



The Abdus Salam  
**International Centre  
for Theoretical Physics**



**2370-2**

**School and Training Course on Dense Magnetized Plasma as a Source of  
Ionizing Radiations, their Diagnostics and Applications**

*8 - 12 October 2012*

**Laser Scattering by Plasmas**

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# Laser Scattering by Plasmas

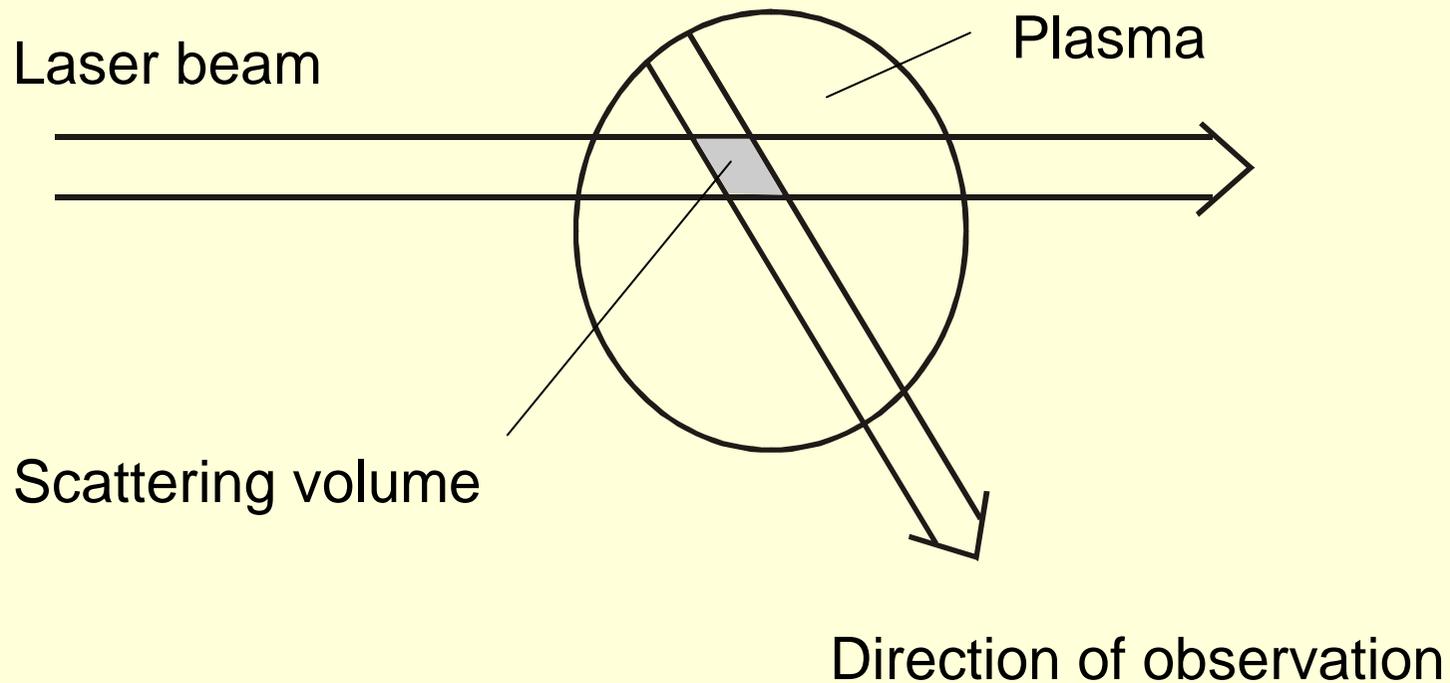
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as a Source of Ionizing Radiations,  
their Diagnostics and Applications*

ICTP, Trieste, October 8 -12, 2012

Injection of a **laser beam** into a plasma allows **localized measurements**



**Information** due to scattering is from the gray volume in the Plasma, plasma radiation is collected along the line of sight

Laser beams interact with

electrons  
ions  
atoms  
molecules

*Due to*

tuneability and possible high power of lasers

interactions are numerous inclusive **heating** of plasma

a)  $\Rightarrow$  Modification of the primary transmitted beam

b)  $\Rightarrow$  Scattering

a) Laser atomic absorption spectroscopy (**LAAS**)

with tunable diode lasers much applied in low density plasmas

reduction of the intensity by absorption  $\int \dots ds$

modification of the

phase

⇒ interferometry

$$\int n_e ds$$

plane of polarization

⇒ Faraday rotation

$$\int n_e B ds$$

Scattering by atoms, ions, and molecules

laser induced fluorescence (LIF)

Rayleigh scattering

Raman scattering

Coherent anti-Stokes Raman scattering (CARS)

Scattering by electrons --- Thomson scattering

All techniques employing lasers are treated by

K. Muraoka, M. Maeda,

*Laser-aided Diagnostics of Plasmas and Gases*

IOP, Bristol 2001

Since 1963 Thomson scattering by plasma electrons matured into one of the most powerful diagnostic methods for the determination of plasma parameters

Latest development: employing x-rays at near solid state density plasmas  
scattering experiments on warm density matter using a FEL

## Reviews:

H.-J. Kunze, in *Plasma Diagnostics*, ed. W. Lochte-Holtgreven  
North-Holland, Amsterdam 1968

D. E. Evans and J. Katzenstein,  
Rep. Prog. Phys. **32**, 207 (1969)

A. W. DeSilva and G. C. Goldenbaum  
in *Methods of Experimental Physics Vol. 9A*,  
ed. H.R. Griem and R.H. Lovberg,  
Academic Press, New York 1970

J. Sheffield, *Plasma Scattering of Electromagnetic Radiation*  
Academic Press, New York, 1975

D, H. Dustin, S. H. Glenzer, N. C. Luhmann Jr., J. Sheffield  
*Plasma Scattering of Electromagnetic Radiation*  
Elsevier, Amsterdam 2001

# Incoherent Thomson scattering

## *Principles*

Theory is well understood:

Electromagnetic waves are focused into the plasma

- ⇒ charged particles oscillate and radiate like dipoles;  
because of small mass ( $m_e \ll M$ ) acceleration of the  
ions is much smaller and  
essentially only electrons contribute

⇒ scattered radiation

Cross-section  
(Thomson)

$$\sigma_{Th} = \frac{8}{3} \pi r_e^2 \cong \frac{2}{3} 10^{-24} \text{ cm}^2$$

*is extremely small* →

⇒ to have enough scattered photons one needs

- long observation times (stationary plasmas) or phase-locked observation over many cycles of rf-produced plasmas

and/or • high intensity of incident radiation

⇒ scattered radiation should be clearly above

plasma background radiation



lasers

At *low* densities all electrons scatter independently  
no correlation between the electrons  
scattered intensities simply add

$$\frac{d\sigma}{d\Omega} = n_e \sigma_e = n_e r_e^2 \sin^2 \varphi = n_e \frac{3}{8\pi} \sigma_{Th} \sin^2 \varphi \propto n_e$$

In the plasma electrons move fast  $\Rightarrow$  considerable Doppler shift  
Doppler shift has to be considered twice

Incoming wave  $(\omega_o, \vec{k}_o)$

Scattered wave  $(\omega_s, \vec{k}_s)$

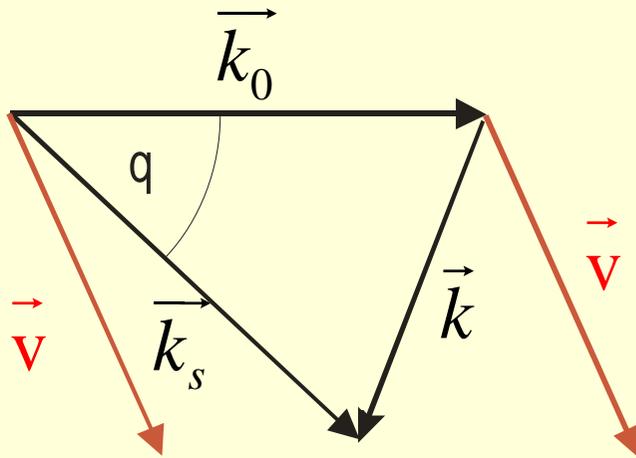
Scattering angle  $\angle(\vec{k}_s, \vec{k}_o) = \theta$

$$\omega = \omega_s - \omega_o = \vec{k} \cdot \vec{v}$$

$$\vec{k} = \vec{k}_s - \vec{k}_o$$

multiplication with  $\hbar$   
equations correspond to  
conservation of energy and  
momentum

$\vec{k}$  scattering vector



$$\vec{k}_s \approx \vec{k}_o$$

$$k \approx 2k_o \sin \frac{\theta}{2} \approx \frac{4\pi}{\lambda} \sin \frac{\theta}{2}$$

$$\omega = \omega_s - \omega_o = \vec{k} \vec{v} = k v_k$$

Only the component  $v_k$  in the direction of the scattering vector  $\vec{k}$  is responsible for a Doppler shift !

*hence*

The Doppler broadened scattered radiation mirrors the **one-dimensional** velocity distribution function  $f_k(v_k)$  !

$$v_k = \frac{(\omega_s - \omega_o)}{k} \quad \Rightarrow \quad f_k(v_k) \quad \Rightarrow \quad f_k\left(\frac{\omega_s - \omega_o}{k}\right)$$

Fraction of electrons  $dn_e$  giving the same Doppler shift

$$dn_e = n_e f_k(v_k) dv_k = n_e \frac{1}{k} f_k\left(\frac{\omega_s - \omega_o}{k}\right)$$

Differential scattering cross-section

$$\frac{d^2\sigma}{d\Omega_s d\omega_s} = \frac{3}{8\pi} n_e \sigma_{Th} \sin^2 \varphi \frac{1}{k} f_k\left(\frac{\omega_s - \omega_o}{k}\right)$$

$n_e$  and  $f_k$  are measured

Maxwellian velocity distribution function

scattered profile is of **Gaussian shape**

FWHM

$$\Delta\lambda_{1/2} = 4 \lambda_0 \sin \frac{\theta}{2} \left( \frac{2k_B T_e}{m_e c^2} \ln 2 \right)$$

Example:  $\lambda_0 = 694.3 \text{ nm}$  (ruby laser) and  $q = 90^\circ$

$$k_B T_e = 100 \text{ eV}$$

$$\Delta\lambda_{1/2} = 32.4 \text{ nm}$$

$$k_B T_e = 1 \text{ eV}$$

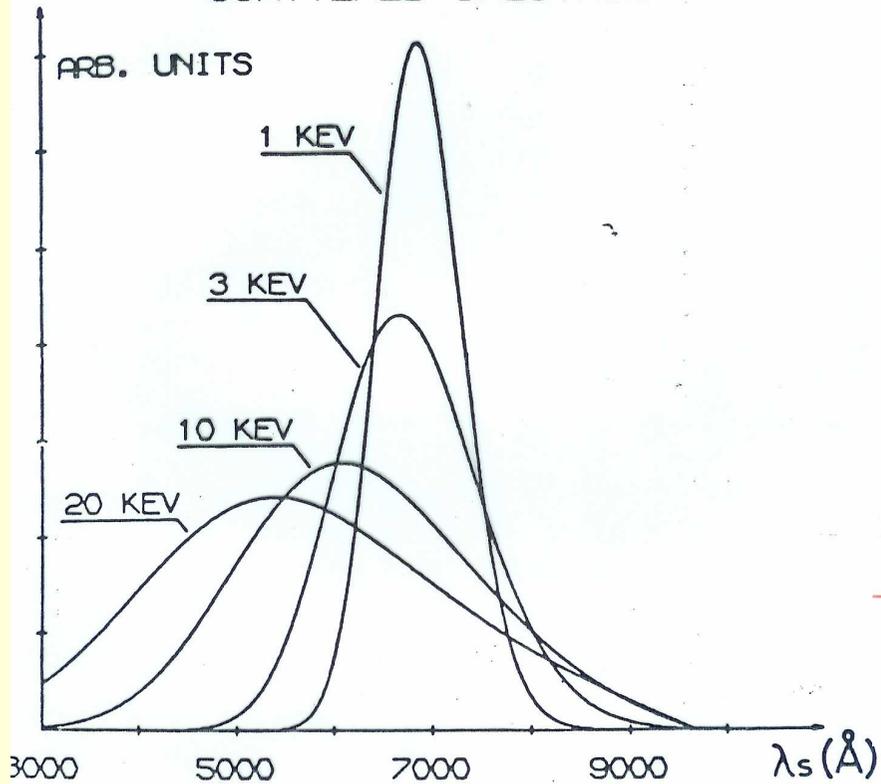
$$\Delta\lambda_{1/2} = 3.24 \text{ nm}$$

Large widths!      Low resolution instruments suffice

High temperatures ?      Relativistic effects !

At higher temperatures →  
relativistic treatment

SCATTERED SPECTRUM



Calculated scattering spectra at  $\theta = 90^\circ$  for various temperatures. Incident wavelength  $\lambda_0 = 694,3 \text{ nm}$ .

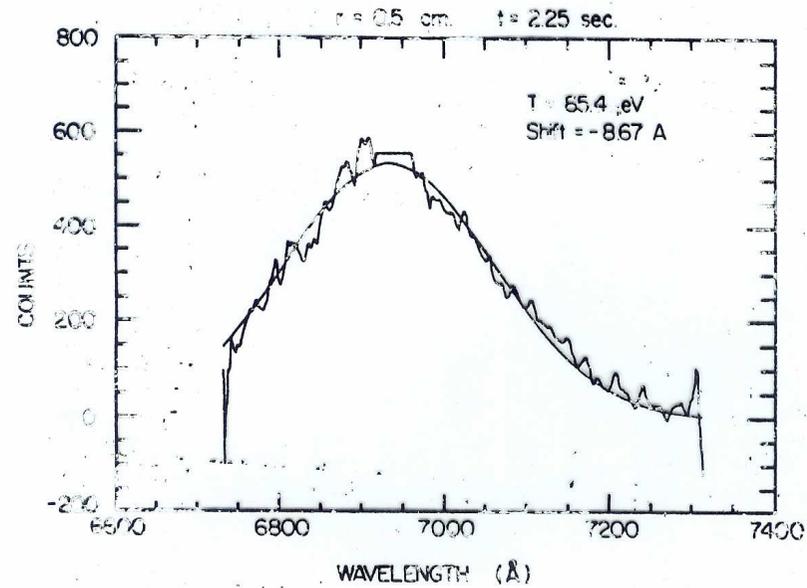


FIG. 2 Scattering spectrum obtained from one discharge for a high-density plasma ( $\sim 10^{16} \text{ cm}^{-3}$ ). The shift parameter is obtained from a least squares fit to a Gaussian. The first-order relativistic correction predicts a  $-9.4 \text{ \AA}$  shift. The temperature is determined from a fit to the relativistically corrected Gaussian.

## ***Experimental constraints and techniques***

Pulsed experiments  $\Rightarrow$  powerful lasers  
to have sufficient scattered photons

$\Rightarrow$  spectroscopic instruments with high throughput necessary  
good detectors of high quantum efficiency  
CCD cameras give the whole spectrum  
if stigmatic spectrograph  
spatially resolved measurements are possible  
polychromators with fiber bundles connected to photo-  
multipliers have been used

One major problem is always stray light !

Imagine	incident	100 MW
	scattered	$\mu\text{W}$ and less

Brewster windows on entrance and exit ports

Well-designed baffle systems (a series of diaphragms)  
for laser and observation

Beam dump for laser

Viewing dump

Triple grating instrument

**Heating** of plasma by laser beam has to be watched !

Heating is by absorption by the process of  
inverse bremsstrahlung

**Absolute calibration** of the complete scattering system  
including the laser

Rayleigh or Raman scattering in a gas of known cross-section  
filled into the discharge chamber  
full geometry and detector remain identical

In technical plasmas:

Rayleigh scattering off neutrals

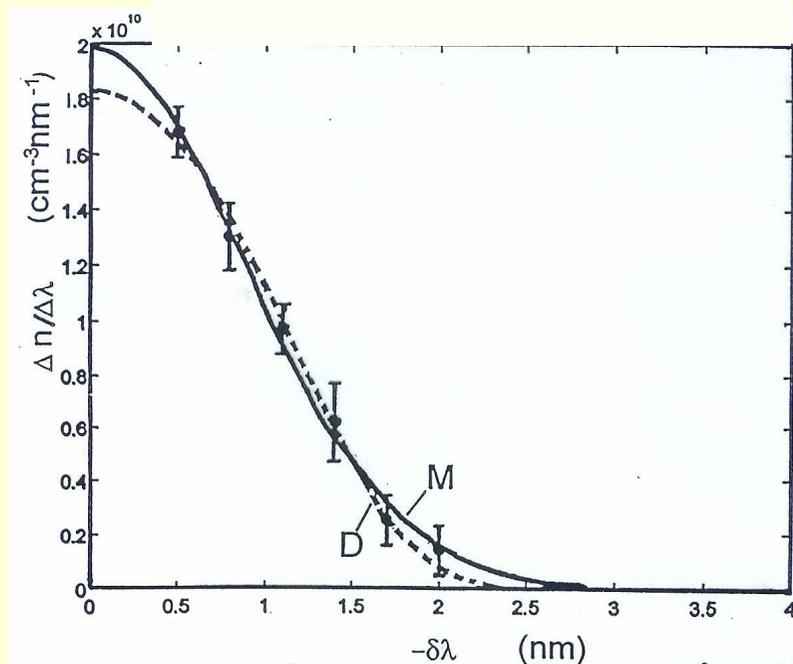
LIF from excitation of neutrals and molecules

Possible improvement by gating detector since  
fluorescence radiation is much longer than laser  
pulse

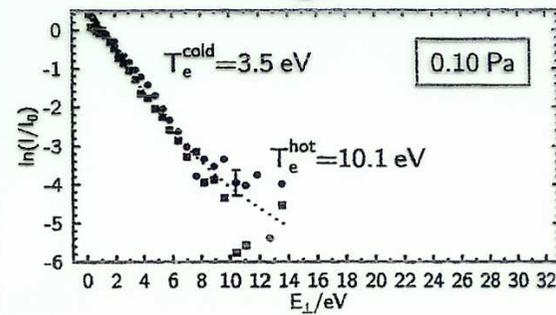
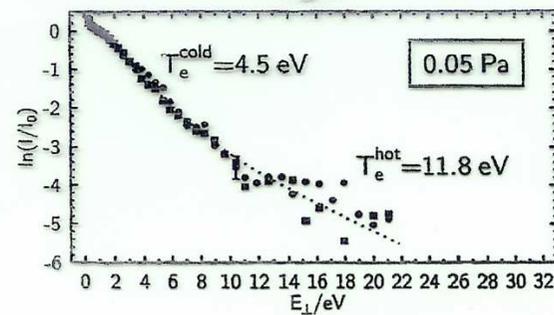
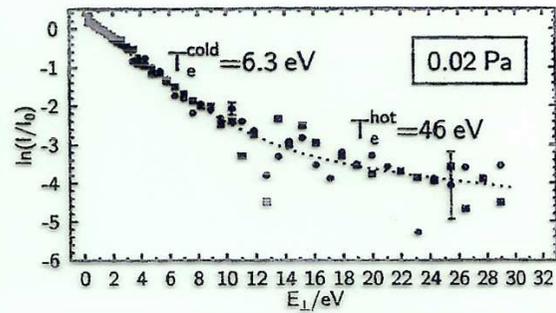
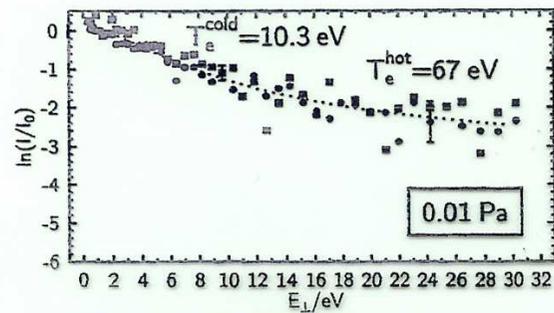
At **very low density** plasmas the number of scattered photons becomes too small

⇒ different approach

Use of lasers with lower power **but** with high repetition rate less than one photon now must arrive at the detector per pulse and *synchronized photon counting*



M: Maxwell distribution  
D: Druyvesteyn distribution



Hemmers et al.  
Düsseldorf

ECR Discharge

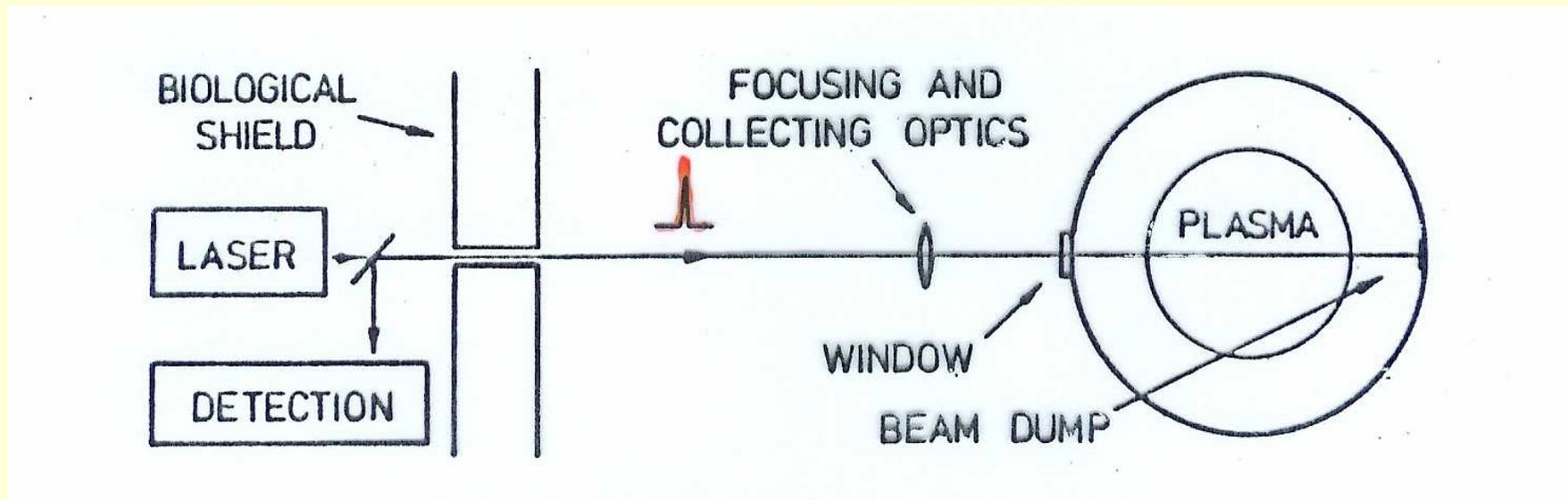
NdYAG laser, frequency doubled, 10 Hz

11 channel detector system with PM

## Scattering on large fusion devices

Salzmann and Hirsch proposed a backscattering scheme employing a sub-nanosecond laser pulse and time-of-flight analysis with high-speed detectors

LIDAR: **L**ight **d**etection and **r**anging



First implemented on JET, laser duration was 300 ps (9 cm long!)

## Collective Thomson scattering

With increasing density correlations between the plasma particles start to become noticeable

Not the scattered intensities add  
but the **electric fields**

A measure of the influence of correlations is  $1/k$ , i.e. the distance over which the particles are sampled in relation to the Debye length  $\lambda_D$

$$\frac{1}{k} \ll \lambda_D \Rightarrow k\lambda_D \gg 1 \Rightarrow \alpha = \frac{1}{k\lambda_D} \ll 1 \quad \text{no correlations}$$

$$\frac{1}{k} > \lambda_D \Rightarrow k\lambda_D < 1 \Rightarrow \alpha = \frac{1}{k\lambda_D} > 1 \quad \text{correlations}$$

**$\alpha$  is called the scattering parameter**

$$\alpha = \frac{1}{k\lambda_D} \approx \frac{\lambda_o}{4\pi\lambda_D \sin(\theta/2)}$$

Thus the influence of correlations on the scattered spectrum can be varied by varying  $I_o$  and the scattering angle  $q$

## Theory

$$\frac{d^2\sigma}{d\Omega d\omega} = r_e^2 \sin^2 \varphi n_e S(\vec{k}, \omega)$$

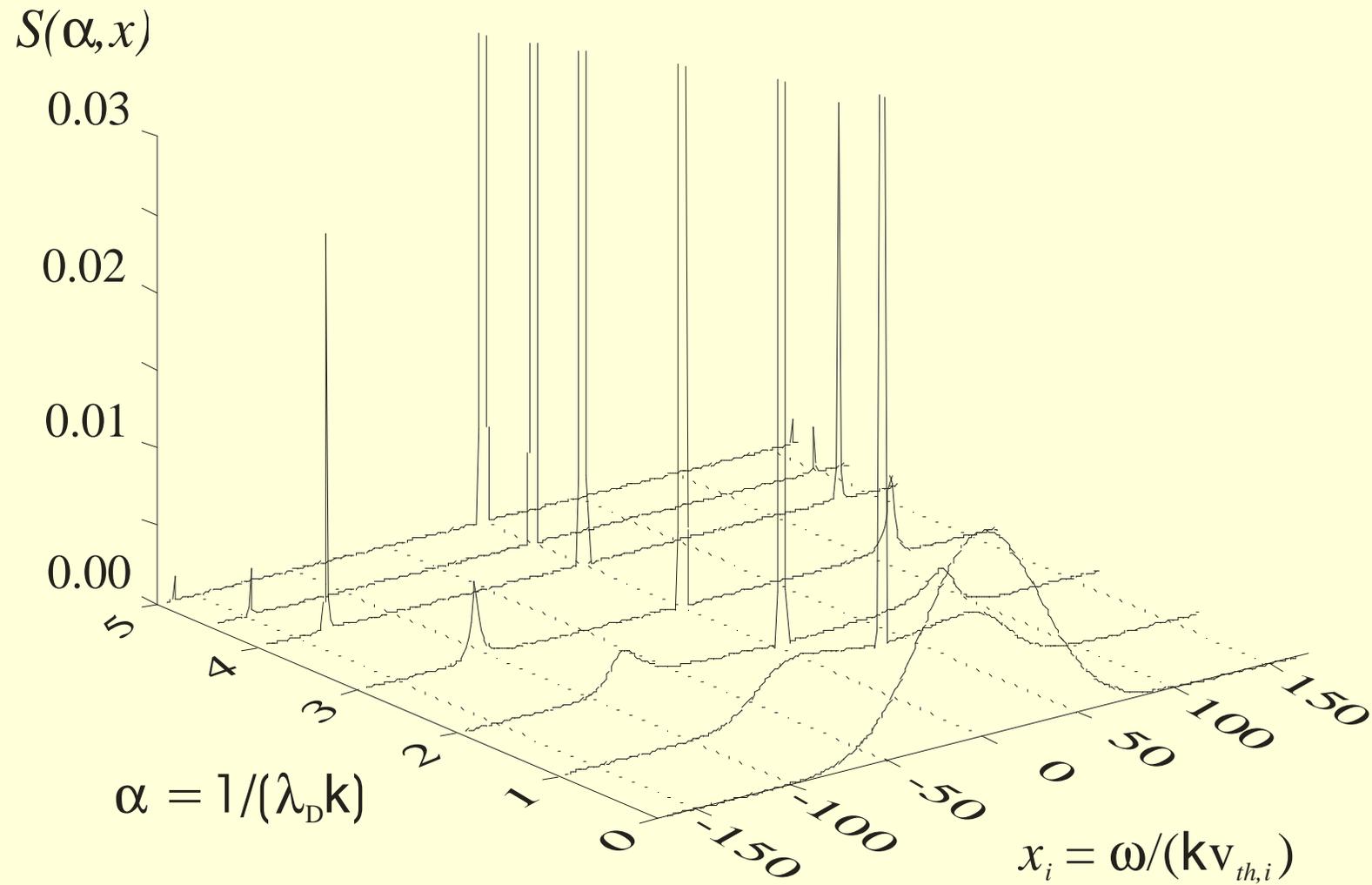
First two factors:

$S(\vec{k}, \omega)$  :

scattering property of free electron  
is known as dynamic form factor  
and contains the properties of the  
system of electrons

$S(\vec{k}, \omega)$  has been calculated by many authors and is, at present, studied again for high density warm matter

Analytical representation was given by  
Salpeter, Phys. Rev. **120**, 1528 (1960)



(Wrubel)

$$S(\vec{k}, \omega) = S_e(\vec{k}, \omega) + S_i(\vec{k}, \omega)$$

$S_e(\vec{k}, \omega)$  **electron feature**, it reflects scattering by electrons, which move uncorrelated or are correlated with the motion of other electrons

$S_i(\vec{k}, \omega)$  **ion feature**, contains scattering contributions of those electrons which are correlated with the motion of the ions

⇒ two scale lengths for the Doppler shifts

$$x_e = \frac{\omega}{k v_{th,e}} \quad \text{and} \quad x_i = \frac{\omega}{k v_{th,i}}$$

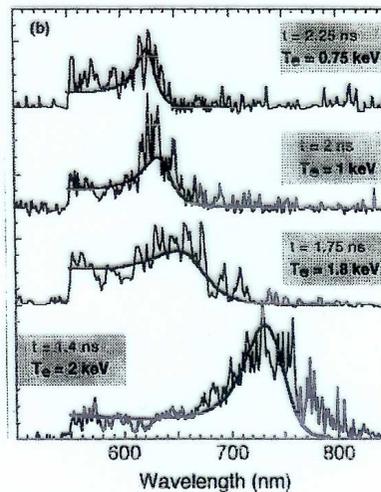
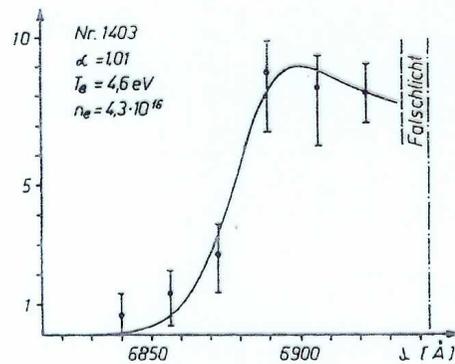
$$S_e(\vec{k}, \omega) = \Gamma_\alpha(x_e) dx_e \quad \text{and} \quad S_i(k, \omega) = \frac{Z\alpha^4}{(1+\alpha^2)^2} \Gamma_\beta(x_i) dx_i$$

$$\Gamma_\alpha(x) \text{ Salpeter shape function, and } \beta^2 = Z \frac{\alpha^2}{1+\alpha^2} \frac{T_e}{T_i}$$

Electron feature  $S_e(\vec{k}, \omega)$ , correlated motion of the electrons  
 $\Rightarrow$  plasma waves

Position of maximum is given by the Bohm-Gross dispersion relation

$$(\omega_s - \omega_o)^2 = \omega_{BG}^2 = \omega_p^2 \frac{3k_B T_e}{m_e} k^2$$

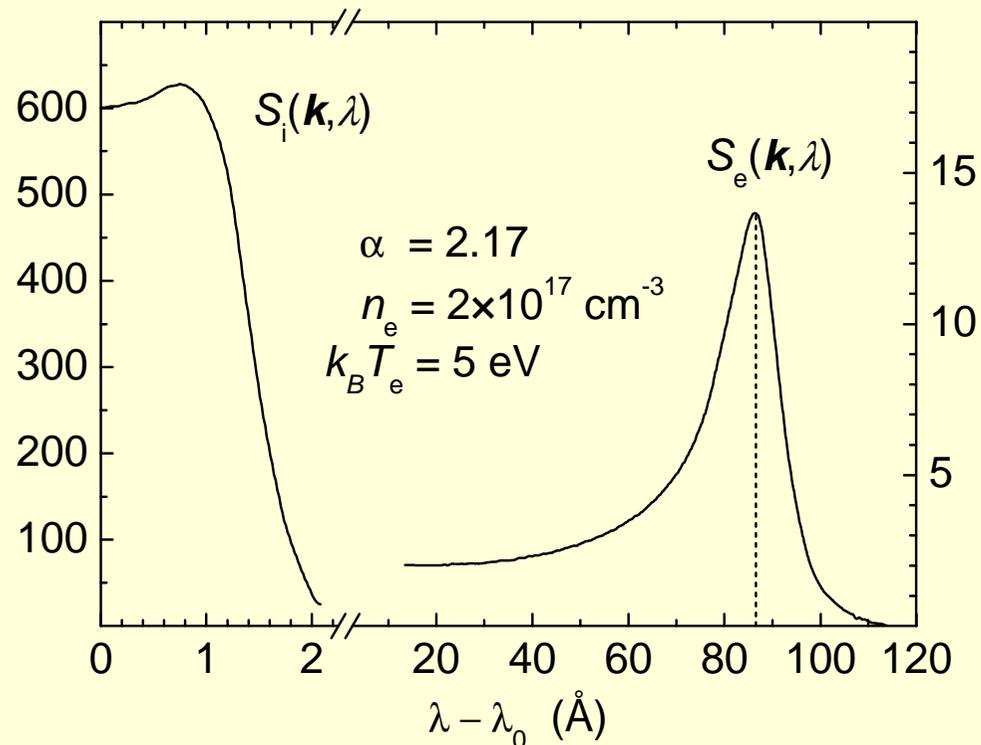


Theta pinch 1964 highly ionized gold plasma  
 produced by laser, 1999  
 (Livermore, Glenzer et al.)

Around  $\alpha \sim 1$   
 shape of electron feature  
 changes very much and  
 shape alone gives  
 $n_e$  and  $T_e$ ,  
 no absolute calibration  
 necessary!

Problem at large  $\alpha$ :  $S_e(\vec{k}) = S_e(\vec{k}, \omega) d\omega = \frac{1}{1 + \alpha^2}$  **small**

Theoretical spectrum,  $\lambda_0 = 694.3$  nm,  $\theta = 90^\circ$ ,  $\alpha = 2.17$



Advantage ion feature: remains large  
 narrow, easily above plasma background

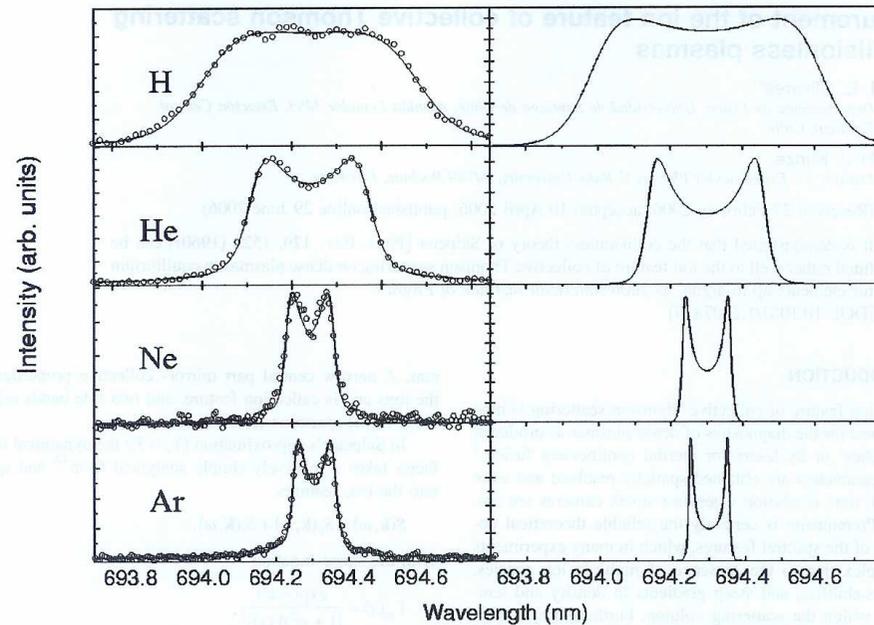
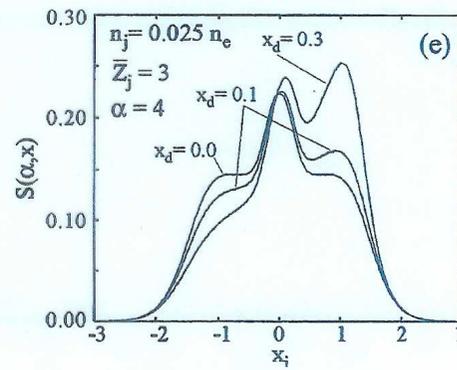
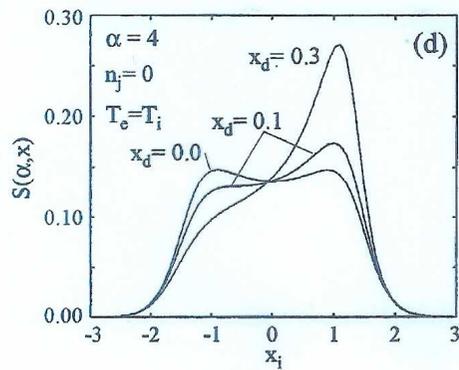
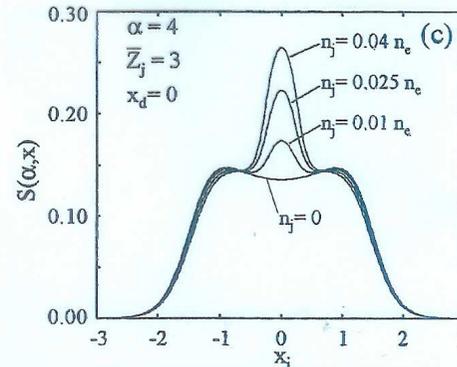
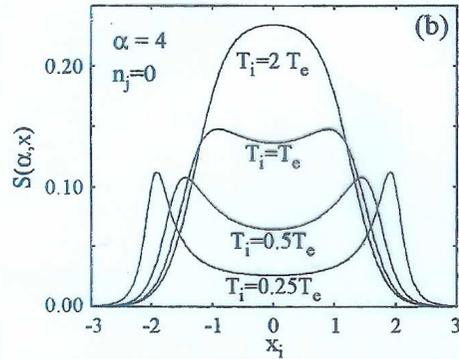
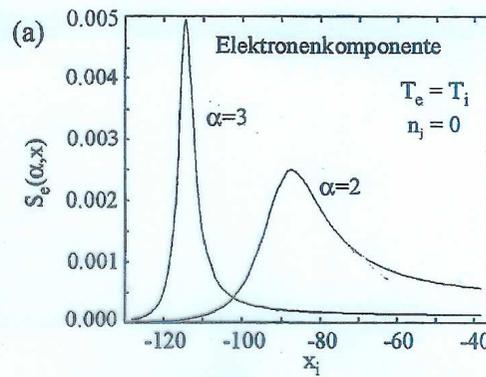
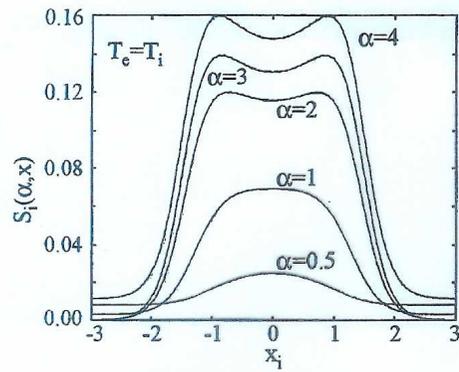


FIG. 1. Thomson scattering spectra of collisionless plasmas at optimal conditions of the gas liner pinch: (a) hydrogen: 0.02 nm/pixel,  $n_e=0.62 \times 10^{18} \text{ cm}^{-3}$ ,  $T_e=T_i=22 \text{ eV}$ ,  $Z=1$ ; (b) helium: 0.02 nm/pixel,  $n_e=6.05 \times 10^{18} \text{ cm}^{-3}$ ,  $T_e=T_i=16 \text{ eV}$ ,  $Z=2$ ; (c) neon: 0.0064 nm/pixel,  $n_e=0.55 \times 10^{18} \text{ cm}^{-3}$ ,  $T_e=T_i=12 \text{ eV}$ ,  $Z=2.6$ ; (d) argon: 0.0064 nm/pixel,  $n_e=0.96 \times 10^{18} \text{ cm}^{-3}$ ,  $T_e=T_i=14 \text{ eV}$ ,  $Z=3.6$ .

Scattering in a linear pinch discharge with different gas fillings

Peaks correspond to ion acoustic modes

$$(\omega_s - \omega_o)^2 = \left( \frac{Zk_B T_e}{m_i} \frac{\alpha^2}{1 + \alpha^2} + \frac{3k_B T_i}{m_i} \right) k^2$$



Hydrogen plasma

Damping of ion acoustic modes determines details of the shape

Damping

- $\frac{T_e}{T_i}$

- drift between electrons and ions

Impurities

Shift of ion feature, readily measured,  
macroscopic motion of plasmas

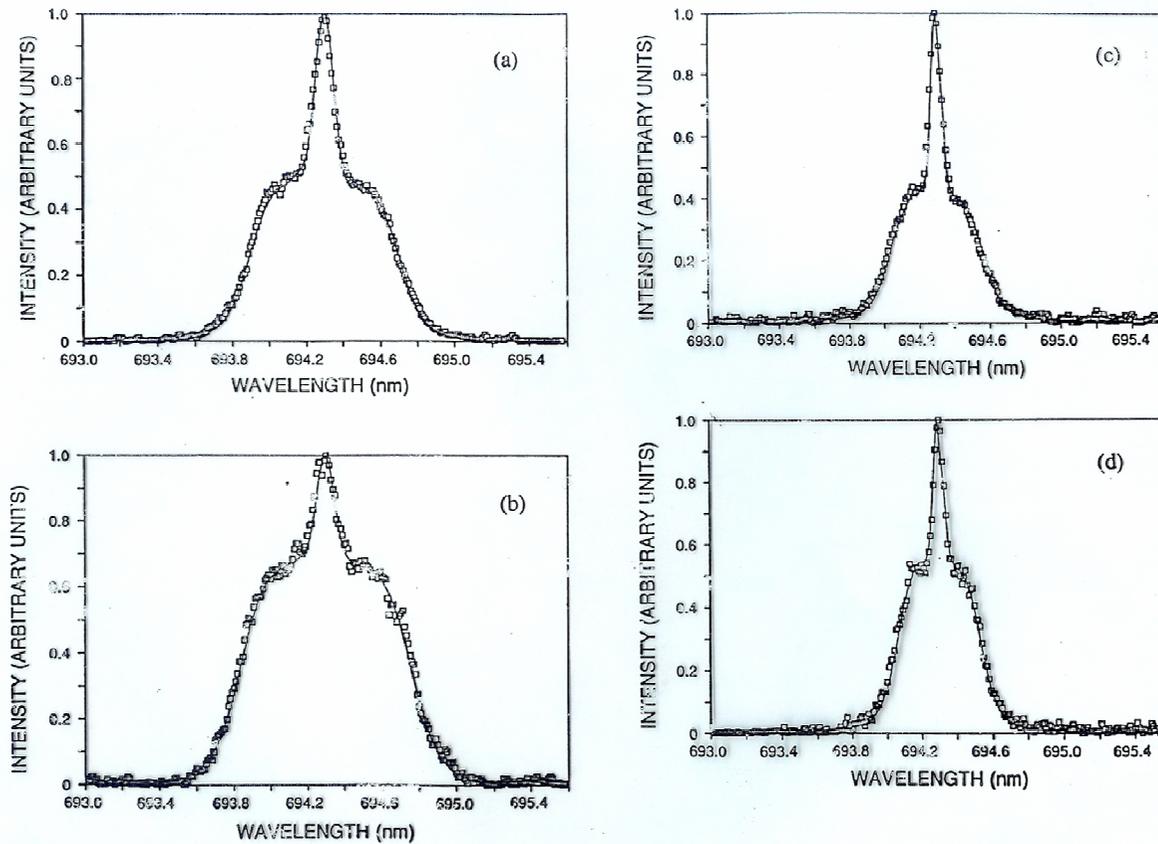
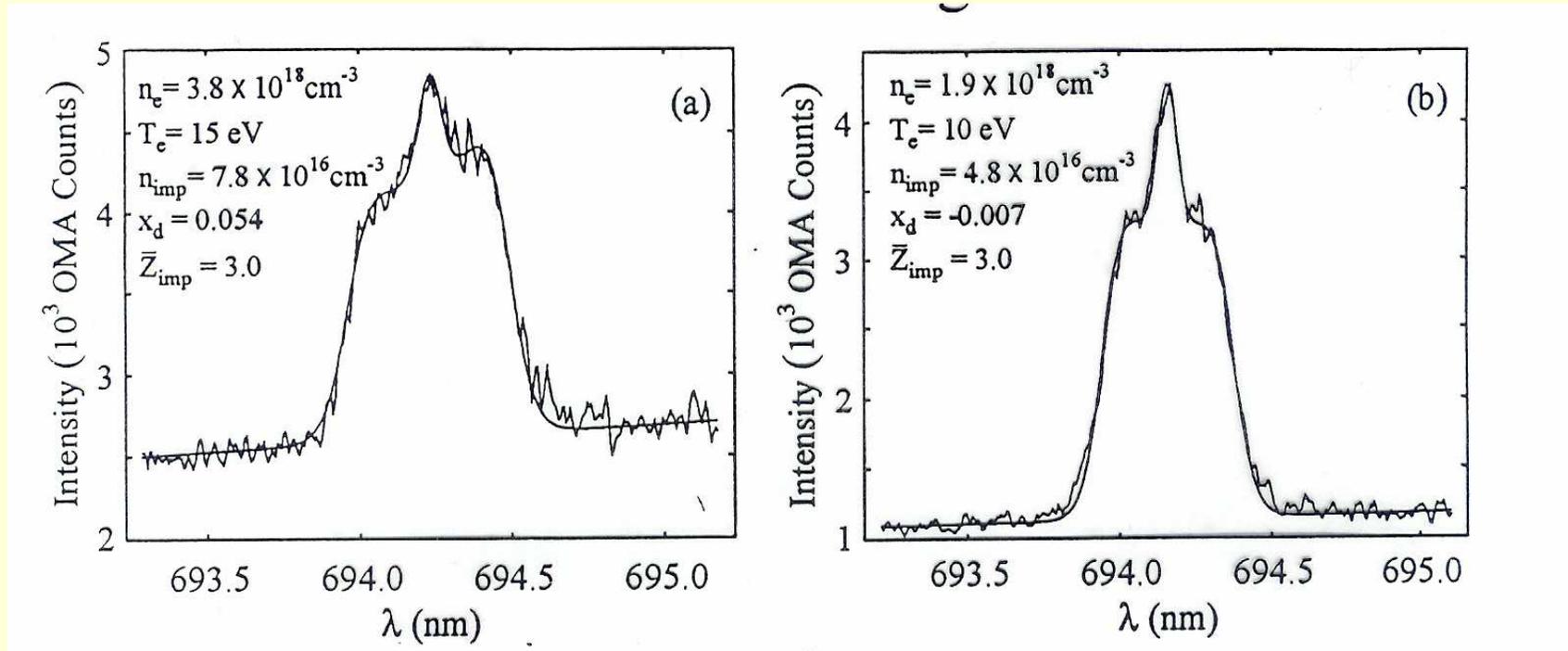


FIG. 5. Measured single-shot scattered light spectra for hydrogen plasmas with noble gas additive. Square points are OMA data, solid line is best fit to theory. Parameters from fitting to theory are (a) 1% argon,  $T_i = 35$  eV,  $n_e = 8 \times 10^{24} \text{ m}^{-3}$ ,  $Z^* = 7.5$ . (b) 0.29% argon,  $T_i = 46$  eV,  $n_e = 2 \times 10^{24} \text{ m}^{-3}$ ,  $Z^* = 7.9$ . (c) 4.5% xenon,  $T_i = 17.5$  eV,  $n_e = 2.5 \times 10^{24} \text{ m}^{-3}$ ,  $Z^* = 3.8$ . (d) 4.8% xenon,  $T_i = 17$  eV,  $n_e = 1 \times 10^{24} \text{ m}^{-3}$ ,  $Z^* = 2.7$ .

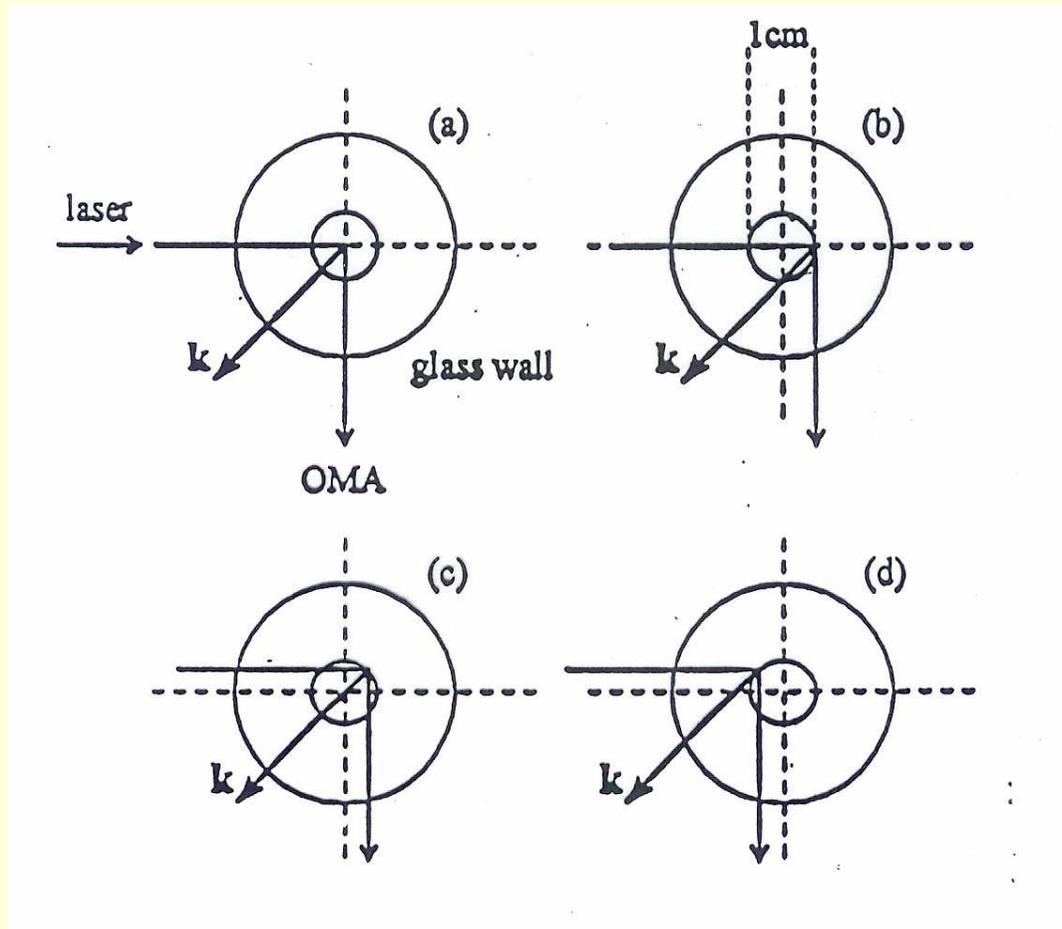
Impurities !

$n_{\text{imp}}$  can be  
determined if  
Z is known

## Examples of spectra obtained in the gas-liner pinch (Z-pinch)



## Selection of scattering direction and scattering volume

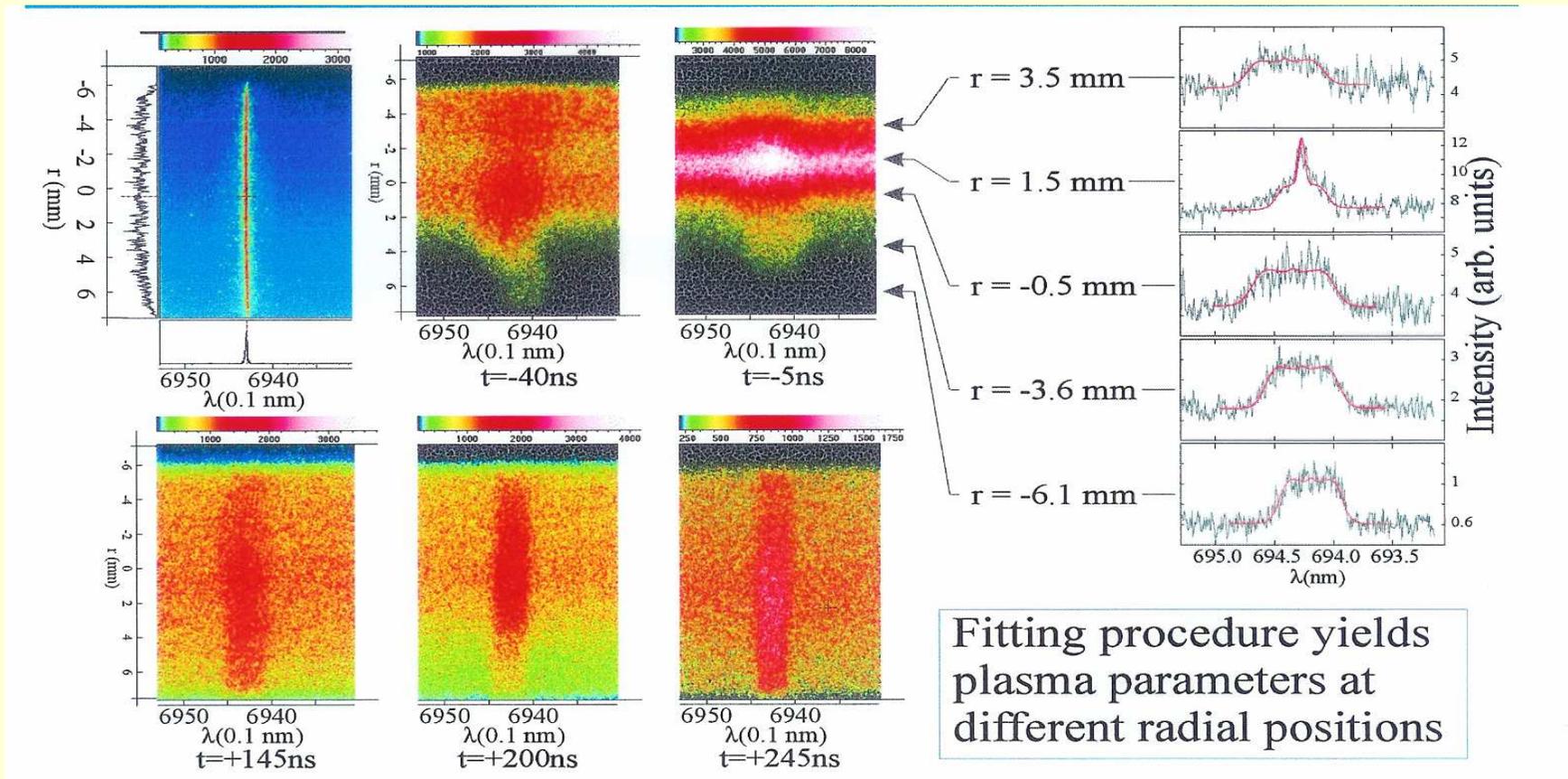


Radial and tangential motion and drifts

# Stigmatic spectrograph and CCD detector

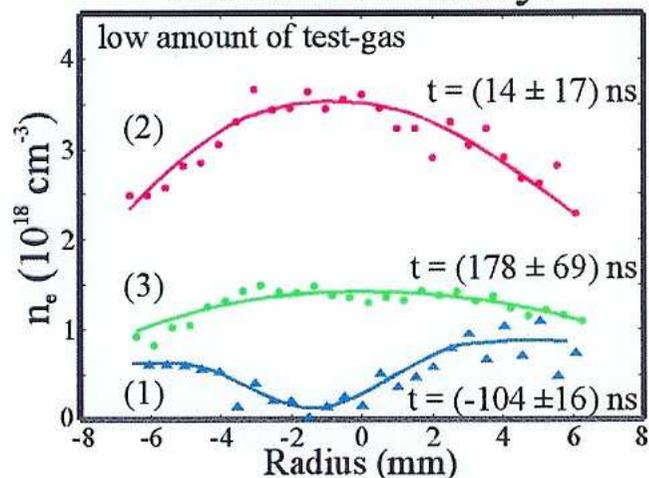
⇒ **spatially resolved** measurements

Here: along the radius of a gas-liner pinch

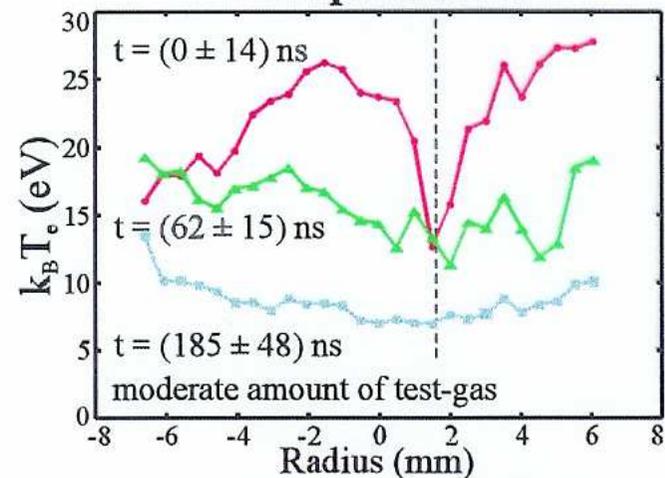


# Results

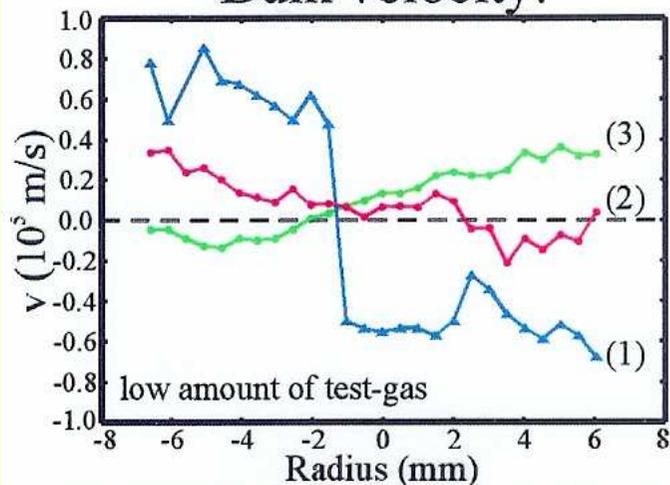
## Electron density:



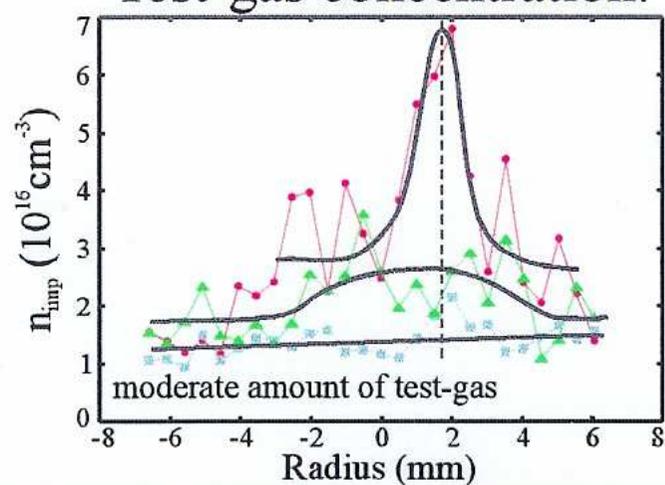
## Temperature:



## Bulk velocity:



## Test-gas concentration:



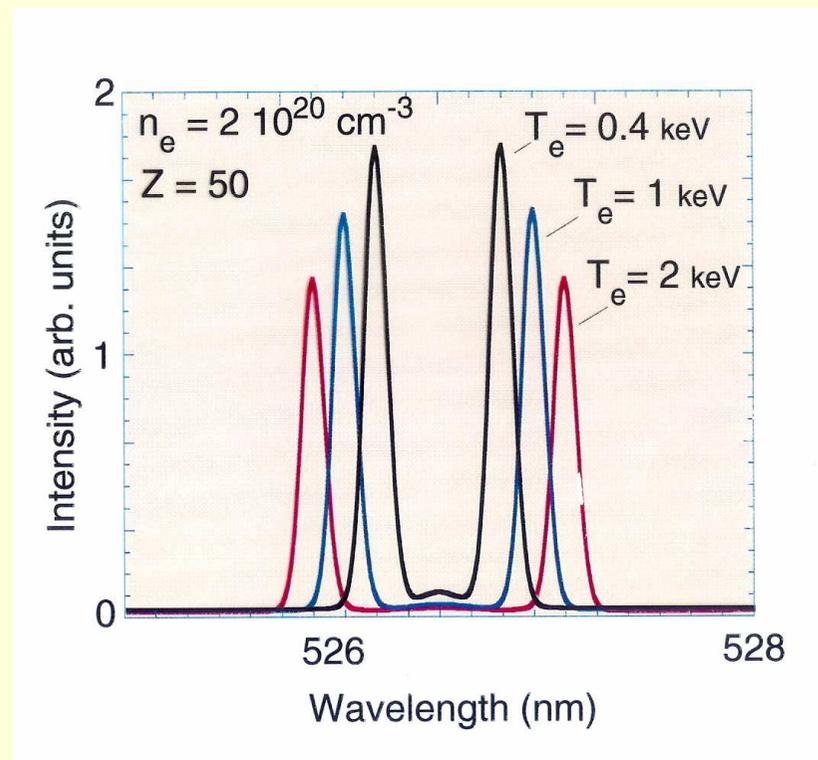
## High Z laser-produced plasmas (LLNL)

$$\begin{aligned}
 (\omega_s - \omega_o)^2 &= \left( \frac{Zk_B T_e}{m_i} \frac{\alpha^2}{1 + \alpha^2} + \frac{3k_B T_i}{m_i} \right) k^2 \\
 &= \frac{k_B T_e}{m_i} \left( Z \frac{\alpha^2}{1 + \alpha^2} + 3 \frac{T_i}{T_e} \right) k^2
 \end{aligned}$$

For large  $\alpha$ , large Z, and  $T_i \ll T_e$

$$(\omega_s - \omega_o)^2 = Z \frac{k_B T_e}{m_i} k^2$$

Separation of the ion acoustic lines is a linear function of Z and  $T_e$



## High density plasma:

One limit is given by the plasma frequency because for a laser beam to propagate in a plasma

$$\omega_{\text{laser}} > \omega_p$$
$$\frac{\lambda_{\text{laser}}}{\text{nm}} < 3.3 \times 10^{13} \frac{1}{\sqrt{n_e / \text{cm}^{-3}}}$$

$$\text{i.e. } n_e = 10^{20} \text{ cm}^{-3} \quad \rightarrow \quad \lambda < 330 \text{ nm}$$

An even lower limit is given by absorption of the laser beam in the plasma

$$\text{Optical depth: } \tau \sim \kappa l \sim \lambda^3 \frac{n_e^2}{\sqrt{kT_e}} l$$

## Incoherent scattering of x-rays by solid-density plasmas

Scattering on tightly bound electrons

⇒ Rayleigh peak

Scattering of free or weakly bound electrons

⇒ Compton peak

Successful, e.g. Glenzer et al,

Physics of Plasmas Phys. **10**, 2433 (2003)

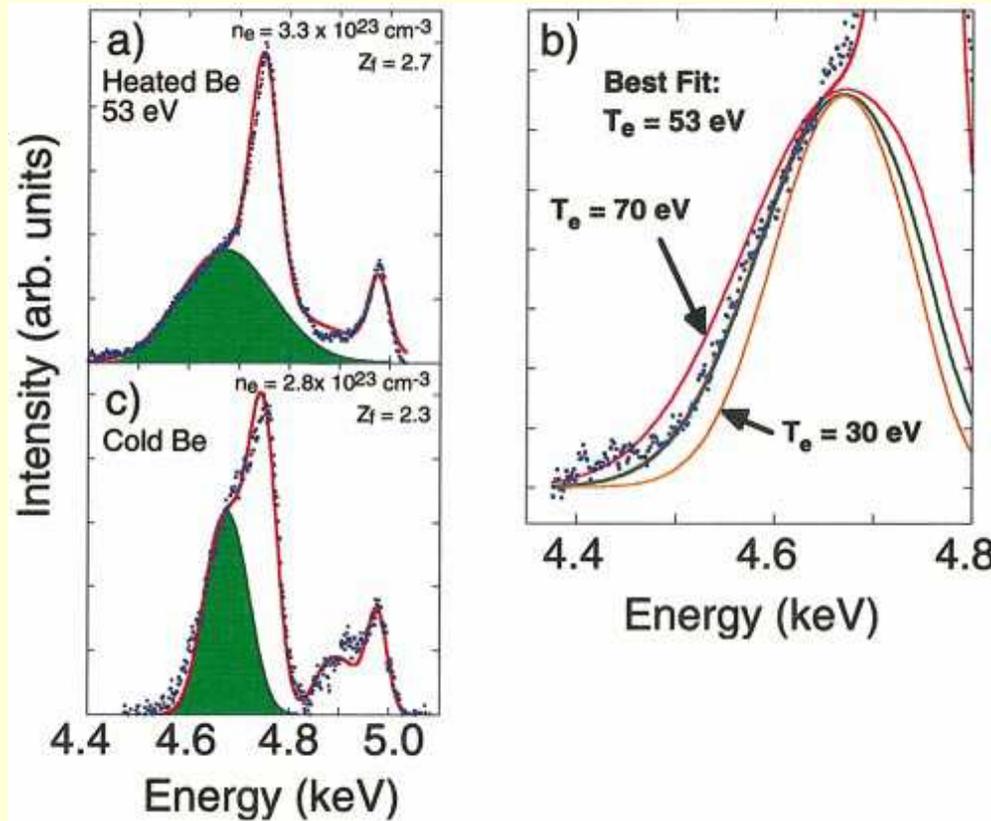
Both target plasma (Be) and source plasma (Ti) are produced by powerful lasers (employing the 30 kJ laser facility at Rochester)

Source emits strong He- $\alpha$  line at 4.75 keV and

weaker Ly- $\alpha$  line at 4.96 keV

Backscattering spectrum of titanium He- $\alpha$  radiation at 4.75 keV

Ly- $\alpha$  radiation at 4.96 keV



Rayleigh peak and downshifted Compton peak are clearly seen for heated and cold Be plasma

$T_e$  is obtained from the shape of the Compton peak;

(b) shows fit and sensitivity of fit

$$\alpha = \frac{1}{k\lambda_D} \approx \frac{\lambda_o}{4\pi\lambda_D \sin(\theta/2)}$$

At low plasma densities and high temperatures the collective regime may be reached

by large  $\lambda_o$       powerful gyrotrons with  $\lambda_o \sim \text{mm}$   
considered for  $\alpha$ -particle diagnostics in ITER  
or

by going to a small scattering angle  $\theta$   
**forward scattering**

Several methods have been developed  
heterodyne and homodyne mixing in the  
detector