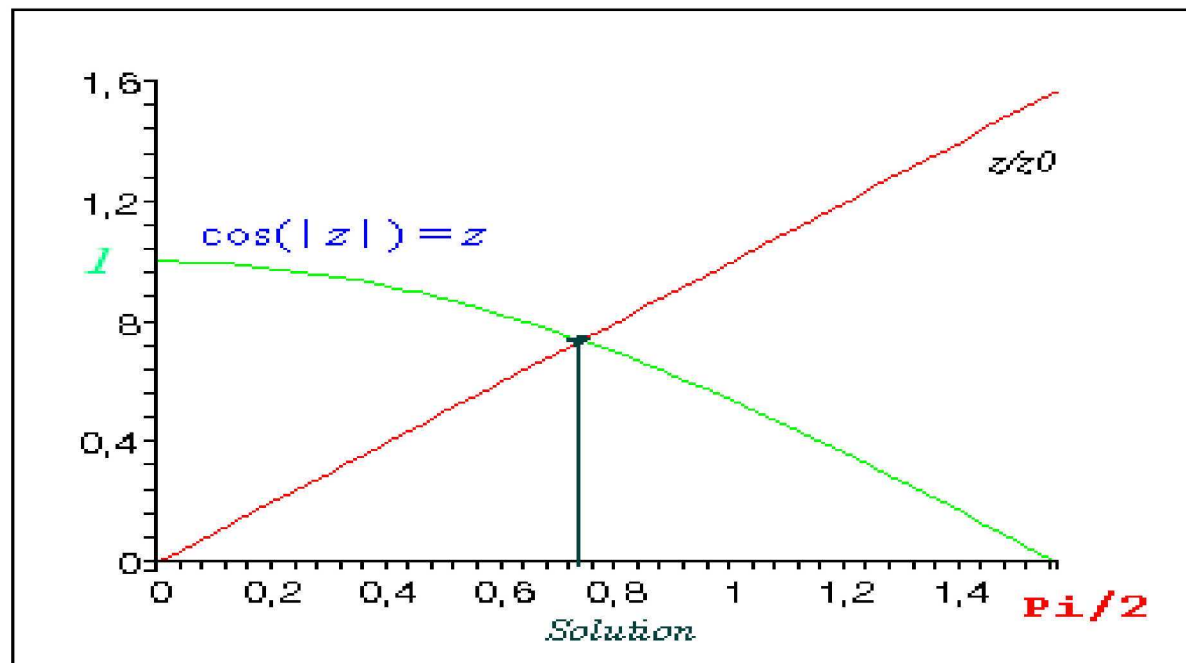


Pour la résolution on utilise une méthode graphique: Solution par Ordinateur

```
> eq:=cos(abs(z))=z;
```

```
> plot({cos(abs(z)),z},z=0..Pi/2);
```

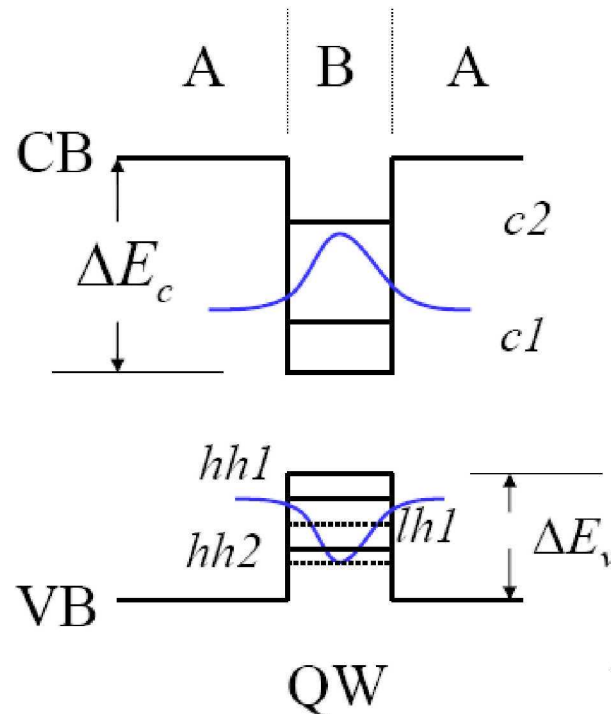
$eq := \cos(|z|) = z$



Quantum Confined Systems

Heterostructure Systems: Quantum Well (QW)

$$\Delta E_c = f\Delta E_g \quad \text{empirically } f = 0.65 \text{ for GaAs/AlGaAs}$$



Each state (c1, c2, hh1, hh2, etc. corresponds to the formation of a two-dimensional subband, which is free electron like in plane parallel to well.

$$\Delta E_g = E_g^{AlGaAs} - E_g^{GaAs}$$

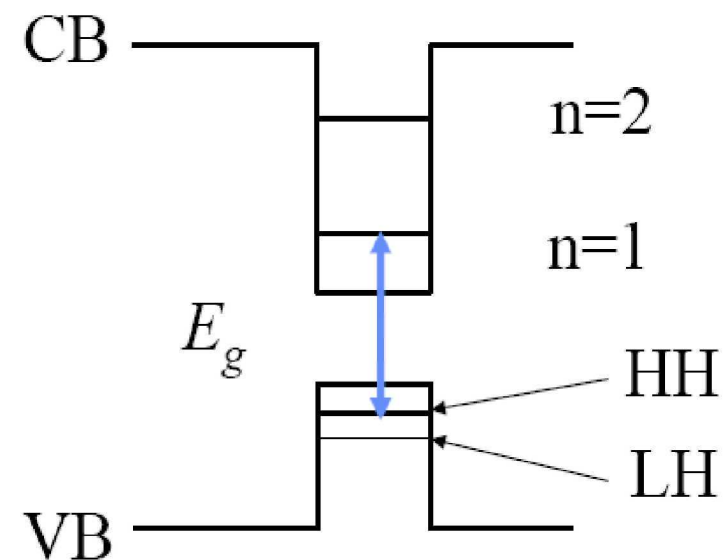
Quantum Wells

Quantum Confined Systems: Optical Properties

The effective bandgap in a QW system:

$$E_g = E_{gA} + E_1(\text{electron}) + E_1(\text{heavy hole})$$

The effective bandgap will be larger than that of well material. It can be changed by varying the well width L_z . The blue shift of the effective bandgap is called “*quantum size effect*”.



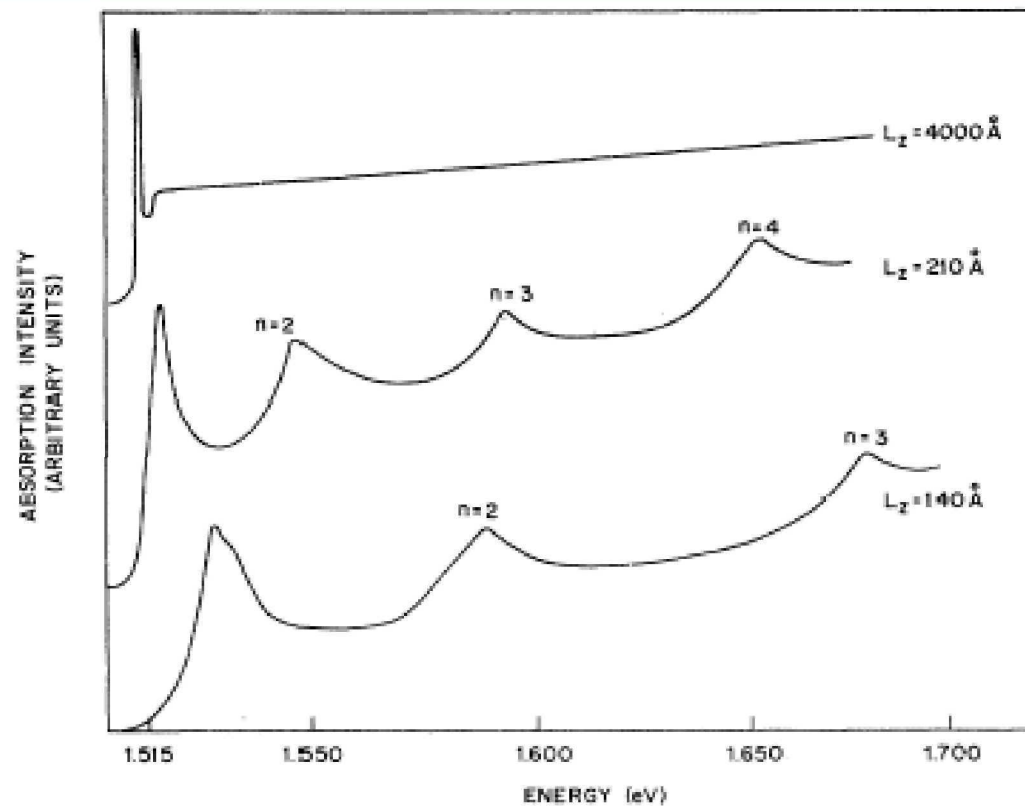
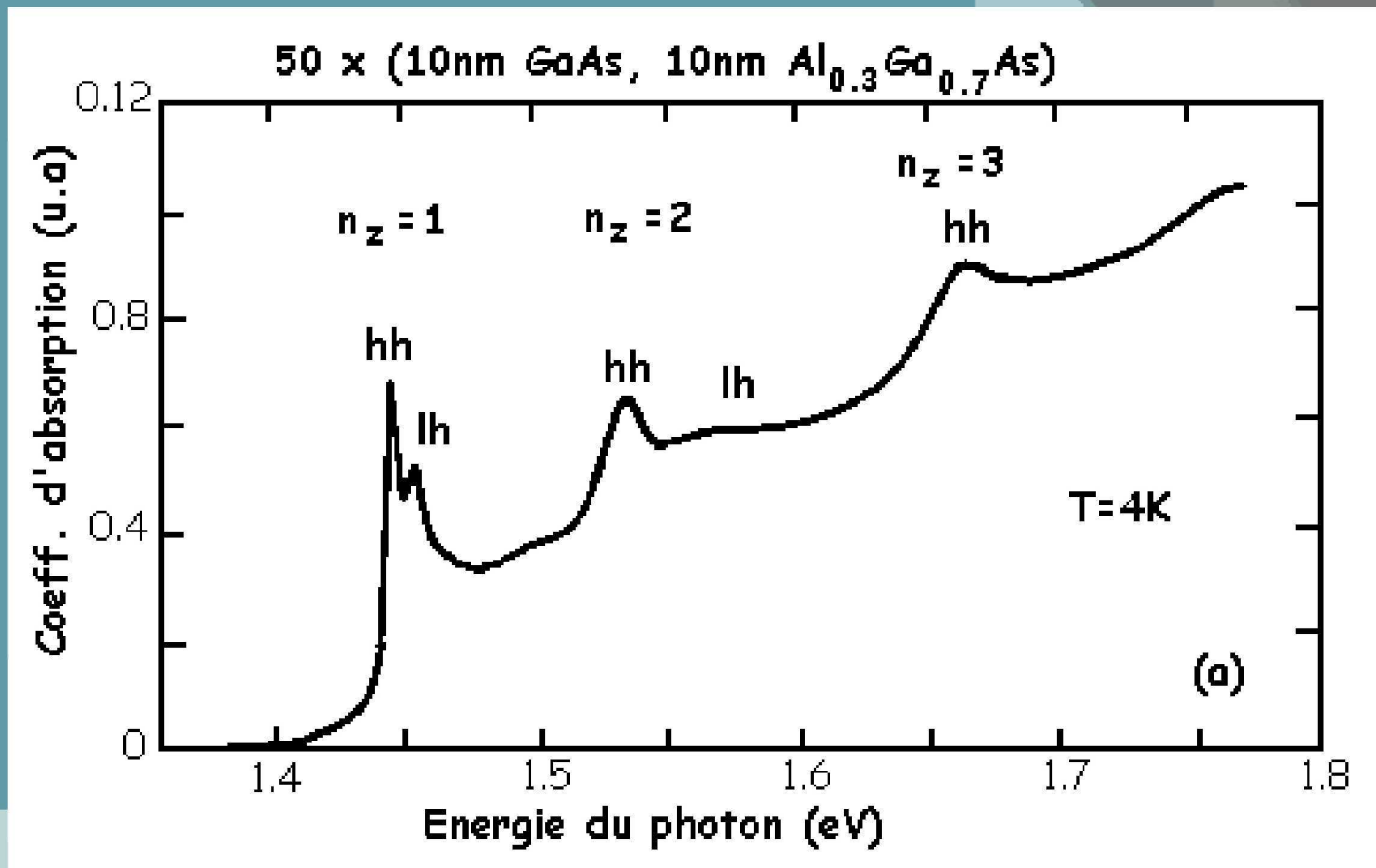


FIG. 2. Typical absorption spectra at 2 K. The traces labeled $L_z = 210 \text{ \AA}$ and $L_z = 140 \text{ \AA}$ show excitons associated with the electron and hole, each in the n th bound state. For $L_z = 4000 \text{ \AA}$, the absorption coefficient α (cm^{-1}) is about 2.5×10^4 at the exciton peak and $\approx 1 \times 10^4$ in the band-to-band region. Similar values are obtained for the thinner multilayers.

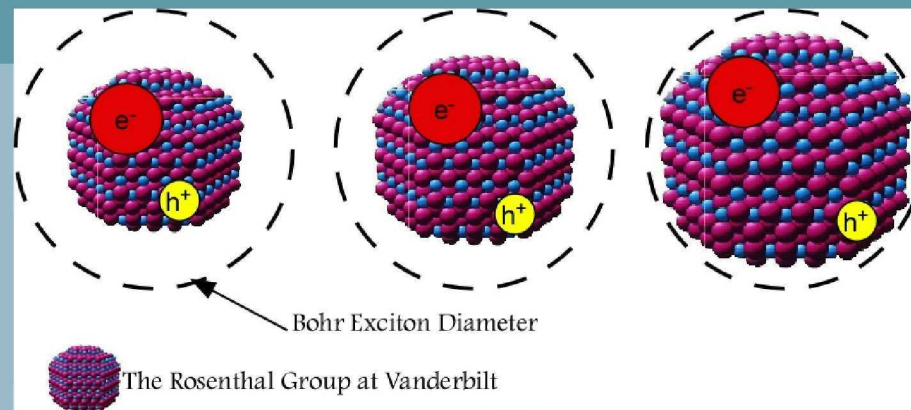
Optical absorption in Quantum Wells



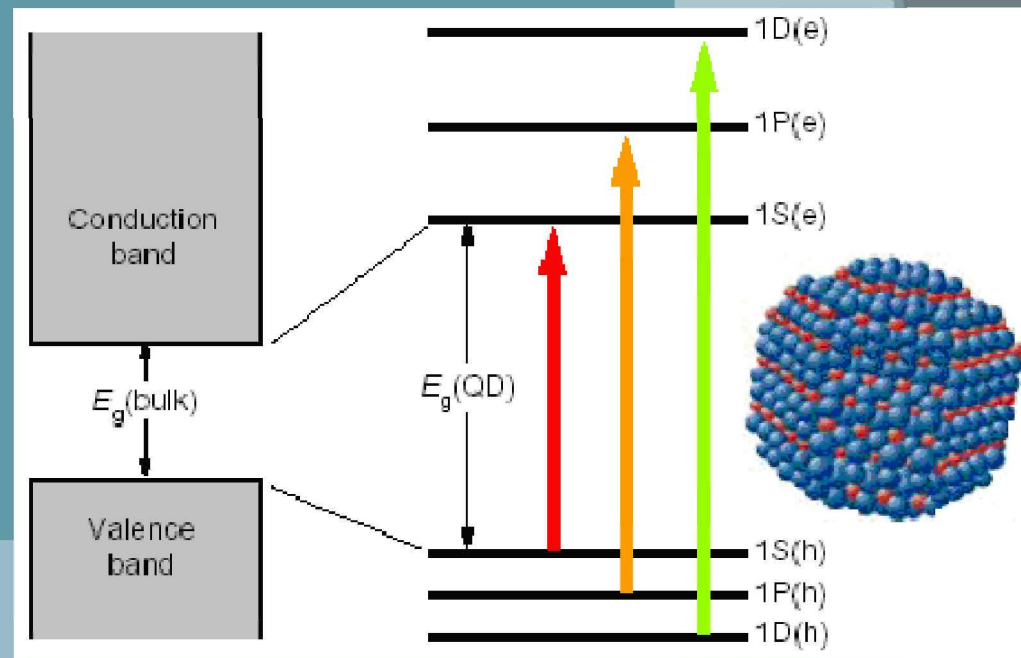
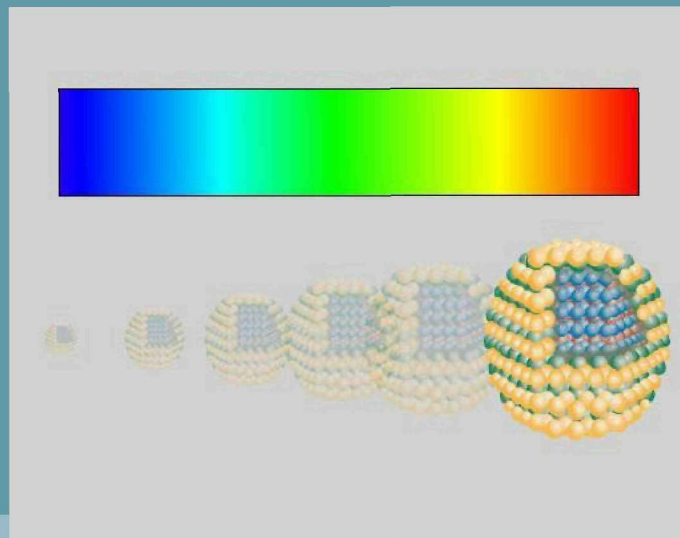
Spectre d'absorption à basse température d'un puits quantique multiple de GaAs/GaAlAs

Quantum Dot

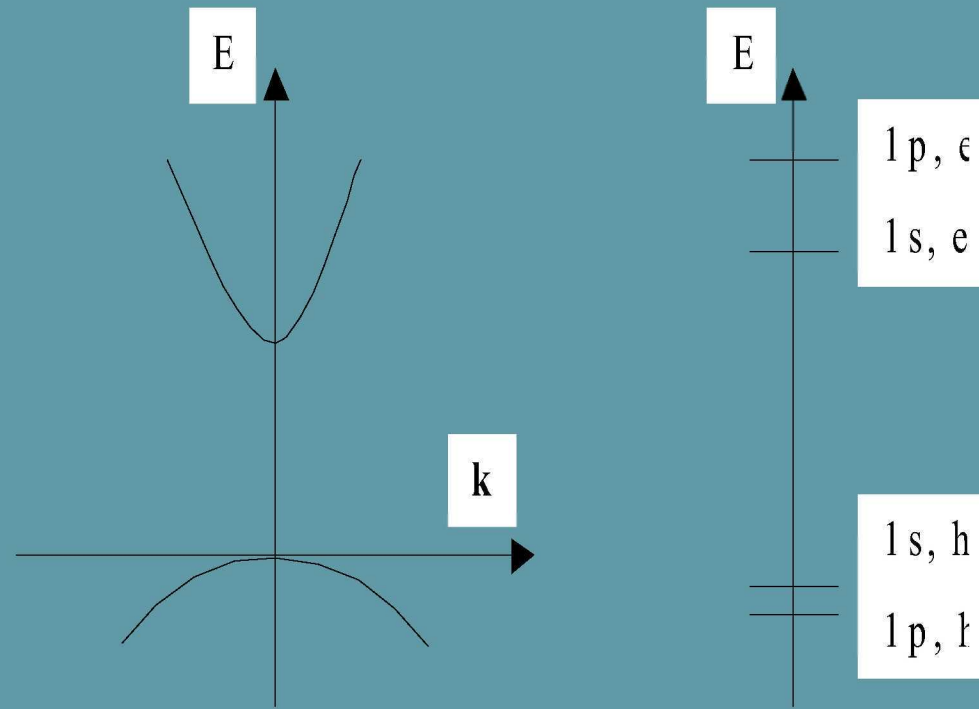
- QD result of the nanocrystal being smaller than the bulk semiconductor Bohr exciton diameter.
- By forcing the electron and hole to occupy a space smaller than the normal equilibrium distance in the bulk material (dotted line), it takes more energy to promote the electron from the valance band to the conduction band
- Hence the smaller the nanocrystal is the larger the band gap of the material is and the bluer the emission from the nanocrystals is.
- Nanocrystals have mainly interesting properties resulting from quantum confinement effect
-



ELECTRONIC STATES IN NANOCRYSTALS



Bulk semiconductor QD (e : electron, h : hole).



Bulk semiconductor

QD

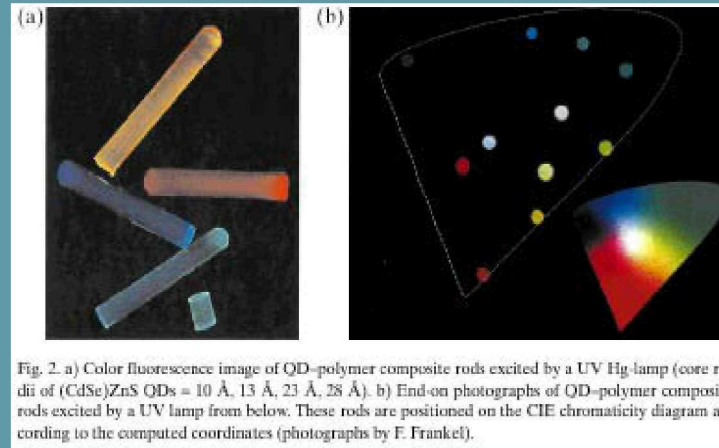
(e : electron, h : hole).

- Nanometer-size crystals of inorganic solids are the topic of much current research area in materials physics and chemistry.
- The physical properties of such crystals vary systematically as a function of size, according to scaling laws.
- **Nanoscience** attempts to make and organize materials **on the 1-10 nm length scale**, and also to understand the evolution of the bulk properties from the molecular properties in this region.
- Very small clusters are essentially molecules with chemical bonding different from that in the bulk.

As a cluster grows, it will at some size adopt the unit cell and bonding of the bulk lattice – Such particles are **NANOCRYSTALS** or **QUANTUM DOTS (QDs)**.

Nanocrystals are crystalline matter that is very finely divided, but it is still large compared with the atomic limit!

- ◆ The emission from a nanocrystal comes at a very specific energy, depending on the size.
- ◆ Nanocrystals may be thought of as a new class of tunable dye molecules



Full Color Emission from II-VI Semiconductor Quantum Dot-Polymer Composites

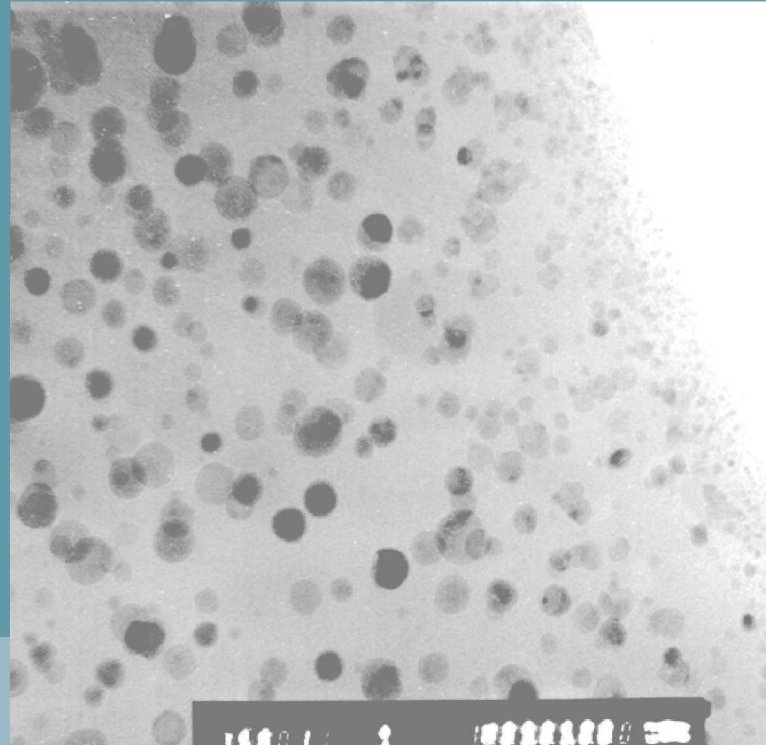
By Jinwook Lee, Vikram C. Sundar, Jason R. Heine, Mounji G. Bawendi, and Klavs F. Jensen



A family of Qdot particles can be made to emit a full spectrum of colors when excited with a single excitation source.

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**The magnetron Rf-Sputtering technique has been applied to the fabrication of small crystal-size of:
CdS-doped SiO₂ films; CdS-doped Al₂O₃ films
(Braga)**



TEM image of CdS-annealed film (R#A8-3). The mean diameter is ~6nm.

Spherical Potentiel Well

$$\left(-\frac{\hbar^2}{2m^*} \nabla^2 + V_w(\vec{r}) \right) F(\vec{r}) = E F(\vec{r})$$

$$V_w(\vec{r}) = \begin{cases} 0 & \text{pour } r < R \\ V_0 & \text{pour } r > R \end{cases} \quad F_{n,l,m}(\vec{r}) = \phi_{n,l,m}(r) Y_l^m(\theta, \varphi)$$

$$-\frac{1}{r} \frac{d^2}{dr^2} (r \phi_{n,l,m}(r)) + \left(\frac{l(l+1)}{r^2} - k^2 \right) \phi_{n,l,m}(r) = 0 \quad r < R$$

$$-\frac{1}{r} \frac{d^2}{dr^2} (r \phi_{n,l,m}(r)) + \left(\frac{l(l+1)}{r^2} + \kappa^2 \right) \phi_{n,l,m}(r) = 0 \quad r > R$$

The solutions which are regular in the origin and at infinity are given by the spherical Bessel functions $j_l(kr)$ and $h_l(kr)$

$$V_0 \rightarrow \infty \quad \phi_{n,l,m}(r) = \sqrt{\frac{2}{R^3}} \frac{j_l(kr)}{j_{l+1}(kR)} \quad j_l(\alpha_{n,l}) = 0 \quad k = \frac{\alpha_{n,l}}{R}$$

$$E_{n,l} = \frac{\hbar^2}{2m^*} \frac{\alpha_{n,l}^2}{R^2}$$

Optical Transitions in a QD

$$V_0 \rightarrow \infty$$

$$E_{e,nlm} = E_g + \frac{\hbar^2}{2m_e^*} \left(\frac{\alpha_{n,l}}{R} \right)^2$$

$$E_{h,nlm} = \frac{\hbar^2}{2m_h^*} \left(\frac{\alpha_{n,l}}{R} \right)^2$$

En absence d'interaction coulombienne, l'état de plus basse énergie de la paire électron-trou a une énergie plus grande que le gap du semiconducteur massif d'une quantité

$$\Delta E = \frac{\hbar^2}{2\mu} \left(\frac{\pi}{R} \right)^2 = E_{ex} \left(\frac{\pi a_B}{R} \right)^2$$

Dans les petits QD l'énergie nécessaire à la création d'une paire électron-trou est donnée par:

$$R \ll a_B \quad \hbar\omega = E_g + E_{ex} \left(\frac{\alpha_{n,l} a_B}{R} \right)^2$$

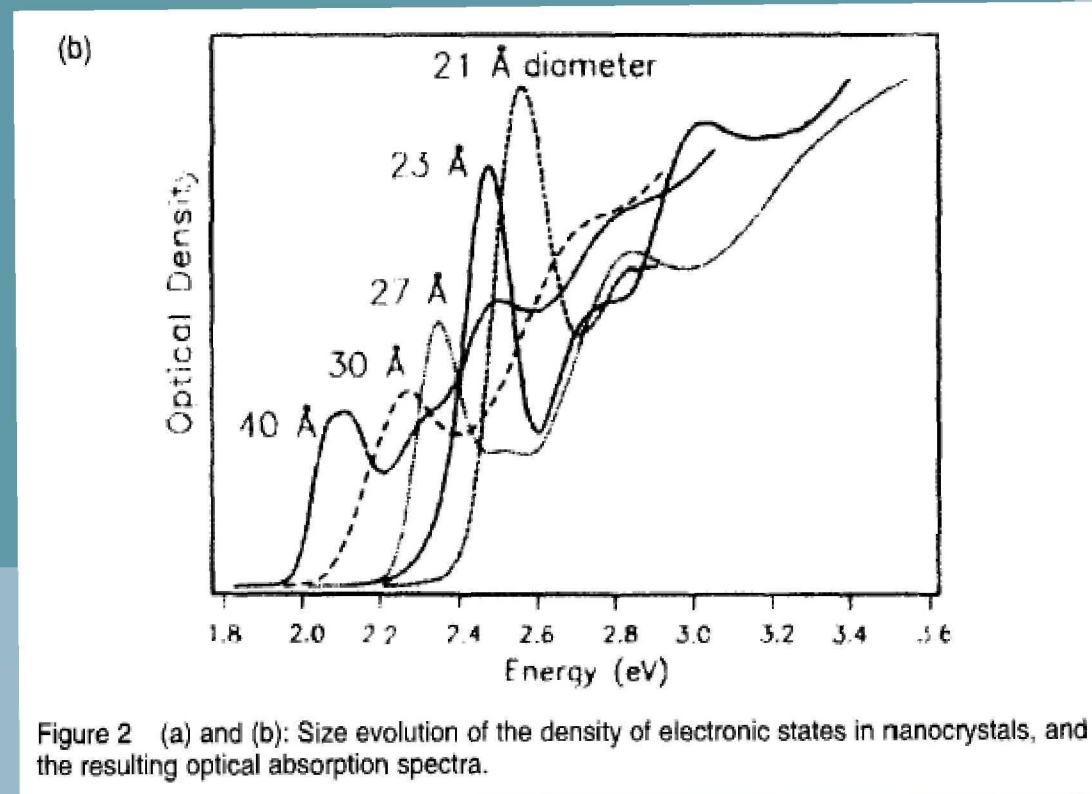
CONFINED ELECTRONIC STATES IN SEMICONDUCTOR QDS

Semiconductors quantum dots (QDs) possess discrete excitonic and phonon spectra. For a spherical QD of radius R , the excitonic spectrum in the effective mass approximation (EMA) is well known [Ekimov A.I. *et al*, *JOSA (B)* (1993)]:

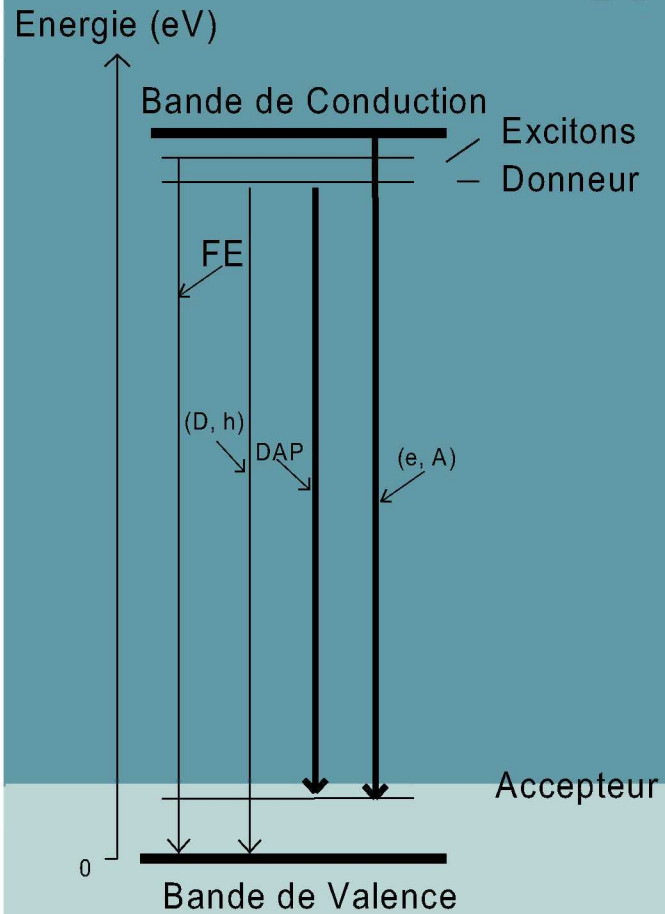
$$E(R) = E_g + \frac{\hbar^2 \alpha_e^2}{2m_e^* R^2} + \frac{\hbar^2 \alpha_h^2}{2m_h^* R^2} - 1.786 \frac{e^2}{\kappa R} - 0.248 E_{ex}$$

where E_g is the bulk band gap energy, R is the radius of the nanoparticle which assume to be spherical, α_e and α_h are the roots of a certain characteristic equation, e is the electron charge and \hbar is the Plank's constant, m_e^* and m_h^* are the effective masses of the electron and hole, and κ and E_{ex} are the relative dielectric constant and bulk exciton energy of the QD material.

- ◆ The systematic variation of the density of electronic states as a function of the size is seen clearly in the optical absorption spectra of semiconductors.
- ◆ In smaller nanocrystals, the threshold energy for absorption is shifted to higher energy (lower wavelength), and the spectra start to develop discrete features.

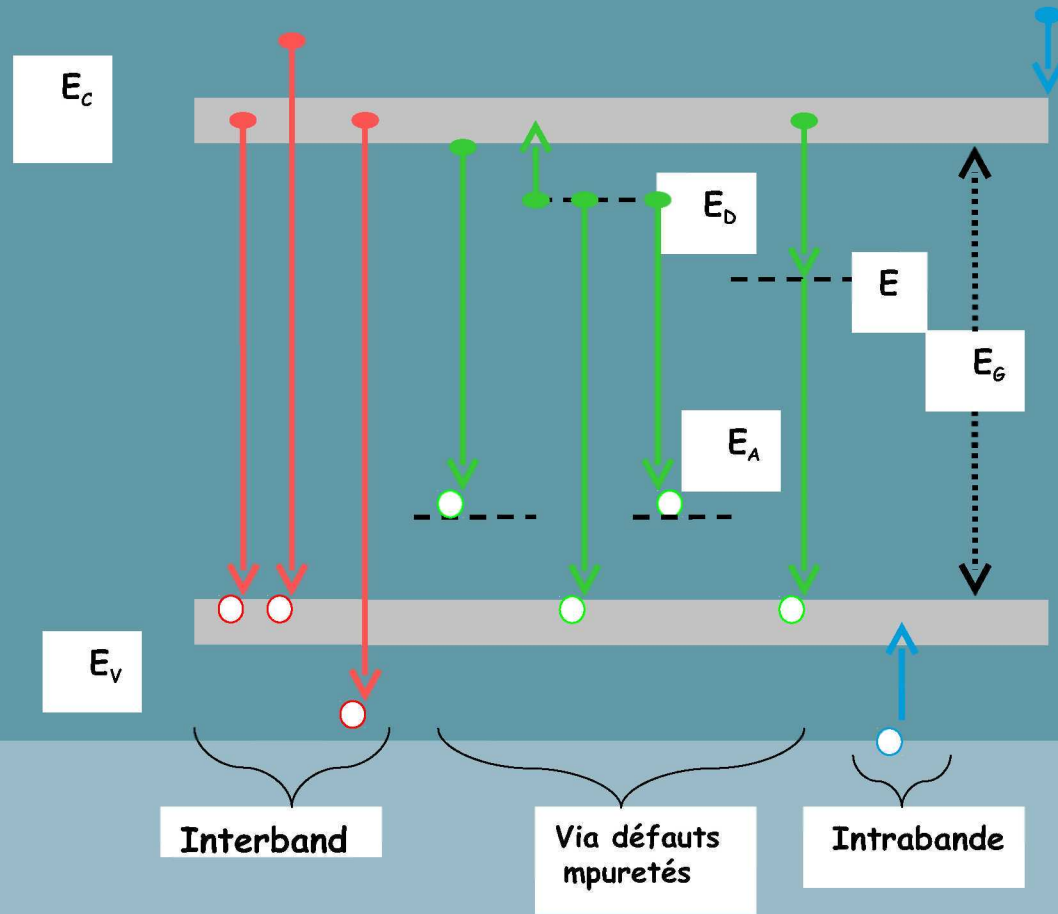


OPTICAL EXCITATION



- ◆ Exciton: bound electron-hole pair (EHP)
Excite semiconductor → creation of EHP
- ◆ There is an attractive potential between electron and hole
 $m_h^* > m_e^* \Rightarrow$ hydrogenic system
Binding energy determined from Bohr Theory
- In QDs, excitons generated inside the dot
- ◆ The excitons confined to the dot
 - Degree of confinement determined by dot size
 - Discrete energies

Optical Transitions



Les trois principales transitions optiques au sein d'un semiconducteur

Transition bande à bande (sc à gap direct)

- Le coefficient d'absorption est donné par:

$$\alpha(h\nu) = A^* (h\nu - E_g)^{1/2}$$

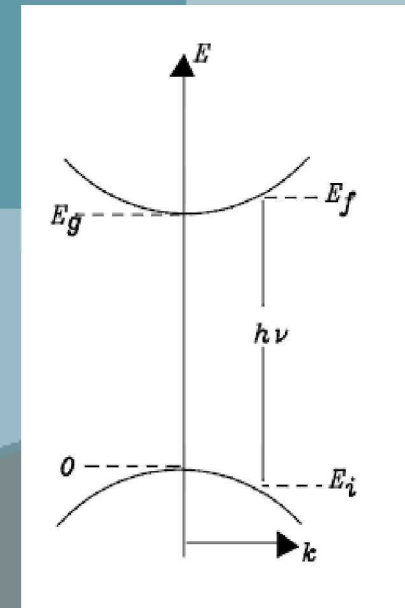
$$A^* \approx \frac{q^2 (2m_r)^{3/2}}{nch^2 m_e^*}$$

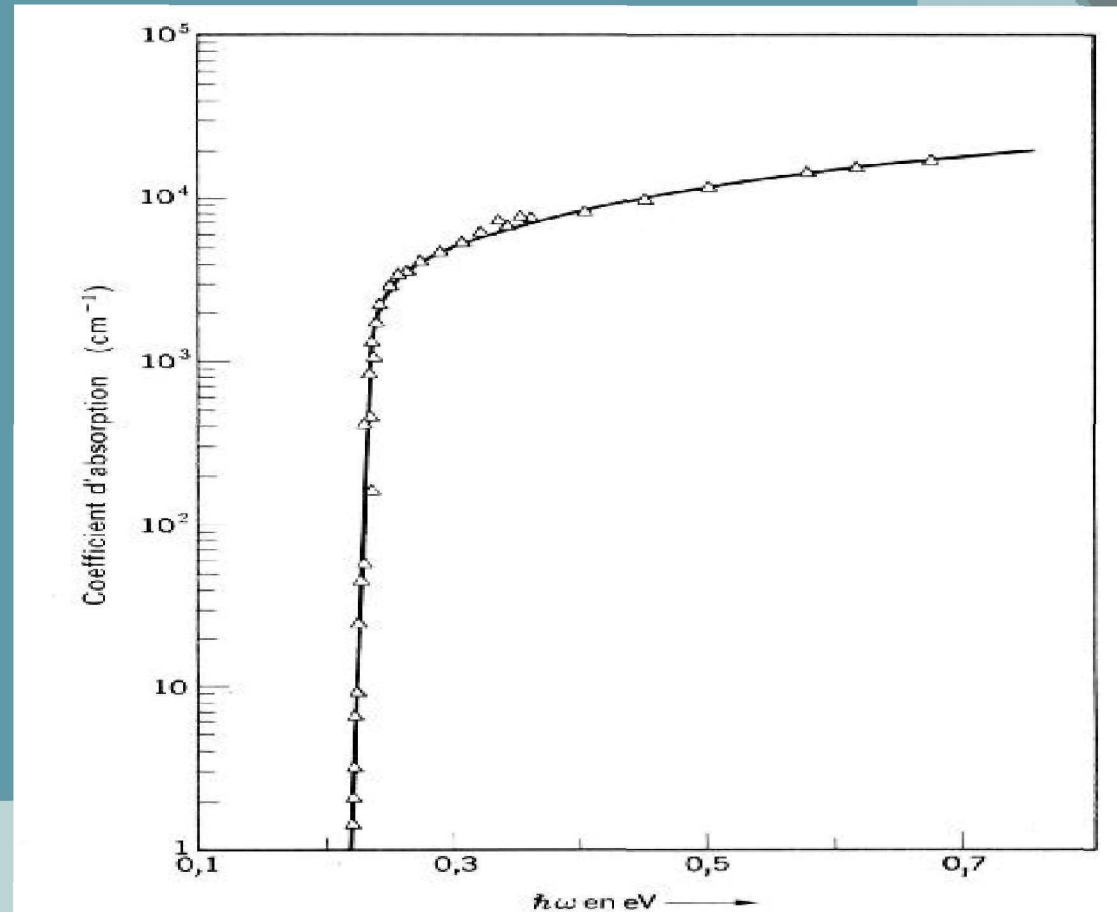
- Pour une bande parabolique, on a:

$$E_f - E_g = \frac{\hbar^2 k^2}{2m_e^*}$$

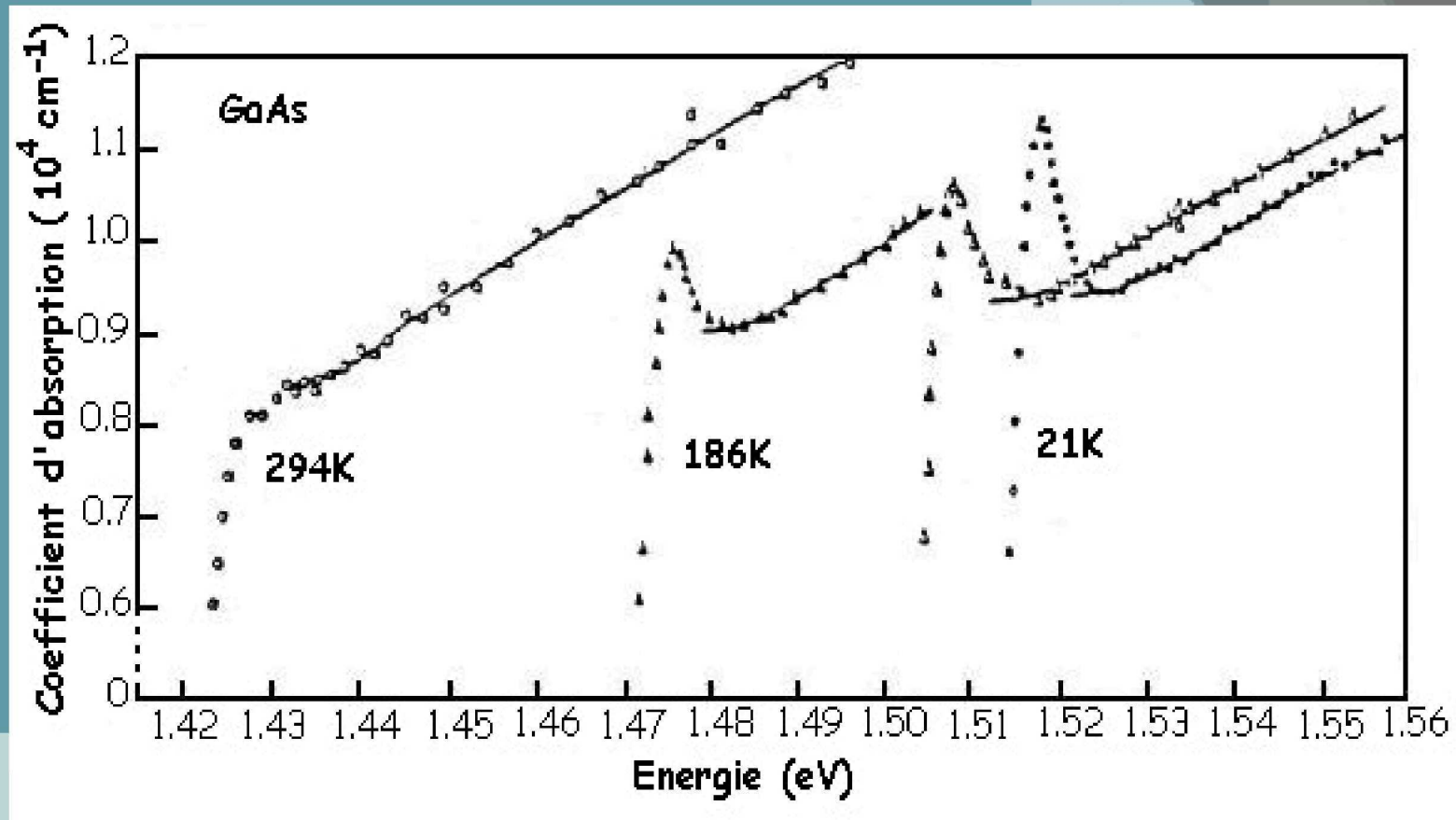
$$E_i = \frac{\hbar^2 k^2}{2m_h^*}$$

$$h\nu - E_g = \frac{\hbar^2 k^2}{2} \left(\frac{1}{m_e^*} + \frac{1}{m_h^*} \right)$$





absorption optique dans l'antimoniure d'indium pur (InSb) qui est un
semiconducteur à gap direct



Absorption par exciton dans le cas du semiconducteur à gap directe gaas. on observe un pic très prononcé qui s'élargit lorsque la température est augmentée.

Absorption coefficient of magneto-donor in a Quantum Dots

$$\alpha(\omega) = \frac{\pi e^2}{n_r c \epsilon_0 m^{*2} \omega V} \sum_{i,f} |\langle f | H_{int} | i \rangle|^2 \delta(E_f - E_i - \omega)$$

with

$$H_{int} = \varepsilon \cdot \left(p + \frac{e}{c} A \right)$$

$|i\rangle$ is the initial state of the donor (occupied) and E_i is its corresponding energy

$|f\rangle$ is the final state (empty) and E_f is its corresponding energy

$$H \psi_i(\mathbf{x}, y, z) = E(\eta) \psi_i(\mathbf{x}, y, z)$$

$$H = T + V + W + M + V_w(\mathbf{x}, y, z)$$

Kinetic Energy:

$$T = -\Delta$$

$$R^* = \frac{m^* e^4}{2\epsilon^2 \hbar^2}$$

Coulomb Energy

$$V = -\frac{2}{r}$$

$$a^* = \frac{\epsilon \hbar^2}{e^2 m^*}$$

Electric Energy

$$W = \eta \cdot z$$

$$\eta = \frac{e a^* F}{R^*}$$

Magnetic Energy

$$M = \frac{\gamma^2}{4} (x^2 + y^2) + \gamma L_z$$

$$\gamma = \frac{\hbar e B}{2R^* m^* c}$$

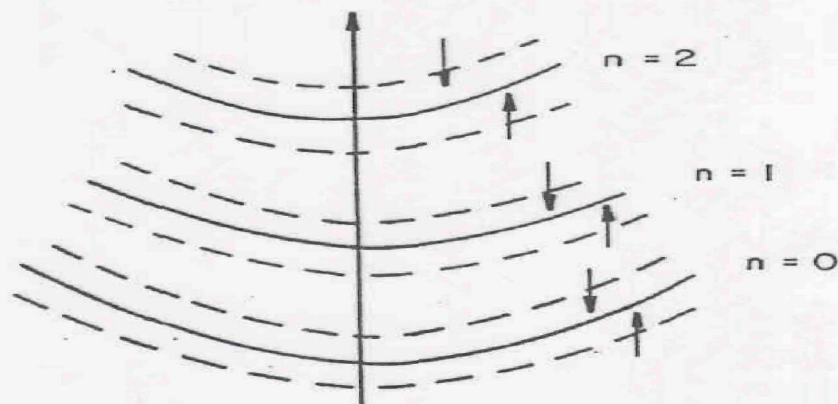
→ Niveaux de Landau : e^- de la B.C (par de terme Coulombien)

$$H_0 \rightarrow \frac{\hbar^2}{2m^*} (-i\nabla + \frac{e}{\hbar c} \vec{A}_0)^2 + \frac{1}{2} g_0 \mu_B \vec{B}_0 \cdot \vec{\sigma}$$

$$\vec{B}_0 = \nabla \times \vec{A}_0, \quad \vec{B}_0 = B_0(0,0,1)$$

$$E_n(k_\zeta) = \frac{\hbar e B_0}{m^* c} (n + \frac{1}{2}) + \frac{1}{2} g_0 \mu_B B_0 (\pm 1) + \frac{\hbar^2 k_\zeta^2}{2m^*}$$

$n = 0, 1, 2, \dots$

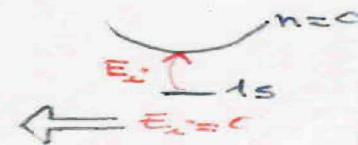


Pour le niveau de Landau de plus basse énergie ($n=0, k_y=0$) la fonction d'onde est donnée par:

$$|\psi_0\rangle = \sqrt{\frac{\gamma}{2\pi}} e^{-\frac{\gamma}{4} (x^2 + y^2)}$$

résultat obtenu également à partir de la fct d'onde de Y.K.A en passant à la limite:

$$\begin{cases} a_x = 1/\sqrt{\gamma} \\ a_y = a \quad (\text{i.e. } \epsilon = \frac{a^2}{a_0^2} = 0) \end{cases}$$



Comportement dans un champ magnétique

(ξ, η, ζ)
 $\vec{B}_0 \parallel \zeta$

$$H_0 = -\nabla^2 - \frac{2}{r} + \gamma L_{\zeta} + \frac{1}{4} \gamma^2 (\xi^2 + \eta^2)$$

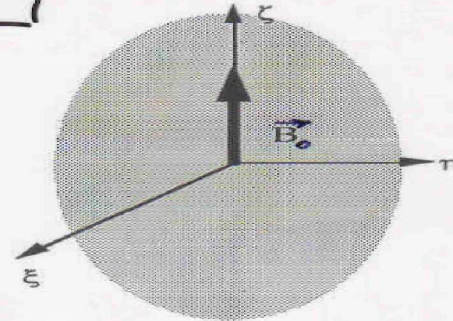
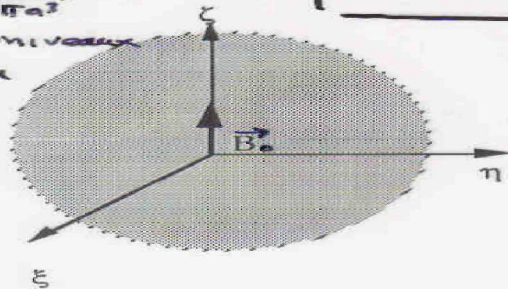
$$\gamma = \frac{\hbar \omega_c}{2R^*} = \mu_B \frac{m_0}{m^*} \frac{B_0}{R^*}$$

- énergie: $R^* = \frac{m^* e^4}{2.55 \hbar^2}$
 - longueur: $a^* = \frac{E_0 \hbar^2}{m^* e^2}$
- $\{ B_0 \text{ Labo.} \}$
 $\{ m^*, E_0 \text{ (S.C.)} \}$

$$\boxed{\gamma \propto \frac{B_0 E_0^2}{m^{*2}}}$$

$\gamma \ll 1$: Atome d'hydrogène
 $\psi_{\text{sph}}(r) = \frac{1}{\sqrt{\pi a^3}} e^{-r/a}$

$\gamma \gg 1$: Les niveaux de Landau (en absence du potentiel Coulombien: e- de la B.C.)



InSb: $B_0 = 10 \text{ T}$ \rightarrow $\gamma = 80$
 $m^* = 0.01 m_0$

a_t et a_l sont des paramètres variationnels

$$|0\rangle = \frac{1}{\sqrt{2^3 \pi^3 a_t^2 a_l}} \exp - \left[\frac{\xi^2 + \eta^2}{4a_t^2} + \frac{\zeta^2}{4a_l^2} \right]$$

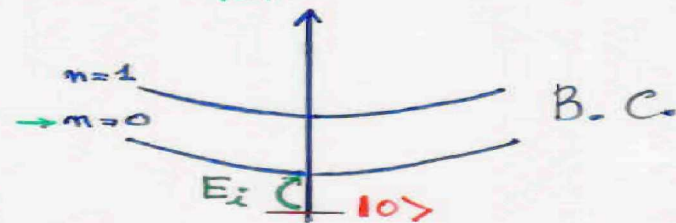
Fonction d'onde de Y.K.A (1956)
Fct d'onde type oscillateur

Relations de passage au niveau de Landau de plus basse énergie

$$a_t = \frac{1}{\sqrt{8}}$$

$$a_l = \infty \left(E = \frac{a_t E_0}{a_l} = 0 \right)$$

$$|m=0\rangle = \sqrt{\frac{\gamma}{2\pi}} e^{-\frac{\gamma}{4}(\xi^2 + \eta^2)}$$



γ	col. 1.		col. 2			col. 3			Col. 4	Col. 5	Col. 6	Col. 7	Col.
	E	a	E	a_t	a_l	E	a_t	a_l	E	E	E	E	E
0.1	-.995	.995	-.995	.995	.997	-.844	.934	.936		-.995	-.995	-.995	
0.2	-.980	.981	-.980	.978	.988					-.981	-.981	-.981	
0.3	-.957	.972	-.957	.958	.976					-.958	-.957	-.958	
0.4	-.925	.938	-.926	.928	.960					-.929	-.925	-.929	
0.5	-.887	.931	-.888	.901	.945	-.750	.814	.887		-.894	-.841	-.894	
0.6	-.842	.888	-.845	.874	.929					-.853	-.835	-.855	
0.7	-.792	.863	-.797	.847	.912					-.810	-.787	-.814	
0.8	-.738	.841	-.744	.823	.900					-.762	-.710	-.765	
0.9	-.650	.818	-.689	.800	.855					-.712	-.634	-.715	
1	-.617	.798	-.629	.778	.873	-.524	.728	.820	-.661	-.659	-.551	-.662	-.364
2	.135	.648	.076	.624	.777	.092	.583	.727		-.035		-.044	
3	1.028	.559	.901	.536	.717	.809	.499	.671		0.686		0.671	
4	2.000	.487	1.787	.477	.675	1.580	.444	.631		1.459		1.438	
5	3.022	.456	2.713	.435	.645	2.385	.357	.614	2.25	2.265		2.239	
10	8.548	.339	7.650	.319	.561	6.669	.297	.516					6.659
20	20.495	.248	18.143	.231	.472	15.769	.215	.441					15.694
30	26.681	.223	23.526	.208	.449	20.435	.193	.419	20.627				
40	32.951	.205	28.952	.191	.431	25.145	.177	.403					25.024
50	39.232	.179	34.232	.166	.404	30.656	.155	.385					34.496
60	45.669	.161	40.999	.147	.384	36.245	.139	.359					44.053
70	52.131	.147	47.131	.136	.369	41.891	.127	.341					53.669
80	58.665	.137	53.311	.126	.356	47.595	.116	.341					63.329
90	65.242	.133	59.715	.122	.352	53.432	.110	.325					73.021
100	71.836	.128	66.027	.118	.347	59.294	.104	.316	70.543				82.740
100	111.066	.121	95.772	.112	.338	83.037	.097	.309					92.480
100	124.346	.115	107.041	.106	.330	92.800	.097	.309					92.480

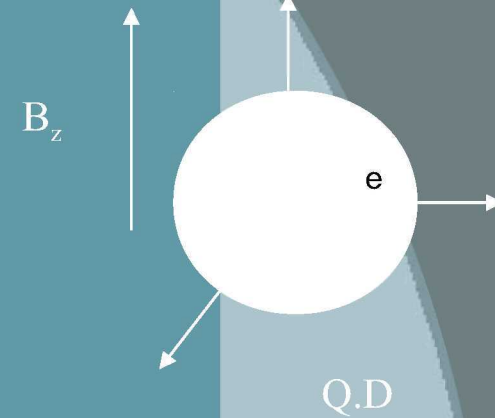
$\frac{1}{\sqrt{\pi a^3}} e^{-x/a}$ sph.
 $A \exp\left[-\left(\frac{x^2+y^2}{a_t^2} + \frac{y^2}{a_l^2}\right)^{1/2}\right]$ cylind.
 $A \exp\left[-\left(\frac{x^2+y^2}{4a_t^2} + \frac{y^2}{4a_l^2}\right)^{1/2}\right]$ Y.K.A.
 "modèle à 4 paramètres" Larsen.
 "modèle cylind." Cabib.
 "modèle NUM. NUM." Cabib Rospigione.
 fct d'onde de DEKTER: $A \exp\left[-\left(\frac{x^2+y^2}{a_t^2} + \frac{y^2}{a_l^2}\right)^{1/2}\right]$ (3 param.).

- Col. 1 = Fonction sphérique 2.58.
- Col. 2 = Fonction hydrog. cylindrique 2.62
- Col. 3 = Fonction de Yafet et al.²⁵ 2.65.
- Col. 4 = Résultats de Larsen.²⁰
- Col. 5 = Résultats de Pokatilov-Rusanov.²⁵
- Col. 6 = Résultats de Brandi.²⁷
- Col. 7 = Résultats de Cabib-Fabri-Fiorio.²⁸
- Col. 8 = Résultats de ...

Initial state

$$H = -\Delta - \frac{2}{r} + \gamma L_z + \frac{1}{4} \gamma^2 r^2 \sin^2(\theta) + V(\vec{r})$$

$$V(r) = \begin{cases} 0 & r \leq R \\ \infty & r > R \end{cases} \quad \gamma = \frac{e\hbar B}{2m^* c R_B^*} \quad a_B^* = \frac{\hbar^2 \epsilon_0}{m^* e^2} \quad R_B^* = \frac{e^2}{2\epsilon_0 a_B^*}$$



crystals	a_B^* (\AA°)	R_B^* (meV)	γ
CuCl	6.896	192.840	0.0145
CuBr	11.910	115.500	0.0431
CdS	24.981	33.882	0.190
CdSe	39.878	18.406	0.484
ZnS	9.824	140.831	0.029

Values of the effective units of length, energy and magnetic field for B=20T.

eigenfunction

$$\psi(r, \theta, \varphi) = \begin{cases} N_0(\lambda) \frac{J_{1/2}(k_{10}r)}{\sqrt{r}} \exp(-\lambda r) \exp\left(-\frac{1}{4}\gamma r^2 \sin^2(\theta)\right) & r < R \\ 0, & r \geq R \end{cases}$$

boundary condition

$$\psi(r = R, \theta, \varphi) = 0$$

eigenvalue

$$E_\lambda = \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle}$$

final state

$$H = -\Delta + \gamma L_z + \frac{1}{4} \gamma^2 r^2 \sin^2(\theta) + V(\vec{r})$$

$$H = H_0 + H'$$

$$H_0 = -\Delta + \gamma L_z + V(\vec{r})$$

$$H' = \frac{1}{4} \gamma^2 r^2 \sin^2(\theta)$$

$$H_0 \Phi_{nlm}(\vec{r}) = E_{nlm}^0 \Phi_{nlm}(\vec{r})$$

we use the second order perturbation theory

$$\Phi_{nlm}(\mathbf{r}) = N_{nl} Y_{\ell}^m(\theta, \varphi) \frac{J_{\ell+1/2}(k_{nl}r)}{\sqrt{r}}$$

$$E_{nlm} = E_{nlm}^0 + \frac{1}{4} \gamma^2 N_{nl}^2 \Lambda_{nl} \left(1 - \frac{(\ell+m+1)(\ell-m+1)}{(2\ell+1)(2\ell+3)} - \frac{(\ell+m)(\ell-m)}{(2\ell+1)(2\ell-1)} \right)$$

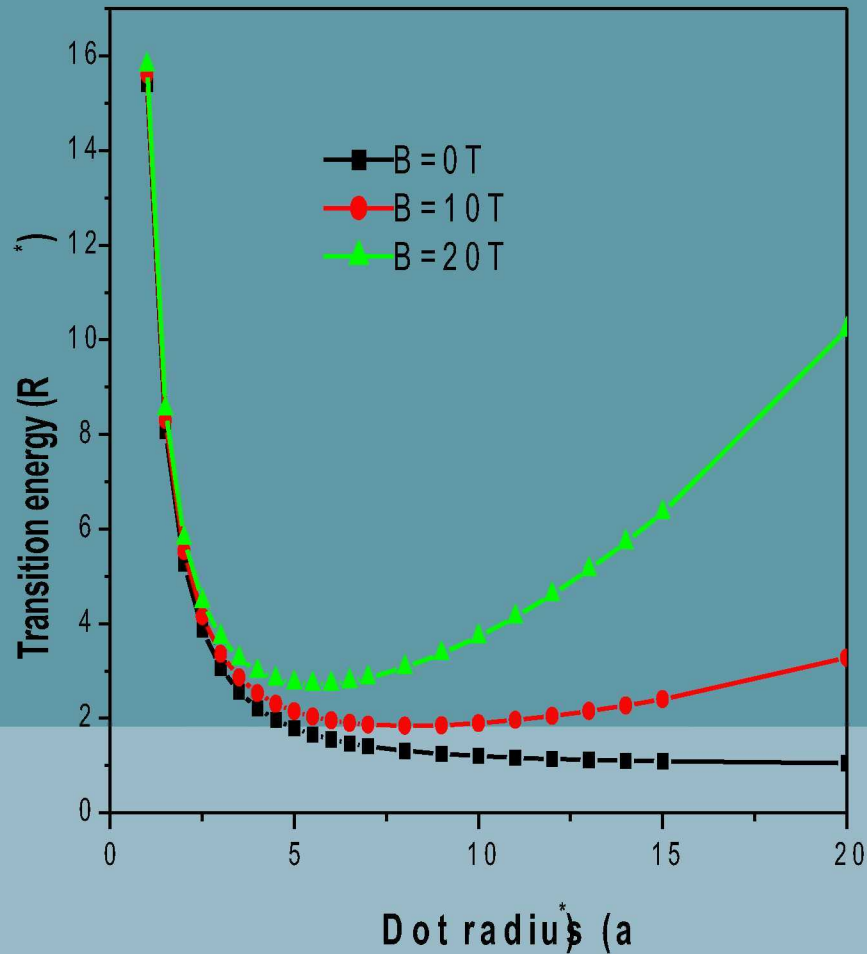
$$\Lambda_{nl} = R^4 \int_0^1 t^3 J_{\ell+1/2}^2(k_{nl}Rt) dt$$

$$E_{nlm}^0 = k_{nl}^2 + m\gamma$$

$$-\ell \leq m \leq +\ell$$

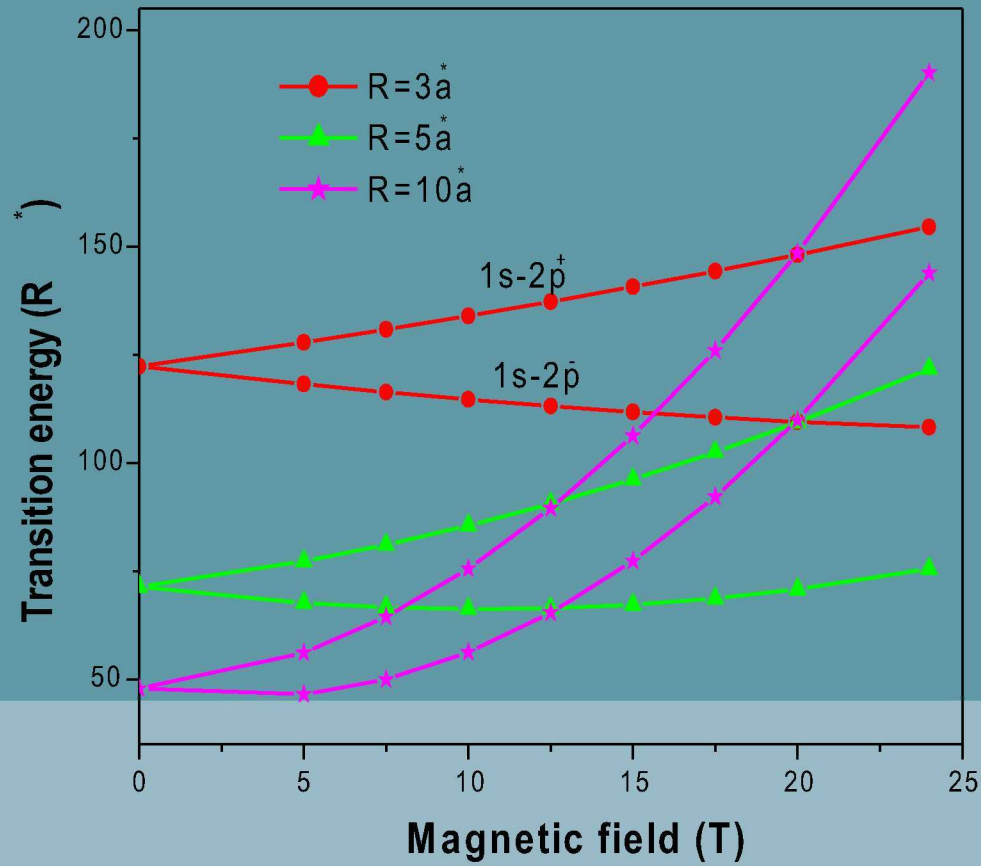
The quantities k_{nl} are given as solutions of the following equation

$$J_{\ell+1/2}(k_{nl}R) = 0$$



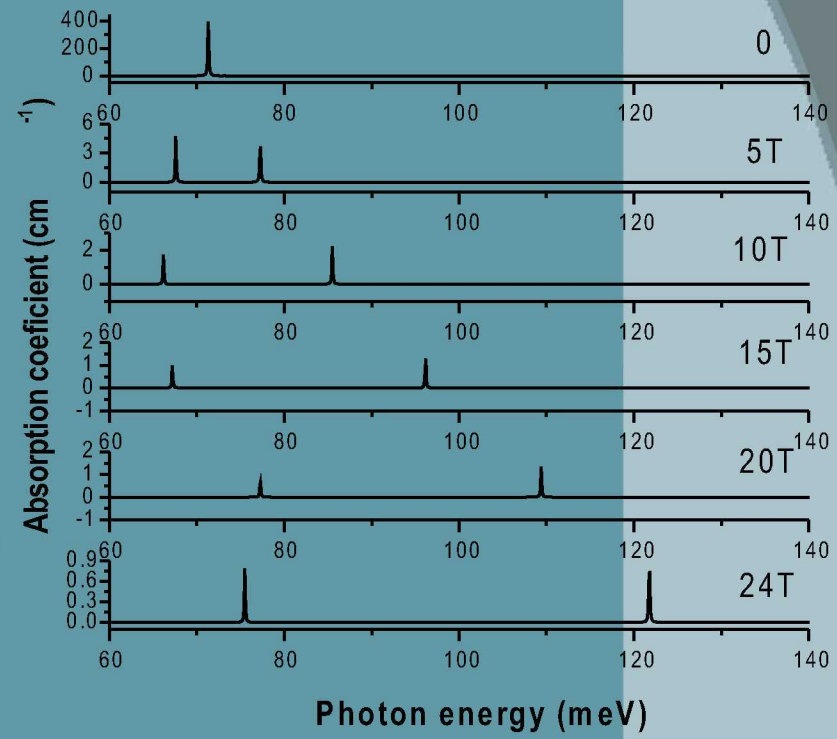
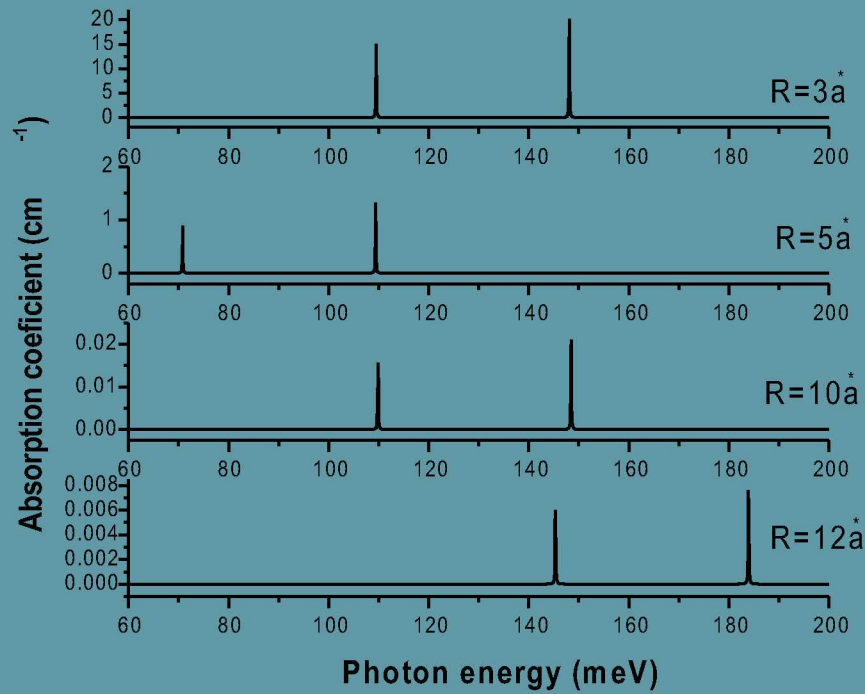
- $R < a^*$ and $\gamma < \frac{1}{R^2} \Rightarrow E_t \sim \% B$
- $\gamma = 1/R^2 \Rightarrow E_t \rightarrow$
- $R \gg a^*$ and $\gamma > \frac{1}{R^2} \Rightarrow E_t \nearrow$

The 1s-2p+ transition energy as function of a dot radius for a magnetic field from 0 to 20T.



- E_{1s2p^+} $\nearrow \nabla \gamma$ and R
- E_{1s2p^-} \nearrow if $\gamma > 1/R^2$
- E_{1s2p^-} \searrow if $\gamma < 1/R^2$

The $1s-2p^+$ transition energy and the $1s-2p^-$ transition energy as function of the magnetic field

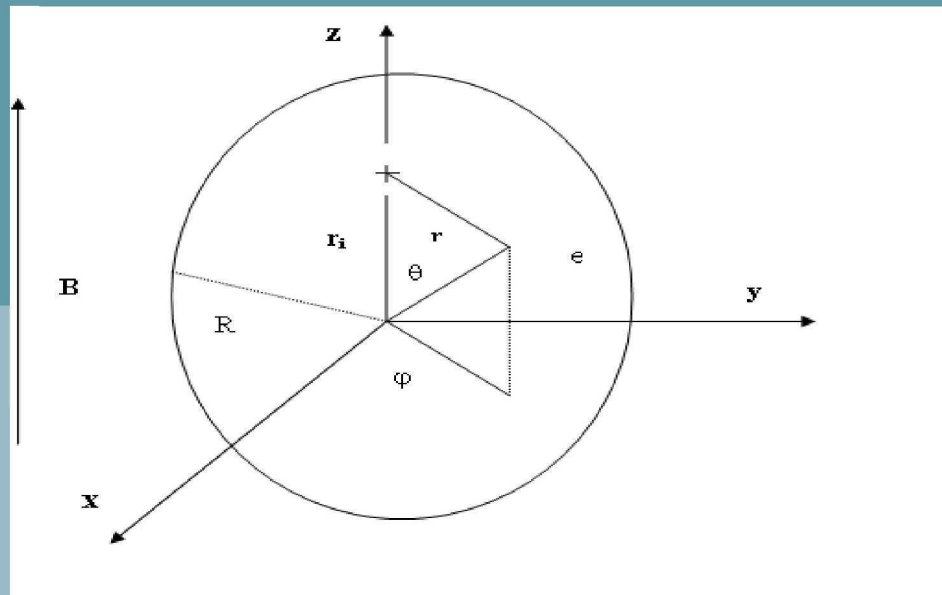


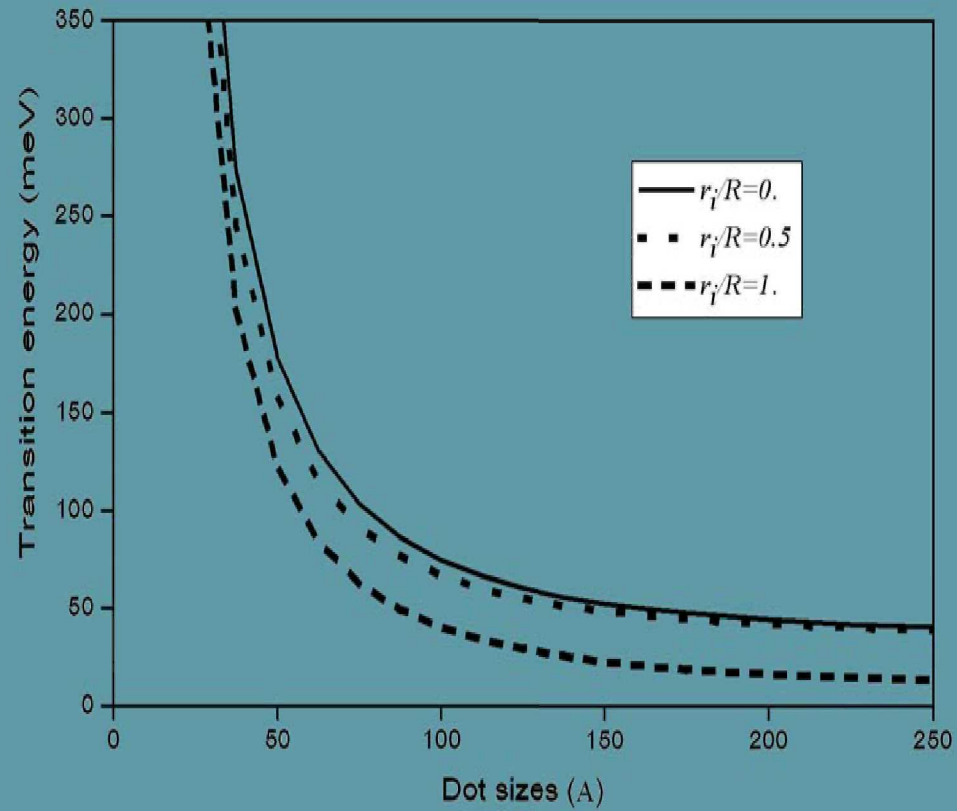
The application of the magnetic field induced reduction of the absorption peak and a displacement of the threshold energy.

Threshold \searrow if $\gamma < \frac{1}{R^2}$
 Threshold \nearrow if $\gamma > \frac{1}{R^2}$

The Impurity position effect

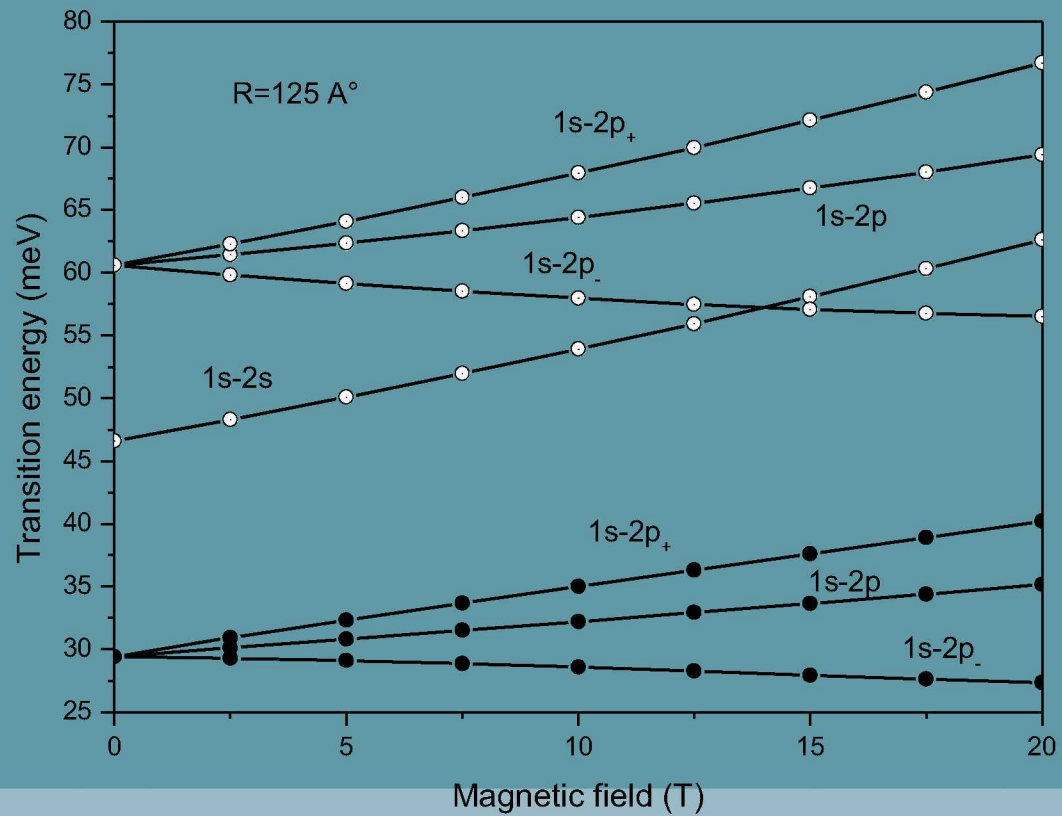
$$\varphi(r, \theta, \varphi) = \begin{cases} N(r_0, \lambda) \frac{J_{1/2}(k_{10}r)}{\sqrt{r}} \exp\left(-\lambda\sqrt{r^2 + r_i^2 - 2rr_i\cos(\theta)}\right) \exp\left(-\frac{1}{4}\gamma r^2 \sin^2(\theta)\right), & r \leq R \\ 0, & r > R \end{cases}$$





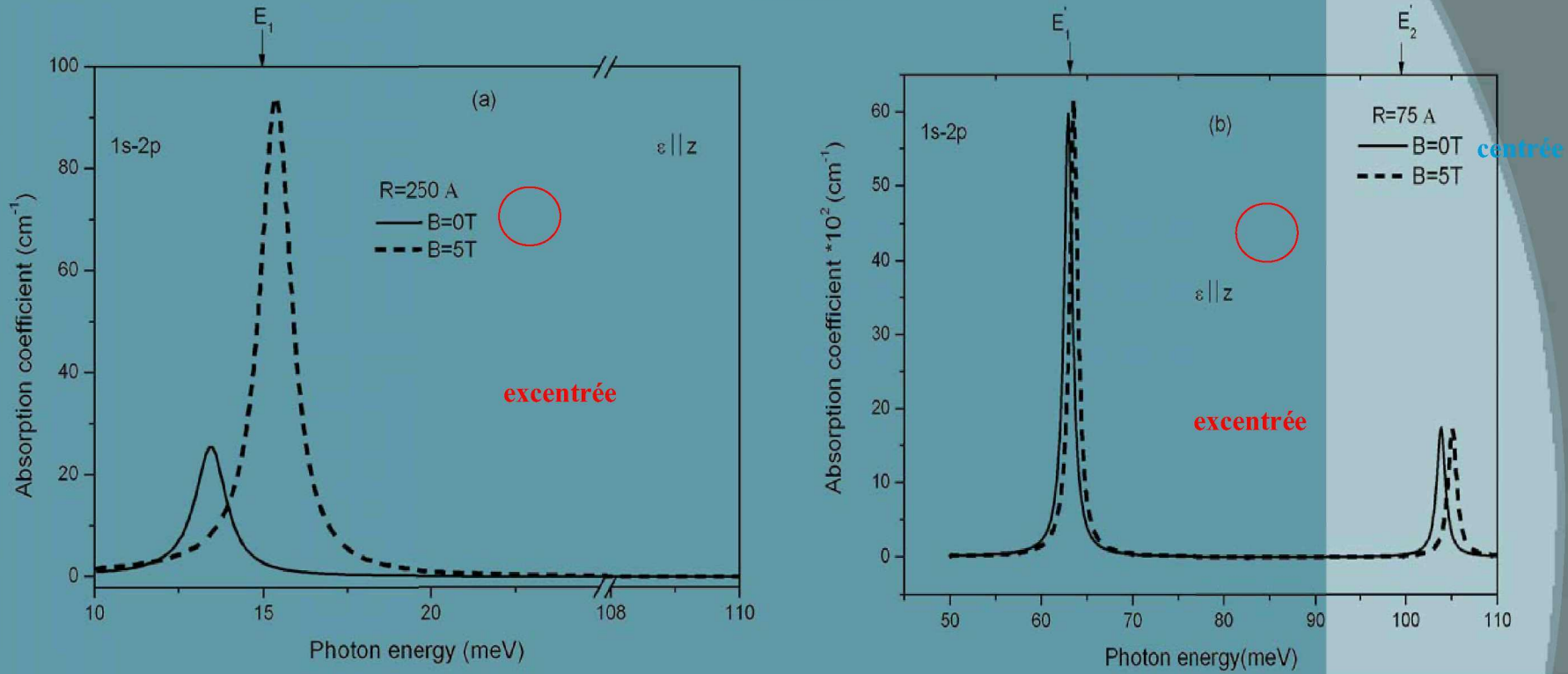
The 1s-2p transition energies as a function of the dot radii for different positions of the donor inside the CdS QD.

$$r_i/R = 0 \quad r_i/R = 0.5 \quad r_i/R = 1$$



- ⦿ The transition energies between as a function of the magnetic field, for an on-center donor (open circles) and for an off-center donor (full circles).

CdS

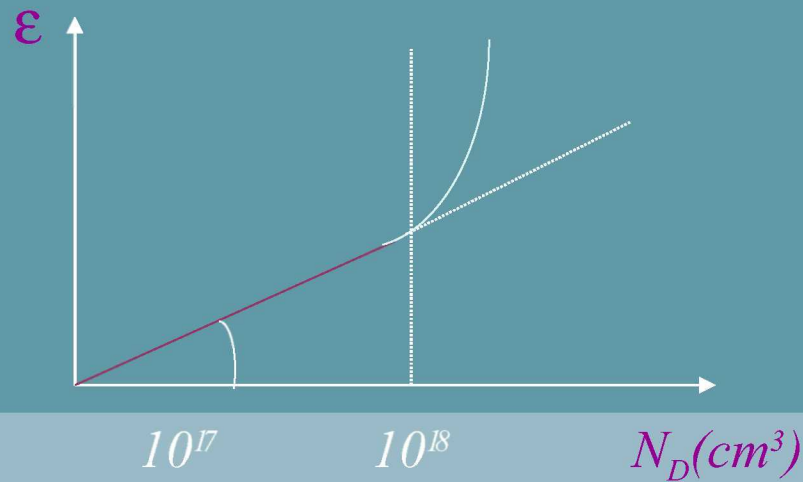


The peak value of the absorption coefficient for infinite CdS QD as a function of the photon energy for two magnetic field values $B=0T$ and $B=5T$ when the electromagnetic field is polarized parallel to r ; a) for a weak geometric confinement regime and b) for a strong geometric confinement regime. E_1 and E_2 correspond to transitions involving donor at the edge and at on-center of the QD respectively.

- ⦿ The donor-related absorption spectra presents essentially two peaks; one at higher energy associated with impurities located at the QD center, and another at lower energies corresponding to transitions involving donors at the QD edge.
- ⦿ The off center absorption peak is much larger
- ⦿ For large QD there is only one peak at low energy associated with off center donor.

Polarisability of magneto-donor in a Quantum Dots

Magnetocapacitance measurement at low frequency (Low temperatures)



$$\frac{\epsilon - 1}{\epsilon + 2} = \frac{\alpha_p}{3} N_D$$

Clausius Mossotti

Cristal	Si			Ge
Impurity	Sb	P	As	Sb
$\alpha_{\text{exp}} (10^5 \text{ \AA}^3)$	3.1 ± 0.3	2.4 ± 0.4	1.0 ± 0.1	68 ± 15

➤ Perturbation:

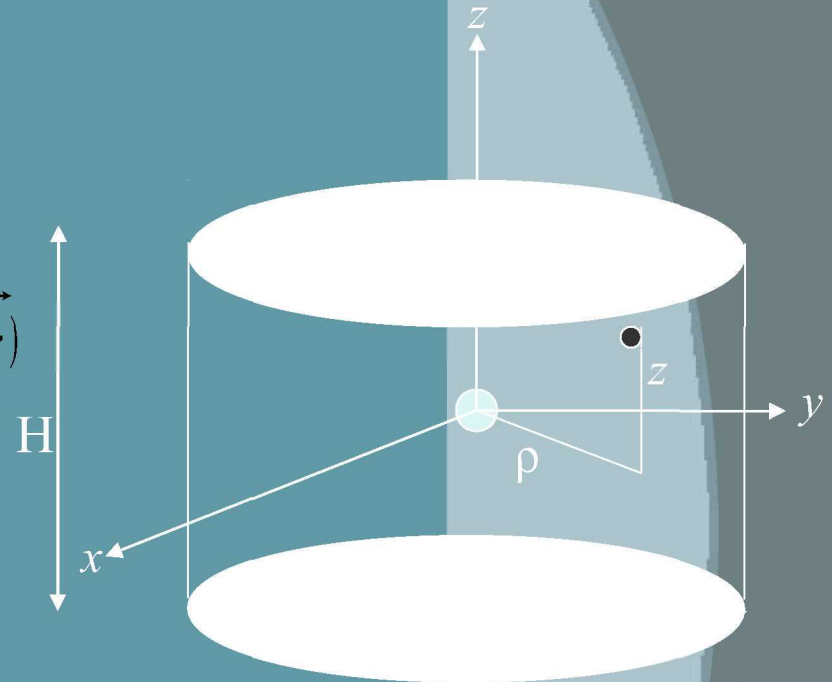
$$E(\eta) = E(0) - 1/2\alpha_p F^2 \quad \Rightarrow \quad \alpha_p = \lim_{\eta \rightarrow 0} \frac{E(\eta) - E(0)}{F^2}$$

➤ Dipole moment : $\alpha_p = \frac{P}{F}$

$$\Rightarrow \alpha = \frac{\langle -ez \rangle_{n^0} - \langle -ez \rangle_{n^0}}{F}$$

CYLINDRICAL QUANTUM DOT

$$H = -\nabla^2 - \frac{2}{\sqrt{\rho^2 + z^2}} + \eta z + \frac{\gamma^2}{4} \rho^2 + \gamma L_z + V_w(\vec{r})$$



$$V_w(\rho, z) = \begin{cases} 0 & \text{pour } \rho < R \text{ et } |z| < H/2 \\ V_0 & \text{pour } \rho > R \text{ et } |z| < H/2 \\ V_0 & \text{pour } |z| > H/2 \end{cases}$$

$$\psi_i(r) = \psi_0(\rho, z)(1 + \beta z)$$

Infinite potential

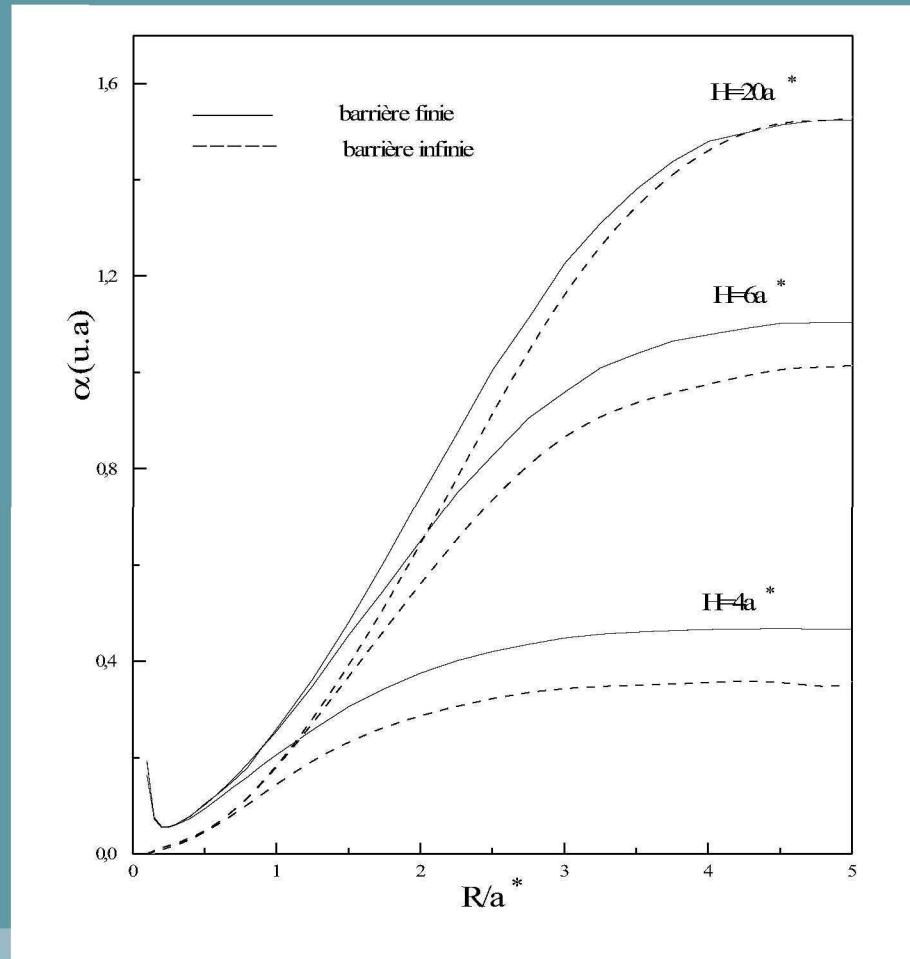
$$\psi_0(\rho, z) = NJ_0\left(\theta_0 \frac{\rho}{R}\right) \cos\left(\pi \frac{z}{H}\right) \exp\left(-\left(\frac{\rho^2}{8b^2} + \frac{z^2}{8a^2}\right)\right)$$

Finite potential

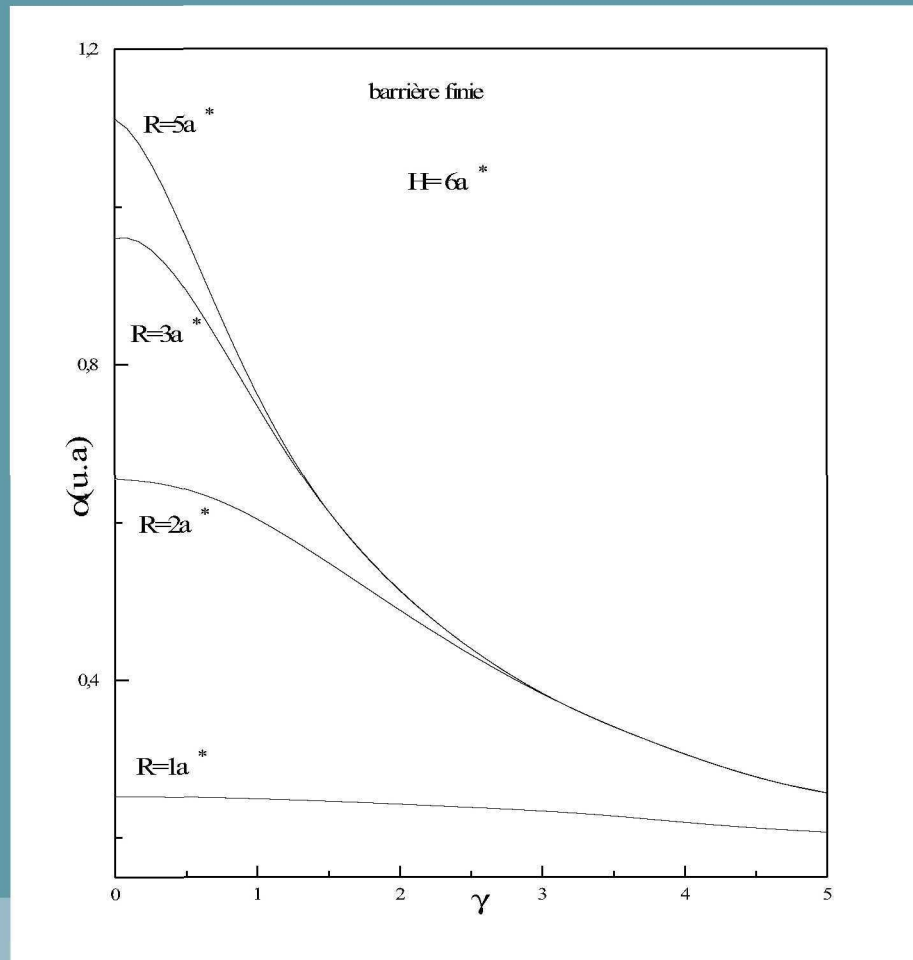
$$\psi_0(\rho, z) = N\phi(\rho)\phi(z) \exp\left(-\left(\frac{\rho^2}{8b^2} + \frac{z^2}{8a^2}\right)\right)$$

$$\phi(\rho) = \begin{cases} J_0(k_{1\rho}\rho) & \text{pour } \rho \leq R \\ A_\rho K_0(k_{2\rho}\rho) & \text{pour } \rho > R \end{cases}$$

$$\phi(z) = \begin{cases} \cos(k_{1z}z) & \text{pour } |z| \leq \frac{H}{2} \\ A_z \exp(-k_{2z}|z|) & \text{pour } |z| > \frac{H}{2} \end{cases}$$

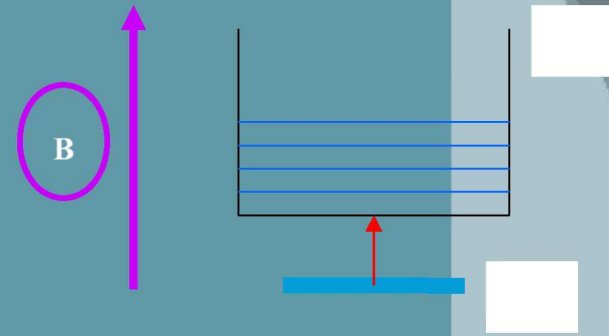
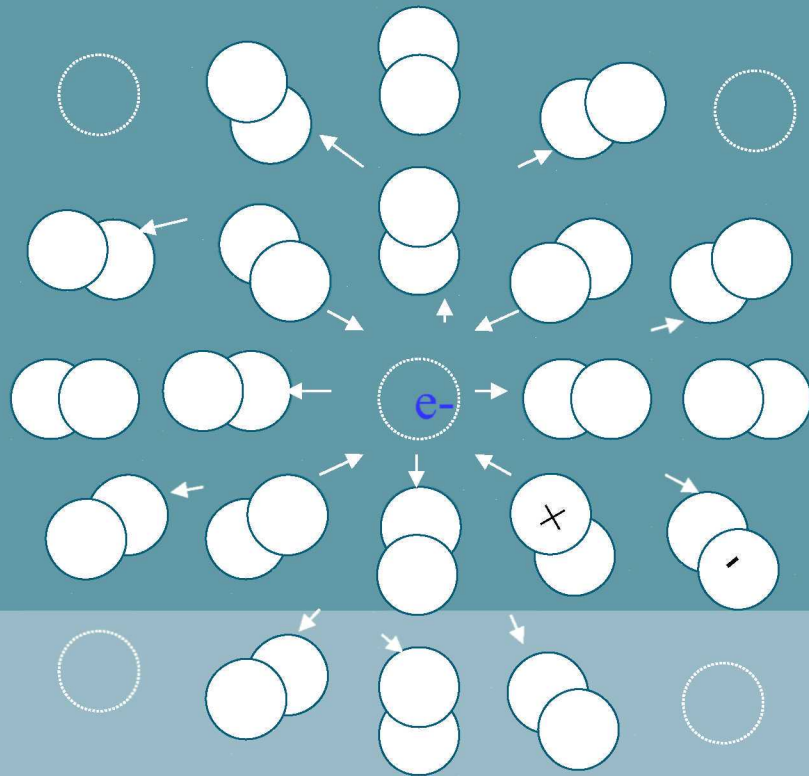


The polarizability values as a function of the dot radius and several values of length (finite and infinite barrier).



The polarizability as a function of the magnetic field intensity γ for several values of the radius R and for the length $H=6a^*$ (finite barrier case).

ELECTRON-PHONON INTERACTION



CuCl: $\alpha_0 = 2.45$

	III-V			II-VI		
	GaAs	GaP	InSd	CdTe	ZnSe	ZnS
α_0	0.067	0.201	0.023	0.315	0.448	0.694

Constant Couplage

$$\alpha_0 = \frac{1}{2} \frac{e^2 / (\hbar / 2m^* \omega_0)^{1/2}}{\hbar \omega} \left(\frac{1}{\epsilon_\infty} - \frac{1}{\epsilon_0} \right)$$

$$H = H_e + H_{LO} + H_{e-LO} + H_{ion-LO}$$

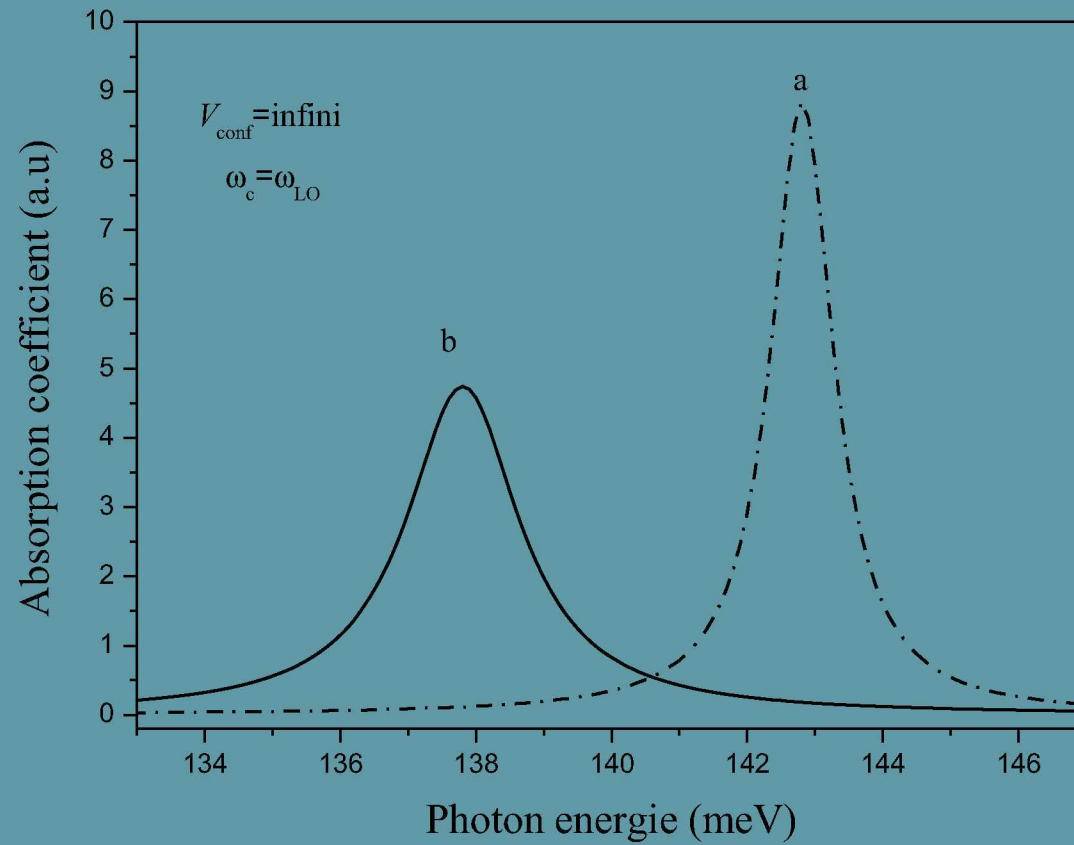
$$H_e = \frac{1}{2m_e^*} \left(\vec{p} + \frac{e}{c} \vec{A} \right)^2 - \frac{e^2}{\epsilon_\infty r} + V(\mathbf{r})$$

$$H_{LO} = \sum_{\mathbf{q}} \hbar \omega_{LO} a_{\mathbf{q}}^+ a_{\mathbf{q}}$$

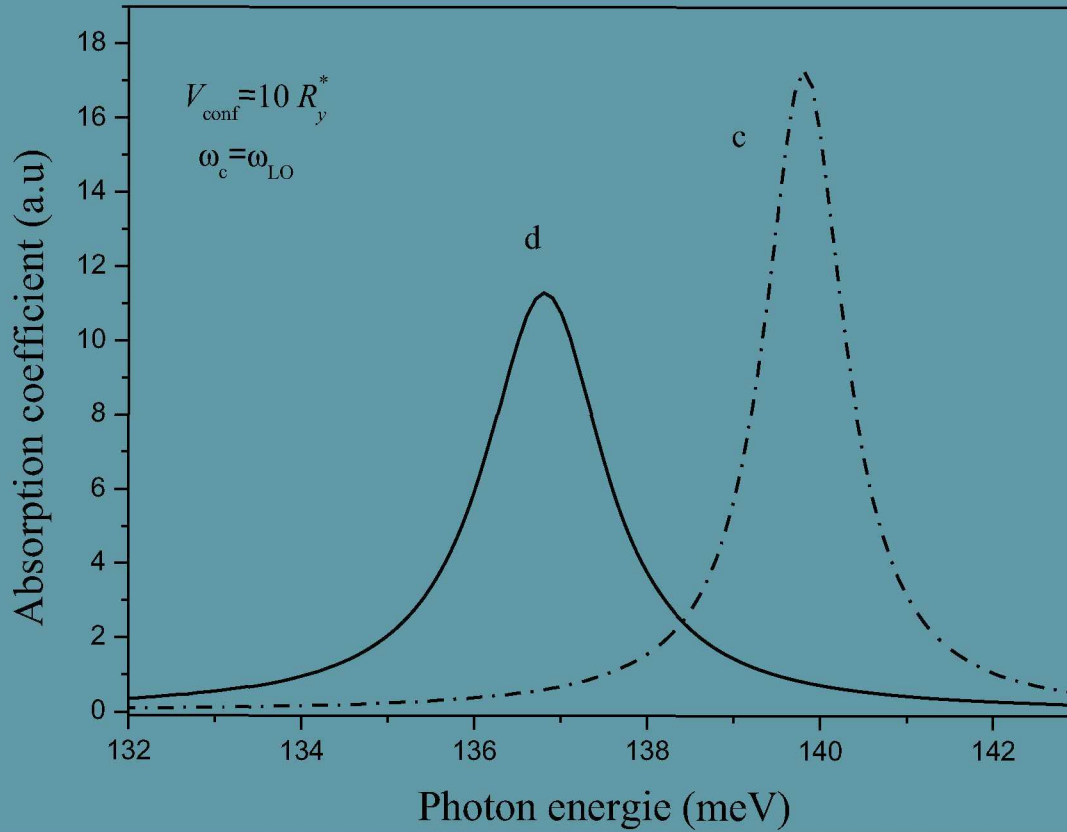
$$H_{e-LO} = - \sum_{qlm} V_l(q_l) j_l(q_l r_e) Y_{lm}(\theta, \varphi) a_{lm}(q_l) + hc$$

$$H_{ion-LO} = \sum_{qlm} V_l(q_l) j_l(0) Y_{lm}(\theta, \varphi) a_{lm}(q_l) + hc \quad V_l(q_l) = \left(\frac{4\pi e^2 \omega_{LO}}{J_{l+1/2}^2(q_l R) R^3 q^2} \right) \left(\frac{1}{\epsilon_\infty} - \frac{1}{\epsilon_0} \right)$$

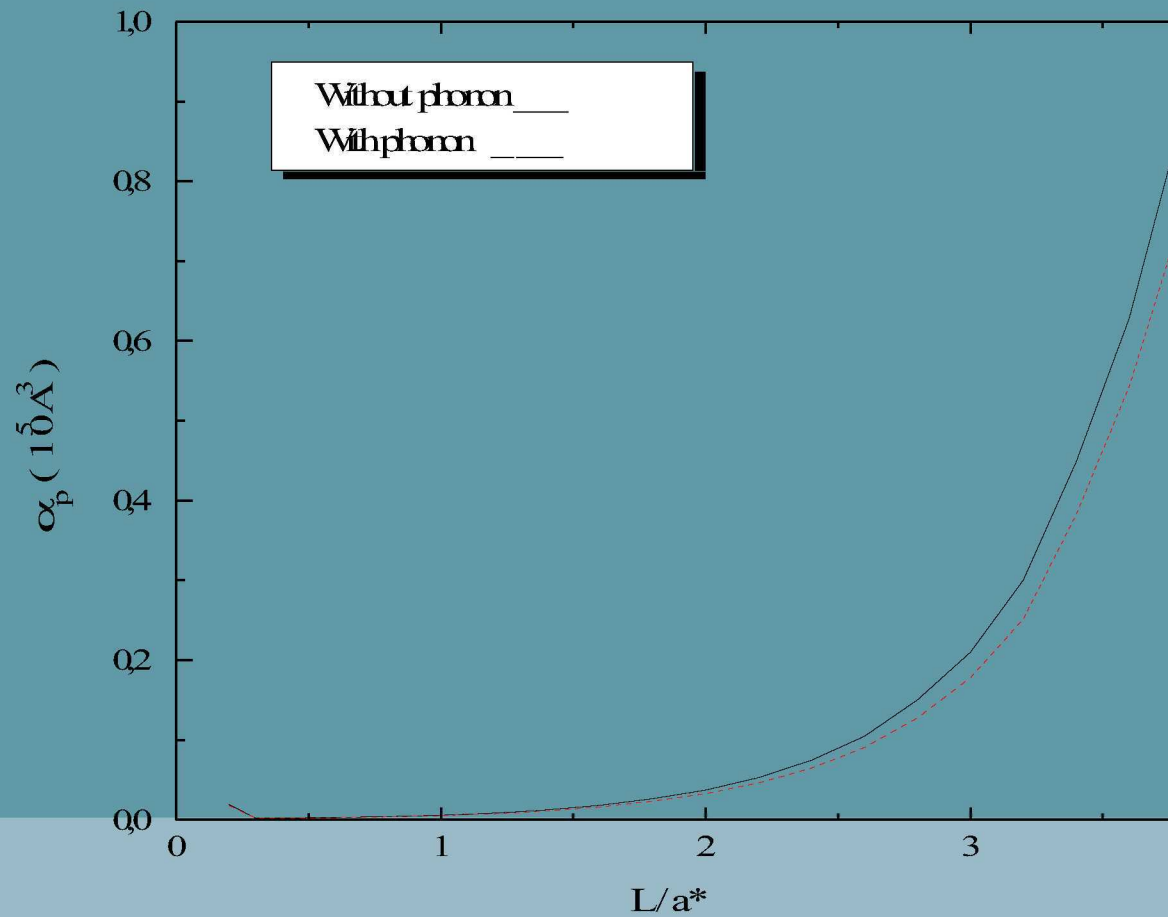
Platzman Transformation



The absorption coefficient as a function of photon energy without polaron effects curve (a) and with polaron effects curve (b) (infinite barrier case).

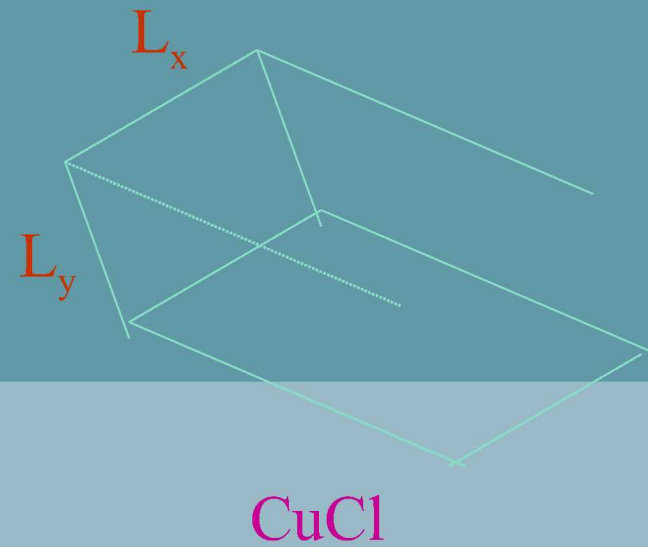


The absorption coefficient as a function of photon energy without polaron effects curve (c) and with polaron effects curve (d) (finite barrier case).



Polarisabilité α_p as a function of wire width with (dashed line, $\alpha_0=0.448$)
And without (solid line, $\alpha_0=0$) the polaronic effects.

Quantum Well Wire



$$m^* = 0.5 m_e$$

$$\epsilon_0 = 7.9$$

$$R^* = 109 \text{ meV}$$

$$a^* = 8.36 \text{ \AA}$$

$$\alpha_0 = 2.45$$

BOUND POLARON

$$H_i = H_e + H_{ph} + H_{e-ph}$$

$$H_e = -\nabla^2 - \frac{2}{r} + V(x, y) + \gamma \mathcal{L}_z + \frac{1}{4} \gamma^2 (x^2 + y^2)$$

$$V(x, y) = \begin{cases} 0 & \text{pour } |x| \leq \frac{l_x}{2}, |y| \leq \frac{l_y}{2} \\ \infty & \text{ailleurs} \end{cases}$$

$$H_{ph} = \sum_q \hbar \Omega a_q^+ a_q$$

$$H_{e-ph} = \sum_q \left[V_q^* a_q^+ \exp(-i \vec{q} \cdot \vec{r}) + V_q a_q \exp(i \vec{q} \cdot \vec{r}) \right]$$

$$V_q = -\frac{i \hbar \Omega}{\sqrt{v}} (4\pi \alpha_0 \tau_0)^{\frac{1}{2}} \quad \tau_0 = \left(\frac{\hbar}{2m^* \Omega} \right)^{\frac{1}{2}}$$

$$H_i |\Psi_i\rangle = E_i |\Psi_i\rangle$$

$$|\Psi_i\rangle = |1s\rangle \exp \left[\sum_q (g_q a_q^+ - g_q a_q) \right] |0\rangle$$

$$|1s\rangle = N \cos(K_1 x) \cos(K_2 y) \exp \left[- \left(\frac{x^2 - y^2}{8b^2} + \frac{z^2}{8a^2} \right) \right]$$

$$E_i = E_0 + E_{ph} \quad E_i = \langle \Psi_i | H_i | \Psi_i \rangle_{[a,b]}$$

FREE POLARON

$$|\psi_f\rangle = |f\rangle e^{s_1} e^{s_2} \left| \{n_q\} \right\rangle$$

$$|f\rangle = \frac{1}{\sqrt{L}} \frac{1}{\sqrt{L_x L_y}} \cos(k_{nx} x) \cos(k_{ny} y) \exp(ik_z z)$$

$$s_1 = -i \sum_q q_z \cdot z \cdot a_q^+ a_q$$

$$s_2 = \sum_q (f_q a_q^+ - f_q^* a_q)$$

$$\alpha(\hbar\omega) = \frac{4\pi^2 e^2 256 a^5 I_{xx}^4}{n \hbar c l^2 I_{xx}^2 \sqrt{\pi}} \times \exp(-A) \{ E_0 \sqrt{E_0 x} \sqrt{x-1} \exp[-4E_0 a^2 (x-1)] + A E_0' \sqrt{E_0' x'} \sqrt{x'-1} \exp[-4E_0' a^2 (x'-1)] \}$$

$$x' = x - \frac{\hbar\Omega}{E_0} \quad E_0' = E_0 - \hbar\Omega \quad x = \frac{\hbar\omega}{E_0} \quad E_0 = E_f - \frac{\hbar^2 k_z^2}{2m^*} - E_i$$

Figure. I

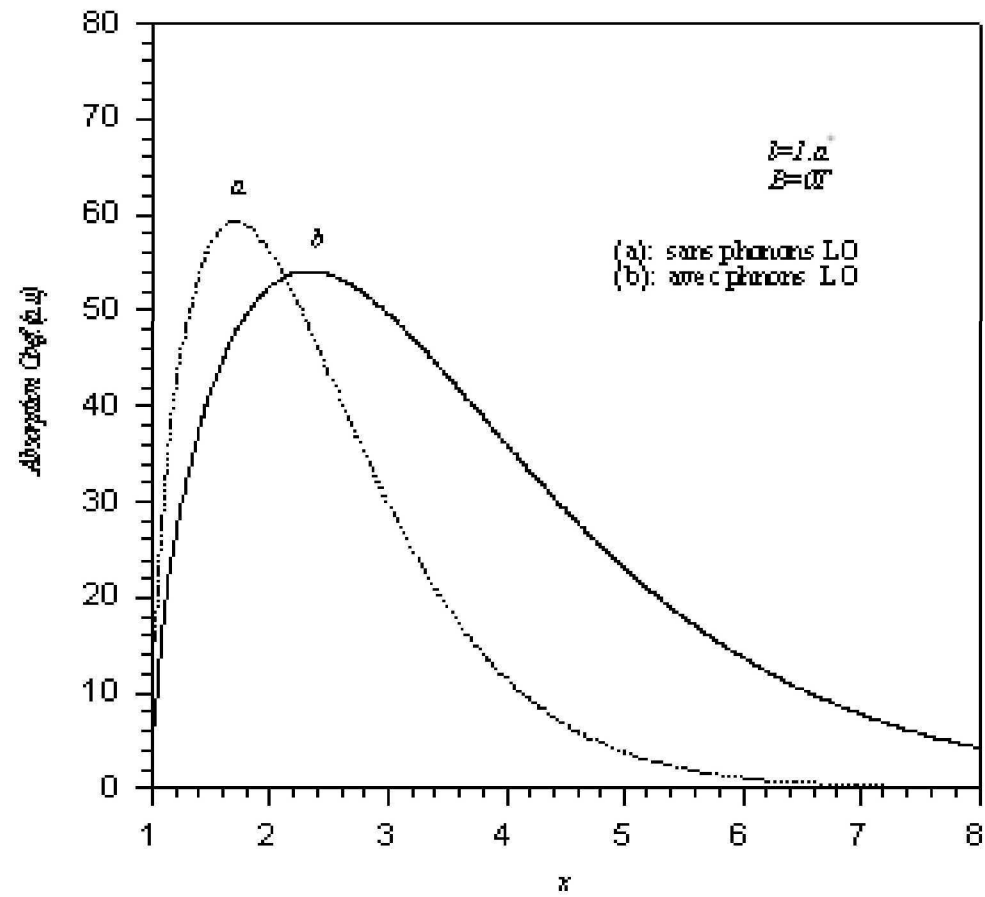
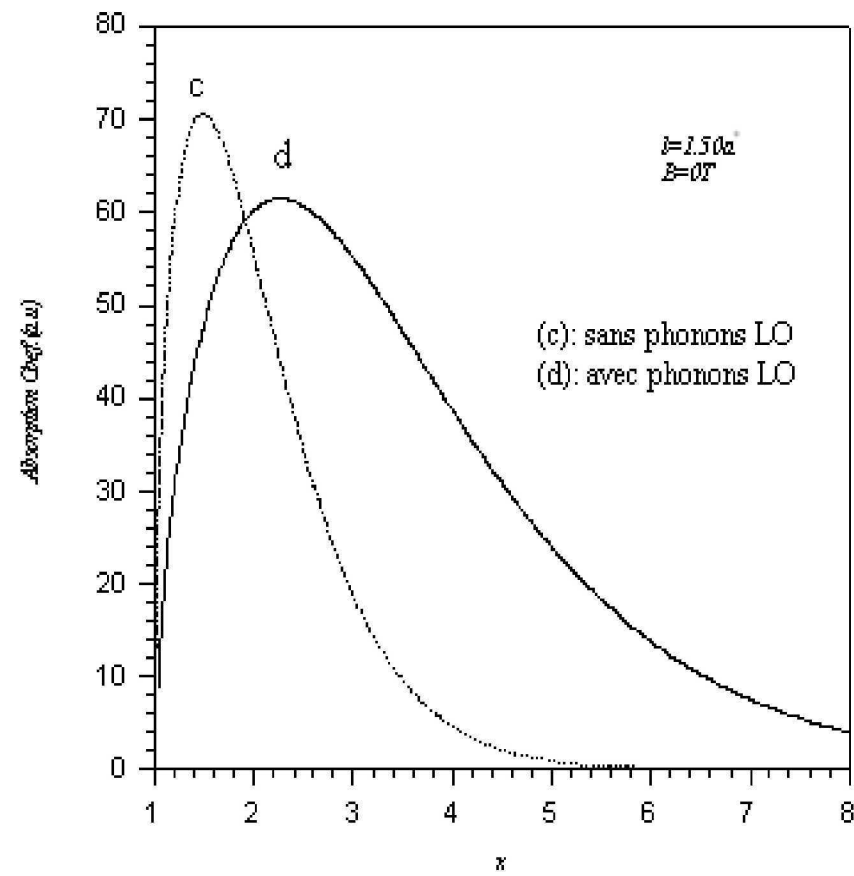


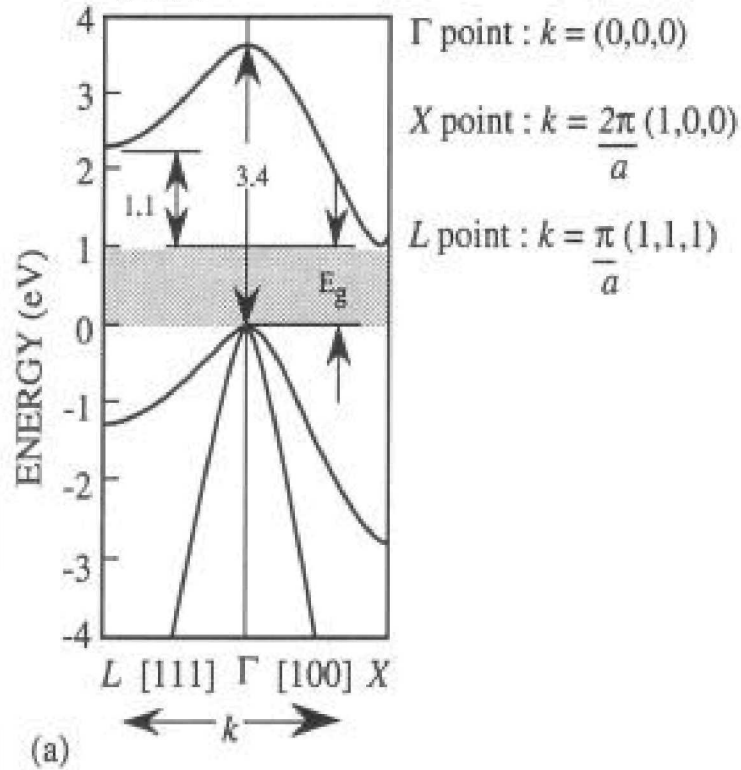
Figure. II



DIRECT AND INDIRECT BAND GAP

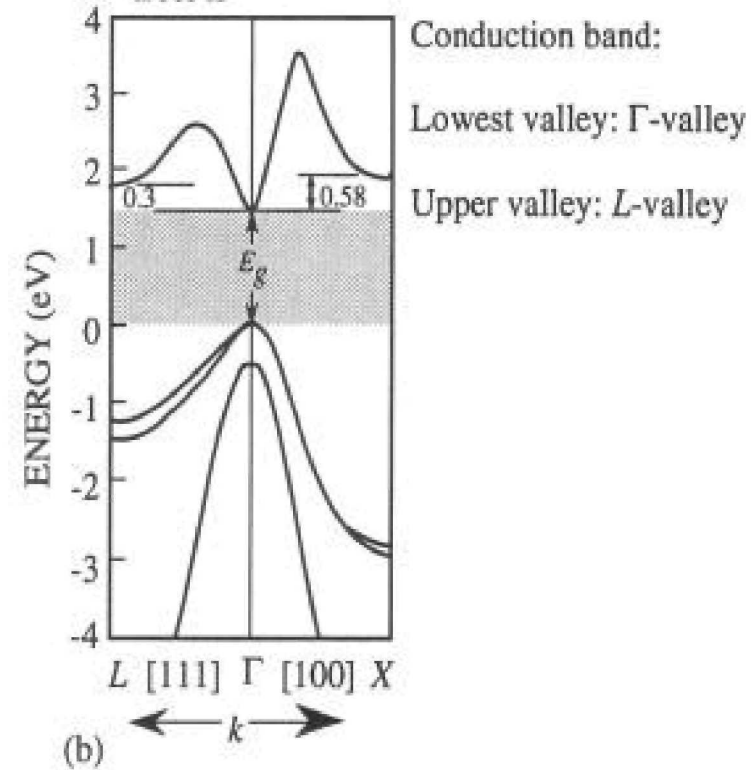
Silicon

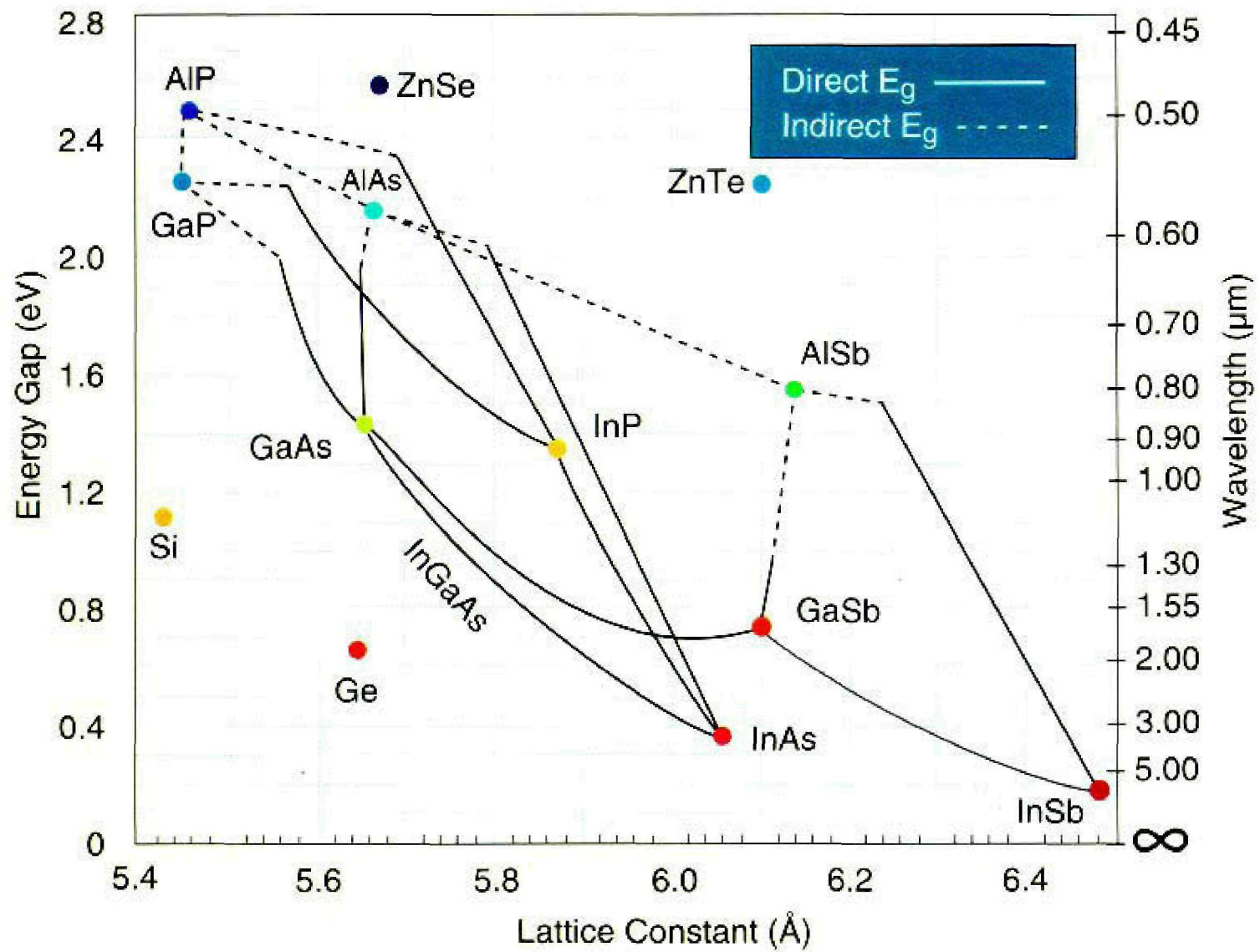
$E_g = 1.1 \text{ eV}$
at 300 K



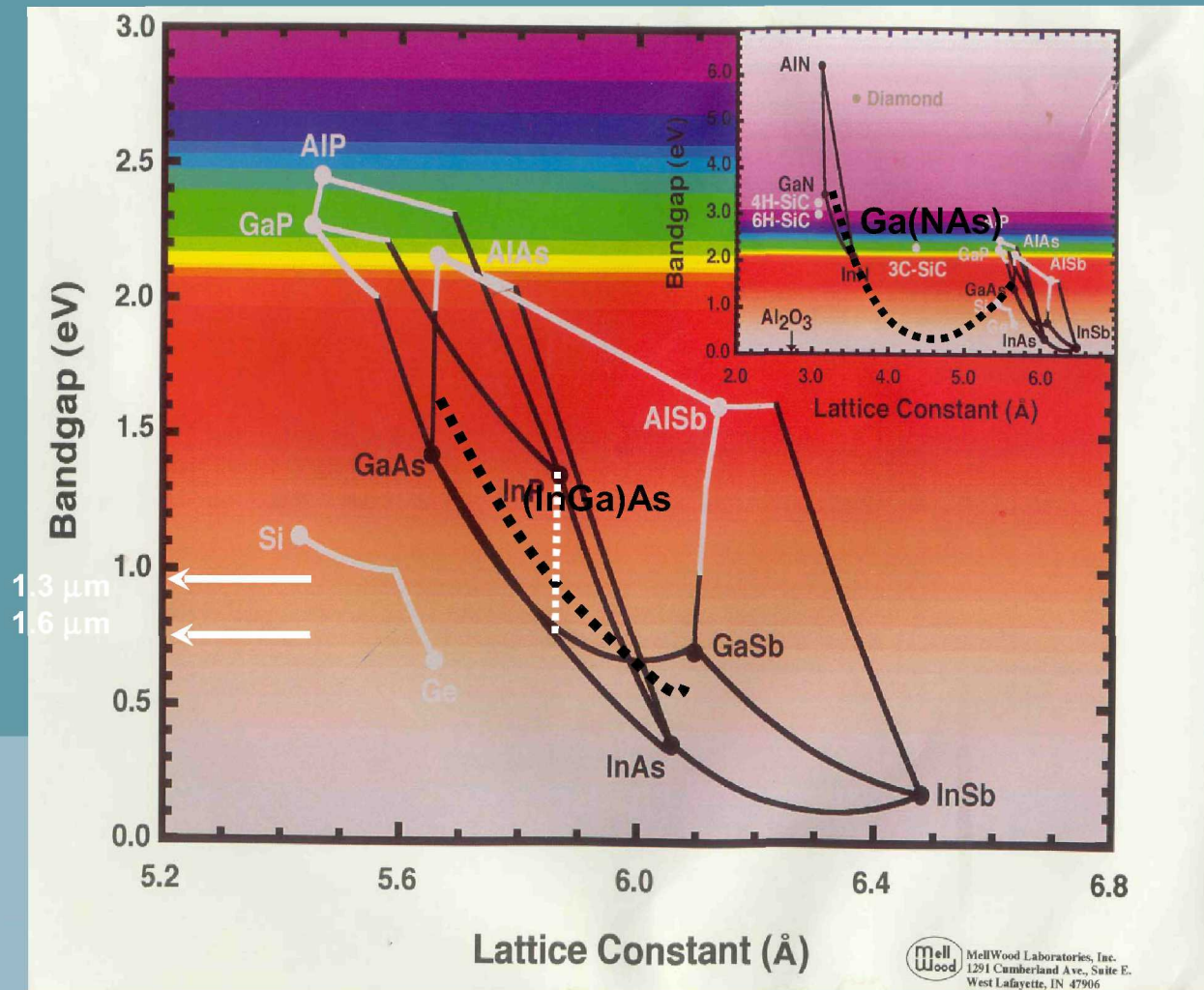
Gallium Arsenide

$E_g = 1.43 \text{ eV}$
at 300 K





Which materials?



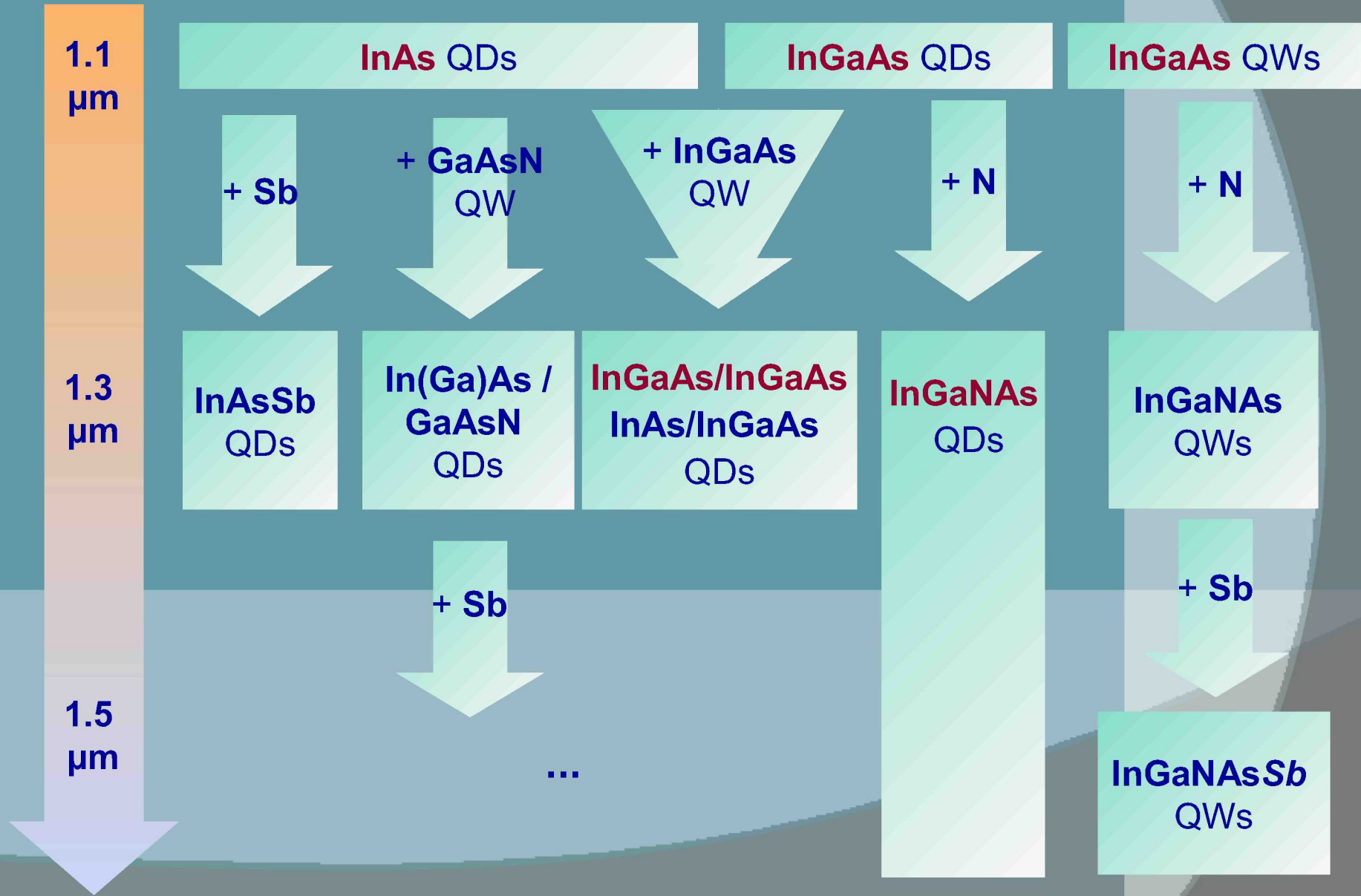
Old technology
Lattice matched
 (GaIn)(AsP) on InP

New technology
Lattice mismatched

(GaIn)As on GaAs

Ga(NAs) or (GaIn)(NAs)
 on GaAs

Long wavelength QD-Lasers: roadmap



Conclusions

- The $1s-2p_+$ transition energy increases as function of the magnetic field and the $1s-2p_-$ transition energy may be increased or decreased as function of competition between the magnetic and geometric confinements.
- As a consequence, of the scaling laws the absorption coefficient varies systematically as a function of quantum dot size.
- The application of the magnetic field induced reduction of the absorption peak and a displacement of the threshold energy.

Summary

- Discrete energy levels, artificial atom
- Making better lasers
- Lots of room for further research!

Do it with Dots !



Thank you very much for your attention