

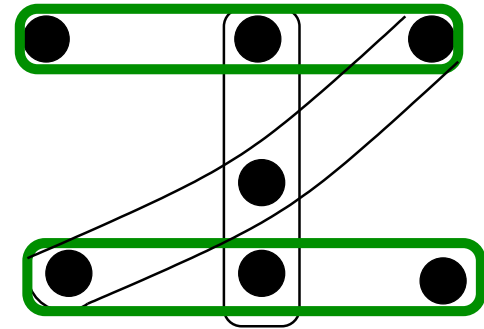
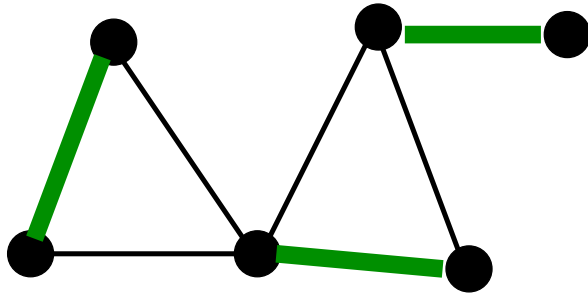
# **Packing and Covering in Uniform Hypergraphs**

Penny Haxell

University of Waterloo

# Packing

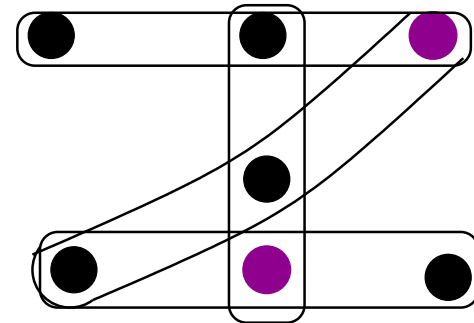
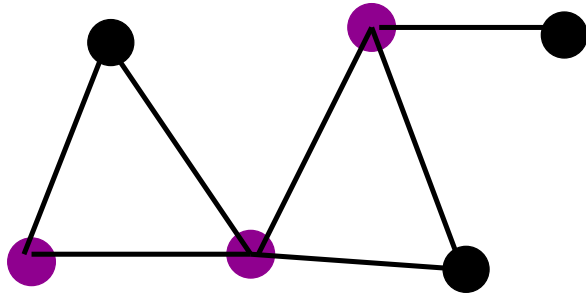
Let  $\mathcal{H}$  be a hypergraph. A **packing** or **matching** of  $\mathcal{H}$  is a set of pairwise disjoint edges of  $\mathcal{H}$ .



The parameter  $\nu(\mathcal{H})$  is defined to be the maximum size of a packing in  $\mathcal{H}$ .

## Covering

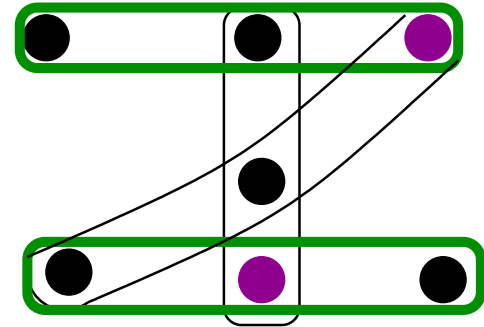
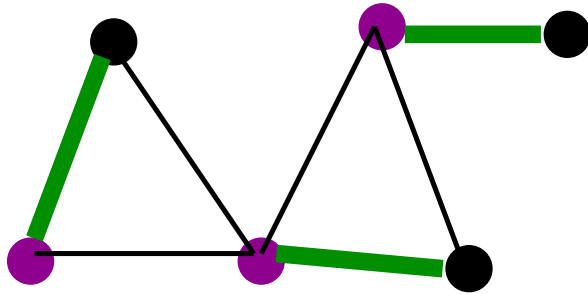
A **cover** of the hypergraph  $\mathcal{H}$  is a set of vertices  $C$  of  $\mathcal{H}$  such that every edge of  $\mathcal{H}$  contains a vertex of  $C$ .



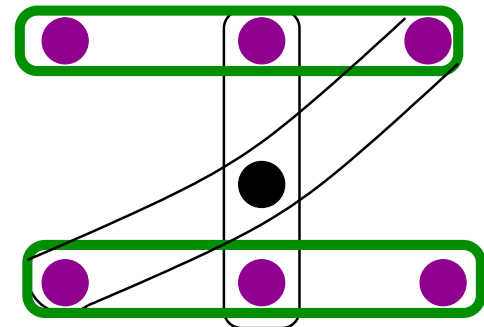
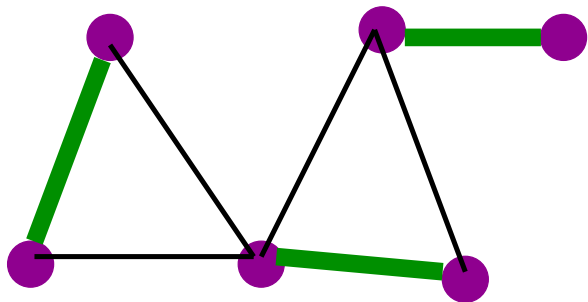
The parameter  $\tau(\mathcal{H})$  is defined to be the minimum size of a cover of  $\mathcal{H}$ .

## Comparing $\nu(\mathcal{H})$ and $\tau(\mathcal{H})$

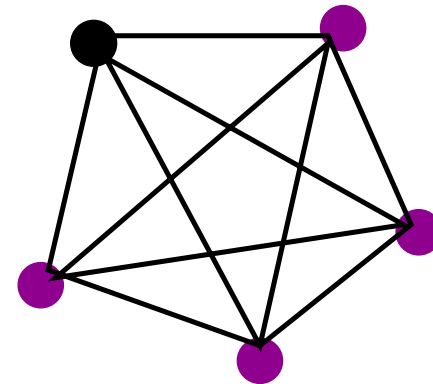
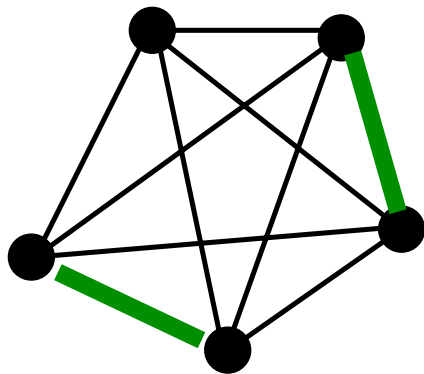
For **every** hypergraph  $\mathcal{H}$  we have  $\nu(\mathcal{H}) \leq \tau(\mathcal{H})$ .



For every  **$r$ -uniform** hypergraph  $\mathcal{H}$  we have  $\tau(\mathcal{H}) \leq r\nu(\mathcal{H})$ .



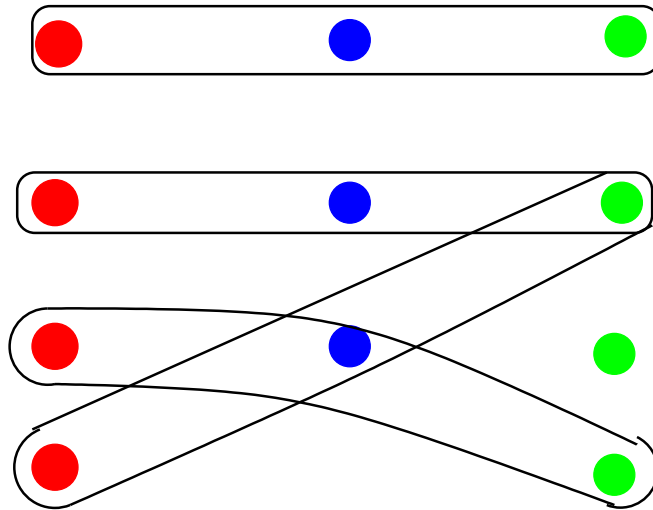
The upper bound  $\tau(\mathcal{H}) \leq r\nu(\mathcal{H})$  is attained for certain hypergraphs, for example for the complete  $r$ -uniform hypergraph  $\mathcal{K}_{rt+r-1}^r$  with  $rt+r-1$  vertices, in which  $\nu = t$  and  $\tau = rt$ .



## Ryser's Conjecture

**Conjecture:** Let  $\mathcal{H}$  be an  $r$ -partite  $r$ -uniform hypergraph. Then

$$\tau(\mathcal{H}) \leq (r - 1)\nu(\mathcal{H}).$$



This conjecture dates from the early 1970's.

## A stronger conjecture

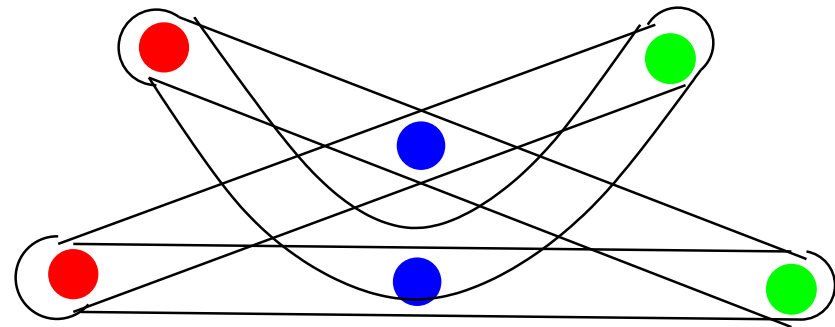
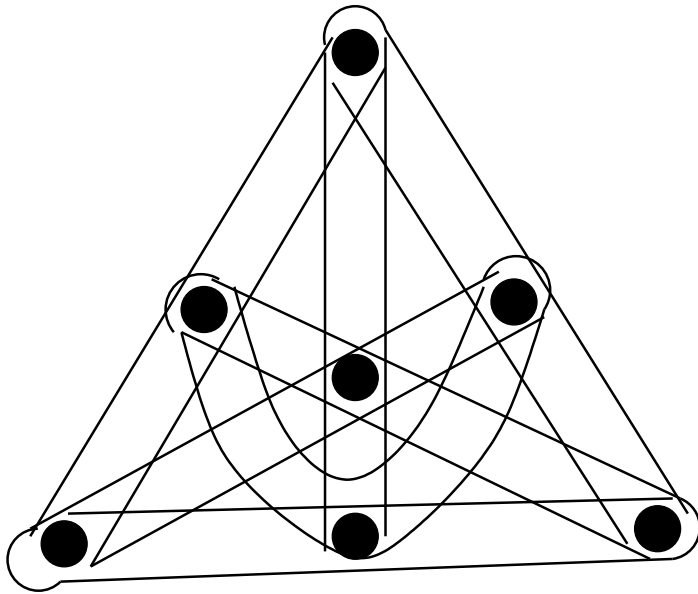
**Conjecture (Lovász):** Let  $\mathcal{H}$  be an  $r$ -partite  $r$ -uniform hypergraph. Then there exists a set  $S$  of at most  $r - 1$  vertices such that the hypergraph  $\mathcal{H}'$  formed by removing  $S$  satisfies

$$\nu(\mathcal{H}') \leq \nu(\mathcal{H}) - 1.$$

## Results on Ryser's Conjecture

- $r = 2$ : This is König's Theorem for bipartite graphs.
- $r = 3$ : Known (proved by Aharoni, 2001)
- $r = 4$  and  $r = 5$ : Known for small values of  $\nu(\mathcal{H})$ , namely for  $\nu(\mathcal{H}) \leq 2$  when  $r = 4$  and for  $\nu(\mathcal{H}) = 1$  when  $r = 5$ . (Tuza)
- whenever  $r - 1$  is a prime power: If true, the upper bound is best possible.





Here  $\nu(\mathcal{H}) = 1$  and  $\tau(\mathcal{H}) = r - 1$ .

## On Ryser's Conjecture

**Theorem (PH, Scott 2012)** There exists  $\epsilon > 0$  such that for every 4-partite 4-uniform hypergraph  $\mathcal{H}$  we have

$$\tau(\mathcal{H}) \leq (4 - \epsilon)\nu(\mathcal{H}).$$

**Theorem (PH, Scott 2012)** There exists  $\epsilon > 0$  such that for every 5-partite 5-uniform hypergraph  $\mathcal{H}$  we have

$$\tau(\mathcal{H}) \leq (5 - \epsilon)\nu(\mathcal{H}).$$

## On Ryser's Conjecture for $r = 3$

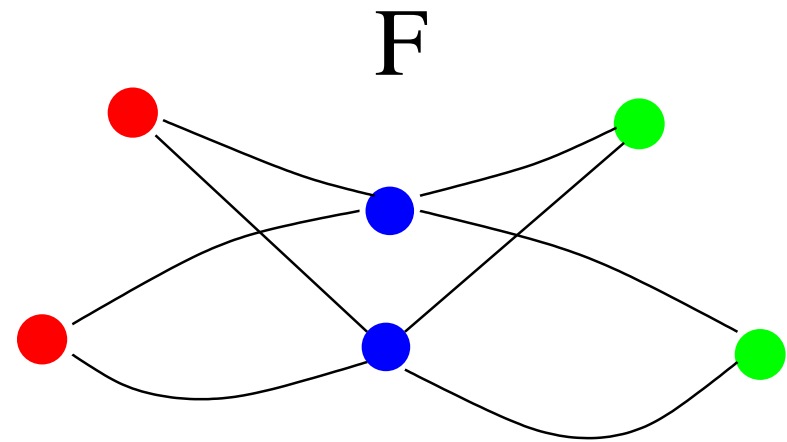
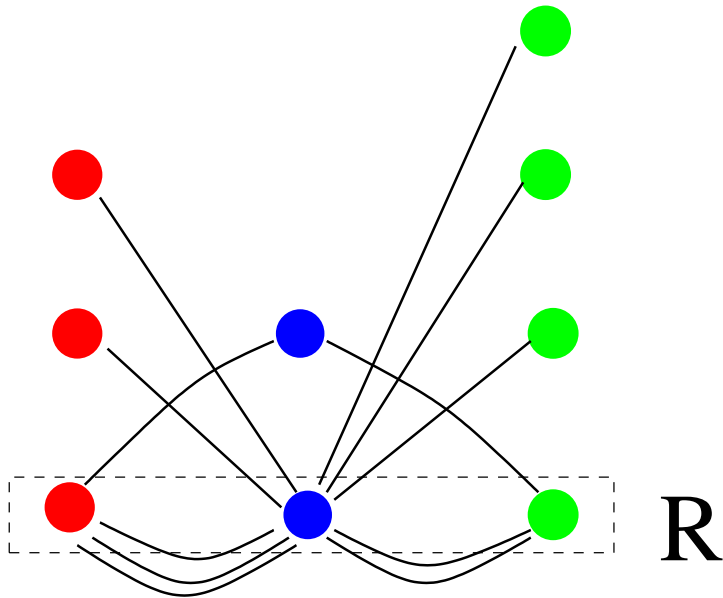
**Theorem (Aharoni 2001):** Let  $\mathcal{H}$  be a 3-partite 3-uniform hypergraph. Then

$$\tau(\mathcal{H}) \leq 2\nu(\mathcal{H}).$$

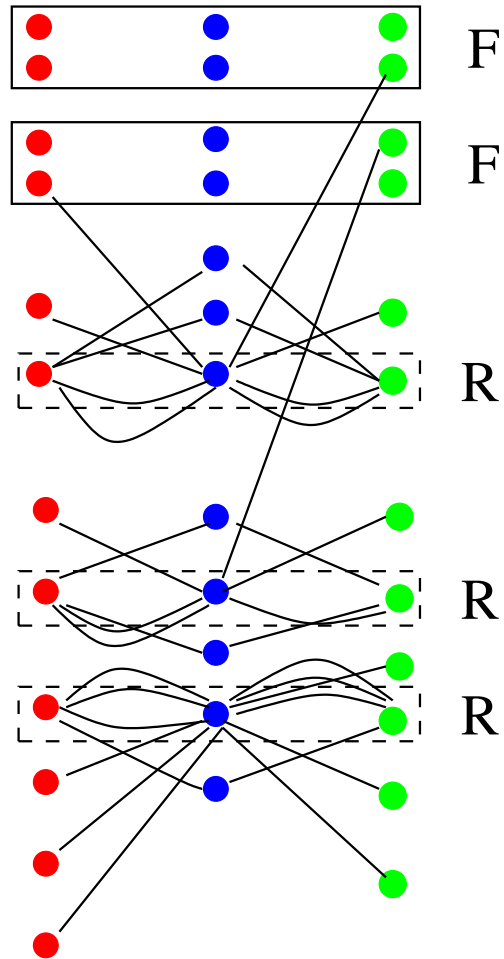
**Proof:** Uses topological connectivity of matching complexes of bipartite graphs.

**Q:** What is  $\mathcal{H}$  like if it is a 3-partite 3-uniform hypergraph with  $\tau(\mathcal{H}) = 2\nu(\mathcal{H})$ ?

# Extremal hypergraphs for Ryser's Conjecture



# Home base hypergraphs



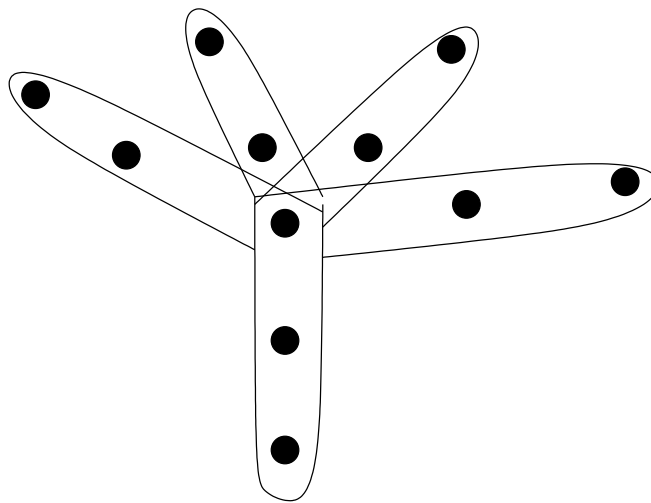
## Extremal hypergraphs for Ryser's Conjecture

**Theorem (PH, Narins, Szabó):** Let  $\mathcal{H}$  be a 3-partite 3-uniform hypergraph with  $\tau(\mathcal{H}) = 2\nu(\mathcal{H})$ . Then  $\mathcal{H}$  is a home base hypergraph.

## Some proof ingredients

The **nontrivial bounds** for Ryser's conjecture for  $r = 4$  and  $r = 5$  use Tuza's result that the conjecture is true for **intersecting hypergraphs**, together with several classical results.

A **sunflower**  $\mathcal{S}$  with centre  $C$  in a hypergraph is a set of edges satisfying  $S \cap S' = C$  for all  $S \neq S'$  in  $\mathcal{S}$ .



## The Sunflower Theorem

**Theorem (Erdős and Rado):** Every hypergraph of rank  $r$  with more than  $(t - 1)^r r!$  edges contains a sunflower with  $t$  petals.

**Fact:** If an intersecting hypergraph  $\mathcal{H}$  of rank  $r$  contains a sunflower  $\mathcal{S}$  with  $r + 1$  petals with centre  $C$ , then  $\mathcal{H} \setminus \mathcal{S} \cup \{C\}$  is also intersecting.



## The Bollobás Theorem

**Theorem (Bollobás):** Let  $\{A_1, \dots, A_t\}$  and  $\{B_1, \dots, B_t\}$  be such that

- $A_i \cap B_i = \emptyset$  for each  $i$ ,
- $A_i \cap B_j \neq \emptyset$  for each  $i \neq j$ ,
- $|A_i| \leq k$  and  $|B_i| \leq l$  for each  $i$ .

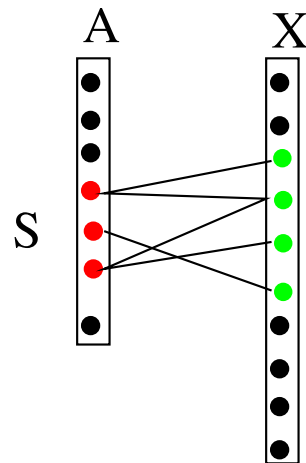
Then  $t \leq \binom{k+l}{k}$ .

## Some proof ingredients

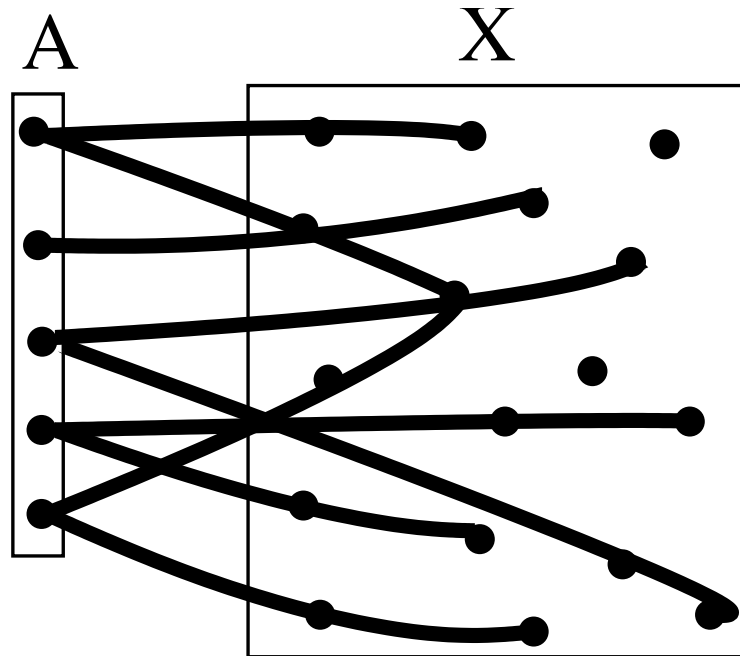
The **extremal result** for Ryser's conjecture for  $r = 3$  initially follows Aharoni's proof of the conjecture for  $r = 3$ , which uses **Hall's Theorem for hypergraphs** together with König's Theorem.

**Hall's Theorem:** The **bipartite graph**  $G$  has a **complete matching** if and only if: For every subset  $S \subseteq A$ , the **neighbourhood**  $\Gamma(S)$  is **big enough**.

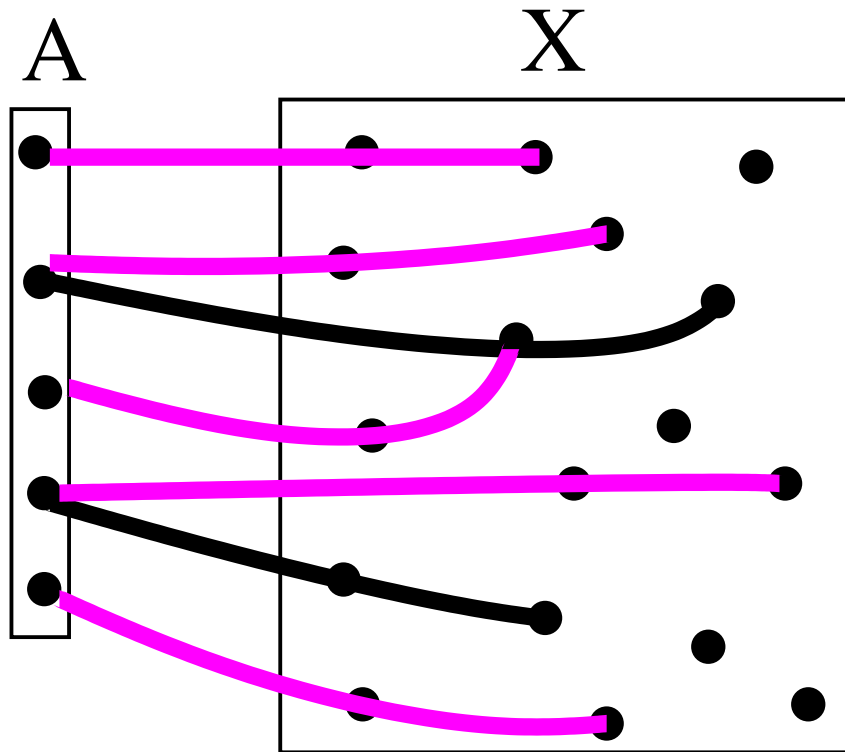
Here **big enough** means  $|\Gamma(S)| \geq |S|$ .



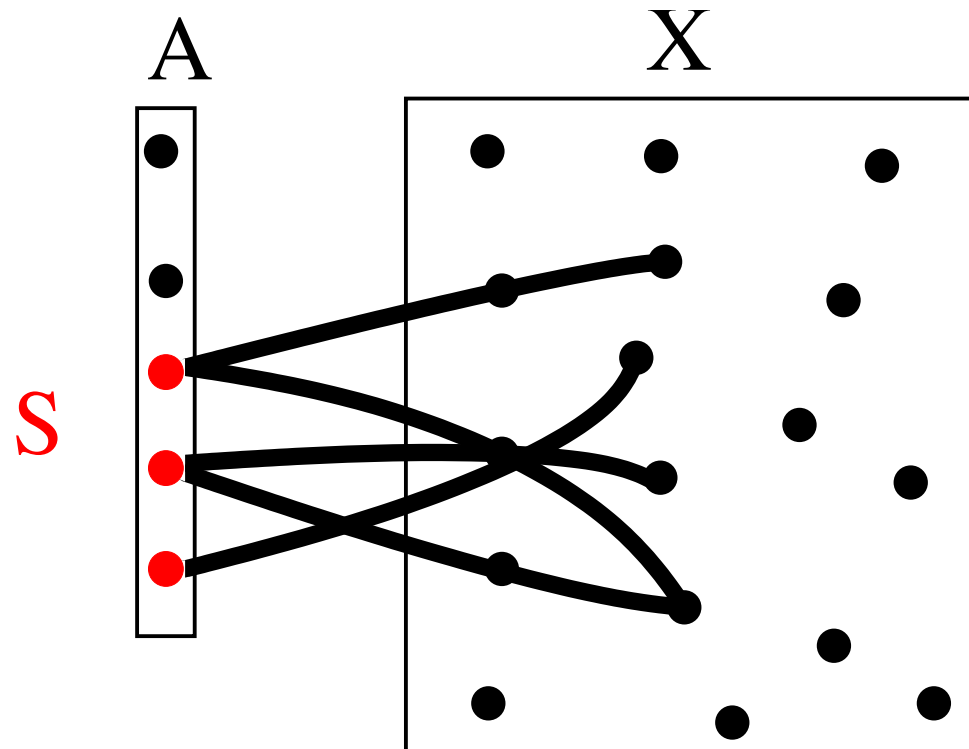
## bipartite 3-uniform hypergraphs

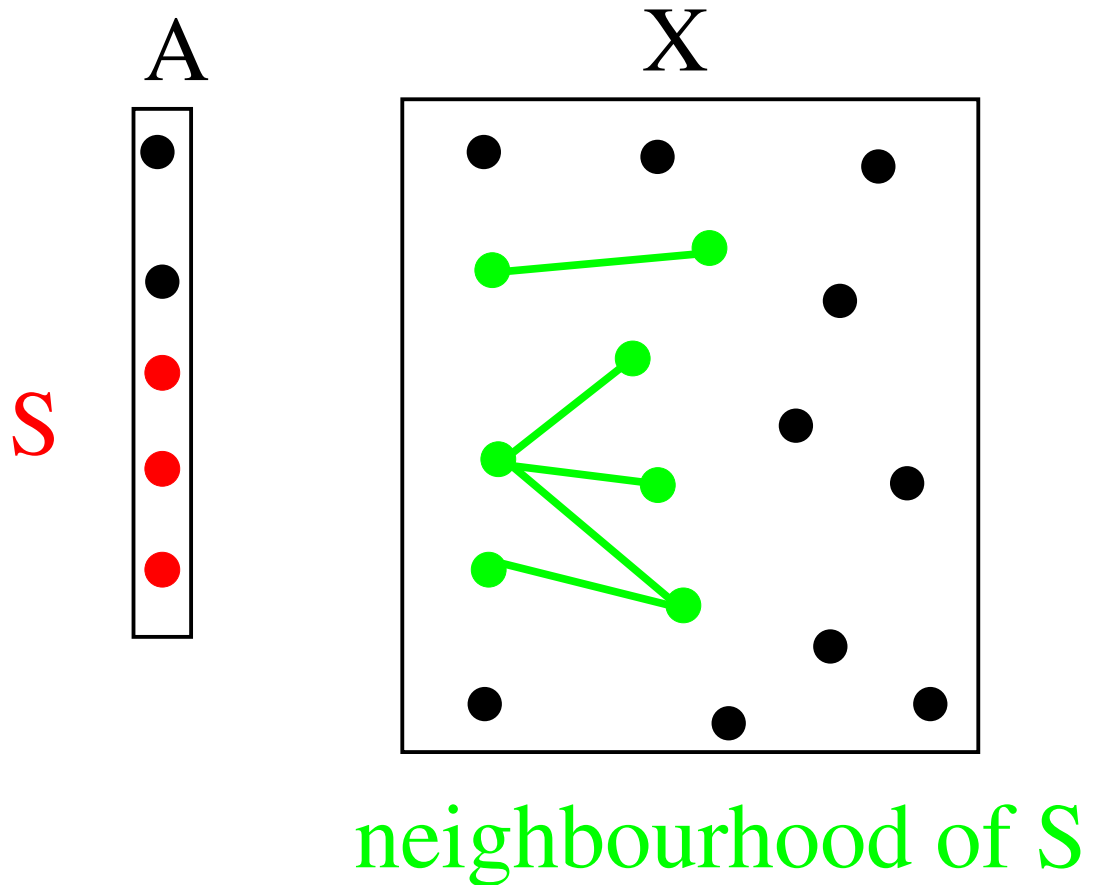


def: A complete packing:

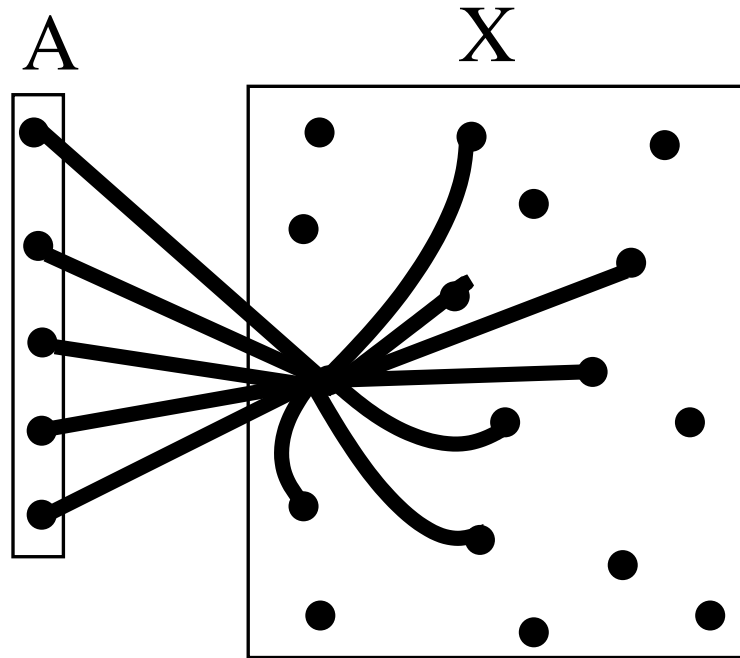


**def:** The **neighbourhood** of the subset  $S$  of  $A$  is the **graph** with vertex set  $X$  and edge set  $\{\{x, y\} : \{z, x, y\} \in H \text{ for some } z \in S\}$ .



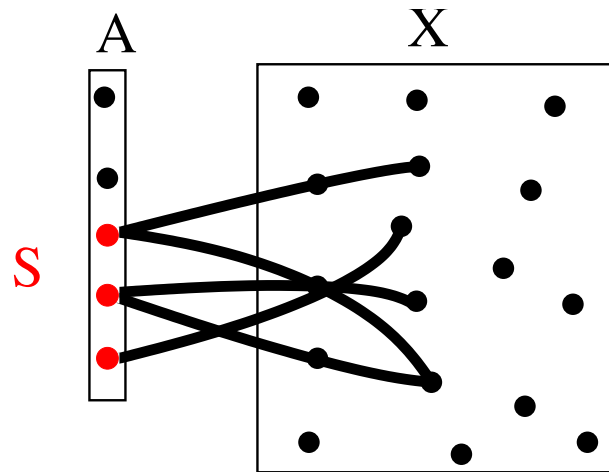


What should **big enough** mean?



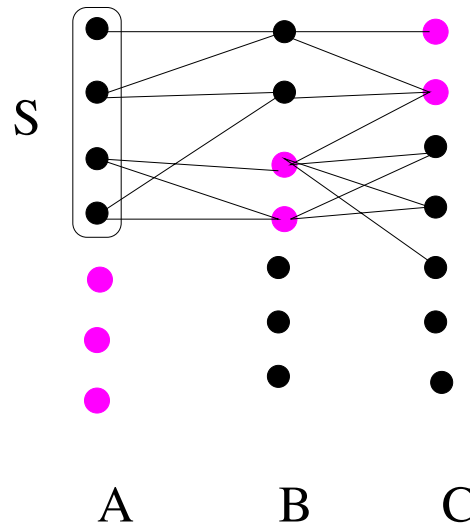
## Hall's Theorem for 3-uniform hypergraphs

**Theorem (Aharoni, PH, 2000):** The bipartite 3-uniform hypergraph  $H$  has a **complete packing** if: For every subset  $S \subseteq A$ , the **neighbourhood**  $\Gamma(S)$  has a matching of size at least  $2(|S| - 1) + 1$ .





## Aharoni's proof of Ryser for $r = 3$



Let  $H$  be a 3-partite 3-uniform hypergraph. Let  $\tau = \tau(H)$ . Then by König's Theorem, for every subset  $S$  of  $A$ , the neighbourhood graph  $\Gamma(S)$  has a matching of size at least  $|S| - (|A| - \tau)$ .

Then by a defect version of Hall's Theorem for hypergraphs, we find that  $H$  has a packing of size  $\lceil \tau/2 \rceil$ .

## Proof of Hall's Theorem for hypergraphs

The proof has two main steps.

**Step 1:** The bipartite 3-uniform hypergraph  $H$  has a complete packing if: For every subset  $S \subseteq A$ , the topological connectivity of the matching complex of the neighbourhood graph  $\Gamma(S)$  is at least  $|S| - 2$ .

**Step 2:** If the graph  $G$  has a matching of size at least  $2(|S| - 1) + 1$  then the topological connectivity of the matching complex of  $G$  is at least  $|S| - 2$ .

The matching complex of  $G$  is the abstract simplicial complex with vertex set  $E(G)$ , whose simplices are the matchings in  $G$ .

## Topological connectivity

One way to describe topological connectivity of an abstract simplicial complex  $\Sigma$ , as it is used here:

We say  $\Sigma$  is  **$k$ -connected** if for each  $-1 \leq d \leq k$  and each triangulation  $T$  of the boundary of a  $(d+1)$ -simplex, and each function  $f$  that labels each point of  $T$  with a point of  $\Sigma$  such that the set of labels on each simplex of  $T$  forms a simplex of  $\Sigma$ , the triangulation  $T$  can be extended to a triangulation  $T'$  of the whole  $(d+1)$ -simplex, and  $f$  can be extended to a full labelling  $f'$  of  $T'$  with the same property.

Hall's Theorem for hypergraphs uses this together with Sperner's Lemma.

The topological connectivity of the matching complex of  $G$  is **not a monotone parameter**.

## Extremal hypergraphs for Ryser's Conjecture

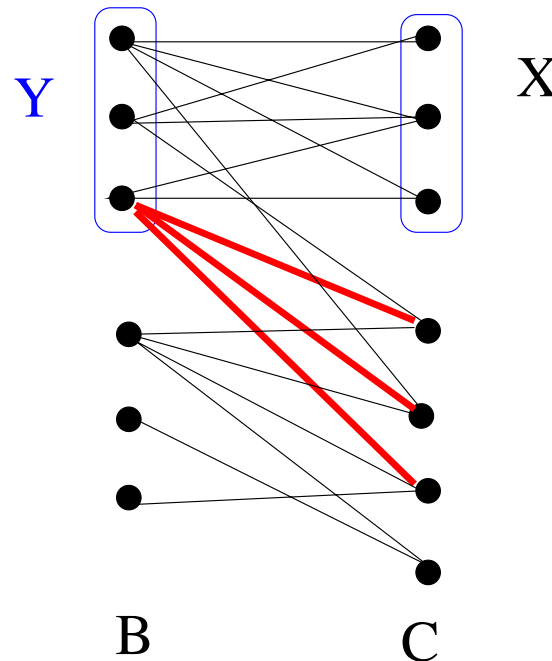
Two main parts are needed in understanding the extremal hypergraphs for Ryser's Conjecture for  $r = 3$ .

**Part A:** Show that any bipartite graph  $G$  that has a matching of size  $2k$  but whose matching complex has the smallest possible topological connectivity (namely  $k - 2$ ) has a very special structure.

**Part B:** Analyse how the edges of the neighbourhood graph  $G$  of  $A$  (which has this special structure) extend to  $A$ .

## Part B (one case)

There exists a subset  $X$  of  $C$  with  $|Y| \leq |X|$ , where  $Y = \Gamma_G(X)$ , such that for each  $y \in Y$ , if we erase the  $(y, C \setminus X)$  edges of  $G$ , the topological connectivity of the matching complex goes up.



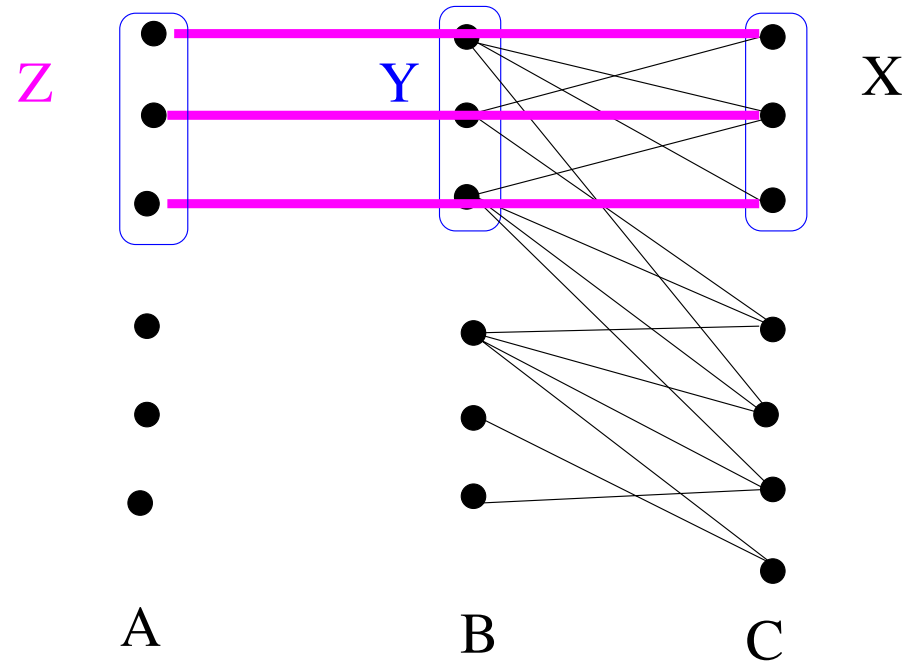
If for each  $S \subset A$ , the topological connectivity of the matching complex of  $\Gamma(S)$  did not go down, then we find  $H$  has a packing larger than  $\nu(H)$ .

So for some  $S_y$ , erasing the  $(y, C \setminus X)$  edges causes the connectivity to decrease.

Properties of  $S_y$ :

- $|S_y| \geq |A| - 1$ , which implies  $S_y = A \setminus \{a\}$  for some  $a \in A$ ,
- every maximum matching in  $\Gamma(S)$  uses an edge of  $(y, C \setminus X)$ .

## What these properties imply



Removing the vertices in  $Y$  and  $Z$  causes  $\nu$  to decrease by  $|Y|$  and  $\tau$  to decrease by  $2|Y|$ . Then we may use induction.

## Triangle hypergraphs

Let  $G$  be a graph. The triangle hypergraph  $\mathcal{H}(G)$  of  $G$  is the 3-uniform hypergraph with vertex set  $E(G)$ . Three edges of  $G$  form a hyperedge of  $\mathcal{H}(G)$  if and only if they form the edge set of a triangle in  $G$ .

Thus a packing in  $\mathcal{H}(G)$  is a set of edge-disjoint triangles in  $G$ .

A cover in  $\mathcal{H}(G)$  is a set  $S$  of edges in  $G$  such that every triangle contains an edge in  $S$ .



## Tuza's Conjecture

**Conjecture (Tuza 1984):** Let  $\mathcal{H}$  be a triangle hypergraph. Then

$$\tau(\mathcal{H}) \leq 2\nu(\mathcal{H}).$$

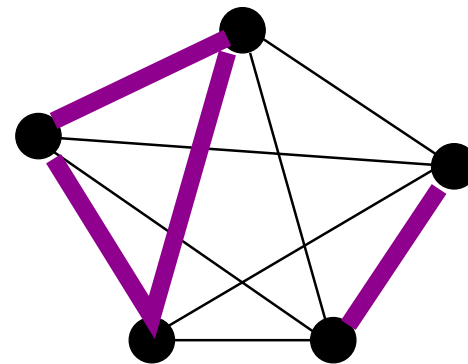
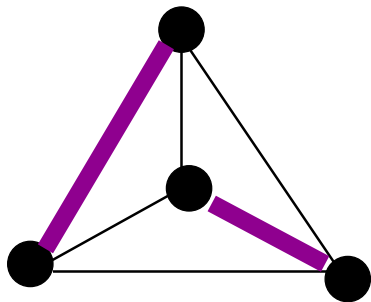
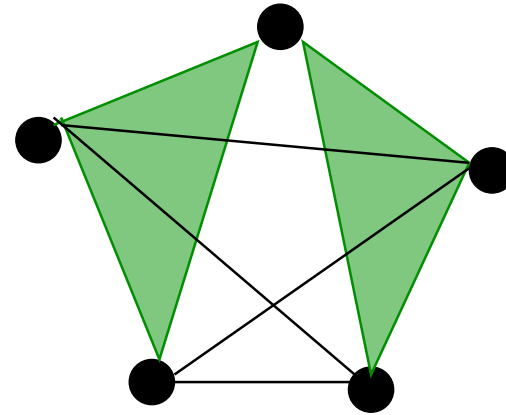
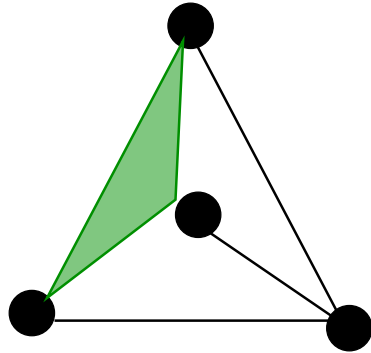
In other words:

**Conjecture (Tuza 1984):** Suppose the maximum size of a set of pairwise edge-disjoint triangles in a graph  $G$  is  $\nu$ . Then there exists a set of at most  $2\nu$  edges in  $G$  whose removal makes the graph triangle-free.

## Results on Tuza's Conjecture

- known for certain special classes of graphs, including  $K_5$ -free chordal graphs (Tuza 1990), odd-wheel-free and four-colourable graphs (Aparna Lakshmanan, Bujtás and Tuza 2011)
- known for planar graphs (Tuza 1990), and more generally graphs without subdivisions of  $K_{3,3}$  (Krivelevich 1995)
- weighted versions of the problem have been studied (Chapuy, DeVos, McDonald, Mohar and Scheide 2011)
- for every graph  $G$  the triangle hypergraph  $\mathcal{H}$  satisfies  $\tau(\mathcal{H}) \leq (3 - \frac{3}{19})\nu(\mathcal{H})$ .
- If true, Tuza's Conjecture is best possible.

# Tuza's Conjecture



## Fractional versions

Let  $\mathcal{H}$  be a hypergraph. A **fractional packing** of  $\mathcal{H}$  is a function  $p$  that assigns to each hyperedge  $e$  of  $\mathcal{H}$  a non-negative real number, such that for each vertex  $v$  of  $\mathcal{H}$  we have

$$\sum_{e \ni v} p(e) \leq 1.$$

Thus a **packing** (a set  $\mathcal{S}$  of disjoint hyperedges) corresponds to a fractional packing in which each hyperedge in  $\mathcal{S}$  gets value 1 and all others get 0.

A **fractional cover** of  $\mathcal{H}$  is a function  $c$  that assigns to each vertex of  $\mathcal{H}$  a non-negative real number, such that for each hyperedge  $e$  of  $\mathcal{H}$  we have

$$\sum_{v \in e} c(v) \geq 1.$$

Thus a **cover** of  $\mathcal{H}$  (a set  $C$  of vertices that meets every hyperedge) corresponds to a fractional cover in which each vertex in  $C$  gets value 1 and all other vertices get 0.

The fractional parameter  $\nu^*(\mathcal{H})$  is defined to be the maximum of  $\sum_{e \in \mathcal{H}} p(e)$  over all fractional packings  $p$  of  $\mathcal{H}$ .

The parameter  $\tau^*(\mathcal{H})$  is the minimum of  $\sum_{v \in \mathcal{H}} c(v)$  over all fractional covers  $c$  of  $\mathcal{H}$ .

Then we know that  $\nu(\mathcal{H}) \leq \nu^*(\mathcal{H})$  and  $\tau(\mathcal{H}) \geq \tau^*(\mathcal{H})$ .

The Duality Theorem of linear programming tells us that

$$\tau^*(\mathcal{H}) = \nu^*(\mathcal{H}).$$

## Fractional versions

**Theorem (Krivelevich 1995):** Let  $\mathcal{H}$  be a triangle hypergraph. Then

- $\tau^*(\mathcal{H}) \leq 2\nu(\mathcal{H})$ .
- $\tau(\mathcal{H}) \leq 2\nu^*(\mathcal{H})$ .

## A closer look - the role of $K_4$

- (A)** Tuza's Conjecture is true for planar graphs, and best possible because of  $K_4$ . What can we say about planar graphs for which  $\tau(\mathcal{H})$  is close to  $2\nu(\mathcal{H})$ ? Are they close to being disjoint unions of  $K_4$ 's?
- (B)** The fractional result  $\tau^*(\mathcal{H}) \leq 2\nu(\mathcal{H})$  of Krivelevich is best possible because of  $K_4$ . What can we say about graphs for which  $\tau^*(\mathcal{H})$  is close to  $2\nu(\mathcal{H})$ ? Are they close to being disjoint unions of  $K_4$ 's?

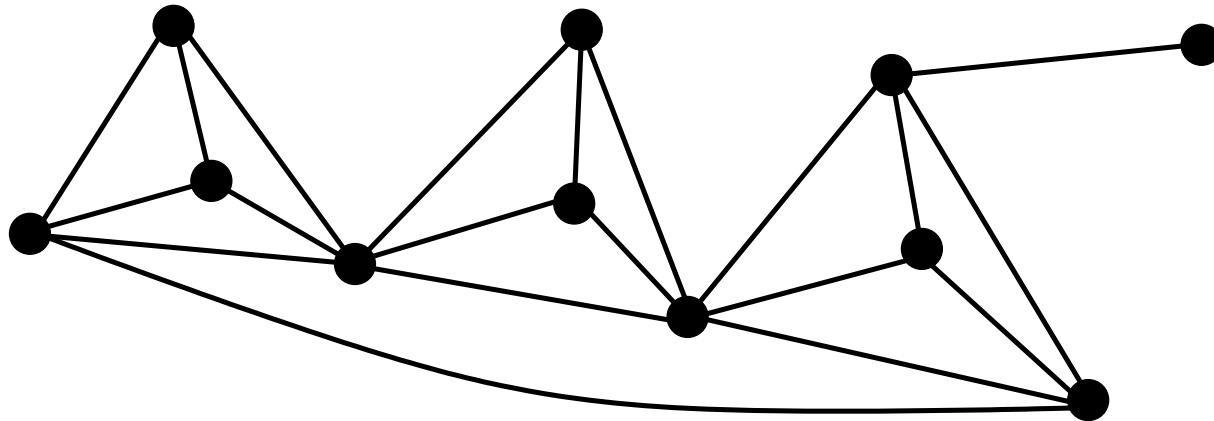


## On Question (A)

**Theorem (Cui, PH, Ma 2009)** Let  $\mathcal{H}$  be the triangle hypergraph of a planar graph  $G$ , and suppose

$$\tau(\mathcal{H}) = 2\nu(\mathcal{H}).$$

Then  $G$  is an **edge-disjoint union of  $K_4$ 's and edges**, such that every triangle is contained in exactly one of the  $K_4$ 's.

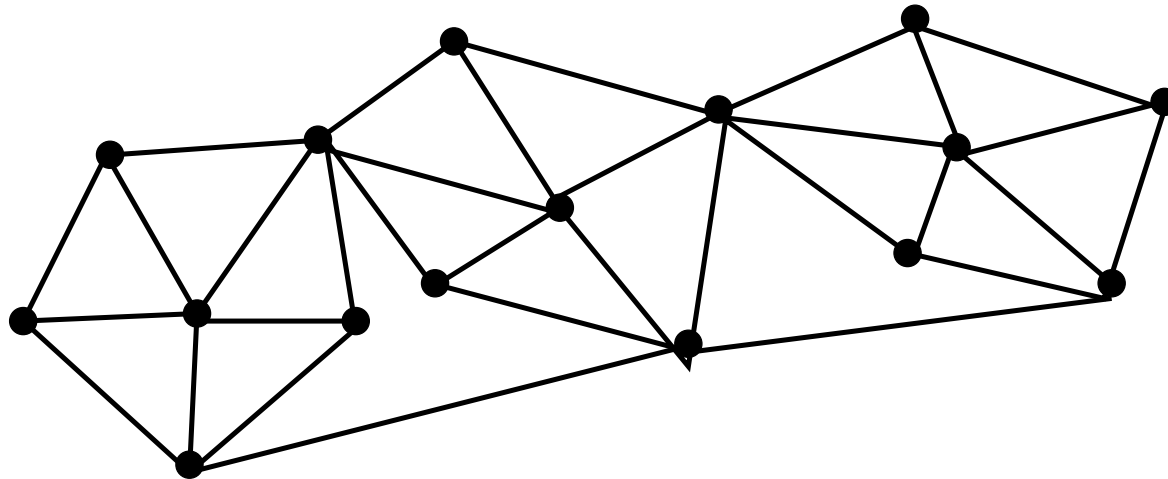


## On Question (A)

**Theorem (PH, Kostochka, Thomassé 2011)** Let  $\mathcal{H}$  be the triangle hypergraph of a  $K_4$ -free planar graph  $G$ . Then

$$\tau(\mathcal{H}) \leq \frac{3}{2} \nu(\mathcal{H}).$$

Moreover if equality holds then  $G$  is an edge-disjoint union of 5-wheels (plus possibly some edges that are not in triangles).



## (B): A stability theorem

**Theorem (PH, Kostochka, Thomassé 2011)** Let  $G$  be a graph such that the triangle hypergraph  $\mathcal{H}$  satisfies  $\tau^*(\mathcal{H}) \geq 2\nu(\mathcal{H}) - x$ . Then  $G$  contains  $\nu(\mathcal{H}) - \lfloor 10x \rfloor$  edge-disjoint  $K_4$ -subgraphs plus an additional  $\lfloor 10x \rfloor$  edge-disjoint triangles.

Note that just these  $K_4$ 's and triangles witness the fact that

$$\tau^*(\mathcal{H}) \geq 2\nu(\mathcal{H}) - \lfloor 10x \rfloor.$$

The proof also shows that if  $G$  is  $K_4$ -free then

$$\tau^*(\mathcal{H}) \leq 1.8\nu(\mathcal{H}).$$

## Stability for Tuza's conjecture

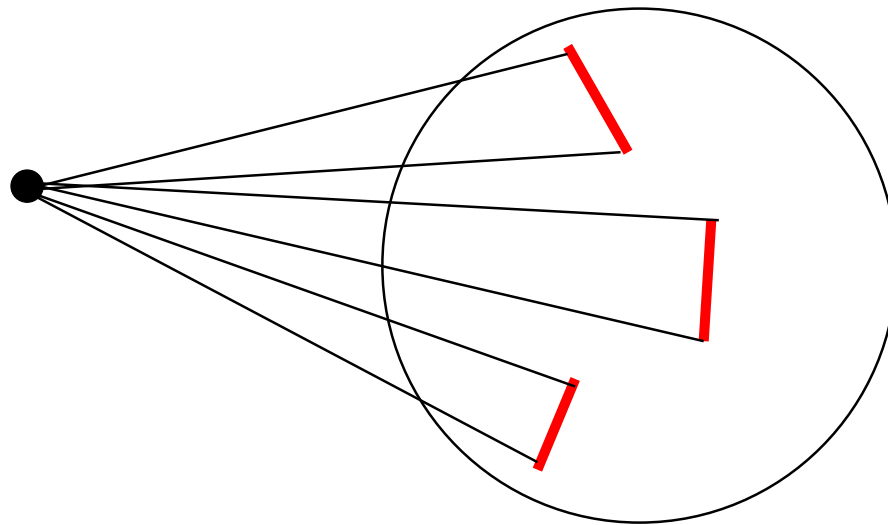
Could there be a similar stability theorem for Tuza's Conjecture?

The only known graphs for which equality holds for Tuza's Conjecture are (disjoint unions of)  $K_4$  and  $K_5$ . Could it be true that every graph for which  $\tau(\mathcal{H})$  of the triangle hypergraph is close to  $2\nu(\mathcal{H})$  contains many  $K_4$ 's?

**NO.**

For each  $\epsilon > 0$ , there exists a  $K_4$ -free graph  $G_\epsilon$  such that the triangle hypergraph  $\mathcal{H}_\epsilon$  satisfies  $\tau(\mathcal{H}_\epsilon) > (2 - \epsilon)\nu(\mathcal{H}_\epsilon)$ .

For large  $n$ , let  $J$  be an  $n$ -vertex triangle-free graph with independence number  $\alpha(J) < n^{2/3}$ . ( $R(3, t)$  is of order  $t^2 / \log t$ .)



Form a graph  $G$  by adding a new vertex  $v_0$  and joining it to all vertices in  $J$ .

Then a packing in the triangle hypergraph  $\mathcal{H}$  corresponds to a matching in  $J$ , so

$$\nu(\mathcal{H}) \leq n/2.$$

A cover in  $\mathcal{H}$  corresponds to the complement of an independent vertex set in  $J$ . Thus

$$\tau(\mathcal{H}) \geq n - n^{2/3}.$$