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Physics**

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**Introduction to Microturbulence in Tokamaks**

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# Multiscale Turbulence in Tokamaks

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## I. Introduction to Microturbulence in Tokamaks

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# Outline

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Properties of Tokamak Core Turbulence  
Implications on Tokamak Confinement Scaling

Self-organized Structures in Torus

Radially Elongated Eddys  
**Zonal Flows**

Emphasis:

Study of underlying Physics Mechanisms leading to  
Paradigm Shift

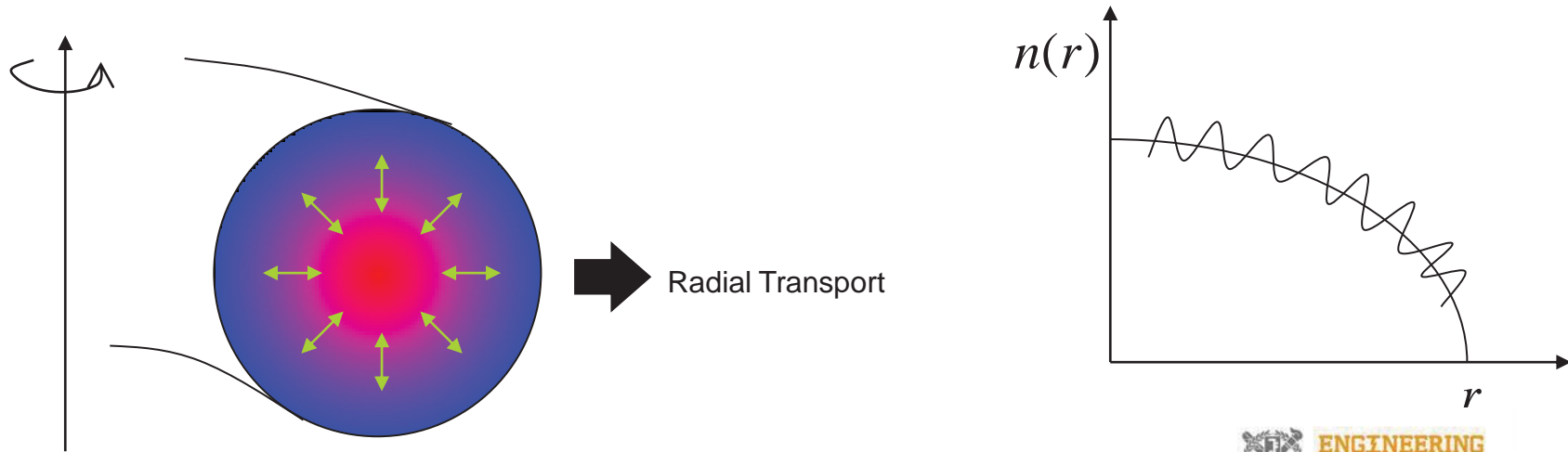
# Outline

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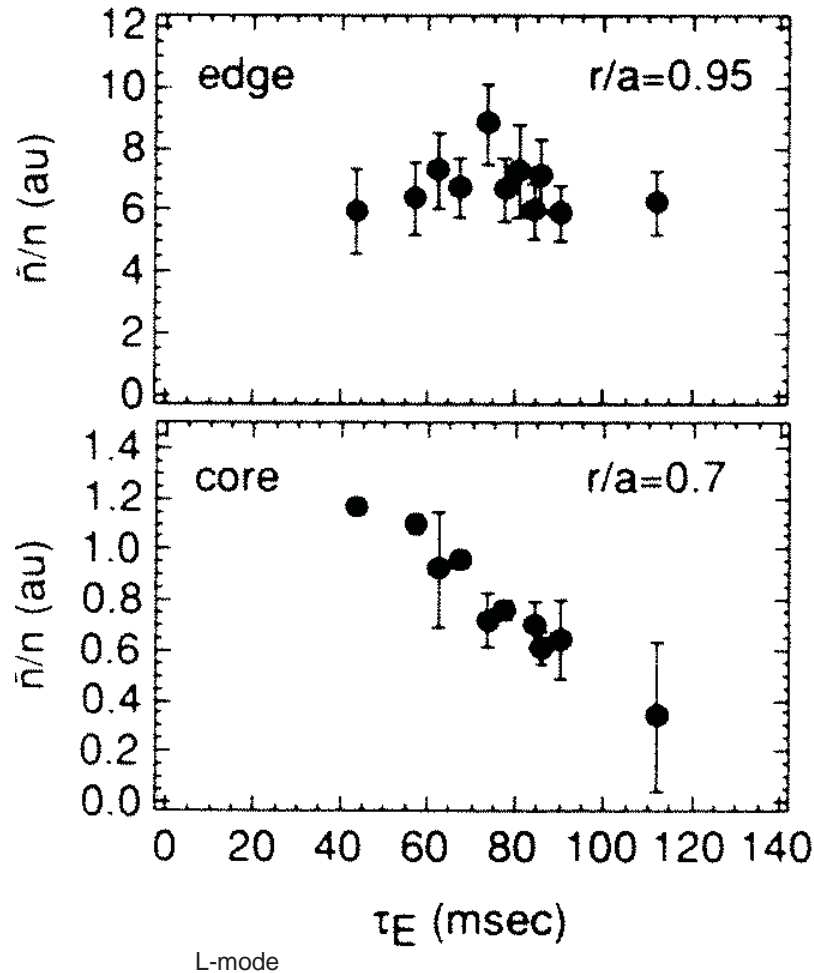
## Properties of Tokamak Core Turbulence

## Microinstabilities in Tokamaks

- Tokamak transport is usually anomalous, even in the absence of large-scale magneto-hydro-dynamic (MHD) instabilities.
- Caused by small-scale collective instabilities driven by gradients in temperature, density, ...
- Instabilities saturate at low amplitude due to nonlinear mechanisms
- Particles  $\mathbf{E} \times \mathbf{B}$  drift radially due to fluctuating electric field



# Confinement gets worse with increasing Turbulence Level



## Global confinement scales with **core** turbulence level

*Equipe TFR & A. Truc, NF (1986)*

*Brower NF (1987) TEXT*

*Paul et al, PoF (1992) TFTR*

*R=2.5m, a=0.89m*

*Durst et al, PRL (1993)*

## Local confinement also scales with turbulence level

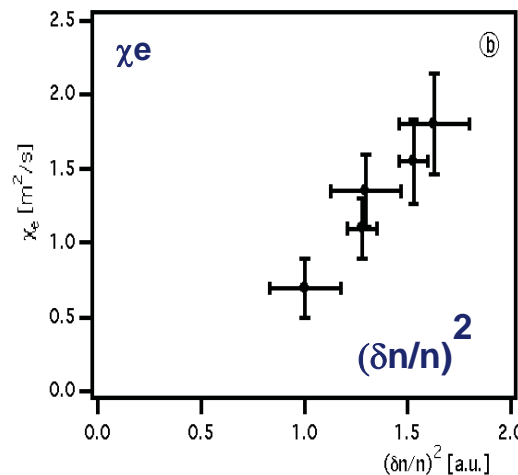
### Tore Supra

*R=2.4m, a=0.7m*

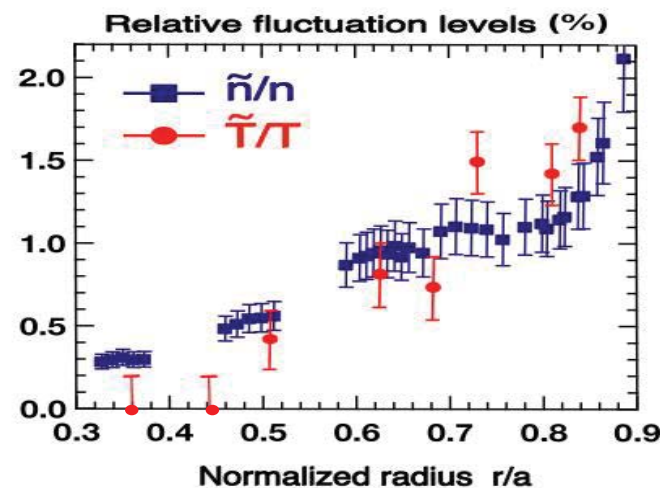
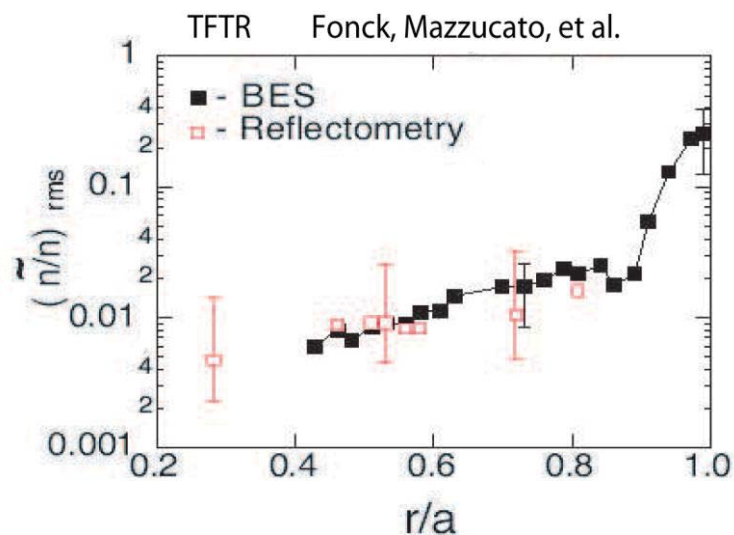
*Laviron et al., IAEA (1996)*

*Zou et al., PRL (1995)*

*Hoang et al, Nuc. Fus. (1998)*



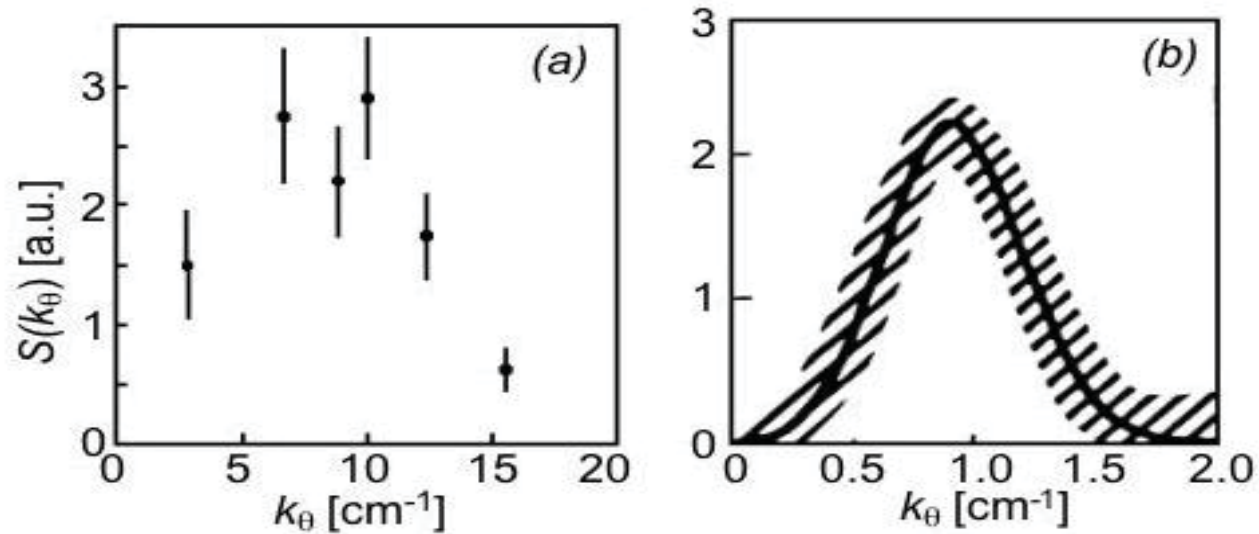
# Amplitude of Tokamak Microturbulence



- Relative fluctuation amplitude  $\delta n / n_0$  at core typically less than 1%
- At the edge, it can be greater than 10%
- Confirmed in different machines using different diagnostics

# k-spectra of tokamak micro-turbulence

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$k_\theta \rho_i \sim 0.1 - 0.2$

-from Mazzucato et al., PRL '82 ( $\mu$ -wave scattering on ATC)  
Fonck et al., PRL '93 (BES on TFTR)

-similar results from

TS, ASDEX, JET, JT-60U and DIII-D



# Properties of Tokamak Core Microturbulence

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from Measurements

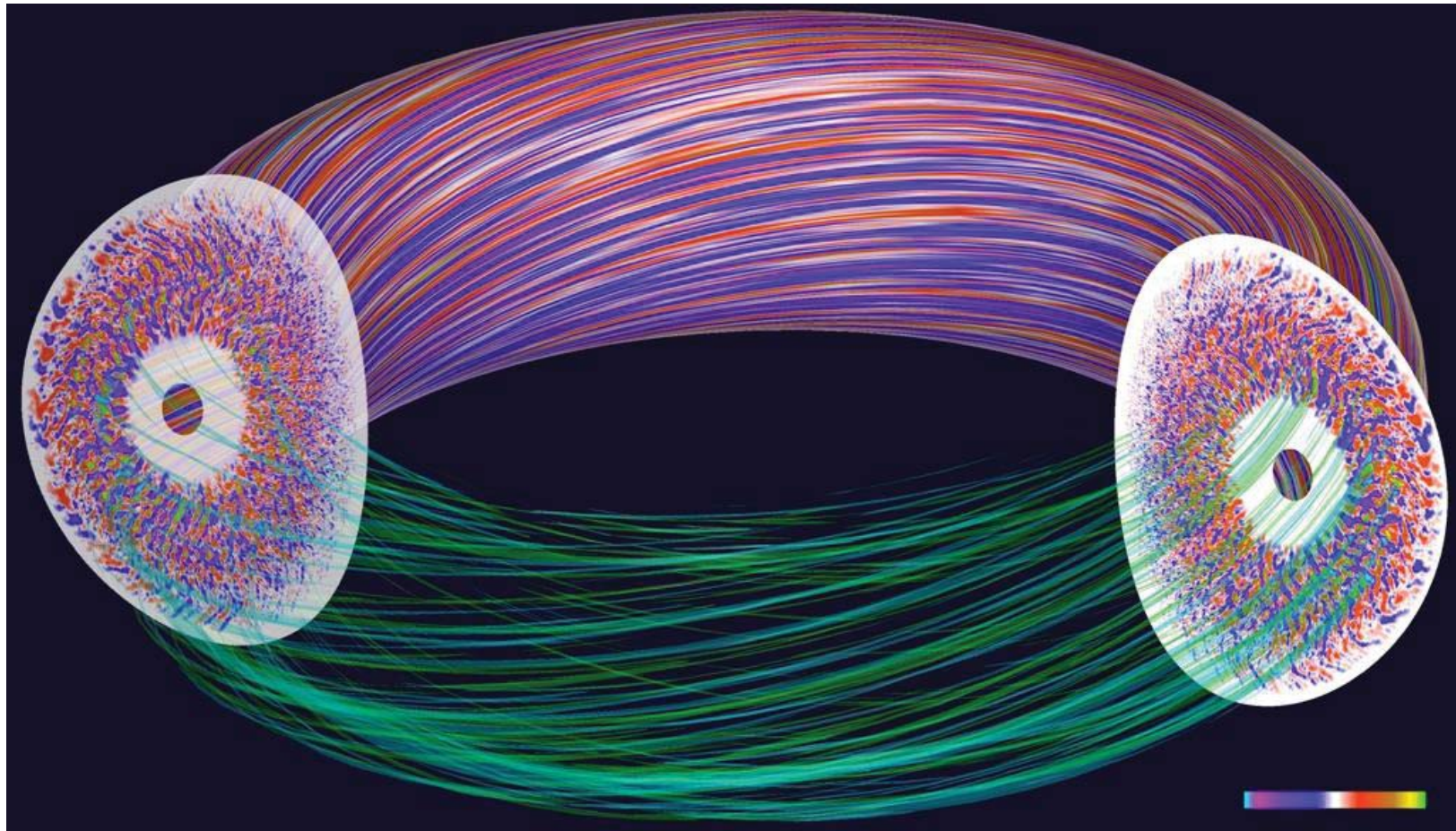
- $\delta n / n_0 \sim 1\%$
- $k_r \rho_i \sim k_\theta \rho_i \sim 0.1 - 0.2$
- $k_{\parallel} < 1/qR \ll k_{\perp}$ : Rarely measured
- $\omega - \mathbf{k} \cdot \mathbf{u}_E \sim \Delta\omega \sim \omega_{*pi}$

Broad-band  $\Rightarrow$  Strong Turbulence

Sometimes Doppler shift dominates in rotating plasmas

# Contours of Density Fluctuations Exhibit Turbulence Structure

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Fully Developed Ion Temperature Gradient (ITG) Driven Turbulence:  
from Gyrokinetic Particle Simulations by S. Ethier, W. Wang et al.,

# Outline

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Properties of Tokamak Core Turbulence

**Implications on Tokamak Confinement Scaling  
with respect to Machine Size**

## Kinetic Description of Micro Instabilities

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### ★ Linear Threshold Condition for ITG Mode

From Fluid Theory, we learned that for flat density, uniform  $\vec{\mathbf{B}}$ ,

★ ITG linear growth rate  $\propto |\omega_{*Ti}|^{1/3} (k_{\parallel} C_s)^{2/3}$ , for  $\omega_{*Ti} > \omega \gg k_{\parallel} v_{Ti}$

This prediction from fluid picture  $\rightarrow \gamma_{ITG} > 0$  for any value of  $|\nabla T_i|$

However, as  $|\nabla T_i| \searrow$ ,  $\omega \searrow$  so that  $\omega \gg k_{\parallel} v_{Ti}$  (fluid approx.) breaks

down.  $\rightarrow$  To accurately predict the onset condition for ITG, one must do

kinetic theory, which is valid for  $\frac{\omega}{k_{\parallel}} \sim v_{\parallel}$  so that wave-particle

resonant interaction (Landau damping) is properly described.

From NLGK for uniform  $\vec{\mathbf{B}}$ ,

$$\left( \frac{\partial}{\partial t} + v_{\parallel} \nabla_{\parallel} + \frac{c}{B} \hat{b} \times \nabla \langle \phi \rangle \cdot \nabla - \frac{q}{m} \nabla_{\parallel} \langle \phi \rangle \frac{\partial}{\partial v_{\parallel}} \right) \langle f \rangle = 0 \quad \text{--- (3.1)}$$

Linearize it, i.e.,  $\langle f \rangle = F_0 + \delta f$ ,  $\phi = \phi_0 + \delta \phi$

and drop nonlinear terms

By further separating nonadiabatic part  $\delta h$  from adiabatic part  $\left( -\frac{q\phi}{T_i} F_0 \right)$

$$\delta f = -\frac{|e|\delta\phi}{T_i} F_0 + \delta h$$

$$\left( \frac{\partial}{\partial t} + v_{\parallel} \nabla_{\parallel} \right) \delta h + \left( -\frac{|e|}{T_i} \frac{\partial}{\partial t} \langle \delta \phi \rangle + \frac{c}{B} \hat{b} \times \vec{\nabla} \langle \delta \phi \rangle \cdot \nabla \right) F_0 = 0 \quad \text{--- (3.2)}$$

$$\langle \delta \phi \rangle = J_0(k_{\perp} \rho_i) \delta \phi$$

$$\delta h = \frac{\omega_{*i} \left\{ 1 + \eta_i \left( \frac{v^2}{2v_{Ti}^2} - \frac{3}{2} \right) \right\} + \omega \frac{|e|\delta\phi}{T_i} J_0 F_0}{\omega - k_{\parallel} v_{\parallel}}$$


— For Maxwellian  $F_0$

— (3.3)

where  $\eta_i \equiv \frac{d \ln T_i}{d \ln n_0}$ ,  $\omega_{*i} \equiv -\left( \frac{T_i}{T_e} \right) \omega_{*e} = -\frac{k_y \rho_i v_{Ti}}{L_n}$

Dispersion Relation can be obtained from quasi-neutrality condition :

$$\boxed{\frac{|e|\delta\phi}{T_e} = -\frac{|e|\delta\phi}{T_i} + \int d^3\vec{v} J_0 \delta h} \quad \text{--- (3.4)}$$


  
 adiabatic e-response      adiabatic part      nonadiabatic part      of ion response

Fluid limit of ITG (Lecture I) can be obtained by taking  $\omega \gg k_{\parallel} v_{Ti}$   
 and  $k_{\perp} \rho_i \rightarrow 0$  limit (for  $\nabla n = 0$ ,  $\Gamma = 0$ ).

Stability is determined by Imaginary part of Eq. (3.4).

In kinetic regime, with strong ion Landau damping,  $\frac{\omega}{k_{\parallel}} < v_{Ti}$   
 such that  $\omega \ll \omega_{*Ti}$  at marginality. So the usual “ion heating”  
 term coming from  $\nabla_{\parallel} \langle \phi \rangle \frac{\partial}{\partial v_{\parallel}} F_0$  is negligible. (The last term in Eq. (3.3))

Tracing back the origin of the rest in Eq. (3.3),

$$\frac{T_i}{T_e} \omega_{*e} \left\{ 1 + \eta_i \left( \frac{v^2}{2v_{Ti}^2} - \frac{3}{2} \right) \right\} = -v_{Ti} \rho_i k_y \frac{\partial}{\partial x} \ln F_0$$

$$\Rightarrow \text{Then, } \text{Im} \int d^3v \left\{ \frac{-v_{Ti} \rho_i k_y \frac{\partial}{\partial x} \ln F_0}{\omega - k_{\parallel} v_{\parallel}} \right\} = 0$$

i.e., marginality condition

corresponds to near “zero” relaxation of the velocity-dependent free energy due to wave-particle resonance.

$$\omega_{*e} \left\{ 1 + \eta_i \left( \frac{v^2}{2v_{Ti}^2} - \frac{3}{2} \right) \right\} \quad \swarrow \quad \omega - k_{\parallel} v_{\parallel}$$

$$\begin{aligned} & \lim_{\omega \rightarrow 0} \text{Im} \int_{-\infty}^{\infty} dv_{\parallel} \int_0^{\infty} dv_{\perp} v_{\perp} \frac{1}{\omega - k_{\parallel} v_{\parallel}} \frac{\partial}{\partial r} \left( \frac{n_0}{T_i^{3/2}} J_0^2(k_{\perp} \rho_i) e^{-v^2/2v_{Ti}^2} \right) \\ &= \lim_{\omega \rightarrow 0} \text{Im} \int_{-\infty}^{\infty} dv_{\parallel} \frac{1}{\omega - k_{\parallel} v_{\parallel}} \frac{\partial}{\partial r} \left\{ \left( \frac{n_0}{T_i^{3/2}} \right) \int_0^{\infty} dv_{\perp} v_{\perp} e^{-v^2/2v_{Ti}^2} J_0^2 \left( k_{\perp} \frac{v_{\perp}}{\Omega} \right) \right\} \\ &= -\frac{\pi}{|k_{\parallel}|} \lim_{\omega \rightarrow 0} \frac{\partial}{\partial r} \left[ e^{-v^2/2v_{Ti}^2} \frac{n_0(r)}{T_i^{1/2}(r)} \Gamma_0(k_{\perp} \rho_i) \right] = 0 \quad \text{--- (3.5)} \end{aligned}$$

Therefore, for  $\frac{\omega}{k_{\parallel}} \ll v_{Ti}$ ,

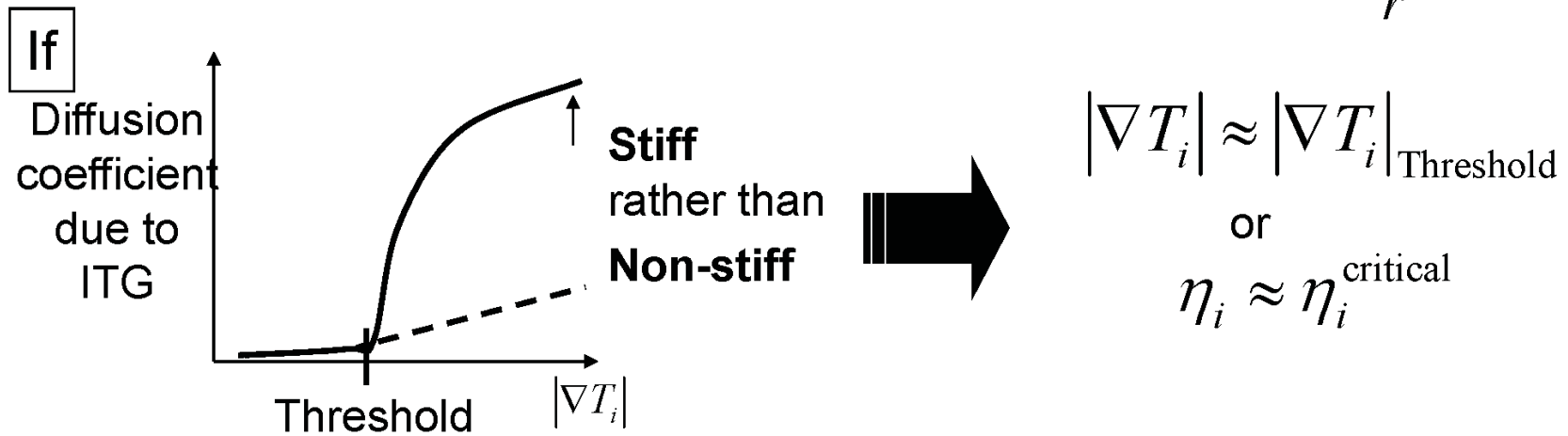
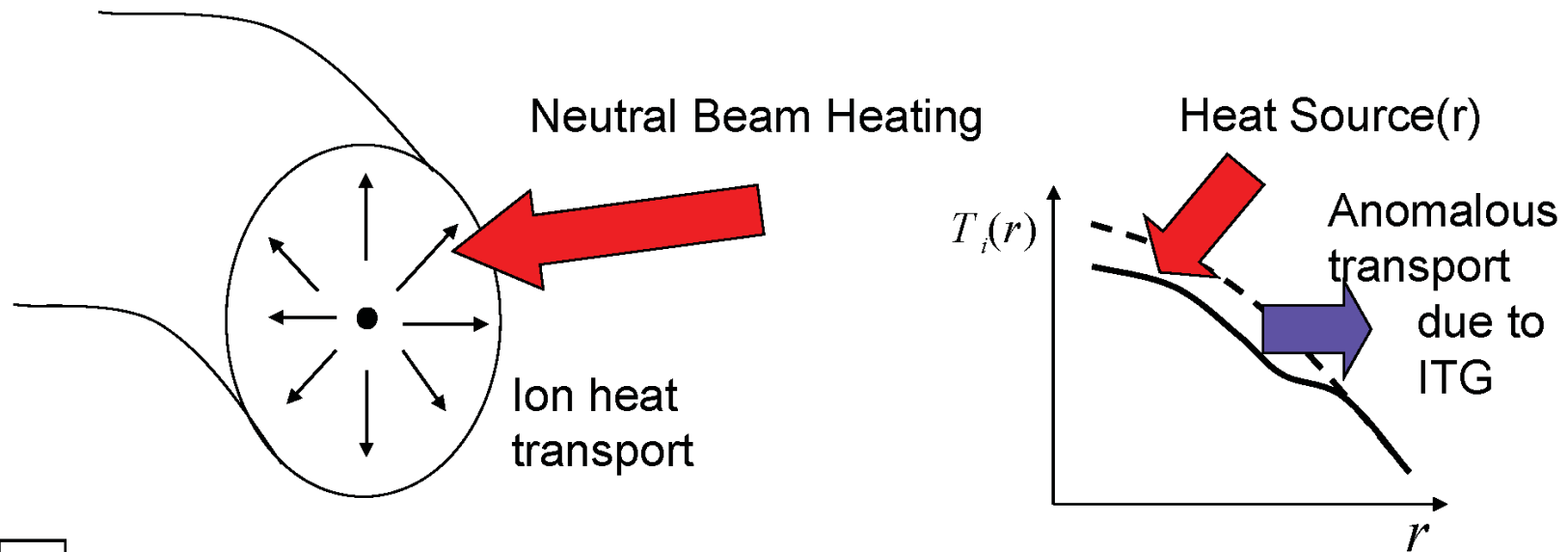
$$\frac{\partial}{\partial r} \left[ \frac{n_0(r)}{T_i^{1/2}(r)} \Gamma_0(k_{\perp} \rho_i) \right] = 0 \quad \text{--- (3.6)}$$

defines the linear threshold of ITG instability.  $(\Gamma_0(b) \equiv I_0(b)e^{-b})$

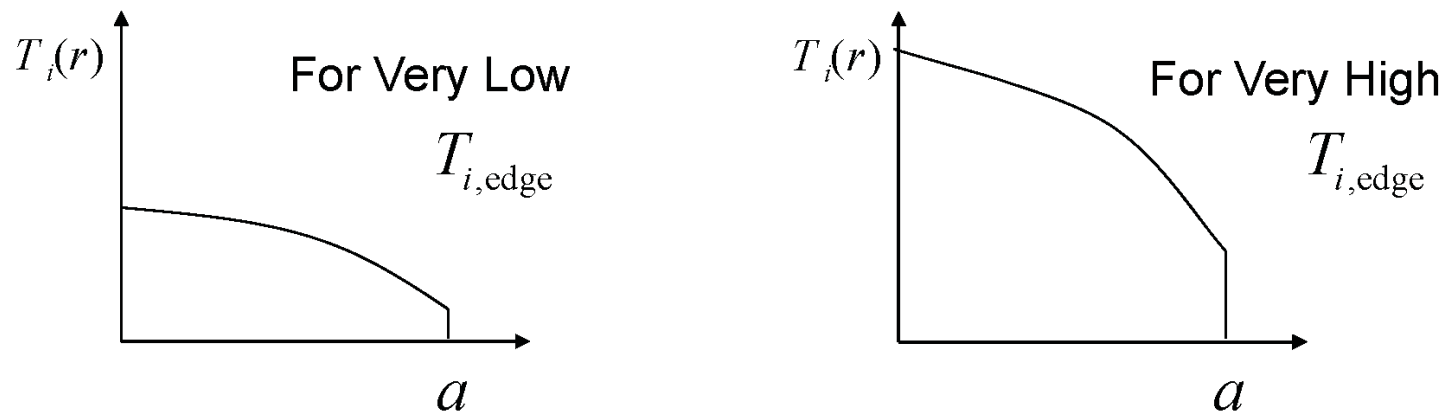
For  $k_{\perp} \rho_i \rightarrow 0$ ,  $\frac{n_0(r)}{T_i^{1/2}(r)}$  = "const." is the marginality profile.

So if ITG instability were very violent enough to throw out ion heat very rapidly once it's excited, one can imagine that the ion temperature profiles in tokamak plasmas are approximately near the linear marginal profile. Of course, it's an oversimplified and pessimistic viewpoint, but has an interesting implication and sometimes a useful rough guidance.





So if  $T_i(r) \propto \text{const} \cdot n_0(r)^2$  is the marginality profile, and  $n_0$  is given,  $T_i(0)$  is almost uniquely determined by  $T_{i,\text{edge}}$



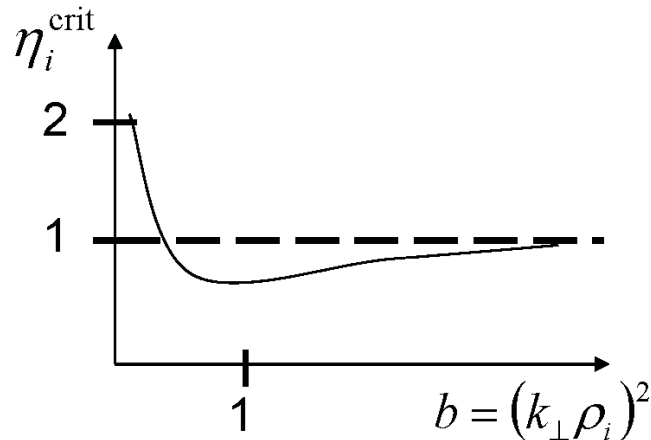
- ❖ In this extreme limit,  $T_i(0)$  (better be high for fusion) is mostly determined by  $T_i(a)$ , not much by transport in the core (since it's so rapid, throws away excess heat which will raise  $T_i(r)$  above marginality.)
- ❖ This is (very simplified) reason why ITER needs to achieve H-mode plasmas in which  $T_{i,edge}$  (pedestal) is high.

Back to  $\frac{\partial}{\partial r} \left[ \frac{n_0(r)}{T_i^{1/2}(r)} \Gamma_0(k_{\perp} \rho_i) \right] = 0$  In Eq. (3.6),

If we know  $n_0(r)$  and the wavelength of ITG, the marginally unstable ion temperature profile is given by  $T_i(r) = \text{const} \cdot (n_0(r) \Gamma_0(k_{\perp} \rho_i))^2$ ,  
 or  $\frac{T_i(r)}{T_i(a)} = \left( \frac{n_0(r)}{n_0(a)} \right)^2 \left( \frac{\Gamma_0(k_{\perp} \rho_i(r))}{\Gamma_0(k_{\perp} \rho_i(a))} \right)^2$ .

Eq. (3.6) implies that the onset condition for ITG instability in uniform plasma is given by

$$\eta_i = \frac{d \ln T_i}{d \ln n_0} = \frac{L_n}{L_{Ti}} \geq \frac{2}{1 + 2b_i \left( 1 - \frac{I_1(b_i)}{I_0(b_i)} \right)} \quad (3.7)$$



B.B. Kadomtsev and O.P. Pogutse,  
 Review of Plasma Physics Vol. 5.  
 Pg. 249 (1970)

## Spatial Structure of Microturbulence

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### A. Role of Magnetic Geometry

So far in this lecture series, we've discussed microinstabilities in the context of "local theory", i.e., for given values of Macroscopic Parameters,  $n_0(x = x_0)$ ,  $\frac{dn_0}{dx}(x = x_0)$ ,  $\vec{B}_0$  (uniform), etc.

with 
$$\delta\phi(x, y, z, t) = \sum_{\mathbf{k}, \omega} \delta\phi_{\mathbf{k}, \omega} e^{i(k_x x + k_y y + k_z z) - i\omega t}$$

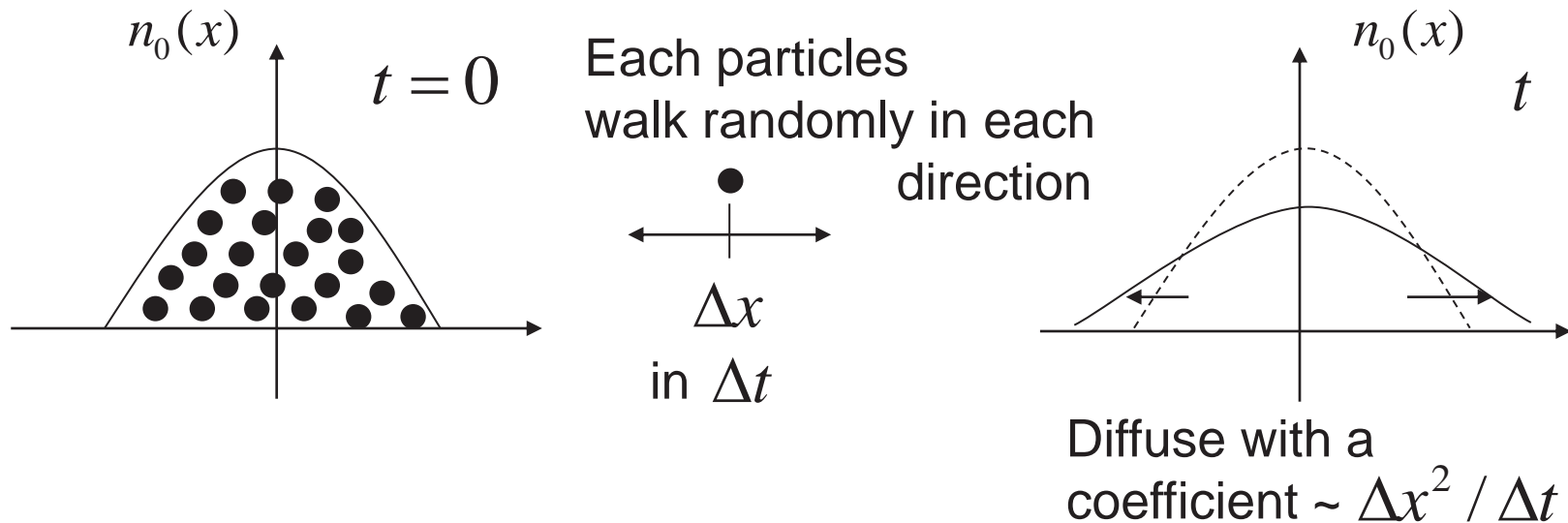
Independent of  $x$ , while there are  $n_0(x)$ ,  $T_i(x)$  profiles so on.

A challenge is to find more realistic and relevant representation of tokamak microinstabilities.

## Very rough estimation of the anomalous transport coefficient

$D_{\text{Turb}}$  using dimensional analysis based on

### “Random Walk” argument



Since anomalous transport is caused by fluctuating  $\delta v_x$  due to microinstabilities in plasmas, we can argue

$$\Delta x \sim \frac{1}{k_x}, \quad \Delta t \sim \omega_{\text{decoration time}}^{\text{Turb}-1} \sim \gamma_{\text{linear}}^{-1}$$

Then,

$$D_{\text{Turb}} \sim \frac{\Delta x^2}{\Delta t} \sim \frac{\gamma_{\text{lin}}}{k_x^2} \sim \frac{\omega_*}{k_x^2} \sim \frac{k_y}{k_x^2} \frac{\rho_i}{L} \left( \frac{cT_i}{eB} \right)$$

$L \sim a$ ,  $L_n$  for drift waves,  $L_{Ti}$  for ITG turbulence, ...so on

It's obvious that depending on the choice of  $k_x$  and  $k_y$ ,

$D_{\text{Turb}}$  scaling has many possibilities.

If one takes a practical approach of using values of  $k_x$  and  $k_y$  from experimental measurements,  $k_x, k_y \propto \rho_i^{-1}$  (where the spectrum peaks)

Then

$$D_{\text{Turb}} \sim \left( \frac{\rho_i}{L} \right) \left( \frac{cT_i}{eB} \right) : \propto \frac{cT}{eB} \text{ is called the "Bohm" scaling.}$$

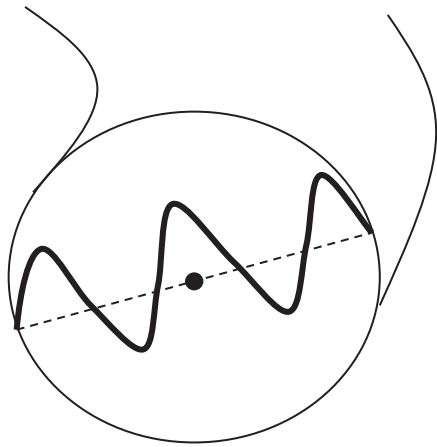
Since it's reduced by a factor  $\left( \frac{\rho_i}{L} \right) \ll 1$ , "gyroBohm" scaling

While it's more common to get “gyroBohm” scaling from simple local theory, most experiments in tokamaks exhibited results which are closer to “Bohm” scaling rather than “gyroBohm” scaling, especially for ion thermal transport ( $\chi_i$ ) in L-mode plasmas. It's very important to achieve a thorough understanding of “size-scaling” of  $D_{\text{Turb}}$ , for prediction to larger devices in the future.

$$\begin{array}{l}
 D_{\text{Bohm}} \propto \left( \frac{cT_i}{eB} \right) \\
 \text{or} \\
 D_{\text{gyroBohm}} \propto \left( \frac{\rho_i}{a} \right) \left( \frac{cT_i}{eB} \right) \quad ?
 \end{array}$$

Then, what scales of  $k_x$ , and  $k_y$  can give us  $D_{\text{Bohm}}$  ?

“Bohm” came from experimental observations on very early basic devices (i.e., small). Then, even drift wave type instabilities have relatively low mode numbers.



Eg., Quantization condition

$$k_x a \sim N_x \pi \quad (N_x, M_y \sim O(1) \text{ integer})$$


$$k_y a \sim M_y \pi$$

$$\rightarrow D_{\text{Turb}} \sim \frac{k_y}{k_x^2} \frac{\rho_i}{L} \left( \frac{cT_i}{eB} \right) \sim \left( \frac{cT_i}{eB} \right)$$

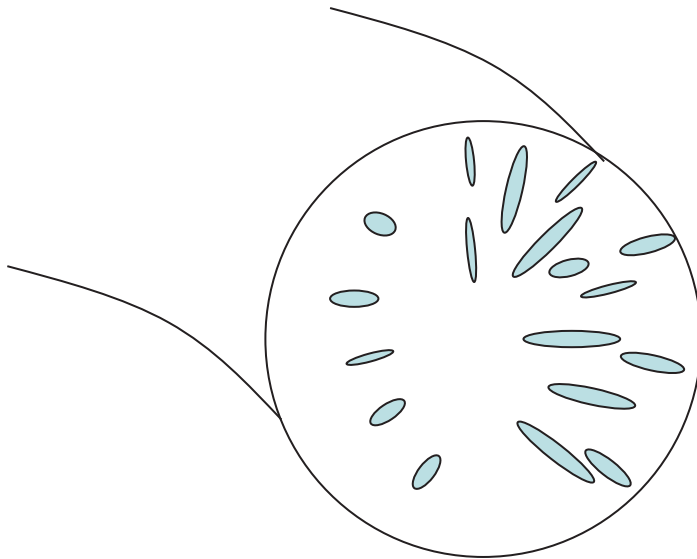


We learn that if  $\lambda_x, \lambda_y \propto a$  (system size)  
one can get “Bohm” scaling of transport.

Then, what happens to present day larger tokamaks? say  $a \approx 100\rho_i$

- From B.E.S.  
Microwave Scatt.  
etc.  Eddy size  $\sim \lambda_x, \lambda_y \sim$  several  $\rho_i$

- From Nonlinear Gyrokinetic Simulations



$$\lambda_x, \lambda_y \ll a$$

So we want to know **what physics mechanisms determine** dominant  $\lambda_x$  and  $\lambda_y$  (**eddy size** to be more precise).

➔ “Nonlocal Analysis” is required to find

“spatial structure of micro-turbulence.”

Linear theory limit



“eigenmode structure of microinstabilities”

❖ **Toroidal Geometry**, taking into account of

$$|\vec{B}| \sim B_\phi \propto \frac{1}{R} = \frac{1}{R_0 + \underline{\underline{r \cos \theta}}}$$

❖ In the end, **Self-Organization** or **Self-Regulation**

determines the spatial structure of tokamak micro-turbulence

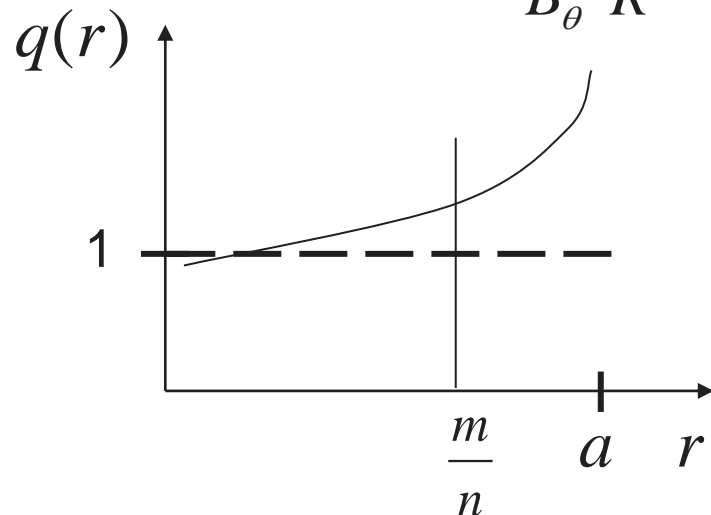
So far, in slab

$$\begin{aligned} x &\longrightarrow r \\ y &\longrightarrow r\theta \\ z &\longrightarrow R\phi \end{aligned}$$

but  $\vec{B}$  has both  
 $B_\phi$  (toroidal) components  
 $B_\theta$  (poloidal)

$$\delta\phi(r, \theta, \phi) = \sum_{n,m} \delta\phi_{n,m}(r) e^{i(m\theta - n\phi)} \quad \text{“pitch of fluctuation”}$$

$$q(r) \cong \frac{B_\phi}{B_\theta} \frac{r}{R} \quad \text{determines pitch of } \vec{B} \quad n, m \in \mathbf{Z}_+$$



If two coincide,  $q(r_s) = \frac{m}{n}$ .

This radial location  $r = r_s$  is called the “mode rational surface.”

$$\begin{array}{ccc}
 e^{i(m\theta - n\phi)} & \longrightarrow & k_\theta = \frac{m}{r}, \quad k_\phi = -\frac{n}{R} \\
 \searrow & & \\
 e^{i(k_y y + k_z z)} & & \vec{B} = B_\phi \hat{\phi} + B_\theta \hat{\theta}
 \end{array}$$

$$\therefore k_{\parallel} = \frac{\vec{k} \cdot \vec{B}}{|\vec{B}|} = \frac{m}{r} \frac{B_\theta}{B} - \frac{n}{R} \frac{B_\phi}{B} = \frac{B_\theta}{rB} (m - nq(r))$$

★  $k_{\parallel} = 0$  at  $r = r_s$ ,  $(q(r_s) = \frac{m}{n})$ .

$m, n$  fixed but  $q(r)$  & therefore  $k_{\parallel}$  varies with  $r$ .

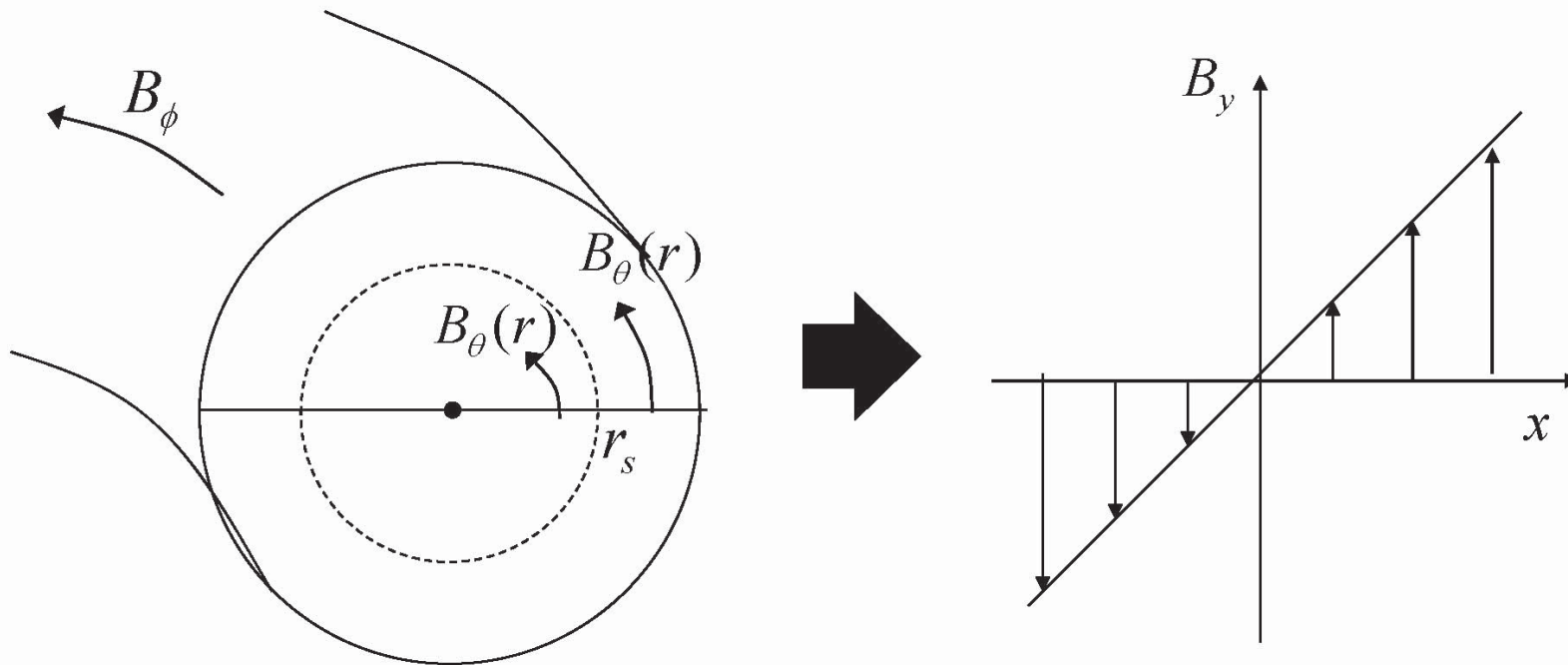
Expanding  $q(r) = q(r_s) + (r - r_s) \left( \frac{\partial q}{\partial r} \right) (r_s) + \dots$

$k_{\parallel}(r - r_s) = k_{\parallel}(x)$  increases with  $x$ ,

flips sign across  $r_s$  (or  $x = 0$ ).

➔ Near mode rational surface  $\vec{B} = B(\hat{z} + \frac{x}{L_s} \hat{y})$  (3.9)

where  $L_s = \frac{qR}{\hat{s}}$ ,  $\hat{s} = \frac{r}{q} \frac{dq}{dr}$  magnetic shear.



“Sheared Slab Geometry”

In sheared slab geometry (with one rational surface),

$$k_{\parallel} = \frac{k_y}{L_s} x \quad , \quad k_x^2 \rightarrow -\frac{\partial^2}{\partial x^2}$$

$k_z$  has been shifted away.

“  $\delta\phi(\vec{x}, t) = \sum_{k_y} \delta\phi_{k_y, \omega}(x) e^{i(k_y y - \omega t)}$  “ : This mode refers to  
 “a single helicity fluctuation”  
 $(n, m)$  with  $\frac{m}{n} \rightarrow q(r_s)$

### Radial Mode Structure of Drift Wave

Lecture I.  
Local theory  $\longrightarrow$   $\left( 1 + \rho_s^2 k_{\perp}^2 - \frac{\omega_{*e}}{\omega} - \frac{k_{\parallel}^2 C_s^2}{\omega^2} \right) \delta\phi_{\vec{k}, \omega} = 0$

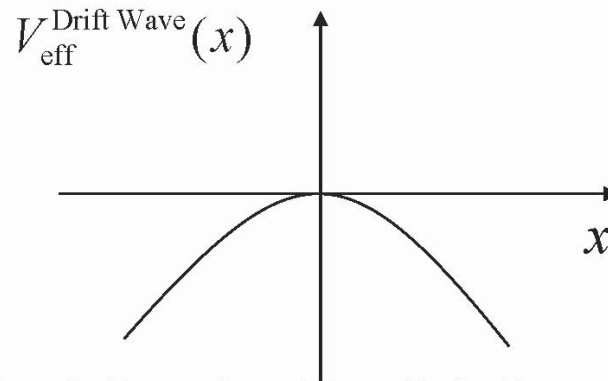
In sheared slab :

$$\left( 1 + \rho_s^2 k_y^2 + \rho_s^2 \frac{\partial^2}{\partial x^2} - \frac{\omega_{*e}}{\omega} - \frac{C_s^2}{\omega^2} \frac{k_y^2}{L_s^2} x^2 \right) \delta\phi_{\vec{k}, \omega} = 0 \quad (3.10)$$

★ Weber Eqn. : familiar from Single Harmonic Osc. In Q.M.

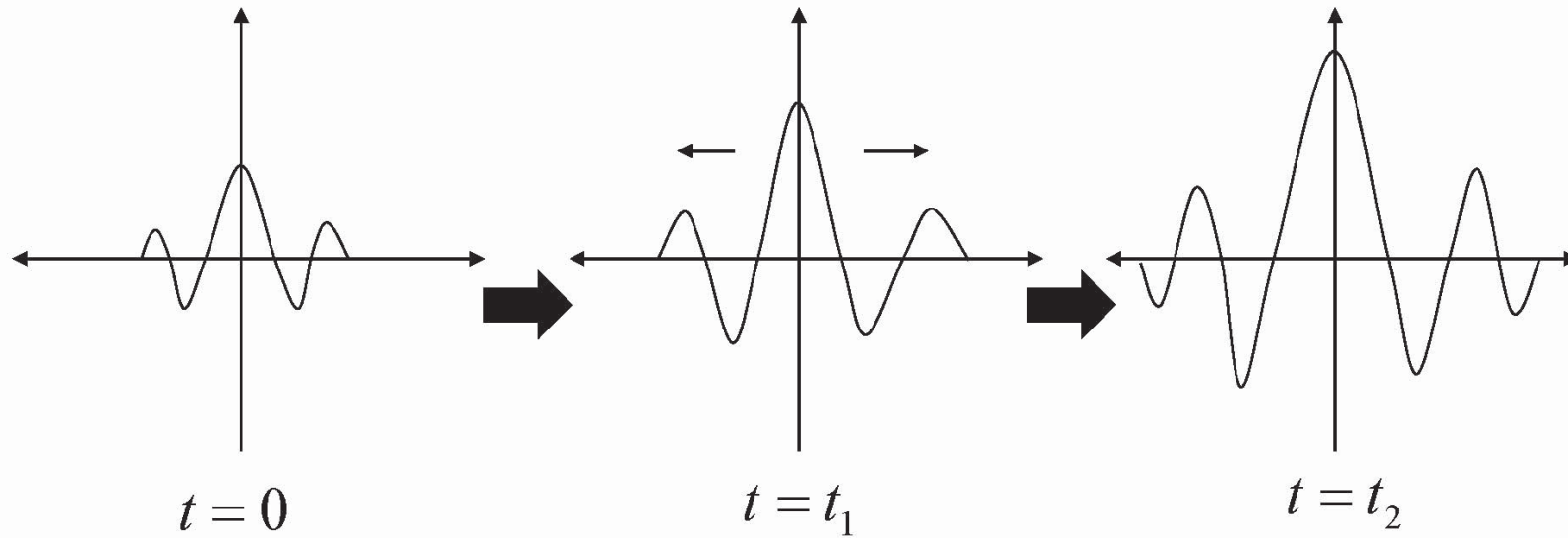
$$-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} \psi = (E - V_{\text{SHO}}(x))\psi = \left( E - \frac{1}{2} m \omega^2 x^2 \right) \psi \quad (3.11)$$

➔ We know how get Eigenfunctions and Eigenvalues of this eqn.  
 One tricky point is that we have an antiwell potential (hill)  
 rather than potential well, for  $|\text{Re}(\omega)| \gg |\text{Im}(\omega)|$  .



➔ Physically meaningful solution should satisfy the causality condition.

i.e., 
$$\lim_{|x| \rightarrow \infty} |\delta\phi(x)| \rightarrow 0 \quad \text{for } \text{Im}(\omega) > 0$$
  
 unstable solution.



for unstable solution

while fluctuation grows locally in time,

at a given time, it should decay in radius as  $|x| \rightarrow \infty$

Group Velocity :  $v_{gx} \equiv \frac{\partial \omega}{\partial k_x}$       As  $|x| \rightarrow \infty$

$$\frac{\partial \omega}{\partial k_x} > 0 \quad \text{for } x > 0$$

$$\frac{\partial \omega}{\partial k_x} < 0 \quad \text{for } x < 0$$



Out of two (mathematically) possible solutions  
we should choose the upper one!

$$\begin{aligned} & \sim \exp\left(-\frac{ik_y C_s}{2\omega L_s \rho_s} x^2\right) \\ & \sim \exp\left(+\frac{ik_y C_s}{2\omega L_s \rho_s} x^2\right) \end{aligned}$$

Eigenvalue :  $\omega = \omega_{*e} \left( \frac{1}{1+k_y^2 \rho_s^2} - \underbrace{\frac{i(2\ell_x+1)L_n}{1+k_y^2 \rho_s^2 L_s}}_{\text{magnetic shear-induced damping}} \right)$  : radial quantum number

$\ell_x = 0, 1, \dots$

magnetic shear-induced damping

$$\Delta x(\sim \lambda_x) \sim \sqrt{\frac{L_s \omega_{*e} \rho_s}{k_y C_s}} \sim \sqrt{\frac{L_s}{L_n}} \rho_s$$

$\hat{s} \curvearrowright \Rightarrow \Delta x \curvearrowright$  : i.e., magnetic shear localizes the mode within the device (not determined by B.C. at the WALL).

**➡** get “gyroBohm” scaling. (if it were unstable by additional mechanism, eg., trapped electrons)

One can also extend local theory of “ITG” to sheared slab geometry.

(negative compressibility  
acoustic mode )

Analysis is slightly more complicated than e<sup>-</sup> DW, but can be reduced to Weber-Eqn. [*Coppi, Rosenbluth & Sagdeev, PF 10, 582 (1967)*].

It's noteworthy that an elaborate nonlinear mode coupling theory in sheared slab yielded (rather than from dimensional analysis we're discussing ).

$$\chi_i^{\text{ITG}} \propto \text{gyroBohm}$$

[*G.S. Lee & P.H. Diamond, Phys. Fluids 29, 3291 (1986)*]

“Nonlocal “ kinetic theory can also be pursued in sheared slab geometry :

- Local kinetic theory predicted [K&P] Eq. (3.7)

$$\eta_i \geq \frac{2}{1 + 2b_i \left( 1 - \frac{I_1(b_i)}{I_0(b_i)} \right)} \quad \text{for ITG excitation.}$$

Since  $\eta_i \equiv \frac{L_n}{L_{Ti}}$ , it predicts instability for very weak  $\frac{1}{L_{Ti}}$  for flat density profile ( $L_n \rightarrow \infty$ )

NO GOOD for that limit.

I don't recall a credible analytic ITG onset condition in the flat density limit.

- For sheared slab, flat density case,

$$\frac{L_s}{L_{Ti}} \geq 1.9 \left( \frac{T_i}{T_e} + 1 \right)$$

is the onset condition

[Hahm & Tang, PFB '89]

“  $\frac{T_i}{T_e} > 1$  strong magnetic shear favorable for ITG stability !”

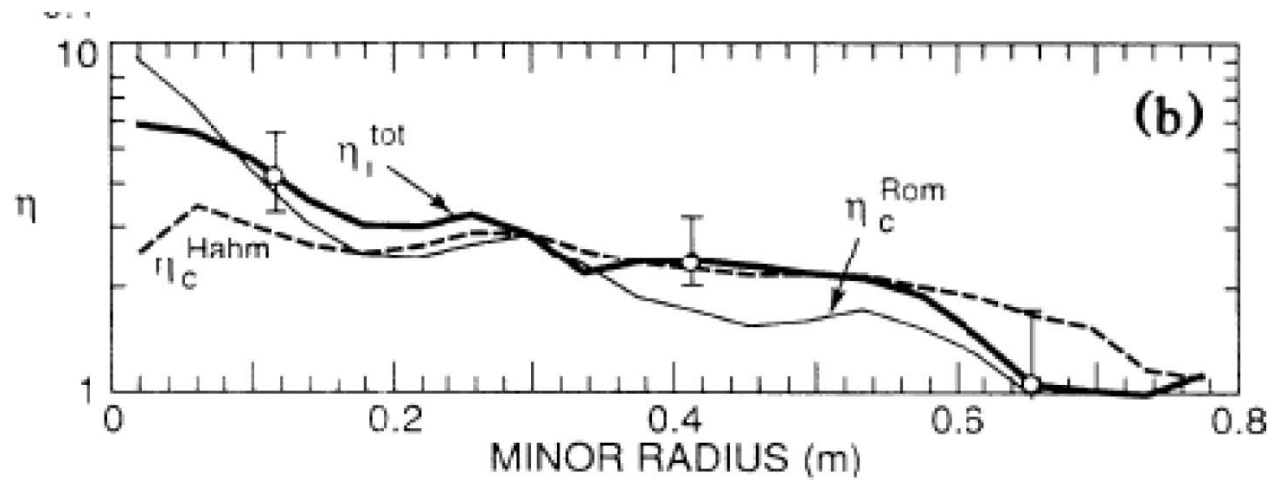
- In toroidal geometry :  $\omega - k_{\parallel} v_{\parallel}$  resonance should be generalized to  $\omega - k_{\parallel} v_{\parallel} - \omega_{di}$  resonance (  $\omega_{di}$  is from  $\nabla B$  & Curvature drift)

If one keeps only  $\omega - \omega_{di}$  resonance, (ignoring  $k_{\parallel} v_{\parallel}$ )

$$\frac{R}{L_{Ti}} \geq \frac{4}{3} \left( \frac{T_i}{T_e} + 1 \right)$$

[Romanelli, PFB '89]

→ Comparisons to TFTR

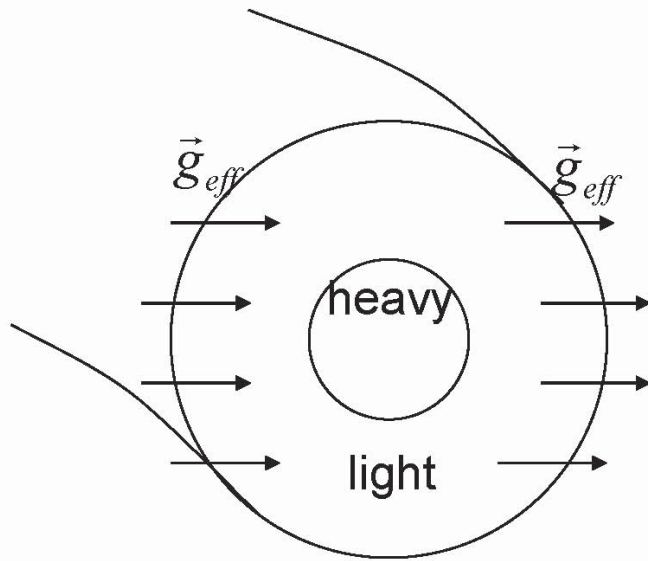


Comparison of measured  $\eta_i^{\text{tot}}$   
 with the theoretical estimates  $\eta_c^{\text{Rom}}$  and  $\eta_c^{\text{Hahm}}$

[S. Scott et al., PRL 29, 531 (1990)]

# Role of Toroidal Geometry

Uniform  $\vec{B}$  model  $\longrightarrow$  Sheared Slab Model  $\longrightarrow$  "Toroidal Geometry"

$$\vec{B} = B_0 \left( \hat{z} + \frac{x}{L_s} \hat{y} \right)$$


$\hat{y}$  still a symmetry :  $\frac{1}{R}$  dependence  
direction

$m$  :  $\longrightarrow$  poloidal angle  
No longer symmetry direction

$n$  : a good quantum #



For given  $n$ ,  
many " $m$ " harmonics  
should couple  
(even in linear theory)

$$|\vec{B}| \propto \frac{1}{R} = \frac{1}{R_0 + r \cdot \cos^2 \theta}$$

Before looking for a good representation of fluctuation decomposition in torus, let's consider a simplest nontrivial example of ITG mode in torus (with : can be unrelated to “negative compressibility acoustic” ITG. )

It has an “interchange” or “Rayleigh-Taylor” character.

➡ must be localized at bad curvature (low B field) side.

→ motivate a “local” theory at bad curvature side.

$|\vec{B}| \propto \frac{1}{R}$  ➡ particles drift in vertical direction ( $\nabla B$  & curvature drift)

We'll get to details later, but

$$v_{\nabla B, \text{Curv}} \propto \bar{v}_{\nabla B, \text{Curv}} \frac{v_{\parallel}^2 + \mu B}{v_{Ti}^2}$$

An important consequence of this “energy dependent” particle drift

➔  $\delta n$  couples to  $\delta T_i$  !

$$\text{Uniform } \vec{B}_0 \quad \text{➔} \quad \partial_t \delta n + \delta \vec{u}_E \cdot \vec{\nabla} n_0 + n_0 \nabla_{\parallel} \delta u_{\parallel} \simeq 0$$

Now with nonuniform  $\vec{B}_0$  : take moments of linearized GK eqn in torus.

$$\left( \frac{\partial}{\partial t} + v_{\parallel} \nabla_{\parallel} + \underbrace{\vec{v}_d \cdot \vec{\nabla}}_{\substack{\uparrow \\ \text{most obvious addition due to toroidal effects}}} \right) \delta f + \left( \frac{c}{B} \vec{\nabla} \langle \delta \phi \rangle \times \hat{b} \cdot \nabla - \frac{q}{m} \nabla_{\parallel} \langle \delta \phi \rangle \frac{\partial}{\partial v_{\parallel}} \right) F_0 = 0$$

Apply

$$\int d^3 \vec{v} : \quad \text{noting that} \quad \vec{v}_d = \vec{v}_{d,th} \left( \frac{v_{\parallel}^2 + \mu B}{v_{Ti}^2} \right)$$



Then,

$$\frac{\partial}{\partial t} \delta n_i + \delta \vec{u}_E \cdot \vec{\nabla} n_0 + \frac{n_0}{T_i} \bar{\omega}_{di} \delta T_i + n_0 \nabla_{\parallel} \delta u_{\parallel} + \dots = 0$$

To focus on “interchange” physics, take  $k_{\parallel} \rightarrow 0$  (as done by Romanelli in kinetic regime)  
and take a simple “flat density” limit.

$$\rightarrow \frac{\partial}{\partial t} \delta n_i + \frac{n_0}{T_i} \bar{\omega}_{di} \delta T_i \simeq 0$$

$$\bar{\omega}_{di} \equiv -\frac{cT_i}{eBR} k_y$$

(at bad curvature side)

&

Take simplest  $\nabla T_i$  evolution eqn.

$$\frac{\partial}{\partial t} \delta T_i + \delta u_E \cdot \nabla T_0 \simeq 0$$

(assumed  $\omega > \bar{\omega}_{di}$ , but  $|\omega_{*Ti}| > \omega$ )

(2x2)  $\rightarrow$

$$\boxed{\omega^2 = -\frac{T_e}{T_i} |\bar{\omega}_{di} \omega_{*Ti}|}$$

— (simplified)  
Toroidal ITG

bad-curvature coupled to  $\nabla T_i$

This is another very illuminating limiting (but relevant) case.

Further readable physical discussion in M.A. Beers et al., Ph.D Thesis

Princeton U. '95.

Both in this fluid limit & analytic kinetic derivation of Romanelli, PFB'89

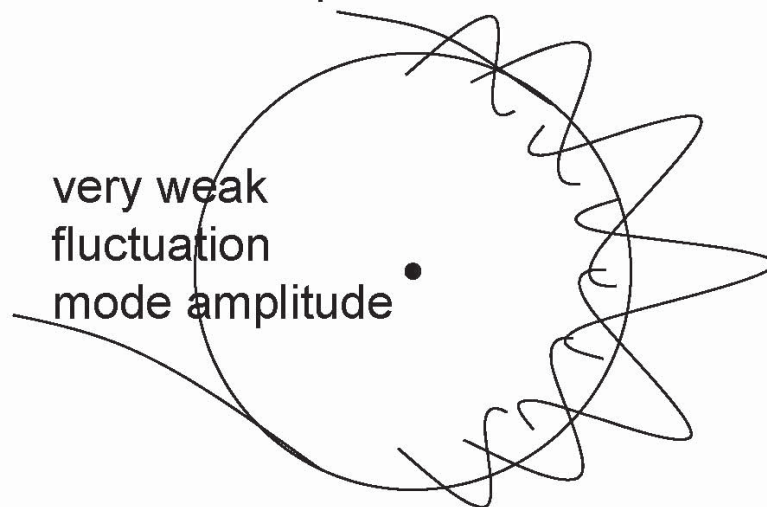
$k_{\parallel} \rightarrow 0$  has been assumed.

$$\omega - \omega_{di} - k_{\parallel} v_{\parallel}$$

resonance

This is incompatible with fluctuation localized in bad-curvature side

i.e., “ballooning” mode structure



$$“ k_{\parallel} \approx \frac{1}{qR} ”$$

## Recap :

- ⊛ In sheared slab :  $k_{\parallel} = \frac{k_y}{L_s} x$  , some fluctuations can be localized in radius

(small  $k_{\parallel} \rightarrow$  minimize magnetic shear-induced damping  
ion-Landau damping )

& Extended along  $\vec{B}$

➔ “flute-like fluctuations”

- ⊛ But “ballooning” fluctuations localized at bad curvature side.

$$\text{➔ } k_{\parallel} \approx \frac{1}{qR}$$

What’s their radial extent? ➔ Next Lecture.

# Radially Elongated Eddy is a Natural Structure

Since

- Poloidal direction no longer symmetric in torus.
- Poloidal harmonics couple to form a Global Eigenmode.

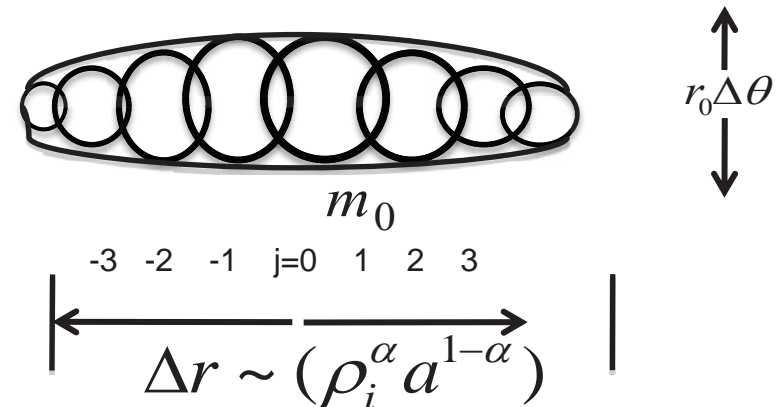
Radially elongated eddy



**“Streamers”**

Cf. This is a linear theory-based simple illustration.

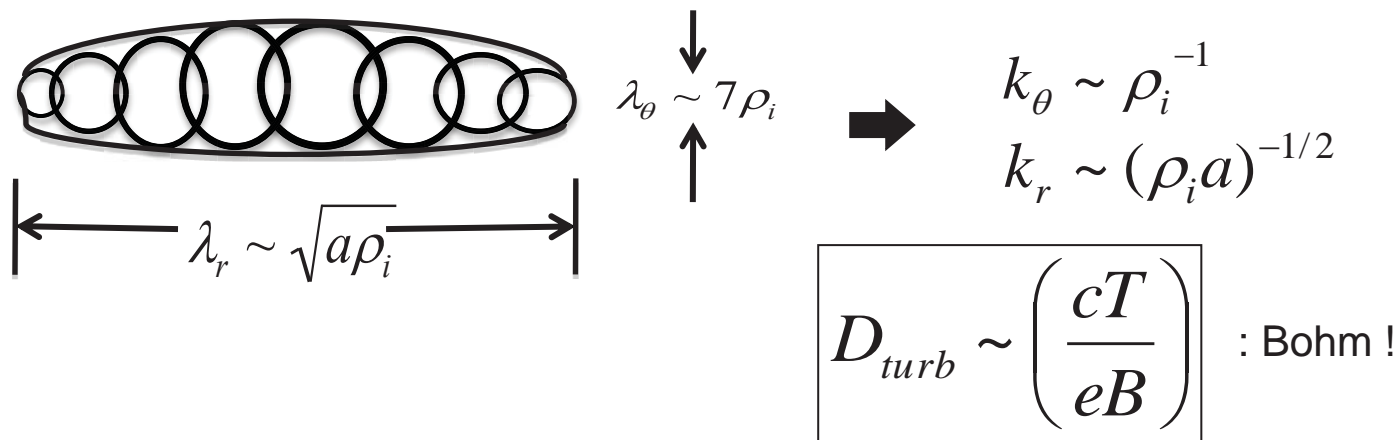
Some strongly prefer “nonlinear” explanation.



**Radially Elongated Eddys extract free energy efficiently,  
and minimize convective (vector) nonlinearity  
which increases with  $k_r$**

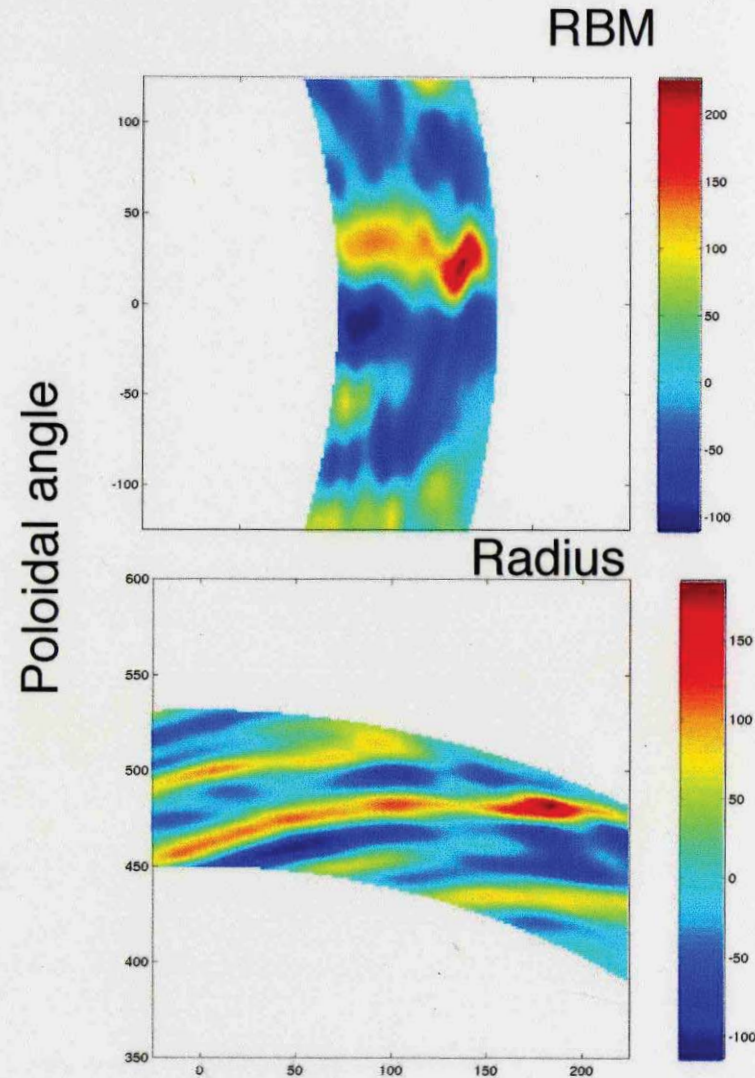
From 
$$D_{turb} \sim \frac{\Delta x^2}{\Delta t} \sim \frac{\gamma}{k_r^2} \sim \frac{\omega_*}{k_r^2} \sim \left( \frac{k_\theta}{k_r^2 \rho_i} \right) \frac{\rho_i}{L} \left( \frac{cT_i}{eB} \right)$$

Radially Elongated Eddys transport heat very efficiently ! :



## 3D Structure of Streamers

- Maps of the flux in poloidal planes.
- Elongated structures in the radial direction: **streamers**.
- Aligned with the direction of field lines.

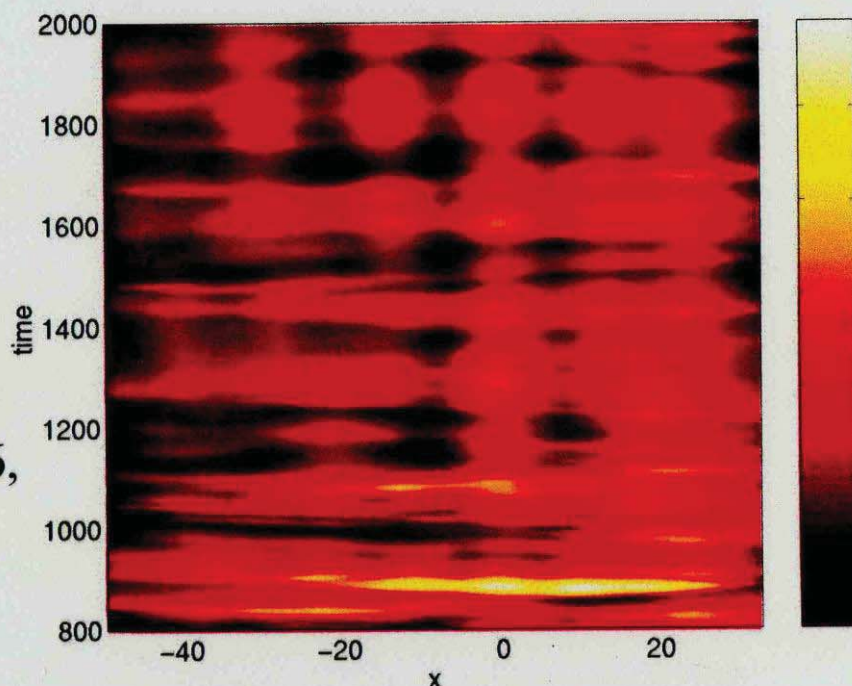


## Bursty Transport

- Diamond and Hahm 95: **profile relaxations** at all spatial and time scales (avalanches).

- Observed in many turbulence simulations (Carreras 96, Sarazin and Gendrih 98, Garbet and Waltz 98, Beyer et al. 99,...)

Beyer et al 99



Flux vs.  $r$  and  $t$

# Partial Summary

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Radially Elongated Eddys (Streamers) can be formed in toroidal geometry and transport heat efficiently.

---> Bohm Scaling of Confinement ~ Experimental Trends

**Why not sufficient ?**

Recall that from experimental measurements:

Eddy size  $\sim \lambda_x, \lambda_y \sim \text{several } \rho_i$