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**Quantum field theory for mathematicians**

Ingo Runkel  
*University of Hamburg  
Hamburg  
Germany*

## QFT for Mathematicians

- 1) Intro
- 2) Quantum mechanics
- 3) QFT via fund. int.
- 4) Partition functions

Ingo Runkel,  
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What this is not:

A mathematically rigorous treatment of QFT.

What this tries to be:

An attempt to communicate some ideas behind  
QFT to interested mathematicians.

There are 2 places where QFTs appear in nature

- effective theory in condensed matter
- interactions of fundamental particles

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## 1) Introduction

### 1.1) Ising model in 2d (Ref: [MW] Ch. XI)

Finite lattice,  $L > 0$

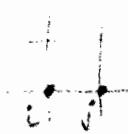
$$\Lambda_L = \{(m, n) \in \mathbb{Z} \times \mathbb{Z} \mid |m|, |n| \leq L\}$$

spin config :  $\sigma : \Lambda_L \rightarrow \{\pm 1\}$

(+ periodic bnd. cond.)

energy :  $E(\sigma) = - \sum_{\langle i,j \rangle} \sigma_i \sigma_j$

adjacent sites



partition function,  $\beta > 0$  (inverse temp.)

$$Z_L(\beta) = \sum_{\sigma} e^{-\beta E(\sigma)}$$

2pt. correlator

$$\langle \sigma_i \sigma_j \rangle_{\beta} = \lim_{L \rightarrow \infty} \frac{1}{Z_L(\beta)} \sum_{\sigma} \sigma_i \sigma_j e^{-\beta E(\sigma)}$$

Can show ( $s = \sinh(2\beta)$ )

$$\langle \sigma_{0,0} \sigma_{N,N} \rangle_{\beta} \underset{s \ll 1}{=} (\pi N)^{-\frac{1}{2}} \frac{s^{2N}}{(1-s^4)^{\frac{1}{4}}} \cdot (1 + O(N^{-1}))$$

[ [MW] p.257 (2.46), with  $E_1 = E_2 = 1$  according to p.77 (2.1) ]

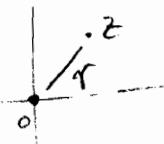
( $s=1 \approx \text{const } N^{-\frac{1}{4}}$ ,  $s>1 \approx \text{const}$  [ [MW] p.260 (3.77), p.265 (4.43) ] )

Continuum limit ?  $z \in \mathbb{C}$

$\langle \sigma(z) \sigma(0) \rangle$  from  $\lim_{a \rightarrow 0} \langle \sigma_{M,N} \sigma_{0,0} \rangle$  s.t.  $a \cdot (M,N) \approx z$

lattice spacing

$$\text{Set } z = r \cdot \frac{1}{\sqrt{2}} (1,1)$$



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Try 1

$$N \approx \frac{r}{\sqrt{2}a}$$

$$\langle \delta_{00} \delta_{NN} \rangle = (\text{const}/\beta) r^{-\frac{1}{2}} a^{\frac{1}{2}} \exp\left(\frac{\sqrt{2}\ln s}{a} \cdot r\right) (1 + O(a))$$

$\xrightarrow[a \rightarrow 0]{} 0$

Try 2

$$\text{Aim for } \langle \delta(z) \delta(r_0) \rangle = (mr)^{-\frac{1}{2}} e^{-mr} \left(1 + O\left(\frac{1}{mr}\right)\right)$$

$$\text{choose } \beta \text{ s.t. } m = -\frac{\sqrt{2}}{a} \ln \sinh(2\beta), \quad s = e^{-\frac{am}{\sqrt{2}}}$$

$$\text{Normalise } \tilde{\delta}(x,y) \triangleq \left(\frac{\sqrt{2}\pi^2}{ma}\right)^{\frac{1}{4}} \cdot \delta_{\left[\frac{x}{a}, \frac{y}{a}\right]} \text{ for } a \rightarrow 0$$

Then

$$\langle \delta(z) \delta(r_0) \rangle_m = \lim_{a \rightarrow 0} \left(\frac{\sqrt{2}\pi^2}{ma}\right)^{\frac{1}{4}} \langle \delta_{00} \delta_{NN} \rangle = (*)$$

$\Delta$  cont. limit needed new parameter  $m$   
not fixed by lattice model.

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1.2) Free boson in 2d Kf: [SUSY] ch 2.3

$\phi: \mathbb{R}^2 \rightarrow \mathbb{R}$  smooth, decaying

action (Euclidean)  $S(\phi) = \frac{1}{8\pi} \int d^2x \left( (\partial_1 \phi)^2 + (\partial_2 \phi)^2 + m^2 \phi^2 \right)$

2pt-correlator

"funct. integral", ill-defined

$$\langle \phi(x) \phi(y) \rangle_m = \frac{\int D\phi (\phi(x) \phi(y)) e^{-S(\phi)}}{\int D\phi e^{-S(\phi)}}$$

=  $2 K_0(m|x-y|)$  modified Bessel fn, order 0

[DMS] Ch. 2.3.4 with  $g = \frac{1}{4\pi}$ ,  $K_0(x) = \int_0^\infty dt \frac{\cos(xt)}{\sqrt{t^2+1}} \quad (x > 0)$

Since  $K_0(x) \underset{x \rightarrow \infty}{\sim} \sqrt{\frac{\pi}{2x}} \cdot e^{-x}$  have  $\langle \phi(x) \phi(0) \rangle_m \underset{x \rightarrow \infty}{\sim} (\text{const})(mx)^{-\frac{1}{2}} e^{-mx}$ .

$$= (\text{const}) (mr)^{-\frac{1}{2}} e^{-mr} \left( 1 + O\left(\frac{1}{mr}\right) \right)$$

In fact

$$\langle \phi(x) \phi(y) \rangle = (\text{const}) (mx)^{-\frac{1}{2}} e^{-mx} \left( 1 - \frac{1}{8mx} + \frac{9}{128(mx)^2} + \dots \right)$$

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### 1.3) Briefly: 3 sets of axioms for QFT

- a) correlation functions (Minkowski, Euclidean)
- b) algebras of observables (Mink.)
- c) "bordism amplitudes" (mostly Euclid.)

To a)

$$\text{let } V = \mathbb{R}^d \quad \text{or } V = \mathbb{R}^{1,d-1}$$

(Euclidean space) (Minkowski space)

$\mathcal{F}$ : finite dim rep<sup>o</sup> of  $\text{Spin } V$  "space of fundamental fields"

$c_n (n \in \mathbb{N})$ : functions (really: distributions)

"correlation functions"

$$c_n : V \times \dots \times V \times \mathbb{I} \times \dots \times \mathcal{F} \longrightarrow \mathbb{C}$$

Usual notation

$$c_n(x_1, \dots, x_n, \phi_1, \dots, \phi_n) = \langle \phi_1(x_1) \dots \phi_n(x_n) \rangle$$

$V = \mathbb{R}^d$ : Schwinger functions

$V = \mathbb{R}^{1,d-1}$ : Wightman functions

Conditions:

- $\text{Spin } V \ltimes V$  - covariance

double cover of  
Poincaré / Euclid.  
group, conn. comp of id,

- $\mathbb{Z}_2$ -graded  $S_n$ -permutation sign of  $c_n$   
Fermions / Bosons

- positivity, growth condition, ...

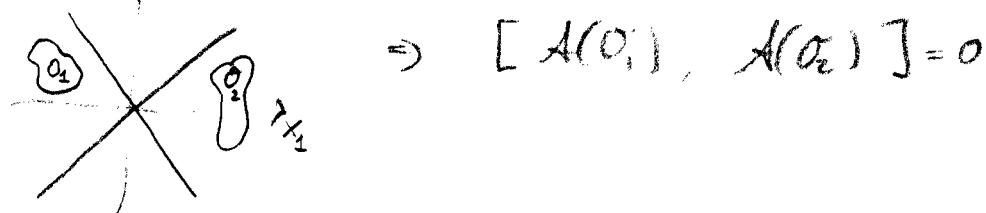
Refs: [Ha] Ch. II, [Ka] §1.2, 2.2, [RS] Ch. IX.8

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E.g. in

Ising :  $\mathcal{F} = \mathbb{C}\mathcal{G}$ ,  $V = \mathbb{R}^{\mathbb{Z}^2}$ ,  $\langle g(x)g(y) \rangle = \dots$ F. B. :  $\mathcal{F} = \mathbb{C}\phi$ ,  $V = \mathbb{R}^{\mathbb{Z}}$ ,  $\langle \phi(x)\phi(y) \rangle = \dots$ To b)

nets of observables:  $\mathcal{O}$  open bounded  
 subset of  $\mathbb{R}^{1,d-1} \mapsto \mathcal{A}(\mathcal{O})$ , a  
 $C^*$ -algebra

s.t.  $\mathcal{O}_1 \subset \mathcal{O}_2 \Rightarrow \mathcal{A}(\mathcal{O}_1) \subset \mathcal{A}(\mathcal{O}_2)$ self-adj elements in  $\mathcal{A}(\mathcal{O})$  = quantities that can  
 be measured in  $\mathcal{O}$ locality:  $\mathcal{O}_1, \mathcal{O}_2$  space-like sep.

more ...

Refs [Ha] Ch. III, [Fr] Ch 4.

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To c)d-dim. bordism:  $A \xrightarrow{M} B$ 

$M$ : d-dim smooth mfld, can have non-empty bord  
 poss. extra structure:

- orientation
- metric
- spin-structure
- ...

$A, B$ : (d-1)-dim smooth mfld, empty bord,  
 with "small d-dim mfld"  $(A \times ]-\varepsilon, \varepsilon[)$

$$\text{embeddings} \quad A \times [0, \varepsilon] \xrightarrow{\iota} M \xleftarrow{\omega} B \times [-\varepsilon, 0] \quad \text{s.t.} \quad \partial M \cong A \cup B$$

Compose by  
gluing

$$C \xleftarrow{N} B \xleftarrow{M} A \quad \rightsquigarrow C \xleftarrow{N \circ M} A$$

d-dim QFT as (sym, monoidal, ...) functor

$$\tau: \text{d-Bord} \longrightarrow \text{top. vector sp. amplitude}$$

$$(A \xrightarrow{M} B) \longmapsto (\tau(A) \xrightarrow{\tau(M)} \tau(B))$$

"space of states on  $A$ "

Ref [At], [Se], [ST]

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Idea of relation

$$\begin{array}{ccc}
 & \text{Eucl.} & \text{Mink.} \\
 \text{c)} & \dashrightarrow \alpha) & \dashrightarrow b) \\
 \left( \begin{array}{c}
 \mathbb{R}^d \setminus (B_\varepsilon(x) \cup \dots \cup B_\varepsilon(x_n)) \\
 \tau(S^{dt}(\varepsilon))^{\otimes n} \xrightarrow[\varepsilon \rightarrow 0]{} \mathcal{C} \\
 \mathcal{D}\mathcal{F}, \varepsilon \rightarrow 0
 \end{array} \right) & \left( \begin{array}{l}
 \text{construct Hilb. sp. } \mathcal{H} \\
 \cdot \text{ for } \phi \in \mathcal{F} \text{ an operator} \\
 \phi(x) \text{ (distrib.) on } \mathcal{H} \\
 \cdot \text{ smear with } f \text{ supp in } \Omega \\
 \int_{\Omega} f(x) \phi(x)
 \end{array} \right)
 \end{array}$$

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#### 4) Briefly: Perturbation of QFT

Let  $T, V$  be a QFT as in 3) a). Take  $V = \mathbb{R}^d$  (Eucl.)

Pick spin  $V$ -inv. vector  $\psi \in T$

The QFT perturbed by  $\psi$  has correlation functions

$$\langle \phi(x_1) \dots \phi(x_n) \rangle := \langle \phi(x_1) \dots \phi(x_n) e^{-\lambda \int_V \psi(x) dx} \rangle \cdot \frac{1}{\langle e^{-\lambda \int \psi} \rangle}$$

where

$$\langle \phi(x_1) \dots e^{-\lambda \int_V \psi(y) dy} \rangle$$

$$\stackrel{\text{def}}{=} \langle \phi(x_1) \dots \rangle + (-\lambda) \int_V d\gamma_1 \langle \phi(x_1) \dots \psi(y_1) \rangle$$

$$+ \frac{1}{2} (-\lambda)^2 \int_V dy_1 dy_2 \langle \phi(x_1) \dots \psi(y_1) \psi(y_2) \rangle$$

+ ...

- Δ Problems :
- integrand may be too singular as  $y_i \rightarrow x_k$  (UV divergence) or  $x_i \rightarrow y_j$ .
  - integral may diverge for  $|y|, l \rightarrow \infty$ . (IR divergence)
  - $\sum_n \gamma^n \dots$  may diverge.

Remark: Why  $\exp(\lambda \int \psi)$ ?

① path integral  $\rightarrow$  later

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2)  $e^{a+b} = e^a e^b$  : While  $A^d = A \cup B$  disj.

$$\sum_{m=0}^{\infty} \frac{1}{m!} (-\lambda)^m \int dy_1 \dots dy_m \langle \phi_{(r_1)} \dots \psi(y_1) \dots \psi(y_m) \rangle \quad (*)$$

$$= \sum_{k, l=0}^{\infty} \frac{1}{k!} (-\lambda)^k \frac{1}{l!} (-\lambda)^l \int dy_1 \dots dy_k \int dz_1 \dots dz_l \langle \phi_{(r_1)} \dots \psi(y_1) \dots \psi(z_1) \dots \rangle$$

In OFT 3) relation  $\circ$  : e.g.

$$\tau(\phi \leftarrow \frac{\mathbb{R}^d \setminus E^d}{E^d} g^d) \circ \tau(S^d - \frac{E^d}{E^d} \phi) = \tau(\phi \leftarrow \frac{\mathbb{R}^d}{E^d} \phi)$$

holds in pert. theory b.c.  $(*)$ .

i.e. set

$$\begin{aligned} \tau_\lambda(E^d) &= \tau(E^d) + (-\lambda) \int_{E^d} dy_1 \tau\left(\text{circle } x_1\right)(+) \\ &\quad + \frac{1}{2} (-\lambda)^2 \int_{E^d} dy_1 dy_2 \tau\left(\text{circle } x_1, x_2\right)(+, +) \\ &\quad + \dots \end{aligned}$$

## 2) Classical and quantum mechanics

### 2.1) Eqn of motion

E.g.: particle moving in  $\mathbb{R}^n$ , potential  $V: \mathbb{R}^n \rightarrow \mathbb{R}$   $C^2$ -Fn

#### classical

state of particle

- position  $x \in \mathbb{R}^n$
- momentum  $p \in \mathbb{R}^n$

Eqn. of motion: Newton

$$\ddot{\varphi}: [a, b] \rightarrow \mathbb{R}^n \quad C^2\text{-Fn}$$

$$m \ddot{\varphi} = - \nabla V$$

initial cond.

$$\varphi(a) = x_0$$

$$\dot{\varphi}(a) = \frac{1}{m} p_0$$

interpret.: at each time particle has unique pos & mom., which can be measured.

$$\text{E.g. } V = \frac{1}{2} m \omega^2 x^2$$

$$\ddot{\varphi} = -\omega^2 \varphi$$

$$\varphi(t) = a \cos(\omega t + b)$$

#### quantum

state of particle: wave function

$$\psi \in L^2(\mathbb{R}^n) \quad (" \psi: \mathbb{R}^n \rightarrow \mathbb{C}")$$

EOM: Schrödinger eqn

$$\psi: [a, b] \rightarrow L^2(\mathbb{R}^n)$$

$$\dot{\psi}(t, x) = \frac{1}{i\hbar} \left( \frac{-\hbar^2}{2m} (\Delta \psi)(x, t) \right) + V(x) \psi(x, t)$$

initial cond.

$$\psi(a, x) = \psi_0(x)$$

interpret.:

probability of meas. particle in  $U \subset \mathbb{R}^n$  at time  $t$  is

$$\text{prob.}(U) = \int_U |\psi(t, x)|^2 dx$$

(if  $\langle \psi, \psi \rangle = 1$ )

E.g.  $V = \frac{1}{2} m \omega^2 x^2$ , one soln is

$$\psi(t, x) = C \exp\left(\frac{i}{\hbar} Et - \frac{D}{2} x^2\right)$$

(ground state of harmonic osc.)

$$\hbar = 1.054 \dots \cdot 10^{-34} \text{ J}\cdot\text{s}, \quad m_e = 9.109 \dots \cdot 10^{-31} \text{ kg}$$

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## 2.2 Least action

Lagrangian functional  $L(x, v) = \frac{1}{2}mv^2 - V(x) ; x, v \in \mathbb{R}^n$  (\*)

Action  $\nu$  for path  $q: [a, b] \rightarrow \mathbb{R}^n$

$$S(q) = \int_a^b L(q(t), \dot{q}(t)) dt$$

Variation of action ( $n=1$  for simp.)

$$\begin{aligned} S(q + \delta q) &= \int_a^b L(q + \delta q, \dot{q} + \delta \dot{q}) dt \\ &= L(q, \dot{q}) + \frac{\partial L}{\partial x} \cdot \delta q + \frac{\partial L}{\partial v} \cdot \delta \dot{q} + (\text{higher}) \\ &= S(q) + \int_a^b \left( \frac{\partial L}{\partial x} - \frac{d}{dt} \left( \frac{\partial L}{\partial v} \right) \right) \cdot \delta q dt + \left( \frac{\partial L}{\partial v} \cdot \delta q \right) \Big|_a^b + \dots \end{aligned}$$

Euler-Lag. eqns. for (\*) got

$$-\left( \frac{\partial}{\partial x} V \right)(q(t)) - \frac{d}{dt} \left( m \dot{q}(t) \right) = 0$$

Classical path is stationary point of  $S$  within path with fixed end points.

Conjugate momentum  $p_i = \frac{\partial L}{\partial v_i} \quad \stackrel{i=1, \dots, n}{\vee} \quad (\text{for } *) : p_i = mv_i$

Hamiltonian :  $H(q, p) = \sum p_i \cdot v_i(p) - L(q, v(p))$

(or \*) :  $H(q, p) = \frac{1}{2m} p^2 + V(q)$  (\*\*)  
 $q^i$ : position       $p^i$ : momenta

Phase space :  $\mathbb{R}^n \times \mathbb{R}^n$  (in general:  $T^*M$ )

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Poisson bracket  $f, g : \mathbb{R}^{2n} \rightarrow \mathbb{R}$   $\in$

$$\{f, g\} := \sum_{i=1}^n \left( \frac{\partial f}{\partial q_i} \frac{\partial g}{\partial p_i} - \frac{\partial g}{\partial q_i} \frac{\partial f}{\partial p_i} \right)$$

e.g.

$$\{q_i, p_j\} = \delta_{ij} \quad \text{for } H \text{ in (1*)}$$

$$\{p_i, H\} = - \frac{\partial H}{\partial q_i} \stackrel{\downarrow}{=} - \partial_i V(q)$$

In general : For  $f : \mathbb{R}^{2n} \rightarrow \mathbb{R}$ ,  $q(t)$  sol. to EOM,  $p(t) = \frac{\partial L}{\partial \dot{q}}(q, \dot{q})$

$$\frac{d}{dt} f(q(t), \dot{q}(t)) = \{f, H\}(q(t), p(t))$$

in part:  $\{H, H\} = 0 \Rightarrow H$  conserved (energy)  
for  $H$  in (1\*)

$$\{q, H\} = \frac{\partial H}{\partial p} = \frac{1}{m} p \Rightarrow \dot{q}(t) = \frac{1}{m} p$$

$$\{p, H\} = - \partial V(q) \Rightarrow \dot{p}(t) = - \partial V(q) \\ m \ddot{q}(t)$$

## 2.3 Canonical quantisation

pos. mom.

Phase space:  $\mathbb{R}^n \times \mathbb{R}^n$

Functions  $f_m$   $\rightarrow$  operators  $\hat{f}$  on Hilb. sp.  $\mathcal{H}$   
 s.t.  $\{, \}$   $\hookrightarrow i\hbar [ , ]$  ?  $\begin{array}{l} \text{not unique} \\ \text{not poss for all } f \end{array}$   
 postulate

$$q \xrightarrow{\quad} \hat{q} \quad p \xrightarrow{\quad} \hat{p} \quad \text{s.t. } [\hat{q}, \hat{p}] = i\hbar \mathbf{1}$$

For Hamiltonian (note e.g.  $[\hat{p}\hat{q}^2, \hat{q}^2\hat{p}] \neq 0$ )

$$H = \frac{1}{2m} p^2 + V(q) \rightsquigarrow \frac{1}{2m} \hat{p}^2 + V(q)$$

For  $\mathcal{H}$  take  $L^2(\mathbb{R}^n)$ ,  $(\hat{q}\psi)(x) = x\psi(x)$  } on appr.  
 pos. space  $(\hat{p}\psi)(x) = -i\hbar \frac{\partial}{\partial x} \psi(x)$  } domain.

(more general: geometric quantisation)

$$\text{get } (\hat{H}\psi)(x) = \left( -\frac{\hbar^2}{2m} \left( \frac{\partial}{\partial x} \right)^2 + V(x) \right) \psi(x)$$

$\hookrightarrow$  Schröd. eqn.

$$\text{and } q^2 p = p q^2 + q [q, p] + [q, p] q = p q^2 + 2i\hbar q$$

$$[pq^2, q] = [p, q] q^2 = -i\hbar q^2 \quad \text{s.t. } [pq^2, q^2 p] = -i\hbar (2i\hbar) q^2 = 2\hbar^2 q^2$$

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1-dim.

## 2.4 ✓ Harmonic oscillator

$$(\hbar = m = 1) \quad H = \frac{1}{2} (p^2 + \omega^2 q^2) \quad (\text{do not write } \hat{\ })$$

Set  $a = \sqrt{\frac{\omega}{2}} (q + \frac{i}{\omega} p) \Rightarrow a^* = \sqrt{\frac{\omega}{2}} (x - \frac{i}{\omega} p)$

$$\Rightarrow [a, a^*] = 1$$

$$H = \omega (a^* a + \frac{1}{2})$$

Note:  $H|\psi\rangle = E|\psi\rangle \Rightarrow Ha|\psi\rangle = (E - \omega)a|\psi\rangle$

Suppose energy bounded below

→ ground state  $\Omega$  with  $a\Omega = 0$

$$\rightarrow H\Omega = \frac{1}{2}\omega\Omega \quad \text{and} \quad H(a^*)\Omega = \omega(n + \frac{1}{2})(a^*)\Omega$$

Expectation values:

$$\langle \Omega, q\Omega \rangle = 0$$

$\uparrow$

$$q = \sqrt{\frac{2}{\omega}} (a + a^*)$$

but  $\langle \Omega, q^2 \Omega \rangle$

$$= \frac{2}{\omega} \langle \Omega, (a + a^*)(a + a^*) \Omega \rangle$$

Time dependence:

$$= \frac{2}{\omega} .$$

$$A : [a, L] \longrightarrow \text{End}(L), \quad A(0) = A_0, \quad \dot{A}(t) = \frac{1}{i\hbar} [A(t), H]$$

$$[a, a^*] = \frac{\omega}{2} (-\frac{i}{\omega} - \frac{i}{\omega}) \cdot i = 1, \quad q = \sqrt{\frac{2}{\omega}} (a + a^*)$$

$$Ha = \omega \underbrace{(a^* a a + \frac{1}{2} a)}_{= [a^*, a] + a a^*} = \omega a (-1 + a^* a + \frac{1}{2}) = a(H - \omega)$$

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e.g.

$$a(t) = e^{-i\omega t} a$$

$$q(t) = \sqrt{\frac{2}{\omega}} (e^{i\omega t} a^* + e^{-i\omega t} a)$$

Note : Did not need to know  $\mathcal{H} = L^2(\mathbb{R})$

$$\dot{a}(t) = -i\omega a(t)$$

$$\frac{1}{i} [a(t), H] = \frac{1}{i} \cdot \omega a(t)$$

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## 2.5 Path integrals

Thm (Trotter prod. formula )

$\mathcal{H}$ : separable Hilb sp

$A, B$  self-adj op. in  $\mathcal{H}$  (meaning  $(D(A))^\ast = D(A^\ast)$ ,  $A = A^\ast$  on  $D$  and  $D(A)$  dense in  $\mathcal{H}$ )  
s.t.  $A+B$  ess. self adj in  $D(A) \cap D(B)$

not necess. bounded

Then for all  $\psi \in \mathcal{H}$

$$\lim_{k \rightarrow \infty} \left( e^{itA_k} e^{itB_k} \right)^k \psi = e^{it(A+B)} \psi$$

(c.f. [RS] Thm VIII.31, ch VIII.8 )

Thm (free propagator )

Let  $\mathcal{H} = L^2(\mathbb{R}^n)$ ,  $\psi \in \mathcal{H}$  ( $p = -i \frac{\partial}{\partial x}$ )

$$(e^{-it(\frac{p^2}{2m})} \psi)(x) = \lim_{R \rightarrow \infty} \left( \frac{2\pi i t}{m} \right)^{\frac{n}{2}} \int_{|y| \leq R} e^{i \frac{m|x-y|^2}{2t}} \psi(y) dy$$

(c.f. [RS] Ch. IX.7, example 3 )

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Thm (discrete path int.) Let  $\mathcal{H} = L^2(\mathbb{R}^n)$  and let  $V: \mathbb{R}^n \rightarrow \mathbb{R}$  be s.t.  $H = \frac{1}{2m}\dot{\varphi}^2 + V$  is ess. self adj on  $D(\dot{\varphi}^2) \cap D(V)$ . Then for all  $\psi \in \mathcal{H}$ ,  $x_0 \in \mathbb{R}^n$ :

$$(e^{-itH}\psi)(x_0)$$

$$= \lim_{k \rightarrow \infty} \left( \frac{2\pi i}{m} \Delta t \right)^{-\frac{nk}{2}} \int \dots \int \exp \left( i \sum_{j=1}^k \underbrace{\Delta t \left\{ \frac{m}{2} \left( \frac{|x_j - x_{j+1}|}{\Delta t} \right)^2 - V(x_j) \right\}}_{\hookrightarrow} \right) \times \psi(x_n) dx_n \dots dx_1$$

( "S"  $\hat{=} \lim_{k \rightarrow \infty} \int_{\mathbb{R}^k} \dots \int_{\mathbb{R}^k} \dots$ , all lines in  $L^2$  )

Pf: Apply Trotter to  $H$ , use free prop.  $\square$

(c.f. [RS] Thm X.66, ch X.11)

(\*) : discretisation of  $S(\varphi) = \int_0^t \left( \frac{m}{2} \dot{\varphi}(s)^2 - V(\varphi(s)) \right) ds$   
Path integral

$$\langle u, e^{-\frac{it}{\hbar} H} v \rangle'' = \int d\varphi \underbrace{u(\varphi(t)) v(\varphi(0))}_{\text{bd. cond.}} \underbrace{e^{\frac{i}{\hbar} S(\varphi)}}_{\text{weight}}$$

(c.f. [PS] ch 9.1) all path  $\varphi: [0, t] \rightarrow \mathbb{R}^n$

strongest contrib. from "stationary phase"  $\frac{\delta S}{\delta \varphi}(\varphi) = 0$

$\rightarrow$  classical path

### 3) Quantum field theory via functional integrals

#### 3.1) Classical eucl. scalar field

$$\phi: \mathbb{R}^d \rightarrow \mathbb{R} \quad C^1\text{-fn},$$

Euclid. action  $S_E(\phi) = \int_{\mathbb{R}^d} \left( \frac{1}{2} \sum_{\mu=1}^d (\partial_\mu \phi)^2 + \frac{1}{2} m^2 \phi^2 \right) dx$   
 or subset, e.g.  $[a, b] \times \mathbb{R}^{d-1}$

F.O.M.:  $\int_{\mathbb{R}^d} (-\Delta \phi + m^2 \phi) \delta \phi \, dx \stackrel{!}{=} 0 \quad \forall \delta \phi$   
 vanish at  $\infty$  / on bnd.  
 $\Rightarrow (-\Delta + m^2) \phi(x) = 0$ .

#### 3.2) Propagator in QFT

Want to motivate 2-pt corr  $\langle \phi(x) \phi(y) \rangle = \int_{\mathbb{R}^d} \frac{dp}{(2\pi)^d} \frac{1}{p^2 + m^2} e^{i(p, x-y)}$

##### 3.2.1) Gaussian integrals

Ref: [BB] Sec 4.1 [WUS] App. 2.A

Have

$$\int_{\mathbb{R}^n} e^{-\frac{1}{2}(x, x)^2} dx = (2\pi)^{\frac{n}{2}}$$

maybe should have called the  $x'$ , here  
 "y" or "f", to avoid confusion with coord.  $x \in \mathbb{R}^d$  in 3.2.2

Let  $A$  be a symmetric  $n \times n$  matrix,  $A^t = A$ ,  $A \in M_{n \times n}(\mathbb{C})$ ,  
 $\text{Re}(A)$  pos. def.

(19)

Write  $A = u^t \begin{pmatrix} \lambda_1 & \\ & \lambda_n \end{pmatrix} u$ , change var

$$\int_{\mathbb{R}^n} e^{-\frac{1}{2}(x, Ax)} dx = \frac{(2\pi)^{n/2}}{\sqrt{\det A}}$$

For  $\mathbf{j} \in \mathbb{R}^n$ :

$$\begin{aligned} I(\mathbf{j}) &:= \int_{\mathbb{R}^n} e^{-\frac{1}{2}(x, Ax) - (\mathbf{j}, x)} dx = \frac{(2\pi)^{n/2}}{\sqrt{\det A}} e^{\frac{1}{2}(A^{-1}\mathbf{j}, \mathbf{j})} \\ &= -\frac{1}{2} \left[ (x + A^{-1}\mathbf{j}, A(x + A^{-1}\mathbf{j})) - (A^{-1}\mathbf{j}, \mathbf{j}) \right] \end{aligned}$$

Then  $\frac{I(\mathbf{j})}{I(0)} = e^{\frac{1}{2}(\mathbf{j}, A^{-1}\mathbf{j})}$

### 3.2.2 Free fields

Refs: [BB] Sec 4.2, [DMS] Ch 2.3,

[PS] Ch 9.2, 9.3

Functional integral ( $\mathbf{j}: \mathbb{R}^d \rightarrow \mathbb{R}$ )

$$I(\mathbf{j}) = \int D\phi e^{-S(\phi) - (\phi, \mathbf{j})} \stackrel{\int \phi(x) j(x)}{\sim}$$

write  $S(\phi) = \frac{1}{2} \int_{\mathbb{R}^d} \phi(x) (-\Delta + m^2) \phi(x) dx$   
 assume  $\phi(x) \rightarrow 0 (x \rightarrow \infty)$  fast enough

Thus

$$I(\mathbf{j}) = \int D\phi e^{-\frac{1}{2}(\phi, A\phi) - (\phi, \mathbf{j})} \quad \text{for } A = -\Delta + m^2$$

Want to set

$$\frac{I(\mathbf{j})}{I(0)} = e^{\frac{1}{2}(\mathbf{j}, A^{-1}\mathbf{j})}$$

(20)

Define  $A'$  as hot fn

$$(A' J)(x) = \int_{\mathbb{R}^d} D(x-y) J(y) dy$$

where  $D(x)$  is distrib st

$$(-\Delta_x + m^2) D(x) = \delta(x) \quad ; \quad \Delta_x = \sum_{i=1}^d \left( \frac{\partial}{\partial x_i} \right)^2$$

Fourier transform :  $D(x) = \int_{\mathbb{R}^d} \frac{dp}{(2\pi)^d} e^{-i(p, x)} \hat{D}(p)$

$$(p^2 + m^2) \hat{D}(p) = 1$$

Thus

$$D(x) = \int_{\mathbb{R}^d} \frac{dp}{(2\pi)^d} \frac{1}{p^2 + m^2} e^{-i(p, x)}$$

Define

$$\frac{I(j)}{I(0)} := e^{\frac{1}{2} \iint_{\mathbb{R}^d \times \mathbb{R}^d} J(x) D(x-y) J(y) dx dy}$$

### 3.2.3 Generating functions

Want

$$\frac{1}{I(0)} \int_{\mathbb{R}^n} (x_a x_b \dots) e^{-\frac{1}{2}(x, Ax)} dx \quad (*)$$

$$= \left( \frac{\partial}{\partial x_a} \frac{\partial}{\partial x_b} \dots \right) \frac{1}{I(0)} \int_{\mathbb{R}^n} e^{-\frac{1}{2}(x, Ax) - (x, J)} dx \Big|_{J=0}$$

$$= e^{\frac{1}{2}(J, A' J)}$$

write

$$(x) = \langle x_a x_b \dots \rangle$$

E.g.

$$\langle x_a x_b \rangle = (A')_{ab} = \begin{smallmatrix} a & b \\ \vdots & \vdots \end{smallmatrix}$$

$$\langle x_a x_b x_c x_d \rangle = \begin{smallmatrix} a & b \\ \vdots & \vdots \end{smallmatrix} + \dots + 11$$

(21)

$$= (A')_{ab} (A')_{cd} + (A')_{ac} (A')_{bd} + (A')_{ad} (A')_{bc}$$

### 3.2.4 Green's functions

Want

$$\langle \phi(x_1) \dots \phi(x_n) \rangle = \frac{1}{Z(\phi)} \int d\phi \phi(x_1) \dots \phi(x_n) e^{-S(\phi)}$$

Define

$$\langle \phi(x_1) \dots \rangle = \left( \frac{\delta}{\delta J(x_1)} \dots \right) e^{\frac{i}{\hbar} \langle J, D J \rangle}$$

e.g.

$$\langle \phi(x) \phi(y) \rangle = \langle \phi \rangle \quad ; \quad x = y$$

$$\langle \phi(x_1) \phi(x_4) \rangle = \dots$$

$x_1 \quad x_4$

$$= D(x_1 - x_2) D(x_3 - x_4) + \dots$$

### 3.3 Perturbations

#### 3.3.1 0-dim QFT

Ref [BB]

Consider  $I_\lambda(\beta) = \int_{\mathbb{R}} e^{-\left(\frac{1}{2}\varphi^2 + \frac{\lambda}{4!}\varphi^4 + \beta\varphi\right)} d\varphi$

- no  $\lambda$ -expansion around 0 (diverges for  $\lambda < 0$ )

- look at

$$\tilde{I}_\lambda(\beta) = \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \left( \frac{(-\lambda)}{4!} \right)^k \frac{1}{m!} (-\beta)^m \int_{\mathbb{R}} (\varphi^4)^k \varphi^m e^{-\frac{1}{2}\varphi^2} d\varphi$$

(22)

e.g. ( $\omega = 1$ , as here the matrix  $A$  is just  $A = (1)$ )

\*  $\lambda \cdot J^0$  term  $\frac{1}{4!} \left( \text{Diagram} + 2 \text{ more} \right)$

$$= \frac{1}{24} (1 + 1 + 1) \cdot I(0)$$

shorter :  $\frac{1}{4!} \cdot 1^4, \frac{1}{8} \cdot 8$

\*  $\lambda \cdot J^2$  term :

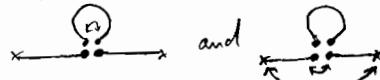
$$+ 8 \xrightarrow{\frac{1}{2} \cdot \frac{1}{8}} + \text{Diagram}$$

$$= \frac{5}{16} I(0)$$

By def., the group  $\text{Aut } \Gamma$  is generated by:

- permutation of pts :: within each 4-vertex  $\times$ : an  $S_4$
- permutation of 4 vertices  $\times$ , an  $S_4$
- permutation of ends  $\times$ , an  $S_m$

(for  $(-\lambda)^k (-J)^m$ ). In 2nd diag. above have



### 3.3.2 d-dim OF

Consider

$$I_\lambda(J) = \int d\phi e^{-S(\phi) + (J, \phi) + \frac{\lambda}{4!} \int \phi^4 dx}$$

Take this to mean

$$\tilde{I}_\lambda(J) = \langle e^{-\int (J(x)\phi(x) + \frac{\lambda}{4!} \phi^4(x)) dx} \rangle \cdot I(0)$$

$$= \sum_{k,m=0}^{\infty} \int dx_1 dx_2 \dots dx_m \frac{1}{k!} \frac{(-\lambda)^k}{(4!)^k} \cdot \frac{1}{m!} J(x_1) \dots J(x_m)$$

$$\langle \phi(x_1) \phi(x_2) \phi^4(x_3) \dots \phi^4(x_k) \rangle$$

Still ill-defined.

## Problem

## Way out

1)  $\langle \phi^4(x) \rangle$  means

$\langle \cdots \phi(x_1) \phi(x_2) \phi(x_3) \phi(x_4) \cdots \rangle$  and  
involves  $D(x-y)$

Declare  $\phi^4(x)$  to mean  
"drop all summands with  
 $\Delta(x-y)$ "

e.g.

$$\langle \phi^4(x) \rangle = 0$$

$$\langle \phi(x) \phi^4(y) \rangle =$$



+ 23 more

$$= 24 D(x-y)^4$$

"normal ordering"

introduce cutoff  $\Lambda$

and say

$$|x_i - x_j| \geq \frac{1}{\Lambda} \text{ for all } i \neq j$$

$$|x_i| \leq \Lambda$$

"regularisation"

3) Correlator dep. on  $\Lambda$

Fix "physical quantities", e.g.

fix  $m$  and demand

$$\langle \phi(r) \phi(0) \rangle_{\text{part.}} \underset{x \rightarrow 0}{\sim} (mr)^m e^{-mr} \int^{*\infty}$$

replace action

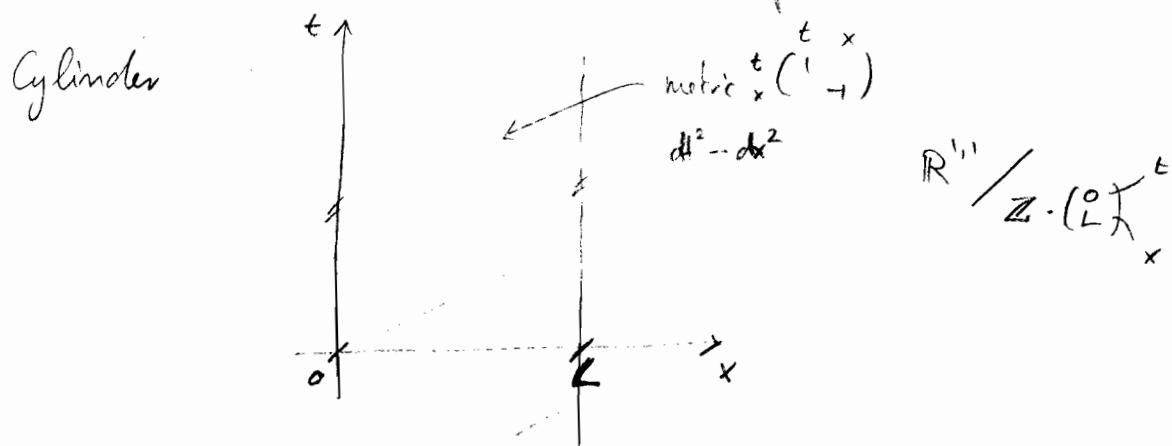
$$\frac{1}{2} \left( A(\lambda) (\partial \phi)^2 + M(\lambda) \phi^2 + \frac{\lambda(\lambda)}{4!} \phi^4 \right)$$

Take  $\lambda \rightarrow \infty$  at each order in  $\lambda$   
keeping  $(\phi)$  fix

(c.f. Ising model)  $\begin{cases} \text{not poss. for all} \\ \text{interactions, e.g.} \end{cases}$   
"renormalisation"  $\begin{cases} \text{not for gravity.} \end{cases}$

## 4) Partition functions

### 4.1) Free boson via canonical quantization



#### 4.1.1) Classical theory

scalar field  $\varphi: \mathbb{R} \times \frac{\mathbb{R}}{\mathbb{Z}L} \rightarrow \mathbb{R}$

$$\text{action } S(\varphi) = \frac{1}{2} \int ((\partial_t \varphi)^2 - (\partial_x \varphi)^2 - M^2 \varphi^2) dt dx$$

Lagrangian

$$L(\varphi, \dot{\varphi}) = \frac{1}{2} \int_0^L ((\dot{\varphi})^2 - (\partial_x \varphi)^2 - M^2 \varphi^2) dt , \text{ s.t. } S = \int L dt$$

Fourier expand  $\varphi$ :

$$\varphi(x, t) = \sum_{n \in \mathbb{Z}} e^{2\pi i n \frac{x}{L}} \cdot \varphi_n(t)$$

where  $\varphi_n: \mathbb{R} \rightarrow \mathbb{C}$ ,  $\varphi_n(t)^* = \varphi_{-n}(t)$   
s.t.  $\varphi(x, t)$  real.

(25)

Rewrite  $L$  in terms of  $\varphi_n, \dot{\varphi}_n$ :

$$L(\{\varphi_n, \dot{\varphi}_n\}) = \frac{L}{2} \sum_{n \in \mathbb{Z}} \left( \dot{\varphi}_n \dot{\varphi}_{-n} - \left( \left( \frac{2\pi n}{L} \right)^2 + M^2 \right) \varphi_n \varphi_{-n} \right)$$

Conjugate momenta

$$\pi_n = \frac{\partial L}{\partial \dot{\varphi}_n} = L \dot{\varphi}_n$$

Hamiltonian

$$\begin{aligned} H &= \sum_{n \in \mathbb{Z}} \pi_n \dot{\varphi}_n - L \\ &= \frac{1}{2L} \sum_{n \in \mathbb{Z}} \left( \pi_n \pi_{-n} + \left( (2\pi n)^2 + (LM)^2 \right) \varphi_n \varphi_{-n} \right) \end{aligned}$$

#### 4.1.2) Quantum theory

( $\hbar = 1$ )

Operators  $\varphi_n, \pi_n$  ( $n \in \mathbb{Z}$ ) on Hilb. sp  $\mathcal{H}$  (below) s.t.  
 $\varphi_n^* = \varphi_{-n}$ ,  $\pi_n^* = \pi_{-n}$ ,  
 $[\varphi_m, \varphi_n] = 0 = [\pi_m, \pi_n]$  and  $[\varphi_m, \pi_n] = i \delta_{m,n}$

Start with truncated  $H$ :

$$H_K = \frac{1}{2L} \sum_{n=-K}^K \left( \pi_n \pi_{-n} + \left( (2\pi n)^2 + (LM)^2 \right) \varphi_n \varphi_{-n} \right)$$

Set

$$\omega_n = + \sqrt{\left( \frac{2\pi n}{L} \right)^2 + M^2}, \quad \beta_n = + \frac{L}{2} \sqrt{\omega_n} \quad (\epsilon \mathbb{R})$$

$$a_n = \beta_n \varphi_n + \frac{i}{2\beta_n} \pi_{-n} \quad (\Rightarrow a_n^* = \beta_n \varphi_{-n} - \frac{i}{2\beta_n} \pi_n)$$

(26)

Check

$$[a_m, a_n] = 0 = [a_m^*, a_n^*]$$

$$[a_m, a_n^*] = \delta_{m,n}$$

and

$$H_K = \sum_{n=-K}^K \omega_n (a_n^* a_n + \frac{1}{2})$$

→ one harmonic oscillator for each  $n \in \mathbb{Z}$ .

Infinite constant for  $K \rightarrow \infty$ . Define

$$\tilde{H} = \underbrace{\left( \sum_{n \in \mathbb{Z}} \omega_n a_n^* a_n \right)}_{=: H_0} + G(L, M) \bigoplus_{n \in \mathbb{Z}}$$

Hilb. space as in H.O.:  $\Omega$  with  $a_m \Omega = 0 \quad \forall m \in \mathbb{Z}$  and

$$\mathcal{H} = \overline{\text{span}(a_{m_1}^* \dots a_{m_p}^* \Omega)} = \bigotimes_{n \in \mathbb{Z}} V_n$$

$$m_1 \geq \dots \geq m_p$$

$$\text{with } V_n = \overline{\text{span}_{k \geq 0} ((a_n^*)^k \Omega)}$$

(27)

### 4.1.3) Partition function

P.F. ≈

Generating function for dim. of H-eigenspaces/values

$$Z(R, L) = \text{tr}_H(e^{-RH})$$

$$= e^{-RG(L, M)} \prod_{m \in \mathbb{Z}} \text{tr}_{V_m}(e^{-RH_0})$$

Now

$$[H_0, a_m^*] = \sum_{n \in \mathbb{Z}} \omega_n [a_n^* a_n, a_m^*] = \omega_m a_m^*$$

$$H_0 \Omega = 0 \quad \vdash \delta_{m,n} \cdot a_n^*$$

$$H_0(a_m^*)^k \Omega = k \cdot \omega_m (a_m^*)^k \Omega$$

Thus

$$\text{tr}_{V_m}(e^{-RH_0}) = \sum_{k=0}^{\infty} \underbrace{e^{-R\omega_m k}}_{<1} = \frac{1}{1 - e^{-R\omega_m}}$$

and

$$Z(R, L) = e^{-RG(L, M)} \prod_{m \in \mathbb{Z}} \left(1 - e^{-2\pi \frac{R}{L} \sqrt{m^2 + \mu^2}}\right)^{-1} ; \mu = \frac{ML}{2\pi}$$

Consider , for  $q = e^{-2\pi t}$ ,  $t > 0$  (cf [BGG] Sec. 3.1)

$$f_1^{(\nu)}(q) = q^{-\Delta(\nu)} (1-q^\nu)^{\frac{1}{2}} \prod_{n=1}^{\infty} (1-q^{\sqrt{n^2+\nu^2}})$$

$\Delta(\nu) \in \mathbb{R}$  some (fixed) fm

Have

$$\boxed{f_1^{(\nu)}(q) = f_1^{(\nu+t)}(\tilde{q}) ; \tilde{q} = e^{-2\pi/t}}$$

For  $G(L, M) = \frac{4\pi}{L} \Delta(\mu)$  have  $e^{-2\pi \frac{R}{L} \cdot \frac{LC(L, M)}{2\pi}} = q^{-2\Delta(\mu)}$   
get

$$Z(R, L) = \left( f_1^{(\mu)}(q) \right)^{-2}$$

$$= \left( f_1^{(\mu+t)}(\tilde{q}) \right)^{-2} = f_1^{(\frac{ML}{2\pi} + \frac{R}{L})} \left( e^{-2\pi \frac{L}{R}} \right)^{-2} = Z(L, R)$$

interpretation : eucl. QFT on torus : amplitude

$$Z\left(\begin{array}{|c|c|}\hline R & \\ \hline & L \\ \hline\end{array}\right) = Z\left(\begin{array}{|c|c|}\hline L & \\ \hline & R \\ \hline\end{array}\right)$$

inv. under  $R \leftrightarrow L$ .

$$\Delta(\nu) = -\frac{1}{(2\pi)^2} \sum_{p=1}^{\infty} \int_0^{\infty} ds e^{-ps} e^{-\pi^2 \nu^2 / s}$$

(29)

#### 4.1.4 Massless Circuit

For  $v \rightarrow 0$  :  $q^{\sqrt{n^2+v^2}} \rightarrow q^n$

$$\Delta(v) \rightarrow -\frac{1}{24} \quad (\text{c.f. [BGG] Sect. 3.1})$$

$$(1-q^v)^{\frac{1}{2}} = (1-e^{-2\pi tv})^{\frac{1}{2}} = \sqrt{2\pi tv}(1+\alpha_v)$$

Then

$$\lim_{v \rightarrow 0} \frac{1}{\sqrt{2\pi v}} \cdot f_1^{(v)}(q) = \sqrt{t} \cdot \eta(q) ; q = e^{-2\pi t}$$

$$\eta(q) = q^{\frac{1}{24}} \prod_{n>0} (1-q^n)$$

Define

$$Z_o(R, L) = \lim_{M \rightarrow 0} \sqrt{RL} M Z(R, L)$$

this gives

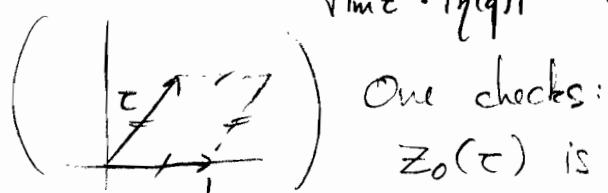
$$= \lim_{M \rightarrow 0} \sqrt{RL} M \left( f_1^{\left(\frac{ML}{2\pi}\right)}(q) \right)^{-2}$$

$$= \lim_{n \rightarrow 0} -\sqrt{RL} \frac{1}{L} 2\pi \left( \frac{ML}{2\pi} \right) \left( f_1^{(n)}(q) \right)^{-2} ; q = e^{-2\pi \frac{R}{L}} ; t = \frac{R}{L}$$

$$= \sqrt{RL} \frac{1}{L} \cdot \frac{L}{R} \left( \eta(q) \right)^{-2} = \frac{1}{\sqrt{t}} \frac{1}{\eta(q)^2}$$

Can solve for  $\tau = it$  in UHP

$$Z_o(\tau) = \frac{1}{\sqrt{\operatorname{Im} \tau} \cdot |\eta(q)|^2} ; q = e^{2\pi i \tau} ; \eta(-\frac{1}{\tau}) = \sqrt{-i\tau} \eta(\tau)$$



One checks:

$Z_o(\tau)$  is modular invariant, i.e.

part. fn. of massless free bos. is mod. inv.

(30)

## 4.2 Free boson partition function via funct. integrals

Want to extract  $Z(R, L)$  from funct. int.

$$(*) \int_{\{q: T \rightarrow \mathbb{R}\}} d\varphi e^{-S_E(\varphi)} \quad \text{where } T = \frac{\mathbb{R}^2}{R\mathbb{Z} \times L\mathbb{Z}} \text{ a torus}$$

$$S_E(\varphi) = \frac{1}{2} \int_T ((\partial_1 \varphi)^2 + (\partial_2 \varphi)^2 + m^2 \varphi^2) dx$$

Euclidean action.

Rewrite

$$S_E(\varphi) = \frac{1}{2} \int_T \varphi(x) (-\Delta + M^2) \varphi(x) dx$$

Expand  $\varphi$  in eigenfns of  $(-\Delta + M^2)$

$$\varphi(x_1, x_2) = \sum_{k, l \in \mathbb{Z}} \frac{c_{k, l}}{RL} e^{2\pi i \frac{x_1}{R} \cdot k} e^{2\pi i \frac{x_2}{L} \cdot l} ; c_{k, l}^* = c_{-k, -l}$$

$$\Rightarrow S_E(\varphi) = \sum_{k, l \in \mathbb{Z}} \underbrace{c_{k, l} c_{-k, -l}}_{= |c_{k, l}|^2} \underbrace{\left( \left( \frac{2\pi k}{R} \right)^2 + \left( \frac{2\pi l}{L} \right)^2 + M^2 \right)}_{=: \lambda_{k, l}}$$

So replace

$$\int D\varphi e^{-S_E(\varphi)} \rightsquigarrow \int \prod_k d c_{k, l} e^{-\frac{1}{2} \sum |c_{k, l}|^2 \cdot \lambda_{k, l}} \propto \frac{1}{\sqrt{\prod_l \lambda_{k, l}}} = \frac{1}{\sqrt{\det'(-\Delta + M^2)}}$$

Interpret (\*) as S-regualrised det.

$$(*) \propto \frac{1}{\sqrt{\det'(-\Delta + M^2)}}$$

(21)

where, for op.  $A$  with eval  $\lambda_i$ ; set

$$G(s) = \sum_i' \lambda_i^{-s} \quad \text{not } \lambda = 0 \quad \Rightarrow \quad G'(s) = -\sum_i' \ln \lambda_i \cdot \lambda_i^{-s}$$

and

$$\det' A := e^{-G'(0)}$$

Langish calc... newer result from 4.1.3, 4.1.4,  
see [SI] Sect. 2 and [DME] Ch. 10.2.

(32)

## 4.3 Massless free fermion on the circle

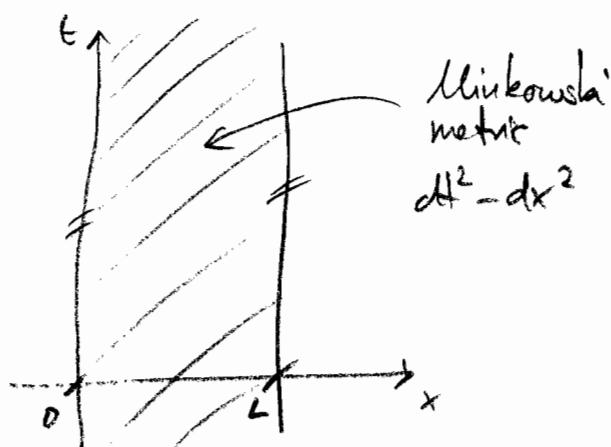
Here: start directly with quantum theory

### 4.3.1 Quantum theory

Space-time as in 4.1

$$\mathbb{R} \times \frac{\mathbb{R}}{L\mathbb{Z}}$$

↑ t      ↑ x



Operators  $b_k, \bar{b}_k$ ,  $k \in \mathbb{Z}$  s.t. (see [DMS] Ch 6.4, [Gi] Sect. 6)

$$b_k^* = b_{-k}$$

$$\bar{b}_k^* = \bar{b}_{-k}$$

$$\{b_k, b_\ell\} = \delta_{k+\ell, 0} \quad \text{ditto}$$

ii

$b_k b_\ell + b_\ell b_k$  anticommutator (not Poisson bracket)

and

$$\{b_k, \bar{b}_\ell\} = 0 \quad \forall k, \ell \in \mathbb{Z}$$

Real fermions  $\psi, \bar{\psi}$ :

$$\psi(x) = \sqrt{\frac{2\pi}{L}} \sum_{k \in \mathbb{Z} + v} e^{2\pi i k \frac{x}{L}} b_k \quad v \in \{0, \frac{1}{2}\}$$

$$\bar{\psi}(x) = \sqrt{\frac{2\pi}{L}} \sum_{k \in \mathbb{Z} + v} e^{-2\pi i k \frac{x}{L}} \bar{b}_k$$

Note:  $\psi(x)^* = \psi(x)$

$v=0$ : periodic  
Ramond sector (R)

$v=\frac{1}{2}$ : antiperiodic  
Neveu-Schwarz sector (NS)

(33)

Hamiltonian

$$H_\nu = \frac{2\pi}{L} \left( \sum_{\substack{k \in \mathbb{Z} + \nu, \\ k > 0}} k(b_{-k} b_k + b_{-k}^\dagger b_k^\dagger) + E_\nu \right)$$

constant

Hilbert space :  $\mathcal{H}_\nu$ 

$$\text{ground state } \Omega, \quad b_k \Omega = 0 = b_k^\dagger \Omega \quad (k > 0)$$

excited states

$$b_{-k_1} b_{-k_2} \dots b_{-k_e} \Omega, \quad k_1 > k_2 > \dots > k_e$$

$$k_i \in \mathbb{Z} + \nu$$

e.g.

$$\mathcal{H}_0 = \mathcal{H}_R \text{ has } \Omega, b_0 \Omega, b_1 \Omega, b_- b_0 \Omega$$

(and  $b_-^{\dagger}$ 's) (\*)

$$\text{but } b_k b_k^\dagger = 0 \text{ for } k \neq 0.$$

$$\mathcal{H}_{\frac{1}{2}} = \mathcal{H}_{RS} \text{ has } \Omega, b_{\frac{1}{2}} \Omega, b_{-\frac{3}{2}} b_{\frac{1}{2}} \Omega,$$

(and  $b_-^{\dagger}$ 's.)

Fermion number operator  $F$ 

$$F b_{-k_1} \dots b_{-k_m} b_{-l_1} \dots b_{-l_n} \Omega = (m+n) b_{-k_1} \dots b_{-l_n} \Omega$$

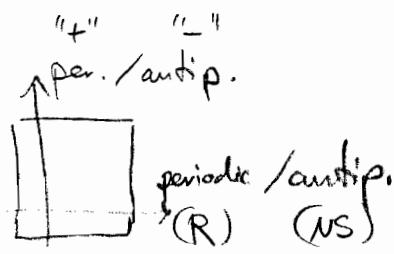
(\*)  $\triangle \text{span}_C(\Omega, b_0 \Omega)$  is a 2-dim repn of the  
 4-dim Clifford alg.  $\{b_0, b_0\} = 1 = \{b_0, b_0^\dagger\}$  and  $\{b_0, b_0^\dagger\} = 0$ .  
 E.g. basis  $\Omega, b_0 \Omega$  and  $b_0 b_0 \Omega = \frac{1}{2} \Omega, b_0^\dagger b_0^\dagger \Omega = -i \Omega$ .

## 4.3.2 Partition functions (34)

Four torus partition functions

Here only b's

$$q = e^{-2\pi \frac{R}{L}}$$



$$\boxed{\begin{array}{c} \uparrow \\ \rightarrow R \end{array}} : X_{R,+}(q) = \text{tr}_{H_R} \left( (-1)^F e^{-RH_b} \right)$$

$$\boxed{\begin{array}{c} \uparrow \\ \rightarrow R \end{array}} : X_{R,-}(q) = \text{tr}_{H_R} (e^{-RH_b})$$

etc... Note

$$e^{-RH_b} b_{-k_1} \dots b_{-k_n} \Omega$$

$$= e^{-2\pi \frac{R}{L}(k_1 + \dots + k_n)} b_{-k_1} \dots \Omega$$

Each  $b_k$  ( $k \in \mathbb{Z}_{\geq 0}^{+v}$ ) can be present 0 or 1 times. Thus

$$\text{tr}_{H_v} (e^{-RH_v}) = q^{\frac{1}{2}E_0} \left( 1 + q^v \right) \left( 1 + q^{v+1} \right) \dots$$

$$= q^{\frac{1}{2}E_0} \prod_{m \in \mathbb{Z}_{\geq 0}^{+v}} (1 + q^m)$$

$$\text{tr}_{H_v} \left( (-1)^F e^{-RH_v} \right) = q^{\frac{1}{2}E_h} (1 - q^v)(1 - q^{v+1}) \dots$$

$$= q^{\frac{1}{2}E_h} \prod_{m \in \mathbb{Z}_{\geq 0}^{+v}} (1 - q^m)$$

(35)

Thus (see [DMS] Ch 10.3, 10.4)

$$v=0: \chi_{R,+}(q) = 0 \quad (1-q^v = 0 \text{ for } v=0)$$

$$v=\frac{1}{2}: \chi_{NS,+}(q) = \sqrt{\frac{\Theta_4(q)}{\eta(q)}} \quad \text{if } E_{\frac{1}{2}} = -\frac{1}{24}$$

$$\text{as } \Theta_4(q) = \prod_{n=1}^{\infty} (1-q^n)(1-q^{n-\frac{1}{2}})^2$$

$$\eta(q) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1-q^n)$$

Similarly

$$\chi_{R,-}(q) = \sqrt{\frac{2\Theta_2(q)}{\eta(q)}} \quad \text{if } E_0 = \frac{1}{12}$$

as

$$\Theta_2(q) = 2q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1-q^n)(1+q^n)^2$$

$$(\text{with } \bar{b}_5: \chi_{R,-}(q) = \sqrt{\frac{2\Theta_2(q)\Theta_3(q)}{\eta(q)\gamma(q)}} \text{ as } \bar{b}_0\Omega \text{ and } \bar{b}_0\bar{b}_0\Omega)$$

$$\chi_{NS,-}(q) = \sqrt{\frac{\Theta_3(q)}{\eta(q)}}$$

already counted via  
 $\Omega, \bar{b}_0\Omega,$   
 see  $\Delta$  on p.33 )

(36)

$\frac{1}{2} \cdot (\text{Sum over 4 periodicities})$  gives modular invariant partition function of free fermion

(which incidentally is the critical Ising model we started from (continuum limit taken at  $\beta = \beta_c$ , though, not at  $\beta < \beta_c$ ))

$$Z_{\text{ferm}(c)} = \frac{1}{2} \left( 0 + \left| \frac{\theta_2}{\eta} \right| + \overbrace{\left| \frac{\theta_3}{\eta} \right| + \left| \frac{\theta_4}{\eta} \right|}^{\Omega^S} \right)$$

- 1.1. Ising model in 2d: [MW, Ch. XI].
- 1.2. Free boson in 2d: [DMS, Ch.2.3].
- 1.3. 3 sets of axioms for QFT.
  - a) correlation functions: [Ha, Ch. II], [Ka, § 1.2,2.2], [RS, Ch.IX.8].
  - b) algebras of observables: [Ha, Ch. III], [Ar, Ch.4].
  - c) "bordism amplitudes": [At, Se, ST].
- 2.2 Least action: [Ar, Ch.3]
- 2.5 Path integrals: [RS, Ch. VII1.8, IX.7, X.11], [PS, Ch.9.1.]
- 3.2.1 Gaussian integrals: [BB, Sec. 4.1]' [OMS, App. 2.A].
- 3.2.2 Free fields: [BB, Sec. 4.2]' [OMS, Ch.2.3], [PS, Ch. 9.2,9.3].
- 3.2.3 Generating functions: [Ze, Ch. 1.7].
- 3.3.1 a-dim. QFT: [BB, Sec. 5].
- 4.1.2 Quantum theory: [OMS, Ch.6.3].
- 4.1.3 Partition function: [BGG, Sec. 3.1], ISI, Sec. 2].
- 4.1.4 Massless limit: [BGG, Sec.3.1].
- 4.2 Free boson partition function via functional integrals: [OMS, Ch. 10.2]' ISI, Sec. 2].
- 4.3.1 Quantum theory for free fermion: [OMS, Ch.6.4]' [Gi, Sec. 6].
- 4.3.2 Partition functions: [OMS, Ch. 10.3, 10.A], [Gi, Sec. 7].

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