



**The Abdus Salam
International Centre for Theoretical Physics**



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**Joint ITER-IAEA-ICTP Advanced Workshop on Fusion and Plasma
Physics**

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Introduction to Fusion Leading to ITER

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Introduction to Fusion Leading to ITER

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The views and opinions expressed herein do not necessarily reflect those of the ITER Organization.

Synopsis

- **Introduction to thermonuclear fusion**
- **Basics of magnetic confinement fusion – the tokamak**
- **Some key parameters for magnetic confinement fusion in tokamaks**
- **How the results from existing tokamaks led to ITER for the next step in fusion research**

Fusion – the fundamental principle

- Energy gain from **fusion**, like fission, is based on Einstein's equation:

$$E = \Delta mc^2$$

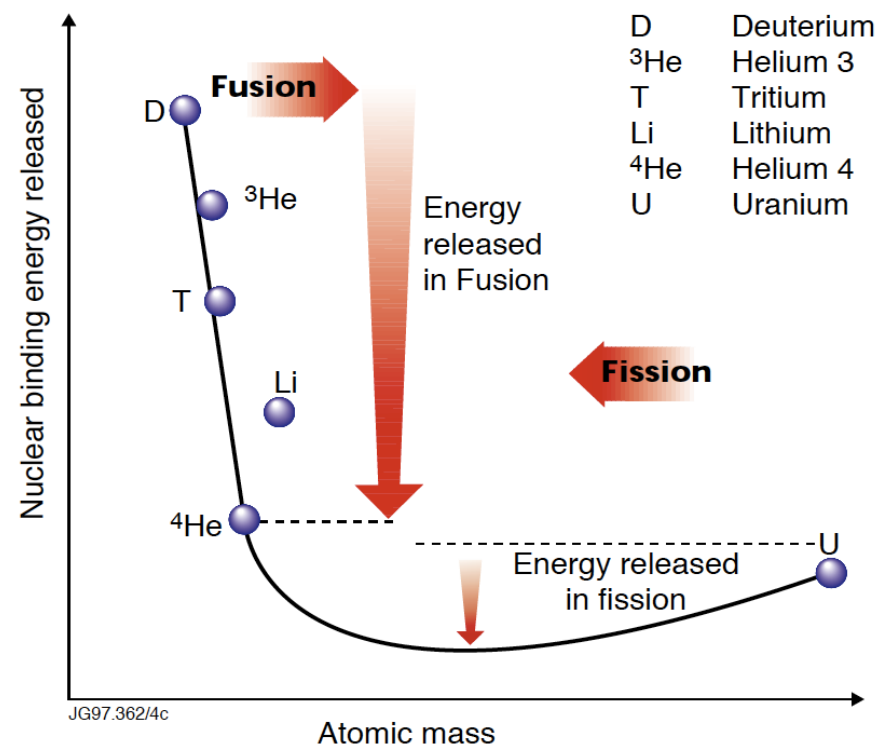
–mass loss for DT reactions corresponds to ~ 0.4%

- As illustrated, energy gain per unit mass is greater for fusion

–energy gain/ reaction:

DT fusion: 17.6 MeV

U fission: ~200 MeV



Essential Fusion Reactions



+ 20% of Energy (3.5 MeV)

+ 80% of Energy
(14.1 MeV)

- The D-T fusion reaction is the simplest to achieve under terrestrial conditions:



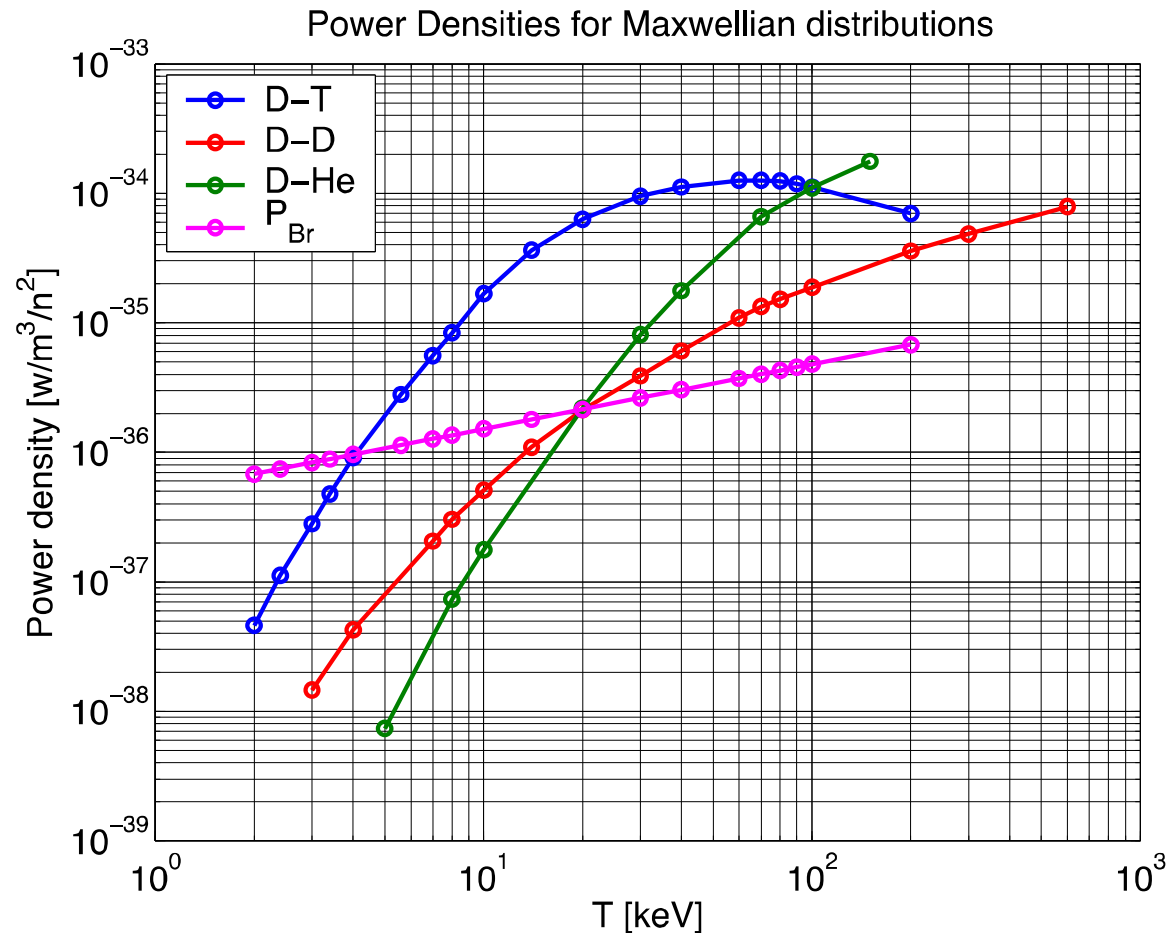
- Two other important reactions for DT fusion:



- these reactions will allow a fusion reactor to **breed tritium**

Fusion Power Density vs Temperature

1 keV = 1.16×10^7 K



- High temperatures (~10 keV) are required for significant thermonuclear fusion energy production ⇒ **dealing with plasmas!**

Basics of Magnetic Confinement Fusion:

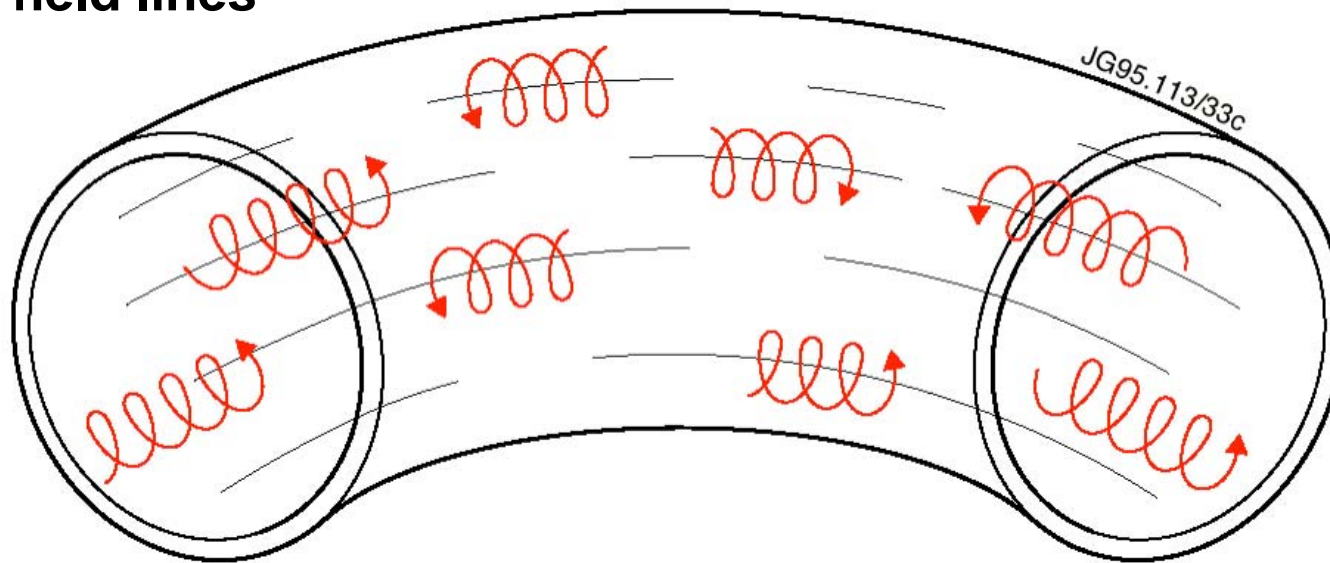
The Tokamak

Plasma **Toroidal Magnetic Confinement**

- Magnetic fields cause ions and electrons to **spiral around the field lines**:

$$F = q(E + v \times B)$$

- in a **toroidal configuration** plasma particles are lost to the vessel walls by relatively slow diffusion **across** the field lines

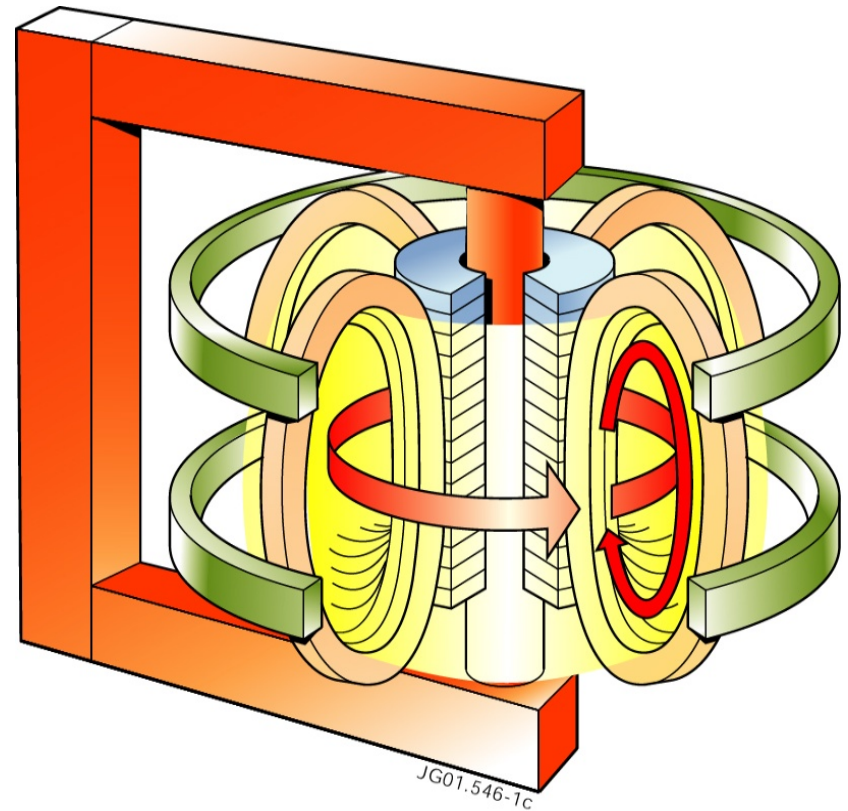


**A special version of this torus is called a tokamak:
'toroidal chamber' and 'magnetic coil' (Russian)**

Magnetic Confinement in a Tokamak

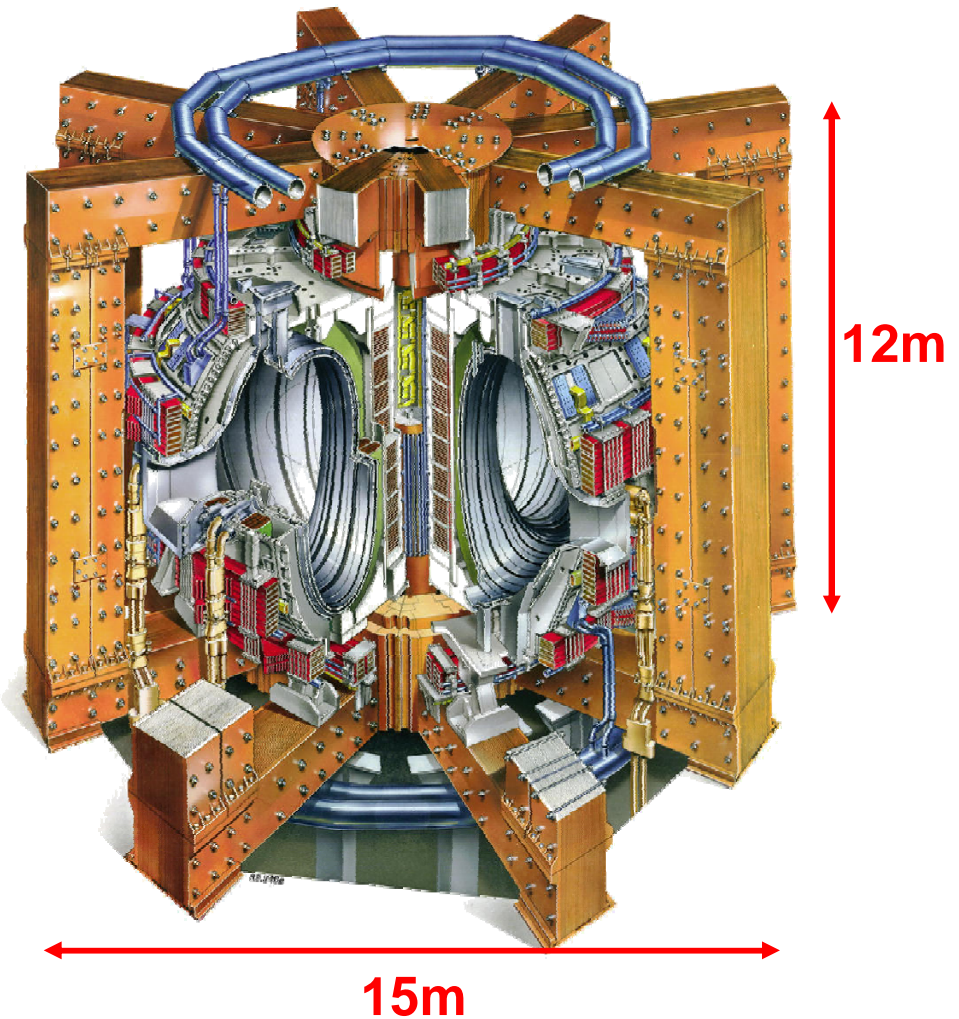
The Tokamak:

- **External coils**
 - to produce a toroidal magnetic field
- **Transformer with primary winding**
 - to produce a **toroidal current** in the plasma
 - this plasma current creates a **poloidal magnetic field**
- **Finally, poloidal coils**
 - to control the position and shape of the plasma



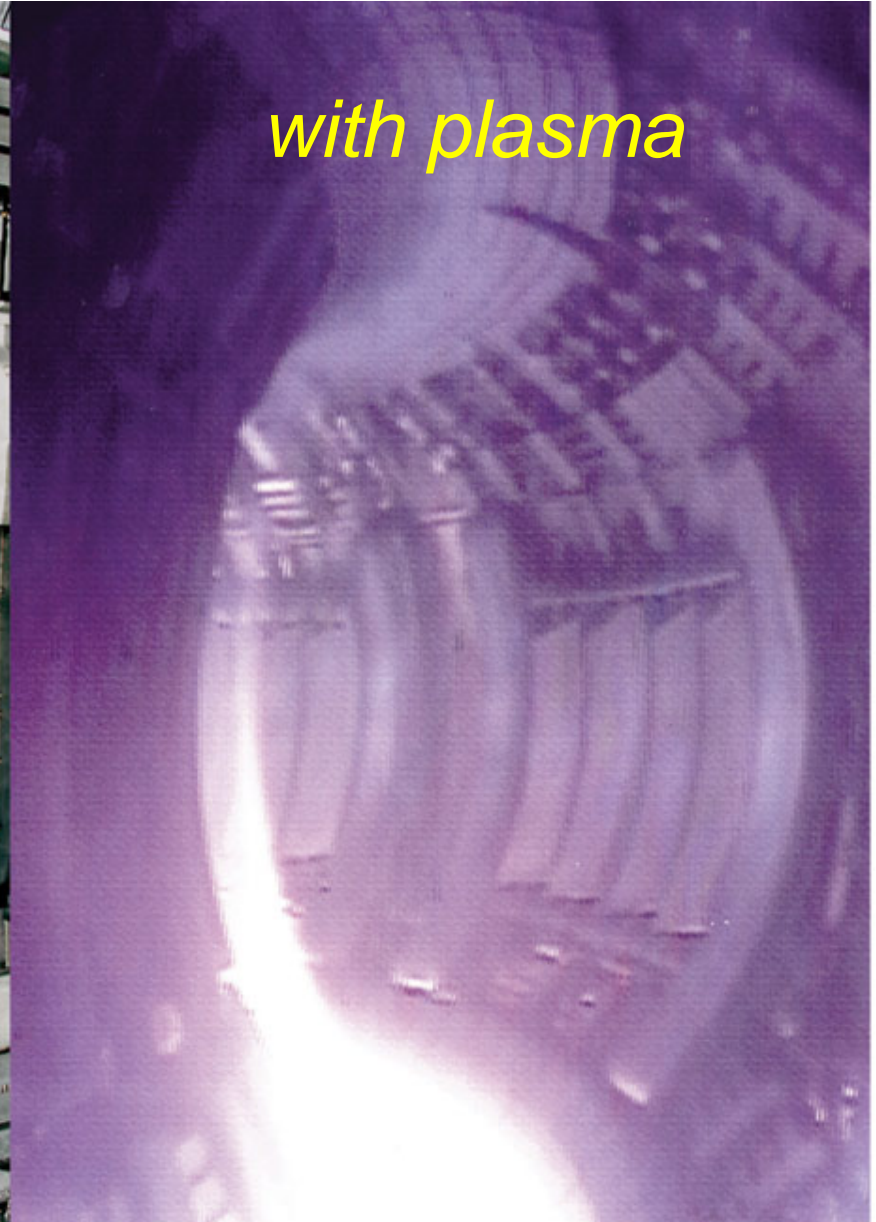
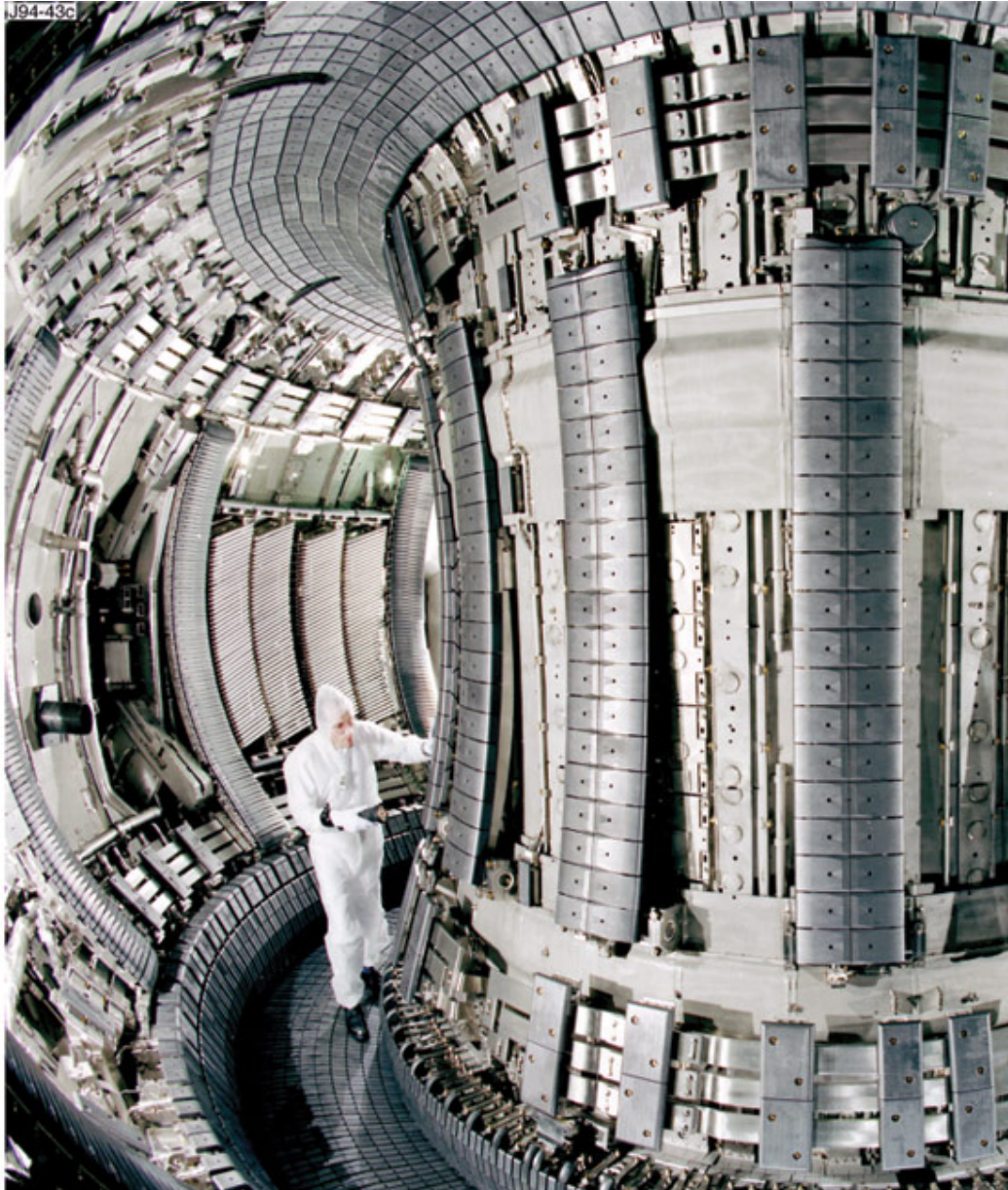
JET: Joint European Torus

- JET is currently the largest tokamak
 - Major/ minor radius: 3 m/ 1 m
 - Plasma volume $\sim 100 \text{ m}^3$
 - Toroidal field: 3.4 T
 - Plasma Current: 7 MA
- In DT experiments in 1997, a peak fusion power of 16 MW was produced

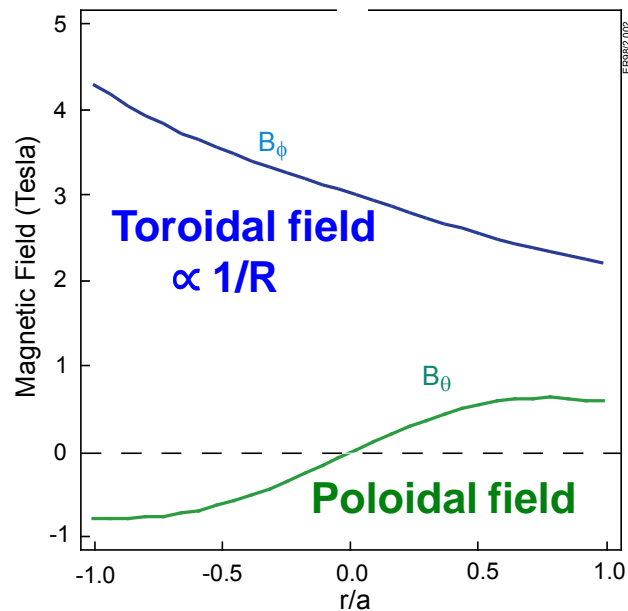
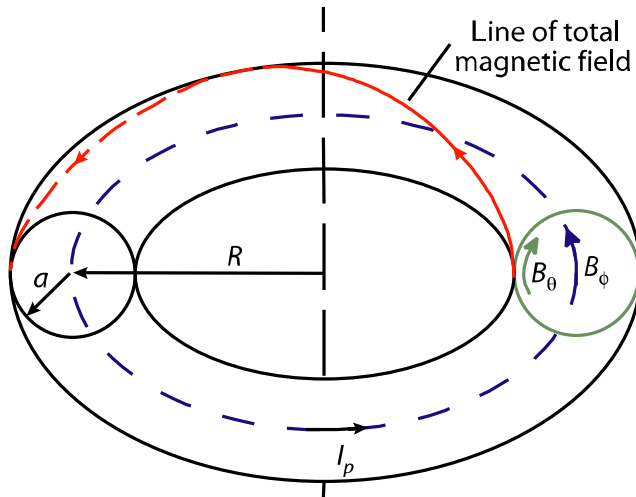


JET - the largest existing Tokamak

Internal View



Magnetic Confinement in a Tokamak

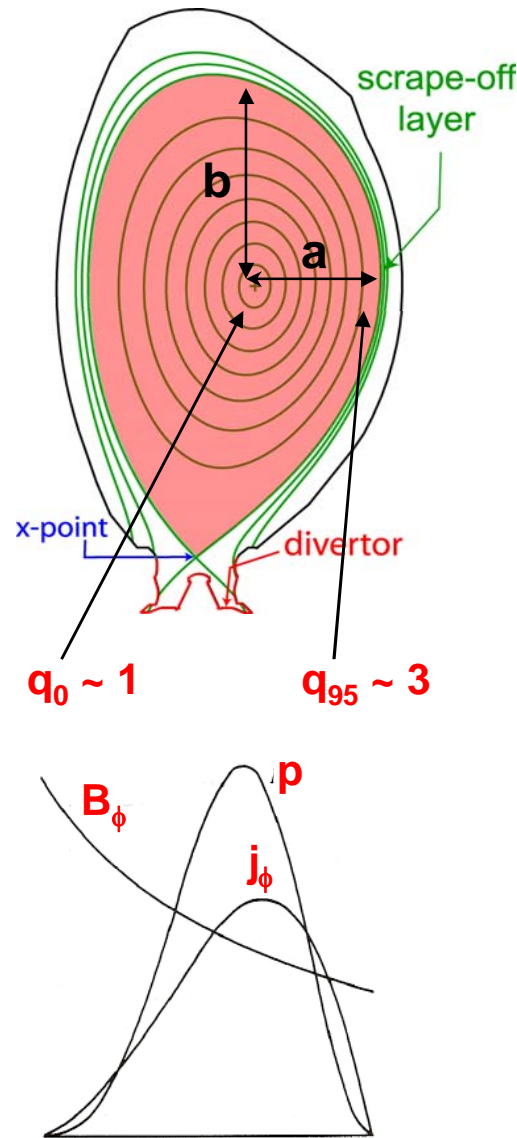


- In configurations with only a **toroidal field**, ions and electrons drift vertically in opposite directions:
- An additional **poloidal field** allows particles to follow **helical paths**, cancelling the drifts
- “Winding number” of helix is an important **stability parameter** for the system:

$$q_c = \frac{aB_\phi}{RB_\theta} \sim \frac{a^2 B_\phi}{RI_p}$$

- q_c = "cylindrical" safety factor
- R/a = aspect ratio

Plasma Equilibrium in a Tokamak



- Formal definition of **safety factor**:

$$q = \frac{d\Phi}{d\Psi}$$

← toroidal flux
← poloidal flux

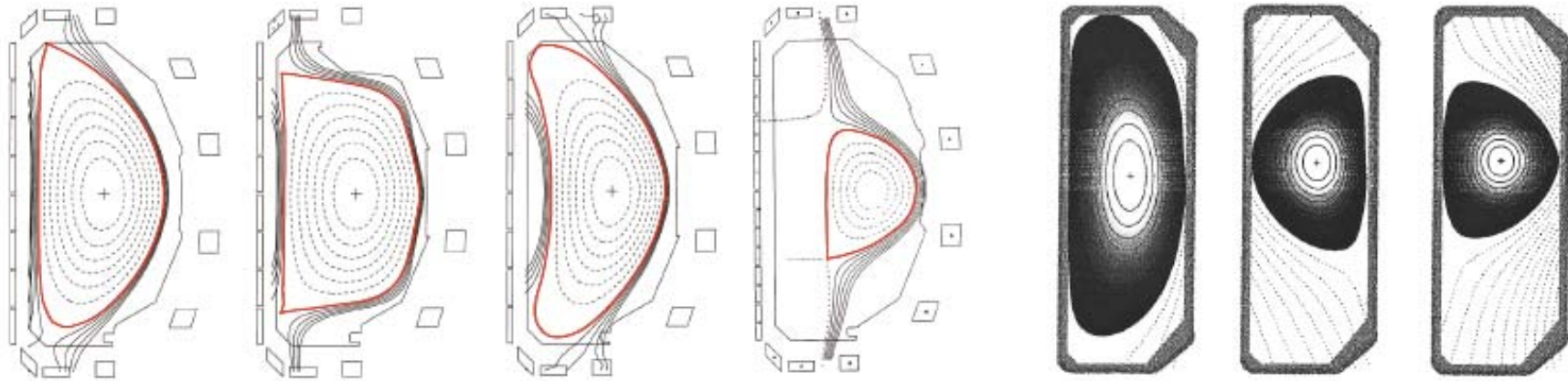
- absolute value of q and its variation across the plasma radius are important in **plasma stability**
- by **elongating** the plasma, more current can be squeezed into the plasma ring at fixed q :

$$\kappa = \frac{b}{a}$$

- κ also turns out to have important consequences for **plasma stability**

- Typically the pressure (temperature, density) and current profiles are peaked on the plasma axis:
 - the profile of q is then the inverse, with $q(0) \sim 1$

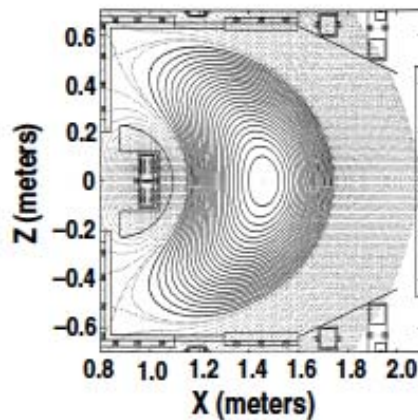
Many Plasma Shapes Have Been Investigated



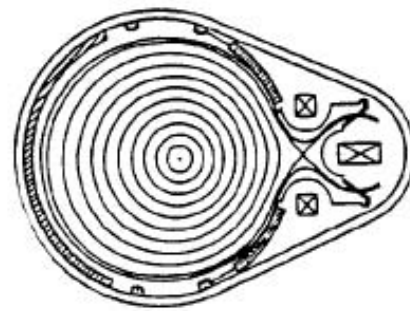
DIII-D

TCV

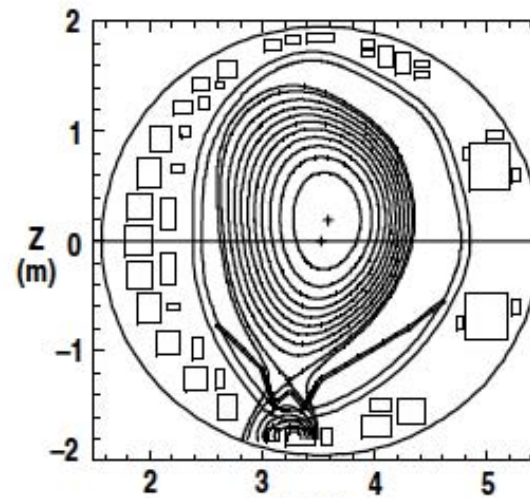
R Stambaugh, APS (2000)



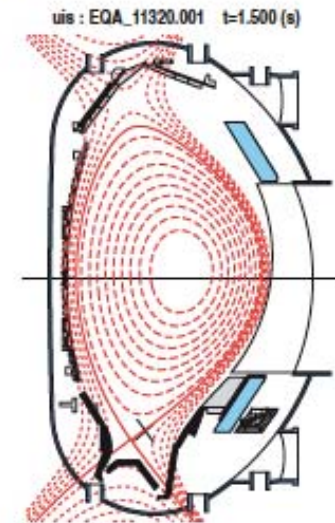
PBX-M



JT-60



JT-60U



ASDEX UPGRADE

- Plasma shape affects confinement and stability properties

Plasma fusion performance

Temperature - T_i : $1-2 \times 10^8 \text{ K}$ (10-20 keV)
($\sim 10 \times$ temperature of sun's core)

Density - n_i : $1 \times 10^{20} \text{ m}^{-3}$
($\sim 10^{-6}$ of atmospheric particle density)

Energy confinement time - τ_E : few seconds (\propto current \times radius²)
(ITER plasma pulse duration $\sim 1000\text{s}$)

Fusion power amplification:
$$Q = \frac{\text{Fusion Power}}{\text{Input Power}} \propto n_i T_i \tau_E$$

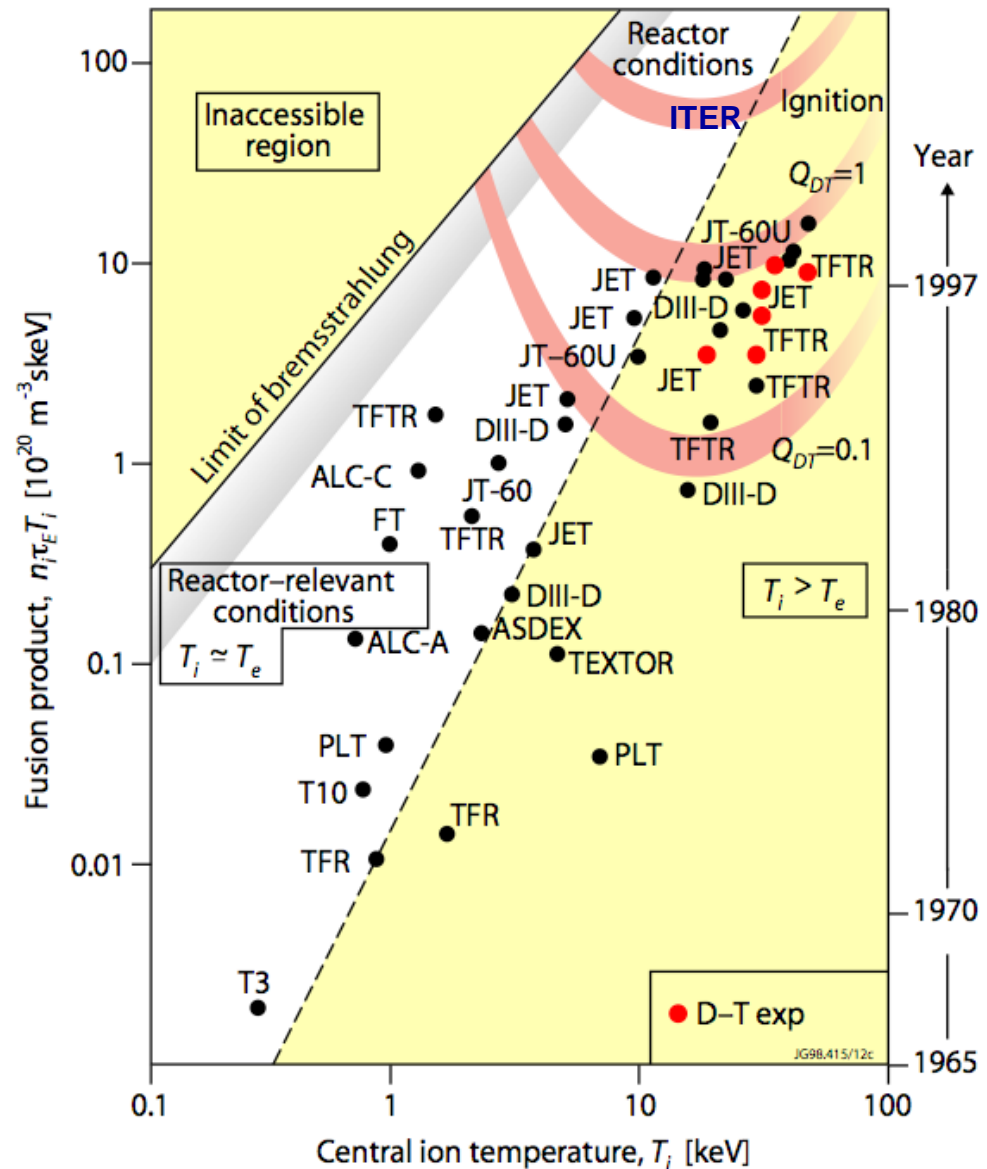
\Rightarrow **Present devices: $Q \leq 1$**

\Rightarrow **ITER goal: $Q \geq 10$**

\Rightarrow **“Controlled ignition”: $Q \geq 30$**

Fusion Triple Product

- Existing experiments have achieved $nT\tau$ values
 $\sim 1 \times 10^{21} \text{ m}^{-3} \text{ s keV}$
 $\sim Q_{DT} = 1$
- JET and TFTR have produced DT fusion powers of $>10 \text{ MW}$ for $\sim 1 \text{ s}$
- ITER is designed to a scale which should yield
 $Q_{DT} \geq 10$ at a fusion power of $400 - 500 \text{ MW}$ for $300 - 500 \text{ s}$



Plasma Heating

- **Tokamaks have a built in heating scheme: “Ohmic” heating by the plasma current**
 - but plasma resistivity varies as $T_e^{-3/2}$, so heating power declines with increasing T_e
 - so Ohmic plasma temperatures of several keV are possible, but additional heating is required to achieve 10-20 keV
- **Two basic heating schemes:**
 - injecting neutral particle beams
 - injecting radiofrequency waves – because the plasma refractive index depends on density and magnetic fields, several RF options are possible
- **Each heating technique also provides some current drive**

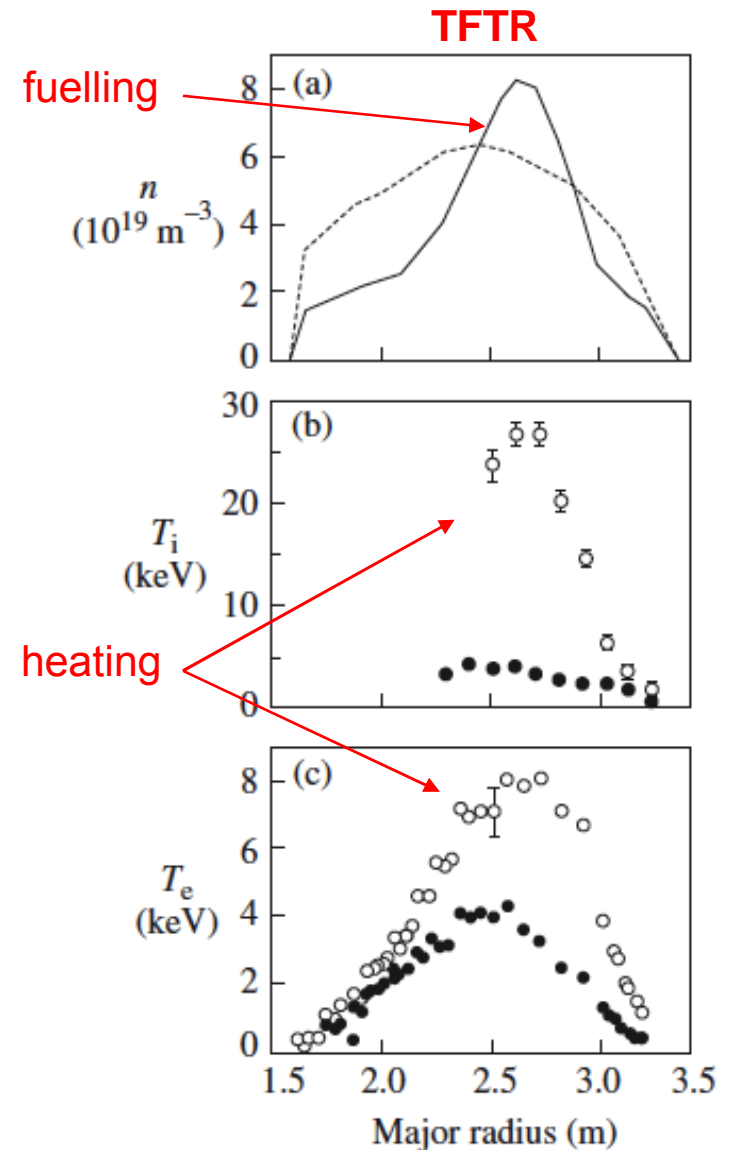
Injection of Neutral Particle Beams

- **Neutral beam injection (NBI):**

- intense particle beams are accelerated, neutralized and injected into plasma
- $E_b \sim 100$ keV, P_b up to 40MW in TFTR
- very effective:
 - heating
 - current drive
 - fuelling
 - rotation drive

- **For ITER:**

- $E_b \sim 1$ MeV is required to penetrate plasma/ drive current
- ➔ negative ion source technology
- higher energy \Rightarrow little fuelling, little rotation drive



Radiofrequency Heating

- **Ion Cyclotron Radiofrequency Heating (ICRF):**
 - launched at frequencies $\sim \omega_{ci} \Rightarrow f \sim 50 \text{ MHz}$
 - technology conventional
 - wave coupling to plasma problematic – penetration through edge
- **Electron Cyclotron Resonance Heating (ECRH):**
 - launched at frequencies $\sim \omega_{ce} \Rightarrow f > 100 \text{ GHz}$
 - source technology non-conventional: “gyrotrons”
 - coupling, absorption, space localization very good
- **Lower Hybrid Heating/ Current Drive (LHCD):**
 - “lower hybrid” a complex wave resonance in plasma: $f \sim 5 \text{ GHz}$
 - technology fairly conventional (source: klystrons)
 - wave coupling to plasma problematic – penetration through edge

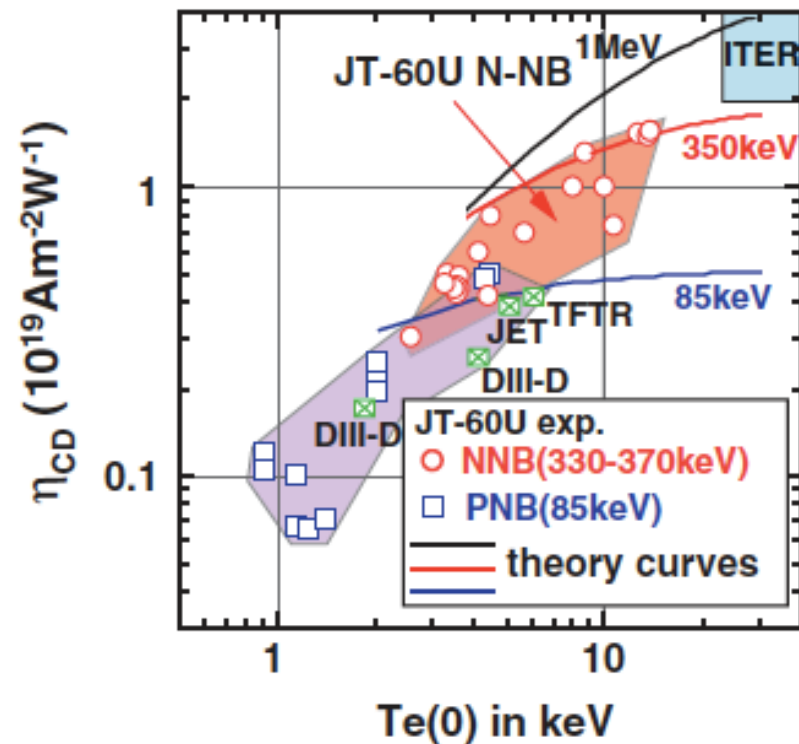
Current Drive

- **Current drive provides:**

- replacement of the transformer drive \Rightarrow towards steady-state plasma
- manipulation of the current profile to improve confinement/ stability
- direct suppression of plasma instabilities

- **Current drive efficiency (η_{CD} = driven current/input power):**

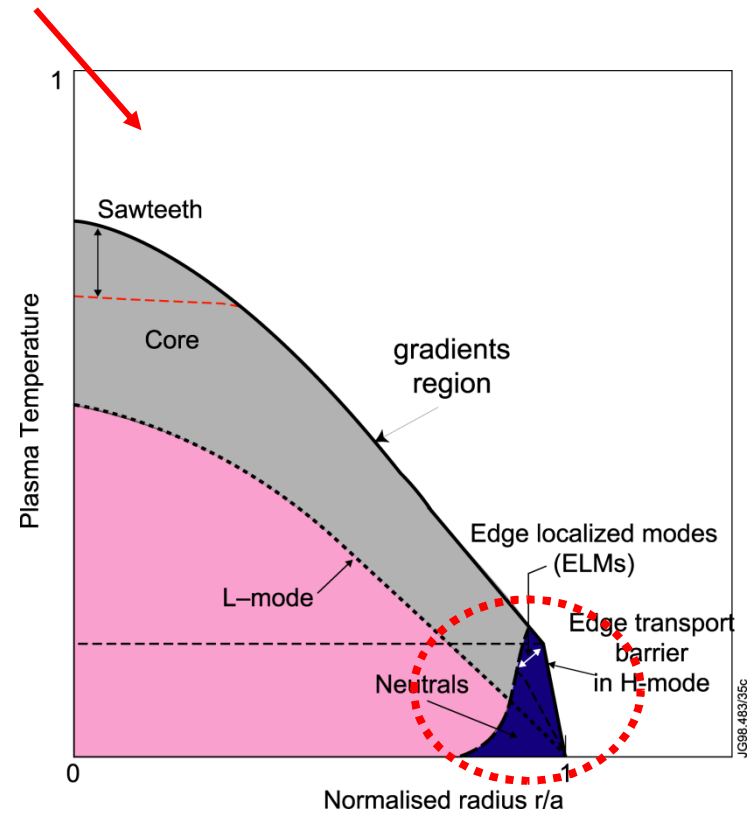
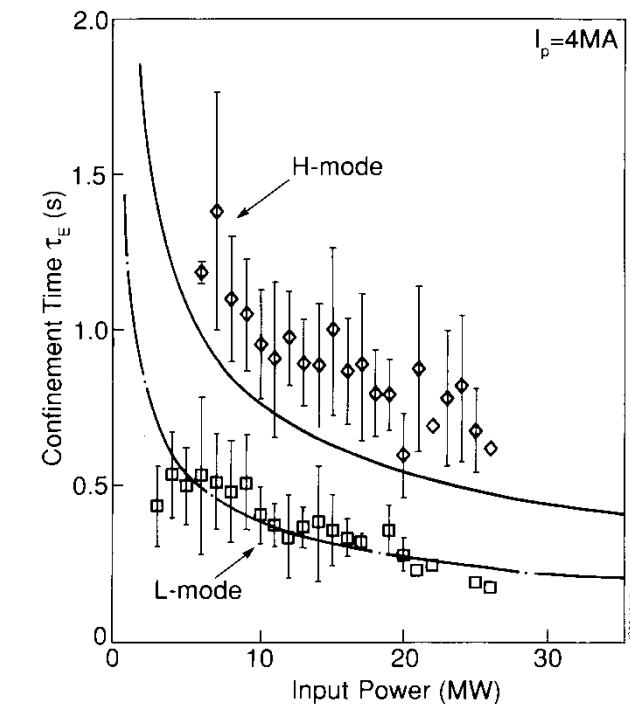
- typically **increases with T_e**
- for beams, also **increases with E_b**
 \Rightarrow favourable for ITER



C Gormezano et al, Nucl Fusion **47** S285 (2007)

Plasma Confinement: H-mode

- It is found that the plasma confinement state (τ_E) can bifurcate:
 - two distinct plasma regimes, a low confinement (L-mode) and a high confinement (H-mode), result
 - this phenomenon has been shown to arise from changes in the plasma flow in a narrow edge region, or pedestal



ITER Physics Basis I

- Predictions of fusion performance in ITER rely essentially on a small number of physics rules:

- H-mode energy confinement scaling (IPB98(y,2)):

$$\tau_{E,th}^{98(y,2)} = 0.144 I^{0.93} B^{0.15} P^{-0.69} n^{0.41} M^{0.19} R^{1.97} \varepsilon^{0.58} \kappa^{0.78} \text{ (s)}$$

$$\tau_E \propto I R^2 P^{-2/3}$$

$$\text{NB: } H_{98(y,2)} = \tau_{E,th}^{\text{exp}} / \tau_{E,th}^{98(y,2)}$$

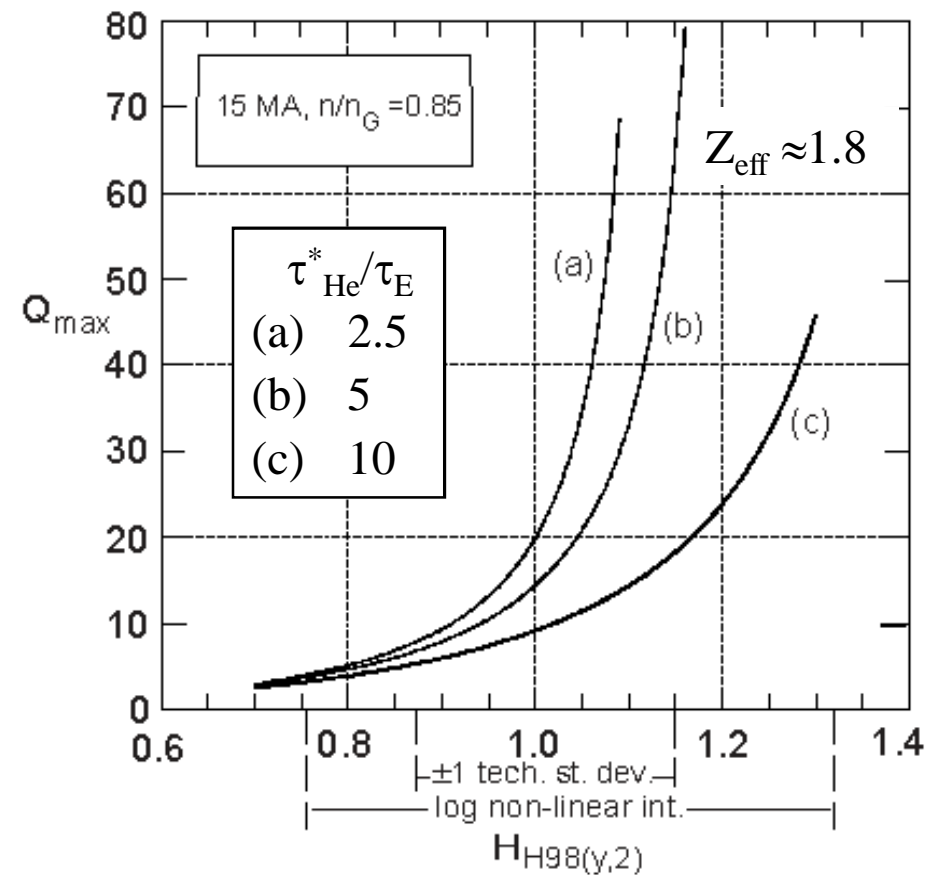
- H-mode threshold power:

$$P_{LH} = 0.098 M^{-1} B^{0.80} \bar{n}_{20}^{0.72} S^{0.94} \text{ (MW)}$$

(i.e., a certain level of power needs to flow across the plasma boundary to trigger an H-mode)

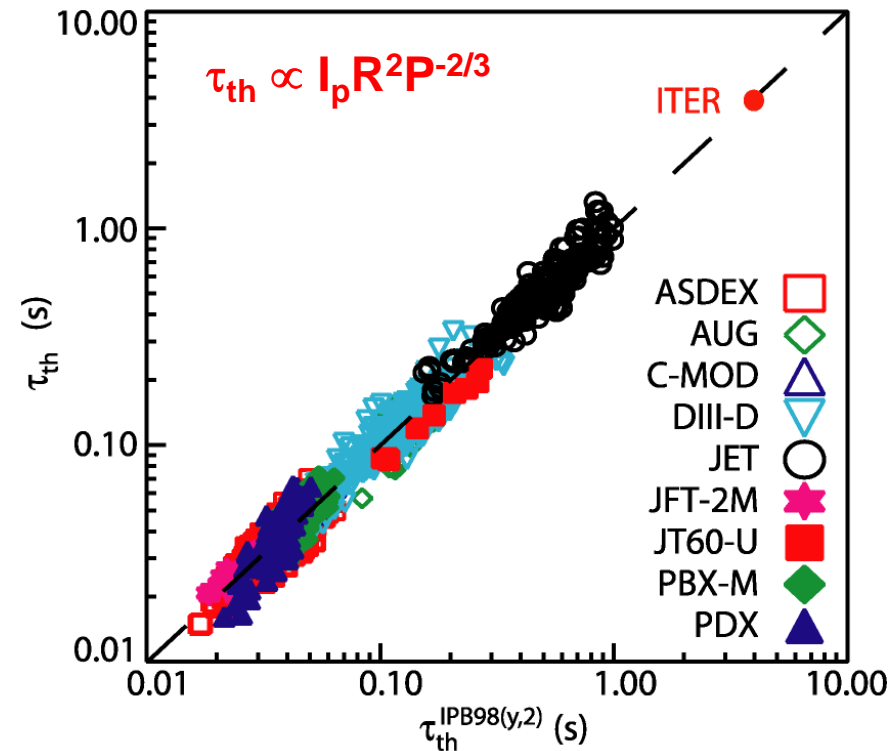
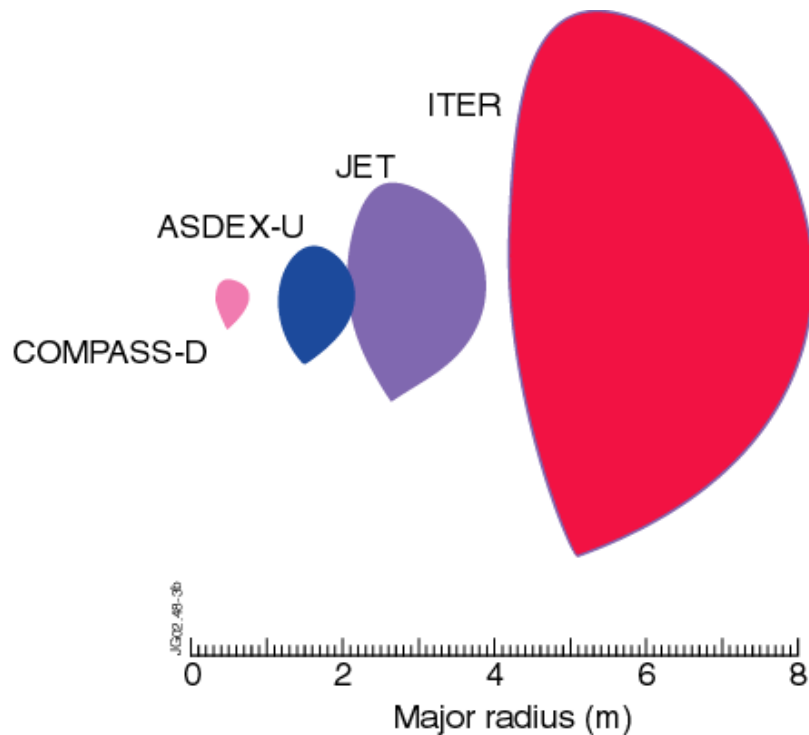
Fusion Performance Depends on Confinement

- Uncertainty in achievable energy ($H_{H98(y,2)}$) and helium particle (τ_{He}^*/τ_E) confinement gives a large uncertainty in resulting fusion performance
- $Q=10$ Inductive Scenario uses $H_{H98(y,2)} = 1$ and $\tau_{He}^*/\tau_E = 5$ based on empirical data from existing tokamaks
- Too much core helium ash accumulation could reduce fusion performance
- $Q > 50$ is not excluded within the uncertainty

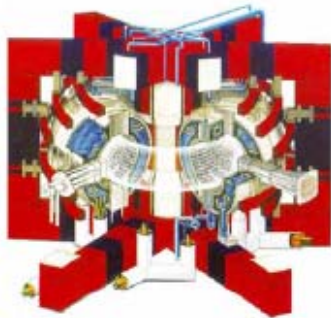


How is ITER scale determined ?

- Energy confinement time is one of many parameters studied in a wide range of tokamak experiments
 - multi-tokamak experimental database provides scaling prediction for **ITER energy confinement time, τ**



ITER is twice as large as our largest existing experiments

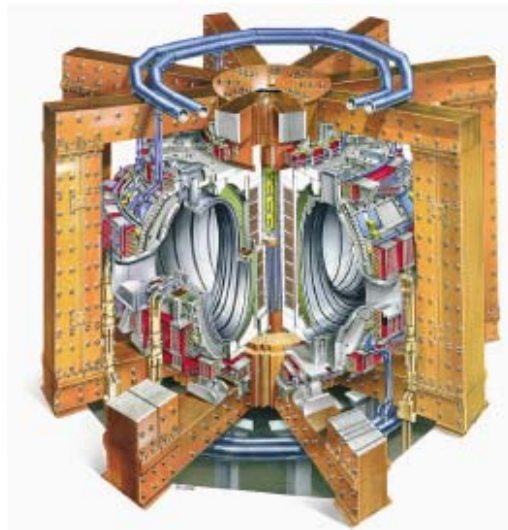


Tore Supra

$V_{\text{plasma}} \sim 25 \text{ m}^3$

$P_{\text{fusion}} \sim 0 \text{ MW}$

$t_{\text{plasma}} \sim 400 \text{ s}$

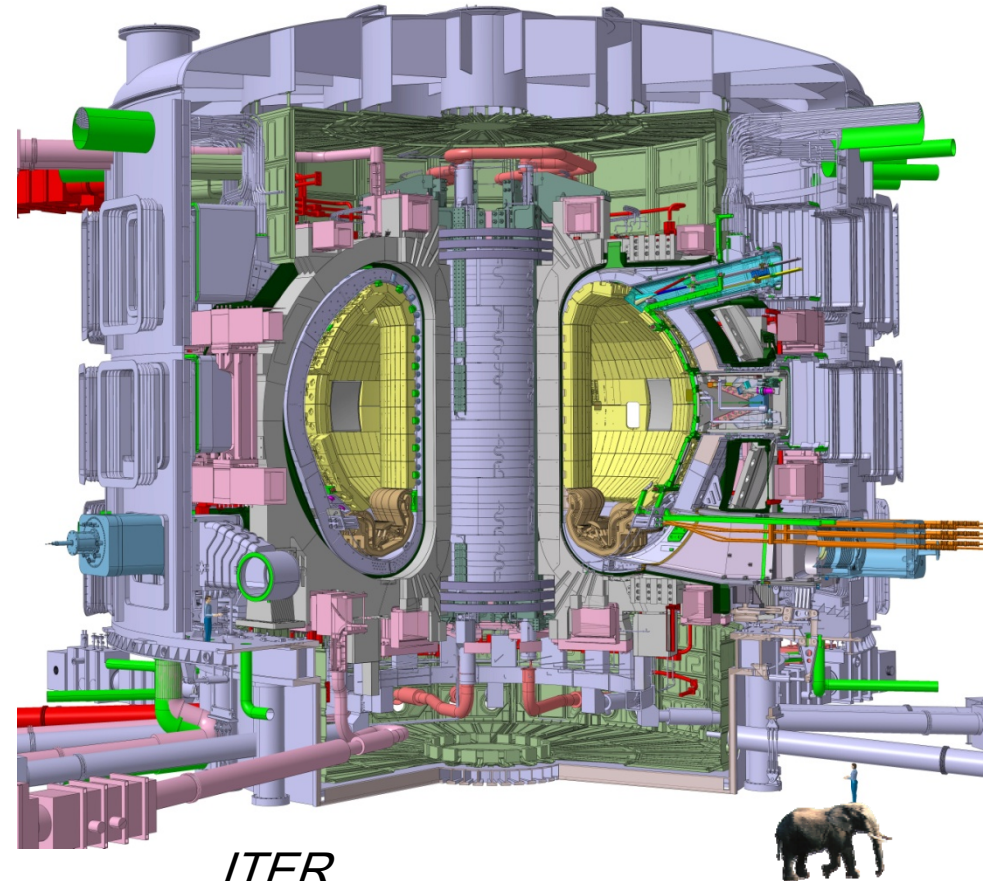


JET

$V_{\text{plasma}} \sim 80 \text{ m}^3$

$P_{\text{fusion}} \sim 16 \text{ MW } 1 \text{ s}$

$t_{\text{plasma}} \sim 30 \text{ s}$



ITER

$V_{\text{plasma}} \sim 830 \text{ m}^3$

$P_{\text{fusion}} \sim 500 \text{ MW } 300 - 500 \text{ s}$

$t_{\text{plasma}} \sim 600 - 3000 \text{ s}$

ITER Physics Basis II

- MHD stability:

$$q_{95} = 3 \quad q_{95} = 2.5 \frac{a^2 B}{R I} f(\varepsilon, \kappa, \delta)$$

$$n/n_{GW} \leq 1 \quad n_{GW}(10^{20}) = \frac{I(\text{MA})}{\pi a^2}$$

$$\beta_N \leq 2.5 \quad \beta_N = \beta(\%) \frac{a B}{I(\text{MA})}$$

κ, δ determined by control considerations

$\beta = (\text{plasma kinetic energy})/(\text{plasma magnetic energy})$

- Divertor physics:

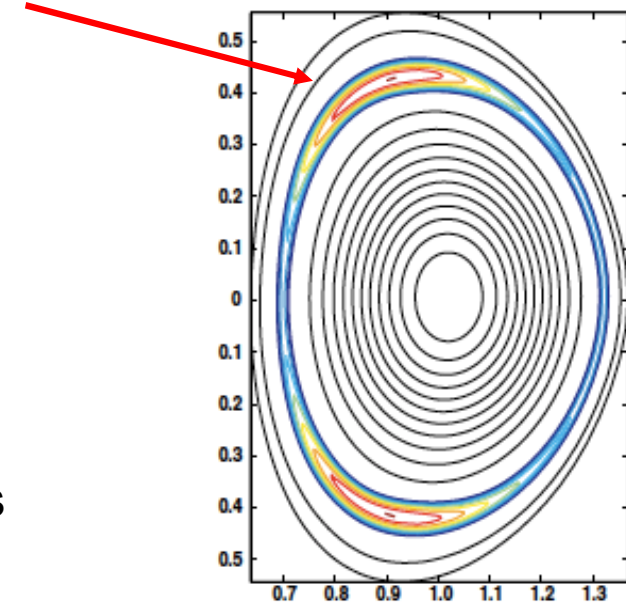
Peak target power $\sim 10 \text{MWm}^{-2}$

Helium transport: $\tau_{\text{He}}^* / \tau_E \sim 5$

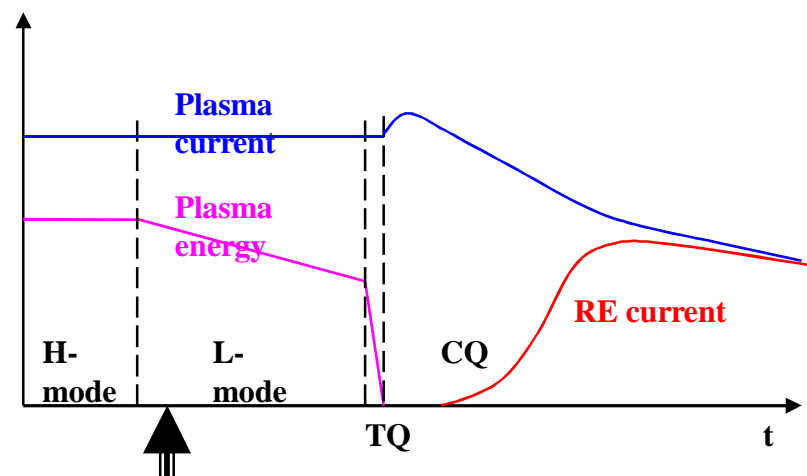
Impurity content: $n_{\text{Be}}/n_e = 0.02$ (+ $\sim 0.1\%$ Ar for radiation)

MHD Stability - Plasma Operational Limits

- The interaction of the plasma fluid and the magnetic field is described by magnetohydrodynamic (MHD) stability theory
 - provides a good qualitative, and to a significant extent quantitative, description of stability limits and the associated instabilities
- There are two basic types of instability:
 - “ideal” instabilities produce field line bending – can grow very rapidly
 - “resistive” instabilities cause tearing and reconnection of the magnetic field lines \Rightarrow formation of “magnetic islands”
- Plasma control techniques are being applied to suppress or avoid the most significant instabilities
 - Neo-classical tearing modes (NTMs)
 - Edge localized modes (ELMs)
 - Disruptions and vertical displacement events
 - Allows access to higher fusion performance



MHD Stability: Disruptions

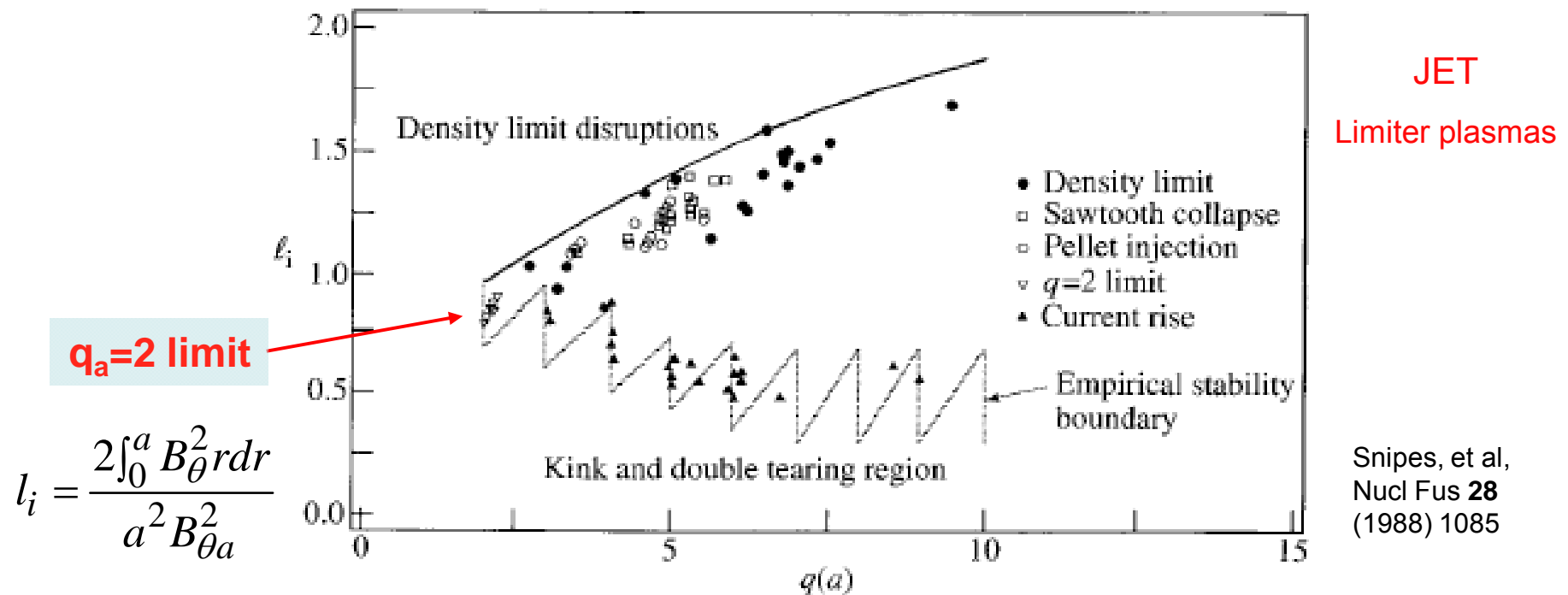


Typical chain of events during a plasma disruption

- The ultimate stability limit in tokamak plasmas is set by **major disruptions**: large scale MHD instabilities
 - loss of plasma energy in milliseconds (thermal quench – TC)
 - plasma current decays in 10s of milliseconds (current quench – QC)
- **Produces:**
 - very large heat loads on plasma facing surfaces
 - significant electromagnetic forces in vacuum vessel
 - large runaway electron beam

Mitigation techniques essential

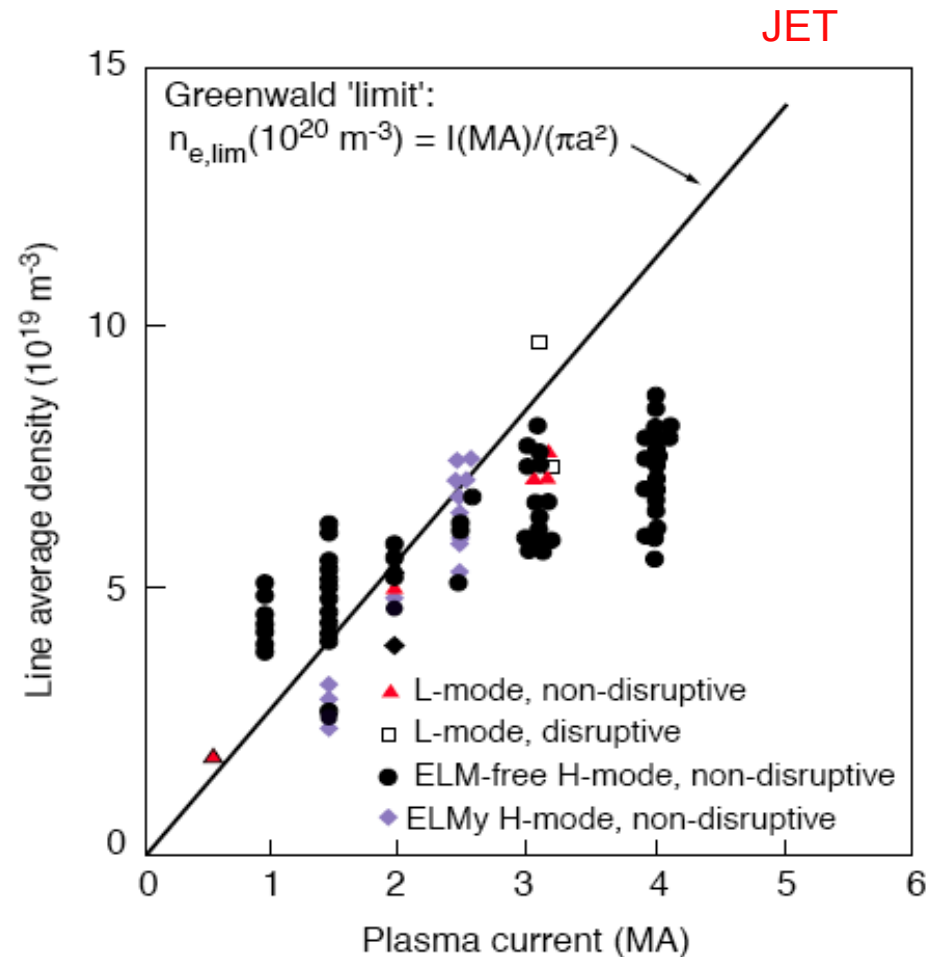
MHD Stability - Plasma Equilibrium Limits



- **l_i - q_a diagram** describes stable plasma operating space of internal inductance vs safety factor, limited by disruptions:
 - low l_i typically has to be negotiated during the plasma current ramp-up
 - high- l_i limit typically occurs due to excessive radiation at plasma edge, resulting in cold edge plasma and narrow current channel (e.g., at density limit)

MHD Stability - Density Limits

- Experiments have shown that tokamak plasmas can sustain a maximum density:
 - limit depends on operating regime (ohmic, L-mode, H-mode ...)
 - limit may be determined by **edge radiation imbalance** or **edge transport processes**
 - limit can be disruptive or non-disruptive
- Comprehensive theoretical understanding still limited
 - “Greenwald” density:
$$n_{GW} = I(MA) / \pi a^2$$
 - operational figure of merit



Plasma MHD Stability – Pressure Limit: β

- Maximum value of normalized plasma pressure, β , is limited by MHD instabilities:

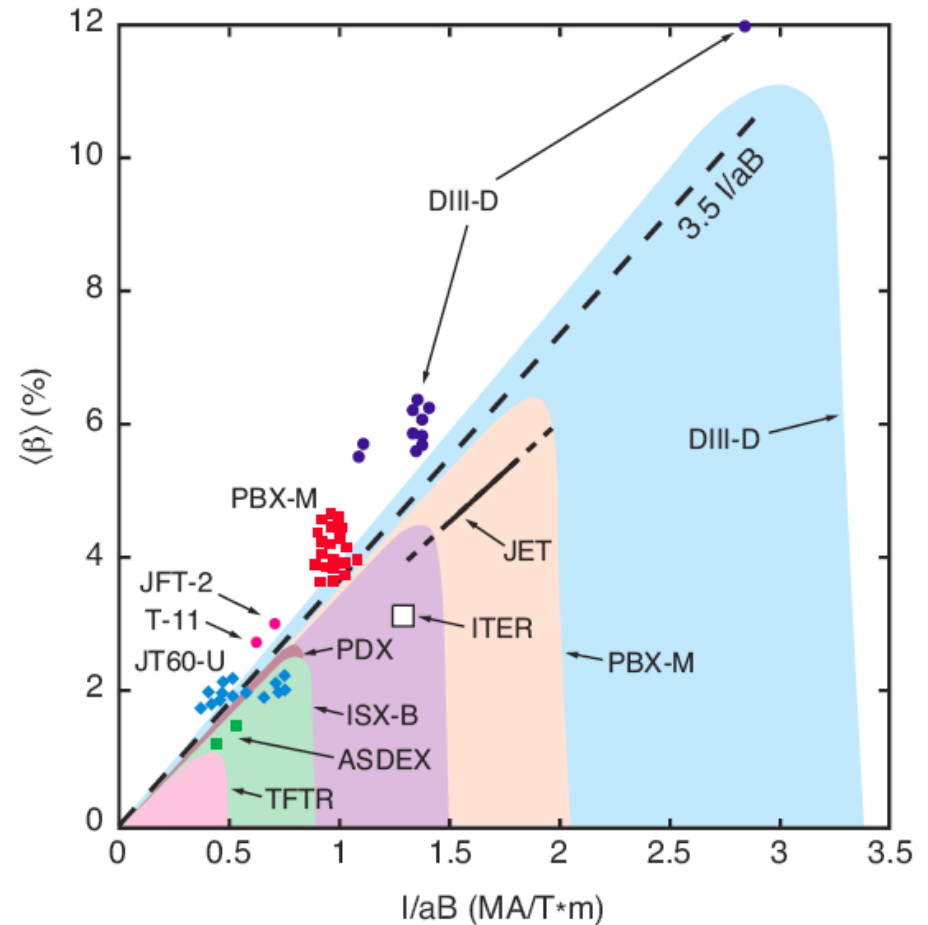
$$\beta(\%) = 100 \frac{\langle p \rangle}{B^2 / 2\mu_0}$$

$$\beta_N = \frac{\beta(\%)}{I_p(\text{MA}) / aB}$$

- Typically, **“Troyon” limit** describes tokamak plasmas:
- More generally, **“no-wall” limit**:

$$\beta_N \leq 2.8-3.5$$

$$\beta_N \leq 4 \times I_i$$

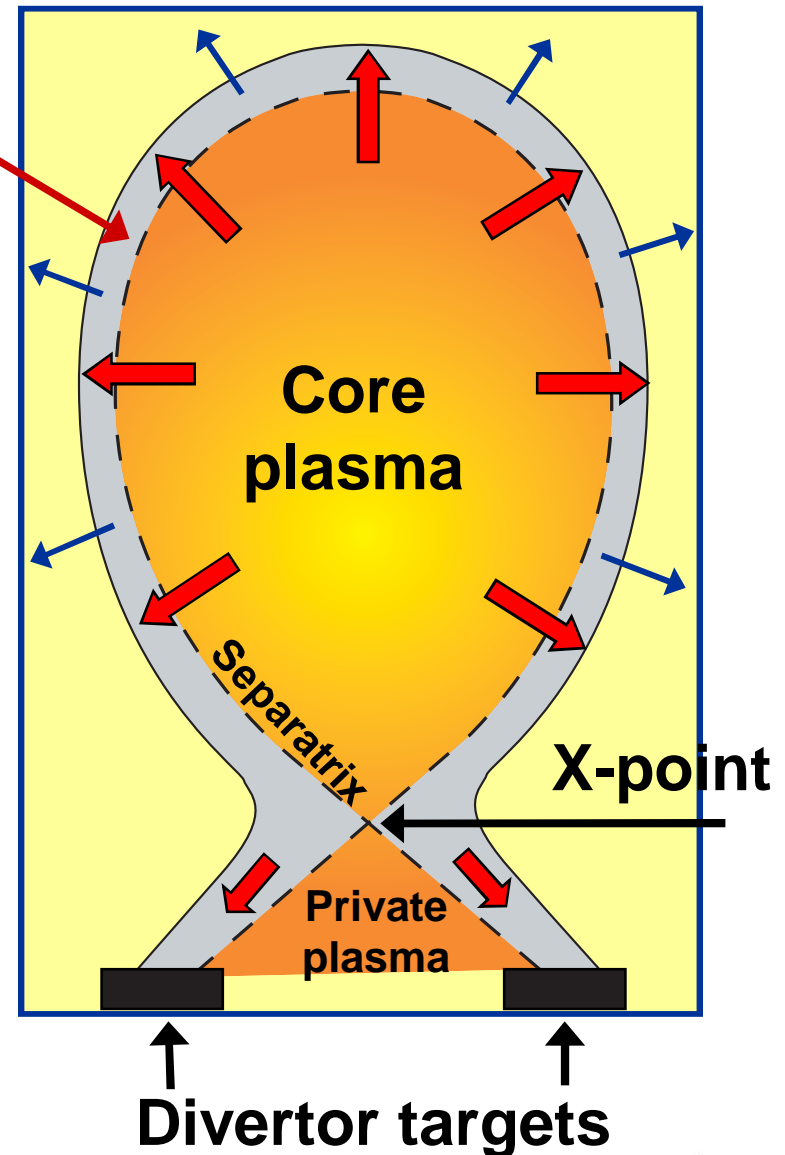


Power and Particle Exhaust

Scrape-off layer (SOL) plasma:
region of open field lines

- **Essential problem is:**

- handle power produced by plasma with (steady-state) engineering limit for plasma facing surfaces of 10 MWm^{-2}
- extract helium from the core plasma to limit concentration below $\sim 6\%$
- prevent impurities from walls penetrating into plasma core
- ensure plasma facing surfaces survive sufficiently long



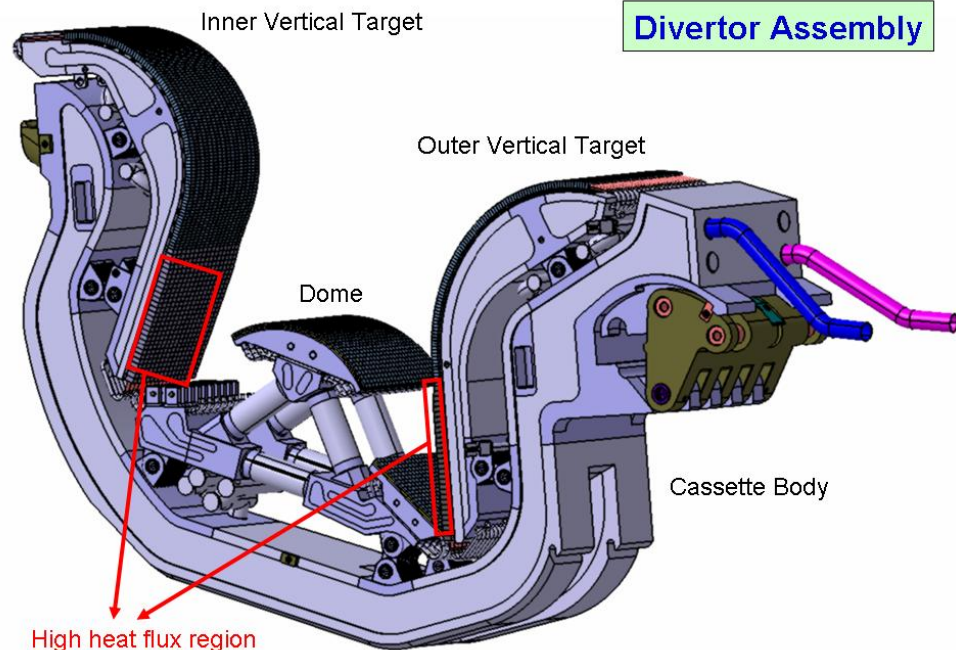
Power and Particle Exhaust

- The **divertor** is a significant element of the solution

- surfaces for high heat fluxes (10 MWm^{-2})
- cryopumping to extract particles leaving the plasma, including helium

- The **divertor** is fundamental to exhaust power from a burning plasma:

- impurities are added to the edge plasma to increase radiation
- a large pressure gradient develops along the field lines into the divertor
- the divertor plasma temperature falls to a few eV
- a large fraction of the plasma exhaust power is redistributed by radiation and ion-neutral collisions



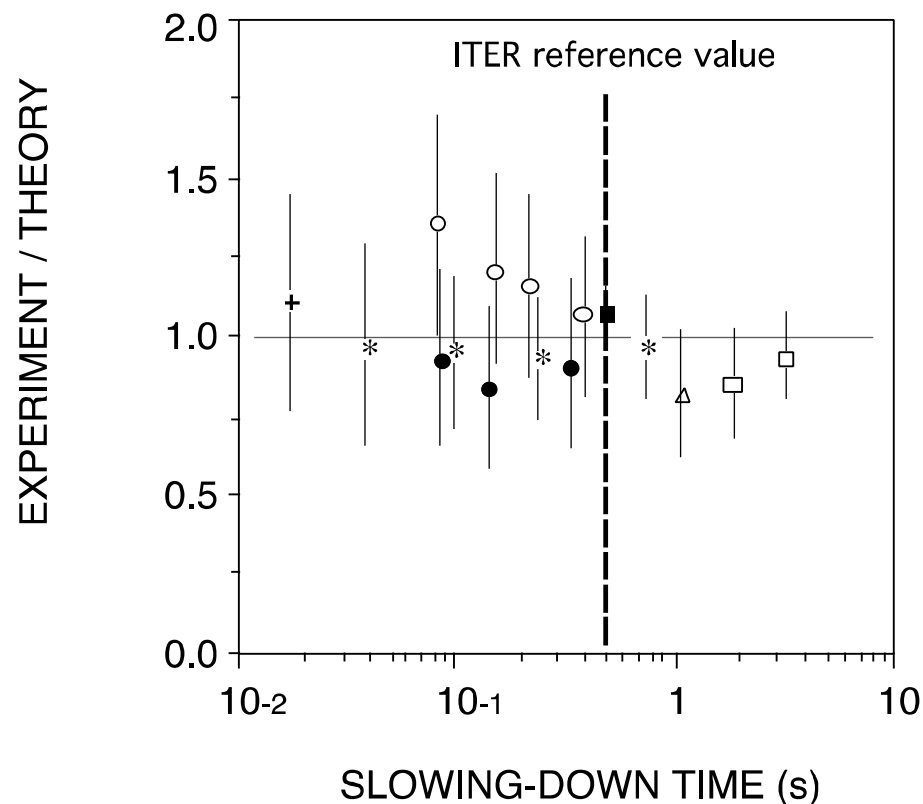
**ITER divertor cassette –
54 cassettes make up the
complete toroidal ring**

Burning Plasma Physics

- **Access to plasmas which are dominated by α -particle heating will open up new areas of fusion physics research, in particular:**
 - confinement of α 's in plasma
 - response of plasma to α -heating
 - influence of α -particles on MHD stability
- **Experiments in existing tokamaks have already provided some positive evidence**
 - “energetic” particles (including α -particles) are well confined in the plasma
 - such particle populations interact with the background plasma and transfer their energy as predicted by theory
 - but energetic particles can induce MHD instabilities (**Alfvén eigenmodes**) - for ITER parameters at $Q=10$, the impact is expected to be tolerable

Energetic Ion Confinement

- **In existing experiments single particle theory of energetic ion confinement confirmed:**
 - simple estimate, based on banana orbit width shows that $I_p \geq 3\text{MA}$ required for α -particle confinement
- **Classical slowing down of fast ions well validated:**
 - data range 30keV NBI (ISX-B) to 3.5MeV α -particles (TFTR)
- **Energetic ion heating processes routinely observed in additional heating experiments**



W W Heidbrink, G J Sadler, Nucl Fusion **34** 535 (1994)


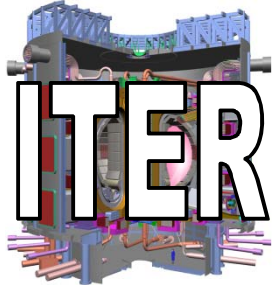

Alfvén Eigenmodes

- In a tokamak plasma, the Alfvén wave continuum splits into a series of bands, with the gaps associated with various features of the equilibrium:
 - a series of discrete frequency Alfvén eigenmodes can exist in these gaps:
 - toroidicity-induced (TAE) gap created by toroidicity
 - ellipticity-induced (EAE) gap created by elongation
 - triangularity-induced (NAE) gap created by additional non-circular effects
 - beta-induced (BAE) gap created by field compressibility
 - kinetic toroidal (KTAE) gap created by non-ideal effects such as finite Larmor radius
- ... and others!
- These modes can be driven unstable by the free energy arising from energetic particle populations with velocities above the Alfvén velocity, eg α -particles

Physics for Fusion Power Plants

- A fusion power plant requires physics parameters that are simultaneously close to the limits of what might be achievable on the basis of our (experimental and theoretical) understanding
- Several key issues in (burning) plasma physics for a tokamak power plant must be developed in the current programme and demonstrated (and extended) in ITER:
 - Operating scenario - steady-state ?
 - High confinement at high density and high radiated power fraction
 - High fusion power \Rightarrow high β operation \Rightarrow robust MHD stability
 - Effective disruption avoidance and control
 - Power (and particle) exhaust with relevant PFCs
 - Tritium efficiency
 - α -particle confinement
 - Reactor-relevant auxiliary systems (H&CD, diagnostics, fuelling, control ...)

ITER on the Path to Fusion Energy

	<i>When?</i>	<i>Fusion Power</i>	<i>Burn Duration</i>	<i>Q</i>
 JET	1997	16 MW	~ 1 second	0.65
 ITER	2027-2028	500-700 MW	~ 7 minutes	10
 Power Plant	~2040	2-2.5 GW	days/steady-state	30

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<http://www.iter.org> - and associated links