



2244-16

Summer School on Particle Physics

6 - 17 June 2011

Neutrino Physics - III

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Neutrino Physics Past, "Present" and Future

"<u>Puzzle Solving</u>" **<u>News Flash</u> 0.03 ≤sin²2θ₁₃ ≤0.3 by T2K Best Fit 0.11!

> William J. Marciano ICTP Lecture 4 Trieste, Italy June, 2011



OUTLINE

- 1.) *Early History* (1931- 1973) the first 42 years
- 2.) Weak Neutral Currents: $SU(2)_L xU(1)_Y$ confirmation
- 3.) *Neutrino Oscillations*: Reactor, Solar, Atmospheric
- *4.) Neutrino Masses, Mixing, & Matter
 "<u>New T2K Result</u>" sin²2θ₁₃≈0.11 (best fit)!
- 5.) *Leptogenesis*: Matter-Antimatter Asymmetry (Universe)
- 6.) Neutrinoless Double Beta Decay (Dirac vs Majorana)
- 7.) Leptonic CP Violation (neutrino vs antineutrino) Requirements~300kton H_2O , 1-2MW protons,
- 8.) Future Neutrino Physics → Muon Collider
- 9.) Outlook & Speculation

3.) *Neutrino Oscillations: Reactor, Solar, Atmospheric...*

 If states are nearly degenerate & mix, quantum oscillations are possible. Produce a state that is not a Hamiltonian eigenstate, but a linear combination of several. Each will evolve separately in time and overall oscillations will occur.

<u>Examples:</u> K⁰-K⁰bar, B⁰-B⁰bar etc max mixing 45^o

 $K^{0}(t=0) \rightarrow K^{0}bar \rightarrow K^{0}...$ (modulo decay)

Neutrinos similar but different (Fundamental point particles) not bound states

Non zero (but small) neutrino masses →oscillations Or oscillations → neutrino masses & mixing <u>Blackboard Discussion of Neutrino Mass</u>

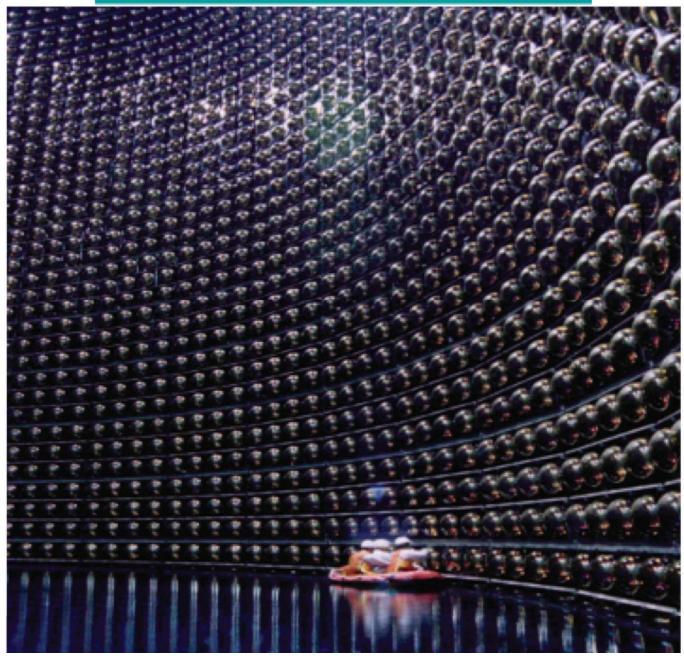
4. Neutrino Masses, Mixing and Matter

- 1969-90s <u>Ray Davis</u> Measures Solar v_e Flux at Homestake Deep Underground Mine ~1/3 Expected! Gallex, Sage, SuperK, <u>SNO</u>, <u>Kamland</u> (Reactor) <u>Interpretation</u>: solar v_e→1/3 v_e+1/3v_μ+1/3v_τ (roughly)
- 1980s IMB, Kamioka, measure atm. v_{μ} flux, less than expected (Also observe supernova 1987a neutrinos!) SuperK; K2K, MINOS (Accelerators)

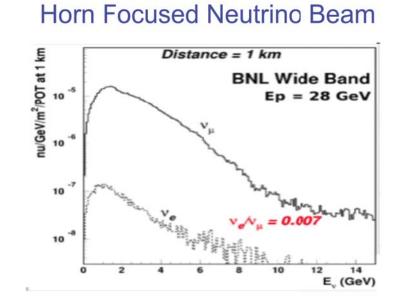
Interpretation: atm. $\nu_{\mu} \rightarrow 1/2\nu_{\mu} + 1/2\nu_{\tau}$ (near maximal!)

Neutrino Oscillations Established →Neutrino Masses & Mixing Measured (<u>Great Progress!</u>)

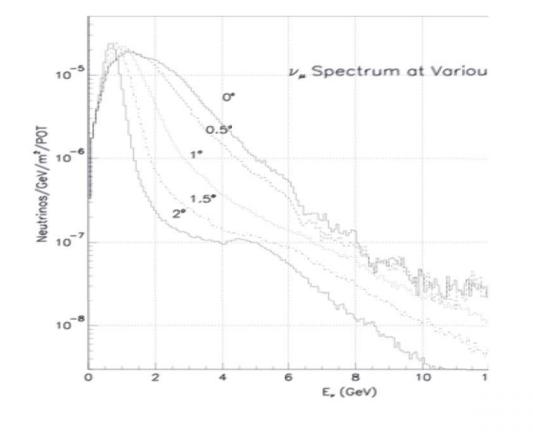
SUPER KAMIOKANDE



Wide Band Neutrino Beam



Neutrino Beam For Off-Axis Detector



<u>3 Generation Mixing Formalism & Status</u>

$$\begin{pmatrix} |\nu_e \rangle \\ |\nu_{\mu} \rangle \\ |\nu_{\tau} \rangle \end{pmatrix} = U \begin{pmatrix} |\nu_1 \rangle \\ |\nu_2 \rangle \\ |\nu_3 \rangle \end{pmatrix}$$
(1)

1.0

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

$$c_{ij} = \cos\theta_{ij} \quad , \quad s_{ij} = \sin\theta_{ij}$$

$$J_{CP} \equiv \frac{1}{8}\sin 2\theta_{12}\sin 2\theta_{13}\sin 2\theta_{23}\cos\theta_{13}\sin\delta. \qquad (2)$$

$$v_{\mu} \rightarrow v_{\mu}$$
 Disappearance

 $P(v_{\mu} \rightarrow v_{\mu}) = 1 - \sin^2 2\theta_{13} \sin^2 (\Delta m_{32}^2 L/4E_v) + ... \Delta m_{21}^2 \text{ terms}$

K2K (250km) + Atmospheric disappearance at SuperK detector $v_{\mu} \rightarrow v_{\mu}$ + (antineutrinos) MINOS-Fermilab (730km) $v_{\mu} \rightarrow v_{\mu}$

 $\Delta m_{32}^2 = m_3^2 - m_2^2 = \pm 2.4(1) \times 10^{-3} \text{ eV}^2$ sin²2 $\theta_{23} \approx 1.0$ Maximal $\theta_{23} \approx 45^{\circ}$

In those experiments $(v_{\mu} \rightarrow v_{e})$ appearance not seen Reactors anti- $v_{e} \rightarrow antiv_{e}$ not seen (short distance) $\theta_{13} \le 11^{\circ} \quad sin^{2}2\theta_{13} \le 0.15$

Before Today Neutrino Mass & Mixing Parameters

- $\Delta m_{32}^2 = m_3^2 m_2^2 = \pm 2.4(1) \times 10^{-3} \text{ eV}^2$ (atmospheric)
- $\Delta m_{21}^2 = m_2^2 m_1^2 = +7.6(2) \times 10^{-5} \text{ eV}^2$ (solar) (Very precise Minos & KamLAND Measurements) $|\Delta m_{21}^2 / \Delta m_{32}^2 \approx 1/30| \rightarrow CP \text{ Violation Exp Doable!}$ Hierarchy $m_3 > m_1 \& m_2$ (normal) or $m_3 < m_1 \& m_2$ (inverted)?

Large Mixing!

- $\theta_{23} \sim 45^{\circ} \text{ sin}^2 2\theta_{23} = 1.0 \quad (\theta_{23} \text{ or } 90^{\circ} \theta_{23}) \text{ (atm.)}$
- $\theta_{12} \sim 34^{\circ} \sin^2 2\theta_{12} = 0.87(3)$ (solar)
- $\theta_{13} \le 11^{\circ} \quad \sin^2 2\theta_{13} \le 0.15 \text{ (How Small?)}$

 $0 \le \delta \le 360^\circ$?

 $J_{CP} \approx 0.11 \sin 2\theta_{13} \sin \delta$ (potentially large!)

What do we still need to learn?

- 1. Value of θ_{13} ? (Reactors: $\sin^2 2\theta_{13} \rightarrow 0.01$) (Long Baseline $v_{\mu} \rightarrow v_e 0.003$)
- 2. Sgn Δm_{32}^2 ? (Important for Neutrinoless $\beta\beta$ Decay)
- 3. Value of δ?, J_{CP}?, <u>CP Violation? (Holy Grail)</u>
- 4. **Precision** Δm_{32}^2 , Δm_{21}^2 , θ_{23} , θ_{12} (better than 1%!)
- 5. <u>"New Physics"</u> Sterile v, <u>Very Weak</u> Long Distance Physics (*The Dark World*)...

Leptogenesis: Matter-Antimatter Asymmetry

- More baryons than antibaryons in our Universe
- Leptogenesis Scenario:
 - Heavy Majorana Neutrinos Created and Decay
 N→H⁻e⁺, H⁰vbar (<u>L & CP VIOLATION</u>)
 Leads to antilepton (excess)-lepton Asymmetry
- 2. <u>Electroweak Phase Transition (250GeV) (Baryogenesis)</u>
 't Hooft Mechanism B-L Conserved (B&L Violated) antilepton excess → baryon (quark) excess by 1 in 10⁹

Is L Violated in Nature? (<u>Neutrinoless ββ Decay</u>) Is there Leptonic CP Violation? (<u>v oscillations</u>) Indirect evidence for Leptogenesis (Best we can do.)

The Fundamental Importance of Neutrinos

Neutrino Physics May Be Responsible For Our Existence! (baryons & electrons)!

They help power the Sun (nuclear Reactions)

They Allow R Process in Supernova (Supernova - Heavy Elements) We are the remnants of Supernovae

7. Leptonic CP Violation

$$P(\nu_{\mu} \rightarrow \nu_{e}) = P_{I}(\nu_{\mu} \rightarrow \nu_{e}) + P_{II}(\nu_{\mu} \rightarrow \nu_{e}) + P_{III}(\nu_{\mu} \rightarrow \nu_{e}) + matter + smaller terms$$

$$\mathbf{P}_{I}(\nu_{\mu} \rightarrow \nu_{e}) = \sin^{2}\theta_{23}\sin^{2}2\theta_{13}\sin^{2}\left(\frac{\Delta m_{31}^{2}L}{4E_{\nu}}\right)$$

$$\begin{aligned} \mathbf{P}_{II}(\nu_{\mu} \to \nu_{e}) &= \frac{1}{2} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos \theta_{13} \\ \sin \left(\frac{\Delta m_{21}^{2}L}{2E_{\nu}}\right) \times \left[\sin \delta \sin^{2} \left(\frac{\Delta m_{31}^{2}L}{4E_{\nu}}\right) \\ &+ \cos \delta \sin \left(\frac{\Delta m_{31}^{2}L}{4E_{\nu}}\right) \cos \left(\frac{\Delta m_{31}^{2}L}{4E_{\nu}}\right) \right] \end{aligned}$$

$$\mathbf{P}_{III}(
u_{\mu}
ightarrow
u_{e}) = \sin^{2} 2 heta_{12} \cos^{2} heta_{13} \cos^{2} heta_{23} \sin^{2} \left(rac{\Delta m_{21}^{2}L}{4E_{
u}}
ight)$$

For antineutrinos, $\delta \rightarrow -\delta$ and opposite matter effect.

June 15, 2011 Neutrino History was made!

- $P(v_{\mu} \rightarrow v_{e}) = \sin^{2}\theta_{23}\sin^{2}2\theta_{13}\sin^{2}(\Delta m_{32}^{2}L/4E_{v}) + ...$ matter effects + Δm_{21}^{2} terms
- ν_{μ} appearance observed by T2K Experiment

"Indication of Electron Neutrino Appearance from an Accelerator-produced Off-axis Muon Neutrino Beam"

by T2K Collaboration $E_v \approx 0.6 \text{GeV L}=290 \text{km}$ 6 v_e events with 1.5(3) background observed 88 total neutrino events

Implications

- sin²2θ₁₃≠0 Major Result! (2.5sigma)
- 0.03(0.04) ≤sin²2θ₁₃ ≤ 0.28(0.34) Normal (Inverted)

Best Fit Value $\sin^2 2\theta_{13} = 0.11$ Large! Reactors will go to ± 0.01

 $J_{CP} \approx 0.11 \sin 2\theta_{13} \sin \delta \approx 0.04 \sin \delta$ (not small!)

Future Measurements (systematics) Much Easier! Statistically, CP Violation still challenging

<u>T2K Results as a function of δ</u>

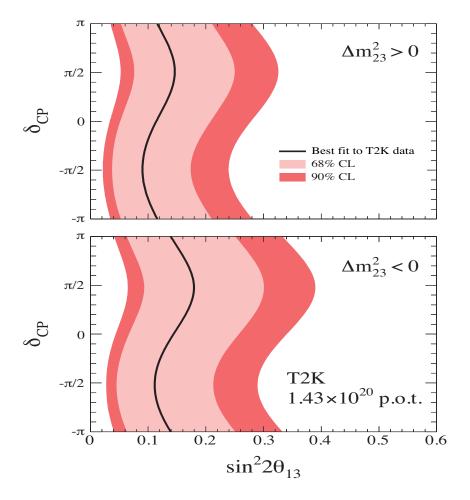
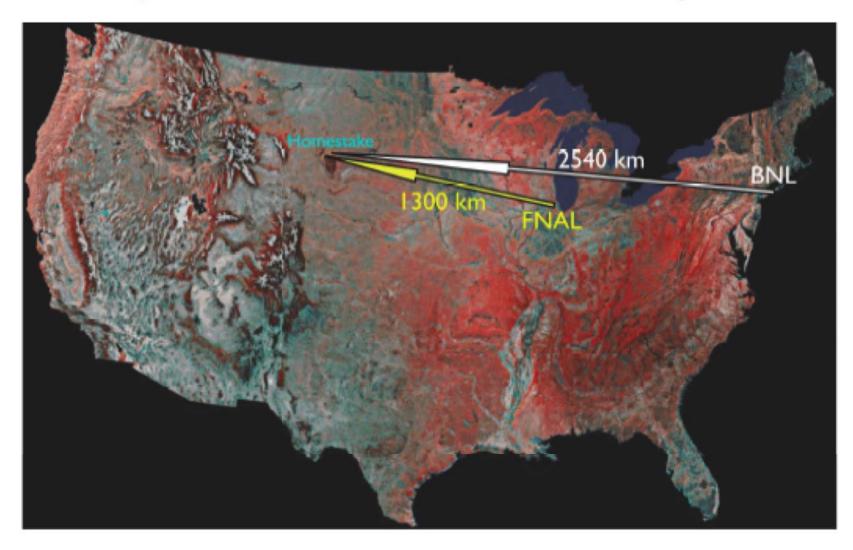


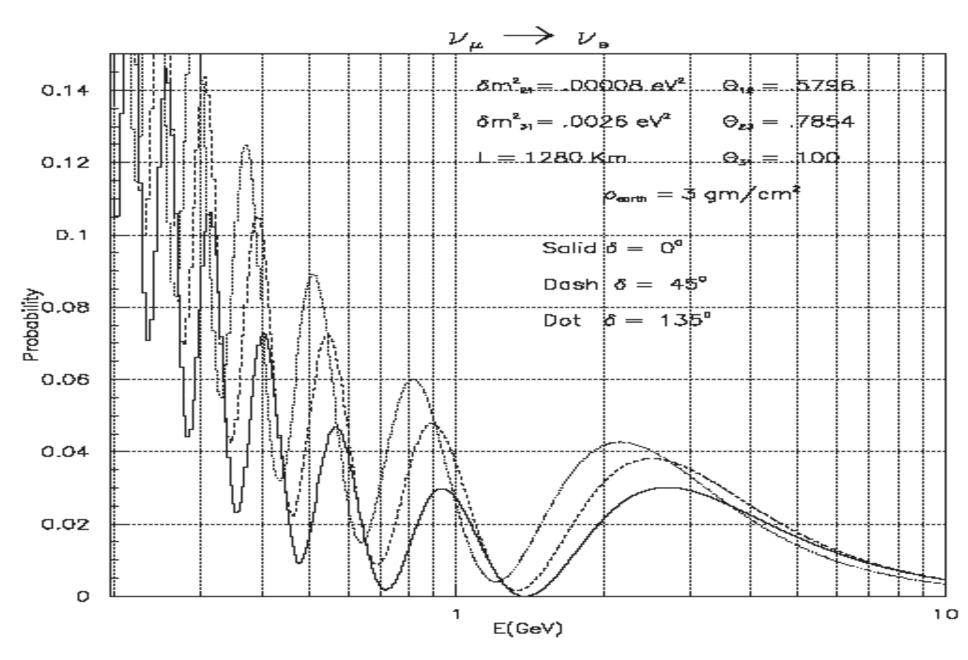
FIG. 6. The 68% and 90% C.L. regions for $\sin^2 2\theta_{13}$ for each value of $\delta_{\rm CP}$, consistent with the

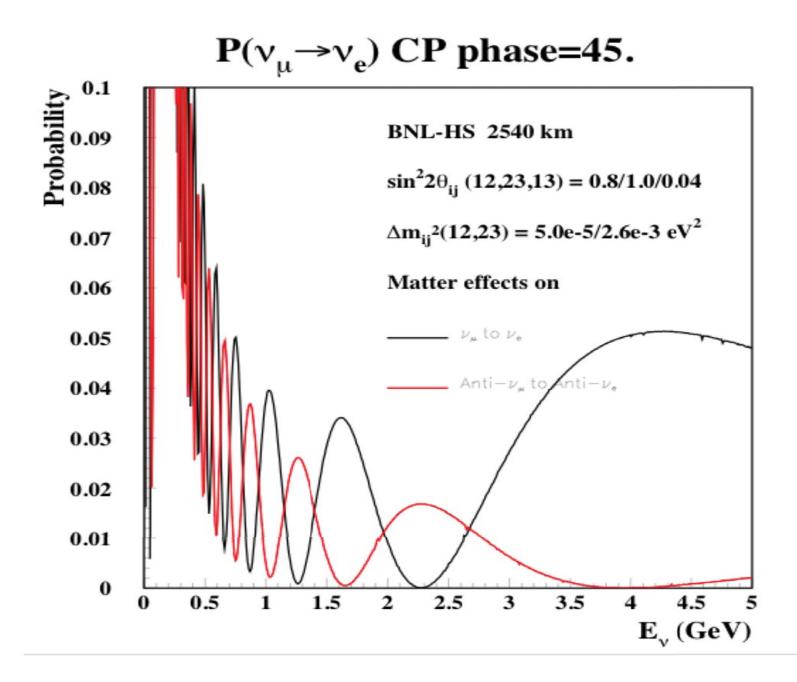
Very Long Baseline Neutrino Oscillations (Fermilab or BNL- Homestake)



Zohreh Parsa, BNL

FNAL





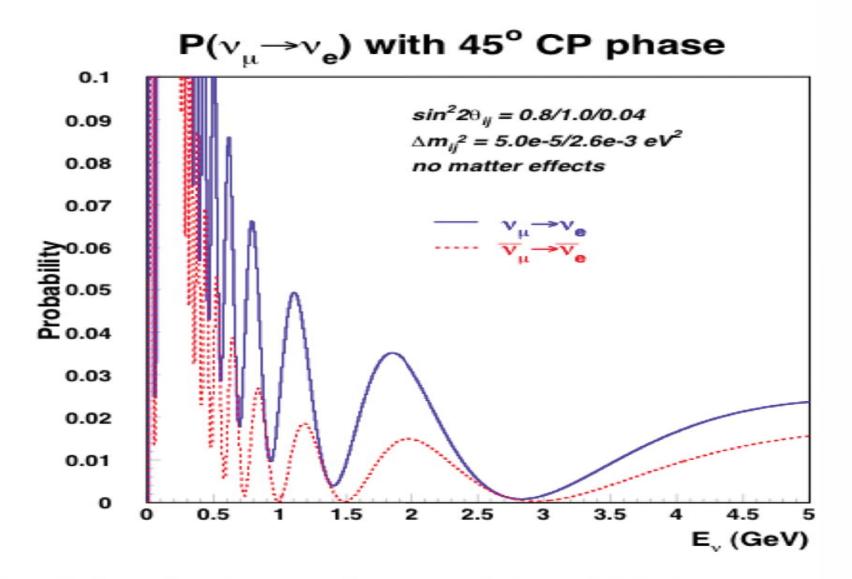
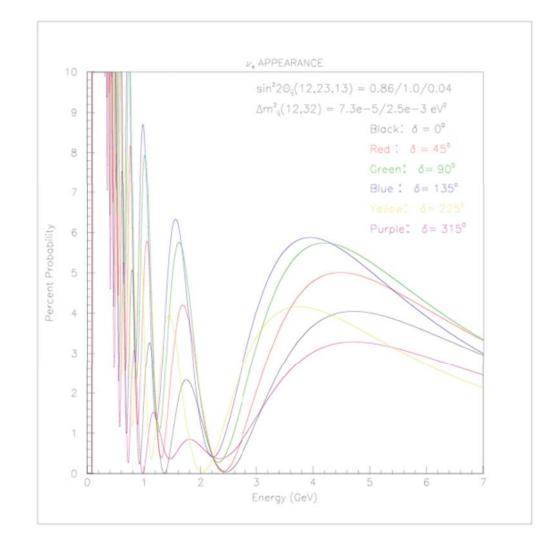


Figure 23: Probability of $\nu_{\mu} \rightarrow \nu_{e}$ and $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$ oscillations at 2540 km in vacuum. assuming a $\delta_{CP} = 45^{\circ}$ CP violation phase. It can be seen that the CP asymmetry between ν_{μ} and



CP Violation Asymmetry

$$A_{CP} \equiv \frac{P(\nu_{\mu} \to \nu_{e}) - P(\bar{\nu}_{\mu} \to \bar{\nu}_{e})}{P(\nu_{\mu} \to \nu_{e}) + P(\bar{\nu}_{\mu} \to \bar{\nu}_{e})}$$
(3)

To leading order in Δm_{21}^2 (sin² $2\theta_{13}$ is not too small):

$$A_{CP} \simeq \frac{\cos \theta_{23} \sin 2\theta_{12} \sin \delta}{\sin \theta_{23} \sin \theta_{13}} \left(\frac{\Delta m_{21}^2 L}{4E_{\nu}} \right) + \text{matter effects}$$
(4)

$$F.O.M. = \left(\frac{\delta A_{CP}}{A_{CP}}\right)^{-2} = \frac{A_{CP}^2 N}{1 - A_{CP}^2} \tag{5}$$

N is the total number of $\nu_{\mu} \rightarrow \nu_{e} + \bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$ events. Since N falls (roughly) as $\sin^{2}\theta_{13}$ and $A_{CP}^{2} \sim 1/\sin^{2}\theta_{13}$, to a first approximation the F.O.M. is independent of $\sin \theta_{13}$. Similarly, given E_{ν} the neutrino flux and consequently N falls as $1/L^{2}$ but that is canceled by L^{2} in A_{CP}^{2} .

i) CP Violation Insensitivities

• To a very good approx., our statistical ability to determine δ or A_{cp} is <u>independent</u> of $\sin^2 2\theta_{13}$ (down to ~ 0.003) and the detector distance L (for long distance).

ii) CP Violation Requirements

- Pick any reasonable θ_{13} (eg sin²2 θ_{13} =0.04 (0.11))
- What does it take to measure δ to ±15° in about $5x10^7$ sec?

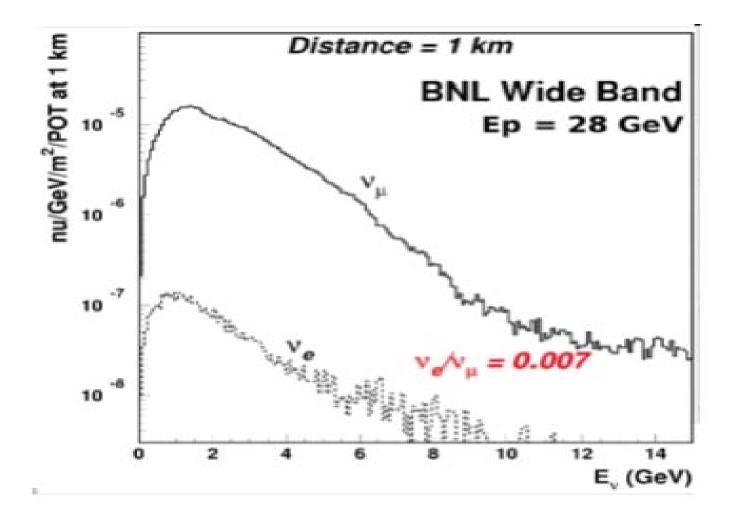
Answer (Approx.): 300kton Water Cerenkov Detector

Approx 20% Acceptance, 50 kton LArgon 90% Acceptance or Hybrid combination

+ Traditional Horn Focused v WBB powered by

<u>1-2MW proton accelerator</u> (egs. Project X at FNAL)

Horn Focused Neutrino Beam



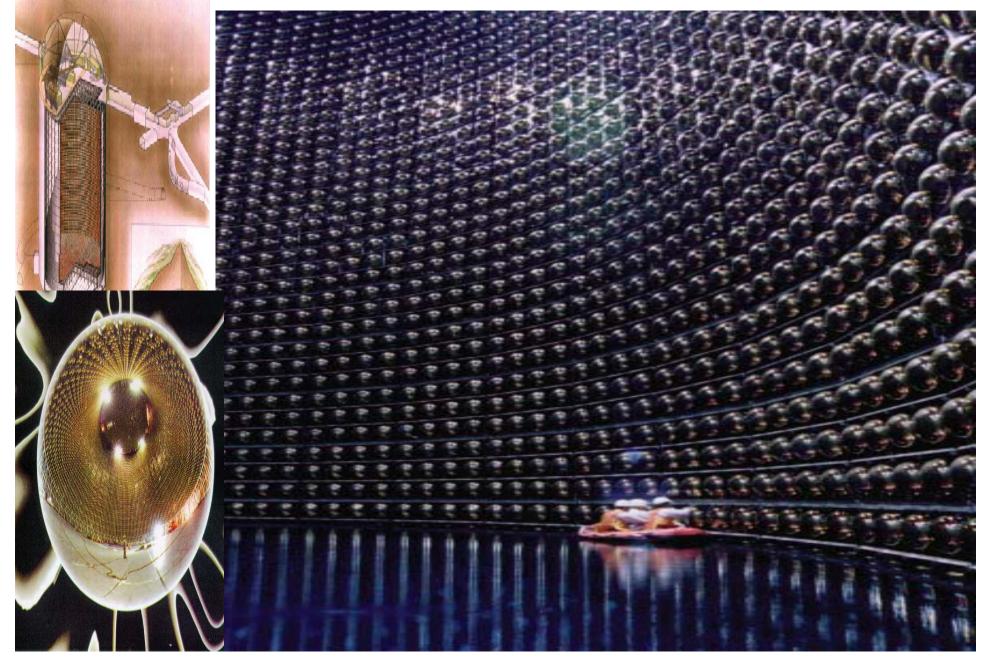
Fermilab Neutrino Spectrum

Neutrino spectrum

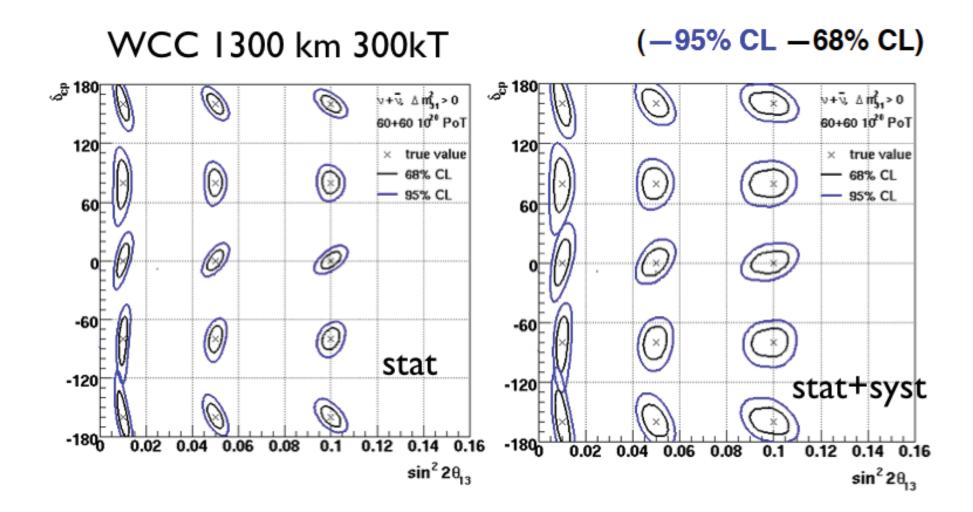
 v_{μ} CC spectrum at 1300km, $\Delta m_{31}^2 = 2.5e-03 \text{ eV}^2$ $\sin^2 2\theta_{13} = 0, \delta_{cp} = n/a$ Probability 0.09 $\sin^2 2\theta_{13} = 0.02, \delta_{cp} = \pi/2$ CC evts/GeV/100kT/10 8000 0.08 $\sin^2 2\theta_{13} = 0.02, \delta_{cp} = 0$ Appearance F 7000 $\sin^2 2\theta_{13} = 0.02, \delta_{cp} = \pi/2$ 6000 5000 4000 0.04 > 3000 0.03 2000 0.02 1000 0.010 E, (GeV)¹⁰ 1

Current FNAL beam design with osc probability

SUPER KAMIOKANDE



CP Phase Insensitivity to θ_{13} Value



4. "New Physics" search via v_{μ} & v_{μ} disappearance

Disappearance at MINOS $v_{\mu} \rightarrow v_{\mu} \&$ anti- $v_{\mu} \rightarrow$ anti- v_{μ} show differences?

 $P(v_{\mu} \rightarrow v_{\mu}) = 1 - \sin^2 2\theta_{32} \sin^2(\Delta m_{32}^2 L/4E_{\nu})$

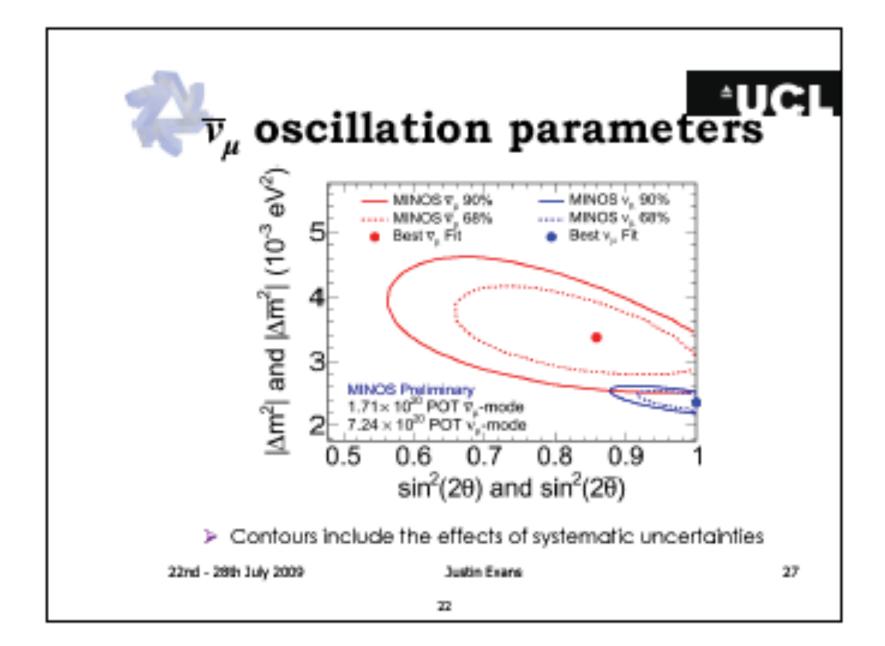
 v_{μ} → v_{μ} : Δm²₃₂=2.35(11)x10⁻³eV² sin²2θ₃₂~1 (>0.91) anti- v_{μ} → anti v_{μ} : Δm²₃₂=3.36(45)x10⁻³eV², sin²2θ₃₂=0.86(11)

2σ difference? 30%?

(Collaboration does not claim discrepancy!)

But good motivation to examine "<u>New Physics</u>" effects in neutrino oscillation experiments, since in the future one might expect better than 1% measurements!

Anticipate Surprises!



ν_{μ} Disappearance

Neutrino Running

- Total exposure: 2500 kT.MW.(10⁷).sec
- 195000 CC evts/6yrs: 2MW-FNAL, 100kT-HS
- Use only clean single muon events.

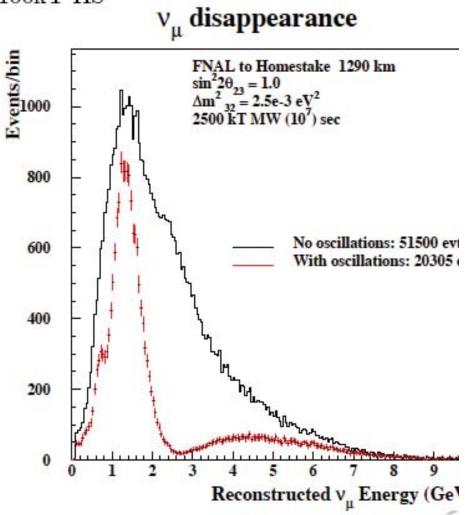
Measurements

- 1% determination of Δm^2_{32}
- 1% determination of $\sin^2 2\theta_{23}$
- Most likely systematics limited.

$\bar{\nu}$ running

- Need twice the exposure for similar size data set.
- very precise CPT test possible.

Very easy to get this effect Does not need extensive pattern recognition. Can enhance the secon minimum by background subtracti



 Δm_{32}^2 and $\sin^2 2\theta_{32}$ can be measured in long baselines as functions of E_v (also obtained from atmospheric v).

 $v_{\mu} \rightarrow v_{\mu}$ & anti- $v_{\mu} \rightarrow anti-v_{\mu}$ Comparison Usually phrased as a test of CPT (true in vacuum)

"General bounds on non-standard neutrino interactions" by Biggio, Blennow and Fernandez-Martinez (2009) Using solar and atmosheric oscillation data in $v_e v_\mu v_\tau$ space

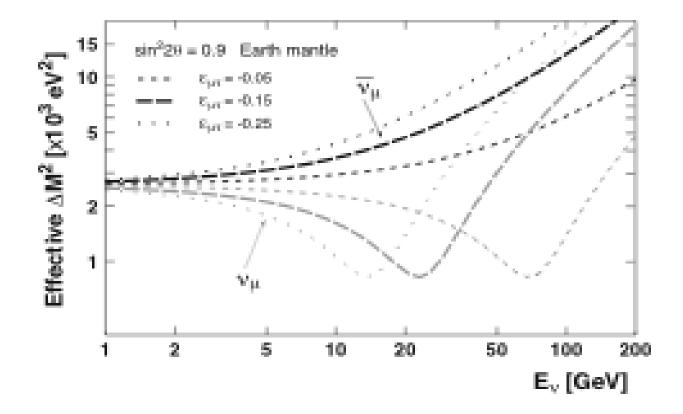
(Bounds being updated-Take with a grain of salt)

ε represents the size of the "New Physics" potential relative to MSW potential (Weak Strength $√2G_F ν_e γ_μ ν_e e γ^μ e$)

<u>Some Interesting Recent *ε*≠0 Examples</u>

Engelhardt, Nelson and Walsh: sterile neutrinos & gauge B-L new long distance physics weakly coupled <u>Heeck and Rodejohann</u>: gauge $L_{\mu}-L_{\tau}$ (violate e- μ - τ universality) <u>very</u> long range interaction, $m_V < 10^{-18} eV!$ Earlier: Joshipura & Mohanty Gauged Le-Lu, Le-L, Lu-L *Fifth Force:* α'≈10⁻⁵²! <u>Mann et al.</u>: New $v_{\mu} \rightarrow v_{\tau}$ Interaction $\varepsilon_{\mu\tau} \sim -0.1$ (see figure, some generic features) Either O(α/Λ^2) Λ large or O(α'/m^2) α' and m small (long distance) Effective potential changes sign for $v_{\mu} \rightarrow anti-v_{\mu}$ All lead to different v_{μ} and anti- v_{μ} oscillations (in matter) **E**_v Dependence of Oscillation Parameters

From Mann, Cherdack, Musial and Kafka (Example)



$v_{\mu} \rightarrow v_{\mu}$ and anti- $v_{\mu} \rightarrow anti-v_{\mu}$ disappearance

• $id/dt |v_{\mu}(t)| = |\Delta m_{32}^2 s^2/2p_{\nu} \Delta m_{32}^2 sc/2p_{\nu} ||v_{\mu}(t)|$ $|v_{\tau}(t)| |\Delta m_{32}^2 sc/2p_{\nu} \Delta m_{32}^2 c^2/2p_{\nu} - p_{\nu}(n_{\nu\tau} - n_{\nu\mu})||v_{\tau}(t)|$ $s = sin\theta_{V} c = cos\theta_{V}$

Could also be off diagonal matter effects, eg Mann et al

$$\begin{split} L_v = & 2(2p_v/\Delta m_{32}^2) \sim 1000 (E_v/1GeV) km \\ L_0 = & 2\pi/p_v (n_{v\tau} - n_{v\mu}) \sim 5000/\epsilon km & \text{Refraction index length} \\ y = & L_v/L_0 \sim E_v \epsilon/5GeV \quad (\text{Big Effects For } y \sim O(1)) \\ P(v_\mu \rightarrow v_\mu) = & 1 - \sin^2 2\theta_m \sin^2(\pi x/L_m) \text{ disappearance} \end{split}$$

(Suggests studies at high energies & long distances)

 E_v >5GeV/ ϵ Atmospheric & Very Long Baseline

<u>Best Bet – Deep Core in Ice Cube E≈ 20GeV</u>

$$\begin{split} & \sin^{2}2\theta_{m} = \sin^{2}2\theta_{V}/(1\pm 2y\cos 2\theta_{V} + y^{2}) & y = L_{V}/L_{0} \sim E_{v} \varepsilon / 5 \text{GeV} \\ & L_{m} = L_{V}/(1\pm 2y\cos 2\theta_{V} + y^{2})^{1/2} & \text{for 3 gm/cm}^{3} \\ & \Delta m^{2}_{32}(\text{matter}) = \Delta m^{2}_{32}(1\pm 2y\cos 2\theta_{V} + y^{2})^{1/2} \\ & \text{for y} > 1 & \text{oscillations highly suppressed } L_{m} \sim L_{0} \\ & \text{for y} < 1 & \text{matter effects very small} \\ & \text{Resonance } y = \cos 2\theta_{V} \rightarrow \theta_{m} = 45^{\circ}, \text{ minimum } \Delta m^{2}_{32}(\text{matter}) = \\ & \Delta m^{2}_{32} \sin 2\theta_{V} \end{split}$$

No resonance for maximal vacuum mixing $\theta_V = 45^\circ$ (our world) No Δm^2_{32} difference in $v_\mu vs$ anti- v_μ for $\theta_V = 45^\circ$ (but depends on E_ν) Note high E_ν more sensitive to matter! Anticipate possible differences in v_{μ} and $\boxed{x}v_{\mu}$ effective energy dependent mixing angles and Δm^2_{32} in matter

Future experiments will measure those parameters with very high precision! Atmospheric as well as Long Baseline v_{μ} and $\bigotimes v_{\mu}$ disappearance will be very powerful probes of non standard (long and short distance) neutrino interactions!

Note, $v_{\mu} \rightarrow v_{\tau}$ and anti- $v_{\mu} \rightarrow anti-v_{\tau}$ appearance potentially very interesting

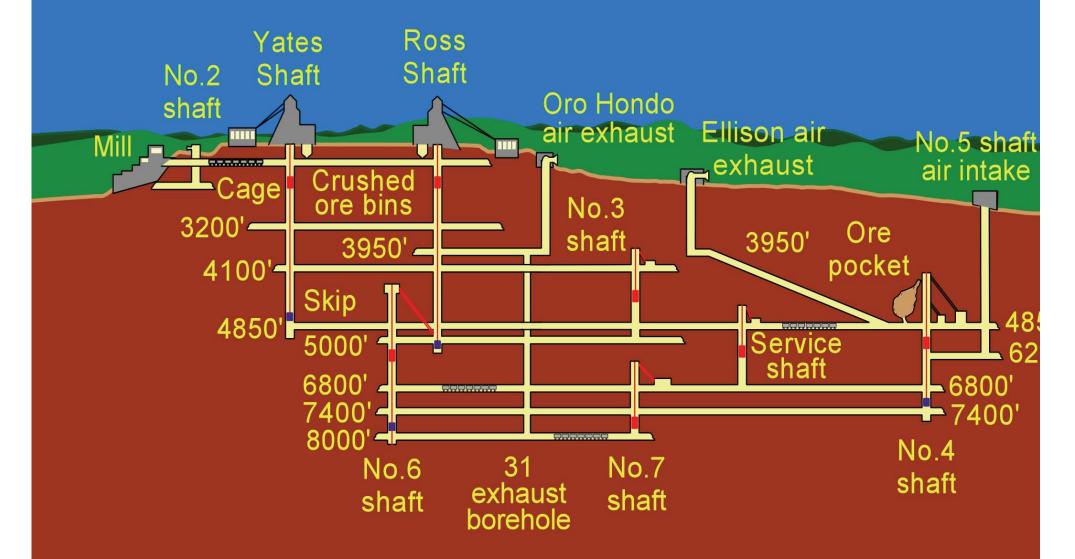
<u>Moral:</u> Neutrino v_{μ} and anti- v_{μ} Osc in Matter provides a potentially powerful probe of (weakly coupled) <u>light</u> and heavy "New Physics". Particularly light $\varepsilon \sim \alpha'/G_F m^2$ (Does not depend sensitively on $\sin^2 2\theta_{13}$ value!)

5. Outlook

- <u>Neutrino exps will advance</u>: θ₁₃ Mass Hierarchy, v<u>CP Violation</u>
 ... via LBNE <u>Requires Big Detector</u>: 300kton H₂O or equivalent
 2MW Accelerator wide band neutrino beam
 - <u>Also</u>
- Atmospheric & Solar v
- 100,000 supernova v events (if in our galaxy)!
- Observe relic supernova v (universe history)!
- "New Physics": sterile v, extra dim. dark energy...
- <u>Proton decay</u>, n-anti-n osc.,...magnetic monopoles

The potential for major discoveries & surprises is great!

General Homestake Mine Development





Supernova Neutrinos

 SN 1987A: 19 events observed by Kamiokande & IMB anti- $v_p \rightarrow e^+n$ Great Discovery - Confirmed SN Models A SN in our galaxy (every ~ 40yr) at typical10kpc would lead to about 100,000 anti- $v_e p \rightarrow e^+n$ events/300kton H₂O Also, $ve \rightarrow ve$, ($v=v_e, v_u, v_\tau$, +antineutrinos) ~ 1000events <u>We would like to see</u> $v_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}$ (initial burst) ~250 events/kton LArgon Neutrino Spectrum \rightarrow SN Dynamics & Oscillations Extremely Rich Discovery Possible We must have as many detectors as possible online Relic SN Neutrinos (10-40MeV) S/B/yr ~10/10

Fermilab Activities

• What does Fermilab do after the LHC starts?

 (Great Hope - ILC e⁺e⁻ Collider (μ+μ- Collider?)) In the meantime? <u>New Working Group Report</u> <u>Project X Option</u>- 2MW 8GeV proton linac (ILC R&D) 8GeV fixed target program (eg. μN→eN...)
 + Main Injector 30-120GeV (also at 2MW)
 2MW at 50GeV provides nice neutrino beam for FNAL-Homestake (Cost ?) Total Project ≈\$1-2 Billion <u>Doable!</u> <u>Must Do!</u> (START AS SOON AS POSSIBLE!)